Non-Autoregressive Neural Machine Translation with Consistency Regularization Optimized Variational Framework

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Abstract

Variational Autoencoder (VAE) is an effec-002 tive way to model the interdependency for Non-autoregressive neural machine translation (NAT). LaNMT, a representative VAE-based latent-variable NAT framework achieves great 006 improvements to vanilla models, but still suf-007 fers from two main issues which lower down the translation quality: (1) mismatch between training and inference circumstances and (2) inadequacy of latent representations. In this work, we target on addressing these issues by proposing posterior consistency regularization. 013 Specifically, we first apply stochastic data augmentation on the input samples to better adapt 014 015 the model for inference circumstance, and then perform consistency training on posterior la-017 tent variables to train a more robust posterior network with better latent representations. Experiments on En-De/De-En/En-Ro benchmarks confirm the effectiveness of our methods with about 1.3/0.7/0.8 BLEU points improvement to the baseline model with about $12.6 \times$ faster than autoregressive Transformer.

1 Introduction

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Neural Machine Translation (NMT) achieves great success in recent years, and typical sequence-tosequence frameworks like Transformer (Vaswani et al., 2017) achieved state-of-the-art performance on the task of NMT. In this framework, source sentences are translated in an autoregressive (AT) manner where each token is generated depending on previously generated tokens, inevitably, such sequential decoding strategy result in a high inference latency. To alleviate this issue, Non-autoregressive translation (NAT; Gu et al., 2018) was proposed to speed-up decoding procedure by generating target tokens in parallel. However, the translation quality of vanilla NAT is compromised, one of the most significant problem is multi-modality and it usually results in multiple translation results, duplicate or missing words in target sentences of NAT models

(Gu et al., 2018). This situation results from the conditional independence proposed by NAT, since models are trained to maximize the log-probability of target tokens at each position while the interdependency is omitted.

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The key to alleviate the multi-modality issue is performing dependency reduction (Gu and Kong, 2021) by modeling the target dependency information implicitly or explicitly so decoder can ease the difficulty of learning and capturing the information between target tokens and generate more accurate translations. For example, Ghazvininejad et al. (2019) (2020b) and Guo et al. (2020) model the target dependency by providing observed target tokens in training and performing iterative inference. Ran et al. (2021) generates intermediate representations by permuting the source sentences in the target order. Libovicky and Helcl (2018) aligns model outputs with target tokens implicitly by applying Connectionist Temporal Classification (CTC; Graves et al., 2006).

Previous works have validated the effectiveness of applying Variational Autoencoder (VAE) on AT (Zhang et al. 2016; McCarthy et al. 2019; Su et al. 2018) and NAT (Kaiser et al. 2018; Shu et al. 2020) frameworks to alleviate multi-modality issue. A representative NAT model is LaNMT¹(Shu et al., 2020) which encodes the source and target tokens into intermediate Gaussian distribution latent variables and outperforms vanilla NAT with about 5.0 BLEU points on WMT14 En-De task with $12.5 \times$ speedup to base Transformer. However, there exists a slight lag behind the state-of-the-art fully NAT models. It may be attributed to two reasons: (1) The inadequate representations of latent variables which are low in dimensions (4 to 32 is recommended). This is significantly lower than the model's hidden size (512) while high-capacity latent variables conversely deteriorate the performance because the minimization between prior

¹https://github.com/zomux/lanmt

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and posterior becomes difficult (Shu et al., 2020).
(2) The mismatch between training and inference circumstances that the posterior module receives the gold sentence as inputs during training but imperfect initial translation instead during inference. Thus, in this paper, we aim to improve the robustness of the latent representation and move the training circumstance close to inference circumstance.

To this end, we apply consistency regularization over the posterior network to improve its robustness for better latent representations since the posterior is the key module that both encoder and decoder are relying on its latent representations during training. To cooperate with consistency regularization, and simultaneously, close the gap between training and inference circumstances for better refinement from imperfect initial translations during inference, four data augmentation methods are adopted to work together. Specifically, we first apply stochastic data augmentation methods e.g. Cutoff (Shen et al., 2020) to inject stochastic noises in posterior inputs x and y to get two different views. Both views are then forwarded to the posterior network for two latent variables z_1 , z_2 . As these two latent variables are derived from the same pair of input xand y, the gap between them is trained to be minimised by consistency regularization. Meanwhile, posterior module receives noisy views instead of gold samples during training, it is more adaptive to the inputs with imperfect initial translations in inference.

