Do Localization Methods Actually Localize Memorized Data in LLMs? A Tale of Two Benchmarks

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Abstract

 The concept of localization in LLMs is often mentioned in prior work; however, methods for localization have never been systematically and directly evaluated. We propose two com- plementary benchmarks that evaluate the abil- ity of localization methods to pinpoint LLM components responsible for memorized data. In our INJ Benchmark, we actively *inject* a piece of new information into a small subset **of LLM** weights, enabling us to directly eval- uate whether localization methods can iden- tify these "ground truth" weights. In our DEL Benchmark, we evaluate localization by mea-**Suring how much dropping out identified neu-** rons *deletes* a memorized pretrained sequence. Despite their different perspectives, our two benchmarks yield consistent rankings of five localization methods. Methods adapted from network pruning perform well on both bench- marks, and all evaluated methods show promis- ing localization ability. On the other hand, even successful methods identify neurons that are not specific to a single memorized sequence.

024 1 Introduction

 Large language models (LLMs) memorize many [s](#page-8-0)equences from their pretraining corpora [\(Carlini](#page-8-0) [et al.,](#page-8-0) [2019;](#page-8-0) [Lehman et al.,](#page-9-0) [2021;](#page-9-0) [Lee et al.,](#page-9-1) [2023\)](#page-9-1). For example, [Carlini et al.](#page-8-1) [\(2021\)](#page-8-1) show that GPT2 [\(Radford et al.,](#page-10-0) [2019\)](#page-10-0) can leak some private con- tact information verbatim. This paper studies whether we can *localize* a piece of memorized data, i.e., identify components in LLMs responsible for generating a sequence (near) verbatim. Success- ful localization may inform further work in ma- [c](#page-8-3)hine unlearning [\(Cao and Yang,](#page-8-2) [2015;](#page-8-2) [Bourtoule](#page-8-3) [et al.,](#page-8-3) [2021\)](#page-8-3); for instance, one could apply "neural surgery" to the located components to make the LLM forget a piece of sensitive information.

039 Prior work on knowledge editing suggests that **040** we can locate a small set of LLM parameters that **041** [s](#page-10-1)tore factual knowledge [\(Dai et al.,](#page-8-4) [2022;](#page-8-4) [Meng](#page-10-1)

[et al.,](#page-10-1) [2022\)](#page-10-1). These works demonstrate localiza- **042** tion success by showing knowledge editing suc- **043** cess when updating only the located LLM parame- **044** ters. However, [Hase et al.](#page-9-2) [\(2023\)](#page-9-2) argue that editing **045** success and localization are actually uncorrelated. $\qquad \qquad 046$ Similarly, prior methods that identify subnetworks **047** in LLMs [\(Gong et al.,](#page-9-3) [2022;](#page-9-3) [Panigrahi et al.,](#page-10-2) [2023\)](#page-10-2) **048** usually focus on the performance of downstream **049** classification tasks, lacking direct evaluation on **050** localization per se. Hence, the degree of existing **051** methods' localization success remains unclear. **052**

This paper studies the open question, "Do local- **053** ization methods actually localize memorized data **054** in LLMs?" We first propose decoupling localiza- **055** tion success from downstream success in our INJ **056** Benchmark. Our key insight is to actively create **057** the ground-truth weights responsible for data mem- **058** orization. Specifically, we force LLMs to use a **059** small set of pre-decided weights to memorize a **060** piece of new information unseen during pretrain- **061** ing. Therefore, we have the ground-truth locations **062** where the new information is injected. We can then **063** directly evaluate how well different localization **064** methods recall the indices of the injected weights. **065**

We further apply the localization methods to 066 a real-world scenario: identifying a small set of **067** neurons in an LLM responsible for memorizing **068** a pretrained sequence. In this setting, evaluating **069** localization success is more challenging because **070** the ground-truth "location" of each memorized se- **071** quence is unknown. We propose the DEL Bench- **072** mark, inspired by knockouts [\(Olsson et al.,](#page-10-3) [2022\)](#page-10-3), **073** a reverse-engineering approach that removes a set **074** of nodes from the computation graph to observe **075** their importance for specific model behavior. We **076** first collect a set of memorized sequences, and for **077** each sequence, we drop out the located neurons to **078** measure their importance to memorizing that target **079** sequence. A successful localization should cleanly 080 erase the target sequence from an LLM without **081** hurting the memorization of the other sequences **082**

 in the set after dropout. Our two benchmarks com- plement each other: the INJ Benchmark provides a direct evaluation of localization methods under a well-controlled setup, while DEL Benchmark answers if the methods can localize pretrained se-quences that LLMs have already memorized.

 We systematically evaluate five methods on our two benchmarks, including existing localization [m](#page-8-4)ethods (ACTIVATIONS, [Geva et al.,](#page-9-4) [2022;](#page-9-4) IG, [Dai](#page-8-4) [et al.,](#page-8-4) [2022\)](#page-8-4), a brute-force method that searches for the most important neurons (ZERO-OUT), and two [m](#page-9-5)ethods we adapt from network pruning [\(Hassibi](#page-9-5) [and Stork,](#page-9-5) [1992;](#page-9-5) [Han et al.,](#page-9-6) [2016\)](#page-9-6), SLIMMING and HARD CONCRETE. Our two benchmarks rank the five methods in the same order, showing especially strong localization ability for HARD CONCRETE. **For example, dropping out only 0.5% of neurons in** Pythia-6.9B [\(Biderman et al.,](#page-8-5) [2023\)](#page-8-5) identified by HARD CONCRETE makes the model forget 57.7% of the target memorized tokens on average. On the other hand, the DEL Benchmark shows all meth- ods struggle to balance between erasing the target sequence and retaining other memorized data, indi- cating that the identified neurons are also relevant for memorizing some other sequences. Overall, both benchmarks agree all evaluated localization methods are promising, but precise localization of a single sequence remains difficult.

111 111 2 Background and Task Terminology

 A Transformer layer [\(Vaswani et al.,](#page-10-4) [2017\)](#page-10-4) consists of multi-head self-attention and a feed-forward net- work (FFN). Prior work shows that LLMs use their FFNs rather than self-attention as "memories" to [s](#page-10-1)tore knowledge [\(Geva et al.,](#page-9-7) [2021,](#page-9-7) [2022;](#page-9-4) [Meng](#page-10-1) [et al.,](#page-10-1) [2022\)](#page-10-1). Here, an FFN has two fully connected layers with a non-linear activation function σ :

$$
h^l = \sigma(W^l \mathbf{x}^l) \tag{1}
$$

$$
o^l = V^l h^l, \tag{2}
$$

121 where $x^l \in \mathbb{R}^{d_1}$ is the input hidden states to the *l*-122 **th FFN layer,** $W^l \in \mathbb{R}^{d_2 \times d_1}$, $V^l \in \mathbb{R}^{d_1 \times d_2}$ are the 123 weights, $h^l \in \mathbb{R}^{d_2}$ the intermediate hidden states, 124 and $o^l \in \mathbb{R}^{d_1}$ the output hidden states. [Geva et al.](#page-9-4) **125** [\(2022\)](#page-9-4) rewrite Eq. [2](#page-1-0) as a linear combination of 126 columns of V^l . Let $\mathbf{v}_i^l \in \mathbb{R}^{d_1}$ be the *i*-th column 127 of V^l and $h_i^l \in \mathbb{R}$ be the *i*-th neuron activation of 128 $h^l \in \mathbb{R}^{d_2}$. We have:

$$
o^{l} = V^{l} h^{l} = \sum_{i=1}^{d_{2}} h_{i}^{l} \cdot \mathbf{v}_{i}^{l}
$$
 (3)

They show that different concepts are stored in **130** different v_i^l , and that we can view each activation 131 h_i^l as a memory coefficient to retrieve a concept. 132

Neurons. [Dai et al.](#page-8-4) [\(2022\)](#page-8-4) observe the existence **133** of knowledge neurons, a small set of neurons in **134** FFN hidden states h^l that corresponds to a rela- **135** tional fact, where a neuron means a component **136** of the vector h^l . For example, given the input 137 *The capital of Ireland is* \therefore , they can increase the 138 model probability on the correct token "*Dublin*" by **139** amplifying the activation h_i^l of the identified knowledge neurons. With Eq. [3,](#page-1-1) we can view increasing **141** activation h_i^l as promoting the concept stored in \mathbf{v}_i^l

