

# Fairly Stable Two-Sided Matching with Indifferences

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## Abstract

Stability has been a foundational criterion for two-sided matching. When agents on one side have weak preferences involving indifferences, the seminal work of Kesten and Ünver [2015] proposes the *Fractional Deferred Acceptance* (FDA) algorithm for computing a fractional matching that satisfies (ex ante) stability along with a fairness criterion that ensures no discrimination among (equally-preferred) agents on one side.

We show that their algorithm can actually fail to terminate, refuting their claim of (polynomial-time) termination. Using substantially new algorithmic ideas, we develop an algorithm, *Doubly-Fractional Deferred Acceptance Via Strongly Connected Components* (DFDA-SCC), which can handle agents on both sides exhibiting indifferences and, in polynomial time, compute a fractional matching satisfying ex ante stability and no ex ante discrimination among agents on both sides.

## 1 Introduction

Ever since the seminal work of Gale and Shapley [1962], the problem of two-sided matching has influenced not only a vast sea of academic research at the intersection of economics and computer science [Roth, 2008; Chiappori and Salanié, 2016], but also a wide range of real-world applications ranging from school admissions and placement of hospital residents to course allocation and centralized kidney markets [Biró, 2017].

The simplest formulation involves two sets of agents,  $N$  (“proposers”) and  $M$  (“acceptors”), with  $|N| = |M|$  and each agent  $i \in N \cup M$  having preferences  $\succsim_i$  over agents on the other side. The goal is to find a desirable one-to-one matching  $x$  between agents on the two sides based on their preferences. Much of the prior work assumes *strict preferences*, where each agent  $i$  has a total order  $\succ_i$  over agents on the other side, and seeks *integral matchings*, where each agent is matched to a unique agent on the other side, i.e.,  $x \in \{0, 1\}^{N \times M}$  with  $\sum_{j' \in M} x_{ij'} = \sum_{i' \in N} x_{i'j} = 1$  for all  $i \in N$  and  $j \in M$ . A celebrated example is the polynomial-time *Deferred Acceptance* (DA) algorithm by Gale and Shapley [1962], which

satisfies *stability*: no pair of proposer and acceptor who are not matched to each other should prefer each other over the agents they are respectively matched to. Many extensions of DA have been proposed to handle real-world nuances such as agent capacities [Roth, 1985], “couples constraints” [Roth, 1984], and decentralized implementations [Roth and Vate, 1990].

One such practical consideration is agents having *weak preferences* that exhibit indifferences (ties). Such indifferences are commonplace in real-world applications. For example, in a school choice program, schools prioritize students based on only a few criteria, such as the walk zone and sibling criteria [Abdulkadiroğlu *et al.*, 2005], inducing ties among many students. When indifferences are allowed, one thread of the literature still continues to focus on integral matchings. Erdil and Ergin [2017] show that, while stability already implies Pareto optimality under strict preferences, finding a stable and Pareto optimal matching is a much more involved task in the presence of indifferences. Manlove *et al.* [2002] show that maximizing the number of agents matched in a stable matching is NP-hard in the presence of indifferences; this can be approximated up to a factor of  $3/2$  [McDermid, 2009] ( $1 + 1/e$  if indifferences exist only on one side [Lam and Plaxton, 2019]).

However, when one adds *fairness* considerations to the mix, it becomes evident that one must allow a fractional matching, where  $x \in [0, 1]^{N \times M}$  and  $x_{ij}$  denotes the degree to which the pair of agents  $i$  and  $j$  are matched (consider the case of agents  $i, i'$  on one side who both strongly prefer some agent  $j$  of the other side, and while  $j$  prefers both  $i$  and  $i'$  to all other agents, it is indifferent between the two. Clearly, the fairest way to assign this matching is to match each of  $i$  and  $i'$  with half of  $j$ ).

This simple observation has inspired a fostering literature on seeking fractional matchings that are both *stable* and *fair* in the presence of indifferences (For a more detailed look at the state of the art for current matching algorithms, we include an extended discussion in Appendix A). The seminal work of Kesten and Ünver [2015] studies a model in which only acceptors can have indifferences (while proposers have strict preferences), and seeks two criteria (see Section 2 for formal definitions):

- *ex ante stability*, a suitable adaptation of stability for fractional matchings demanding that no pair of agents

$i$  and  $j$  be able to even increase their degree of match by decreasing their degrees of matches to less-preferred agents; and

- *no ex ante discrimination (among proposers)*, a fairness criterion which informally requires that there should be no discrimination between two proposers being matched to an acceptor when the acceptor is indifferent between them.

Kesten and Ünver define *Fractional Deferred Acceptance* (FDA), a generalization of DA that achieves both these guarantees simultaneously. While this work has been able to cleanly answer the question of what happens when there are indifferences on one sides, it is much more unclear how these definitions can be met with respect to both sides simultaneously when all agents can be indifferent. This causes us to raise the following question.

*When agents on both sides exhibit indifferences, does there always exist a matching that is simultaneously stable (in the sense of ex ante stability) and fair (in the sense of no ex ante discrimination) to agents on both sides? If so, can it be computed in polynomial time?*

## 1.1 Our Contributions

Our main contribution is to answer both questions affirmatively, but our story actually begins with a closer examination of the seminal work of Kesten and Ünver [2015]. In more detail, they define Fractional Deferred Acceptance (FDA) as a natural iterative procedure of proposals and rejections to find a matching satisfying both ex ante stability and no ex ante discrimination among proposers; they term this combination of axioms *strong ex ante stability*. While FDA may not terminate, a result of Alkan and Gale [2003] can be used to show that it converges to the proposer-optimal strongly ex ante stable matching,<sup>1</sup> although Kesten and Ünver give a direct proof of this. Let us refer to this matching as the FDA matching.

The reason that FDA may not terminate is that it can get stuck in an infinite loop wherein agents in a cycle keep proposing/rejecting to the next agent in the cycle, but the degree of match being proposed/rejected diminishes over time, leading to convergence. Kesten and Ünver design an algorithm that detects such a cycle when it forms and immediately “jumps” to the matching that infinitely many proposals/rejections along the cycle would lead to. They claim that this algorithm, which we refer to as FDA-CYCLE, finds the FDA matching in polynomial time.

Our first significant contribution is to show that this is incorrect. We present an example where even the FDA-CYCLE ends up in an infinite loop, despite “resolving cycles” immediately as they arise. This makes polynomial-time computation of a strongly ex ante stable matching an open question once again. We resolve this positively, while extending the model of Kesten and Ünver [2015] to allow indifferences and achieve no ex ante discrimination on *both sides*.

<sup>1</sup>This means a strongly ex ante stable matching that is weakly ordinally preferred to every other strongly ex ante stable matching by every proposer simultaneously.

First, we define Doubly-Fractional Deferred Acceptance (DFDA), a natural iterative procedure similar to FDA, but which incorporates indifferences on both sides. We show that DFDA satisfies ex ante stability, no ex ante discrimination among both proposers and acceptors, and a fourth axiom we term *ex ante indifference neutrality*; we term the combination of all four axioms *doubly-strong ex ante stability*. By invoking the framework of Alkan and Gale [2003], we show that, while DFDA may not terminate, it converges to a proposer-optimal doubly-strong ex ante stable matching.

Next, we design our polynomial-time algorithm. The insight we obtain from our counterexample to FDA-CYCLE is that it is not sufficient to resolve one *cycle* at a time. Instead, our algorithm detects entire *strongly connected components* as they arise (or even before they arise), and resolves them by jumping to their resultant matching. We show that this algorithm, which we term DFDA-SCC, in fact terminates in polynomial time and returns a doubly-strong ex ante stable matching; this is our main contribution with a highly intricate proof. There is one key difference between our work and that of Kesten and Ünver [2015]. While FDA-CYCLE exactly mimics (a serialization of) FDA and thus converges to the same matching (despite failing to terminate), DFDA-SCC does not exactly mimic DFDA. Despite significant effort, we are unable to prove that it returns the same matching that DFDA converges to (or at least a proposer-optimal matching), but conjecture this to be the case. We discuss this issue at length in Section 6.

## 2 Preliminaries

For  $k \in \mathbb{N}$ , define  $[k] \triangleq \{1, \dots, k\}$ . There are two sets of agents,  $N$  and  $M$ , with  $|N| = |M|$ . We use  $i, i', i''$  to refer to agents in  $N$ , called *proposers*, and  $j, j', j''$  to refer to agents in  $M$ , called *acceptors*. Each proposer  $i \in N$  has *weak preferences* over acceptors in  $M$  given by a strict weak ordering  $\succsim_i$ , which partitions  $M$  into equivalence classes  $E_i = \{E_{i1}, \dots, E_{ik_i}\}$ , for some  $k_i \in \mathbb{N}$ , such that: (i) for all  $t \in [k_i]$ , proposer  $i$  is *indifferent* between all acceptors  $j, j' \in E_{it}$ , denoted by  $j \sim_i j'$ , and (ii) for all  $t, t' \in [k_i]$  with  $t > t'$ , proposer  $i$  *strictly prefers* any acceptor  $j \in E_{it}$  to every acceptor  $j' \in E_{it'}$ , denoted by  $j \succ_i j'$ . Similarly, each acceptor  $j \in M$  has a strict weak ordering  $\succsim_j$ , which partitions  $N$  into equivalence classes  $E_j = \{E_{j1}, \dots, E_{jk_j}\}$ , for some  $k_j \in \mathbb{N}$ , such that  $i \sim_j i'$  for all  $t \in [k_j]$  and  $i, i' \in E_{jt}$  and  $i \succ_j i'$  for all  $t, t' \in [k_j]$  with  $t > t'$ ,  $i \in E_{jt}$ , and  $i' \in E_{jt'}$ . An ordinal two-sided matching problem is given by the four-tuple  $(N, M, \succsim_N = (\succsim_i)_{i \in N}, \succsim_M = (\succsim_j)_{j \in M})$ .

When  $k_i = |M|$  for each  $i \in N$  (i.e., there are no indifferences), we say that the proposers have strict preferences; when  $k_j = |N|$  for each  $j \in M$ , we say that the acceptors have strict preferences; and when both are true, we say that both sides have strict preferences.

A (*fractional*) *matching*  $x \in [0, 1]^{N \times M}$  is a doubly stochastic matrix satisfying  $\sum_{j' \in M} x_{ij'} = \sum_{i' \in N} x_{i'j} = 1$  for all  $i \in N$  and  $j \in M$ . We refer to row  $x_i = (x_{ij})_{j \in M}$  as the matching of proposer  $i \in N$  and column  $x_j = (x_{ij})_{i \in N}$  as the matching of acceptor  $j \in M$ . We also denote  $|x_i| \triangleq \sum_{j \in M} x_{ij}$  and  $|x_j| \triangleq \sum_{i \in N} x_{ij}$ . When  $x_{ij} \in \{0, 1\}$  for all

$i \in N$  and  $j \in M$ , we refer to the matching as *integral*.

## 2.1 Stability and Fairness Criteria

Our starting point is stability and fairness criteria for fractional matching introduced by Kesten and Ünver [2015].

**Definition 1** (Ex ante stability). A matching  $x$  is *ex ante stable* if there are no  $i, i' \in N$  and  $j, j' \in M$  such that  $j \succ_i j'$ ,  $i \succ_j i'$ ,  $x_{ij'} > 0$ , and  $x_{i'j} > 0$ . In words, no pair of proposer  $i$  and acceptor  $j$  should both be positively matched to agents they prefer less than each other.

For integral matchings, ex ante stability coincides with the popular stability criterion of Gale and Shapley [1962]; all stable integral matchings are ex ante stable, but there are often additional fractional matchings that are ex ante stable as well. For fractional matchings under *strict* preferences, ex ante stability coincides with *strong stability* defined and studied by Roth *et al.* [1993]. Ex ante stability is also same as the fractional stability criterion of Caragiannis *et al.* [2019a] for cardinal utilities, but with cardinal comparisons replaced by SD-preference relations defined above.<sup>2</sup>

While ex ante stability focuses on the strict portion of the preferences, the following fairness criterion focuses on indifferences.

**Definition 2** (No ex ante discrimination among proposers). A matching  $x$  has *no ex ante discrimination among proposers* if there are no  $i, i' \in N$  and  $j, j' \in M$  such that  $i \sim_j i'$ ,  $j \succ_i j'$ ,  $x_{ij'} > 0$ , and  $x_{ij} < x_{i'j}$ . In words, no proposer  $i$  should receive less of acceptor  $j$  than another proposer  $i'$  while still being positively matched to an acceptor  $j'$  she prefers strictly less than  $j$ , if  $j$  is indifferent between  $i$  and  $i'$ .

Kesten and Ünver [2015] also give a name to the combination of the above two criteria.

**Definition 3** (Strong ex ante stability). A matching  $x$  is *strongly ex ante stable* if it is ex ante stable and has no ex ante discrimination among proposers.

Kesten and Ünver [2015] assume that only acceptors in  $M$  can have indifferences while proposers in  $N$  have strict preferences. When both proposers and acceptors have weak preferences, as is the case in our general model, it is natural to symmetrically apply the no-discrimination criterion to acceptors based on indifferences in proposers' preferences. To the best of our knowledge, we are the first to consider this criterion of fairness.

**Definition 4** (No ex ante discrimination among acceptors). A matching  $x$  has *no ex ante discrimination among acceptors* if there are no  $i, i' \in N$  and  $j, j' \in M$  such that  $j \sim_i j'$ ,  $i \succ_j i'$ ,  $x_{i'j} > 0$ , and  $x_{ij} < x_{ij'}$ . In words, no acceptor  $j$  should receive less of proposer  $i$  than another acceptor  $j'$  while still being positively matched to a proposer  $i'$  she prefers strictly less than  $i$ , if  $i$  is indifferent between  $j$  and  $j'$ .

The two no-discrimination criteria stipulate desired behavior when indifferences on one side interact with strict preferences on the other side. While the no-discrimination criterion

<sup>2</sup>Based on common nomenclature, this would be called SD-fractional-stability.

addresses conditions where indifferences on one side interact with strict preferences on the other side, the following criterion that we introduce addresses conditions where indifferences on the two sides interact with each other. See Section 6 for additional discussion about this criterion.

**Definition 5** (Ex ante indifference neutrality). A matching  $x$  is *ex ante indifference neutral* if there are no  $i, i' \in N$  and  $j, j' \in M$  such that  $j \sim_i j'$ ,  $i \sim_j i'$ ,  $x_{ij} < \min\{x_{ij'}, x_{i'j}\}$ . In words, if proposer  $i$  and acceptor  $j$  prefer each other as much as they prefer acceptor  $j'$  and proposer  $i'$ , respectively, then they should be matched to a degree at least as much as the degree of match between either  $i$  and  $j'$  or  $i'$  and  $j$ . When agents have an innate preference to balance their degrees of matches to equally-preferred agents,<sup>3</sup> this makes sense: in case of the above violation, proposer  $i$  and  $j$  would “deviate” to increase  $x_{ij}$  to at least  $\min\{x_{ij'}, x_{i'j}\}$  as this would leave them both happier by increasing their balance.

When a matching meets all four criteria above, we call it *doubly-strong ex ante stable*.

**Definition 6** (Doubly-strong ex ante stability). A matching  $x$  is *doubly-strong ex ante stable* if it is ex ante stable, has no ex ante discrimination among proposers and among acceptors, and is ex ante indifference neutral.

## 2.2 Proposer-Optimal Matchings

Deferred-acceptance style algorithms often find a matching that not only satisfies desirable stability and fairness criteria but is in fact “proposer-optimal” among such matchings. This is formalized using *ordinal dominance*. First, we extend agents' preferences over individual agents to preferences over fractional matches using the (first-order) stochastic dominance (SD) relation.

**Definition 7** (SD-preferences). For proposer  $i \in N$  and two fractional matches  $x_i, y_i \in [0, 1]^M$ , we say that  $i$  weakly SD-preferences  $x_i$  to  $y_i$ , denoted  $x_i \succeq_i^{\text{SD}} y_i$ , if, for each  $j \in M$ , we have that  $\sum_{j' \in M: j' \succ_i j} x_{ij'} \geq \sum_{j' \in M: j' \succ_i j} y_{ij'}$ . We say that  $i$  strictly SD-preferences  $x_i$  to  $y_i$ , denoted  $x_i \succ_i^{\text{SD}} y_i$ , if  $x_i \succeq_i^{\text{SD}} y_i$  holds and at least one of its defining inequalities is strict. SD-preferences of each acceptor  $j \in M$  are defined symmetrically.

