FLEXBCQ: FLEXIBLE BINARY-CODING QUANTIZATION FOR LARGE LANGUAGE MODELS

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ABSTRACT

How can we compress large language models without compromising accuracy? Quantization, which reduces the number of bits for representing weights, is an essential technique to utilize large language models (LLMs) in real-world applications. Specifically, binary-coding quantization (BCQ) is a promising approach since it has extensive representation space, which encompasses the representation space of uniform quantization (UQ), and fast inference speed. However, because of the lack of accurate optimization techniques, BCQ shows inferior performance compared to UQ algorithms, failing to leverage their powerful expressive power. In this paper, we propose FLEXBCQ (FLEXible Binary-Coding Quantization), an accurate optimization algorithm for BCQ. We leverage the sophisticated optimization techniques of UQ by decomposing the quantization process of BCQ into the composition of a UQ and an inner BCQ. As a result, we take advantage of both the sophisticated optimizing techniques of UQ, specifically the flexible mapping technique, and the powerful expressive capability of BCQ. Through extensive experiments, we find that FLEXBCO provides 3.24%p higher accuracy than existing UQ and BCQ algorithms on MMLU 5-shot benchmark when quantizing a Llama-3 70B model into 3 bits.

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1 INTRODUCTION

How can we compress large language models without compromising accuracy? Reducing the size 031 of large language models (LLMs) (Brown et al., 2020; Touvron et al., 2023; Dubey et al., 2024) is crucial for deploying LLMs in real-world applications, as their gigantic size makes deployment 033 challenging. Quantization (Xu et al., 2018; Kwon et al., 2022; Dettmers et al., 2022; Xiao et al., 034 2023; Lee et al., 2023; Lin et al., 2024) is a technique used to compress LLMs by reducing the number of bits needed to represent their weights. It reduces the bit count by representing the model's 035 weights as a smaller set of values, namely, quantization levels. For example, 3-bit quantization uses 036 2^3 distinct values to represent all the weights in the model. It is essential to use a quantization 037 scheme that aligns well with the distribution of the model's weights, such as uniform quantization (UQ) (Lee et al., 2023; Lin et al., 2024; Liu et al., 2024) and binary-coding quantization (BCQ) (Xu et al., 2018; Kwon et al., 2022), to maximize the accuracy of a quantized model. 040

Uniform quantization (UQ) is a scheme that has evenly-spaced quantization levels. Figure 1(a) illus-041 trates the quantization process of Round-to-Nearest (RTN) which maps a weight w_0 to the nearest 042 quantization level q_3 . RTN provides an optimal mapping for approximating weight itself, but if 043 we consider the distribution of inputs, other mapping strategies encompassing farther quantization 044 levels exhibit better accuracy (Nagel et al., 2020; Lee et al., 2023). FlexRound (b) achieves out-045 standing performance through its flexible mapping which allows weights to explore diverse quanti-046 zation levels flexibly and map the weights to the quantization levels that maximize the accuracy of 047 quantized models. On the other hand, binary-coding quantization (BCQ) is a scheme that has non-048 uniform quantization levels. Figure 1(c) illustrates the quantization process of Alternating update approach (Xu et al., 2018), a representative BCQ algorithm. As shown in the figure, BCQ adapts its non-uniform quantization levels close to the weight and reduces quantization errors which rep-051 resents the distance between the weight and the mapped quantization level. Additionally, a recent study (Park et al., 2024) has introduced a fast inference kernel that supports BCQ and UQ at the 052 same speed, providing faster inference speed than conventional UQ kernels (Frantar et al., 2023; Lin et al., 2024). This advancement positions BCQ as a promising quantization scheme, excelling



Figure 1: Comparison of quantization processes of RTN, FlexRound, Alternating, and FLExBCQ.
 FLEXBCQ leverages the useful optimization technique of UQ (flexible mapping) and the powerful expressive capability of BCQ (adaptive quantization levels), resulting in effective quantization.

in expressive power and inference speed. However, because of the lack of accurate quantization al gorithms designed for BCQ, BCQ provides significantly lower accuracy than UQ despite its strong
 expressive power.

067 In this paper, we propose FLEXBCQ, an accurate optimization algorithm for BCQ. We decompose 068 BCQ's quantization process as the composition of UQ and inner BCQ to leverage the advanced 069 optimization techniques of UQ. This approach enables flexible mapping through its UQ component and adaptive quantization levels via inner BCQ, combining the strengths of both schemes, as 071 shown in Figure 1 (d). We propose Unified Initialization technique which integrates the initializa-072 tion methods of UQ and BCQ to initialize the quantization parameters of FLEXBCQ. We optimize the quantization parameters of FLEXBCQ on a small sample dataset by reconstructing blockwise 073 outputs of quantized models. We propose novel optimization techniques such as Gradient Filtering 074 and Periodic Remapping to maximize the accuracy of FLEXBCQ. Finally, through Composition 075 Theorem (Theorem 1), we prove that the decomposed quantization process is able to merge back 076 into a single BCQ after optimization, showing that FLEXBCQ maintains the fast inference speed 077 of BCQ. Through extensive experiments with diverse models on various benchmarks, we find that 078 FLEXBCO shows up to 3.24% p higher accuracy than existing UO and BCO algorithms on MMLU 079 5-shot benchmark when quantizing a Llama-3 70B model into 3 bits.

- We summarize the main contributions of this paper as follows:
 - Algorithm. We propose FLEXBCQ, an accurate BCQ algorithm which exploits both sophisticated optimization techniques of UQ algorithms and the powerful expressive capability of BCQ algorithms. We propose useful techniques for optimizing BCQ models that effectively enhance the accuracy of quantized models. To the best of our knowledge, this is the first work that transfers UQ's useful optimization technique to optimize BCQ.
 - Experiments. We conduct exhaustive experiments to verify the performance of FLEXBCQ. FLEXBCQ shows 3.24%p higher accuracy than existing UQ and BCQ algorithms on MMLU 5-shot benchmark when quantizing a Llama-3 70B model into 3 bits.
 - Analysis. We analyze the quantized models generated by FLEXBCQ and demonstrate that FLEXBCQ successfully takes advantage of FlexRound's flexible mapping and binary-coding quantization's adaptive quantization levels, as we intended.

The rest of this paper is organized as follows. We formally define LLM quantization problem and provide preliminaries in Section 2. We propose FLEXBCQ in Section 3 and show our experimental results in Section 4. After introducing related works in Section 5, we conclude.

096 2 PRELIMINARY

098 2.1 LLM QUANTIZATION PROBLEM

We have an accurate LLM f, a desired bit-width k, and a sample dataset \mathbb{D} of input token sequences. Our goal is to find an accurate k-bit quantized model $\hat{f}_{(k)}$. In this paper, we focus on uniform quantization (UQ) and binary-coding quantization (BCQ) which are supported by a fast inference kernel, LUT-GEMM Park et al. (2024). We directly compare the accuracies of UQ and BCQ algorithms since they exhibit the same inference speed with LUT-GEMM when they have the same bit width.

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- 2.2 UNIFORM AND BINARY-CODING QUANTIZATIONS
- In LLM quantization, we gather a small number of weights as a group and assign quantization levels for each group to maximize the accuracy of quantized models. Given a weight group $w \in \mathbb{R}^g$ of g



Figure 2: 2-bit quantization processes of UQ and BCQ. (a) UQ begins with clipping process, then quantize w into $\hat{w}_{(2)}$ through the sequential process of (1) Transformation (\mathcal{T}), (2) Mapping ($\mathcal{M}_{\mathcal{U}}$), and (3) Detransformation (\mathcal{D}). (b) BCQ begins with adapting its quantization levels, then quantizing w into $\hat{w}_{(2)}$ through Mapping ($\mathcal{M}_{\mathcal{B}}$) process. See Sections 2.2.1 and 2.2.2 for details.

weights and a desired bit-width k, quantizer Q quantizes weights into $\hat{w}_{(k)} = Q(w, k; \Theta)$ where Θ is a set of quantization parameters of Q. The quantization parameters are found through a calibration process of optimization on a sample dataset before quantizing weights. Each quantization scheme has its own quantizer and quantization parameters. Before proposing our method, we elaborate on the quantization process of UQ and BCQ as a background in Sections 2.2.1 and 2.2.2, respectively.

129 130 2.2.1 UNIFORM QUANTIZATION (UQ)

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131 Uniform quantization (UQ) is a quantization scheme that has uniformly spaced quantization levels. Figure 2(a) illustrates how UQ quantizes a weight $w \in [w_m, w_M]$ into 2-bit weight $\hat{w}_{(2)}$ where 132 w_m and w_M are the minimum and maximum weights in the weight group w, respectively. UQ's 133 quantization process begins with clipping process, which determines the minimum $w_{m,c}$ and the 134 maximum $w_{M,c}$ values of the clipped range which is the range of the values to represent after quan-135 tization. UQ's quantization parameters $\Theta_U = \{\Delta_{(k)}, z_{U,(k)}\}$ are determined based on the clipping 136 range; $\Delta_{(k)} = (w_{M,c} - w_{m,c})/(2^k - 1)$ as a scale factor and $z_{U,(k)} = \lfloor -w_{m,c}/\Delta_{(k)} \rfloor$ as a zero-137 point where $|\cdot|$ is a rounding function. After calibration, UQ quantizes w using UQ quantizer Q_U 138 in Equation 1. 139

$$w \approx \widehat{w}_{(k)} = Q_U(w, k; \Theta_U) = \mathcal{D}(\mathcal{M}_U(\mathcal{T}(w; \Theta_U), k); \Theta_U) \tag{1}$$

142 Transformation $\mathcal{T}(w; \Theta_U)$, Mapping $\mathcal{M}_U(\bar{w}_{(k)}, k)$, Detransformation $\mathcal{D}(\tilde{w}_{(k)}; \Theta_U)$ functions are 143 defined as in Equations 2 to 4. $\bar{w}_{(k)}, \tilde{w}_{(k)}$, and $\hat{w}_{(k)}$ are transformed, mapped, and quantized weights 144 in k bits, respectively. Clamp (\cdot, m, M) is a clamp function with min-max range [m, M].

$$\mathcal{T}(w;\Theta_U) = \bar{w}_{(k)} = w/\Delta_{(k)} + z_{U,(k)} \tag{2}$$

$$\mathcal{M}_U(\bar{w}_{(k)}, k) = \widetilde{w}_{(k)} = \operatorname{Clamp}(\lfloor \bar{w}_{(k)} \rfloor, 0, 2^k - 1)$$
(3)

$$\mathcal{D}(\widetilde{w}_{(k)};\Theta_U) = \widehat{w}_{(k)} = \Delta_{(k)}(\widetilde{w}_{(k)} - z_{U,(k)}) \tag{4}$$

After quantization, we save the mapped weight $\widetilde{w}_{(k)}$ and quantization parameters in Q_U , then reconstruct the quantized weight \widehat{w} using $\mathcal{D}(\widetilde{w}_{(k)}; \Theta_U)$ when we inference.