In this work, we only search for neurons in FFNs **143** responsible for memorizing a sequence, following **144** [Dai et al.](#page-8-4) [\(2022\)](#page-8-4). In the INJ Benchmark, we ensure 145 that FFNs act as neural memories by only updating **146** a set of weight vectors \mathbf{v}_i^l to memorize the new 147 information. As each v_i^l corresponds to a neuron **148** in h^l , locating the updated weights is equivalent 149 to locating the corresponding neurons. In the rest **150** of the paper, we refer to neurons as the neurons in **151** $\{h^l\}_{l=1}^L$, where L is the number of layers. **152**

Dropout. Different from [Srivastava et al.](#page-10-5) [\(2014\)](#page-10-5), **153** we drop out located neurons at test time to erase a **154** memorized sequence from the LLM. We can view **155** dropping out the *i*-th neuron in h^l as excluding the **156** contribution of \mathbf{v}_i^l from the output o^l in Eq. [3.](#page-1-1) **157**

Memorized Sequences. Consider a sequence **158** $x = (p, s)$ that consists of a prefix p and a suffix s. **159** Given the prefix as the prompt, if an LLM is able to **160** *nearly reconstruct* the suffix with greedy decoding, 161 we say x is a memorized sequence by the LLM. We **162** discuss in [§3.2](#page-2-0) our criteria on suffix reconstruction, **163** where we tolerate near-verbatim memorization; we **164** also ensure every sequence has a non-trivial suffix. **165**

Localization. [Hase et al.](#page-9-2) [\(2023\)](#page-9-2) provides a gen- **166** eral definition of localization: identifying compo- **167** nents of a model responsible for a certain behavior. **168** Under this definition, we consider components as a **169** small set of neurons and behavior as the LLM's gen- **170** eration of a memorized sequence. Although some **171** components are necessary for generation, e.g., the **172** input and output token embeddings, we exclude **173** them as they are not specific to a target sequence. **174**

Localization Methods. Given an LLM, a memo- **175** rized sequence x, and a fixed number k , a localiza tion method outputs $k\%$ of neurons at each layer as the predictions to localize sequence x in the LLM.

Figure 1: Left: INJ Benchmark updates a small set of LLM weights to store the new piece of data, where the fine-tuned weight vectors and the corresponding neurons are filled with blue. The neurons predicted by a localization method are circled with black. \bigcirc denotes true-positive, \bigcirc false-positive, and \bigcirc false-negative neurons. **Right:** DEL Benchmark drops out the predicted neurons \bigcirc on a memorized pretrained sequence. A large change in Levenshtein distance after dropout indicates that \bigcirc were important for LLM f to retrieve the memorized sequence.

179 3 Two Localization Benchmarks

 How do we know whether a method is success- ful in localization? We propose two benchmark- ing approaches: one *injects* a new piece of infor- mation into specific parameters in LLMs, while another *deletes* an existing memorized sequence from LLMs via dropout. A successful localization method should do well on both benchmarks.

187 3.1 The INJ Benchmark

 A principal challenge in evaluating localization methods is the lack of ground-truth location. We propose the INJ Benchmark, which first creates ground truth by actively injecting a piece of unseen information into a small subset of LLM weights. We can then directly evaluate the correctness of a localization method in predicting the indices of those injected weights.

 Data. The ECBD-2021 dataset [\(Onoe et al.,](#page-10-6) [2022\)](#page-10-6) contains 156 definition sentences of new entities that rose to popularity during the year 2021, e.g., "*Gamma variant, also known as lineage P.1...*". Since all LLMs we use are trained on corpora re- leased before 2021, the injected weights are the only parameters in the LLMs responsible for mem-orizing each new definition sequence x.

Information Injection. For each new sequence **204** x, we randomly sample $r\%$ of the weight vectors **205** ${\mathbf v}_1^l, \ldots, {\mathbf v}_{d_2}^l\}_{l=1}^L$ across all L layers, and fine-tune **206** them to memorize x. We keep the rest of the model 207 parameters frozen. To simulate how LLMs learn **208** data during pretraining, we fine-tune with the nor- **209** mal language modeling loss on x (Eq. [13\)](#page-11-0). To 210 ensure the entire sequence is well memorized, we **211** keep fine-tuning until we reach a $loss < 0.05$; there-
212 fore, we can simply set the first token as the prefix **213** p, and the rest of the sequence as the suffix s. Note **214** we fine-tune a separate model for each sequence. **215** Algorithm [1](#page-15-0) in [A.1](#page-11-1) lists the exact injection process. **216**

Evaluation. For each model injected with a se- **217** quence x, a localization method predicts $k\%$ of 218 neurons at each layer and we calculate Recall@k%. **219** Specifically, given the set of ground-truth neurons **220** corresponding to all the injected weight vectors **221** across layers, Γ, and the set of all predicted neu- **222** rons, $\hat{\Gamma}$, the recall is $\frac{|\Gamma \cap \hat{\Gamma}|}{|\Gamma|}$. **223**

3.2 The DEL Benchmark **224**

The DEL Benchmark studies whether we can local- **225** ize a naturally memorized sequence after pretrain- **226** ing, which is not answered by the INJ Benchmark. **227** We first collect a set of memorized pretrained se- **228** quences, and then apply localization methods to **229**

3

 identify the responsible neurons for each sequence. Without ground-truth neurons, we adopt knockouts [\(Li et al.,](#page-9-8) [2016;](#page-9-8) [Olsson et al.,](#page-10-3) [2022;](#page-10-3) [Geva et al.,](#page-9-9) [2023\)](#page-9-9) for evaluation, which measures the impor- tance of model components based on the effect of removing them. We drop out the located neurons to observe how much they account for memorizing a sequence. We quantify memorization with two scores: Accuracy and Levenshtein distance.

 Accuracy. Recall that a sequence $x = (p, s)$ con- sists of a prefix p and suffix s. Accuracy calculates the percentage of correct suffix tokens generated by teacher-forcing and argmax decoding. Formally,

$$
\hat{s}_t = \underset{w \in \text{Voc}}{\text{argmax}} \ P_{\theta}(w|p, s_{
$$

244 Accuracy =
$$
\frac{1}{T} \sum_{t=1}^{T} \mathbb{1} \{ \hat{s}_t = s_t \},
$$
 (5)

245 where T denotes the suffix sequence length, s_t the 246 t-th true suffix token, $s_{\leq t} = [s_1, \ldots, s_{t-1}], \hat{s}_t$ the 247 t-th generated token, P_{θ} the probability distribu-248 tion of the LLM parameterized by θ , and Voc the **249** vocabulary. Higher Accuracy indicates better mem-**250** orization of the sequence.

 Levenshtein distance. While Accuracy is de- fined at a token level, we note tokens often contain several characters, e.g., "159". For sequences like "3.14159265", every character is important; thus, we also define a memorization score at the charac-**ter level.** With Eq. [4,](#page-3-0) we have $\hat{s} = [\hat{s}_1, \dots, \hat{s}_T].$ We calculate Levenshtein distance between the gen-258 erated suffix \hat{s} and the true suffix s. Lower Leven-shtein distance indicates better memorization.

