000 001 002 CERTIFIED ROBUSTNESS UNDER BOUNDED LEVENSHTEIN DISTANCE

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ABSTRACT

Text classifiers suffer from small perturbations, that if chosen adversarially, can dramatically change the output of the model. Verification methods can provide robustness certificates against such adversarial perturbations, by computing a sound lower bound on the robust accuracy. Nevertheless, existing verification methods incur in prohibitive costs and cannot practically handle Levenshtein distance constraints. We propose the first method for computing the Lipschitz constant of convolutional classifiers with respect to the Levenshtein distance. We use these Lipschitz constant estimates for training 1-Lipschitz classifiers. This enables computing the certified radius of a classifier in a single forward pass. Our method, LipsLev, is able to obtain 38.80% and 13.93% verified accuracy at distance 1 and 2 respectively in the AG-News dataset, while being 4 orders of magnitude faster than existing approaches. We believe our work can open the door to more efficient verification in the text domain.

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1 INTRODUCTION

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027 028 029 030 031 Despite the impressive performance of Natural Language Processing (NLP) models [\(Sutskever et al.,](#page-11-0) [2014;](#page-11-0) [Zhang et al., 2015;](#page-12-0) [Devlin et al., 2019\)](#page-9-0), simple corruptions like typos or synonym substitutions are able to dramatically change the prediction of the model [\(Belinkov and Bisk, 2018;](#page-9-1) [Alzantot](#page-9-2) [et al., 2018\)](#page-9-2). With newer attacks in NLP becoming stronger [\(Hou et al., 2023\)](#page-10-0), verification methods become relevant for providing future-proof robustness certificates [\(Liu et al., 2021\)](#page-10-1).

032 033 034 035 036 037 038 039 Constraints on the Levenshtein distance [\(Levenshtein et al., 1966\)](#page-10-2) provide a good description of the perturbations a model should be robust to [\(Morris et al., 2020\)](#page-11-1) and strong attacks incorporate such constraints [\(Gao et al., 2018;](#page-9-3) [Ebrahimi et al., 2018;](#page-9-4) [Liu et al., 2022;](#page-10-3) [Abad Rocamora et al.,](#page-9-5) [2024\)](#page-9-5). Despite the success of verification methods in the text domain, existing methods can only certify probabilistically via randomized smoothing [\(Cohen et al., 2019;](#page-9-6) [Ye et al., 2020;](#page-12-1) [Huang et al.,](#page-10-4) [2023\)](#page-10-4), or can only handle different specifications such as replacements of characters/words, stopword removal or word duplication [\(Huang et al., 2019;](#page-10-5) [Jia et al., 2019;](#page-10-6) [Shi et al., 2020;](#page-11-2) [Bonaert](#page-9-7) [et al., 2021;](#page-9-7) [Zhang et al., 2021\)](#page-12-2).

040 041 042 043 044 On the performance side, most successful certification methods rely on Interval Bound Propagation (IBP) [\(Moore et al., 2009\)](#page-11-3), which in the text domain requires multiple forward passes through the first layers of the model [\(Huang et al., 2019\)](#page-10-5), unlike in the image domain where a single forward pass is enough for verification [\(Wang et al., 2018\)](#page-11-4). Moreover, IBP has been shown to provide a suboptimal verified accuracy in the image domain [\(Wang et al., 2021\)](#page-12-3).

045 046 047 048 049 050 In the image domain, a popular approach to get fast robustness certificates is computing upper bounds on the Lipschitz constant of classifiers, and using this information to directly verify with a single forward pass [\(Hein and Andriushchenko, 2017;](#page-10-7) [Tsuzuku et al., 2018;](#page-11-5) [Latorre et al., 2020;](#page-10-8) [Xu et al., 2022\)](#page-12-4). These methods cannot be trivially applied in NLP because they assume the input to be in an ℓ_p space such \mathbb{R}^d , which is not the case of text input, where the input length can vary and inputs are discrete (characters). Therefore, we need to rethink Lipschitz verification for NLP.

051 052 053 In this work, we introduce the first method able to provide deterministic Levenshtein distance certificates for convolutional classifiers. This is achieved by computing the Lipschitz constant of intermediate layers with respect to the ERP distance [\(Chen and Ng, 2004\)](#page-9-8). Our Lipschitz constant estimates allow enforcing 1-Lipschitzness during training in order to achieve a larger verified accuracy. Our

Table 1: State of the art in Levenstein distance verification and our contributions: LipsLev is the first to verify deterministically against Levenshtein distance constraints in a single forward pass.

Method		Insertions/deletions Deterministic Single forward pass		
Huang et al. (2019)				
Huang et al. (2023)				
LipsLev (Ours)				

experiments in the AG-News, SST-2, Fake-News and IMDB datasets show non-trivial certificates at distances 1 and 2, taking 4 to 7 orders of magnitudes less time to verify. Furthermore, our method is the only one able to verify under Levenshtein distance larger than 1. We set the foundations for Lipschitz verification in NLP and we believe our method can be extended to more complex models.

Notation: We use uppercase bold letters for matrices $\bm{X} \in \mathbb{R}^{m \times n}$, lowercase bold letters for vectors $x \in \mathbb{R}^m$ and lowercase letters for numbers $x \in \mathbb{R}$. Accordingly, the ith row and the element in the i, j position of a matrix X are given by x_i and x_{ij} respectively. We use the shorthand $[n] = \{0, 1, \dots, n-1\}$ for any natural number n. Given two matrices $A \in \mathbb{R}^{m \times d}$ and $B \in$ $\mathbb{R}^{n \times d} A \oplus B = \begin{bmatrix} A \ \mathbf{B} \end{bmatrix}$ B $\mathcal{C} \in \mathbb{R}^{(m+n)\times d}$. Concatenating with the empty sequence \emptyset results in the identity $A \oplus \emptyset = A$. We denote as $A_{2:} \in \mathbb{R}^{(m-1)\times d}$ the matrix obtained by removing the first row. We denote the zero vector as $\bf{0}$ with dimensions appropriate to context. We use the operator $|\cdot|$ for the

size of sets, e.g., $|S(\Gamma)|$ and the length of sequences, e.g., for $X \in \mathbb{R}^{m \times n}$, we have $|X| = m$.

2 RELATED WORK

In this section we cover the related work in Lipschitz based verification and verification in NLP.

080 081 082 083 084 085 086 087 088 Lipschitz verification: [Hein and Andriushchenko](#page-10-7) [\(2017\)](#page-10-7) firstly study the computation of the Lipschitz constant in order to provide formal guarantees of the robustness of support vector machines and two-layer nueral networks. [Tsuzuku et al.](#page-11-5) [\(2018\)](#page-11-5) compute Lipschitz constant upper bounds for deeper networks and regularize such upper bounds to improve certificates. Since then, tighter upper bounds for the Lipschitz constant have been proposed [\(Huang et al., 2021;](#page-10-9) [Fazlyab](#page-9-9) [et al., 2019;](#page-9-9) [Latorre et al., 2020;](#page-10-8) [Shi et al., 2022\)](#page-11-6). A variety of works propose constraining the Lipschitz constant to be 1 during training in order to have automatic robustness certificates [\(Cisse](#page-9-10) [et al., 2017;](#page-9-10) [Qian and Wegman, 2019;](#page-11-7) [Gouk et al., 2021;](#page-9-11) [Xu et al., 2022\)](#page-12-4). All previous works center in the standard ℓ_p norms and cannot be applied to the NLP domain. Our work provides the first 1-Lipschitz training method for the Levenshtein distance.

090 091 092 093 094 095 096 097 098 099 100 101 102 103 Verfication in NLP: [Jia et al.](#page-10-6) [\(2019\)](#page-10-6) propose using Interval Bound Propagation via an overapproximation of the embeddings of the set of synonyms of each word. Concurrently, [Huang et al.](#page-10-5) [\(2019\)](#page-10-5) incorporate this technique for verifying against replacements of nearby characters in the English keyboard. [Bonaert et al.](#page-9-7) [\(2021\)](#page-9-7); [Shi et al.](#page-11-2) [\(2020\)](#page-11-2) propose zonotope abstractions and IBP for verifying against synonym substitutions in transformer models. [Zhang et al.](#page-12-2) [\(2021\)](#page-12-2) propose a verification procedure that can handle a small number of input perturbations for LSTM classifiers. Deviating from these approaches, [Ye et al.](#page-12-1) [\(2020\)](#page-12-1) propose using randomized smoothing techniques [Cohen et al.](#page-9-6) [\(2019\)](#page-9-6) in order to verify probabilistically against character substitutions. [Huang et al.](#page-10-4) [\(2023\)](#page-10-4) used similar techniques in order to probabilistically verify under Levenshtein distance specifications. [Zhao et al.](#page-12-5) [\(2022\)](#page-12-5) propose a framework to verify under word substitutions via Causal Interventions. [Sun and Ruan](#page-11-8) [\(2023\)](#page-11-8) derive probable upper and lower bounds of the certified radius under word substitutions. [Zhang et al.](#page-12-6) [\(2024\)](#page-12-6) employ randomized smoothing to verify against word (synonym) substitutions, insertions, deletions and reorderings. [Zeng et al.](#page-12-7) [\(2023\)](#page-12-7) propose a randomized smoothing technique that does not rely on knowing how attackers generate synonyms. In Table [1](#page-1-0) we highlight the differences with existing works in NLP verification.

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3 PRELIMINARIES

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107 Let $S(\Gamma) = \{c_1c_2 \cdots c_m : c_i \in \Gamma \ \forall m \in \mathbb{N} \setminus \{0\} \}$ be the space of sequences of characters in the alphabet set Γ. We represent sentences $S \in \mathcal{S}(\Gamma)$ as sequences of one-hot vectors, i.e., $S \in$

108 109 110 111 $\{0,1\}^{m \times |\Gamma|}: ||s_i||_1 = 1, \forall i \in [m]$. Given a classification model $\boldsymbol{f}: \mathcal{S}(\Gamma) \to \mathbb{R}^o$ assigning scores to each of the o classes, the predicted class for some $\mathbf{S} \in \mathcal{S}(\Gamma)$ is given by $\hat{y} = \argmax_{i \in [o]} f(\mathbf{S})_i$. Our goal is to check whether for a given pair $(S, y) \in (S(\Gamma) \times [o])$:

$$
f(\mathbf{S}')_y - \max_{\hat{y}\neq y} f(\mathbf{S}')_{\hat{y}} > 0, \ \ \forall \mathbf{S}' \in \mathcal{S}(\Gamma) : d_{\text{Lev}}(\mathbf{S}, \mathbf{S}') \leq k \,, \tag{1}
$$

where d_{Lev} is the Levenshtein distance [\(Levenshtein et al., 1966\)](#page-10-2). The Levenshtein distance is defined as follows:

$$
d_{\text{Lev}}(\boldsymbol{S},\boldsymbol{S}') := \left\{ \begin{matrix} |\boldsymbol{S}| & \text{if } |\boldsymbol{S}'| = 0 \\ |\boldsymbol{S}'| & \text{if } |\boldsymbol{S}| = 0 \\ d_{\text{Lev}}(\boldsymbol{S}_2, \boldsymbol{S}'_2) & \text{if } \boldsymbol{s}_1 = \boldsymbol{s}'_1 \\ 1 + \min \left\{ \begin{matrix} d_{\text{Lev}}(\boldsymbol{S}_2, \boldsymbol{S}'_2) \\ d_{\text{Lev}}(\boldsymbol{S}_2, \boldsymbol{S}'') \\ d_{\text{Lev}}(\boldsymbol{S}, \boldsymbol{S}'_2) \end{matrix} \right\} & \text{otherwise}~.
$$

122 123 124 125 The Levenshtein distance captures the number of character replacements, insertions or deletions needed in order to transform S into S' and vice-versa. Such constraints are employed in popular NLP attacks in order to enforce the imperceptibility of the attack [\(Gao et al., 2018;](#page-9-3) [Ebrahimi et al.,](#page-9-4) [2018;](#page-9-4) [Liu et al., 2022;](#page-10-3) [Abad Rocamora et al., 2024\)](#page-9-5) following the findings of [Morris et al.](#page-11-1) [\(2020\)](#page-11-1).