We verified the performance and effectiveness of our methods on WMT14 En-De, De-En and WMT16 En-Ro benchmarks. Our methods outperform the latent variable baseline with about 1.3/0.7/0.8 BLEU points improvement on three benchmarks. With these improvements, we achieve the comparable performance to the state-of-the-art fully NAT approaches: 25.47/30.23/31.56 BLEU scores on WMT14 En-De/De-En/WMT16 En-Ro with similar decoding speed, and it can be improved further with latent search. The contributions of our work can be summarized as follows:

> • To achieve better latent representations, we propose posterior consistency regularization on the posterior latent variables, which improves the translation quality by training a more robust posterior network.

• To alleviate the mismatch between training and inference circumstances and cooperate

with posterior consistency regularization, we apply four data augmentation methods where all of them benefit to the translation quality. 132

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• We show our strategy is capable of improving the translation quality of the base latentvariable NAT model to be comparable with the state-of-the-art fully NAT frameworks.

2 Background

2.1 Non-Autoregressive Translation

Traditional sequence-to-sequence NMT models generate target sentences in an autoregressive manner. Specifically, given a source sentence x, AT frameworks model the conditional probability of $y = \{y_1, y_2, \dots, y_{l_y}\}$ by the following form:

$$\log p(y|x) = \sum_{i=1}^{l_y} \log p(y_i|y_{< i}, x)$$
 (1)

where $y_{\langle i}$ indicates the target tokens already generated before y_i . Hence, the target tokens are generated sequentially which results in a high decoding latency. To alleviate this issue, vanilla NAT (Gu et al., 2018) breaks the conditional dependency by conditional independence assumption so that all tokens can be generated independently. Following its probability form:

$$\log p(y|x) = \sum_{i=1}^{l_y} \log p(y_i|x)$$
 (2)

where each target token y_i now only depends on the source sentence x. Benefit from the parallel computing capability of hardware accelerators like GPU or TPU, all tokens can be generated with one iteration in an ideal circumstance.

2.2 Latent-Variable Model

We mainly focus on performing optimization on the variational NAT framework proposed by Shu et al. (2020). The network architecture is constructed by four main components. An encoder $p_{\omega}(z|x)$ encodes the source representation of input x and computes the prior latent variable. An approximate posterior network $q_{\phi}(z|x, y)$ accepts both the source sentence x and target sentence y as the input and computes the posterior latent variable. A length predictor $p(l_y|z)$ predicts the length of target sentence y, and finally a decoder $p_{\theta}(y|x, z, l_y)$ with a length transform module to transform the

174 latent variables z to the target length l_y at first and 175 reconstruct y from z with the source representa-176 tions of x. Note that the l_y here is the gold length 177 in training. Hence, the training objective is aiming 178 to maximize the evidence lowerbound (ELBO):

$$\mathcal{L}(x, y; \phi, \theta, \omega) = \mathbb{E}_{z \sim q_{\phi}}[\log p_{\theta}(y|x, z, l_y) + \log p(l_y|z)] - KL[q_{\phi}(z|x, y)| |p_{\omega}(z|x)]$$
(3)

where the latent variables z is constrained with the same length as x and the value is modeled as spherical Gaussian distribution. KL denotes Kullback-Leibler divergence.

2.3 Consistency Regularization

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Consistency regularization is considered as an effective method on semi-supervised learning to capture the potential features from unlabeled samples (Sajjadi et al., 2016; Laine and Aila, 2017; Tarvainen and Valpola, 2017; Xie et al., 2020). It is also utilized as a complementary regularization tool with other regularization methods to prevent model from overfitting (Liang et al., 2021). In a nutshell, consistency regularization assumes a well trained model should be robust enough to any small changes in the input samples or hidden states and generate invariant outputs (Xie et al., 2020). To this end, it regularizes model's final outputs to be invariant to input samples with small stochastic noises injected by minimizing the gap between two augmented views of one sample.

In this paper, we focus on a sub-module of the variational model and apply consistency regularization on it instead of the whole network. Along with data augmentation for noise injection, consistency regularization is capable to improve the representation of this module and result in better translation quality.