As described in Equation 3, the mapping process M_U of UQ is a straightforward process that maps transformed weights $\bar{w}_{(k)}$ to the nearest integer. Therefore, introducing an advanced transformation process is essential to improve the accuracy of quantized models. FlexRound (Lee et al., 2023) enhances the accuracy of the quantized models by revising its transformation process as in Equation 5.

$$\mathcal{T}_{\mathcal{F}}(w;\Delta_{(k)},s,s_r,z_{U,(k)}) = w/(\Delta_{(k)}\cdot s\cdot s_r) + z_{U,(k)}$$
(5)

159 $s \text{ and } s_r \in \mathbb{R}^+$ are scale factors that divide each weight before mapping; s is assigned individually 160 for each weight, while s_r is shared across all weights in a row of a weight matrix. The modified 161 transformation process enables weights to explore diverse quantization levels beyond the nearest 162 one, and finally maps them to the quantization level that maximizes model accuracy.

162 2.2.2 BINARY-CODING QUANTIZATION (BCQ)

Binary-coding quantization (BCQ) is a non-uniform quantization scheme that has a set Θ_B = 164 $\{\alpha_{(k)}, z_{B,(k)}\}$ of quantization parameters where $\alpha_{(k)} \in \mathbb{R}^k$ is a vector of scale factors and 165 $z_{B,(k)} \in \mathbb{R}$ is a shifting factor. $\alpha_{(k)}$ and $z_{B,(k)}$ determine the quantization levels of BCQ by the 166 summation and subtraction of scale factors in $\alpha_{(k)}$ after shifting with $z_{B,(k)}$. For example, BCQ 167 quantizer in Figure 2(b) has a set $\{z_{B,(2)} - \alpha_{(2),1} - \alpha_{(2),2}, z_{B,(2)} - \alpha_{(2),1} + \alpha_{(2),2}, z_{B,(2)} + \alpha_{(2),1} - \alpha_{(2),2}, z_{B,(2)} - \alpha_{(2),1} - \alpha_{(2),2}, z_{B,(2)} - \alpha_{(2),1} - \alpha_{(2),2}, z_{B,(2)} - \alpha_{(2),2} - \alpha_{(2),2}$ 168 $\alpha_{(2),2}, z_{B,(2)} + \alpha_{(2),1} + \alpha_{(2),2}$ of quantization levels illustrated as the leaves of a binary tree. BCQ 169 calibrates $\alpha_{(k)}$ and $z_{B,(k)}$ on a sample dataset to adapt its quantization levels to maximize the accu-170 racy of quantized models. After the adaption process, BCQ maps each weight to the corresponding quantization level by assigning a binary code $b_{(k)} \in \{-1, +1\}^k$ of the quantization level to the 171 172 weight. A BCQ quantizer Q_B quantize a weight w into $\hat{w}_{(k)}$ as in Equation 6. $\mathcal{M}_B(w; \Theta_B)$ is a 173 mapping function that maps weights to the nearest quantization level. 174

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$$w \approx \widehat{w}_{(k)} = Q_B(w, k; \Theta_B) = \boldsymbol{\alpha}_{(k)}^{T} \boldsymbol{b}_{(k)} + z_{B,(k)}$$

where $\boldsymbol{b}_{(k)} = \mathcal{M}_B(w; \Theta_B) = \operatorname*{arg\,min}_{\boldsymbol{b}'_{(k)}} ||w - (\boldsymbol{\alpha}_{(k)}^{T} \boldsymbol{b}'_{(k)} + z_{B,(k)})||$ (6)

178 After quantization, we save binary code $\boldsymbol{b}_{(k)}$ of each weight and quantization parameters in Q_B . We 179 use Reconstruction function $\mathcal{R}_{\mathcal{B}}(\boldsymbol{b}_{(k)};\Theta_B) = \boldsymbol{\alpha}_{(k)}^T \boldsymbol{b}_{(k)} + z_{B,(k)}$ to reconstruct the quantized weight 180 \widehat{w} when we inference.

The main advantage of BCQ is its strong expressive capability; it has been proven that any UQ is representable in the form of BCQ (Appendix C in Park et al. (2024)). However, there has been limited research on optimization algorithms for BCQ, especially for LLMs. The only low-cost algorithm (Xu et al., 2018) that is applicable to LLMs with BCQ scheme does not take input distribution into account and ignores dependencies of different weight groups, resulting in low accuracy. As a result, its quantized models exhibit significantly lower accuracy when we use BCQ compared to the case when we use UQ despite its theoretical advantage.

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3 PROPOSED METHOD

3.1 OVERVIEW

In this section, we propose FLEXBCQ, an accurate optimization algorithm for BCQ. The motivation behind FLEXBCQ is to leverage advanced optimization techniques designed for UQ to optimize BCQ while retaining BCQ's strong expressive capability. Before presenting our main idea, we outline key challenges that must be tackled.

- **C1. Formulation.** How can we modify the quantizer of BCQ to leverage the useful optimization techniques designed for UQ without losing the strong expressive capability of BCQ?
- **C2. Initialization.** How can we effectively initialize quantization parameters to accurately capture the distribution of the weights in the model?
- **C3. Optimization.** How can we optimize quantization parameters on the sample dataset to maximize the accuracy of quantized models?

We address these challenges with the following main ideas:

- **I1. FLEXBCQ (Section 3.2).** We decompose the quantization process of conventional BCQ into the composition of UQ and inner BCQ to utilize the optimization techniques from UQ. Decomposed BCQ takes advantage of both UQ's advanced optimization techniques and BCQ's strong expressive capability simultaneously.
- **I2. Unified Initialization (Section 3.3).** We integrate the clipping range search algorithm of UQ and the alternating update algorithm of BCQ to initialize the quantization parameters of FLEXBCQ. Our initialization process is accurate by considering both clipping range and adaptive quantization levels at the same time.
- I3. Blockwise output reconstruction (Section 3.4). We optimize the quantization parameters of FLEXBCQ by minimizing blockwise reconstruction errors. We propose novel optimization techniques including Gradient Filtering and Periodic Remapping to maximize the accuracy of the quantized models.
- 215 We carefully analyze the quantization processes of UQ and BCQ, and design FLEXBCQ to combine the strengths of both quantization schemes. Figure 3 illustrates the calibration and deployment

| | | Calibration | Deployment | | |
|--------------------------|----------------|---|--|---|--|
| | Initialization | Quantization | Saving | Inference | |
| (a) FlexRound (UQ) | С | $w \xrightarrow{\mathcal{T}_F}_{\text{Flex.}} \overline{w} \xrightarrow{\mathcal{M}_U} \widetilde{w} \xrightarrow{\mathcal{D}} \widehat{w}$ | $\widetilde{\boldsymbol{w}} \in ([0, 2^{k-1}] \cap \mathbb{Z})^g$ $\Delta, z_{\mathrm{U}} \in \mathbb{R}$ | $\widetilde{w} \xrightarrow{\mathcal{D}} \widehat{w}$ | |
| (b) Alternating (BCQ) | A | $w \xrightarrow{\mathcal{M}_B} \widehat{w}$ | $\boldsymbol{B} \in \{-1,1\}^{k \times g}$ $\boldsymbol{\alpha} \in \mathbb{R}^k, \boldsymbol{z}_B \in \mathbb{R}$ | $B \xrightarrow{\mathcal{R}_B} \widehat{w}$ | |
| (c) FLEXBCQ (BCQ) | CA | $w \xrightarrow{\mathcal{T}_F}_{\text{Flex}}, \overline{w} \xrightarrow{\mathcal{M}_B}_{\text{Adapt}}, \widetilde{w} \xrightarrow{\mathcal{D}} \widehat{w}$ | $\boldsymbol{B} \in \{-1,1\}^{k \times g}$ $\boldsymbol{\alpha} \in \mathbb{R}^k, \boldsymbol{z}_B \in \mathbb{R}$ | $B \xrightarrow{\mathcal{R}_B^*} \widehat{w}$ | |

C: Clipping range search" A: Quantization level adaptation Flex.: Flexible mapping Adapt.: adaptive quantization levels

Figure 3: A comparison of the quantization processes of FlexRound, Alternating, and FLExBCQ. FLEXBCQ benefits from both flexible mapping and adaptive quantization levels. \boldsymbol{B} is a binary code matrix whose columns are binary codes of weights in \boldsymbol{w} . We decompose $\hat{\boldsymbol{w}}$ in Equation 6 into α and \boldsymbol{B} when we save quantized weights. The notation (k) for bit-width is omitted for simplicity.

233 phases of FlexRound (Lee et al., 2023) (UQ), Alternating (Xu et al., 2018) (BCQ), and FLEXBCQ. 234 In calibration phases, FlexRound begins with initializing its quantization parameters through a clipping range search which finds a proper clipping range $[w_{m,c}, w_{M,c}]$. After initialization, it quantizes 235 weights through the sequential process of transformation ($\mathcal{T}_{\mathcal{F}}$), mapping (\mathcal{M}_U), and detransfor-236 mation (\mathcal{D}) . Flexible mapping, which is the main advantage of FlexRound, is achieved through 237 its improved transformation process $\mathcal{T}_{\mathcal{F}}$. On the other hand, Alternating initializes its quantization 238 parameters through a quantization level adaptation process, and quantizes weights using the ini-239 tialized parameters. The strength of Alternating lies in the mapping process (\mathcal{M}_B) to its adapted 240 non-uniform quantization levels, which are adjusted during initialization. 241

FLEXBCQ integrates the strengths of both methods by first applying a transformation process $\mathcal{T}_{\mathcal{F}}$ 242 as FlexRound, followed by mapping (\mathcal{M}_B) to the adapted quantization levels within this trans-243 formed space (\bar{w}) . This allows FLEXBCQ to take advantage of advanced UQ techniques, such 244 as FlexRound's flexible mapping, while also benefiting from BCQ's adaptive quantization levels. 245 Once calibration is completed, we quantize the pretrained model for deployments with its calibrated 246 quantization parameters as in the "Deployment" column. FLEXBCQ shows identical saving and 247 inference to the conventional BCQ algorithm by merging its integrated quantization process into a 248 single BCQ quantization process (\mathcal{R}_{B}^{*}) based on Theorem 1. Therefore, there is no memory and 249 latency overhead for utilizing flexible mapping in the calibration phase. We elaborate on the details 250 of formulation, initialization, and optimization techniques of FLEXBCQ in the following sections.