127 3.1 INTERVAL BOUND PROPAGATION (IBP)

129 130 131 132 133 134 135 136 Existing robustness verification approaches rely on IBP for verifying the robustness of text models [\(Huang et al., 2019;](#page-10-5) [Jia et al., 2019\)](#page-10-6). IBP relies on the input being constrained in a box. Let $x, l, u \in$ \mathbb{R}^d , every element of x is assumed to be in an interval given by l and u, i.e., $l_i \le x_i \le u_i \ \forall i \in [d]$ or $x \in [l, u]$ for short. These constraints arise naturally when studying robustness in the ℓ_{∞} norm, as the constraint $x \in \{x^{(0)} + \delta : ||\delta||_{\infty} \leq \epsilon\}$ can exactly be represented as $x \in [x^{(0)} - \epsilon, x^{(0)} + \epsilon]$. IBP consists in a set of rules to obtain interval constraints of the output of a function, given the interval constraints of the input. In the case of an affine mapping $f(x) = Wx + b$, we can easily obtain the interval constraints $f(x) \in [l_f(x), u_f(x)]$, $\forall x \in [l, u]$ with:

$$
l_f(x) = W^+l + W^-u + b, \quad u_f(x) = W^+u + W^-l + b,\tag{2}
$$

138 139 140 where W^+ and W^- are the positive and negative parts of W. In the case of the ReLU activation function $\sigma(x) = \max\{0, x\}$, we have that:

$$
l_{\sigma}(x) = \sigma(l), \quad u_{\sigma}(x) = \sigma(u).
$$
 (3)

142 143 By applying recursively the simple rules in Eqs. [\(2\)](#page-2-0) and [\(3\)](#page-2-1), one can easily verify robustness properties of ReLU fully-connected and convolutional networks [\(Wang et al., 2018\)](#page-11-4).

144 145 Nevertheless, IBP has two main limitations:

- a) IBP assumes the input space to be of fixed length, e.g., \mathbb{R}^d .
- b) IBP can only handle interval constrained inputs, e.g., $x \in [l, u]$.

149 150 151 152 Limitation a) makes it impossible to verify Levenshtein distance constraints as they include insertion and deletion operations, which change the length of the input sequence. In the literature, limitation a) forces existing verification methods to only consider replacements of characters/words [\(Huang](#page-10-5) [et al., 2019;](#page-10-5) [Jia et al., 2019;](#page-10-6) [Shi et al., 2020;](#page-11-2) [Bonaert et al., 2021;](#page-9-7) [Zhang et al., 2021\)](#page-12-2).

153 154 155 156 157 158 Limitation b) can be circumvented by building an over approximation of the replacement constraints that can be represented with intervals. In the case of text, one can directly build an over approximation of the embeddings. Let $\mathbf{Z} = \mathbf{S} \mathbf{E} \in \mathbb{R}^{m \times d}$, where $\mathbf{S} \in \mathcal{S}(\Gamma)$ is the sequence of one-hot vectors representing each character/word, and $E \in \mathbb{R}^{|\Gamma| \times d}$ is the embedding matrix. Let d_{edit} be the edit distance without insertions and deletions, our constraint in the edit distance (Eq. [\(1\)](#page-2-2)) translates in the embedding space to the set:

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$$
\mathcal{Z}_k(\boldsymbol{S}) = \left\{\boldsymbol{S}'\boldsymbol{E}: d_\text{edit}(\boldsymbol{S}, \boldsymbol{S}') \leq k, \boldsymbol{S}' \in \mathcal{S}(\Gamma) \right\},
$$

160 161 where $d_{edit}(\mathbf{S}, \mathbf{S}') = \sum_{i=1}^{m} ||\mathbf{s}_i - \mathbf{s}'_i||_{\infty}$ for any length m sequences of one-hot vectors \mathbf{S}, \mathbf{S}' . We can overapproximate this set with interval constraints such that $\hat{Z} \in [L, U]$, with $l_{ij} = \min_{\mathbf{Z} \in \mathcal{Z}_k(\mathbf{S})} z_{ij}$ **162 163 164** and $u_{ij} = \max_{\mathbf{Z} \in \mathcal{Z}_k(\mathbf{S})} z_{ij}$. But, because we can replace any character/word at any position, we end up with $L = l \oplus \hat{l} \oplus \cdots \oplus l$ and $U = u \oplus u \oplus \cdots \oplus u$, where:

$$
l_i = \min_{k \in [|\Gamma|]} e_{ki}, \quad u_i = \max_{k \in [|\Gamma|]} e_{ki}, \quad \forall i \in [d].
$$

167 168 169 170 171 172 173 Therefore, this overapproximation contains the embeddings of any $S' \in \{0,1\}^{m \times |\Gamma|} : ||s'_i||_1 =$ 1, $\forall i \in [m]$, i.e., *every sentence of length m*, making verification impossible. To circumvent this, existing methods focus on the synonym replacement task, further restricting $\mathcal{Z}_k(S)$ to only replace words for a word in a small set of synonyms [\(Jia et al., 2019;](#page-10-6) [Shi et al., 2020;](#page-11-2) [Bonaert et al., 2021\)](#page-9-7). Alternatively, [Huang et al.](#page-10-5) [\(2019\)](#page-10-5) compute the over approximation after the pooling layer of the model, circumventing this problem. Nevertheless, their approach requires $|\mathcal{Z}_1(\mathbf{S})|$ forward passes. This number of forward passes can be in the order of tens of thousands for large m and $|\Gamma|$.

174 175 Our Lipschitz constant based approach, LipsLev, can handle sequences of any length and requires a single forward pass through the model.

4 METHOD

179 180 181 182 In Section [4.1](#page-3-0) we cover the verification procedure once the Lipschitz constant of a classifier is known. In Section [4.2](#page-3-1) we cover the convolutional architectures employed in [Huang et al.](#page-10-5) [\(2019\)](#page-10-5) and our Lipschitz constant estimation for them. Lastly, we introduce our training strategy in order to achieve non-trivial verified accuracy in Section [4.3.](#page-5-0) We defer our proofs to Appendix [B.](#page-16-0)

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4.1 LIPSCHITZ CONSTANT BASED VERIFICATION

186 187 188 189 Motivated by the success and efficiency of Lipschitz constant based certification in vision tasks [\(Huang et al., 2021;](#page-10-9) [Xu et al., 2022\)](#page-12-4), we propose a method of this kind that can handle previously studied models in the character-level classification task [\(Huang et al., 2019\)](#page-10-5), and provide Levenshtein distance certificates.

190 191 Our goal is to compute the global Lipschitz constant. Let $g_{y,\hat{y}}(S) = f(S)_y - f(S)_{\hat{y}}$ be the margin function for classes y and \hat{y} , we would like to have for some S :

$$
|g_{y,\hat{y}}(\mathbf{S}) - g_{y,\hat{y}}(\mathbf{S}')| \le G_{y,\hat{y}} \cdot d_{\text{Lev}}(\mathbf{S}, \mathbf{S}') \quad \forall \mathbf{S}' \in \mathcal{S}(\Gamma), \tag{4}
$$

194 195 for some $G_{y, \hat{y}} \in \mathbb{R}^+$. Given Eq. [\(4\)](#page-3-2) is satisfied, the maximum distance up to which we can verify Eq. [\(1\)](#page-2-2), is lower bounded by:

$$
\max\{k : g_{y,\hat{y}}(\mathbf{S}') > 0 \ \forall \mathbf{S}' \in \mathcal{S}(\Gamma) : d_{\text{Lev}}(\mathbf{S}, \mathbf{S}') \leq k\} \geq \left\lfloor \frac{g_{y,\hat{y}}(\mathbf{S})}{G_{y,\hat{y}}} \right\rfloor. \tag{5}
$$

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Let $k_{y, \hat{y}}^\star(\boldsymbol{S}) \coloneqq \left| \frac{g_{y, \hat{y}}(\boldsymbol{S})}{G_{y, \hat{y}}} \right|$ $\frac{\partial_{y,\hat{y}}(S)}{G_{y,\hat{y}}}\Big|$, we denote $k_y^\star(\bm{S})\coloneqq\min_{\hat{y}\neq y}k_{y,\hat{y}}^\star(\bm{S})$ to be the *certified radius*.

4.2 LIPSCHITZ CONSTANT ESTIMATION FOR CONVOLUTIONAL CLASSIFIERS

Let $S \in \mathcal{S}(\Gamma)$ be a sequence of one-hot vectors, our classifier is defined as:

$$
f(S) = \left(\sum_{i=1}^{m+l \cdot (q-1)} f_i^{(l)}(S)\right)W, \text{ where } f^{(j)}(S) = \left\{\sigma\left(C^{(j)}\left(f^{(j-1)}(S)\right)\right) \quad \forall j = 1, \cdots, l \atop j=0, \, (6)\right\}
$$

209 210 211 212 where $E \in \mathbb{R}^{v \times d}$ is the embeddings matrix, $C^{(i)}$, $\forall i = 1, \dots, l$ are convolutional layers with kernel size q and hidden dimension \vec{k} . σ is the ReLU activation function and $W \in \mathbb{R}^{k \times o}$ is the last classification layer. This architecture was previously studied in verification by [\(Huang et al., 2019;](#page-10-5) [Jia et al., 2019\)](#page-10-6).

213 214 215 Our approach to estimate the global Lipschitz constant of such a classifier is to compute the Lipschitz constant of each layer. Then, since the overall function in Eq. [\(6\)](#page-3-3) is the sequential composition of all of the layers, we can just multiply the Lipschitz constants to obtain the global one. However, in order to be able to do this, we need some metric with respect to which we can compute the Lipschitz **216 217 218 219** constant. The Levenshtein distance cannot be applied, as it can only measure distances between one-hot vectors and the outputs of intermediate layers are sequences of real vectors. For this task, we select the *ERP distance* [\(Chen and Ng, 2004\)](#page-9-8):

Definition 4.1 (ERP distance [\(Chen and Ng, 2004\)](#page-9-8)). Let $A \in \mathbb{R}^{m \times d}$ and $B \in \mathbb{R}^{n \times d}$ be two sequences of m and n real vectors respectively and $p \ge 1$. The ERP distance is defined as:

$$
d_{\text{ERP}}^{p}(\boldsymbol{A},\boldsymbol{B})=\left\{\begin{matrix} \sum_{i=1}^{m}||\boldsymbol{a}_{i}||_{p} & \text{if } n=0\ (\boldsymbol{B}=\emptyset) \\ \sum_{i=1}^{n}||\boldsymbol{b}_{i}||_{p} & \text{if } m=0\ (\boldsymbol{A}=\emptyset) \\ \min\left\{\begin{matrix}||\boldsymbol{a}_{1}||_{p}+d_{\text{ERP}}^{p}(\boldsymbol{A}_{2:},\boldsymbol{B}), \\ ||\boldsymbol{b}_{1}||_{p}+d_{\text{ERP}}^{p}(\boldsymbol{A},\boldsymbol{B}_{2:}), \\ ||\boldsymbol{a}_{1}-\boldsymbol{b}_{1}||_{p}+d_{\text{ERP}}^{p}(\boldsymbol{A}_{2:},\boldsymbol{B}_{2:}) \end{matrix}\right\} & \text{otherwise} \end{matrix}\right.
$$

The ERP distance is a natural extension of the Levenshtein distance for sequences of real valued vectors. In fact, in the case we compare sequences of one-hot vectors and we set $p = \infty$, we recover the Levenshtein distance, see Lemma [S4.](#page-17-0)

In the following we define a useful representation of convolutional layers.

