## ECLM: Entity Level Language Model for Spoken Language Understanding with Chain of Intent

**Anonymous ACL submission** 

#### Abstract

001 Large Language Models (LLMs) have shown remarkable success in language generation, 002 demonstrating broad competence across differ-004 ent tasks. However, their direct application 005 to spoken language understanding (SLU) remains challenging. This is particularly true for token-level tasks, where the autoregressive 007 architecture of LLMs can lead to error propagation and misalignment problems. In this paper, we present the Entity-level Language 011 Model (ECLM) framework for SLU, which addresses these challenges by transforming the 012 traditional token-level slot-filling task into an entity recognition problem. In addition, we propose a novel concept, "Chain of Intent", which enables LLMs to effectively handle multi-intent recognition in a step-by-step manner. Our experiments demonstrate that ECLM achieves 019 substantial improvements over state-of-the-art pre-trained models like Uni-MIS, with overall accuracy gains of 3.7% on the MixATIS dataset and 3.1% on the MixSNIPS dataset. Moreover, the ECLM framework surpasses conventional supervised fine-tuning of LLMs, delivering im-024 provements of 8.5% and 21.2% on MixATIS and MixSNIPS, respectively.

#### 1 Introduction

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The rapid advancement of large language models (LLMs) has markedly accelerated progress in the field of natural language processing (NLP) (Geogle., 2023; Touvron et al., 2023). Trained on extensive datasets, these models demonstrate exceptional performance across a wide range of NLP tasks, including natural language inference, summarization, and dialog systems, often achieving impressive results through in-context learning alone (Kavumba et al., 2023; Hu et al., 2022).

Spoken language understanding (SLU) is a critical component of task-oriented dialog systems, which are designed to construct a semantic frame that accurately captures the user's request. This

Intent	Weather Inquiry			TP			Navigation							
	,. <u> </u>		t			†	~				.t.,			
Utterance	Ge	et	the	weat	her	and		drive		to	tł	ne	airport	
	<u> </u>						1							
Slot	C	)	0	B-V	νT	0		0		0	(	C	B-LOC	

Figure 1: An example with Multi-Intent SLU, where B-WT donates B-Weather, B-LOC donates B-Location and "TP" denote "Transition Point".

semantic frame is typically built through two subtasks: intent detection, which identifies the user's intent, and slot filling, which extracts relevant semantic elements. Given the close interdependence of these sub-tasks (Tur and Mori, 2011), state-ofthe-art SLU systems often employ joint models to effectively capture the correlations between them (Goo et al., 2018; Qin et al., 2019). 042

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In real-life scenarios, users often express multiple intents within a single utterance, and the Amazon internal dataset showed that 52% of examples are multi-intent (Gangadharaiah and Narayanaswamy, 2019). Figure 1 shows a twointent example, which contains a classification task to classify the intent labels (i.e., predict the intents as: Weather\_Inquiry and Navigation) and a sequence labeling task to predict the slot label sequence (i.e., label the utterance as {0, 0, B-WT, 0, 0, 0, 0, B-LOC }). To deal with multi-intent scenarios, an increasing number of studies have begun to focus on modeling SLU in multi-intent settings. Xu and Sarikaya (2013) and Kim et al. (2017) first explored the multi-intent SLU. Then Oin et al. (2020a, 2021b) incorporated graph attention networks to model fine-grained intent-slot guiding. Recently, Huang et al. (2022) proposed a chunk-level intent detection (CLID) framework to split multi-intent into single-intent with an intent transition point. Furthermore, Yin et al. (2024) develop an united multi-view intent-slot interaction framework(Uni-MIS), achieving promising performance.

Whether LLMs can effectively handle multi-

intent SLU remains an open question. While a straightforward approach might involve fine-tuning LLMs for this specific task, several challenges persist. For example, although LLMs exhibit strong capabilities in entity-level intent detection, their autoregressive architecture can lead to issues such as error propagation and misalignment, particularly in token-level slot filling tasks. This is because LLMs may generate undesirable outputs that do not align one-to-one with the original tokens from the utterance.

