Automated, LLM enabled extraction of synthesis details for reticular materials from scientific literature

Viviane Torres da Silva IBM Research vivianet@br.ibm.com Alexandre Rademaker IBM Research alexrad@br.ibm.com

Geisa Lima

Ronaldo Giro IBM Research rgiro@br.ibm.com

Marcelo Archanjo IBM Research marcelo.archanjo@ibm.com

Anaximandro Souza IBM Research anaximandrosouza@ibm.com

> Carmen Nilda Paz IBM Research cpaz@br.ibm.com

IBM Research Geisa.Lima@ibm.com Breno W. Carvalho

João Pedro Souza Idiap Research Institute joao.gandarela@idiap.ch

IBM Research

brenow@ibm.com

Renato Cerqueira IBM Research rcerg@br.ibm.com Krystelle Lionti IBM Research klionti@us.ibm.com

Sandro Fiorini IBM Research srfiorini@ibm.com

Rodrigo Neumann IBM Research rneumann@br.ibm.com

> Gabriela de Valnisio IBM Research gvalnisio@ibm.com

Mathias Steiner IBM Research mathiast@br.ibm.com

Abstract

Automated knowledge extraction from scientific literature can potentially accelerate 1 materials discovery. We have investigated an approach for extracting synthesis 2 protocols for reticular materials from scientific literature using large language 3 models (LLMs). To that end, we introduce a Knowledge Extraction Pipeline (KEP) 4 that automatizes LLM-assisted paragraph classification and information extraction. 5 By applying prompt engineering with in-context learning (ICL) to a set of open-6 source LLMs, we demonstrate that LLMs can retrieve chemical information from 7 PDF documents, without the need for fine-tuning or training and at a reduced risk 8 of hallucination. By comparing the performance of five open-source families of 9 LLMs in both paragraph classification and information extraction tasks, we observe 10 excellent model performance even if only few example paragraphs are included in 11 the ICL prompts. The results show the potential of the KEP approach for reducing 12 human annotations and data curation efforts in automated scientific knowledge 13 extraction. 14

15 **1** Introduction

Reticular materials are a class of crystalline, porous materials made of molecular building blocks
that are linked by strong chemical bonds [1]. They exhibit exceptional properties due to their highly
porous structure, high surface area, tunable pore sizes and morphologies [2]. Their versatility is
evidenced by a broad range of industrial applications, among them heterogeneous catalysis [3], energy

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storage [4], water treatment [5], chemical sensing [6], heat transfer [7], gas capture [8] and drug
delivery [9].

Following recent advances in generative AI, several models have been proposed to explore the large 22 chemical space covered by reticular materials [10-14]. These models aim to generate reticular 23 structures with optimized properties. Such structures are hypothetical as they have not yet been 24 synthesised and tested in the lab. Devising a synthesis protocol for computationally generated 25 structures requires a subject matter expert (SME). This is, however a challenging task given the large 26 number of possible structures. An AI model that correlates a computationally discovered material 27 with a lab synthesis protocol is, therefore, highly desirable. A first step towards the creation of such a 28 model is building a database of existing synthesis protocols. 29

One approach for creating such database is applying information extraction techniques to the existing 30 body of scientific literature. A large number of reticular materials have been reported in the literature 31 alongside their respective synthesis protocols [15, 16]. It is worth noting, however, that overlapping 32 discoveries are common, given that the same material can be produced by means of different synthesis 33 protocols [17]. Transfer learning has been suggested as means to improve information extraction on 34 existing corpora of scientific texts related to materials [18]. For example, fine-tuning techniques allow 35 for adapting existing general-purpose AI models to specific tasks in domains for which comparatively 36 little data exists. However, recent developments in LLMs have enabled information extraction based 37 on prompt engineering and few-shot learning tasks [19]. 38

In this paper, we propose using large language models (LLMs), without the need for additional training 39 or fine-tuning, for extracting synthesis protocols of reticular materials from scientific literature, i.e., 40 unstructured PDF documents. We use prompt engineering with in-context learning (ICL) [20] for 41 providing in the prompt all the context needed by the LLM to process the instructions. Together 42 with instructions and input data, we provide examples that guide the LLM output production. This 43 technique reduces the risk of hallucination, since all the context needed to execute the instruction is 44 provided within the prompt. Also, it accelerates the process of information extraction because it does 45 not require SME-based annotation of thousands of sentences/paragraphs for fine-tuning the models. 46 Our domain-independent Knowledge Extraction Pipeline (KEP) uses LLMs for extracting relevant 47

information from PDF documents. The pipeline is composed of four main modules: (i) PDF extractor: 48 processes the PDF to extract the text; (ii) Paragraph classification: processes the text in order to select 49 only the relevant paragraphs (i.e., paragraphs that have the information the user is interested in); (iii) 50 Information extraction: processes the relevant paragraphs and extract the relevant information; and 51 (iv) Knowledge representation: interprets and assigns meaning to the information while representing 52 the related knowledge. The pipeline uses LLMs with prompt-engineering and ICL in two modules, 53 namely paragraph classification and information extraction, which are the focus of this paper. In 54 55 addition, for identifying the best set of examples to be used in the prompts of these two modules, we propose the *Examples selection* phase. This phase measures the performance of the LLMs in a 56 given task and, by using different sets of examples, identifies the set to be used for optimal LLM 57 performance. 58

We have used five families of LLMs in both *paragraph classification* and *information extraction* modules and have compared their performance. We note that these open-source LLMs are not domain-specific and were not fine-tuned for our tasks. Our experiments indicate that: (i) even without fine-tuning or training, some of these models have achieved high performance in case ICL was used to provide examples in the prompt; (ii) the examples used in the prompt affect model performance and, hence, must be chosen carefully; and (iii) the same set of examples may lead to varying results if used in different models.