Next, we use the SD-preference relation to define ordinal dominance.

**Definition 8** (Ordinal dominance for the proposers). Given two matchings  $x, y \in [0, 1]^{N \times M}$ , we say that  $x$  *ordinarily dominates*  $y$  for the proposers, denoted  $x \succeq_N^{\text{SD}} y$ , if  $x_i \succeq_i^{\text{SD}} y_i$  for each  $i \in N$ .

Ordinal dominance can then be used to define a “best matching” for proposers within a set of matchings.

**Definition 9** (Proposer-optimality). Given a set  $X$  of matchings, a matching  $x \in X$  is *proposer-optimal* within  $X$  if, for every  $y \in X$ , we have that  $x \succeq_N^{\text{SD}} y$ .

<sup>3</sup>This is in fact formalized when we make a connection to the result of Alkan and Gale [2003] and impose a preference for balancedness to turn the weak preferences strict.

In general, it is possible that there is no proposer-optimal matching within  $X$ . Interestingly, though, the sets of strongly ex ante stable matchings and doubly-strong ex ante stable matchings always admit a proposer-optimal matching; Kesten and Ünver [2015] establish the former and Theorem 1 establishes the latter.

### 3 The Fault in Our Stars: Strong Ex Ante Stability in Finite Time?

Our story begins with the seminal work of Kesten and Ünver [2015], who study fractional matchings in the presence of indifference in acceptors' preferences, define strong ex ante stability (the combination of ex ante stability and no ex ante discrimination among proposers), and identify *Fractional Deferred Acceptance* (FDA), a natural adaptation of (integral) Deferred Acceptance (DA) of Gale and Shapley [1962], which produces a fractional matching provably satisfying strong ex ante stability. While we will not present all the formal details of their work, we must present enough for the reader to understand our first significant contribution, which is to identify (and, in later sections, fix) a major flaw in the main contribution of Kesten and Ünver [2015].

**Algorithm FDA.** A formal description of the FDA algorithm is presented as Algorithm 2 in Appendix B. Informally, it is an iterative process, which starts with an empty matching and every proposer having a free weight of 1. In each iteration, all proposers simultaneously propose their free weight to their respective most-preferred acceptors who have not yet rejected any of their proposals (even fractionally).<sup>4</sup> Then, each acceptor whose sum of matched weight and total proposed weights exceeds 1 rejects enough proposed weight such that this sum reduces to 1. The rejections happen in a water-filling manner—from the least preferred equivalence class to the most preferred, and within each equivalence class, at an equal rejection pace to all the highest-matched proposers at any given time. At the end, all unrejected proposed weights get added to the current fractional matching and all rejected proposed weights return to those proposers as free weights, which they propose in subsequent iterations.

The procedure is quite natural, but Kesten and Ünver observe that it has a critical flaw: there may be a cycle of agents  $i_1 \rightarrow j_1 \rightarrow i_2 \rightarrow \dots \rightarrow i_k \rightarrow j_k \rightarrow i_1$  such that in some iteration,  $i_1$  proposes some weight to  $j_1$ , who rejects some matched weight with  $i_2$ ; so in the next iteration,  $i_2$  proposes some weight to  $j_2$ , who rejects some matched weight with  $i_3$ ; at some point,  $j_k$  rejects some matched weight with  $i_1$ , who then proposes to  $j_1$  again; and this can continue indefinitely. Due to such cycles, which they term *rejection cycles*, FDA may never terminate.

Nonetheless, they observe that by viewing FDA as a specific instantiation of a more general two-sided “schedule matching” process studied by Alkan and Gale [2003], one can easily conclude that FDA *converges* to a matching—henceforth, the FDA matching—that is strongly ex ante stable, and, in fact, proposer-optimal within the set of such

<sup>4</sup>Recall that in their model, proposers have *strict preferences*, so such an acceptor is unique for each proposer.

matchings.<sup>5</sup> This still leaves the issue of finite-time computation of a strongly ex ante stable matching, leading to their main contribution.

**Algorithm FDA-CYCLE.** They propose an algorithm, which we refer to as FDA-CYCLE (presented formally as Algorithm 4 in Appendix B), which *allegedly* computes the FDA matching in polynomial time. First, they notice that the proposals can be serialized as the resulting matching is still unique and independent of the order of proposals (see their Corollary 1). In this serialized process, the infinitely many proposals and rejections along any rejection cycle can be viewed as consecutive iterations. However, instead of executing these infinitely many iterations, FDA-CYCLE detects a rejection cycle as soon as it forms and directly computes the matching that these infinitely many iterations would have converged to in finite time.

Formally, FDA-CYCLE keeps track of a *rejection graph*, which is a directed graph with the agents as nodes and edges  $i \rightarrow j \rightarrow i'$  exist (for all  $i, i' \in N$  and  $j \in M$ ) whenever  $x_{ij} > 0$ ,  $x_{i'j} > 0$ ,  $i$  has never been rejected by  $j$ , and  $i'$  has been rejected by  $j$ .<sup>6</sup> Intuitively, this tells us that whenever  $i$  proposes to  $j$ ,  $j$  will reject some fraction of  $i'$ .<sup>7</sup> FDA-CYCLE monitors this graph, and as soon as a directed cycle forms, it solves a linear program to compute the matching that infinitely many proposals and rejections across the cycle would converge to, “resolving” the cycle (temporarily).

**Erroneous claim.** Kesten and Ünver [2015] claim in their Proposition 3 that FDA-CYCLE terminates after a finite number of steps. Our first significant contribution is to show that this is incorrect. The issue lies in the last paragraph of their proof, presented in their Appendix B, which makes the following (rephrased) claim: “after all proposers make proposals, at least one proposer is rejected by one acceptor and has an outstanding fraction, or the algorithm converges, whether or not a [rejection] cycle occurs. Since there are  $|N|$  proposers and  $|M|$  acceptors, the algorithm converges after at most  $|N||M|$  steps”. It is not clear what they mean by a proposer being rejected by an acceptor, but the latter conclusion would hold if they mean a proposer is rejected by an acceptor either *for the first time* or *fully* (i.e., making their degree of match 0). It turns out that the former statement does not hold under either interpretation.

**Our counterexample.** Our first significant contribution is a counterexample in which FDA-CYCLE in fact fails to terminate, thus precluding the possibility of an alternative proof of its finite-time convergence. We emphasize that significant effort and careful analysis went into designing this counterex-

<sup>5</sup>They provide an independent proof for this too.

<sup>6</sup>Actually, in the rejection graph of Kesten and Ünver [2015], only the proposers are nodes, and instead of edges  $i \rightarrow j \rightarrow i'$ , they add an edge  $i \xrightarrow{j} i'$  labeled with the acceptor  $j$ ; these are equivalent representations.

<sup>7</sup>This is because  $j$  having rejected  $i'$  previously implies that  $j$  must be fully matched at the moment, so accepting any proposed weight requires it to reject some existing weight, and once it rejects agent  $i'$ , it continues to do so until  $x_{i'j} = 0$ .

ample. Due to the length of the argument, we defer the exact counterexample instance to Appendix C.

In short, at one point during the execution of FDA-CYCLE, a rejection cycle forms, which the algorithm resolves. Crucially, after the resolution, the cycle remains in the graph, albeit with no free weights left on the proposers. In the subsequent iterations, new edges get added to the rejection graph, causing another rejection cycle to form. Again, after the algorithm resolves this cycle, it remains in the graph. Later in the algorithm, a proposal occurs that requires re-resolving one of these cycle, which leads to another proposal that requires re-resolving the other cycle. Re-resolving the second cycle directly leads to re-resolving the first cycle again, essentially creating a cycle of cycles. FDA-CYCLE then continues for infinitely many steps.

This reopens the question of finite-time computation of a strongly ex ante stable matching. The main contribution of the next two sections is to uncover a novel insight that lets us overcome the limitation of FDA-CYCLE and design a novel polynomial-time algorithm, DFDA-SCC, which in fact computes a doubly-strong ex ante stable matching in the presence of indifferences on both sides.

Our counterexample highlights the key issue: when multiple cycles have paths to each other (i.e., they are part of the same strongly connected component), they can keep “re-activating” each other. This suggests that the right approach is to not resolve one cycle at a time, but rather resolve entire strongly connected components in one shot, which is precisely what we do later in Section 5.

## 4 Doubly-Fractional Deferred Acceptance

Before we present a polynomial-time algorithm, we take a slight detour and extend the model of Kesten and Ünver [2015] to allow indifferences on both sides, not only in acceptors’ preferences. The first step is to extend their infinite iterative procedure, Fractional Deferred Acceptance (FDA). We term our procedure *Doubly-Fractional Deferred Acceptance* (DFDA), and show that it produces a proposer-optimal doubly-strong ex ante stable matching via a reduction to the framework of Alkan and Gale [2003]. Then, in the next section, we design our DFDA-SCC algorithm, which somewhat mimics DFDA, resolves one strongly connected component (SCC) in each iteration, and provably terminates at a doubly-strong ex ante stable matching in polynomial time.

DFDA, (which is formally presented in Appendix B as Algorithm 3), is almost identical to FDA, with a simple and natural change to account for possible indifferences in the proposers’ preferences. Recall that in FDA, each proposer proposes all her free weight to the most-preferred acceptor who has not rejected any fraction of her, and this acceptor is unique due to strict preferences. In DFDA, each proposer considers the set of all (equally) most-preferred acceptors who have not rejected any fraction of her—note that they must all be part of the same equivalence class—and proposes to all of them simultaneously, evenly splitting her free weight between them. Thus, each iteration of DFDA witnesses both proposers proposing to multiple acceptors simultaneously and acceptors rejecting multiple proposers simulta-

neously, which explains the name of the algorithm. DFDA is a strict generalization of FDA, reducing to FDA when proposers have strict preferences.

We establish the desired properties of DFDA by invoking the general framework of Alkan and Gale [2003]. They study two-sided fractional matching under a broad class of preferences, given by the so-called (strict) “choice functions”. They prove that (1) the set of stable matchings—with a specific stability definition that we refer to as *AG-stability*—form a lattice structure, which admits a unique proposer-optimal matching under the strict choice-functions-based preferences; and (2) a natural iterative procedure converges to this unique proposer-optimal AG-stable matching. We take the weak preferences of proposers and acceptors and impose a secondary preference for “balancedness” to induce strict choice functions under which (1) AG-stability becomes equivalent to doubly-strong ex ante stability, thus establishing the existence of a proposer-optimal doubly-strong ex ante stable matching under the strict choice functions (which remains proposer-optimal under the original weak preferences), and (2) the iterative procedure of Alkan and Gale [2003] becomes equivalent to DFDA, which finds the aforementioned matching. This yields the following result; a formal proof, along with an introduction to the framework of Alkan and Gale [2003], is given in Appendix E.

**Theorem 1.** *DFDA converges to a proposer-optimal doubly-strong ex ante stable matching.*

## 5 A Polynomial-Time Algorithm for Doubly-Strong Ex Ante Stable Matching

Because DFDA coincides with FDA when proposers happen to have strict preferences, clearly we cannot resolve rejection cycles one at a time, otherwise we would have the same non-termination issue as FDA-CYCLE on our counterexample from Section 3. Based on the insight obtained from our counterexample, we propose a new algorithm, DFDA-SCC (Algorithm 1), which circumvents this issue by resolving an entire strongly connected component (SCC) in each iteration.

Our contribution lies not only in the design of this algorithm, but also in its analysis. For Kesten and Ünver [2015], it is easy to establish equivalence between FDA-CYCLE and FDA because FDA-CYCLE exactly follows a serialization of FDA, simply skipping-forward intermediate blocks of infinitely many iterations across individual rejection cycles. Unfortunately, this is not the case for DFDA-SCC: it is possible that one of its intermediate matchings may never be produced during any serialization of DFDA. It is still possible that DFDA-SCC is equivalent to DFDA by eventually producing the same matching (which would establish its proposer-optimality), but, sadly, we are unable to prove so and leave this as an open question. This is discussed at length in Section 6. Nonetheless, we are able to establish doubly-strong ex ante stability of DFDA-SCC, in addition to polynomial-time convergence. This is our main result with an intricate proof.

**Theorem 2.** *DFDA-SCC (Algorithm 1) terminates in polynomial time and returns a doubly-strong ex ante stable matching.*

**Description of DFDA-SCC.** Let us describe what DFDA-SCC (Algorithm 1) intuitively does.

The basis of our algorithm is the *proposal graph*, a directed bipartite graph in which there is a node for each proposer and acceptor. Each proposer  $i$  has directed edges to her most-preferred acceptors who have not yet rejected her; these are the acceptors she will propose to next. Each acceptor  $j$  has directed edges to her least-preferred proposers that she is matched to (and among this set, the ones who currently have the highest degree of match to  $j$ ); if  $j$  wishes to fractionally reject existing matches to accept proposals from more-preferred proposers, these are the proposers she will start rejecting.

In each iteration, the algorithm partitions the proposers into groups based on whether they are part of the same strongly connected component (SCC) of the current proposal graph and sorts these groups according to the topological order of the proposal graph. Then, it “resolves” each group (and its corresponding SCC) via a linear program (LP). For any proposer  $i$ , the SCC of the proposer graph that contains  $i$  is guaranteed to include all cycles containing  $i$ , as well as some “higher-order cycles” that cause infinite loops like in Section 3.

For space reasons, the linear program which the algorithm resolves for each SCC is fully described in Appendix D. At a high level, it simulates a series of fractional proposals and rejections in a continuous manner. Its goal is to maximize the total “flow” of weight through proposal edges of the proposal graph, subject to various constraints that force it to adhere to the rules of the DFDA algorithm, and force it to stop a sequence of proposals if some key event happens that would cause the proposal graph to change. The output of this LP are  $y, z$ . For any  $i \in N, j \in M$ ,  $y_{ij}$  represents the amount of weight  $i$  proposes to  $j$  in this iteration, and  $z_{ji}$  represents the amount of weight  $j$  rejects of  $i$ . We will also use  $y_i$  to refer to the total amount of weight proposed by  $i$  in this iteration.

The algorithm terminates when all proposers have no free weight remaining, which we will prove must occur after a polynomial number of iterations.

## 5.1 Analysis of DFDA-SCC

We are now ready to begin proving polynomial-time termination and doubly-strong ex ante stability of DFDA-SCC (Theorem 2).

### Proof of polynomial-time termination

The main technical lemmas we use to prove this fact revolves around a structural observation relating the last three constraints of LP-SCC to key events in the algorithm, which cause progress to be made. Specifically, all these events revolve around changes to the proposal graph, which correspond to proposers being either rejected for the first time by an acceptor, or fully rejected from an acceptor. As we will show, keeping track of such changes is crucial for arguing polynomial-time termination. We give the full proof of Lemma 1 in Appendix F

**Lemma 1.** *In any solution to the LP, one of the following will be true:*

- (A)  $\forall i \in C_t, y_i = \sum_{j \in M} z_{ji} + w_i$

- (B)  $\exists j \in M, |x_j| < 1, \sum_{i \in N} y_{ij} = 1 - x_j$

- (C)  $\exists i \in N, j \in M, x_{ij} > 0, z_{ji} = x_{ij}$

- (D)  $\exists j \in M, \exists i \in A_j^*, \exists i' \in A_j \setminus A_j^*, x_{ij} - z_{ji} = x_{i'j} + y_{i'j}$

Lemma 1 intuitively states the following: After any iteration of the algorithm, one of these conditions will be true:

- (A) All proposers in  $C_t$  have no free weight.

- (B) Some acceptor that was not full at the beginning of the iteration becomes full.

- (C) Some proposer is fully rejected from some acceptor.

- (D) For some acceptor  $j$ , there is some proposer that  $j$  likes weakly less than all of  $j$ ’s current matching.

(A) is a special condition as the proposers not having any free weight is what we want to happen to ensure termination with a perfect matching. The other three conditions, (B), (C), and (D), all correspond to the previously mentioned changes in the proposal graph. We show this formally in Lemma 2.

