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## ABSTRACT

Data pruning (DP), as an oft-stated strategy to alleviate heavy training burdens, reduces the volume of training samples according to a well-defined pruning method while striving for *near-lossless* performance. However, existing approaches, which commonly select highly informative samples, can lead to biased gradient estimation compared to full-dataset training. Furthermore, the analysis of this bias and its impact on final performance remains ambiguous. To address these challenges, we propose **OrderDP**, a plug-and-play framework that aims to obtain stable, unbiased, and *near-lossless* training acceleration with theoretical guarantees. Specifically, **OrderDP** first randomly selects a subset and then chooses the top- $q$  samples, where unbiasedness is established with respect to a surrogate loss. This ensures that **OrderDP** conducts unbiased training in terms of the surrogate objective. We further establish convergence and generalization analyses, elucidating how **OrderDP** affects optimal performance and enables well-controlled acceleration while ensuring guaranteed final performance. Empirically, we evaluate **OrderDP** against comprehensive baselines on CIFAR-10, CIFAR-100, and ImageNet-1K, demonstrating competitive accuracy, stable convergence, and exact control—all with a simpler design and faster runtime, while reducing training cost by over 40%. Delivering both strong performance and computational efficiency, our method serves as a robust and easily adaptable tool for data-efficient learning.

## 1 INTRODUCTION

Neural scaling laws have revealed a consistent empirical pattern across a wide range of domains (Amari et al., 1992; Hestness et al., 2017; Kaplan et al., 2020): model performance tends to improve predictably, often as a power law (Hernandez et al., 2021; Cherti et al., 2023; Chen et al., 2023), with increased model size and the data volume. This observation has fueled a surge in computational demands and financial costs, as larger models and datasets are leveraged to push the boundary of model capabilities. In this context, data pruning (DP) has emerged as a promising strategy to alleviate training costs by selectively removing less informative samples (Killamsetty et al., 2021b; Mirzaoleiman et al., 2020; Qin et al., 2024; Raju et al., 2021), offering a pathway to enhance training efficiency without compromising model performance.

Depending on when sample selection is performed, data pruning strategies can be broadly classified into *static pruning* and *dynamic pruning*. ① Static pruning assigns an informativeness score to each training sample *before* training, typically using data influence functions (Borsos et al., 2020; Koh & Liang, 2017; Yang et al., 2022) or coresnet selection strategies (Huggins et al., 2016; Campbell & Broderick, 2019; Kim et al., 2023). ② Dynamic pruning, on the other hand, performs sample selection *during* training, updating scores on-the-fly based on evolving model states or gradients (Raju et al., 2021; Qin et al., 2024; Chen et al., 2024). By continuously adapting to the training dynamics, it can better identify and retain the most influential samples at each stage, potentially yielding higher performance under constrained training budgets. A more comprehensive survey of static and dynamic pruning methods is included in Appendix B.

Within supervised learning, data pruning aims to reduce data volume without sacrificing performance, thereby achieving *near-lossless*<sup>1</sup> pruning. However, the discarded data can cause the distribution

<sup>1</sup>Here, *near-lossless* means matching full-data accuracy up to normal stochastic fluctuations (typically within 0.1%) while achieving a noticeable training speedup.

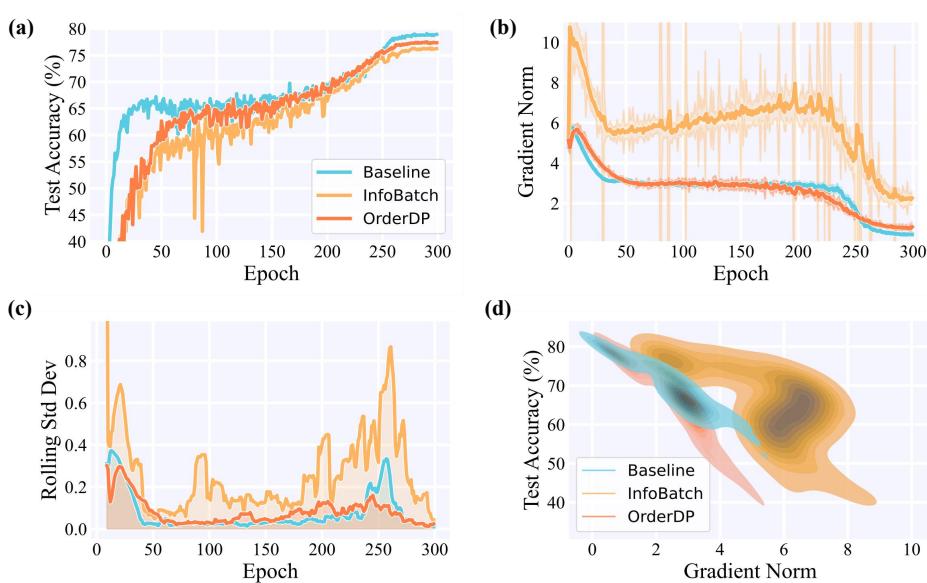


Figure 1: **Training dynamics of ResNet-18 on the CIFAR-100 under a 70% data pruning ratio.** Method comparison: full-dataset training (**Baseline**), representative dynamic pruning strategy (**InfoBatch**), and our proposed method (**OrderDP**). **(a-c)** Test accuracy, gradient norm (shadow area denotes standard deviation), and temporal stability of gradient norm over training epochs. **(d)** Joint distribution of test accuracy and gradient norm throughout training.

shift and bias gradient. Although selecting a portion of the discarded data randomly via calibration protocols (Aydé & Hayou, 2023) can theoretically ensure unbiasedness, finding the optimal proportion can be difficult in practice. Inspired by this method, recent dynamic methods such as InfoBatch (Qin et al., 2024) achieve this goal by rescaling the bias gradient toward the expected loss. However, when training on a specific dataset, gradient bias in both scale and direction may still arise from the discrepancy between empirical and expected loss. This bias is further amplified under extreme pruning, where large scaling factors are applied and stabilization techniques such as annealing are often required. These challenges reveal an incomplete understanding of the principles underlying “near-lossless” pruning. A critical questions arise as to *what ensures this property? how the bias should be analyzed, and whether pruning can be pushed further toward more extreme regimes?* To investigate these questions, we conduct comparative studies and report a representative result on CIFAR-100, comparing full-dataset training with InfoBatch (Qin et al., 2024) under a 70% pruning ratio to test its limits. Further experiments are presented in Appendix D, E, and we highlight several key observations as follows:

**1 Gradient norm serves as a reliable proxy for model performance:** Under full-dataset training, test accuracy exhibits a strong linear correlation with gradient norm (Pearson’s  $R = -0.93$ ), as shown in Figure 1 (d), which suggest the magnitude of gradients is a stable and informative indicator of both training progress and generalization, which echoes prior observations in related studies (Zhao et al., 2022; Zhang et al., 2023).

**2 Dynamic data pruning suffers from training instability:** Compared to full-dataset training, dynamic one displays pronounced fluctuations in test accuracy and volatile gradient norms. Moreover, the rolling standard deviation reveals irregular and noisy optimization dynamics, indicating reduced training stability, as shown in Figure 1 (a-c).

**3 Gradient estimation under dynamic pruning is still biased:** Figure 1 (b,d) demonstrate that the dynamic method induces a noticeable shift in the overall scale of gradient norms relative to the baseline. This shift is more significant for InfoBatch, as a large scaling factor is imposed. The previously observed linear relationship between accuracy and gradient norm weakens, suggesting that it still distorts gradient estimates and introduces bias during training.

Together, these findings highlight that training instability and biased gradient estimation are two key limitations of existing dynamic DP strategies. We provide an extended empirical analysis of

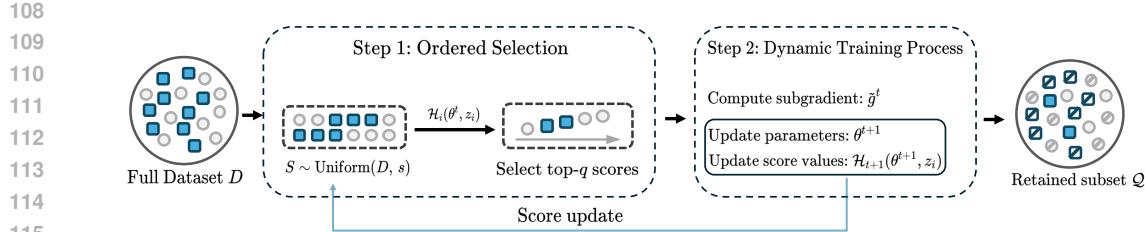


Figure 2: Illustration of the proposed **OrderDP** framework: at each iteration, a candidate batch is sampled uniformly, the top- $q$  examples are selected by score to compute a subgradient and update model parameters, and scores are refreshed only for the retained samples.

gradient bias in Appendix E. To this end, we take a step towards designing a DP method to mitigate both issues, thus achieving **stable**, **unbiased**, and **near-lossless** data pruning. Inspired by the recent stochastic optimization based on ordering statistics (Kawaguchi & Lu, 2020; Mehta et al., 2023), we propose a simple yet effective DP framework, **OrderDP**, which aims to obtain **near-lossless** pruning with improved efficiency, even at large pruning ratios. At the beginning of each epoch, we randomly sample a batch of data points from the full dataset to form a pruning candidate pool. These candidates are then ranked in descending order based on their loss values, and the Top- $q$  samples are selected as the most informative ones for model training. We formulate **OrderDP** as an optimization algorithm that minimizes a proposed surrogate loss. We theoretically establish convergence analyses using an unbiased gradient estimation of the surrogate loss. Furthermore, generalization analysis is provided in terms of the surrogate loss and expected loss, demonstrating the effectiveness of **OrderDP**.

Our approach has several desirable properties. First, **OrderDP** ensures unbiased gradient estimation and works with standard training pipelines without architectural changes or auxiliary approximations. It maintains an exactly controlled pruning ratio with rigorous theoretical guarantees on convergence and generalization. The surrogate loss fully captures the bias and enables principled, loss-aware pruning while sustaining strong stability. Empirically, we validate **OrderDP** on CIFAR-10 (Krizhevsky et al., a), CIFAR-100 (Krizhevsky et al., b), and ImageNet-1K (Deng et al., 2009). Across all benchmarks, **OrderDP** achieves **near-lossless** performance at moderate pruning ratios and surpasses state-of-the-art methods. On ImageNet-1K, it retains full accuracy at 40% pruning with the lowest total computation, leading to faster runtime. These results show that **OrderDP** not only sustains strong performance but also delivers superior efficiency, robustness, and a simple plug-and-play design, making it a practical solution for scalable deep learning.

## 2 METHOD

Inspired by the iterative update process of stochastic gradient descent (SGD) (Kawaguchi & Lu, 2020; Amari, 1993), we propose Ordered Data Pruning (**OrderDP**), a dynamic strategy that integrates adaptive sample selection into the SGD pipeline to reduce training cost without sacrificing accuracy. The overall framework is illustrated in Figure 2. **OrderDP** leverages a score-value mechanism to rank and retain the most informative samples at each iteration.

### 2.1 PRELIMINARIES

We begin with the standard empirical risk minimization formulation over a dataset  $\mathcal{D} = \{z_i\}_{i=1}^n$ :

$$\mathcal{L}(\theta) := \frac{1}{n} \sum_{i=1}^n \mathcal{L}_i(\theta, z_i) \quad (1)$$

where  $\theta \in \mathbb{R}^d$  denotes the model parameters and each per-sample loss  $\mathcal{L}_i(\theta, z_i): \mathbb{R}^d \rightarrow \mathbb{R}_{\geq 0}$  measures the discrepancy on example  $z_i$ . Solving this ERM via (mini-batch) stochastic gradient descent is at the core of most modern machine learning tasks.

**Score Value Function.** To drive dynamic pruning, we associate each sample  $z_i$  with a nonnegative *score value*  $\mathcal{H}_i(\theta) = \mathcal{H}_i(\theta, z_i)$ , which quantifies its importance. In general,  $\mathcal{H}_i$  can be any function of model state and data (e.g., gradient norm or influence measure), but we adopt the instantaneous

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**Algorithm 1:** Dynamic Training Process with **OrderDP**

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**Input:** Initial parameters  $\theta^1$ , initial scores  $\mathcal{H}_1(\theta^1, z_i)$  for all  $i \in [n]$ , learning rates  $\{\eta^t\} > 0$ , exploration size  $s$ , exploitation size  $q$ .

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**Output:** Final parameters  $\theta^T$ .

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1 for  $t = 1, 2, \dots, T$  do

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2 // Ordered Data Pruning (**OrderDP**)

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3 Sample candidate batch  $S^t \subseteq D$  uniformly at random, with  $|S^t| = s$ .

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4 Select subset  $\mathcal{Q}^t \subseteq S^t$  of top- $q$  scores:

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$$\mathcal{Q}^t \in \arg \max_{\substack{Q \subseteq S^t \\ |Q|=q}} \sum_{i \in Q} \mathcal{H}_t(\theta^t, z_i).$$

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5 // Compute a subgradient

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$$\tilde{g}^t \in \partial L_{\mathcal{Q}^t}(\theta^t), \text{ where } L_{\mathcal{Q}^t}(\theta^t) = \frac{1}{q} \sum_{i \in \mathcal{Q}^t} \mathcal{L}_i(\theta^t, z_i).$$

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6 // Update model parameters

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$$\theta^{t+1} \leftarrow \theta^t - \eta^t \tilde{g}^t.$$

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7 // Update score values

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$$\mathcal{H}_{t+1}(\theta^{t+1}, z_i) = \begin{cases} \mathcal{L}_i(\theta^{t+1}, z_i), & i \in \mathcal{Q}^t, \\ \mathcal{H}_t(\theta^t, z_i), & \text{otherwise.} \end{cases}$$

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loss  $\mathcal{H}_i(\theta) = \mathcal{L}_i(\theta, z_i)$  as a simple, adaptive proxy: higher loss indicates greater need for retention. By updating scores only for samples that remain active, we avoid full-dataset recomputation each step. Concretely, if  $\mathcal{Q}_t \subseteq \mathcal{D}$  denotes the set of retained examples at epoch  $t$ , then

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$$\mathcal{H}_{t+1}(\theta^{t+1}, z_i) = \begin{cases} \mathcal{L}_i(\theta^{t+1}, z_i), & i \in \mathcal{Q}_t, \\ \mathcal{H}_t(\theta^t, z_i), & i \notin \mathcal{Q}_t. \end{cases} \quad (2)$$

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This rule ensures that only the losses of selected samples are refreshed, while others retain their previous scores. Together, the ERM objective and the score value function enable dynamic data pruning: by ranking samples via  $\mathcal{H}_i(\theta)$ , we focus computation on the most informative subset each iteration, reducing training cost without hurting accuracy.

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2.2 THE **ORDERDP** FRAMEWORK

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Building on the ERM and score-value preliminaries, Ordered Data Pruning (**OrderDP**) integrates dynamic sample selection into the SGD loop. At each iteration, a candidate batch is uniformly sampled, the top- $q$  samples are selected by score values, and a subgradient computed on this subset is used for parameter update. Scores are refreshed only for the retained samples, while others remain unchanged. The complete procedure is given in Algorithm 1.

