# Transformers are Efficient Compilers, Provably

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### **Abstract**

Transformer-based large language models (LLMs) have demonstrated surprisingly robust performance across a wide range of language-related tasks, including programming language understanding and generation. In this paper, we take the first steps towards a formal investigation of using transformers as compilers from an expressive power perspective. To this end, we introduce a representative programming language, Mini-Husky, which encapsulates key features of modern C-like languages. We show that if the input code sequence has a bounded depth in both the Abstract Syntax Tree (AST) and type inference (reasonable assumptions based on the clean code principle), then the number of parameters required by transformers depends only on the logarithm of the input sequence length to handle compilation tasks, such as AST construction, symbol resolution, and type analysis. A significant technical challenge stems from the fact that transformers operate at a low level, where each layer processes the input sequence as raw vectors without explicitly associating them with predefined structure or meaning. In contrast, high-level compiler tasks necessitate managing intricate relationships and structured program information. Our primary technical contribution is the development of a domain-specific language, Cybertron, which generates formal proofs of the transformer's expressive power, scaling to address compiler tasks. We further establish that recurrent neural networks (RNNs) require at least a linear number of parameters relative to the input sequence, leading to an exponential separation between transformers and RNNs. Finally, we empirically validate our theoretical results by comparing transformers and RNNs on compiler tasks within Mini-Husky.

#### 1 Introduction

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Transformers (Vaswani, 2017) have demonstrated remarkable proficiency across various domains, achieving near-expert performance in solving International Mathematical Olympiad problems (Google Deepmind, 2024) and excelling in complex reasoning tasks in science, coding, and mathematics (OpenAI, 2024a).. They also handle routine coding tasks with high precision, such as translating Agda code into TypeScript, outperforming the outdated and expensive-to-maintain AgdaJS compiler (Taelin, 2023b), and integrating into code editors to significantly boost programmers' productivity (cur, 2024; Taelin, 2023a). Despite these advancements, the full extent of their underlying capabilities remains only partially understood.

In this paper, we aim to deepen our understanding of transformers' abilities to perform compilation tasks. Empirically, transformer-based LLMs have shown rapid progress in code generation and compilation. For example, MetaLL (Cummins et al., 2024) enables LLMs to optimize code by interpreting compiler intermediate representations (IRs), assembly language, and optimization techniques. Gu (2023) highlights the ability of LLMs to generate high-quality test cases for Golang compilers. Surprisingly, Taelin (2023b)demonstrates that models like Sonnet-3.5 can compile legacy code

into modern languages like TypeScript, outperforming the now obsolete AgdaJS compiler (Agda Development Team, 2024).

To formally study this problem in a controlled setup, we designed a C-like programming language 41 called **mini-husky**, which encapsulates key features of modern C-like languages such as (Flanagan, 42 2011) and Rust (Klabnik & Nichols, 2023). We focus on three representative compilation tasks: ab-43 stract syntax tree (AST) construction, symbol resolution, and type analysis. The AST is a recursive structure that represents the input as a tree. From the perspective of programming language design, 45 the AST is considered the true representation of the input, with the textual code serving merely as 46 a convenient interface for human users (Alfred et al., 2007). All syntactic and semantic processing 47 can then be interpreted as specific operations on these trees. Symbol resolution involves verifying 48 the validity of references to entities and flagging errors for undefined symbols. Type analysis en-49 compasses both type inference, which assigns types to variables without explicit annotations, and type checking, which identifies mismatches between actual and expected types. 51

We demonstrate that, under the clean code principle (Martin, 2008), transformers with a number of parameters that scale logarithmically with the input length can efficiently perform AST construction, symbol resolution, and type analysis. To the best of our knowledge, this is the first theoretical demonstration that transformers can function as compilers in a parameter-efficient manner.

We further compare transformers and recurrent neural networks (RNNs). By connecting the type analysis task with the associative recall, we show even under the *clean code principle* (Martin, 2008), RNNs require a memory size that scales *linearly* with the input sequence length to successfully perform type analysis. Consequently, for type analysis in compilation, transformers can be exponentially more efficient than RNNs. We also empirically validate our theoretical findings by demonstrating the superiority of transformers in the type analysis task.

### 62 Technical Challenges and Our Technique.

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Proving that transformers can perform compilation tasks presents several challenges:

- Transformers operate at too low a level. Transformers process sequences of floating-point vectors, akin to raw bits in computers, and proving their ability to perform specific tasks is similar to writing specialized parallel machine code. Previous work (Yao et al., 2021) often resorts to graphical illustrations for readability, even for basic tasks.
  - Compilers are exceedingly high-level. Compilers are one of the most challenging programming projects in our era and early C compilers. Compilers are among the most complex programming endeavors of our time. Compilation involves numerous sophisticated procedures, some of which are undecidable or computationally expensive, such as code optimization (Alfred et al., 2007)) and type analysis (Pierce, 2002). For example, type analysis in complex type systems poses significant challenges, often requiring the development of advanced logical frameworks (Dunfield & Krishnaswami, 2019).

To overcome these challenges, we design a domain-specific language (DSL) called **Cybertron** to 75 serve as the proof vehicle, i.e., a major part of our proof consists of reasoning about type-correct 76 code in Cybertron that represents a transformer. Without using Cybertron, writing an equivalent 77 natural language proof would be too complex and intractable. Using code to prove propositions is 78 not new to computer science; it is, in fact, the norm in interactive theorem proving (ITP) (Har-79 rison et al., 2014). ITP focuses on generating computer-verifiable proofs through a combination 80 of human-guided instructions and software automation. For instance, the correctness of the Ke-81 pler conjecture (Hales et al., 2017) is verified by the combination of the ITP theorem provers HOL Light (Harrison, 2009) and Isabelle (Paulson, 1994). o our knowledge, we are the first to apply this 83 approach to understanding neural networks.

**Contributions.** We summarize our contributions below:

- A testbed for compilation tasks: We introduce Mini-Husky, a simple yet representative C-like programming language, designed to formally assess transformers' capabilities in programming language processing. We anticipate that Mini-Husky will become a standard testbed for this purpose.
- Expressive power theory of transformers as compiler: We provide a formal proof that, when the input code sequence has bounded AST depth and inference depth, the number of parameters in transformers only needs to scale logarithmically with the input sequence length to handle com-

- pilation tasks such as AST construction, symbol resolution, and type analysis. To the best of our knowledge, this is the first study exploring the power of transformers as compilers.
  - Transformers vs. RNNs: Theoretically, we demonstrate a negative result, showing that the number of parameters in RNNs must scale linearly with the input sequence length to perform type analysis correctly. This result establishes an exponential separation between transformers and RNNs. We further empirically confirm the advantage of transformers for the type analysis task.
  - A Domain-Specific Language for Proofs: Given the challenges in formal proofs, we design a
    domain-specific language, Cybertron, to serve as a proof vehicle. We believe that Cybertron, and
    the general approach of using DSLs for analysis, can have broader applications in understanding
    transformers and other architectures.

#### 2 Related Work

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**Expressive Power of Transformers.** A line of work studies the expressive power of attention-based 104 models. One direction focuses on the universal approximation power (Yun et al., 2019; Bhattamishra 105 et al., 2020b,c; Dehghani et al., 2018; Pérez et al., 2021). More recent works present fine-grained 106 characterizations of the expressive power for certain functions in different settings, sometimes with 107 statistical analyses (Edelman et al., 2022; Elhage et al., 2021; Likhosherstov et al., 2021; Akyürek 108 et al., 2022; Zhao et al., 2023; Yao et al., 2021; Anil et al., 2022; Barak et al., 2022; Garg et al., 2022; Von Oswald et al., 2022; Bai et al., 2023; Olsson et al., 2022; Akyürek et al., 2022; Li et al., 2023; Hao et al., 2022; Pérez et al., 2019; Strobl, 2023; Chiang et al., 2023; Wei et al., 2022; Wang et al., 2022; Feng et al., 2023; Li et al., 2024; Reddit User, 2013). The most related one is Yao et al. 112 (2021) where the authors prove constructively that bounded depth Dyck language can be recognized 113 by encoder-only hard attention transformers, which has similarities to our settings of bounded depth 114 programming language recognized encoder-only hard attention transformers. The major difference 115 is that we introduce concepts and tasks from programming language theory Pierce (2002) to study 116 the semantic powers of transformers.

**Transformers vs. RNN.** It is important to understand the comparative advantages and disadvantages of transformers against RNNs. Empirically, synthetic experiments have shown an advantage of transformers against RNNs for long range tasks (Bhattamishra et al., 2023; Arora et al., 2023). Theoretically, there has been a rich line of work focusing on comparing transformers and RNNs in terms of recognizing formal languages (Bhattamishra et al., 2020a; Hahn, 2019; Merrill et al., 2021), which show that the lack of recursive structure of transformers prevent them from recognizing some formal languages that RNNs can recognize. However, the gap can be mitigated when we consider the bounded length of input or bounded grammar depth (Liu et al., 2022; Yao et al., 2021), which is quite reasonable in practice and is used in this paper. On the other side, prior work (Jelassi et al., 2024; Wen et al., 2024) proves a representation gap between RNNs and Transformers in repeating a long sequence. In summary, it is somehow intuitive that recursive structures with limited memory perform badly at tasks which requires information retrieval. Our paper shows that semantic analysis for programming languages is such a task.

DSLs for Transformers. We note that we are not exactly the first one to employ a domain-specific language to understand the expressive powers of transformers. Previously, DSLs with simple typings like RASP (Weiss et al., 2021) were proposed to prove constructively that transformers can do various basic sequence-to-sequence operations. Lindner et al. (2023) writes a compiler that compiles RASP into actual transformers, Friedman et al. (2023) shows that RASP can be learned, and Zhou et al. (2023) uses RASP to prove that simple transformers can perform certain algorithms. The major difference between RASP and our DSL Cybertron is that Cybertron has a powerful algebraic type system that helps to prove complicated operations beyond simple algorithms.

### 139 3 Preliminaries

The major innovation in the transformer architecture is self-attention, which processes input tokens in a distributed manner. This capability enables the model to handle long-range dependencies, a crucial feature for language tasks. We use hard attention and simplified position encoding to simplify our theoretical reasoning.

**Attention.** In practice, attention heads use **soft attention**. Given model dimension  $d_{\text{model}}$ , number of heads H, and a finite set of token positions Pos, an attention layer with simplified position encoding is defined as a function  $f_{\text{attn}}: \mathbb{R}^{Pos \times d_{\text{model}}} \to \mathbb{R}^{Pos \times d_{\text{model}}}$  given by 145 146

$$\forall p \in \text{Pos}, \quad f_{\text{attn}}(X)_p := W_O \operatorname{Concat}\left(\operatorname{Attn}^{(1)}(X)_p, \dots, \operatorname{Attn}^{(H)}(X)_p\right), \tag{1}$$

where the 
$$h$$
th attention head is defined using soft attention as:  $\operatorname{Attn}^{(h)}(X)_p := \sum_{p' \in \operatorname{Pos}} \alpha_{p,p'}^{(h)} V_{p'}^{(h)}$ .

The attention weights  $\alpha_{p,p'}^{(h)}$  given by:  $\alpha_{p,p'}^{(h)} = \frac{\exp\left(Q_p^{(h)^\top} K_{p'}^{(h)} + \lambda^{(h)^\top} \Psi_{p'-p}\right)}{\sum_{p'' \in \operatorname{Pos}} \exp\left(Q_p^{(h)^\top} K_{p''}^{(h)} + \lambda^{(h)^\top} \Psi_{p''-p}\right)}$ , where  $W_O \in \mathbb{R}^{d_{\operatorname{model}} \times d_{\operatorname{model}}}$  are trainable parameters,  $Q_p^{(h)}, K_p^{(h)}, V_p^{(h)} \in \mathbb{R}^{d_{\operatorname{model}}/H}$  are linear transformations of

$$X_p, \lambda^{(h)} \in \mathbb{R}^2$$
 depends on the head, and  $\Psi_q = \begin{pmatrix} q \\ 1_{q>0} \end{pmatrix} \in \mathbb{R}^2$  accounts for relative position.

For theoretical convenience, we use hard attention, commonly used in theoretical analysis of trans-

former (Yao et al., 2021; Hahn, 2019). Hard attention can be viewed as the limit of soft attention

when the attention logits become infinitely large. The hard attention head is defined as:

$$Attn^{(h)}(X)_p := \frac{1}{|S_p|} \sum_{p' \in S_p} V_{p'}^{(h)}, \text{ where } S_p = \arg\max_{p' \in Pos} \left( Q_p^{(h)}^\top K_{p'}^{(h)} + \lambda^{(h)}^\top \Psi_{p'-p} \right)$$
(2)

In other words, hard attention selects the positions p' that maximize the attention score for each 154 position p, and averages the corresponding value vectors  $V_{n'}^{(h)}$ . 155

**Feed-Forward Layer.** Given model dimension  $d_{\text{model}}$ , and a finite set of token positions Pos, a 156 feed-forward layer is a fully connected layer applied independently to each position, defined as a function  $f_{\text{ffn}}: \mathbb{R}^{\text{Pos} \times d_{\text{model}}} \to \mathbb{R}^{\text{Pos} \times d_{\text{model}}}$  given by 157 158

$$\forall p \in \text{Pos}, \quad f_{\text{ffn}}(X)_p = W_2 \sigma_{\text{ReLU}} \left( W_1 X_p + b_1 \right) + b_2,$$
 (3)

where  $W_1 \in \mathbb{R}^{d_{\text{fifn}} \times d_{\text{model}}}$  and  $W_2 \in \mathbb{R}^{d_{\text{model}} \times d_{\text{fifn}}}$  are trainable weight matrices,  $b_1 \in \mathbb{R}^{d_{\text{fifn}}}$  and  $b_2 \in \mathbb{R}^{d_{\text{model}}}$  are trainable bias vectors,  $d_{\text{fifn}}$  is the hidden dimension of the feed-forward layer, chosen to be 159  $2d_{\text{model}}$ , as commonly used in practice,  $\sigma_{\text{ReLU}}$  is the ReLU activation function. 161

**Encoder-Only Transformer.** Encoder-only transformers consist solely of the encoder stack, mak-162 ing them ideal for tasks like classification, regression, and sequence labeling that do not require 163 sequence generation. Each encoder layer includes a multi-head self-attention mechanism and a 164 feed-forward network, allowing the model to capture complex dependencies and contextual infor-165 166

One can define it using the following recursion, 167

- The input is given by:  $X^{(0)} = X$ . 168
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- For each layer  $l=1,2,\ldots,L$ :

   Compute attention output:  $\hat{X}^{(l)}=X^{(l-1)}+f_{\mathrm{attn}}^{(l)}\left(X^{(l-1)}\right)$ , 170
- Compute feed-forward output:  $X^{(l)} = \hat{X}^{(l)} + f_{\text{fin}}^{(l)} \left( \hat{X}^{(l)} \right)$ . 171

In the above,  $f_{\rm attn}^{(l)}$  are the attention layers, and  $f_{\rm ffn}^{(l)}$  are the feed-forward layers, with the same model dimension  $d_{\rm model}$ , number of heads H, and set of token positions Pos. For simplicity, layer normal-172 173 ization is ignored. See Appendix C for full details of transformers and other architectures. 174

# **Programming Language Processing and The Target C-Like Language:** Mini-Husky

Recently, transformers have expanded to include code analysis and generation (Nijkamp et al., 2023; 177 Chen et al., 2021; Anysphere, 2023). Programming languages offer a cleaner foundation for studying language understanding, as their syntactic and semantic tasks are precisely defined. To formally study the language processing capabilities of transformers, we design **Mini-Husky**, a representative

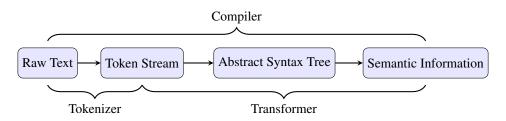


Figure 1: Programming language processing pipeline

mix of modern C-like languages with strong typing and typical syntactic features. It supports userdefined types (e.g., structs, enums) and enforces strict type equality, disallowing implicit conversions. Lexical scoping, including shadowing, ensures proper variable accessibility based on block structures, type inference, and checking. These features make the Mini-Husky compiler a representative task to evaluate transformers' capabilities in syntactic and semantic tasks like symbol resolution and type checking. See Appendix D for the full details of **Mini-Husky**.

The standard pipeline of processing programming languages is shown in Figure 1 (Alfred et al., 2007). The raw text is firstly segmented into parts like literals, identifiers, punctuations, keywords, etc, called token stream, then parsed into a tree like structure representation of the generation process of the input, finally syntactic and semantic analysis is performed on the tree. In this paper, to simplify the presentation, we assume tokenizer has been provided priori. Below we describe key tasks of programming language processing.

**Abstract Syntax Tree Construction.** Abstract Syntax Tree (AST) is a hierarchical, tree-like representation of the syntactic structure of source code in a programming language. Unlike the raw text of the code, the AST abstracts away from surface syntax details, capturing the essential elements and their relationships in a structured form. Each node in the AST corresponds to a construct occurring in the source code, such as expressions, statements, or declarations. This representation is central to various stages of language processing, enabling efficient syntax checking, semantic analysis, and code generation. The formal definition of ASTs is standard in the programming language literature but is lengthy, so we defer to Appendix A.

The AST construction task's final output is the collection all AST nodes. We will show transformers can construct AST efficiently. 202

Symbol Resolution. In programming languages, symbols are functions, types, generics, variables, macros, etc. They are defined in one place and can be used by referring to the corresponding identifier or path in a certain scope. The scope can be within a certain tree of modules, or within a certain curly bracketed scope within one module. For simplicity, we only consider curly bracketed scope.

In **Mini-Husky**, the following showcases symbol resolution.

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```
pub fn f() {
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              fn f1() {}
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              let a = 1;
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              let a = 2;
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                   let a = 3;
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                   { let a = 4; }
                   let y = a;
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         fn g() { f() }
```

The outer function f is accessible everywhere in the body of function g. However, the inner function f1 can only be used inside the body of f as it is defined within the body. For variables with the same identifier a, the first is accessible from lines 4 and 5, the second is accessible from line 12, the third is from line 10, and the fourth is not accessible from anywhere. Thus x=1,y=3,z=2.

The output of the **symbol resolution** task is the collection of symbol resolution results on all applicable tokens. More concretely, the output is a sequence of values of type Option<SymbolResolution> where Option<SymbolResolution> is the type SymbolResolution with a null value added for non-applicability and SymbolResolution is the type storing the result of the symbol resolution, being either a success with a resolved symbol of type Symbol or a failure with an error of type SymbolResolutionError. We shall prove that transformers can do symbol resolution and that attention is crucial.

**Type Analysis.** In general, type is essential for conveying the intended usage of the written functions and specifying constraints. As a first exploration of this topic, we try to make the type analysis in **Mini-Husky** as simple as possible yet able to bring out the essential difficulty. The type system consists of four sequential components: (1) *Type definition*, (2) *Type specification*, (3) *Type inference*, and (4) *Type checking*. Due to the page limit, here we only introduce (4) *Type checking* because it is the final step and this is a crucial step which separates transformers and RNNs. See Appendix D.1 for details of (1) *Type definition*, (2) *Type specification*, and (3) *Type inference* 

(4) *Type checking*. Type checking ensures that the type expressions agree with its expectations. For simplicity, we do not allow implicit type conversion, so the agreement means exact equality of types. The arguments of function calls are expected to have types according to the definition of the function. The operand type of field access must be a struct type with a field of the same name. The type of the last expression of the function body or the expr in the return statement must be equal to the return type of the function. For variables defined in the let statement, If the types are annotated, the types of the left-hand side and right-hand side should be in agreement.

```
Type Error: the return type is 'i32', yet the last expression is of type 'f32'
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          fn f(a: i32) -> i32 { 1.1 }
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          struct A { x: i32 }
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       6
          fn g() {
               // Type Error: 'x' is of type f32 but it's assigned by a value of type 'i32'
// Type Error: the first argument of 'f' expects be of type 'i32' but gets a float
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                 literal instead
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               let x: f32 = f(1.1);
                // Type Error: no field named 'y'
258
      10
259
      11
               let y = A \{ x: 1 \}.y;
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```

The above incorporates typical examples of type disagreements that count as type errors. A compiler should be able to report these errors.

The **type analysis** task's final output is the collection of all type errors. More concretely, the output is a sequence of Option<TypeError>, where Option<TypeError> denoted the type TypeError will a null value added and TypeError is the type storing the information of a type error. The position of type errors agrees with the source tokens leading to these errors.

### 5 Expressive Power of Transformers as Efficient Compilers

In this section we discuss main theoretical results about the expressive power of transformers to perform compilation tasks: AST construction, symbol resolution, and type analysis. In Section 5.4, we discuss **Cybertron**, a DSL specifically designed for our proof.

#### 5.1 Abstract Syntax Tree Construction

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272 We start with a definition that characterizes low-complexity codes.

Definition 1 (Codes with Bounded AST-Depth). Let MiniHusky  $_D$  be the set of token sequences that can be parsed into valid ASTs in Mini-Husky with a depth less than D.

D in the above definition is small in practice, and a linear dependency on D is acceptable, but the linear dependency on L is not. The fundamental reason is that *the clean code principle* (Martin, 2008) requires one to write code with as little nested layer as possible for greater readility. Readability is of

the utmost importance because "Programs are meant to be read by humans and only incidentally for computers to execute" (Abelson et al., 1996). This assumption of bounded hierarchical depth is not limited to just programming languages, but is often seen as applicable to natural languages (Frank et al., 2012; Brennan & Hale, 2019; Ding et al., 2017), motivating Yao et al. (2021) to have a similar boundedness assumption. Below is the main result for AST construction using transformers.

Theorem 1. There exists a transformer encoder of model dimension and number of layers being  $O(\log L + D)$  and number of heads being O(1) that represents a function that maps any token sequence of length L in MiniHusky D to its abstract syntax tree represented as a sequence.

We note  $\log L$  is small because a 64-bit computers can only process context length at most  $2^{64}$  and D is small by assumption. Therefore, there exists a transformer with an almost constant number of parameters that is able to process comparatively much longer context length.

*Proof Sketch.* The idea is to construct ASTs in a bottom-up manner with full parallelism. We shall 289 recursively produce the final ASTs in at most D steps. We shall maintain two values, called pre\_asts 290 and asts . asts represents ASTs that have already been allocated, although they might not been fully 291 initialized. pre\_asts represents tokens that have yet to form ASTs and new ASTs that have not been 292 fully initialized. For each round, we try to create new ASTs from pre\_asts and update asts and 293 pre asts. For the n-th round, we provably allocated all ASTs with depth no more than n. Then for 294 the D-th round, all ASTs are properly constructed and allocated. Each round can be represented by 295 a transformer of a number of heads O(1), model dimension  $O(\log L + D)$ , and a number of layers 296 O(1). Therefore, The end-to-end process is then representable by a transformer of the number of 297 heads O(1), model dimension  $O(\log L + D)$  and the number of layers  $O(\log L + D)$ . See full details 298 in in Appendix F. 299

#### 5.2 Symbol Resolution

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Next, we show that transformers can effectively perform symbolic resolution as  $\log L$  and D are almost constant as compared with context length L.

Theorem 2. There exists a transformer encoder of model dimension and number of layers being  $O(\log L + D)$  and number of heads being O(1) that represents a function that maps any token sequence of length L in MiniHusky D to its symbol resolution represented as a sequence of values of type Option<SymbolResolution>.

Proof Sketch. First, we need to define the type for scopes. It is represented by a tiny sequence of indices of curly brace block AST that enclose the type/function/variable. We assign the scope by walking through the ASTs in a top-down manner. We not only assign scopes to item definitions, we also: (1) assign scopes to ASTs representing curly brace blocks, with these scopes equal to the scope of block itself, and (2) assign scopes to identifiers waiting to be resolved, with these scopes equal to the maximum possible scope of its resolved definition. The computation process is easily represented in Cybertron, indicating attention is expressive enough for this calculation and it only takes O(D) number of layers.

After obtaining all the scopes for all items, it takes only one additional layer to obtain the symbolic resolution through attention. As attention is expressed through the dot product of two linear projections Q and K, we have to choose the representation of the scope type properly to finish the proof. The full details are in Appendix G.

### 5.3 Type Analysis

We need an additional definition to characterize the complexity of codes for type analysis.

Definition 2 (Codes with Bounded AST-Depth and Type-Inference-Depth). We use MiniHuskyAnnotated<sub>D,H</sub> to denote the subset of MiniHusky<sub>D</sub> with the depth of type inference no more than H. The depth of type inference is the number of rounds of computation needed to infer all the types using the type-inference algorithm (described in Appendix D.1).

In practice, H is significantly smaller than with context length L for reasonably written code because it is upper bounded by the number of statements in a function body which is required to be

small according to clean code principle (Martin, 2008). Below, we present the main result of using transformers for type analysis. See full details in Appendix H.

Theorem 3. For  $L, D, H \in \mathbb{N}$ , there exists a transformer encoder of model dimension, and number of layers being  $O(\log L + D + H)$  and number of heads being O(1) that represents a function that maps any token sequence of length L in MiniHuskyAnnotated $_{D,H}$  to its type errors represented as a sequence of values of type Option<TypeError>.