Definition 4.2 (1D Convolutional layer with zero padding). Let $A \in \mathbb{R}^{m \times d}$ be a sequence of ddimensional vectors. Let k be the number of filters and q the kernel size, a convolutional layer $C: \mathbb{R}^{m \times d} \to \mathbb{R}^{(m+q-1)\times k}$ with parameters $\mathcal{K} \in \mathbb{R}^{q \times k \times d}$ can be represented as:

$$
\boldsymbol{c}_i(\boldsymbol{A}) = \sum_{j=1}^{m+2\cdot (q-1)} \hat{\boldsymbol{K}}_{i,j} \hat{\boldsymbol{a}}_j, \text{ where } \hat{\boldsymbol{K}}_{i,j} = \begin{cases} \boldsymbol{K}_{j-i+1} & \text{if } 0 \leq j-i \leq q-1 \\ \boldsymbol{00}^\top & \text{otherwise} \end{cases}, \forall i \in [m+q-1],
$$

239 240 241 and $\hat{A} = \mathbf{0}_{(q-1)\times d} \oplus A \oplus \mathbf{0}_{(q-1)\times d} \in \mathbb{R}^{(m+2\cdot (q-1))\times d}$ is the zero-padded input. We denote the parameter tensor corresponding to every layer $C^{(i)}$ as $\mathcal{K}^{(i)}$.

242 243 244 245 In Theorem [4.3](#page-4-0) we present our Lipschitz constant upper bound. In Corollary [4.4](#page-4-1) the Lipschitz constant upper bound is employed to compute the certified radius at a sentence P . The Lipschitz constant upper bound can be further refined considering the local Lipschitz constant of the embedding layer around sentence P , see Remark [4.5.](#page-4-2)

246 247 248 Theorem 4.3 (Lipschitz constant of margins of convolutional models). *Let* f *be defined as in Eq.* [\(6\)](#page-3-3). Let $g_{y,\hat{y}}(S) = f(S)_y - f(S)_{\hat{y}}$ be the margin function for classes y and \hat{y} . Let $p \geq 1$. *Let* P *and* Q *be sequences of one-hot vectors, we have that for any* y *and* \hat{y} *:*

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$$
|g_{y,\hat{y}}(\boldsymbol{P})-g_{y,\hat{y}}(\boldsymbol{Q})|\leq ||\boldsymbol{w}_{\hat{y}}-\boldsymbol{w}_{y}||_{r}\cdot\left(\prod_{i=1}^{l}M\left(\boldsymbol{\mathcal{K}}^{(i)}\right)\right)\cdot M(\boldsymbol{E})\cdot d_{Lev}\left(\boldsymbol{P},\boldsymbol{Q}\right),
$$

where
$$
M(\mathcal{K}) = \sum_{i=1}^{q} ||\mathbf{K}_i||_p
$$
, $M(\mathbf{E}) = \max\{\max_{i \in [|\Gamma|]} ||e_i||_p, \max_{i,j \in [|\Gamma|]} ||e_i - e_j||_p\}$ and $\frac{1}{p} + \frac{1}{r} = 1$.¹

Proof. See Appendix [B](#page-16-0)

Corollary 4.4 (Certified radius of convolutional models). *Let* f *be defined as in Eq.* [\(6\)](#page-3-3) *and the Lipschitz constant of* $g_{y, \hat{y}}$ *be:*

$$
G_{y,\hat{y}} = ||\boldsymbol{w}_{\hat{y}} - \boldsymbol{w}_{y}||_{r} \cdot \left(\prod_{i=1}^{l} M\left(\boldsymbol{\mathcal{K}}^{(i)}\right)\right) \cdot M(\boldsymbol{E}).
$$

Then, the certified radius of f at the sentence P is given by: $k_{y,\hat{y}}^\star(S) = \min_{\hat{y}\neq y} \left| \frac{g_{y,\hat{y}}(P)}{G_{y,\hat{y}}} \right|$ $\frac{_{y,\hat{y}}(\boldsymbol{P})}{G_{y,\hat{y}}}\bigg\vert .$

264 265 266 *Remark* 4.5 (Local Lipschitz constant of the embedding layer)*.* Let the embeddings of a sentence S be given by SE , we have that for any two sentences P and Q :

$$
d_{\text{ERP}}^p(\boldsymbol{PE},\boldsymbol{QE}) \leq M(\boldsymbol{E},\boldsymbol{P}) \cdot d_{\text{Lev}}(\boldsymbol{P},\boldsymbol{Q}),
$$

where
$$
M(E, P) = \max \{ \max_{i \in [|\Gamma|]} ||e_i||_p, \max_{i \in [P], j \in [d]} ||p_i E - e_j||_p \}
$$
, satisfying $M(E, P) \le M(E)$.

¹In the case $p = 1$ and $p = \infty$, we have $r = \infty$ and $r = 1$ respectively.

 \Box

270 271 4.3 TRAINING 1-LIPSCHITZ CLASSIFIERS

272 273 274 275 276 277 278 Models trained with the standard Cross Entropy loss and Stochastic Gradient Descent (SGD) recipe are not amenable to verification methods, resulting in small certified radiuses. This has motivated the use of specialized training methods in the image domain [\(Mirman et al., 2018;](#page-11-9) [Gowal et al.,](#page-9-12) [2018;](#page-9-12) [Mueller et al., 2023;](#page-11-10) [Palma et al., 2024\)](#page-11-11). Verification methods in the text domain also require tailored training methods to achieve non-zero certified radiuses [\(Huang et al., 2019;](#page-10-5) [Jia et al., 2019\)](#page-10-6). Motivated by methods enforcing classifiers to be 1-Lipschitz in the image domain [\(Xu et al., 2022\)](#page-12-4), we enforce this constraint during training in order to improve certification.

280 In order to achieve a 1-Lipschitz classifier, we enforce 1-Lipschitzness of every layer by dividing the output of each layer by its Lipschitz constant. This results in our modified classifier being:

$$
\hat{f}(\mathbf{S}) = \begin{pmatrix} m+l \cdot (q-1) \\ \sum_{i=1}^{n-1} \hat{f}_i^{(l)}(\mathbf{S}) \end{pmatrix} \frac{\mathbf{W}}{M(\mathbf{W})}, \text{ where } \hat{f}^{(j)}(\mathbf{S}) = \begin{cases} \frac{\sigma(\mathbf{C}^{(j)}(\hat{f}^{(j-1)}(\mathbf{S})))}{M(\mathbf{K}^{(j)})} & \forall j = 1, \cdots, l \\ \frac{\mathbf{S}\mathbf{E}}{M(\mathbf{E})} & j = 0 \end{cases}, \tag{7}
$$

285 286 where $M(\bm{W}) = \max_{y, \hat{y} \in [o]} ||\bm{w}_y - \bm{w}_{\hat{y}}||_r$. Note that the last layer is made 1-Lipschitz with respect to the worst pair of class labels. Incorporating this information and Remark [4.5,](#page-4-2) we end up with the final Lipschitz constant for the classifier:

Corollary 4.6 (Local Lipschitz constant of modified classifiers). *Let* fˆ *be defined as in Eq.* [\(7\)](#page-5-1)*. Let* $\hat{g}_{y,\hat{y}}(\mathbf{S}) = \hat{f}(\mathbf{S})_y - \hat{f}(\mathbf{S})_{\hat{y}}$ *be the margin function for classes y and* \hat{y} *. Let* \mathbf{P} *and* \mathbf{Q} *be sequences of one-hot vectors, we have that for any y and* \hat{y} *:*

$$
|\hat{g}_{y,\hat{y}}(\boldsymbol{P})-\hat{g}_{y,\hat{y}}(\boldsymbol{Q})|\leq \frac{||\boldsymbol{w}_{\hat{y}}-\boldsymbol{w}_{y}||_r}{M(\boldsymbol{W})}\cdot \frac{M(\boldsymbol{E},\boldsymbol{P})}{M(\boldsymbol{E})}\cdot d_{lev}\left(\boldsymbol{P},\boldsymbol{Q}\right)\,,
$$

294 296 *where* $M(E)$ *is defined as in Theorem [4.3,](#page-4-0)* $M(E, P)$ *is as in Remark [4.5](#page-4-2) and* $M(W)$ = $\max_{y, \hat{y} \in [o]} ||\bm{w}_y - \bm{w}_{\hat{y}}||_r.$ *Note that this Lipschitz constant is local as it depends on* $\bm{P}.$

299 300 301 302 Note that the local Lipschitz constant estimate in Corollary [4.6](#page-5-2) is guaranteed to be at most 1 as $||w_{\hat{y}} - w_y||_r \le M(W)$ and $M(E, P) \le M(E)$. Given this estimate, we can proceed similarly to Corollary [4.4](#page-4-1) in order to obtain the certified radius of the modified model. Note that in the forward pass of Eq. [\(7\)](#page-5-1), we need to compute $M(\bm{E}), M(\bm{\mathcal{K}}^{(j)})$ and $M(\bm{W}),$ which increases the complexity of a forward pass with respect to Eq. [\(6\)](#page-3-3). Nevertheless, we observe this can be efficiently done during training as seen in Appendix [A.6.](#page-15-0) Then, the weights of each layer can be divided by its Lipschitz constant, resulting in the same architecture in Eq. [\(6\)](#page-3-3) with the guarantees of Corollary [4.6.](#page-5-2)

5 EXPERIMENTS

307 308 309 310 311 In this section, we cover our experimental validation. In Section [5.1](#page-5-3) we cover the experimental setup and training mechanisms shared among all experiments. In Section [5.2](#page-6-0) we compare performance of our approach with existing IBP approaches and the naive brute force verification baseline. Lastly, in Section [5.3](#page-7-0) we cover the hyperparameter selection of our method. We define our performance metrics and perform additional experiments in Appendix [A.](#page-13-0)

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5.1 EXPERIMENTAL SETUP

314 315 316 317 318 319 320 321 322 323 We train and verify our models in the sentence classification datasets AG-News [\(Gulli, 2005;](#page-10-10) [Zhang](#page-12-0) [et al., 2015\)](#page-12-0), SST-2 [\(Wang et al., 2019\)](#page-11-12), IMDB [\(Maas et al., 2011\)](#page-11-13) and Fake-News [\(Lifferth, 2018\)](#page-10-11). We consider all of the characters present in the dataset except for uppercase letters, which we tokenize as lowercase. Each character is tokenized individually and assigned one embedding vector via the matrix E . For all our models and datasets, following [Huang et al.](#page-10-5) [\(2019\)](#page-10-5), we train models with a single convolutional layer, an embedding size of 150, a hidden size of 100 and a kernel size of 5 for the SST-2 dataset and 10 for the rest of datasets. Following the setup used in [Andriushchenko and](#page-9-13) [Flammarion](#page-9-13) [\(2020\)](#page-9-13) for adversarial training, we use the SGD optimizer with batch size 128 and a 30-epoch cyclic learning rate scheduler with a maximum value of 100.0, which we select via a grid search in a validation dataset, see Appendix [A.5.](#page-14-0) For every experiment, we report the average results over three random seeds and report the performance over the first 1, 000 samples of the test set. Our standard deviations are reported in Appendix [A.3.](#page-13-1) Due to the extreme time costs of the brute-force and IBP approaches in the Fake-News dataset, we reduce to 50 samples in this dataset. We compute the adversarial accuracy with Charmer [\(Abad Rocamora et al., 2024\)](#page-9-5). For completeness, we report the performance of LipsLev over the full 1,000 samples and k up to 10 in Appendix [A.4.](#page-13-2) All of our experiments are conducted in a single machine with an NVIDIA A100 SXM4 40 GB GPU.

