Robust Weight Imprinting: Insights from Neural Collapse and Proxy-Based Aggregation

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Abstract

The capacity of foundation models allows for their application to new, unseen tasks. The adaptation to such tasks is called transfer learning. An efficient transfer learning method that circumvents parameter optimization is imprinting. It has been reinvented several times, but not systematically studied. In this work, we propose the general IMPRINT framework, identifying three main components: generation, normalization, and aggregation. Through the lens of this framework, we conduct an in-depth analysis and a comparison of the existing methods. Our findings reveal the benefits of representing novel data with multiple proxies in the generation step and show the importance of proper normalization. Beyond an extensive analytical grounding, our framework enables us to propose a novel variant of imprinting which outperforms previous work on transfer learning tasks by 6%. This variant determines proxies through clustering motivated by the neural collapse phenomenon – a connection that we draw for the first time. We publicly release our code at *(link removed for review)*.

1 Introduction

In machine learning applications, training models from scratch is often not viable due to limitations in data and compute. A popular solution is to apply transfer learning (Bengio, 2012; Yosinski et al., 2014) based on foundation models (FMs) (Bommasani et al., 2021) that are pre-trained on a large amount of data. To tune a FM to a novel task, e.g., for classification, a common procedure is to freeze the model parameters and replace the output layer with a new head. A particularly simple method for implementing such a new head was proposed by Qi et al. (2018) and coined *imprinting*.

Imprinting. In the original work by Qi et al. (2018), the last-layer weight vector of a novel class is set to the normalized average of its scaled embedding vectors, i.e., its class mean. These class means are representatives of the classes, which we denote as *proxies*. In general, we refer to imprinting as efficient learning methods without the need for cross-class statistics or gradient-based optimization. A plethora of studies have emerged surveying this technique by adding complexity and adaptability (Li et al., 2021; Yan et al., 2023; Passalis et al., 2020; Khan et al., 2021; Cristovao et al., 2022; Siam et al., 2019; Gidaris & Komodakis, 2018; Zhu et al., 2022). Despite its many adaptations, imprinting lacks a systematic comparison that unifies them. Understanding its variations could unlock greater efficiency and performance across many fields, making the method even more versatile and impactful.

We present IMPRINT, a framework that enables a comprehensive analysis of existing imprinting techniques. More precisely, we generalize prior work by decomposing imprinting into three principal steps (see fig. 1). During generation (GEN) of weights, the method selects representative data samples and generates one or more weight vectors (proxies) per class. Normalization (NORM) is crucial, as the generated weights need to be balanced. Aggregation (AGG) entails the computation of the final output, e.g., a class label. The computational efficiency of imprinting allows us to perform a large number of experiments. Through IMPRINT, we are able to propose a novel, best-performing imprinting strategy using multi-proxy weight imprinting in combination with L^2 normalization, outperforming previously studied methods, as depicted in fig. 2.

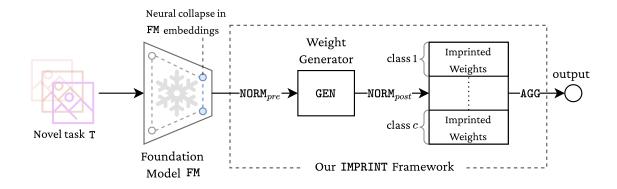


Figure 1: Overview of our IMPRINT framework. The foundation model FM is frozen and shows neural collapse. The weight generator (GEN) uses training data from a novel task T to consecutively generate one or more weight vectors (proxies) per class $1, \ldots, C$. The final output for the test data in T is computed by an aggregation (AGG) mechanism. Embeddings and generated weights are normalized according to NORM_{pre} and NORM_{post}, respectively. During inference, embeddings are normalized according to NORM_{inf} (not shown here).

Neural Collapse. When neural networks are trained to reach near-zero loss, their penultimate-layer embeddings collapse to the class means (Papyan et al., 2020; Zhu et al., 2021). We investigate this phenomenon, called neural collapse, as a potential explanation for when and why imprinting works. Our analysis proves that there exists a relationship between a measure of neural collapse and the success of imprinting. Since quantification of this phenomenon is possible in a post-hoc fashion over the FM features, we believe that these insights will contribute to further development of imprinting methods, as well as, training regimes of FMs that are more suitable for transfer learning via imprinting.

Contributions. In summary, our main results and contributions are:

- We deconstruct weight imprinting into the IMPRINT framework composed of generation, normalization, and aggregation, and discuss variations for each of them, while identifying prior work as special cases (section 3.1). To the best of our knowledge, we are the first to conduct a comprehensive analysis of imprinting to this scale (section 4).
- We present a new, outperforming imprinting method utilizing k-means clustering for weight generation (section 5.1) and show its benefits in certain few-shot scenarios (section 5.2).
- To the best of our knowledge, we are the first to identify a relationship between the degree of neural collapse and imprinting success (section 5.3).

2 Related Work

Imprinting and Few-Shot Learning. Weight imprinting is implemented by setting the final layer weights for the novel classes to the scaled average of the embedding vectors of their training samples and was first introduced by Qi et al. (2018) for the few-shot learning scenario. The authors find that for up to 20 samples, using a combination of imprinting and fine-tuning outperforms other state-of-the-art methods, including nearest neighbor algorithms. In contract, in our work we do not limit the number of samples and perform no fine-tuning on the imprinted weights to maintain efficiency.

Imprinting has been applied to object detection (Li et al., 2021; Yan et al., 2023), multi-label classification (Khan et al., 2021), semantic segmentation (Siam et al., 2019), and combined with an attention mechanism to generate weights for the novel classes in a few-shot classification task (Gidaris & Komodakis, 2018).

Table 1 & Figure 2: Previously studied imprinting strategies are special cases within IMPRINT. We evaluate on 12 different classification tasks Ts derived from MNIST, FashionMNIST, and CIFAR-10, each with 10 classes or subsets thereof, and 4 pre-trained models (resnet18, resnet50, vit_b_16, swin_b). The proposed configuration ("Ours") derived from IMPRINT outperforms previous work across FMs and Ts by a large margin with statistical significance. Here, k = 20 is used, highlighting the gain of using multiple proxies per class.

Work	\mathtt{NORM}_{pre}	GEN	\mathtt{NORM}_{post}	\mathtt{NORM}_{inf}	AGG	Avg. acc. $\%$		
Qi et al. (2018)	L2	mean	L2	L2	max	85.75		
Hosoda et al. (2024)	none	mean	quantile	none	max	84.22		
Janson et al. (2022)	none	mean	none	none	1-nn	85.59		
Ours	L2	k-means	L2	L2	max	91.11		
Average rank								
	4	3	2	1				
Hosoda et al. $\frac{3.34}{2.88}$ During the second se								

Hosoda et al. (2024) apply imprinting using quantile normalization to ensure statistical similarity between new and existing weights. We consider this as one normalization scheme in our framework. Zhang et al. (2021) apply imprinting in chest radiography for detection of COVID-19 and find that it yields better results than joint gradient descent training of all classes when only few samples are available. They speculate whether normalization is a constraint in their imprinting model.