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To address these challenges, we introduce a novel method that leverages the strengths of LLMs for multi-intent SLU by transforming the traditional token-level slot-filling task into an entity detection problem. By shifting the focus to entitylevel slot detection, LLMs can concentrate on identifying relevant slot labels without the need to label every token within a sentence. This approach effectively mitigates the issues of misalignment and uncontrolled generation length. Moreover, we propose the concept of a chain of intent, inspired by the chain-of-thought reasoning framework (Wei et al., 2022). This strategy enhances the ability of LLMs to differentiate and separate multi-intent utterances into distinct sub-intent segments, enabling the models to handle multi-intent recognition in a systematic, step-by-step manner.

Our experimental results demonstrate that ECLM achieves substantial improvements over state-of-the-art pre-trained models, such as Uni-104 MIS. Specifically, ECLM achieves overall accuracy 105 gains of 3.7% on the MixATIS dataset and 3.1% on the MixSNIPS dataset. Furthermore, the ECLM framework surpasses conventional supervised fine-108 tuning of LLMs, delivering improvements of 8.5% 109 and 21.2% in overall accuracy on MixATIS and 110 MixSNIPS, respectively. In terms of slot filling 111 F1 score, ECLM outperforms vanilla LLM fine-112 tuning by 22% and 8.1%. We also conduct fur-113 ther experiments to evaluate the performance of 114 ECLM across different numbers of intents within 115 the datasets. Our model consistently outperforms 116 Uni-MIS in overall accuracy across all settings, par-117 ticularly in scenarios with a high number of intents, 118 showing improvements of 1.1%, 4.3%, and 7.8% 119 for intent counts ranging from 1 to 3. Addition-120 ally, we find that ECLM requires only 60% of the 121 data to surpass Uni-MIS, with more training fur-122 ther enhancing its performance. In summary, the 123 contributions of this work can be outlined as fol-124

lows: (1) We design an entity-slot framework that 125 transforms the traditional token-level slot-filling 126 task into an entity detection problem, thereby mit-127 igating issues of misalignment and uncontrolled 128 generation length. (2) We introduce the chain of 129 intent concept, which enables LLMs to effectively 130 handle multi-intent recognition in a step-by-step 131 manner. (3) We demonstrate that our proposed 132 model, ECLM, outperforms strong baselines on 133 two widely used datasets, MixATIS and MixSNIPS, 134 across the majority of metrics. 135

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#### 2 **Problem Definition**

## 2.1 Multi-Intent Detection

Given an input sequence  $x = (x_1, \ldots, x_n)$ , multiintent detection can be defined as a multi-label classification task that outputs a sequence of intent labels  $o_I = (o_1^I, \ldots, o_m^I)$ , where *m* is the number of intents in a given discourse and *n* is the length of the discourse.

## 2.2 Slot Filling

Slot filling can be considered as a sequence annotation task that maps the input discourse x to a slot output sequence  $o_S = (o_1^S, \ldots, o_n^S)$ .

## **3** Approach

As depicted in Figure 2, our methodology establishes a comprehensive framework for integrating large language models (LLMs) into the domain of multi-intent spoken language understanding (SLU). The left side of the figure illustrates the prompt structure used for training ECLM, alongside standard supervised fine-tuning (SFT) prompts. On the right, we present an example of the ECLM training process, highlighting the key components: the Entity Slot and the Chain of Intent. Finally, we perform supervised fine-tuning to adapt the LLM to the multi-intent SLU task.

#### **3.1 Entity Slots Construction and Recovery**

Our approach introduces a novel two-phase process: Entity Slots Construction for training, and Entity Slots Recovery for inference, designed to bridge the gap between traditional sequence labeling and the generative capabilities of large language models (LLMs).