Table 2: Verified accuracy under bounded d_{lev} : We report the Clean accuracy (Acc.), Adversarial Accuracy (Adv. Acc.) with Charmer [\(Abad Rocamora et al., 2024\)](#page-9-5), Verified accuracy (Ver.) and the average runtime in seconds (Time) for the brute-force approach (BruteF), IBP [\(Huang et al., 2019\)](#page-10-5) and LipsLev. OOT means the experiment was Out Of Time. χ means the method does not support $d_{\text{lev}} > 1$. Our method, LipsLev, is the only method able to provide non-trivial verified accuracies for any k in a single forward pass.

336	Dataset	Charmer $Acc. (\%)$ \boldsymbol{k} \mathcal{p}			BruteF		IBP		LipsLev			
337					Adv. Acc. $(\%)$	Time(s)	Ver. $(\%)$	Time(s)	Ver. $(\%)$	Time(s)	Ver. $(\%)$	Time(s)
338		∞	$\frac{1}{2}$	65.23	47.90 32.97	5.70 5.70	47.87	16.15 OOT	27.77 X	16.76	32.33 11.60	0.0015 0.0015
339 340	AG-News	$\mathbf{1}$	$\frac{1}{2}$	69.63	54.47 37.77	5.43 5.43	54.43	15.33 OOT	18.93	17.56 Х	34.50 12.53	0.00140 0.00140
341		2	$\frac{1}{2}$	74.80	62.20 46.47	7.32 7.32	62.07	29.12 OOT	29.10 X	31.54	38.80 13.93	0.00970 0.00970
342 343		∞	$\overline{2}$	63.95	39.68 19.92	1.84 1.84	39.68	2.27 OOT	33.94 Х	2.88	14.68 0.99	0.00084 0.00084
344	SST-2	$\mathbf{1}$	$\frac{1}{2}$	69.69	45.26 26.11	1.91 1.91	45.22	2.31 OOT	19.00	2.99 X	18.69 1.83	0.0022 0.0022
345 346		2	$\frac{1}{2}$	69.95	48.81 30.70	2.09 2.09	48.78	4.23 OOT	16.06	5.22 X	14.57 0.73	0.0047 0.0047
347		∞	$\frac{1}{2}$	100.00	86.67 76.00	66.82 66.82	86.67	972.46 OOT	85.33 X	989.84	85.33 68.67	0.017 0.017
348 349	Fake-News	$\mathbf{1}$	$\frac{1}{2}$	98.00	92.00 79.33	67.11 67.11	92.00	978.94 OOT	91.33	990.32 Х	91.33 75.33	0.014 0.014
350		2	$\frac{1}{2}$	98.00	88.67 78.00	73.52 73.52	88.67	1224.45 OOT	87.33	1466.38 Х	87.33 71.33	0.0089 0.0089
351 352		∞	$\frac{1}{2}$	74.57	67.43 59.77	14.16 14.16	67.43	130.49 OOT	61.50	138.20 Х	31.37 5.90	0.0047 0.0047
353	IMDB	$\mathbf{1}$	$\frac{1}{2}$	69.57	61.17 52.20	14.44 14.44	61.00	134.23 OOT	47.30	135.22 X	28.73 6.80	0.0027 0.0027
354 355		2	$\frac{1}{2}$	60.60	46.87 35.10	16.24 16.24	46.73	261.99 OOT	37.57	308.73 X	8.67 0.87	0.0019 0.0019

5.2 COMPARISON WITH IBP AND BRUTE FORCE APPROACHES

In this section, we compare our verification method against a brute-force approach and a modification of the IBP method in [\(Huang et al., 2019\)](#page-10-5) to handle insertions and deletions of characters.

 With the brute-force approach, for every sentence P in the test dataset, we evaluate our model in every sentence in the set $\{Q : d_{\text{lev}}(P, Q) \leq k\}$ and check if there is any missclassification. Since the size of this set grows exponentially with k, we only evaluate the brute-force accuracy for $k = 1$.

 In the case of IBP, we evaluate the classifier up to the pooling layer in every sentence of ${Q:}$ $d_{\text{lev}}(P,Q) \leq k$ and then build the overapproximation. In [\(Huang et al., 2019\)](#page-10-5) it was enough to build this overapproximation for $k = 1$ and re-scale it to capture larger ks. This is not the case for insertions and deletions, this constrains IBP with Levenshtein distance specifications to work only for $k = 1$. Overall, the complexity of IBP is the same as the brute-force approach without providing the exact robust accuracy. Because [Huang et al.](#page-10-5) [\(2019\)](#page-10-5) only considered perturbations of characters nearby in the English keyboard, the maximum perturbation size at $k = 1$ was very small, e.g., 206 and 7[2](#page-6-1)2 sentences for SST-2 and AG-News respectively². In our setup, the maximum perturbation sizes are 33, 742 and 85, 686. This makes it impractical to perform IBP verified training. We train 3 models for each dataset and $p \in \{1, 2, \infty\}$ and verify them with the three methods. We report the average time to verify and the clean, adversarial and verified accuracies at $k \in \{1, 2\}$.

 In Table [2,](#page-6-2) we can observe that the p value has a big influence in the clean accuracy of the models and the verification capability of each method. With $p = 2$, we observe the highest clean accuracy AG-

²See Table 3 in [Huang et al.](#page-10-5) (2019)

Table 3: Regularizing v.s. enforcing Lipschitzness in SST-2: We compare the performance when regularizing the Lipschitz constant (G) during training with $\lambda \in \{0, 0.001, 0.01, 0.1\}$, against enforcing 1-Lipschitzness through Eq. (7) . Regularizing G leads to either models with similar performance to a constant classifier (55.7% for SST-2), or more accurate but non-verifiable models than when using the formulation in Eq. [\(7\)](#page-5-1).

		$p = \infty$			$p=1$		$p=2$			
	$Clear(\%)$	Ver. $(\%)$	G	$Clear(\%)$	Ver. $(\%)$	G	$Clear(\%)$	Ver. $(\%)$	G	
	$89.0_{\pm(0.5)}$	$0.0_{\pm(0.0)}$	$2850.2_{\pm(80.1)}$	$86.1_{\pm(0.4)}$	$0.0_{\pm(0.0)}$	$449.6_{\pm(3.0)}$	$87.2_{\pm(0.2)}$	$0.0_{\pm(0.0)}$	$129.1_{\pm(2.9)}$	
0.001	$80.8_{\pm(0.6)}$	$0.0_{\pm(0.0)}$	$65.0_{\pm(0.9)}$	$84.5_{\pm(0.5)}$	$0.0_{\pm(0.0)}$	$44.1_{\pm(0.7)}$	$86.2_{\pm(0.4)}$	$0.0_{\pm(0.0)}$	$37.7_{\pm(0.3)}$	
0.01	$60.1_{\pm(1.1)}$	$1.7_{\pm(0.1)}$	$1.4_{\pm(0.1)}$	$79.7_{\pm(0.5)}$	$0.1_{\pm(0.0)}$	$6.9_{\pm(0.0)}$	$81.6_{\pm(0.4)}$	$0.1_{\pm(0.0)}$	$8.8_{\pm(0.1)}$	
0.1	$56.2_{\pm(0.0)}$	$55.7_{\pm(0.3)}$	$0.0_{\pm(0.0)}$	$57.3_{\pm(0.0)}$	$53.2_{\pm(0.8)}$	$0.1_{\pm(0.0)}$	$57.5_{\pm(0.9)}$	$34.1_{\pm(3.0)}$	$0.1_{\pm(0.0)}$	
Eq. (7)	$62.8_{\pm(0.6)}$	$7.3_{\pm(0.1)}$	$1.00_{\pm(0.0)}$	$65.6_{\pm(0.1)}$	$10.7_{\pm(0.2)}$	$1.00_{\pm(0.0)}$	$66.6_{\pm(0.6)}$	$7.2_{\pm(0.1)}$	$1.00_{\pm(0.0)}$	

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392 393 394 396 397 398 399 400 401 News and SST-2, with an average of 74.80% and 69.95% respectively. In the case of Fake-News and IMDB, $p = \infty$ provided the best accuracy with 100% and 74.57% respectively. In terms of robust accuracy (BruteF), $p = 2$ also provides the best performance with 62.07% for AG-News and 48.78% for SST-2, while for Fake-News and IMDB, the best performance was achieved with $p = 1$ and $p = \infty$ respectively (92% and 74.57%). We observe that IBP obtains the best ratio between clean and verified accuracy when employing $p = \infty$, providing the best performance in the IMDB and SST-2 datasets at $k = 1$. Our method, LipsLev, is able to improve over IBP in AG-News and match IBP in Fake-News at $k = 1$, being the only method able to verify for $k > 1$. At distance $k = 2$, we can observe that the Charmer adversarial accuracy in AG-News, SST-2 and IMDB is significantly larger than the verified accuracy given by LipsLev. Contrarily, for the Fake-News dataset, LipsLev is able to have a gap as close as 75.33% v.s. 79.33% with $p = 1$.

402 403 404 405 406 In terms of runtime, our method is from 4 to 7 orders of magnitude faster than brute-force and IBP, which attain similar runtimes. The impossibility of IBP to verify for $k > 1$ and its larger runtime than brute-force, poses it as an impractical tool for Levenstein distance verification. Our method is the only one able to verify for $k > 1$, with 13.93% verified accuracy for AG-News, 1.83% for SST-2, 75.33% for Fake-News and 6.80% for IMDB at $k = 2$.

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5.3 REGULARIZING THE LIPSCHITZ CONSTANT

410 411 412 413 414 415 In Section [4.3](#page-5-0) we describe how to enforce our convolutional classifier to be 1-Lipschitz. But, is there a better way of improving the final verified accuracy of our models? Because our Lipschitz constant estimate in Theorem [4.3](#page-4-0) its differentiable with respect to the parameters of the model, we can regularize this quantity during training in order to achieve a lower Lipschitz constant and hopefully a better verified accuracy. In practice we regularize $G = M(W) \cdot M(\mathcal{K}^{(1)}) \cdot M(E)$ as defined in Theorem [4.3](#page-4-0) and Corollary [4.6.](#page-5-2)

416 417 418 419 420 We train single-layer models with a regularization parameter of $\lambda \in \{0, 0.001, 0.01, 0.1\}$, where $\lambda = 0$ is equivalent to standard training. We initialize the weights of each layer so that their Lipschitz constant is 1. We use a learning rate of 0.01. We measure the final Lipschitz constant of each model and their clean and verified accuracies in a validation set of 1, 000 samples left out from the training set. As a baseline, we report these metrics for the models trained with the formulation in Eq. [\(7\)](#page-5-1).

421 422 423 424 425 426 In Table [3](#page-7-1) we observe that for all the studied norms, when regularizing the Lipschitz constant G , we cannot easily match the performance when using Eq. [\(7\)](#page-5-1). Regularized models converge to either close-to-constant classifiers (55.7% clean accuracy for SST-2) or present a close-to-zero verified accuracy, This behavior has also been observed practically and theoretically for ℓ_p spaces [\(Zhang](#page-12-8) [et al., 2022\)](#page-12-8). The formulation in Eq. [\(7\)](#page-5-1) allows us to obtain verifiable models without the need to tune hyperparameters.

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428 5.4 THE INFLUENCE OF SENTENCE LENGTH IN VERIFICATION

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430 431 In this section we study the qualitative characteristics of a sentence leading to better verification properties, specifically, we study the influence of the sentence length in verification. We compute the verified accuracy v.s. sentence length at $k = 1$ for the models in Section [5.2](#page-6-0) with LipsLev and

Figure 1: Sentence length distribution for verified and not verified sentences: We report the verified accuracy v.s. sentence length with LipsLev (*Left*), and the average length of verified and not verified sentences with BruteF and LipsLev (*Right*) at $k = 1$ in the models trained with $p = 2$. Shorter sentences are harder to verify in both SST-2 and AG-News with both LipsLev and the brute-force approach.