**Lemma 2.** *Let  $y^*, z^*$  be the variables after resolving some LP in Algorithm 1. The process of updating the current matching using  $y^*, z^*$  will change the proposer graph only if at least one of the conditions (B), (C) or (D) are true.*

With this, we can next prove Lemma 3, which shows how the algorithm will come to terminate.

**Lemma 3.** *In some iteration of the main while loop in Algorithm 1, if for every component  $C_t$  of proposers, the LP run on  $C_t$  terminates with only condition (A) being true, then the matching produced by the last component being solved will be a perfect matching.*

Finally, leveraging all these technical lemmas, we can show that at each step of DFDA-SCC, progress will be made, changing the proposal graph, and allowing the algorithm to terminate after a polynomial number of iterations.

**Theorem 3.** *Algorithm 1 terminates in polynomial time, and will output a perfect matching.*

The proof of these lemmas, as well as the final theorem, appear in Appendix F.

### Proof of doubly-strong ex ante stability

Finally, we will show that the perfect matching that Algorithm 1 returns in polynomial time will be doubly-strong ex-ante stable, the proof of which appears in Appendix F.

**Theorem 4.** *The matching produced by Algorithm 1 is doubly-strong ex-ante stable.*

Together, Theorems 3 and 4 yield the two claims made in Theorem 2, concluding its proof.

## 6 Discussion

While we have established polynomial-time computation of a doubly-strong ex ante stable matching, many exciting questions remain open.

**The lingering issue of equivalence to DFDA and proposer-optimality.** Recall that both our infinite procedure DFDA and polynomial-time algorithm DFDA-SCC produce a

---

**Algorithm 1: DFDA-SCC**

---

```
1  $\forall i \in N, w_i \leftarrow 1$  and  $P_i \leftarrow E_{i1}$  // Free weight of  $i$  and acceptors  $i$  will propose to next
2  $\forall i \in N, j \in M, x_{ij} \leftarrow 0$  // Current matching
3  $G \leftarrow \{(i, j) : j \in P_i\}$  // Proposal graph with only proposing edges, no rejections yet
4 while  $\exists i \in N, w_i > 0$  do
    // Key step: SCC decomposition of the rejection graph
5     $C_1, \dots, C_k \leftarrow$  Partition  $N$  into strongly connected components based on  $G$ , sorted topologically
6    for  $t \in [k]$  do
7        if  $\exists i \in C_t$  such that  $w_i > 0$  then
            // Resolve the SCC via an LP and update the matching
8             $y^*, z^* \leftarrow$  An optimal solution to the linear program LP-SCC (given in Figure 2) for  $C_t$ 
9            for  $i \in N$  do
10                for  $j \in M$  do
11                     $x_{ij} \leftarrow x_{ij} + y_{ij}^* - z_{ji}^*$  // Update matching
12                end
13                 $w_i \leftarrow \sum_{j \in M} z_{ji}^* + w_i - y_i^*$  // Update free weights
14            end
            // Collect information for updating acceptors' edges
15            for  $j \in M$  do
16                if  $|x_j| = 1$  then
17                     $X_j \leftarrow \{i \in N : x_{ij} > 0\}$  // Proposers matched to  $j$ 
18                     $\ell \leftarrow \max \{k : X_j \cap E_{j,k} \neq \emptyset\}$ 
19                     $A_j \leftarrow E_{j,\ell}$  // Least-preferred proposers
20                     $A_j^* \leftarrow \arg \max_{i \in A_j} x_{ij}$  // Proposers from  $A_j$  with max matched weight
21                     $R_j \leftarrow A_j^* \cup \{i \in N : i \in E_{j,\ell'}, \ell' > \ell\}$  // Updated set of rejected proposers
22                end
23            end
            // Collect information for updating proposers' edges
24            for  $i \in N$  do
25                 $R_i \leftarrow \{j \in M : i \in R_j\}$  // Acceptors who have rejected  $i$ 
26                 $\ell \leftarrow \min \{k : E_{i,k} \not\subseteq R_i\}$ 
27                 $P_i \leftarrow E_{i,\ell} \setminus R_i$  // Most-preferred acceptors who haven't rejected  $i$ 
28            end
            // Update the proposal graph
29             $G' \leftarrow \{(i, j) : j \in P_i\} \cup \{(j, i) : i \in A_j^*\}$  // New proposal graph
30            if  $G' \neq G$  then // Proposal graph changed, restart the outer loop
31                 $G \leftarrow G'$ 
32                Go to the start of the While loop (Line 4)
33            end
34        end
35    end
36 end
37 return  $x$ 
```

---

620 doubly-strong ex ante stable matching, but DFDA has the  
621 additional guarantee that its matching is proposer-optimal.  
622 Sadly, we are unable to prove proposer-optimality of DFDA-  
623 SCC or its equivalence to DFDA.

624 **Ex ante indifference neutrality and Pareto optimality.** In  
625 Section 2, we remarked that it is not clear if our ex ante in-  
626 difference neutrality criterion is intuitively desirable. The  
627 following example shows one formal reason why it may be  
628 undesirable: it is incompatible with Pareto optimality (or,  
629 rather, ordinal Pareto undomination). A concrete example  
630 is given in Appendix H. Kesten and Ünver [2015] show that

one can cyclically shift matched weights in the FDA match-  
ing to find ordinal improvements for the proposers that retain  
ex ante stability but introduce ex ante discrimination among  
proposers; this yields an ex ante stable matching that is ordi-  
nally Pareto undominated by any other ex ante stable match-  
ing. But whether true ordinal Pareto undomination (by any  
other matching) can be achieved, possibly while also retain-  
ing no ex ante discrimination among proposers and acceptors,  
remains to be seen.

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## Appendix

### A Extended Related Work

Apart from the work already cited in the introduction, there are a few threads of related work that our DFDA should be contrasted against.

**Matching under weak preferences.** Han [2024] extends the celebrated *Probabilistic Serial* (PS) algorithm for one-sided matching (of agents to objects) to two-sided matching, assuming agents (proposers) to have strict preferences but allowing objects (acceptors) to have weak preferences. This unifies PS with FDA, retaining ex ante stability but sacrificing no ex ante discrimination for *ordinal fairness*, a criterion that plays a key role in characterizations of PS [Kesten *et al.*, 2011; Hashimoto *et al.*, 2014]. This complements the work of Katta and Sethuraman [2006] which extend PS by allowing agents to have weak preferences but still assuming objects to have no preferences (equivalently, assuming every object to be indifferent between all the agents). To the best of our knowledge, there is no known extension of PS to two-sided matching in the full domain where both agents and objects have weak preferences. Huang and Kavitha [2021] study *popular matchings*, which are weakly preferred to any other matching by at least half of the agents. It is known that popularity is a weaker notion than fractional stability [Gärdenfors, 1975], which is in turn weaker than ex ante stability. Assuming strict preferences on both sides, they prove that the popular matching maximizing any linear objective can be computed efficiently due to elegant half-integral and self-duality properties of such matchings. Popular matchings remain well-defined with weak preferences, but we are not aware of any work investigating this.

**Cardinal preferences.** The model of cardinal utilities is even more expressive than that of weak preferences. Any algorithms designed for weak preferences (including ours) can be applied to an instance with cardinal utilities as they induce unique weak preferences; however, applying an algorithm designed for strict preferences requires breaking ties and the result can be dependent on tie-breaking. Caragiannis *et al.* [2019a] use cardinal utilities to also justify fractional matchings: they show that stable fractional matchings can have arbitrarily larger utilitarian social welfare compared to stable integral matchings, and design approximation algorithms for the NP-hard problem of maximizing welfare subject to stability. Panageas *et al.* [2024] give an algorithm that computes a fractional matching maximizing the Nash social welfare within an error of  $\varepsilon$  in  $O(1/\varepsilon)$  time. But the Nash-optimal solution, while celebrated in fair division for satisfying envy-freeness under additive/linear preferences [Caragiannis *et al.*, 2019b; Weller, 1985; Ebadian *et al.*, 2024], even under constraints [Cookson *et al.*, 2025; Wu *et al.*, 2021], Tröbst and Vazirani [2024] show that it provides no approximation to envy-freeness for two-sided matching, and use other means to show the existence of fractional matchings satisfying two sets of axioms: envy-freeness (EF) and Pareto optimality, and justified envy-freeness (JEF) and weak Pareto optimality.

### B Algorithms FDA, DFDA, and FDA-CYCLE

---

**Algorithm 2:** Fractional Deferred Acceptance (FDA) Kesten and Ünver [2015]

---

```
1  $\forall i \in N, w_i = 1$ 
2  $\forall i \in N, j \in M, x_{ij} = 0$ 
3 while  $\exists i \in N, w_i > 0$  do
4   All proposers  $i$  with  $w_i > 0$  propose their weight simultaneously to their most preferred acceptor  $j$  who has not yet
   rejected any fraction of them.
5   All acceptors  $j$  whose tentative matching + proposals are greater than their capacity reject some proposers based on
   the following process:
6   Starting with  $j$ 's highest equivalency class, if  $j$  can accept all proposals from that class without exceeding its
   capacity, then it does so. Otherwise  $j$  accepts proposers from this equivalency class as equally as possible, i.e.,  $j$ 
   increases the amount accepted of each proposer by an equal amount, only stopping the increase for a given
   proposer for  $j$  has accepted all of that proposers weight, or  $j$  runs out of capacity. This process is repeated until  $j$ 
   reaches capacity.
7 end
8 return  $x$ 
```

---

### C Failure of FDA-CYCLE on Our Counterexample

In this section, we will show all the steps of the instance of Figure 1 where FDA-CYCLE does not terminate in a finite number of steps. The first several steps of FDA-CYCLE on this instance act as expected. We will highlight when we reach the key steps where cycles begin to occur. For each step, we will show both the tentative matching produced, and the current state of the rejection graph that the algorithm uses to resolve cycles.

---

**Algorithm 3: Doubly-Fractional Deferred Acceptance (DFDA)**

---

```
1  $\forall i \in N, w_i = 1$  // Free weights
2  $\forall i \in N, j \in M, x_{ij} = 0$  // Current matching
3 while  $\exists i \in N, w_i > 0$  do
    // Simultaneous fractional proposals
4    All proposers  $i$  with  $w_i > 0$  propose simultaneously. Let  $P_i$  be the set of (equally) most-preferred acceptors of
    proposer  $i$  who have not rejected  $i$  yet. Each proposer  $i$  evenly splits her proposal across acceptors in  $P_i$ , proposing
    a weight of  $w_i/|P_i|$  to each of them.
    // Simultaneous fractional rejections
5    All acceptors  $j$  whose sum of current matched weight and total proposed weight exceeds their capacity of 1 reject
    some proposers as follows. Each  $j$  goes through her equivalence classes from the highest to the lowest. In a given
    equivalence class, if  $j$  can accept all the proposed weight from that class without exceeding her capacity, she does
    so. Otherwise,  $j$  starts continuously accepting proposed weight from the set of currently least-matched proposers
    in that class at an equal rate, stopping any proposer whose entire proposed weight has been accepted and starting a
    new proposer whenever she joins the set of least-matched proposers, until her capacity is exhausted.
6 end
7 return  $x$ 
```

---

---

**Algorithm 4: FDA-CYCLE Kesten and Ünver [2015]**

---

```
1  $\forall i \in N, w_i = 1$ 
2  $\forall i \in N, j \in M, x_{ij} = 0$ 
3 while  $\exists i \in N, w_i > 0$  do
4     $i_1 \leftarrow$  arbitrary agent with  $w_{i_1} > 0$ 
5    if  $\exists$  a cycle  $C = (i_1, j_1, i_2, j_2, i_3, j_3, \dots, i_\ell, j_\ell, i_1)$  in the proposal graph then
6         $M_s = \{i \sim_{j_s} i_{s+1} : x_{ij_s} > 0\}$ 
7        for  $s \in \{2, \dots, \ell\}$  do
8            Define equation  $y_s = \sum_{i \in M_s} \max\{x_{ij_s} - (x_{i_{s+1}, j_s} - y_{s+1})\}$ 
9        end
10       Define equation  $y_1 + w_1 = \sum_{i \in M_1} \max\{x_{ij_1} - (x_{i_2, j_1} - y_2)\}$ 
11       Solve the above system for  $y_1, y_2, \dots, y_\ell$ , let  $y_s$  denote the amount of  $i_s$  that gets rejected from  $j_{s-1}$ , and update
        $x$  accordingly.
12    else
13         $i_1$  proposes their free weight to their top acceptor, that acceptor rejects any proposers if necessary using the
        same criteria as they do in FDA.
14    end
15 end
16 return  $x$ 
```

---

| Proposers                                         | Acceptors                                        |
|---------------------------------------------------|--------------------------------------------------|
| $i_1: j_3 \succ j_4 \succ \{j_1, j_2, j_5\}$      | $j_1: i_3 \succ i_4 \sim i_5 \succ \{i_1, i_2\}$ |
| $i_2: j_3 \succ j_5 \succ \{j_1, j_2, j_4\}$      | $j_2: i_4 \succ i_3 \succ \{i_1, i_2, i_5\}$     |
| $i_3: j_3 \succ j_2 \succ j_1 \succ \{j_4, j_5\}$ | $j_3: i_5 \succ i_1 \sim i_2 \sim i_3 \succ i_4$ |
| $i_4: j_1 \succ j_2 \succ \{j_3, j_4, j_5\}$      | $j_4: i_1 \succ \{i_2, i_3, i_4, i_5\}$          |
| $i_5: j_1 \succ j_3 \succ \{j_2, j_4, j_5\}$      | $j_5: i_2 \succ \{i_1, i_3, i_4, i_5\}$          |

Figure 1: Counterexample on which FDA-CYCLE fails to terminate. In each ordering, the relation between the agents listed in the set at the end can be arbitrary.

Consider the instance shown in Figure 1. For the agents listed as a set in the end, we can have arbitrary relationship as long as they are all strictly less preferred than the agents listed previously (e.g., they may form the lowest equivalence class). The infinite looping of FDA-CYCLE happens regardless of these relations.

We assume that Algorithm FDA-CYCLE breaks any ties lexicographically. That is, when there are multiple proposers in  $N$  with free weight, the one with the smallest index among them proposes in the next iteration.