Some recent papers share our work's objectives, however, they differ methodologically [19, 21–23]. 66 For example, Polak et al. (2024) [19] reported a pipeline for extracting information from unstructured 67 text in the material discovery domain using language models. However, the cited work focused 68 on simple extraction tasks, e.g., *material*, *value and unit*, while our pipeline is aimed at complex 69 information associated with synthesis protocols that require additional classification. Unlike in our 70 approach which is based on few-shot prompts providing examples for facilitating the information 71 extraction, the cited work applies zero-shot methods for determining the relevance of sentences or 72 paragraphs. Huo et al. (2019) [21] introduced a semi-supervised machine learning approach for 73 classifying inorganic materials synthesis steps in scientific papers. The authors used the Latent 74 Dirichlet Allocation (LDA) unsupervised topic modeling algorithm for clustering terms that are 75

76 typically used in synthesis descriptions. A random forest classifier, based on annotations of hundreds 77 of paragraphs, categorized the occurring synthesis types. This approach also used a Markov chain for

⁷⁸ modeling the sequence of steps, creating flowcharts of synthesis procedures.

In Kononova et al. (2019) [22], the authors generated a dataset with "codified recipes" for solid-state 79 synthesis which was automatically extracted from scientific publications using traditional text mining 80 and natural language processing approaches. The authors used the two-step paragraph classification 81 approach described in Huo et al. (2019) [21] for finding paragraphs on solid-state synthesis. The ex-82 traction pipeline consisted of several algorithms (BiLSTM-CRF, Material Parser, etc.) for identifying 83 materials related information, including synthesis steps and conditions. Compared to our method, the 84 cited work required considerable annotation effort and employed a less straightforward extraction 85 pipeline. We note that our method relies primarily on the LLM capabilities for text understanding, 86 without specialized tokenizers or entity recognizers. Finally, Park et al. (2022) [23] created a four-step 87 pipeline, with text extraction from XML/HTML or PDF files and classifying relevant paragraphs, 88 performing named entity recognition and, a fully connected multi-layer with dropout as classifier. 89

Another promising, less related approach is using "AI chatbot agents" for assisting materials scientists 90 in specific pipeline tasks. In reference [24], the authors used prompt engineering for guiding 91 a ChatGPT-based bot to extract MOF synthesis information from various sources. The authors 92 leveraged a bot-like interface for answering questions about synthesis procedures and chemical 93 reactions. In reference [25], the authors leveraged multiple AI assistants, such as LLMs and specific 94 ML algorithms, as lab assistants to support a human SME, enabling productivity levels similar 95 to those of an entire research team. While the approach was not fully automated, it provided a 96 proof-of-concept of how language models can be leveraged for accelerating materials discovery. 97

The remainder of this paper is organized as follows. Section 2 introduces the use case, Section 3
 describes in details the pipeline applied to the use case and Section 4 presents our experiments.

100 Section 5 concludes and presents some future work.

101 **2** Use Case: Synthesis Protocols of Reticular Materials

With the goal of extracting knowledge about the synthesis of reticular materials, i.e., MOFs, ZIFs, COFs and zeolites, we have searched the scientific literature by using Elsevier's API¹ and downloaded full-text PDFs from the SCOPUS database.². Our approach is based on extracting information from PDFs, and not XMLs, since not always a XML file will be available for a given document. Notice that our extraction pipeline (see Section 3) was not created to manipulate only documents available in Elsevier, where their XML files are also provided, but to process any PDF document (including those that are images).

Our search employed the following keywords and wildcard terms to capture relevant references: 'MOF', 'metal organic framework', 'metal-organic framework', 'metal-organic-framework', 'COF', 'covalent organic', 'covalent-organic', 'ZIF', and 'zeolit* imidazol*'. We further limited the search to articles published in journals within Chemistry, Chemical Engineering, Materials Science, Energy, Engineering, Environmental Science, Physics and Astronomy, and Biochemistry, Genetics, and Molecular Biology, retrieving 6,669 articles.

The results were then filtered, by using the filter provided in the Elsevier API, to include only open-access articles with DOI identifiers from the following publishers: Elsevier (10.1016), Wiley Blackwell (10.1002), The Royal Society of Chemistry (10.1039), American Chemical Society (10.1021), Springer-Verlag (10.1007), Nature Publishing Group (10.1038), and MDPI (10.3390).

To create a public dataset, we finally kept only articles under the CC-BY-4.0 or CC-BY-3.0 licenses, resulting in 2,032 CC-BY-4.0 articles and 255 CC-BY-3.0 articles. These CCBY license papers

were selected by performing web-scrapping from the list of DOIs provided by the Elsevier API. Since

we are considering only papers with CCBY 3.0 and 4.0 licenses, everyone can retrieve the PDFs.

¹https://github.com/ElsevierDev/elsapy

²https://www.scopus.com

After collecting the data, we randomly selected 305 articles in PDF format ³. We then extracted from these PDFs 188 paragraphs describing synthesis protocols, and 137 examples of paragraphs not describing synthesis protocols (a total of 325 paragraphs). This curated set of paragraphs constitutes our golden collection of classified paragraphs. For details about how those paragraphs were extracted, see Section 3.

Subsequently, a team of eleven research scientists (composed of 2 SMEs) annotated each of the synthesis-related paragraphs on a case-by-case basis for extracting the following information: (i) the description of the synthesis product; (ii) the equipment used as an energy source; (iii) the conditions under which the synthesis occurred (e.g., reaction time, reaction temperature, current density); and (iv) the reactants and solvents used, including their descriptions, quantities, and units of measurement.

Intentionally, some paragraphs were selected for annotation by multiple SMEs, leading to some inconsistencies. These inconsistencies were then used to refine the annotation guidelines. The data was reviewed on a case-by-case basis by SMEs using a custom-built graphical interface and compiled in a final set of 131 syntheses descriptions encoded in a JSON format, thereby creating our golden dataset of annotated synthesis information. Table 1 summarizes the data in our golden dataset.

Table 1: Overvi	ew of golder	n dataset
	Synthesis	Not Synthesis
paragraphs classified	188	137
annotated paragraphs	131	-

3 Knowledge Extraction Pipeline (KEP)

KEP is a domain-independent pipeline that helps extract knowledge from unstructured data. It is
composed of four main modules: *PDF extractor, Paragraph classification, Information extraction*and *Knowledge representation*, as shown in Figure 1. The *PDF extractor* processes the PDF to extract
paragraphs, since we assume that SMEs are interested in paragraphs containing specific information.
The *Paragraph classification* classifies the extracted paragraphs into *relevant* or *irrelevant*, according
to the task the SME is interested in. When applying this module to our use case, *relevant* paragraphs
are those describing synthesis protocols of reticular materials.