 $p = 2$. For the AG-News we remove the outlier sentences with length larger than 600 characters. The full length distribution is displayed in Appendix [A.](#page-13-0)

In Fig. [1](#page-8-0) we can observe that for both verification methods on both datasets, the verified sentences present a larger average length. Additionally, there is a clear increasing tendency in the verified accuracy v.s. sentence length. We believe this is reasonable as single characters perturbations are likely to introduce a smaller semantic change for longer sequences.

6 CONCLUSION

457 458 459 460 461 462 463 464 In this work, we propose the first approach able to verify NLP classifiers using the Levenshtein distance constraints. Our approach is based on an upper bound of the Lipschitz constant of convolutional classifiers with respect to the Levenshtein distance. Our method, LipsLev is able to obtain verified accuracies at any distance k with single forward pass per sample. Moreover, our method is the only existing method that can practically verify for Levenshtein distances larger than $k = 1$. We expect our work can inspire a new line of works on verifying larger distances and more broadly verifying additional classes of NLP classifiers. We will make the code publicly available upon the publication of this work, our implementation is attached with this submission.

465 466 467 468 469 470 471 Future directions and challenges: A problem shared with verification methods in the image domain is scalability [\(Wang et al., 2021\)](#page-12-3). Scaling verification methods to production models is a challenge, that becomes more relevant with the deployment of Large Language Models and their recently discovered vulnerabilities [\(Zou et al., 2023\)](#page-12-9). Even though our method is the first to practically provide Levenshtein distance certificates in NLP, neither the formulation of [Huang et al.](#page-10-5) [\(2019\)](#page-10-5) or our formulation covers modern architectures as Transformers [\(Vaswani et al., 2017\)](#page-11-14). We highlight the main challenges as follows:

- i) Tokenizers: Modern Transformer-based classifiers utilize popular tokenizers such as SentencePiece [\(Kudo and Richardson, 2018\)](#page-10-12), which aggregate contiguous characters in tokens before feeding them to the model. In order to deal with such non-differentiable piece, methods for computing the Lipschitz constant of tokenizers are needed.
	- ii) Poor performance on character-level tasks: In the case no tokenizer is used, transformers are known to fail in character-level classification tasks like the IMDB classification problem of Long Range Arena [\(Tay et al., 2021\)](#page-11-15).
- iii) Non-Lipschitzness of Transformers: Transformers are known to have a non-bounded Lipschitz constant [\(Kim et al., 2021\)](#page-10-13). In the image domain, verification methods modify the model to be Lipschitz [\(Qi et al., 2023;](#page-11-16) [Bonaert et al., 2021\)](#page-9-7) or compute local Lipschitz constants [\(Havens et al., 2024\)](#page-10-14). Nevertheless, it is non-trivial to extend such approaches from ℓ_p -induced distances to the Levenshtein distance.
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485 Our work sets the mathematical foundations of Lipschitz verification in NLP, opens the door to addressing these challenges and to achieving verifiable architectures beyond convolutional models.

486 487 BROADER IMPACT

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In this work, we tackle the important problem of verifying the robustness of NLP models against adversarial attacks. By advancing in this area, we can positively impact society by ensuring NLP models deployed in safety critical applications are robust to such perturbations.

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	- 12

2018.

702 703 A ADDITIONAL EXPERIMENTAL VALIDATION

In Appendix [A.1](#page-13-3) we present the definition of the performance metrics employed in this work. In Appendix [A.5](#page-14-0) we present our grid search for selecting the best learning rate for each dataset and p value in the ERP distance Definition [4.1.](#page-4-4) In Appendix [A.6](#page-15-0) we evaluate the effect of training with a different number of layers and report their latencies.

A.1 DEFINITION OF PERFORMANCE METRICS

711 712 713 714 In this section we define the key metrics used to evaluate our models and verification methods. Let 1 () be the indicator function, given a classification model $f : \mathcal{S}(\Gamma) \to \mathbb{R}^{\circ}$ assigning scores to each of the *o* classes and a dataset $\mathcal{D} = \{S^{(i)}, y^{(i)}\}_{i=1}^n$ with $S^{(i)} \in \mathcal{S}(\Gamma)$ and $y^{(i)} \in [o]$, the clean, adversarial and verified accuracy are defined as:

Definition S1 (Clean accuracy). The clean accuracy is a percentage in $[0, 100]$ that is computed as:

$$
Acc.(f, \mathcal{D}) = \frac{100}{n} \sum_{i=1}^{n} \mathbb{1} \left(y^{(i)} = \arg \max_{j \in [o]} f(S^{(i)})_j \right).
$$

Definition S2 (Adversarial accuracy). Given an adversary $\mathbf{A}: \mathcal{S}(\Gamma) \to \mathcal{S}(\Gamma)$ that perturbs a sen-tence^{[3](#page-13-4)}. The adversarial accuracy is a percentage in $[0, 100]$ that is computed as:

$$
\text{Adv. Acc.}(\boldsymbol{f}, \mathcal{D}, \boldsymbol{A}) = \frac{100}{n} \sum_{i=1}^{n} \mathbb{1} \left(y^{(i)} = \arg \max_{j \in [o]} f(\boldsymbol{A}(\boldsymbol{S}^{(i)}))_j \right).
$$

727 728 729

755

> **Definition S3** (Verified accuracy). Given a verification method v returning the certified radius (see Section [4.1\)](#page-3-0) for a given model f and sample (S, y) as $v(f, S, y) \in \{0\} \cup \mathbb{N}$. The verified accuracy at distance k is a percentage in $[0, 100]$ that is computed as:

> > Ver. Acc. $(f, \mathcal{D}, v, k) = \frac{100}{n}$ $\sum_{n=1}^{\infty}$ $\frac{i=1}{i}$ $\mathbb{1}\left(v(\boldsymbol{f},\boldsymbol{S}^{(i)},y^{(i)})\geq k\right).$

For simplicity, the arguments of each accuracy function are omitted in the text as they can be inferred from the context.

A.2 SENTENCE LENGTH DISTRIBUTION

In this section, we provide additional details about the sequence length distribution of verified and not verified sentences. Specifically, in Fig. [S2](#page-14-1) we provide the full distribution of lengths from the experiment in Fig. [1.](#page-8-0)

A.3 STANDARD DEVIATIONS

In Table [S4](#page-14-2) we report the results from Table [2](#page-6-2) with standard deviations for completeness.

A.4 LARGER DISTANCES FOR FAKE-NEWS

751 752 753 754 In Section [5.2](#page-6-0) we reported the performance in the Fake-News dataset over the first 50 samples of the test set and only up to $k = 2$. Nevertheless, the speed of LipsLev allows for more samples and larger distances. In Table [S5,](#page-14-3) we evaluate the performance of LipsLev over the first 1, 000 test samples and up to $k = 10$.

³The adversary will usually adhere to some constraints such as $d_{\text{Lev}}(\mathbf{S}, \mathbf{A}(\mathbf{S})) \leq k$.

Figure S2: Sentence length distribution for verified and not verified sentences: We report the histogram of the lengths for verified and not verified sentences at $k = 1$ with LipsLev in the models trained with $p = 2$. Shorter sentences are harder to verify in both SST-2 and AG-News with both LipsLev and the brute force approach.

Table S4: Verified accuracy under bounded d_{lev} : We report the Clean accuracy (Acc.), Adversarial Accuracy (Adv. Acc.) with Charmer [\(Abad Rocamora et al., 2024\)](#page-9-5), Verified accuracy (Ver.) and the average runtime in seconds (Time) for the brute-force approach (BruteF), IBP [\(Huang et al., 2019\)](#page-10-5) and LipsLev. **OOT** means the experiment was Out Of Time. χ means the method does not support $d_{\text{lev}} > 1$. Our method, LipsLev, is the only method able to provide non-trivial verified accuracies for any k in a single forward pass.