3 Approach

The posterior module is considered to train with consistency regularization and data augmentation for better translation quality. In this section, we will introduce the details of our method, including the overall network architecture, the objective and procedure of training with consistency regularization, four data augmentation methods and three decoding strategies applied for inference.

3.1 Model Architecture

We follow the variational model architecture proposed by Shu et al. (2020) with four main components: encoder, posterior, length predictor and



Figure 1: The overall pipeline of training with posterior consistency regularization

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decoder module. Since we apply consistency regularization on the posterior, an additional stochastic data augmentation module is added for noise injection on posterior input samples. With two augmented views derived from one sample, each sample thus appears twice in a training batch. Figure 1 shows the brief model architecture and training pipeline of our work. The part in the dashed box is the major difference to the base model.

3.2 Posterior Consistency Regularization

As discussed above, consistency regularization is applied on the posterior module to improve its robustness. Given a training sample with a pair of source sentence $x = \{x_1, x_2, \dots, x_{l_x}\}$, and target sentence $y = \{y_1, y_2, \dots, y_{l_y}\}$, we first apply data augmentation on both x and y twice to inject stochastic noises and obtain two different views. Both views are forwarded to the posterior network $q_{\phi}(z|x, y)$ to predict the mean and variance vectors of two latent variables z_1 and z_2 . Since the latent variables derive from the same input sample, the consistency regularization method tries to minimize the difference between these two latent vari-



Figure 2: Four stochastic data augmentation methods we used for noise injection

ables by measuring bidirectional *KL*-divergence as follows:

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$$\mathcal{L}_{cons} = \frac{1}{2} (KL(z_1||z_2) + KL(z_2||z_1)), \quad (4)$$

Combining with the basic negative log-likelihood (NLL) objective on the decoder, since there are two different *z* for the same sample, it is evaluated by averaging them:

$$\mathcal{L}_{nll} = -\frac{1}{2} (\log p_{\theta}(y|x, z_1, l_y) + \log p_{\theta}(y|x, z_2, l_y))$$
(5)

Note that the gold length l_y of target sentence y is used which is known during training. Similarly, the objective of the length predictor is calculated by:

$$\mathcal{L}_{len} = -\frac{1}{2} (\log p(l_y | z_1) + \log p(l_y | z_2))$$
 (6)

To back propagate the gradient information from the decoder and length predictor to posterior, reparameterization trick is applied to sample z from q_{ϕ} where $z = \mu + \theta * \mathcal{N}(0, 1)$ in Eq.(5) and (6). Here, μ and θ indicate mean and variance vector. For encoder, it not only generates representations of source sentence x but also computes the prior latent variables. Thus, we close the KL-divergence between prior and two posterior latent variables by:

$$\mathcal{L}_{prior} = \frac{1}{2} (KL(z_1||z_p) + KL(z_2||z_p)), \qquad (7)$$
$$z_p = p_{\omega}(z|x)$$

Finally, to achieve the similar goal of maximizing (3), we minimize the loss function by combining (4), (5), (6) and (7) as follows:

$$\mathcal{L}_{loss} = \mathcal{L}_{nll} + \mathcal{L}_{len} + \mathcal{L}_{prior} + \alpha \mathcal{L}_{cons} \qquad (8)$$

where α here is the only hyperparameter to weight the consistency regularization loss.

3.3 Data Augmentation Methods

Given an embedding matrix $\mathbb{R}^{L \times d}$ with L tokens embedded into d-dimensions vectors, to generate different views of each sample for the posterior network inputs and perform consistency regularization on the posterior network, as well as to close the gap between training and inference circumstances, we explore four data augmentation methods for this purpose including dropout, feature cutoff, token cutoff and replacement as presented in Figure 2. 273

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Dropout Dropout (Srivastava et al., 2014) is widely used as a regularization method to prevent neural networks from overfitting. But in this paper, we found that it is also an effective data augmentation method for noise injection. Specifically, we randomly choose values on token embeddings by a specific proportion and force them to zero.