In the first two steps of FDA-CYCLE,  $i_1$  and  $i_2$  will both propose to  $j_3$ .  $j_3$  will reject half of each of these proposers, and

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809 keep the other half.

|             | $i_1$ | $i_2$ | $i_3$ | $i_4$ | $i_5$ |  | $i_1$ | $i_3$ | $i_2$ |
|-------------|-------|-------|-------|-------|-------|--|-------|-------|-------|
| Free Weight | $1/2$ | $1/2$ | 1     | 1     | 1     |  |       |       |       |
| $j_1$       | 0     | 0     | 0     | 0     | 0     |  |       |       |       |
| $j_2$       | 0     | 0     | 0     | 0     | 0     |  |       |       |       |
| $j_3$       | $1/2$ | $1/2$ | 0     | 0     | 0     |  |       |       |       |
| $j_4$       | 0     | 0     | 0     | 0     | 0     |  | $i_4$ |       | $i_5$ |
| $j_5$       | 0     | 0     | 0     | 0     | 0     |  |       |       |       |

811 In the next two steps,  $i_1$ , now rejected from their top choice  $j_3$ , proposes their  $1/2$  free weight to  $j_4$ . Similarly,  $j_2$  proposes  
812 their  $1/2$  free weight to  $j_5$ .  
813

|             | $i_1$ | $i_2$ | $i_3$ | $i_4$ | $i_5$ |  | $i_1$ | $i_3$ | $i_2$ |
|-------------|-------|-------|-------|-------|-------|--|-------|-------|-------|
| Free Weight | 0     | 0     | 1     | 1     | 1     |  |       |       |       |
| $j_1$       | 0     | 0     | 0     | 0     | 0     |  |       |       |       |
| $j_2$       | 0     | 0     | 0     | 0     | 0     |  |       |       |       |
| $j_3$       | $1/2$ | $1/2$ | 0     | 0     | 0     |  |       |       |       |
| $j_4$       | $1/2$ | 0     | 0     | 0     | 0     |  | $i_4$ |       | $i_5$ |
| $j_5$       | 0     | $1/2$ | 0     | 0     | 0     |  |       |       |       |

815 Next,  $i_3$  proposes to  $j_3$ .  $j_3$  is indifferent between  $i_1$ ,  $i_2$ , and  $i_3$ , so it keeps  $1/3$  of each of them, and rejects the rest. In the  
816 next two subsequent steps,  $i_1$  and  $i_2$  both take their newly rejected free weight of  $1/6$  and propose it to  $j_4$  and  $j_5$  respectively.  
817 Note that by Kesten and Ünver [2015], this does not cause any edges to appear on the rejection graph, since while there are  
818 proposers who are rejected from acceptors while having outstanding weight matched to that acceptor, there are no corresponding  
819 proposers who have weight matched to that acceptor and are not yet rejected.  
820

|             | $i_1$ | $i_2$ | $i_3$ | $i_4$ | $i_5$ |  | $i_1$ | $i_3$ | $i_2$ |
|-------------|-------|-------|-------|-------|-------|--|-------|-------|-------|
| Free Weight | 0     | 0     | $2/3$ | 1     | 1     |  |       |       |       |
| $j_1$       | 0     | 0     | 0     | 0     | 0     |  |       |       |       |
| $j_2$       | 0     | 0     | 0     | 0     | 0     |  |       |       |       |
| $j_3$       | $1/3$ | $1/3$ | $1/3$ | 0     | 0     |  |       |       |       |
| $j_4$       | $2/3$ | 0     | 0     | 0     | 0     |  | $i_4$ |       | $i_5$ |
| $j_5$       | 0     | $2/3$ | 0     | 0     | 0     |  |       |       |       |

822 Next,  $i_3$ , now rejected by their top choice  $j_3$ , proposes their  $2/3$  free weight to  $j_2$   
823

|             | $i_1$ | $i_2$ | $i_3$ | $i_4$ | $i_5$ |  | $i_1$ | $i_3$ | $i_2$ |
|-------------|-------|-------|-------|-------|-------|--|-------|-------|-------|
| Free Weight | 0     | 0     | 0     | 1     | 1     |  |       |       |       |
| $j_1$       | 0     | 0     | 0     | 0     | 0     |  |       |       |       |
| $j_2$       | 0     | 0     | $2/3$ | 0     | 0     |  |       |       |       |
| $j_3$       | $1/3$ | $1/3$ | $1/3$ | 0     | 0     |  |       |       |       |
| $j_4$       | $2/3$ | 0     | 0     | 0     | 0     |  | $i_4$ |       | $i_5$ |
| $j_5$       | 0     | $2/3$ | 0     | 0     | 0     |  |       |       |       |

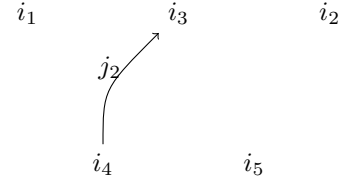
825 Next,  $i_4$  proposes all their weight to their top choice  $j_1$ . Similarly, in the next step,  $i_5$  also proposes all their weight to  $j_1$ .  
826  $j_1$  is indifferent between  $i_4$  and  $i_5$ , so it keeps  $1/2$  of each of them and rejects the rest. Again, this does not cause any rejection  
827 edges to appear.  
828

|             | $i_1$ | $i_2$ | $i_3$ | $i_4$ | $i_5$ |  | $i_1$ | $i_3$ | $i_2$ |
|-------------|-------|-------|-------|-------|-------|--|-------|-------|-------|
| Free Weight | 0     | 0     | 0     | $1/2$ | $1/2$ |  |       |       |       |
| $j_1$       | 0     | 0     | 0     | $1/2$ | $1/2$ |  |       |       |       |
| $j_2$       | 0     | 0     | $2/3$ | 0     | 0     |  |       |       |       |
| $j_3$       | $1/3$ | $1/3$ | $1/3$ | 0     | 0     |  |       |       |       |
| $j_4$       | $2/3$ | 0     | 0     | 0     | 0     |  | $i_4$ |       | $i_5$ |
| $j_5$       | 0     | $2/3$ | 0     | 0     | 0     |  |       |       |       |

830 Next,  $i_4$  proposes their  $1/2$  free weight to  $j_2$ .  $j_2$  prefers  $i_4$  to  $i_3$ , so it keeps the  $1/2$  weight from  $i_4$  and partially rejects  $1/6$  of  
831

$i_3$ . This adds an edge between  $i_4$  and  $i_3$  in the rejection graph.

|             | $i_1$ | $i_2$ | $i_3$ | $i_4$ | $i_5$ |
|-------------|-------|-------|-------|-------|-------|
| Free Weight | 0     | 0     | 1/6   | 0     | 1/2   |
| $j_1$       | 0     | 0     | 0     | 1/2   | 1/2   |
| $j_2$       | 0     | 0     | 1/2   | 1/2   | 0     |
| $j_3$       | 1/3   | 1/3   | 1/3   | 0     | 0     |
| $j_4$       | 2/3   | 0     | 0     | 0     | 0     |
| $j_5$       | 0     | 2/3   | 0     | 0     | 0     |



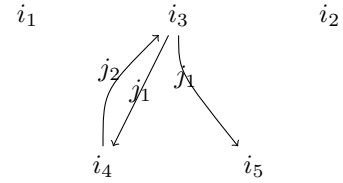
Next,  $i_3$ , now rejected from their second choice  $j_2$ , proposes their  $1/6$  weight to  $j_1$ .  $j_1$  prefers  $j_3$  to  $i_4$  and  $i_5$ . so it accepts the  $1/6$  weight, and rejects  $1/12$  from each of the others. This causes edges in the rejection graph between  $i_3$  and  $i_4$ , and  $i_3$  and  $i_5$ . Notably, this proposal forms a rejection cycle between  $i_3$  and  $i_4$ , which the algorithm must solve. Using the FDA-CYCLE technique of reduction to linear equation, we are given the following:

$$y_4 + 1/12 = y_3 \quad (1)$$

$$y_3 = 2y_4 \quad (2)$$

Solving this linear system gives us the values  $y_4 = 1/12$ ,  $y_3 = 2/12$ , updating the matching with these values give us:

|             | $i_1$ | $i_2$ | $i_3$ | $i_4$ | $i_5$ |
|-------------|-------|-------|-------|-------|-------|
| Free Weight | 0     | 0     | 0     | 0     | 2/3   |
| $j_1$       | 0     | 0     | 1/3   | 1/3   | 1/3   |
| $j_2$       | 0     | 0     | 1/3   | 2/3   | 0     |
| $j_3$       | 1/3   | 1/3   | 1/3   | 0     | 0     |
| $j_4$       | 2/3   | 0     | 0     | 0     | 0     |
| $j_5$       | 0     | 2/3   | 0     | 0     | 0     |



The key thing to note here is that after solving the linear equation, the same cyclic relationship between  $i_3$  and  $i_4$  still remains.  $x_{i_3j_2} > 0$  and  $x_{i_4j_1} > 0$  still are both true,  $i_3$  has not yet been rejected from  $j_1$ , so it will still propose there the next chance it gets, and the same can be said for  $i_4$  and  $j_2$ . Thus, the next time either it is either  $i_3$  or  $i_4$ 's turn to propose, the same cycle will have to be dealt with again.

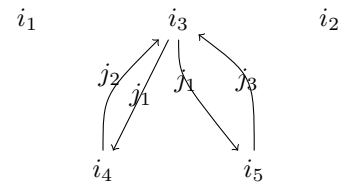
Consider the next step of the FDA-CYCLE algorithm in this instance. It is now  $i_5$ 's turn to propose.  $i_5$  proposes their  $2/3$  free weight to  $j_3$ .  $j_3$  prefers  $i_5$  to the other 3 agents it is currently accepting fractions of, so it accepts all  $2/3$  of  $i_5$ , and rejects  $2/9$  of  $i_1$ ,  $i_2$ , and  $i_3$ . However, this causes edges in the rejection graph between  $i_5$ , and  $\{i_1, i_2, i_3\}$ . Noticeably, this will cause a rejection cycle between  $i_5$  and  $i_3$ , which we can resolve by the following linear system:

$$y_3 + 2/9 = 2y_5 \quad (3)$$

$$y_5 = 3y_3 \quad (4)$$

Solving this linear system gives us  $y_3 = 2/45$ ,  $y_5 = 6/45$ . Updating the matching with these values (and running through the non-cyclic proposal steps of  $i_1$  and  $i_2$ ) gives us:

|             | $i_1$ | $i_2$ | $i_3$ | $i_4$ | $i_5$ |
|-------------|-------|-------|-------|-------|-------|
| Free Weight | 0     | 0     | 0     | 6/45  | 0     |
| $j_1$       | 0     | 0     | 27/45 | 9/45  | 9/45  |
| $j_2$       | 0     | 0     | 1/3   | 2/3   | 0     |
| $j_3$       | 3/45  | 3/45  | 3/45  | 0     | 36/45 |
| $j_4$       | 42/45 | 0     | 0     | 0     | 0     |
| $j_5$       | 0     | 42/45 | 0     | 0     | 0     |



Note that again, the solving of this cycle does not lead to the cyclic relation going away from the tentative matching, the next time  $i_5$  proposes, the cycle will need to be resolved again. We also note that there are technically edges between  $i_5$  and  $i_1$  and  $i_5$  and  $i_2$  in the rejection graph, but they will never become relevant to the algorithm's execution, so we do not include them in our diagram for simplicity.

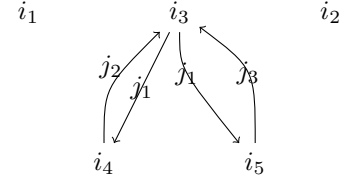
Next, it is  $i_4$ 's turn to propose, again, to do this, the cycle between  $i_3$  and  $i_4$  will have to be resolved. This will require solving the following linear system:

$$y_4 + 6/45 = y_3 \quad (5)$$

$$y_3 = 2y_4 \quad (6)$$

860 This will give us the values of  $y_4 = 6/45, y_3 = 12/45$ , updating the matching gives us:

|             | $i_1$   | $i_2$   | $i_3$   | $i_4$   | $i_5$   |
|-------------|---------|---------|---------|---------|---------|
| Free Weight | 0       | 0       | 0       | 0       | $6/45$  |
| $j_1$       | 0       | 0       | $39/45$ | $3/45$  | $3/45$  |
| $j_2$       | 0       | 0       | $3/45$  | $42/45$ | 0       |
| $j_3$       | $3/45$  | $3/45$  | $3/45$  | 0       | $36/45$ |
| $j_4$       | $42/45$ | 0       | 0       | 0       | 0       |
| $j_5$       | 0       | $42/45$ | 0       | 0       | 0       |



862  
863 Now, it is  $i_5$ 's turn to propose again, and it is still part of the same rejection cycle as previously. One can see that whatever  
864 values we get from solving this rejection cycle, will cause  $i_4$  to have free weight in the next step, this will force us to solve  
865  $i_4$ 's cycle again, which will in turn cause  $i_5$  to have free weight in the next step, with each step along the way, the free weight  
866 getting smaller and smaller. It is easy to see how this process continues ad infinum.

867 To illustrate this, we will solve the  $i_5$  and  $i_4$  cycles with generic values for the free weight.

868 First the  $i_5$  cycle:

$$y_5 + w_5 = 3y_3 \quad (7)$$

$$y_3 = 2y_5 \quad (8)$$

869 The solution to this system will be  $y_5 = w_5/5, y_3 = 2w_5/5$ .

870 Similarly for the  $i_4$  cycle:

$$y_4 + w_4 = y_3 \quad (9)$$

$$y_3 = 2y_4 \quad (10)$$

871 The solution to this cycle will be  $y_4 = w_4, y_3 = 2w_4$ .

872 Therefore, we know that the cycle between  $i_3$  and  $i_4$  will only go away if one of the following happens:

- 873 •  $i_3$  gets partially rejected from  $j_1$
- 874 •  $i_4$  gets fully rejected from  $j_1$
- 875 •  $i_4$  gets partially rejected from  $j_2$
- 876 •  $i_3$  gets fully rejected from  $j_2$

877 Similarly, the cycle between  $i_3$  and  $i_5$  will only go away when:

- 878 •  $i_3$  gets partially rejected from  $j_1$
- 879 •  $i_5$  gets fully rejected from  $j_1$
- 880 •  $i_5$  gets partially rejected from  $j_3$
- 881 •  $i_3$  gets fully rejected from  $j_3$

882 Until one of these events happen, the algorithm will continue to alternate between resolving these two cycles (while also  
883 letting  $i_1$  and  $i_2$  propose their unproposed weight between each step, which will not effect the rest of the process). Clearly,  
884 resolving either of these cycles will never cause any of the partial reject conditions to arise, so the algorithm will only exit this  
885 cycle resolving loop when one of the following occurs:

- 886 •  $i_3$  gets fully rejected from  $j_2$  or from  $j_3$
- 887 •  $i_4$  gets fully rejected from  $j_1$
- 888 •  $i_5$  gets fully rejected from  $j_1$

889 Note that due to the way the cycles are resolved, as long as none of these conditions are met, then the amount of  $i_4$  and  $i_5$   
890 matched to  $j_1$  will always be equal. Similarly, the amount of  $i_1, i_2$ , and  $i_3$  matched to  $j_3$  will always be equal, so the linear  
891 system we have to solve at each cycle removal step will remain the same.

892 Thus, when we resolve the  $i_5$  cycle next with  $w_5 = 6/45$ , we will reject  $6/255$  of  $i_5$  and  $i_4$  from  $j_1$ , and  $12/255$  of  $i_3, i_1$ , and  $i_2$   
893 from  $j_3$ .

Next,  $i_4$  will have free weight from  $6/255$ , so resolving its cycle with  $w_4 = 6/255$  will mean that  $6/255$  of  $i_4$  and  $i_5$  will get rejected from  $j_1$ , and  $12/255$  of  $j_3$  will get rejected from  $j_2$ . We will then have to resolve  $i_5$ 's cycle with  $w_5 = 6/255$ .

Putting this together, we have that after  $k$  times resolving  $i_5$ 's cycle from this point, the total amount of  $i_5$  kicked out of  $j_1$  is  $\sum_{t=1}^k 12/(45 \cdot 5^t)$ , which one can verify approaches  $3/45$  in the limit as  $k$  approaches infinity, the exact amount that  $i_5$  is matched to  $j_1$  at the beginning of this process.

One can verify that the infinite summations for the other key matrix cells that form the cycles resolve the same way, showing that this sequence will continue forever.

## D Detailed Description of DFDA-SCC Linear Program

```

maximize  $\sum_{i \in N} y_i$ 
subject to // Constraints on proposals
(1)  $\forall i \in C_t : y_i \leq \sum_{j \in M} z_{ji} + w_i$ 
(2)  $\forall i \notin C_t : y_i = 0$ 
(3)  $\forall i \in N, \forall j \in P_i : y_{ij} = y_i / |P_i|$ 
(4)  $\forall i \in N, \forall j \notin P_i : y_{ij} = 0$ 

// Constraints on rejections
(5)  $\forall j \in M, |x_j| = 1 : z_j = \sum_{i \in N} y_{ij}$ 
(6)  $\forall j \in M, |x_j| < 1 : z_j = 0$ 
(7)  $\forall j \in M, \forall i \in A_j^* : z_{ji} = z_j / |A_j^*|$ 
(8)  $\forall j \in M, \forall i \notin A_j^* : z_{ji} = 0$ 

// Constraints that stop the flow at discrete structural changes
(9)  $\forall j \in M, |x_j| < 1 : \sum_{i \in N} y_{ij} \leq 1 - |x_j|$ 
(10)  $\forall j \in M, \forall i \in A_j^*, \forall i' \in A_j \setminus A_j^* : x_{ij} - z_{ji} \geq x_{i'j} + y_{i'j}$ 
(11)  $\forall i \in N, \forall j \in M : z_{ji} \leq x_{ij}$ 

```

Figure 2: Linear program LP-SCC used to resolve a strongly connected component in DFDA-SCC (Algorithm 1).

