

1 **Development and Validation of an Explainable Machine Learning-**
2 **Based Model for Predicting the Interval Growth of Pulmonary**
3 **Subsolid Nodules: A Prospective Multicenter Cohort Study**

4
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32

33 **Abstract**

34 **Objectives:** In this multicenter study, we aimed to develop and validate a predictive model for
35 pulmonary subsolid nodules (SSN) growth at different time intervals by machine learning (ML)
36 based CT radiomics methods. This model is intended to guide personalized follow-up strategies in
37 clinical practice.

38 **Methods:** A total of 642 patients with 717 SSNs who underwent long-term follow-up were
39 retrospectively collected from three medical centers. Patients were categorized into growth and non-
40 growth groups based on the growth status of presented SSNs within 2 or 5 years, and they were
41 randomly divided into training and internal testing sets at an 8:2 ratio. Predictive models were
42 developed using the optimal ML algorithms for clinical, radiomics, and clinical-radiomics fusion
43 models to assess the risk of SSN growth over different timeframes. An independent external test set
44 was established by including another 95 patients with 105 SSNs from a health examination center.
45 Multiple assessment indices, including the area under the receiver-operating-characteristic curve
46 (AUC), were utilized to assess and compare predictive performance. Furthermore, the SHapley
47 Additive exPlanation (SHAP) method was employed to rank the importance of features and
48 elucidate the rationale behind the final model.

49 **Results:** The extreme gradient boosting (XGBoost) and light gradient boosting machine (Light
50 GBM) model performed best in discriminative ability among the 8 ML models. For the prediction
51 of within-2-year growth, the clinical, radiomics, and clinical-radiomics fusion models developed
52 using the optimal ML algorithms achieved the AUC of 0.823 (95% CI: 0.745-0.906), 0.889 (95%
53 CI: 0.823-0.943), and 0.911 (95% CI: 0.858-0.955) on the internal testing set, and the AUC of 0.712
54 (95% CI: 0.610-0.815), 0.734 (95% CI: 0.616-0.830), and 0.734 (95% CI: 0.623-0.835) on the
55 external testing set. In 5-year growth prediction task, the three models achieved AUCs of 0.796 (95%
56 CI: 0.708-0.884), 0.838 (95% CI: 0.759-0.905), and 0.849 (95% CI: 0.772-0.913) on the internal
57 testing set and AUCs of 0.672 (95% CI: 0.550-0.795), 0.773 (95% CI: 0.657-0.880), and 0.776 (95%

58 CI: 0.652-0.882) on the external testing set. Furthermore, these insights have been translated into a
59 streamlined clinical management framework, enhancing its utility within clinical settings.

60 **Conclusions:** The interpretable machine learning model we developed based on multicenter
61 longitudinal follow-up data for SSN has been successfully developed to accurately predict changes
62 in SSN over 2 years and used for the first time to guide 5-year long-term follow-up.

63 **Keywords:** Subsolid nodules, Natural course, Lung adenocarcinoma, Radiomics, Machine learning.

64

65 **1. Introduction**

66 Lung cancer is the most common cancer globally, and with the widespread use of Low-Dose
67 Computed Tomography (LDCT), the detection rate of pulmonary subsolid nodules (SSN) has
68 significantly increased^[1]. Previous research has indicated that the appearance of growth during
69 follow-up strongly suggests malignancy in SSN^[2, 3]. Recent studies have shown that SSNs that
70 persist and remain stable for over five years still require continued monitoring, and extended follow-
71 up beyond five years may reveal more cases of lung cancer^[4-7].

72 Due to differences in the biological characteristics and prognosis of pure ground-glass nodules
73 (pGGN) and part-solid nodules (PSN), major guidelines manage these two types separately.
74 However, there is considerable controversy in determining the presence of solid components within
75 SSN and measuring the solid components^[8]. Some PSNs have solid components visible in both the
76 lung and mediastinal windows (real part-solid nodules, rPSN). In contrast, others have solid
77 components only visible in the lung window (heterogeneous ground-glass nodules, hGGN).
78 Previous research has reported that the average time for hGGN to develop into rPSN is 2.1 years^[9],
79 and rPSN has poorer clinical pathological results and prognosis than hGGN^[10]. Previous studies on
80 the natural course of SSN have primarily focused on comparing differences between pGGN and
81 PSN based on lung window classification^[11-13]. However, these studies have not provided sufficient
82 information on the natural course of solid components under different window settings. Current
83 guidelines for SSN management have several limitations. Therefore, establishing personalized SSN
84 management methods and developing appropriate follow-up strategies hold significant clinical
85 importance.