Information extraction processes the relevant paragraphs and extracts the relevant information. When 146 applying this module to our use case, the relevant information is the synthesis details such as the de-147 scription of the synthesis product, the experimental conditions (such as reaction time and temperature), 148 and the reagents and solvents used in the synthesis. The final module, Knowledge representation, 149 interprets and assigns meaning to the extracted information while creates the knowledge represen-150 tation. In the synthesis protocol use case, the knowledge representation is characterized by (i) the 151 normalization of the unities; (ii) by the instantiation of entities of different kinds (such as productions, 152 reactants and solvents), and (iii) by the instantiation of the relationships (such as used-reactant and 153 used-solvent) that link the entities to the synthesis where they take part. For instance, it is possible to 154 represent that the same reactant is being used in syntheses of two different products and that same 155 product can be synthesized by two different synthesis. 156

The PDF extractor was implemented using the DS4SD open-source tool⁴ that converts unstructured 157 PDF documents into JSON files containing the document elements such as section titles, paragraphs, 158 footnotes, headers, figure captions and tables, etc. DS4SD is also able to process PDFs that are 159 indeed images since it uses an OCR engine to extract text-snippets from those images. The Para-160 graph classification and Information extraction modules, which are the focus of this paper, were 161 implemented by using open source LLMs of the Flan, Granite, LLaMa, Mistral and Mixtral families. 162 As detailed in Section 4, we compare the performance of these five families of LLMs when used in 163 both the Paragraph classification and Information extraction modules. The LLMs were used without 164 fine-tuning or training for the extraction of synthesis related information or on any task defined 165 specifically for the Material Discovery domain. We only used prompt-engineering and ICL. 166

³171 articles with at least one paragraph describing a synthesis protocol and 134 articles without any synthesis protocol description.

⁴https://ds4sd.github.io/



Figure 1: Knowledge Extraction Pipeline (KEP) with the four KEP modules highlighted in gray color. Also shown are the respective inputs and outputs

¹⁶⁷ To select the best set of examples to be provided in the prompt, the pipeline adds an additional step

to each of the LLM's modules, namely *Paragraph classification* and Information extraction. The

Examples selection step aims to select the best set of examples to be used in each tested LLMs for

each one of the tasks, *paragraph classification* and *information extraction*, see Section 3.3.

171 3.1 Paragraph classification

Since the goal of this module is the classification of paragraphs as *relevant* or *irrelevant*, the prompt to be used in this model should describe the difference between a relevant and an irrelevant paragraph. In addition, a sentence explicitly instructing the LLM that it should not provide an explanation together with the classification may be required

together with the classification may be required.

Since we are not using zero-shot prompting but ICL prompting, we not only provide the LLM with the aforementioned instructions, but also give it several examples of paragraphs and their corresponding classifications. In Section 4 we demonstrate that, by providing just a few examples in the prompt, the performance of the LLMs tends to increase significantly. Below is an example of instructions used, along with an example of paragraph⁵ and its corresponding classification, also provided in the prompt. This paragraph was classified as "S" meaning it is a paragraph describing a synthesis protocol.

Instruction: You are assisting a chemist in classifying paragraphs from scientific articles. Mark the paragraph as 'S' if it describes the components of synthesis protocols for reticular materials, or 'I' if it does not include a synthesis description. After reviewing the examples, classify the given paragraph. Do not add any information or explanation besides 'S' or 'I' in the answer.

Example: "Synthesis of Zn-MOF: Bis(imidazole-1-yl)methane was synthesized analogously to a the procedure reported in [43]. All other materials were obtained from commercial sources and were used as received. {[Zn(bim)(bdc)]0.8DMF0.4EtOH0.1H₂O}_n (Zn-MOF). Bis(imidazol-1-yl)methane (bim) (3.0 mg, 0.02 mmol), terephthalic acid (6.6 mg, 0.04 mmol), and Zn(NO3)2·6H2O (7.6 mg, 0.02 mmol) were dissolved in DMF/EtOH/H2O (2:1:1, vol.) mixture (1 mL), placed in a 4 mL screw-cap vial, and heated to 100 °C for 24 h."

193 Classification: S

194 3.2 Information extraction

The prompt used in the *Information extraction* module should inform to the LLM the kind of knowledge that should be extracted. In case of a complex structure, the prompt should suggest to the LLM to represent the extracted information following a given schema in well-known format, such as JSON [27]. It is reasonable to assume that the LLM will be able to parse this format since it is a commonly used data format that appeared in several documents used to train the LLM. In order to exemplify, find below the instruction we used and the JSON annotation related to the synthesis paragraph presented in Section 3.1.

⁵Paragraph extracted from [26].

Instruction: You are assisting a chemist in identifying and extracting descriptions of the synthesis of reticular materials from paragraphs. For each synthesis described in a paragraph, your task is to produce a JSON object that encodes the components involved in the synthesis, following the format provided in the examples. After reviewing the examples, carefully analyze the last paragraph and create a JSON object for each synthesis you find, ensuring that it adheres to the structure and conventions demonstrated.

Example: "Synthesis of Zn-MOF: Bis(imidazole-1-yl)methane was synthesized analogously to a ... screw-cap vial, and heated to 100 °C for 24 h."

```
{"output": {
210
        "product": {
211
           "description": "Zn-MOF",
212
           "material_type": "MOF",
213
           "conditions": [
214
             {"description": "reaction temperature", "value": 100, "unit": "oC"},
215
             {"description": "reaction time", "value": 24, "unit": "h"}
216
217
218
        "reactants": [
219
          {"description": "Bis(imidazol-1-yl)methane (bim)", "value": 0.02, "unit": "mmol"},
220
          {"description": "terephthalic acid", "value": 0.04, "unit": "mmol"},
221
          {"description": "Zn(NO3)2-6H2O", "value": 0.02, "unit": "mmol"}
222
223
        "solvents": [
224
          {"description": "DMF/EtOH/H2O (2:1:1, vol)", "value": 1.0, "unit": "mL"}
225
226
227
     }}
```

228 **3.3 Examples selection**

It is well-known that the performance of LLMs to execute a given task is significantly influenced by the set of examples provided in the prompt. In addition, due to the different characteristics of how the LLMs were trained, it is expected that different LLMs will require different sets of examples to achieve their highest performance when executing the same task.

