# DeCoRe: Decoding by Contrasting Retrieval Heads to Mitigate Hallucinations

**Anonymous ACL submission** 

### Abstract

Large Language Models (LLMs) often hallucinate by misrepresenting the provided context or incorrectly recalling internal knowledge. Re-004 cent studies identified specific attention heads that are responsible for extracting relevant contextual information, known as retrieval heads. We hypothesise that masking these heads can induce hallucinations and that we can reduce hallucinations by contrasting the outputs of the base and the masked LLMs. To this end, we propose **De**coding by **Contrasting Re**trieval Heads (DeCoRe), a novel training-free decod-013 ing strategy that amplifies information found in the context and model parameters. DeCoRe mitigates potentially hallucinated responses by dynamically contrasting the outputs of the base and the masked LLMs, using conditional en-017 tropy as a guide. Our results show that DeCoRe significantly improves performance on tasks requiring high contextual faithfulness, such as 021 summarisation (+18.6% on XSum), instruction following (+10.9% on MemoTrap), and openbook question answering (+2.4% on NQ-Open and +5.5% on NQ-Swap).<sup>1</sup>

# 1 Introduction

033

034

038

Large Language Models (LLMs) emerged as powerful natural language generators, demonstrating remarkable capabilities across a range of tasks (Radford et al., 2019; Brown et al., 2020; Wei et al., 2022a; Ouyang et al., 2022). However, LLMs often produce *hallucinations*, generating content that is factually incorrect or lacks grounding in the given context (Ji et al., 2023; Rawte et al., 2023; Zhang et al., 2023b; Li et al., 2024a). The tendency of LLMs to hallucinate undermines their reliability, especially in high-stakes domains such as clinical decision-making or legal reasoning (Ahmad et al., 2023; Dahl et al., 2024). Understanding the internal mechanisms responsible for hallucinations in LLMs remains challenging. Wu et al. (2024) found that specific attention heads are responsible for retrieving relevant information from a given context, referred to as *retrieval heads*. While identifying these mechanisms is key to understanding LLMs, little research has explored how to use these insights to effectively mitigate hallucinations, which is the focus of our work. 039

041

043

045

047

051

053

054

059

060

061

062

063

064

065

066

067

068

069

070

071

074

075

076

078

079

We propose a novel decoding method termed **De**coding by **Contrasting Retrieval** Heads (**DeCoRe**), as illustrated in Figure 1. DeCoRe builds on the assumption that masking retrieval heads can induce hallucination by impairing the ability of the model to retrieve relevant information from the context. It leverages Contrastive Decoding (Li et al., 2023) to amplify the differences between the original and the hallucinating outputs, leading to more accurate final responses. Furthermore, we propose using the conditional entropy of the model's next-token distribution to control the contrastive decoding mechanism.

Our findings show DeCoRe significantly improves accuracy in contextual faithfulness tasks (XSum (Narayan et al., 2018), MemoTrap (Liu and Liu, 2023), Open-Book Natural Questions (NQ; Kwiatkowski et al. 2019), NQ-Swap (Longpre et al., 2021)) and factual recall tasks. Furthermore, our experiments show that DeCoRe improves the accuracy of the model in factual recall tasks. On TriviaQA (Joshi et al., 2017) and PopQA (Mallen et al., 2023), DeCoRe outperforms other hallucination mitigation methods. When applied to TruthfulQA (Lin et al., 2022), Llama3-8b-Instruct (Dubey et al., 2024) with DeCoRe generates more truthful and informative responses than comparable methods. Finally, our experiments on MuSiQue (Trivedi et al., 2022), DeCoRe significantly improves accuracy in long-form generation and reasoning tasks, particularly when combined with Chain of Thought (CoT; Wei et al. 2022b).

<sup>&</sup>lt;sup>1</sup>Code and datasets are available at https://anonymous. 40pen.science/r/decore-4FB7.



Figure 1: **Overview of the DeCoRe workflow.** Given the same input, the base LLM (LLM<sub>base</sub>) and the variant with masked retrieval heads (LLM<sub>masked</sub>) predict the next token. An uncertainty estimation is applied to the base model's output using conditional entropy: higher conditional entropy increases the contrastive factor ( $\alpha$ ), penalising predictions that align with the LLM<sub>masked</sub>. The final prediction is selected based on weighted contrastive decoding of the outputs from both models, leading to a more grounded response.



Figure 2: Example of hallucination induced by masking retrieval heads in NQ-Swap. The base model predicts the correct answer from the substituted context, while the masked model generates an incorrect answer.

# 2 DeCoRe – Decoding by Contrasting Retrieval Heads

DeCoRe works by masking specific retrieval heads to trigger hallucinations, followed by contrastive decoding to penalise outputs resembling those from the hallucinating model. This amplifies the more accurate predictions of the base model. We further enhance DeCoRe with a dynamic entropycontrolled mechanism to adjust the contrastive effect based on the entropy of the next token distribution of the model, as illustrated in Figure 1.