86 Radiomics, as a non-invasive method, can extract numerous features from Computed
87 Tomography (CT) scan images through high-throughput computation, transform them into

88 comprehensive quantifiable data, and develop models to non-invasively predict various phenotypic
89 features of lesions^[14, 15]. Numerous radiomics studies have established predictive models to enhance
90 the accuracy of diagnosing benign and malignant nodules, assessing the degree of infiltration, and
91 predicting histological subtypes and prognosis in lung cancer patients^[16]. However, due to
92 limitations in the number of cases and follow-up time, how to dynamically track nodules and predict
93 the growth patterns of SSNs using radiomics remains to be further explored ^[17, 18].

94 Based on these scientific questions, this study selected SSN patients from multiple centers with
95 long-term follow-up as the research subjects, including those with growth at two years and five
96 years of follow-up and those with sustained stability. Through ML modeling, clinical and radiomics
97 features of patients were used to establish clinical-radiomics fusion models to predict the growth
98 status of SSNs within two years and five years, optimizing individualized follow-up strategies.

99

100 **2. Material and Methods**

101 *2.1 Study Design and Inclusion Criteria*

102 This study is a multi-center retrospective cohort study based on the STORBE guidelines. It
103 includes adult individuals aged 18 years or older who underwent chest CT scans for any reason at
104 three tertiary comprehensive medical centers from November 2007 to August 2021, regardless of
105 their smoking history. They were randomly divided into a training set and an internal testing set in
106 an 8:2 ratio. In addition, patients from a medical examination center in Beijing, China, who
107 underwent chest CT examinations between January 2017 and November 2021 were included as an
108 independent external testing set. The inclusion and exclusion criteria were identical to those of the
109 derivation cohort. **(Detailed information on participating hospitals was listed in Supplementary**
110 **Materials).** Clinical data, such as smoking history, previous history of pulmonary conditions and
111 malignancies, and chest CT scans of enrolled patients, were all retrospectively collected for
112 modeling. Notably, our study had two key components **(Figure 1)**. First, we constructed predictive
113 models for growth at different time intervals. We pretested the probability of nodule growth using
114 eight typical machine learning algorithms and selected the best-performing algorithm for further
115 optimization. Secondly, the model underwent external validation in an independent external SSN
116 cohort to assess its performance.

117 The inclusion criteria for SSN were as follows: (1) confirmation of SSN persisting for at least

118 six months after the initial chest CT examination; (2) SSN with a maximum long-axis diameter of
119 ≤ 3 cm; (3) evaluation of each SSN using original Digital Imaging and Communications in Medicine
120 (DICOM) format files from chest CT with a slice thickness of ≤ 1.5 mm; (4) a minimum follow-up
121 time of at least two years or a follow-up time of less than two years but with documented nodule
122 growth during the follow-up period. Exclusion criteria were: (1) inability to obtain detailed clinical
123 data for patients with SSN; (2) a decrease in the maximum long-axis diameter of the SSN by ≥ 2 mm
124 during the follow-up period.

125 Non-smokers were defined as individuals who had never smoked in their lifetime or had
126 smoked fewer than 100 cigarettes. Individuals with data on smoking status but without data on the
127 quantity of cigarettes smoked were included. Exclusion criteria included participants with a history
128 of lung cancer at the baseline screening and those with unknown smoking status history.

129 This study obtained approval from the Institutional Review Boards (IRB) of three tertiary
130 comprehensive medical centers (No. 2022PHB031-001, No. 2022-0601-01, and No. 2022002). The
131 IRB waived the requirement for written informed consent from the participants.

132

133 *2.2 Growth Definition and SSNs Categorization*

134 SSN growth is defined as occurring during follow-up^[19]: (1) an increase in the maximum
135 diameter by ≥ 2 mm; (2) an increase in the solid component of PSN (including hGGN and rPSN) by
136 ≥ 2 mm; (3) the appearance of any diameter of a solid component in pGGN under the lung window.
137 SSN reduction is a decrease in the maximum diameter of the solid component by ≥ 2 mm during
138 follow-up^[20]. SSN stability is defined as not meeting the criteria for growth or reduction during
139 follow-up. SSN is categorized into pGGN, hGGN, and rPSN based on the radiological features of
140 lung and mediastinal windows in chest CT.

