OPTIONZERO: PLANNING WITH LEARNED OPTIONS

Anonymous authors

Paper under double-blind review

ABSTRACT

Planning with options – a sequence of primitive actions – has been shown effective in reinforcement learning within complex environments. Previous studies have focused on planning with predefined options or learned options through expert demonstration data. Inspired by MuZero, which learns superhuman heuristics without any human knowledge, we propose a novel approach, named *OptionZero*. OptionZero incorporates an *option network* into MuZero, providing autonomous discovery of options through self-play games. Furthermore, we modify the dynamics network to provide environment transitions when using options, allowing searching deeper under the same simulation constraints. Empirical experiments conducted in 26 Atari games demonstrate that OptionZero outperforms MuZero, achieving a 131.58% improvement in mean human-normalized score. Our behavior analysis shows that OptionZero not only learns options but also acquires strategic skills tailored to different game characteristics. Our findings show promising directions for discovering and using options in planning.

1 Introduction

Reinforcement learning is a decision-making process in which an agent interacts with environments by selecting actions at each step to maximize long-term rewards. Actions, commonly referred to as *primitive action*, advance the state by one step each. While this granularity allows precise control at each time step, it can lead to inefficiencies in scenarios where predictable sequences of actions are beneficial. For example, in a maze navigation task, it is more efficient to choose a sequence of actions – such as following a straightforward path until reaching a new junction – rather than deciding an action at each time step. This approach reduces the frequency of decision-making and accelerates the learning process. To address these challenges, the concept of *options* (Sutton et al., 1999) has emerged, providing a framework for executing temporally extended actions based on the current state. Options bridge single-step decision-making and strategic long-term planning, not only speeding up the learning process to handle complex scenarios but also simplifying the decision-making by reducing the frequency of choices an agent must consider.

Previous works have proposed adopting the concept of options by either predefining options or learning from expert demonstration data (Sharma et al., 2016; Durugkar et al., 2016; de Waard et al., 2016; Gabor et al., 2019; Czechowski et al., 2021; Kujanpää et al., 2023; 2024). However, the predefined options often rely on a deep understanding of specific environments, and expert data may not be available for every environment, making it difficult to generalize these methods to other environments. Moreover, when planning with options, previous methods require recurrently executing each action within the option to obtain the next states (de Waard et al., 2016) or verifying whether the subgoal can be reached through primitive actions (Czechowski et al., 2021; Kujanpää et al., 2023; 2024). This increases the computational cost when executing longer options during planning, especially in scenarios where environment transitions are expensive.

Inspired by the success of MuZero (Schrittwieser et al., 2020), which employs a learned dynamics network to simulate the environment transitions during planning and achieves superhuman performance from scratch without requiring any human knowledge, this paper proposes a novel approach, named *OptionZero*. We modify the MuZero algorithm by integrating an *option network* that predicts the most likely option for each state. During training, OptionZero autonomously discovers options through self-play games and utilizes them during planning, eliminating the requirement for designing options in advance. Furthermore, OptionZero improves the dynamics network to effi-

ciently simulate environment transitions across multiple states with options, significantly reducing the overhead for iterative examination of internal states.

We conduct experiments on Atari games, which are visually complex environments with relatively small frame differences between states, making them suitable for learning options. Our results show that using options with maximum lengths of 3 and 6, OptionZero achieved mean human-normalized scores of 1054.30% and 1025.56%, respectively. In contrast, MuZero achieves a score of only 922.72%. In addition, we provide a comprehensive behavior analysis to examine the options learned and used during planning. Interestingly, the adoption of options varies across different games, aligning with the unique characteristics of each game. This demonstrates that OptionZero effectively discovers options tailored to the specific game states and challenges of each environment. In conclusion, our findings suggest that OptionZero not only discovers options without human knowledge but also maintains efficiency during planning. This makes OptionZero easily applicable to other applications, further extending the versatility of the MuZero algorithm.

2 Related works

054

056

057

060

061

062

063

064

065

066 067 068

069

071

072

073

074

075

076

077

079

080

081

082

083

084

085

087

880

089

090

091

092

093

094

095

096

098

100

101

102

103

104

105

106

107

Numerous studies have explored the concepts of *options* in reinforcement learning. For example, de Waard et al. (2016) incorporated options from a predefined option set into Monte Carlo tree search (MCTS) and extended it to focus exploration on higher valued options during planning. Sharma et al. (2016) proposed using two policies for planning: one determines which primitive action to use, and the other determines how many times to repeat that action. Durugkar et al. (2016) explored the effects of repetition and frequency by statistics in Atari games. Vezhnevets et al. (2016) introduced a method which learns options through end-to-end reinforcement learning. Lakshminarayanan et al. (2017) proposed a method that allows agents to dynamically adjust rates of repeated actions. Bacon et al. (2017) derived an option-critic framework, which learns a policy over options and a policy within options. The option policy not only determines how to select and execute an action within options but also learns when to terminate the option. Kim et al. (2023) proposed to adaptively integrate multiple exploration strategies for options based on the option-critic framework. Riemer et al. (2020) introduced a parameter-sharing approach for deep option learning. Young & Sutton (2023) discovered options by learning the option policies and integrated them with a Monte Carlo search. Jinnai et al. (2019) formalized the problem of selecting the optimal option set, and produced an algorithm for discovering the suboptimal option set for planning. Veeriah et al. (2021) proposed a meta-gradient approach for discovering reusable, task-independent options. In addition, several works have studied subgoals, which represent a target state to achieve after several time steps, either segmented by predefined time step intervals or predicted dynamically by a learned network. For example, Gabor et al. (2019) used predefined subgoals for planning in MCTS. Czechowski et al. (2021) introduced a Subgoal Search method to obtain fixed-length subgoals with a low-level policy that predicts primitive actions for reaching subgoals. Kujanpää et al. (2023) proposed Hierarchical Imitation Planning with Search (HIPS), which learns subgoals from expert demonstration data. Kujanpää et al. (2024) extended HIPS to HIPS- ϵ , adding a low-level (primitive action) search to the high-level (subgoal) search, guaranteeing that subgoals are reachable. In summary, these previous works either adopt predefined options, learn subgoals from expert data, or do not incorporate options in MCTS planning. Compared to these works, our goal is to automatically discover options without relying on predefined options or expert data and to use options during planning.

3 MuZero

MuZero (Schrittwieser et al., 2020) is based on the foundation of AlphaZero (Silver et al., 2018), distinguishing itself by learning environment transitions using neural networks. This allows MuZero to plan in advance without extra interaction with the environment, which is particularly advantageous in environments where such interactions are computationally expensive. Consequently, MuZero has achieved success in a wide range of domains (Schrittwieser et al., 2020; Danihelka et al., 2022; Antonoglou et al., 2021; Hubert et al., 2021; Mandhane et al., 2022; Wang et al., 2023).

For planning, MuZero adopts Monte Carlo tree search (MCTS) (Kocsis & Szepesvári, 2006; Coulom, 2007; Browne et al., 2012), integrating three distinct networks: representation, dynamics, and prediction. Specifically, for an observation x_t at time step t, the search determines an action

 a_{t+1} using multiple simulations, each consisting of three phases: selection, expansion, and backup. The selection starts from the hidden state root node s^0 , selecting child nodes recursively until an unexpanded leaf node s^l is reached. For each non-leaf node s^k , the child node s^{k+1} (corresponding to action a^{k+1}) is selected according to the highest PUCT (Rosin, 2011; Silver et al., 2017) score:

$$Q(s^k, a^{k+1}) + P(s^k, a^{k+1}) \times \frac{\sqrt{\sum_b N(s^k, b)}}{1 + N(s^k, a^{k+1})} \times c_{puct}, \tag{1}$$

where $Q(s^k, a^{k+1})$ is the estimated Q-value, $P(s^k, a^{k+1})$ is the prior probability, $N(s^k, a^{k+1})$ is the visit counts, and c_{puct} is a constant for exploration. In the expansion phase, to expand the leaf node s^l , the dynamics network g_θ is applied to perform the environmental transition: $s^l, r^l = g_\theta(s^{l-1}, a^l)$, where r^l is the immediate reward. Note that when l=0, the representation network h_θ is used to initialize the root node: $s^0 = h_\theta(x_t)$. Then, the prediction network f_θ is applied to evaluate its policy and value: $p^l, v^l = f_\theta(s^l)$, where p^l is used for $P(s^l, a)$ and v^l is the estimated value for s^l . The backup phase uses the obtained value v^l to update the statistics $Q(s^k, a^{k+1})$ and $N(s^k, a^{k+1})$:

$$Q(s^k,a^{k+1}) := \frac{N(s^k,a^{k+1}) \times Q(s^k,a^{k+1}) + G^{k+1}}{N(s^k,a^{k+1}) + 1} \text{ and } N(s^k,a^{k+1}) := N(s^k,a^{k+1}) + 1, \ \ (2)$$

where $G^{k+1} = \sum_{\tau=0}^{l-k-1} \gamma^{\tau} r^{k+1+\tau} + \gamma^{l-k} v^l$ is the cumulative reward discounted by a factor γ .

During training, MuZero continuously performs self-play and optimization. The self-play process collects game trajectories, including x_t , π_t , a_{t+1} , a_{t+1} , a_{t+1} , and z_t for all time steps. For each x_t , MCTS is conducted to produce the search policy π_t . Then, an action $a_{t+1} \sim \pi_t$ is applied to the environment, obtaining an immediate reward u_{t+1} and moving forward to the next observation x_{t+1} . In addition, z_t is the n-step return. The optimization process updates the networks by sampling records from collected trajectories. For each sampled record x_t , the process uses the networks to unroll it for K steps to obtain s_t^k with corresponding p_t^k , v_t^k , and r_t^k for $0 \le k \le K$, where $s_t^0 = h_\theta(x_t)$ and s_t^k , $r_t^k = g_\theta(s_t^{k-1}, a_{t+k})$ for k > 0. Then, all networks are jointly updated using

$$L_{t} = \sum_{k=0}^{K} l^{p}(\pi_{t+k}, p_{t}^{k}) + \sum_{k=0}^{K} l^{v}(z_{t+k}, v_{t}^{k}) + \sum_{k=0}^{K-1} l^{r}(u_{t+k}, r_{t}^{k}) + c||\theta||^{2},$$
(3)

where l^p is the policy loss, l^v is value loss, l^r is the reward loss, and $c||\theta||^2$ is the L2 normalization.

4 OPTIONZERO

4.1 OPTION NETWORK

Options are the generalization of actions to include temporally extended actions, which is applied interchangeably with primitive actions (Sutton et al., 1999; Bacon et al., 2017). In this context, options on Markov decision process (MDP) form a special case of decision problem known as a semi-Markov decision process (SMDP). Given a state s_t at time step t and an option length L, we enumerate all possible options, denoted as $o_{t+1} = \{a_{t+1}, a_{t+2}, ..., a_{t+L}\}$, by considering every sequence of primitive actions starting at s_t . When executing the option o_{t+1} , we obtain a sequence of states and actions $s_t, a_{t+1}, s_{t+1}, a_{t+2}, ..., s_{t+L-1}, a_{t+L}, s_{t+L}$. Ideally, the probability of selecting each option can be calculated by multiplying the probabilities of each primitive action within the option, as illustrated in Figure 1a. For example, when L=4, the probability of option $o_1=\{a_1, a_2, a_3, a_4\}$ for s_0 is $P(a_1) \times P(a_2) \times P(a_3) \times P(a_4) = 0.8^4 = 0.4096$, where $P(a_i)$ is the probability of selecting action a_i . A naive approach to obtaining the option probabilities involves using a policy network to evaluate all possible states from s_t to s_{t+L} . However, this approach is computationally expensive, and the number of options grows exponentially as the option length L increases, making it infeasible to generate all options.