 Data. We collect a set of sequences memorized by each LLM, including Pythia-deduped-2.8B, Pythia-deduped-6.9B, and GPT2-XL. For Pythia [m](#page-9-10)odels, the pertaining corpus the Pile-dedupe [\(Gao](#page-9-10) [et al.,](#page-9-10) [2021\)](#page-9-10) is open-sourced, and we use the fol- lowing criteria to determine which sequences are memorized. For each candidate sequence x, we set the first 32 tokens as the prefix p to prompt the LLM to reconstruct the suffix s of 48 tokens. First, we filter out sequences with Accuracy (Eq. [4,](#page-3-0) [5\)](#page-3-1) lower than 0.9. Second, we use greedy de- coding to generate the suffix, filtering out those with a Levenshtein distance greater than 20 charac- ters to the true suffix. Third, we discard sequences with repetitive tokens (less than 16 distinct tokens in the suffix). Finally, we deduplicate the remain-ing sequences based on n-gram Jaccard index. We

Category	Examples	Count
Ouotes	Churchill, Steve Jobs, Trump	17
Quotes (Book)	Dune, 1984, Bible	14
Ordered items	Zodiac Signs, US Presidents	11
Terms of use	MIT License	10
Poems	The Second Coming	9
Code	GitHub	9
Contact Info	A journalist's email	7
URL _S	Reddit, file link	5
Others	long COINBASE ID, meme, Bill of Rights, Pi digits	23

Table 1: Collected sequences memorized by GPT2-XL.

obtain 505 memorized sequences for each Pythia **277** model. For GPT2-XL, we do not have access to **278** its pretraining corpus and find very few memorized **279** sequences from several public corpora with our **280** criteria. Thus, we actively search for potentially **281** memorized sequences, discovering 105 memorized **282** sequences and categorizing them manually (Table **283** [1\)](#page-3-2). See [A.6](#page-12-0) for details and example sequences. **284**

We sample 5 sequences as the dev set to tune **285** the hyperparameters of different methods (see [A.7\)](#page-13-0), **286** using the rest of the collected sequences as the test **287** set. We quantify the memorization of LLMs on **288** the collected test sets. Table [5](#page-12-1) in the appendix **289** shows that all LLMs have a high average Accuracy **290** $(∼ 100\%)$ and a low Levenshtein distance $(∼ 1$ 291 character) to the true suffix, suggesting that the **292** sequences we collect are indeed well memorized. **293**

Evaluation. When we evaluate one sequence x 294 in the collected test set \mathcal{X} , we consider the rest of 295 the memorized sequences, $\mathcal{X} \setminus \{x\}$, as negative 296 examples. A successful localization method should **297** make LLMs forget the target sequence (large **298** changes in memorization scores), but still remem- **299** ber the other negative examples (small changes in **300** memorization scores) after dropping out the pre- **301** dicted $k\%$ of neurons at each layer.^{[1](#page-3-3)} We also calcu- 302 late the absolute change in perplexity on a batch of **303** 2048 sequences sampled from the Pile-dedupe, D, **304** to evaluate whether the general language modeling **305** ability remains intact after dropout. **306**

Despite similarities to the evaluation of model **307** editing [\(Sinitsin et al.,](#page-10-7) [2020;](#page-10-7) [Mitchell et al.,](#page-10-8) [2022\)](#page-10-8), **308** we can better reflect localization success. Unlike **309** [Meng et al.](#page-10-1) [\(2022\)](#page-10-1) that edit the located weights **310** with gradients, we restrict our operation to neuron 311 dropout. Because dropout has limited freedom in **312** changing LLMs behavior, successful deletion via **313**

¹We do not drop out neurons in the bottommost layer, as it hurts LLMs' overall memorization indiscriminately [\(A.8\)](#page-13-1).

314 dropout requires successful localization; in con-**315** trast, gradient-based editing could succeed even

316 without good localization [\(Hase et al.,](#page-9-2) [2023\)](#page-9-2).

³¹⁷ 4 Localization Methods

 We benchmark five localization methods. Each 319 method assigns an attribution score $\mathcal{A}^l(i)$ to each **helo** neuron n_i^l , the *i*-th neuron in the *l*-th layer, repre- senting its importance in memorizing a sequence x. At test time, we select the top- $k\%$ of neurons in each layer for each method in terms of attribution scores as the located neurons for x by that method.

325 Several methods involve calculating the lan-326 guage modeling loss of an LLM θ on the suffix 327 of the target sequence $x = (p, s)$. We denote the 328 **loss as** *memorization loss*, $\ell_{\theta}^{\text{mem}}(x)$. Formally,

329
$$
\ell_{\theta}^{\text{mem}}(x) = \frac{1}{T} \sum_{t=1}^{T} -\log P_{\theta}(s_t | p, s_{
$$

 ZERO-OUT. We introduce an exhaustive method that drops out neurons one by one and uses the resulting change in memorization loss on x as the **attribution score to each neuron** n_i^l **:**

$$
\mathcal{A}^{l}(i) = \ell_{\theta \setminus n_{i}^{l}}^{\text{mem}}(x) - \ell_{\theta}^{\text{mem}}(x) \tag{7}
$$

We denote $\ell_{\theta \setminus n}^{\text{mem}}$ **We denote** $\ell_{\theta \setminus n_i^l}^{\text{mem}}$ as the memorization loss of the **LLM** θ **after dropping out a neuron** n_i^l **. The larger** the change in the loss, the more important the neu- ron is for memorization. ZERO-OUT is closely related to the occlusion-based attribution method [\(Zeiler and Fergus,](#page-10-9) [2014\)](#page-10-9).

341 ACTIVATIONS. We can view the neuron activa- $\frac{342}{ }$ tion h_i^l as the memory coefficients ([§2\)](#page-1-2). Thus, simi-343 **1ar to [Geva et al.](#page-9-4) [\(2022\)](#page-9-4), we set the attribution** $\mathcal{A}^l(i)$ $\frac{344}{ }$ as the absolute value of h_i^l multiplied by the vector 345 **horm of** \mathbf{v}_i^l **, averaged across the suffix length T:**

346
$$
\mathcal{A}^{l}(i) = \frac{1}{T} \sum_{t=1}^{T} |h_{i,t}^{l}| ||\mathbf{v}_{i}^{l}||, \qquad (8)
$$

347 where $h_{i,t}^l$ denotes the activation value at the t-th **348** timestep, when the input consists of all the tokens 349 **before** s_t , i.e., $[p, s_{< t}]$.

 Integrated Gradients (IG). We benchmark in- tegrated gradients [\(Sundararajan et al.,](#page-10-10) [2017\)](#page-10-10), an attribution method that has been used to identify knowledge neurons and privacy neurons [\(Dai et al.,](#page-8-4) [2022;](#page-8-4) [Wu et al.,](#page-10-11) [2023\)](#page-10-11). IG cumulates the gradients at all points along the path from a zero vector to the **. original hidden state** h^l **. See [A.2](#page-11-2) for more details.**

SLIMMING. We introduce SLIMMING, a local- **357** ization method adapted from prior work [\(Liu et al.,](#page-9-11) **358** [2017;](#page-9-11) [Chen et al.,](#page-8-6) [2021\)](#page-8-6) on network pruning. Prun- **359** ing aims to reduce the model size by finding a **360** subnetwork that can achieve a low loss on the task, 361 e.g., sentiment analysis. In our setting, we find **362** a small set of neurons that are crucial for main- **363** taining a low memorization loss $\ell_{\theta}^{\text{mem}}(x)$ on *one* 364 target sequence x (Eq. [6\)](#page-4-0). Specifically, SLIMMING **365** minimizes the memorization loss while learning a **366** sparse mask $m^l \in \mathbb{R}^{d_2}$ on the hidden state h^l in 367 every layer, with mask value m_i^l on neuron n_i^l . At 368 each layer, we transform h^l to $h^l \odot m^l$ before com-
369 puting further layers, where ⊙ denotes element- **370** wise multiplication. The sparse mask encourages 371 the LLM to use only a small set of neurons to recall **372** a piece of memory. All the weights of the LLM are **373** kept frozen during the training; only the mask m^l is learnable. Formally, **375**

$$
\min_{\substack{m'\\=1,\dots,L}} \ell_{\theta}^{\text{mem}}(x) + \lambda \sum_{l=1}^{L} ||m^{l}||_{1}, \qquad (9) \qquad 376
$$