3.2 FLEXIBLE BINARY-CODING QUANTIZATION (FLEXBCQ)

FLEXBCQ utilizes an inner BCQ in the transformed weight space \bar{w} of UQ to leverage FlexRound's training techniques and BCQ's adaptive quantization levels at the same time. FlexBCQ has both FlexRound's quantization parameters $\Delta_{(k)}$, $z_{U,(k)}$, s, and s_r , as well as BCQ's quantization parameters $\alpha_{(k)}$, and $z_{B,(k)}$ since it incorporates both BCQ and FlexRound. The quantizer Q_F parameterized by $\Theta_F = \Theta_U \cup \Theta_B \cup \{s, s_r\}$ is defined in Equation 7. Equation 5.

$$w \approx \widehat{w}_{(k)} = Q_F(w, k; \Theta_F) = \mathcal{D}(\mathcal{M}_B(\mathcal{T}_F(w; \Theta_U, s, s_r); \Theta_B); \Theta_U) \tag{7}$$

As described in the Equation 7, Q_F includes both \mathcal{T}_F and \mathcal{M}_B to leverages both FlexRound's flexible mapping and BCQ's adaptive quantization levels. After calibration, we save binary code $b_{(k)}$ of each weight, Θ_B for BCQ's reconstruction process, and Θ_U for detransformation; we discard *s* and s_r which are used only for transformation. The Reconstruction function R_F for FLEXBCQ is defined as in Equation 8.

$$\hat{w}_{(k)} = \mathcal{R}_F(\boldsymbol{b}_{(k)}; \Theta_B, \Theta_U) = \mathcal{D}(\mathcal{R}_B(\boldsymbol{b}_{(k)}; \Theta_B); \Theta_U)$$
(8)

267 As described in Equation 8, FLEXBCQ has memory and latency overhead due to Θ_U and \mathcal{D} com-268 pared to the conventional BCQ's Reconstruction function $R_B(\mathbf{b}_{(k)}; \Theta_B)$. To address this issue, we 269 propose Composition Theorem which integrates the expensive two-step reconstruction process of FLEXBCQ into a single process.

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270 Algorithm 1 Unified Initialization 271 **Require:** A weight group w, a bit-width k, a number N of iterations for grid search, and a number 272 T of iterations for quantization level adaptation 273 **Ensure:** Initialized UQ's scale factor $\Delta_{(k)}^*$, zero-point $z_{U,(k)}^*$, and a vector $\alpha_{(k)}^*$ of BCQ's scale 274 factors. 275 1: $w_m \leftarrow min(min(\boldsymbol{w}), 0), w_M \leftarrow max(max(\boldsymbol{w}), 0)$ 276 2: $z_{B,(k)} \leftarrow (2^k - 1)/2, s \leftarrow 1, s_r \leftarrow 1, e^* \leftarrow \text{MAX_NUM}$ 277 3: for γ in 1/N, 2/N, ..., 1 do $\Delta_{(k)} \leftarrow \gamma(w_M - w_m)/(2^k - 1), z_{U,(k)} \leftarrow \lfloor -w_m/\Delta_{(k)} \rceil$ 278 4:
$$\begin{split} \bar{\boldsymbol{w}}_{(k)} &\leftarrow \mathcal{T}_{F}(\boldsymbol{w}; \Delta_{(k)}, z_{U,(k)}) \\ \boldsymbol{\alpha}_{(k)} &\leftarrow \text{adapt-quant-level}(\bar{w}_{(k)}, k, T) \end{split}$$
279 5: ⊳ Equation 5 ▷ Algorithm 2 in (Xu et al., 2018) 6: 7: 281 $\widehat{\boldsymbol{w}} \leftarrow Q_F(\boldsymbol{w},k;\Theta_F)$ ▷ Equation 7 $e \leftarrow || \boldsymbol{w} - \widehat{\boldsymbol{w}}_{(k)} ||_2^2$ 8: 282 if $e < e^*$ then 9: 283 $\Delta^*_{(k)}, z^*_{U,(k)}, \boldsymbol{\alpha}^*_{(k)} \leftarrow \Delta_{(k)}, z_{U,(k)}, \boldsymbol{\alpha}_{(k)}$ 10: ▷ Update quantization parameters 284 11: ▷ Update the minimum quantization error 285 12: end if 13: end for 287

Theorem 1 (Composition Theorem). *Given a BCQ Reconstruction function* $\mathcal{R}_B(\mathbf{b}_{(k)};\Theta_B)$ *and a Detransformation function* $\mathcal{D}(\widetilde{w}_{(k)};\Theta_U)$ *where* $\widetilde{w}_{(k)} = \mathcal{R}_B(\mathbf{b}_{(k)};\Theta_B)$. *There is a BCQ Reconstruction function* $\mathcal{R}_B^*(\mathbf{b}_{(k)};\Theta_B^*) = \mathcal{D}(\mathcal{R}_B(\mathbf{b}_{(k)};\Theta_B);\Theta_U)$ for any $\mathbf{b}_{(k)}$.

Proof. See Appendix E.1.

As a result, FLEXBCQ's expensive Reconstruction function \mathcal{R}_F is substituted into a single BCQ's Reconstruction function \mathcal{R}_B^* , removing the memory and latency overhead.

298 3.3 UNIFIED INITALIZATION

299 After formulation, we need to optimize FLEXBCQ's quantization parameters in Θ_F to maximize 300 the accuracy of the quantized models. We need an accurate algorithm to initialize the quantiza-301 tion parameters before optimization. We unify the initialization processes of UQ and BCQ since 302 FLEXBCQ has the quantization parameters of both quantization schemes. We initialize s and s_r 303 as 1 to make the flexible mapping mimic the traditional rounding-to-nearest (RTN) method at the 304 beginning, following FlexRound (Lee et al., 2023). We initialize $z_{B,(k)} = (2^k - 1)/2$ and fix it since the transformed space, in which the inner BCQ is defined, is designed for mapping weights to 305 the range $[0, 2^k - 1]$. We propose Unified Initialization for initializing the remaining quantization 306 parameters $\Delta_{(k)}$, $z_{U,(k)}$, and $\alpha_{(k)}$ as in Algorithm 1. 307

308 We precisely initialize quantization parameters through an iterative process that integrates a grid search-based clipping range search algorithm with the alternating quantization level adaptation (Al-310 gorithm 2 in (Xu et al., 2018)). In each iteration, we adjust the length $\gamma(w_M - w_m)$ of the clipping range by modifying the ratio γ fixing the minimum value w_m . Within the adjusted clipping range, 311 we compute the candidate scale factor $\Delta_{(k)}$ and candidate zero-point $z_{U,(k)}$ of UQ, followed by the 312 flexible transformation process in Equation 5 (lines 4-5). We find a candidate vector $\alpha_{(k)}$ of scale 313 factors of BCQ through quantization level adaptation using the transformed weights $\bar{w}_{(k)}$ (line 6). 314 After that, we compute the quantized weight $\hat{w}_{(k)}$ following Equation 7 and compute the quanti-315 zation error e (lines 7-8). If e is smaller than the minimum quantization error e^* found through 316 previous iterations, we update the quantization parameters $\Delta_{(k)}^*$, $z_{U,(k)}^*$, and $\alpha_{(k)}^*$ with the candidate 317 quantization parameters $\Delta_{(k)}$, $z_{U,(k)}$, and $\alpha_{(k)}$, respectively. In this case, we also update e^* with 318 e to find better quantization parameters. This process is performed independently for each weight 319 group and each group has the precise quantization parameters after initialization.

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3.4 BLOCKWISE OUTPUT RECONSTRUCTION

323 After initializing quantization parameters, we perform Blockwise Output Reconstruction process to optimize the quantization parameters in FLEXBCQ. From the bottom block to the top block,

324 we sequentially minimize the reconstruction loss \mathcal{L}_i in Equation 9, which reduces the gap between 325 outputs of the *i*-th blocks before and after quantization, using stochastic gradient descent. f_i and f_i 326 are the *i*-th blocks of the pretrained LLM f before and after quantization, respectively. X_i and X_i 327 are the inputs of f_i and f_i , respectively. θ_i is the pretrained parameters in the *i*-th block of f. 328

$$\mathcal{L}_{i} = ||f_{i}(\boldsymbol{X}_{i};\boldsymbol{\theta}_{i}) - \hat{f}_{i}(\widehat{\boldsymbol{X}}_{i};\boldsymbol{\theta}_{i},\boldsymbol{\Theta}_{F})||_{F}^{2}$$

$$\tag{9}$$

330 We optimize $\Delta_{(k)}$, $z_{U,(k)}$, s, s_r , and $\alpha_{(k)}$ during the optimization process and do not update the 331 pretrained model's parameters. We utilize straight-through estimator (STE) (Bengio et al., 2013) to 332 update $\Delta_{(k)}$, $z_{U,(k)}$, s, s_r corresponding to \mathcal{T}_F since the mapping function \mathcal{M}_B is not differentiable. 333 We propose gradient filtering and periodic remapping to precisely optimize quantization parameters.

334 Gradient Filtering. Gradient filtering is a technique that filters the gradients of the weights that 335 have large mapping errors, i.e., $|\bar{w}_{(k)} - \tilde{w}_{(k)}|$, to stabilize the optimization process of FLEXBCQ. 336 STE hypothesizes that the gradient of the transformed weight $(\bar{w}_{(k)})$ and mapped weight $(\tilde{w}_{(k)})$ have 337 the same gradients. However, if the difference between two values is significant, the hypothesis does 338 not hold and it degrades the accuracy of the quantized models. Therefore, we set a hyperparameter 339 τ and we filter the gradients of weights that have a mapping error larger than τ ; i.e. we zero-out the 340 gradients of a weight w if $|\bar{w}_{(k)} - \tilde{w}_{(k)}| > \tau$. 341

Periodic Remapping. Periodic remapping is a technique designed to reduce the errors induced by the excessive change of mapping between weights and quantization levels. During an optimization process, $\alpha_{(k)}$ is updated according to the mapping between weights and quantization levels, and the mapping between weights and quantization levels is changed by the update of $\alpha_{(k)}$. The renewed mapping induces error since $\alpha_{(k)}$ is updated according to the previous mapping and BCQ's mapping 346 function \mathcal{M}_B does not guarantee the reduction of the output reconstruction error. Therefore, we set a hyperparameter p and update mapping in every p steps, rather than every step.