#### 3.1.1 Entity Slots Construction

In the Entity Slots Construction phase, we transform conventional BIO sequence labeling into a



Figure 2: Brief introduction of the workflow of ECLM. The left shows the prompt structure for ECLM training and vanilla SFT prompts. The right illustrates an example training process of ECLM.

171structured entity-slot representation, optimizing for172generative modeling with LLMs. Given a token se-173quence  $T = \{t_1, t_2, \dots, t_n\}$  and its corresponding174BIO-annotated slots  $S = \{s_1, s_2, \dots, s_n\}$ , we map175these to a set of entity slots  $E = \{e_1, e_2, \dots, e_m\}$ ,176where m is the number of identified entities. This177mapping is defined by a function c as follows:

$$c(T,S) = \left\{ \left(k_i, \bigcup_{j \in I_i} t_j\right) \right\}_{i=1}^m, \qquad (1)$$

where  $k_i$  is the entity type derived from the 'B-' tag, and  $I_i$  is the index set of tokens corresponding to the *i*-th entity, identified by contiguous 'B-' and 'I-' tags in S. This function systematically extracts and maps each entity in S, ensuring all tokens related to each entity are correctly grouped and labeled.

#### 3.1.2 Entity Slots Recovery

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During the inference stage, we implement an Entity Slots Recovery process to convert the generated structured entity slots back into a BIO-tagged sequence. This recovery process, defined by a function r, can be expressed as:

$$r(T, E) = \{s_1, s_2, \dots, s_n\},$$
 (2)

where  $s_j$  is determined for each token  $t_j$  based on its presence in the entity slots E. The recovery follows these rules: (1). If  $t_j$  is the first token of an entity in E,  $s_j$  is assigned a 'B-' tag with the corresponding entity type. (2). If  $t_j$  is a non-initial token of an entity in E,  $s_j$  is assigned an 'I-' tag with the corresponding entity type. (3). If  $t_j$  does not belong to any entity in E,  $s_j$  is assigned an 'O' tag.

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#### 3.2 Chain of Intent

To effectively manage the complexity of multiintent spoken language understanding, we propose a novel framework termed the "Chain of Intent," inspired by the "Chain of Thought" reasoning process (Wei et al., 2022). This framework enhances the model's ability to discern and process multiple intents within a single utterance by segmenting it into distinct sub-intent utterances, enabling more granular understanding and response generation.

Consider an utterance U consisting of n intents. Each intent  $I_i$  (where i = 1, 2, ..., n) corresponds to a specific segment of the utterance  $U_i$ . The process of decomposing the utterance U can be formally expressed as a mapping:

$$U \mapsto \{ (I_1 : U_1), (I_2 : U_2), \dots, (I_n : U_n) \} \quad (3)$$

Here, the structured pairs  $(I_i : U_i)$  represent each intent  $I_i$  paired with its associated sub-utterance  $U_i$ . During training, the model is presented with this mapping to learn the relationship between each intent and its corresponding segment of the utterance, 224

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thereby improving its ability to generate contextually accurate and intent-specific responses.

#### 3.3 Supervised Fine-tuning

We employ supervised fine-tuning to enhance the generative capabilities of LLMs, ensuring they meet the structured requirements of multi-intent spoken language understanding (SLU). This process involves adjusting the model parameters  $\theta$  to minimize a loss function  $\mathcal{L}$  across a set of training examples. Given a training set  $\{(U_i, T_i)\}_{i=1}^M$ , where  $U_i$  represents the *j*-th input utterance and  $T_i$ denotes the corresponding target output, including segmented sub-intents and entity slots, the finetuning objective is defined as:

$$\theta^* = \arg\min_{\theta} \sum_{j=1}^{M} \mathcal{L}\left(\text{LLM}(U_j; \theta), T_j\right) \quad (4)$$

Here,  $LLM(U_j; \theta)$  represents the output generated by the LLM given the input  $U_j$  with parameters  $\theta$ . The supervised fine-tuning process iteratively updates  $\theta$  to more accurately map input utterances  $U_i$ to their corresponding intent and entity slot outputs  $T_i$ , thereby improving the model's effectiveness in multi-intent SLU tasks.