374

383

where λ is the hyperparameter to balance the mem- 377 orization loss and the L_1 sparsity regularization 378 on the mask. After training, we set the attribution **379** score $A^l(i) = m_i^l$, as m_i^l learns the importance of 380 the existence of a neuron to the memorization loss. **381**

 l

HARD CONCRETE. The limitation of SLIM- **382** MING is that it tends to assign mask values m_i^l between 0 and 1 on most neurons, creating a mis- **384** match between training and testing. In particular, **385** at inference time we either activate (equivalent to **386** setting $m_i^l = 1$) or drop out $(m_i^l = 0)$ a neuron. **387** Thus, we adapt another pruning method HARD **388** CONCRETE [\(Louizos et al.,](#page-9-12) [2018;](#page-9-12) [Zheng et al.,](#page-10-12) **389** [2022\)](#page-10-12) for localization, which improves over SLIM- **390** MING by encouraging mask values m_i^l to be ap-
391 proximately binary. Similar to SLIMMING, HARD **392 CONCRETE learns parameters** $m^l \in \mathbb{R}^{d_2}$ in ev-
393 ery layer. But instead of directly using m^l as the 394 mask, the mask \bar{m}^l in HARD CONCRETE is a ran- 395 dom variable (r.v.) that depends on m^l . Specifi- 396 cally, HARD CONCRETE derives the mask value **397** \bar{m}_i^l from a binary concrete [\(Maddison et al.,](#page-10-13) [2017;](#page-10-13) $\hspace{1.5cm}$ 398 **[Jang et al.,](#page-9-13) [2017\)](#page-9-13) random variable,** \hat{m}_i^l **. A binary** 399 concrete distribution $\hat{m}_i^l \sim \text{Concrete}(m_i^l, \beta)$ is pa- 400 rameterized by the location m_i^l and temperature **401** β. When the hyperparameter $β \rightarrow 0$, sampling 402 from the binary concrete distribution is identical **403** to sampling from a Bernoulli distribution but loses **404**

	GPT2 124M		GPT2-XL 1.5B			Pythia-deduped 2.8B			Pythia-deduped 6.9B			
	R@1%	R@2%	R@5%	R@1%	R@2%	R@5%	R@1%	R@2%	R@5%	R@1%	R@2%	R@5%
ratio = 1%												
HARD CONCRETE	49.5	70.2	87.4	29.7	37.1	48.1	34.3	50.1	72.1	36.8	55.1	76.4
SLIMMING	48.1	66.7	80.7	19.3	29.2	41.1	37.0	50.7	61.5	39.9	55.1	66.5
ZERO-OUT	24.9	37.5	53.8	4.1	7.2	13.7	10.6	15.0	21.4	٠		-
IG	20.5	32.1	49.9	4.3	7.2	13.3	11.6	16.9	23.9	12.8	18.7	27.2
ACTIVATIONS	3.0	5.2	13.3	2.1	5.0	12.0	7.8	12.8	30.5	7.9	12.4	27.3
RANDOM	1.0	2.0	5.0	1.0	2.0	5.0	1.0	2.0	5.0	1.0	2.0	5.0
ratio = 0.1%	$@0.1\%$	$@0.2\%$	$@0.5\%$	$@0.1\%$	$@0.2\%$	$@0.5\%$	$@0.1\%$	$@0.2\%$	$@0.5\%$	$@0.1\%$	$@0.2\%$	$@0.5\%$
HARD CONCRETE	56.4	79.6	93.7	47.5	59.1	68.0	48.5	67.3	86.7	46.4	66.3	82.3
SLIMMING	58.9	83.5	94.4	35.4	55.9	69.5	48.3	63.5	73.9	48.5	60.9	71.0
ZERO-OUT	54.1	77.8	90.9	14.3	21.8	31.9	16.5	21.1	26.6			
IG	53.5	74.1	84.8	13.8	20.3	29.7	18.0	23.3	30.2	29.3	34.4	39.6
ACTIVATIONS	11.1	26.5	51.5	7.5	15.9	30.6	21.6	34.6	52.5	34.0	45.9	59.5
RANDOM	0.1	0.2	0.5	0.1	0.2	0.5	0.1	0.2	0.5	0.1	0.2	0.5

Table 2: The INJ Benchmark. We experiment with injection ratio at 1% (Top) and 0.1% (Bottom) and report the Recall@k% scores of different localization methods averaged across the sequences in ECBD-2021.

405 the differentiable property. With $\beta > 0$, we allow gradient-based optimization of parameter m_i^l **407** through the reparametrization trick. Formally,

406

421

$$
u_i \sim \mathcal{U}(0,1),\tag{10}
$$

$$
\hat{m}_i^l = \sigma \left(\frac{1}{\beta} (\log \frac{u_i}{1 - u_i} + \log m_i^l) \right), \qquad (11)
$$

410 where σ denotes the sigmoid function and u_i is **a** r.v. sampled from uniform distribution $U(0, 1)$. We describe the details about how [Louizos et al.](#page-9-12) [\(2018\)](#page-9-12) extend a hard concrete r.v. \bar{m}^l from the **binary concrete r.v.** \hat{m}_i^l and use L_0 regularization $\mathcal{R}(\bar{m}^l)$ to encourage sparsity in [A.4.](#page-11-3)

To learn the parameters m^l **, we freeze the LLM** 417 weights θ and simultaneously optimize the mem- orization loss on the target sequence x and the **19** sparsity loss $\mathcal{R}(\bar{m}^l)$. Formally,

420
$$
\min_{\substack{m^l \\ l=1,...,L}} \ell_{\theta}^{\text{mem}}(x) + \lambda \sum_{l=1}^{L} \mathcal{R}(\bar{m}^l) \qquad (12)
$$

At test time, \hat{m}_i^l can be estimated as $\sigma \left(\log m_i^l \right)$ **422** [\(Louizos et al.,](#page-9-12) [2018\)](#page-9-12); thus, we set the attribution 423 **score** $\mathcal{A}^l(i) = \sigma \left(\log m_i^l \right)$.

⁴²⁴ 5 Experiments

425 5.1 INJ Benchmark Results

 Table [2](#page-5-0) shows the average Recall@k% of different localization methods on four LLMs under our INJ Benchmark evaluation. When the injection ratio is 1% (Table [2;](#page-5-0) Top), there are 1% of weight vectors injected with each new sequence, yielding 1% of ground truth neurons, and every method predicts

 $k = \{1, 2, 5\}\%$ of neurons at each layer. When 432 the injection ratio is 0.1% (Table [2;](#page-5-0) Bottom), ev- **433** ery method predicts $\{0.1, 0.2, 0.5\}$ % of neurons at 434 each layer. We also experiment with the alternative **435** that predicts top- k neurons *across* layers in [A.9,](#page-14-0) 436 which shows results consistent with Table [2](#page-5-0) but 437 with lower recall overall. **438**

All methods can do localization. First, all five **439** localization methods greatly outperform RANDOM, **440** which randomly predicts the same number of neu- 441 rons at each layer. Interestingly, when the injec- **442** tion ratio is lower (0.1%) , all localization methods 443 achieve higher recall, possibly because the informa- **444** tion is more concentrated in the injected weights **445** and thus easier to identify. **446**

Pruning-based methods perform the best. **447** SLIMMING and HARD CONCRETE, the methods **448** based on network pruning, substantially outper- **449** form the other methods across all setups. Specif- **450** ically, HARD CONCRETE achieves Recall@0.5% **451** higher than 80 in three out of four LLMs. ZERO- **452** OUT and IG perform similarly and outperform **453** the simple method ACTIVATIONS overall, but are **454** much more computationally expensive than the **455** other methods (see comparisons in [A.5\)](#page-11-4). **456**

5.2 DEL Benchmark Results **457**

Table [3](#page-6-0) shows to what extent dropping out **458** $k = \{0.1, 0.5\}\%$ of neurons predicted by different 459 methods makes LLMs forget the target sequence x 460 (Self), while still memorizing the other sequences **461** $\mathcal{X} \setminus \{x\}$ (Neg), and keeping the perplexity on the 462 random batch D (Rand-PPL) intact. We evaluate **463** one target sequence at a time and report the average **464**