Before the era of deep learning, Mensink et al. (2013) analyze the transferability of hand-crafted image features. They use a "nearest class multiple centroids" (NCMC) classifier with multiple proxies generated from a k-means clustering algorithm. In combination with metric learning, they compare favorably against the m-nearest neighbor algorithm. Our work, on the other hand, highlights efficient transfer learning provided by foundation models.

Transfer Learning. Using embedding vectors from pre-trained models is a simple and widely used transfer learning approach, established in the seminal works on computer vision (Donahue et al., 2014) and natural language processing (Devlin et al., 2019). Kornblith et al. (2019) show that pre-training performance of a model is highly correlated with the performance of the resulting embedding vectors in downstream tasks. In addition, Huh et al. (2016) provide insights into the required quality of pre-training data. Our work is orthogonal to these studies, since we focus on studying weight generation, normalization, and aggregation techniques applied later on for new task adaptation.

Continual Learning (CL). Although we investigate transfer learning scenarios, we review the imprinting applications and results from CL. Rebuffi et al. (2017) dynamically select a subset of examples for each class and update internal representations via gradient descent. They use a nearest mean classifier (NMC) with respect to the saved examples. Janson et al. (2022) use an NMC classifier as well and achieve good performance on CL benchmarks without any fine-tuning of the embeddings. However, they do not investigate the effect of normalization and using multiple proxies.

Findings of Prabhu et al. (2023) show that a simple, approximate *m*-nearest neighbor classifier outperforms existing methods in an Online CL setting when all data can be stored. In our work, however, we compare imprinting all data to a limited number of more representative proxies striving for efficiency.

Neural Collapse (NC). The phenomenon of NC was identified by Papyan et al. (2020) and refers to the convergence of the last-layer weight vectors to class means. It was shown that, regardless of the loss function, optimizer, batch-normalization, or regularization, NC will eventually occur (provided the training data has a balanced distribution) (Zhu et al., 2021; Han et al., 2022; Kothapalli, 2023). Nevertheless, complete neural collapse is practically unrealistic (Tirer et al., 2023). In transfer learning, Galanti et al. (2022) show that NC

occurs on new samples and classes from the same distribution as the pre-training dataset, highlighting the usability of foundational models in such scenarios. In our work, we expand the survey on NC by experimenting with out-of-distribution classes belonging to different datasets and linking their degree of collapse to the success of certain imprinting strategies.

3 Methods

3.1 IMPRINT

In order to find out how to best and efficiently set the classifier weights of a foundational model in new, previously unseen tasks T, we create the IMPRINT framework (see fig. 1) that generalizes previous work, specifically all the methods that work without access to cross-class statistics and gradient-based training. Thereby, we unify all the existing imprinting strategies described in section 2.

Overview. We analyze the effect of weight generation (GEN), normalizations (NORM = $\{NORM_{pre}, NORM_{post}, NORM_{inf}\}$), and aggregation (AGG). The IMPRINT framework depicted in fig. 1 consists of three main building blocks: a foundation model FM, a weight generator GEN, and extendable classifier weights that are imprinted. The FM remains frozen throughout the experiments. It receives data from T as inputs and produces embedding vectors. The training process generates weight vectors for each of the *C* classes in T. Hereby, embeddings from the FM are normalized before the generation (GEN) step according to NORM_{pre}. The generated weight vectors per class are referred to as *proxies*, prototypes, or representatives (Movshovitz-Attias et al., 2017; Snell et al., 2017; Yang et al., 2018; Yu et al., 2020). These proxies are normalized according to NORM_{post}. As in the work by Qi et al. (2018), we do not use bias values. To classify the test data in T during inference, it is first embedded by the FM, normalized according to NORM_{inf}, and finally aggregated by AGG, resulting in a predicted class label.

Generalization of Previous Methods. Previously proposed imprinting methods can be defined as special cases of our framework. We design IMPRINT such that every method can be defined by a single combination of GEN, NORM, and AGG, of which we inspect all possible combinations.

Weight Generation (GEN). The purpose of GEN is to determine how the embeddings of the training data in T are used to form the new weights. In contrast to Qi et al. (2018) which only incorporates one proxy per class (the mean), we add flexibility by allowing each class to have multiple proxies as Mensink et al. (2013). This enables non-linear classification. We denote the number of proxies as k, ranging between 1 and the number of samples. We investigate the following operations conducted per class to generate proxies:

- all: All embeddings (denoted as k = all).
- k-random: k random embeddings.
- mean: The mean of all embeddings.
- k-means: k-means cluster centers. k = 1 is the same as mean.
- k-medoids: k-medoids cluster centers.
- k-cov-max (covariance-maximization): Top k embeddings by covariance.
- k-fps (farthest-point sampling): Iteratively selecting k embeddings, such that it maximizes the distance from already selected ones (starting with a random sample).

We choose this diverse list of methods to cover a wide range of approaches, ranging from heuristics (e.g., k-fps) to more complex algorithms (e.g., k-means). Note that only mean and k-means generate proxies that are not included in the given samples. We also note an analogy to associative memory models, interpreting imprinting as a memory update process following a covariance rule, as detailed in appendix A.3.

Normalization (NORM). The main reason for applying normalization is to allow each embedding and weight vector to contribute equally on the same scale. The modes we allow are no normalization (none), L^2 normalization (L2), and quantile normalization (quantile).

L2 normalization can be applied to embeddings before GEN via NORM_{pre}, to the generated weights via NORM_{post}, and to embeddings in inference via NORM_{inf}. In any case, the vector is L^2 -normalized by dividing it by its Euclidean length $\|\cdot\|_2$.

quantile normalization (Amaratunga & Cabrera, 2001; Bolstad et al., 2003) can only be applied to generated weights. This non-linear operation distributes weights equally. Recall that if more than one class is contained in T (c > 1), GEN is performed for each class consecutively, and the reference distribution changes accordingly. In particular, for the first class there is no reference distribution to map to. This is different from Hosoda et al. (2024), where new weights are matched to the distribution of the original classifier weights of the FM. Since we do not consider the classes used for pre-training the FM and especially do not assume access to their last-layer weights, this is not possible in our scenario.

Aggregation (AGG). There are various ways to use the generated weights (proxies) per class during inference, especially when k > 1. We focus on two different modes, max and *m*-nearest neighbor (m-nn). The former, max, computes the inner product of the input embedding and the imprinted weights and outputs the class label with the maximum activation. The latter, m-nn, uses the class weights as keys and the embeddings as values, and chooses the final winning output class via the *m*-nearest neighbor algorithm. The m-nn voting is weighted by the inverse of the distances to their nearest neighbor, turning it into weighted majority voting.

Note that max is the same as 1-nn in the case of L2 for NORM_{post}, since for any fixed embedding vector v and variable proxy w, the argmin of $||v - w||^2 = ||v||^2 - 2\langle v, w \rangle + ||w||^2$, calculated by 1-nn, is the same as the argmax of the inner product $\langle v, w \rangle$ calculated in max.