4 **EXPERIMENTS**

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We perform experiments to answer the following questions.

- **Q1.** (General knowledge benchmark) How accurate are the models quantized by FLEXBCQ on general knowledge benchmarks, e.g. MMLU?
- Q2. (Task-specific knowledge benchmark) How accurate are the models quantized by FLEXBCQ on task-specific knowledge benchmarks, e.g. GSM8K?
- **Q3.** (Ablation study) How do the main ideas of FLEXBCQ contribute to improving the accuracy of quantized models?
- **Q4.** (Analysis) Does FLEXBCQ effectively utilize flexible mapping and quantization levels?
- 4.1 EXPERIMENTAL SETUP

361 Setup. We use PyTroch (Paszke et al., 2019) and Transformers (Vaswani et al., 2017) libraries for 362 implementation. We use Llama-3 8B, Llama-3 70B (Dubey et al., 2024), and Mistral 7B (Jiang et al., 2023) models for evaluating the amount of general knowledge in quantized models on MMLU (Hendrycks et al., 2021) benchmark. We use Llama-3 8B Instruct (Dubey et al., 2024) model 364 for evaluating the amount of task-specific knowledge within quantized models on GSM8K (Cobbe 365 et al., 2021) benchmark. We sample 128 token sequences of length 2048 from C4 (Raffel et al., 366 2020) and GSM8K (Cobbe et al., 2021) as a sample dataset to quantize models for general and 367 task-specific benchmarks, respectively. We use a single A100 GPU for quantization. 368

Baselines. We directly compare the performance of FLEXBCQ with both UQ and BCQ algorithms 369 since they have the same inference speed with the state-of-the-art inference kernel (Park et al., 2024) 370 when they have the same bit width. We use Round-to-Nearest (RTN), OmniQuant (Shao et al., 2024), 371 and FlexRound (Lee et al., 2023) as UQ competitors. We use Greedy and Alternating versions of 372 algorithms in Xu et al. (2018) as BCQ competitors. 373

374 **Hyperparameters.** We use 3 and 4-bit weight-only quantization with a group size of 128. We opti-375 mize quantization parameters for 20 epochs with a batch size of 1 following OmniQuant Shao et al. (2024). We use a learning rate of 0.005 for all experiments with a cosine annealing learning rate 376 scheduler (Loshchilov & Hutter, 2017). We set hyperparameters N, T, p, and τ to 50, 15, 2, and 377 $min(\alpha_{(k)})$, respectively. We use p as 1 for Llama-3 70B model.

Table 1: Average accuracies of quantized models on 5-shot and 0-shot MMLU (Hendrycks et al.,2021) benchmarks. FLEXBCQ shows the highest or second-highest accuracy in all cases. Bold andunderlined texts indicate the highest and second-highest accuracies, respectively.

| # Rite | Scheme | Scheme Method | | ral 7B | Llam | Llama-3 8B | | Llama-3 70B | |
|--------|------------|---------------|--------------|--------------|---------------|--------------|--------------|--------------|--|
| # DIts | Scheme | wittiou | 5-shot | 0-shot | 5-shot | 0-shot | 5-shot | 0-shot | |
| | Full preci | sion | 62.57 | 60.22 | 65.02 | 60.52 | 78.89 | 76.15 | |
| | | RTN | 60.91 | 57.93 | 62.11 | 57.79 | 66.10 | 69.56 | |
| | UQ | OmniQuant | 59.85 | 57.31 | 63.17 | 58.99 | 77.90 | 75.35 | |
| 4 | | FlexRound | <u>61.20</u> | <u>58.45</u> | <u>63.25</u> | 58.32 | 78.61 | 75.86 | |
| 4 | BCQ | - Greedy | 24.77 | 25.45 | $\bar{24.90}$ | 24.51 | 22.95 | 22.95 | |
| | | Alternating | 57.18 | 54.50 | 56.27 | 53.10 | 44.98 | 43.17 | |
| | | FLEXBCQ | 61.38 | 59.03 | 63.81 | <u>58.56</u> | <u>78.36</u> | <u>75.61</u> | |
| | | RTN | 53.01 | 50.69 | 38.12 | 39.50 | 46.50 | 34.82 | |
| | UQ | OmniQuant | 54.00 | 52.51 | 51.56 | 45.06 | 72.80 | 69.47 | |
| 2 | | FlexRound | <u>58.58</u> | 55.84 | <u>58.89</u> | 54.65 | 73.19 | 71.68 | |
| 5 | | Greedy | 24.70 | 24.66 | 27.15 | 26.84 | 22.95 | 22.95 | |
| | BCQ | Alternating | 26.16 | 23.40 | 25.85 | 23.10 | 24.62 | 23.49 | |
| | - | FLEXBCQ | 59.08 | 56.53 | 59.33 | <u>54.49</u> | 76.43 | 73.14 | |

Table 2: Accuracies of 3 and 4-bit quantized Llama-3 Instruct 8B models on GSM8K benchmark. FLEXBCQ outperforms all of the competitors in all cases. Table 3: Accuracies of quantized models with and without our main ideas. We evaluate the accuracy of quantized models on MMLU 5-shot benchmark. All of our main ideas, especially gradient filtering, improve the accuracy of FLEXBCQ. We analyze the effect of unified initialization in Section 4.5.

| Sch | eme | Method | 4bit | 3bit | pecially grad |
|-----|----------------|----------------------------------|---------------------------------|-----------------------|--|
| | Full precision | | 76.12 | | unified initia |
| UO | | RTN OmniOuant | 70.89 70.36 | 22.37 45 79 | Method |
| | UQ | FlexRound | <u>73.39</u> | <u>64.67</u> | FLEXBC |
| BCO | 5 | Greedy Alternating FLEXBCQ | - 0.00 56.79 75.44 | 0.00 0.08 67.22 | flexible gradient periodic |

| Method | 4bit | 3bit |
|----------------------|-------|-------|
| FlexBCQ | 63.81 | 59.33 |
| - flexible mapping | 62.83 | 57.45 |
| - gradient filtering | g NaN | |
| - periodic remapping | 63.70 | 58.18 |

4.2 GENERAL KNOWLEDGE BENCHMARK

We compare the accuracies of quantized models on 5-shot and 0-shot MMLU benchmarks to esti-mate the amount of general knowledge within quantized models. Table 1 summarizes the evaluation results. The results show that FLEXBCQ achieves the highest performance or the second-highest performance by a small margin in all cases. In the 3-bit quantization case of the Llama-3 70B model, FLEXBCQ outperforms the second-best model by a substantial margin of 3.24% in the 5-shot set-ting and 1.46% in the 0-shot setting. This demonstrates that FLEXBCQ effectively quantizes models while preserving their general knowledge. In contrast, in the 4-bit quantization results, FLEXBCQ performs 0.25% lower than FlexRound in both 0-shot and 5-shot settings. This slight drop in perfor-mance is suspected due to the FLEXBCQ's strong fitting capability, which may have caused slight overfitting to a small sample dataset.

4.3 TASK-SPECIFIC KNOWLEDGE BENCHMARK

We compare the accuracies of 3 and 4-bit quantized Llama-3 8B Instruct model on GSM8K, which
focuses exclusively on mathematical problems. We summarize the experimental results in Table 2.
The experimental results show that FLEXBCQ achieves the highest performance, outperforming
the second-best competitor by 2.55% and 2.05% in the 3-bit and 4-bit experiments, respectively.
Notably, while most BCQ competitors demonstrate less than 1% accuracy in almost all cases,
FLEXBCQ consistently delivers significantly higher accuracy than them. In task-specific knowledge
benchmarks, the distribution between sample and test datasets is more closely aligned than in general knowledge benchmarks. This suggests that FLEXBCQ 's strong fitting capability, enabled by



Figure 4: Visualizations of (a) the adaptive quantization levels produced by FLExBCQ and (b) flexible mappings of weights quantized by FLExBCQ and FlexRound (see Section 4.5 for details). FLExBCQ successfully adapts its quantization levels to the distribution of weights and enables weights to plentifully explore flexible mappings analogous to FlexRound. $I(\tilde{w}_F)$ and $I(\tilde{w})$ represent the indices of the quantization levels mapped to the weights when flexible transformation is applied and not applied, respectively.

Table 4: Weight quantization errors and MMLU accuracies of the 3-bit quantized models initialized by unified initialization (unified init.) and competitors. We report the scaled quantization error by multiplying 10^6 for simplicity. Unified initialization shows the lowest weight quantization errors in all types of layers leading to the highest accuracy. See Section 4.5 for details.

| Sahama | Initialization | Weight Quantization Error ($\times 10^6$, \downarrow) | | | | | MMI | LU (†) | | |
|--------|----------------------|--|-------|------|------|------|------|--------|--------|--------|
| Scheme | ineme initialization | Q | K | V | 0 | U | G | D | 5-shot | 0-shot |
| UQ | Grid Search | 16.33 | 37.67 | 2.15 | 2.28 | 5.39 | 4.35 | 4.41 | 38.12 | 39.50 |
| BCQ | Alternating | 16.88 | 38.40 | 1.82 | 2.08 | 5.00 | 4.03 | 4.05 | 25.85 | 23.10 |
| BCQ | Unified Init. | 12.57 | 28.50 | 1.61 | 1.86 | 4.46 | 3.61 | 3.63 | 39.93 | 40.51 |

its adaptive quantization levels, contributes to its superior performance. In conclusion, FLEXBCQ resolves the optimization deficiencies seen in existing BCQ algorithms, fully utilizing the expressive power that BCQ offers, resulting in a remarkable performance on a task-specific benchmark.