141 Given that the 2-year and 5-year progression-free survival serve as important prognosis
142 indicators for patients with cancer^[4, 5, 12], we accordingly studied the growth risks of SSN within 2-
143 year and 5-year periods. In particular, the enrolled patients were categorized according to the status
144 of SSN (growth or stable) within two years and five years, respectively. SSNs were labeled as
145 positive if they grew within the 2 or 5-year follow-up period and assigned as negative if they
146 remained stable during the 2 or 5-year follow-up period, regardless of subsequent growth afterward.

147

148 *2.3 Clinical and Radiographic Data Collection*

149 We collected primary clinical, surgical, and pathological data of SSN patients included in the
150 study. This had demographic information, surgical procedures, and the pathological diagnosis of
151 SSNs in patients who underwent resection surgery. A chief thoracic surgeon or higher decided for
152 the need for invasive tests to obtain pathological results for SSNs. The pathological types of SSNs
153 were categorized as benign, lung adenocarcinoma (LUAD) (invasive adenocarcinoma, IAC, and
154 minimally invasive adenocarcinoma, MIA), and their epithelial precursor lesions (adenocarcinoma
155 in situ, AIS and atypical adenomatous hyperplasia, AAH).

156 We gathered imaging data for the included SSNs, encompassing both CT quantitative features
157 and non-quantitative features. The detailed information regarding CT acquisition and parameters is
158 described in **Figure S1**. This data had the maximum diameter of SSNs, the diameter of the solid
159 component under the lung window (LW) and mediastinal window (MW), the Lung Window-
160 Consolidation Tumor Ratio (LW-CTR), the Mediastinal Window-Consolidation Tumor Ratio (MW-
161 CTR), and the number of multifocal SSNs. We also collected information on SSN types, lobulation
162 signs, air bronchograms, vascular signs, pleural tag signs, and others. In discrepancies, a consensus
163 was reached through discussion with a third radiologist.

164

165 *2.4 Nodules Segmentation and Feature Selection*

166 A radiology-trained thoracic surgeon (Reader 1, with ten years of chest imaging experience)
167 and a radiologist (Reader 2, with 15 years of experience in reading chest imaging) independently
168 performed layer-by-layer SSN segmentation based on radiological examination reports. The ROI of
169 each nodule layer was manually drawn based on the image using 3D Slicer (version 5.2.1) (**Figure**
170 **S2**). If there were multiple SSNs, the largest one was selected for analysis. To ensure the stability
171 of feature extraction, the consistency of radiomic features was assessed between different observers
172 and by the same observer at other times. Three months later, 30 nodules were randomly selected
173 and segmented again by the above two readers. Two readers were blinded to clinical characteristics,
174 SSN growth, and histopathology.

175 A voxel size standardization of 1mm was applied along the x, y, and z axes. Pyradiomics
176 (version 3.0.1) was used to extract SSN radiomic features, resulting in a total of 1454 radiomic
177 features, including first-order features, Gray Level Cooccurrence Matrix (GLCM) features, Gray

178 Level Dependence Matrix (GLDM) features, Gray Level Run Length Matrix (GLRLM) features,
179 Gray Level Size Zone Matrix (GLSZM) features, Neighboring Gray Tone Difference Matrix
180 (NGTDM) features, and shape-based features. Detailed information on extracted features was
181 summarized in **Supplementary Table 1**.

182 Clinical features, including clinical characteristics and conventional imaging features, were
183 selected for subsequent model construction through univariate and multivariate logistic regression.