#### **Experiments** 4

#### 4.1 Datasets

We conducted experiments on two widely used multi-intent SLU datasets: MixATIS (Hemphill et al., 1990; Oin et al., 2020a) and MixSNIPS (Coucke et al., 2018; Qin et al., 2020a). The Mix-ATIS dataset contains 13,162 training instances and 828 test instances, primarily focusing on airlinerelated queries. In contrast, the MixSNIPS dataset spans a broader range of domains, including restaurants, hotels, and movies, with 39,776 training instances and 2,199 test instances. These datasets are designed to mimic real-world scenarios, featuring utterances with 1 to 3 intents, distributed in ratios of 30%, 50%, and 20%, respectively and detail information can be found in Table 1.

#### 4.2 Experimental Settings

We utilize Llama3.1-8B-Instruct as base model and our experiments were conducted with a carefully selected set of hyperparameters. We employed FlashAttention v2 to optimize memory usage and 265 accelerate training. To determine the optimal settings, we performed a grid search over the learning rate  $[1 \times 10^{-5}, 2 \times 10^{-5}, 5 \times 10^{-5}, 1 \times 10^{-4}]$  and the number of epochs [1, 2, 3]. Based on the results, we settled on a learning rate of  $2 \times 10^{-5}$  and a batch size of 32, tuning the model for 1 epoch on both datasets. During inference, a generation temperature of 0.0 was used to ensure deterministic and consistent outputs.

Dataset	MixATIS	MixSNIPS
Vocabulary Size	722	11241
Intent categories	17	6
Slot categories	116	71
Training set size	13162	39776
Test set size	828	2199

Table 1: Dataset statistics

#### 4.3 **Baselines**

In our study, we benchmark LLMs performance against a range of established baselines in the multiintent SLU domain. These include vanilla models like Stack-Propagation (Qin et al., 2019): a stack-propagation framework to explicitly incorporate intent detection for guiding slot filling. AGIF (Qin et al., 2020b): an adaptive interaction network to achieve fine-grained multi-intent information integration, GL-GIN (Qin et al., 2021b): a local slot-aware and global intent-slot interaction graph framework to model the interaction between multiple intents and all slots within an utterance, SDJN (Chen et al., 2022): a multiple instance learning and self-distillation framework for weakly supervised multiple intent information capturing, CLID (Huang et al., 2022): a chunk-level intent detection framework for recognizing intent within a fragment of an utterance and SSRAN (Cheng et al., 2023): a transformative network built on the Transformer model, designed to reduce the complexity of multi-intent detection in SLU through scope recognition and bidirectional interaction between results of slot filling and intent detection. We also included PLM-based models such as Uni-MIS (Yin et al., 2024): a unified multi-intent slu framework via multi-view intent-slot interaction. Additionally, SDJN(Bert) and CLID(Roberta) extend their respective base models by incorporating pre-trained language model backbones.

#### 4.4 Main Result Analysis

The evaluation metrics included slot F1 score, intent accuracy and semantic accuracy to compre271 272 273

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Model		MixATIS	5	MixSNIPS			
Widder	Slot(F1)	Intent(Acc)	Overall(Acc)	Slot(F1)	Intent(Acc)	Overall(Acc)	
Stack-Propagation (Qin et al., 2019)	87.8	72.1	40.1	94.2	96.0	72.9	
AGIF (Qin et al., 2020b)	86.9	72.2	39.2	93.8	95.1	72.7	
GL-GIN (Qin et al., 2021b)	87.2	75.6	41.6	93.7	95.2	72.4	
SDJN (Chen et al., 2022)	88.2	77.1	44.6	94.4	96.5	75.7	
CLID (Huang et al., 2022)	88.2	77.5	49.0	94.3	96.6	75.0	
SSRAN (Cheng et al., 2023)	89.4	77.9	48.9	95.8	98.4	77.5	
SDJN + Bert	87.5	78.0	46.3	95.4	96.7	79.3	
RoBERTa+Linear	86.0	80.3	48.4	96.0	97.4	82.1	
CLID + Roberta	85.9	80.5	49.4	96.0	97.0	82.2	
Uni-MIS (Yin et al., 2024)	88.3	78.5	52.5	96.4	97.2	83.4	
ECLM (Ours)	90.2	80.7	56.2*	97.0	97.0	86.5*	