3.2 Quantifying Neural Collapse

Neural collapse (NC) (Papyan et al., 2020) characterizes the state of the features produced by a classification neural network after training to near zero training loss. Namely, the learned embeddings of each class converge, i.e., *collapse*, to their class means. These globally centered class means and classifier weights form a simplex equiangular tight frame (ETF) – a collection of equal length and maximally equiangular vectors, that maximize the between-class variability. This results in an optimal linearly separable state for classification. In fig. 3 (left), we illustrate the collapse of a FM on its pre-training data. The newly arrived data T from a different dataset is distributed more unevenly across the embedding space (right).

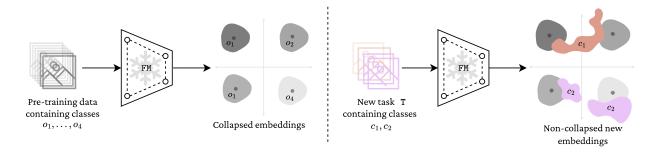


Figure 3: Left: The embeddings of the pre-training data, after being used to train the foundation model FM, show neural collapse, as each class (o_1, \ldots, o_4) is evenly separated in space and accumulates around their respective class means. Right: For a novel task with classes c_1, c_2 (pink and brown) scatter around the collapsed pre-trained classes (gray).

Two important characteristics of NC are variability collapse, i.e., the within-class variability of the penultimate-layer embeddings collapses to zero, and convergence to nearest-mean-classification. We focus on variability collapse (\mathcal{NC}_1) as in Zhu et al. (2021):

$$\mathcal{NC}_1 = \frac{1}{C} \operatorname{trace}(\Sigma_W \Sigma_B^+),\tag{1}$$

where $\Sigma_W, \Sigma_B \in \mathbb{R}^{l \times l}$ are within- and between-class covariance matrices, respectively, l is the dimension of the embedding vector, C is the number of classes, and + symbolizes the pseudo-inverse. Based on the equation, an \mathcal{NC}_1 score closer to zero signifies a higher collapse. In contrast, an increase in multi-modality of data leads to a higher \mathcal{NC}_1 score (as analyzed in fig. 11). Note that this measurement is not independent of the embedding dimension l and the number of classes C. According to NC, imprinting the mean, as originally done by Qi et al. (2018), is best when \mathcal{NC}_1 is small. We claim that when the data is not fully collapsed (as is often the case in practice), the scale of \mathcal{NC}_1 could guide the proxy generation method, e.g., having multiple proxies k > 1 per class. We investigate this in section 5.3.

4 Experimental Setup

Foundation Models FM. We use resnet18, resnet50 (He et al., 2016), vit_b_16 (Dosovitskiy et al., 2021), and swin_b (Liu et al., 2021) as FMs, two CNN-based and two Transformer-based architectures. All four models are pre-trained on *ImageNet*-1K (ILSVRC 2012) (Deng et al., 2009). To generate the embeddings, we use PyTorch's *torchvision* models.

Tasks T. We analyze multi-class classification scenarios without separating base and new classes, instead focusing on all classes within a novel T at the same time. To investigate the effect of the number of samples given, we look at n-shot ($n \in \mathbb{N}$) scenarios. For that, we randomly pre-sample the training data of T to n samples per class – transitioning into the regime of few-shot learning.

To find out the best imprinting strategy within our IMPRINT framework, we focus on tasks T created from the datasets *MNIST* (Deng, 2012), *FashionMNIST* (Xiao et al., 2017), and *CIFAR-10* (Krizhevsky et al., 2009), each containing 10 classes. We mainly focus on the three T containing all ten classes. Furthermore, we look at smaller tasks only containing classes $\{0, 1, 2\}$, and the two tasks containing classes $\{1, 3, 5, 7, 9\}$ resp. $\{0, 2, 4, 6, 8\}$. This random selection of $3 \cdot 4 = 12$ tasks adds variation to our evaluations.

Neural Collapse. In the analysis of neural collapse (NC), we also look at the FMs' pre-training data (*ImageNet*). As its test set is not available, we use its validation set in \mathcal{NC}_1 computations. Furthermore, for *ImageNet*, we relabel data by combining multiple classes into one label to simulate multi-modal class distributions for an in-depth NC analysis. These tasks are called "d in 1", $d = 1, \ldots, 10$, each containing 10 different labels. More precisely, we take 100 random classes from *ImageNet* and sequentially map the first d to label 1, the second d to label 2, etc., until we reach 10 distinct labels. See fig. 4 for a simplified illustration.

Moreover, we construct a new out-of-distribution, non-collapsed dataset by merging all classes from four digit datasets: MNIST, MNIST-M (Ganin et al., 2016), SVHN (Netzer et al., 2011), and USPS (Hull, 1994). The resulting dataset, which we refer to as CombiDigits, exhibits reduced collapse due to the greater distributional diversity within each class. To ensure scale invariance in covariance-based NC measurements, all embeddings are L^2 -normalized before computing \mathcal{NC}_1 .

Scale. In total, we run approximately 300 000 experiments, varying across the imprinting components, foundation models, tasks, and seeds. This is feasible with minimal effort as imprinting is a highly efficient method that operates without relying on gradient descent or other non-linear optimization techniques.

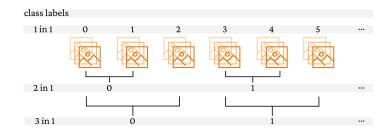


Figure 4: Combining multiple classes into one to create tasks with multi-modal class distributions. Simplified example for "d in 1", d = 1, 2, 3, with only six (instead of 100) original classes.

Evaluation. Throughout our experiments, the median accuracy on the test set for three different seeds is reported, if not otherwise specified. In sections 5.1 and 5.2, we investigate the imprinting performance by varying the FM (4) and T (12). We then sort the combinations by their final accuracy. There are $4 \cdot 12 = 48$ potentially different ranks for each of the combinations. We show the average rank, average accuracy, and statistical significance in ranking (dis-)agreements through critical difference (CD) diagrams as presented by Demšar (2006). The code used to generate these diagrams is inspired by Ismail Fawaz et al. (2019). In the CD diagrams, a thick horizontal line indicates a group of combinations that are not significantly different from each other in terms of ranking accuracy. We consider differences significant if p < 0.05.

In experiments with neural collapse (section 5.3), we investigate the four FMs on 100 random ImageNet tasks with remapped labels (as explained above) and the four tasks containing all of MNIST, FashionMNIST, CIFAR-10, and CombiDigits, respectively.

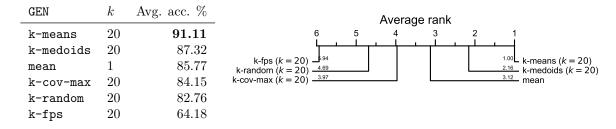
5 Results

Our main experimental insights are: **1.** Our IMPRINT framework generalizes previous methods, and we find a new superior imprinting strategy (section 5.1). **2.** We show that our novel strategy is even beneficial in few-shot scenarios with as little as 50 samples per class (section 5.2). **3.** We identify a correlation between imprinting success utilizing multiple proxies and measures of neural collapse (section 5.3).