184

185 *2.5 Model Construction, Selection, and Validation*

186 Eight machine learning models, including Logistic Regression (LR), Random Forest (RF),
187 Support Vector Machine (SVM), Naive Bayes (NB), Extreme Gradient Boosting (XGBoost), Light
188 Gradient Boosting Machine (Light GBM), K-Nearest Neighbor (KNN), and Multilayer Perceptron
189 (MLP), were trained with selected clinical and radiomic features to build three sets of models:
190 clinical models, radiomic models, and clinical-radiomic fusion models. The models with the highest
191 diagnostic performance parameters were selected using repeated three-fold cross-validation on the
192 training dataset. The model with the best AUC calculated on the internal testing dataset was used as
193 the final model for application on the external testing dataset. Discrimination was quantified using
194 the area under the curve (AUC). Several commonly used evaluation indexes, such as the area under
195 the receiver-operating-characteristic (ROC) curve (AUC), sensitivity, specificity, positive predictive
196 value (PPV), negative predictive value (NPV), accuracy, and F1 score, were used to evaluate the
197 reliability of these models. Predictive accuracy was assessed using calibration curves and confusion
198 matrices. Shapley Additive exPlanations (SHAP) was used to visualize the correlations between
199 variables and SSN growth.

200

201 *2.6 Follow up*

202 The growth interval refers to the time from the baseline chest CT scan to the subsequent
203 follow-up CT scan, during which the same SSN met the criteria for growth. The total
204 observation time is the interval between the baseline and final CT scans for the same SSN or
205 between the baseline CT scan and the SSN's last intervention. The follow-up CT intervals were
206 determined by specialized clinical thoracic surgeons based on patient and SSN radiological
207 features, following guidelines.

208 All patients were followed up via phone or outpatient visits, and the outcomes of the nodules
209 were recorded. The final follow-up deadline was December 2022.

210

211 *2.7 Statistical analysis*

212 Statistical analysis was conducted using SPSS (version 26), R software (version 3.6.2), and
213 Python (version 3.11). For data following a normal distribution, values were presented as mean
214 \pm standard deviation (SD), and intergroup comparisons were performed using independent
215 sample t-tests. Data with non-normal distribution were described as median [interquartile range,
216 IQR] and analyzed using the Mann-Whitney U test. Categorical variables were presented as
217 frequencies and percentages, and intergroup comparisons were made using the Chi-square test
218 or Fisher's exact test when appropriate. The DeLong test was employed to compare different
219 ROC curves. Feature selection for radiomics and model construction was made using Python's
220 "scikit-learn" machine learning framework. ROC curves and confusion matrices were
221 generated using Python's "Matplotlib" library. A significance level of $p < 0.05$ was considered
222 for all tests.

223

224 **3. Results**

225 *3.1 Baseline Clinical Characteristics of the Patients and Radiologic Features of Included SSNs*

226 This study included 642 patients with 717 SSNs from three different hospitals and 99
227 patients with 105 SSNs from one medical examination center. Two-year and five-year growth
228 prediction models were established based on whether SSNs grew within 2 and 5 years,
229 respectively. Clinical baseline characteristics of all patients and radiological characteristics of
230 all SSNs are summarized in **Table 1** and **Table 2**.

231 All patients and SSNs from three tertiary comprehensive medical centers were randomly
232 divided into training and internal test sets in an 8:2 ratio. Patient information and SSN features
233 for the two datasets are compared in **Table S2** and **Table S3**. There were no significant
234 differences in the pathological characteristics and surgical methods of SSNs that underwent
235 surgical resection in the training and internal testing sets; details are provided in **Table S4**.

236

237 *3.2 Clinical Feature selection, model development, and performance comparison*

238 The univariate and multivariate analyses revealed that gender, SSN type, vascular sign,

239 and initial maximum diameter were independent risk factors for the different-year prediction
240 models. In the 5-year prediction model, the vacuole sign was a newly discovered factor (**Table**
241 **S5**). The clinical models established based on the optimal machine learning algorithm,
242 XGBoost, achieved an AUC of 0.823 (95% CI: 0.745-0.906) and 0.796 (95% CI: 0.708-0.884)
243 in the internal testing cohort for the 2-year and 5-year predictions, respectively (Comparison of
244 Clinical Models Established by Different Machine Learning Algorithms and ROC Curves are
245 presented in Supplementary Material **Figure S3** and **Table S6**).