4.4 ABLATION STUDY

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We conduct an ablation study to show the powerfulness of our main ideas. We summarize the re-465 sult of the ablation study in Table 3. "-flexible mapping" refers to the case where only the BCQ 466 quantization parameters are used without flexible transformation (T_F). "-gradient filtering" denotes 467 the result when optimization is performed using all gradients without filtering. Lastly, "-periodic 468 remapping" refers to the case where weights are remapped to quantization levels at every step dur-469 ing optimization. The experimental results show that all three techniques significantly contribute to 470 improving the accuracy of the quantized model. In particular, the results show that it is impossible 471 to optimize the quantization parameters without applying gradient filtering technique. We suspect 472 that this phenomenon occurs due to the weight clipping process within unified initialization. The 473 weight clipping process generates weights with severe mapping errors which violate the hypoth-474 esis of straight through estimator (STE) that the gradient of a weight is the same as the gradient of the mapped weight. Unified initialization is an algorithm proposed to initialize FLEXBCQ, and 475 there is no existing alternative algorithm to replace it. Therefore, we demonstrate its effectiveness in 476 Section 4.5 by comparing it with existing methods for initializing UQ and BCQ. 477

479 4.5 ANALYSIS

Thus far, we have validated the superiority of FLExBCQ and the proposed techniques based on the benchmark scores of quantized models. In this section, beyond the benchmark scores, we analyze a quantized model produced by FLExBCQ to verify whether the intended mechanisms function as expected. Specifically, we examine whether FLExBCQ effectively learns quantization levels adapted to the weight distribution, whether weights explore diverse quantization levels through flexible mapping, and whether unified initialization reduces weight reconstruction error more effectively than existing parameter initialization methods. Figure 4(a) visualizes the distribution of weights within a weight group and the quantization levels
of the group learned by FLEXBCQ. As shown in the figure, FLEXBCQ assigns dense quantization
levels near zero, where most of the weights are concentrated, while assigning sparse quantization
levels in regions where fewer weights are distributed. This demonstrates that FLEXBCQ indeed
learns quantization levels adapted to the weight distribution.

491 Figure 4(b) shows the difference in indices of quantization levels of weights in a weight group in 492 a quantized model, comparing cases where flexible transformation is applied versus when it is not. 493 $I(\widetilde{w}_F)$ and $I(\widetilde{w})$ represent the indices of the quantization levels mapped to the weights when flexible 494 transformation is applied and not applied, respectively. The difference between these indices indi-495 cates the extent of flexible mapping. We compare the results of the model quantized by FLEXBCQ 496 (b1) and FlexRound (b2), which proposed flexible mapping. The results show that sufficient flexible mapping occurs in both methods, demonstrating that FLEXBCQ successfully exploits flexible map-497 ping. Combining both results in Figure 4, FLEXBCQ successfully leverages both UQ's advanced 498 optimization technique of flexible mapping and BCQ's adaptive quantization levels, as we intended. 499

500 Table 4 compares the performance of the unified initialization algorithm proposed in this paper with 501 other initialization algorithms used in existing UQ and BCQ algorithms. We compare the pre- and post- quantization differences in weights by calculating $||w - \hat{w}||_2^2$. We use the weights in the query 502 (Q), key (K), value (V), out (O), up (U), gate (G), and down (D) projections within a single Trans-503 former block for comparison. We compare the accuracy of models initialized with each initialization 504 method to evaluate the impact of the initialization techniques on model accuracy. The experimental 505 results show that unified initialization algorithm results in significantly lower errors than competi-506 tors in all cases, which leads to high accuracy. Notably, although Alternating algorithm (Xu et al., 507 2018) has the same expressive power as unified initialization, its performance is even lower than UQ, 508 suggesting that we need an effective initialization method to fully leverage BCQ's strong expressive 509 capability, and unified initialization fulfills this need. FLEXBCQ adopts an extensible approach that 510 introduces and modifies the transformation function to BCQ. Unified initialization is effectively 511 utilized to initialize the quantization parameters of techniques following this methodology.

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5 RELATED WORK

515 Despite BCQ's (Kwon et al., 2022; Xu et al., 2018) strong expressive power, it has been under-516 investigated to uniform quantization (Lin et al., 2024; Ashkboos et al., 2024; Liu et al., 2024), 517 which has a weaker expressive capability, mainly due to the lack of accelerated kernels for BCQ. 518 To the best of our knowledge, there has been no research on accurately compressing LLMs using 519 the BCQ scheme. In this context, the most important research related to BCQ is the development 520 of the LUT-GEMM kernel (Park et al., 2024), which enables BCQ and UQ to be accelerated at the same speed. LUT-GEMM leverages the fact that BCQ's binary code is composed of only 1s and -1s, 521 resulting in repetitive operations regardless of the weight values. LUT-GEMM enables fast general 522 matrix multiplication (GEMM) by referencing the look-up table (LUT) constructed based on this 523 observation. LUT-GEMM has demonstrated that it performs inference with the OPT-175B (Zhang 524 et al., 2022) model using BCQ faster than OPTQ (Frantar et al., 2023) and AWQ (Lin et al., 2024), 525 which support the acceleration of UQ, under small batch size constraints. Although LUT-GEMM 526 has demonstrated excellent performance under constrained environments, subsequent works, such 527 as You et al. (2024), indicate that fast kernels supporting BCQ are evolving. Precise BCQ algorithms, 528 such as FLEXBCQ, are crucial since they boost the studies of fast inference kernels for BCQ.

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6 CONCLUSION

In this paper, we propose FLEXBCQ, an accurate optimization algorithm for binary-coding quantization (BCQ). Our motivation is to integrate uniform quantization (UQ)'s advanced optimization technique into BCQ's powerful expressive capability. We find that the UQ's advanced optimization techniques stem from its transformation process and propose a novel formulation that utilizes the UQ's transformation process for BCQ without latency overhead. Combined with our effective initialization and optimization techniques, FLEXBCQ shows 3.24%p higher accuracy than existing UQ and BCQ algorithms on MMLU 5-shot benchmark when quantizing Llama-3 70B into 3 bits.

5407REPRODUCIBILITY STATEMENT541

We report detailed hyperparameter settings including learning rate, learning rate scheduler, batch size, the number of epochs, the number of iterations for unified initialization, and the remapping period in Section 4.1, and another implementation detail regarding BCQ's mapping function in Appendix C to promote the reproducibility of the experimental results. We include the proof of Composition Theorem in Section E.1 in Appendix for completeness. We provide an in-depth analysis of quantized models generated by FLEXBCQ that can be used for examining reproduced results with figures and a table using the additional 10th page.

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A TERMINOLOGY

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696 697 698 To promote clarity, we summarize the definitions of terminologies frequently used in this paper.

Units in LLMs. We summarize the definitions of units in Large Language Models (LLMs) from a weight to a model. Figure 5 exhibits an example of a Transformer-based LLM with *L* blocks.

- Weight: the smallest unit, representing an individual numerical weight value.
- Weight group: a collection of weights grouped by a specified group size, all of which share the same quantization parameters.
 - Weight matrix: a two-dimensional matrix composed of weights, containing multiple weight groups.
 - Layer: a component that performs affine transformations using a weight matrix and a bias vector. For example, Q, K, V, O, U, G, and D in Table 4 of the paper represent individual layers.
 - **Module:** a collection of layers that performs a specific function. In Transformers, modules include Multi-Head Attention (MHA) and Multi-Layer Perceptron (MLP).
 - **Block:** a fundamental unit of a Transformer, consisting of one MHA module and one MLP module.
 - **Model:** a complete language model composed of multiple blocks. An LLM refers to a model.



: A delay of a single iteration

Figure 5: An illustration of a Transformer-based LLM.

Quantization error and reconstruction error. Assume that we have a weight matrix W and we quantize W into k-bit and obtain \widehat{W} . If we have input matrices X and \widehat{X} for W and \widehat{W} , respectively, then the quantization error E_{quant} and reconstruction error E_{recon} are defined as follows:

$$E_{quant} = || \boldsymbol{W} - \widehat{\boldsymbol{W}} |_F^2$$
 and $E_{recon} = || \boldsymbol{W} \boldsymbol{X} - \widehat{\boldsymbol{W}} \widehat{\boldsymbol{X}} |_F^2$

Reducing E_{recon} provides more accurate models than when reducing E_{quant} since E_{recon} takes input distribution into account.

702 B SYMBOLS AND DEFINITIONS 703

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We summarize symbols and their definitions that are frequently used in this paper in Table 5.

| 706 | | |
|-----|-----------------------|---|
| 707 | | Table 5: Symbols and definitions. |
| 708 | Symbols | Definitions |
| 709 | Symbols | |
| 710 | | Weights and Bit-width |
| 711 | w | A weight |
| 712 | \boldsymbol{w} | A weight group |
| 713 | k | A constant for representing a bit-width of k |
| 714 | $(\cdot)_{(k)}$ | A subscript for representing that (\cdot) 's bit-width is k |
| 715 | $\widetilde{w}_{(k)}$ | A transformed weight |
| 716 | $\bar{w}_{(k)}$ | A mapped weight |
| 717 | $w_{(k)}$ | A quantized weight |
| 718 | $oldsymbol{b}_{(k)}$ | A binary code of a weight |
| 719 | | Functions |
| 720 | $\overline{\tau}$ | A transformation function |
| 721 | τ_{r} | A flexible transformation function |
| 722 | M_{II} | A mapping function of UO (rounding and clamping) |
| 723 | \mathcal{M}_B | A mapping function of BCO (map to the nearest) |
| 724 | \mathcal{D} | A detransformation function |
| 725 | \mathcal{R}_B | A reconstruction function of BCQ |
| 726 | \mathcal{R}_F | A reconstruction function of FLEXBCQ |
| 727 | \mathcal{R}^*_B | An integrated reconstruction function of FLEXBCQ |
| 728 | | Quantizars |
| 729 | | Quantizers |
| 730 | Q_U | A UQ quantizer |
| 731 | Q_B | A BCQ quantizer |
| 732 | Q_F | |
| 733 | | Quantizatin Parameters |
| 734 | Θ_{II} | A set of quantization parameters of UO |
| 735 | Θ_B | A set of quantization parameters of BCO |
| 736 | Θ_F^L | A set of quantization parameters of FLEXBCQ |
| 737 | $\Delta_{(k)}$ | A sacle facor of UQ |
| 738 | $z_{U,(k)}$ | A zoro-point of UQ |
| 739 | s | An element-wise scale factor for flexible mapping |
| 740 | s_r | A row-wise scale factor for flexible mapping |
| 741 | lpha | A vector of scale factors of BCQ |
| 742 | $z_{B,(k)}$ | A shifting factor of BCQ |
| 743 | | Hyperparameterss |
| 744 | λ | The number of iterations for aligning range search |
| 745 | T | The number of iterations for alternating undates |
| 746 | 1 n | A remanning period |
| 747 | $rac{P}{	au}$ | A threshold for Gradient Filtering |
| 748 | | |
| 749 | | Others |
| /50 | f | A pretrained LLM |
| /51 | \hat{f} | A k -bit quantized pretrained LLM |
| 752 | \check{f}_i | The <i>i</i> -th block of f |
| 103 | X_i | The input matrix of f_i |
| 754 | $oldsymbol{	heta}_i$ | The parameters matrix of f_i |
| (55 | \mathcal{L}_i | The block reconstruction loss of f_i |

С IMPLEMENTATION DETAILS OF FLEXBCQ

In this section, we discuss the implementation details necessary to reproduce the performance of FLEXBCO reported in this paper. We first summarize hyperparameter settings used in the main text. Then we summarize the performance variations of FLExBCQ, emphasizing the importance of implementing the mapping function, along with the impacts of the remapping period, Gradient Filtering threshold, optimization epochs, sample dataset, and clipping strategy.