**Description of LP-SCC.** The linear program at the heart of each step of the algorithm is shown in Figure 2. At a high level, this LP works by maximizing the amount of total weight proposed for a given connected component, while being constrained by the expected rules that dictate how proposals and rejection work in DFDA as well as additional conditions to ensure that the LP simulates proposal/rejection only up to the point where the proposal graph would change.

In more detail, the main variables in the LP are  $y$ -s and  $z$ -s. For each  $i \in N$ ,  $y_i$  denotes the total weight that  $i$  proposes in the current iteration, of which  $y_{ij}$  denotes the weight proposed to  $j \in M$ . Similarly, for each acceptor  $j \in M$ ,  $z_j$  denotes the total weight rejected by  $j$  in the current iteration, of which  $z_{ji}$  denotes the weight that  $j$  rejects from  $i$ .

The first four constraints dictate how proposers can propose.

- Constraints (1) and (2)— $\forall i \in C_t, y_i \leq \sum_{j \in M} z_{ji} + w_i$  and  $\forall i \notin C_t, y_i = 0$ —ensure that only proposers from the current SCC  $C_t$  being resolved propose, and they propose weight that is at most the sum of their free weight and their total rejected weight from the current iteration (that is, they cannot propose more weight than they have). The inequality rather than a strict equality in Constraint (1) allows proposers to retain some free weight in the end, which is key to solving the problem as a series of continuous flow problems rather than a series of discrete proposal-rejection sequences.
- Constraint (3) and (4)— $\forall i \in N, y_{ij} = y_i / |P_i|, \forall j \in P_i$  and  $y_{ij} = 0, \forall j \notin P_i$ —ensures that proposers propose only to their most-preferred acceptors who have not rejected them and propose an equal amount to them.

The next four constraints dictate how acceptors handle the weight proposed to them.

- Constraints (5) and (6)— $\forall j \in M, z_j = \sum_{i \in N} y_{ij}$  when  $|x_j| = 1$  and  $z_j = 0$  when  $|x_j| < 1$ —stipulate that a saturated (fully matched) acceptor must reject exactly as much weight as she accepts, while a non-saturated acceptor must not reject. Constraint (9) later ensures that such an acceptor does not accept more weight than her remaining match capacity. This ensures that once an acceptor becomes saturated, they remain saturated for the rest of the algorithm.

- Constraints (7) and (8)— $\forall j \in M, z_{ji} = z_j/|A_j^*|$  for all  $i \in A_j^*$  and  $z_{ji} = 0$  for all  $i \notin A_j^*$ —ensure fair rejections. Only the least-preferred matched acceptors with the highest matched weight (those in  $A_j^*$ ) are rejected, and they are rejected equally. Constraint (10) stops the LP once this highest matched weight reduces to the next-highest level, at which point a new acceptor from  $A_j$  must be added to  $A_j^*$  by the algorithm.

This leaves constraint (11)— $\forall i \in N, \forall j \in M, z_{ji} \leq x_{ij}$ . This states that an acceptor cannot reject more weight from a proposer than it has available to reject. We do not have to consider any incoming proposed weight from  $i$  to  $j$  because, due to constraint (8),  $z_{ji}$  can only be positive if  $i \in A_j^*$ , in which case  $i$  will not be proposing any weight to  $j$  in this iteration, or for the remainder of the algorithm.

The LP maximizes  $\sum_{i \in N} y_i$ , i.e., the total amount of weight proposed by the proposers. The optimal solution  $(y^*, z^*)$  is used by DFDA-SCC to update the matching and the proposal graph.

## E Alkan-Gale Stability

### E.1 Alkan-Gale Matching Model

In Alkan and Gale [2003], the authors defined agent preferences using *choice functions*. They define these choice function in a very broad way such that they generalize a huge range of common matching scenarios, including both integral and fractional matching. For our purposes, we will assume the following simplified definition of a choice function that handles fractional matching scenarios.

**Definition 10** (Fractional matching choice function). Given a set of agents  $A$  and a quota  $q$ , a choice function  $C : \mathbb{R}^A \rightarrow \mathbb{R}^A$  is a mapping from one real vector to another (where each entry of this vector corresponds to an amount of some  $i \in A$ ), such that for every  $x \in \mathbb{R}^A$ , we have that for every  $i \in A$ ,  $C(x)_i \leq x_i$  (you can only choose at most what is available), and  $\sum_{i \in A} C(x)_i \leq q$  (your total choice cannot be more than your quota).

Given our specific definition of choice functions, we also define the join ( $\vee$ ) and meet ( $\wedge$ ) operations as the natural join and meet on the real numbers, i.e., given a set of agents  $A$ , and two vectors  $x, y \in \mathbb{R}^A$ , we say that  $x \vee y$  is the vector such that for every  $i \in A$ ,  $(x \vee y)_i = \max\{x_i, y_i\}$ , and  $x \wedge y$  is the vector such that for every  $i \in A$ ,  $(x \wedge y)_i = \min\{x_i, y_i\}$ .

A given vector  $x \in \mathbb{R}^A$  represents all the available ways some agent  $i$  can be matched with the agents in  $A$ , and when  $C$  is  $i$ 's choice function over  $A$ ,  $C(x)$  represents  $i$ 's most preferred matching among all these possibilities.

For any two vectors  $x, y \in \mathbb{R}^A$ , Alkan and Gale [2003] gives the following way to determine whether an agent prefers one of these possibilities over the other.

**Definition 11** (AG-preference). For any agent  $i$  with choice function  $C^i$  over some set of agents  $A$ , and for any two vectors  $x, y \in \mathbb{R}^A$ , we say that  $x \succ_i^{AG} y$  if  $C^i(x \vee y) = x$ .

A full matching problem in the model of Alkan and Gale [2003] gives two sets of agents  $N$  and  $M$  where each agent  $i \in N$  and  $j \in M$  has a choice function and a quota. A perfect matching  $x \in \mathbb{R}^{[N \times M]}$  in this problem will be such that for all  $i \in N$  (resp.  $j \in M$ ) with quota  $q$ , we have  $\sum_{j \in M} x_{ij} = q$  (resp.  $\sum_{i \in N} x_{ij} = q$ ). In each matching, note that each vector  $x_i$  and  $x_j$  will be in  $\mathbb{R}^M$  and  $\mathbb{R}^N$  respectively, so we can use the agents' choice functions to reason about their preferences over their matchings.

Under this model, the notion of a stable matching is defined as follows:

**Definition 12** (Saturation). For some agent  $i \in N$ , and matching  $x$ ,  $i$  is not  $j$ -saturated at  $x$  for some  $j \in M$  if increasing the amount of  $j$  available in  $x_i$  would cause  $i$ 's choice function to choose more of  $j$  than  $x_i$  has available. i.e., if for any  $\varepsilon > 0$ , define the vector  $y$  as  $y_{j'} = x_{ij'}$  for all  $j' \in A \setminus \{j\}$ , and  $y_j = x_{ij} + \varepsilon$ . If  $C^i(y)_j > x_{ij}$  is true, the  $i$  is not  $j$ -saturated.

A symmetric definition can be given for when some  $j \in M$  is not  $i$ -saturated for some  $i \in N$ .

**Definition 13** (AG-stability). For any matching problem in the Alkan-Gale matching model, a matching  $x$  is AG-stable for that problem if for every pair of agents  $i \in N$  and  $j \in M$ , either  $i$  is  $j$ -saturated or  $j$  is  $i$ -saturated.

The goal of Alkan and Gale [2003] is to show a broad class of choice functions such that when all agents in a matching problem have such choice functions, the set of stable matchings for the given problem will form a lattice with respect to each side's AG-preferences, and for a given side, the optimal matching in that lattice can be found through a deferred-acceptance procedure.

To characterize such choice functions, the authors give two properties.

**Definition 14** (Consistency). A choice function  $C$  is consistent if for all  $x, y \in \mathbb{R}^A$  such that  $C(x) \leq y \leq x$ , then  $C(y) = C(x)$  is true.

**Definition 15** (Persistence). A choice function  $X$  is persistent if for all  $x, y \in \mathbb{R}^A$  such that  $x \geq y$ , then  $C(y) \geq C(x) \wedge y$ .

With these properties, they are able to state the following:

**Theorem 5** (Theorem 2 of Alkan and Gale [2003]). *For any matching problem where all agents have persistent and consistent choice functions, there exists a stable matching  $x^*$  that dominates all other stable matchings in terms of the AG-preferences of the agents in  $N$ , i.e., for any stable matching  $y$ , and all  $i \in N$ ,  $x_i^* \succ_i^{AG} y_i$ . This  $N$ -optimal matching can be found by running the procedure of Algorithm 6 with  $N$  as the "proposers".*



## E.2 Doubly-Strong Ex-Ante Stability Through Choice Functions

We will use a reduction to the model of Alkan and Gale [2003] to show that under our preference model, the set of double-strong ex-ante stable matching is equivalent to the lattice of matchings for a given AG matching problems, and the proposer-optimal matching in that lattice can be found through the DFDA procedure.

To do this, we will first define the DFDA choice function in Algorithm 6. For any ordinal matching problem  $(N, M, \succ_N, \succ_M)$ , we will assume that each agent has an induced DFDA choice function that is based on their preference ordering.

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**Algorithm 5:** Choice functions in the framework of Alkan and Gale [2003] that yield DFDA

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1 INPUT:  $i$ 's indifference classes  $E_i$ ; Vector  $x \in \mathbb{R}^A$ 
2  $c \leftarrow 0^A$ 
3 for  $E_{ik} \in E_i$  do
4   if  $\sum_{j \in E_{ik}} x_j \leq q - \sum_{j \in A} c_j$  then
5      $\forall j \in E_{ik}, c_j \leftarrow x_j$ 
6   end
7   else
8     while  $\sum_{j \in A} c_j < q$  and  $c \neq x$  do
9       Continuously increase  $c_j$  for all  $j \in E_{ik}$  at the same rate. Only stop increasing  $c_j$  for some  $j$  if  $c_j = x_j$  becomes true.
10    end
11    return  $c$ 
12  end
13 end
14 return  $c$ 

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We will first prove that the DFDA choice function has necessary properties to admit a lattice of stable matching in the Alkan-Gale model.

**Lemma 4.** *The DFDA choice function is consistent.*

*Proof.* For contradiction, assume this is false. For some agent  $i$  with a preference ordering over a set of agents  $A$ , and agent  $i$ 's induced choice function  $C^i$  over those agents with a quota of  $q$ , there exists  $x, y \in \mathbb{R}^A$  such that  $C^i(x) \leq y \leq x$  but  $C^i(y) \neq C^i(x)$ .

Let  $E_{ik}$  be the lowest equivalency class that  $C^i(x)$  chooses agents from before terminating. First consider all the agents from  $A$  who are chosen by  $C^i$  who are in an equivalency class that is strictly preferred to  $E_{ik}$ . From the definition of the DFDA choice function, for each of these agents  $i \in A$ ,  $C^i$  would have selected the full amount of  $j$  in  $x$ . Thus, for each such  $j$ , since we have that  $C^i(x)_j \leq y_j \leq x_j$  and  $C^i(x)_j = x_j$ , it must be the case that  $y_j = x_j$ . Since  $C^i$  starts at the highest equivalency class for  $i$  and works its way down, this means that selecting all of each agent strictly preferred to  $E_{ik}$  will not exceed  $C^i$ 's quota  $q$ , and thus we must have that  $C^i(y)_j = C^i(x)_j = x_j = y_j$  for all  $j \in E_{ik}, k' < k$ .

Now consider the agents in  $E_{ik}$ . Note that for every  $j \in E_{ik}$ , we must have that  $C^i(x)_j \leq y_j \leq x_j$ . From the definition of the DFDA choice function, we know that the choice function will select agents from this class by continuously increasing the matched amount of all agents in this class at an equal rate, only stopping the increase of an agent if that agent becomes full chosen, quota becomes full, or the vector becomes fully chosen.

By the above arguments, we must have that  $C^i(x)_j \neq C^i(y)_j$  for some  $j \in E_{ik}$ . If  $C^i(x)_j > C^i(y)_j$ , then consider the exact time in the equivalent increasing process where  $C^i(y)$  finishes choosing  $j$ . At this point, note that we cannot have that the quota of  $C^i$  is full, as by the definition of the DFDA choice function, at the same time in the increasing process for  $C^i(x)$ ,  $x \geq y$  implies that  $C^i(x)$  and  $C^i(y)$  will have chosen the same amount of all agents in  $E_{ik}$  up to that point, while  $C^i(x)_j > C^i(y)_j$  continues increasing after this point. Therefore, it must be the case that  $C^i(y)_j$  has been fully chosen by  $j$  at this point, and thus  $C^i(y)_j = y_j$ . However, this fact along with  $C^i(x)_j > C^i(y)_j$  would contradict the fact that  $C^i(x) \leq y$ .

If instead we had  $C^i(x)_j < C^i(y)_j$ , then consider the exact time in the equivalent increasing process where  $C^i(x)$  finishes choosing  $j$ . At the same point during the process of  $C^i(y)$ , we know that  $C^i(y)$  must have chosen the exact same amount of every agent in  $E_{ik}$ . This is due to the fact that  $C^i(x) \leq y$ . Thus, it cannot be the case that  $C^i(x)_j$  stops because its quota is full, so it must be the case that it consumed the full amount of  $x_j$ . But  $C^i(x)_j = x_j$  and  $C^i(x)_j < C^i(y)_j \leq y_j$  would contradict  $y \leq x$ .

This contradicts the fact that such a  $j$  exists, and proves that the DFDA choice function is consistent.  $\square$

**Lemma 5.** *The DFDA choice function is persistent.*

*Proof.* For contradiction, assume this is false. For some agent  $i$  with a preference ordering of a set of agents  $A$ , and agent  $i$ 's induced choice function  $C^i$  over those agents with a quota of  $q$ , there exists  $x, y \in \mathbb{R}^A$  with  $x \geq y$ , and some  $j \in A$  such that  $C^i(y)_j < \min \{C^i(x)_j, y_j\}$ .

Let  $E_{ik}$  be  $i$ 's lowest equivalency class containing some proposer that is positively chosen by  $C^i(x)$ . First note that for all  $k' < k$ , and all  $j' \in E_{ik'}$  it must be the case that  $C^i(y)_{j'} = y_{j'}$ . This follows from the fact that since  $x \geq y$ , we must have  $\sum_{k' < k} \sum_{j' \in E_{ik'}} x_{ij'} \geq \sum_{k' < k} \sum_{j' \in E_{ik'}} y_{ij'}$ , meaning that by the definition of DFDA choice functions, since  $C^i(x)$  was able to fully choose every  $j'$  strictly preferred to  $E_{ik}$ , then  $C^i(y)$  will be able to as well.

Therefore, it must be that  $j$  is in an equivalency class for  $i$  at least as bad  $E_{ik}$ , and since  $C^i(y)_j < \min \{C^i(x)_j, y_j\}$  implies that  $C^i(x)_j > 0$ , then we know that  $j \in E_{ik}$  must be true.

Since we must have that  $C^i(y)_j < y_j$ , it must be the case that  $E_{ik}$  is also  $i$ 's lowest equivalency class containing some proposer that is positively chosen by  $C^i(y)$ . With this in mind, we can see that if  $C^i(x)_j \geq y_j$  were true, then by definition of the DFDA choice function, we should have that  $C^i(y)_j = y_j$ . This is due to the fact that since  $x \geq y$ , when  $C^i(y)$  reaches the class  $E_{ik}$ , it will have at least as much free weight to keep choosing as  $C^i(x)_j$  did when it reached  $E_{ik}$ , and since  $y_{j'} \leq x_{j'}$  for all  $j' \in E_{ik}$ , if the process that increases chosen weight in equal amounts for each agent in  $E_{ik}$  managed to consume  $C^i(x)_j$  of  $j$ , then that process should certainly be able to consume at least  $y_j \leq C^i(x)_j$  of  $j$  when choosing from  $y$ .