Table 2: Multi-Intent SLU performance on MixATIS and MixSNIPS datasets. Values with \* indicate that the improvement from our model is statistically significant over all baselines (p < 0.05 under t-test).

Model		<b>MixATIS Dat</b>	taset	MixSNIPS Dataset			
IVIOUCI	Slot(F1)	Intent(Acc)	Overall(Acc)	Slot(F1)	Intent(Acc)	Overall(Acc)	
ECLM (Ours)	90.2	80.7	56.2	97.0	97.0	86.5	
-w/o Entity Slot	73.5	78.7	54.9	92.7	97.6	69.7	
-w/o Chain of Intent	89.4	82.6	52.9	96.8	98.0	85.1	
-w/o Both (Vanilla SFT)	68.2	74.0	47.7	88.9	97.4	65.3	

Table 3: Ablation experiments on the MixATIS and MixSNIPS datasets. Interestingly, we observe that entity slots play a more significant role in the MixSNIPS dataset compared to MixATIS, while the chain of intent does not explicitly improve intent accuracy but instead enhances overall performance.

hensively assess the sentence-level semantic frame parsing capabilities. These metrics, adhering to the methodologies delineated by Qin et al. (2021b); Huang et al. (2022); Yin et al. (2024) facilitate a nuanced evaluation of SLU systems. The paramount metric, semantic overall accuracy, quantifies the system's proficiency in simultaneously and correctly predicting both intents and slots within a single sentence.

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Our main experiments yield several important 317 observations: (1) As shown in Table 2, ECLM 318 outperforms the strong baseline in slot filling F1 319 scores in both datasets. This improvement indicates that the ECLM interaction effectively utilises 321 entity slots to improve it's slot filling ability. (2) For the single-domain MixATIS dataset, ECLM 323 outperforms Uni-MIS with a 1.9 % point improve-324 ment in slot filling F1 scores (90.2%), a 2.2 % 325 point improvement in intent prediction accuracy (80.7%), and a 3.7 % point improvement in over-327 all sentence-level semantic frame parsing accuracy (56.2%). For the multi-domain MixATIS dataset, 329 ECLM outperforms Uni-MIS by 0.6 % points in 330 slot-filling F1 score (97.0%) and 3.1 % points in 331 overall sentence-level semantic frame parsing accuracy (86.5%). These results highlight the competitive advantage of robust language models in multiintent SLU tasks. (3) Importantly, our framework achieves state-of-the-art performance for most evaluation metrics, highlighting a promising research direction for multi-intent SLU using LLM-based methodologies. 334

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#### 4.5 Ablation Study

To understand the impact of key components in ECLM, we conducted ablation experiments on the MixATIS and MixSNIPS datasets. As shown in Table 3, the results illustrate the contribution of entity slots and the chain of intent to overall performance.

#### 4.5.1 Without Entity Slot

Removing the entity slot significantly reduces performance, with a drop of 16.7 % in slot F1 score and 1.3 % points in overall accuracy on MixATIS. Similarly, on MixSNIPS, we observe a drop of 4.3 % in slot F1 score, and the overall accuracy decreases by 16.8 %. This highlights the crucial role of entity slots in maintaining high performance. Especially in the multi-domain dataset MixSNIPS, the absence of entity slots may cause significant misalignment, as the majority of slot labels are "O". This could lead to the model incorrectly labeling