C.1 HYPERPARAMETER SETTINGS

Since FLEXBCQ employs a Blockwise Output Reconstruction process that requires additional hyperparameters, we aim to demonstrate that the outstanding performance of FLEXBCQ is achieved without expensive hyperparameter tuning. To this end, we fix all hyperparameters except for the Remapping Period p and utilize only two combinations of hyperparameters across all cases, includ-ing the experiments presented in the Appendix, except for the sensitivity analysis. These combina-tions are outlined in Table 6.

| | Table 6: Hyperparameter settings of FLEXBCQ | | | | |
|---|--|--------------------------------|--|--|--|
| - | Hyperparameter | Setting | | | |
| - | Learning rate | 0.005 | | | |
| | Clipping range search iterations (N) | 50 | | | |
| | Alternating update iterations (T) | 15 | | | |
| | Remapping Period (p) | 1, 2 | | | |
| | Gradient Filtering threshold (τ) | $min(oldsymbol{lpha}_{(k)})^*$ | | | |
| - | * Minimum value among $\boldsymbol{\alpha}_{(k)}$ for each | n weight group. | | | |

C.2 IMPLENETATION OF BCQ'S MAPPING FUNCTION

One critical implementation detail is about the computational speed of the mapping function \mathcal{M}_B of BCQ. In Xu et al.'s Algorithm 1 (Xu et al., 2018), this mapping function is implemented using a binary search tree (BST), but because of its sequential nature, it is significantly slow and makes training infeasible within a reasonable time. Therefore, in this paper, we replace this operation with the following GPU-friendly process: (1) calculate the values of all quantization levels, (2) compute the distance between each weight and every quantization level in parallel, (3) return the index of the closest quantization level for each weight, (4) mapping the weight to the corresponding quantization level found in (3). We named this process as Direct BCQ Mapping process. Table 7 compares the running time of the two mapping functions when they map all weights in the weight matrix of size 4096×4096 to corresponding quantization levels, assuming they have group sizes of 128. We use 3-bit and 4-bit quantization settings, meaning there are 8 and 16 quantization levels, respectively. We report the average running time of both functions using 100 runs.

Table 7: Running time of Xu et al's BCO mapping function and Direct mapping function used in our paper. The ratio represents the multiple of time taken compared to Direct.

| Manning Function | 4 | bit | 3bit | | |
|-----------------------|----------|------------------|----------|--------------------|--|
| Mapping Function | Time (s) | Ratio (\times) | Time (s) | Ratio (\times) | |
| BST (Xu et al., 2018) | 0.1694 | 23.62 | 0.5250 | 37.31 | |
| Direct (ours) | 0.0072 | 1.00 | 0.0141 | 1.00 | |

As depicted in the table, Direct mapping requires a significantly shorter time than BST-based map-ping function used in Xu et al. (2018). Note that mapping functions are used in every weight matrix in every forwarding step and BST requires infeasible time for Blockwise Output reconstruction pro-cess. Therefore, the implementation detail of BCQ's mapping function is essential for implementing FLEXBCQ and reproducing the experimental results.

810 C.3 SENSITIVITY ANALYSIS REGARDING p and τ 811

Table 8: MMLU benchmark accuracies of 3bit Llama-3 8B models quantized by FlexBCQ with different remapping period p. p = 1 represents the case that we remap weights to quantization levels in every step.

| р | 5-shot | 0-shot | Average |
|----|--------|--------|---------|
| 1 | 58.87 | 53.98 | 56.42 |
| 2 | 59.33 | 54.49 | 56.91 |
| 4 | 59.05 | 52.91 | 55.98 |
| 8 | 59.23 | 55.13 | 57.18 |
| 16 | 59.12 | 54.23 | 56.68 |

Table 9: MMLU benchmark accuracies of 3bit Llama-3 8B models quantized by FlexBCQ with different gradient filtering threshold τ . $min(\alpha_{(k)})$ represents that τ is equal to the minimum value of $\alpha_{(k)}$ in each weight group.

| au | 5-shot | 0-shot | Average |
|----------------------------------|--------|--------|---------|
| 0.25 | 57.95 | 52.63 | 55.29 |
| 0.5 | 58.68 | 55.03 | 56.85 |
| 1 | 58.98 | 54.03 | 56.50 |
| $min(\boldsymbol{\alpha}_{(k)})$ | 59.33 | 54.49 | 56.91 |

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Remapping period (p). Periodic Remapping is a technique that performs weight remapping not at every step during Blockwise Output Reconstruction but at intervals of p steps. Table 8 presents the performance variations of FLEXBCQ across different remapping periods.

The experimental results indicate that the performance of FLEXBCQ exhibits slight variations based on the remapping period p. When p = 2, as employed in the main text, FLEXBCQ delivers strong performance. Moreover, when p = 8, FLEXBCQ shows even higher accuracy than the performance reported in the paper. In conclusion, using p = 2 without hyperparameter tuning is sufficient to achieve the excellent results proposed in the paper, except for Llama-3 70B which requires p = 1. Additionally, further performance gains are realized by tuning p for specific configurations.

Gradient Filtering threshold τ . We adopt a gradient filtering technique to exclude gradients that could negatively impact performance during optimization and introduce hyperparameter τ as the filtering threshold. We select τ in FLEXBCQ taking its mapping process into account.

In FLEXBCQ, the mapping process is performed in the space of transformed weights and we map each weight to its nearest quantization level. If integers in the range $[0, 2^k - 1]$ are used as quantization levels, as shown in Figure 2(a), this corresponds to uniform quantization (UQ). Alternatively, if a binary tree is used to represent non-uniform quantization levels, as shown in Figure 2(b), it corresponds to FLEXBCQ.

For simplicity, we first consider the case of uniform quantization. Weights within the clipping range $[w_{m,c}, w_{M,c}]$ are transformed to real values within $[0, 2^k - 1]$, while weights outside this range take on values beyond, e.g. w_m and w_M . These out-of-range weights cause substantial mapping errors. In the transformed space, the interval between quantization levels in UQ is 1, and each level has a range of 0.5 on either side. Thus, it is reasonable to allow a margin of 0.5 even for the extreme levels 0 and 2^{k-1} .

For FLEXBCQ, the value of 0.5 is replaced by the smallest scale factor $min(\alpha_{(k)})$ in BCQ. Therefore, τ is assigned as the smallest scale factor in each weight group, automatically providing a threshold suited to the group's characteristics.

To evaluate the effectiveness of selecting τ as $min\alpha_{(k)}$, we compare it against the UQ-based threshold of 0.5 from (2), as well as alternative thresholds of 0.25 (half of 0.5) and 1.0 (double of 0.5). The results of these experiments are presented in Table 9. As shown in the table, $min(\alpha_{(k)})$, which is employed in our paper, shows the highest accuracy by providing the adaptive gradient filtering threshold for each weight group.

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864 C.4 SENSITIVITY ANALYSIS REGARDING SAMPLE DATASET

In this section, we analyze the effect of selecting a sample dataset and the size of the sample dataset on the performance of FLEXBCQ. We use Llama-3 8B model for the experiments.

Selecting Sample dataset FLEXBCQ performs Blockwise Output Reconstruction based on SGD using a small size of a sample dataset and selecting the sample dataset has a critical impact on the performance of the quantized models. Based on previous studies (Lee et al., 2023; Frantar et al., 2023; Lee et al., 2023), we conduct experiments using the C4 dataset in the main text. In this section, we aim to examine how the performance of FLEXBCQ changes when using the SlimPajama (Soboleva et al., 2023) and FineWeb-Edu dataset (Lozhkov et al., 2024).

Table 10: MMLU benchmark accuracies of 3-bit quantized Llama-3 8B models on C4 and SimPajama datasets. Difference column indicates the amount of improvement in average accuracy over the case of using C4.

| Dataset | 5-shot | 0-shot | Average | Difference |
|-------------|--------|--------|---------|------------|
| C4 | 59.33 | 54.49 | 56.91 | 0 |
| SlimPajama | 59.78 | 55.92 | 57.86 | 0.94 |
| FineWeb-Edu | 59.14 | 55.67 | 57.41 | 0.50 |

Experimental results indicate that using the SlimPajama dataset and FineWeb-Edu dataset achieves an average accuracy improvement of 0.94% and 0.5%, respectively. This enhancement is attributable to the extensive preprocessing of those datasets, such as the removal of duplicates Soboleva et al. (2023). These results underscore that the performance of a quantized model is influenced by the characteristics of the sample dataset used for calibration.

Sensitivity on the size of sample dataset. To illustrate the effect of sample dataset size on the performance of FLEXBCQ, we quantized the Llama-3 8B model to 3 bits using sample datasets of varying sizes and evaluated its performance on the MMLU benchmark. We use sample datasets ranging in size from 32 to 256, with 128 being the specific size used in our paper. Each sample dataset comprises 2,048 tokens. We summarize the result in Table 11.

Table 11: MMLU 0-shot and 5-shot accuracies of 3-bit quantized Llama-3 8B models on various sizes of sample datasets.

| Benchmark | 32 | 64 | 128 | 256 |
|-----------|----------------|----------------|----------------|----------------|
| 5-shot | 58.76 54.53 | 59.36 54.70 | 59.33 54.49 | 59.66 54.63 |
| Average | 56.65 | 57.03 | 56.91 | 57.15 |

Experimental results indicate that the performance of the quantized models remains largely stable except in cases where the sample dataset size is extremely small (e.g., 32). This is attributed to the fact that even the largest sample dataset (with 256 samples), consisting of tokens represents only approximately one ten-millionth of the tokens used to train the Llama-3 8B model Dubey et al. (2024). As such, marginal increases in dataset size do not result in significant performance changes. Based on these observations, the dataset size of 128, used in our study, is appropriate for comparing the accuracy of different quantization methods.

918 C.5 SENSITIVITY ANALYSIS REGARDING OPTIMIZATION EPOCHS

In our experimental setup, we adopt OmniQuant (Shao et al., 2024)'s SGD-based optimization framework and set the number of epochs to 20. Table 12 illustrates the impact of varying the number of epochs on FLEXBCQ 's performance.