5.1 Best Imprinting Strategy

We provide a comparison between existing memory-constrained methods used for imprinting on foundation models in fig. 2, namely, the work by Qi et al. (2018); Hosoda et al. (2024); Janson et al. (2022), as well as a novel, best-performing configuration ("Ours") that results from IMPRINT. We investigate the impact of using the unconstrained m-nn aggregation on all data afterward. We focus on k = 20 and find that our method, consisting of k-means weight generation, L2 normalizations, and max aggregation, outperforms all previously studied approaches by a margin of 6% on average with statistical significance. Next, we analyze each of the components of IMPRINT separately.

Table 2 & Figure 5: Benchmarking GEN mechanism for $k \leq 20$ across FMs and Ts. Best NORM combination for each row used implicitly. AGG is fixed to max. CD diagram proves that k-means weight generation is significantly better than all other methods.



Weight Generation (GEN). To assess the impact of GEN, we first focus on the max aggregation and do not fix NORM, but simply show the run with the best NORM combination, if not otherwise specified. The m-nn aggregation and different values for NORM are analyzed later in this section.

Initially, we limit the number of generated proxies to $k \leq 20$. Results in fig. 5 show how k-means, using as many proxies as possible (in this case, 20) outperforms by 4% on average accuracy compared to all the other GEN methods. The CD diagram illustrates its statistical significance in ranking. Furthermore, while k-medoids with 20 proxies is computationally expensive, it is not much better than mean, and covariance maximization, furthest-point sampling and random selection show even weaker performances. We find similar results for $k \leq 5$, where k-means outperforms the other methods as well (see fig. A.1).

As the number of proxies k increases, k-means continues to be the best GEN method. An example for resnet18 and CIFAR-10 can be found in fig. 6. All methods converge towards the point of imprinting (saving) all

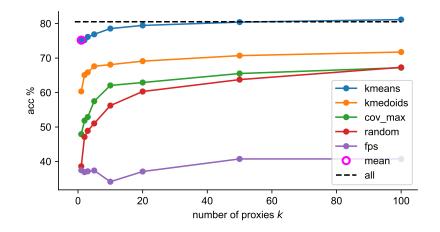


Figure 6: Benchmarking different GEN methods with resnet18 on all of *CIFAR-10* shows superiority of k-means proxies. All combinations employ L2 for all NORM and max as AGG.

data (k = all), even surpassing it in the case of k-means. Due to its superior performance, we mainly focus on k-means in the remainder of the analysis.

Normalization (NORM). We compare all the different NORM methods, focusing on k-means as GEN. For k = 1 and varying NORM_{post} (while taking best values for NORM_{pre} and NORM_{inf} implicitly), fig. 7 shows that L2 is the best choice for weight normalization. quantile and none normalization both perform worse.

Table 3 & Figure 7: Benchmarking NORM_{post} mechanism across FMs and Ts. The best NORM_{pre} and NORM_{inf} combinations for each row are used implicitly. GEN is fixed to mean (that is, k = 1) and AGG is fixed to max. The CD diagram shows the statistical significance of L2 as the best weight normalization NORM_{post}.

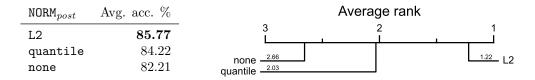


Table 4: Benchmarking $NORM_{pre}$ and $NORM_{inf}$ mechanisms across FMs and Ts. $NORM_{post}$ is fixed to L2, GEN to mean, and AGG to max. No statistically significant differences were found.

\mathtt{NORM}_{pre}	\mathtt{NORM}_{inf}	Avg. acc.
none	L2	85.77
none	none	85.77
L2	L2	85.75

Keeping L2 for NORM_{post} fixed, we find no statistical differences between the different combinations of NORM_{pre} and NORM_{inf} (see table 4). For larger values of k, the differences among NORM_{post} become even more pronounced. However, the performance of NORM_{pre} and NORM_{inf} remains statistically indifferent for L2 weight normalization (see fig. A.2 for all combinations at once with k = 1, and fig. A.3 for k = 20).

We restrict all the subsequent experiments to using L2 across all NORM following Qi et al. (2018). This combination of normalizations is chosen to specifically model cosine similarity within max aggregation.

Aggregation (AGG). In addition to max, we study the effect of using *m*-nearest neighbor (m-nn) as an aggregation method. Recall that max is a special case of m-nn when m = 1 (as NORM_{post} is set to L2). We investigate different values for $m \in \{1, 3, 5, 20, 50\}$.

Table 5 & Figure 8: Benchmarking AGG mechanism across FMs and Ts. GEN is fixed to all (k = all), that is, imprinting (saving) all data to weights. L2 normalization is used for all NORM. The CD diagram shows statistical significance of 3-nn, 5-nn, and 20-nn over max aggregation.

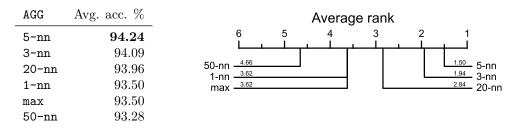
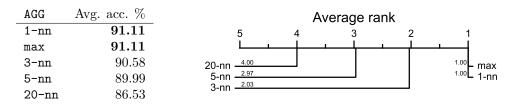


Table 6 & Figure 9: Benchmarking AGG mechanism across FMs and Ts. GEN is fixed to k-means with k = 20. L2 normalization is used for all NORM. The CD diagram shows that max is the best-performing aggregation method.



When all data is imprinted, fig. 8 shows that using m-nn aggregation for $m \in \{3, 5, 20\}$ is slightly better than max. With k = 20 and k-means as GEN, max (=1-nn) aggregation becomes the top performing combination (see fig. 9). Furthermore, the reduction of proxies (from all (≈ 6000 per class) to k = 20) leads to a decrease in accuracy of around 3%.

Learned Weights and Multiple Proxies. Employing gradient-based methods – such as setting weights via the analytical least-squares initialization proposed by Harun & Kanan (2025), which uses data *and labels* from all classes *jointly* – can be seen as an upper bound for the unsupervised weight imprinting approach discussed here. We extend this method by combining it with k-means, forming k-least-squares, and observe in appendix A.5 that using multiple proxies per class instead of a single weight vector can still improve performance, particularly on datasets with high \mathcal{NC}_1 .

5.2 Few-Shot Scenario

We analyze the n-shot scenario with our method (k-means as GEN, L2 as NORM, and max as AGG). Furthermore, we focus on the large tasks T containing all ten classes of MNIST, FashionMNIST, resp. CIFAR-10 at once. In this scenario, due to sampling only a few examples n, we average over five (instead of three) different seeds.

From the results in fig. 10, we find that as the number of samples n increases, k-means starts to outperform mean imprinting. The use of more proxies k further amplifies this performance gain. The shift occurs at roughly 50 samples per class for *MNIST* and *FashionMNIST*, while for *CIFAR-10*, k > 1 becomes prominently better at around 200 samples per class (see fig. A.4 for a display of all FMs focused on $10 \le n \le 400$).