In practice, since most options occur infrequently due to their lower probabilities, our primary interest lies in the *dominant option*. The dominant option, $o_1 = \{a_1, a_2, ..., a_l\}$, is defined such that $\Pi_{i=1}^l P(a_i) > 0.5 \wedge \Pi_{i=1}^{l+1} P(a_i) \leq 0.5$, where $\Pi_{i=1}^l P(a_i)$ is the cumulative product of probabilities and $1 \leq l \leq L$. For example, in Figure 1a, the dominant option for s_0 is $o_1 = \{a_1, a_2, a_3\}$ because $P(a_1) \times P(a_2) \times P(a_3) = 0.504$ and $P(a_1) \times P(a_2) \times P(a_3) \times P(a_4) = 0.4096$, and the dominant option for s_0' is $o_1' = \{a_1', a_2'\}$. This indicates that the length of the dominant option can vary,

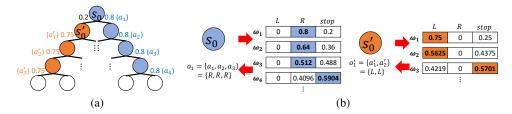


Figure 1: An illustration of calculating option in a decision tree. Each node represents a with two possible actions, L and R, corresponding to the left and right transitions to the subsequent state. (a) The decision tree and probabilities for each option at state s. (b) The procedure of determining the dominant option from the option network.

depending on how long the cumulative probabilities remain above the threshold of 0.5. In addition, this design ensures that there is only one dominant option for each state s, effectively preventing exponential growth in the number of possible options.

Next, we incorporate the *option network* into the prediction network in MuZero, denoted as $\Omega, p, v = f_{\theta}(s)$, which predicts an additional option output, Ω , for predicting the dominant option at state s. Given the maximum option length L, the option network produces L distributions, $\Omega = \{\omega_1, \omega_2, ..., \omega_L\}$, which are used to derive the dominant option, $o_1 = \{a_1^*, a_2^*, ..., a_l^*\}$, where $a_i^* = \arg\max_a \omega_i(a)$. Each ω_i represents the conditional cumulative product probability of selecting a sequence of actions from a_1^* to a_i^* , i.e, $\omega_i(a_i^*) = \prod_{j=1}^i P(a_j^*)$. Furthermore, a virtual action, called stop, is introduced to provide a termination condition. This stop action is the sum of probabilities for all actions except a^* , defined as $\omega(stop) = 1 - \omega(a^*)$. To derive the dominant option from Ω , we progressively examine each ω_i from ω_1 to ω_L , selecting a_i^* as $a_i^* = \arg\max_a \omega_i(a)$ until i = L or a_i^* becomes a stop action. We provide an example for obtaining the dominant options for state s_0 and s_0' , as shown in Figure 1b. This method allows for determining the dominant option at any state s without recurrently evaluating future states, reducing the computational costs.

4.2 PLANNING WITH DOMINANT OPTION IN MCTS

This subsection describes the modifications to MCTS implemented in OptionZero to incorporate planning with the dominant option. For simplicity, we will use option to represent the dominant option in the rest of this paper. The planning generally follows the MuZero but with two modifications, including the network architecture and MCTS. For the network architecture, we add an additional option output to the prediction network, denoted as $\Omega^k, p^k, v^k = f_\theta(s^k)$, where Ω^k, p^k , and v^k are the option distribution, policy distribution, and value at state s^k , respectively. Note that we use superscript s^k instead of subscript s_k in this subsection. This is because s^k represents the hidden state, obtained after unrolling k steps by the dynamics network from the initial hidden state s^0 . In contrast, s_k denotes the actual observed state in the environment at time step k. As illustrated in the previous section, we can derive the option o^k from Ω^k . The dynamics network, denoted as $s^{k+l}, r^{k+1,k+l} = g_\theta(s^k, \mathcal{A}^{k+1})$, is modified to predict the next hidden state s^{k+l} and the accumulated discounted reward $r^{k+1,k+l}$ upon executing a composite action \mathcal{A}^{k+1} at s^k . The composite action, \mathcal{A}^{k+1} , can be either a primitive action a^{k+1} or an option o^{k+1} with the length l. The accumulated discounted reward reward obtained by applying a^i at state s^{i-1} . Note that the dynamics network supports unrolling the option directly, eliminating the need to recurrently evaluate each subsequent state from s^k to s^{k+l} .

Next, we demonstrate the incorporation of options within MCTS. The search tree retains the structure of the original MCTS but includes edges for options that can bypass multiple nodes directly, as shown in Figure 2. This adaptation integrates options subtly while preserving the internal actions within options, allowing the tree to traverse states using either a primitive action or an option. Each edge within the tree is associated with statistics $\{N(s, \mathcal{A}), Q(s, \mathcal{A}), P(s, \mathcal{A}), R(s, \mathcal{A})\}$, representing its visit counts, estimated Q-value, prior probability, and reward, respectively. Moreover, for nodes that possess both a primitive edge and an option edge, the statistics of the primitive edge are designed to include those of the option edge. For example, if the tree traverses the node via the

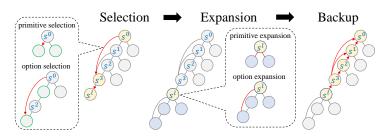


Figure 2: An illustration of each phase in MCTS in OptionZero.

option edge, the visit counts for both the primitive and option edges are incremented. This ensures the statistics remain consistent with MuZero when only primitive edges are considered within the tree. We illustrate the modifications made to each phase of MCTS in the following.

Selection. For any node s^k , the selection of the next child node includes two stages: primitive selection and option selection. The primitive selection only considers primitive child nodes and remains consistent with MuZero by selecting the next action a^{k+1} based on the PUCT score based on equation 1. If the selected action a^{k+1} matches the first action in option o^{k+1} , we then proceed with the option selection to determine whether to select this primitive action a^{k+1} or option o^{k+1} . Option selection is similar to the primitive selection, using the PUCT score to compare both the primitive and option nodes. Since the option node is a successor node of the primitive node, the statistics for the primitive node need to be adjusted to exclude contributions from the option node in the option selection. We select either primitive or option nodes based on the higher PUCT score, which is calculated as follows:

$$\begin{cases} Q(s^{k}, o^{k+1}) + P(s^{k}, o^{k+1}) \times \frac{\sqrt{\sum_{b} N(s^{k}, b)}}{1 + N(s^{k}, o^{k+1})} \times c_{puct} & \text{if option node,} \\ \tilde{Q}(s^{k}, a^{k+1}) + \tilde{P}(s^{k}, a^{k+1}) \times \frac{\sqrt{\sum_{b} N(s^{k}, b)}}{1 + (N(s^{k}, a^{k+1}) - N(s^{k}, o^{k+1}))} \times c_{puct} & \text{if primitive node.} \end{cases}$$
(4)

The $\tilde{P}(s^k,a^{k+1})=\max(0,P(s^k,a^{k+1})-P(s^k,o^{k+1}))$ ensures that the prior remains non-negative. The adjusted estimated Q-value, $\tilde{Q}(s^k,a^{k+1})$, is calculated as $\frac{N(s^k,a^{k+1})Q(s^k,a^{k+1})-N(s^k,o^{k+1})Q(s^k,o^{k+1})}{N(s^k,a^{k+1})-N(s^k,o^{k+1})}$. Note that $\sum_b N(s^k,b)$ is the total visit counts for selecting a^{k+1} and a^{k+1} , which is equivalent to a^{k+1} 0 because the statistics of the primitive node already include the statistics of option node. The selection process begins at the root node a^{k+1} 0 until an unevaluated node a^{k+1} 1 is reached, as shown in Figure 2.

Expansion. Assume the last two node in the selection path is s^m and s^l , where s^m is the parent node of s^l . To expand node s^l , we derive $r^{m+1,l}, \Omega^l, p^l, v^l$ using the dynamics and prediction network. The reward $r^{m+1,l}$ is from s^m to s^l and used to initialize the edge $R(s^m, \mathcal{A}^{m+1}) = r^{m+1,l}$. The edge of all primitive child nodes are initialized as $\{N(s^l, a^{l+1}) = R(s^l, a^{l+1}) = Q(s^l, a^{l+1}) = 0\}$ and $P(s^l, a^{l+1}) = p^l$. Then, if the length of option o^{l+1} derived from Ω^l is larger than 1, we expand the internal nodes following the action within the option. The statistics of each edge are initialized as 0 since these internal nodes are unevaluated. For the option node, the edge is initialized as $\{N(s^l, o^{l+1}) = R(s^l, o^{l+1}) = Q(s^l, o^{l+1}) = 0\}$ and $P(s^l, o^{l+1}) = \omega^l$.

Backup. The backup phase updates the visit counts and estimated Q-value from s^l back to s^0 . Considering that s^l may be accessed through various selection paths from s^0 , all edges on the possible paths from s^0 to s^l must be updated. This ensures that both the visited count and estimated Q-value of all nodes remain consistent within the search, regardless of the selection path chosen. We first obtain the i-j-step estimate of the cumulative discounted reward as $G^{i,j} = r^{i,j} + \gamma^{j-i}v^j$, where $r^{i,j}$ is the discounted reward from s^i to s^j and v^j is the value at state s^j . Since not all edges have been evaluated, we calculate $r^{i,j}$ by using $\frac{r^{0,j}-r^{0,i}}{\gamma^i}$, where $r^{0,i}$ and $r^{0,j}$ represent discounted rewards from the root node s^0 to s^i and s^j , respectively. Then, we update the estimated Q-value of each edge, $Q(s^k, \mathcal{A}^{k+1})$, using a similar approach as introduced in equation 2:

$$Q(s^{k}, \mathcal{A}^{k+1}) := \frac{N(s^{k}, \mathcal{A}^{k+1}) \times Q(s^{k}, \mathcal{A}^{k+1}) + G^{k+1, k+|\mathcal{A}^{k+1}|}}{N(s^{k}, \mathcal{A}^{k+1}) + 1},$$

$$N(s^{k}, \mathcal{A}^{k+1}) := N(s^{k}, \mathcal{A}^{k+1}) + 1,$$
(5)

where $|A^{k+1}|$ is the length of the composite action.

During planning, the MCTS performs a fixed number of simulations, each including the above three phases. Upon the search completed, MCTS selects a child node from the root node s^0 based on probabilities proportional to their visit counts and performs the composite action in the environment. Overall, the additional complexity introduced by OptionZero, including the costs for the option network and maintaining statistics for option edges, is negligible compared to the original MuZero.

4.3 Training OptionZero

We describe the optimization process using the self-play trajectory in OptionZero, as shown in Figure 3. For better illustration, we utilize three additional symbols, including \mathcal{O} , \mathcal{U} , τ , and $\hat{\tau}$. Given a state s_t at time step t, \mathcal{O}_i represents the i-th executed composite action starting from s_t , \mathcal{U}_i is defined as the discounted reward obtained after executing \mathcal{O}_i , τ_i denotes the action sequence length of \mathcal{O}_i , and $\hat{\tau}_i = \sum_{j=1}^i \tau_j$ is the accumulated length from \mathcal{O}_1 to \mathcal{O}_i . For example, in Figure 3, from the perspective of s_t , we can obtain $\mathcal{O}_1 = o_{t+1} = \{a_{t+1}, a_{t+2}\}, \mathcal{O}_2 = \{a_{t+3}\}$, with corresponding discounted rewards $\mathcal{U}_1 = u_{t+1} + \gamma u_{t+2}, \mathcal{U}_2 = u_{t+3}$, action sequence lengths $\tau_1 = 2, \tau_2 = 1$, and accumulated lengths $\hat{\tau}_1 = 2, \hat{\tau}_2 = 3$. Then, the observed discounted reward \mathcal{U}_1 at s_t is calculated as $\sum_{i=0}^{\tau_1-1} \gamma^i u_{t+1+i}$, aggregating the observed rewards provided by the environment with a discount factor γ . The n-step return z_t is calculated as $\mathcal{U}_1 + \gamma^{\hat{\tau}_1}\mathcal{U}_2 + \ldots + \gamma^{\hat{\tau}_{T-1}}\mathcal{U}_T + \gamma^{\hat{\tau}_T}v_{t+\hat{\tau}_T}$, where $\hat{\tau}_T = n$. Note that v_{t+n} is not always available, as s_{t+n} may be bypassed when options are executed. Consequently, we identify the smallest T such that $\hat{\tau}_T \geq n$, ensuring that the step count for the n-step return approximates n as closely as possible. In Figure 3, if n = 5, since s_{t+5} is skipped by option, we then approximate the n-step return by using v_{t+6} as $z_t = \mathcal{U}_1 + \gamma^2 \mathcal{U}_2 + \gamma^3 \mathcal{U}_3 + \gamma^4 \mathcal{U}_4 + \gamma^6 v_{t+6}$.