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**OrderDP** combines uniform sampling with score-based ranking to preserve diversity while focusing on informative samples, and enforces an exact prune ratio of  $1 - (q/s) \cdot (s/|\mathcal{D}|)$  for predictable speed-ups. Uniform sampling ensures every sample has a non-zero chance of being selected, improving robustness (see Part 4.2, (Shah et al., 2020)) and reducing dependence on sorting. The sorting step can be reduced to  $O(\log q)$  time per sample (even  $O(1)$  when  $q = 1$ ), with constant memory overhead, unlike other dynamic methods such as UCB (Raju et al., 2021) and InfoBatch (Qin et al., 2024), which require either  $O(\log n)$  time or  $O(n)$  storage.

## 3 THEORETICAL ANALYSIS

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In this section, we show that **OrderDP** provides unbiased gradient estimates for a surrogate loss and achieves standard convex–Lipschitz convergence rates, then establish its generalization error bound via a spectral-risk analysis. Full proofs are provided in Appendix A.

216 3.1 BIAS AND CONVERGENCE ANALYSIS  
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218 In this subsection, we analyze the convergence of **OrderDP** by first capturing the bias introduced by  
219 selective pruning. Specifically, we define a surrogate loss that yields unbiased gradient updates:

$$220 \quad \mathcal{L}_q(\theta) := \frac{1}{q} \sum_{j=1}^n \gamma_j \mathcal{L}_{(j)}(\theta), \quad \text{and where } \gamma_j = \sum_{l=\max\{1, s-n+j\}}^{\min\{q, j\}} \frac{\binom{j-1}{l-1} \binom{n-j}{s-l}}{\binom{n}{s}}, \quad (3)$$

224 where  $\mathcal{L}_{(j)}(\cdot)$  is the  $j$ -th rank of per-sample loss and each weight  $\gamma_j$  depends only on  $(n, s, q)$ . This  
225 construction guarantees that the gradient estimator  $\tilde{g}^t$  produced by **OrderDP** is unbiased with respect  
226 to  $\mathcal{L}_q$ .

227 **Theorem 1.** *Under the definitions above, the update  $\tilde{g}^t$  in Algorithm 1 satisfies*

$$228 \quad \mathbb{E}[\tilde{g}^t] \notin \partial \mathcal{L}(\theta^t) \quad \text{but} \quad \mathbb{E}[\tilde{g}^t] \in \partial \mathcal{L}_q(\theta^t), \quad (4)$$

230 *i.e., it is an unbiased estimator of a (sub-)gradient of  $\mathcal{L}_q$ .*

231 Theorem 1 shows that the biased gradient estimation of **OrderDP** w.r.t. empirical loss  $\mathcal{L}$  can be  
232 interpreted as an unbiased method for minimizing the surrogate objective  $\mathcal{L}_q$ .  $\mathcal{L}_q$  is well-defined for  
233 any  $\theta$ , and **OrderDP** enjoys three key advantages: ① By choosing  $s$  and  $q$ , the prune ratio  $1 - \frac{q}{n}$  is  
234 easily adjusted; ② Computing  $\gamma_j$  adds no per-epoch cost, unlike other dynamic methods requiring  
235  $O(n)$  time and memory for weight tables; ③ **OrderDP** preserves unbiased, lower-variance gradient  
236 estimates for  $\mathcal{L}_q$ —eliminating the need for annealing. See Appendix A.1 for the complete proof.

237 Another view of Theorem 1 is that the parameters  $(n, s, q)$  shape the surrogate loss  $\mathcal{L}_q(\theta)$  via  
238 the weights  $\{\gamma_j\}$ , which inherently represent the selective pruning. Inspired by the asymptotic  
239 approximation in (Kawaguchi & Lu, 2020), we obtain:

240 **Proposition 2.** *Denote  $z = j/n$  and  $\gamma(z) = \sum_{l=1}^q z^{l-1} (1-z)^{s-l} \frac{s!}{(l-1)!(s-l)!}$ . Then, as  $j, n \rightarrow \infty$   
241 it holds that*

$$243 \quad \lim_{j, n \rightarrow \infty, j/n=z} n \gamma_j = \gamma(z). \quad (5)$$

244 *Furthermore,  $1 - \frac{n}{s} \gamma(z)$  is the cumulative distribution of  $\text{Beta}(z; s - q)$ .*

246 The weight sequence  $\{\gamma_j/q\}_{j=1}^n$  generated by **OrderDP** forms a non-uniform probability distribution  
247 (Kawaguchi & Lu, 2020; Mehta et al., 2023; Shah et al., 2020), which can be easily verified  
248 through a numerical simulation showing that  $\sum_{j=1}^n \gamma_j/q = 1$  for given  $(n, s, q)$ . **A non-trivial proof**  
249 **is also provided.** For the structure of  $\gamma_j$  itself, Fig 5 shows that  $\gamma_j$  monotonically decays. If we fix  
250  $(s, q)$ , the cliff becomes smoother and closer to  $r(z)$  as  $j, n$  increase. Similar observations are also  
251 found in (Kawaguchi & Lu, 2020), but we make a more general formulation of  $\gamma_j$ . **More discussions,**  
252 **proof and empirical validation are deferred to Appendix A.2 and Appendix D.2.**

253 Building on the unbiased gradient estimates of **OrderDP**, we leverage the classic mini-batch SGD  
254 analysis to obtain the following guarantee.

255 **Theorem 3.** *Let  $(\theta^t)_{t=0}^T$  be the sequence generated by Algorithm 1. Suppose there exists a finite  
256  $\theta^* \in \arg \min_{\theta} \mathcal{L}_q(\theta)$ ,  $\mathcal{L}_q(\theta^*) < \infty$ . If each  $\mathcal{L}_i(\cdot)$  is convex and  $G$ -Lipschitz, then*

$$258 \quad \min_{0 \leq t \leq T} \mathbb{E}[\mathcal{L}_q(\theta^t) - \mathcal{L}_q(\theta^*)] \leq \frac{\eta_{\max} (\|\theta^1 - \theta^*\|_2^2 + G^2 \sum_{t=1}^T (\eta^t)^2)}{2 \eta_{\min} \sum_{t=1}^T \eta^t}. \quad (6)$$

261 This matches the standard  $O(1/\sqrt{T})$  convergence rate of mini-batch SGD under the same convexity  
262 and Lipschitz assumptions, demonstrating that **OrderDP** attains identical theoretical guarantees  
263 despite pruning. In particular, choosing  $\eta^t = \|\theta^1 - \theta^*\|_2 / (G\sqrt{T})$  yields the error bound  $(G\|\theta^1 -$   
264  $\theta^*\|_2) / \sqrt{T}$ . By using the averaged iterate  $\bar{\theta}^T = (1/\sum_{t=1}^T) \sum_{t=1}^T \eta^t \theta^t$ , the dependence on  $\eta_{\max}$  and  
265  $\eta_{\min}$  can be removed, that is  $\mathbb{E}[\mathcal{L}_q(\bar{\theta}^T) - \mathcal{L}_q(\theta^*)] \leq (\|\theta^1 - \theta^*\|_2^2 + G^2 \sum_{t=1}^T (\eta^t)^2) / (2 \sum_{t=1}^T \eta^t)$ . The  
266 full proof is provided in Appendix A.3. Empirical evidence supporting the convergence assumptions  
267 is provided in Appendix D.3.

268 Thus, despite pruning a large fraction of data each epoch, **OrderDP** does not slow optimization in  
269 expectation, ensuring computational savings without loss in convergence speed.

270 3.2 GENERALIZATION ANALYSIS  
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272 Having established convergence for the surrogate loss  $\mathcal{L}_q(\theta)$ , we now quantify its approximation to  
273 the expected risk  $\mathcal{L}(\theta^*) = \mathbb{E}_{z \sim \mathcal{D}}[\mathcal{L}(\theta^*, z)]$ . Pruning creates a non-uniform sampling bias. Motivated  
274 by the 1-Wasserstein distance (Mehta et al., 2023), we rewrite  $\mathcal{L}_q(\theta) = \sum_{j=1}^n \frac{\gamma_j}{q} \mathcal{L}_{(j)}(\theta)$  and  $\mathcal{L}(\theta) =$   
275  $\sum_{j=1}^n \frac{1}{n} \mathcal{L}_{(j)}(\theta)$ , thereby revealing the bias from the gap between  $\{\gamma_j/q\}$  and uniform weights  
276  $\{1/n\}$ . Noting that  $\mathbb{E}[\mathcal{L}_q(\theta, D)] = \mathbb{E}[\sum_{j=1}^s (\hat{\gamma}_j/q) \mathcal{L}_{i(j)}(\theta)]$  with  $\hat{\gamma}_j = (n/s)\gamma_j$ , we decompose the  
277 generalization gap  $\mathbb{E}[\mathcal{L}_q(\theta, D)] - \mathcal{L}(\theta^*)$  into a bias term  $\mathbb{E}[\mathcal{L}_q(\theta, D)] - \mathbb{E}[\mathcal{L}(\theta, D)]$  and a sampling  
278 error  $\mathbb{E}[\mathcal{L}(\theta, D)] - \mathcal{L}(\theta^*)$ , where the expectation is over the random minibatch  $\{i_1, \dots, i_s\}$ .  
279

280 **Theorem 4.** *(Generalization error bound). Under the same assumption of Theorem 3, the following  
281 satisfies for any  $\theta^t$  in the sequence  $\Theta = \{\theta\}_{t=1}^T$  generated by **OrderDP**:*

$$282 \mathcal{L}(\theta^*) - \mathbb{E}[\mathcal{L}_q(\theta^t, D)] \leq \underbrace{\sqrt{2}C_s B \sqrt{\frac{n-s}{s(n-1)}} - \mathcal{Q}_n(\theta^t; s, q)}_{\text{bias term}} + \underbrace{\frac{\eta_{\max}(\|\theta^1 - \theta^*\|_2^2 + G^2 \sum_{t=1}^T (\eta^t)^2)}{2\eta_{\min} \sum_{t=1}^T \eta^t}}_{\text{unbiased term}},$$

285 where  $C_s = \sup_{t \in (0,1)} |s(t) - u(t)|^2$ ,  $B = \inf_{\theta \in \Theta} \max_{i \in [1,n]} |\mathcal{L}_i(\theta, D_i)| < \infty$ , and  $\mathcal{Q}_n(\theta; s, q) :=$   
286  $\inf_{\theta \in \Theta} \sum_{i=1}^n (\frac{r_i(\theta, D)}{q} - \frac{1}{n}) \mathcal{L}_i(\theta, D_i)$ . The expectation is over the random batch sampling.  
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288 The bias term bounds the bias from selective pruning of **OrderDP**; the unbiased term is the standard  
289 optimization error, which vanishes as  $T \rightarrow \infty$  with suitable  $\eta^t$ . The dependence on  $\eta_{\max}$  and  $\eta_{\min}$   
290 can be removed by using the averaged iterate; see proof in Appendix A.4. In contrast, the value  $C_s$  and  
291  $\mathcal{Q}_n(\theta; s, q)$  remain finite and quantify the deviation of  $\{\gamma_j\}$  from uniformity (Mehta et al., 2023), as  
292 confirmed by simulations in Figure 6. As  $q \rightarrow s$ ,  $(r_i(\theta, D)/q - 1/n) \rightarrow 0$ , so  $\mathcal{Q}_n(\theta; s, q) \rightarrow 0$ ; and  
293 as  $s \rightarrow n$ ,  $\sqrt{2}C_s B \sqrt{\frac{n-s}{s(n-1)}} \rightarrow 0$ , implying  $\mathcal{L}(\theta^*) \leq \mathbb{E}[\mathcal{L}_q(\theta^t, D)]$ . Thus, by minimizing  $\mathcal{L}_q(\theta^t, D)$ ,  
294 **OrderDP** also minimizes expected generalization error. In the special case  $s = q$ , it reduces to  
295 standard mini-batch SGD ( $r_i(\theta, D)/q = 1/n$ ,  $C_s = 0$ ) and the bias vanishes. The approximation  
296 behavior characterized in Theorem 4 is further illustrated empirically in Appendix D.2.  
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298 Theorem 4 shows that **OrderDP** ‘s modifies the distribution shift as the gap between the surrogate  
299 loss  $\mathcal{L}_q$  and the original loss  $\mathcal{L}$ , and the gap is fully captured by the values  $C_s$  and  $\mathcal{Q}_n$  of the biased  
300 term. For a high pruning ratio, i.e., small exploitation size  $q$  or a small exploration size  $s$  since  
301  $q \leq s$ , the distribution of  $\frac{\gamma_j}{q}, j \in \{1, \dots, n\}$  exhibits a large range. This leads to a significant bias  
302 compared to the uniform distribution (which has a range of 0), a substantial discrepancy between  
303  $C_s$  and  $\mathcal{Q}_n(\theta; s, q)$ , and consequently, a poor approximation. As the pruning ratio decreases (i.e.,  $q$   
304 approaches  $s$ ), the range of the  $\gamma_j$  distribution narrows, and its shift from the uniform distribution  
305 diminishes and both  $C_s$  and  $\mathcal{Q}_n(\theta; s, q)$  decrease, thereby improving the approximation. Specifically,  
306 when  $q = s$ , OrderDP reduces to standard SGD. In this case, the bias term vanishes, yielding  $\mathcal{L}_q = \mathcal{L}$ .  
307 We visualize the distribution shift in Figure 7.

308 In summary, Theorem 4 demonstrates that **OrderDP** ‘s generalization error comprises a vanishing  
309 optimization term and a bounded pruning bias, maintaining SGD-rate convergence while controlling  
310 dynamic pruning bias, which is consistent with the observation in Figure 1 (a-c).  
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312 4 EXPERIMENTAL SETTINGS  
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## 314 4.1 DATASETS AND TASKS

315 To comprehensively validate the effectiveness of our proposed **OrderDP**, we conduct experiments  
316 on a range of image classification benchmarks: CIFAR-10 and CIFAR-100 (Krizhevsky et al., a;b),  
317 ImageNet-1K (Deng et al., 2009).  
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319 CIFAR datasets comprise  $32 \times 32$  color images across 10 and 100 categories, respectively. Each  
320 split includes 50,000 training and 10,000 test samples, providing balanced classification evaluation.  
321 ImageNet-1K, as a 1,000-class subset of ImageNet-21k, contains 1,281,167 training images and  
322 50,000 validation images, spanning a variety of object categories.  
323

<sup>2</sup> $s(t)$  and  $u(t)$  refer to the probability density of the spectrum  $\gamma_j$  distribution and uniform distribution on  
(0, 1). Details can be found in (Mehta et al., 2023).

324 Table 1: Static pruning results (accuracy, %) on CIFAR10 and CIFAR100 with ResNet-18. Accuracy (%),  $\uparrow$ . Best in **bold**. Performance gaps to full-data are in **blue** / **orange**.