Dataset	\boldsymbol{v}		k Acc.(%)	Charmer Time(s) Adv. Acc. $(\%)$			BruteF		IBP		LipsLev
						Ver. $(\%)$	Time(s)	Ver. $(\%)$	Time(s)	Ver. $(\%)$	Time(s)
			∞ $\frac{1}{2}$ 65.23 _{±(0.12)}	$47.90_{\pm(0.08)}$ $32.97_{\pm(0.38)}$	$5.70_{\pm(0.03)}$ $5.70^{+}_{\pm(0.03)}$	$47.87_{\pm(0.09)}$	$16.15_{\pm(0.23)}$ OOT	$27.77_{\pm(0.12)}$	$16.76_{\pm(0.26)}$	$32.33_{\pm(0.31)}$ $11.60_{\pm(0.45)}^{-1}$	$0.0015_{\pm(0.00033)}$ $0.0015_{\pm(0.00033)}^{-1}$
AG-News		α	$69.63_{\pm(0.19)}$	$54.47_{\pm(0.49)}$ $37.77_{\pm(0.46)}$	$5.43_{\pm(0.33)}$ $5.43_{\pm(0.33)}$	$54.43_{\pm(0.53)}$	$15.33_{\pm(0.34)}$ OOT	$18.93_{\pm(0.50)}$	$17.56_{\pm(1.62)}$	$34.50_{\pm(0.36)}$ $12.53_{\pm(0.29)}$	$0.00140_{\pm(0.00007)}$ $0.00140_{\pm(0.00007)}$
			$\frac{1}{2}$ 74.80 \pm (0.45)	$62.20_{\pm(0.75)}$ $46.47_{\pm(0.29)}$	$7.32_{\pm(0.54)}$ $7.32_{\pm(0.54)}$		$62.07_{\pm(0.82)}$ $29.12_{\pm(1.88)}$ OOT	$29.10_{\pm(0.45)}$	$31.54_{\pm(0.55)}$	$38.80_{\pm(0.29)}$ $13.93_{\pm(0.21)}$	$0.00970_{\pm(0.00044)}$ $0.00970_{\pm(0.00044)}$
			∞ $\frac{1}{2}$ 63.95 _{±(0.30)}	$39.68_{\pm(0.99)}$ $19.92_{\pm(1.16)}$	$1.84_{\pm(0.05)}$ $1.84_{\pm(0.05)}$	$39.68_{\pm(0.99)}$	$2.27_{\pm(0.079)}$ OOT	$33.94_{\pm(1.11)}$	$2.88_{\pm(0.092)}$	$14.68_{\pm(0.25)}$ $0.99_{\pm(0.05)}$	$0.00084_{\pm(0.00024)}$ $0.00084_{\pm(0.00024)}$
SST-2			$\frac{1}{2}$ 69.69 _{±(0.14)}	$45.26_{\pm(0.20)}$ $26.11_{\pm(0.55)}$	$1.91_{\pm(0.03)}$ $1.91_{\pm(0.03)}^{-1}$	$45.22_{\pm(0.14)}$	$2.31_{\pm(0.16)}$ OOT	$19.00_{\pm(1.08)}$	$2.99_{\pm(0.14)}$ X	$18.69_{\pm(0.80)}$ $1.83_{\pm(0.00)}$	$0.0022_{\pm(0.0017)}$ $0.0022_{\pm(0.0017)}^{-1}$
			$\frac{1}{2}$ 69.95 _{±(0.32)}	$48.81_{\pm(0.42)}$ $30.70_{\pm(0.81)}$	$2.09_{\pm(0.07)}$ $2.09_{\pm(0.07)}$	$48.78_{\pm(0.43)}$	$4.23_{\pm(0.11)}$ OOT	$16.06_{\pm(1.17)}$	$5.22_{\pm(0.49)}$	$14.57_{\pm(0.34)}$ $0.73_{\pm(0.27)}$	$0.0047_{\pm(0.0023)}$ $0.0047_{\pm(0.0023)}$
			∞ $\frac{1}{2}$ 100.00 _{±(0.00)}	$86.67_{\pm(0.94)}$ $76.00_{\pm(1.63)}$	$66.82_{\pm(1.98)}$ $66.82_{\pm(1.98)}$		$86.67_{\pm(0.94)}$ 972.46 _{±(8.15)} OOT	$85.33_{\pm(0.94)}$	$989.84_{\pm(8.40)}$ x	$85.33_{\pm(0.94)}$ $68.67\scriptstyle\pm(0.94)$	$0.017_{\pm(0.0067)}$ $0.017_{\pm(0.0067)}$
Fake-News			$\frac{1}{2}$ 98.00 \pm (1.63)	$92.00_{\pm(0.00)}$ $79.33_{\pm(2.49)}$	$67.11_{\pm(1.87)}$ $67.11_{\pm(1.87)}$		$\left[92.00_{\pm(0.00)}\right]$ $978.94_{\pm(15.91)}$ $91.33_{\pm(0.94)}$ OOT		$990.32_{\pm (14.85)}$	$91.33_{\pm(0.94)}$ $75.33\pm(3.40)$	$0.014_{\pm(0.0056)}$ $0.014_{\pm(0.0056)}^{-1}$
	$\overline{2}$		$\frac{1}{2}$ 98.00 _{±(1.63)}	$88.67_{\pm(4.99)}$ $78.00_{\pm(4.32)}$	$73.52_{\pm(2.77)}$ $73.52_{\pm(2.77)}$		$ 88.67_{\pm(4.99)} \t1224.45_{\pm(8.66)} \t87.33_{\pm(4.11)}$ OOT		$1466.38_{\pm(294.21)}$ х	$87.33_{\pm(6.80)}$ $71.33_{\pm(5.25)}$	$0.0089_{\pm(0.010)}$ $0.0089_{\pm(0.010)}$
			∞ $\frac{1}{2}$ 74.57 _{±(5.22)}	$67.43_{\pm(4.70)}$ $59.77 \pm (4.81)$	14.16(0.40)		$14.16_{\pm(0.40)}$ 67.43 _{±(4.70)} 130.49 _{±(3.38)} OOT	$61.50_{\pm(4.73)}$	$138.20_{\pm(6.12)}$	$31.37_{\pm(4.54)}$ $5.90_{\pm(1.36)}$	$0.0047_{\pm(0.0015)}$ $0.0047_{\pm(0.0015)}^{-}$
IMDB			$\frac{1}{2}$ 69.57 _{±(7.18)}	$61.17_{\pm(8.92)}$ $52.20_{\pm(9.33)}$	$14.44_{\pm(0.27)}$ $14.44_{\pm(0.27)}$		$\left[61.00_{\pm(8.82)}\right]$ $134.23_{\pm(1.64)}$ $47.30_{\pm(10.51)}$ OOT		$135.22_{\pm(0.31)}$	$28.73_{\pm(6.94)}$ $6.80_{\pm(2.16)}$	$0.0027_{\pm(0.0025)}$ $0.0027_{\pm(0.0025)}$
		$\frac{1}{2}$	$60.60_{\pm(4.21)}$	$46.87_{\pm(0.62)}$ $35.10_{\pm(3.36)}$	$16.24_{\pm(0.49)}$ $16.24_{\pm(0.49)}$		$146.73_{\pm(0.78)}$ 261.99 _{±(62.11)} OOT	$37.57_{\pm(6.35)}$	$308.73_{\pm(1.70)}$	$8.67_{\pm(5.08)}$ 0.87(0.66)	$\overline{0.0019}_{\pm(0.0011)}$ $0.0019_{\pm(0.0011)}^{-1}$

Table S5: **LipsLev** verified accuracy in FakeNews over the first 1, 000 validation samples and up to $k = 10$. We observe our method is able to verify non-trivial accuracy with even up to 10 character changes.

A.5 HYPERPARAMETER SELECTION

 In order to select the best learning rate in each dataset and p norm for the ERP distance, we compute the clean and verified accuracy at $k = 1$ in a validation set of 1,000 samples extracted from each training set. We test the learning rate values $\{0.1, 0.5, 1, 5, 10, 50, 100, 500, 1000\}$. We train convolutional models with 1 convolutional layer and the standard embedding, hidden and kernel sizes in Section [5.1.](#page-5-3) We notice these large learning rates are needed due to the 1-Lipschitz formulation in Eq. [\(7\)](#page-5-1).

Figure S3: Learning rate selection for the SST-2 and AG-News datasets: We report the clean and verified accuracy in a validation set of 1,000 sentences extracted from the training split of each dataset and set aside during training. We set the learning rate equal to 100 in the rest of our experiments as it provides a good trade-off between clean and verified accuracy for all norms and datasets.

Figure S4: Training deeper models in AG-News: We report the clean and verified accuracies with LipsLev at $k = 1$ for $p \in \{1, 2, \infty\}$. Clean and verified accuracies decrease with the number of layers. With $p = 2$ the performance is less degraded with the number of layers.

Based on the results from Fig. [S3,](#page-15-1) we select 100 as our learning rate for the rest of experiments in this work.

A.6 TRAINING DEEPER MODELS

 In this section, we study the performance of models with more than one convolutional layer. We train with 1, 2, 3 and 4 convolutional layers with a hidden size of 100 and a kernel size of 5 and for SST-2 and AG-News respectively. We train the models with the 1-Lipschitz formulation in Eq. [\(7\)](#page-5-1) with $p \in \{1, 2, \infty\}$.

 In Figs. [S4](#page-15-2) and [S5](#page-16-1) we can observe that increasing the number of layers degrades the clean and verified accuracy for every value of p. Nevertheless, for $p = 2$, the effect is diminished. Jointly with the improved performance when using $p = 2$ in Section [5.2,](#page-6-0) we advocate for its use in the ERP distance. We believe this performance degradation is related to the gradient attenuation phenomenon [\(Li et al., 2019\)](#page-10-15). It remains an open problem to avoid gradient attenuation in the case where the Lipschitz constant of the ERP distance is enforced to be 1.

 In Table [S6](#page-16-2) we can observe that our models have low latencies. Noticeably, with $p = 2$ we observe a larger latency than with $p \in \{1, \infty\}$. This is due to the need to compute the espectral norm at each

Figure S5: Training deeper models in SST-2: We report the clean and verified accuracies with LipsLev at $k = 1$ for $p \in \{1, 2, \infty\}$. Clean and verified accuracies decrease with the number of layers. With $p = 2$ the performance is less degraded with the number of layers.

iteration. Nevertheless, this cost is still low and only incurred during training, as by rescaling the weights, we can any model in Eq. [\(7\)](#page-5-1) formulation as a model in Eq. [\(6\)](#page-3-3).

B PROOFS

In this section we introduce the mathematical tools needed to derive our Lipschitz constant upper bounds for each layer in Eq. [\(6\)](#page-3-3). The section concludes with the proof of our main result in Theorem [4.3.](#page-4-0) In Appendix [B.1](#page-20-0) we present some remarks to be considered regarding global and local Lipschitz constants.

897 898 Definition S1 (Zero-paddings). Let $X \in \mathcal{X}_d$ a sequence of m non-zero vectors. Let $l \geq m$, a zero padding function $\mathbf{Z}: \mathcal{X}_d \to \mathbb{R}^{l \times d}$ is some function defined by the tuple:

$$
(i_k)_{k=1}^l : \begin{cases} m \ge i_k > i_j \ \forall 1 < j < k & \text{if } i_k \ne 0 \\ |\{k \in [l] : i_k = 0\}| = l - m & \text{if } i_k = 0 \end{cases}
$$

so that:

$$
\boldsymbol{z}_k(\boldsymbol{X}) = \begin{cases} \boldsymbol{x}_{i_k} & \text{if } i_k \neq 0\\ \boldsymbol{0} & \text{if } i_k = 0 \end{cases}
$$

904 905 906 907 Intuitively, a valid zero-padding function inserts $l - m$ zeros in between any vector of the sequence, the beginning or the end. We denote as $\mathcal{Z}_{m,l}$ the set of zero paddings from sequences of length m to sequences of length l .

908 909 *Remark* S2. Given a matrix $A \in \mathbb{R}^{m \times m}$ and a zero padding $\mathbf{Z} \in \mathcal{Z}_{m,l}$, we denote the column and row-wise padding as $\overline{Z}(A) = Z(Z(A^{\top})^{\top}) \in \mathbb{R}^{l \times l}$.

910 911 912 Proposition S3 (Alternative definition of d_{ERP}^p). Let d_{ERP}^p be as in Definition [4.1.](#page-4-4) Let $A \in \mathbb{R}^{m \times d}$ and $B \in \mathbb{R}^{n \times d}$ be two sequences. Let $\mathcal{Z}_{m,m+n}$ and $\mathcal{Z}_{n,m+n}$ be the zero-padding functions from *length* m *and* n *respectively to length* m + n*. The ERP distance can be expressed as:*

$$
d_{\text{ERP}}^p(\bm{A}, \bm{B}) = \min_{\bm{Z}^a \in \mathcal{Z}_{m,m+n}, \bm{Z}^b \in \mathcal{Z}_{n,m+n}} \sum_{k=1}^{m+n} ||z_k^a(\bm{A}) - z_k^b(\bm{B})||_p
$$

914 915 916

913

917 Lemma S4 (Properties of the ERP distance). *Some important properties of the ERP distance are summarized here:*

(a) Generalization of edit distance:

In the case of having sequences of one-hot vectors $\bm A \in \{0,1\}^{m \times d} : ||\bm a_i||_1 = 1$, and using $p = \infty$, the ERP distance is equal to the edit distance [\(Levenshtein et al., 1966\)](#page-10-2).