Next, we describe the training target for both the policy and option network. The search policy distribution π_t is calculated in the same manner as in MuZero. For the option network, given an option length L at state s_t , we examine its subsequent states to derive the training target, $\Phi_t = \{\phi_t, \phi_{t+1}, ..., \phi_{t+L-1}\}$. Each ϕ_i is a one-hot vector corresponding to the training target for ω_i . Specifically, for any state s_i if the option network predicts an option $o = \{a_1, a_2, ..., a_l\}$ that exactly matches the composite action $\mathcal{O} = \{a_1, a_2, ..., a_l\}$ executed in the environment, then the option learns the action sequence, i.e., $\phi_i = \text{onehot}(a_{i+1})$ for $0 \le i \le l-1$. Conversely, if $o \ne \mathcal{O}$, then the option learns to s_i , i.e., $\phi_i = \text{onehot}(s_i)$. We iterate this process to set each ϕ_i from s_i to s_{i+l-1} . If ϕ_{t+i} is set to learn s_i , subsequent ϕ_{t+j} should follow, i.e., $\phi_{t+j} = \text{onehot}(s_i)$ for $i \le j \le l-1$. Note that if the length of predicted option o_i is zero, o_i is defined as $\{a_{i+1}\}$, where $a_{i+1} = \arg\max_a p_i(a)$ is determined according to the policy network. This method ensures that the option network eventually learns the cumulative probability of the dominant option, as described in subsection 4.1. Figure 3 shows an example of setting the training target for the option network. If $o_{t+2} \ne \mathcal{O}_2$, then the option network learns $\{a_{t+1}, a_{t+2}, s_{top}\}$, $\{s_{top}, s_{top}, s_{top}, s_{top}\}$, and $\{a_{t+4}, a_{t+5}, a_{t+6}\}$, for s_t, s_{t+2} , and s_{t+3} , respectively.

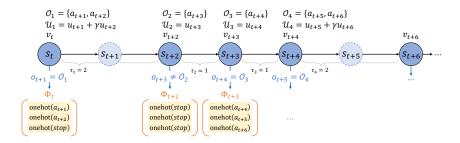


Figure 3: An illustration of optimization in OptionZero. The notion is from the perspective of s_t .

During the optimization phase, the sampled state s_t is trained with K unrolling steps, where each step can be either a primitive action or an option. This enables the dynamics network to learn the environment transitions that incorporate options. The loss is modified from equation 3 as follows:

$$L_{t} = \sum_{k=0}^{K} l^{p}(\pi_{t+\hat{\tau}_{k}}, p_{t}^{k}) + \sum_{k=0}^{K} l^{v}(z_{t+\hat{\tau}_{k}}, v_{t}^{k}) + \sum_{k=1}^{K} l^{r}(U_{k}, r_{t}^{k}) + \sum_{k=0}^{K} l^{o}(\Phi_{t+\hat{\tau}_{k}}, \Omega_{t}^{k}) + c||\theta||^{2}, \quad (6)$$

where $\hat{\tau}_0 = 0$. Note that the option loss l^o includes L cross-entropy losses.

5 EXPERIMENT

5.1 OPTIONZERO IN GRIDWORLD

We first train OptionZero in *GridWorld*, a toy environment where the objective is to navigate an agent through a grid map with walls from a start position (S) to a goal (G) via the shortest possible route. The maximum option length is set to nine. Other training details are provided in Appendix A. Figure 4 shows the options learned by the option network at four stages of training: 25%, 50%, 75%, and 100% completion. It can be observed that the learning behavior of OptionZero evolves distinctly across different stages. In the early stage (25%), the model mainly relies on primitive actions, identifying options only when approaching the goal. In the middle stages (50% and 75%), the model begins to establish longer options, progressively learning options with lengths from two up to nine. In the final stage (100%), the model has learned the optimal shortest path using options. Notably, using only primitive actions, the optimal path requires an agent to take at least 30 actions. In contrast, OptionZero achieves this with just four options, accelerating the training process by approximately 7.5 times in this example. This substantial reduction highlights OptionZero's efficacy, especially in more complex environments. This experiment also shows that the option network can progressively learn and refine options during training, without requiring predefined options.

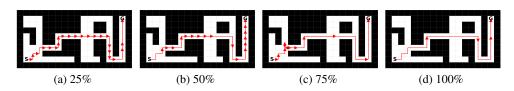


Figure 4: Visualization of options learned by OptionZero at different stages of training in GridWorld.

5.2 OPTIONZERO IN ATARI GAMES

Next, we evaluate OptionZero on *Atari* games, which are commonly used for investigating options (Sharma et al., 2016; de Waard et al., 2016; Durugkar et al., 2016; Bacon et al., 2017; Vezhnevets et al., 2016; Kim et al., 2023; Lakshminarayanan et al., 2017; Riemer et al., 2020) due to their visually complex environments and subtle frame differences between states, making training with primitive actions inefficient. We train three OptionZero models, denoted as ℓ_1 , ℓ_3 , and ℓ_6 , each configured with maximum option lengths L=1,3, and 6, respectively. Detailed experiment setups are provided in Appendix B. The model ℓ_1 serves as a baseline, identical to MuZero, where no options are used during training. In addition, we adopt the frameskip technique (Mnih et al., 2015), commonly used in training on Atari games, set to 4. Namely, this results in a frame difference between 24 states when executing an option of length 6. This requires OptionZero to strategically utilize options when necessary, rather than indiscriminately.

Table 1 shows the results of 26 Atari games. Both ℓ_3 and ℓ_6 outperform the baseline ℓ_1 in mean and median human-normalized scores, with ℓ_3 achieving the best performance at 1054.30% and 391.69%, representing improvements of 131.58% and 63.29% over ℓ_1 . Overall, 20 out of 26 games perform better than ℓ_1 for ℓ_3 , and 17 for ℓ_6 . There are 12 games where scores consistently increase as the option length increases. For example, in *up n down*, the scores rise by 63810.83 and 79503.9 from ℓ_1 to ℓ_6 . Conversely, there are only four games where scores decrease as the option length increases. For example, in *bank heist*, scores drop by 156.54 and 511.26, respectively. We find that this decline is likely due to the difficulty of the dynamics network in learning environment transitions (Vries et al., 2021; He et al., 2023) in games with more complex action spaces. As the option length increases, the number of possible option combinations grows. Although we focus on learning the dominant option, the dynamics network still needs to learn across all dominant options. In games like *bank heist*, which offers a wide range of strategic possibilities for different option combinations, the learning complexity for the dynamics network increases. Nevertheless, most of the games still improve when training with options, demonstrating that options enable more effective planning.

Table 1: Scores on 26 Atari games. Bold text in ℓ_3 and ℓ_6 indicates scores that surpass ℓ_1 .

Game	Random	Human		OptionZero	
Gaine	Kandoni	Huillali	ℓ_1	ℓ_3	ℓ_6
alien	128.30	6,371.30	2,437.30	2,900.07	3,748.73
amidar	11.79	1,540.43	780.26	820.77	862.17
assault	166.95	628.89	18,389.88	19,302.04	21,593.53
asterix	164.50	7,536.00	177,128.50	188,999.00	187,716.00
bank heist	21.70	644.50	1,097.63	950.13	906.53
battle zone	3,560.00	33,030.00	53,326.67	53,583.33	39,556.67
boxing	-1.46	9.61	97.71	95.09	96.00
breakout	1.77	27.86	371.30	375.58	364.11
chopper command	644.00	8,930.00	43,951.67	60,181.67	45,518.67
crazy climber	9,337.00	32,667.00	110,634.00	114,390.00	128,455.67
demon attack	208.25	3,442.85	103,823.17	117,270.57	109,092.33
freeway	0.17	25.61	29.46	31.06	31.45
frostbite	90.80	4,202.80	3,183.40	3,641.10	6,047.97
gopher	250.00	2,311.00	70,985.27	68,240.60	63,951.47
hero	1,580.30	25,839.40	13,568.20	19,073.18	19,919.22
jamesbond	33.50	368.50	8,155.50	13,276.67	8,571.17
kangaroo	100.00	2,739.00	8,929.67	12,294.00	13,951.33
krull	1,151.90	2,109.10	10,255.37	10,098.83	9,587.57
kung fu master	304.00	20,786.80	66,304.67	68,528.33	69,452.33
ms pacman	197.80	15,375.05	3,695.60	4,952.37	4,706.63
pong	-17.95	15.46	19.37	15.49	17.39
private eye	662.78	64,169.07	116.83	90.76	83.24
qbert	159.38	12,085.00	17,155.50	30,748.42	36,328.08
road runner	200.00	6,878.00	26,971.33	32,786.67	21,699.67
seaquest	215.50	40,425.80	3,592.53	5,606.63	6,754.50
up n down	707.20	9,896.10	217,021.60	280,832.43	360,336.33
Mean (%)	0.00	100.00%	922.72%	1054.30%	1025.56%
Median (%)	0.00	100.00%	328.40%	391.69%	329.77%

5.3 OPTION UTILIZATION AND BEHAVIOR ANALYSIS

This subsection analyzes how options are applied to better understand the planning process of OptionZero. Table 2 presents the average percentages of primitive actions (% a) and options (% o), and the distribution of different option lengths (% l) across 26 games. In addition, columns "l", "% Rpt.", and "% NRpt." show the average action sequence length executed in games, the proportions of options that repeat a single primitive action or involve more than one action types, respectively. Detailed statistics for each game are provided in Appendix D. From the table, we observe that primitive actions are generally the majority, accounting for over 60% in both ℓ_3 and ℓ_6 . This is because Atari uses a frameskip of four, which means that each primitive action already spans across four states. The use of frameskip four is well-established in previous research, and our experiments further corroborate these findings. However, there are still nearly 30% of states that can adopt options. When comparing the use of options, it is notable that ℓ_6 applies options less frequently, with a percentage of 30.57% compared to 37.62% in ℓ_3 . However, the average action sequence length for ℓ_6 (2.03) is longer than that of ℓ_3 (1.69). This is because action sequences that involve taking two consecutive three-step options in ℓ_3 are merged into a single six-step option in ℓ_6 , resulting in a lower usage rate of options in statistics. In summary, our findings reveal that OptionZero strategically learns to utilize options as well as employ primitive actions at critical states instead of indiscriminately utilizing longer options.

Table 2: Proportions of options with different lengths and options with repeated primitive actions for ℓ_3 and ℓ_6 in Atari games.

% a	% o	% 2	% 3	% 4	% 5	% 6	\bar{l}	% Rpt.	% NRpt.
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	37.62% 30.57%	6.23% 8.55%	31.39% 3.52%	1.86%	0.99%	15.64%	1.69 2.03	75.94% 74.12%	24.06% 25.88%

Next, among the different option lengths used, we observe that generally the longer option lengths are preferred. This suggests that if a state already has applicable options, it is likely these options will be extended further, resulting in a trend towards longer options. This behavior is consistent with the gradual increase in option lengths observed in gridworld as described in subsection 5.1, illustrating the capability of OptionZero to effectively discover and extend longer options when beneficial.

Finally, we investigate the composition of primitive actions in options. From Table 2, approximately 75% of options consist of repeated primitive actions, similar to the findings in Sharma et al. (2016). For example, in *freeway*, a game where players control chickens across a traffic-filled highway from bottom to top, the most commonly used options by OptionZero are sequences of repeated Up actions (U-U-U in ℓ_3 and U-U-U-U-U in ℓ_6), guiding the chicken to advance upwards. In addition, OptionZero prefers repeated Noop actions, strategically pausing to let cars pass before proceeding. On the other hand, some games still require options composed of diverse combinations of primitive action. For example, in *crazy climber*, a game where players control the left and right side of the body to climb up to the top, OptionZero utilizes options consisting of non-repeated actions. These options often interleave Up and Down actions to coordinate the movements of the player's hands and feet, respectively. More interestingly, OptionZero also learns to acquire options involving combination skills under specific circumstances. In hero, only 4.60% of options involve non-repeated actions. Although the chance is small, such options are crucial during the game. For example, as depicted in Figure 5, the agent executes a series of strategically combined options, including landing from the top, planting a bomb at the corner to destroy a wall, swiftly moving away to avoid injury from the blast, and then skillfully times its movement to the right while firing after the wall is demolished. It is worth noting that there are a total of 24 primitive actions executed from Figure 5a to 5e, but only four options are executed in practice, showing that using option provides effective planning. In conclusion, our results demonstrate that OptionZero is capable of learning complex action sequences tailored to specific game dynamics, effectively discovering the required combinations whether the options are shorter, longer, or contain repeated actions. We have provided the top frequency of options used in each game in the Appendix D.5.