246

247 *3.3 Radiomics Feature selection, model development, and performance comparison*

248 Among the 1,454 radiomic features, redundant features ($ICC < 0.75$ and $PCC > 0.9$) were
249 first removed, resulting in 271 and 259 remaining features for the 2-year and 5-year models,
250 respectively. The final set of 10 radiomic features was generated through recursive feature
251 elimination and cross-validation (**Table S7**). For the 2-year model, the radiomic model was
252 developed using the optimal machine learning algorithm LightGBM, achieving an AUC of
253 0.889 (95% CI: 0.823-0.943) in the internal testing cohort. For the 5-year model, the radiomic
254 model was developed using the optimal machine learning algorithm XGBoost, achieving an
255 AUC of 0.838 (95% CI: 0.759-0.905) in the internal testing cohort (**Figure S4** and **Table S8**).

256

257 *3.4 Development of fusion radiomics model and performance comparison*

258 Incorporating the selected clinical features and radiomic features, a clinical-radiomic
259 fusion model was developed using the mentioned eight machine learning algorithms (**Figure**
260 **S5** and **Table S9**). The results show that for the 2-year prediction model, the LightGBM
261 algorithm with its specific parameters performed the best on the internal testing dataset,
262 achieving an AUC of 0.911 (95% CI: 0.858-0.955). For the 5-year prediction model, the
263 XGBoost algorithm with its specific parameters performed the best on the internal testing
264 dataset, resulting in an AUC of 0.849 (95% CI: 0.772-0.913).

265

266 *3.5 Identification of the Final Model and External Validation*

267 The optimal algorithm with the highest AUC in the internal testing set was selected to
268 build the model, and its predictive performance was compared. **Table 3** displays the predictive

269 performance of the three groups of prediction models, and ROC curves are presented in **Figure**
270 **2**. The addition of SHAP allows for interpretative analysis of the fused radiomic model by
271 visualizing the specific impact of each variable on the prediction of SSN growth (**Figure 3**). In
272 the 2-year prediction model, the fusion model produced the highest AUC in the internal testing
273 cohort. Both the fusion model and radiomics model had higher AUC values compared to the
274 clinical model (DeLong test $p < 0.05$), with no significant difference between the fusion model
275 and radiomics model (DeLong test $p > 0.05$). In the internal testing set of the 5-year prediction
276 model, even though there were no statistically significant differences among the three models,
277 the fusion model achieved the highest AUC. Simultaneously, the radiomic and fusion models
278 exhibit higher accuracy and sensitivity.

279 In the external testing set of the 2-year prediction model, the AUC values for the clinical
280 model, radiomics model, and clinical-radiomics fusion model were 0.712 (95% CI: 0.610-
281 0.815), 0.734 (95% CI: 0.616-0.83), and 0.734 (95% CI: 0.623-0.835), respectively. In the
282 external testing set of the 5-year prediction model, the AUC values for the three groups were
283 0.672 (95% CI: 0.550-0.795), 0.773 (95% CI: 0.657-0.880), and 0.776 (95% CI: 0.652-0.882),
284 respectively (**Figure 4**). The metrics for evaluating the reliability of these models are outlined
285 in **Table 4**. The confusion matrices for each model are displayed in **Figures S6 and S7**. This
286 suggests that the combined radiomics model exhibits good stability and reproducibility.

287

288 *3.6 Follow-up management framework for clinical utility*

289 Based on the research outcomes, we propose a tailored follow-up management framework
290 for SSN, outlined in **Figure 5**. Firstly, for patients identified with SSN via thoracic thin-section
291 CT, clinical physicians assess whether further examination, surgery, no follow-up, or regular
292 follow-up is necessary. If regular follow-up is deemed necessary, the SSN is subjected to growth
293 prediction models for evaluation. If the 2-year growth prediction model indicates a high risk of
294 growth, clinical physicians may propose high-risk management recommendations after a
295 thorough review. This involves extensive discussion with the patient to determine whether to
296 continue follow-up with a shortened interval, undergo further examination, or proceed with
297 surgery. The SSN is further evaluated using a 5-year growth prediction model if the growth risk
298 is low. Suppose the 5-year growth prediction model indicates a high risk of SSN growth. In that

299 case, appropriate management recommendations are proposed after a thorough evaluation,
300 possibly shortening the follow-up interval for continued monitoring and considering further
301 examination if necessary. If the growth risk is low, low-risk management recommendations are
302 provided, suggesting regular follow-up for the patient and possibly extending the follow-up
303 interval appropriately.