Dataset	Prune Ratio %	CIFAR10			CIFAR100		
		30	50	70	30	50	70
Static Random		94.6 $\downarrow$ 1.0	93.3 $\downarrow$ 2.3	90.2 $\downarrow$ 5.4	73.8 $\downarrow$ 4.4	72.1 $\downarrow$ 6.1	69.7 $\downarrow$ 8.5
CD (Agarwal et al., 2020)		95.0 $\downarrow$ 0.6	94.3 $\downarrow$ 1.3	90.8 $\downarrow$ 4.8	74.2 $\downarrow$ 4.0	72.3 $\downarrow$ 5.9	70.3 $\downarrow$ 7.9
Hherding (Welling, 2009)		92.2 $\downarrow$ 3.4	88.0 $\downarrow$ 7.6	80.1 $\downarrow$ 15.5	73.1 $\downarrow$ 5.1	71.8 $\downarrow$ 6.4	69.6 $\downarrow$ 8.0
K-Center (Sener & Savarese, 2018)		94.7 $\downarrow$ 0.9	93.9 $\downarrow$ 1.7	90.9 $\downarrow$ 4.7	74.1 $\downarrow$ 4.1	72.2 $\downarrow$ 6.0	70.2 $\downarrow$ 8.0
Least Confidence (Coleman et al., 2019)		95.0 $\downarrow$ 0.6	94.5 $\downarrow$ 1.1	90.3 $\downarrow$ 5.3	74.2 $\downarrow$ 4.0	72.3 $\downarrow$ 5.9	69.8 $\downarrow$ 8.4
Margin (Coleman et al., 2019)		94.9 $\downarrow$ 0.7	94.3 $\downarrow$ 1.3	90.9 $\downarrow$ 4.7	74.0 $\downarrow$ 4.2	72.2 $\downarrow$ 6.0	70.2 $\downarrow$ 8.0
Forgetting (Toneva et al., 2018)		94.7 $\downarrow$ 0.9	94.1 $\downarrow$ 1.5	91.7 $\downarrow$ 3.9	75.3 $\downarrow$ 2.9	73.1 $\downarrow$ 5.1	69.9 $\downarrow$ 8.3
GraNd-4 (Paul et al., 2021)		95.3 $\downarrow$ 0.3	94.6 $\downarrow$ 1.0	91.2 $\downarrow$ 4.4	74.6 $\downarrow$ 3.6	71.4 $\downarrow$ 6.8	68.8 $\downarrow$ 9.4
DeepFool (Ducoffe & Precioso, 2018)		95.1 $\downarrow$ 0.5	94.1 $\downarrow$ 1.5	90.0 $\downarrow$ 5.6	74.2 $\downarrow$ 4.0	73.2 $\downarrow$ 5.0	69.8 $\downarrow$ 6.4
Craig (Mirzasoleiman et al., 2020)		94.8 $\downarrow$ 0.8	93.3 $\downarrow$ 3.3	88.4 $\downarrow$ 7.2	74.4 $\downarrow$ 3.8	71.9 $\downarrow$ 6.3	69.7 $\downarrow$ 8.5
Glister (Killamsetty et al., 2021b)		95.2 $\downarrow$ 0.4	94.0 $\downarrow$ 1.6	90.9 $\downarrow$ 4.7	74.6 $\downarrow$ 3.6	73.2 $\downarrow$ 5.0	70.4 $\downarrow$ 7.8
Influence (Koh & Liang, 2017)		93.1 $\downarrow$ 2.5	91.3 $\downarrow$ 4.3	88.3 $\downarrow$ 7.3	74.4 $\downarrow$ 3.8	72.0 $\downarrow$ 6.2	68.9 $\downarrow$ 9.5
EL2N-2 (Toneva et al., 2018)		94.4 $\downarrow$ 1.2	93.2 $\downarrow$ 2.4	89.8 $\downarrow$ 5.8	74.1 $\downarrow$ 4.1	71.0 $\downarrow$ 7.2	68.5 $\downarrow$ 9.7
EL2N-20 (Toneva et al., 2018)		95.3 $\downarrow$ 0.3	95.1 $\downarrow$ 0.5	91.9 $\downarrow$ 3.7	77.2 $\downarrow$ 1.0	72.1 $\downarrow$ 6.1	-
DP (Yang et al., 2023)		94.9 $\downarrow$ 0.7	93.8 $\downarrow$ 1.8	90.8 $\downarrow$ 4.8	77.2 $\downarrow$ 1.0	73.1 $\downarrow$ 5.1	-
<b>OrderDP</b>		<b>95.6<math>\downarrow</math>0.0</b>	<b>95.3<math>\downarrow</math>0.2</b>	<b>95.0<math>\downarrow</math>0.6</b>	<b>78.2<math>\uparrow</math>0.0</b>	<b>77.9<math>\downarrow</math>0.3</b>	<b>76.7<math>\downarrow</math>1.5</b>
Whole Dataset		95.6 $\pm$ 0.1			78.2 $\pm$ 0.1		

## 4.2 IMPLEMENTATION DETAILS

In this section, we provide a succinct overview of the implementation details for our experiments, including backbone models and training details.

**Backbone models.** For classification, we train ResNet-18 and ResNet-50 (He et al., 2016) on CIFAR-10/100 and ImageNet-1K.

**Training Details.** For **OrderDP**, an exploration ratio of 0.5 related to  $s$  (i.e.,  $s/|\mathcal{D}| = 0.5$ ) and an exploitation ratio of 0.6 related to  $q$  (i.e.,  $q/s = 0.6$ ) are used by default when no other values are specified. All models are trained with the OneCycle scheduler, which employs cosine annealing, using SGD with a momentum of 0.9 and a weight decay of  $5 \times 10^{-4}$ . Images are augmented through normalization, random cropping, and horizontal flipping unless stated otherwise. The implementation is based on PyTorch (Paszke, 2019). All other details are deferred to the Appendix C and D.1.

## 5 EMPIRICAL STUDIES

### 5.1 EMPIRICAL ANALYSIS ON CIFAR

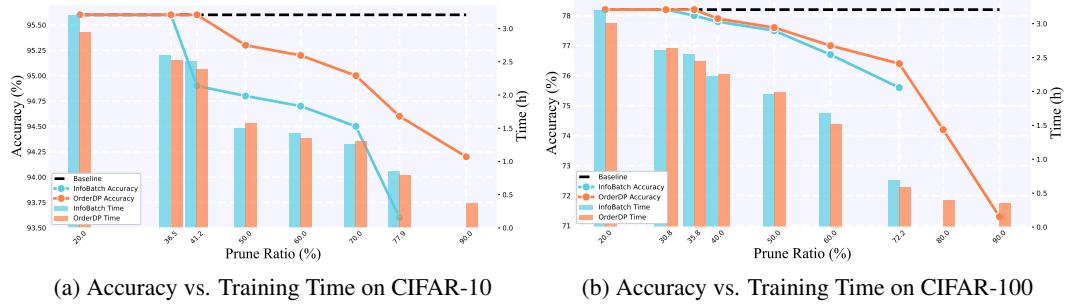
For a comprehensive comparison on CIFAR-10/100, we consider two categories of DP methods as baselines: static DP and dynamic DP. From static DP, we include 15 representative methods: static random pruning, CD (Agarwal et al., 2020), Herding (Welling, 2009), K-means (Sorscher et al., 2022), Least Confidence and Entropy (Coleman et al., 2019), Forgetting (Toneva et al., 2018), GraNd and EL2N (Paul et al., 2021), DeepFool (Ducoffe & Precioso, 2018), Craig (Mirzasoleiman et al., 2020), Glister (Killamsetty et al., 2021b), Influence (Koh & Liang, 2017), and DP (Yang et al., 2022). From dynamic DP, we adopt four methods: dynamic random pruning,  $\epsilon$ -greedy (Raju et al., 2021), UCB (Raju et al., 2021), and InfoBatch<sup>3</sup> (Qin et al., 2024), along with our proposed method **OrderDP**, which also belongs here.

**Performance comparison.** From Tables 1 and 2, our systematic study suggests the following trends: ① Dynamic random pruning outperforms static random by preserving higher sample diversity, and both  $\epsilon$ -greedy and UCB adaptively explore sample importance, but **OrderDP** consistently surpasses other baselines in accuracy and robustness across all prune ratios. ② At 30% pruning, only **OrderDP** matches full-data accuracy. ③ Under 50% and 70%, **OrderDP** has the smallest accuracy drop,

<sup>3</sup>In the original experiments of InfoBatch (Qin et al., 2024), an annealing algorithm was incorporated. To ensure fair comparison, we have removed this component from all implementations.

378 Table 2: Dynamic pruning results (accuracy, %) on CIFAR10 and CIFAR100 with ResNet-18 and  
 379 ResNet-50. Accuracy (%),  $\uparrow$ . Best in **bold**. Performance gaps to full-data are in **blue** / **orange**.  
 380

Dataset	CIFAR10						CIFAR100					
	ResNet-18			ResNet-50			ResNet-18			ResNet-50		
Backbone	30	50	70	30	50	70	30	50	70	30	50	70
Prune Ratio %	30	50	70	30	50	70	30	50	70	30	50	70
Dynamic Random	94.8 <sub>±0.8</sub>	94.5 <sub>±1.1</sub>	93.0 <sub>±2.6</sub>	95.1 <sub>±0.5</sub>	94.9 <sub>±0.7</sub>	93.6 <sub>±2.0</sub>	77.3 <sub>±0.9</sub>	75.3 <sub>±2.9</sub>	72.8 <sub>±5.4</sub>	77.9 <sub>±2.7</sub>	76.1 <sub>±4.5</sub>	73.9 <sub>±6.7</sub>
$\epsilon$ -greedy	95.2 <sub>±0.4</sub>	94.9 <sub>±0.7</sub>	94.1 <sub>±1.5</sub>	95.4 <sub>±0.2</sub>	95.1 <sub>±0.5</sub>	94.3 <sub>±1.3</sub>	76.4 <sub>±1.8</sub>	74.8 <sub>±3.4</sub>	72.9 <sub>±5.3</sub>	77.4 <sub>±3.4</sub>	76.3 <sub>±4.3</sub>	74.1 <sub>±6.5</sub>
UCB	95.3 <sub>±0.3</sub>	94.7 <sub>±0.9</sub>	93.9 <sub>±1.7</sub>	95.5 <sub>±0.1</sub>	95.0 <sub>±0.6</sub>	94.2 <sub>±1.4</sub>	77.3 <sub>±0.9</sub>	75.3 <sub>±2.9</sub>	73.2 <sub>±5.0</sub>	78.0 <sub>±2.6</sub>	76.5 <sub>±4.1</sub>	74.3 <sub>±6.3</sub>
InfoBatch	95.6 <sub>±0.0</sub>	95.0 <sub>±0.6</sub>	94.5 <sub>±1.1</sub>	95.6 <sub>±0.0</sub>	95.3 <sub>±0.3</sub>	94.7 <sub>±0.9</sub>	78.1 <sub>±0.1</sub>	77.7 <sub>±0.5</sub>	75.9 <sub>±2.3</sub>	80.4 <sub>±0.2</sub>	78.6 <sub>±2.0</sub>	76.4 <sub>±4.2</sub>
OrderDP	<b>95.6<sub>±0.0</sub></b>	<b>95.3<sub>±0.2</sub></b>	<b>95.0<sub>±0.6</sub></b>	<b>95.6<sub>±0.0</sub></b>	<b>95.4<sub>±0.2</sub></b>	<b>95.0<sub>±0.6</sub></b>	<b>78.2<sub>±0.0</sub></b>	<b>77.9<sub>±0.3</sub></b>	<b>76.7<sub>±1.5</sub></b>	<b>80.6<sub>±0.0</sub></b>	<b>79.8<sub>±0.8</sub></b>	<b>77.9<sub>±2.7</sub></b>
Whole Dataset	95.6 <sub>±0.1</sub>			95.6 <sub>±0.1</sub>			78.2 <sub>±0.1</sub>			80.6 <sub>±0.1</sub>		



398 Figure 3: More accuracy and time results for different prune ratios on CIFAR-10/100 for **OrderDP**  
 399 and InfoBatch, using ResNet-18. The lossless pruning ratios are marked in the figure.  
 400

402 outperforming both static and existing dynamic methods. ④ Compared to InfoBatch, **OrderDP**  
 403 consistently yields higher accuracy as pruning becomes more aggressive.

404 **Efficiency comparison.** Table 3 reports end-to-end training time and GPU-hours under identical  
 405 settings. **OrderDP** achieves the fastest training and lowest GPU-hours, improving upon InfoBatch  
 406 without loss in accuracy. Additional CIFAR results and extended comparisons are in Appendix D.  
 407

408 **Extended comparison of varying pruning ratios.** To further evaluate the performance of **OrderDP**,  
 409 we compare it with InfoBatch, a state-of-the-art data pruning algorithm, across different prune ratios  
 410 on the CIFAR-10 and CIFAR-100 datasets. The results are demonstrated in Figure 3. It can be  
 411 observed that **OrderDP** not only achieves higher accuracy at every pruning ratio, but also remains  
 412 comparable to other algorithms and reduces total training time in most cases. Moreover, InfoBatch  
 413 cannot prune to an extreme ratio (limited by 77.9% on CIFAR-10 or 72.2% on CIFAR-100 in our  
 414 setting) due to its fixed retention mechanism. **OrderDP** supports arbitrary pruning ratios because  
 415 data retention is fully specified by the exploration size  $s$  and exploitation size  $q$  (see Section 5.3).  
 416 These results confirm that **OrderDP**’s sample-selection strategy delivers near-optimal efficiency and  
 417 robustness, making it particularly well-suited for resource-constrained scenarios where preserving  
 418 accuracy is paramount.

## 5.2 EMPIRICAL ANALYSIS ON IMAGENET-1K

421 We evaluate Dynamic Random, UCB (Raju et al., 2021), InfoBatch (Qin et al., 2024) and our  
 422 **OrderDP** on ImageNet-1K with ResNet-50 at 40% pruning (Table 3). **OrderDP** matches/exceeds  
 423 all baselines in accuracy while not significantly increasing the total GPU runtime; it retains full-data  
 424 performance at 40% pruning and incurs only a 0.4% drop at 60% (Table 4). ① **Efficiency:** **OrderDP**  
 425 completes training faster and uses fewer GPU-hours than all competing methods. ② **Robustness:**  
 426 It shows no loss at moderate prune ratios and only minimal degradation under aggressive pruning.  
 427 Together, these findings confirm that **OrderDP** achieves near-lossless accuracy with a substantial  
 428 reduction in compute, making it ideal for large-scale training under tight resource budgets.  
 429

## 5.3 ABLATION EXPERIMENT

431 We study how two-stage pruning decomposition, parameterized by the exploration size  $s$  and ex-  
 432 ploitation size  $q$ , affects **OrderDP**’s performance on CIFAR-10/100 with ResNet-18 (Figure 4).