5.3 Neural Collapse and Number of Proxies

Figure 11 depicts the neural collapse measurement \mathcal{NC}_1 (see eq. (1)) for the four tasks containing all of MNIST, FashionMNIST, CIFAR-10, resp. CombiDigits, as well as the 100 random ImageNet tasks with remapped labels as explained in section 4. We can see that ImageNet has a close-to-zero \mathcal{NC}_1 score, which increases linearly when adding more classes to each label (i.e., increasing multi-modality). As for other datasets, CIFAR-10 is generally more collapsed according to its low value of \mathcal{NC}_1 . We hypothesize that this is due to the similarity of its categories to those appearing in ImageNet. By design, the synthetic CombiDigits

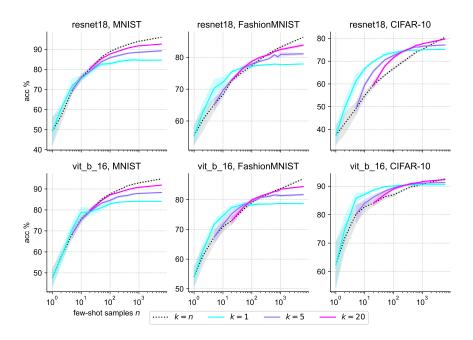


Figure 10: k-means with different values for k in n-shot scenarios. 95% CIs are shown in shaded colors. Other variables are fixed to our previously described best method of using L2 normalizations and max as AGG. Note that only data for the meaningful case of $k \leq n$ is shown. For *MNIST* and *FashionMNIST*, mean is not the best strategy anymore starting at only roughly 50 samples.

dataset, introduced in section 4, has a very high \mathcal{NC}_1 . Apart from that, \mathcal{NC}_1 of the *ImageNet* data for the Transformer-based architectures are much lower and therefore they are more collapsed compared to the CNN-inspired FMs. Architectural differences are further investigated in appendix A.4.

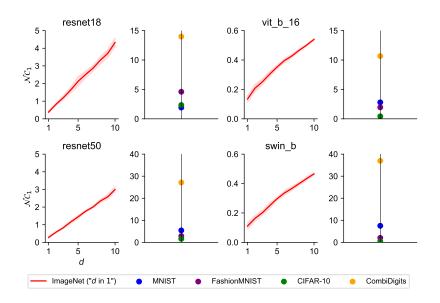


Figure 11: Average \mathcal{NC}_1 and 95% CIs of ten random *ImageNet* label remappings ("d in 1") for every d = 1, ..., 10. The \mathcal{NC}_1 for the tasks containing all of *MNIST*, *FashionMNIST*, *CIFAR-10*, resp. *CombiDigits* at once are depicted as dots. When compared to \mathcal{NC}_1 on the pre-training set (*ImageNet*), these sets are less collapsed on the Transformer-based architectures. A clear linear relationship across d can be inferred for all FMs, i.e., increased multi-modality implies less collapse. *CombiDigits* achieves a high \mathcal{NC}_1 score across all FMs.

For the same data, fig. 12 depicts accuracy over a varying number of proxies k inferred from k-means. A prominent peak at k = d can be inferred for every FM and reflects that d class proxies lead to the best result for d-modal class distributions. The fact that CIFAR-10 has the lowest \mathcal{NC}_1 (see fig. 11) is reflected by flat curves over k. This confirms that the \mathcal{NC}_1 score is a significant indicator of multi-modality. Namely, a higher \mathcal{NC}_1 score indicates the benefits of using a higher number of proxies. This effect is particularly evident in the imprinting results on *CombiDigits*, where using k = 3 proxies per class leads to a substantial accuracy improvement.

Furthermore, increasing k for the *ImageNet* sets has a much larger effect on the CNN-based FMs. We argue that this is because of their higher values of \mathcal{NC}_1 , indicating less neural collapse. In appendix A.4, we analyze architectural differences and training setups to explain these variations.

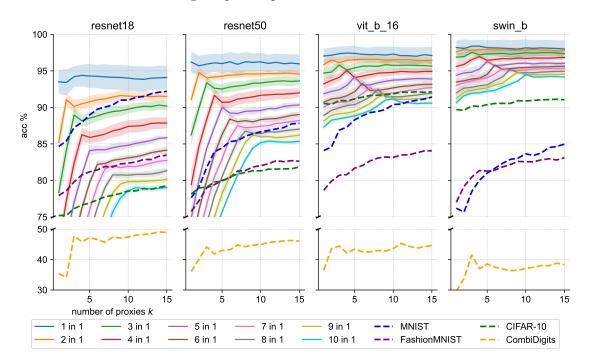


Figure 12: Averaged accuracy of ten random ImageNet label remappings ("d in 1") for every d = 1, ..., 10 over number of proxies k used in k-means. 95% CIs are shown in shaded colors. L2 for all NORM and max as aggregation are used. Accuracies of the tasks containing all of MNIST, FashionMNIST, CIFAR-10, resp. CombiDigits at once are shown in dotted lines. In all four plots, peaks in accuracy at k = d can be inferred. This confirms the connection between the effect of using multiple proxies and the collapse of the data.

6 Conclusion

We present a new framework, IMPRINT, to analyze three main components relevant to weight imprinting, namely, weight generation, normalization, and aggregation. Within IMPRINT, state-of-the-art imprinting strategies become special cases. This allows for a comprehensive analysis of different approaches through systematic experiments and leads us to generalize to a new, best-performing imprinting variant. That is, using **k-means** weight generation with L2 normalizations and **max** aggregation, which outperforms all previously studied methods (see fig. 2).

k-means generates better weights than mean. In particular, we find that the **mean** weight generation (GEN) method, despite its prominence in previous work, falls short compared to **k-means** – even when the number of proxies k is very small. Remarkably, with as little as 50 samples per class, **k-means** can already outperform the original imprinting method proposed by Qi et al. (2018), highlighting its advantage in few-shot scenarios.

L2 weight normalization is essential for strong performance. The max aggregation directly scales with the magnitude of the weights. Normalization $(NORM_{post})$ ensures that all class weights contribute equally to the output, making it crucial for a well-performing imprinting method. Nearest neighbor (1-nn) aggregation is not as affected by the lack of normalization, since it uses Euclidean distance. Although still part of common procedure, normalizations for embeddings $(NORM_{pre} \text{ and } NORM_{inf})$ appear to have minimal impact on performance.

With max aggregation, there is no need to store all data. While nearest neighbor (m-nn) aggregation (AGG) performs well when all data is saved (e.g., when there are no storage constraints), max aggregation with limited number of representative proxies (e.g., through *k*-means) is an efficient alternative without a substantial loss in performance.

Neural collapse proves the efficacy of imprinting. During training, the last-layer weights of a FM collapse to their respective class means. This proves the success of mean imprinting on known classes. New, out-of-distribution data, however, often shows less collapse, making it beneficial to imprint more than one proxy. This is particularly evident on the synthetic, multi-modal, and out-of-distribution dataset *CombiDigits*, where multiple proxies per class substantially improve performance.