Table 12: Accuracy variation of 3-bit quantizaed Llama-3 8B models using FLEXBCQ on the MMLU benchmark across different epoch settings.

| Benchmark | 10 | 20 | 30 |
|------------------|----------------|----------------|----------------|
| 5-shot 0-shot | 59.17 54.28 | 59.33 54.49 | 59.23 53.65 |
| Average | 56.72 | 56.91 | 56.44 |

The experimental results demonstrate that FLEXBCQ's performance is not critically affected by the number of epochs, and the 20-epoch setting used in our experiments achieves the highest average accuracy. Overfitting appears to occur at approximately 30 epochs, further validating that training with 20 epochs is a proper choice.

C.6 ANALYZING THE EFFECT OF CLIPPING STRATEGY

There are three possible strategies for clipping range search used in Unified Initialization: (a) Fixed
Minimum strategy which fixes the minimum weight and adjusts only the maximum value, (b) Fixed
Maximum strategy which fixes the maximum weight and adjusts only the minimum value, and (c)
Balanced strategy which adjusts both the minimum and maximum values to find the clipping range.
The performance of these strategies for quantizing the Llama-3 8B model into 3 bits is presented in
Table 13.

Table 13: Accuracies of 3-bit quantized Llama-3 8B models with different clipping strategies on MMLU benchmark.

| Clipping Strategy | 5-shot | 0-shot | Average |
|--------------------------------|----------------|----------------|----------------|
| Fixed Minimum Fixed Maximum | 59.33 59.23 | 54.49 54.99 | 56.91 57.11 |
| Balanced | 58.77 | 54.59 | 56.68 |

Experimental results reveal that while all three strategies deliver comparable performance, the Fixed Minimum strategy achieves a higher score than the Balanced strategy. Therefore, Fixed Minimum, used in Algorithm 1, is a proper strategy for initialization. Furthermore, as shown in the table, using Fixed Maximum strategy provides a slight performance improvement.

D IMPLEMENTATION DETAILS OF COMPETITORS

D.1 OMNIQUANT (SHAO ET AL., 2024)

We use the official implementation¹ of OmiQuant. We use the hyperparameters reported in the paper and GitHub¹ for running OmniQuant.

D.2 FLEXROUND (LEE ET AL., 2023)

We implement the FlexRound following the paper (Lee et al., 2023). Since FlexRound and FLEXBCQ have similar quantization and optimization processes, we implement FlexRound on top of our implementation of FLEXBCQ besides its UQ quantizer. We use the same hyperparameter settings, e.g. learning rate, for fair comparison.

¹https://github.com/OpenGVLab/OmniQuant

972 D.3 RTN 973

We use clipping range search with 50 iterations for implementing RTN which is the same as the number of iterations used in unified initialization. We use the same source code for clipping range search in unified quantization.

D.4 GREEDY AND ALTERNATING (XU ET AL., 2018)

We implement Greedy and Alternating based on the paper (Xu et al., 2018) besides its BCQ mapping function as explained in Section C.2. We use alternating update with 15 iterations for implementing Alternating which is the same as the number of iterations used in unified initialization. We use the same source code for clipping range search in unified quantization.

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E DETAILS OF COMPOSITION THEOREM

Composition Theorem is an essential part of FLEXBCQ enables us to exploit flexible mapping without any memory and latency overhead compared to the conventional BCQ algorithms. We first provide a proof of Composition Theorem and perform an in-depth analysis of its effect.

E.1 PROOF OF THEOREM 1

Proof. By the definitions of $\mathcal{R}(\mathbf{b}_{(k)}; \Theta_B)$ and $\mathcal{D}(\widetilde{w}_{(k)}; \Theta_U)$, we reduce $\mathcal{D}(Q_B(\mathbf{b}_{(k)}; \Theta_B); \Theta_U)$ as follows.

$$\begin{aligned} \mathcal{D}(\mathcal{R}_{B}(\boldsymbol{b}_{(k)};\Theta_{B});\Theta_{U}) &= \Delta_{(k)}((\boldsymbol{\alpha}_{(k)}^{T}\boldsymbol{b}_{(k)}+z_{B,(k)})-z_{U,(k)}) \\ &= (\Delta_{(k)}\boldsymbol{\alpha}_{(k)})^{T}\boldsymbol{b}_{(k)}+\Delta_{(k)}(z_{B,(k)}-z_{U,(k)}) \\ \end{aligned}$$
Thus, $\boldsymbol{\alpha}_{(k)}^{*} &= \Delta_{(k)}\boldsymbol{\alpha}_{(k)}, z_{B,(k)}^{*} &= \Delta_{(k)}(z_{B,(k)}-z_{U,(k)}), \text{ and } \Theta_{B}^{*} = \{\boldsymbol{\alpha}_{(k)}^{*}, z_{B,(k)}^{*}\}. \end{aligned}$

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E.2 EFFECT OF COMPOSITION THEOREM

1003 In this section, we analyze the effect of Composition Theorem by comparing the size and latency 1004 of the quantized models when we use and do not use Composition Theorem. Assume that we have 1005 a group $w \in \mathbb{R}^g$ of weights consists of g weights, and we quantize w into k-bit using Alternating, 1006 FLEXBCQ without and with Composition Theorem. Then, quantization results for saving, number 1007 of bits for saving, and reconstruction process for inference for each case is summarized in Table 14 1008 We assume we use 16 bits to save quantization parameters following our experimental setting.

Table 14: Comparison of quantized results, number of bits for saving, and reconstruction process of Alternating, FLEXBCQ without and with Composition Theorem, when quantizing a group $w \in \mathbb{R}^{g}$ of weights into k bits.

| | | • | 2105 | Acconstruction |
|-------------|--------------|--|--------------|--|
| Alternating | - | $m{B}, m{lpha}_{(k)}, z_{B,(k)}$ | gk + 16(k+1) | $\mathcal{R}_B(\boldsymbol{B};\Theta_B)$ |
| FLEXBCQ | - | $\boldsymbol{B}, \boldsymbol{\alpha}_{(k)}, \boldsymbol{z}_{B,(k)}, \boldsymbol{\Delta}_{(k)}, \boldsymbol{z}_{U,(k)}$ | gk + 16(k+3) | $\mathcal{D}(\mathcal{R}_B(\boldsymbol{B};\Theta_B);\Theta_U)$ |
| FlexBCQ | \checkmark | $(oldsymbol{B},oldsymbol{lpha}^*_{(k)},z^*_{B,(k)})$ | gk + 16(k+1) | $\mathcal{R}^*_B(oldsymbol{B};\Theta_B)$ |

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1020 *B* is a binary code matrix containing binary weights codes in w. $\alpha_{(k)}^*$ and $z_{B,(k)}^*$ are scale factors 1021 and a shifting factor of the integrated BCQ Reconstruction function \mathcal{R}_B^* . the definitions of other 1022 symbols are summarized in Table 5. If we do not apply Composition Theorem, FLEXBCQ requires 1023 additional quantization parameters $\Delta_{(k)}$ and $z_{U,(k)}$ since it utilizes flexible transformation function 1024 $\mathcal{T}_{\mathcal{F}}$, requiring additional 32 bits per weight group. Moreover, FLEXBCQ requires a dequantization 1025 process whenever it performs inference and this slows down the inference speed of quantized models. On the other hand, if we apply Composition Theorem, the memory and latency overheads are removed since it integrates the inefficient two-step reconstruction process of FLEXBCQ into a single BCQ's Reconstruction function \mathcal{R}_B^* . Therefore, Composition Theorem is essential for deploying quantized models generated by FLEXBCQ.

F ADDITIONAL ANALYSIS OF FLEXBCQ

In this section, we analyze the running time and memory usage of FLEXBCQ. We also analyze thepatterns of flexible mapping when we quantize LLMs using FLEXBCQ.

F.1 RUNNING TIME AND MEMORY USAGE OF FLEXBCQ

| Table 15: Running times (s) of FlexRound and |
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| FLEXBCQ for quantizing Llama-3 8B into 2, |
| 3 or 4 bits. |

Table 16: Average number of bits per weight of FLEXBCQ and FlexRound. Column names are bits for saving a weight.

| Method | 4bit | 3bit | 2bit |
|-----------|-------|-------|-------|
| FlexRound | 16526 | 16523 | 16521 |
| FlexBCQ | 36505 | 27306 | 25412 |

1047 In LLM quantization, the feasible running time and the size of the model after quantization are 1048 important factors for practical usage. In this section, we evaluate the running time and memory 1049 usage of FLEXBCQ by comparing it with FlexRound, which achieved the second-best performance 1050 in our experiments. Table 15 summarizes the comparison of running time for quantizing Llama-3 1051 8B, and Table 16 shows the average number of bits required to store weights. In Table 16, the column headers indicate the bits needed to store a single weight without quantization parameters, and the 1052 values include the bits required for quantization parameters, providing the average number of bits 1053 needed per weight. 1054

1055 FLEXBCQ requires longer quantization times and increases the model size by approximately 8%. 1056 However, note that the quantization time is only incurred during the initial process and does not 1057 impact subsequent usage. Therefore, FLEXBCQ's running time is still feasible for practical usage. Moreover, we prioritize the acceleration capabilities of quantized models over memory size. Since 1058 both UQ and BCQ schemes achieve the same acceleration on state-of-the-art hardware such as LUT-1059 GEMM, the 8% increase in memory usage is considered acceptable. For memory-constrained applications, channel-wise quantization, which minimizes memory overhead from quantization parame-1061 ters become negligible, is a viable alternative. In this setting, FLEXBCQ significantly outperforms 1062 other methods by a large margin as presented in Table 22. 1063

In conclusion, considering the outperforming performance demonstrated across various experiments
 in the main text and Appendix, the running time and memory usage of FLEXBCQ are both reason able.

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1068 F.2 PATTERNS OF FLEXIBLE MAPPING 1069

The main idea of FLEXBCQ is to transfer the flexible mapping technique from FlexRound, a stateof-the-art UQ algorithm, to the BCQ. We examine the proportion of flexible mapping applied across all model weights to assess the effectiveness of this transfer. Tables 17, 18 and 19 illustrate the proportion of the flexibly mapped weights in the entire model, by block location, and by module type, respectively. The column names in the tables indicate the amount of changes in indices of weights' mapped quantization levels resulting from flexible mapping. We use a 3-bit quantized Llama-3 8B model for the experiment.

1077 We recognize patterns from P1 to P4 as follows:

P1. Across the entire model, FLEXBCQ demonstrates a similar level of flexible mapping as FlexRound.