(b) Invariance to the concatenation of zeros:

$$
d_{\textit{ERP}}^p(\boldsymbol{A}\oplus \boldsymbol{0}, \boldsymbol{B}) = d_{\textit{ERP}}^p(\boldsymbol{0}\oplus \boldsymbol{A}, \boldsymbol{B}) = d_{\textit{ERP}}^p(\boldsymbol{A}, \boldsymbol{B}) \ \, \forall \boldsymbol{A}\in \mathbb{R}^{m\times d}, \boldsymbol{B}\in \mathbb{R}^{n\times d}
$$

(c) Distance to the empty set:

$$
d^p_{\textit{ERP}}(\bm{A}, \emptyset) = \sum_{i=1}^m ||\bm{a}_i||_p \ \ \forall \bm{A} \in \mathbb{R}^{m \times d}
$$

- *(d) Symmetry:* $d_{\textit{ERP}}^{\overline{p}}(\boldsymbol{A}, \boldsymbol{B}) = d_{\textit{ERP}}^{p}(\boldsymbol{B}, \boldsymbol{A}) \enspace \forall \boldsymbol{A} \in \mathbb{R}^{m \times d}, \boldsymbol{B} \in \mathbb{R}^{n \times d}$
- *(e) Triangular inequality: For any* $A \in \mathbb{R}^{m \times d}$, $B \in \mathbb{R}^{n \times d}$, $B \in \mathbb{R}^{l \times d}$, we have:

$$
d_{\textit{ERP}}^p(\boldsymbol{A}, \boldsymbol{B}) \leq d_{\textit{ERP}}^p(\boldsymbol{A}, \boldsymbol{C}) + d_{\textit{ERP}}^p(\boldsymbol{C}, \boldsymbol{B}).
$$

(f) Subdistance:

The ERP distance is not a distance because of its invariance to the concatenation of zeros:

$$
d^p_{\textit{ERP}}(\bm{A}, \bm{A}\oplus \bm{0}) = d^p_{\textit{ERP}}(\bm{A}, \bm{A}) = 0 \ \, \forall \bm{A} \in \mathbb{R}^{m \times d}
$$

proof of Lemma [S4.](#page-17-0) Properties (a), (b), (c), (d) and (f) are straightforward from the deffinition, we will prove the triangular inequality (e). This proof follows similarly to the one of [Waterman et al.](#page-12-10) [\(1976\)](#page-12-10) for the standard Levenshtein distance. Let $L = m + n + l$, starting from the definition in Proposition [S3:](#page-16-3)

$$
d_{\text{ERP}}^p(\boldsymbol{A}, \boldsymbol{B}) + d_{\text{ERP}}^p(\boldsymbol{B}, \boldsymbol{C}) = \min_{\substack{\boldsymbol{Z}^a \in \mathcal{Z}_{m, L}, \boldsymbol{Z}^b \in \mathcal{Z}_{n, L} \\ \boldsymbol{Z}^c \in \mathcal{Z}_{n, L}, \boldsymbol{Z}^d \in \mathcal{Z}_{l, L}}} \sum_{k=1}^L \left|\left| \boldsymbol{z}_k^a(\boldsymbol{A}) - \boldsymbol{z}_k^b(\boldsymbol{B}) \right| \right|_p + \sum_{j=1}^L \left|\left| \boldsymbol{z}_j^c(\boldsymbol{B}) - \boldsymbol{z}_j^d(\boldsymbol{C}) \right| \right|_p.
$$

Let $\mathbf{Z}^e, \mathbf{Z}^f \in \mathcal{Z}_{L,2L}$ be two zero paddings so that $\mathbf{Z}^e(\mathbf{Z}^b(B)) = \mathbf{Z}^f(\mathbf{Z}^c(B))$:

$$
d_{\text{ERP}}^p(\boldsymbol{A}, \boldsymbol{B}) + d_{\text{ERP}}^p(\boldsymbol{B}, \boldsymbol{C}) = \min_{\substack{\boldsymbol{Z}^a \in \mathcal{Z}_{m, L}, \boldsymbol{Z}^b \in \mathcal{Z}_{n, L} \\ \boldsymbol{Z}^c \in \mathcal{Z}_{n, L}, \boldsymbol{Z}^d \in \mathcal{Z}_{l, L}}} \sum_{k=1}^{2L} \left|\left| \boldsymbol{z}_k^e(\boldsymbol{Z}^a(\boldsymbol{A})) - \boldsymbol{z}_k^e(\boldsymbol{Z}^b(\boldsymbol{B})) \right| \right|_p
$$

$$
+\left|\left|z_k^f(\bm{Z}^c(\bm{B})) - z_k^f(\bm{Z}^d(\bm{C}))\right|\right|_p
$$

[Triangular ineq. for
$$
||\cdot||_p] \ge \min_{\substack{\mathbf{Z}^a \in \mathcal{Z}_{m,L}, \mathbf{Z}^b \in \mathcal{Z}_{n,L} \\ \mathbf{Z}^c \in \mathcal{Z}_{n,L}, \mathbf{Z}^d \in \mathcal{Z}_{l,L}}} \sum_{k=1}^{2L} ||z_k^e(\mathbf{Z}^a(\mathbf{A})) - z_k^e(\mathbf{Z}^b(\mathbf{B}))||_p^e
$$

$$
+ z_k^f(\boldsymbol{Z}^c(\boldsymbol{B})) - z_k^f(\boldsymbol{Z}^d(\boldsymbol{C})) \Big\|_p
$$

$$
[Z^e(\boldsymbol{Z}^b(\boldsymbol{B})) = Z^f(\boldsymbol{Z}^c(\boldsymbol{B}))] = \underset{\boldsymbol{Z}^a \in \mathcal{Z}_{m,L}, \boldsymbol{Z}^d \in \mathcal{Z}_{l,L}}{\text{min}} \sum_{k=1}^{2L} \Big|\Big| z_k^e(\boldsymbol{Z}^a(\boldsymbol{A})) - z_k^f(\boldsymbol{Z}^d(\boldsymbol{C})) \Big\|_p
$$

$$
= d_{\text{ERP}}^p(\boldsymbol{A}, \boldsymbol{C}),
$$

where the last equality follows from $z_k^e(Z^a)$ and $z_k^f(Z^d)$ being valid zero paddings.

 \Box

972 973 Lemma S5 (Difference of sums). Let $A, B \in \mathcal{X}_d$, we have that:

$$
\left|\left|\sum_{i=1}^m\bm{a}_i-\sum_{j=1}^n\bm{b}_j\right|\right|_p\leq d_{\textit{ERP}}^p(\bm{A},\bm{B})
$$

proof of Lemma [S5.](#page-17-1)

$$
\left\| \sum_{i=1}^{m} a_i - \sum_{j=1}^{n} b_j \right\|_p = \left\| \min_{\mathbf{Z}^a \in \mathcal{Z}_{m,m+n}, \mathbf{Z}^b \in \mathcal{Z}_{n,m+n}} \sum_{k=1}^{m+n} z_k^a(A) - z_k^b(B) \right\|_p
$$

$$
\leq \min_{\mathbf{Z}^a \in \mathcal{Z}_{m,m+n}, \mathbf{Z}^b \in \mathcal{Z}_{n,m+n}} \sum_{k=1}^{m+n} ||z_k^a(A) - z_k^b(B)||_p
$$

$$
= d_{\text{ERP}}^p(A, B)
$$

Lemma S6 (Difference of means). Let $A, B \in \mathcal{X}_d$, we have that:

$$
\left\|\frac{1}{m}\cdot\sum_{i=1}^m\bm{a}_i-\frac{1}{n}\cdot\sum_{j=1}^n\bm{b}_j\right\|_p\leq \frac{|m-n|}{m\cdot n}\cdot\left\|\sum_{i=1}^m\bm{a}_i\right\|_p+\frac{1}{n}\cdot d_{\textit{ERP}}^p(\bm{A},\bm{B})
$$

and

$$
\left\|\frac{1}{m}\cdot\sum_{i=1}^m\bm{a}_i-\frac{1}{n}\cdot\sum_{j=1}^n\bm{b}_j\right\|_p\leq \frac{|m-n|}{m\cdot n}\cdot\left\|\sum_{j=1}^m\bm{b}_j\right\|_p+\frac{1}{m}\cdot d_{\mathit{ERP}}^p(\bm{A},\bm{B})\,.
$$

In the case of A *and* B *being sequences of one-hot vectors, we have that:*

$$
\left\|\frac{1}{m}\cdot\sum_{i=1}^m\bm{a}_i-\frac{1}{n}\cdot\sum_{j=1}^n\bm{b}_j\right\|_{\infty}\leq \left\{\frac{\frac{1}{m}\cdot d_{lev}\left(\bm{A},\bm{B}\right)}{\frac{2}{m}\cdot d_{lev}\left(\bm{A},\bm{B}\right)}\quad \text{if}\ \ m=n}{\bm{b}}.
$$

proof of Lemma [S6.](#page-18-0) Starting with the first result:

$$
\left\| \frac{1}{m} \cdot \sum_{i=1}^{m} a_i - \frac{1}{n} \cdot \sum_{j=1}^{n} b_j \right\|_p = \frac{1}{m \cdot n} \left\| (n+m-m) \cdot \sum_{i=1}^{m} a_i - m \cdot \sum_{j=1}^{n} b_j \right\|_p
$$

$$
\leq \frac{1}{m \cdot n} \left(|m-n| \cdot \left\| \sum_{i=1}^{m} a_i \right\|_p + m \cdot \left\| \sum_{i=1}^{m} a_i - \sum_{j=1}^{n} b_j \right\|_p \right)
$$

$$
\begin{array}{c} 1013 \\ 1014 \\ 1015 \end{array}
$$

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$$
\text{[Lemma S5]} \leq \frac{|m-n|}{m \cdot n} \cdot \left\| \sum_{i=1}^{m} a_i \right\|_p + \frac{1}{n} \cdot d_{\text{ERP}}^p(A, B) .
$$

 $j=1$

 \Box

1019 1020 Note that since A and B are interchangeable, we immediately have:

$$
\begin{array}{c}\n\frac{1021}{1022} \\
\frac{1022}{1023}\n\end{array}\n\qquad\n\left|\n\begin{array}{c}\n\frac{1}{m} \cdot \sum_{i=1}^{m} a_i - \frac{1}{n} \cdot \sum_{j=1}^{n} b_j\n\end{array}\n\right|\n\left|\n\begin{array}{c}\n\frac{1}{m-n} \cdot \left|\n\begin{array}{c}\n\sum_{j=1}^{n} b_j\n\end{array}\n\right|\n\end{array}\n\right|\n\frac{1}{p} + \frac{1}{m} \cdot d_{\rm ERP}^p(A, B)\n\end{array}.\n\qquad (8)
$$

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1025 In the case A and B are sequences of one-hot vectors, if $m = n$, we can directly get the $1/m$ factor out of the norm and apply Lemma [S5](#page-17-1) to get the first case. For the case $m \neq n$, we can manipulate Eq. [\(8\)](#page-18-1) to get the desired result:

$$
\left\| \frac{1}{m} \cdot \sum_{i=1}^{m} a_i - \frac{1}{n} \cdot \sum_{j=1}^{n} b_j \right\|_{\infty} \le \frac{|m-n|}{m \cdot n} \cdot \left\| \sum_{j=1}^{n} b_j \right\|_{\infty} + \frac{1}{m} \cdot d_{\text{lev}}(A, B)
$$

 \Box

$$
[|m - n| \le d_{\text{lev}}(A, B) + \text{Triangle} \text{ ineq.}] \le \left(\frac{1}{m \cdot n} \cdot \sum_{j=1}^{n} ||b_j||_{\infty} + \frac{1}{m}\right) \cdot d_{\text{lev}}(A, B)
$$

$$
[||b_j||_{\infty} = 1 \ \forall j \in [n]] = \frac{2}{m} \cdot d_{\text{lev}}(A, B).
$$

Lemma S7 (Linear transformations). Let $A, B \in \mathcal{X}_d$ be two sequences and $V \in \mathbb{R}^{d \times k}$. We have *that:*

$$
d^p_{\textit{ERP}}(\boldsymbol{AV},\boldsymbol{BV}) \leq d^p_{\textit{ERP}}(\boldsymbol{A},\boldsymbol{B})\left|\left|\boldsymbol{V}\right|\right|_p
$$

1043 1044 *In the case of sequences of one-hot vectors, we have that:*

$$
d_{ERP}^{p}(\boldsymbol{A}\boldsymbol{V},\boldsymbol{B}\boldsymbol{V}) \leq d_{Lev}(\boldsymbol{A},\boldsymbol{B})\cdot M(\boldsymbol{V}),
$$

1047 *where*

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$$
M(\bm{V}) = \max \{ \max_{i \in [d]} ||\bm{v}_i||_p, \max_{i,j \in [d]} ||\bm{v}_i - \bm{v}_j||_p \}
$$

1052 *Proof.* Follows immediately from Definition [4.1](#page-4-4) and the fact that $||AB|| \le ||A|| ||B||$ for any **1053** matrices A and B . The second result for one-hot vectors follows immediately from the fact that the **1054** biggest change in the embedding sequence that can be produced from a single-character change, is **1055** either given by inserting the character with the largest norm embedding (left side of the max), or **1056** replacing a character with the character that has the furthest away embedding in the ℓ_p norm (left **1057** side of the max). □