Table 3: Comparison of performance and time cost on ImageNet-1K. Results are reported with ResNet-50 under 40% prune ratio for 90 epochs on a 2-L40-GPU server. “Total (n\*h)” is the total node hour.

	Random	$\epsilon$ -greedy	UCB	InfoBatch	Ours	Full Data
Acc (%)	$73.4 \pm 0.3$	$75.2 \pm 0.3$	$75.4 \pm 0.3$	$75.6 \pm 0.2$	<b><math>76.4 \pm 0.2</math></b>	$76.4 \pm 0.2$
Time (h)	21.1	21.1	21.1	21.6	21.5	35.2
Total (n*h)	42.2	42.2	42.2	43.2	43.0	70.4

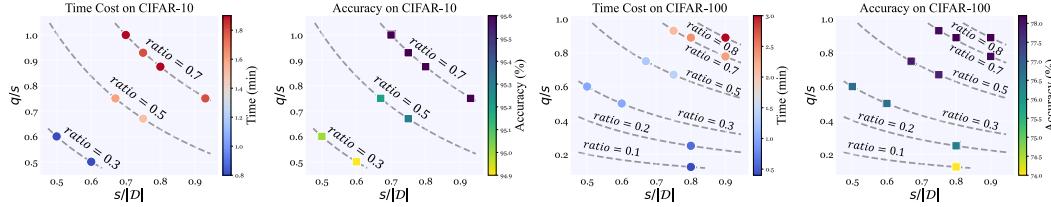


Figure 4: Performance with different ratio parameters. Here  $(q/s) \cdot (s/|D|)$  represents the retained data ratio, and thus the prune ratio is calculated as  $1 - (q/s) \cdot (s/|D|)$ . Results are reported with ResNet-18.

**Fixed prune ratio** Under a fixed effective prune ratio, we vary the decomposition of the retained data portion by adjusting the exploration ratio  $s/|D|$  and the exploitation ratio  $q/s$ , while keeping their product  $(q/s) \cdot (s/|D|)$  unchanged. As shown in Figure 4, **OrderDP** achieves identical accuracy across all decompositions on both datasets, demonstrating its precise control over the prune ratio. The training time remains stable across different decompositions, indicating consistent computational cost when the overall prune ratio is fixed.

**Varying prune ratios.** As the prune ratio grows, training time drops sharply while accuracy degrades more slowly—up to about 70% pruning, where we see over 95% on CIFAR-10 and over 76% on CIFAR-100 with half the compute. Beyond that, further pruning gives diminishing accuracy but continues to cut runtime. This shows **OrderDP**’s ability to trace a smooth efficiency–performance frontier and lets practitioners pick the “sweet spot” matching their compute budget.

Our ablation shows that decoupling exploration ( $s$ ) and exploitation ( $q$ ) achieves exact pruning ratios without efficiency loss and yields a smooth accuracy–cost frontier, enabling straightforward budget selection. We further provide stability results under multiple runs in Appendix D.6.

#### 5.4 SENSITIVITY ANALYSIS

**Cross-architecture robustness evaluation.** Table 6 reports the maximum lossless prune ratios of InfoBatch and **OrderDP** on ResNet-18/50 across CIFAR-10, CIFAR-100, and ImageNet-1K. InfoBatch usually caps in the mid-30% range, while **OrderDP** extends this by 4–6 points, especially on harder datasets, showing its ability to prune more aggressively without accuracy loss.

We adopt the Timm (Wightman et al., 2021) ImageNet training stack, which combines mixed-precision training with strong augmentation and regularization methods such as MixUp, and CutMix (Zhong et al., 2017; Zhang et al., 2018; Yun et al., 2019), and observe that **OrderDP** continues to yield lossless speedups under this stronger recipe, indicating that it is compatible with existing acceleration and augmentation pipelines. Beyond CNN-based architectures, **OrderDP** also maintains lossless accuracy at 20%–30% pruning on Swin-Tiny (Liu et al., 2021) and ViT-Base (MAE) (He et al., 2021) (Table 5), showing that the loss-based ordering remains stable on Vision Transformers, and that **OrderDP** naturally transfers across heterogeneous architectures as a plug-and-play module.

Table 4: Experiments on ImageNet-1K. The models here are all implemented based on ResNet-50<sub>PyTorch</sub>.

Prune Ratio %	30	40	60
InfoBatch	$76.4 \downarrow 0.0$	$75.6 \downarrow 0.8$	$74.9 \downarrow 1.5$
OrderDP	<b><math>76.4 \uparrow 0.0</math></b>	$76.4 \downarrow 0.0$	$76.0 \downarrow 0.4$
Whole Dataset		$76.4 \pm 0.1$	

Table 5: Cross-architecture robustness evaluation on ImageNet-1K. ViT-Base (MAE) is pretrained with **OrderDP** for 300 epochs and fine-tuned for 100 epochs. Swin-Tiny is trained from scratch with **OrderDP**.

Model	Prune Ratio	Original	OrderDP
R-50 <sub>Timm</sub>	29.8%	78.4	$78.3 \downarrow 0.1$
Swin-T	22.1%	81.5	$81.4 \downarrow 0.1$
ViT-B (MAE)	30.8%	82.8	$82.8 \uparrow 0.0$

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Table 6: Cross-architecture robustness results of OrderDP. ‘Full Dataset’ denotes training on the original dataset without pruning.

	CIFAR-10		CIFAR-100		ImageNet-1K	
	R-18	R-50	R-18	R-50	R-18	R-50
Full Dataset	95.6	95.6	78.2	80.6	70.5	76.4
InfoBatch	95.5	95.6	78.2	80.6	70.4	76.4
Saved (%)	36.5	37.1	30.8	36.3	21.8	34.3
<b>OrderDP</b>	95.5	95.6	78.2	80.6	70.5	76.5
Saved (%)	<b>41.2</b>	<b>42.6</b>	<b>35.8</b>	<b>41.1</b>	<b>27.3</b>	<b>39.8</b>

Table 7: Comparison of accuracy (%) and saved cost (%) on CIFAR-10 when trained with R-50 using different optimizers.

	SGD	AdamW	LARS	LAMB
Full Dataset	95.6	94.3	95.5	95.0
InfoBatch	95.6	94.3	95.5	95.0
Saved (%)	37.1	37.0	37.1	37.1
<b>OrderDP</b>	95.6	94.4	95.5	95.0
Saved (%)	<b>42.6</b>	<b>42.4</b>	<b>42.5</b>	<b>42.6</b>

Note: All the results are obtained from an 2-L40-GPU server.

**Cross-optimizer robustness evaluation.** We test widely used optimizers—SGD (Bottou et al., 1991), AdamW (Loshchilov & Hutter, 2019), LARS (You et al., 2017), and LAMB (You et al., 2019)—on ResNet-50/CIFAR-10 (Table 7). InfoBatch saves 37.1% of training cost across all optimizers, while **OrderDP** raises savings to about 42.5%. This consistent gain shows that **OrderDP**’s dynamic sample selection is optimizer-agnostic: by focusing on high-loss examples, it reduces redundant computation and delivers plug-and-play efficiency without accuracy loss.

## 6 CONCLUSION

In this paper, we introduced **OrderDP**, a novel dynamic data pruning framework that provides rigorous theoretical guarantees while achieving substantial training acceleration. Unlike prior approaches, **OrderDP** ensures unbiased gradient estimation and offers exact control of the pruning ratio, leading to more stable and efficient data pruning. Our theoretical analysis establishes both convergence guarantees and generalization bounds, demonstrating its robustness across datasets and pruning levels. Empirically, **OrderDP** consistently attains equal or better accuracy than state-of-the-art baselines, while reducing runtime and overall computational cost by 40–45%. Moreover, its simpler plug-and-play design makes it easy to integrate into existing pipelines. These findings highlight the potential of our method as a scalable solution that balances efficiency, accuracy, and theoretical rigor.

## ETHICS STATEMENT

Our work focuses on improving training efficiency in deep learning through dynamic data pruning. All experiments are conducted on widely used public benchmark datasets (CIFAR-10, CIFAR-100, and ImageNet-1K), which do not involve any personally identifiable information, sensitive attributes, or human subjects. The study does not pose foreseeable risks related to privacy, fairness, or security. Moreover, no external sponsorship or conflicts of interest have influenced the design, analysis, or reporting of this work. As such, we believe our research complies with the ICLR Code of Ethics and raises no ethical concerns.

## REPRODUCIBILITY STATEMENT

We are committed to ensuring the reproducibility of our results. To this end, we provide detailed descriptions of datasets (CIFAR-10, CIFAR-100, ImageNet-1K), model architectures (ResNet-18, ResNet-50), hyperparameters, and training protocols in the main text and Appendix. For reproducibility, our implementation is based on PyTorch, with standard data augmentation (normalization, random cropping, horizontal flipping), SGD optimizer with momentum, weight decay, and OneCycle learning rate scheduling. We will submit the full source code and configuration files in the supplementary material to enable independent verification of our experiments. In addition, ablation studies and sensitivity analyses provide transparency into the robustness of our method across pruning ratios, optimizers, and architectures.

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756 **A PROOF OF THEORETICAL ANALYSIS**  
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758 **A.1 PROOF OF THEOREM 1**  
 759

760 *Proof.* We just need to show that  $\tilde{g}$  is an unbiased estimator of a sub-gradient of  $L_q(\theta)$  at  $\theta^t$ , namely  
 761  $E\tilde{g} \in \partial L_q(\theta^t)$ . At first, it holds that

$$\begin{aligned} 762 \quad E\tilde{g}^t &= \frac{1}{q} E \sum_{i \in Q} g_i^t = \frac{1}{q} \sum_{i=1}^n P(i \in Q) g_i^t \\ 763 \\ 764 &= \frac{1}{q} \sum_{j=1}^n P((j) \in Q) g_{(j)}^t, \\ 765 \\ 766 \\ 767 \end{aligned}$$

768 where  $g_i^t \in \partial L_i(\theta^t)$  is a sub-gradient of  $L_i$  at  $\theta^t$ . In the above equality chain, the third equality  
 769 is simply the definition of expectation, and the last equality is because  $((1), (2), \dots, (n))$  is a  
 770 permutation of  $(1, 2, \dots, n)$ .

771 For any given index  $j$ ,  $P((j) \in Q) \neq \frac{q}{n}$  thus  $E\tilde{g}^t \notin \partial L(\theta^t)$ . To analyze  $P((j) \in Q)$ , define  
 772  $A_j = ((1), (2), \dots, (j-1))$ , and  $A_j^c = ((j+1), (j+2), \dots, (n))$  then

$$\begin{aligned} 773 \quad P((j) \in Q) &= P((j) \in q\text{-argmax}_{i \in S} \mathcal{H}_i(\theta)) \\ 774 &= P((j) \in S \text{ and } S \text{ contains at most } q-1 \text{ items in } A_j) \\ 775 &= P((j) \in S) P(S \text{ contains at most } q-1 \text{ items in } A_j \mid (j) \in S) \\ 776 &= P((j) \in S) \sum_{l=l_1}^{l_2} P((j) \text{ appears at } l \text{ position in } S \mid (j) \in S), \\ 777 \\ 778 \end{aligned} \tag{7}$$

778 where  $0 \leq l_1 \leq l_2 \leq s$  measures the possible positions of  $(j) \in S$ . These two variables vary  
 779 depending on the choice of  $(j)$ . For example, if  $(j) = (1)$ ,  $(j)$  should be included in  $Q$  since  $(1)$   
 780 would be at the top-1 position of  $S$ .

781 Notice that  $S$  is randomly chosen from sample index set  $(1, 2, \dots, n)$  without replacement. There are  
 782 in total  $\binom{n}{s}$  different sets  $S$  such that  $|S| = s$ . Among them, there are  $\binom{n-1}{s-1}$  different sets  $S$  which  
 783 contains the index  $(j)$ , thus

$$784 \quad P((j) \in S) = \frac{\binom{n-1}{s-1}}{\binom{n}{s}}. \tag{8}$$

785 Given the condition  $(j) \in S$ ,  $(j)$  appears at  $l$  position means  $S$  contains  $l-1$  items in  $A_j$  and  $s-l$   
 786 items in  $A_j^c$ , thus we have the constraints:

$$787 \quad s-l \leq n-j \quad \text{and} \quad 1 \leq l-1 \leq j-1.$$

788 Thus we conclude  $s-n+j \leq l \leq j$ , i.e.,  $l_1 = \max\{1, s-n+j\}$  and  $l_2 = \min\{1, j\}$ . There are  
 789  $\binom{n-j}{s-l}$  such possible set  $S$  for  $(j) \in S$ , whereby it holds that

$$\begin{aligned} 790 \quad P(S \text{ contains at most } q-1 \text{ items in } A_j \mid (j) \in S) \\ 791 &= \sum_{l=l_1}^{l_2} P((j) \text{ appears at } l \text{ position in } S \mid (j) \in S) \\ 792 &= \sum_{l=\max\{1, s-n+j\}}^{\min\{q, j\}} \frac{\binom{j-1}{l-1} \binom{n-j}{s-l}}{\binom{n-1}{s-1}} \\ 793 \\ 794 \\ 795 \\ 796 \\ 797 \\ 798 \\ 799 \end{aligned} \tag{9}$$

800 Substituting Equations (7) and (8) into Equation (6), we arrive at

$$801 \quad P((j) \in Q) = \frac{\binom{n-1}{s-1}}{\binom{n}{s}} \sum_{l=\max\{1, s-n+j\}}^{\min\{q, j\}} \frac{\binom{j-1}{l-1} \binom{n-j}{s-l}}{\binom{n-1}{s-1}} = \sum_{l=\max\{1, s-n+j\}}^{\min\{q, j\}} \frac{\binom{j-1}{l-1} \binom{n-j}{s-l}}{\binom{n}{s}} = \gamma_j. \tag{10}$$

802 Therefore,

$$803 \quad E\tilde{g}^t = \frac{1}{q} \sum_{j=1}^n P((j) \in Q) g_{(j)}^t = \frac{1}{q} \sum_{j=1}^n \gamma_j g_{(j)}^t \in \partial L_q(\theta^t), \tag{11}$$

804 where the last inequality is due to the additivity of sub-gradient (for both convex and weakly convex  
 805 function)  $\square$ .

810 A.2 PROOF OF PROPOSITION 2  
811812 *Proof.* We will show that

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814 
$$\lim_{j,n \rightarrow \infty, j/n=z} \gamma_j = \frac{1}{n} \frac{s!}{(l-1)!(s-l)!} \sum_{l=1}^q \left(\frac{j}{n}\right)^{l-1} \left(1 - \frac{j}{n}\right)^{s-l}. \quad (12)$$
  
815

816 We begin the proof by changing the variable  $z = \frac{j}{n}$ .  
817818 At first, the Stirling's approximation yields that when  $n$  and  $j$  are both sufficiently large, it holds that

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820 
$$\binom{n}{j} \sim \sqrt{\frac{n}{2\pi j(n-j)}} \frac{n^n}{j^j(n-j)^{n-j}}. \quad (13)$$
  
821

822 Thus,

823  
824 
$$\lim_{j,n \rightarrow \infty, j/n=z} \frac{\binom{n-s}{j-l}}{\binom{n-1}{j-1}} = \frac{\frac{n^{n-s}}{j^{j-l}(n-j)^{n-j-s+l}}}{\frac{n^{n-1}}{j^{j-1}(n-j)^{n-j}}} = \frac{j^{l-1}(n-j)^{s-l}}{n^{s-1}} = \left(\frac{j}{n}\right)^{l-1} \left(\frac{n-j}{n}\right)^{s-l} \quad (14)$$
  
825

826 where the first equality utilizes Equation (10) and the fact that  $s, l, 1$  are negligible in the limit case  
827 (except the exponent terms).