Cutoff This is a simple but effective augmentation method proposed by Shen et al. (2020). The cutoff methods we adopted include token cutoff and feature cutoff. For token cutoff, a specific proportion of tokens are chosen from the token dimension L and dropped by setting the vectors to zero. For feature cutoff, the dropped values are chosen from feature dimension d instead.

Replacement This is similar to the token replacement adopted by BERT pre-training (Devlin et al., 2019) where the chosen token vectors are replaced by the embedding of new tokens that randomly selected from the vocabulary instead of setting them to zero or any special tokens directly.

3.4 Decoding Strategies

Non-refinement For this strategy, we completely follow the original design (Shu et al., 2020) where

the posterior network is discarded since the target 307 sentence y is unknown during inference. The fore-308 most step is to obtain the representations of x and 309 the prior latent variable z from encoder with source input x. The latent variable is then used to determine the target length and generate target sentence. 312 Note that to avoid randomness during inference, z313 is set to its mean value μ instead of reparameteriza-314 tion sampling. This can be summarized as follows: 315

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$$\mu_0 = \lim_{p_\omega(z|x) \lfloor z \rfloor},$$

$$l_{y_0} = \arg\max_{l_y} \log p(l_y|z = \mu_0), \quad (9)$$

$$y_0 = \arg\max_{y} \log p_\theta(y|x, l_{y_0}, z = \mu_0)$$

Deterministic Refinement The posterior net-318 work q_{ϕ} can be reused to take refinement on the initial output y_0 above. However, its original design allows iterative refinement with multiple steps which sacrifices huge cost in decoding speed for a tiny quality improvement. Thus, we consider refinement for one step only in this paper:

$$\mu_1 = \mathbb{E}_{q_{\phi}(z|x,y_0)}[z],$$

$$l_{y_1} = \arg\max_{l_y} \log p(l_y|z=\mu_1), \quad (10)$$

$$y_1 = \arg\max_y \log p_{\theta}(y|x,l_{y_1},z=\mu_1)$$

Here the y_1 is the final output after refinement.

Latent Search Since reparameterization is disabled in above two strategies to generate deterministic results, it is also capable to search the best latent variable from Gaussian distribution. Specifically, m prior latent variables are sampled by reparameterization and decoded in parallel, result in m target candidates for each source sentence. To get the best result, we select the candidate with the highest score by averaging the log-probability of tokens as the final output. This is different from Shu et al. (2020) or Noisy Parallel Decoding (NPD; Gu et al. 2018) which rescore the candidates by autoregressive teacher and cuts the decoding speed by half, our no-rescoring strategy is still effective and much faster.

4 **Experiments**

In this section, we will introduce the settings of our experiments, report the main results and compare our model to the representative NAT frameworks. Our experiments mainly focus on (1) the improvement benefit from our optimization to for-347 mer VAE-based NAT model. (2) The effectiveness 348 of consistency regularization and different data augmentation methods.

Experimental Setup 4.1

Dataset Three of the commonly used machine translation benchmarks are adopted to evaluate our proposed method: WMT14 English<->German² (En-De and De-En, 4.5M) and WMT16 English->Romanian³ (En-Ro, 610K). We follow previous works' data preprocessing configurations to preprocess the data (En-De: Shu et al., 2020, En-Ro: Ghazvininejad et al. 2019). To learn the subword vocabulary, we apply SentencePiece (Kudo and Richardson, 2018) to generate joint subword vocabulary of 32K tokens for each dataset respectively.

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Knowledge Distillation Following previous studies on NAT that models are trained on distilled data generated by autoregressive teacher, we also apply sentence-level knowledge distillation for all datasets to obtain less noisy and more deterministic data. In this work, Transformer (Vaswani et al., 2017) with base settings is adopted and reproduced as the teacher model for data distillation.

Implementation Details The model is trained by the objective function illustrated on Eq.(8). To avoid posterior collapse, freebits annealing (Chen et al., 2017) is applied on KL terms in Eq.(7) to keep a distance between prior and posterior. Its threshold is fixed to 1 for the first half training steps, and linearly decay to 0 on the second half. For both dataset, we train the model with a batch size of approximate 40K tokens for overall 100K steps on four Tesla V100 GPUs and continue to fine-tune it for additional 20K steps with freebits annealing disabled.