Limitations. Our experiments are limited to foundation models for image classification and do not cover other data modalities such as audio, text, or sensor inputs. While IMPRINT is agnostic to modality, empirical validation of our results outside of vision is needed. Although imprinting alone provides an efficient solution to transfer learning, we do not investigate the benefit of combining it with optimization methods such as gradient-based learning.

Future Work. The usage of both weight and activation sparsity as Shen et al. (2023) could change the within- and between-class variability in favor of using a higher number of proxies. Besides only using the penultimate layer embeddings for generating the classifier weights, an interesting area of study could be extracting embeddings from previous layers of the FM for this purpose. Recently, the study by Marczak et al. (2025) showed that adding a multi-layer perceptron projector between the penultimate and classification layers results in representations that are more transferable. Another avenue of research is to thoroughly analyze imprinting the weights of other layers as well (Siam et al., 2019).

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A Appendix

A.1 Additional Results

We provide additional tables and critical difference (CD) diagrams that expand on the results of section 5.

Table A.1 & Figure A.1: Benchmarking GEN mechanism for $k \leq 5$ across FMs and Ts. Best NORM combination for each row is used implicitly. AGG is fixed to max. CD diagram depicts statistical significance of k-means as GEN. See fig. 5 for $k \leq 20$.

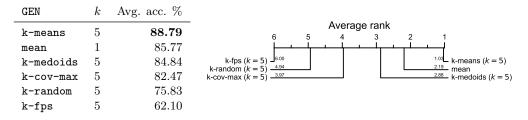


Table A.2 & Figure A.2: Benchmarking NORM across FMs and Ts shows crucial effect of L2 normalization. GEN is fixed to mean and AGG to max. CD diagram depicting statistical significance of L2 for $NORM_{post}$. Combinations are listed as " $NORM_{inf}$ & $NORM_{pre}$ & $NORM_{post}$ ".

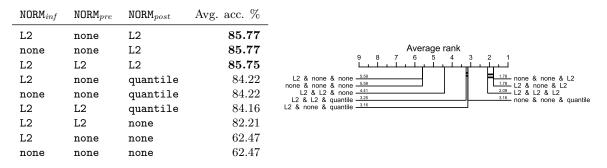


Table A.3 & Figure A.3: Benchmarking NORM across FMs and Ts. GEN is fixed to k-means with k = 20 and AGG to max. CD diagram depicting statistical significance of L2 for NORM_{post}. Combinations are listed as "NORM_{inf} & NORM_{pre} & NORM_{post}."

\mathtt{NORM}_{inf}	\mathtt{NORM}_{pre}	\mathtt{NORM}_{post}	Avg. acc. $\%$	
L2	L2	L2	91.11	
L2	none	L2	91.08	Average rank
none	none	L2	91.09	
L2	L2	quantile	90.70	L2 & none & none $(k = 20)$ $\frac{5.59}{1.51}$ L2 & L2 & L2 $(k = 20)$
L2	L2	none	89.68	none & none & none $(k = 20) \frac{569}{473}$ L2 & none & quantile $(k = 20) \frac{473}{122}$ none & none & quantile $(k = 20) \frac{473}{122}$ L2 & none & L2 $(k = 20)$ L2 & L2 & L2 & quantile $(k = 20)$
L2	none	quantile	78.51	L2 & L2 & none $(k = 20)$
none	none	quantile	78.51	
L2	none	none	68.18	
none	none	none	68.18	

A.2 Datasets

We briefly describe the datasets used in our experiments.

ImageNet (Deng et al., 2009). We use the ILSVRC 2012 version (commonly called *ImageNet*-1K) containing 1 000 classes and 1.2M training images. Since the test set is not publicly available, we use the

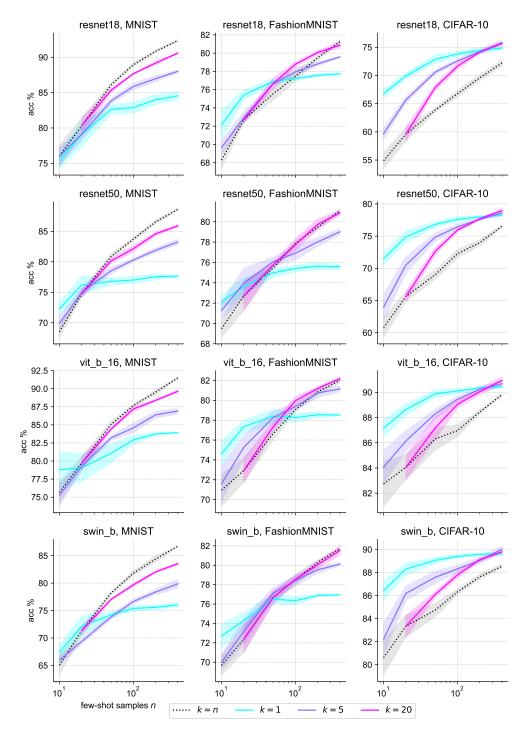


Figure A.4: k-means with different values for k in n-shot scenarios with focus on $10 \le n \le 400$. 95% confidence intervals shown in shaded colors. Other variables are fixed to our previously described best method of using L2 for NORM and max as AGG. Note that only data for the meaningful case of $k \le n$ is shown. It can be inferred that, across FMs, for *MNIST* and *FashionMNIST*, mean is not the best strategy anymore starting at only roughly 50 samples. See fig. 10 for more values of n.

validation set (50 000 images) as a stand-in. For neural collapse investigations, we construct tasks by randomly grouping d classes into one label, producing "d in 1" tasks as explained in section 4.

MNIST (Deng, 2012). A benchmark dataset of handwritten digits (0-9), consisting of 60 000 training and 10 000 test grayscale images of size 28×28 .

FashionMNIST (Xiao et al., 2017). A drop-in replacement for *MNIST* with the same format and number of samples, containing grayscale images of fashion items across 10 classes.

CIFAR-10 (Krizhevsky et al., 2009). A dataset of 32×32 RGB images covering 10 object classes, with 50 000 training and 10 000 test samples.

MNIST-M (Ganin et al., 2016), *SVHN* (Netzer et al., 2011), *USPS* (Hull, 1994). Digit classification datasets each containing digits 0-9 with domain-specific visual characteristics. *MNIST-M* applies color and texture transformations to MNIST digits, yielding 60 000 training and 10 000 RGB images of size 28×28 . *SVHN* consists of digit crops from house numbers in Google Street View, totaling 73 257 training and 26 032 test images of 32×32 in RGB. *USPS* contains scanned and normalized handwritten digits in 16×16 grayscale, split into 7 219 training and 2 007 test images.

CombiDigits (Ours). A synthetic dataset constructed by merging all classes from *MNIST*, *MNIST-M*, *SVHN*, and *USPS*. Each class label corresponds to a digit (0–9) and fig. A.5 shows example images of class "6". The dataset includes significant visual heterogeneity across sources and thus simulates multi-modal, non-collapsed class distributions.