### 5.4 Proof Vehicle: Cybertron, a Domain-Specific Language

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Here we highlight our main proof technique. Proving that transformers can express complex algo-334 rithms and software like compilers is a significant challenge due to the inherent differences between 335 how transformers operate and the nature of high-level tasks they are expected to perform. Trans-336 formers process input at a low level, where each layer manipulates raw token sequences as vectors without predefined structure or meaning. However, high-level tasks—such as constructing ASTs 338 and performing type and symbol analysis—require handling complex, structured information that 339 depends on long-range relationships and interactions across the input. Bridging the gap between 340 this raw, unstructured processing and the structured, multi-step logic required for these tasks in-341 troduces significant difficulty. Compilers, for instance, typically rely on rule-based, step-by-step 342 operations that are abstract and sequential, which transformers must simulate through their attention 343 mechanisms and feedforward layers. The challenge is further compounded by the need to formally prove that transformers can handle such tasks efficiently and accurately, despite operating in a fun-345 damentally different manner. To address these challenges, we propose a domain-specific language (DSL) called **Cybertron**, which allows us to systematically prove that transformers are capable of 347 expressing complex algorithms while maintaining sufficient readability. 348

A key feature of **Cybertron** is its expressive type system, which provides strong correctness guarantees. The type system ensures that every value is strongly typed, making it easier to reason about function composition and ensuring the validity of our proofs. This type system is crucial for managing how transformers represent and manipulate both local and global types—where local types correspond to individual tokens and global types refer to sequences of tokens, encapsulating broader program information.

What transformers output (possibly in the intermediate layers) is a representation in sequences of vector of sequences of values in these types. As types are mathematically interpreted in this paper a discrete subset of a vector space, **Cybertron** allows us to construct transformers with an automatic value validity guarantees if the **Cybertron** code is type-correct.

In **Cybertron**, complex functions are broken down into "atomic" operations through propositions on function compositions and computation graphs (Propositions 11,13,14,2). It is straightforward to prove that these "atomic" operations are representable by transformers, either by feedforward layers or attention layers. For example:

- **Feedforward layers:** boolean operations like AND (Proposition 6), OR (Proposition 7), or NOT (Proposition 5), or operations over option types like Option::or (Proposition 9) being applied to each token in a sequence.
- Attention layers: operations that requires information transmission between tokens such as nearest\_left and nearest\_right that collect for each token the nearest left/right non-nil information (Proposition 15).

This approach allows us to break down complex operations into primitive tasks that transformers can simulate. Feedforward layers handle local operations on individual tokens, while attention layers manage long-range dependencies and interactions between tokens, simulating the multi-step reasoning required for higher-level tasks.

Cybertron's expressive type system and function composition framework help bridge the gap between the low-level processing transformers perform and the high-level reasoning necessary for complex tasks like compilation. For full details, including the mathematical foundations of Cybertron's type system and function composition, see Appendix E.

# 7 6 Comparisons between Transformers and RNN

Now we compare transformers and RNNs from both theoretical and empirical perspectives.

### 6.1 A Lower Bound for RNNs for Type Checking

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Previously, it has shown that RNN is provably less parameter efficient than transformers for associate recall (Wen et al., 2024). Intuitively speaking, type checking step covers associate recall. Based on this observation, we obtain the following lower bound for RNNs.

Theorem 4. For  $L, D, H \in \mathbb{N}$ , for any RNN that represents a function that maps any token sequence of length L in MiniHuskyAnnotated $_{D,H}$  with D, H = O(1) to its type errors represented as a sequence of values of type Option<TypeError>, then its state space size is at least  $\Omega(L)$ .

Theorem 3 and Theorem 4 give a clear separation between transformers and RNNs in terms of the compilation capability. Specifically, if the input codes satisfy  $D, H \ll L$ , which typically the case under the clean code principle (Martin, 2008), then transformers at most need  $O\left((\log L + D + H)\right)$  number of parameters, which is significantly smaller what RNNs requires,  $\Omega(L)$ .

### 390 6.2 Empirical Comparison between Transformers and RNNs

391 We validate our theoretical results by conducting experiments on synthetic data.

**Dataset construction.** The synthetic dataset is parameterized by n (the <u>n</u>umber of data pieces), f (the number of <u>f</u>unctions in a data piece), d (the minimum <u>d</u>istance between the declaration and the first call of a function, as well as the minimum distance between its consecutive calls), v (the probability of using a <u>v</u>ariable in a function call), and e (the <u>e</u>rror rate of using an incorrect type in a function call).

The names of the functions are drawn randomly from fx where  $x \in \{0, 1, \dots, 99\}$ . For each function, there is only one argument whose symbol is randomly drawn from  $\{a, b, \dots, z\}$  and whose type is randomly drawn from  $\{Int, Float, Bool\}$ . There are at most 5 function calls in a function, and those called functions must be declared and not called by at least d functions ahead of the current one. In each function call, with probability v, the argument variable of the enclosing function is used regardless of its type, with probability (1-v)(1-e), a literal of the correct type is used, and with probability (1-v)e an incorrect type literal is used. For integers, the literals are from  $\{0,1,\dots,99\}$ ; for floats, the literals are from  $\{0.1,1.1,\dots,99.1\}$ ; for booleans, the literals are from  $\{tue, false\}$ .

Below is a data piece with f = 10, d = 3, v = 0.2, e = 0.5:

Model and training. We use customized BERT models (Devlin et al., 2019) and bidirectional RNN 411 models (Schuster & Paliwal, 1997) in our experiments. To control the model size (i.e., the number of 412 trainable parameters), we adjust only the hidden sizes while keeping other hyperparameters constant. 413 414 Detailed model specifications can be found in Table 1. For both transformers and RNNs, we use the 415 hyperparameters listed in Table 2 in Appendix during the training process. **Results.** We experimented with multiple combinations of models (Table 1) and datasets (Table 2). 416 For each combination, we conducted independent runs using a fixed set of k=5 random seeds. When plotting the figures, we took the top t=5 evaluation losses/accuracies from each run and 418 averaged over all the  $k \times t$  values. We plotted separate figures for each dataset and separate sub-419 figures for each metric. In each sub-figure, the x-axis represents the number of trainable parameters, 420 and the y-axis represents the averaged values. Results are shown in Figure 2. They demonstrate 421 that customized BERT models are able to perform better at type checking than bidirectional RNN models when both sizes scale up, corroborating our theories. Other results are in Appendix J.

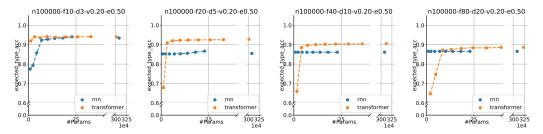


Figure 2: Figures depicting the evaluation accuracy of the expected type (see Section 5.3) across different models, measured by their number of trainable parameters, when trained on various datasets. The first 8 points of each model in each experiment are not aligned with the x-axis because the number of trainable parameters scales with hidden sizes differently for different models.

### 7 Conclusion

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We demonstrated that transformers can efficiently handle syntactic and semantic analysis in C-like languages, using Cybertron to prove their capacity for tasks like AST generation, symbol resolution, and type analysis. We show a theoretical advantage of transformers over RNNs, particularly in their ability to manage long-range dependencies with logarithmic parameter scaling. In a sense, transformers have the right inductive bias for language tasks. Our experiments confirmed these theoretical insights, showing strong performance on synthetic and real datasets, underscoring the expressiveness and efficiency of transformers in sequence-based learning.

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### 631 A Tree

To define a syntax tree, one commonly resorts to generation rules, such as context-free grammars 632 (CFG) (Alfred et al., 2007) and parsing expression grammars (PEG) (Ford, 2004). In most cases, 633 just generation rules themselves are not sufficient to define properly a language. Many practical 634 languages like C and C++ cannot be solely described by these rules (David, 2009). Furthermore, se-635 mantic constraints like type correctness are intrinsically contextual and cannot be expressed through 636 CFG or similar rules. However, CFG or other rules provide a valuable construct, the AST. With 637 an AST, one can refine the language definition by putting restrictions on the syntax tree through 638 tree operations. Effectively, a language can be seen as a subset of trees, not as a subset of strings. Semantic analysis like symbol resolution and type checking can be described effectively based on 640

#### 642 A.1 What are Trees

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- Trees in data structures have slightly additional meaning as compared to trees in mathematics. In this paper, all trees are trees in data structures. For clarity, we lay down the precise definition of trees in data structure.
- Definition 3 (Tree). A tree T is a set of nodes storing elements such that the nodes have a parentchild relationship that satisfies the following:
  - If T is not empty, it has a special node called the **root** that has no parent.
  - Each node v of T other than the root has a unique parent node w; each node with parent w is a child of w.
- We denote the nodes of T as N(T).
- Definition 4 (Recursive Definition of a Tree). A tree T is either empty or consists of a node r (the root) and a possibly empty set of trees whose roots are the children of r.
- However, the above definition is too permissive. We shall define a typed version as follows:
- Definition 5 (Typed Tree). A tree type consists of a set of values V and a set of relationships  $C \subseteq V \times \mathbb{N}$ , and a typed tree under this type is any tree T such that for each node, a value  $v \in V$
- is assigned such that  $(v,n) \in C$  where n is the number of the children of the node.
- 658 All trees in this paper are typed.
- Example 1 (AST as Typed Tree). Consider an AST for a simple arithmetic expression. Let the set of values V be:

$$V = \{ \ \mathit{num} \ , \ \mathit{add} \ , \ \mathit{sub} \ , \ \mathit{mul} \ , \ \mathit{div} \ \}$$

and the set of relationships  $C\subseteq V imes \mathbb{N}$  specify the allowed number of children for each value:

$$C = \{ (num, 0), (add, 2), (sub, 2), (mul, 2), (div, 2) \}$$

- An example AST for the arithmetic expression  $(3+5) \times 2$  is the following typed tree:
- The root node is labeled mul (multiplication), and it has two children.
  - The left child is labeled add (addition), and it has two children:
  - \* The left child of add is labeled num with the value 3.
- \* The right child of add is labeled num with the value 5.
- The right child of mul is labeled num with the value 2.
- 668 This tree conforms to the typing rules because:
  - num has 0 children.
  - add has 2 children,
- mul has 2 children,
- all of which satisfy the relationships in C.

#### A.2 Representations of Trees

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- It's also important to talk about tree representations. We are studying transformers, and then it's necessary to represent large trees as a sequence, otherwise the model dimension is not large enough 675 to contain the information locally. Let's first talk about the classical arena pattern used in sys-676 tem programming for representing trees and we shall slightly adapt it to our use case for studying 677 transformers.
- **Arena Pattern.** To represent trees efficiently in memory, especially when trees are frequently 679 modified (such as insertions or deletions of nodes), an arena pattern is often used. The arena pattern 680 provides a way to manage memory allocation for tree structures, allowing for efficient memory usage and avoiding fragmentation. Here's how the arena pattern works in the context of tree representation: 682
- **Definition 6** (Arena Pattern in Tree Representation). In the arena pattern, a tree is represented by 683 an array (or vector) of nodes, called an arena. Each node in the arena contains: 684
  - An element or value stored in the node.
    - References (often indices or pointers) to the node's children and possibly to its parent.
- The key characteristics of the arena pattern are: 687
  - Memory Contiguity: All nodes are stored contiguously in memory within the arena, which allows for efficient traversal and modification operations.
  - Fixed Capacity: The arena has a fixed or dynamically resizable capacity, and nodes are added sequentially. This avoids the overhead of allocating individual nodes on the heap.
  - Index-based References: Instead of using pointers, the nodes reference each other using indices within the array, which simplifies memory management and can lead to cachefriendly operations.
  - Efficient Allocation and Deallocation: Nodes can be efficiently allocated and deallocated within the arena without requiring complex memory management techniques like garbage collection or reference counting.
- The arena pattern is particularly useful in scenarios where the structure of the tree is highly dynamic 698 or when performance is critical. It allows for a simple and efficient way to manage and traverse trees 699 without the typical overhead associated with more traditional pointer-based tree representations. 700
- **Adaptations for Transformers** For transformers, inputs, intermediate values and outputs are all 701 sequences. So the trees are represented as sequences of nodes with node reference representable by token position encoding. 703

#### **Context Free Grammar** В

- In this section, we lay down the well-known definitions of context free grammar, derivations, and 705 parse trees. 706
- A context-free grammar (CFG) is defined as a 4-tuple  $G = (V, \Sigma, R, S)$ , where: 707
  - V is a finite set of variables (non-terminal symbols).
  - $\Sigma$  is a finite set of terminal symbols, disjoint from V. Sequences of  $\Sigma$ , i.e., elements of  $\Sigma^*$ are called strings.
    - $R \subset V \times (V \cup \Sigma)^*$  is a finite set of production rules, where each rule is of the form  $A \to \alpha$ , with  $A \in V$  and  $\alpha \in (V \cup \Sigma)^*$ .
- $S \in V$  is the start symbol. 713
- Given a context-free grammar  $G = (V, \Sigma, R, S)$ , we define derivation as follows:

- A derivation is a sequence of steps where, starting from the start symbol S, each step 715 replaces a non-terminal with the right-hand side of a production rule. 716
  - Formally, we write  $u \Rightarrow v$  if  $u = \alpha A \beta$  and  $v = \alpha \gamma \beta$  for some production  $A \rightarrow \gamma$  in R, where  $\alpha, \beta \in (V \cup \Sigma)^*$  and  $A \in V$ .
    - A leftmost derivation is a derivation in which, at each step, the leftmost non-terminal is replaced.
    - A rightmost derivation is a derivation in which, at each step, the rightmost non-terminal is replaced.
  - We denote a **derivation sequence** as  $S \Rightarrow^* w$ , where  $w \in \Sigma^*$  is a string derived from S in zero or more steps.
- A parse tree (or syntax tree) for a context-free grammar  $G = (V, \Sigma, R, S)$  is a tree that satisfies 725 the following conditions: 726
  - The root of the tree is labeled with the start symbol S.
    - Each leaf of the tree is labeled with a terminal symbol from  $\Sigma$  or the empty string  $\epsilon$ .
- Each internal node of the tree is labeled with a non-terminal symbol from V. 729
- If an internal node is labeled with a non-terminal A and has children labeled with 730  $X_1, X_2, \dots, X_n$ , then there is a production rule  $A \to X_1 X_2 \dots X_n$  in R. 731
  - The yield of the parse tree, which is the concatenation of the labels of the leaves (in left-toright order), forms a string in  $\Sigma^*$  that is derived from the start symbol S.

#### **Neural Architectures** 734

- In this section, we lay down the precise mathematical definitions of neural architectures we are going 735 to use in our proof. 736
- **Definition 7** (Single-Layer Fully Connected Network with  $4 \times$  Intermediate Space). 737
- Given model dimension d<sub>model</sub>, a single-layer feed-forward network with an intermediate space ex-738
- panded to 4 times the input dimension is a function from  $\mathbb{R}^{d_{model}}$  to  $\mathbb{R}^{d_{model}}$ , denoted by  $f_{fcn}$  and defined 739

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- given  $X \in \mathbb{R}^{d_{model}}$ , weights  $W_1 \in \mathbb{R}^{4d_{model} \times d_{model}}$ ,  $W_2 \in \mathbb{R}^{d_{model} \times 4d_{model}}$ , and biases  $B_1 \in \mathbb{R}^{4d_{model}}$ ,  $B_2 \in \mathbb{R}^{d_{model}}$ , the output  $f_{fcn}(X)$  is computed as:

$$f_{fcn}(X) = W_2 \sigma_{ReLU}(W_1 X + B_1) + B_2,$$

where  $\sigma_{\text{ReLU}}: \mathbb{R}^{4d_{model}} \to \mathbb{R}^{4d_{model}}$  is the Rectified Linear Unit activation function applied elementwise, defined by:

$$\sigma_{\text{ReLU}}(z) = (\max(z_1, 0), \max(z_2, 0), \dots, \max(z_{4d_{model}}, 0))^{\top},$$

745 
$$for z = (z_1, z_2, \dots, z_{4d_{model}})^{\top} \in \mathbb{R}^{4d_{model}}$$
.

- The choice of a  $4\times$  intermediate space is common in practice, often used in Transformer architec-746
- tures. Interestingly, this empirical choice turns out to have a useful theoretical property: it allows
- the network to express any affine transformation, as we'll see in the following proposition. 748
- **Proposition 1.** A single-layer fully connected network with a 4× intermediate space, as defined previously, can express any affine map from  $\mathbb{R}^{d_{model}}$  to  $\mathbb{R}^{d_{model}}$ . 750
- *Proof.* Let  $f: \mathbb{R}^{d_{\text{model}}} \to \mathbb{R}^{d_{\text{model}}}$  be any affine map given by f(X) = AX + b, where  $A \in \mathbb{R}^{d_{\text{model}} \times d_{\text{model}}}$ 751
- and  $b \in \mathbb{R}^{d_{\mathrm{model}}}$ . We will construct weights  $W_1 \in \mathbb{R}^{4d_{\mathrm{model}} \times d_{\mathrm{model}}}$ ,  $W_2 \in \mathbb{R}^{d_{\mathrm{model}} \times 4d_{\mathrm{model}}}$  and biases  $B_1 \in \mathbb{R}^{4d_{\mathrm{model}}}$ ,  $B_2 \in \mathbb{R}^{d_{\mathrm{model}}}$  such that  $f_{\mathrm{fcn}}(X) = f(X)$  for all  $X \in \mathbb{R}^{d_{\mathrm{model}}}$ .

754 Define:

$$W_1 = egin{pmatrix} I_{d_{ ext{model}}} \ -I_{d_{ ext{model}}} \ 0 \ 0 \end{pmatrix}, \quad B_1 = 0 \in \mathbb{R}^{4d_{ ext{model}}},$$

where  $I_{d_{\text{model}}}$  is the  $d_{\text{model}} \times d_{\text{model}}$  identity matrix, and 0 represents zero matrices of appropriate dimensions. Set:

$$W_2 = (A - A \ 0 \ 0), \quad B_2 = b.$$

For any  $X \in \mathbb{R}^{d_{\text{model}}}$ , compute:

$$\begin{split} f_{\text{fcn}}(X) &= W_2 \, \sigma_{\text{ReLU}}(W_1 X + B_1) + B_2 \\ &= (A \quad -A \quad 0 \quad 0) \, \sigma_{\text{ReLU}} \left( \begin{pmatrix} X \\ -X \\ 0 \\ 0 \end{pmatrix} \right) + b \\ &= (A \quad -A \quad 0 \quad 0) \begin{pmatrix} \sigma_{\text{ReLU}}(X) \\ \sigma_{\text{ReLU}}(-X) \\ 0 \\ 0 \end{pmatrix} + b \\ &= A \, \sigma_{\text{ReLU}}(X) - A \, \sigma_{\text{ReLU}}(-X) + b. \end{split}$$

Noting that  $\sigma_{\rm ReLU}\left(X\right)-\sigma_{\rm ReLU}\left(-X\right)=X$  (applied element-wise), we have:

$$f_{\text{fcn}}(X) = AX + b = f(X).$$

Therefore, the network can represent any affine map from  $\mathbb{R}^{d_{\mathrm{model}}}$  to  $\mathbb{R}^{d_{\mathrm{model}}}$ .

Definition 8 (Single-Layer Feed Forward Network with  $4\times$  Intermediate Space). Given model dimension  $d_{model}$  and position set Pos, the Transformer Feed Forward Network is a function  $f_{ffn}: \mathbb{R}^{\text{Pos} \times d_{model}} \to \mathbb{R}^{\text{Pos} \times d_{model}}$  defined as follows:

For an input  $X \in \mathbb{R}^{Pos \times d_{model}}$ , the output  $f_{ffn}(X)$  is computed by applying the single-layer feed-forward network  $f_{fcn}$  (as defined previously) independently to each position:

$$f_{ffn}(X)_p = f_{fcn}(X_p) \quad \forall p \in Pos$$

where  $X_p \in \mathbb{R}^{d_{model}}$  is the p-th row of X, corresponding to the p-th position in the input sequence.

Next, we define the attention mechanism, which is a key component of the Transformer architecture.
This definition presents a hard attention layer with a simplified position encoding. We use hard attention here for theoretical simplicity, as it represents a discrete limit of the more commonly used soft attention mechanism. Hard attention forces the model to make a clear choice about which inputs to focus on, which can simplify analysis and provide clearer insights into the model's behavior. It can be viewed as the limiting case of soft attention as the temperature approaches zero, where the softmax operation becomes increasingly peaked and eventually converges to a one-hot vector.

773 **Definition 9** (Hard Attention Layer with Simplified Position Encoding). *Given model dimension*774  $d_{model}$ , number of heads H, and number of layers L, a transformer with simplified position encoding
775 and hard attention is defined to be a function  $f_{attn}: \mathbb{R}^{\operatorname{Pos} \times d_{model}} \to \mathbb{R}^{\operatorname{Pos} \times d_{model}}$  defined by

$$\forall p \in \text{Pos}, f_{attn}(X)_p := W_O Concat \left( Attn^{(1)}(X)_p, \dots, Attn^{(H)}(X)_p \right), \tag{4}$$

where the hth attention head uses hard attention, defined as:

$$Attn^{(h)}(X)_p := \frac{1}{|S_p|} \sum_{p' \in S_p} V_{p'}^{(h)}, \tag{5}$$

777 where

•  $W_O \in \mathbb{R}^{d_{model} \times d_{model}}$  are trainable parameters;

• 
$$S_p = \arg\max_{p' \in \text{Pos}} \left( Q_p^{(h)}^\top K_{p'}^{(h)} + \lambda^{(h)}^\top \Psi_{p'-p} \right)$$
 with  $Q_p^{(h)}, K_p^{(h)}, V_p^{(h)}, \lambda^{(h)}, \Psi_q$  defined by

- $Q_p^{(h)} = W_Q^{(h)} X_p, K_p^{(h)} = W_K^{(h)} X_p$  are vectors of dimension  $d_{model}/H$ , with trainable parameters  $W_Q^{(h)}, W_K^{(h)} \in \mathbb{R}^{(d_{model}/H) \times d_{model}}$ ;
- $V_p^{(h)} = W_V^{(h)} X_p$  are vectors of dimension  $d_{model}/H$ , linear transformations of  $X_p$  with trainable parameters  $W_V^{(h)} \in \mathbb{R}^{(d_{model}/H) \times d_{model}}$ ;
  - $\lambda^{(h)} \in \mathbb{R}^2$  are constants depending only on head count h;
- $\Psi_q \in \mathbb{R}^2$  are 2-dimensional vectors depending on relative position q but not on head count h. It is explicitly defined as

$$\Psi_q = \begin{pmatrix} q \\ 1_{q>0} \end{pmatrix}. \tag{6}$$

This formulation allows for both past and future masking.

Having defined the basic components, we can now proceed to describe the full Transformer architecture. This definition builds upon the previously introduced concepts, incorporating them into a complete model structure.

Definition 10 (Transformer). A Transformer is a function  $f_{tf}: \mathbb{R}^{\operatorname{Pos} \times d_{model}} \to \mathbb{R}^{\operatorname{Pos} \times d_{model}}$  that maps an input sequence to an output sequence through a series of layers, each consisting of a multi-head attention mechanism and a position-wise feed-forward network (MLP).

795 Given:

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- Input sequence  $X \in \mathbb{R}^{\text{Pos} \times d_{model}}$ , where Pos is the set of positions and  $d_{model}$  is the model dimension.
- Number of layers L.
- Number of attention heads H.

The Transformer computes the output  $Y = X^{(L)}$  through recursive application of attention and feed-forward layers:

• *Initialization is given by:* 

$$X^{(0)} = X$$
.

- For each layer l = 1, 2, ..., L:
  - Compute attention output:

$$\hat{X}^{(l)} = X^{(l-1)} + f_{attn}^{(l)} \left( X^{(l-1)} \right)$$

- Compute feed-forward output:

$$X^{(l)} = \hat{X}^{(l)} + f_{ffn}^{(l)} \left( \hat{X}^{(l)} \right)$$

806 Here:

- $f_{attn}^{(l)}$  are hard attention layers with simplified position encoding as previously defined. It operates on  $X^{(l-1)}$  and produces an output in  $\mathbb{R}^{\operatorname{Pos} \times d_{model}}$ .
- $f_{ffn}^{(l)}$  are feed-forward networks with  $4 \times$  intermediate space as previously defined. It operates position-wise on  $\hat{X}^{(l)}$  and produces an output in  $\mathbb{R}^{Pos \times d_{model}}$ .

Remark 1. For simplicity, we have omitted the Layer Normalization component typically present in Transformer architectures. This simplification allows us to focus on the core attention and feed-forward mechanisms while maintaining the essential structure of the Transformer.