### 2.1 Masking Retrieval Heads

084

We describe how we mask retrieval heads in our base LLM to induce hallucinations, following the Transformer notation from Vaswani et al. (2017).

Given a base LLM  $f_{\text{base}}$ , let  $x_{<t} = (x_1, x_2, \ldots, x_{t-1})$  be a sequence of previous tokens, where  $x_i \in \mathcal{V}$  and  $\mathcal{V}$  denotes the vocabulary of the model. The logits for the next token distribution at time step t are given by  $f_{\text{base}}(x_{<t}) \in \mathbb{R}^{|\mathcal{V}|}$ , and the probability of the next token  $x_t$  is:

$$p_{\text{base}}\left(x_t \mid x_{< t}\right) \propto \exp\left[f_{\text{base}}(x_{< t})\right] \tag{1}$$

We create a masked variant of  $f_{\text{base}}$  by identifying *retrieval heads* using the method proposed by Wu et al. (2024), which analyses attention patterns on the Needle-in-a-Haystack (NitH; Kamradt, 2023) dataset. NitH specifically tests information retrieval from long contexts, making it suitable for identifying heads that contribute to retrieval. We first compute a *retrieval score* (Wu et al., 2024), *i.e.*, the ratio of successful copy-paste operations (see Appendix C.1 for details); and we then rank the attention heads according to their retrieval scores and select the top-N heads as retrieval heads.

After identifying the retrieval heads, we create the masked model. Let  $\mathcal{H}_{\text{retrieval}} = \{(l_1, h_1), \dots, (l_N, h_N)\}$  denote the retrieval heads to be masked, where  $l_i$  and  $h_i$  are layer and head indices, respectively. In a Transformer-based architecture, the output of the multi-head attention (MHA) mechanism at layer l is given by:

$$\mathbf{MHA}^{(l)} = \left[h_i^{(l)}\right]_{i=1}^H W_O^{(l)}, \qquad (2)$$

where  $[h_i^{(l)}]_{i=1}^H$  denotes the concatenation of the vectors  $h_1^{(l)}, \ldots, h_H^{(l)}$ ;  $H \in \mathbb{N}$  is the number of attention heads; d denotes the model hidden dimension, and  $d_k$  is the key dimension (where  $d_k = d/H$ );  $W_O^{(l)} \in \mathbb{R}^{Hd_k \times d}$  denotes the output projection layer. Each head  $h_h^{(l)}$  is computed as:

$$h_{h}^{(l)} = \operatorname{Att}\left(Q^{(l)}W_{Q,h}^{(l)}, K^{(l)}W_{K,h}^{(l)}, V^{(l)}W_{V,h}^{(l)}\right),$$
(3) (3)

100

101

102

103

104

105

106

107

108

110

111

112

113

114

115

116

117

118

119

120

121

122

124

125

126

where  $Q^{(l)}, K^{(l)}, V^{(l)} \in \mathbb{R}^{d \times d_k}$  respectively 129 denote the query, key, and value matrices at layer *l*; 130 we define a mask  $m_h^{(l)} \in \{0, 1\}$  such that: 131 132 133 134

$$m_h^{(l)} = \begin{cases} 0 & \text{if } (l,h) \in \mathcal{H}_{\text{retrieval}}, \\ 1 & \text{otherwise.} \end{cases}$$
(4)

Then, the masked MHA output at layer l from Equation (2) becomes:

136

137

138

139

140

141

142

143

152

165

$$\mathbf{MHA}_{\mathrm{masked}}^{(l)} = \left[m_i^{(l)} \circ h_i^{(l)}\right]_{i=1}^H W_O^{(l)}$$

where  $\circ$  denotes the Hadamard product (elementwise multiplication). The masked MHA is then used to define a masked model  $f_{\text{masked}}(x_{< t})$  and the corresponding next-token distribution:

$$p_{\text{masked}}\left(x_t \mid x_{< t}\right) \propto \exp\left[f_{\text{masked}}\left(x_{< t}\right)\right].$$
(5)

We hypothesise that masking retrieval heads 144 hinders the ability of the model to retrieve 145 relevant context, increasing the likelihood of 146 generating hallucinations. This is empirically 147 validated in Appendix D through factuality and 148 faithfulness tasks. Figure 2 shows an induced 149 150 hallucination after masking 10 retrieval heads in Llama3-8b-Instruct (Dubey et al., 2024). 151