304

305 **4. Discussion**

306 In clinical practice, determining the nature of nodules and establishing follow-up duration
307 is crucial for long-term persist and stable SSN. In this study, based on multicenter, long-term
308 follow-up cases, we constructed a growth prediction model using machine learning methods to
309 predict the growth status of SSN at 2 and 5 years, aiming to assist in the standardized
310 management of pulmonary nodules. This clinical prediction model demonstrates excellent
311 diagnostic performance and has been validated using independent external data.

312 Pulmonary SSN growth modes were categorized into five patterns based on consecutive
313 follow-up CT scans, including linear, rapidly accelerating, slow accelerating, slow, and rapid
314 growth while pointing out that the likelihood of malignant radiological features of nodules
315 increases with prolonged follow-up^[18]. Therefore, index models based on volume doubling time
316 (VDT) may not be suitable for evaluating every nodule encountered in clinical practice. Studies
317 have shown that after two years or more of stability, the probability of SSN experiencing growth
318 is only 5%^[21]. Additionally, within three years, 26.9% of SSNs showed growth; among those
319 stable for three years and followed up to five years, 6.7% demonstrated growth^[22, 23]. Taking
320 into account the aforementioned concerns, this study categorized subjects into groups based on
321 their growth within two years and five years respectively. Utilizing predictive modeling, it
322 aimed to assess growth risks and devise tailored follow-up strategies for individuals across
323 different growth durations.

324 The progression of SSNs represents a complex and dynamic process. As anticipated, this
325 study demonstrates that gender, larger size on initial CT imaging, and the presence of solid
326 components are independent risk factors for SSN progression, consistent with previous research
327 [5, 6, 9, 23, 24]. Introducing the radiographic characteristics of CT MW images into classification,
328 SSNs are divided into pGGN, hGGN, and rPSN. It was found that hGGN and rPSN have

329 differences in growth patterns^[9, 25]. Further analysis combining large-panel targeted sequencing
330 confirmed at the genomic level that only hGGNs with solid components on LW are intermediate
331 subtypes of PSNs. Meanwhile, the genomic structure of hGGNs is closer to pGGNs^[26]. The
332 assessment of nodule growth should not only depend on size changes but may also be
333 influenced by morphological features. Vascular signs are defined as either vessel traversing
334 through the lesion or vascular thickening and tortuosity around the lesion, indicating a higher
335 demand for blood supply and often indicative of malignancy^[27]. The relationship between
336 vessels and SSNs can be classified into three types: Type I (intact vessels passing through or
337 traversing the SSN without tiny branches), Type II (intact vessels passing through the SSN
338 without tiny branches), and Type III (distorted vessels within the SSN are wider or tortuous).
339 Type II and Type III are more likely to be associated with malignancy than Type I. Hence, the
340 relationship between vessels and lesions might predict SSN progression^[28]. Similarly, vacuole
341 sign has also been established as predictive factors for the growth of SSNs in previous studies
342 ^[24].

343 The most commonly used method currently is to select radiomic features through LASSO
344 regression and then estimate each radiomic feature using logistic regression algorithms to
345 calculate a Rad-score for predicting SSN growth^[12, 17]. In this study, we applied dimensionality
346 reduction to 1454 radiomic features using the REF method and built models using the ten
347 optimal features associated with SSN growth in 2 and 5 years, which outperformed previous
348 reports. We also found that the most influential feature in the 2-year radiomics model was
349 `glszm_HighGrayLevelZoneEmphasis_original`, which assists in quantifying regions of high
350 brightness in the image^[29]. In the 5-year radiomics model, the most influential feature was
351 `glrlm_LongRunEmphasis_wavelet-LLH`, which measures the frequency and intensity of
352 continuous appearances of pixels with the same grayscale values in the image^[30].