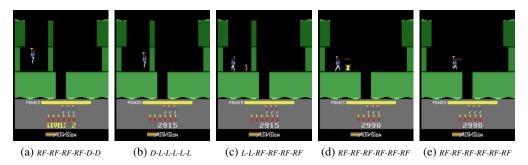


Figure 5: Sequence of game play from (a) to (e) for OptionZero in *hero*. The actions *R*, *L*, *D*, and *F* represent moving right, moving left, placing bombs, and firing, respectively.

5.4 OPTION UTILIZATION IN THE SEARCH

We further investigate the options used during planning. Table 3 lists the results for ℓ_1 , ℓ_3 , and ℓ_6 , including the proportions of search trees that consist of at least one option edge is expanded in MCTS ("% in Tree"), the proportions of simulations that at least one option has been selected during search ("% in Sim."), the average tree depth, the median tree depth, and the maximum tree depth. Detailed statistics for each game are provided in Appendix D.3. The results show that approximately 90% of search trees expand options, but only around 30% of search trees choose options during selection. Considering the nature of exploration in MCTS, it is reasonable that not all simulations will incorporate options. Surprisingly, there are still certain game states for which the search process does not use options at all. Especially in *hero*, From ℓ_3 to ℓ_6 , the proportion of search trees utilizing options decreases from 74.43% to 54.39%, showing that there are numerous game states where options are not required. However, the performance remains consistent, suggesting that the planning could concentrate on applying options in certain states. Note that the less frequent use of options does not cause undesirable results; eventually, the search behaves similarly to that of MuZero.

Table 3: Proportions of options in search tree for ℓ_3 and ℓ_6 in Atari games.

	% in Tree	% in Sim.	Avg. tree depth	Median tree depth	Max tree depth
ℓ_1	0.00%	0.00%	14.52	12.58	48.54
$\ell_1 \\ \ell_3$	91.43%	28.94%	20.74	18.23	121.46
ℓ_6	87.48%	22.28%	24.92	19.35	197.58

Finally, we compare the tree depths of the MCTS process with and without options. It is naturally considered that applying options provides a deeper tree, which helps in identifying longer future state sequences for better planning and avoiding pitfalls. From the statistics, the average search tree depths generally increase as the maximum option length increases, rising by 6.22 from ℓ_1 to ℓ_3 and by 10.4 from ℓ_1 to ℓ_6 . Interestingly, there are counterexamples where the average depth decreases, such as hero. Although the average tree depth decreases in hero (22.30, 17.06, and 12.15 for ℓ_1 , ℓ_3 , and ℓ_6), the performance is improved, as shown in Table 1. Furthermore, by comparing the median tree depth (19, 10, and 7) and maximum tree depth (50, 147, and 276) in hero, it can be derived that the model learns to perform deep searches depending on whatever the state requires. Ultimately, whether to conduct a deeper or shallower search tree is learned by OptionZero automatically. For the maximum tree depth, the baseline ℓ_1 approaches the simulation budget of 50 nodes, meaning the search process may continuously exploit the same promising branch. When integrating with options, although the maximum depths increase, they do not always approach the simulation budgets of 150 and 300. The average numbers of maximum depths are 48.54, 121.46, and 192.27, equivalent to 97.08%, 80.97%, and 64.09% of the budgets, reflecting that the maximum depth is converging. This observation suggests that using an option length of 3 or 6 is sufficient in Atari games.

6 Discussion

 This paper presents *OptionZero*, a method that integrates options into the well-known MuZero algorithm. OptionZero autonomously discovers options through self-play games and efficiently simulates environment transitions across multiple states with options during planning, which not only eliminates the requirement for obtaining options in advance but also reduces the overhead for examining consecutive states during planning. The empirical results on Atari games demonstrate a significant improvement of 131.58% in mean human-normalized scores, and the behavior analysis reveals that OptionZero effectively discovers options tailored to the specific challenges of each environment. In conclusion, our findings suggest that OptionZero not only discovers options without human knowledge but also maintains efficiency during planning. This makes OptionZero easily applicable to other applications, further extending the versatility of the MuZero algorithm.

As OptionZero builds upon MuZero, it can be easily applied to various environments. For example, when applied to two-player games, OptionZero is expected to discover optimal strategies for both players at specific states. In strategic games such as StarCraft, our approach can learn skillfully combined options, enhancing further explainability and facilitating human learning, as illustrated in subsection 5.3. OptionZero can also be integrated with Sampled MuZero (Hubert et al., 2021) to support environments with complex action spaces, like robotic environments. Nevertheless, our experiments show that OptionZero does not improve performance in all games, especially in environments with numerous option types or visually complex observations, the dynamics network might struggle to learn well. Future work could explore integrating OptionZero with other dynamics models, such as S4 (Gu et al., 2021) or Dreamer (Hafner et al., 2020). Finally, the current design of the option networks requires a predefined maximum option length. Dynamically extending this maximum option length could be a promising direction for future work. We hope our approach and findings provide promising directions in planning with reinforcement learning for future researchers.

ETHICS STATEMENT

We do not foresee any ethical issues in this research work. All data are generated by our programs.

REPRODUCIBILITY STATEMENT

For reproducing the work, we have provided the details of the proposed algorithm in Section 4 and Appendix A, and the setup of training in Appendix B. Once accepted, we will make available the source code and the trained models used in this work, along with the training configuration files and the scripts for processing behavior analysis.

REFERENCES

- Ioannis Antonoglou, Julian Schrittwieser, Sherjil Ozair, Thomas K. Hubert, and David Silver. Planning in Stochastic Environments with a Learned Model. In *International Conference on Learning Representations*, October 2021. URL https://openreview.net/forum?id=X6D9bAHhBO1.
- Pierre-Luc Bacon, Jean Harb, and Doina Precup. The Option-Critic Architecture. *Proceedings of the AAAI Conference on Artificial Intelligence*, 31(1), February 2017. URL https://ojs.aaai.org/index.php/AAAI/article/view/10916.
- Cameron B. Browne, Edward Powley, Daniel Whitehouse, Simon M. Lucas, Peter I. Cowling, Philipp Rohlfshagen, Stephen Tavener, Diego Perez, Spyridon Samothrakis, and Simon Colton. A Survey of Monte Carlo Tree Search Methods. *IEEE Transactions on Computational Intelligence and AI in Games*, 4(1):1–43, March 2012. URL https://ieeexplore.ieee.org/document/6145622.
- Xinlei Chen and Kaiming He. Exploring Simple Siamese Representation Learning. In *Proceedings* of the IEEE/CVF Conference on Computer Vision and Pattern Recognition, pp. 15750–15758, 2021.
- Rémi Coulom. Efficient Selectivity and Backup Operators in Monte-Carlo Tree Search. In *Computers and Games*, Lecture Notes in Computer Science, pp. 72–83, Berlin, Heidelberg, 2007. Springer.
- Konrad Czechowski, Tomasz Odrzygóźdź, Marek Zbysiński, Micha\l Zawalski, Krzysztof Olejnik, Yuhuai Wu, Łukasz Kuciński, and Piotr Miłoś. Subgoal Search For Complex Reasoning Tasks. In *Advances in Neural Information Processing Systems*, volume 34, pp. 624–638. Curran Associates, Inc., 2021. URL https://proceedings.neurips.cc/paper_files/paper/2021/hash/05d8cccb5f47e5072f0a05b5f514941a-Abstract.html.
- Ivo Danihelka, Arthur Guez, Julian Schrittwieser, and David Silver. Policy improvement by planning with Gumbel. In *International Conference on Learning Representations*, April 2022. URL https://openreview.net/forum?id=bERaNdoegnO.
- Maarten de Waard, Diederik M. Roijers, and Sander C.J. Bakkes. Monte Carlo Tree Search with options for general video game playing. In 2016 IEEE Conference on Computational Intelligence and Games (CIG), pp. 1–8, September 2016.
- Ishan P. Durugkar, Clemens Rosenbaum, Stefan Dernbach, and Sridhar Mahadevan. Deep Reinforcement Learning With Macro-Actions, June 2016. URL http://arxiv.org/abs/1606.04615.
- Thomas Gabor, Jan Peter, Thomy Phan, Christian Meyer, and Claudia Linnhoff-Popien. Subgoal-Based Temporal Abstraction in Monte-Carlo Tree Search. In *Proceedings of the Twenty-Eighth International Joint Conference on Artificial Intelligence*, pp. 5562–5568, Macao, China, August 2019. International Joint Conferences on Artificial Intelligence Organization. URL https://www.ijcai.org/proceedings/2019/772.
- Albert Gu, Karan Goel, and Christopher Re. Efficiently Modeling Long Sequences with Structured State Spaces. In *International Conference on Learning Representations*, October 2021. URL https://openreview.net/forum?id=uYLFoz1vlAC.

Danijar Hafner, Timothy Lillicrap, Jimmy Ba, and Mohammad Norouzi. Dream to Control: Learning Behaviors by Latent Imagination. In *Eighth International Conference on Learning Representations*, April 2020. URL https://iclr.cc/virtual_2020/poster_S1lOTC4tDS.html.

Jinke He, Thomas M. Moerland, and Frans A. Oliehoek. What model does MuZero learn?, October 2023. URL http://arxiv.org/abs/2306.00840.

- Matteo Hessel, Ivo Danihelka, Fabio Viola, Arthur Guez, Simon Schmitt, Laurent Sifre, Theophane Weber, David Silver, and Hado Van Hasselt. Muesli: Combining Improvements in Policy Optimization. In *Proceedings of the 38th International Conference on Machine Learning*, pp. 4214–4226. PMLR, July 2021.
- Thomas Hubert, Julian Schrittwieser, Ioannis Antonoglou, Mohammadamin Barekatain, Simon Schmitt, and David Silver. Learning and Planning in Complex Action Spaces. In *Proceedings of the 38th International Conference on Machine Learning*, pp. 4476–4486. PMLR, July 2021. URL https://proceedings.mlr.press/v139/hubert21a.html.
- Yuu Jinnai, David Abel, David Hershkowitz, Michael Littman, and George Konidaris. Finding Options that Minimize Planning Time. In *Proceedings of the 36th International Conference on Machine Learning*, pp. 3120–3129. PMLR, May 2019. URL https://proceedings.mlr.press/v97/jinnai19a.html.
- Woojun Kim, Jeonghye Kim, and Youngchul Sung. LESSON: Learning to Integrate Exploration Strategies for Reinforcement Learning via an Option Framework. In *Proceedings of the 40th International Conference on Machine Learning*, pp. 16619–16638. PMLR, July 2023.
- Levente Kocsis and Csaba Szepesvári. Bandit Based Monte-Carlo Planning. In *European Conference on Machine Learning and Principles and Practice of Knowledge Discovery in Databases*, volume 2006, pp. 282–293, September 2006.
- Kalle Kujanpää, Joni Pajarinen, and Alexander Ilin. Hierarchical Imitation Learning with Vector Quantized Models. In *Proceedings of the 40th International Conference on Machine Learning*, pp. 17896–17919. PMLR, July 2023. URL https://proceedings.mlr.press/v202/kujanpaa23a.html.
- Kalle Kujanpää, Joni Pajarinen, and Alexander Ilin. Hybrid Search for Efficient Planning with Completeness Guarantees. *Advances in Neural Information Processing Systems*, 36, February 2024. URL https://papers.nips.cc/paper_files/paper/2023/hash/46d26daeb05fbbcfe5f3d8f7ca756e16-Abstract-Conference.html.
- Aravind Lakshminarayanan, Sahil Sharma, and Balaraman Ravindran. Dynamic Action Repetition for Deep Reinforcement Learning. *Proceedings of the AAAI Conference on Artificial Intelligence*, 31(1), February 2017.
- Amol Mandhane, Anton Zhernov, Maribeth Rauh, Chenjie Gu, Miaosen Wang, Flora Xue, Wendy Shang, Derek Pang, Rene Claus, Ching-Han Chiang, Cheng Chen, Jingning Han, Angie Chen, Daniel J. Mankowitz, Jackson Broshear, Julian Schrittwieser, Thomas Hubert, Oriol Vinyals, and Timothy Mann. MuZero with Self-competition for Rate Control in VP9 Video Compression, February 2022. URL http://arxiv.org/abs/2202.06626.
- Volodymyr Mnih, Koray Kavukcuoglu, David Silver, Andrei A. Rusu, Joel Veness, Marc G. Bellemare, Alex Graves, Martin Riedmiller, Andreas K. Fidjeland, Georg Ostrovski, Stig Petersen, Charles Beattie, Amir Sadik, Ioannis Antonoglou, Helen King, Dharshan Kumaran, Daan Wierstra, Shane Legg, and Demis Hassabis. Human-level control through deep reinforcement learning. *Nature*, 518(7540):529–533, February 2015. URL https://www.nature.com/articles/nature14236.
- Matthew Riemer, Ignacio Cases, Clemens Rosenbaum, Miao Liu, and Gerald Tesauro. On the Role of Weight Sharing During Deep Option Learning. *Proceedings of the AAAI Conference on Artificial Intelligence*, 34(04):5519–5526, April 2020.