Model		intent num	= 1		intent num	= 2	intent num = 3		
	Slot(F1)	Intent(Acc)	Overall(Acc)	Slot(F1)	Intent(Acc)	Overall(Acc)	Slot(F1)	Intent(Acc)	Overall(Acc)
GL-GIN	88.0	91.3	72.6	87.3	76.2	39.1	86.8	63.1	23.0
CLID	88.6	94.7	76.4	88.1	77.5	48.4	87.6	64.3	28.5
CLID + Roberta	88.6	95.8	77.6	85.4	80.3	48.8	84.7	66.8	29.0
Uni-MIS	89.2	95.1	78.6	87.6	78.3	50.5	86.7	66.7	31.7
ECLM(Ours)	92.1	93.7	79.7	90.3	79.4	54.8	90.3	70.0	39.5

Table 4: The result comes from the dataset MixATIS. The intent num denotes the number of intents in an utterance.



Figure 3: Performance of ECLM on the MixATIS and MixSNIPS datasets at different training data proportions

words as "O" rather than their corresponding slot tags.

## 4.5.2 Without Chain of Intent

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Eliminating the chain of intent structure leads to a 0.8 % point drop in slot F1 score and a 3.3 % decline in overall accuracy on MixATIS. On MixS-NIPS, the overall accuracy decreases by 1.4 %, emphasizing the importance of intent chaining in enhancing the model's semantic understanding. However, we observe that the improvement in intent detection accuracy is less pronounced, suggesting that the chain of intent mainly contributes to the joint effect and compromises some intent accuracy.

### 4.5.3 Without Both (Vanilla SFT)

When both components are removed, the performance suffers dramatically. The slot F1 score drops by 22.0 % and the overall accuracy by 8.5 % on MixATIS. The MixSNIPS dataset also shows a significant decrease, with the overall accuracy dropping by 21.2 %. This indicates that the Vanilla SFT method cannot effectively adapt LLMs to this domain.

#### **5** Further Exploration

#### 5.1 Influence of Different Intent Numbers

The analysis of MixATIS dataset results, categorized by the number of intents as shown in Table 4, reveals significant insights into the performance of our ECLM model compared to baseline approaches. For single-intent utterances, ECLM 386 achieves superior performance with a slot F1 score 387 of 92.1% and overall accuracy of 79.7%, outper-388 forming the strong Uni-MIS over Uni-MIS (89.2% and 78.6% respectively). As the complexity in-390 creases with multi-intent scenarios, ECLM's ad-391 vantages become more pronounced. In two-intent 392 cases, ECLM maintains its lead with a slot F1 of 393 90.3% and overall accuracy of 54.8%, showing a 394 substantial improvement over Uni-MIS (87.6% and 395 50.5% respectively). The performance gap widens 396 further for three-intent utterances, where ECLM 397 achieves a slot F1 of 90.3%, intent accuracy of 398 70.0%, and overall accuracy of 39.5%, significantly 399 surpassing Uni-MIS (86.7%, 66.7%, and 31.7% re-400 spectively). This consistent outperformance, partic-401 ularly in challenging multi-intent scenarios, under-402 scores ECLM's robustness and efficacy in handling 403 complex spoken language understanding tasks. The 404 results demonstrate ECLM's capacity to maintain 405 high performance across varying levels of intent 406 complexity, indicating its potential as a versatile 407 solution for advanced SLU systems. 408

#### 5.2 Influence of Training Data Ratio

Figure 3 illustrates the impact of varying training410data volumes on ECLM's performance, focusing411on overall semantic accuracy across the MixATIS412and MixSNIPS datasets. We systematically ad-413justed the training data ratios at 0.2, 0.4, 0.6, 0.8,414and 1.0 to assess model proficiency under different415



Figure 4: Comparative analysis of ECLM and vanilla SFT performance on a complex multi-intent utterance, highlighting ECLM's superior slot filling capabilities and the limitations of LLMs in token-level tagging tasks.