Figure A.5: Twenty sample images from class "6" of the *CombiDigits* dataset. Each row corresponds to one of the four source datasets: *MNIST*, *MNIST-M*, *SVHN*, and *USPS* (top to bottom), illustrating the intra-class heterogeneity across domains.

A.3 Imprinting as Memory

We revisit the classical idea of Bidirectional Associative Memories (BAMs) (Kohonen, 2009; Anderson, 1972; Nakano, 2007; Anderson et al., 1977) and the associated update rule (Sejnowski, 1977; Dayan & Willshaw, 1991) for storing key-value pairs as in

$$\mathbf{W} \leftarrow \mathbf{W} + \mathbf{v} \mathbf{k}^{\top},$$

where $\mathbf{W} \in \mathbb{R}^{l \times l}$ is a matrix and $\mathbf{k}, \mathbf{v} \in \mathbb{R}^d$ are key and value vectors, respectively, to be stored therein.

Throughout this work, imprinting can be interpreted as inserting such key-value associations into the classifier weights. More precisely, the generation (GEN) component extends the linear classification head by setting \mathbf{v} as a one-hot vector representing the class, and \mathbf{k} as the corresponding proxy previously computed. While we focus on this simple linear setting, imprinting is not limited to it, as discussed in section 2.

Notably, this form of direct memory update has seen renewed attention in modern architectures beyond standard query-key-value attention. In particular, the xLSTM model (Beck et al., 2024) implements this

mechanism within its mLSTM memory blocks, where the matrix memory cell is updated by gated key-value associations, closely following this classical covariance rule, indicating a broader resurgence of associative memory principles in contemporary sequence modeling.

A.4 Differences between Foundation Models

While an in-depth comparison of foundation models is beyond the scope of this work, we believe it is important to highlight key observations. In particular, fig. 11 shows significantly lower \mathcal{NC}_1 scores for vit_b_16 and swin_b on their pre-training *ImageNet* data compared to the resnet models. We hypothesize that this difference is primarily due to model size and training regimes. The Transformer-based architectures (vit_b_16 and swin_b) have a considerably higher parameter count ($\approx 87M$) than the resnet models (11.7M and 25.6M, respectively). Additionally, vit_b_16 and swin_b were trained for three times as many epochs (300 vs. 90) while using a substantially lower learning rate (0.003 and 0.01 vs. 0.1). Notably, the embedding dimensions of these models are comparable, meaning that the observed differences in \mathcal{NC}_1 scores cannot be attributed to differences in representation dimensionality. Instead, we argue that the combination of larger model size, extended training duration, and lower learning rates likely contributes to greater overfitting, leading to more pronounced collapse. As discussed in section 5.3, this enables the Transformer-based FMs to handle the *ImageNet* tasks with remapped labels more effectively and to achieve significantly better performance on the similarly distributed *CIFAR-10* dataset.

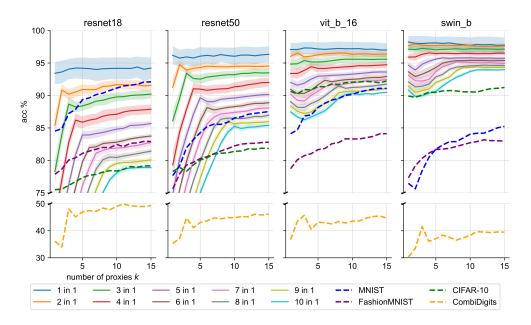


Figure A.6: Averaged accuracy of ten random ImageNet label remappings ("d in 1") for every d = 1, ..., 10 over number of proxies k used for k-means in GEN. 95% confidence intervals are shown in shaded colors. We set NORM_{post} and NORM_{inf} to L2, and NORM_{pre} to none. Accuracies of the tasks containing all of MNIST, FashionMNIST, and CIFAR-10 at once are shown in dotted lines. Besides the prominent peaks in accuracy at k = d (as already observed in fig. 12), a consistent dip between k = 1 and k = d appears in Transformer-based models.

Figure A.6, similar to fig. 12, illustrates the impact of varying the number of proxies on imprinting accuracy across different foundation models (FMs). The key difference in this figure is the use of none for NORM_{pre} instead of L2. This seemingly minor change reveals a striking contrast between CNN- and Transformer-based architectures: a distinct and consistent dip between k = 1 and k = d appears in Transformer-based models, whereas this dip is absent in fig. 12, where L2 is used as NORM_{pre}, and does not occur at all in the resnet models. We hypothesize that this difference arises from the distinct embedding distributions of CNN and Transformer architectures (see, e.g., Hosoda et al. (2024, Figure S2)).

A.5 Learned Weights and Multiple Proxies

Harun & Kanan (2025) recently studied the initialization of classifier weights for novel categories in a Continual Learning (CL) setting using the least squares algorithm, comparing it to random initialization and class mean imprinting across various loss functions.

In contrast, our work centers on imprinting-based approaches, which avoid gradient-based optimization and cross-class statistics, operating instead on a per-class basis with immediate availability of data. Nonetheless, we include the least-squares method as a supervised oracle baseline – explicitly not an imprinting method – fine-tuned for classification accuracy.

We evaluate its performance on the same tasks as defined in section 4. Additionally, we introduce a multi-proxy extension, k-least-squares, which combines least squares with k-means clustering. This generalization allows each class to be represented by multiple weight vectors. As in the case of weight generation GEN in imprinting (where k-means outperforms mean), we find that using multiple proxies per class improves performance in settings with high \mathcal{NC}_1 as well, indicating that the benefits of multi-prototype representations persist even in this non-imprinting, supervised context.

All experiments use no normalization (none as NORM), based on ablations confirming that additional normalization impairs performance. This matches expectations, since least squares outputs are already calibrated, and normalization distorts them.

Least Squares Weights. For all data contained in task T, define $\mathbf{Z} \in \mathbb{R}^{l \times N}$ as the collection of all feature vectors of the N training samples in T, i.e., $\mathbf{z}_{i,c} \in \mathbb{R}^l$ is the feature vector of the *i*-th sample in the *c*-th class. From this, we can define the feature global mean $\boldsymbol{\mu}_G = \mathbb{E}_{i,c}[\mathbf{z}_{i,c}]$, feature class means $\boldsymbol{\mu}_c = \mathbb{E}_i[\mathbf{z}_{i,c}]$ for $c = 1, \ldots, C$, and feature within-class covariance $\boldsymbol{\Sigma}_W = \mathbb{E}_{i,c}[(\mathbf{z}_{i,c} - \boldsymbol{\mu}_c)(\mathbf{z}_{i,c} - \boldsymbol{\mu}_c)^{\top}]$. Finally, we define the total covariance matrix $\boldsymbol{\Sigma}_T$ and class-means matrix \mathbf{M} as

$$\mathbf{M} = [\boldsymbol{\mu}_1, \dots, \boldsymbol{\mu}_C] \in \mathbb{R}^{l \times C}, \qquad \boldsymbol{\Sigma}_T = \mathbb{E}_{i,c}[(\mathbf{z}_{i,c} - \boldsymbol{\mu}_G)(\mathbf{z}_{i,c} - \boldsymbol{\mu}_G)^\top] \in \mathbb{R}^{l \times l}.$$

From these feature statistics, we can obtain the least-squares weights via

$$\mathbf{W}_{LS} = \frac{1}{C} \mathbf{M}^{\top} (\mathbf{\Sigma}_T + \boldsymbol{\mu}_G \boldsymbol{\mu}_G^{\top} + \lambda \mathbf{I})^{-1}.$$
(A.1)

Here, **I** is the identity matrix and λ is the weight decay. We set λ to match the value used during the original training of each respective model. Table A.4 lists the specific λ values used for all models considered in our experiments.