- We use  $\mathrm{Tf}_{H,L}^{d_{\mathrm{model}}}$  to denote the set of transformers of model size  $d_{\mathrm{model}}$ , number of heads H and number
- of layers L as functions from  $\mathbb{R}^{d_{\text{model}}*}$  to  $\mathbb{R}^{d_{\text{model}}*}$ . 815
- For purpose of proof, we shall also need residual multi-layer perceptron. Functions over local types 816
- are first represented by multi-layer perception, then by Proposition 2 applications of these func-817
- tions over sequences can be representable by transformers. Residual multi-layer perceptron can be
- assembled through composition or computer graph, as we shall see. 819
- Here's the definition of a residual MLP Network 820
- 821 **Definition 11** (Residual Multi-Layer Perceptron). A Residual Multi-Layer Perceptron (ResMLP) is
- a function  $f_{resmlp}: \mathbb{R}^{d_{model}} \to \mathbb{R}^{d_{model}}$  defined recursively by 822

$$X^{(0)} = X, \quad X^{(l)} = X^{(l-1)} + f_{\mathit{fcn}}\left(X^{(l-1)}\right), \quad l = 1, 2, \ldots, L, f_{\mathit{resmlp}}(X) = X^{(L)}$$

- where  $X \in \mathbb{R}^{d_{model}}$  is the input vector, L is the total number of layers, and  $f_{fcn} : \mathbb{R}^{d_{model}} \to \mathbb{R}^{d_{model}}$  is the Single-Layer Fully Connected Network with  $4\times$  Intermediate Space as previously defined in 823
- 824
- Definition 1. 825
- We use  $\mathrm{ResMlp}_L^{d_{\mathrm{model}}} \subset \mathbb{R}^{d_{\mathrm{model}}}$  to represent the set of residual MLPs with dimension  $d_{\mathrm{model}}$  and L layers, as defined in Definition 11. 826
- 827
- The following proposition is quite basic. It demonstrates that any function representable by a 828
- ResMLP can be applied position-wise by a Transformer. 829
- **Proposition 2** (Position-wise ResMLP Application is Representable by Transformers). Let f: 830
- $\mathbb{R}^{d_{model}} o \mathbb{R}^{d_{model}}$  be a function representable by a Residual Multi-Layer Perceptron (ResMLP) as defined in Definition 11. Then the function  $F: \mathbb{R}^{Pos \times d_{model}} o \mathbb{R}^{Pos \times d_{model}}$ , defined by applying f831
- position-wise, 833

$$F(X)_p = f(X_p), \quad \forall p \in \text{Pos},$$

- is representable by a Transformer as defined in Definition 10. 834
- *Proof.* Since f is representable by a ResMLP with L layers, it is defined recursively by 835

$$X^{(0)} = X, \quad X^{(l)} = X^{(l-1)} + f_{\mathrm{fcn}} \left( X^{(l-1)} \right) \text{ for } l = 1, \dots, L,$$

and 836

$$f(X) = X^{(L)},$$

- where  $f_{\text{fcn}}: \mathbb{R}^{d_{\text{model}}} o \mathbb{R}^{d_{\text{model}}}$  is the Single-Layer Fully Connected Network with 4 imes intermediate 837
- space (Definition 1). 838
- We construct a Transformer with L layers such that, for any input sequence  $X \in \mathbb{R}^{Pos \times d_{model}}$ , the
- output  $Y = f_{tf}(X)$  satisfies  $Y_p = f(X_p)$  for all  $p \in Pos$ . 840
- To achieve this, we configure the Transformer so that the attention mechanism outputs zero at each 841
- layer. This can be done by setting the attention weights to zero, ensuring  $f_{\text{attn}}(X^{(l-1)}) = 0$ . Conse-842
- quently, the update equations simplify to 843

$$\hat{X}^{(l)} = X^{(l-1)}$$

We then set the feed-forward network  $f_{\rm ffn}$  in the Transformer to have the same weights and biases 844 as  $f_{\text{fcn}}$  in the ResMLP. The Transformer layer update becomes

$$X^{(l)} = \hat{X}^{(l)} + f_{\mathrm{ffn}}\left(\hat{X}^{(l)}\right) = X^{(l-1)} + \left(f_{\mathrm{fcn}}\left(X_p^{(l-1)}\right)\right)_{p \in \mathrm{Pos}}.$$

This recursion matches that of the ResMLP applied position-wise to X. Therefore, after L layers, the Transformer output satisfies  $f_{tf}(X)_p = f(X_p)$  for all  $p \in Pos$ . 847

# 849 D Mini-Husky Details

Here's the BNF of the Mini-Husky language:

```
\langle ast \rangle ::= \langle literal \rangle
           |\langle ident \rangle|
           \mid \langle prefix \rangle
           |\langle binary \rangle|
           |\langle suffix\rangle|
           |\langle delimited \rangle|
           | \(\separated_item\)
           |\langle call \rangle|
           | \let_init \rangle
           |\langle if\_stmt \rangle|
           | ⟨else_stmt⟩
           |\langle defn \rangle|
\langle literal \rangle ::= ...
\langle ident \rangle ::= ...
\langle prefix \rangle ::= \langle prefix\_opr \rangle \langle ast \rangle
\langle binary \rangle ::= \langle ast \rangle \langle binary\_opr \rangle \langle ast \rangle
\langle suffix \rangle ::= \langle ast \rangle \langle suffix\_opr \rangle
\langle delimited \rangle ::= \langle left\_delimiter \rangle \langle separated\_item \rangle^* \langle right\_delimiter \rangle
\langle separated\_item \rangle ::= [\langle ast \rangle] \langle separator \rangle
\langle call \rangle ::= \langle ast \rangle \langle left\_delimiter \rangle \langle ast \rangle^* \langle right\_delimiter \rangle
\langle let\_init \rangle ::= let \langle ast \rangle
\langle if\_stmt \rangle ::= if \langle ast \rangle \langle delimited \rangle
\langle else\_stmt \rangle ::= \langle if\_stmt \rangle  else (\langle delimited \rangle \mid \langle else\_stmt \rangle)
\langle defn \rangle ::= \langle defn\_keyword \rangle \langle ident \rangle \langle ast \rangle
\langle prefix\_opr \rangle ::= + | - | ! | ...
\langle binary\_opr \rangle ::= + | - | * | / | ...
\langle suffix\_opr \rangle ::= ++ |--| ...
\langle left\_delimiter \rangle ::= '(' | [ | {
\langle right\_delimiter \rangle ::= `)` | ] | }
\langle separator \rangle ::= , |;
\langle defn\_keyword \rangle ::= def | fn | ...
```

Below is a sample piece of codes:

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It should be noted that the above is not the full story. There are additional constraints put on the ASTs. However, these can be easily implemented as tree functions that are easy for transformers to express. As we are focusing on higher level language processing capabilities, we ignore the details here.

Additionally, we need to require that for semantic correctness, we must have proper symbol resolution and type correctness.

### D.1 Additional Details about Compiler Tasks.

- The outputs of the tasks are defined using Cybertron as follows:
  - The construction of AST task's final output is the collection all AST nodes. More concretely, the output is a sequence of Option<Ast> with length equal to the input token sequence's length, where Option<Ast> denoted the type Ast will a null value added and Ast is the type storing the information of a node, including its parent, and its data of type AstData. In Cybertron, we define Ast and AstData explicitly as follows:

```
1 /// Represents a node in an Abstract Syntax Tree (AST).
874
875
        3 /// Each 'Ast' node has a reference to its parent node (if any) and holds
876
           /// the associated syntax data (such as expressions, statements, or other /// constructs defined in the 'AstData' enum).
877
878
879
           pub struct Ast {
               /// The index of the parent node in the AST, if it exists.
880
881
882
               /// - 'Some(Idx)': The node has a parent, and 'Idx' represents its position.
               /// - 'None': The node is the root or does not have a parent.
883
        10
884
        11
               pub parent: Option<Idx>,
885
                /// The data associated with this AST node.
        12
886
               pub data: AstData,
        13
       14 }
887
888
       15
889
       16 /// Enumeration representing different types of Abstract Syntax Tree (AST) nodes
890
       17
          pub enum AstData {
               /// Represents a literal value (e.g., integer, string)
891
892
       19
               Literal (Literal),
893
                /// Represents an identifier (e.g., variable name)
       21
894
       22
                /// Represents a binary expression (e.g., 'x + y', 'a * b')
895
               Binary { /// Index of the left operand
896
       23
897
       24
898
       25
                   lopd: Idx,
                    /// Operator in the binary expression (e.g., '+', '*')
899
       26
900
       27
                   opr: BinaryOpr,
901
                   /// Index of the right operand
                   ropd: Idx,
903
       30
       31
                ... // other variants
904
905
```

• The output of the **symbol resolution** task is the collection of symbol resolution results on all applicable tokens. More concretely, the output is a sequence of values of type Option<SymbolResolution> where Option<SymbolResolution> is the type SymbolResolution with a null value added for non-applicability and SymbolResolution is the type storing the result of the symbol resolution, being either a success with a resolved symbol of type Symbol or a failure with an error of type SymbolResolutionError. In Cybertron, we define SymbolResolution explicitly as follows:

• The **type analysis** task's final output is the collection of all type errors. More concretely, the output is a sequence of Option<TypeError>, where Option<TypeError> denoted the type TypeError will a null value added and TypeError is the type storing the information of a type error. The position of type errors agrees with the source tokens leading to these errors. In Cybertron, we define TypeError explicitly as follows:

One can expand the definition to include other kinds of type errors.

(1) Type definition. Types are either identified uniquely by a single identifier like <identifier>, or builtin generic types Option<<identifier>> or Vec<<identifier>> . Users can define custom types without generics like the following (f32 means float32 and i32 means int32 below):

This part is actually a part of the AST task and type definition is a variant of the AstData type:

```
/// Enumeration representing different types of Abstract Syntax Tree (AST) nodes
941
942
        pub enum AstData {
943
             /// Represents a function or variable definition
944
      4
945
      5
946
      6
             /// # defn
947
             Defn {
948
      8
                 /// The keyword in the definition (e.g., 'fn', 'enum')
949
950
     10
                 keyword: DefnKeyword,
                  /// Index of the identifier in the definition
951
952
     12
                 ident_idx: Idx,
953
     13
                 /// The identifier being defined (e.g., function name, variable name)
954
     14
955
     15
                 /// Index of the content or body of the definition
956
     16
                 content: Idx,
957
     17
     18
958
```

959 (2) *Type specification*. Each appeared variable has a unique type, either by specification or specu-960 lation. All parameters of a function must be specified explicitly by users. Variables defined by let 961 statements might or might not be specified, as follows:

The return type of functions must be specified. The field type of structs and enum variants must be specified. the type of expressions of function calls and field access will be determined correspondingly.

The output of the task is the collection of all type signatures, represented as a sequence of values of type Option<TypeSignature> where TypeSignature is the type holding the essential information of type specifications. In Cybertron, TypeSignature is defined as,

```
1 pub struct TypeSignature {
972
973
      2
             pub key: TypeSignatureKey,
             pub ty: Type,
974
      3
      4
        }
975
976
      6 pub enum TypeSignatureKey {
977
978
             FnParameter { fn_ident: Ident, rank: Rank },
             FnOutput { fn_ident: Ident },
979
             StructField { ty_ident: Ident, field_ident: Ident },
980
981
     10 }
```

(3) Type inference. As discussed above, not all variables have their types specified.

988 In the above code, 1 is an ambiguous literal that can be of type i32, i64, u32, u64, etc, and 989 the types of y and z is not specified. However, one easily sees that there exists one and only one choice of the types of 1, y, and z such that the whole code is type correct. Utilizing this property, the user can opt out of a significant portion of type specification, achieving static guarantees.

A **Type Inference Algorithm:** For simplicity, we shall prove transformers can implement a simple type inference algorithm: we maintain a table of type assignments for variables. We update the entries of the table by means of reduction, i.e., assuming the whole code is correctly typed and infer more and more unspecified types until we encounter errors or all types are inferred. The process is largely parallel, and we call the number of rounds needed the depth of type inference.

In the above code, the first round, we determine that the type of both 1 and the type of y are equal to the type of x which is i32. But we have no way to determine the type of z because the type of y is unknown at the first round. In the second round, z can be determined to be of type i32 because the type of y is already inferred.

The output of the task is the collection all types inferred, represented as a sequence of values of type

Option<TypeInference> where TypeInference is the type holding the inferred type. In Cybertron,

TypeSignature is defined as,

### E Cybertron

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#### E.1 Introduction

It's often difficult to directly prove that transformers or in general other low level forms of computation can express complicated algorithms and even complex software. There are way too many details as compared with typical mathematical proofs in machine learning theory. Hence, we propose the domain specific language Cybertron, where we can systematically prove transformers can express complicated algorithms and complex software with sufficient readability.

1014 (Note: Cybertron is fundamentally different from Mini-Husky! Mini-Husky is the target language 1015 that we want transformers to analyze yet Cybertron is the domain specific language we use to prove 1016 that transformers can do that.)

RASP (Weiss et al., 2021) is quite close to Cybertron in terms of its design purpose. However,
Cybertron is more powerful with advanced algebraic type system, global and local function constructions, etc. Thus, using Cybertron one can argue more complicated operations can be simulated
by transformers than simple algorithms.

In the broader perspective of computer science, it's not uncommon to use code to prove things. In fact, in the formal verification community, mathematical proofs are viewed as a special case of a much larger universe of possible proof systems.

1024 Essentially, Cybertron works as follows:

1. in Cybertron, one builds complicated functions from the composition of smaller functions. We have lemmas that prove that the composed functions are representable by certain architecture given that smaller functions are representable.

For example:

```
1 fn f(a: f32, b: f32) -> f32 {
2    a + b
3 }
```

2. in Cybertron, there is an algebraic type system and every value is strongly typed and immutable, making it highly readable and easy to reason about;

For example:

```
1 fn f(a: f32, b: f32) -> f32 {
2     a + b
3 }
```

3. in Cybertron, there is a distinction between global and local types/functions. Local types are those information hovered over a single token, and global types are sequences of local types, i.e., the collection of information over the whole token stream. One can define a global function by mapping a local function.

For example:

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```
1 fn f(a: f32, b: f32) -> f32 {
2    a + b
3 }
```

4. in Cybertron, there are many functions that is defined externally, requiring external explanation that they can be represented by transformers.

For example:

```
1 fn f(a: f32, b: f32) -> f32 {
2    a + b
3 }
```

It's implemented as a subset of the Rust programming language that can be understood as computation graphs over sequences. It can be executed for testing purposes and we've tested our implementation for a range of inputs and validated its correctness.

### E.2 Philosophy: Sequential Representation of Everything

Before going through the full details, let's first talk about the fundamental philosophy behind transformer and Cybertron.

One of the fundamental reasons transformers can be easily adapted across multiple modalities, including NLP and CV, is their sequence-to-sequence operation. Everything can be represented as an arbitrary-length sequence. Texts are sequences of words, images are sequences of image patches, videos are sequences of spacetime patches (OpenAI, 2024b), and even graphs with sparse spatial structures can be represented as sequences of indexed nodes with additional information like parent node indices. Since inputs of various modalities can be cast into vector sequences, transformers can be applied to different domains without modifications to their architecture (Dosovitskiy et al., 2020).

Interestingly, this sequence-based thinking is not new. We've actually been representing everything as sequences since the very early days of computer science. This has been the foundation of how data is stored and processed in computers. However, sequence representations were traditionally viewed as low-level and sometimes inefficient for practical use, prompting the development of higher-level abstractions for programming. The rise of transformers, with their scalable learning capabilities, encourages us to reconsider the significance of sequence-based representations.

From a systems perspective, viewing everything as a sequence is the foundational approach in computer science. Data in a computer is stored as a continuous stream of bits. Whether it's text, images, videos, or graphs, this data is represented in computer memory as an ordered sequence of bits. This aligns with how transformers handle different types of input by transforming them into sequences of vectors. Thus, the sequence-based operation of transformers mirrors the sequence-based representation of data in computer memory.

In essence, if a data structure can be represented in computer memory using N bits, it can be processed as a sequence of bits of length N. This natural sequence representation in memory is consistent with how transformers process data, which makes them particularly flexible across different modalities. For example, recent state-of-the-art approaches Wu et al. (2024) show that transformers can even be trained directly on raw bits of data, further emphasizing this connection.

Moreover, this sequence-based viewpoint offers fresh insights when applied to the domain of programming, particularly in areas such as code generation and analysis. With tools like ChatGPT and Copilot being widely used by developers, the impact of transformers on programming workflows is growing. Understanding the complexity of algorithms and programs expressed in sequence form becomes an interesting area of study, as it reveals new possibilities for how we approach computation.

In comparison to traditional systems like CPUs and human cognition, transformers are highly parallel but shallow in their operation. A transformer processes data in a fixed number of layers, while a  $^{1090}$  CPU executes  $10^9$  cycles per second, and humans may take days to process information like reading a book. Transformers, therefore, represent a fundamentally different computational model that is worth studying further in the context of sequence-based operations.

**Example 2.** Image to Sequence: In computer memory, an image is typically stored as a continuous block of pixel values, often in row-major order, where each pixel's value is encoded as bits in a sequence. When a transformer processes an image, it divides the image into patches (e.g., 16 × 16 pixels), and each patch is flattened into a vector of pixel values. This creates a sequence of patches, where each patch corresponds to a vector. The way transformers represent these patches as a sequence closely aligns with how the image data is sequentially stored in computer memory.

**Example 3. Video to Sequence:** A video is stored in computer memory as a sequence of frames, where each frame is essentially an image. In a similar manner to images, these frames are stored as continuous pixel values. Transformers process videos by dividing the frames into spacetime patches, where each patch captures a small region of space over a short segment of time. These spacetime patches are flattened and arranged into a sequence for the transformer to process. The sequential ordering of these patches matches how video frames and pixel data are stored in computer memory.

Example 4. Graph to Sequence: In computer memory, a graph is typically stored using an adjacency list or adjacency matrix, where nodes and their connections (edges) are stored sequentially in a data structure. Transformers process graphs by representing each node and its features as a vector, and then creating a sequence of these vectors. The sequence may also encode additional information, such as the parent-child relationships between nodes. This sequence-based representation of graphs is consistent with how graph data is stored in memory, where nodes and edges are arranged in a structured order.

Example 5. Text to Sequence: Text is naturally stored in computer memory as a sequence of characters or words, where each character is encoded as a sequence of bits (such as ASCII or Unicode values). When transformers process text, they convert each word into a word embedding, which is a vector of real numbers. The sequence of word embeddings corresponds to the sequence of characters or words stored in memory. This natural sequential representation of text in both memory and transformers ensures efficient handling of linguistic data.

**Example 6.** AST (Abstract Syntax Tree) to Sequence: In computer memory, an abstract syntax tree (AST) is typically stored as a tree-like structure, where each node represents a component of the program (e.g., operators, variables, or statements). However, this tree can be linearized into a sequence by traversing it in a specific order (e.g., pre-order traversal). When transformers process an AST, they convert it into a sequence of tokens, where each token corresponds to a node in the tree. This sequential representation of the tree in transformers mirrors how the tree is stored as nodes and edges in memory, and how it can be flattened into a linear sequence.

In conclusion, the sequence-based representation in transformers is not just a novel approach for deep learning but is deeply rooted in how data has been stored and processed in computer memory since the early days of computing. This consistency between how data is stored in memory and how transformers process data as sequences is a key factor in their adaptability across different domains.

### E.3 Local and Global Types

Now we define the type foundation of Cybertron.

Types are fundamental objects for programming language theory. Here we use types to faciliate our proofs. Type signatures contain rich information that help guarantee correctness of the program. Here, we choose a mathematical definition of types that is most convenient for the discussion in this paper. We introduce the notion of "local type". Roughly speaking, they are types without heap allocation and intended to be represented with  $\mathbb{R}^{d_{\text{model}}}$  over a single token. For more complicated heap-allocated data structures like trees, graphs, etc., we shall represent them by sequences of these "local type"s, which translates directly to vector sequences for transformers.

Definition 12 (Local Type). Given a base space B with at least two elements and a countably infinite identification space  $\Psi$ , a local type T over B is a finite set S together with an embedding  $\phi$  from S to  $B^d$  and some fixed  $d \in \mathbb{N}$  and an identification  $\psi \in \Psi$ .

For convenience, define Set  $(\mathcal{T}) = S$ ,  $d_{\mathcal{T}} = d$  and  $\phi_{\mathcal{T}} = \phi$  and  $\psi_{\mathcal{T}} = \psi$ . And let  $0_B$ , and  $1_B$  be two different elements of B. And  $B^0 := \{0_B\}$  so that  $|B^i| = |B|^i$  holds for all  $i \in \mathbb{N}$ .

Remark 2. We need B to be at least size 2, so that  $B^d$  can be as large as we want for d large 1143 enough. For typical computer representation, we can take B to be  $2 = \{0, 1\}$ . For transformers 1144 or neural networks in general, we can take B to be  $\mathbb{R}$  if we ignore precision. If we dont' ignore 1145 precision, B should be some finite set of floating point numbers. Thus, we shall keep the generality 1146 of B throughout our discussion as all of these settings are important. 1147

Remark 3. The role of identification  $\psi_{\mathcal{T}} \in \Psi$  is to make two types mathematically different even if 1148 they have the same underlying set, encoding dimension, and encoding. Basically we are establishing 1149 a specialized type of theory tailored towards the expressive power of transformers upon a foundation 1150 of intuitive set theory.

**Example 7** (Finite Set). In mathematics, we have the finite set denoted by  $[n] = \{0, 1, \dots, n-1\}$ . 1152 Here we use a slightly different notation for a type with underlying set [n] and some encoding. 1153

**Example 8** (Position Encoding). Position encoding can be viewed as the encoding of a type denoted 1154 by Pos(n) with the underlying set being [n] where n is the context length. Although it has the same 1155 underlying set as type [n], it is a different type for a different purpose and might have different 1156 encoding. 1157

If B is  $\mathbb{R}$ , then the position encoding can be understood as the encoding of type  $[\![L]\!]$  where L is the 1158 context length. More explicitly, we have

$$\phi(x) = (e^{iL^{-i/d}x})_{i \in [d/2]},\tag{7}$$

viewed as a d dimensional  $\mathbb{R}$ -vector through the natural conversion of  $\mathbb{C}$  to  $\mathbb{R}^2$ , since d is even. 1160

It's too cumbersome to manually give the underlying set and the encoding. Here we introduce a 1161 classical concept from programming language theory Program (2013) that makes it super easy to 1162 construct new types and make things fairly readable. 1163

**Definition 13** (Finite Algebraic Data Type, Mathematical Forms). We define two ways of creating 1164 new types by combining existing types: 1165

- 1. Sum type. Given types  $\mathcal{T}_i = (S_i, \phi_i, d_i)$  over base space B for i = 1, ..., n, we define the sum type of  $\mathcal{T}_i$ , denoted by  $\sum_{i=1}^n \mathcal{T}_i$ , as follows,
  - let  $S = (\{1\} \times S_1) \sqcup ... \sqcup (\{n\} \times S_n);$
  - $let d = d_{[n]} + \max_{i=1}^{n} d_i$ ;
- let  $\phi: S \to B^d$  be such that

$$\forall i \in [n], s \in S_i, \phi((i,s)) = \phi_{[n]}(i) \oplus \phi_i(s) \in B^{d_{[n]} + d_i} \subseteq B^d.$$
 (8)

Note that  $|S| = \sum_{i=1}^{n} |S_i|$ , thus the name sum type. 1171

- 2. Product type. Given Local Types  $\mathcal{T}_i = (S_i, \phi_i, d_i)$  over base space B for i = 1, ..., n, we define the product type of  $\mathcal{T}_i$ , denoted by  $\prod_{i=1}^n \mathcal{T}_i$ , as follows,
- let  $S = S_1 \times ... \times S_n$ ; let  $d = \sum_{i=1}^n d_i$ ;
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• let  $\phi: S \to B^d$  be such that

$$\forall s = (s_1, \dots, s_n) \in S, \phi(s) = \phi_1(s_1) \oplus \dots \phi_n(s_n) \in B^d. \tag{9}$$

Note that  $|S| = \prod_{i=1}^{n} |S_i|$ , thus the name product type.

Although we can define things and refer to things in terms of mathematical equations, it's sometimes 1178 cumbersome to do so. So we shall frequently refer to types using a programming language form, like CybertronForm or more complicated things like Option<T> a builtin generic type. 1180

**Definition 14** (Unit Type). The unit type is a type with  $S = \{0\}$  and  $\phi: S \to B^0, 0 \mapsto 0_B$ . In 1181 Cybertron, it's denoted as (). 1182

**Definition 15** (Array Type). *Given a type*  $\mathcal{T}$ , *the array type of*  $\mathcal{T}$  *with length*  $\ell \in \mathbb{N}$  *is the type with*  $S = S(\mathcal{T})^{\ell}$ ,  $d = \ell d_{\mathcal{T}}$  and  $\phi: S \to B^{\ell d_{\mathcal{T}}}$ ,  $(s_1, \ldots, s_{\ell}) \mapsto \phi_{\mathcal{T}}(s_1) \oplus \ldots \oplus \phi_{\mathcal{T}}(s_{\ell})$ . It's denoted by 1184  $\mathcal{T}^{\ell}$ . In Cybertron, it's denoted as [T:N].

**Definition 16** (Vector Type of Finite Capacity). Given a type  $\mathcal{T}$ , the vector type of finite capacity of  $\mathcal{T}$  with maximal length  $\ell \in \mathbb{N}$  is the type with  $S = \bigsqcup_{i=1}^{\ell} Set(\mathcal{T})^i$ ,  $d = d_{\lfloor \ell \rfloor} + \ell d_{\mathcal{T}}$  and  $\phi : S \to B^{d_{\lfloor \ell \rfloor} + \ell d_{\mathcal{T}}}$ ,  $(s_1, \ldots, s_i) \mapsto \phi_{\lfloor \ell \rfloor}(i) \oplus \phi_{\mathcal{T}}(s_1) \oplus \ldots \oplus \phi_{\mathcal{T}}(s_i) \oplus 0_B \oplus \ldots \oplus 0_B$  with just enough number of copies of  $0_B$  such that the dimensionality matches. It's denoted by  $\mathcal{T}^{\leq \ell}$ . In cybertron, it's denoted as BoundedVec $<\mathcal{T}$ ,N>.

However, it's cumbersome and obtuse to define and operate in mathematical forms only. So we shall give a definition closer to actual programming that is more convenient and easy to read.

Definition 17 (Finite Algebraic Data Type, the Code Forms). We define two ways to create new types:

- 1. Enum type. An enum type is the sum type of a finite set of variant types. Each variant type is associated with a different identifier and can be
  - unit like, a unit type;

- struct like, a product of several types, each called a field of the variant, and associated with an identifier;
- tuple like, a product of several types, each called a field of the variant, but not associated with an identifier.

Syntactically, an enum type is specified as follows,