For network settings, we use 6 layers encoder and decoder with $d_{model}/d_{feedforward}$ = 512/2048. Following Shu et al. (2020), the posterior network contains 3 transformer layers and the dimension of latent variable is set to 8. We set dropout between attention layers with rate of 0.1/0.3 for WMT14 En<->De and WMT16 En->Ro respectively and label smoothing rate $\epsilon =$ 0.1 on the target tokens. Models are trained by Adam (Kingma and Ba, 2015) with settings of $\beta = (0.9, 0.98)$ and $\epsilon = 1e - 4$. We use the same strategy as Vaswani et al. (2017) to schedule the learning rate and set warm-up steps to 4000. To obtain the final model, we average 5 best checkpoints chosen by validation BLEU score. By default, we set rate of 0.3/0.2/0.1/0.2 for four data augmenta-

²https://www.statmt.org/wmt14/

³https://www.statmt.org/wmt16/

	Models	Iter.	WMT14 En-De	WMT14 De-En	WMT16 En-Ro	Speed
AT	Transformer (Vaswani et al., 2017)	Ν	27.30	/	/	/
	Transformer (ours)	Ν	27.18^{*}	31.28^{*}	33.73^{*}	$1.0 \times$
Iterative	NAT-IR (Lee et al., 2018)	10	21.61	25.48	29.32	$1.5 \times$
	CMLM (Ghazvininejad et al., 2019)	10	27.03	30.53	33.08	$1.7 \times$
NAT	LevT (Gu et al., 2019)	Adv.	27.27	/	/	$4.0 \times$
	JM-NAT (Guo et al., 2020)	10	27.69	32.24	33.52	$5.7 \times$
Fully NAT	Vanilla-NAT (Gu et al., 2018)	1	17.69	21.47	27.29	$15.6 \times$
	Imitate-NAT (Wei et al., 2019)	1	22.44	25.67	28.61	$18.6 \times$
	FlowSeq (Ma et al., 2019)	1	23.72	28.39	29.73	$1.1 \times$
	NAT-DCRF (Sun et al., 2019)	1	23.44	27.22	/	$10.4 \times$
	BoN (Shao et al., 2020)	1	20.90	24.60	28.31	$10.7 \times$
	AXE (Ghazvininejad et al., 2020a)	1	23.53	27.90	30.75	/
	GLAT (Qian et al., 2021)	1	25.21	29.84	31.19	$15.3 \times$
	Reorder-NAT (Ran et al., 2021)	1	22.79	27.28	29.30	$16.1 \times$
	SNAT (Liu et al., 2021)	1	24.64	28.42	32.87	$22.6 \times$
Baselines and Ours	LT (Kaiser et al., 2018)	/	19.80	/	/	3.8 imes
	LaNMT (Shu et al., 2020)	1	22.20	26.76^{*}	29.21^{*}	$22.2 \times$
	+ refinement	2	24.10	29.47^{*}	30.76^{*}	$12.5 \times$
	+ latent search w/ rescoring	2	25.10	/	/	6.8 imes
	Ours, decode w/o refinement	1	23.67	27.39	29.90	$25.6 \times$
	+ latent search (m=9) w/o rescoring	1	24.89	30.11	31.40	$21.1 \times$
	+ latent search (m=19) w/o rescoring	1	25.20	30.70	31.65	$17.6 \times$
	decode w/ refinement	2	25.47	30.23	31.56	$12.6 \times$
	+ latent search (m=9) w/o rescoring	2	26.02	31.23	32.50	$11.0 \times$

Table 1: BLEU scores and speedup rates for performance comparison on WMT14 En-De, De-En and WMT16 En-Ro benchmarks without rescoring. We report the best scores here among all tested combinations of data augmentation methods with consistency regularization. **Iter.** denotes the number of iterations during inference. **Adv.** means adaptive. / denotes the value is not reported, * denotes the results obtained by our implementation.

tion methods: dropout, feature cutoff, token cutoff and token replacement respectively with the weight term $\alpha = 0.1$ at Eq.(8).

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Evaluation For all benchmarks, we use sacre-BLEU⁴ (Post, 2018) to evaluate BLEU score of translation results. Following Lee et al. (2018) and Shu et al. (2020), repetition tokens are removed before generating the final outputs for evaluation. The results of latent search is obtained by the mean score of 5 independent runs on the test set of each benchmark to get more precise measures since reparameterization causes randomness in decoding.