Therefore, the *Examples selection* step was included and associated with each KEP module that uses 233 234 LLMs to help on the selection of the best set of prompt examples to be used. *Examples selection* receives as input the model to be tested, a golden dataset and the number of examples to be selected as 235 examples. It randomly selects from the dataset some instances to be used as examples in the prompt, 236 and all other instances are used to measure the performance of the model. This step is executed for 237 all possible combinations of examples or until the user is satisfied with the performance of the model 238 in one of the executions. The set of examples that leads the LLM to achieve the highest performance 239 is the one selected to be used in the associated KEP module. 240

241 **4 Experiments**

This section presents the experiments we ran with 5 families of open-source LLMs. None of them 242 were trained or fine-tuned to extract synthesis details from paragraphs or to execute any specific task 243 in the Material Discovery domain. We selected 2 models of each family⁶, prioritized the models 244 that have been fine-tuned using a collection of instructions (not related to our tasks) and chosen the 245 last released ones⁷. Ultimately, the selected models were: (i) flan: flan-t5-xxl-11b, flan-ul2-20b; 246 (ii) granite: granite-20b-code-instruct, granite-34b-code-instruct; (iii) llama: llama-3-70b-instruct, 247 llama-3.1-405b-instruct; (iv) mistral: mistral-large; and (v) mixtral: mixtral-8x7b-instruct-v01. See 248 the description of each model in Appendix A. 249

⁶Exceptions: mistral and mixtral

⁷Exception: llama-3-70b-instruct selected instead of llama-3-1-70b-instruct since it has a highest performance in the tasks we are testing.

250 4.1 Examples selection

Paragraph classification: From the original set of 325 classified paragraphs, we reduced the golden dataset by downselecting only 50 paragraphs to demonstrate that, even when testing the prompt examples selection in a small dataset, it is possible to achieve a good performance on a majority of the tested models. In addition, the use of a small dataset helps demonstrate that the approach does not require the manual classification/annotation of thousands or hundreds of examples.

In the set of 50 paragraphs we ensure that 25 paragraphs are relevant (i.e., classified with "S" and mentioning synthesis protocol) and 25 are irrelevant (i.e., classified with "I" and not mentioning synthesis protocols). We fixed the number of examples to be provided in the prompt to 5, since paragraphs describing synthesis protocols are typically very large and the prompts have a limited number of tokens. Our goal is to find the best set of 5 examples used in the prompt that helps the models achieve their highest performance. The accuracy of each model was measured by using the F1 metric.

For each model, we executed 100 runs by providing in the prompt the instruction mentioned in Section 3.1 and 5 examples randomly selected from 50 possibilities. We tested the output with the remaining 45 paragraphs not provided in the prompt. Table 2 presents the result of our experiments. For each one of the models, the table indicates the number of paragraphs mentioning synthesis protocols ("S") and the number of irrelevant paragraphs ("I") used in both the worst and best prompt together with the F1 value for each case.

Table 2: The best-case (highlighted in bold) and worst-case (underlined) scenarios in the selection of examples to be used in the prompt of the *Paragraph classification* module.

Model	Worst			Best		
	#S	#I	F1	#S	#I	F1
flan-t5-xxl-11b	1	4	0.93	3	2	1.0
flan-ul2-20b	3	2	0.0	1	4	0.98
granite-34b-code-instruct	1	4	0.30	2	3	0.92
granite-20b-code-instruct	2	3	0.32	2	3	0.74
llama-3-70b-instruct	1	4	0.71	4	1	1.0
llama-3.1-405b-instruct	3	2	<u>0.0</u>	3	2	0.95
mistral-large	2	3	0.76	4	1	1.0
mixtral-8x7b-instruct-v01	3	2	0.61	3	2	1.0

The models with highest performance were flan-t5-xxl-11b, llama-3-70b-instruct, mistral-large and 269 mixtral-8x7b-instruct-v01. Although llama-3-70b-instruct and mistral-large used the same number of 270 relevant paragraphs and the same number of irrelevant paragraphs in their best cases, their prompts 271 share only one paragraph (see Table 6 in Appendix B). When testing the best prompt for mistral-large 272 in llama-3-70b-instruct by using the same 45 testing examples, the performance of the model did not 273 achieve F1=1.0, but F1=0.98. Although it is a small difference, it demonstrate that, different LLMs 274 may need different examples in their prompts to achieve their highest performance. The models 275 with worst performance were flan-ul2-20b and llama-3.1-405b-instruct. Although we included in the 276 prompt a sentence stating that the answer should only include "S" or "I", their answers often also 277 include an explanation; which we considered to be a hallucination and, thus, an incorrect answer. 278

Information extraction: The golden dataset used in this step is the 25 paragraphs mentioning synthesis protocols used in the previous step together with their coresponding JSON annotations. Different from the previous step, here we fixed the number of examples used in the prompt to 2, since the JSON annotation is being provided together with the paragraph, which significantly increases the number of tokens. Even with only 2 examples, flan-t5-xxl and flan-ul2 could not be tested since their prompt+result do not accept so many tokens ⁸.

The experiment begun by randomly selecting 2 paragraphs+JSON to be used in the prompt for each one of the 6 models. For each model, we executed 100 runs by providing in the prompt the instructions mentioned in Section 3.2 and the 2 examples of paragraph+JSON randomly selected from 25 possibilities. We tested the performance of the model with each prompt by using the 23

⁸Both flan models accept only 4,096 when comparing to llama that accepts 8,192

- paragraphs that were not provided as examples in the prompt. The results are presented in Table 3.
- ²⁹⁰ To compare the JSON annotations provided by the LLM with the JSON annotations included in the
- 291 golden dataset, a structure analysis based on each JSON key (i.e., name/value pair) was defined⁹.

Table 3: The best-case and worst-case scenarios in the selection of examples of the *Information extraction* module. The best results are highlighted in bold and the worst results are underlined.