```
1 enum <type-name> {
                ontifier> { // 1st variant, struct like 
<identifier>: <type>, // 1st named field of 1st variant 
<identifier>: <type>, // 2nd named field of 1st variant
2
          <identifier> {
 3
 4
 5
               entifier> { // 2nd variant, struct like 
<identifier>: <type>, // 1st field of 2nd variant
 7
          <identifier> {
 9
                                              // 3rd variant, tuple like
11
          <identifier> (
               <type>,
                                              // 1st tuple field of 3rd variant
13
                <type>,
                                               // 2nd tuple field of 3rd variant
15
          <identifier>,
                                               // 4th variant, unit like
17
```

### For example,

```
1 enum Expr {
       Variable (IdentToken),
                                 // 1st variant, tuple like
3
                                 // 2nd variant, struct like
       Binary {
           lopd: ExprId,
4
           opr: BinaryOprToken,
5
           ropd: ExprId,
6
7
8
       Prefix {
                                  // 3rd variant, struct like
9
           opr: PrefixOprToken,
10
           opd: ExprId,
11
       Suffix {
                                  // 4th variant, struct like
12
           opd: ExprId,
13
14
           opr: SuffixOprToken,
15
16
       Panic.
                                 // 5th variant, unit like
17
```

2. Struct type. A struct type is just the product type of

```
1 struct <type-name> {
1240
1241
               2
                      <identifier>: <type>,
                      <identifier>: <type>,
1242
               3
1243
               4
1244
              5 }
1245
               1 struct A {
1246
               2
                     a: i32
1247
               3
```

1248 To show how convenient this is, we can define the very useful option type as follows,

Definition 18 (Option type). For a local type T, we can define the local as

- **Definition 19** (Global Types). Global types are defined to be sequences of local types.
- Example 9 (Representation of Graphs). *Graphs can be represented as sequences of its nodes. We can use position index to use as node references.*

### 1257 E.4 Computation Graph

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- For convenience, we shall use computation graph as a vehicle to describe complicated computation processes. Computation graph is close to actual computation process and one can derive an understanding of the computation difficulty from the graph's mathematic properties (width, depth, etc.)
- Definition 20 (Directed Simple Graph). A directed simple graph G is a pair (V, E) where V is a finite set, and  $E \subseteq V \times V$  is called edges.
- In the following, we shall simplify the "directed simple graph" to just graph.
- **Definition 21** (Computation Graph). A computation graph is an acyclic directed graph G = (V, E) with additional structures:
  - 1. for each vertex  $v \in V$ , there is an associated type, denoted by  $T_v$ ;
  - 2. for each vertex  $v \in V$  with a positive number of incoming edges, let  $v_1, \ldots, v_n$  be the other vertices for the incoming edges, then there is an associated function  $f_v$  from  $T_{v_1} \times \cdots \times T_{v_n}$  to  $T_v$ .
- A computation graph naturally generates a function from **source vertices** to **sink vertices**. Let  $v_1^{\text{in}}, \dots, v_n^{\text{in}}$  be the set of vertices with no incoming edges, and let  $v_1^{\text{out}}, \dots, v_m^{\text{out}}$  be the set of vertices with no outgoing edges. Then we can construct a function from  $T_{v_1^{\text{in}}} \times \dots \times T_{v_n^{\text{in}}}$  to  $T_{v_1^{\text{out}}} \times \dots \times T_{v_m^{\text{out}}}$  in the following obvious manner:
  - 1. let  $(x_1, \ldots, x_n) \in T_{v_n^{\text{in}}} \times \cdots \times T_{v_n^{\text{in}}}$  be an input;
- 1276 2. for each  $v_i^{\text{in}}$ , assign it with value  $x_i$ ;
- 3. for each vertex  $v \in V$  with all its incoming vertices  $v_1, \ldots, v_l$  assigned with a value, assign it with the value  $f_v(x_{v_1}, \ldots, x_{v_l})$  where  $x_{v_i}$  denotes the value assigned to  $v_i$ ;
- 4. repeat the process until all vertices are assigned a value, then take  $(x_{v_1^{\text{out}}}, \dots, x_{v_m^{\text{out}}})$  as the output.
- Our goal is to make a hypothesis class using the above graph. To control the statistical and computational complexity, we put restrictions on the choice of  $T_v$  and  $f_v$ , as follows:
- Definition 22 (Restricted Computation Graph). Let  $\mathcal{U}$  be a set of types, and for any  $A, B \in \mathcal{U}$ , there is a set of functions  $\operatorname{Mor}(A,B)$  from A to B. We require that  $T_v, T_v^{in} \in \mathcal{U}$  and  $f_v \in \operatorname{Mor}(T_v^{in}, T_v)$  where  $T_v^{in} := \prod_{v'v \in E} T_{v'}$ . We also require that the underlying graph G satisfies certain conditions (width, depth, etc.)
- Definition 23 (Restricted Computation Graph Of Sequences). Let  $\mathcal{U}$  be a universe such that for a set of types  $\mathcal{U}_0$  all types in  $\mathcal{U}$  are of the form  $A^*$  for some type  $A \in \mathcal{U}_0$ , and  $\operatorname{Mor}(A^*, B^*)$  are functions that preserve sequence lengths.
- Given a restriction, the class of functions generated by restricted computation graphs is the central object to study in this paper. We shall use an even more restricted computation graph of sequences.

  We shall argue about the class of functions formed that
- 1. it's rich enough to contain many interesting operations including SQL, compiler (type inference, static analysis)

- 2. it's computationally reasonable, and can be represented by transformers with pragmatic bounds
- 3. it has a reasonable statistical complexity
- As a corollary, our theories suggest that transformers can possibly learn to do many interesting things with reasonable computational and statistical complexity.
- To our knowledge, this is the first theoretical paper that gives pragmatic optimistic bounds for the power of transformers in a wide range of meaningful language tasks.
- Now we introduce graph-theoretical measures that will play key roles in our new complexity theory.
- 1303 The most basic one is the following:
- Definition 24 (Depth of Graph). The depth of a computation graph is defined to the length of the longest path, denoted by Depth(G).
- 1306 For convenience, we define the following vertex-wise depth.
- Definition 25 (Depth of Graph Vertex). The depth of a vertex v of a computation graph is defined as the length of the longest path with end v, denoted by Depth(v).
- The smaller  $d_G$  is, the more parallel the computation is.
- 1310 However, we shall discuss a more nuanced measure, containment, as follows:

### 1311 E.5 Functions over Local Types

- **Definition 26** (Functions over Local Types). Given Local Types  $\mathcal{T}$ ,  $\mathcal{R}$ , the functions from  $\mathcal{T}$  to  $\mathcal{R}$  are defined to be just the functions from Set  $(\mathcal{T})$  to Set  $(\mathcal{R})$ .
- *Remark* 4. The domains and codomains are all finite sets, so there aren't many constraints we want to enforce here. Basically, these are "discrete" functions.
- Definition 27 (Functions over Algebraic Data Types). Let  $\mathcal{T}, \mathcal{S}_1, \ldots, \mathcal{S}_m, \mathcal{R}$  be Local Types, and suppose that  $\mathcal{T}$  is an algebraic data type, then we can construct functions from  $\mathcal{T} \times \mathcal{S}_1 \times \ldots \times \mathcal{S}_m$  to  $\mathcal{R}$  as follows,
- 13. Suppose that  $\mathcal{T}$  is the sum type of  $\mathcal{T}_1, \ldots, \mathcal{T}_n$ . Then given functions  $f_i: \mathcal{T}_i \times \mathcal{S}_1 \times \cdots \times \mathcal{S}_m$  for  $i = 1, \ldots, n$ , we can construct a function f, by letting

$$f((i,t), s_1, \dots, s_m) = f_i(t, s_1, \dots, s_m),$$
 (10)

- for each  $t \in Set(T_i), s_1 \in Set(S_1), \ldots, s_m \in Set(S_m)$ .
- (Note that we use the pair (i,t) because the underlying set of  $\mathcal{T}$  is  $\begin{bmatrix} 1 \\ i-1 \end{bmatrix}$   $\{i\} \times Set(\mathcal{T}_i)$ .)
- 1323 2. suppose that  $\mathcal{T}$  is the product type of  $\mathcal{T}_1, \ldots, \mathcal{T}_n$ . Then given a function  $f_*: \mathcal{T}_1 \times \cdots \times \mathcal{T}_n \times \mathcal{S}_1 \times \cdots \times \mathcal{S}_m$  for  $i = 1, \ldots, n$ , we can construct a function f, by letting

$$f((t_1, \dots, t_n), s_1, \dots, s_m) = f_*(t_1, \dots, t_n, s_1, \dots, s_m), \tag{11}$$

for each  $t \in Set(T_i), s_1 \in Set(S_1), \dots, s_m \in Set(S_m)$ .

- It is not enough to just mathematically construct. We should also discuss how neural networks can represent these functions. We define the representation of functions over Local Types formally as
- 1328 follows:
- Definition 28 (Representation of Functions over Local Types Using Multi-Layer Perceptions). Let  $\mathcal{T}, \mathcal{R}$  be Local Types. Given a function f from  $\mathcal{T}$  to  $\mathcal{R}$ , we say it is representable by MLP of
- dimension  $d \ge \max\{d_T, d_R\}$  and number of layers L, if there exists  $\tilde{f} \in \text{ResMlp}_L^d$  such that

$$\iota_1 \circ \phi_{\mathcal{R}} \circ f = \tilde{f} \circ \iota_2 \circ \phi_{\mathcal{T}}, \tag{12}$$

- where  $\iota_1: \mathbb{R}^{d_{\mathcal{R}}} \to \mathbb{R}^d$  and  $\iota_2: \mathbb{R}^{d_{\mathcal{T}}} \to \mathbb{R}^d$  are the canonical embeddings by putting zeros to fit the dimensionalities.
- Here are some trivially true facts:

- Proposition 3. [Identities are Representable] For any Local Type  $\mathcal{T}$ , the identity map  $\operatorname{Id}_{\mathcal{T}}$  is representable in ResMlp<sub>1</sub><sup>d\_{\mathcal{T}}</sup>.
- 1337 *Proof.* Just take  $W_0^{(1)} = I_d, W_1^{(1)} = W_2^{(2)} = 0, B_1^{(1)} = B_2^{(2)} = 0.$
- Proposition 4. [Equality is Representable] The equality function for any local type  $\mathcal{T}$  is representable in ResMlp<sub>2</sub><sup>2d</sup>, where d is the encoding dimension of  $\mathcal{T}$ .
- Proof. Let  $x, y \in \mathcal{T}$  be the inputs. We encode them as  $\phi_{\mathcal{T}}(x), \phi_{\mathcal{T}}(y) \in \mathbb{R}^d$ . The equality function can be represented as:

$$f_{\text{eq}}(x,y) = \min\left(1, A\sum_{i=1}^{d} |\phi_{\mathcal{T}}(x)_i - \phi_{\mathcal{T}}(y)_i|\right),$$

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- where A is a large enough positive constant such that the RHS is either 1 or 0.
- This can be implemented in two-layer ResMLP with dimension 2d.
- Proposition 5. [Boolean NOT is Representable] The Boolean NOT function is representable in ResMlp $_1^1$ .
- 1346 *Proof.* It's affine. □
- Proposition 6. [Boolean AND is Representable] The Boolean AND function is representable in ResMlp $_1^2$ .
- 1349 *Proof.* Represent each Boolean value as a binary flag within a 1-dimensional vector. Then AND is
  1350 just taking the minimum. By  $\min(a, b) = b \sigma_{\text{ReLU}}(b a)$ , we're done.
- Proposition 7. [Boolean OR is Representable] The Boolean OR function is representable in ResMlp $_1^2$ .
- Proof. Represent each Boolean value as a binary flag within a 1-dimensional vector. Then OR is just taking the maximum. By  $\max(a,b) = a + \sigma_{\text{ReLU}}(b-a)$ , we're done.
- **Proposition 8.** [THEN\_SOME is Representable] The function Bool::then\_some: Bool  $\times$  T  $\rightarrow$
- Option T returns Some t if the boolean is true and None otherwise. This function is representable in  $\operatorname{ResMlp}_1^{d+1}$ .
- Proof. Encode the boolean as a binary flag in a (d+1)-dimensional vector, where the first component indicates the boolean value and the remaining d components hold the value of type T. The residual MLP  $f_{\text{resmlp}}$  constructs the output  $Option\ T$  by assembling the flag and the value split into positive and negative parts influenced by the flag:

$$f_{\text{resmlp}}(X) = \begin{pmatrix} x_1 \\ \sigma_{\text{ReLU}}(x_{2:d+1} - Ax_1) - \sigma_{\text{ReLU}}(-x_{2:d+1} - Ax_1) \end{pmatrix}.$$

- Here, A is a vector of dimension d with all entries positive and large enough to ensure proper thresholding. Specifically, each entry of A should be larger than the maximum absolute value that can be represented in the corresponding dimension of type  $\mathbf{T}$ . This ensures that when  $x_1=1$ , the subtraction  $x_{2:d+1}-A$  will always be negative, and when  $x_1=0$ , it will not affect the value.
- When the flag is true  $(x_1 = 1)$ ,  $\sigma_{\text{ReLU}}(x_{2:d+1} A) = 0$  and  $\sigma_{\text{ReLU}}(-x_{2:d+1} A)$  retains the negated value, resulting in Some t. When the flag is false  $(x_1 = 0)$ , both ReLU terms preserve the
- value, yielding None . Thus,  $f_{\text{resmlp}}$  effectively implements Bool::then\_some within a single layer of the MLP.

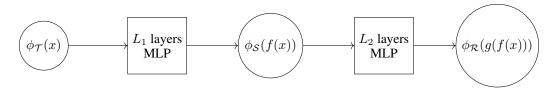


Figure 3: Transformation from  $\phi_{\mathcal{T}}(x)$  to  $\phi_{\mathcal{S}}(f(x))$  to  $\phi_{\mathcal{R}}(g(f(x)))$  with MLP layers.

**Proposition 9.** [Option Or is Representable] Let T be a local type, let Option::or be the function 1370 that maps two values a,b of type Option T to a value c of type Option T such that c is equal to a 1371 when a is not none, and equal to b otherwise. Then Option::or is representable in  $\operatorname{ResMlp}_1^{2(d+1)}$ . 1372

*Proof.* Each Option T is represented as a (d+1)-dimensional vector, where the first component is 1373 a binary flag indicating the presence (1 for Some, 0 for None), and the remaining d components 1374 encode the value. Given inputs  $a,b \in \mathsf{Option} \, \mathsf{T}$ , the residual MLP  $f_{\mathsf{resmlp}}$  processes the concatenated 1375 vector 1376

$$X = \begin{pmatrix} a_{\mathrm{flag}} \\ a_{\mathrm{val}} \\ b_{\mathrm{flag}} \\ b_{\mathrm{val}} \end{pmatrix}.$$

The MLP is designed to separate  $b_{val}$  into positive and negative parts  $(b_+, b_-$  respectively) influenced 1377 by  $a_{\text{flag}}$ . Specifically, it computes: 1378

$$f_{\text{resmlp}}(X) = a_{\text{val}} + \sigma_{\text{ReLU}} (b_{+} - Aa_{\text{flag}}) - \sigma_{\text{ReLU}} (b_{-} - Aa_{\text{flag}})$$

$$= a_{\text{val}} + \sigma_{\text{ReLU}} (b_{\text{val}} - Aa_{\text{flag}}) - \sigma_{\text{ReLU}} (-b_{\text{val}} - Aa_{\text{flag}}),$$
(13)

where A is a vector with large positive entries that ensures the ReLU activation zeroes out the non-1379 selected parts based on the flag. When  $a_{\rm flag}=1$ , the terms involving b are suppressed, resulting in 1380 c=a. Conversely, when  $a_{\text{flag}}=0$ , the positive part of b remains, effectively selecting b. Thus, 1381  $f_{\text{resmlp}}$  accurately implements the Option::or function, demonstrating that it is representable within 1382  $\operatorname{ResMlp}_{1}^{2(d+1)}$ 1383

**Proposition 10** (Field Access Is Representable in ResMlp). For algebraic data type, either struct 1384 field access, enum discriminator, and variant field access can be represented in ResMlp $_1^1$  where d is 1385 the encoding dimension. 1386

*Proof.* Obvious because these operations are linear. 1387

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**Proposition 11.** [Composition of Functions Representable in ResMlp] For local types  $\mathcal{T}$ ,  $\mathcal{S}$ ,  $\mathcal{R}$ , with maps  $f:\mathcal{T}\to\mathcal{S}$  and map  $g:\mathcal{S}\to\mathcal{R}$  representable in  $\mathrm{ResMlp}_{L_1}^{d_1}$  and  $\mathrm{ResMlp}_{L_2}^{d_2}$  respectively. Then  $g\circ f$  is representable in  $\mathrm{ResMlp}_{L_1+L_2}^{\max\{d_1,d_2\}}$ . 1388 1389 1390

*Proof.* Obvious by using the first  $L_1$  layers to map from  $\mathcal{T}$  to  $\mathcal{S}$  and using the rest  $L_2$  layers to map 1391 from S to R. The process can be visualized as in Figure 3.

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**Proposition 12.** [Computation Graph of Functions Representable in ResMlp] Let G be a com-1394 putation graph, with each vertex v being of some local type  $\mathcal{T}_v$ , and the construction functions are 1395 representable in  $\operatorname{ResMlp}_{L_v}^{d_v}$ . For convenience, if v is a source vertex,  $d_v$  is defined to be the encoding dimension of  $\mathcal{T}_v$  and  $L_v=0$ . Then the function induced by the computation graph is representable in  $\operatorname{ResMlp}_{\operatorname{Depth}(\mathcal{G})(\max_{v\in\mathcal{G}}L_v+1)+1}^{\sum_{v\in\mathcal{G}}d_v}$ . 1396 1397 1398

Proof. We construct a global residual multi-layer perceptron (ResMLP) that simulates the com-1399 putation graph  $\mathcal{G}$  by aggregating and updating the states of all vertices simultaneously. Let 1401  $D = \sum_{v \in \mathcal{G}} d_v$  be the total dimension, where  $d_v$  is the dimension associated with vertex v. The global ResMLP will have a depth of  $\operatorname{Depth}(\mathcal{G})(\max_v L_v + 1)$ .

Consider the concatenated state vector  $X^{(t)} \in \mathbb{R}^D$ , which is a concatenation of the states of all vertices:

$$X^{(t)} = \left(X_v^{(t)}\right)_{v \in \mathcal{G}},$$

where  $X_v^{(t)} \in \mathbb{R}^{d_v}$  is the state of vertex v at layer t.

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Initialization occurs at depth zero, corresponding to the source vertices of the computation graph. The state vector  $X^{(0)}$  is set by assigning the input vectors to the source vertices and initializing all other vertices to zero. Formally, if  $V_0$  denotes the set of source vertices, then:

$$X_v^{(0)} = \begin{cases} x_v & \text{if } v \in V_0, \\ 0 & \text{otherwise,} \end{cases}$$

where  $x_v \in \mathbb{R}^{d_v}$  is the input to source vertex v. Because  $X_v^{(0)}$  is of dimensionality  $d_v$  equal to the encoding dimension, this agrees with our convention for representing functions over local types.

We proceed inductively over the depth levels of the computation graph. For each depth level  $k = 1, 2, \ldots, \text{Depth}(\mathcal{G})$ , we perform the following steps in the global ResMLP.

1. Input Aggregation Layer. We apply a linear transformation to gather the outputs from the predecessor vertices of each vertex at depth k and feed them as inputs to these vertices. Specifically, we define a linear mapping  $W_{\text{agg}}^{(k)} \in \mathbb{R}^{D \times D}$  such that:

$$\tilde{X}^{(t_k)} = W_{\text{agg}}^{(k)} X^{(t_{k-1})},$$

where  $t_{k-1}$  is the layer after processing depth k-1, and  $\tilde{X}^{(t_k)}$  is the aggregated input for the vertices at depth k. The matrix  $W_{\rm agg}^{(k)}$  rearranges and combines the outputs from predecessor vertices to provide the correct inputs to each vertex at depth k. Specifically, for each vertex v at depth k, and for each predecessor v of v in the computation graph, the matrix  $W_{\rm agg}^{(k)}$  contains entries that copy the output of v into the input positions of v. All other entries in  $W_{\rm agg}^{(k)}$  are set to zero or identity as appropriate.

2. Local Computation Layers. For each vertex v at depth k, we simulate its local ResMLP of depth  $L_v$ . Since the depths  $L_v$  may vary, we pad the local ResMLPs to have a uniform depth  $L = \max_v L_v$  by adding identity mappings where necessary. The updates for vertex v are computed as:

$$\begin{split} X_v^{(t_k+1)} &= \tilde{X}_v^{(t_k)} + f_{\text{fcn}_v} \left( \tilde{X}_v^{(t_k)} \right), \\ X_v^{(t_k+k')} &= X_v^{(t_k+k'-1)} + f_{\text{fcn}_v} \left( X_v^{(t_k+k'-1)} \right), \quad \text{for } k' = 2, \dots, L_v, \\ X_v^{(t_k+k')} &= X_v^{(t_k+k'-1)}, \quad \text{for } k' = L_v + 1, \dots, L. \end{split}$$

Here,  $f_{\text{fcn}v}$  denotes the single-layer fully connected network (as per Definition 1) for vertex v.

3. State Update. After completing the local computations for depth k, we update the global state vector  $X^{(t_k+L)}$  by concatenating the updated states of all vertices:

$$X^{(t_k+L)} = \left(X_v^{(t_k+L)}\right)_{v \in \mathcal{G}}.$$

The total number of layers added for depth k is L+1, accounting for the input aggregation layer and the L layers simulating the local ResMLPs.

By repeating this process for each depth level  $k = 1, 2, ..., \text{Depth}(\mathcal{G})$ , we simulate the entire computation graph within a global ResMLP of depth  $\text{Depth}(\mathcal{G})(\max_v L_v + 1)$ .

Lastly, we use the final layer to perform a linear mapping so that the output is in the correct linear representation, clearing out the intermediate values.

- Therefore, the function computed by the global ResMLP is equivalent to the function induced by the computation graph  $\mathcal{G}$ , and it is representable in  $\operatorname{ResMlp}_{\operatorname{Depth}(\mathcal{G})(\max_v L_v + 1)}^D$ . 1436
- 1437
- Remark 5. We only prove things around MLPs here. Later, we shall show that this will imply that 1438
- the induced map operation over sequences can be represented by transformers. 1439

#### **Functions over Global Types** 1440

- The task we want transformers to express is too complicated to be cleanly described in one shot. So 1441
- we introduce the following lemma to significantly simplify things. The lemma shall be useful for 1442
- our future papers on this topic. 1443
- 1444
- **Proposition 13.** [Composition of Functions Representable in Transformers] For local types  $\mathcal{T}$ ,  $\mathcal{S}$ ,  $\mathcal{R}$ , with maps  $f: \mathcal{T}^* \to \mathcal{S}^*$  and  $g: \mathcal{S}^* \to \mathcal{R}^*$  representable in  $\mathrm{Tf}_{H_1,L_1}^{d_1}$  and  $\mathrm{Tf}_{H_2,L_2}^{d_2}$  respectively. Then the composition  $g \circ f$  is representable in  $\mathrm{Tf}_{\max\{H_1,H_2\},L_1+L_2}^{\max\{d_1,d_2\}}$ . 1445
- 1446
- *Proof.* This is basically the same as the proof of Proposition 11. 1447
- Proposition 14. [Computation Graphs of Functions Representable in Transformers] Suppose we 1448
- have a computation graph G=(V,E) with types  $\mathcal{T}_v=\overline{T}_v^*$  together with encoding map  $\psi_v:T_v\to$ 1449
- $\mathbb{R}^{d_v}$  and decoding map  $\phi_v : \mathbb{R}^{d_v} \to T_v$ , satisfying  $\phi_v \circ \psi_v \equiv \mathrm{id}_{T_v}$ , and there exists some positive integer  $d_0$  such that for each  $v \in V$ ,  $f_v$  can be represented in 1450
- 1451

$$\mathrm{Tf}_{H_v,L_v}^d$$

- Let f be the function generated by the computation graph. Then f can be represented in  $\operatorname{Tf}^d_{H,L}$  if
- $d \geq \sum_{v} d_v + Hd_0$ ,  $L \geq \frac{|G|}{H} + d_G$  where  $d_G$  is the depth of the graph. 1453
- Remark 6. This doesn't really cover the above. The bound in Proposition 14 isn't always tight for 1454
- model dimension when the computation graph is deep and Proposition 13 complements it. 1455
- *Proof.* WLOG, assume that  $d = \sum_{v \in V} d_v + Hd_0$ . Then 1456

$$\mathbb{R}^{d} = \underbrace{\left(\bigoplus_{v \in V} \mathbb{R}^{d_{v}}\right)}_{C} \oplus \underbrace{\left(\bigoplus_{h \in [H]} \mathbb{R}^{d_{0}}\right)}_{C}.$$
(14)

 $\Box$ 

- Here C stands for "cache" used for storing computed values, and A stands for "active" used for 1457
- storing intermediate computation results. 1458
- Make an order of all the nodes in the graph, say  $V = \{v_1, \dots, v_{|G|}\}$  such that  $Depth(v_i) \leq v_i$ 1459
- Depth $(v_i)$  if  $i \leq j$ . 1460
- We now imagine the transformer computation process as gradually evaluating the value of each 1461
- vertex, starting from  $v_1$  to  $v_{|G|}$ . Every  $\max_v L_v$  layers form a layer group, and after each layer
- 1463 group, at most H vertices are assigned values. The equation 14 implies that we have enough memory
- to cache the computed values and intermediate values in small transformers. 1464
- Now let this process continue until we compute all the values. It must be finite because after each 1465
- layer group, at least one of the vertices is computed. But this bound is too loose. We claim the 1466
- following: 1467
- **Claim:** the number of layer groups where less than H vertices are assigned values is smaller than 1468
- Depth(G). 1469
- **Sketch of Proof of Claim**: for any layer group where less than H vertices are assigned, all the 1470
- vertices that aren't assigned after this layer group must have larger depth than any vertices that are 1471
- assigned values before this layer group, otherwise such a vertice can be evaluated in this layer group. 1472
- Define the depth of any layer group to be the smallest depth of vertices evaluated in this layer group. 1473
- Then for any unsatiated layer group, it must have a larger depth than the previous layer group. But
- depth can only increase Depth(G) times, thus there are at most Depth(G) unsatiated layer groups.

- Proof of Claim: let  $V_1, \ldots, V_l$  be the vertices evaluated at each layer group. Note that l is a different symbol than L and means that the number of layer groups rather than the number of layers.
- For convenience, let  $D_i$  be the minimum of the depths of vertices in  $V_i$ .
- Suppose that the ith layer group is unsatiated, then i < l. We want to show that  $D_i < D_{i+1}$ .
- Suppose otherwise, i.e.,  $D_i = D_{i+1}$ . Because the ith layer group is unsatiated, for any  $v \in V_{i+1}$ ,
- v must have dependencies that haven't been evaluated before the *i*th layer group. Choose  $v_0 \in$
- 1482  $V_i, v_1 \in V_{i+1}$  such that  $Depth(v_0) = Depth(v_1) = D_i = D_{i+1}$ . Note that any dependency of  $v_1$
- must have smaller depths than  $v_0$ , then must have already be evaluated before the ith layer group.
- 1484 Contradiction!
- Now given the claim, we have that for all but at most  $\operatorname{Depth}(G)$  choices of  $i=1,\ldots,l$ , we have
- 1486  $|V_i| = H$ , then we have

$$|G| = \sum_{i=1}^{l} |V_i| \ge (l - \text{Depth}(G))H \tag{15}$$

- 1487 Then  $l \leq \frac{|G|}{H} + \text{Depth}(G)$ .
- 1488 Then  $L \leq l \cdot \max_{v \in G} L_v = \left(\frac{|G|}{H} + \text{Depth}(G)\right) \max_{v \in G}$ .
- 1489
- Proposition 15. [Nearest Left/Right] For any local type T, consider the function that maps a
- sequence of type Option<T> to nearest left/right neighbors that are not none. It's representable in
- 1492  $Tf_{1,1}^{d+1}$
- 1493 Proof. There is only one layer and one head needed, so we can omit the layer and head index.
- 1494 WLOG, we consider the nearest left case.
- 1495 We just need to make the attention exponential look like this:

$$Q_p^{\top} K_{p'} + \lambda \Psi_{p'-p} = a_{\text{flag},p'} - 1_{p'-p>0}, \tag{16}$$

- where  $a_{\text{flag},p'} \in \{0,1\}$  indicates whether the value at position p' is some or none.
- We set  $V_{p'}$  to represent the value of type Option<T> .
- For the starter token  $p_0$ , we make it such that

$$Q_p^{\top} K_{p_0} + \lambda \Psi_{p_0 - p} = 1, \tag{17}$$

1499 and

$$V_{p_0} = \mathbf{0},\tag{18}$$

- $V_{p_0} = \mathbf{0},$   $_{\rm 1500}$   $\,$  so that when there are no some to the left, it will give us none.
- **Proposition 16.** [Nearest Two Left/Right] For any local type T, consider the function that maps a
- 1502 sequence of type Option<T> to nearest two left/right neighbors that are not none. It's representable
- in  $\operatorname{Tf}_{O(1),O(1)}^{O(d)}$  where d is the encoding dimension of  ${\it T}$  .
- 1504 *Proof.* We can utilize Proposition 15 and 14.
- The nearest two left or right is equivalent to first computing the nearest left/right, and then packing
- them together into one and compute its nearest left/right. The process is represented by a small
- constant computation graph, then we're done.

### 1508 E.7 Syntax and Semantics of Cybertron

- Having laid the necessary mathematical foundation behind Cybertron, we now turn to explaining
- 1510 its surface—its syntax and semantics. Cybertron serves as a syntax sugar for expressing local
- and global computation graphs, which are the vehicles used to demonstrate the expressive power of
- transformers. In Cybertron, computations are divided into two layers: the **local world** and the **global**
- world. These layers play distinct but complementary roles in constructing computation graphs.

#### E.7.1 Local World

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The **local world** in Cybertron corresponds to the feed-forward layers of a transformer, focusing on 1515 computations over **local types**. Local types represent individual tokens or data points, and compu-1516 tations in this world handle operations on tokens independently of their surrounding context. 1517

**Data Types.** Local types in Cybertron include basic types such as Bool, Idx, Pos, Fin<n>, BoundedVec<T, N>, etc. These types are essential for building local computation graphs that operate over individual tokens. Compound types, like structs and enums, can also be defined for more complex token representations. These types serve as the building blocks for the local computation graphs that transform data at the token level.