- Christopher D. Rosin. Multi-armed bandits with episode context. *Annals of Mathematics and Artificial Intelligence*, 61(3):203–230, March 2011. URL http://link.springer.com/10.1007/s10472-011-9258-6.
 - Julian Schrittwieser, Ioannis Antonoglou, Thomas Hubert, Karen Simonyan, Laurent Sifre, Simon Schmitt, Arthur Guez, Edward Lockhart, Demis Hassabis, Thore Graepel, Timothy Lillicrap, and David Silver. Mastering Atari, Go, chess and shogi by planning with a learned model. *Nature*, 588(7839):604–609, December 2020. URL https://www.nature.com/articles/s41586-020-03051-4.
 - Sahil Sharma, Aravind S. Lakshminarayanan, and Balaraman Ravindran. Learning to Repeat: Fine Grained Action Repetition for Deep Reinforcement Learning. In *International Conference on Learning Representations*, November 2016. URL https://openreview.net/forum?id=B1GOWV5eq.
 - David Silver, Julian Schrittwieser, Karen Simonyan, Ioannis Antonoglou, Aja Huang, Arthur Guez, Thomas Hubert, Lucas Baker, Matthew Lai, Adrian Bolton, Yutian Chen, Timothy Lillicrap, Fan Hui, Laurent Sifre, George van den Driessche, Thore Graepel, and Demis Hassabis. Mastering the game of Go without human knowledge. *Nature*, 550(7676):354–359, October 2017. URL https://www.nature.com/articles/nature24270.
 - David Silver, Thomas Hubert, Julian Schrittwieser, Ioannis Antonoglou, Matthew Lai, Arthur Guez, Marc Lanctot, Laurent Sifre, Dharshan Kumaran, Thore Graepel, Timothy Lillicrap, Karen Simonyan, and Demis Hassabis. A general reinforcement learning algorithm that masters chess, shogi, and Go through self-play. *Science*, 362(6419):1140–1144, December 2018. URL https://www.science.org/doi/10.1126/science.aar6404.
 - Richard S. Sutton, Doina Precup, and Satinder Singh. Between MDPs and semi-MDPs: A framework for temporal abstraction in reinforcement learning. *Artificial Intelligence*, 112(1):181–211, August 1999. URL https://www.sciencedirect.com/science/article/pii/S0004370299000521.
 - Vivek Veeriah, Tom Zahavy, Matteo Hessel, Zhongwen Xu, Junhyuk Oh, Iurii Kemaev, Hado P van Hasselt, David Silver, and Satinder Singh. Discovery of Options via Meta-Learned Subgoals. In *Advances in Neural Information Processing Systems*, volume 34, pp. 29861–29873. Curran Associates, Inc., 2021.
 - Alexander Vezhnevets, Volodymyr Mnih, Simon Osindero, Alex Graves, Oriol Vinyals, John Agapiou, and koray kavukcuoglu. Strategic Attentive Writer for Learning Macro-Actions. In *Advances in Neural Information Processing Systems*, volume 29. Curran Associates, Inc., 2016. URL https://papers.nips.cc/paper_files/paper/2016/hash/c4492cbe90fbdbf88a5aec486aa81ed5-Abstract.html.
 - Joery De Vries, Ken Voskuil, Thomas M. Moerland, and Aske Plaat. Visualizing MuZero Models. In *ICML 2021 Workshop on Unsupervised Reinforcement Learning*, July 2021. URL https://openreview.net/forum?id=UGkzkpAq_8.
 - Pengming Wang, Mikita Sazanovich, Berkin Ilbeyi, Phitchaya Mangpo Phothilimthana, Manish Purohit, Han Yang Tay, Ngân Vũ, Miaosen Wang, Cosmin Paduraru, Edouard Leurent, Anton Zhernov, Po-Sen Huang, Julian Schrittwieser, Thomas Hubert, Robert Tung, Paula Kurylowicz, Kieran Milan, Oriol Vinyals, and Daniel J. Mankowitz. Optimizing Memory Mapping Using Deep Reinforcement Learning, October 2023. URL http://arxiv.org/abs/2305.07440.
 - Ti-Rong Wu, Hung Guei, Pei-Chiun Peng, Po-Wei Huang, Ting Han Wei, Chung-Chin Shih, and Yun-Jui Tsai. MiniZero: Comparative Analysis of AlphaZero and MuZero on Go, Othello, and Atari Games. *IEEE Transactions on Games*, pp. 1–13, 2024. URL https://ieeexplore.ieee.org/document/10510513.
 - Weirui Ye, Shaohuai Liu, Thanard Kurutach, Pieter Abbeel, and Yang Gao. Mastering Atari Games with Limited Data. In *Advances in Neural Information Processing Systems*, volume 34, pp. 25476–25488. Curran Associates, Inc., 2021. URL https://proceedings.neurips.cc/paper/2021/hash/d5eca8dc3820cad9fe56a3bafda65ca1-Abstract.html.

A IMPLEMENTATION DETAILS

In this section, we detail our OptionZero implementation, which is built upon a publicly available MuZero framework (Wu et al., 2024).

A.1 MCTS DETAILS

The MCTS implementation mainly follows that introduced in Section 4.2, with minor details described below.

Dirichlet noise To encourage exploration, in MuZero, Dirichlet noise is applied to the root node. Similarly, in OptionZero, since option can also be executed in the environment, we apply Dirichlet noise to both primitive selection and option selection at the root node.

Default estimated Q value For primitive selection, we follow the default estimated Q value for Atari games in the framework (Wu et al., 2024) that enhances exploration:

$$\hat{Q}(s) = \begin{cases} \frac{Q_{\Sigma}(s)}{N_{\Sigma}(s)} & N_{\Sigma}(s) > 0\\ 1 & N_{\Sigma}(s) = 0, \end{cases}$$

$$\tag{7}$$

where $N_{\Sigma}(s) = \sum_b \mathbf{1}_{N(s,b)>0}$, $Q_{\Sigma}(s) = \sum_b \mathbf{1}_{N(s,b)>0} Q(s,b)$, and $\mathbf{1}_{N(s,b)>0}$ is the characteristic function that only considers primitive child nodes with non-zero visit counts.

For option selection, since the contributions of option child node are included in the statistics of its corresponding predecessor primitive child node, we use a default estimated Q value that incorporates a virtually losing outcome:

$$\hat{Q}(s) = \frac{Q(s,a) \times N(s,a)}{N(s,a) + 1},\tag{8}$$

where N(s,a) is the visit counts of the primitive child node, and Q(s,a) is the mean value of the primitive child node.

A.2 MCTS COMPLEXITY

The complexity of the modified MCTS remains the same as the original, with additional minor computational costs in introducing a new network head to predict and use the dominant option. Specifically, in the selection phase, the only added step is comparing the PUCT scores of option child nodes and primitive child nodes, as in equation 4. In the expansion phase, the option policy Ω is evaluated along with policy p and value v. Since most network weights of the option policy head are shared with the rest of the network, the impact on runtime is negligible. While more nodes are initially expanded, each simulation evaluates only one node at a time. In the backup phase, as the statistics of option edges can be easily derived from primitive edges, only the statistics of primitive edges are maintained in practice, eliminating the additional cost of updating all possible option edges.

A.3 GRIDWORLD ENVIRONMENT

The implementation is also built upon the same framework (Wu et al., 2024), with a custom Grid-World environment added. The reward of the environment is defined as follows: the initial total reward is 200 points, and for each action or option taken, one point is deducted from the reward.

A.4 NETWORK ARCHITECTURE

The network architecture follows a structure similar to MuZero. As discussed in Section 4, the option network is incorporated into the prediction network. Specifically, besides the policy head, we add additional L-1 option heads for predicting $\Omega=\{\omega_2,\omega_3,...,\omega_L\}$, initialized to predict the stop. Note that there is no need for extra prediction of ω_1 , since we can directly get the first action of the dominant option from policy head by choosing $a_1^*=\arg\max_a p(a)$. Additionally, the dynamics network is modified to simulate the environment transitions of executing both primitive actions and

options. By extending the original action input, the action sequence input to the dynamics network is encoded into a fixed number of L planes for supporting options with different lengths, with the lth plane corresponding to the lth move inside an option. Note that when l < L, the subsequent planes are set to zero, representing no moves.

Atari games We additionally adopt the state consistency (Ye et al., 2021). Therefore, the SimSiam (Chen & He, 2021) architecture is included to calculate the consistency loss.

GridWorld The network architecture generally follows the architecture tailored for Atari games. However, in the design of the representation network, we removed the down-sampling mechanism, adopting a setup similar to MuZero for board games as in Wu et al. (2024).

B TRAINING OPTIONZERO

In this section, we describe the details for training OptionZero models used in the experiments. The experiments are conducted on machines with 24 CPU cores and four NVIDIA GTX 1080 Ti GPUs. For the training configurations, we generally follow those in MuZero, where the hyperparameters are listed in Table 4.

Table 4: Hyperparameters for training.

Parameter	Atari	Grid world			
Optimizer	SGD				
Optimizer: learning rate	0.	.1			
Optimizer: momentum	0.	.9			
Optimizer: weight decay	0.00	001			
Discount factor	0.9	97			
Priority exponent (α)	1				
Priority correction (β)	0.	.4			
Bootstrap step (n-step return)	5				
MCTS simulation	50				
Softmax temperature	1				
Frames skip	4	-			
Frames stacked	4	-			
Iteration	300	400			
Training steps	60k	80k			
Batch size	512	1024			
# Blocks	2	1			
Replay buffer size	1M frames	8k games			
Max frames per episode	108k	-			
Dirichlet noise ratio	0.25	0.3			

Atari games For Atari games, each setting is trained for 3 runs on each game, with each model taking approximately 22 hours to complete. Since we introduce an additional head to predict option, the training time slightly increases as the max option length increases. For ℓ_1 , the training time is approximately 21.89 hours. For ℓ_3 and ℓ_6 , the training times increase to around 22.28 hours and 22.95 hours, representing increases of 1.8% and 4.8%, respectively. The performance is measured based on the average score of the latest 100 completed games in each run during the training (Hessel et al., 2021). The training curves are shown in Figure 6.

GridWorld In this toy example, we aim to clearly show that the length of the learned options can be extended as the training time increases. For training, we use a maximum option length L=9, fixing the goal position and selecting random starting points. As for the evaluation, we fix the starting point and the goal as shown in Figure 4. The evaluation also uses 50 MCTS simulations, and the original softmax function is replaced with max selection.

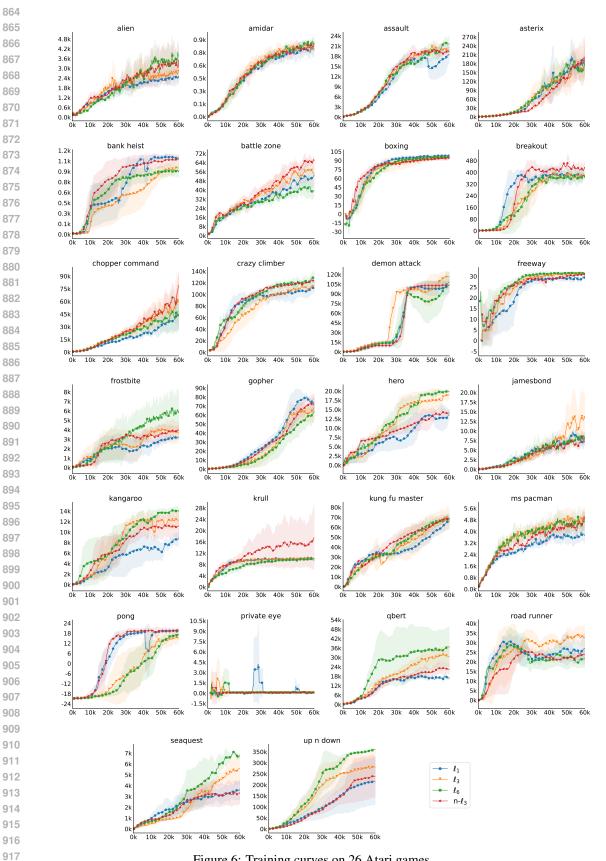


Figure 6: Training curves on 26 Atari games.