data availability scenarios. The results demonstrate 416 a consistent positive correlation between the data 417 ratio and performance improvements across both 418 datasets. For MixATIS, ECLM's semantic accu-419 racy rises from 46.7% at 0.2 data ratio to 56.2% 420 at full data utilization, surpassing the Uni-MIS 421 baseline (52.5%) with just 60% of the training 422 data. Similarly, on MixSNIPS, ECLM's perfor-423 mance increases from 77.6% to 86.5%, exceeding 424 the Uni-MIS benchmark (83.4%) also at approxi-425 mately 60% data ratio. Notably, ECLM exhibits 426 robust performance even with limited data, achiev-427 ing competitive results at lower data ratios. The 428 429 performance gains are more pronounced in the MixSNIPS dataset, suggesting ECLM's particu-430 lar effectiveness in multi-domain scenarios. As 431 the data ratio approaches 1.0, the performance im-432 provement rate gradually stabilizes, indicating a 433 potential plateau effect at higher data volumes. 434

# 5.3 Influence of Different Backbone LLMs in the ECLM Framework

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Table 5 presents a comparative analysis of overall accuracy across various large language models (LLMs) when integrated into our ECLM framework, evaluated on both the MixATIS and MixS-NIPS datasets. The results demonstrate a clear progression in performance as we move towards more advanced LLM architectures. Llama2-7B-Chat, while competent, shows the lowest performance with overall accuracies of 48.2% and 81.5% on MixATIS and MixSNIPS respectively. Mistral-7B-Instruct-v0.1 exhibits a notable improvement, achieving 50.1% and 83.9% on the same datasets, highlighting the rapid advancements in LLM capabilities. The Llama3.1 series showcases significant performance gains. The base Llama3.1-8B model achieves impressive results of 55.6% and 85.9% on MixATIS and MixSNIPS, respectively. However, the instruction-tuned variant, Llama3.1-8B-Instruct, emerges as the top performer, reaching 56.2% accuracy on MixATIS and 86.5% on MixS-NIPS. The superior performance of Llama3.1-8B-Instruct underscores the importance of instruction tuning in enhancing model capabilities for specific tasks like multi-intent SLU. This model's consistent outperformance across both datasets justifies its selection as the default backbone for our ECLM framework.

Model	MixATIS	MixSNIPS
Llama2-7B-Chat	48.2	81.5
Mistral-7B-Instruct-v0.1	50.1	83.9
Llama3.1-8B	55.6	85.9
Llama3.1-8B-Instruct	56.2	86.5

Table 5: The impact of different backbone LLMs Integrated into the ECLM Framework.

## 5.4 Case Analysis

As illustrated in Figure 4, we present a comparative analysis of ECLM and vanilla LLM-based SFT approaches on a complex multi-intent utterance. The example, "what movie theatre is showing if the huns came to melbourne", demonstrates the superior performance of ECLM in handling intricate spoken language understanding tasks. Both ECLM and vanilla SFT correctly identify the primary intent as "SearchScreeningEvent". However, the critical distinction emerges in the slot

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filling task. ECLM accurately labels each token, 475 precisely identifying "movie theatre" as the "ob-476 ject\_location\_type" and "if the huns came to mel-477 bourne" as the "movie\_name". In contrast, the 478 vanilla SFT model, despite its correct intent clas-479 sification, exhibits significant errors in slot filling. 480 The vanilla SFT incorrectly labels "what" as part of 481 the "object\_location\_type" and mistakenly extends 482 the "movie\_name" to include "showing". This mis-483 alignment highlights a fundamental limitation of 484 autoregressive LLMs in token-level tagging tasks. 485 The sequential nature of their predictions can lead 486 to error propagation and misalignment with the 487 original utterance tokens. 488

## 6 Related Work

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#### 6.1 Intent Detection and Slot Filling