```
1 struct Node {
1523
1524
               id: Idx,
1525
       3
               position: Pos,
          }
1526
        4
1527
          enum Operation {
1528
1529
               Add {
1530
                    lhs: Pos,
1531
                    rhs: Pos,
       10
1532
               Multiply {
1533
       11
1534
       12
                    factor: Pos,
1535
       13
1536
      14
```

**Functions.** Functions in the local world define operations upon information over individual tokens. These operations form nodes in the local computation graphs. For instance, operations like binary or unary expressions, conditionals, and matches on token types are transformed into computation graphs by handling each individual token's data.

```
fn process_ast(ast: AstData) -> Option<Role> {
1541
1542
              match ast {
1543
                  AstData::LetInit { pattern, initial_value, .. } => {
      3
1544
                      Some(Role::LetStmt { pattern, initial_value })
1545
1546
       6
                  AstData::Defn { keyword, ident, .. } => {
1547
                      Some (match keyword {
                          DefnKeyword::Struct => Role::StructDefn(ident),
1548
                           DefnKeyword::Enum => Role::EnumDefn(ident),
1549
                           DefnKeyword::Fn => Role::FnDefn(ident),
1550
      10
1551
                      })
      11
1552
      12
1553
      13
                    => None,
1554
      14
1555
      15 }
```

**Control Flow.** In the local world, control flow structures such as if and match are transformed into computation graphs by treating each branch or arm as an expression that returns an Option 1557 based on conditions. These Option values are then combined using the Option::or function. According to Proposition 9, Option::or maps two Option<T> values and returns the first non-None value, or the second one otherwise. This allows conditional branches to be represented in computation graphs as sequential option evaluations, where the first matching condition provides the result. 1562

### E.7.2 Global World

The global world extends beyond individual tokens to sequences of tokens, represented as global 1564 types. These global types are denoted as Seq<T>, where T is a local type. The global world 1565 represents the full transformer, focusing on operations involving sequences of tokens, including 1566 variable definitions, expressions involving variable references, and function calls. 1567

**Variable Definitions.** Variables in the global world are defined using global types, which represent sequences of local tokens. These definitions correspond to nodes in the global computation graph.

Expressions. Expressions in the global world consist of references to variables or function calls.

Since the global world operates over sequences of tokens, these expressions are translated into sequence-level operations in the computation graph.

Function Calls. Function calls are key elements of the global world. They are represented by applying global functions to sequences of tokens. Cybertron provides map functions to elevate local functions to global functions by mapping them across sequences. Additionally, attention methods like nearest\_left and nearest\_right handle dependencies between tokens in the sequence by identifying relationships based on their positions.

In the global world, computation graphs are built by composing map functions and attention methods. These graphs, unlike those in the local world, do not include control flow mechanisms.

# E.8 Dyck Language

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This section demonstrates how the **local world** in Cybertron operates over token-level computations and how the **global world** handles sequence-level operations. We use a Dyck language example to explain the interactions between these two worlds. The example processes a sequence of delimiters (like parentheses) and checks for matching pairs.

Local World. In Cybertron, the local world operates on individual tokens. Here, the local types are simple, such as Delimiter and PreAst, which represent information associated with individual tokens. These types allow for token-level operations like comparisons and transformations.

We define a struct to represent a delimiter and an enum to classify delimiters as either left or right.

These definitions reflect local types, as they hold information over a single token.

```
// Define a struct 'Delimiter' that wraps a 'u8' value.
1591
         #[derive(Debug, Clone, Copy, PartialEq, Eq)]
1592
         pub struct Delimiter(u8);
1593
1594
         // Define an enum 'PreAst' which represents a left or right delimiter.
1595
         #[derive(Debug, Clone, Copy, PartialEq, Eq)]
1596
1597
         pub enum PreAst {
             LeftDelimiter(Delimiter).
1598
1599
             RightDelimiter (Delimiter) .
      10 }
1600
```

Here, the local types Delimiter and PreAst define operations upon individual tokens, representing fundamental units of the computation graph at the local level. The local world is responsible for handling these small, token-level computations independently of the global sequence.

Global World. In the global world, Cybertron operates on sequences of tokens, treating the collection of local types as a single unit of computation. The global world introduces global types such as Seq<Option<PreAst>>, which represents a sequence of optional delimiters. The global world handles sequence-level operations by applying functions like nearest\_left and nearest\_right to capture the relationships between tokens in the sequence.

The following function operates on a sequence of PreAst, reducing matched pre-asts. The recursive application of step gives us the classifier for Dyck language.

```
1611   1   fn step(pre_asts: Seq<Option<PreAst>>) -> Seq<Option<PreAst>> {
1612   2     let pre_asts_nearest_left = pre_asts.nearest_left();
1613   3     let pre_asts_nearest_right = pre_asts.nearest_right();
1614   4     step_aux.apply(pre_asts_nearest_left, pre_asts, pre_asts_nearest_right)
1615   5 }
```

Local Worlds. The step\_aux function matches tokens based on their nearest neighbors within the sequence, eliminating pre-asts if a match is found.

```
1618 1 fn step_aux(
1619 2 pre_ast_nearest_left: Option<(Idx, PreAst)>,
```

```
1620
              pre ast: Option<PreAst>,
              pre_ast_nearest_right: Option<(Idx, PreAst)>
1621
1622
       5
            -> Option<PreAst> {
1623
              match pre ast? {
1624
                  PreAst::LeftDelimiter(delimiter) => match pre_ast_nearest_right {
1625
                      Some(( , PreAst::RightDelimiter(delimiter1))) if delimiter1 == delimiter =>
       8
1626
              None,
1627
                        => pre ast.
1628
      10
                  PreAst::RightDelimiter(delimiter) => match pre_ast_nearest_left {
1629
      11
                      Some((_, PreAst::LeftDelimiter(delimiter1))) if delimiter1 == delimiter =>
1630
      12
1631
               None,
      13
1632
                       _ => pre_ast,
1633
      14
1634
      15
              }
1635
      16
```

In this example, the global function step uses nearest\_left and nearest\_right to capture sequence-level dependencies, while the local function step\_aux uses conditional logic to check for matching pairs of delimiters. The local world handles token-level logic, while the global world coordinates operations across the entire sequence. This separation reflects how Cybertron handles computations at different levels of granularity.

Thus, this example illustrates how Cybertron leverages both the local and global worlds to build comprehensive computation graphs in a convenient, comprehensive yet rigorous manner. The local world performs individual tokenwise operations, and the global world captures relationships between tokens in a sequence, demonstrating how Cybertron enables transformers to express complex computations.

#### F Transformer AST Proof

#### F.1 High Level Overview

Here we give the full details of the proof of transformers being able to parse ASTs.

On a high level, we are going to see the parsing of ASTs as an assembly process. First, we immediately get the atomic ones, like identifiers, literals, etc. Then we assembly all composite ASTs with enough precedence util all tokens are consumed. We can prove that at the nth round, all ASTs with depth no more than n are already constructed. In the process, we must keep track of the unconsumed tokens and newly constructed ASTs (to be consumed as children for new ASTs in the next round, as we are going bottom up). We use pre\_asts to denote all the unconsumed tokens and newly constructed ASTs and use asts to denote all the constructed(allocated) ASTs. For correctness guarantees, we give detailed type specifications for tokens, ASTs, and PreASTs as follows.

We define the Token type as follows:

```
1 /// The 'Token' enum represents the various types of tokens that can be
1658
1659
         /// identified during the lexical analysis phase of a compiler. Each variant
1660
      3 /// corresponds to a specific category of token that can be encountered
1661
      4 /// in the source code.
1662
         pub enum Token {
1663
              /// A literal value, which can be a number, string, or other primitive type.
1664
             Literal (Literal),
1665
             /// A reserved keyword in the language, such as 'if', 'else', 'while', etc.
             Keyword (Keyword),
1666
1667
      10
             /// An identifier, typically representing variable names, function names,
1668
      11
              /// or other user-defined symbols.
1669
      12
             Ident(Ident),
             /// An operator, such as '+', '-', '*', '==', etc., representing mathematical
1670
      13
1671
      14
              /// or logical operations.
1672
      15
             Opr(Opr),
             /// A left delimiter, such as `(`, `{`, `[`, used to denote the beginning of
1673
1674
      17
              /// a block, list, or expression.
1675
      18
             LeftDelimiter(LeftDelimiter),
1676
      19
             /// A right delimiter, such as ')', '}', used to denote the end of a
      20
              /// block, list, or expression.
1677
      21
1678
             RightDelimiter (RightDelimiter),
             /// A separator, such as ',' or ';', used to separate elements in a list or
1679
      22
1680
      23
              /// statements in a block.
             Separator(Separator),
      25
1682
```

The type has an encoding dimension  $d_{\text{Token}} = \Theta(\log L)$ , which is large enough to faithfully represent its information.

More specifically, the types Literal, Keyword, Ident, Opr, LeftDelimiter, RightDelimiter, Separator are local types assumed to have encoding dimension less than  $d_{Token}$ . Keyword, Opr, LeftDelimiter, RightDelimiter, Separator are small, so they can be encoded in a straight-forward manner entirely using  $d_{Token}$ . However, Literal and Ident are larger than representable by a lim-ited number of bits because potentially a Literal can be a string literal of arbitrary length and an Ident can also be of arbitrary length. This can be solved through methods like interning, which gives all literals and identifiers that actually appear in the input distinct encodings. As the context length is L, the number of different literals/identifiers are bounded by context length and interning needs  $O(d_{\text{Token}}) = O(\log L)$  to work. As far as our theories are concerned, it's totally reasonable to assume that all these types are assumed to have encoding dimension less than  $d_{\text{Token}} = O(\log L)$ . 

# We define AST type as follows:

```
1 /// Represents a node in an Abstract Syntax Tree (AST).
1696
1697
      2 ///
      3 /// Each 'Ast' node has a reference to its parent node (if any) and holds
1698
1699
      1700
      5 /// constructs defined in the 'AstData' enum).
1701
      6 pub struct Ast {
1702
            /// The index of the parent node in the AST, if it exists.
1703
            /// - `Some(Idx) `: The node has a parent, and 'Idx' represents its position.
1704
1705
            /// - 'None': The node is the root or does not have a parent.
     10
1706
     11
            pub parent: Option<Idx>,
1707
     12
            /// The data associated with this AST node.
1708
     13
1709
            /// This field holds the actual syntax information, which is typically
1710
            /// defined by the 'AstData' enum. This could represent literals, expressions,
     15
1711
            /// statements, and other constructs in the source language.
1712
     17
            pub data: AstData,
1713
```

Note that we intentionally structure the tree by always storing the parent but not necessarily storing all children information. In our assumptions, we only control the depth of ASTs but don't control the number of children. More specifically, a function can have as many statements as possible. To avoid overflowing, we don't store all children information. As we shall see, parent information alone is enough for transformers to perform tree operations.

The AstData is the most complicated we define in this paper, as follows:

```
1 /// Enumeration representing different types of Abstract Syntax Tree (AST) nodes
1720
1721
       2 pub enum AstData {
              /// Represents a literal value (e.g., integer, string)
1722
1723
       4
              Literal (Literal),
1724
       5
              /// Represents an identifier (e.g., variable name)
1725
       6
              Ident (Ident),
              /// Represents a prefix expression (e.g., '!x', '-x')
1726
1727
       8
              /// # exprs
1728
       9
1729
      10
              Prefix {
1730
      11
                  /// Operator in the prefix expression (e.g., '!', '-')
1731
      12
1732
      13
                  opr: PrefixOpr,
                  /// Operand index of the expression
1733
      14
                  opd: Idx,
1734
      15
1735
      16
1736
      17
              /// Represents a binary expression (e.g., 'x + y', 'a * b')
1737
      18
              Binary {
    /// Index of the left operand
1738
      19
1739
      20
                  lopd: Idx,
1740
      21
                  /// Operator in the binary expression (e.g., '+', '*')
1741
      22
                  opr: BinaryOpr,
1742
      23
                   /// Index of the right operand
1743
      24
                  ropd: Idx,
1744
      25
1745
      26
              /// Represents a suffix expression (e.g., 'x++', 'y--')
1746
      27
1747
      28
                  /// Index of the operand
1748
                  /// Operator in the suffix expression (e.g., '++', '--')
1749
      30
```

```
1750
      31
                 opr: SuffixOpr,
1751
      32
              /// Represents a delimited expression (e.g., (x + y), (a, b, c))
1752
      33
1753
      34
             Delimited {
1754
      35
                  /// Index of the left delimiter in the expression
1755
                  left_delimiter_idx: Idx,
      36
      37
                  /// The left delimiter (e.g., '(', '{')
1756
                  left_delimiter: LeftDelimiter,
1757
      38
                  /// The right delimiter (e.g., ') ', '} ')
1758
      39
      40
                  right_delimiter: RightDelimiter,
1759
      41
1760
             }, /// Represents an item separated by a separator (e.g., elements in an array or list)
      42
1761
1762
      43
             SeparatedItem {
                  /// Index of the content, if any
      44
1763
      45
                  content: Option<Idx>,
1764
      46
                  /// The separator (e.g., ', ', '; ')
1765
      47
1766
                  separator: Separator,
1767
      48
1768
      49
             /// Represents a function call or array access (e.g., `f(...) `, `a[...] `)
1769
      50
             /// things like 'f(...)' or 'a[...]'
1770
      51
             Call {
    /// Index of the caller (e.g., function or array)
1771
      52
1772
      53
1773
      54
                  caller: Idx,
1774
      55
                  /// The left delimiter of the call (e.g., '(', '[')
1775
      56
                  left_delimiter: LeftDelimiter,
1776
      57
                  /// The right delimiter of the call (e.g., ')', ']')
1777
      58
                  right_delimiter: RightDelimiter,
1778
      59
                  /// Index of the delimited arguments in the call
1779
      60
                  delimited_arguments: Idx,
1780
      61
              /// Represents a 'let' statement with an initialization (e.g., 'let x = 5;')
1781
      62
1782
      63
1783
      64
              /// # stmts
1784
      65
1785
      66
             LetInit {
1786
      67
                 /// Index of the expression in the initialization
1787
      68
                  expr: Idx,
      69
                  /// Index of the pattern being initialized
1788
1789
      70
                  pattern: Idx,
      71
                  /// Optional index of the initial value
1790
1791
      72
                  initial_value: Option<Idx>,
      73
1792
1793
      74
              /// Represents an 'if' statement
1794
      75
             If {
1795
      76
                  /// Index of the condition in the 'if' statement
      77
                  condition: Idx,
1796
1797
      78
                  /// Index of the body of the 'if' statement
1798
      79
                  body: Idx,
             },
/// Represents an 'else' statement
1799
      80
1800
      81
1801
      82
             Else {
    /// Index of the associated 'if' statement
1802
      83
1803
      84
                  if_stmt: Idx,
1804
      85
                  /// Index of the body of the 'else' statement
1805
      86
                  body: Idx,
1806
      87
1807
      88
             /// Represents a function or variable definition
1808
      89
             /// # defn
1809
      90
      91
1810
             Defn {
1811
      92
                  /// The keyword in the definition (e.g., 'fn', 'enum')
      93
1812
                  keyword: DefnKeyword,
1813
      94
      95
                  /// Index of the identifier in the definition
1814
1815
      96
                  ident idx: Idx,
      97
1816
                  /// The identifier being defined (e.g., function name, variable name)
                 ident: Ident,
/// Index of the content or body of the definition
1817
      98
      99
1818
1819
     100
                  content: Idx,
1820
     101
             },
1821
      102 }
1822
       1823
      2 /// encountered during the parsing phase, before the final AST is constructed.
1824
         /// Each variant corresponds to a specific type of token or partial
1825
         /// AST node that contributes to the construction of the final AST.
1826
         #[derive(Clone, Copy, PartialEq, Eq)]
1827
       6 pub enum PreAst {
              /// A reserved keyword in the language, such as 'if', 'else', 'while', etc.
1828
1829
             Keyword (Keyword),
```

```
/// An operator, such as '+', '-', '*', '==', etc., representing mathematical
1830
              /// or logical operations.
      10
1831
1832
      11
             Opr(Opr),
             /// A left delimiter, such as '(', '{', '[', used to denote the beginning of
1833
      12
1834
              /// a block, list, or expression.
      13
             LeftDelimiter(LeftDelimiter),
1835
      14
             /// A right delimiter, such as ')', '}', ']', used to denote the end of a
1836
      15
              /// block, list, or expression.
1837
      16
1838
      17
             RightDelimiter (RightDelimiter),
             /// A partially constructed AST node, representing a more complex structure
1839
      18
1840
      19
              /// that will be further processed to build the final AST.
1841
      20
             Ast (AstData),
1842
             /// A separator, such as `, ` or `; `, used to separate elements in a list or
      21
              /// statements in a block.
1843
      22
1844
      23
             Separator (Separator),
1845
      24
```

```
1846
      1 /// this is beyond the scope of Cybertron
1847
1848
         /// rather a general Rust function to integrate for testing
      4 pub fn calc_asts_from_input(input: &str, n: usize) -> (Seq<Option<PreAst>>,
1849
1850
              Seg<Option<Ast>>) {
1851
      5
             let tokens = tokenize(input);
1852
             let pre_asts = calc_pre_ast_initial_seq(tokens);
1853
             let allocated_asts: Seq<Option<Ast>> = tokens.map(|token| token.into());
1854
             reduce_n_times(pre_asts, allocated_asts, n)
1855
```

The reduce function in Cybertron is designed to progressively refine sequences of pre-abstract syntax trees (pre-ASTs) and allocated abstract syntax trees (ASTs). The function takes two input sequences: pre\_asts, which is a sequence of optional pre-ASTs, and allocated\_asts, which is a sequence of optional ASTs. It returns a tuple containing the reduced sequences of pre-ASTs and allocated ASTs.

The reduction process is carried out in multiple stages, each focusing on different syntactic constructs:

- 1. reduce\_by\_opr: This step handles reduction by dealing with operators and their precedence. It simplifies expressions involving operations to form more compact ASTs.
- 2. reduce\_by\_delimited: This step reduces constructs that are delimited, such as those involving parentheses, braces, or other grouping symbols. It ensures that delimited blocks are properly nested and combined in the AST.
- 3. reduce\_by\_call: In this stage, function or method calls are reduced. This involves identifying and structuring calls within the AST, ensuring correct representation of function invocations.
- 4. reduce\_by\_stmt: This reduction step addresses statements, ensuring that individual statements are correctly parsed and represented within the AST, such as assignment statements, loops, and conditionals.
- 5. reduce\_by\_defn: Finally, reduction by definition handles the parsing of definitions, such as variable or function declarations. This step ensures that all definitions are correctly represented within the AST.

By sequentially applying these reduction steps, the reduce function progressively transforms the initial sequences into their most refined forms, ready for further syntactic or semantic analysis.