C ABLATION STUDY FOR OPTIONZERO

For the ablation study, we train OptionZero with a maximum option length L=3, but disable the execution of options in the environment, using them solely for MCTS planning, denoted as $n-\ell_3$. Since all composite actions are primitive actions, we define each o_i as $\{a_{i+1}\}$, where $a_{i+1}=\arg\max_a p_i(a)$ and train the option network according to the policy network. According to the results shown in Table 5, $n-\ell_3$ achieves a mean human-normalized score of 1008.15%, which is 85.43% higher than the baseline ℓ_1 , indicating that OptionZero still enhances MCTS planning with options without executing them. Notably, in games that require precise step-by-step predictions, such as gopher, $n-\ell_3$ outperforms ℓ_3 , indicating that planning for every step remains crucial for certain games. However, in games that benefit from bypassing unimportant frames, such as seaquest, the performance of $n-\ell_3$ is only comparable to baseline.

Table 5: Scores on 26 Atari games for the ablation study. Bold text in ℓ_3 and n- ℓ_3 indicates scores that surpass ℓ_1 .

				OptionZero	
Game	Random	Human	ℓ_1	ℓ_3	n- ℓ_3
alien	128.30	6,371.30	2,437.30	2,900.07	3,523.20
amidar	11.79	1,540.43	780.26	820.77	848.97
assault	166.95	628.89	18,389.88	19,302.04	19,378.79
asterix	164.50	7,536.00	177,128.50	188,999.00	202,183.33
bank heist	21.70	644.50	1,097.63	950.13	1,081.10
battle zone	3,560.00	33,030.00	53,326.67	53,583.33	65,660.00
boxing	-1.46	9.61	97.71	95.09	94.58
breakout	1.77	27.86	371.30	375.58	427.18
chopper command	644.00	8,930.00	43,951.67	60,181.67	79,340.33
crazy climber	9,337.00	32,667.00	110,634.00	114,390.00	122,865.67
demon attack	208.25	3,442.85	103,823.17	117,270.57	104,351.00
freeway	0.17	25.61	29.46	31.06	30.93
frostbite	90.80	4,202.80	3,183.40	3,641.10	3,923.63
gopher	250.00	2,311.00	70,985.27	68,240.60	73,338.67
hero	1,580.30	25,839.40	13,568.20	19,073.18	14,181.65
jamesbond	33.50	368.50	8,155.50	13,276.67	7,172.17
kangaroo	100.00	2,739.00	8,929.67	12,294.00	11,175.33
krull	1,151.90	2,109.10	10,255.37	10,098.83	17,420.13
kung fu master	304.00	20,786.80	66,304.67	68,528.33	67,735.67
ms pacman	197.80	15,375.05	3,695.60	4,952.37	4,762.23
pong	-17.95	15.46	19.37	15.49	20.01
private eye	662.78	64,169.07	116.83	90.76	94.71
qbert	159.38	12,085.00	17,155.50	30,748.42	22,321.75
road runner	200.00	6,878.00	26,971.33	32,786.67	23,784.67
seaquest	215.50	40,425.80	3,592.53	5,606.63	3,378.60
up n down	707.20	9,896.10	217,021.60	280,832.43	238,409.40
Normalized Mean	0.00	100.00 %	922.72 %	1054.30 %	1008.15 %
Normalized Median	0.00	100.00 %	328.40 %	391.69 %	341.19 %

D IN-DEPTH BEHAVIOR ANALYSIS

In this experiment section, we conduct detailed analysis for ℓ_3 and ℓ_6 in 26 Atari games.

D.1 OPTIONS APPLIED IN GAMES

We present the statistics in all 26 Atari games conducted for the behavior analysis in Section 5.3. Specifically, we provide the numbers of options types, option usages, proportions of options with repeated actions, and the average option lengths for ℓ_3 and ℓ_6 in Table 6 and Table 7, respectively. The columns "# $\{a\}$ " and "# $\{o\}$ " show the numbers of available primitive actions and the numbers of the options recorded during evaluation, columns "% a" and "% o" show the proportions of actions and options applied during the game, columns "% Rpt." and "% NRpt." show the proportions of options with repeated primitive actions and options with more than one action type, and column " \bar{l} " shows the average options length (including primitive action). We also provide the proportions of options with different lengths for both ℓ_3 and ℓ_6 in Table 8.

Table 6: Numbers of option types, option usages, proportions of options with repeated actions, and average option lengths for ℓ_3 in 26 Atari games.

Game	\mid # $\{a\}$	# {o}	% a	% o	% Rpt.	% NRpt.	$ar{l}$
alien	18	185	67.66%	32.34%	94.54%	5.46%	1.61
amidar	10	187	65.51%	34.49%	98.43%	1.57%	1.65
assault	7	139	78.84%	21.16%	57.20%	42.80%	1.30
asterix	9	163	92.82%	7.18%	90.74%	9.26%	1.10
bank heist	18	138	41.93%	58.07%	7.60%	92.40%	2.05
battle zone	18	217	88.89%	11.11%	95.33%	4.67%	1.18
boxing	18	240	39.53%	60.47%	50.54%	49.46%	2.12
breakout	4	64	79.00%	21.00%	84.03%	15.97%	1.32
chopper command	18	242	76.08%	23.92%	92.28%	7.72%	1.41
crazy climber	9	158	50.93%	49.07%	25.09%	74.91%	1.94
demon attack	6	153	76.70%	23.30%	88.74%	11.26%	1.38
freeway	3	30	39.20%	60.80%	95.04%	4.96%	2.19
frostbite	18	273	58.10%	41.90%	94.14%	5.86%	1.81
gopher	8	325	51.44%	48.56%	68.60%	31.40%	1.88
hero	18	346	81.72%	18.28%	95.40%	4.60%	1.34
jamesbond	18	376	51.34%	48.66%	67.24%	32.76%	1.90
kangaroo	18	230	29.84%	70.16%	70.54%	29.46%	2.36
krull	18	182	67.72%	32.28%	54.58%	45.42%	1.54
kung fu master	14	536	38.48%	61.52%	70.51%	29.49%	2.15
ms pacman	9	181	65.01%	34.99%	94.70%	5.30%	1.67
pong	6	159	24.57%	75.43%	76.98%	23.02%	2.47
private eye	18	233	93.60%	6.40%	78.74%	21.26%	1.08
gbert	6	105	50.04%	49.96%	97.84%	2.16%	1.97
road runner	18	144	85.95%	14.05%	65.92%	34.08%	1.23
seaquest	18	340	74.88%	25.12%	70.92%	29.08%	1.38
up n down	6	116	52.06%	47.94%	88.89%	11.11%	1.91
Average	-	-	62.38%	37.62%	75.94%	24.06%	1.69

Table 7: Numbers of option types, option usages, proportions of options with repeated actions, and average option lengths for ℓ_6 in 26 Atari games.

Game	# {a}	# {0}	% a	% o	% Rpt.	% NRpt.	Ī
alien	18	411	69.28%	30.72%	94.13%	5.87%	2.30
amidar	10	318	67.62%	32.38%	97.19%	2.81%	2.22
assault	7	367	78.71%	21.29%	58.78%	41.22%	1.35
asterix	9	199	92.68%	7.32%	86.80%	13.20%	1.10
bank heist	18	588	48.17%	51.83%	11.88%	88.12%	2.28
battle zone	18	513	91.54%	8.46%	95.21%	4.79%	1.24
boxing	18	568	48.46%	51.54%	40.29%	59.71%	2.77
breakout	4	132	81.21%	18.79%	85.92%	14.08%	1.28
chopper command	18	351	82.75%	17.25%	87.56%	12.44%	1.40
crazy climber	9	724	60.69%	39.31%	26.15%	73.85%	2.58
demon attack	6	301	82.64%	17.36%	88.16%	11.84%	1.34
freeway	3	118	45.38%	54.62%	90.66%	9.34%	3.45
frostbite	18	708	66.80%	33.20%	86.23%	13.77%	2.45
gopher	8	692	56.12%	43.88%	64.71%	35.29%	2.01
hero	18	576	89.58%	10.42%	85.05%	14.95%	1.39
jamesbond	18	735	66.08%	33.92%	86.88%	13.12%	2.30
kangaroo	18	718	40.00%	60.00%	64.44%	35.56%	3.43
krull	18	679	60.31%	39.69%	45.89%	54.11%	2.07
kung fu master	14	1386	53.09%	46.91%	53.40%	46.60%	2.53
ms pacman	9	219	77.13%	22.87%	94.95%	5.05%	1.77
pong	6	741	36.82%	63.18%	61.09%	38.91%	3.71
private eye	18	488	97.04%	2.96%	77.05%	22.95%	1.06
qbert	6	450	62.79%	37.21%	92.84%	7.16%	2.52
road runner	18	225	96.19%	3.81%	90.55%	9.45%	1.10
seaquest	18	621	82.41%	17.59%	76.38%	23.62%	1.38
up n down	6	226	71.65%	28.35%	84.88%	15.12%	1.84
Average	-	-	69.43%	30.57%	74.12%	25.88%	2.03

Table 8: Proportions of options with different lengths for ℓ_3 and ℓ_6 in 26 Atari games.

Game		ℓ_3				ℓ_6			
Game	% 1	% 2	% 3	% 1	% 2	% 3	% 4	% 5	%
alien	67.66%	4.03%	28.31%	69.28%	4.24%	1.47%	0.97%	0.60%	23.449
amidar	65.51%	3.52%	30.97%	67.62%	7.09%	2.36%	1.71%	0.60%	20.619
assault	78.84%	11.85%	9.30%	78.71%	11.74%	6.61%	1.90%	0.63%	0.429
asterix	92.82%	4.02%	3.17%	92.68%	5.99%	0.62%	0.29%	0.13%	0.289
bank heist	41.93%	11.46%	46.62%	48.17%	20.37%	10.52%	6.66%	4.31%	9.969
battle zone	88.89%	4.34%	6.77%	91.54%	3.16%	1.33%	0.53%	0.22%	3.239
boxing	39.53%	8.56%	51.91%	48.46%	13.74%	6.25%	2.73%	1.77%	27.059
breakout	79.00%	9.96%	11.04%	81.21%	13.30%	3.81%	0.77%	0.29%	0.639
chopper command	76.08%	7.09%	16.83%	82.75%	9.00%	2.16%	1.08%	1.32%	3.709
crazy climber	50.93%	4.63%	44.44%	60.69%	6.37%	3.30%	1.44%	0.71%	27.499
demon attack	76.70%	8.23%	15.07%	82.64%	9.64%	3.46%	1.70%	0.69%	1.879
freeway	39.20%	2.61%	58.19%	45.38%	4.93%	1.91%	0.98%	0.50%	46.299
frostbite	58.10%	2.83%	39.08%	66.80%	3.29%	1.67%	0.92%	0.63%	26.709
gopher	51.44%	9.24%	39.32%	56.12%	22.73%	6.51%	3.45%	1.56%	9.649
hero	81.72%	2.46%	15.82%	89.58%	2.57%	0.66%	0.24%	0.17%	6.789
jamesbond	51.34%	7.10%	41.55%	66.08%	6.68%	3.14%	1.27%	0.99%	21.839
kangaroo	29.84%	4.47%	65.68%	40.00%	8.47%	4.77%	3.47%	1.51%	41.789
krull	67.72%	10.98%	21.30%	60.31%	12.44%	9.58%	5.16%	2.45%	10.069
kung fu master	38.48%	7.74%	53.77%	53.09%	14.46%	5.03%	3.53%	1.60%	22.289
ms pacman	65.01%	2.93%	32.07%	77.13%	7.24%	2.22%	0.86%	0.25%	12.319
pong	24.57%	3.74%	71.69%	36.82%	6.98%	3.08%	3.04%	1.84%	48.249
private eye	93.60%	4.67%	1.73%	97.04%	1.84%	0.45%	0.11%	0.07%	0.499
qbert	50.04%	2.62%	47.34%	62.79%	4.75%	3.52%	1.62%	0.82%	26.50
road runner	85.95%	5.41%	8.64%	96.19%	1.69%	0.49%	0.27%	0.13%	1.239
seaquest	74.88%	12.27%	12.85%	82.41%	9.62%	2.79%	1.07%	0.66%	3.459
up n down	52.06%	5.16%	42.77%	71.65%	9.96%	3.85%	2.70%	1.33%	10.50
Average	62.38%	6.23%	31.39%	69.43%	8.55%	3.52%	1.86%	0.99%	15.64

In addition, we observe other examples of using options in games, which are introduced as follows. The sample videos of 26 games are provided in the supplementary material, in which, for each frame, the video prints the applied move (can be either action or option); additionally, if the planning suggests an option, it is printed below the applied move.

ms pacman Many options contain only repeated actions, e.g., *UL-UL-UL*.² Such a repetition is discovered since the game supports multi-direction control, allowing the agent to simply use the repeated *UL* to go through an L-shape passage without requiring options such as *L-U-U*.

seaquest The game also supports multi-direction control, allowing the agent to use *URF-URF* for moving with firing simultaneously.³

 $^{^{1}}L$ and R for turning left and right, D for squatting down, and F for attacking.