		Δ Self-Acc \downarrow	Δ Self-Dist \uparrow			Δ Neg-Acc \uparrow		Δ Neg-Dist \downarrow		\triangle Rand-PPL \downarrow
$dropout ratio =$	0.1%	0.5%	0.1%	0.5%	0.1%	0.5%	0.1%	0.5%	0.1%	0.5%
GPT2-XL 1.5B										
HARD CONCRETE	-34.6%	$-57.1%$	42.9	74.0	-2.4%	-4.8%	2.5	5.4	0.03	0.11
SLIMMING	-30.5%	-57.8%	37.7	75.4	-3.5%	-6.4%	4.1	7.5	0.02	0.17
ZERO-OUT	$-29.8%$	-46.1%	33.0	55.2	-3.1%	-4.8%	3.5	5.5	0.03	0.09
IG	$-25.8%$	-40.8%	27.0	46.0	-2.2%	-3.4%	2.3	3.7	0.01	0.05
ACTIVATIONS	-14.8%	$-29.5%$	16.9	36.4	-3.0%	$-4.7%$	3.1	5.4	0.11	0.16
RANDOM	-0.2%	-0.5%	0.2	0.4	-0.2%	-0.5%	0.1	0.4	0.00	0.03
Pythia-deduped 2.8B										
HARD CONCRETE	-29.0%	-53.2%	55.3	99.8	-3.7%	-10.5%	7.7	22.1	0.23	0.56
SLIMMING	$-17.4%$	$-45.1%$	32.9	80.8	-3.3%	-7.0%	6.6	13.9	0.26	0.49
ZERO-OUT	$-14.8%$	$-25.9%$	26.4	45.2	-1.1%	$-2.5%$	2.1	5.0	0.21	0.35
IG	$-16.7%$	$-30.3%$	29.1	52.5	-0.9%	-2.1%	1.8	4.4	0.09	0.18
ACTIVATIONS	-13.0%	$-25.5%$	27.5	52.2	-3.1%	-6.1%	6.6	12.9	0.11	0.20
RANDOM	-0.1%	-0.3%	0.1	0.5	-0.1%	-0.3%	0.2	0.5	0.00	0.02
Pythia-deduped 6.9B										
HARD CONCRETE	-29.2%	-57.7%	58.5	109.9	-3.8%	$-14.7%$	8.7	32.6	0.16	0.52
SLIMMING	-24.1%	$-48.7%$	48.8	92.1	$-4.2%$	$-11.3%$	9.1	23.6	0.23	0.58
IG	$-16.9%$	$-32.3%$	31.4	57.8	-2.3%	-4.9%	5.3	11.5	0.27	0.37
ACTIVATIONS	$-11.5%$	-26.8%	25.5	51.5	-2.5%	-8.1%	5.5	17.2	0.12	0.45
RANDOM	-0.1%	-0.2%	0.1	0.4	-0.1%	-0.2%	0.1	0.3	0.00	0.02

Table 3: The DEL Benchmark. HARD CONCRETE is the most effective method in erasing the target sequence (Self), while IG can best maintain the LLM performance on unrelated sequences (Neg and Rand) after dropout.

465 absolute changes (∆) in Accuracy (Acc), Leven-**466** shtein distance (Dist), and perplexity after dropout.

 All methods show evidence of localization. Randomly dropping out the same number of neu- rons (RANDOM) barely changes the LLM behav- ior. In comparison, all five localization methods successfully identify neurons that contribute much more to memorizing the target sequence than to negative examples, showing evidence of their lo-calization ability on real-world memorized data.

 Methods trade off between ∆Self and ∆Neg. We find SLIMMING and HARD CONCRETE much more effective than other methods in erasing the target sequence itself. However, they are worse at preserving LLM memorization of the negative examples and the perplexity of randomly sampled sequences. For example, dropping out 0.5% of GPT2 neurons predicted by SLIMMING decreases Accuracy by 57.8% and increases 75.4 characters in Levenshtein distance on the target sequence, but it also hurts the Accuracy on negative examples by 6.4% and increases Levenshtein distance by 7.5 on average. On the other hand, IG best maintains the performance on negative examples and perplex- ity, but is not as successful in erasing the target sequence itself. Interestingly, although ZERO-OUT assigns the attribution scores with a leave-one-out approach, it does not perform the best on either

target sequences or negative examples, implying **493** that the individual neuron dropout effect does not **494** reliably predict the collective effect of dropping out **495** many neurons at the same time. Overall, it is chal- **496** lenging for methods to effectively and specifically **497** locate the target sequence at the same time. **498**

Two benchmarks are consistent in rankings. **499** The INJ Benchmark, which solely evaluates the **500** injected target sequences,^{[2](#page-6-1)} and the Self- part of the 501 DEL Benchmark show consistent rankings: HARD **502** CONCRETE performs slightly better than SLIM- **503** MING, followed by ZERO-OUT and IG; ACTIVA- **504** TIONS performs the worst but still substantially **505** outperforms RANDOM. This consistency suggests **506** that despite the differences in data and setups, the **507** two benchmarks reflect the same underlying local- **508** ization abilities of different methods. **509**

Which negative examples are forgotten? We 510 analyze how the negative examples affected by **511** dropout are related to the target sequence. Fig- **512** ure [2](#page-7-0) is the confusion matrix on a representative **513** subset of GPT2 memorized data, $\mathcal{Y} \subset \mathcal{X}$, where 514 each row shows how dropping out 0.5% of the neu- **515** rons predicted by HARD CONCRETE on a target **516** sequence changes the Accuracy of every sequence **517** in Y. We group sequences under the same category **518**

²INJ Benchmark does not have negative examples, since we do not have ground-truth neurons of pretrained sequences.

 (see Table [1\)](#page-3-2) in adjacent rows. We find HARD CON- CRETE sometimes confuses related data; for exam- ple, in the Address category consisting of mailing addresses, dropping out the neurons of an address sequence also causes substantial Accuracy drops on other addresses. We also find confusion across the Poems, Shakespeare, and Bible categories of literary sequences. Qualitatively, we found sev- eral web pages containing famous quotes from different poems and books; such co-occurrences may also appear multiple times in GPT2's pretrain- ing corpus and may explain why in Figure [2,](#page-7-0) a small set of neurons affect quotes from different sources. While these findings could suggest that HARD CONCRETE struggles to pinpoint neurons that are specific to a target sequence, it may also be that LLMs actually use a shared set of neurons to memorize several related sequences. Figure [4](#page-17-0) in [A.6](#page-12-0) shows the confusion matrices of other methods 38 **and Figure 5 is the matrix of the entire dataset** χ **.** Both figures share patterns similar to Figure [2.](#page-7-0)

⁵⁴⁰ 6 Related Work and Discussion

 Localization identifies function-specific compo- nents, including neurons [\(Radford et al.,](#page-10-14) [2017;](#page-10-14) [Gurnee et al.,](#page-9-14) [2023\)](#page-9-14), layers [\(Gupta et al.,](#page-9-15) [2023\)](#page-9-15), or subnetworks [\(Csordás et al.,](#page-8-7) [2021;](#page-8-7) [Cao et al.,](#page-8-8) [2021;](#page-8-8) [Foroutan et al.,](#page-8-9) [2022\)](#page-8-9). For example, [Dai et al.](#page-8-4) [\(2022\)](#page-8-4) find knowledge neurons for each relational fact. [Meng et al.](#page-10-1) [\(2022\)](#page-10-1) locate relational facts to middle FFNs, specifically when LLMs process the last token of the subject. [Bayazit et al.](#page-8-10) [\(2023\)](#page-8-10) dis- cover sparse knowledge subnetworks in GPT2 with a differentiable weight masking method. However, there is no standard approach to evaluate the ef- fectiveness of localization methods. We are the first to systematically and directly compare differ- ent methods on LLMs of different sizes, including knowledge neurons (IG) and differentiable mask-ing methods SLIMMING and HARD CONCRETE.