The inherent interconnected of intent detection and slot filling has spurred the development of unified models that foster mutual interaction between the two elements. Joint learning techniques, acknowledging the potent correlation between intents and slots, have proven particularly efficacious in recent years. Certain methodologies facilitating simultaneous slot filling and intent detection employ shared parameters (Liu and Lane, 2016; Wang et al., 2018; Zhang and Wang, 2016), while others model the relationship between the two via either unidirectional interaction or bidirectional-flow interaction (Qin et al., 2021c). Models adopting unidirectional interaction, such as those by (Goo et al., 2018; Li et al., 2018; Qin et al., 2019), primarily emphasize the flow from intent to slot. Gating mechanisms, functioning as specialized guiding forces for slot filling, have seen extensive use (Goo et al., 2018; Li et al., 2018). Qin et al. (2019) put forth a token-level intent detection model to curtail error propagation. Bidirectional-flow interaction models (E et al., 2019; Zhang et al., 2019; Liu et al., 2019; Qin et al., 2021a), on the other hand, examine the reciprocal influence of intent detection and slot filling. E et al. (2019) utilized iterative mechanisms to enhance intent detection and slot filling in both directions. Fine-grained intent detection and intent-slot interaction models have also seen remarkable advancements. Chen et al. (2022) developed a Self-distillation Joint SLU model exploitating multi-task learning, and treated multiple intent detection as a weakly-supervised problem solved through Multiple Instance Learning (MIL). Similarly, Huang et al. (2022) introduced a chunklevel intent detection framework that employs an auxiliary task to pinpoint intent transition points within utterances, thereby augmenting the recognition of multiple intents. Furthermore, Cheng et al. (2023) proposed a transformative network rooted in the Transformer model, designed to diminish the complexity of multi-intent detection in SLU. Recently, Yin et al. (2024) further develop an united multi-view intent-slot interaction framework(Uni-MIS), archiving promising performance. 525

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#### 6.2 Open Source Large Language Models

The advent of open-source Large Language Models (LLMs) such as Llama2 (Touvron et al., 2023), Vicuna (Peng et al., 2023), and Mistral (Jiang et al., 2023) has dramatically reshaped the landscape of Natural Language Processing. These models, characterized by their vast parameter spaces and diverse training corpora, have significantly expanded the capabilities and applications of NLP technologies. The rapid evolution of LLMs has accelerated progress across a broad spectrum of NLP tasks, including natural language inference, summarization, and dialogue systems (Geogle., 2023; Kavumba et al., 2023). Complementing these advancements, the "Chain of Thought" method (Wei et al., 2022) has emerged as a pivotal technique in enhancing the reasoning capabilities of LLMs. This approach enables models to break down complex problems into interpretable steps, significantly improving performance on tasks requiring multi-step reasoning or complex problem-solving.

#### 7 Conclusion

In this paper, we introduced the Entity-level Large Language Model framework ECLM for multiintent spoken language understanding. By transforming token-level slot-filling into an entity recognition problem and introducing the "Chain of Intent" concept, we effectively addressed the challenges of applying LLMs to SLU tasks. Our approach significantly outperformed state-of-theart models, including Uni-MIS and conventional LLM fine-tuning, on the MixATIS and MixSNIPS datasets. ECLM demonstrated robust performance across various intent counts, particularly excelling in complex multi-intent scenarios.

#### 8 Limitations

(1) *Scaling up Model Size of ECLM*: Due to computational resource constraints, we were unable to

experiment with ECLM models larger than 8 bil-573 lion parameters. However, we believe that scaling 574 to larger model sizes could potentially yield further improvements in performance. Recent trends in 576 language model research suggest that larger models often demonstrate enhanced capabilities across various NLP tasks. Future work with access to more substantial computational resources could explore the impact of increased model size on ECLM's performance in multi-intent SLU tasks. 582 (2) Prospects for Improvement through Data Cu-583 ration and Prompt Optimization: Our current re-584 search framework does not extend to the advanced 585 strategies of selective data curation or intricate prompt engineering. Recognizing this as a limitation, we propose that future investigations will embrace these crucial techniques.

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