1059 1060 1061 Lemma S8 (Elementwise Lipschitz functions). Let d_{ERP}^p be as in Definition [4.1.](#page-4-4) Let $A \in \mathbb{R}^{m \times d}$ and $B \in \mathbb{R}^{n \times d}$ be two sequences. Let $f : \mathbb{R}^d \to \mathbb{R}^k$ be a Lipschitz function so that:

 $\left|\left| \bm{f}(\bm{a})-\bm{f}(\bm{b})\right|\right|_p \leq L_f \cdot \left|\left| \bm{a}-\bm{b}\right|\right|_p \ \ \forall \bm{a}, \bm{b} \in \mathbb{R}^d \,.$

1064 1065 Let $F(A) \in \mathbb{R}^{m \times k}$ and $F(B) \in \mathbb{R}^{n \times k}$ be the application of f to every vector in both sequences, *we immediately have that:*

$$
d_{\textit{ERP}}^p(\textit{\textbf{F}}(\textit{\textbf{A}}),\textit{\textbf{F}}(\textit{\textbf{B}})) \leq L_f \cdot d_{\textit{ERP}}^p(\textit{\textbf{A}},\textit{\textbf{B}})
$$

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1070 1071 1072 1073 Lemma S9 (Convolution). Let d_{ERP}^p be as in Definition [4.1.](#page-4-4) Let $P \in \{0, 1\}^{m \times d}$ and $Q \in \{0, 1\}^{n \times d}$ *be two sequences of* m *and* n *one hot-vectors respectively. Let the function working with arbitrary* $sequence$ length l be $\bm{F}:\{0,1\}^{l\times d}\to\mathbb{R}^{l\times r}$. Let the convolutional filter $\bm{C}:\mathbb{R}^{l\times r} \to \mathbb{R}^{(l+q-1)\times k}$ with kernel $\breve{\mathcal{K}} \in \mathbb{R}^{q \times k \times r}$, where q is the kernel size and k is the number of filters. We have that:

$$
d_{\textit{ERP}}^p\left(C(\pmb{F}(\pmb{P})), \pmb{C}(\pmb{F}(\pmb{Q})))\right) \ \leq M(\pmb{\mathcal{K}})\cdot d_{\textit{ERP}}^p\left(\pmb{F}(\pmb{P}), \pmb{F}(\pmb{Q})\right)\,.
$$

1076 *where:*

1077
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$$
M(\mathcal{K}) = \sum_{i=1}^{q} ||\mathbf{K}_{i}||_{p}.
$$

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\nLemma S4 and the definition of the convolutional layer in Definition 4.2:
\n1083
\n
$$
d_{\text{ERP}}^{p}(C(F(P)), C(F(Q)))
$$
\n1084
\n
$$
= \sum_{\substack{z^{n} \in \mathbb{Z}_{n+q-1,L}, \\ 1000}} \sum_{k=1}^{L} ||z_{k}^{a}(C(F(P))) - z_{k}^{b}(C(F(Q)))||_{p}
$$
\n1086
\n
$$
= \sum_{\substack{z^{n} \in \mathbb{Z}_{n+q-1,L}, \\ 1000}} \sum_{k=1}^{L} ||z_{k}^{a}(C(F(P))) - z_{k}^{b}(C(F(Q)))||_{p}
$$
\n1088
\n
$$
= \sum_{\substack{z^{n} \in \mathbb{Z}_{n+q-1,L}, \\ 1001}} \sum_{k=1}^{L} ||z_{k}^{a}(C(F(P))) - z_{k}^{b}(C(F(Q)))||_{p}
$$
\n1091
\n
$$
= \sum_{\substack{z^{n} \in \mathbb{Z}_{n+q-1,L}, \\ 1002 \in \mathbb{Z}_{n+q-1,L}, \\ 1003}} \sum_{\substack{z^{n} \in \mathbb{Z}_{n+q-1,L}, \\ 1004 \in \mathbb{Z}_{n+q-1,L}, \\ 1005 \in \mathbb{Z}_{n+q-1,L}, \\ 1006 \in \mathbb{Z}_{n+q-1,L}, \\ 1007 \in \mathbb{Z}_{n+q-1,L}, \\ 1008 \in \mathbb{Z}_{n+q-1,L}, \\ 1009 \in \mathbb{Z}_{n+q-1,L}, \\ 1009 \in \mathbb{Z}_{n+q-1,L}, \\ 1001 \in \mathbb{Z}_{n+q-1,L}, \\ 1001 \in \mathbb{Z}_{n+q-1,L}, \\ 1002 \in \mathbb{Z}_{n+q-1,L}, \\ 1003 \in \mathbb{Z}_{n+q-1,L}, \\ 1004 \in \mathbb{Z}_{n+q-1,L}, \\ 1005 \in \mathbb{Z}_{n+q-1,L}, \\ 1006 \in \mathbb{Z}_{n+q-1,L}, \\ 1007 \in \mathbb{Z}_{n+q-1,L}, \\ 1008 \in \mathbb{Z}_{n+q-1,L}, \\ 1009 \in
$$

Proof of Lemma S9. Let $L = m + n + 2q - 2$. Starting from the definition of the ERP distance in

where the last equality follows from the fact that $[f_{i+j-1}(P)]_{i=1}^{m+q-1}$ and $[f_{i+j-1}(Q)]_{i=1}^{n+q-1}$ are just windows of $F(P)$ and $F(Q)$ respectively including the complete sequences $F(P)$ and **1109 1110 1111** F(Q), resulting in d p ERP [fi+j−1(P)]m+q−¹ ⁱ=1 , [fi+j−1(Q)]n+q−¹ ⁱ=1 = d p ERP (F(P),F(Q)) ∀j = **1112** $1, \cdots, q$. \Box **1113**

1114 1115 1116 *Proof of Theorem [4.3.](#page-4-0)* We will bound the absolute value of the difference of outputs for two sentences $P, Q \in S(\Gamma)$ of lengths m and n respectively. For any y and \hat{y} :

$$
\left|g_{y,\hat{y}}(\boldsymbol{P})-g_{y,\hat{y}}(\boldsymbol{Q})\right| \coloneqq \left|\left(\sum_{i=1}^{m+l\cdot (q-1)}\sigma\left(c_i^{(l)}\left(\boldsymbol{P}\boldsymbol{E}\right)\right)-\sum_{j=1}^{n+l\cdot (q-1)}\sigma\left(c_j^{(l)}\left(\boldsymbol{Q}\boldsymbol{E}\right)\right)\right)(\boldsymbol{w}_{\hat{y}}-\boldsymbol{w}_{y})\right|
$$
\n[Hölder's inequality]

\n
$$
\leq \left|\left|\boldsymbol{w}_{\hat{y}}-\boldsymbol{w}_{y}\right|\right|_{r} \cdot \left|\left|\sum_{i=1}^{m+l\cdot (q-1)}\sigma\left(c_i^{(l)}\left(\boldsymbol{P}\boldsymbol{E}\right)\right)-\sum_{j=1}^{n+l\cdot (q-1)}\sigma\left(c_j^{(l)}\left(\boldsymbol{Q}\boldsymbol{E}\right)\right)\right|\right|_{p}
$$

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[Hölder's inequality]
$$
\leq ||\mathbf{w}_{\hat{y}} - \mathbf{w}_{y}||_{r} \cdot \left\| \sum_{i=1}^{m+l \cdot (q-1)} \sigma\left(c_{i}^{(l)}\left(\mathbf{P} \mathbf{E} \right) \right) - \sum_{j=1}^{n+l \cdot (q-1)} \sigma\left(c_{j}^{(l)}\left(\mathbf{Q} \mathbf{E} \right) \right) \right\|
$$

\n[Lemma S5] $\leq ||\mathbf{w}_{\hat{y}} - \mathbf{w}_{y}||_{r} \cdot d_{\text{ERP}}^{p} \left(\sigma\left(C^{(l)}\left(\mathbf{P} \mathbf{E} \right) \right), \sigma\left(C^{(l)}\left(\mathbf{Q} \mathbf{E} \right) \right) \right)$
\n[Lemma S8 and Lemma S9 recursively] $\leq ||\mathbf{w}_{\hat{y}} - \mathbf{w}_{y}||_{r} \cdot \left(\prod_{i=1}^{l} M(\mathcal{K}^{(k)}) \right) \cdot d_{\text{ERP}}^{p}(\mathbf{P} \mathbf{E}, \mathbf{Q} \mathbf{E})$

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$$
[\text{Lemma S7}] \leq ||\mathbf{w}_{\hat{y}} - \mathbf{w}_{y}||_{r} \cdot \left(\prod_{k=1}^{l} M(\mathcal{K}^{(k)})\right) \cdot M(\mathbf{E}) \cdot d_{\text{Lev}}(\mathbf{P}, \mathbf{Q}) .
$$

 \Box

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1131 B.1 REMARKS REGARDING GLOBAL AND LOCAL LIPSCHITZ CONSTANTS

1133 In this section we introduce some interesting remarks regarding how global and local Lipschitz constants are employed in this work. We define global and local Lipschitz constants as:

 Definition S10 (Global Lipschitz constant). Let $g : \mathcal{S}(\Gamma) \to \mathbb{R}$ and d_{Lev} be defined as in Section [3.](#page-2-2) We say G is a global Lipschitz constant if: $|g(P) - g(Q)| \leq G \cdot d_{\text{Lev}}(P,Q) \ \ \forall P,Q \in \mathcal{S}(\Gamma).$ The Lipschitz constant G in Definition [S10](#page-21-0) is valid for any two sentences P and Q , one example is our Lipschitz constant in Theorem [4.3.](#page-4-0) When additional conditions are posed on the set of sentences where G is valid, we say a Lipschitz constant is *local*. A specific case case of locality is when the Lipschitz constant depends on one of the arguments of the distance as $G(P)$, this is the kind of local Lipschitz constants we observe in this work: **Definition S11** (Local Lipschitz constant). Let $g : \mathcal{S}(\Gamma) \to \mathbb{R}$ and d_{Lev} be defined as in Section [3.](#page-2-2) We say $G : \mathcal{S}(\Gamma) \to \mathbb{R}^+$ is a global Lipschitz constant if: $|q(P) - q(Q)| \leq G(P) \cdot d_{\text{Lev}}(P,Q) \ \forall P,Q \in \mathcal{S}(\Gamma).$ Some examples of such local Lipschitz constants are Remark [4.5](#page-4-2) and Corollary [4.6.](#page-5-2) Some properties to consider regarding global and local Lipschitz constants are: *Remark* S12 (Global Lipschitz constants upper bound local Lipschitz constants)*.* Let G be a global Lipschitz constant as in Definition [S10](#page-21-0) and $G : \mathcal{S}(\Gamma) \to \mathbb{R}^+$ a local Lipschitz constant as in Definition [S11,](#page-21-1) we have that: $G(\mathbf{P}) \leq G \quad \forall \mathbf{P} \in \mathcal{S}(\Gamma)$. *Remark* S13 (Local Lipschitz constants might not hold everywhere). Let $G : \mathcal{S}(\Gamma) \to \mathbb{R}^+$ be a local Lipschitz constant as in Definition [S11,](#page-21-1) there might exist some $P, Q, R \in S(\Gamma)$ such that: $|q(\boldsymbol{Q}) - q(\boldsymbol{R})| > G(\boldsymbol{P}) \cdot d_{\text{Lev}}(\boldsymbol{Q}, \boldsymbol{R})$. Remarks [S12](#page-21-2) and [S13](#page-21-3) highlight the two key aspects of local Lipschitz constants. While the bound is tighter than for global Lipschitz constants (Remark [S12\)](#page-21-2), these bounds can only be employed to give the certified radius around the sentence P where we compute the local Lipschitz constant $G(P)$, otherwise, the Lipschitzness property is lost (Remark [S13\)](#page-21-3).