To evaluate the decoding speed, following previous works (e.g. Gu et al. 2018, Lee et al. 2018), models are run on WMT14 En-De test set with batch size of 1 under the environment with one GPU only. The mean value of decoding latency

⁴https://github.com/mjpost/sacrebleu

among all samples is collected and represent as the decoding speed. Meanwhile, base Transformer is reproduced and evaluated on the same machine to obtain the speed up rates. 416

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Baselines We set former VAE based NAT frameworks proposed by Kaiser et al. (2018) and Shu et al. (2020) as the main baselines to present the improvement of our method. We also compare our model with other representative NAT and AT frameworks. The performance measures including BLEU score and speedup rate of other models are directly obtained from the figures reported on their original paper, while some unreported measures are obtained by our implementation.

4.2 Results and Analysis

The main results on the benchmarks are illustrated431on Table 1, we report the best scores of our experi-432

ments among different tested combinations of data 433 434 augmentation methods with consistency regularization. As the performance measure shown in Ta-435 ble 1, our methods significantly outperform former 436 VAE-based baselines, with about 5.6 BLEU points 437 improvement to the discrete latent variable model 438 (Kaiser et al., 2018) and 1.4/1.3, 0.6/0.7, 0.7/0.8 439 points improvement on non-refinement/refinement 440 decoding to continuous latent variable baseline 441 (Shu et al., 2020) on WMT14 En-De, De-En and 442 WMT16 En-Ro benchmarks without latent search. 443 All measures indicate that our posterior consistency 444 regularization method greatly enhances the robust-445 ness of the VAE-based model and results in an 446 improved translation quality. 447

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Comparing to other representative AT and NAT models, our method shows the superiority of decoding speed to AT and iterative NAT models while there are only about 2 BLEU points lag behind. With the refinement decoding, our model also achieves a comparable translation quality to the state-of-the-art fully-NAT approaches with similar decoding latency.

The results of latent search is encouraging. Benefit from the parallel computing capability of GPU, latent search sacrifices very small decoding speed to achieve about 0.5/1.0/0.9 BLEU improvements for refinement decoding and 1.2/2.7/1.5 BLEU improvements for non-refinement decoding on WMT14 En-De / De-En / WMT16 En-Ro benchmarks with m = 9.

464 **Effectiveness of Data Augmentation Methods** In this work, we adopt four different data augmen-465 tation strategies as the stochastic noise injection 466 method to cooperate with consistency regulariza-467 468 tion. To evaluate their effectiveness and the impact for translation quality, all data augmentation meth-469 ods are tested with the default configurations on 470 all of the benchmarks. The results are reported on 471 Table 2. The method we adopt combining poste-472 rior consistency regularization with data augmen-473 tation is effective and capable to achieve higher 474 BLEU scores than the baseline. Specifically, to-475 ken replacement achieves the highest score on all 476 of benchmarks with refinement decoding since the 477 posterior network is trained on sentences with in-478 correct tokens, this is more similar to the inference 479 circumstance. With the non-refinement decoding. 480 non of the methods can dominate all benchmarks 481 since the posterior is discarded. 482

	Method	En-De	De-En	En-Ro
ent	Baseline	24.10	29.47^{*}	30.76^{*}
w/ refineme	Dropout	25.08	29.74	30.85
	Token Cutoff	25.06	30.05	31.34
	Feat. Cutoff	25.13	29.58	30.95
	Token Repl.	25.33	30.23	31.56
w/o refinement	Baseline	22.20	26.76^{*}	29.21*
	Dropout	23.25	26.93	29.40
	Token Cutoff	23.67	27.18	29.55
	Feat. Cutoff	23.51	26.92	29.90
	Token Repl.	22.98	27.39	29.68

Table 2: BLEU scores for baseline and our models with different data augmentation methods. * denotes the results obtained by our implementation. **Baseline** indicates Shu et al. (2020)

Method	$\alpha = 0$	0.1	0.2
Baseline		24.10	
Dropout	24.76	25.08	24.84
Token Cutoff	24.82	25.06	25.17
Feature Cutoff	24.82	25.13	25.14
Token Repl.	25.05	25.33	25.47

Table 3: BLEU scores on WMT14 En-De for baseline and our methods with different weight α for consistency regularization objective. Specially, $\alpha = 0$ indicates training with consistency regularization disabled.