 We take the view that LLM memorization of a sequence is different from learning a type of knowledge. Memorization is reproducing a long sequence (near) verbatim. In contrast, knowledge, often represented as a <subject, relation, object> triplet, occurs in variable contexts, where para- phrases are treated as equivalent expressions of the same knowledge. Localization of verbatim memo- rization helps unlearn private or copyrighted data, for example, [Wu et al.](#page-10-11) [\(2023\)](#page-10-11) apply IG to local-ize and then erase private data from a BERT fine-

Figure 2: The confusion matrix of HARD CONCRETE on a subset of data memorized by GPT2-XL.

tuned on Enron Email dataset [\(Klimt and Yang,](#page-9-16) **569** [2004\)](#page-9-16). Our DEL Benchmark differs from [Wu et al.](#page-10-11) **570** [\(2023\)](#page-10-11) in two main ways: (1) we delete sequences **571** that LLMs have naturally memorized during pre- **572** training, (2) we locate neurons for each sequence **573** independently, rather than finding a shared set of **574** neurons, as our collected datasets cover diverse se- **575** quences. Localization can also prevent overfitting: **576** [Maini et al.](#page-10-15) [\(2023\)](#page-10-15) drop out pre-allocated neurons **577** tied to memorizing mislabeled examples. In con- **578** trast with these works, we focus on benchmarking **579** localization ability, since successful localization is **580** the basis of its downstream applications. **581**

7 Conclusion **⁵⁸²**

We propose two benchmarking approaches to de- **583** fine the success of LLM localization, focusing on **584** locating a small set of neurons in an LLM that are **585** responsible for memorizing a sequence. The INJ **586** Benchmark enables a direct evaluation of localiza- **587** tion methods, while the DEL Benchmark evaluates **588** methods on naturally memorized sequences, using **589** dropout to measure localization success. The two **590** benchmarks complement each other and show con- **591** sistent rankings of methods. We find promising **592** localization ability of all five methods we evalu- **593** ate, especially for HARD CONCRETE. Meanwhile, **594** all methods confuse memorized sequences in the **595** same or related categories. This finding suggests a 596 need for better localization methods and poses the **597** open question of whether LLMs use a shared set of **598** neurons to memorize related sequences such that **599** perfect localization is not possible. **600**

⁶⁰¹ 8 Limitations

 We follow prior work ([§2\)](#page-1-2) and assume that FFNs are the most important components in LLMs for memorizing data; thus, we only study localization in FFNs, not considering other model components such as attention layers. Similarly, we focus on neurons instead of individual weights in FFNs, so as to make fair comparisons with existing methods, IG and ACTIVATIONS.

 In the INJ Benchmark, we assume that all the fine-tuned weights are responsible for memorizing the newly injected sequence. However, there is no guarantee that all of them contribute to memoriza- tion. We roughly address this issue by lowering the injection ratio, which makes it less likely for the model to memorize the injected sequence without using all of the chosen weights; indeed, we observe that when the ratio is $10 \times$ smaller, all localization methods achieve higher recalls in Table [2,](#page-5-0) even though the random baseline performs 10× worse.

 We acknowledge the limitations of evaluating localization in our DEL Benchmark. First, we use dropout (namely, zero ablation) to observe the im- portance of the located neurons, which is only one possible way to approach localization; other ap- proaches such as mean ablation [\(Wang et al.,](#page-10-16) [2023\)](#page-10-16) and path patching [\(Goldowsky-Dill et al.,](#page-9-17) [2023;](#page-9-17) [Hanna et al.,](#page-9-18) [2023\)](#page-9-18) are not covered in this paper. Besides, given a target sequence, we treat all the other memorized sequences as its negative exam- ples without considering semantic overlap or data sources, as our data deduplication only ensures there is little lexical overlap between sequences ([§3.2\)](#page-2-0). However, we find all localization methods show confusion between several quotes, which may share semantic similarities or co-occur in some pre- trained documents. It is debatable whether related examples should be considered negative, and it de- pends on what the goal of localization is. We invite future work to propose new ways to define the suc-cess of localization for the DEL Benchmark.

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923 A Appendix

924 A.1 The Loss for Information Injection

925 In the INJ Benchmark, we use regular language **926** modeling loss to train the LLM θ on a new se-927 quence $x = [x_1, \dots, x_{\tau}]$ of τ tokens. Formally,

928
$$
\frac{1}{\mathcal{T}-1} \sum_{t=2}^{\mathcal{T}} -\log P_{\theta}(x_t | x_{< t}) \tag{13}
$$

 Here, the index t starts from 2, because all the LLMs we use (GPT2 and Pythia models) do not 931 add <bos> tokens to data when doing language modeling in their pretraining. Therefore, there is **no** loss on the first token x_1 and the total loss is **averaged across** $T - 1$ **token. We show the entire** data injection process in Algorithm [1.](#page-15-0)

936 A.2 Details of IG

Recall that a sequence $x = (p, s)$ consists of a **prefix p and a suffix** $s = [s_1, \ldots, s_T]$ **. Denote P** (\hat{h}_t^l) as the LLM output probability of token s_t if we replace the original hidden state at the l-th **layer**, $h_t^{\overline{l}} \in \mathbb{R}^{d_2}$, with a new hidden state $\hat{h}_t^l \in \mathbb{R}^{d_2}$:

942
$$
P(\hat{h}_t^l) = P_\theta(s_t | p, s_{ (14)
$$

 To calculate the integrated gradients along the i-th **helion** neuron dimension, we gradually change \hat{h}_t^l from **a** zero vector^{[3](#page-11-5)} to the original hidden state h_t^l , and 946 cumulating the gradients of $P(\cdot)$ along the *i*-th di-947 mension. Finally, we get the attribution score $\mathcal{A}^l(i)$ by averaging the integrated gradients across the suffix length T:

950
$$
\mathbf{IG}_i(z) := z_i \int_{\alpha=0}^1 \frac{\partial P(\alpha z)}{\partial z_i} d\alpha, \qquad (15)
$$

$$
\mathcal{A}^{l}(i) = \frac{1}{T} \sum_{t=1}^{T} \mathbf{IG}_{i}(h_{t}^{l})
$$
(16)

952 where $IG_i(h_t^l)$ is the integrated gradients along the i-th neuron dimension in the l-th layer at the t-th **here** [t](#page-10-10)imestep, when the input is $[p, s_{lt}]$. [Sundararajan](#page-10-10) [et al.](#page-10-10) [\(2017\)](#page-10-10) compute Riemann sum to approxi- mate Eq. [15,](#page-11-6) which uses a fixed number of inter- vals to approximate the integrals. We closely fol- [l](https://github.com/EleutherAI/knowledge-neurons)ow the implementation of [https://github.com/](https://github.com/EleutherAI/knowledge-neurons) [EleutherAI/knowledge-neurons](https://github.com/EleutherAI/knowledge-neurons).

A.3 Details of SLIMMING **960**

We initialize every mask value m_i^l as 1, which is **961** equivalent to running the pretrained LLM with- **962** out masking. When training the mask, we clip **963** every m_i^l to [0, 1]. Note that for both SLIMMING 964 and HARD CONCRETE, because we are learning a **965** mask on each neuron, we do not apply any random **966** dropout during training. **967**

A.4 Details of HARD CONCRETE **968**

[Louizos et al.](#page-9-12) [\(2018\)](#page-9-12) obtain the hard concrete r.v. **969** \bar{m}_i^l by first stretching the binary concrete r.v. \hat{m}_i^l (Eq. [11\)](#page-5-1) from the interval $(0, 1)$ to (γ, ζ) , where **971** $\gamma = -0.1, \zeta = 1.1$, and then clip the value to the **972** [0, 1] interval: **973**

$$
\bar{m}_i^l = \min\left(1, \max\left(0, \, \hat{m}_i^l \cdot (\zeta - \gamma) + \gamma\right)\right) \tag{974}
$$

970

. **976**

They then use L_0 regularization to encourage spar- 975 sity on the weights after applying the mask \bar{m}^l After reparametrization, they have the regulariza- **977** tion $\mathcal{R}(\bar{m}^l)$:): **978**

$$
\mathcal{R}(\bar{m}^l) = \sum_{i=1}^{d_2} \sigma\left(\log m_i^l - C\right), \qquad (17) \qquad \qquad \text{979}
$$

where $C = \beta \log \frac{-\gamma}{\zeta}$ is a constant.

A.5 Computation costs of different methods **981**

Among all five localization methods, ACTIVA- **982** TIONS is the most computationally efficient, be- **983** cause Eq. [8](#page-4-1) only requires one forward pass. Both **984** the pruning-based methods SLIMMING and HARD **985** CONCRETE perform fast, as only the masks are **986** trainable. Calculating integrated gradients (IG) is **987** time-consuming, while ZERO-OUT is the worst, **988** because it leaves out every neuron one by one. **989** We compare the computational cost of different **990**

	Time
ACTIVATIONS	~ 0.3 sec
SLIMMING	\sim 12 sec
HARD CONCRETE	\sim 1 min
IG.	\sim 43 min
ZERO-OUT	\sim 8.5 hr

Table 4: The elapsed time of different methods to do localization (i.e., assign attribution scores to every neuron) on one sequence memorized by Pythia-6.9B. We time all methods on a single RTX A6000 GPU.