Model	Worst accuracy	Best accuracy
granite-34b-code-instruct	0.70	0.93
granite-20b-code-instruct	0.65	0.84
llama-3-70b-instruct	0.54	0.95
llama-3.1-405b-instruct	0.53	0.94
mistral-large	0.22	0.94
mixtral-8x7b-instruct-v01	0.70	0.93

The models that achieved the highest accuracy were llama-3-70b-instruct, llama-3.1-405b-instruct 292 and mistral-large. However, it is important to notice that all of them achieved an accuracy higher than 293 **0.84** even using only two examples in the prompt. Similar to what happened in the previous step, the 294 experiments illustrate the influence of the examples in the accuracy of the model (E.g. llama-3.1-405b-295 instruct worst case was 0.53 and best case was 0.94). In addition, one of the paragraphs presented in 296 the worst case of mistral-large appeared in the best case of mixtral-8x7b-instruct-v01 (see Table 7 in 297 Appendix B). Two related models that have the same example in opposite scenarios. Moreover, it is 298 important to highlight that the two granites, the two llamas, and mixtral-8x7b-instruct-v01 included 299 in their worst scenarios the same paragraph (see Table 7 in Appendix B). It may indicate that there 300 are examples that really do not help the models on executing their tasks. 301

302 4.2 Paragraph classification

After selecting the final set of five examples that maximize the performance of each model, the 303 paragraph classification module was tested by using the entire golden dataset of 275 paragraphs 304 (325 minus the 50 used for prompt selection). For each model, the prompt was composed of the 305 instructions mentioned in Section 3.1 and the best set of examples selected for that model, as presented 306 307 in Section 4.1. Table 4 summarizes the results for each model in terms of Precision, Recall, and F1 achieved with the best prompt. Llama-3-70b-instruct and mistral-large achieved F1=0.98. Although 308 llama-3.1-405b-instruct and flan-ul2-20b have more parameters than the other model of their families, 309 their performances were worse. It occurred due the hallucination mentioned in the Example section 310 step. Excluding granite-20b-code-instruct, all the models achieved F1>0.84, which is very good 311 accuracy given that only five examples were provided in the prompt to these models. 312

Model	Precision	Recall	FI	
flan-t5-xxl-11b	0.98	0.96	0.97	
flan-ul2-20b	0.96	0.96	0.96	
granite-34b-code-instruct	0.87	0.83	0.84	
granite-20b-code-instruct	0.75	0.70	0.72	
llama-3-70b-instruct	0.98	0.98	0.98	
llama-3.1-405b-instruct	0.98	0.83	0.88	
mistral-large	0.98	0.98	0.98	
mixtral-8x7b-instruct-v01	0.95	0.93	0.94	

Table 4: Experiments for the Paragraph classification module (best results highlighted in bold).

313 4.3 Information extraction

This module was tested by using the golden dataset of 106 annotated paragraphs (131 minus the 25

used for prompt selection). For each model, the prompt was composed of the instructions mentioned

in Section 3.2 and the best set of examples selected for that model, as presented in Section 4.1.

⁹To create a more fine-grained comparison between the JSONs, it would be necessary to compare their semantics and not only their structures, as different structures could have the same meaning.

Table 5 summarizes the results of our experiments. The model that achieved the highest accuracy 317 (0.96) was llama-3.1-405b-instruct, which is the biggest one. Other four models also achieved a 318 very similar and high performance (mixtral-8x7b-instruct-v01, mistral-large, llama-3-70b-instruct 319 and granite-34b-code-instruct). Notice that the smallest model (granite-20b-code-instruct) was the 320 one that achieved the lower performance. The high accuracy achieved by the biggest models when 321 compared to the smallest one is expected due to the complex of the task that involves the creation 322 323 of a correct JSON. When considering both the Paragraph classification and Information extraction modules, the three models with highest performance and, thus, those that should be considered to 324 be used in KEP to process all the selected papers mention in Section 2 are: llama-3-70b-instruct, 325 mistral-large and mixtral-8x7b-instruct-v01. 326

Model	Accuracy
granite-34b-code-instruct	0.93
granite-20b-code-instruct	0.84
llama-3-70b-instruct	0.93
llama-3.1-405b-instruct	0.96
mistral-large	0.95
mixtral-8x7b-instruct-v01	0.94

Table 5: Experiments for the Information extraction module (best results highlighted in bold).

327 5 Conclusions and Future Research

In summary, we present a knowledge extraction pipeline for synthesis protocols of reticular materials 328 that significantly reduces SME based classification and annotation tasks related to the training or fine-329 tuning of machine learning models. Our experimental results indicate that LLMs can achieve high 330 performance with a limited set of examples within the prompt, even without training or fine-tuning 331 the models for the specific domain. For example, by including five representative paragraphs in the 332 prompt, we have reproducibly achieved F1=0.98 in paragraph classification tasks. In information 333 extraction tasks, we have used two paragraphs + JSON and llama-3.1-405b-instruct for achieving 334 Accuracy=0.96. 335

Our results highlight the necessity of testing different examples to be used in the prompt as this 336 variation strongly influences model performance. For instance, in the Paragraph classification 337 module, the performance of mixtral-8x7b-instruct-v01, one of the best models in our study, ranges 338 from F1=0.61 to F1=1.0. In addition, the experiments show that different LLMs may require different 339 sets of examples for achieving top performance. Although both llama-3-70b-instruct and mistral-large 340 included four synthesis paragraphs and one irrelevant paragraph in their best set of examples, llama-341 342 3-70b-instruct has not achieved its highest performance with the best prompt chosen for mistral-large. 343 Finally, a huge number of parameters in the model does not necessarily guarantee a superior model performance. Both flan-ul2 and llama-3.1-405b-instruct failed to achieve top performance in the 344 classification of paragraphs if compared to other models of the same family. 345

Future research work should include comparative analyses with nonLLM methods in view of extrac-346 tion time and quality, as well as measuring LLMs' performance for different materials applications. 347 For creating a dataset of synthesis protocols for reticular materials, future research should address the 348 349 following: (i) refine JSONs comparison: The creation of metrics for semantically comparing JSONs is needed to validate if the output of the model is structurally comparable with the golden dataset, and 350 if it should be considered a valid JSON; (ii) workflow extraction: The extension of the *Information* 351 extraction module for extracting the synthesis workflow step-by-step; and (iii) increase use case 352 coverage: The application of KEP to all paragraphs extracted from the selected 2,287 papers. Once 353 processed, the resulting data set should be explored for analyzing the distributions of synthesis details 354 made available in the scientific literature. 355