Table 17: Proportion of weights exhibiting flexible mapping across the entire model. Each column indicates the index change in the quantization level resulting from flexible mapping.

| Method | 0 | 1 | 2 | >2 |
|-----------|---------|--------|----------|----------|
| FlexRound | 95.4132 | 4.5862 | 5.00E-04 | 1.30E-05 |
| FlexBCQ | 96.0395 | 3.8619 | 9.85E-02 | 7.62E-05 |

Table 18: Proportion of weights exhibiting flexible mapping across different block positions. Each column corresponds to the index change in the quantization level resulting from flexible mapping. Bold values indicate the highest proportion within each column.

| Diask Lasstian | |] | FlexBCQ | | FlexRound | | | | |
|----------------|-------|------|----------|----------|-----------|------|----------|----------|--|
| BIOCK LOCATION | 0 | 1 | 2 | >2 | 0 | 1 | 3 | >2 | |
| Lower | 98.04 | 1.89 | 6.35E-02 | 9.44E-05 | 97.34 | 2.66 | 1.05E-03 | 4.43E-05 | |
| Mid-Lower | 96.35 | 3.55 | 1.02E-01 | 1.75E-05 | 95.72 | 4.28 | 4.28E-05 | 6.25E-07 | |
| Mid-Upper | 95.66 | 4.24 | 9.60E-02 | 2.24E-05 | 95.12 | 4.88 | 6.83E-05 | 8.75E-07 | |
| Upper | 94.11 | 5.76 | 1.33E-01 | 1.70E-04 | 93.47 | 6.53 | 9.99E-04 | 5.75E-06 | |

- **P2.** The proportion of weights undergoing flexible mapping across the model is approximately 4%. This suggests that flexible mapping does not occur universally but is selectively applied to specific weights where necessary.
- **P3.** In both methods, flexible mapping tends to occur more frequently in the upper layers of the model compared to the lower layers.
- **P4.** In both methods, flexible mapping is generally more prevalent in MHA modules than in MLP modules for both methods.

From P1, it is clear that FLEXBCQ effectively utilizes flexible mapping across the entire model as we intended. P2, however, shows that most weights do not undergo flexible mapping, which aligns with the fact that mapping to the nearest quantization level typically minimizes quantization error. This indicates that the model leverages flexible mapping to reduce reconstruction error in specific cases. The trends observed in P3 and P4, by model location and module type, are similar to the patterns seen in modules that are effectively pruned by the module pruning algorithm (Zhong et al., 2024). When comparing these findings with P2, we interpret that more cautious flexible mapping occurs in the lower layers and MLP modules, which have a greater impact on model performance. In conclusion, we confirm that FLEXBCQ successfully utilizes flexible mapping as intended and our objective of transferring the optimization techniques of UQ algorithms to BCQ has been successfully achieved.

| 1135 | Table 19: Proportion of weights exhibiting flexible mapping across different module types. Each |
|------|---|
| 1136 | column corresponds to the index change in the quantization level resulting from flexible mapping. |
| 1137 | Bold values indicate the highest proportion within each column. |

| Madula | - | | FlexBCQ | | FlexRound | | | | | |
|----------|-------|------|----------|----------|-----------|------|----------|----------|--|--|
| Module 1 | vpe 0 | 1 | 2 | >2 | 0 | 1 | 3 | >2 | | |
| MHA | 95.26 | 4.61 | 1.27E-01 | 1.31E-04 | 94.69 | 5.31 | 5.00E-04 | 1.30E-05 | | |
| MLP | 96.22 | 3.68 | 9.18E-02 | 6.30E-05 | 95.59 | 4.41 | 5.49E-04 | 1.30E-05 | | |
| | | | | | | | | | | |

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G ADDITIONAL EXPERIMENTS 1144

1146 In the main text, we demonstrated the superiority of FLEXBCQ by comparing the accuracy of 3bit and 4-bit quantized models on MMLU and GSM8K benchmarks under a weight group size of 1147 128. In this section, we extend our analysis to additional experimental settings. All experiments 1148 are conducted using the Llama-3 8B. We introduce GPTQ and AWQ as additional competitors for 1149 rigorous comparison. 1150

1151 Perplexity benchmarks. The main text evaluates quantized LLM's knowledge using MMLU benchmarks, which assess general knowledge, and GSM8K, which focuses on mathematical reasoning. 1152 In this section, we further evaluate the quantized models' ability to generate texts by comparing the 1153 perplexity of quantized models on the WikiText-2 (Merity et al., 2017) and C4 (Raffel et al., 2020) 1154 benchmarks. The results are presented in the Table 20. 1155

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1157 Table 20: Comparison of perplexities of quantized models on WikiText-2 and C4 benchmakrs. Lower 1158 perplexity indicates better performance.

| 1159 | | | 4- | bit | 3- | bit |
|--------------|--------|----------------|----------|----------|----------|----------|
| 1160 | Scheme | Method | Wiki | C4 | Wiki | C4 |
| 1161 1162 | - | Full precision | 6.14 | 8.89 | 6.14 | 8.89 |
| 1163 | | RTN | 6.75 | 9.67 | 10.82 | 14.85 |
| 1164 | | AWQ | 6.54 | 9.40 | 8.23 | 11.59 |
| 1165 | UQ | GPTQ | 6.54 | 9.36 | 9.05 | 11.70 |
| 1166 | | OmniQuant | 6.69 | 9.59 | 8.82 | 12.36 |
| 1167 | | FlexRound | 6.55 | 9.36 | 7.62 | 10.76 |
| 1169 | | Greedy | 6.22Ē+04 | 2.45E+04 | 8.32E+04 | 3.81Ē+04 |
| 1100 | BCQ | Alternating | 7.70 | 10.88 | 869.89 | 978.83 |
| 1170 | | FlexBCQ | 6.46 | 9.24 | 7.42 | 10.46 |

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1171 The results demonstrate that FLEXBCQ achieves the highest performance (lowest perplexities) 1172 across all bit widths and benchmark types. This confirms that FLEXBCQ not only excels in gen-1173 eral and task-specific knowledge retention but also outperforms in sentence generation tasks. 1174

2-bit quantization While the main text focuses on relatively high bit widths (3-bit and 4-bit), this 1175 experiment evaluates the robustness of FLEXBCQ under an extremely low bit width of 2 bits. The 1176 results are summarized in Table 21. 1177

1178 The results show that all algorithms, except FLEXBCQ, fail to function effectively under the extreme quantization setting. On MMLU benchmark, other methods achieve accuracy near or below the 1179 random guess rate of 25% which randomly selects an answer among four choices. On GSM8K 1180 benchmark, all competitors achieve less than 1% accuracy, with perplexity values reaching tens to 1181 millions. In contrast, FLEXBCQ succeeds in retaining pretrained models' knowledge under low 1182 bit widths, outperforming the second-best competitor by 10.27%, 7.48%, and 22.14% in MMLU 1183 5-shot, MMLU 0-shot, and GSM8K, respectively. These findings highlight FLEXBCQ 's superior 1184 performance in extremely low-bit scenarios, achieved by our main ideas. 1185

Channel-wise quantization In quantization algorithms, such as UQ and BCQ, weights in the same 1186 weight group share quantization parameters. As the group size increases, quantization becomes more 1187 challenging since more weights share the same quantization parameters. We use a small group size

| Sahama | Mathad | Α | Accuracy ([†]) | | | |
|--------|----------------|-------------|---------------------------|-------|----------|---------------|
| Scheme | Method | MMLU 5-shot | MMLU 0-shot | GSM8K | Wiki | C |
| - | Full precision | 65.02 | 60.52 | 76.12 | 6.14 | 8. |
| | RTN | 22.95 | 22.95 | 0.00 | 1.97E+06 | 2.44 |
| | AWQ | 22.95 | 22.95 | 0.00 | 1.72E+06 | 2.13 |
| UQ | GPTQ | 25.32 | 24.43 | 0.40 | 450.59 | 254 |
| | OmniQuant | 25.04 | 22.91 | 0.00 | 987.10 | 139 |
| | FlexRound | 24.27 | 24.97 | 0.23 | 68.54 | 66 |
| BCQ | Greedy | 26.76 | 26.89 | 0.00 | 4.96E+05 | $\bar{6.851}$ |
| | Alternating | 23.59 | 22.97 | 0.00 | 7.34E+06 | 3.97 |
| | FlexBCQ | 37.02 | 34.37 | 22.54 | 14.95 | 16 |

| 1189 | Table 21: Accuracies and perplexities of 2-bit quantized Llama-3 8B models using FLEXBCQ and |
|------|--|
| 1190 | competitors. Higher accuracy and lower perplexities represent better performance. |

of 128 to minimize accuracy degradation in the main text, this experiment evaluates performance when the group size is expanded to the size of each weight matrix's input channel; we perform channel-wise quantization. The results are summarized in Table 22.

Table 22: Accuracies of Llama-3 models compressed with channel-wise quantization using FLEXBCQ and competitors.

| | C . L | Method | 4bit | | | 3bit | | |
|--------|-------------|----------------|--------|--------|---------|--------|--------|---------|
| Scheme | Scheme | | 5-shot | 0-shot | Average | 5-shot | 0-shot | Average |
| | - | Full precision | 65.02 | 60.52 | 62.77 | 65.02 | 60.52 | 62.77 |
| UQ | RTN | 57.02 | 52.31 | 54.67 | 25.39 | 23.15 | 24.27 | |
| | AWQ | 61.67 | 55.61 | 58.64 | 43.86 | 37.96 | 40.91 | |
| | GPTQ | 41.62 | 41.94 | 41.78 | 25.92 | 25.98 | 25.95 | |
| | OmniQuant | 58.11 | 53.86 | 55.99 | 25.35 | 28.02 | 26.69 | |
| | | FlexRound | 60.84 | 53.21 | 57.02 | 54.52 | 45.92 | 50.22 |
| | | Greedy | 24.19 | 23.00 | 23.59 | 24.65 | 26.44 | 25.55 |
| BCQ | Alternating | 22.95 | 22.95 | 22.95 | 25.51 | 25.51 | 25.51 | |
| | FlexBCQ | 62.26 | 57.01 | 59.63 | 55.73 | 51.01 | 53.37 | |

As a result, all algorithms except FLExBCQ exhibit significant performance degradation, especially
 in 3-bit quantization. FLExBCQ shows 0.99% and 3.15% higher accuracy compared to the second best algorithm in 4-bit and 3-bit quantization, respectively.

Across all experiments, FLEXBCQ demonstrates the best performance. Whether generating sentences, operating under extremely low bit widths, or managing large group sizes, FLEXBCQ consistently excels. These results highlight its capability to tackle challenging problems where other methods fail.