³We follow [Dai et al.](#page-8-4) [\(2022\)](#page-8-4) to set the *baseline* in integrated gradients to a zero vector that has the same shape as h_t^l .

1047

 methods on one sequence memorized by Pythia- deduped-6.9B, where each sequence in the col-993 lected set X consists of a 32-token prefix and a 48-token suffix. We follow the common imple- mentation that sets the number of intervals to 20 for Riemann sum in IG. Table [4](#page-11-7) shows the elapsed time calculated on an RTX A6000 48G GPU. When running IG and ZERO-OUT we patch and batch the activations to reach 99% GPU utilities. Still, apply- ing ZERO-OUT to do localization on one sequence 1001 costs 8.5 hours, and χ contains 500 sequences in total. Due to the extremely heavy computation cost, we do not have the results of ZERO-OUT on Pythia-6.9B in the DEL Benchmark.

1005 A.6 Details of Data Collection

1007

1006 We show some collected examples in Tables [8](#page-15-1) & [9.](#page-16-0)

	Acc	Dist	PPL.	Len
GPT2-XL	99.3%	0.48	10.18	150
Pythia-deduped-2.8B	98.8%	1.07	5.58	160
Pythia-deduped-6.9B	99.7%	0.20	5.24	167

Table 5: Quantifying memorization of the collected datasets. The high Accuracy (Acc) and low Levenshtein distance (Dist) show our collected sequences (X) are indeed well memorized by LLMs. The last column (Len) reports the average suffix length of each dataset at the character level. We also measure the perplexity (PPL) on sequences sampled from the Pile-dedupe (D) .

 The pretrained sequences of Pythia models. EleutherAI releases the exact batches used by Pythia models during pretraining, where each se-**quence in a batch consists of 20[4](#page-12-2)9 tokens⁴. We** first randomly downsample the pretraining batches 1013 to a subset $\mathcal Z$ of 102400 sequences. Then, we use our criteria in [§3.2](#page-2-0) to determine whether Pythia memorizes a sequence in the subset. After filtering, 1016 there remain 500 ∼ 1000 sequences in the subsets for both Pythia-deduped-2.8B and Pythia-deduped- 6.9B; we simply sample 505 of them respectively as our collected datasets.

 We also randomly sample a subset of 2048 se- quences (D), each consisting of 128 tokens, to mea- sure the perplexity of all LLMs we evaluate. We **ensure that** $Z \cap D = \emptyset$, so there is no overlap between the collected memorized sequences and sequences for perplexity.

> 4 [https://github.com/EleutherAI/pythia#](https://github.com/EleutherAI/pythia#exploring-the-dataset) [exploring-the-dataset](https://github.com/EleutherAI/pythia#exploring-the-dataset)

Filtering with greedy decoding. Given the prefix p as the prompt to the LLM, we generate the suf- 1027 $fix \overline{s} = [\overline{s_1}, \ldots, \overline{s_{48}}]$ with greedy decoding, where 1028

$$
\bar{s}_t = \underset{w \in \text{Voc}}{\text{argmax}} \ P_\theta(w|p, \bar{s}_{
$$

[W](#page-9-19)e then calculate the Levenshtein distance [\(Leven-](#page-9-19) 1030 [shtein,](#page-9-19) [1965\)](#page-9-19) between the true suffix s and the gen- 1031 erated one \bar{s} , filtering out sequences with a distance 1032 greater than 20 characters. Note \bar{s} is different from 1033 \hat{s} in Eq [4,](#page-3-0) which is generated by teacher-forcing 1034 and is used to calculate memorization scores. **1035**

Deduplication. Although we use the dedupli- 1036 cated version of the dataset and models, the Pile- **1037** dedupe and Pythia-deduped models, we still find **1038** lots of near-duplicated sequences. Thus, we further 1039 deduplicate the collected memorized sequences. In 1040 particular, we follow [Lee et al.](#page-9-20) [\(2022\)](#page-9-20) to repre- **1041** sent each sequence with a set of 5-grams when **1042** calculating the Jaccard index. Among a set of du- **1043** plicates, we select the one that is best memorized, **1044** i.e., having the lowest Levenshtein distance on the **1045** generated suffix $\bar{s_t}$ (Eq. [18\)](#page-12-3), and discard the others. **1046**

Manually searched data. With our searching **1048** criteria in [§3.2,](#page-2-0) we can only identify less than **1049** 10 memorized sequences from subsets of the Pile- **1050** dedupe, Common Crawl, and Wikipedia, probably **1051** because OpenAI carefully preprocesses the data be- **1052** fore training GPT2-XL. Thus, we actively search **1053** for potentially memorized data, such as famous po- **1054** ems and common lists of sorted items. We collect **1055** 105 sequences memorized by GPT2-XL and manu- **1056** ally categorize them (see Tables [1](#page-3-2) & [8\)](#page-15-1), including **1057** 31 examples from [Carlini et al.](#page-8-1) [\(2021\)](#page-8-1). We set the **1058** prefix and suffix of a sequence by trial and error **1059** to ensure high memorization Accuracy. Unlike au- **1060** tomatic searches that tend to find templated texts **1061** [o](#page-10-17)r uninteresting data with repetitive tokens [\(Zhang](#page-10-17) **1062** [et al.,](#page-10-17) [2023\)](#page-10-17), our manual search ensures better data **1063** quality and enables us to analyze memorization **1064** within and across categories. **1065**

In particular, Figures [4](#page-17-0) & [5](#page-18-0) show that different **1066** localization methods constantly confuse sequences **1067** of related categories. For example, they are unable **1068** to disentangle neurons of different quotes and iden- **1069** tify a small set of neurons responsible for both the **1070** order of Zodiac Signs and the order of Planets. **1071**

Responsible checklist. Note the Contact Info **1072** category of our manually collected dataset only **1073**

Figure 3: The DEL Benchmark results of ZERO-OUT, IG, and ACTIVATIONS methods when dropping out the same number of neurons in a single layer, where the blue lines show Δ Self-Acc and the red lines show Δ Neg-Acc. Under the same "neuron budget", dropping out neurons in multiple layers (blue dashed lines) substantially outperforms dropout in a single layer, implying that the memory of a piece of data is distributed over layers. Besides, dropping out neurons in the bottom layer greatly hurts the memorization of negative examples (red lines), suggesting that the bottom layer encodes general information.

 contains public data, such as mailing addresses of corporate headquarters and famous buildings; thus, it does not have any potential risk of reveal- ing private information. Similarly, our memorized datasets for Pythia models are a subset of the Pile, a public corpus under the MIT License.

1080 A.7 Hyperparameters

 In the INJ Benchmark, the ECBD-2021 set con- tains 156 definition sequences. For the DEL Benchmark, we collect a set of 505, 505, and 105 sequences memorized by Pythia-deduped-6.9B, Pythia-deduped-2.8B, and GPT2-XL, respectively. For each set, we sample 5 sequences as the dev set, using the dev set performance to determine the hyperparameters for each LLM. The hyperparame- ters include the integrated gradient steps, i.e., the number of intervals in Riemann sum for integral **approximation in IG; the temperature** β **and the** initialization value of parameters m in HARD CON- CRETE; the learning rate, the number of training **epochs, and** λ **, which balances the memorization** loss and the sparsity loss, in SLIMMING and HARD CONCRETE. We observe that both SLIMMING and HARD CONCRETE are sensitive to the choice of hyperparameters. On the other hand, we find the performance of IG does not improve when using

more integrated gradient steps, where we experi- **1100** ment with different steps ranging from 20 to 300. **1101** Thus, we set the step to 20 for all examples to **1102** reduce the heavy computation costs. **1103**

A.8 Dropping out neurons in a single layer **1104**

For the DEL Benchmark, we study the alternative 1105 that dropping out the same number of neurons in **1106** a single layer to understand the individual effect **1107** of each layer. Specifically, in [§5.2,](#page-5-2) a method pre- **1108** dicts top-k% of neurons in *every* layer after the **1109** bottommost layer. Thus, we have a "budget" of **1110** $N = k\% \times 6400 \times 47$ neurons for GPT2-XL, which 1111 has 6400 neurons in each FFN layer and 48 layers **1112** in total. In this section, we drop out the top- N 1113 neurons in a single layer in terms of the attribution **1114** scores assigned by a method. **1115**