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Effectiveness of Consistency Regularization Consistency regularization should work together with stochastic data augmentation which is widely known as a trick to train robust neural networks (Shorten and Khoshgoftaar 2019; Shen et al. 2020). Thus, to confirm that the model is not just benefit from data augmentation only but the contribution of posterior consistency regularization, we disable the consistency regularization module by setting $\alpha = 0$ at Eq.(8) and train the model with four data augmentation methods respectively on WMT14 En-De dataset. The results illustrate on Table 3. Without consistency regularization, the data augmentation methods still result in improvement to baseline, but a slight lag is exist behind the model with consistency regularization enabled. In other words, consistency regularization can improve the translation quality further. Thus, it is confirmed that consistency regularization is effective and capable to train a more robust latent representation in this work. Besides, with different weights for consistency regularization objective term, the best

505 α for cutoff and replacement is 0.2 and dropout is 506 0.1 on WMT14 En-De in our experiments.

Effect of Augmentation Rate To investigate the 507 impact of augmentation rate, we train the models by 508 different augmentation rates with default $\alpha = 0.1$ 509 on WMT14 En-De dataset. Results are illustrated 510 511 on Table 4. The best augmentation rate is different for each augmentation methods. According 512 to this experiment, 0.1/0.2 (or 0.3) is the best for 513 token and feature cutoff. Token replacement behaves similarly to token cutoff (both are token-level 515 516 augmentation) but the best rate is completely different. It could be attribute to the mechanism that 517 model can potentially learn from the incorrect to-518 kens and revise them, which mostly benefits to the 519 inference where there are massive incorrect tokens 520 from initial translations on refinement decoding. 521 However, token cutoff simply zero-out the tokens 522 during training, since there is no blank token in 523 initial decoding outputs, a higher rate may con-524 versely enlarge the mismatch between training and 525 inference.

Method	rate = 0.1	0.2	0.3
Token Cutoff	25.06	24.98	24.54
Feature Cutoff	24.93	25.13	25.13
Token Repl.	25.26	25.33	25.22

Table 4: Effect of the rate for augmentation methods

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Tradeoff between Speed and Quality The tradeoff between the speedup rate and translation quality on WMT14 En-De dataset is shown in Figure 3. We draw the scatter points by evaluating the proposed model on various number of candidates sampled for latent search. It can be observed that both decoding with or without refinement can benefit from latent search while the decoding speed remains acceptable. Specifically, the non-refinement decoding with more latent candidates can reach the level of refinement approach. However, refinement decoding can achieve further improvements and reaches the peak of about 26.2 BLEU points.

540SummarySummarize from the experiments and541corresponding results illustrated on Table 2, 3 and5424, the mechanism of data augmentation and consis-543tency regularization in this paper can be explained544in two ways: firstly, data augmentation methods545help the posterior network learn the capability of546encoding correct latent variables from incomplete,



Figure 3: Tradeoff between decoding speed and translation quality on WMT14 En-De benchmark.

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incorrect or noisy sentences, which narrows the gap between training and inference circumstances. Thus, our posterior network can do better refinement on the initial translation y_0 from Eq.(9) which is relatively noisy and imperfect. Secondly, consistency regularization helps the posterior network learn to be more consistent on latent variables under the impact of noises in input samples, this potentially improves the robustness of posterior network and latent representations which result in further improvements. Both strategies cooperate together and maximize the overall translation quality.

Conclusion

In this work, we introduce posterior consistency regularization along with a series of data augmentation methods on the posterior module of a variational NAT model to improve its performance of translation quality. This method trains the posterior network to be consistent to stochastic noises in inputs and potentially improves its representations. Meanwhile, data augmentation closes the gap between training and inference circumstances. Both are highly benefit to decoding and refinement step. Experiments on WMT14 En-De, De-En and WMT16 En-Ro benchmarks show that our approach achieves a significant improvement to the baseline model and a comparable translation quality to other state-of-the-art fully NAT models with fast decoding speed. As the effectiveness of consistency regularization and data augmentation is verified by our experiments, it is promising to be applied on other models and tasks in the future.

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