828 On the other hand, it holds by rearranging the factorial numbers that

829  
830 
$$\frac{1}{n} \frac{\binom{n-s}{j-l}}{\binom{n-1}{j-1}} \frac{s!}{(l-1)!(s-l)!} = \frac{\binom{j-1}{l-1} \binom{n-j}{s-l}}{\binom{n}{s}}. \quad (12)$$
  
831

832 Recall  $\gamma_j = \sum_{l=\max\{1, s-n+j\}}^{\min\{q, j\}} \frac{\binom{j-1}{l-1} \binom{n-j}{s-l}}{\binom{n}{s}}$ . Let

833  
834 
$$\gamma_j = \sum_{l=\max\{1, s-n+j\}}^{\min\{q, j\}} \frac{\binom{j-1}{l-1} \binom{n-j}{s-l}}{\binom{n}{s}} = \sum_{l=1}^q \frac{\binom{j-1}{l-1} \binom{n-j}{s-l}}{\binom{n}{s}}, \quad (15)$$
  
835

836 where we set the value to 0 for  $l \in [1, s-n+j]$  and  $[j, q]$  if  $s-n+j > 1$  and  $j < q$ . Therefore,  
837 we conclude the following by noticing  $s > q$ ,

838  
839 
$$\begin{aligned} \frac{d}{dz} \gamma(z) &= \sum_{l=2}^q (l-1) z^{l-2} (1-z)^{s-l} \frac{s!}{(l-1)!(s-l)!} - \sum_{l=1}^q (s-l) z^{l-1} (1-z)^{s-l-1} \frac{s!}{(l-1)!(s-l)!} \\ &= \sum_{l=2}^q z^{l-2} (1-z)^{s-l} \frac{s!}{(l-2)!(s-l)!} - \sum_{l=1}^q z^{l-1} (1-z)^{s-l-1} \frac{s!}{(l-1)!(s-l-1)!} \\ &= \sum_{l=1}^{q-1} z^{l-1} (1-z)^{s-l-1} \frac{s!}{(l-1)!(s-l-1)!} - \sum_{l=1}^q z^{l-1} (1-z)^{s-l-1} \frac{s!}{(l-1)!(s-l-1)!} \\ &= -z^{q-1} (1-z)^{s-q-1} \frac{s!}{(q-1)!(s-q-1)!} \\ &= -z^{q-1} (1-z)^{s-q-1} \frac{(s-1)!}{(q-1)!(s-q-1)!} s. \end{aligned} \quad (16)$$

840 In other words,  $1 - \frac{1}{s} \gamma(z)$  is the cumulative distribution function of  $\text{Beta}(q, s-q)$  when  $n \rightarrow \infty$ .  $\square$   
841842 A.3 PROOF OF THEOREM 3  
843844 Full version of Theorem 3: Let  $(\theta^t)_{t=0}^T$  be the sequence generated by Algorithm 1. Suppose there  
845 exists a finite  $\theta^* \in \arg \min_{\theta} \mathcal{L}_q(\theta)$ ,  $\mathcal{L}_q(\theta^*) < \infty$ . If each  $\mathcal{L}_i(\cdot)$  is convex and  $G$ -Lipschitz, then

846  
847 
$$\min_{0 \leq t \leq T} \mathbb{E}[\mathcal{L}_q(\theta^t) - \mathcal{L}_q(\theta^*)] \leq \frac{\eta_{\max} (\|\theta^1 - \theta^*\|_2^2 + G^2 \sum_{t=1}^T (\eta^t)^2)}{2 \eta_{\min} \sum_{t=1}^T \eta^t}. \quad (17)$$
  
848

864 Moreover, if we define the weighted average  $\bar{\theta}^T = \frac{1}{\sum_{t=1}^T \eta^t} \sum_{t=1}^T \eta^t \theta^t$ , then  
 865

$$866 \mathbb{E}[\mathcal{L}_q(\bar{\theta}^T) - \mathcal{L}_q(\theta^*)] \leq \frac{\|\theta^1 - \theta^*\|_2^2 + G^2 \sum_{t=1}^T (\eta^t)^2}{2 \sum_{t=1}^T \eta^t}. \quad (18)$$

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869 *Proof.* Consider the one update at epoch  $t$ , we have  
 870

$$871 \|\theta^{t+1} - \theta^*\|_2^2 = \|\theta^t - \theta^*\|_2^2 - 2\eta^t \langle \tilde{g}^t, \theta^t - \theta^* \rangle + (\eta^t)^2 \|\tilde{g}^t\|_2^2. \quad (19)$$

872

873 Taking the conditional expectation of  $v^t$  given  $\theta$  of equation 19 yields  
 874

$$875 \mathbb{E}[\|\theta^{t+1} - \theta^*\|_2^2] \leq \|\theta^t - \theta^*\|_2^2 - 2\eta^t \langle \mathbb{E}[\tilde{g}^t], \theta^t - \theta^* \rangle + (\eta^t)^2 G^2, \quad (20)$$

876

877 where we use  $\|\tilde{g}^t\|_2 \leq G$ . Because we maintain an unbiased gradient estimator  $\mathbb{E}[\tilde{g}^t] \in \partial \mathcal{L}_q(\theta^t)$ , we  
 878 have that with convexity of  $\mathcal{L}_q$ , we have  
 879

$$880 -\langle \mathbb{E}[\tilde{g}^t], \theta^t - \theta^* \rangle \leq -(\mathcal{L}_q(\theta^t) - \mathcal{L}_q(\theta^*)), \quad (21)$$

881

882 where the  $\theta^* := \arg \min_{\theta} \mathcal{L}_q(\theta)$ . Substituted equation 21 into equation 20 gives  
 883

$$884 \mathbb{E}[\|\theta^{t+1} - \theta^*\|_2^2] \leq \|\theta^t - \theta^*\|_2^2 - 2\eta^t (\mathcal{L}_q(\theta^t) - \mathcal{L}_q(\theta^*)) + (\eta^t)^2 G^2. \quad (22)$$

885  
 886

887 Take the expectation over the entire sequence  $\theta^1, \dots, \theta^{t+1}$ , sum over  $t = 1, \dots, T$ , we have  
 888

$$889 2 \sum_{t=1}^T \eta^t \mathbb{E}[\mathcal{L}_q(\theta^t) - \mathcal{L}_q(\theta^*)] \leq (\|\theta^1 - \theta^*\|_2^2 - \mathbb{E}[\|\theta^{T+1} - \theta^*\|_2^2]) + G^2 \sum_{t=1}^T (\eta^t)^2. \quad (23)$$

890

891 It shows that  
 892

$$893 \frac{1}{\sum_{t=1}^T \eta^t} \sum_{t=1}^T \eta^t \mathbb{E}[\mathcal{L}_q(\theta^t) - \mathcal{L}_q(\theta^*)] \leq \frac{\|\theta^1 - \theta^*\|_2^2 + G^2 \sum_{t=1}^T (\eta^t)^2}{2 \sum_{t=1}^T \eta^t}. \quad (24)$$

894

895 With the observation that  
 896

$$897 \frac{1}{\sum_{t=1}^T \eta^t} \sum_{t=1}^T \eta^t \mathbb{E}[\mathcal{L}_q(\theta^t) - \mathcal{L}_q(\theta^*)] \\ 898 = \frac{1}{\frac{1}{T} \sum_{t=1}^T \eta^t} \frac{1}{T} \sum_{t=1}^T \eta^t \mathbb{E}[\mathcal{L}_q(\theta^t) - \mathcal{L}_q(\theta^*)] \\ 899 \geq \frac{\min_{1 \leq t \leq T} \eta^t \mathbb{E}[\mathcal{L}_q(\theta^t) - \mathcal{L}_q(\theta^*)]}{\max_{1 \leq t \leq T} \eta^t} \\ 900 \geq \frac{\eta_{\min}}{\eta_{\max}} \min_{1 \leq t \leq T} \mathbb{E}[\mathcal{L}_q(\theta^t) - \mathcal{L}_q(\theta^*)], \quad (25)$$

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906 where  $\eta_{\min} = \min_{1 \leq t \leq T} \eta^t$  and  $\eta_{\max} = \max_{1 \leq t \leq T} \eta^t$ . Therefore, it holds that  
 907

$$908 \frac{\eta_{\min}}{\eta_{\max}} \min_{1 \leq t \leq T} \mathbb{E}[\mathcal{L}_q(\theta^t) - \mathcal{L}_q(\theta^*)] \leq \frac{1}{\sum_{t=1}^T \eta^t} \sum_{t=1}^T \eta^t \mathbb{E}[\mathcal{L}_q(\theta^t) - \mathcal{L}_q(\theta^*)] \\ 909 \leq \frac{\|\theta^1 - \theta^*\|_2^2 + G^2 \sum_{t=1}^T (\eta^t)^2}{\sum_{t=1}^T \eta^t}. \quad (26)$$

910  
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914 Then we can derive that  
 915

$$916 \min_{1 \leq t \leq T} \mathbb{E}[\mathcal{L}_q(\theta^t) - \mathcal{L}_q(\theta^*)] \leq \frac{\eta_{\max} (\|\theta^1 - \theta^*\|_2^2 + G^2 \sum_{t=1}^T (\eta^t)^2)}{2 \eta_{\min} \sum_{t=1}^T \eta^t}. \quad (27)$$

917

□

918 A.4 PROOF OF THEOREM 4  
919

920 *Proof.* We begin this proof by leveraging the concepts of spectral risk measure (Acerbi & Tasche,  
921 2002; Mehta et al., 2023). the surrogate loss  $\mathcal{L}_q(\theta, D) = \sum_{i=1}^n \frac{\gamma_i}{q} L_{(i)}(\theta) = \sum_{i=1}^n \sigma_i Z_{(i)}$  is called  
922 an  $L$ -risk with a spectrum  $\sigma_i = \frac{\gamma_i}{q}$  and  $Z_{(i)} = L_{(i)}(\theta)$  for  $i \in [n]$ , which can be regarded as a  
923 functional of the CDF known as a *spectral risk measure*.  $\{Z_i\}_{i=1}^n$  are arbitrary real-valued i.i.d.  
924 random variables drawn from CDF  $F$ . For our case, these refer to data instance  $D_i$  of  $n$  samples  
925 drawn from distribution  $\mathcal{D}$  under parameter vector  $\theta$ .

926 Let  $F_n(z) := \frac{1}{n} \sum_{i=1}^n 1_{(-\infty, z]}(Z_i)$  denote the (random) empirical CDF of the sample and define the  
927 empirical *quantile function* (or inverse CDF) as  
928

$$929 F_n^{-1}(t) := \inf\{z : F_n(z) \geq t\} \quad \text{for } t \in (0, 1). \quad (28)$$

930 The population quantile function is defined similarly as  
931

$$932 F^{-1}(t) := \inf\{z : F(z) \geq t\}. \quad (29)$$

933 The empirical quantile function can be written in terms of the order statistics as  $F_n^{-1}(t) = Z_{(\lceil nt \rceil)}$ .  
934 Notice in particular that when  $t \in (\frac{i-1}{n}, \frac{i}{n})$ , we have that  $F_n^{-1}(t) = Z_{(i)}$ , where end-points are  
935 chosen to make  $F_n^{-1}$  left continuous.  
936

937 The spectrum  $\sigma$  of an  $L$ -risk is typically defined as a discretization of a probability density  $s$  on  $(0, 1)$ ,  
938 such that

$$939 \sigma_i = \int_{(i-1)/n}^{i/n} s(t) dt, \quad (30)$$

941 so that it need not be redefined for every  $n$ . Given both the construction of  $s$  and  $F_n^{-1}$ , we can rewrite  
942 the  $L$ -risk as  
943

$$\begin{aligned} 944 \mathcal{L}_q(\theta, D) &= \sum_{i=1}^n \sigma_i Z_{(i)} = \sum_{i=1}^n \left( \int_{(i-1)/n}^{i/n} s(t) dt \right) Z_{(i)} \\ 945 &= \sum_{i=1}^n \left( \int_{(i-1)/n}^{i/n} s(t) \cdot Z_{(\lceil nt \rceil)} dt \right) \\ 946 &= \int_0^1 s(t) \cdot F_n^{-1}(t) dt =: \mathbb{L}_s [F_n], \end{aligned} \quad (31)$$

951 where  $\mathbb{L}_s [G] := \int_0^1 s(t) G^{-1}(t) dt$  is called a spectral risk measure with spectrum  $s$  applied to CDF  
952  $G$ .  
953

954 It stands to reason that  $\mathbb{L}_s [F_n]$  converges to  $\mathbb{L}_s [F]$  in an appropriate sense. This convergence is  
955 governed by the Wasserstein distance between the empirical and population distribution, which we  
956 briefly recall here. In this section, we control the bias term appearing in the convergence analysis.  
957 The following lemmas consider a set of real numbers, representing losses at a single  $\theta \in \mathbb{R}^d$ . Let  
958  $x_1, \dots, x_n \in \mathbb{R}$  be called the *full batch*, and let  $X_1, \dots, X_m$  be a random sample selected uniformly  
959 *without* replacement from  $\{x_1, \dots, x_n\}$ , called the *minibatch*. Let  
960

$$961 F_n(x) := \frac{1}{n} \sum_{i=1}^n 1_{(-\infty, x]} \text{ and } F_{n,m}(x) := \frac{1}{m} \sum_{j=1}^m 1_{(-\infty, x)} \quad (32)$$

963 be the empirical CDFs, and let  
964

$$965 F_n^{-1}(t) := \inf\{x : F_n(x) \geq t\} \text{ and } F_{n,m}(t) := \inf\{x : F_{n,m}(x) \geq t\}. \quad (33)$$

966 be the empirical quantile functions of the full batch and minibatch, respectively. Similarly, let  
967

$$968 \mu_n := \sum_{i=1}^n \delta_{x_i} \text{ and } \mu_{n,m} = \sum_{j=1}^m \delta_{X_j} \quad (34)$$

971 be the empirical measures of the full batch and minibatch, respectively, with  $\delta_x$  indicating a Dirac  
972 point mass at  $x$ . Let  $u(t) := 1_{(0,1)} t$  be the uniform spectrum.  
973