```
1879
      1 pub fn reduce(
1880
             pre_asts: Seq<Option<PreAst>>,
      2
             allocated_asts: Seq<Option<Ast>>>,
1881
           -> (Seq<Option<PreAst>>, Seq<Option<Ast>>) {
1882
      4
1883
              // Reduce ASTs by handling operators and precedence
1884
      6
             let (pre_asts, allocated_asts) = reduce_by_opr(pre_asts, allocated_asts);
1885
1886
              // Reduce ASTs by handling delimited constructs like parentheses or braces
             let (pre_asts, allocated_asts) = reduce_by_delimited(pre_asts, allocated_asts);
1887
1888
      10
1889
              // Reduce ASTs by handling function or method calls
             let (pre_asts, allocated_asts) = reduce_by_call(pre_asts, allocated_asts);
1890
```

```
1891
      13
              // Reduce ASTs by handling statements, ensuring proper syntax structure
1892
      14
1893
      15
              let (pre_asts, allocated_asts) = reduce_by_stmt(pre_asts, allocated_asts);
1894
      16
1895
              // Reduce ASTs by handling definitions, like variables or functions
      17
              let (pre_asts, allocated_asts) = reduce_by_defn(pre_asts, allocated_asts);
1896
      18
1897
      19
1898
              // Return the final reduced sequences of pre-ASTs and allocated ASTs
      20
1899
      21
              (pre_asts, allocated_asts)
1900
      22
1901
      1 pub fn reduce_n_times(
1902
              mut pre_asts: Seq<Option<PreAst>>,
1903
              mut allocated_asts: Seq<Option<Ast>>>,
1904
              n: usize,
         ) -> (Seq<Option<PreAst>>, Seq<Option<Ast>>) {
1905
             for _ in 0..n {
    let (pre_asts1, allocated_asts1) = reduce(pre_asts, allocated_asts);
1906
1907
1908
                  pre_asts = pre_asts1;
                  allocated asts = allocated asts1;
1909
1910
      10
              (pre asts, allocated asts)
1911
      11
1912
      12 }
```

In the above definition, we actually used Rust's mutable variable semantics. However, it's straight-forward to see that it translates to a computation graph that is a sequential composition of subgraphs with sequential length n. Because the AST's depth is bounded by D, we can just take n to be D. Each subgraph is generated from the reduce function, then they are all constant graphs constructed by global and local functions, then by Proposition 13,11 and 2 they translate to transformers with  $O(\log L + D)$  depth, model dimension, and number of heads, where  $\log L$  comes from the encoding of types like Token .

- 1920 Below we give full details of the various reduction functions.
- As these are implemented as Rust functions, they have been tested against a number of inputs. We don't guarantee an industry level of correctness, but the key point is well illustrated.

### 1923 F.2 Operators

In this section, we lay down the definition of reduce\_by\_opr.

```
1925
      1 pub(super) fn reduce_by_opr(
1926
             pre_asts: Seq<Option<PreAst>>,
      2
1927
       3
             allocated_asts: Seq<Option<Ast>>>,
1928
         ) -> (Seq<Option<PreAst>>, Seq<Option<Ast>>) {
1929
             let pre_asts_nearest_left2 = pre_asts.nearest_left2();
             let pre_asts_nearest_right2 = pre_asts.nearest_right2();
1930
1931
             let new_opr_asts = new_opr_ast.apply(pre_asts_nearest_left2, pre_asts,
              pre_asts_nearest_right2);
1932
              let (pre_asts_reduced, new_parents) = reduce_pre_asts_by_opr(pre_asts, new_opr_asts);
1933
1934
             let pre_asts = update_pre_asts_by_new_asts(pre_asts_reduced, new_opr_asts);
1935
      10
             let allocated asts =
1936
                 allocate_asts_and_update_parents(allocated_asts, new_opr_asts, new_parents);
1937
      12
              (pre_asts, allocated_asts)
1938
      13 }
```

```
1939
      1 /// a finite function
1940
       2 pub(crate) fn new_opr_ast(
1941
             nearest_left2: Option2<(Idx, PreAst)>,
1942
             current: Option<PreAst>,
1943
             nearest_right2: Option2<(Idx, PreAst)>,
1944
         ) -> Option<AstData> {
1945
             let Some(PreAst::Opr(opr)) = current else {
1946
       8
                  return None;
1947
1948
      10
             match opr {
1949
                  Opr::Prefix(opr) => {
1950
      12
                      let Some((opd, PreAst::Ast(_))) = nearest_right2.first() else {
1951
      13
1952
      14
1953
                      if let Some((_, ast)) = nearest_right2.second() {
      15
1954
      16
1955
                               PreAst::Keyword(_) => (),
1956
                               PreAst::Opr(right_opr) => match right_opr {
```

```
Opr::Prefix(_) => (),
1957
      19
                                    Opr::Binary(right_opr) => {
1958
      20
                                        // every binary opr in our small language is left associative,
1959
      21
               so '<' instead of '<='
1960
1961
      22
                                        if right_opr.precedence() > opr.precedence() {
1962
      23
                                             return None;
      24
1963
                                        }
1964
      25
                                    Opr::Suffix(right_opr) => {
1965
      26
      27
                                        if right_opr.precedence() > opr.precedence() {
1966
1967
      28
                                            return None;
1968
      29
1969
      30
                                    }
1970
      31
1971
      32
                                PreAst::Ast(_) => (),
                                // function call or index takes higher precedence
1972
      33
                                PreAst::LeftDelimiter(_) => return None,
1973
      34
                                PreAst::RightDelimiter(_) => (),
1974
      35
1975
      36
                                PreAst::Separator(_) => (),
1976
      37
1977
      38
1978
      39
                       Some(AstData::Prefix { opr, opd })
1979
      40
1980
      41
                  Opr::Binary(opr) => {
1981
      42
                       let Some((lopd, PreAst::Ast(_))) = nearest_left2.first() else {
1982
      43
                           return None:
1983
      44
1984
      45
                       let Some((ropd, PreAst::Ast(_))) = nearest_right2.first() else {
1985
      46
                           return None;
1986
      47
1987
      48
                       if let Some((_, ast)) = nearest_left2.second() {
1988
      49
                           match ast {
1989
      50
                                PreAst::Keyword(kw) => (),
1990
      51
                                PreAst::Opr(left_opr) => match left_opr {
1991
      52
                                    Opr::Prefix(left_opr) => {
1992
      53
                                        if left_opr.precedence() >= opr.precedence() {
1993
      54
                                            return None;
1994
      55
1995
1996
      57
                                    Opr::Binary(left_opr) => {
               /// every binary opr in our small language is left associative, so '>=' instead of '>'
1997
      58
1998
1999
                                        if left_opr.precedence() >= opr.precedence() {
2000
                                             return None;
2001
      61
2002
      62
                                    Opr::Suffix(_) => (), // actually this will be a syntax error
2003
      63
2004
      64
2005
                                PreAst::Ast(_) => {
      65
                                    if opr != BinaryOpr::LightArrow {
2006
      66
2007
      67
                                        return None;
2008
      68
                                    }
2009
      69
2010
                                PreAst::LeftDelimiter(_) => (),
      70
2011
      71
                                PreAst::RightDelimiter(_) => return None,
2012
                                PreAst::Separator(_) => (),
      72
2013
      73
      74
2014
                       };
                       if let Some((_, ast)) = nearest_right2.second() {
2015
      75
2016
      76
                           match ast {
      77
                               PreAst::Keyword(kw) => match kw {
2017
                                    Keyword::ELSE => return None,
2018
      78
                                    _ => (),
2019
      79
2020
      80
                                PreAst::Opr(right_opr) => match right_opr {
2021
      81
                                    Opr::Prefix(_) => (), // actually this will be a syntax error
Opr::Binary(right_opr) => {
2022
      82
2023
      83
               /// every binary opr in our small language is left associative, so '<' instead of '<=' \,
2024
      84
2025
      85
2026
                                        if right_opr.precedence() > opr.precedence() {
                                             return None;
2027
      86
2028
      87
                                        }
2029
      88
2030
      89
                                    Opr::Suffix(right_opr) => {
                                        if right_opr.precedence() >= opr.precedence() {
2031
      90
2032
      91
                                             return None;
2033
      92
2034
      93
2035
      94
2036
      95
                                // function call or index takes higher precedence
2037
      96
                                PreAst::LeftDelimiter(_) => return None,
```

```
2038
                               PreAst::RightDelimiter(_) => (),
      97
2039
      98
                               PreAst::Ast() => (),
2040
      99
                               PreAst::Separator(_) => (),
2041
     100
2042
     101
                      };
                      Some (AstData::Binary { lopd, opr, ropd })
2043
     102
2044
     103
2045
     104
                  Opr::Suffix(opr) => {
                      let Some((opd, PreAst::Ast(_))) = nearest_left2.first() else {
2046
     105
2047
     106
                           return None;
2048
     107
                      if let Some((_, ast)) = nearest_left2.second() {
2049
     108
2050
     109
                          match ast {
                               PreAst::Keyword(_) \Rightarrow (),
2051
     110
                               PreAst::Opr(right_opr) => match right_opr {
2052
     111
2053
     112
                                   Opr::Prefix(right_opr) => {
2054
     113
                                       if right_opr.precedence() > opr.precedence() {
2055
     114
                                            return None;
2056
     115
2057
     116
2058
     117
                                   Opr::Binary(right_opr) => {
              /// every binary opr in our small language is left associative, so '<' instead of '<='
2059
     118
2060
     119
2061
                                       if right_opr.precedence() > opr.precedence() {
2062
     120
                                           return None;
2063
     121
2064
      122
2065
     123
                                   Opr::Suffix(_) => (),
2066
     124
2067
      125
                               PreAst::LeftDelimiter(_) => (),
2068
     126
                               PreAst::RightDelimiter(_) => return None,
2069
     127
                               PreAst::Ast(_) => return None,
2070
     128
                               PreAst::Separator(_) => (),
2071
     129
2072
     130
2073
     131
                      Some(AstData::Suffix { opr, opd })
2074
      132
2075
      133
2076
2077
      1 /// returns sequence of remaining PreAsts and new parent idxs
2078
       2 pub(crate) fn reduce_pre_asts_by_opr(
2079
              pre_asts: Seq<Option<PreAst>>,
       3
2080
              new_asts: Seq<Option<AstData>>,
2081
       5
           -> (Seq<Option<PreAst>>, Seq<Option<Idx>>) {
              let new_asts_nearest_left = new_asts.nearest_left();
2082
2083
              let pre_asts = reduce_pre_ast_by_new_ast.apply(pre_asts, new_asts);
              let (pre_asts, new_parents) = reduce_pre_ast_by_opr_left
2084
2085
                  .apply_enumerated(new_asts_nearest_left, pre_asts)
2086
      10
                  .decouple();
2087
      11
              let new asts nearest right = new asts.nearest right();
              reduce_pre_ast_by_opr_right
2088
      12
2089
                  .apply_enumerated(new_asts_nearest_right, pre_asts, new_parents)
      13
2090
      14
                  .decouple()
2091
      15 }
2092
      1 fn reduce_pre_ast_by_new_ast(pre_ast: Option<PreAst>, new_ast: Option<AstData>) ->
2093
              Option<PreAst> {
2094
              if new_ast.is_some() {
2095
       3
                  None
2096
       4
              } else {
       5
2097
                  pre_ast
2098
       6
2099
2100
      1 fn reduce_pre_ast_by_opr_left(
2101
             idx: Idx,
2102
       3
              new_ast_nearest_left: Option<(Idx, AstData)>,
2103
              pre_ast: Option<PreAst>,
2104
       5 ) -> (Option<PreAst>, Option<Idx>) {
2105
             let Some(pre_ast) = pre_ast else {
2106
                 return (None, None);
2107
2108
       9
              let Some((new_ast_idx, new_ast_data)) = new_ast_nearest_left else {
2109
      10
                 return (Some(pre_ast), None);
2110
      11
2111
      12
              match new_ast_data {
2112
      13
                  AstData::Binary { ropd: opd, \dots } | AstData::Prefix { opd, \dots } if opd == idx => {
2113
                      (None, Some(new_ast_idx))
2114
      15
```

```
_ => (Some(pre_ast), None),
2115
2116
      17
2117
      18 }
2118
      1 fn reduce_pre_ast_by_opr_right(
2119
             idx: Idx,
2120
              new_ast_nearest_right: Option<(Idx, AstData)>,
2121
              pre_ast: Option<PreAst>,
2122
              new_parent: Option<Idx>,
      5
         ) -> (Option<PreAst>, Option<Idx>) {
    let Some(pre_ast) = pre_ast else {
2123
       6
2124
2125
                  return (None, new_parent);
       8
2126
              if let Some(new_parent) = new_parent {
2127
      10
                  return (None, Some(new_parent));
2128
      11
2129
      12
              let Some((new_ast_idx, new_ast_data)) = new_ast_nearest_right else {
2130
      13
2131
      14
                  return (Some(pre_ast), None);
2132
      15
              };
2133
              match new ast data {
      16
                 AstData::Binary { lopd: opd, .. } | AstData::Suffix { opd, .. } if opd == idx => {
2134
      17
                       (None, Some (new_ast_idx))
2135
      18
2136
      19
2137
                  _ => (Some(pre_ast), None),
      20
2138
      21
2139
      22 }
```

#### 40 F.3 Statements

In this section, we lay down the definition of reduce\_by\_stmt.

```
1 pub(super) fn reduce_by_stmt(
2142
2143
             pre_asts: Seq<Option<PreAst>>,
2144
             allocated_asts: Seq<Option<Ast>>,
2145
         ) -> (Seq<Option<PreAst>>, Seq<Option<Ast>>) {
      4
             let pre_asts_nearest_left2 = pre_asts.nearest_left2();
2146
      5
2147
             let pre_asts_nearest_right2 = pre_asts.nearest_right2();
       6
2148
             let new_stmt_asts =
2149
                 new_stmt_ast.apply(pre_asts_nearest_left2, pre_asts, pre_asts_nearest_right2);
       8
2150
      9
             let (pre_asts, new_parents) = reduce_pre_asts_by_stmt(pre_asts, new_stmt_asts);
2151
      10
             let allocated asts =
2152
      11
                 allocate_asts_and_update_parents(allocated_asts, new_stmt_asts, new_parents);
2153
      12
             let pre_asts = update_pre_asts_by_new_asts(pre_asts, new_stmt_asts);
2154
      13
             (pre_asts, allocated_asts)
2155
     14 }
     1 fn new_stmt_ast(
2156
             pre_ast_nearest_left2: Option2<(Idx, PreAst)>,
2157
      2
2158
             pre_ast: Option<PreAst>,
      3
             pre_ast_nearest_right2: Option2<(Idx, PreAst)>,
2159
2160
      5 ) -> Option<AstData> {
             let PreAst::Keyword(Keyword::Stmt(kw)) = pre_ast? else {
2161
      6
2162
      7
                 return None;
2163
      8
             };
2164
      9
             match kw {
      10
2165
                 StmtKeyword::Let => {
2166
      11
                     let Some((idx1, PreAst::Ast(ast))) = pre_ast_nearest_right2.first() else {
2167
      12
                          return None;
2168
     13
                      };
2169
      14
                      if let Some((_, pre_ast)) = pre_ast_nearest_right2.second() {
2170
     15
                          match pre_ast {
2171
      16
                              PreAst::Keyword(_) => (),
2172
     17
                              PreAst::Opr(_) | PreAst::LeftDelimiter(_) => return None,
2173
     18
                              PreAst::RightDelimiter(_) => (),
2174
     19
                              PreAst::Ast(_) => return None,
2175
     20
                              PreAst::Separator(separator) => match separator {
2176
     21
                                  Separator::Comma => return None,
2177
      22
                                  Separator::Semicolon => (),
2178
     23
2179
     24
                          }
2180
     25
2181
     26
                      let (pattern, initial_value) = match ast {
2182
     27
                          AstData::Binary {
2183
     28
                              lopd,
2184
     29
                              opr: BinaryOpr::Assign,
2185
                              ropd,
2186
     31
                          } => (lopd, Some(ropd)),
```

```
2187
      32
                           AstData::Ident()
                           | AstData::Prefix { .. }
2188
      33
                           | AstData::Binary { .. }
2189
      34
2190
      35
                           | AstData::Delimited { .. }
                           | AstData::Call { .. } => (idx1, None),
2191
      36
                           _ => return None,
2192
      37
      38
2193
                       };
                      Some (AstData::LetInit {
2194
      39
2195
      40
                           expr: idx1,
      41
2196
                           pattern,
2197
      42
                           initial_value,
2198
      43
                       })
2199
      44
      45
2200
                  StmtKeyword::If => {
      46
                       let Some((condition, PreAst::Ast(ast1))) = pre_ast_nearest_right2.first() else
2201
2202
      47
2203
                           return None;
2204
      48
                       };
2205
      49
                       let Some((
2206
      50
                           body,
2207
      51
                           PreAst::Ast(AstData::Delimited {
2208
      52
                               left_delimiter: LCURL,
2209
      53
                               right_delimiter: RCURL,
2210
      54
2211
      55
                           }),
2212
      56
                       )) = pre_ast_nearest_right2.second()
2213
      57
                       else {
2214
      58
                           return None;
2215
      59
2216
      60
                       Some(AstData::If { condition, body })
2217
      61
2218
      62
                  StmtKeyword::Else => {
2219
                      let Some((if_stmt, PreAst::Ast(AstData::If { .. }))) =
      63
2220
               pre_ast_nearest_left2.first()
2221
                      else {
2222
      65
                          return None;
2223
2224
      67
                       let Some((
                           body,
2225
2226
      69
                           PreAst::Ast(
2227
      70
                               AstData::Delimited {
2228
      71
                                    left_delimiter: LCURL,
2229
      72
                                    right_delimiter: RCURL,
2230
      73
2231
      74
2232
      75
                                | AstData::If { .. }
                                | AstData::Else { .. },
2233
      76
2234
      77
                           ),
2235
                       )) = pre_ast_nearest_right2.first()
      78
2236
      79
                       else {
2237
      80
                           return None;
2238
      81
              if let Some((_, PreAst::Keyword(Keyword::ELSE))) =
pre_ast_nearest_right2.second() {
2239
      82
2240
2241
      83
                          return None;
2242
      84
2243
                       Some(AstData::Else { if_stmt, body })
      85
2244
                  }
      86
2245
      87
2246
      88 }
2247
       1 fn reduce_pre_asts_by_stmt(
2248
             pre_asts: Seq<Option<PreAst>>,
2249
              new_asts: Seq<Option<AstData>>,
       3
2250
         ) -> (Seq<Option<PreAst>>, Seq<Option<Idx>>) {
2251
              let new_asts_nearest_left = new_asts.nearest_left();
              let new_asts_nearest_right = new_asts.nearest_right();
2252
       6
2253
              reduce_pre_ast_by_stmt
2254
                  .apply_enumerated(new_asts_nearest_left, new_asts_nearest_right, pre_asts)
2255
                  .decouple()
2256
      10 }
2257
      1 fn reduce_pre_ast_by_stmt(
2258
       2
              idx: Idx,
2259
              new_ast_nearest_left: Option<(Idx, AstData)>,
2260
              new_ast_nearest_right: Option<(Idx, AstData)>,
              pre_ast: Option<PreAst>,
2261
2262
       6 ) -> (Option<PreAst>, Option<Idx>) {
             if let Some((idx1, ast)) = new_ast_nearest_left {
2263
2264
```

```
AstData::LetInit { expr, .. } if expr == idx => (None, Some(idx1)),
2265
2266
      10
                      AstData::If {
2267
      11
                          condition, body, .
2268
                      } if condition == idx || body == idx => (None, Some(idx1)),
      12
2269
                      AstData::Else { body, .. } if body == idx => (None, Some(idx1)),
      13
2270
                      _ => (pre_ast, None),
      14
2271
      15
2272
      16
              } else if let Some((idx1, AstData::Else { if_stmt, .. })) = new_ast_nearest_right
                  && if_stmt == idx
2273
      17
2274
      18
2275
      19
                  (None, Some(idx1))
2276
              } else {
      20
2277
      21
                  (pre_ast, None)
2278
      22
      23 }
2279
```

## 2280 F.4 Generalized Call Forms

In this section, we lay down the definition of reduce\_by\_call.

```
1 pub(super) fn reduce_by_call(
2283
              pre_asts: Seq<Option<PreAst>>,
2284
              allocated_asts: Seq<Option<Ast>>,
2285
       4
           -> (Seq<Option<PreAst>>, Seq<Option<Ast>>) {
              let pre_asts_nearest_left2 = pre_asts.nearest_left2();
let pre_asts_nearest_right = pre_asts.nearest_right();
2286
2287
       6
2288
              let new_call_asts =
2289
                  new_call_ast.apply_enumerated(pre_asts_nearest_left2, pre_asts_nearest_right);
2290
              let (pre_asts, new_parents) = reduce_pre_asts_by_call(pre_asts, new_call_asts);
2291
      10
              let allocated_asts =
2202
      11
                  allocate_asts_and_update_parents(allocated_asts, new_call_asts, new_parents);
2293
      12
              let pre_asts = update_pre_asts_by_new_asts(pre_asts, new_call_asts);
2294
              (pre_asts, allocated_asts)
      13
2295
      14
2296
      1 fn new_call_ast(
2297
              idx: Idx,
       2
2298
              pre_ast_nearest_left2: Option2<(Idx, PreAst)>,
2299
              pre_ast_nearest_right: Option<(Idx, PreAst)>,
2300
           -> Option<AstData> {
2301
              let (caller, PreAst::Ast(caller_ast)) = pre_ast_nearest_left2.first()? else {
                  return None;
2302
2303
       8
2304
              let (
2305
      10
                  delimited arguments.
2306
                  PreAst::Ast(AstData::Delimited {
      11
2307
      12
                       left delimiter idx.
2308
      13
                       left delimiter.
2309
      14
                       right_delimiter,
2310
      15
                  }).
              ) = pre_ast_nearest_right?
2311
      16
2312
      17
              else (
2313
      18
                  return None;
2314
      19
2315
      20
              if let Some((_, snd)) = pre_ast_nearest_left2.second() {
      21
2316
                  match snd {
2317
      22
                      PreAst::Keyword(kw) => match kw {
                           Keyword::Defn(kw) => match kw {
2318
      23
      24
                               DefnKeyword::Struct | DefnKeyword::Enum => return None,
2319
      25
2320
                               DefnKeyword::Fn => match left_delimiter.delimiter() {
                                    Delimiter::Parenthesis | Delimiter::Box => return None,
2321
      26
2322
      27
                                    Delimiter::Curly => (),
2323
      28
                               },
2324
      29
2325
      30
                           Keyword::Stmt(kw) => match kw {
2326
      31
                               StmtKeyword::Let => (),
                               StmtKeyword::If => match left_delimiter.delimiter() {
2327
      32
2328
      33
                                    Delimiter::Parenthesis | Delimiter::Box => (),
2329
      34
                                    Delimiter::Curly => return None,
2330
      35
2331
      36
                               StmtKeyword::Else => return None,
2332
      37
                           },
2333
      38
2334
      39
                       PreAst::Opr(opr) => match opr {
2335
      40
                           Opr::Prefix(_) | Opr::Binary(_) => match left_delimiter.delimiter() {
2336
      41
                               Delimiter::Parenthesis | Delimiter::Box => (),
                               Delimiter::Curly => return None,
2337
2338
      43
```

```
2339
                          Opr::Suffix(_) => return None,
      45
2340
2341
      46
                      PreAst::LeftDelimiter(_) => (),
                      PreAst::RightDelimiter(_) => return None,
2342
      47
2343
      48
                      PreAst::Ast(snd_ast) => {
      49
                          if let AstData::Ident(_) = snd_ast
2344
2345
      50
                               && left_delimiter == LCURL
      51
2346
      52
53
54
                               match caller_ast {
2347
                                   AstData::Binarv {
2348
2349
                                       opr: BinaryOpr::LightArrow,
      55
2350
2351
      56
      57
                                   | AstData::Delimited {
2352
                                       left_delimiter: LPAR,
2353
      58
      59
                                       right_delimiter: RPAR,
2354
2355
      60
2356
      61
                                   } => (),
                                   _ => return None,
2357
      62
2358
      63
                               }
2359
                           } else {
2360
      65
                               return None;
2361
2362
      67
2363
                      PreAst::Separator(_) => (),
2364
      69
2365
      70
2366
      71
              if left_delimiter_idx != idx {
2367
      72
                  return None;
2368
      73
2369
      74
              Some (AstData::Call {
2370
      75
                  caller,
2371
                  delimited_arguments,
2372
      77
                  left_delimiter,
2373
      78
                  right_delimiter,
2374
      79
2375
      80 }
2376
      1 fn reduce_pre_asts_by_call(
2377
              pre_asts: Seq<Option<PreAst>>,
2378
              new_asts: Seq<Option<AstData>>,
2379
         ) -> (Seq<Option<PreAst>>, Seq<Option<Idx>>) {
             let new_asts_nearest_left = new_asts.nearest_left();
2380
2381
              let new_asts_nearest_right = new_asts.nearest_right();
2382
              reduce_pre_ast_by_call
2383
       8
                  .apply_enumerated(new_asts_nearest_left, new_asts_nearest_right, pre_asts)
2384
                  .decouple()
      10 }
2385
2386
      1 fn reduce_pre_ast_by_call(
2387
             idx: Idx,
2388
              new_ast_nearest_left: Option<(Idx, AstData)>,
              new_ast_nearest_right: Option<(Idx, AstData)>,
2389
             pre_ast: Option<PreAst>,
2390
       5
         ) -> (Option<PreAst>, Option<Idx>) {
2391
       6
2392
             if let Some((
2393
                 idx1,
2394
                  AstData::Call {
       9
2395
      10
                     delimited arguments,
2396
      11
2397
      12
                 },
2398
      13
             )) = new ast nearest left
                  && delimited_arguments == idx
2399
      14
2400
      15
2401
      16
                  (None, Some(idx1))
2402
      17
              } else if let Some((idx1, AstData::Call { caller, .. })) = new_ast_nearest_right
2403
      18
                  && caller == idx
2404
      19
2405
      20
                  (None, Some(idx1))
2406
              } else {
      21
2407
      22
                  (pre_ast, None)
2408
      23
2409
      24 }
```

## 2410 F.5 Definitions

In this section, we lay down the definition of reduce\_by\_defn .