 $^{^{2}}U, D, L$, and R for moving up, down, left, and right in the top view, respectively.

 $^{^{3}}U$ and R for moving up and right, F for firing.

D.2 LEARNING PROCESS OF OPTIONS

In this experiment section, we show an example to illustrate how longer options are discovered during the training process, using an example of options involving only R in ms pacman, shown in Figure 7. In the 1st iteration, there are only primitive actions, where R is the most frequently used (only 9 primitive actions). Therefore, the agent begins using R with increased frequency, and the usage even exceeds 80% in the 3rd iteration. Meanwhile, due to the high usage, the model starts learning options involving more R. The options R-R and R-R-R are therefore becoming the majority in the 4th and the 6th iteration, respectively. Eventually, the agent explores other actions, thus making the R-related options suddenly decrease after the 7th iteration. Note that in different training trials, as the agent initially explores randomly, the first option learned does not consistently involve R. Depending on how the agent explores the primitive actions, options involving various combinations of actions are discovered at different stages of the training process.

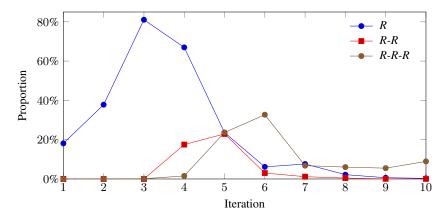


Figure 7: Distribution of options R, R-R, and R-R-R at the beginning of learning ms pacman.

D.3 OPTIONS IN THE SEARCH TREE

In this experiment section, we present the detailed statistics in all 26 Atari games conducted for the behavior analysis in Section 5.4. Specifically, we provide the detailed proportions of options inside the search in Table 9, and the detailed tree depths in Table 10. In Table 9, the columns "% Env." and "% MCTS" represent the proportions of options applied to the environments and the options suggested by the MCTS process. Note that due to softmax selection, the options suggested by the tree, "% MCTS", are not always applied, resulting in a lower "% Env.". Nevertheless, if the suggested options have higher visit counts, they are more likely to be applied, such as in *crazy climber*, *freeway*, and *kung fu master*, where options are well-trained for specific purposes, like climbing, crossing the road, and attacking with an uppercut, respectively, and therefore have higher probabilities of application. Also, the columns "% in Tree" and "% in Sim." represent the proportions of the search tree that contains at least one option (in its 50 simulations) and the proportions of simulations whose selection path contains an option. In Table 10, the columns "Avg" and "Max" represent the average and the maximum search tree depths; the columns " P_{25} ", " P_{50} ", and " P_{75} " represent the 25th, 50th, and 75th percentiles. Based on the P_{50} and P_{75} depths, we observe that the search is not deep in most cases, implying that the models learn to perform deep searches only in certain states.

Table 9: Proportions of options in search tree for ℓ_3 and ℓ_6 in 26 Atari games.

Game			ℓ_3				ℓ_6	
Game	% Env.	% MCTS	% in Tree	% in Sim.	% Env.	% MCTS	% in Tree	% in Sim.
alien	32.34%	52.05%	99.81%	35.85%	30.72%	54.92%	96.80%	24.13%
amidar	34.49%	52.26%	90.68%	31.95%	32.38%	48.54%	90.17%	26.82%
assault	21.16%	33.99%	82.15%	11.93%	21.29%	35.45%	90.45%	11.95%
asterix	7.18%	15.02%	63.52%	7.11%	7.32%	17.19%	51.50%	4.99%
bank heist	58.07%	74.56%	99.45%	33.10%	51.83%	73.85%	97.71%	22.65%
battle zone	11.11%	30.41%	83.91%	14.91%	8.46%	26.67%	80.12%	9.89%
boxing	60.47%	77.80%	99.09%	40.69%	51.54%	73.50%	98.70%	33.12%
breakout	21.00%	43.77%	90.74%	15.52%	18.79%	49.61%	90.37%	13.65%
chopper command	23.92%	41.11%	88.99%	21.96%	17.25%	33.43%	90.46%	15.90%
crazy climber	49.07%	67.94%	98.69%	39.96%	39.31%	59.56%	98.53%	37.09%
demon attack	23.30%	36.21%	93.16%	16.04%	17.36%	29.88%	82.75%	11.65%
freeway	60.80%	86.08%	99.11%	43.83%	54.62%	74.97%	98.75%	38.75%
frostbite	41.90%	65.81%	97.58%	41.18%	33.20%	61.49%	96.33%	30.52%
gopher	48.56%	63.83%	99.17%	25.33%	43.88%	65.21%	99.37%	20.31%
hero	18.28%	39.68%	74.43%	20.07%	10.42%	26.64%	62.88%	11.60%
jamesbond	48.66%	67.76%	99.16%	39.10%	33.92%	52.62%	97.87%	27.33%
kangaroo	70.16%	86.86%	99.64%	55.49%	60.00%	78.64%	99.69%	44.32%
krull	32.28%	54.00%	99.04%	27.34%	39.69%	69.86%	99.79%	28.64%
kung fu master	61.52%	80.42%	99.62%	41.06%	46.91%	68.90%	99.80%	30.53%
ms pacman	34.99%	56.34%	96.90%	30.82%	22.87%	39.34%	95.10%	21.84%
pong	75.43%	86.98%	99.46%	54.27%	63.18%	77.40%	99.48%	43.50%
private eye	6.40%	27.84%	67.01%	7.61%	2.96%	14.88%	44.25%	5.44%
qbert	49.96%	72.41%	99.16%	38.83%	37.21%	59.20%	93.98%	29.35%
road runner	14.05%	28.43%	62.98%	12.36%	3.81%	8.70%	33.76%	3.99%
seaquest	25.12%	44.43%	95.88%	16.88%	17.59%	31.77%	92.72%	13.66%
up n down	47.94%	66.05%	97.74%	29.12%	28.35%	44.52%	93.03%	17.53%
Average	37.62%	55.85%	91.43%	28.94%	30.57%	49.11%	87.48%	22.28%

Table 10: Tree depths for $\ell_1,\,\ell_3,$ and ℓ_6 in 26 Atari games.

	1		ℓ_1			l I		ℓ_3					ℓ_6		
Game	Avg	P_{25}	$\stackrel{\ell_1}{P_{50}}$	P_{75}	Max	Avg	P_{25}	$\stackrel{\scriptstyle{\ell_3}}{P_{50}}$	P_{75}	Max	Avg	P_{25}	$\stackrel{\scriptstyle{\ell_6}}{P_{50}}$	P_{75}	Max
alien	17.99	10	14	26	50	24.19	13	20	31	123	29.61	13	22	42	259
amidar	13.17	8	11	16	49	22.62	10	20	32	135	31.43	10	22	43	276
assault	9.86	7	9	12	45	8.81	6	8	11	78	9.30	7	9	11	127
asterix	10.39	6	7	11	49	7.83	5	7	9	100	7.15	5	6	8	102
bank heist	13.77	12	13	15	49	17.10	14	17	20	88	16.22	12	15	19	114
battle zone	16.70	8	13	24	49	11.36	6	9	13	105	10.93	6	8	12	144
boxing	15.13	11	15	18	46	27.96	15	23	37	107	38.43	14	23	54	187
breakout	11.86	7	11	15	43	10.64	7	9	13	90	9.49	7	9	- 11	83
chopper command	12.03	7	10	15	49	15.58	8	12	20	141	13.13	7	10	16	228
crazy climber	17.90	11	17	24	50	36.12	15	34	51	147	61.50	15	51	101	288
demon attack	9.52	6	8	11	49	11.17	7	10	13	135	9.88	6	8	12	222
freeway	15.03	10	14	19	48	27.56	15	21	35	135	44.50	17	34	60	210
frostbite	23.28	12	19	34	50	31.05	15	25	41	147	44.56	12	21	55	294
gopher	12.98	10	13	15	46	17.01	12	16	21	114	15.16	11	14	18	145
hero	22.30	10	19	33	50	17.06	6	10	21	147	14.80	5	7	13	276
jamesbond	20.28	10	17	29	50	25.91	14	25	35	138	28.77	13	24	41	246
kangaroo	17.89	11	16	23	49	39.29	25	39	52	150	46.69	24	40	62	223
krull	10.29	7	9	12	48	15.24	9	13	19	64	21.65	12	17	22	204
kung fu master	15.83	12	15	19	49	26.10	19	26	32	84	27.27	16	26	36	138
ms pacman	14.87	8	12	20	49	21.51	10	17	30	135	23.58	9	15	31	169
pong	23.21	15	23	31	50	50.28	28	48	67	144	67.51	19	60	102	264
private eye	10.61	4	6	16	50	8.22	5	7	10	129	6.22	2	5	8	168
qbert	13.09	7	11	17	48	26.83	15	25	36	138	38.31	13	30	59	228
road runner	8.17	4	5	10	49	10.14	5	7	10	120	6.61	4	5	6	139
seaquest	10.94	8	10	12	49	12.34	8	10	13	114	11.43	7	9	13	115
up n down	10.40	7	10	13	49	17.42	11	16	22	150	13.91	9	13	18	288
Average	14.52	8.77	12.58	18.85	48.54	20.74	11.65	18.23	26.69	121.46	24.92	10.58	19.35	33.58	197.58

Table 11: Prediction accuracy between options and environmental actions for ℓ_3 and ℓ_6 in 26 Atari games.