At the same time, if  $y_j \geq C^i(x)_j$  were true, then we would again reach a contradiction, since by the definition of the DFDA choice function, we would have to have that  $C^i(y)_j \geq C^i(x)_j$  must be true. To see this, again notice that once  $C^i(y)$  reaches equivalency class  $E_{ik}$ , it will have at least as much free weight left to choose as  $C^i(x)$  did at that same point. Since  $C^i(y)_j < C^i(x)_j$ , observe the point of the continuous increase in weight where  $C^i(y)_j$  first stops increasing. This cannot be because the quota of  $C^i(y)$  was reached, or that would contradict the fact that  $y \leq x$ , but it can also not be the case that  $y_j$  has been fully chosen, since we have  $C^i(y)_j < C^i(x)_j \leq y_j$ . These contradictions prove that  $C^i$  will be persistent.  $\square$

Next, we can show that due to the way that we defined DFDA choice function, being AG-Stable with respect to the agents' induced choice functions is exactly equivalent to doubly-strong ex-ante stability.

**Theorem 6.** *A matching is AG-stable with respect to the agents DFDA choice functions if and only if it is doubly-strong ex-ante stable with respect to the agents' ordinal preferences.*

*Proof.* First, we will prove the forward direction.

For contradiction, assume this is false, some matching  $x$  is AG-Stable with respect to agents' induced choice functions, but not doubly-strong ex-ante stable.

First, we will assume it is not ex-ante stable, thus there exists  $i, i' \in N, j, j' \in M$  such that  $j \succ_i j', i \succ_j i', x_{ij'} > 0$ , and  $x_{i'j} > 0$ . However, it is easy to see that this would violate the definition of AG-Stability with respect to  $i$  and  $j$ . Since  $x_{ij'} > 0$  implies that  $C^i(x_i)$  must still have some free space left after choosing  $j$ 's entire equivalency class. This means that if we increased  $j$  by some small amount in  $x_i$ , the choice function would choose more, so  $i$  is not  $j$ -satiated. Similarly, a symmetric argument shows that  $j$  is not  $i$ -satiated.

Next, we will assume there is ex-ante discrimination on the proposers side, thus there exists  $i, i' \in N, j, j' \in M$  such that  $j \succ_i j', i \sim_j i', x_{ij'} > 0$ , and  $x_{i'j} > x_{ij}$ . Again, in this case we can see that  $i$  is not  $j$ -satiated in the vector  $x_i$  due to the fact that  $x_{ij'} > 0$ . We can also observe that  $j$  will not be  $i$ -satiated due to  $x_{i'j} > x_{ij}$ . If  $i$  and  $i'$  are not members of the lowest equivalency class matched to  $j$  in  $x_j$ , then increasing  $i$  in  $x_j$  will cause  $C^j(x_j)$  to select more of it in favor of the lesser preferred proposer it is currently matched to. If  $i$  and  $i'$  are in the lowest equivalency class for  $j$ , then note that since  $x$  is a perfect matching, at the point of  $j$ 's DFDA choice function where the continuous increasing process has chosen  $x_{ij}$  of  $i$ , it will have not have chosen its entire quota yet, since it still continues on to choose more of  $x_{i'j}$ . Thus, if  $x_{ij}$  were to be increase, this continuous process would choose at least some of that increase, and reach its quota slightly before choosing the full amount of  $x_{i'j}$ . Note that deriving a contradiction when the matching has ex-ante discrimination on the acceptors side would be a symmetrical argument to above.

Lastly, we will assume that the matching is not ex-ante indifference neutrality, thus there exists  $i, i' \in N, j, j' \in M$  such that  $j \sim_i j', i \sim_j i', x_{ij} < x_{ij'}$ , and  $x_{ij} < x_{i'j}$ . In this case, we can show that  $i$  is not  $j$ -satiated, and the fact that  $j$  is not  $i$ -satiated follows from a symmetrical argument. If  $j$  and  $j'$  are not in  $i$ 's lowest equivalency class, then increasing  $j$  will cause  $i$  to accept it in favor of the less preferred acceptor it is currently matched to. If  $j$  and  $j'$  are in  $i$ 's lowest equivalency class, then in a identical argument to the previous paragraph,  $x_{ij} < x_{ij'}$  implies that increasing  $x_{ij}$  will cause  $i$ 's choice function to select more of  $j$  as part of the equivalent increasing process.

This concludes the proof of the forward direction. We will next show the backwards direction. For contradiction, assume that some matching  $x$  is doubly-strong ex-ante stable, but is not AG-Stable with respect to the agents' induced choice functions.

Let  $i \in N, j \in M$ , be the pair of agents that violates AG-Stability, i.e., we have that  $i$  is not  $j$ -satiated, and  $j$  is not  $i$ -satiated.

Since  $i$  is not  $j$ -satiated, this means that there exists some vector  $y_i$  with  $y_{ij'} = x_{ij'} \forall j' \in M \setminus \{j\}$  and  $y_{ij} = x_{ij} + \varepsilon$  for some  $\varepsilon > 0$ , such that  $C^i(y_i)_j > C^i(x_i)_j$ . Similarly, we since  $j$  is not  $i$ -satiated, there must exist a symmetrically defined vector  $y_j$  such that  $C^j(y_j)_i > C^j(x_j)_i$ .

Since  $x$  is a perfect matching, we must have that  $\sum_{j \in M} x_{ij} = 1$ , and thus since the quota of each agents' choice function will be 1, and we have  $C^i(y_i)_j > C^i(x_i)_j$ , there must be some  $j' \in M$  such that  $C^i(y_i)_{j'} < C^i(x_i)_{j'}$ . Similarly, there must be some  $i' \in N$  such that  $C^j(y_j)_{i'} < C^j(x_j)_{i'}$ . We will now consider each possible case for  $i$ 's preference ordering over  $j$  and  $j'$ , and  $j$ 's preference ordering over  $i$  and  $i'$ .

First, note that it cannot be the case that  $j' \succ_i j$ . By the definition of the DFDA choice function, if  $j' \succ_i j$  is true, then since we have  $C^i(y_i)_j > C^i(x_i)_j \geq 0$  we must also have that  $C^i(y_i)_{j'} = y_{ij'}$ . This follows since the only way  $C^i(y_i)_{j'} < y_{ij'}$  would be true is if  $j'$  is in the lowest equivalency class chosen by  $i$  in  $C^i(y_i)$ . But,  $C^i(y_i)_{j'} < C^i(x_i)_{j'} \leq x_{ij'} = y_{ij'}$  contradicts this. Meaning that  $j \succ_i j'$  must be true. By a symmetric argument, we can also say that  $i \succ_j i'$  must be true.

**Case 1:**  $j \succ_i j', i \succ_j i'$ . In this case, note that  $0 \leq C^i(y_i)_{j'} < C^i(x_i)_{j'}$  implies that  $C^i(x_i)_{j'} > 0$  and thus  $x_{ij'} > 0$ . Symmetrically, we also have that  $x_{i'j} > 0$ . This implies that  $x$  violates ex-ante stability with respect to  $i$  and  $j$ , giving a contradiction.

**Case 2:**  $j \succ_i j', i \sim_j i'$ . In this case, we again have that  $x_{ij'} > 0$ , this means that  $x_{ij} \geq x_{ij'}$ , otherwise this would mean that  $x$  violates no ex-ante discrimination for the proposers. Note that this means we have  $y_{ji} > x_{ij} \geq x_{i'j} = y_{ji'}$ . But if this were true, we could not also have that  $C^j(y_j)_{i'} < C^j(x_j)_{i'} \leq x_{i'j} = y_{ji'}$  and  $C^j(y_j)_i > C^j(x_j)_i$ . This follows from the definition of the DFDA choice function. Since  $C^j(y_j)_{i'} < y_{ji'}$ , it must be the case that  $i'$ , and thus  $i$ , are in the lowest equivalency class among accepted proposers in  $C^j(y_j)$ . Since the only difference between  $x_j$  and  $y_j$  is that  $y_{ji} > x_{ij}$ , this means that the choice process will be identical up until the point where  $x_{ij}$  of  $i$  is chosen. Note at that point, due to  $i \sim_j i'$ , and the DFDA choice function choosing all agents in the lowest equivalency class at equal proportions, we must have that at that point in the choice process,  $C^j$  will have chosen at least  $\min\{x_{ij}, y_{ji'} = x_{i'j}\} = y_{ji'}$  of  $i'$ , contradicting that fact that  $C^j(y_j)_{i'} < y_{ji'}$ .

**Case 3:**  $j \sim_i j', i \succ_j i'$ . This follows from a symmetric argument to that of Case 2. Since we have  $x_{i'j} > 0$ , we must have  $x_{ij} \geq x_{ij'}$ , but this produces a contradiction.

**Case 4:**  $j \sim_i j', i \sim_j i'$ . By the argument presented in Case 2, we know that  $x_{ij} \geq x_{ij'}$  and  $i \sim_j i'$  leads us to a contradiction of the fact that  $C^j(y_j)_{i'} < C^j(x_j)_{i'}$ . So it must be true that  $x_{ij} < x_{ij'}$ . However, we also know that using a symmetrical argument to case 3,  $x_{ij} \geq x_{ij'}$  and  $j \sim_i j'$  leads to a contradiction of the fact that  $C^i(y_i)_{j'} < C^i(x_i)_{j'}$ , so it must also be true that  $x_{ij} < x_{ij'}$  is true as well. However, this would mean that  $x$  violates ex-ante indifference neutrality, again causing a contradiction.

This shows that a contradiction occurs for every possible ordinal preference ordering of  $i$  and  $j$ , thus proving the statement.  $\square$

In the case of our matching problems, we can relate this proposer optimal matching under AG-preferences back to our traditional notion of preferences through the following lemma:

**Lemma 6.** For any two doubly-strong ex-ante stable matchings  $x, y$ , if  $x_i \succ_i^{AG} y_i$  for some  $i$ , then  $x_i \succ_i^{SD} y_i$ .

*Proof.* For contradiction, assume this is false. For some matchings  $x, y$ , we have  $x_i \succ_i^{AG} y_i$ , and thus  $C^i(x_i \vee y_i) = x_i$ , but not  $x_i \succ_i^{SD} y_i$ .

This means that there is some equivalency class for  $i$ ,  $E_{ik}$ , such that  $\sum_{k' < k} \sum_{j \in E_{ik'}} y_{ij} > \sum_{k' < k} \sum_{j \in E_{ik'}} x_{ij}$ . By the fact that  $x$  and  $y$  are both perfect matchings, this implies that there exists some other equivalency class  $E_{ik'}$  with  $k' > k$  such that  $\sum_{k'' < k'} \sum_{j \in E_{ik''}} x_{ij} > \sum_{k'' < k'} \sum_{j \in E_{ik''}} y_{ij}$ .

From this, we are able to conclude that there exists some  $j$  that  $i$  places in at least class  $E_{ik}$  or higher, such that  $y_{ij} > x_{ij}$ , and there is some  $j'$  that  $i$  places in at least class  $E_{ik'}$  or lower such that  $x_{ij'} > y_{ij'}$ . Since  $y_{ij} > x_{ij}$ , we must also have that  $(x_i \vee y_i)_j = y_{ij} > x_{ij}$ . Thus, if  $C^i(x_i \vee y_i) = x_i$ , then we must have that  $C^i(x_i \vee y_i)_j < (x_i \vee y_i)_j$ . By the way the DFDA choice functions are defined, this would imply that the equivalency class of  $j$  is  $i$ 's lowest equivalency class that has any matchings in  $C^i(x_i \vee y_i)$ , but this would contradict the fact that  $C^i(x_i \vee y_i)_{j'} = x_{ij'} > 0$ .  $\square$

As the final step of this process, in Theorem 1 of Alkan and Gale [2003], the authors provide an algorithm (Algorithm 6) that produces the proposer-optimal matching among AG-preferences. We can show that when agents have DFDA choice functions, this algorithm will be equivalent to the DFDA algorithm.

**Theorem 7.** Algorithm 6 is equivalent to Algorithm 3.

*Proof.* We can show this equivalence explicitly, by walking through the execution of the DFDA algorithm, while also keeping track of a new matrix  $b$ . After each step  $k$  of Algorithm 3, we say that for all  $i \in N$ ,  $j \in M$ ,  $b_{ij}^k = x_{ij}^k$  if any fraction of  $i$  has been rejected by  $j$  by that point of the algorithm, and otherwise  $b_{ij}^k = 1$ . Intuitively,  $b^k$  represents the total fraction of each proposer that has not yet been rejected from each acceptor.

For any  $i \in N$  and  $j \in M$ , we can show that  $i$ 's tentative matching to  $j$  at step  $k - 1$ ,  $x_{ij}^{k-1}$ , plus his tentative proposals to  $j$  in round  $k$  will equal  $C^i(b_i^k)_j$ .

To see this, let  $P_i$  be the set of agents that  $i$  is proposing to in this step. Assume the acceptors in  $P_i$  belong to the equivalency class  $E_{ik}$ . By definition of DFDA,  $i$  must be rejected from all acceptors in the class  $E_{ik'}$  for every  $k' < k$ . This means that for

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**Algorithm 6:** The deferred-acceptance procedure of Alkan and Gale [2003] with the choice functions in Algorithm 5.

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1  $B^0 \leftarrow 1^{N \times M}$ 
2  $X^0 \leftarrow 1^{N \times M}$ 
3  $Y^0 \leftarrow 0^{N \times M}$ 
4  $k \leftarrow 0$ 
5 while  $X^k \neq Y^k$  do
6   for  $i \in N$  do
7      $X_i^{k+1} \leftarrow C^i(B^k)$ 
8   end
9   for  $j \in M$  do
10     $Y_j^{k+1} \leftarrow C^j(X^{k+1})$ 
11  end
12  for  $i \in N, j \in M$  do
13    if  $Y_{ij}^{k+1} = X_{ij}^{k+1}$  then
14       $B_{ij}^{k+1} = B_{ij}^k$ 
15    end
16    else
17       $B_{ij}^{k+1} = Y_{ij}^{k+1}$ 
18    end
19  end
20   $k \leftarrow k + 1$ 
21 end
22 return  $X^k$ 

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all such acceptors  $j' \in \cup_{k' < k} E_{ik'}$ , we have that  $b_{ij'}^{k-1} = x_{ij'}^{k-1}$ . Since  $x^{k-1}$  is a valid matching,  $C^i(b_i^{k-1})$  must choose the full amount of  $x_{ij'}^{k-1}$  for each such  $j'$ . After the DFDA choice function has selected these matchings from all preferred equivalency classes, it will select matchings from  $E_{ik}$ . Note that it must be the case for every  $j' \in E_{ik} \setminus P_i$ ,  $i$  must have been rejected from  $j'$ , thus will have  $b_{ij'}^{k-1} = x_{ij'}^{k-1}$ . For all  $j' \in P_i$ , we will have  $b_{ij'}^{k-1} = 1$ . Now we can simply observe how the DFDA choice function will choose matchings from this class.

Due to the properties of the DFDA algorithm, at each step of the algorithm, if  $j \sim_i j'$ ,  $j'$  has rejected  $i$  before step  $k$  and  $j$  has not yet been rejected, then we must have  $x_{ij}^{k-1} \geq x_{ij'}^{k-1}$ . This means that every  $j \in P_i$  is matched to  $i$  with at least as much weight as all the acceptors in  $E_{ik} \setminus P_i$ .

We can note that  $C^i(b_i^{k-1})$  will choose the full available amount of every  $j' \in E_{ij} \setminus P_i$ . This follows from the fact that  $x^{k-1}$  is a valid matching, and  $x_i^{k-1}$  must not exceed  $i$ 's quota of 1. Thus, if  $C^i(b_i^{k-1})$  was not able to choose full amount of some acceptor in  $j' \in E_{ij} \setminus P_i$  this would contradict that fact or the fact that all acceptors in  $P_i$  must have a higher matching that  $j'$  in  $x_i^{k-1}$ .

Finally, note that in  $x^{k-1}$ , it must be true that for all  $j, j' \in P_i$ , we have that  $x_{ij}^{k-1} = x_{ij'}^{k-1}$ , this follows from the fact that neither of  $j$  and  $j'$  have been rejected yet, and thus by the definition of the DFDA, every time  $i$  proposed to one of them previously, it proposed to both of them the same amount. Since it must be the case that the matching formed by  $x_i^{k-1}$  and  $i$ 's proposals in step  $k$  is perfect matching that exactly meets  $i$ 's quota, and we know that all agents other than those in  $P_i$  from equivalency classes at least as good as  $E_{ik}$  will be chosen at exactly their  $x^{k-1}$  matching in  $C^i(b_i^{k-1})$ , it follows that the final part of the  $C^i(b_i^{k-1})$  will be for  $C^i$  to continue choosing the acceptors from  $P_i$  at an equal rate until it has chosen an amount of each of them exactly equal to the amount at which they are matched to  $i$  in  $x^{k-1}$  plus  $i$ 's proposals, at which the quota of  $i$  will be filled, and it will stop.