```
1 pub(super) fn reduce_by_defn(
2412
2413
              pre_asts: Seq<Option<PreAst>>,
              allocated_asts: Seq<Option<Ast>>>,
2414
           -> (Seq<Option<PreAst>>, Seq<Option<Ast>>) {
2415
             let pre_asts_nearest_left2 = pre_asts.nearest_left2();
let pre_asts_nearest_right2 = pre_asts.nearest_right2();
2416
       5
2417
       6
2418
              let new_defn_asts =
2419
                 new_defn_ast.apply(pre_asts_nearest_left2, pre_asts, pre_asts_nearest_right2);
       8
2420
       9
              let (pre_asts, new_parents) = reduce_pre_asts_by_defn(pre_asts, new_defn_asts);
2421
      10
              let allocated asts =
2422
      11
                 allocate_asts_and_update_parents(allocated_asts, new_defn_asts, new_parents);
2423
              let pre_asts = update_pre_asts_by_new_asts(pre_asts, new_defn_asts);
      12
2424
              (pre_asts, allocated_asts)
      13
2425
      14 }
2426
      1 fn new_defn_ast(
              pre_ast_nearest_left2: Option2<(Idx, PreAst)>,
2427
       2
2428
              pre_ast: Option<PreAst>,
2429
              pre_ast_nearest_right2: Option2<(Idx, PreAst)>,
         ) -> Option<AstData> {
2430
2431
             let PreAst::Keyword(Keyword::Defn(keyword)) = pre_ast? else {
2432
                  return None;
2433
       8
2434
2435
      10
                  let Some((ident_idx, PreAst::Ast(AstData::Ident(ident)))) =
2436
              pre_ast_nearest_right2.first()
2437
      11
2438
      12
                      return None;
2439
      13
2440
      14
                  let Some((content, PreAst::Ast(content_ast))) = pre_ast_nearest_right2.second()
2441
               else {
2442
      15
2443
      16
2444
      17
                  match keyword {
2445
                      DefnKeyword::Struct => match content_ast {
      18
2446
                          AstData::Delimited { .. } => (),
2447
      20
                          _ => return None,
2448
      21
2449
      22
                      DefnKeyword::Enum => match content_ast {
      23
                          AstData::Delimited { .. } => (),
2450
                         _ => return None,
2451
      24
      25
2452
2453
      26
                      DefnKeyword::Fn => match content_ast {
      27
                         AstData::Call { .. } => (),
2454
                          _ => return None,
2455
      28
      29
2456
2457
      30
2458
      31
                  Some (AstData::Defn {
2459
      32
                      kevword,
2460
      33
                      ident,
2461
      34
                      ident idx,
      35
2462
                      content.
2463
      36
                  })
      37
2464
2465
      38 }
2466
      1 fn reduce_pre_asts_by_defn(
              pre_asts: Seq<Option<PreAst>>,
2467
              new_asts: Seq<Option<AstData>>,
2468
2469
         ) -> (Seq<Option<PreAst>>, Seq<Option<Idx>>) {
       4
2470
       5
             let new_asts_nearest_left = new_asts.nearest_left();
              let new_asts_nearest_right = new_asts.nearest_right();
2471
       6
2472
              reduce_pre_ast_by_defn
2473
       8
                  .apply_enumerated(new_asts_nearest_left, new_asts_nearest_right, pre_asts)
2474
       9
                  .decouple()
2475
      10 }
2476
      1 fn reduce_pre_ast_by_defn(
2477
             idx: Idx,
2478
       3
              new_ast_nearest_left: Option<(Idx, AstData)>,
2479
              new_ast_nearest_right: Option<(Idx, AstData)>,
2480
             pre_ast: Option<PreAst>,
2481
         ) -> (Option<PreAst>, Option<Idx>) {
2482
             if let Some((idx1, ast)) = new_ast_nearest_left {
2483
                  match ast {
2484
       9
                      AstData::Defn {
2485
      10
                          keyword,
2486
      11
                           ident_idx,
2487
                           ident,
2488
      13
```

```
2489
      14
                       if ident_idx == idx || content == idx => (None, Some(idx1)),
2490
      15
2491
      16
                       _ => (pre_ast, None),
2492
      17
2493
              } else if let Some((idx1, AstData::Defn { .. })) = new_ast_nearest_right
      18
2494
      19
                  && false
2495
      20
2496
      21
                   (None, Some(idx1))
2497
      22
              } else {
      23
2498
                   (pre_ast, None)
2499
      24
      25
2500
```

# **G** Transformer Symbol Resolution Proof

Here we lay down the code for symbol resolution. The actual process involves many details such as computing ranks (the exact position of an AST node among its siblings), scopes, and roles (a more precise version of AST, computed from its parent recursively), definitions and resolutions.

#### G.1 Ranks

```
2506
      1 #[derive(Debug, Default, PartialEq, Eq, Clone, Copy)]
2507
       2 pub struct Rank(u8);
2508
       3
2509
         impl Rank {
2510
       5
              fn next(self) -> Self {
                  Self(self.0 + 1)
2511
2512
2513
       8
         }
2514
      10 pub fn calc_ranks(asts: Seq<Option<Ast>>) -> Seq<Option<Rank>> {
2515
              let counts = asts.count_past_by_attention(asts, |ast, ast1| {
2516
      11
2517
      12
                  let Some(ast) = ast else { return false };
                  let Some(ast1) = ast1 else { return false };
2518
      13
2519
                  ast.parent == ast1.parent
      14
2520
      15
              });
              (|c: usize, ast| {
2521
      16
2522
      17
                  ast?:
                  Some (Rank(c.try_into().unwrap()))
2523
      18
2524
      19
              })
2525
      20
              .apply(counts, asts)
2526
      21
         }
      22
2527
2528
      23
         pub fn calc_ranks1(asts: Seq<Option<Ast>>>, n: usize) -> Seq<Option<Rank>>> {
              let mut ranks: Seq<Option<Rank>> = asts.map(|_| None);
2529
      24
      25
2530
              for _ in 0..n {
      26
2531
                  ranks = calc_sibling_indicies_step(asts, ranks);
2532
      27
2533
      28
              ranks
      29
2534
         }
2535
      30
2536
      31 fn calc_sibling_indicies_step(
2537
      32
             asts: Seq<Option<Ast>>,
2538
      33
              ranks: Seq<Option<Rank>>,
2539
      34
         ) -> Seq<Option<Rank>> {
2540
      35
              let previous_ranks = ranks.nearest_left_filtered_by_attention(asts, asts, |ast, ast1| {
                   let Some(ast) = ast else { return false };
2541
      36
2542
      37
                  let Some(ast1) = ast1 else { return false };
2543
      38
                  ast.parent == ast1.parent
2544
      39
2545
      40
              let ranks = (|ast, rank, previous_rank: Option<Option<Rank>>| {
2546
      41
                  let _ = ast?;
2547
      42
                  if let Some(rank) = rank {
2548
      43
                      return Some(rank);
2549
      44
2550
      45
                  let Some(previous_rank) = previous_rank else {
2551
      46
                      return Some(Default::default());
2552
      47
2553
      48
                  Some(previous_rank?.next())
      49
2554
              })
2555
      50
              .apply(asts, ranks, previous_ranks);
      51
2556
              ranks
2557
      52
```

In the above, count\_past\_by\_attention that count is representable by transformers by utilizing directly hard attention and the starter token. If the count is c, we shall get c/(c+1) from the attention directly.

# G.2 Scopes

```
2561
      1 const D: usize = 8usize;
2562
      2
2563
       3 pub struct Scope {
2564
              enclosing_blocks: BoundedVec<Idx, D>,
       5 }
2565
2566
2567
       7 impl Scope {
2568
             pub fn from_ast(idx: Idx, ast: AstData, parent_scope: Scope) -> Self {
2569
       9
                  match ast {
2570
      10
                      AstData::Delimited {
2571
      11
                           left_delimiter_idx,
2572
      12
                          left_delimiter: LCURL,
2573
      13
                           right_delimiter: RCURL,
2574
                      } => Self {
2575
      15
                          enclosing_blocks: parent_scope.enclosing_blocks.append(idx),
2576
2577
      17
                      _ => parent_scope,
2578
2579
      19
2580
      20
2581
      21
              pub fn new(idx: Idx) -> Self {
      22
                 Self {
2583
      23
                      enclosing_blocks: todo!(),
      24
2584
2585
      25
             }
      26
2586
2587
      27
              pub fn append(self, idx: Idx) -> Self {
2588
      28
2589
      29
                      enclosing_blocks: self.enclosing_blocks.append(idx),
2590
      30
2591
      31
      32
2592
         }
2593
      33
2594
      34 impl Scope {
             pub fn contains(self, other: Self) -> bool {
2595
      35
                  let len = self.enclosing_blocks.len();
2596
      36
2597
      37
                  if len > other.enclosing_blocks.len() {
                      return false;
2598
      38
2599
      39
2600
      40
                  for i in 0..len {
      41
                      if self.enclosing_blocks[i] != other.enclosing_blocks[i] {
2601
      42
2602
                          return false;
2603
      43
      44
2604
                  }
      45
2605
                  true
      46
              }
2606
      47
         }
2607
2608
      48
      49
2609
         pub fn infer_scopes(asts: Seq<Option<Ast>>, n: usize) -> Seq<Option<Scope>> {
      50
2610
              let mut scopes = initial_scope.apply_enumerated(asts);
2611
      51
              for _ in 0..n {
2612
      52
                  let parent_scopes = parent_queries(asts, scopes);
2613
      53
                  scopes = infer_scopes_step(asts, parent_scopes, scopes);
2614
      54
2615
      55
              scopes
2616
      56
         }
2617
      57
2618
      58
         fn initial_scope(idx: Idx, ast: Option<Ast>) -> Option<Scope> {
2619
      59
              let ast = ast?;
2620
      60
              if ast.parent.is_some() {
2621
      61
                  return None;
2622
      62
2623
      63
              let scope = Scope::default();
2624
      64
              Some(Scope::from_ast(idx, ast.data, scope))
2625
      65
         }
2626
      66
2627
      67
         fn infer_scopes_step(
2628
      68
              asts: Seq<Option<Ast>>,
2629
              parent_scopes: Seq<Option<Scope>>,
2630
      70
              scopes: Seq<Option<Scope>>,
         ) -> Seq<Option<Scope>> {
2631
      71
2632
      72
              infer_scope_step.apply_enumerated(asts, parent_scopes, scopes)
      73
2633
         }
2634
      74
```

```
2635
      75 fn infer_scope_step(
76 idx: Idx,
2636
2637
              ast: Option<Ast>,
      77
2638
      78
              parent_scope: Option<Scope>,
              scope: Option<Scope>,
2639
      79
2640
            -> Option<Scope> {
      80
2641
      81
              if let Some(scope) = scope {
2642
                  return Some (scope);
      82
2643
      83
              Some(Scope::from_ast(idx, ast?.data, parent_scope?))
2644
      84
2645
      85
```

#### 2646 G.3 Roles

```
2647
       1 #[derive(Debug, Clone, Copy, PartialEq, Eq)]
2648
       2
          pub enum Role {
2649
              LetStmt {
2650
       4
                   pattern: Idx,
2651
                   initial_value: Option<Idx>,
2652
       6
2653
              LetStmtInner {
2654
       8
                   pattern: Idx,
2655
                   initial_value: Idx,
2656
       10
2657
       11
              LetStmtIdent,
2658
       12
              LetStmtTypedVariables {
2659
      13
                   variables: Idx,
2660
       14
                   ty: Idx,
2661
      15
2662
      16
              StructDefn(Ident),
2663
      17
              EnumDefn(Ident),
2664
      18
              FnDefn(Ident),
2665
      19
              FnDefnCallForm {
2666
      20
                   fn_ident: Ident,
2667
      21
                   scope: Scope,
2668
      22
      23
              FnParameters {
2669
      24
2670
                   fn_ident: Ident,
      25
2671
                   has_return_ty: bool,
      26
2672
                   scope: Scope,
      27
2673
      28
2674
              FnParametersAndReturnType {
2675
      29
                  fn_ident: Ident,
2676
      30
                   parameters: Idx,
                   scope: Scope,
2677
      31
2678
      32
                   return_ty: Idx,
2679
      33
      34
2680
              FnBody (Ident),
      35
              StructFields (Ident),
2681
2682
      36
              FnParameter {
      37
38
                  fn_ident: Ident,
2683
2684
                   rank: Rank,
      39
                   ty: Idx,
2685
2686
      40
                   fn_ident_idx: Idx,
      41
2687
                   scope: Scope,
2688
      42
      43
              FnParameterIdent {
2689
      44
2690
                  scope: Scope,
2691
      45
46
              FnParameterSeparated {
2692
2693
      47
                  fn_ident: Ident,
2694
      48
                   rank: Rank,
      49
2695
                   scope: Scope,
2696
      50
2697
      51
              FnParameterType {
2698
      52
                   fn_ident: Ident,
2699
      53
                   rank: Rank,
2700
      54
2701
      55
              FnOutputType {
2702
      56
                   fn_ident: Ident,
2703
      57
2704
      58
              StructField {
                   ty_ident: Ident,
2705
      59
2706
      60
                   field_ident: Ident,
2707
      61
                   ty_idx: Idx,
2708
      62
2709
      63
              StructFieldType {
2710
                   ty_ident: Ident,
2711
      65
                   field_ident: Ident,
```

```
2712
      66
2713
      67
              TypeArgument,
2714
              TypeArguments,
      68
2715
              StructFieldSeparated(Ident),
      69
2716
              LetStmtVariablesType,
      70
      71
2717
              LetStmtVariables,
      72 }
2718
2719
      1 impl Ast {
             fn role(self) -> Option<Role> {
2720
2721
                  match self.data {
2722
                      AstData::LetInit {
2723
       5
                          expr,
2724
                          pattern,
2725
                           initial_value,
2726
                      } => Some(Role::LetStmt {
2727
                          pattern,
2728
      10
                           initial_value,
2729
      11
2730
      12
                      AstData::Defn {
2731
      13
                           keyword,
2732
      14
                           ident idx.
2733
      15
                           ident,
2734
      16
                          content,
2735
      17
                      } => Some (match keyword {
                          DefnKeyword::Struct => Role::StructDefn(ident),
2736
      18
                           DefnKeyword::Enum => Role::EnumDefn(ident),
2737
      19
                           DefnKeyword::Fn => Role::FnDefn(ident),
2738
      20
2739
                      }),
      21
                      _ => None,
      22
2740
2741
      23
                  }
      24
2742
2743
      25 }
2744
      1 pub fn calc_roles(
2745
             asts: Seq<Option<Ast>>,
2746
              scopes: Seq<Option<Scope>>,
2747
             n: usize,
2748
         ) -> Seq<Option<Role>> {
2749
             let mut roles: Seq<Option<Role>> = asts.map(|ast| ast?.role());
2750
              let ranks = calc_ranks(asts);
2751
              for _ in 0..n {
                  let parent_roles = parent_queries(asts, roles);
roles = calc_roles_step(asts, parent_roles, roles, ranks, scopes);
2752
2753
      10
2754
      11
2755
             roles
      12
2756
      13 }
2757
      1 fn calc_roles_step(
2758
            asts: Seq<Option<Ast>>,
2759
              parent_roles: Seq<Option<Role>>,
              roles: Seq<Option<Role>>,
2760
2761
              ranks: Seq<Option<Rank>>,
2762
              scopes: Seq<Option<Scope>>,
2763
         ) -> Seq<Option<Role>> {
2764
             calc_role_step.apply_enumerated(asts, parent_roles, roles, ranks, scopes)
2765
      9 }
      1 fn calc_role_step(
2766
2767
             idx: Idx,
2768
       3
              ast: Option<Ast>,
2769
              parent_role: Option<Role>,
2770
       5
              role: Option<Role>,
2771
              rank: Option<Rank>,
2772
              scope: Option<Scope>,
2773
       8 ) -> Option<Role> {
2774
             if let Some(role) = role {
2775
      10
                 return Some(role);
2776
      11
2777
      12
              let ast = ast?;
2778
      13
              if let Some(role) = ast.role() {
2779
      14
                 return Some(role);
2780
      15
2781
              match parent_role? {
      16
2782
      17
                 Role::LetStmt {
2783
     18
                    pattern,
2784
      19
                      initial_value,
2785
                  } => match ast.data {
2786
      21
                      AstData::Ident(ident) if idx == pattern => Some(Role::LetStmtIdent),
```

```
2787
                       AstData::Binary {
      22
      23
2788
                           lopd.
                            opr: BinaryOpr::Assign,
2789
      24
2790
      25
                            ropd,
2791
      26
                            lopd_ident,
                       } if lopd == pattern => Some(Role::LetStmtInner {
2792
      27
2793
      28
                           pattern,
      29
2794
                           initial_value: ropd,
2795
      30
                       }),
                       _ => None,
      31
2796
2797
      32
2798
      33
                   Role::LetStmtInner {
2799
      34
                       pattern,
2800
      35
                       initial_value,
                   } => {
    if idx == pattern {
2801
      36
2802
      37
                           match ast.data {
2803
      38
                                AstData::Ident(ident) => Some(Role::LetStmtIdent),
2804
      39
2805
      40
                                AstData::Binary {
2806
      41
                                    lopd,
2807
      42
                                    lopd_ident,
2808
      43
                                    opr,
2809
      44
                                    ropd,
2810
      45
                                } => Some(Role::LetStmtTypedVariables {
2811
      46
                                    variables: lopd,
2812
      47
                                    ty: ropd,
2813
      48
                                }),
2814
      49
                                _ => todo!(),
2815
      50
2816
      51
                       } else {
2817
      52
                           None
2818
      53
2819
      54
2820
      55
                   Role::LetStmtIdent => todo!(),
2821
      56
                   Role::FnParameterIdent { scope } => todo!(),
2822
      57
                   Role::StructDefn(ident) => match ast.data {
2823
      58
                       AstData::Literal(_) => todo!(),
2824
      59
                       AstData::Ident(_) => None,
2825
      60
                       AstData::Prefix { opr, opd } => todo!(),
2826
      61
                       AstData::Binary {
2827
      62
                           lopd,
2828
      63
                           opr,
2829
      64
                           ropd,
2830
      65
                           lopd_ident,
2831
      66
                       } => todo!(),
2832
      67
                       AstData::Suffix { opd, opr } => todo!(),
2833
      68
                       AstData::Delimited {
2834
      69
                           left_delimiter_idx,
2835
      70
                           left_delimiter,
      71
2836
                           right_delimiter,
2837
      72
                       } => Some(Role::StructFields(ident)),
2838
      73
                       AstData::SeparatedItem { content, separator } => todo!(),
      74
2839
                       AstData::Call { .. } => todo!(),
2840
      75
                       AstData::LetInit {
2841
      76
                           expr.
2842
      77
                           pattern,
2843
      78
                           initial value,
      79
2844
                       } => todo!(),
      80
                       AstData::Return { result } => todo!(),
2845
                       AstData::Assert { condition } => todo!(),
2846
      81
2847
      82
                       AstData::If { condition, body } => todo!(),
2848
                       AstData::Else { if_stmt, body } => todo!(),
      83
2849
      84
                       AstData::Defn {
2850
      85
                           keyword,
2851
      86
                           ident_idx,
2852
      87
                           ident.
2853
      88
                           content,
2854
      89
                       } => todo!(),
      90
2855
                   Role::EnumDefn(_) => None, // ad hoc
2856
      91
2857
                   Role::FnDefn(fn_ident) => match ast.data {
      92
                       AstData::Literal(_) => todo!(),
2858
      93
                       AstData::Ident(_) => None,
2859
      94
                       AstData::Prefix { opr, opd } => todo!(),
2860
      95
2861
      96
                       AstData::Binary {
2862
      97
                           lopd,
2863
      98
                           opr,
2864
      99
                           ropd,
2865
      100
                           lopd_ident,
2866
      101
                       } => todo!(),
2867
      102
                       AstData::Suffix { opd, opr } => todo!(),
```

```
2868
      103
                        AstData::Delimited {
2869
                            left_delimiter_idx,
      104
2870
      105
                             left delimiter,
2871
      106
                            right delimiter.
2872
      107
                        } => todo!(),
                        AstData::SeparatedItem { content, separator } => todo!(),
2873
      108
      109
                        AstData::Call {
2874
2875
      110
                            delimited arguments.
2876
      111
                        } => Some(Role::FnDefnCallForm {
2877
      112
2878
      113
                            fn ident,
2879
      114
                             scope: match scope {
2880
                                 Some(scope) => scope.append(delimited_arguments),
      115
                                 None => Scope::new(delimited_arguments),
2881
      116
2882
      117
                        }),
2883
      118
                        AstData::LetInit {
2884
      119
2885
      120
                            expr,
2886
      121
                            pattern,
2887
      122
                            initial_value,
2888
      123
                        } => todo!(),
2889
      124
                        AstData::Return { result } => todo!(),
2890
      125
                        AstData::Assert { condition } => todo!(),
2891
      126
                        AstData::If { condition, body } => todo!(),
2892
      127
                        AstData::Else { if_stmt, body } => todo!(),
2893
      128
                        AstData::Defn {
2894
      129
                            keyword,
2895
      130
                            ident_idx,
2896
      131
                            ident,
2897
      132
                            content,
2898
      133
                        } => todo!(),
2899
      134
2900
      135
                   Role::FnDefnCallForm { fn_ident, scope } => match ast.data {
2901
      136
                        AstData::Literal(_) => todo!(),
2902
      137
                        AstData::Ident(_) => todo!(),
2903
      138
                        AstData::Prefix { opr, opd } => todo!(),
2904
      139
                        AstData::Binary {
2905
      140
                            lopd,
2906
      141
                            opr,
2907
      142
                            ropd,
2908
      143
                            lopd_ident,
2909
      144
                            if opr == BinaryOpr::LightArrow {
2910
      145
2911
      146
                                 Some (Role::FnParametersAndReturnType {
2912
      147
                                     fn_ident,
2913
      148
                                     parameters: lopd,
2914
      149
                                     return_ty: ropd,
2915
      150
                                     scope,
2916
      151
                                 })
2917
      152
                             } else {
2918
      153
                                 unreachable!()
2919
      154
2920
      155
2921
                        AstData::Suffix { opd, opr } => todo!(),
      156
2922
      157
                        AstData::Delimited {
                            left_delimiter_idx,
2923
      158
2924
      159
                             left delimiter.
                        right_delimiter,
} => match left_delimiter.delimiter() {
2925
      160
2926
      161
                            Delimiter::Parenthesis => Some(Role::FnParameters {
2927
      162
2928
      163
                                 fn_ident,
2929
      164
                                 has_return_ty: false,
2930
      165
                                 scope,
2931
      166
                            }),
                            Delimiter::Box => todo!(),
Delimiter::Curly => Some(Role::FnBody(fn_ident)),
2932
      167
2933
      168
2934
      169
2935
      170
                        AstData::SeparatedItem { content, separator } => todo!(),
2936
      171
                        AstData::Call \{ ... \} \Rightarrow todo!(),
2937
      172
                        AstData::LetInit {
2938
      173
                            expr,
2939
      174
                            pattern,
2940
      175
                            initial_value,
2941
      176
                        } => todo!(),
                        AstData::Return { result } => todo!(),
2942
      177
2943
      178
                        AstData::Assert { condition } => todo!(),
2944
      179
                        AstData::If { condition, body } => todo!()
2945
      180
                        AstData::Else { if_stmt, body } => todo!(),
2946
      181
                        AstData::Defn {
2947
      182
                            keyword,
2948
      183
                            ident_idx,
```

```
2949
      184
                            ident,
2950
      185
                            content,
2951
                        } => todo!(),
      186
2952
      187
2953
                   Role::FnParameters {
      188
2954
      189
                       fn_ident, scope, ..
2955
      190
                   } => match ast.data {
2956
      191
                       AstData::Binary {
2957
      192
                            lopd,
2958
      193
                            opr,
2959
      194
                            ropd,
2960
      195
                            lopd_ident,
2961
      196
                        } => {
                            if opr == BinaryOpr::TypeIs {
2962
      197
                                 Some(Role::FnParameter {
2963
      198
      199
2964
                                     fn_ident,
                                     fn_ident_idx: lopd,
2965
      200
2966
      201
                                     rank: rank.unwrap(),
2967
      202
                                     ty: ropd,
2968
      203
                                     scope,
2969
      204
                                 })
2970
      205
                            } else {
2971
      206
                                 unreachable!()
2972
      207
2973
      208
2974
      209
                        AstData::SeparatedItem { .. } => Some(Role::FnParameterSeparated {
2975
      210
                            fn_ident,
2976
      211
                            rank: rank.unwrap(),
2977
      212
                            scope,
2978
      213
                       _ => unreachable!(),
2979
      214
2980
      215
2981
      216
                   Role::FnBody(_) => None,
2982
      217
                   Role::StructFields(ty_ident) => match ast.data {
2983
      218
                       AstData::Binary {
2984
      219
                            lopd,
2985
      220
                            opr,
2986
      221
                            ropd,
2987
      222
                            lopd_ident,
2988
      223
      224
                            assert_eq!(opr, BinaryOpr::TypeIs);
2989
2990
      225
                            Some (Role::StructField {
      226
                                 ty_ident,
2991
2992
      227
                                 field_ident: lopd_ident.unwrap(),
2993
      228
                                 ty_idx: ropd,
2994
      229
2995
      230
2996
      231
                       AstData::SeparatedItem { content, separator } => {
2997
                            Some(Role::StructFieldSeparated(ty_ident))
      232
2998
      233
                        _ => None,
2999
      234
3000
      235
3001
      236
                   Role::FnParameter {
3002
                        fn_ident,
      237
3003
      238
                        fn_ident_idx,
3004
      239
                        rank.
3005
      240
                        ty,
3006
      241
                        scope,
3007
      242
3008
      243
                   } => {
                       if idx == ty {
      244
3009
3010
                            Some(Role::FnParameterType { fn_ident, rank })
      245
3011
      246
                        } else if idx == fn_ident_idx {
                           Some(Role::FnParameterIdent { scope })
3012
      247
3013
      248
                        } else {
      249
                           None
3014
3015
      250
3016
      251
                   Role::FnParameterSeparated {
3017
      252
3018
      253
                       fn ident,
3019
      254
                        rank.
3020
      255
                       scope,
3021
      256
                   } => match ast.data {
3022
      257
                       AstData::Binary {
3023
      258
                            lopd,
3024
      259
                            opr,
3025
      260
                            ropd,
3026
      261
                            lopd_ident,
3027
      262
3028
      263
                           if opr == BinaryOpr::TypeIs {
3029
      264
                                 Some(Role::FnParameter {
```