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461 A Models Description

Flan-T5 [28] is a variant of the T5 (Text-to-Text Transfer Transformer) model, further fine-tuned using 462 a mixture of instruction-based learning tasks. Like the original T5, Flan-T5 leverages a transformer 463 architecture, specifically designed for text-to-text tasks, which means it treats both the input and 464 output as text sequences, regardless of the task (e.g., translation, summarization, question-answering). 465 The "Flan" component (Fine-tuned LAnguage Net) introduces instruction tuning, where the model 466 467 is exposed to a variety of natural language instructions during its fine-tuning phase. This method allows the model to generalize better across tasks by learning to follow explicit human instructions. 468 In essence, Flan-T5 adapts the standard pre-training and fine-tuning methods of T5 but adds an 469 additional layer of task diversity through its instruction-based training. This approach enhances its 470 performance on zero-shot and few-shot learning tasks, making it more versatile for a wide range of 471 NLP applications. 472

473 Flan-UL2 (Unified Language Learner) [29] is a variant of the UL2 architecture, designed for improved instruction-based fine-tuning similar to Flan-T5. UL2 is an advanced architecture that introduces a 474 novel pre-training method utilizing a mixture of denoising tasks with different difficulty levels. This 475 approach allows the model to adapt to a wider range of NLP tasks by balancing between simple and 476 complex learning objectives. In the case of Flan-UL2, this model takes UL2 and further enhances it 477 with instruction tuning, similar to the Flan-T5 approach. It is trained on a large variety of instruction 478 tasks, making it highly proficient at zero-shot and few-shot learning across many tasks, such as 479 summarization, translation, and question answering. The model's ability to generalize across these 480 tasks is further improved by the fine-tuning process with diverse datasets of instructions, allowing it to 481 better understand human-like queries and execute complex tasks. This makes Flan-UL2 particularly 482 powerful for applications requiring high versatility and adaptability in natural language understanding. 483

Granite-20B-Code-Instruct and Granite-34B-Code-Instruct [30] are part of the Granite family of 484 large language models (LLMs) designed specifically for code-related tasks. Both models are fine-485 tuned versions of their respective base models, Granite-20B-Code-Base and Granite-34B-Code-Base, 486 using instruction-based datasets to improve their ability to follow natural language instructions. 487 488 These models, developed by IBM Research, are built for tasks such as code generation, bug fixing, code explanation, and translation across a wide range of programming languages, making them 489 versatile tools for code-centric applications. Granite-20B-Code-Instruct, with 20 billion parameters, 490 was trained on trillions of tokens from various sources, including high-quality code, mathematical 491 data, and instructional prompts. Its fine-tuning emphasizes logical reasoning and problem-solving, 492 with a focus on generating and explaining code, alongside supporting tasks like API calling and 493 debugging . Granite-34B-Code-Instruct, with 34 billion parameters, extends these capabilities by 494 being a more computationally powerful model, trained on a larger and more diverse dataset of code 495 instructions. It can handle more complex coding tasks and demonstrates state-of-the-art performance 496 across benchmarks for code synthesis, explanation, and debugging. Both models are decoder-only 497 architectures, optimized for generating human-readable code outputs from natural language inputs, 498 and are trained with instruction tuning to improve their accuracy in code-based applications. 499

Llama-3-70B-Instruct [31] is part of Meta's Llama 3 family of large language models, specifically 500 designed for instruction-following tasks. The model contains 70 billion parameters and is optimized 501 for generating text in response to user prompts. It is a decoder-only model, which uses an optimized 502 transformer architecture. The instruction-tuned version of Llama-3-70B benefits from Supervised 503 Fine-Tuning (SFT) and Reinforcement Learning with Human Feedback (RLHF) to align its outputs 504 505 with human preferences for helpfulness and safety. This fine-tuning process makes it particularly suitable for assistant-like applications, such as chatbots and task-oriented dialogue systems. Llama-3-506 70B-Instruct was trained on an extensive corpus of 15 trillion tokens from publicly available datasets 507 and supports a wide range of use cases, including multilingual text generation and code-related 508 tasks. It incorporates improvements like Grouped-Query Attention (GQA) for faster inference and an 509

expanded 8,192 token context window, allowing it to handle longer inputs effectively. The model
has been tested extensively for safety, and Meta has integrated safeguards to limit misuse, including
rigorous red teaming and cybersecurity assessments. The model is available under the Meta Llama 3
Community License for both commercial and research applications. It's praised for outperforming
other models in several benchmarks, demonstrating significant advancements in multilingual dialogue
capabilities and code generation.

Llama 3.1-405B-Instruct [32] is the largest model in the Llama 3.1 series by Meta, designed to provide 516 state-of-the-art performance in multilingual dialogue and complex instruction-following tasks. With 517 405 billion parameters, it utilizes a transformer-based, decoder-only architecture optimized for 518 extensive text generation tasks. It introduces enhancements in context handling, supporting up 519 to 128,000 tokens, which makes it ideal for tasks like document summarization and long-context 520 conversation. This model is fine-tuned using a combination of Supervised Fine-Tuning (SFT) and 521 Reinforcement Learning with Human Feedback (RLHF), enabling it to align better with human 522 preferences and improve the safety and helpfulness of its outputs . Llama 3.1-405B was trained on a 523 mixture of publicly available datasets containing approximately 15 trillion tokens, and its fine-tuning 524 included more than 25 million synthetically generated instruction-based examples. Furthermore, 525 it offers improved multilingual support beyond English, covering languages like German, French, 526 Italian, Portuguese, Hindi, Spanish, and Thai . The model is open-source and available under Meta's 527 custom open model license, encouraging use in both research and commercial applications . 528

Mistral AI's large language models, particularly Mistral Large 2 [33], represent significant advancements in both computational efficiency and reasoning capabilities. This model, featuring 123 billion
parameters, is designed for tasks that require extensive reasoning, such as multilingual text processing,
code generation, and mathematical problem-solving. With support for over 80 coding languages and
a context window of 128,000 tokens, it excels in handling large documents and long, complex inputs.
Mistral Large 2 is particularly strong in benchmarks like MMLU (Massive Multitask Language
Understanding), where it achieves an accuracy of 84