One can also note that in each step of the DFDA algorithm, when each acceptor looks at the tentative proposals it received in that step and makes its rejections, the matching it selects will be identical to the vector selected by the DFDA choice function when run against the vector formed by that acceptor's tentative matching at step  $k - 1$  plus the proposals it received in step  $k$ . Unlike the previous statement, this does not require a nuanced proof, it follows trivially from the definition of the DFDA choice function, and by the described way that the acceptors make their rejections in the DFDA algorithm. It is easy to see that these are the exact same process.

With this in mind, it is easy to see that the Algorithm 6 is performing the exact same steps as the DFDA algorithm. At every step,  $B^{k-1}$  is defined equivalently to how we defined  $b$ ,  $X^k$  represents the proposers tentative matchings plus their proposals at

this step, and  $Y^k$  represents the acceptors rejection choices. The final step of each iteration updates  $B^k$  to reflect any rejections that happened this step. From this, we can see that the tentative matching  $x^k$  produced after step  $k$  of the DFDA algorithm will be equivalent to  $Y^k$  in Algorithm 6.  $\square$

From Lemma 6, we can conclude that the optimal matching that is guaranteed to exist for AG-preferences is also optimal under our traditional notion of preferences, and through Theorem 7, we can conclude that the process that is known to find this matching is equivalent to DFDA. This completes the proof of Theorem 1, as it shows that DFDA will converge to a proposer-optimal doubly-strong ex-ante stable matching.

## F Missing Proofs from Section 5

**Lemma 7.** *For any acceptor  $j \in M$ , if at any point during the execution of Algorithm 1 we have  $|x_j| = 1$ , then  $|x_j| = 1$  will remain true for the rest of the algorithm.*

*Proof.* To see this, it is sufficient to note constraint (5) of the LP,  $\forall j \in M, |x_j| = 1 \rightarrow z_j = \sum_{i \in N} y_{ij}$ .

Since  $|x_j| = \sum_{i \in N} x_{ij}$ , and after each execution of the LP, each  $x_{ij}$  will be updated using the formula  $x_{ij}^{t+1} \leftarrow x_{ij}^t + y_{ij}^* - z_{ji}^*$ , we have that the value of  $|x_j|$  gets updated by the formula  $|x_j^{t+1}| \leftarrow |x_j^t| + \sum_{i \in N} (y_{ij}^* - z_{ji}^*) = |x_j^t| + \sum_{i \in N} y_{ij}^* - z_j^* = |x_j^t| = 1$ . With the first equality being directly implied by constraints (7) and (8) of the LP.  $\square$

**Lemma 8.** *For any  $i \in N$  and  $j \in M$ , if at any point in Algorithm 1,  $i \in R_j \setminus A_j^*$  is true, then it will remain true for the rest of the algorithm.*

*Proof.* From the logic of Algorithm 1, we can see that the only proposers in  $R_j$  who are matched with positive weight to  $j$  are those in  $A_j^*$ . Thus, it must be the case that  $i$  is not matched to  $j$  with any positive weight in this step, and thus, we must have that  $j$  strictly prefers all the proposers it is currently matched with to  $i$ .

Since  $i \in R_j$  is true in this step, it follows from the definition of  $P_i$  that  $j \notin P_i$  must be true. Thus, from condition (4) of the LP, we know that the matching between  $i$  and  $j$  cannot increase while  $i \in R_j$  is true.

Note that this same argument holds for any proposer  $i'$  such that  $i \succ_j i'$ . Thus, in the next step of the algorithm, no such agent  $i'$  will become positively matched with  $j$ . Thus, after the next step,  $j$  will still strictly prefer everyone in its matching to all such  $i'$ , thus  $i' \in R_j$  will still be true.

We can continue this argument inductively, and conclude that after every step,  $j$  will still prefer everyone in its matching to  $i$  and  $i$  will never be positively matched to  $j$ , thus  $i$  will remain in  $R_j \setminus A_j^*$  for the rest of the algorithm  $\square$

**Lemma 9.** *For any  $i \in N$  and  $j \in M$ , if at any point in Algorithm 1,  $i \in A_j^*$  is true, then  $i$  will only leave  $A_j^*$  if it is fully rejected from  $j$ , and enters  $R_j \setminus A_j^*$ .*

*Proof.*  $A_j^*$  is defined as the set of proposers who are among the lowest equivalency class matched to  $j$ , and among those, the proposers with the highest weight matched to  $j$ .

From Lemma 8, we know that this cannot happen because some other proposer from a lower equivalency class becomes positively matched to  $j$ . So, it must be the case that some proposer in the same equivalency class to  $j$  becomes matched to  $j$  with a higher weight than  $i$ .

For contradiction, assume that this happens, at some step of the algorithm  $i \in A_j^*$  is true, but in the next iteration, after running an instance of the LP and updating the matching,  $i$  is no longer in  $A_j^*$ , and thus there is some  $i'$  with  $i' \sim_j i$  who is matched to  $j$  at a strictly higher amount than  $i$  is.

By condition (7) of the LP, we can see that if  $i' \in A_j^*$  were also true, this would lead to a contradiction. Condition (7) ensures that  $i$  and  $i'$  would be rejected from  $j$  the exact same amount during this iteration of the LP, and from the fact that they are in  $A_j^*$ , we can conclude that  $j \notin P_i$  and  $j \notin P_{i'}$  are also true, and thus  $y_{ij} = y_{i'j} = 0$  in the LP solution. Therefore, updating the matching with such a solution could not cause  $i'$ 's matching with  $j$  to exceed  $i$ .

In the case where  $i' \notin A_j^*$ , then by the fact that  $i' \sim_j i$ , we can conclude that  $i' \in A_j \setminus A_j^*$  must be true, and we can easily see that this leads to a contradiction by observing condition (10) of the LP. By condition (10)  $x_{ij} - z_{ji} \geq x_{i'j} + y_{i'j}$  must be true. Again we can conclude that  $y_{ij} = 0$  from the fact that  $i \in A_j^*$ , and we can also conclude that  $z_{ji'} = 0$  from the fact that  $i' \notin A_j^*$ , following from condition (8) of the LP.

This also shows that in this case, updating the matching with the LP solution will never cause  $i'$ 's matching with  $j$  to exceed  $i$ 's.  $\square$

**Lemma 10.** *For any  $i \in N$  and  $j \in M$ , at any point of Algorithm 1, if  $i \in R_j$  is true, then for all  $i' \in N$  such that  $i \sim_j i'$ ,  $x_{ij} \geq x_{i'j}$  will remain true for the rest of the algorithm.*

*Proof.* First note that if  $i \in R_j \setminus A_j^*$  is true, then it must be matched to  $j$  with 0 weight, and  $j$  must strictly prefer everyone in its current matching to  $i$ . This implies that all  $i'$  would have to be matched to  $j$  with 0 weight as well, and by Lemma 8, this would continue to hold for the remainder of the algorithm.

Next, from Lemma 9, we know that after the first step where  $i \in A_j^*$  is true, it will not leave  $A_j^*$  until it is fully rejected by  $j$ . Thus, at any point after it gets added to  $A_j^*$ , but before it gets it gets fully rejected, we will have that  $x_{ij} \geq x_{i'j}$  must be true for all  $i'$  such that  $i \sim_j i'$ .

Next, observe that on the step where  $i$  gets fully rejected from  $j$ , it must be the case that this step, all  $i'$  will also be matched to  $j$  with weight 0. If this were not true, then it is clear to see that  $i'$  would have had to violate condition (7) of the LP (if it were in  $A_j^*$ ), or condition (10) of the LP (if it were in  $A_j \setminus A_j^*$ ) to be positively matched to  $j$  at this step.

From the fact that  $i \in A_j^*$  was true in the previous step, we know that all proposers who  $j$  strictly prefers  $i$  to must in  $R_j \setminus A_j^*$ , and thus by Lemma 8 will remain there for the rest of the algorithm. By the above analysis, we can also conclude that on the step where  $i$  gets fully rejected by  $j$ , all proposers in  $i$ 's equivalency class will be matched to  $j$  with weight 0 as well, and thus it must be the case that  $j$  strictly prefers everyone in its matching to  $i$  at this point. Thus, for all  $i'$  such that  $i' \sim_j i$ , we must have  $i' \in R_i \setminus A_j^*$ , and by Lemma 8, they will all remain matched with  $j$  at 0 forever.  $\square$

**Lemma 11.** *For any  $i \in N$  and  $j \in M$ , at any point of Algorithm 1, if  $i \in R_j$  becomes true, then  $i \in R_j$  will remain true for the rest of the algorithm.*

*Proof.* If at this point,  $i \in R_j \setminus A_j^*$  is true, then this immediately follows from Lemma 8.

If on the other hand,  $i \in A_j^*$  is true at this point, then we know from Lemma 9, that it will remain in  $A_j^*$  until it is fully rejected, and it is implied by Lemma 10 that once  $i$  is fully rejected, it will enter  $R_j \setminus A_j^*$ , and thus remain there forever.  $\square$

**Lemma 1.** *In any solution to the LP, one of the following will be true:*

- (A)  $\forall i \in C_t, y_i = \sum_{j \in M} z_{ji} + w_i$
- (B)  $\exists j \in M, |x_j| < 1, \sum_{i \in N} y_{ij} = 1 - x_j$
- (C)  $\exists i \in N, j \in M, x_{ij} > 0, z_{ji} = x_{ij}$ .
- (D)  $\exists j \in M, \exists i \in A_j^*, \exists i' \in A_j \setminus A_j^*, x_{ij} - z_{ji} = x_{i'j} + y_{i'j}$ .

*Proof.* For contradiction, assume that for some proposal graph  $G$ , component  $C_t$ , and existing  $(x, w)$ , the corresponding LP outputs a solution where none of these conditions are true.

First, because condition (A) is false, that means there exists some agent  $i^* \in C_t$  such that  $y_{i^*} < \sum_{j \in M} z_{ji^*} + w_{i^*}$ . Intuitively, this means that after the LP has been resolved and the current matching has been updated with the values of  $y^*, z^*, i^*$  will have free weight remaining.

We can show that, since conditions (B), (C), and (D) are also all false,  $i^*$  should be able to propose more of their weight without violating the constraints of the LP, leading to a contradiction of the fact that the LP returns a solution maximizing the sum of proposed weights over all the proposers.

Consider what happens if the value of  $y_{i^*}$  increases by some very small  $\varepsilon$ . For each  $j \in P_{i^*}$ , the value of  $y_{i^*j}$  will increase by  $\varepsilon/|P_{i^*}|$ . For each of these  $j$ 's, if  $|x_j| < 1$ , then by the fact that condition (B) is false, we know that  $\sum_{i \in N} y_{ij} < 1 - |x_j|$  must be true. As long as epsilon is sufficiently small, it will be the case that  $\sum_{i \in N} y_{ij} + \varepsilon/|P_{i^*}| \leq 1 - |x_j|$  as well.

Additionally, for all the  $j \in P_{i^*}$  such that  $|x_j| = 1$ , then increasing the proposals to  $j$  means that it will have to reject more of the agents in  $A_j^*$ . Specifically, since proposals to  $j$  are increasing by  $\varepsilon/|P_{i^*}|$ ,  $j$  will increase its rejection of each proposer in  $A_j^*$  by a factor of  $\varepsilon/(|P_{i^*}||A_j^*|)$ . Due to condition (C) and (D), such a change will always be possible. We must have that for all  $i \in A_j^*$ ,  $z_{ji} < x_{ij}$ , and for all  $i' \in A_j \setminus A_j^*$ , we have that  $x_{ij} - z_{ji} > x_{i'j} + y_{i'j}$ . Thus, given  $\varepsilon$  is sufficiently small, the inequalities  $z_{ji} + \varepsilon/(|P_{i^*}||A_j^*|) < x_{ij}$  and  $x_{ij} - z_{ji} - \varepsilon/(|P_{i^*}||A_j^*|) > x_{i'j} + y_{i'j}$  will still hold.

One can easily verify that the rest of the constraints of the LP will trivially hold after this  $\varepsilon$  increase as well, as they are all equality constraints that we already implicitly handled above, or have no relation to the variables that we changed.

The above procedure will increase  $y_{i^*}$  by a factor of  $\varepsilon$ , while maintaining all the necessary inequalities of the LP provided that  $\varepsilon$  is sufficiently small, giving the desired contradiction.  $\square$

**Lemma 2.** *Let  $y^*, z^*$  be the variables after resolving some LP in Algorithm 1. The process of updating the current matching using  $y^*, z^*$  will change the proposer graph only if at least one of the conditions (B), (C) or (D) are true.*

*Proof.* For contradiction, assume this is false and that in an LP solution  $y^*, z^*$  where conditions (B), (C), and (D) are all false, but the corresponding updating of the matching changes the proposal graph. Consider the different ways that the proposal graph can change.

First, observe the fact that for any  $j \in M, i \in N$ , an edge from  $j$  to  $i$  can only change (either appear or disappear) in the proposal graph if  $A_j^*$  changed in this iteration. This follows immediately from the fact that by definition, the edge  $(j, i)$  exists in the proposal graph if and only if  $i \in A_j^*$ .

Slightly less trivially, we can observe that for any  $i \in N, j \in M$ , an edge from  $i$  to  $j$  can only also change in the proposal graph if  $A_j^*$  changed for some  $j' \in M$  in this iteration. For any fixed  $i$ , the set of  $j$  such that  $(i, j)$  is an edge in  $G$  will be the set of  $j$  among  $i$ 's highest equivalency class such that  $j \notin R_i$ . Since we know from Lemma 11 that once some acceptor is placed into  $R_i$ , it will never be removed for the remainder of the algorithm, it must be the case that if some edge  $(i, j)$  is removed from the graph, then  $j$  was added to  $R_i$ , and similarly, if some edge  $(i, j)$  is added to the graph, then some  $j'$  must have been added to  $R_i$  that lowered the  $i$ 's highest unrejected equivalency class. Clearly, an acceptor  $j'$  can only be added to  $R_i$  if they are either directly added to  $A_{j'}^*$ , or if the lowest equivalency class matched to  $j$  changed, which would also cause  $A_j^*$  to change.

Thus, it is sufficient to show that if conditions (B), (C), and (D) are all false after some iteration of the LP, then  $A_j^*$  will remain the same for all  $j \in M$ .

In our assumption for contradiction, let  $j$  be the acceptor such that  $A_j^*$  changes. First consider the case that there is some  $i$  that was  $A_j^*$  in the previous step, but is not anymore. It cannot be the case that  $i$  was fully rejected from  $j$ , otherwise that would violate condition (C). But from Lemma 9, we know that once some  $i$  is in  $A_j^*$ , the only way it can leave  $A_j^*$  is by being fully rejected. So this cannot be the case.

Therefore, there must some  $i$  that was not in  $A_j^*$  previously, but is there now. It cannot be the case that  $i$  is part of a brand new equivalency class that was not in  $A_j^*$  previously, as that could only happen if  $j$  just became full for the first time, which would violate condition (B), or the proposers from some higher equivalency class were fully rejected in the previous step, violating the argument from the last paragraph. Therefore, it must be the case that there are other proposers from the same equivalency class in  $A_j^*$ , and  $i$ , previously being in  $A_j \setminus A_j^*$  has become matched to  $j$  at the same weight as them. But clearly this could only happen if a violation of condition (D) occurred.  $\square$

**Lemma 3.** *In some iteration of the main while loop in Algorithm 1, if for every component  $C_t$  of proposers, the LP run on  $C_t$  terminates with only condition (A) being true, then the matching produced by the last component being solved will be a perfect matching.*

*Proof.* First note that due Lemma 2, since conditions (B), (C), and (D) are never true during such an iteration of the while loop, the proposal graph will never change, and thus the LP will run on every strongly connected component of proposers.