972 Recall the expressions of the  $\mathcal{L}$ -risk. We denote  $\mathcal{L}(\theta^*) = \mathbb{E}_{D \sim \mathcal{D}}[\mathcal{L}(\theta^*, D)]$  as the optimal value.  
 973  
 974 For the sampled distribution, we have

$$975 \quad 976 \quad 977 \quad 978 \quad \mathbb{E}[\mathcal{L}_q(\theta^t, D)] = \mathbb{E} \left[ \sum_{j=1}^s \frac{\hat{\gamma}_j}{q} \mathcal{L}_{i_{(j)}}(\theta^t) \right] = \mathbb{E}[\mathbb{L}_s[F_{n,s}(\cdot; \theta^t)]], \quad (35)$$

979 and for the uniform distribution, we define  
 980  
 981  
 982  
 983

$$984 \quad 985 \quad 986 \quad 987 \quad \mathbb{E}[\mathcal{L}_u(\theta^t, D)] = \mathbb{E} \left[ \sum_{j=1}^s \frac{1}{s} \mathcal{L}_{i_{(j)}}(\theta^t) \right] = \mathbb{E}[\mathbb{L}_u[F_{n,s}(\cdot; \theta^t)]]. \quad (36)$$

988 Moreover, the full-batch loss satisfies  
 989  
 990

$$991 \quad 992 \quad 993 \quad 994 \quad \mathcal{L}(\theta^t, D) = \mathcal{L}_u(\theta^t, D) = \mathbb{L}_u[F_n(\cdot; \theta^t)]. \quad (37)$$

995 Here, the distributions  $s$  and  $u$  correspond to the sampling distribution of  $\gamma$  in  $\mathcal{L}_q(\theta^t, D)$  at step  $t$  and  
 996 the uniform distribution, respectively. The expectation is taken over the minibatch  $\{i_1, \dots, i_s\}$ .  
 997

998 Therefore, we establish the generalization error over the set  $\Theta := \{\theta^i\}_{i=1}^T$ .  
 999  
 1000

$$1001 \quad 1002 \quad 1003 \quad 1004 \quad 1005 \quad 1006 \quad 1007 \quad 1008 \quad 1009 \quad 1010 \quad 1011 \quad 1012 \quad 1013 \quad 1014 \quad 1015 \quad 1016 \quad 1017 \quad 1018 \quad 1019 \quad \begin{aligned} & \mathcal{L}(\theta^*) - \mathbb{E}[\mathcal{L}_q(\theta^t, D)] \\ & \leq \sup_{\theta \in \Theta} \mathcal{L}(\theta^*) - \mathbb{E}[\mathcal{L}_q(\theta^t, D)] \\ & = \sup_{\theta \in \Theta} \mathcal{L}(\theta^*) - \mathbb{E}[\mathbb{L}_s[F_{n,s}]] - \mathbb{L}_s[F_n] + \mathbb{L}_s[F_n] - \mathbb{E}[\mathbb{L}_u[F_{n,s}]] + \mathbb{E}[\mathbb{L}_u[F_{n,s}]] - \mathbb{L}_u[F_n] + \mathbb{L}_u[F_n] \\ & = \sup_{\theta \in \Theta} \mathcal{L}(\theta^*) - \mathbb{E}[\mathbb{L}_u[F_{n,s}]] + \mathbb{L}_u[F_n] - \mathbb{L}_s[F_n] \\ & \quad - \left( \mathbb{E}[\mathbb{L}_s[F_{n,s}]] - \mathbb{L}_s[F_n] - (\mathbb{E}[\mathbb{L}_u[F_{n,s}]] - \mathbb{L}_u[F_n]) \right) \\ & \leq \sup_{\theta \in \Theta} \mathcal{L}(\theta^*) - \mathbb{E}[\mathbb{L}_u[F_{n,s}]] + \sup_{\theta \in \Theta} (\mathbb{L}_u[F_n] - \mathbb{L}_s[F_n]) \\ & \quad + \sup_{\theta \in \Theta} \left( - \mathbb{E}[\mathbb{L}_s[F_{n,s}]] + \mathbb{L}_s[F_n] + \mathbb{E}[\mathbb{L}_u[F_{n,s}]] - \mathbb{L}_u[F_n] \right) \\ & \leq \inf_{\theta \in \Theta} |\mathbb{E}[\mathbb{L}_u[F_{n,s}]] - \mathcal{L}(\theta^*)| + \sup_{\theta \in \Theta} (\mathbb{L}_u[F_n] - \mathbb{L}_s[F_n]) \\ & \quad + \sup_{\theta \in \Theta} \left| \mathbb{E}[\mathbb{L}_s[F_{n,s}]] - \mathbb{L}_s[F_n] - (\mathbb{E}[\mathbb{L}_u[F_{n,s}]] - \mathbb{L}_u[F_n]) \right| \\ & \leq \frac{\eta_{\max} \left( \|\theta^1 - \theta^*\|_2^2 + G^2 \sum_{t=1}^T (\eta^t)^2 \right)}{2\eta_{\min} \sum_{t=1}^T \eta^t} \\ & \quad + \sup_{\theta \in \Theta} (\mathbb{L}_u[F_n] - \mathbb{L}_s[F_n]) + \sup_{\theta \in \Theta} \|s - u\|_{\infty} \mathbb{E}[\|F_{n,m}^{-1} - F_n^{-1}\|_1] \\ & \leq \underbrace{\frac{\eta_{\max} (\|\theta^1 - \theta^*\|_2^2 + G^2 \sum_{t=1}^T (\eta^t)^2)}{2\eta_{\min} \sum_{t=1}^T \eta^t}}_{\text{unbiased part}} - \underbrace{\mathcal{Q}_n(\theta^t; s, q) + \sqrt{2} C_s B \sqrt{\frac{n-s}{s(n-1)}}}_{\text{biased part}}, \end{aligned} \quad (38)$$

1020 where the third inequality follows the Theorem 3 and the fourth inequality follows  
 1021 Lemma 14 in (Mehta et al., 2023). We denote  $C_s = \sup_{t \in (0,1)} |s(t) - u(t)|$ ,  $B =$   
 1022  $\inf_{\theta \in [1,T]} \max_{i \in [1,n]} |\mathcal{L}_i(\theta, z_i)| < \infty$ , and  $\mathcal{Q}_n(\theta; s, q) := \inf_{\theta \in \Theta} \sum_{i=1}^n \left( \frac{r_i(\theta, D)}{q} - \frac{1}{n} \right) \mathcal{L}_i(\theta, z_i)$ .  
 1023

1024 Moreover, if we use the weighted average  $\bar{\theta}^T = \frac{1}{\sum_{t=1}^T \eta^t} \sum_{t=1}^T \eta^t \theta^t$  as output of **OrderDP**, the  
 1025 dependence on  $\eta_{\max}$  and  $\eta_{\min}$  can be removed and it holds that:

$$\begin{aligned}
1026 & \mathcal{L}(\theta^*) - \mathbb{E}[\mathcal{L}_q(\bar{\theta}^T, D)] \\
1027 & = \mathcal{L}(\theta^*) - \mathbb{E}[\mathbb{L}_s[F_{n,s}]] - \mathbb{L}_s[F_n] + \mathbb{L}_s[F_n] - \mathbb{E}[\mathbb{L}_u[F_{n,s}]] + \mathbb{E}[\mathbb{L}_u[F_{n,s}]] - \mathbb{L}_u[F_n] + \mathbb{L}_u[F_n] \\
1028 & = \mathcal{L}(\theta^*) - \mathbb{E}[\mathbb{L}_u[F_{n,s}]] + \mathbb{L}_u[F_n] - \mathbb{L}_s[F_n] - [\mathbb{E}[\mathbb{L}_s[F_{n,s}]] - \mathbb{L}_s[F_n] - (\mathbb{E}[\mathbb{L}_u[F_{n,s}]] - \mathbb{L}_u[F_n])] \\
1029 & \leq |\mathbb{E}[\mathbb{L}_u[F_{n,s}]] - \mathcal{L}(\theta^*)| + (\mathbb{L}_u[F_n] - \mathbb{L}_s[F_n]) \\
1030 & \quad + |\mathbb{E}[\mathbb{L}_s[F_{n,s}]] - \mathbb{L}_s[F_n] - (\mathbb{E}[\mathbb{L}_u[F_{n,s}]] - \mathbb{L}_u[F_n])| \\
1031 & \leq |\mathbb{E}[\mathbb{L}_u[F_{n,s}]] - \mathcal{L}(\theta^*)| + \sup_{\theta \in \Theta} (\mathbb{L}_u[F_n] - \mathbb{L}_s[F_n]) \\
1032 & \quad + |\mathbb{E}[\mathbb{L}_s[F_{n,s}]] - \mathbb{L}_s[F_n] - (\mathbb{E}[\mathbb{L}_u[F_{n,s}]] - \mathbb{L}_u[F_n])| \\
1033 & \leq \underbrace{\frac{(\|\theta^1 - \theta^*\|_2^2 + G^2 \sum_{t=1}^T (\eta^t)^2)}{2 \sum_{t=1}^T \eta^t}}_{\text{unbiased part}} - \underbrace{\mathcal{Q}_n(\theta^t; s, q) + \sqrt{2} C_s B \sqrt{\frac{n-s}{s(n-1)}}}_{\text{biased part}}. \\
1034 & \\
1035 & \\
1036 & \\
1037 & \\
1038 & \\
1039 & \\
1040 & \\
1041 & \\
1042 & \\
1043 & \text{where the last inequality follows from (18) and other terms remain unchanged.} \\
1044 & \quad \square \\
1045 & \\
1046 & \\
1047 & \text{B RELATED WORKS} \\
1048 & \\
1049 & \textbf{Static Data Pruning.} Static pruning techniques aim to pre-select a compact subset of the training} \\
1050 & \text{data that can approximate the utility of the full dataset. A wide range of criteria have been proposed} \\
1051 & \text{for this purpose. Diversity-based methods such as Contextual Diversity (CD) (Agarwal et al., 2020),} \\
1052 & \text{Herding (Welling, 2009), and k-Center (Sener & Savarese, 2018) remove redundant samples by} \\
1053 & \text{ensuring broad feature-space coverage. Difficulty-based strategies including Cal (Margatina et al.,} \\
1054 & \text{2021) and Deepfool (Ducoffe & Precioso, 2018) prioritize hard-to-learn examples near decision} \\
1055 & \text{boundaries. Error- and gradient-driven approaches such as GraNd and EL2N (Paul et al., 2021) and} \\
1056 & \text{MOSO (Tan et al., 2023) instead exploit training dynamics or loss sensitivity. In parallel, uncertainty-} \\
1057 & \text{based sampling (Coleman et al., 2019), influence-function analysis (Koh & Liang, 2017), and gradient} \\
1058 & \text{matching approaches like GradMatch (Killamsetty et al., 2021b;a) provide alternative means of} \\
1059 & \text{quantifying informativeness. More principled frameworks include bilevel optimization (Killamsetty} \\
1060 & \text{et al., 2021b) and submodular subset selection (Iyer et al., 2021), where algorithms such as FL} \\
1061 & \text{and Graph Cut (GC) (Iyer et al., 2021) explicitly balance coverage and information gain. Early} \\
1062 & \text{computer vision work such as (Huh et al., 2016) also emphasized the importance of dataset diversity} \\
1063 & \text{for transferable representations. While effective in specific cases, static approaches often require} \\
1064 & \text{costly pre-computation, and their heuristics may not generalize well across architectures or datasets,} \\
1065 & \text{particularly at ImageNet scale.} \\
1066 & \textbf{Dynamic Data Pruning.} Dynamic methods instead make pruning decisions adaptively during training} \\
1067 & \text{by leveraging information from the evolving model state. Early efforts such as ActiveBias (Chang} \\
1068 & \text{et al., 2017) adjusted sampling probabilities based on prediction confidence, while forgetting-based} \\
1069 & \text{measures (Toneva et al., 2018) revealed that unstable or frequently forgotten examples often provide} \\
1070 & \text{valuable signal. Raju et al. (Raju et al., 2021) introduced exploration-based policies such as  $\epsilon$ -greedy} \\
1071 & \text{and UCB, where uncertainty estimates guide the retention of high-value samples. Recent work has} \\
1072 & \text{also examined improving random sampling policies themselves: Okanovic et al. (Okanovic et al.,} \\
1073 & \text{2024) showed that repeated random sampling can significantly reduce time-to-accuracy, offering} \\
1074 & \text{a complementary perspective to loss-based dynamic pruning approaches such as InfoBatch and} \\
1075 & \text{OrderDP. More recently, InfoBatch (Qin et al., 2024) proposed an unbiased gradient estimator,} \\
1076 & \text{showing that loss-based pruning can accelerate training without compromising accuracy on benchmarks} \\
1077 & \text{like CIFAR-10/100 and ImageNet-1K. Building on this line of research, Yang et al. (Yang et al.,} \\
1078 & \text{2022) and Sorscher et al. (Sorscher et al., 2022) extended dynamic pruning principles to large-scale} \\
1079 & \text{pretraining, while He et al. (He et al., 2024) incorporated dynamically updated uncertainty estimates.} \\
& \text{In particular, Sorscher et al. (Sorscher et al., 2022) demonstrated that the optimal choice between} \\
& \text{hard and easy samples can depend on dataset scale, an observation that is complementary to the top- $q$ } \\
& \text{strategy analyzed in this work. Despite these advances, dynamic methods still face challenges: the}
\end{aligned} \tag{39}$$

1080 achievable “lossless” pruning ratio on new datasets is unpredictable, sorting operations can become  
 1081 expensive at scale, and empirical instability often emerges under aggressive pruning ratios. [Ayed](#)  
 1082 and [Hayou](#) ([Ayed & Hayou, 2023](#)) further analyze the fundamental bias of score-based pruning and  
 1083 show that reweighting can recover unbiasedness with respect to the original loss. Their perspec-  
 1084 tive is complementary to ours: while they study limitations under  $L$ , we provide guarantees for a  
 1085 ranking-induced surrogate  $L_q$  whose gap to  $L$  is explicitly controlled.

1086 **Cross-domain Data Selection and Pruning.** Beyond computer vision, pruning and selection  
 1087 strategies have been expanded to other domains such as NLP and speech. In speech recognition,  
 1088 unsupervised data selection has been explored through discrete speech units ([Lu et al., 2022](#)).  
 1089 For large-scale NLP pretraining, several studies investigate pruning and mixture optimization to  
 1090 accelerate convergence. ([Marion et al., 2023](#)) explored pruning strategies for pretraining corpora,  
 1091 while ([Xie et al., 2023](#)) introduced DoReMi, a framework that dynamically optimizes data mixtures  
 1092 for faster language model pretraining. Instruction tuning has further motivated task-specific pruning,  
 1093 exemplified by ([Cao et al., 2023](#)), who proposed instruction mining to select relevant subsets for  
 1094 downstream tasks. These works highlight that pruning is not limited to vision but constitutes a  
 1095 broader principle of efficient data utilization across modalities.