Table A.4: Weight decay values λ used for each model.

Model	λ
resnet18, resnet50 (He et al., 2016)	0.0001
vit_b_16 (Dosovitskiy et al., 2021)	0.1
swin_b (Liu et al., 2021)	0.05

Equation (A.1) reveals that least-squares shares structural similarities with mean imprinting, but applies a more sophisticated normalization scheme that includes both scaling and rotation. Crucially, it relies on cross-class statistics computed across the entire dataset, and thus is incompatible with imprinting scenarios, which operate by directly constructing class weights from the data of a single class without supervision or access to other classes.

Combining k-means and least-squares into k-least-squares. To allow for multiple proxies per class, we propose k-least-squares, a generalization of standard least squares (least-squares) that integrates clustering. Instead of computing a single weight vector per class using all class samples, we first partition each class's feature set \mathbf{Z}_c into k clusters using k-means. Each cluster is treated as a separate proxy class,

Algorithm 1 k-least-squares

Input: Class data $\{\mathbf{Z}_{c}\}_{c=1}^{C}$, number of proxies kOutput: Weights $\{\mathbf{W}_{c}\}_{c=1}^{C}$ with shape [k, l] per class 1: if k = 1 then 2: return standard least squares weights \mathbf{W}_{LS} for each class (see eq. (A.1)) 3: end if 4: for each class c do 5: Cluster \mathbf{Z}_{c} into k clusters via k-means 6: Assign each cluster j to proxy class (c, j)7: Collect proxy samples $\{\mathbf{Z}_{(c,j)}\}_{j=1}^{k}$ 8: end for 9: Compute least squares weights $\mathbf{w}_{(c,j)}$ jointly for all proxy classes (c, j)10: Assemble $\mathbf{W}_{c} = [\mathbf{w}_{(c,1)}, \dots, \mathbf{w}_{(c,k)}]$ for each original class c11: return $\{\mathbf{W}_{c}\}_{c=1}^{C}$

effectively expanding the classification task to $C \times k$ proxy classes. We then solve a single regularized least squares problem over all proxy classes at once, assigning a distinct target vector to each proxy. The resulting weights are grouped by their original class to yield k weight vectors per class. The complete procedure is given in algorithm 1.

The results in fig. A.7 extend previous findings from mean and k-means for the CNN-based FMs, as accuracy increases with increasing proxy count k, peaks at k = d, and reveals a distinct dip between k = 1 and k = d, confirming the weakness of using only one proxy per class. For Transformer-based FMs, by contrast, accuracy is often highest at k = 1, improves slightly near k = d, but lacks a pronounced peak. Beyond k > d, accuracy generally declines for k-least-squares, indicating diminishing returns from excessive proxy splitting. In contrast, k-means GEN maintains stable performance even as k grows, demonstrating robustness to increasing proxy counts.

Comparing Results. We now compare the performance of k-means and k-least-squares as proxy generation methods, noting again that k-least-squares is not an imprinting scheme and is significantly less efficient than the imprinting methods presented in section 3.1.

Upon proper comparison of the best performing k-means and k-least-squares configurations, as depicted in fig. A.8, we observe that on these synthetic *ImageNet* tasks, k-least-squares does not consistently offer a substantial improvement. In fact, it is only on resnet50, in a highly multi-modal setting (d = 10), that k-least-squares reaches better accuracy. For the Transformer-based models, k-means performs better or comparably.

While the Transformer-based models generally achieve superior performance overall (note the different y-axis scales for CNN and Transformer models in the figure), a consistent trend across all models is observed: with increasing multi-modality (increasing d), using more than one proxy, whether through k-means or k-least-squares, begins to outperform the single-proxy methods (mean and least-squares) Still, it is striking how effective single weights with least-squares can be. However, it is also noteworthy how easily k-means can compensate for its limitations, particularly considering that least-squares is not an actual imprinting scheme, while k-means is.

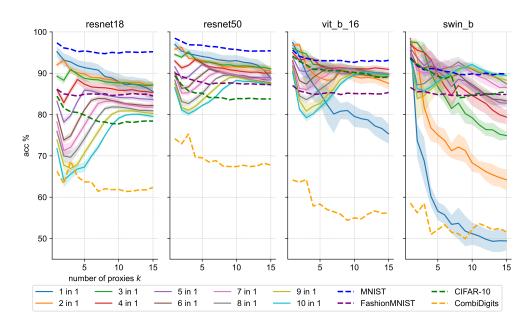


Figure A.7: Averaged accuracy of ten random relabelled *ImageNet* tasks ("d in 1") for every d = 1, ..., 10 over number of proxies k used for k-least-squares in GEN. 95% confidence intervals are shown in shaded colors. All NORM are set to none. Accuracies of the tasks containing all of *MNIST*, *FashionMNIST*, *CIFAR-10*, resp. *CombiDigits* at once are shown in dotted lines. As in figs. 12 and A.6, the CNN-based FMs exhibit a clear accuracy peak at k = d, with a consistent drop for intermediate values 1 < k < d. For the Transformer-based FMs, however, accuracy is typically highest at k = 1 and only improves slightly around k = d but does not show a pronounced peak. For k > d and all FMs, performance declines again, indicating that excessive proxy splitting harms generalization. This degradation does not occur in k-means GEN, which remains robust even with large k.

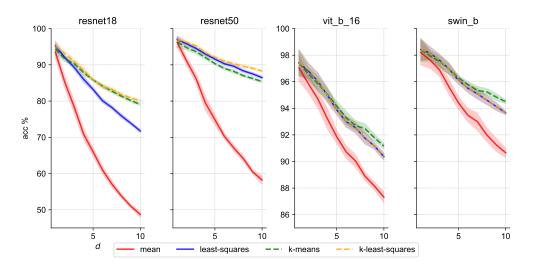


Figure A.8: Averaged accuracy of ten random ImageNet label remappings ("d in 1") for every d = 1, ..., 10 for mean and least-squares, and optimal k-means and k-least-squares ($k \in \{0, ..., 15\}$) weights. 95% confidence intervals are shown in shaded colors. Note the differing y-axis scales for CNN-based (resnet18, resnet50) and Transformer-based (vit_b_16, swin_b) models. The figure illustrates that with increasing multi-modality d, multi-proxy methods (k-means, k-least-squares) generally outperform single-proxy methods (mean, least-squares), and that the k-means imprinting scheme is competitive with least-squares approaches.