By definition of condition (A), if an LP for component  $C_t$  terminates with (A) being true, then each proposer in  $C_t$  will have no free weight after the matching is updated with the LP values. Further, from the fact that the components are solved in a topological ordering, if an agent  $i \in C_t$  does not have any free weight after  $C_t$  is solved, then there is no component  $C_{t'}$  with  $t' > t$  whose solution will result in new free weight being pushed back to  $i$ .

To formalize this, we can say that for every  $C_{t'}$  ordered after  $C_t$ , and for all  $i' \in C_{t'}$ ,  $i$  is not reachable from  $i'$  in the proposal graph. This means that if there is an edge  $(i', j)$  for some  $j \in M$  in the proposal graph, then there cannot be an edge  $(j, i)$  in the graph as well.

It can easily be seen from conditions (5) and (6) of the LP that for any  $j \in M$ ,  $z_j > 0$  only if  $y_{i'j} > 0$  for some  $i' \in N$ . Since for an execution of the LP on  $C_{t'}$ , the only proposers that propose their weight are proposers in  $C_{t'}$ , we have that for any  $j \in M$ ,  $y_{i'j} > 0$  only if  $i' \in C_{t'}$  and  $(i', j)$  is an edge in the proposal graph. Therefore, for any  $C_{t'}$  ordered after  $C_t$ , there will never be a  $j \in M$  in the LP solving  $C_{t'}$  such that  $z_{ji} > 0$ . Thus, for all  $i \in C_t$ , we will have  $\sum_{j \in M} z_{ji} = 0$ , meaning that  $w_i = 0$  after updating the matching with the new values from the LP.  $\square$

**Theorem 3.** *Algorithm 1 terminates in polynomial time, and will output a perfect matching.*

*Proof.* Each iteration of the main for loop simply runs an LP with the number variables and constraints being polynomial in the number of agents, then updates the proposal graph. Clearly a single iteration of this loop terminates in polynomial time,<sup>8</sup> and since it runs once for each strongly connected component in the proposal graph, each instance of this for loop will have at most  $|N|$  iterations. Therefore, we just need to show that the algorithm terminates after a polynomial number of iterations of the main while loop.

From Lemma 1, we know when we run the LP on a component  $C_t$ , one of four listed conditions—(A), (B), (C), or (D)—must be true.

Condition (A) represents that the LP was able to resolve all the free weight from the proposers in  $C_t$ . From Lemma 3, we know that if this happens for every component in a given iteration of the while loop, the the algorithm will terminate with a perfect matching after that iteration.

The other three conditions all correspond to events that cause the proposer graph to change, and thus move the algorithm forward. We will show that each of these conditions can only occur polynomial number of times.

If condition (B),  $\exists j \in M, |x_j| < 1, \sum_{i \in N} y_{ij} = 1 - |x_j|$ , is true, that means that there existed some acceptor  $j$  that was not full at the beginning of the LP, but is full afterwards. From Lemma 7, we know that this can only happen at most  $|M|$  times during the algorithm, since once an acceptor become full, its weight cannot go down again.

<sup>8</sup>Crucially, note that the optimal solution  $(y^*, z^*)$  of each LP affects the updated matching  $x$ , which is involved in the right hand side of the subsequent LP. If one uses an arbitrary polynomial-time solver for LPs, this may cause an exponential blow-up in the bit-complexity of the successive LPs and, hence, the time it takes to solve them. This can be prevented by using a polynomial-time algorithm for solving LPs whose running time is independent of the bit-complexity of the right hand side, such as that of Tardos [1986].

If condition (C),  $\exists i \in N, j \in M, x_{ij} > 0, z_{ji} = x_{ij}$ , is true, this means that some proposer  $i$  did have weight matched with some acceptor  $j$  at the beginning of the LP, but was fully rejected from  $j$  by the results of the LP. By condition (8) of the LP, we can see that  $z_{ji}$  can only be positive if  $i \in A_j^*$  is true.  $i \in A_j^*$  implies that  $i \in R_j$  is true, and by Lemma 11, this means that  $i \in R_j$  will remain true for every future step of the algorithm.  $i \in R_j$  also implies that  $j \in P_i$  cannot be true. Finally, following from condition (4) of the LP, in any future iteration of the algorithm,  $y_{ij}$  can only be true if  $j \in P_i$  is true. This means that once  $i$  is fully rejected from  $j$ , it can never increase again. Thus, this can only happen once for every  $i$  and  $j$ , meaning it only happens  $|N||M|$  times total.

Finally, if condition (D),  $\exists j \in M, \exists i \in A_j^*, \exists i' \in A_j \setminus A_j^*, x_{ij} - z_{ji} = x_{i'j} + y_{i'j}$  is true, this means that there is some acceptor  $j$ , and some proposer  $i$  that is in  $A_j$  (among the lowest equivalency class proposers matched to  $j$ ), but not in  $A_j^*$  (does not have the most weight matched to  $j$  among proposers in  $A_j$ ) who becomes tied for having the most weight matched to  $j$  among proposers in  $A_j$ , either by  $x_{ij}$  increasing and/or  $x_{i'j}$  decreasing for all  $i' \in A_j^*$ . We note that an LP can only terminate with this condition being true once for every  $i, i'j$  pair, meaning that it can only happen at most  $|N|^2|M|$  times throughout the course of the algorithm.

To see this, first note that condition (D) being true implies that  $i$  and  $i'$  will be matched to  $j$  in the same amount after updating the matching with the LP results. This is because we have  $x_{ij} - z_{ji} = x_{i'j} + y_{i'j}$ , and we also know from the fact that  $i \in A_j^*$  that  $y_{ij} = 0$ , and from the fact that  $i' \notin A_j^*$  that  $z_{ji'} = 0$ .

Next, note that  $i \in A_j^*$  before the LP execution implies that either  $i \in A_j^*$  or  $i \in R_j \setminus A_j^*$  must be true after updating the matching. This follows from Lemma 11. If  $i \in A_j^*$  is true, then by the fact that  $i$  and  $i'$  are now matched to  $j$  with the same amount, then  $i' \in A_j^*$  is also true. If  $i \in R_j \setminus A_j^*$  is true, then  $i$ , and thus,  $i'$  must be matched to  $j$  at weight 0, and therefore  $i' \in R_j \setminus A_j^*$  must also be true. Either way, we have that  $i' \in R_j$  is true. By Lemma 11, we know that  $i'$  will never leave  $R_j$  for the remainder of the algorithm, so therefore, it can never be in  $A_j \setminus A_j^*$  again, and thus condition (D) cannot repeat with these agents.

This means that after at most  $(|N||M|) + (|N|^2|M|) + |M|$  iterations of the main while loop, either conditions (B), (C), and (D) will have occurred their maximum number of times, or the algorithm has terminated.

Thus, if the algorithm has not terminated at this point, then in the next iteration of the while loop, condition (A) and none of the other conditions are true after each LP is solved. Following from Lemma 3, the algorithm will terminate after this iteration.  $\square$

**Theorem 4.** *The matching produced by Algorithm 1 is doubly-strong ex-ante stable.*

*Proof.* First, we will show that Algorithm 1 returns an allocation that is ex-ante stable. For contradiction, assume this is false. This means that there are some  $i, i' \in N$  and  $j, j' \in M$  such that  $j \succ_i j', i \succ_j i', x_{ij'} > 0$ , and  $x_{i'j} > 0$  are all true.

Note that if  $x_{ij'} > 0$ , that means that at some point of Algorithm 1,  $j' \in P_i$  must have been true. This means that  $i \in R_{j'}$  must have been true at that point, or else,  $j \in P_i$  would have been the case instead.  $i \in R_{j'}$  implies that  $j$  can only be currently matched to agents that are weakly preferred to  $i$ , and therefore strictly preferred to  $i'$ . Thus, we must have that  $x_{i'j} = 0$  and  $i' \in R_j$  at this point. By Lemma 11,  $i'$  will remain in  $R_j$  for the rest of the algorithm, and therefore cannot be positively matched to  $j$  in the final output, causing a contradiction.

Next we will prove that Algorithm 1 has no ex-ante discrimination for the proposers. For contradiction, assume this is false. Then there are  $i, i' \in N, j, j' \in M$  such that  $i \sim_j i', j \succ_i j', x_{ij'} > 0$ , and  $x_{i'j} > x_{ij}$  are all true.

As in the argument for ex-ante stability,  $x_{ij'} > 0$  means that at some step of the algorithm,  $j' \in P_i$ , thus  $i \in R_{j'}$ . Thus, immediately from Lemma 10, we can conclude that  $x_{ij} \geq x_{i'j}$ , giving a contradiction.

Next, we will prove that the matching produced by Algorithm 1 has no ex-ante discrimination for the acceptors. For contradiction, assume this is false. Then there are  $j, j' \in M, i, i' \in N$  such that  $j \sim_i j', i \succ_j i', x_{i'j} > 0$ , and  $x_{ij} > x_{ij'}$ .

Since,  $x_{i'j} > 0$  we know that  $i \notin R_j$  was true at every point of the algorithm. Note that by the condition (3) of the LP, whenever  $i$  proposes any of its weight to  $j'$ , since  $i \in R_j$  was never true, it must be the case that  $i$  will always propose an equal amount of weight to  $j$ . Thus, the only way that  $x_{ij'} > x_{ij}$  could be true is if  $j$  ever rejected some weight from  $i$ , which is not possible due to  $i \notin R_j$ .

Finally, we will prove that  $x$  is ex-ante indifference neutral. For contradiction, assume this is false, and there exists agents  $i, i' \in N, j, j' \in M$ , such that  $j \sim_i j', i \sim_j i', x_{ij} < x_{ij'}$  and  $x_{ij} < x_{i'j}$ .

Note that  $x_{ij} < x_{i'j}$  implies that at some step in the algorithm, we had  $i \in R_j$ . This follows from the fact that if  $i \in R_j$  was never true, then in an identical argument to the previous paragraph, we know that every time  $i$  proposed to  $j'$  it must have also proposed the same amount to  $j$ . Thus, if  $i \in R_j$  were never true, it would have to be the case that  $x_{ij} \geq x_{i'j}$ .

However,  $x_{ij} < x_{i'j}$  implies that  $i \in R_j$  was never true at any point in the algorithm. This follows from Lemma 10, as  $i \in R_j$  would imply  $x_{ij} \geq x_{i'j}$ . This along with the paragraph above clearly cannot be true at the same time, giving us the desired contradiction.  $\square$



## G Why We Demand Proposers With Free Weights

Suppose there are proposers  $i, i'$  and acceptors  $j, j'$  with preferences shown on the right, and suppose that at some point of the algorithm, we have  $x_{ij'} > 0$  and  $x_{i'j} > 0$ . Also, suppose that  $i$  is rejected from  $j'$  and one of the acceptors she will propose to next is  $j$ , and  $i'$  is rejected by  $j$  and one of the acceptors she will propose to next is  $j'$ . Finally, suppose  $i$  and  $i'$  both have no free weight. This would form the following cycle in our proposal graph:  $i \rightarrow j \rightarrow i' \rightarrow j' \rightarrow i$ .

| Preferences |              |
|-------------|--------------|
| $i$ :       | $j' \succ j$ |
| $i'$ :      | $j \succ j'$ |
| $j$ :       | $i \succ i'$ |
| $j'$ :      | $i' \succ i$ |

If we did not have the  $w_i > 0$  condition, then the LP would maximize flow through this cycle, swapping matched weight on  $(i, j')$  and  $(i', j)$  for equal weight on  $(i, j)$  and  $(i', j')$ . However, this leads to the proposers worsening. Also, it does not reflect any actual proposals and rejections that would have happened in DFDA because  $i$  and  $i'$  had no free weights to kick them off. Adding the condition to Line 7 that some proposer in  $C_t$  must have free weight prevents such extra proposals and rejections, thus bringing DFDA-SCC closer to mimicking DFDA.

## H Incompatibility With Pareto Optimality

Consider the instance in Figure 3(a), where proposers  $i$  and  $i'$  are indifferent between acceptors  $j$  and  $j'$ , and  $j$  is also indifferent between  $i$  and  $i'$ , but  $j'$  strictly prefers  $i$  to  $i'$ . The only Pareto optimal matching is given in Figure 3(c), which makes  $j'$ —the only agent who is not completely indifferent—maximally happy. However, this violates the requirement of ex ante indifference neutrality that  $x_{ij} \geq \min \{x_{ij'}, x_{i'j}\}$ . Note that DFDA and DFDA-SCC produce the matching shown in Figure 3(b) because both proposers initially propose a weight of  $1/2$  to both acceptors, which accept them, and the algorithms immediately terminate.

| Preferences |              |
|-------------|--------------|
| $i, i'$ :   | $j \sim j'$  |
| $j$ :       | $i \sim i'$  |
| $j'$ :      | $i \succ i'$ |

(a) Preferences.

|      | $j$   | $j'$  |
|------|-------|-------|
| $i$  | $1/2$ | $1/2$ |
| $i'$ | $1/2$ | $1/2$ |

(b) DFDA matching.

|      | $j$ | $j'$ |
|------|-----|------|
| $i$  | 0   | 1    |
| $i'$ | 1   | 0    |

(c) Ordinally Pareto dominant.

Figure 3: DFDA can be Pareto sub-optimal.  
DFDA can be Pareto sub-optimal.

## I Extended Discussion

Due to space constraints, the following discussion points are deferred here from Section 6.

**One-sided matching with weak agent priorities.** Two-sided matching includes one-sided matching, also known as the house allocation problem Hylland and Zeckhauser [1979], as a special case, where agents are matched to objects, agents have preferences over the objects, and we can treat every object as being indifferent between all the agents. In this case, DFDA does not seem to coincide with any known algorithm. It cannot ordinally dominate its competitor, probabilistic serial (PS) Bogomolnaia and Moulin [2001], because PS is ordinally efficient, but we are able to produce instances where PS ordinally dominates DFDA. That said, DFDA yields a natural extension to the case where both sides have weak preferences, whereas for PS, extensions are known only when either agents have weak preferences Katta and Sethuraman [2006] or objects have weak priorities Han [2024], but not both.

**Tradeoffs with other criteria.** As mentioned in Section 1, there are various other criteria for fractional two-sided matchings studied in the literature, such as ordinal fairness Han [2024], envy-freeness and justified envy-freeness Tröbst and Vazirani [2024],<sup>9</sup> and popular matching Huang and Kavitha [2021]. It is worth exploring the tradeoff between our criteria (particularly, ex ante stability) and these other criteria as well as with utilitarian welfare Caragiannis *et al.* [2019a] in two-sided matching.

**Best-of-both-worlds guarantees.** As mentioned in Section 1, fractional matchings can be implemented as lotteries over integral matchings due to the Birkhoff-von Neumann theorem Birkhoff [1946]. This simply finds an arbitrary lottery under which the marginal probability of agents  $i$  and  $j$  being matched is precisely  $x_{ij}$  for all  $i \in N$  and  $j \in M$ . Recently, there is a growing literature on implementing fractional solutions as lotteries while providing “best-of-both-worlds” guarantees: ex ante guarantees on the fractional solution and ex post guarantees on every integral solution in the support Aziz *et al.* [2023a,b]; Feldman *et al.* [2024]; Hoefer *et al.* [2024]. These often use strengthened versions of the Birkhoff-von Neumann theorem such as the bihierarchy extension due to Budish *et al.* [2013]. Whether the fractional matchings returned by DFDA or DFDA-SCC

<sup>9</sup>It should be noted that the justified envy-freeness criterion of Tröbst and Vazirani [2024] is different from the no justified envy criterion common in the deferred acceptance literature that coincides with stability for one-to-one matching.

1387 can be implemented while obtaining some ex post guarantees (such as stability of the integral matchings in the support) is an  
1388 exciting question for the future.

1389 **Many-to-many integral matchings.** Following the discussion on multi-unit capacities from Section 6, when agents on both  
1390 sides have multi-unit capacities it is also interesting to investigate *integral* matchings with approximate fairness guarantees:  
1391 Freeman *et al.* [2021] do so for a relaxation of envy-freeness called EF1, leaving open the question of whether a matching  
1392 satisfying EF1 for both sides always exists under additive cardinal utilities, but we are not aware of any work doing so for  
1393 relaxations of stability-inspired criteria.