Mixtral-8x7B-Instruct-v0.1 [34] is an advanced sparse mixture-of-experts (SMoE) model developed 536 by Mistral AI. It incorporates a unique architecture where each layer contains eight experts (feedfor-537 ward blocks), but only two are activated for each token during inference. This selective processing 538 allows the model to manage a large number of parameters—47 billion in total—while only using 13 539 billion active parameters per token, which significantly reduces computation costs during inference. 540 Mixtral-8x7B-Instruct has been fine-tuned for instruction-following tasks through a combination 541 of supervised fine-tuning (SFT) and Direct Preference Optimization (DPO). This model excels in 542 benchmarks such as MMLU and GSM8K, matching or outperforming larger models like GPT-3.5 543 Turbo and Llama 2 70B in several areas, particularly code generation, reasoning, and multilingual 544 tasks. Its ability to handle long sequences with a 32k token context window makes it highly effective 545 for long-range information retrieval and complex prompts. 546

547 **B** Examples selection

548 Paragraph classification Table 6 shows excerpts of JSONs with best and worst paragraphs selected 549 as examples for each model. It is possible to see that few paragraphs appear in more than one prompt.

Table 6: Partial JSONs with the best and worse examples for *Paragraph classification* module.

"flan-t5-xxl":{	"flan-ul2":(🖃
"best" : { 🖃	"pest":{ [] "prompt":"You are assisting a chemist in classifying paragraphs from
"prompt":"You are assisting a chemist in classifying paragraphs from	"Paragraph1":": Despite obvious advantages, the systematic study of
"Label1":"I",	"Label1":"I", "Paragraph2",", liggal group reported a parion of 2D 005- with 1D
"Paragraph2":": 2.2. The Construction of Cu-As MOF The process of cre	raragraphz : : Jiang group reported a series of 20 CUEs with 1D of "Label2":"I",
"Label2":"S", "Paragraph3":": 2 4 Synthesis of CuS (3)S(RTC)S (2)S and 7pS (3)S(RT	"Paragraph3":": Firstly, 9.08 g of 2-methylimidazole was dissolved i
"Label3":"S",	"Label3":"S", "Paragraph4":": As mentioned above. COEs are a class of crystalline
"Paragraph4":": 2.2. Synthesis of {[Tb\$_{5}\$L\$_{6}\$(OH)\$_{3}\$(H\$_{2}\$	"Label4":"I",
<pre>Label4 : 5 , "Paragraph5":": Two porous organic polymers (POPs) incorporating a fe</pre>	"Paragraph5":": Two porous organic polymers (POPs) incorporating a 1
"Label5":"I",	"Label5":"1", "accuracv":0.977777777777777.
"accuracy":1.0,	"f1_score":0.977733532437365,
"run_number":41,	"run_number":4,
"correct_items":43,	"incorrect_items_count":1,
"S_exemples":3,	"S_exemples":1,
"I_exemples":2	"I_exemples":4
}, "worst":{	"worst":{ 😑
"prompt":"You are assisting a chemist in classifying paragraphs from	"prompt":"You are assisting a chemist in classifying paragraphs from
"Paragraph1":": Preparation of CdMOF-1. A mixture of Cd(NO\$_{3}\$)\$_{2	"Paragraph1":": Electrically conductive metal organic frameworks (MC "Label1":"I",
"Paragraph2":": Since amines readily adsorb pollutants, boosting the	"Paragraph2":": Post-synthetic modification has also shown potential
"Label2":"I",	"Label2":"I", "Paragraph3":": Preparation of CdMOE-1. A mixture of Cd(NOS (210))
" Faragraph3" :": The potential for using MOF materials to remove fluor "Label3":"I",	"Label3":"S",
"Paragraph4":": Stability tests upon light illumination with differer	"Paragraph4":": The synthesis of NO\$_{2}\$-MIL-53(Cu) was carried out
"Label4":"I", "Paragraph5":": Researchers have conducted extensive studies on wetta	"Label4":"5", "Paragraph5":": Synthesis of [Co(H\$_{2}\$0)\$_{2}\$(HCOO)\$_{2}\$] \u00b7
"Label5":"I",	"Label5":"S",
"accuracy":0.93333333333333333,	"accuracy":0, "f1_score":0
"run_number":28,	"run_number":21,
<pre>"correct_items":42,</pre>	"correct_items":0,
"incorrect_items_count":3, "S exemples":1.	"Incorrect_items_count":0, "S_exemples":3.
"I_exemples":4	"I_exemples":2
} }	} }.
	"granite-34b-code-instruct":{ 🗖
"granite-20b-code-instruct":{ ⊟	"granite-34b-code-instruct":{
"granite-20b-code-instruct":{ "best":{ the formula in the state of t	<pre>"granite-34b-code-instruct":{ "best":{ "prompt": You are assisting a chemist in classifying paragraphs from "Prompt": You are assisting of USO 66 (COOU) (GIS 10 empl 1.2.4)</pre>
"granite-20b-code-instruct":{ "best":{ "prompt":'You are assisting a chemist in classifying paragraphs from s "Paragraph1":'': Syntheses of {[Sr2(MTA)(H2O)]\u00b74DMF}n (UP	<pre>"granite-34b-code-instruct":{ "best":{ "prompt": You are assisting a chemist in classifying paragraphs from "Paragraph1":": 4.1. Synthesis of Ui0-66-(COOH)\$_{2}\$ 10 mmol 1,2,4, "Label1":"S",</pre>
<pre>"granite-20b-code-instruct":{ = "best":{ = "prompt":'You are assisting a chemist in classifying paragraphs from s "Paragraph1":': Syntheses of {[Sr2(MTA)(H20]]\u00b74DMF}n (UP "Label1":'S",</pre>	<pre>"granite-34b-code-instruct":{{ "best":{} "prompt":'You are assisting a chemist in classifying paragraphs from "Paragraph1":': 4.1. Synthesis of Ui0-66-(COOH)S_{2} N mmol 1,2,4, "Label1":'S', "Paragraph2":': Proteins' secondary structure elucidates key charact "baragraph2":': Proteins' secondary structure elucidates "baragraph2":': Proteins' secondary structure "baragraph2":': Pro</pre>
<pre>"granite-20b-code-instruct":{ ☐ "best":{ ☐ "prompt':'You are assisting a chemist in classifying paragraphs from s "Paragraph1":'Syntheses of ([Sr2(MTA)(H2O)]\u00b7H2O\u00b74DMF)n (UP "Label1":'S", "Paragraph2":': Since amines readily adsorb pollutants, boosting the p "Label2":'I",</pre>	<pre>"granite-34b-code-instruct":{{ "best":{} "prompt": 'You are assisting a chemist in classifying paragraphs from "Paragraph1":': 4.1. Synthesis of Ui0-66-(COOH)\$_(2)\$ 10 mmol 1,2,4, "Label1":'S', "Paragraph2":': Proteins' secondary structure elucidates key charact "Label2":'1", "Paragraph3":': To study the role of reactive oxygen species (ROS) c</pre>
<pre>"granite-20b-code-instruct":{ □ "best":{ □ "prompt':'You are assisting a chemist in classifying paragraphs from s "Paragraph1':'Syntheses of {[Sr2(MTA)(H2O)]\u00b74DMF}n (UP "Label1':'S', "Paragraph2':'Since amines readily adsorb pollutants, boosting the p "Label2':'I', "Paragraph3':': 4.1. Synthesis of UiO-66-(COOH)S_(2)\$ 10 mmol 1,2,4,5- </pre>	<pre>"granite-34b-code-instruct":{ ["best":{ ["prompt":'You are assisting a chemist in classifying paragraphs from "Paragraph1":': 4.1. Synthesis of Ui0-66-(COOH)\$_{2}? 10 mmol 1,2,4, "Label1":'S", "Paragraph2":': Proteins' secondary structure elucidates key charact "Label2":'T", "Paragraph3":': To study the role of reactive oxygen species (ROS) c "Label3":'T,</pre>
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<pre>"granite-20b-code-instruct":{ □ "best":{ □ "prompt":'You are assisting a chemist in classifying paragraphs from s "Paragraph1":'': Syntheses of {[Sr2(MTA)(H2O)]\u00b7H2O\u00b74DMF}n (UP "Label1":'S', "Paragraph2":'': Since amines readily adsorb pollutants, boosting the p "Label2":'T', "Paragraph3":'': A.1. Synthesis of UiO-66-(COOH)S_{2}: 10 mmol 1.2.4,5- "Label3":'S', "Paragraph4":'': Two porous organic polymers (POPs) incorporating a fer "Label4":'T',</pre>	<pre>"granite-34b-code-instruct":{ [] "best":{ [] "brompt":'You are assisting a chemist in classifying paragraphs from "Paragraph1":': 4.1. Synthesis of Ui0-66-(COOH)\$_{2}? 10 mmol 1,2,4, "Label1":'S", "Paragraph2":': Proteins' secondary structure elucidates key charact "Label2":'I", "Paragraph3":': To study the role of reactive oxygen species (ROS) c "Label3":'I", "Paragraph4":': In the first instance the synthesis of Cu-BDC was ce "Label4":'S", "Paragraph4":': Despite obvious advantages, the systematic study of</pre>
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Table 6: (continued)