Figure [3](#page-13-2) illustrates the absolute change in Ac- 1116 curacy when dropping out the top- N neurons in a 1117 layer, where the neurons are predicted by ZERO- **1118** OUT, IG, and ACTIVATIONS methods, respectively. **1119** The horizontal dashed lines show the results we re- **1120** port in Table [3](#page-6-0) for comparison. First, we find that **1121** dropping out the same number of neurons in mul- **1122** tiple layers is much more efficient in erasing the **1123** target sequence, as the blue dashed line shows a **1124** greater decrease in Accuracy compared with drop- **1125**

	GPT2 124M		GPT2-XL 1.5B			Pythia-deduped 2.8B			Pythia-deduped 6.9B			
	R@1%	R@2%	R@5%	R@1%	R@2%	R@5%	R@1%	R@2%	R@5%	R@1%	R@2%	R@5%
ratio = 1%												
HARD CONCRETE	46.6	66.8	88.0	21.8	25.1	32.8	33.3	48.4	70.7	31.5	47.5	69.4
SLIMMING	43.1	64.6	79.9	5.2	11.5	27.0	33.6	47.3	59.8	35.0	49.6	63.4
ZERO-OUT	24.0	36.8	52.7	4.2	7.3	13.5	10.1	14.3	20.5	$\overline{}$	$\overline{}$	-
IG	10.3	18.1	36.3	1.4	4.8	12.2	6.1	10.8	21.1	8.9	13.9	24.1
ACTIVATIONS	2.5	4.4	9.8	1.5	2.8	6.8	3.2	5.1	21.6	4.1	6.3	17.4
RANDOM	1.0	2.0	5.0	1.0	2.0	5.0	1.0	2.0	5.0	1.0	2.0	5.0
ratio = 0.1%	$@0.1\%$	$@0.2\%$	$@0.5\%$	$@0.1\%$	$@0.2\%$	$@0.5\%$	$@0.1\%$	$@0.2\%$	$@0.5\%$	$@0.1\%$	$@0.2\%$	$@0.5\%$
HARD CONCRETE	51.2	77.4	96.4	49.8	57.5	63.6	45.6	65.5	85.9	28.7	40.7	55.8
SLIMMING	62.7	87.0	95.4	18.1	35.1	54.0	45.0	62.6	73.6	39.1	52.1	64.3
ZERO-OUT	57.4	81.7	91.9	14.7	20.9	31.1	16.4	20.6	25.8	$\overline{}$	$\overline{}$	
IG.	36.0	55.0	75.5	2.5	3.5	6.0	12.6	16.4	21.9	19.7	23.6	28.9
ACTIVATIONS	9.0	12.9	23.4	3.5	4.6	6.7	8.0	16.8	40.5	21.2	31.4	50.2
RANDOM	0.1	0.2	0.5	0.1	0.2	0.5	0.1	0.2	0.5	0.1	0.2	0.5

Table 6: The INJ Benchmark. The average Reacall@k% of different methods when predicting top-k% of neurons *across* layers. The results are consistent with Table [2,](#page-5-0) where methods predict top-k% of neurons in each layer.

 ping out neurons in a single layer. Dropping out 1127 N neurons in multiple layers (Self-0.1\% 47 layers) 1128 even outperforms dropping out $5 \times N$ neurons in a single layer (Self-0.5% 1 layer), suggesting that the storage of a piece of memory is distributed over layers instead of concentrating in a single layer.

 The only exception is dropping out neurons in the bottommost layer, where Layer 1 decreases more than multiple layers in Self-Acc; however, it also greatly hurts Neg-Acc, the memorization of negative examples. Layer 2 shows a similar but slighter trend. The large decreases in memorization accuracy on all sequences suggest that the bottom layers of LLMs mainly work on processing basic syntactic information [\(Tenney et al.,](#page-10-18) [2019\)](#page-10-18) or en- coding general concepts, instead of focusing on a specific sequence.

 We do not have the single-layer results of SLIM- MING and HARD CONCRETE, because both meth- ods train the masks of all neurons jointly, which requires us to retrain the masks only on a single layer to obtain its attribution scores. In comparison, the other three methods in Figure [3](#page-13-2) consider each neuron individually, allowing us to use the same attribution scores we have in [§5.2](#page-5-2) to select neurons in a single layer and make direct comparisons with the values in Table [3.](#page-6-0)

1153 A.9 Predicting top neurons across layers

 In the INJ Benchmark, we randomly sample weights across layers to inject the data, instead of sampling a fixed percentage of weights per layer (see Algorithm [1\)](#page-15-0). Hence, it may seem more natu-ral to predict top-k% of neurons across layers; we

experiment with this alternative in Table [6.](#page-14-1) **1159**

Comparing the results of Table [2](#page-5-0) and Table [6,](#page-14-1) we **1160** find that predicting top neurons per layer outper- **1161** forms predicting top neurons across layers. This **1162** is because all localization methods assign larger **1163** attribution scores to neurons in the bottom layers, **1164** barely predicting neurons in the upper layers if we **1165** rank neurons globally. On the other hand, Table [2](#page-5-0) **1166** and Table [6](#page-14-1) show consistent results. Our findings **1167** and the ranking of different methods are coherent **1168** whether we rank neurons per layer or globally. 1169

A.10 Implementation Details **1170**

Table [7](#page-14-2) summarizes the architectures of LLMs we **1171** use. We run most experiments on RTX3090 24G 1172 GPUs; experiments involving Pythia-6.9B are run **1173** on RTXA6000 48G GPUs. We use transformers **1174** 4.31.0 and pytorch 1.13.

Table 7: The number of layers and the number of FFN neurons in each layer of different LLMs.

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Algorithm 1 Information Injection

Input: The set of new sequences $\mathcal{X}_{\text{ECBD}} = \{x_i\}_{i=1}^N$; pretrained LLM θ with L layers; injection ratio r **Output:** The set of fine-tuned LLMs $\mathcal{M} = {\tilde{\theta}_i}_{i=1}^N$

Initialize $M \leftarrow \emptyset$. for $i \leftarrow 1$ to N do $\tilde{\theta}_i \leftarrow \theta$ // Initialize from pretrained weights. Retrieve all the FFN weight vectors $\Phi_i = {\mathbf{v}}_1^l, \dots, {\mathbf{v}}_{d_2}^l \}_{l=1}^L$ from layers l of $\tilde{\theta}_i$. Set the random seed to i. $\phi_i \leftarrow$ Randomly sample $r\%$ of weight vectors from Φ_i . $\# \phi_i \subset \Phi_i \subset \tilde{\theta}_i$ Fine-tune ϕ_i with the language modeling loss on x_i (Eq. [13\)](#page-11-0) with remaining weights $\tilde{\theta}_i \setminus \phi_i$ frozen. $\mathcal{M} \leftarrow \mathcal{M} \cup \tilde{\theta_i}.$ end for return M

Table 8: Examples of our manually collected data. The prompt (prefix) is colored in brown. The numbers are the Accuracy (Eq. [5\)](#page-3-1) of GPT2-XL on memorizing the sequences, where 100% Accuracy means the true suffix can be fully reconstructed with greedy decoding.

Table 9: Examples of memorized sequences we collect from the Pile-dedupe. The prompt (prefix) is colored in brown. The numbers are the Accuracy (Eq. [5\)](#page-3-1) of Pythia on memorizing the sequences, where 100% Accuracy means the true suffix can be fully reconstructed with greedy decoding.

Figure 4: Confusion matrices of localization methods on a subset of sequences memorized by GPT2-XL, where each row/column represents a sequence. Different methods show similar patterns of confusion.

Figure 5: Confusion matrix of HARD CONCRETE on the entire test set memorized by GPT2-XL. Each row shows how dropping out the predicted neurons (0.5%) on a target sequence changes the Accuracy of all sequences. HARD CONCRETE is unable to disentangle neurons of different quotes, including poems, Bible, books, and some famous people quotes. Also, it finds a small set of neurons responsible for memorizing both the order of Zodiac Signs and the order of Planets.