1096

## 1097 C EXPERIMENTAL INFRASTRUCTURES

1098

1100 **Software infrastructures.** All experiments are implemented in Python 3.12.4 using PyTorch  
 1101 2.3.1 with CUDA 11.8 support. Key libraries include NumPy 1.26.4, pandas 2.2.3, torchvision  
 1102 0.18.1, matplotlib 3.10.1, and scikit-learn 1.6.1 for data processing and analysis. We also employ  
 1103 accelerate 1.6.0 for multi-GPU training and tqdm 4.67.1 for progress visualization.

1104

1105 **Hardware infrastructures.** We conduct all experiments on a computer server with 2 NVIDIA L40  
 1106 GPUs (with 48GB memory each), a single Intel Xeon Gold 6448Y CPU (32 physical cores), and 944  
 1107 GiB of system RAM.

1108

## 1109 D ADDITIONAL EMPIRICAL RESULTS

1110

### 1111 D.1 EXPERIMENTAL SETUP DETAILS

1112

1113 We provide software/hardware infrastructures in Appendix C; here we detail dataset-specific training  
 1114 setups throughout this paper.

1115

1116 **CIFAR-10/100:** The CIFAR-10/100 experiment with ResNet-18 can be reproduced with SGD using a  
 1117 maximum learning rate of 0.2 for the OneCycle scheduler under a batch size of 128. For experiments  
 1118 with ResNet-50, SGD is used with a maximum learning rate of 0.03 and batch size of 128 for baseline,  
 1119 InfoBatch, and **OrderDP**.

1120

1121 **ImageNet-1K:** The tests are implemented based on Pytorch/examples. The LARS optimizer  
 1122 and a maximum learning rate of 6.4 / 1.98 are used for batch size 1024 on ImageNet-1K experiments  
 1123 with ResNet-50/18.

1124

### 1125 D.2 VALIDATION OF THEORETICAL PROPERTIES

1126

1127 Both Figure 5 and Figure 6 illustrate theoretical properties derived in Appendix A. Figure 5 empirically  
 1128 validates Proposition 2 by fixing  $(s, q) = (100, 30)$  and increasing  $n$ , showing how  $n \gamma_j$  converges to  
 1129  $\gamma(z)$  as  $n$ ,  $s$ , and  $q$  increase. Figure 6 illustrates Theorem 4 by comparing  $\gamma_j$  to uniform sampling for  
 1130 different  $q$  (fix  $(n, s) = (200, 100)$  and increase  $q \rightarrow 100$ ), highlighting that the gap between the two  
 1131 distributions vanishes (i.e.,  $\mathcal{Q}_n(\theta; s, q)$  and  $C_s$  approach 0) as  $q$  increases.

1132

1133 In addition to the above validations, we further examine the normalization behavior of the weights  
 1134  $\{\gamma_j\}$  derived in Eq. (10). Although providing a fully symbolic proof for the combinatorial form of  $\gamma_j$   
 1135 is algebraically involved, the construction of Algorithm 1 implies that exactly  $q$  samples are selected  
 1136 at each iteration, suggesting that  $\sum_{j=1}^n \gamma_j$  should be close to  $q$ , and therefore  $\sum_{j=1}^n \gamma_j/q \approx 1$ .

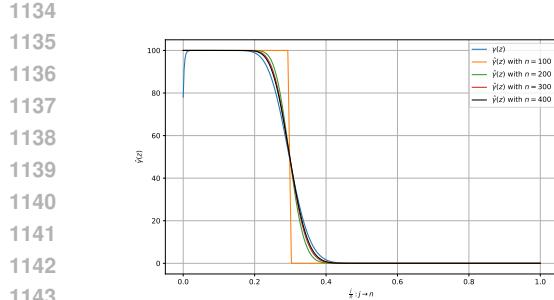


Figure 5: Empirical weight decay curves  $n \gamma_j$  versus normalized index  $j/n$ , demonstrating convergence to the limiting density  $\gamma(z)$  and the smoothing of the ‘cliff’ as  $n$  increases.

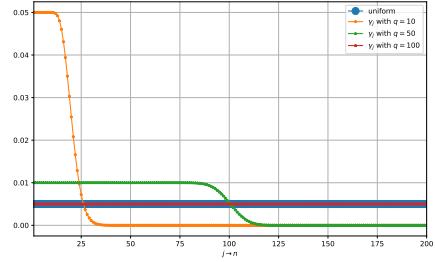


Figure 6: Comparison of the sampling weights  $\gamma_j$  against uniform sampling for different exploitation sizes  $q$ , illustrating the deviation captured by the bias term  $C_s$  in Theorem 4.

Figure 7 plots the normalized distributions  $\gamma_j/q$  for different values of  $q$  (with  $(n, s) = (400, 100)$ ). As  $q$  increases, the curves gradually flatten and approach the uniform distribution, consistent with Theorem 4.

Figure 8 further shows the empirical values of  $\sum_{j=1}^n \gamma_j/q$  across  $q \in \{10, 20, \dots, 100\}$ , all of which lie extremely close to 1 (within floating-point error). This provides strong numerical evidence that the weights induced by Algorithm 1 are properly normalized in practice.

*Proof.* We also provide proof of the claim  $\sum_{j=1}^n \gamma_j/q = 1$  via Mathematical Induction.

For any  $n, s$ , when  $q = 1$ , we can show exactly that

$$\sum_j \frac{\gamma_j}{q} = \sum_j \frac{\binom{n-1}{s-1}}{\binom{n}{s}} = 1.$$

Suppose  $\sum_j \gamma_j/q = 1$  for any  $q = m$  where  $m \in \mathbb{N}$  and  $1 \leq m \leq s-1$ , we show  $\sum_j \gamma_j/q = 1$  for  $q = m+1$ . The case  $q = m$  can be rewritten as

$$\sum_j \frac{\gamma_j}{q} = \frac{1}{m} \sum_j \sum_{l=\max\{1, s-n+j\}}^{\min\{m, j\}} \frac{\binom{j-1}{l-1} \binom{n-j}{s-l}}{\binom{n}{s}} = 1.$$

Thus for the case  $q = m+1$ , we have

$$\begin{aligned} \sum_j \frac{\gamma_j}{q} &= \frac{1}{m+1} \sum_j \sum_{l=\max\{1, s-n+j\}}^{\min\{m+1, j\}} \frac{\binom{j-1}{l-1} \binom{n-j}{s-l}}{\binom{n}{s}} \\ &= \frac{1}{m+1} \sum_j \left( \sum_{l=\max\{1, s-n+j\}}^{\min\{m, j\}} \frac{\binom{j-1}{l-1} \binom{n-j}{s-l}}{\binom{n}{s}} + \frac{\binom{j-1}{m} \binom{n-j}{s-m-1}}{\binom{n}{s}} \right) \\ &= \frac{1}{m+1} \left( m + \sum_{j=m+1}^{n-s+m+1} \frac{\binom{j-1}{m} \binom{n-j}{s-m-1}}{\binom{n}{s}} \right) \end{aligned}$$

where the last equality follows that  $\binom{j-1}{m} \binom{n-j}{s-m-1} > 0$  for  $m+1 \leq j \leq n-s+m+1$  else 0. The remain proof is to show  $\sum_{j=m+1}^{n-s+m+1} \frac{\binom{j-1}{m} \binom{n-j}{s-m-1}}{\binom{n}{s}} = 1$ .

Consider selecting a subset of size  $s$  from the set  $\{1, 2, \dots, n\}$ . Arrange the elements of the subset in increasing order:  $a_1 \geq a_2 \geq \dots \geq a_s$ . Then  $a_{m+1}$  is the  $(m+1)$ -th largest element. Let  $j = m+1$ , i.e., the  $(m+1)$ -th largest element is at position  $j$ .

To construct such a subset, the following conditions must be satisfied:

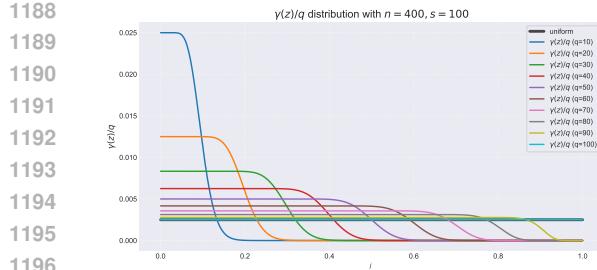


Figure 7: Normalized distributions  $\gamma_j/q$  for different  $q$  under  $(n, s) = (400, 100)$ . As  $q$  increases, the curves flatten and approach the uniform distribution.

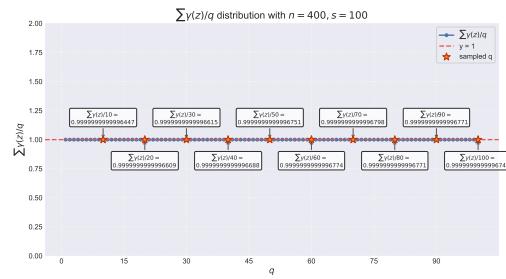


Figure 8: Empirical normalization of  $\sum_{j=1}^n \gamma_j/q$ . Across all  $q \in \{10, \dots, 100\}$ , the values remain extremely close to 1.

- Choose  $m$  elements from the first  $j - 1$  elements (i.e.,  $a_1, \dots, a_m$ ), which can be done in  $\binom{j-1}{m}$  choices.
- Choose  $s - m - 1$  elements from the remaining  $n - j$  elements (i.e.,  $a_{m+2}, \dots, a_s$ ), which can be done in  $\binom{n-j}{s-m-1}$  choices.

Thus, the number of subsets where the  $m + 1$ -th largest element is exactly at position  $j$  is:  $\binom{j-1}{m} \binom{n-j}{s-m-1}$

Summing over  $j$  from  $m + 1$  to  $n - s + m + 1$  (since  $j$  must be at least  $m + 1$  and at most  $n - s + m + 1$  to ensure enough elements remain), we obtain the total number of subsets of size  $s$ :  $\sum_{j=m+1}^{n-s+m+1} \binom{j-1}{m} \binom{n-j}{s-m-1} = \binom{n}{s}$ ,

Therefore, the original claim holds for all  $q$ :  $\sum_{j=1}^n \gamma_j/q = 1$ .  $\square$

### D.3 VALIDATION OF CONVERGENCE ASSUMPTIONS

To further support the validity of Theorem 3, we analyze whether the selected coresset stabilizes during training. Although the selection depends on sampling scores  $H$ , which may vary across epochs, our analysis shows that the coresset indeed becomes stable in later stages of training.

**Coreset Dynamics.** Our analysis does not assume a fixed coresset. Instead, OrderDP naturally determines the coresset through the pruning strategy (captured by  $\gamma_j$  in Proposition 2), where the sample  $z_j \in$  coresset follows an approximate Beta-distribution.

**Theoretical Parallel to SGD.** The applicability of Theorem 3 is analogous to SGD’s convergence guarantees: (i) SGD converges by deterministic batches per epoch (the sample  $z_j \in$  selected follows uniform sampling); (ii) OrderDP achieves convergence after the coresset stabilizes (via Beta-distributed sampling).

To empirically verify this stabilization, we measure the *Jaccard Similarity* between the coresset at the current epoch and that from the immediately preceding epoch, defined as

$$J(A, B) = \frac{|A \cap B|}{|A \cup B|}. \quad (40)$$

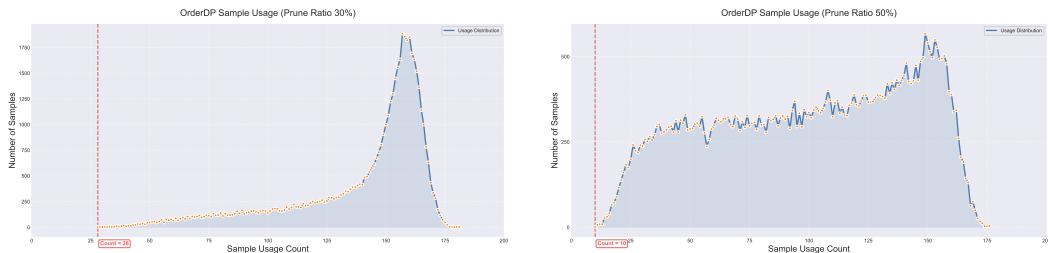
A higher similarity indicates that the selected set of samples remains consistent across epochs. Table 8 shows that OrderDP consistently achieves higher coresset stability than InfoBatch, confirming the practical applicability of Theorem 3.

### D.4 SAMPLE COVERAGE UNDER DIFFERENT PRUNING RATIOS

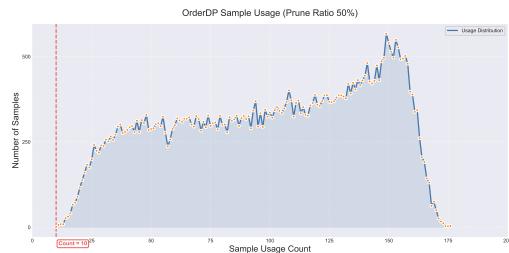
To further examine the exploration behavior of OrderDP, we track for each training sample the number of times it is selected into the update set throughout the entire training process. Figures 9, 10,

1242 Table 8: Jaccard Similarity between consecutive checkpoints on CIFAR-100 with ResNet-18. In-  
 1243 foBatch and OrderDP are trained for 200 epochs (checkpoints at 20%, 40%, 60%, 80%, and final  
 1244 100%), with learning rate = 0.03 and batch size = 128. Each setting is repeated 5 times, and mean  $\pm$   
 1245 std are reported. Higher values indicate greater stability of the cores.  
 1246

Prune Ratio	Method	0–20%	20–40%	40–60%	60–80%	80–100%	100%
40%	InfoBatch	<b>0.583<math>\pm</math>0.050</b>	0.496 $\pm$ 0.010	0.479 $\pm$ 0.003	0.481 $\pm$ 0.006	0.512 $\pm$ 0.010	0.522 $\pm$ 0.007
	OrderDP	0.645 $\pm$ 0.057	<b>0.692<math>\pm</math>0.023</b>	<b>0.713<math>\pm</math>0.008</b>	<b>0.743<math>\pm</math>0.023</b>	<b>0.757<math>\pm</math>0.034</b>	<b>0.767<math>\pm</math>0.008</b>
70%	InfoBatch	<b>0.593<math>\pm</math>0.012</b>	0.532 $\pm$ 0.024	0.459 $\pm$ 0.019	0.403 $\pm$ 0.018	0.455 $\pm$ 0.036	0.512 $\pm$ 0.012
	OrderDP	0.552 $\pm$ 0.049	<b>0.592<math>\pm</math>0.016</b>	<b>0.647<math>\pm</math>0.020</b>	<b>0.661<math>\pm</math>0.018</b>	<b>0.678<math>\pm</math>0.023</b>	<b>0.704<math>\pm</math>0.090</b>



1252  
 1253 Figure 9: Sample usage count distribution (30%  
 1254 prune ratio).  
 1255



1256 Figure 10: Sample usage count distribution (50%  
 1257 prune ratio).  
 1258

1259 and 11 show the empirical distributions of sample usage counts on CIFAR-10 under prune ratios of  
 1260 30%, 50%, and 99%, respectively.  
 1261