	1	ℓ_3				P	6		
Game	0-step	1-step	2-step	0-step	1-step	2-step	3-step	4-step	5-step
alien	73.43%	81.24%	66.76%	68.84%	75.00%	61.55%	56.89%	53.50%	51.49%
amidar	75.55%	81.32%	71.78%	78.02%	82.90%	62.28%	55.19%	50.08%	48.32%
assault	76.61%	83.70%	35.49%	76.55%	83.98%	37.41%	11.58%	3.94%	1.48%
asterix	64.41%	71.68%	29.46%	63.54%	69.28%	13.08%	7.10%	4.16%	2.59%
bank heist	91.84%	93.82%	72.82%	90.59%	91.42%	55.11%	35.85%	23.09%	15.64%
battle zone	59.31%	68.10%	35.97%	53.03%	62.06%	33.77%	22.97%	18.79%	16.86%
boxing	87.66%	92.72%	76.38%	85.41%	89.93%	64.36%	51.47%	45.70%	41.32%
breakout	65.61%	72.96%	33.90%	58.44%	65.56%	19.29%	5.35%	2.77%	1.80%
chopper command	71.11%	76.93%	51.90%	69.16%	74.82%	33.97%	23.98%	19.02%	13.41%
crazy climber	84.24%	91.40%	77.09%	83.95%	89.90%	67.90%	57.60%	53.24%	50.56%
demon attack	76.62%	84.59%	53.52%	73.07%	81.17%	35.98%	19.92%	12.17%	8.97%
freeway	76.15%	85.47%	83.13%	80.20%	88.91%	80.89%	77.34%	75.54%	74.57%
frostbite	73.53%	80.95%	75.86%	68.05%	75.05%	67.44%	62.74%	59.82%	57.79%
gopher	85.18%	90.50%	71.23%	80.08%	86.74%	41.73%	28.23%	20.99%	17.57%
hero	60.77%	69.45%	56.73%	60.65%	67.48%	47.38%	41.79%	39.61%	37.74%
jamesbond	80.04%	85.72%	70.20%	76.12%	82.04%	63.76%	55.58%	52.27%	49.35%
kangaroo	88.71%	91.89%	84.32%	86.98%	90.48%	76.48%	68.02%	61.90%	59.13%
krull	73.75%	81.45%	49.56%	75.78%	82.41%	57.58%	35.83%	24.81%	19.37%
kung fu master	85.54%	90.36%	77.14%	84.72%	89.95%	58.19%	47.24%	39.70%	36.20%
ms pacman	71.76%	78.05%	68.81%	70.02%	79.43%	49.92%	40.89%	37.39%	36.39%
pong	90.04%	94.63%	89.50%	86.81%	92.52%	80.49%	74.85%	69.73%	66.69%
private eye	53.14%	61.30%	18.11%	47.93%	61.86%	21.19%	12.62%	9.67%	7.98%
qbert	76.17%	82.71%	79.03%	75.21%	83.02%	72.12%	63.94%	59.87%	57.88%
road runner	68.14%	71.35%	39.60%	65.75%	73.07%	34.80%	26.09%	21.45%	19.21%
seaquest	76.62%	82.36%	37.48%	74.19%	80.98%	35.47%	21.45%	15.91%	12.74%
up n down	80.72%	88.11%	77.24%	77.96%	87.10%	56.07%	43.43%	34.44%	30.06%
Average	75.64%	82.03%	60.89%	73.50%	80.27%	51.09%	40.31%	34.98%	32.12%

D.4 PREDICTION ACCURACY OF OPTIONS

In this experiment, we check how the options suggested by the MCTS process predict future actions. For example, if an option R-R-R is suggested by the search at time t, we calculate the prediction accuracy using the recorded actions a_t , a_{t+1} , and a_{t+2} . The results for both ℓ_3 and ℓ_6 are shown in Table 11. As expected, the prediction accuracy decreases as the number of steps increases. Interestingly, the accuracy of the 1-step is higher than that of the 0-step. We assume this phenomenon is caused by softmax selection for options with repeated primitive actions. For example, the agent may apply U than the suggested options R-R-R. When this happens, at step t+1, there is likely a much higher probability of acting R again to prompt the original decision. On the other hand, the prediction accuracy of ℓ_6 is generally lower than those of ℓ_3 at the same step number. It is hypothesized that increasing the maximum option length also increases the difficulty of training prediction and dynamics networks, thereby lowering the accuracy. To summarize, this observation verifies that the learned options closely correspond to the probabilities of choosing primitive actions.

D.5 TOP FREQUENTLY USED OPTIONS

 Finally, we investigate the distribution of frequently used options for each game. The accumulated usages are summarized in Table 12. Additionally, we extract the top 3 frequently used options for both ℓ_3 and ℓ_6 in Table 13 and Table 14. Generally speaking, the distribution of frequently used options is similar between ℓ_3 and ℓ_6 , where the distribution is highly unbalanced, with the top 25% options accounting for over 95% of the usage. The most extreme case occurs in *freeway*, where the top 1% (options with repeated U) takes a proportion of more than 80%. On the other hand, for *crazy climber* and *ms pacman*, the top 1% usages have both been significantly increased from ℓ_3 to ℓ_6 . However, the increase in usage does not correlate to the performance, as ℓ_6 outperforms ℓ_3 in *crazy climber* but underperforms in *ms pacman*, as shown in Table 1. Notably, we observe that from ℓ_3 to ℓ_6 , the numbers of discovered options only increase by a factor of 2.43 on average, implying that there are not so many options practical for the planning. This analysis demonstrates that a small proportion of frequently used options play important roles in gameplay, and the number of discovered options will not grow excessively when relaxing the limitation of maximum option length.

Table 12: Accumulated usages of the frequently used options for ℓ_3 and ℓ_6 in 26 Atari games.

	l I		ℓ_3		l		ℓ_6	
Game	Top 1%	Top 5%	Top 10%	Top 25%	Top 1%	Top 5%	Top 10%	Top 25%
alien	21.67%	74.01%	89.35%	98.64%	53.56%	81.47%	90.76%	98.15%
amidar	41.82%	91.33%	98.26%	99.50%	49.67%	83.14%	94.95%	99.23%
assault	29.76%	70.26%	85.88%	97.12%	45.96%	81.53%	91.81%	98.31%
asterix	30.27%	75.00%	90.57%	97.38%	36.46%	77.98%	88.22%	97.86%
bank heist	22.46%	50.28%	71.80%	96.60%	20.68%	54.44%	70.90%	90.26%
battle zone	31.80%	74.53%	95.23%	99.31%	41.56%	78.69%	91.88%	98.58%
boxing	39.55%	59.41%	71.47%	89.90%	29.40%	59.22%	73.56%	90.43%
breakout	23.33%	61.10%	79.71%	95.22%	39.69%	75.29%	88.13%	97.98%
chopper command	40.51%	90.41%	96.61%	99.33%	35.91%	75.72%	91.05%	99.05%
crazy climber	18.00%	52.19%	73.53%	95.66%	52.62%	82.73%	91.65%	98.26%
demon attack	36.88%	81.62%	91.80%	97.69%	46.19%	82.89%	92.90%	98.65%
freeway	87.63%	91.25%	93.94%	97.71%	81.13%	89.75%	94.46%	98.51%
frostbite	58.73%	90.74%	96.43%	99.21%	62.58%	86.18%	93.24%	98.26%
gopher	58.17%	77.73%	86.00%	96.81%	46.93%	83.74%	92.58%	98.51%
hero	57.14%	91.52%	96.54%	99.17%	52.72%	83.91%	91.80%	97.92%
jamesbond	51.74%	85.34%	93.23%	98.43%	55.25%	84.53%	93.46%	98.36%
kangaroo	43.29%	71.76%	81.93%	94.30%	46.48%	74.34%	85.05%	95.85%
krull	23.50%	56.37%	74.32%	93.80%	21.42%	54.80%	73.48%	92.43%
kung fu master	48.52%	79.10%	89.64%	97.27%	46.32%	75.97%	86.49%	96.42%
ms pacman	28.04%	88.11%	96.09%	99.22%	41.82%	75.41%	90.17%	98.02%
pong	59.22%	80.07%	87.07%	95.88%	49.13%	75.00%	86.40%	96.14%
private eye	37.06%	68.97%	84.19%	97.25%	33.63%	69.21%	81.91%	94.19%
qbert	53.07%	94.42%	97.80%	99.69%	67.30%	91.71%	96.82%	99.30%
road runner	28.77%	80.77%	90.97%	98.11%	42.47%	78.51%	89.48%	97.43%
seaquest	29.84%	67.32%	80.34%	94.60%	36.79%	73.06%	86.27%	96.69%
up n down	62.27%	85.91%	94.45%	98.97%	53.55%	86.24%	94.25%	99.14%
Average	40.89%	76.52%	87.97%	97.18%	45.74%	77.52%	88.53%	97.07%

Table 13: The top 3 frequently used options with their total share for ℓ_3 in 26 Atari games.

Game	Top 1	Top 2	Top 3	%
alien	UR-UR-UR	LF-LF-LF	UL-UL-UL	10.01%
amidar	LF-LF-LF	R-R-R	DF-DF-DF	18.07%
assault	R-R	L-L	R-R-R	9.07%
asterix	U-U-U	D-D	UL-UL	2.79%
bank heist	L-R-L	L-UR-L	DL-UR-DL	16.79%
battle zone	DF-DF-DF	DRF-DRF-DRF	LF-LF-LF	3.53%
boxing	R-R-R	DR-DR-DR	DRF-DRF-DRF	23.92%
breakout	N-N-N	N-N	L-L-L	10.52%
chopper command	DF-DF-DF	UF-UF-UF	ULF-ULF-ULF	9.69%
crazy climber	D-D-U	U-U-U	U-U-D	12.16%
demon attack	R-R-R	L-L-L	LF-LF-LF	10.77%
freeway	U-U-U	N-N-N	U-U	57.12%
frostbite	D-D-D	UR-UR-UR	DR-DR-DR	24.61%
gopher	L-L-L	R-R-R	RF-RF-RF	26.55%
hero	R-R-R	DLF-DLF-DLF	LF-LF-LF	8.11%
jamesbond	UR-UR-UR	L-DLF-L	L-L-L	21.31%
kangaroo	D-D-D	F-F-F	LF-LF-LF	30.37%
krull	DL-DL-DL	UR-UR-UR	DL-DL	10.17%
kung fu master	DR-DR-DR	DLF-DLF-DLF	ULF-ULF-ULF	20.89%
ms pacman	UL-UL-UL	DL-DL-DL	UR-UR-UR	14.52%
pong	LF-LF-LF	F-F-F	N-N-N	48.94%
private eye	URF-URF	L-L-L	L-L	2.37%
qbert	D-D-D	R-R-R	L-L-L	36.60%
road runner	DLF-DLF-DLF	UL-UL-UL	DL-DL-DL	5.82%
seaquest	DF-DF-DF	DLF-DLF-DLF	DLF-DLF	6.12%
up n down	U-U-U	UF-UF-UF	DF-DF-DF	34.05%

Table 14: The top 3 frequently used options with their total share for ℓ_6 in 26 Atari games.

Game	Top 1	Top 2	Top 3	%
alien	DR-DR-DR-DR-DR	URF-URF-URF-URF-URF	LF-LF-LF-LF-LF	13.52%
amidar	LF-LF-LF-LF-LF	UF-UF-UF-UF-UF	RF-RF-RF-RF-RF	13.30%
assault	R-R	L-L	U-L-L	8.35%
asterix	UL-UL	UR-UR	D-D	3.49%
bank heist	DR-L	UR-L	R-DL	6.77%
battle zone	DF-DF-DF-DF-DF	UL-UL-UL-UL-UL	U-U	2.43%
boxing	DR-DR-DR-DR-DR	DR-DR	N-N-N-N-N	9.83%
breakout	F-F	N-N	L-L	9.75%
chopper command	UF-UF	DF-DF	DLF-DLF-DLF-DLF-DLF	5.29%
crazy climber	U-U-DL-DL-DL-U	UL-UL-DR-DL-DL-UL	U-U-DL-DL-DR-U	14.20%
demon attack	RF-RF	L-L	LF-LF	6.60%
freeway	U-U-U-U-U	U-U	D-U-U-U-U	45.91%
frostbite	F-F-F-F-F	DF-DF-DF-DF-DF	DRF-DRF-DRF-DRF-DRF	14.63%
gopher	L-L	R-R	R-R-R-R-R	11.25%
hero	DLF-DLF-DLF-DLF-DLF	RF-RF-RF-RF-RF	DRF-DRF-DRF-DRF-DRF	3.65%
jamesbond	DL-DL-DL-DL-DL	LF-LF-LF-LF-LF	UR-UR-UR-UR-UR	11.66%
kangaroo	R-R-R-R-R	L-L-L-L-L	DL-DL-DL-DL-DL	17.11%
krull	UR-UR-UR-UR-UR	DL-DL	L-L-L-L-L	4.40%
kung fu master	DR-DR-DLF-DR-DR	L-L-L-L-L	URF-URF-URF-URF-URF	7.57%
ms pacman	UL-UL-UL-UL-UL	UL-UL	DR-DR-DR-DR-DR	9.56%
pong	RF-RF-RF-RF-RF	R-R-R-R-R	LF-LF-LF-LF-LF	24.58%
private eye	URF-URF	ULF-ULF	DF-DF-DF-DF-DF	0.78%
qbert	F-F-F-F-F	R-R-R-R-R	U-U-U-U-U	21.94%
road runner	ULF-ULF-ULF-ULF-ULF	L-L	L-L-L-L-L	1.62%
seaquest	DLF-DLF	DRF-DRF	DLF-DLF-DLF-DLF-DLF	3.30%
up n down	U-U-U-U-U	DF-DF	U-U	15.18%