Information extraction Table 7 shows the JSONs that include the best and worst paragraphs selected as examples for each model.

Table 7: Partial JSON with the best and worse examples for Information extraction module.

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   "best":{ 🗖
      "Paragraph1": "Synthesis of UPJS-15 and UPJS-16 Syntheses of {[Sr2(MTA)(H2O)]-H2O
      "Paragraph2":" The construction of MIL-101@SiO2 was carried out according to the
   }.
   "worst":{ 🗖
      "Paragraph1":" The Construction of Cu-As MOF The process of creating a copper as
      "Paragraph2":" Synthesis of the Five MOFs: For the Cu-BTC synthesis, 1.925 g Cu(
   }
}.
"mistralai/mistral-large":{ 🗖
   "best":{ 🗖
      "Paragraph1":" NiDMOF was synthesized by a solvothermal reaction according to th
      "Paragraph2":" 3.3. Preparation of T-Ni(OH)2@TiO2 and Ni(OH)2@TiO2 Photoanodes:
   },
   "worst":{ 🗖
      "Paragraph1": "Preparation of MWCNTx@ZIF-67 precursor: first, different masses of
      "Paragraph2":"In the first instance the synthesis of Cu-BDC was carried out foll
   }
},
"meta-llama/llama-3-70b-instruct":{ 듣
   "best":{ 🖃
      "Paragraph1":" Fabrication of SL Ce-BTC MOF NS The method proposed by Liu and co
      "Paragraph2":" NiDMOF was synthesized by a solvothermal reaction according to th
   },
   "worst":{ 🗖
      "Paragraph1":" The Construction of Cu-As MOF The process of creating a copper as
      "Paragraph2":" Synthesis of ZIF-67 All the chemicals utilized in this study were
   }
},
"ibm/granite-34b-code-instruct":{ 😑
   "best":{ 🗖
      "Paragraph1":" Synthesis of Zn-MOF Bis(8midazole-1-yl)methane was synthesized
      "Paragraph2": "Synthesis of poly(1,10-ferrocenediyl-bis(metylphosphinate) Zn(
   },
   "worst":{ 😑
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      "Paragraph2":" The Construction of Cu-As MOF The process of creating a copper
   }
}.
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   "best":{ 🗖
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      "Paragraph2":" Synthesis of Zn-MOF Bis(8midazole-1-yl)methane was synthesized
   }.
   "worst":{ 🗖
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      "Paragraph2":" The Construction of Cu-As MOF The process of creating a copper
   }
},
"ibm/granite-20b-code-instruct":{ 😑
   "best":{ 😑
      "Paragraph1":" Preparation of MWCNTx@ZIF-67 precursor: first, different masse
      "Paragraph2":" In the first instance the synthesis of Cu-BDC was carried out
   }.
   "worst":{ 🖃
      "Paragraph1":" The conventional laccase-ZIF-8 biocomposites (Lac@ZIF-8) were
      "Paragraph2":" The Construction of Cu-As MOF The process of creating a copper
   }
}
```