1262 Across all pruning ratios, we observe that *no sample has zero usage count*: every example is selected  
 1263 at least once during training. Under practical pruning settings (e.g., 30%–50%), most samples  
 1264 fall within a reasonably concentrated range of usage counts, indicating that **OrderDP** does not  
 1265 permanently discard any data point but instead explores the entire dataset with a frequency controlled  
 1266 by  $(s, q)$ .  
 1267

1268 These empirical findings are fully consistent with our theoretical analysis of coverage and directly  
 1269 support our response to reviewer questions regarding whether OrderDP eventually sees the entire  
 1270 dataset.  
 1271

## 1272 D.5 TIME-TO-ACCURACY CURVES

1273 To complement the wall-clock results in Figure 3 and to directly address the reviewer’s suggestion on  
 1274 evaluating time-to-accuracy, we report curves showing the relationship between training time and  
 1275 accuracy. These curves provide a practical view of how fast different methods reach comparable  
 1276 accuracy levels in real training scenarios.  
 1277

1278 Figures 12 and 13 present the Time-to-Accuracy curves on CIFAR-10 using ResNet-18 under prune  
 1279 ratios of 40% and 70%. Consistent with our findings throughout the paper, **OrderDP** achieves faster  
 1280 accuracy improvement and maintains stable convergence compared with both InfoBatch and Random,  
 1281 especially under higher pruning levels.  
 1282

## 1283 D.6 STABILITY ANALYSIS

1284 We further include a stability study of dynamic pruning methods under multiple independent runs.  
 1285 In this analysis, we evaluate CIFAR-100 with ResNet-18 at a 70% real pruning ratio across 10  
 1286 runs. InfoBatch often exhibits large mid-training gradient oscillations and occasional convergence  
 1287 failures, while OrderDP consistently converges smoothly in every trial. Moreover, InfoBatch relies  
 1288 on late-stage full-data “annealing” to stabilize training, whereas OrderDP maintains exact pruning  
 1289 control via  $(s, q)$  without requiring such rescue. This highlights the robustness and practicality of our  
 1290 approach. Under aggressive pruning, InfoBatch’s rescaling further causes severe fluctuations in both  
 1291 gradient and accuracy.  
 1292

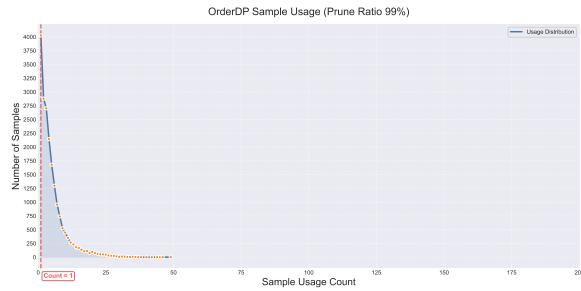


Figure 11: Sample usage count distribution under a 99% prune ratio. Even under extreme pruning, every sample is selected at least once.

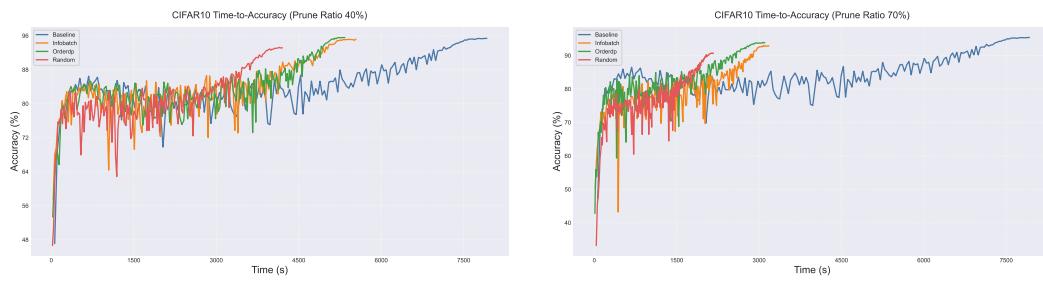


Figure 12: Time-to-Accuracy on CIFAR-10 at 40% prune ratio.

Figure 13: Time-to-Accuracy on CIFAR-10 at 70% prune ratio.

Detailed results are summarized in Table 9 (real prune ratio  $\approx 0.61$ , InfoBatch nominal prune ratio  $\approx 0.99$ ; 200 epochs; learning rate = 0.03; batch size = 128; averaged over 10 runs).

## E EXTENDED ANALYSIS OF GRADIENT BIAS

## E.1 MECHANISMS AND TOY EXAMPLE

This subsection provides additional clarification on how OrderDP eliminates biased gradient estimation. The method addresses the issue through three main mechanisms. **Uniform exploration.** Before pruning,  $s$  points are randomly sampled so that every sample, regardless of gradient magnitude, has equal probability of entering the coreset. This ensures the gradient distribution is much closer to that of full-data SGD compared with InfoBatch’s biased rescaling strategy. **Unbiased surrogate loss.** Instead of pruning the original loss directly, a new loss  $\mathcal{L}_q$  is constructed with closed-form weights  $\gamma_j$  derived from a two-stage sampler. Theorem 1 guarantees each update is an unbiased estimator of  $\nabla \mathcal{L}_q(\theta)$ , while Theorems 2, 3, and 4 provide convergence and generalization guarantees, avoiding the need for late-stage full-data annealing. **Exact pruning control.** By explicitly setting  $(s, q)$ , any target prune ratio (e.g., 70%, 80%, 90%) can be realized precisely, unlike InfoBatch’s mean-threshold scheme, which fluctuates around  $\sim 77\%$ .

To make the difference clear, we present a toy comparison under an 80% prune ratio with 5 samples of gradients  $g = \{1, 2, 3, 4, 5\}$ . In **InfoBatch (mean-threshold + rescale)**, samples 3, 4, 5 are always kept, while 1 and 2 are included with probability 0.2, and their gradients rescaled by a factor  $1/(1 - 0.8) = 5$ . This leads to four possible outcomes summarized in Table 10.

From Table 10, the expected gradient estimate is 4.336 with prune ratio < 0.8, indicating bias.

In contrast, for **OrderDP (5 samples, 80% prune)**, we randomly sample a batch with size  $s \in \{1, \dots, 5\}$  and select the top-1 element. The detailed probability calculation for each index is as follows:

1350 Table 9: Stability comparison on CIFAR-100 with ResNet-50 across 10 runs under varying **training**  
 1351 **progress** (percentage of epochs). Reported are **Accuracy (%)  $\pm$  Std** and **Gradient Std**. OrderDP  
 1352 shows smoother convergence and eliminates instability observed in InfoBatch.

Method	Metric	0–30%	30–50%	50–70%	70–100%	Final
$\epsilon$ -Greedy	Acc $\pm$ Std	48.01 $\pm$ 4.60	49.77 $\pm$ 2.54	52.61 $\pm$ 1.42	66.24 $\pm$ 1.23	74.77 $\pm$ 0.30
	Grad $\pm$ Std	3.08 $\pm$ 1.19	3.49 $\pm$ 0.62	2.78 $\pm$ 0.57	2.05 $\pm$ 0.45	1.53 $\pm$ 0.37
UCB	Acc $\pm$ Std	49.70 $\pm$ 4.80	50.66 $\pm$ 2.31	54.34 $\pm$ 1.82	67.97 $\pm$ 1.12	75.41 $\pm$ 0.40
	Grad $\pm$ Std	4.08 $\pm$ 1.69	3.69 $\pm$ 1.02	2.99 $\pm$ 0.27	2.35 $\pm$ 0.38	1.33 $\pm$ 0.14
InfoBatch	Acc $\pm$ Std	45.74 $\pm$ 3.56	52.08 $\pm$ 2.55	47.72 $\pm$ 3.63	68.92 $\pm$ 3.01	76.72 $\pm$ 0.70
	Grad $\pm$ Std	7.35 $\pm$ 1.78	5.88 $\pm$ 1.24	4.35 $\pm$ 9.48	3.56 $\pm$ 4.55	2.89 $\pm$ 1.67
OrderDP	Acc $\pm$ Std	48.00 $\pm$ 3.23	56.00 $\pm$ 2.01	61.00 $\pm$ 1.34	72.00 $\pm$ 0.56	78.32 $\pm$ 0.20
	Grad $\pm$ Std	4.08 $\pm$ 1.19	3.49 $\pm$ 0.62	2.78 $\pm$ 0.47	2.05 $\pm$ 0.55	1.03 $\pm$ 0.33
Whole Dataset	Acc $\pm$ Std	56.58 $\pm$ 1.56	62.36 $\pm$ 0.76	66.84 $\pm$ 0.52	72.24 $\pm$ 0.40	80.60 $\pm$ 0.20
	Grad $\pm$ Std	3.88 $\pm$ 0.79	3.09 $\pm$ 0.41	2.45 $\pm$ 0.42	1.93 $\pm$ 0.55	0.88 $\pm$ 0.20

1365  
 1366 Table 10: Toy example of InfoBatch under an 80% prune ratio with 5 gradients. The table shows the  
 1367 kept set, probability of selection, rescaled gradients, average gradient, and prune rate.

Kept set	Probability	Gradients	Avg. grad.	Prune rate
$\{3, 4, 5\}$	0.64	3, 4, 5	4.0	0.60
$\{1, 3, 4, 5\}$	0.16	$5 \cdot 1, 3, 4, 5 = 5, 3, 4, 5$	4.25	0.20
$\{2, 3, 4, 5\}$	0.16	$5 \cdot 2, 3, 4, 5 = 10, 3, 4, 5$	5.5	0.20
$\{1, 2, 3, 4, 5\}$	0.04	$5 \cdot 1, 5 \cdot 2, 3, 4, 5 = 5, 10, 3, 4, 5$	5.4	0.00

$$\begin{aligned}
 \{1\} : & \frac{1}{5} \cdot \frac{1}{5} (s=1) = \frac{1}{25}, \\
 \{2\} : & \frac{1}{5} \cdot \frac{1}{5} (s=1) + \frac{1}{10} \cdot \frac{1}{5} (s=2) = \frac{3}{50}, \\
 \{3\} : & \frac{1}{5} \cdot \frac{1}{5} (s=1) + \frac{3}{10} \cdot \frac{1}{5} (s=2) + \frac{1}{10} \cdot \frac{1}{5} (s=3) = \frac{3}{25}, \\
 \{4\} : & \frac{1}{5} \cdot \frac{1}{5} (s=1) + \frac{3}{10} \cdot \frac{1}{5} (s=2) + \frac{3}{10} \cdot \frac{1}{5} (s=3) + \frac{1}{10} \cdot \frac{1}{5} (s=4) = \frac{9}{50}, \\
 \{5\} : & \frac{1}{5} \cdot \frac{1}{5} (s=1) + \frac{2}{5} \cdot \frac{1}{5} (s=2) + \frac{3}{5} \cdot \frac{1}{5} (s=3) + \frac{4}{5} \cdot \frac{1}{5} (s=4) + \frac{1}{5} (s=5) = \frac{3}{5}.
 \end{aligned}$$

1383 The expected gradient estimate under this distribution is 4.06 with prune ratio exactly 0.8. Therefore,  
 1384 OrderDP not only maintains the target pruning ratio precisely but also achieves gradient estimates  
 1385 closer to the true full gradient (= 3), effectively eliminating the bias observed in InfoBatch.

## 1387 E.2 GRADIENT DIRECTION ANALYSIS

1388 In addition to gradient magnitude analysis, we also examine gradient directions by measuring the  
 1389 *cosine similarity* between the gradients computed with each pruning method and the full-data gradient  
 1390 at matched checkpoints (same model weights). We train on CIFAR-100 with ResNet-18 for 200  
 1391 epochs, evaluate at 20%, 40%, 60%, 80%, and 100% of training progress, using a learning rate of  
 1392 0.03 and batch size of 128. Each setting is repeated 5 times, and we report mean  $\pm$  std. Results under  
 1393 pruning ratios 40% and 70% are summarized in Table 11.

1394 These results demonstrate that **OrderDP’s gradients align more closely with full-data gradients**,  
 1395 particularly at high pruning ratios, thereby reducing directional bias compared with InfoBatch.

## 1398 F LIMITATIONS AND FUTURE WORK

1399 While **OrderDP** excels on moderate-scale vision benchmarks, its performance on very large ar-  
 1400 chitectures, streaming inference scenarios, and heterogeneous hardware platforms, as well as in  
 1401 self-supervised or multi-modal settings, remains to be explored. In future work, we will extend  
 1402 **OrderDP** to adaptive pruning schedules, investigate its integration with transformer and graph  
 1403 models, and study its behavior under distribution shift and noisy labels.

1404  
 1405 Table 11: Cosine similarity between pruned and full-data gradients on CIFAR-100 (ResNet-18) under  
 1406 pruning ratios 40% and 70%. Each experiment is repeated 5 times, and mean  $\pm$  std are reported.  
 1407 Higher values indicate stronger alignment with full-data gradients.

Prune Ratio	Method	0–20%	20–40%	40–60%	60–80%	80–100%	100%
40%	InfoBatch	0.915 $\pm$ 0.044	0.940 $\pm$ 0.008	0.916 $\pm$ 0.007	0.904 $\pm$ 0.011	0.897 $\pm$ 0.008	0.895 $\pm$ 0.009
	OrderDP	<b>0.943<math>\pm</math>0.035</b>	<b>0.951<math>\pm</math>0.007</b>	<b>0.934<math>\pm</math>0.008</b>	<b>0.921<math>\pm</math>0.009</b>	<b>0.908<math>\pm</math>0.014</b>	<b>0.906<math>\pm</math>0.011</b>
70%	InfoBatch	0.825 $\pm$ 0.037	0.807 $\pm$ 0.027	0.763 $\pm$ 0.021	0.758 $\pm$ 0.023	0.743 $\pm$ 0.026	0.716 $\pm$ 0.029
	OrderDP	<b>0.853<math>\pm</math>0.048</b>	<b>0.896<math>\pm</math>0.018</b>	<b>0.901<math>\pm</math>0.015</b>	<b>0.893<math>\pm</math>0.014</b>	<b>0.868<math>\pm</math>0.021</b>	<b>0.844<math>\pm</math>0.018</b>

1413  
 1414 Another promising direction is to develop noise-robust variants of **OrderDP**. Although the current  
 1415 work focuses on the top- $q$  strategy, the surrogate-loss framework introduced in this paper is more  
 1416 general and can naturally incorporate min- $q$  selection or mixed hard/easy sampling schemes by  
 1417 modifying the weight structure  $\{\gamma_j\}$ . Such extensions may help suppress extreme outliers, improve  
 1418 stability under label noise, and adapt the pruning strategy across different stages of training (e.g.,  
 1419 hard-sample emphasis in early stages and easy-sample regularization in later stages). We plan to  
 1420 further explore these variants and evaluate their performance in noisy or adversarial settings.

## G THE USE OF LARGE LANGUAGE MODELS (LLMs)

1424 Large language models (LLMs) were used solely for linguistic refinement and editing of the  
 1425 manuscript. All scientific ideas, methodological contributions, and experimental results are en-  
 1426 tirely conceived, implemented, and validated by the authors.

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