```
fn_ident,
fn_ident_idx: lopd,
3030
      265
3031
      266
3032
      267
                                      rank,
3033
      268
                                      ty: ropd,
3034
      269
                                      scope,
3035
                                 })
      270
3036
      271
                             } else {
3037
      272
                                 unreachable!()
3038
      273
3039
      274
3040
      275
                          => unreachable!(),
3041
      276
3042
      277
                   Role::StructField {
3043
      278
                        tv ident,
3044
      279
                        field_ident,
3045
      280
                        ty_idx,
                    } => {
3046
      281
                        if idx == ty_idx {
3047
      282
                             Some (Role::StructFieldType {
3048
      283
3049
      284
                                 ty_ident,
3050
      285
                                  field_ident,
3051
      286
                             })
3052
      287
                        } else {
3053
      288
                             None
3054
      289
3055
      290
3056
      291
                   Role::StructFieldSeparated(ty_ident) => match ast.data {
3057
      292
                        AstData::Binary {
3058
      293
                             lopd,
3059
      294
                             opr,
3060
      295
                             ropd,
3061
      296
                             lopd_ident,
                        } => {
3062
      297
3063
      298
                             assert_eq!(opr, BinaryOpr::TypeIs);
3064
      299
                             Some (Role::StructField {
3065
      300
                                  ty_ident,
3066
      301
                                  field_ident: lopd_ident.unwrap(),
3067
      302
                                  ty_idx: ropd,
3068
      303
                             })
3069
      304
3070
      305
                          => unreachable!(),
3071
      306
3072
                   Role::FnParameterType { .. } | Role::StructFieldType { .. } | Role::TypeArgument
      307
3073
3074
      308
                        match ast.data {
3075
      309
                             AstData::Delimited {
3076
                                 left_delimiter_idx,
      310
3077
                                  left_delimiter,
      311
3078
                                 right_delimiter,
      312
                             } => Some (Role::TypeArguments),
3079
      313
3080
      314
                             _ => None,
3081
      315
3082
      316
3083
                   Role::TypeArguments => match ast.data {
      317
3084
      318
                        AstData::Ident(_) => Some(Role::TypeArgument),
3085
                        AstData::Delimited {
      319
3086
                             left_delimiter_idx,
      320
                             left_delimiter,
right_delimiter,
3087
      321
3088
      322
3089
      323
                        } => todo!(),
                        AstData::SeparatedItem { content, separator } => todo!(),
3090
      324
3091
      325
                        AstData::Call {
3092
                             caller,
      326
3093
      327
                             caller_ident,
3094
      328
                             left_delimiter,
3095
      329
                             right_delimiter,
3096
      330
                             delimited_arguments,
3097
      331
                        } => todo!(),
_ => None,
3098
      332
3099
      333
                   Role::FnParametersAndReturnType {
3100
      334
3101
      335
                        fn_ident,
3102
      336
                        parameters,
3103
      337
                        return_ty,
3104
      338
                        scope,
                    } => {
3105
      339
3106
      340
                        if idx == parameters {
3107
      341
                             Some(Role::FnParameters {
3108
      342
                                  fn_ident,
3109
      343
                                 has_return_ty: true,
3110
      344
                                 scope,
```

```
3111
     345
                           })
                       } else if idx == return_ty {
3112
     346
     347
                           Some (Role::FnOutputType { fn_ident })
3113
3114
     348
                        else {
3115
     349
                           unreachable!()
3116
     350
     351
3117
                  Role::FnOutputType { fn_ident } => todo!(),
3118
     352
                  Role::LetStmtTypedVariables { variables, ty } => {
3119
     353
3120
     354
                      if idx == variables {
                           Some (Role::LetStmtVariables)
3121
     355
                       } else if idx == ty {
3122
     356
3123
     357
                          Some (Role::LetStmtVariablesType)
3124
     358
                       } else {
3125
     359
                           unreachable!()
3126
     360
3127
     361
                  Role::LetStmtVariablesType => todo!(),
3128
     362
                  Role::LetStmtVariables => todo!(),
3129
     363
3130
     364
3131
     365
```

# **G.4 Defns**

```
1 #[derive(Debug, Clone, Copy, PartialEq, Eq)]
3134
       2 pub struct SymbolDefn {
3135
             pub symbol: Symbol,
3136
              pub scope: Option<Scope>,
3137
      5 }
3138
      1 pub fn calc_symbol_defns(
3139
              asts: Seq<Option<Ast>>,
3140
              scopes: Seq<Option<Scope>>,
3141
              n: usize,
       5 ) -> Seq<Option<SymbolDefn>> {
3142
3143
       6
              let roles = calc_roles(asts, scopes, n);
3144
              calc_symbol_defn.apply_enumerated(asts, roles, scopes)
3145
       8 }
3146
       1 fn calc_symbol_defn(
3147
             idx: Idx,
3148
              ast: Option<Ast>,
3149
              role: Option<Role>,
       4
3150
              scope: Option<Scope>,
         ) -> Option<SymbolDefn> {
3151
       6
3152
             match ast?.data {
                  AstData::Ident(ident) => match role? {
   Role::LetStmt { .. } => unreachable!(),
3153
       8
3154
3155
                       Role::LetStmtVariables | Role::LetStmtIdent => Some(SymbolDefn {
      10
3156
                           symbol: Symbol {
      11
3157
                               ident,
      12
3158
                               source: idx.
      13
                               data: SymbolData::Variable,
3159
      14
3160
      15
                           },
3161
      16
                           scope,
3162
      17
                      }),
3163
                      Role::FnParameterIdent { scope } => Some(SymbolDefn {
      18
3164
      19
                           symbol: Symbol {
                               ident,
3165
      20
      21
                               source: idx,
3166
                               data: SymbolData::Variable,
3167
      22
      23
3168
3169
      24
                           scope: Some(scope),
3170
      25
                       }),
3171
      26
                      _ => None,
3172
      27
                  AstData::Defn {
3173
      28
3174
      29
                      keyword,
3175
      30
                       ident_idx,
3176
      31
                       ident,
3177
      32
                      content,
                   } => Some(SymbolDefn {
3178
      33
3179
      34
                      symbol: Symbol {
3180
      35
                           ident,
3181
      36
                           source: idx,
3182
      37
                           data: SymbolData::Item {
3183
                               kind: keyword.into(),
3184
      39
```

#### G.5 Resolutions

```
3192
          pub enum SymbolResolution {
3193
              Ok (Symbol),
3194
       3
              Err (SymbolResolutionError),
3196
       1 pub enum SymbolResolutionError
              NotResolved,
3197
3198
              NotYetDeclared(Symbol),
3199
      4
       1 pub fn calc_symbol_resolutions(asts: Seq<Option<Ast>>, n: usize) ->
3200
3201
               Seq<Option<SymbolResolution>> {
3202
              let scopes = infer_scopes(asts, n);
              let symbol_defns = calc_symbol_defns(asts, scopes, n);
3203
       3
3204
       4
              let idents = asts.map(|ast| match ast?.data {
       5
                  AstData::Ident(ident) => Some(ident),
3205
                  _ => None,
3206
       6
3207
              });
              let symbols = symbol_defns
3208
       8
3209
       9
                  .map(|symbol_defn| Some(symbol_defn?.symbol))
3210
      10
                  .first_filtered_by_attention(
3211
      11
                       (|ident, scope| (ident, scope)).apply(idents, scopes),
                       symbol_defns,
3212
      12
3213
      13
                       |(ident, scope), symbol_defn| {
3214
      14
                           let Some(ident) = ident else { return false };
3215
      15
                           let Some(symbol_defn) = symbol_defn else {
3216
      16
                                return false;
3217
      17
3218
      18
                           if let Some(symbol_defn_scope) = symbol_defn.scope
3219
      19
                               if !symbol_defn_scope.contains(scope.unwrap()) {
3220
      20
                                    return false:
3221
      21
3222
      22
3223
      23
                           symbol_defn.symbol.ident == ident
3224
      24
3225
      25
3226
      26
                   .map(|s| s.flatten());
3227
              finalize.apply_enumerated(idents, symbols)
3228
```

In the above code, we use a somehow complicated attention which we should illustrate why it's representable by transformers. The essence is to prove symbol\_defn\_scope.contains(scope.unwrap()) can be represented as part of the inner product in  $Q^{\top}K$ . This can be done by looking closer to what contains does. Consider two scopes, scope1 and scope2, which are sequences of bracket ast indices (can be null). The function returns true if the sequence of scope1 contains the sequence of scope2 as prefix, which can be achieved by  $\sum_i x_i^{\top} y_i$  where  $x_i, y_i$  are the encoding of ith ast indices of scope1 and scope2 after some transformations (different transformations because the function is asymmetric) so that  $x_i^{\top} y_i = 0$  if and only if either  $x_i$  is a None or  $x_i$  represents the same thing as  $y_i$ , and  $x_i^{\top} y_i < 0$  otherwise. More concretely, if  $x_i$  is a None,  $x_i = 0$  by choice, and equal to  $(1, u_i)$  otherwise where  $u_i$  corresponds to the encoding of the ith ast index of scope1; if  $y_i$  is a None,  $y_i = 0$  by choice, and equal to  $(-1, v_i)$  otherwise where A > 0 and  $v_i$  corresponds to the encoding of the ith ast index of scope2. We should choose the encoding  $u_i, v_i$  such that  $u_i^{\top} v_i = 1$  if and only if they encode the same index, which is obviously easy enough.

```
3248
              match symbol.data {
                   SymbolData::Item { .. } => (),
3249
                   SymbolData::Variable => {
3250
       8
3251
                       if idx < symbol.source {</pre>
3252
                           return Some(SymbolResolution::Err(
      10
3253
                                SymbolResolutionError::NotYetDeclared(symbol),
      11
3254
      12
                           ));
3255
      13
3256
      14
3257
      15
3258
      16
              Some(SymbolResolution::Ok(symbol))
3259
      17
```

# 3260 H Transformer Type Checking Proof

Here we lay down the code for type analysis. It should be noted that we didn't completely implement all the details. Things like struct fields, enum variant fields are left out. However, we already cover the essential mechanism of type analysis, making it sufficient for proof purposes.

## H.1 Type Signatures

```
3265
       1 #[derive(Debug, PartialEq, Eq, Clone, Copy)]
3266
       2 pub struct TypeSignature {
3267
      3
             pub key: TypeSignatureKey,
              pub ty: Type,
3269
      5 }
       1 #[derive(Debug, PartialEq, Eq, Clone, Copy)]
3270
3271
         pub enum TypeSignatureKey {
3272
              FnParameter { fn_ident: Ident, rank: Rank },
3273
       4
              FnOutput { fn_ident: Ident },
              StructField { ty_ident: Ident, field_ident: Ident },
3274
3275
      6 }
3276
      1 pub(super) fn calc_ty_signatures(
3277
             asts: Seq<Option<Ast>>,
3278
              roles: Seq<Option<Role>>,
3279
             ty_terms: Seq<Option<Type>>>,
3280
       5 ) -> Seq<Option<TypeSignature>> {
3281
             calc_ty_signature.apply(roles, ty_terms)
      7 }
3282
3283
      1 fn calc_ty_signature(role: Option<Role>, ty_term: Option<Type>) -> Option<TypeSignature> {
3284
              let key = match role? {
3285
       3
                  Role::FnParameterType { fn_ident, rank } => {
3286
       4
                      TypeSignatureKey::FnParameter { fn_ident, rank }
3287
                  Role::StructFieldType {
3288
       6
3289
                      ty_ident,
3290
       8
                      field_ident,
3291
                  } => TypeSignatureKey::StructField {
                      ty_ident,
3292
      10
3293
                      field_ident,
3294
      12
3295
      13
                  Role::FnOutputType { fn_ident } => TypeSignatureKey::FnOutput { fn_ident },
3296
      14
                  Role::FnParameters
3297
      15
                      fn_ident,
                      has_return_ty: false,
3298
      16
3299
      17
                      scope,
3300
      18
3301
      19
                      let key = TypeSignatureKey::FnOutput { fn_ident };
3302
      20
                      let ty = Type::new_ident(Ident::new("unit"));
3303
      21
                      return Some(TypeSignature { key, ty });
3304
      22
                  _ => return None,
3305
      23
3306
      24
              };
      25
              // put it here!
3307
3308
      26
              let ty = ty_term?;
      27
3309
              Some(TypeSignature { key, ty })
3310
      28
```

# 3311 H.2 Type Inference

```
3312
      1 pub struct TypeInference {
3313
             pub ty: Type,
3314
      3 }
3315
      1 pub fn calc_ty_inferences(
3316
              asts: Seq<Option<Ast>>,
3317
       3
              symbol_resolutions: Seq<Option<SymbolResolution>>,
3318
              roles: Seq<Option<Role>>,
3319
              ty_terms: Seq<Option<Type>>>,
3320
              ty_signatures: Seq<Option<TypeSignature>>,
3321
             n: usize,
         ) -> Seq<Option<TypeInference>> {
3322
3323
             let mut ty_inferences = infer_tys_initial(asts, ty_signatures);
             let mut ty_designations =
3324
      10
3325
      11
                  calc_initial_ty_designations(asts, roles, symbol_resolutions, ty_inferences,
3326
               ty_terms);
      12
              for _ in 0..n {
3327
3328
      13
                ty_inferences |= infer_tys_step(asts, symbol_resolutions, ty_inferences,
3329
              ty_designations);
3330
      14
                 ty_designations |= calc_ty_designations_step(roles, symbol_resolutions,
3331
              ty inferences);
3332
      15
3333
             ty inferences
      16
3334
      17 }
3335
       1 fn infer_tys_initial(
             asts: Seq<Option<Ast>>,
3336
3337
       3
              ty_signatures: Seq<Option<TypeSignature>>,
           -> Seq<Option<TypeInference>> {
3338
3339
       5
             inference_literal_tys(asts).or(infer_fn_call_tys(asts, ty_signatures))
3340
       6 }
3341
      1 fn inference_literal_tys(asts: Seq<Option<Ast>>) -> Seq<Option<TypeInference>> {
3342
             asts.map(|ast| match ast?.data {
3343
       3
                  AstData::Literal(lit) => match lit {
3344
                      Literal::Int(_) => Some(TypeInference {
3345
                         ty: Type::new_ident(Ident::new("Int")),
3346
3347
                      Literal::Float(_) => Some(TypeInference {
3348
                          ty: Type::new_ident(Ident::new("Float")),
3349
       q
                      }),
3350
      10
3351
      11
                  _ => None,
             })
3352
      12
3353
      13 }
3354
      1 fn infer_fn_call_tys(
3355
      2
              asts: Seq<Option<Ast>>,
3356
              ty_signatures: Seq<Option<TypeSignature>>,
3357
       4
           -> Seq<Option<TypeInference>> {
3358
             ty_signatures
3359
       6
                  . first\_filtered\_by\_attention (asts, ty\_signatures, |ast, ty\_signature| \ \{
3360
                      let Some(ast) = ast else { return false };
3361
       8
                      let Some(TypeSignature {
3362
                          key: TypeSignatureKey::FnOutput { fn_ident },
3363
      10
3364
                      }) = ty_signature
      11
3365
      12
                      else {
3366
      13
                          return false;
3367
      14
                      match ast.data {
3368
      15
3369
      16
                          AstData::Call
3370
      17
                             caller,
3371
      18
                               caller_ident,
3372
                              left_delimiter,
      19
3373
      20
                               right_delimiter,
3374
      21
                              delimited_arguments,
3375
      22
                          } if caller_ident == Some(fn_ident) => true,
                          _ => false,
3376
      23
      24
3377
                      }
3378
      25
                  })
3379
      26
                  .map(|ty_inference| {
      27
3380
                      Some (TypeInference {
3381
      28
                          ty: ty_inference??.ty,
3382
      29
                      })
3383
      30
                  })
3384
     31
```

# 3385 H.3 Type Expectations

```
1 pub struct TypeExpectation {
3386
              pub ty: Type,
3387
      2
       3
3388
              pub source: TypeExpectationSource,
3389
3390
       1 pub enum TypeExpectationSource {
             CallArgument { caller_ident: Ident, rank: Rank },
3391
3392
      3 }
3393
      1 pub fn calc_ty_expectations(
3394
              asts: Seq<Option<Ast>>,
              ranks: Seq<Option<Rank>>,
3395
3396
              ty_signatures: Seq<Option<TypeSignature>>,
3397
         ) -> Seq<Option<TypeExpectation>> {
3398
              let parent_asts = asts.index(asts.map(|ast| ast?.parent)).map(Option::flatten);
3399
              let grandparent_asts = asts
3400
                  .index(parent_asts.map(|parent_ast| parent_ast?.parent))
3401
                  .map(Option::flatten);
3402
      10
              let ty_expectation_sources = calc_ty_expectation_source.apply(grandparent_asts, ranks);
              let retrieved_ty_signatures = ty_signatures
3403
      11
3404
      12
                  .first_filtered_by_attention(
3405
      13
                      ty_expectation_sources,
3406
      14
                      ty_signatures,
3407
      15
                      |ty_expection_source, ty_signature| {
3408
                          let Some(type_expectation_source) = ty_expection_source else {
      16
3409
      17
                              return false;
3410
      18
                          };
                          let Some(type_signature) = ty_signature else {
3411
      19
3412
      20
                              return false;
3413
      21
                          };
3414
      22
                          match (type_expectation_source, type_signature.key()) {
      23
3415
      24
                                   TypeExpectationSource::CallArgument {
3416
      25
3417
                                       caller_ident,
      26
3418
                                       rank: rank0,
3419
      27
      28
3420
                                   TypeSignatureKey::FnParameter {
3421
      29
                                       fn ident.
3422
      30
                                       rank: rank1,
      31
3423
3424
                              ) if caller_ident == fn_ident && rank0 == rank1 => true,
      32
3425
      33
                               => false,
3426
      34
3427
      35
                      },
3428
      36
                  )
3429
      37
                  .map(Option::flatten);
3430
      38
              (|ty_expectation_source: Option<TypeExpectationSource>,
3431
      39
                retrieved_ty_signature: Option<TypeSignature>| {
3432
      40
                  Some (TypeExpectation {
3433
      41
                      ty: retrieved_ty_signature?.ty(),
3434
      42
                      source: ty_expectation_source?,
3435
      43
                  })
3436
      44
              })
3437
      45
              .apply(ty_expectation_sources, retrieved_ty_signatures)
3438
      46
3439
      1 fn calc_ty_expectation_source(
3440
             grandparent_ast: Option<Ast>,
3441
       3
              rank: Option<Rank>,
3442
           -> Option<TypeExpectationSource> {
3443
       5
             let grandparent_ast = grandparent_ast?;
3444
              let rank = rank?;
3445
             match grandparent_ast.data {
3446
                  AstData::Call {
3447
                      caller,
3448
      10
                      caller_ident: Some(caller_ident),
3449
                      left_delimiter,
      11
3450
                      right_delimiter,
      12
3451
                      delimited_arguments,
      13
3452
      14
                  } => Some(TypeExpectationSource::CallArgument { caller_ident, rank }),
3453
                  _ => None,
      15
3454
      16
3455
      17 }
```

# 3456 H.4 Type Errors

```
1 pub enum TypeError {
3457
              TypeMismatch { expected: Type, actual: Type },
3458
3459
3460
       1 pub fn calc_ty_errors(
              ty_inferences: Seq<Option<TypeInference>>,
3461
              ty_expectations: Seq<Option<TypeExpectation>>,
3462
           -> Seq<Option<TypeError>> {
3463
3464
             calc_ty_error.apply(ty_inferences, ty_expectations)
3465
3466
      1 fn calc_ty_error(
              ty_inference: Option<TypeInference>,
3467
3468
              ty_expectation: Option<TypeExpectation>,
3469
           -> Option<TypeError> {
             let ty_inference = ty_inference?;
3470
3471
       6
              let ty_expectation = ty_expectation?;
3472
              if ty_inference.ty == ty_expectation.ty {
3473
       8
                  None
3474
              } else {
3475
      10
                  Some(TypeError::TypeMismatch {
3476
      11
                    expected: ty_expectation.ty,
3477
      12
                      actual: ty_inference.ty,
3478
      13
3479
      14
3480
      15
```

#### I Lower Bounds

```
1 struct <ty-ident-1> {}
2 struct <ty-ident-2> {}
3482
3483
3484
       3 struct <ty-ident-3> {}
       4 struct <ty-ident-4> {}
3485
3486
       6 fn <f-ident-1>(a: <arg-ty-ident-1>) {}
3487
3488
          fn <f-ident-2>(a: <arg-ty-ident-2>) {}
       8 fn <f-ident-3>(a: <arg-ty-ident-3>) {}
3489
3490
       9 fn <f-ident-4>(a: <arg-ty-ident-4>) {}
3491
       10
3492
      11 fn g() {
               let x: <ty-ident> = ...;
3493
      12
3494
      13
               <f-ident>(x);
3495
      14
```

## I.1 Lower bounds for RNN: Easy Bounds due to Memory

Proof of Theorem 4. Our proof resonates with the proof of Theorem 4.6 in Wen et al. (2024) and Theorem 8 in Bhattamishra et al. (2024). For  $L,D,H\in\mathbb{N}$ , suppose that D makes MiniHuskyAnnotated $_{D,H}$  to be nontrivial, i.e., one can define functions with one parameter and use function calls. Simple calculations shows we can choose D=7 and H=1. If a RNN represents a function maps any token sequence of length L in MiniHuskyAnnotated $_{D,H}$  to its type errors represented as a sequence of values of type Option<TypeError>, then the memory right before type checking must store all previous type signatures, the number of which can be as many as  $\Omega(L)$  in the worst case. Assuming proper numerical discretization, the memorization of these type signatures would require the memory size to be  $\Omega(L)$  in the worst case.

# J Additional Experiment Details

### **J.1 Setups**

3508 Model details are shown in Table 1, and other hyperparameters are shown in Table 2.

## J.2 Additional Results

Figures 4,5,6,7 are other metrics in the experiments. Here the loss function is the summation of cross entropies for each sub task.

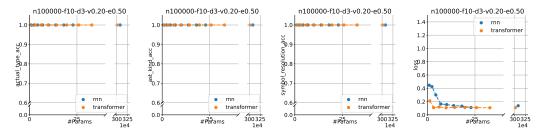


Figure 4: Figures for the dataset with (f, d, v, e) = (10, 3, 0.2, 0.5).

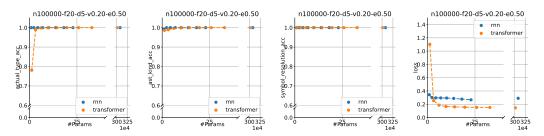


Figure 5: Figures for the dataset with (f, d, v, e) = (20, 5, 0.2, 0.5).

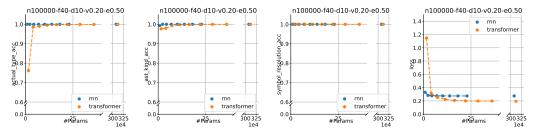


Figure 6: Figures for the dataset with (f, d, v, e) = (40, 10, 0.2, 0.5).

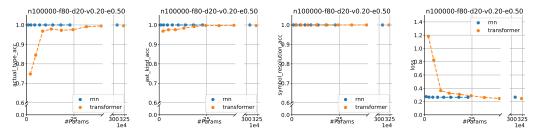


Figure 7: Figures for the dataset with (f, d, v, e) = (80, 20, 0.2, 0.5).

Table 1: Model specification

Table 2: Hyperparameters of experiments

Hyperparameter	Value
Dataset	
- $(f,d)$	$   \{(10,3), (20,5) \\   (40,10), (80,20)\} $
- $(n, v, e)$	$(10^5, 0.2, 0.5)$
Number of epochs	20
Train batch size	512
Optimizer	Adam
LR scheduler	Linear warmup-decay
- Warmup min lr	$1 \times 10^{-5}$
- Warmup max lr	$1 \times 10^{-3}$
- Warmup steps	990