353 Previous studies have utilized LR on single-center retrospective data to build clinical-
354 radiomics nomograms for predicting 2-year growth of uncertain pulmonary nodules,
355 emphasizing the importance of combining clinical and radiomic features in predicting nodule
356 growth. However, the inclusion of nodules confirmed by histology and a high proportion of
357 malignant nodules in these studies led to an overestimation of the diagnostic performance of
358 the model^[17]. Similarly, Chen et al. established clinical-radiomics nomograms for predicting

359 the growth of SSN beyond two years based on single-center data, with the combined model
360 significantly outperforming the clinical model but no significant difference between the
361 combined model and the radiomics model. This study did not incorporate patients' clinical
362 information into the analysis. [12]. Yang et al. developed several machine-learning models to
363 predict whether lung nodules would grow within one year. They found that a LR model
364 combining age and radiomic features performed the best (with an AUC of 0.87 in the training
365 set and 0.82 in the validation set)^[31]. However, the studies mentioned above all suffer from
366 relatively small sample sizes and suboptimal model performance. With improved
367 computational capabilities and storage space availability, machine learning algorithms can
368 analyze more complex data and provide real-time output^[32, 33]. XGBoost has recently become
369 a popular algorithm, gaining recognition in various machine-learning competitions^[34].
370 LightGBM, compared to XGBoost, has the advantage of faster training speed and lower
371 memory usage^[35]. Both machine learning methods outperform traditional linear models in terms
372 of predictive accuracy.

373 Building upon the aforementioned studies, we have conducted exploratory analyses and
374 established, for the first time, a clinical model to predict whether SSNs will grow within 5 years
375 based on multi-center, long-term follow-up data. The results demonstrate that in the internal
376 testing set, the AUC values for the clinical model, radiomic model, and clinical-radiomic fusion
377 model were 0.796 (95% CI: 0.708-0.884), 0.838 (95% CI: 0.759-0.905), and 0.849 (95% CI:
378 0.772-0.913), respectively. According to the DeLong test, there was no significant difference
379 among the three models, suggesting that the clinical and combined models have equivalent
380 efficacy in predicting whether SSNs will grow within 5 years. However, the fusion model
381 exhibited higher accuracy (0.730 vs. 0.680), sensitivity (0.767 vs. 0.517), F1 score (0.7773 vs.
382 0.660), and AUPRC (0.909 vs. 0.859) compared to the clinical model. Therefore, future
383 investigations using prospective data from long-term follow-up can further explore the
384 predictive value of the combined model in predicting SSN growth within 5 years.

385 Mainstream medical societies have issued guidelines for managing pulmonary nodules,
386 but these guidelines differ in scope and emphasis. They primarily focus on factors such as
387 malignancy probability thresholds, follow-up schedules based on imaging features, malignancy
388 risk calculators, and the use of VDT ^[36-41]. To address the complexity of managing pulmonary

389 nodules, we utilized the SHAP method to provide insights into the inner workings of machine
390 learning models. We developed personalized prediction models based on clinical and imaging
391 data to enhance clinician acceptance and decision-making. Despite the initial success, when
392 extending the model to health examination centers, there was a decrease in diagnostic
393 performance. However, the model still exhibited stability and reliability. Therefore, in the
394 clinical setting, clinicians should view these models as valuable tools but also consider
395 individual patient factors and exercise flexibility in decision-making to ensure personalized and
396 accurate diagnosis and treatment.

397 However, this study has some limitations. First, it is a multi-center retrospective
398 observational study, and it may have selection bias and temporal bias. Clinical practitioners
399 influence variations in follow-up intervals and duration at different medical centers. Second,
400 the study only included an Asian population, which may have significant demographic
401 differences compared to a Caucasian population. Therefore, clinical prediction models cannot
402 be assessed for patients of different ethnicities, and further validation of the results is needed
403 through international multi-center cohorts. Additionally, not all growing nodules were
404 pathologically confirmed to be malignant. Hence, the criteria for surgical intervention after
405 nodule progression warrant further exploration.

406 In summary, developing individualized management strategies for SSNs is crucial in
407 clinical practice. Based on this study's distinctly different natural courses of SSNs, we
408 combined clinical and radiomic features using machine learning algorithms to create predictive
409 models for assessing whether SSNs will grow within two years. Compared to previous research,
410 our models demonstrated improved predictive performance. Additionally, for the first time, we
411 used multi-center, long-term follow-up data to establish a predictive model for SSN growth
412 within five years, guiding long-term follow-up of SSNs. These predictive models were further
413 validated in an external dataset, demonstrating good generalizability.

414

415 **CRedit authorship contribution statement**

416

417 **Declaration of Competing Interest**

418 The authors declare that no commercial or financial relationships that could be construed as

419 a potential conflict of interest existed during the research.

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