#### Contrasting Base and Masked LLMs 2.2

Given the base and masked LLMs from Section 2.1, 153 our goal is to improve the faithfulness of the generated output. To achieve this, we propose 155 contrasting the next-token distributions of the base 156 and masked models, effectively increasing the likelihood of the tokens selected by the former while 158 decreasing the likelihood of the tokens selected 159 by the latter. More formally, the new next-token 160 distribution  $p(x_t \mid x_{< t})$  in Equation (6) is defined 161 by contrasting the next-token distributions of the base model  $p_{\text{base}}(x_t \mid x_{< t})$  and the masked model 163  $p_{\text{masked}}(x_t \mid x_{\leq t})$  from Equation (5): 164

$$p(x_t \mid x_{< t}) \propto \exp\left[(1+\alpha)\log p_{\text{base}}\left(x_t \mid x_{< t}\right) - \alpha\log p_{\text{masked}}\left(x_t \mid x_{< t}\right)\right],$$
(6)

where  $\alpha \in \mathbb{R}$  is a scaling factor controlling the rela-166 tive weighting between the next-token distribution 167 of the base model  $p_{\text{base}}(x_t \mid x_{< t})$  and the masked model  $p_{\text{masked}}(x_t \mid x_{\leq t})$ . In Equation (6), the term 169

 $(1 + \alpha) \log p_{\text{base}}(x_t \mid x_{< t})$  increases the likelihood of tokens selected by the base model, while the term  $-\alpha \log p_{\text{masked}}(x_t \mid x_{\leq t})$  decreases the likelihood of tokens selected by the masked model.

#### 2.3 **Dynamic Contrastive Decoding**

We propose a method to dynamically select  $\alpha$  using conditional entropy, which is a reliable predictor for whether a model might generate hallucinations (Malinin and Gales, 2021; Kadavath et al., 2022).<sup>2</sup> For a given context  $x_{<t}$ , the conditional entropy  $H(x_t)$  of the next-token distribution of a model  $p(x_t \mid x_{< t})$  is defined as:

$$H(x_t) = -\sum_{x_t \in \mathcal{V}} p\left(x_t \mid x_{< t}\right) \log p\left(x_t \mid x_{< t}\right),$$
(7)

We dynamically tune the contrastive decoding process in Equation (6) by setting  $\alpha = H(x_t)$ , the conditional entropy of the base model defined in Equation (7). Higher entropy yields higher  $\alpha$  value, thus reducing hallucination likelihood.

#### 3 **Experiment Setup**

Hallucinations in LLMs can be categorised into two types: factuality and faithfulness hallucinations (Huang et al., 2023). Factuality hallucinations refer to instances where the generated content is factually incorrect. Faithfulness hallucinations refer to instances where the generated content fails to adhere to the given source of information.

We use diverse benchmarks to assess contextual faithfulness, factual accuracy, and multi-hop reasoning ability. Given that retrieval heads are essential in correctly retrieving contextual information and looking back over long reasoning processes (Wu et al., 2024), our experimental setup is designed to answer the following key research questions: 1) Can DeCoRe improve contextual faithfulness? 2) Can DeCoRe maintain or enhance the factual recall capabilities of LLMs? 3) Does coupling DeCoRe with CoT improve the multi-hop reasoning capability of the LLM?

#### **Datasets and Evaluation Metrics** 3.1

Faithfulness. We evaluate faithfulness on summarisation, instruction-following, and reading comprehension datasets. XSum (Narayan et al., 2018) is an abstractive summarisation dataset developed

170

171

172

173

174

179 180 181

> 182 183 184

186 187

188

185

189 190

191

194

- 192 193
- 198 199

201

202

203

204

205

207

209

210

211

- 195
- 196
- 197

<sup>&</sup>lt;sup>2</sup>As we also validate in our experiments in Appendix F.

269

270

271

272

273

274

275

276

277

278

279

281

283

285

287

288

290

291

293

294

295

297

298

299

300

301

302

303

304

305

306

262

from BBC articles. We sub-sample 1,000 exam-213 ples, following Chuang et al. (2024), and eval-214 uate summaries using ROUGE-L (Lin, 2004), 215 BERTScore (Zhang et al., 2020), and factKB (Feng 216 et al., 2023) for factual consistency. Memo-Trap (Liu and Liu, 2023) tests whether models can 218 adhere to the given instructions, with performance 219 reported using macro- and micro-averaged accuracy. Instruction-Following Eval (IFEval; Zhou et al. 2023) evaluates the ability of the models to follow a set of verifiable instructions (e.g., "write in more than 400 words"). Performance is reported using Prompt-level and Instruction-level strict accuracies, representing the percentage of prompts with 226 all verifiable instructions followed and the over-227 all percentage of verifiable instructions followed. Open-Domain Natural Questions (NQ-Open; Lee et al. 2019) is a QA dataset where we use an openbook configuration with one supporting document per question as described by Liu et al. (2024). NQ-Swap (Longpre et al., 2021) is a version of NQ where the answer entity in the context was replaced with another entity and is used to evaluate the faithfulness of the model to the modified context. We 236 evaluate models using the Exact Match (EM) metric, considering a prediction correct if any substring 238 matches any ground truth answer, as in Kandpal 239 et al. (2023) and Liu et al. (2024).

**Factuality.** For factuality evaluation, we use four datasets—TruthfulQA, TriviaQA, PopQA, and NQ-Open. TruthfulQA (Lin et al., 2022) (MC1, MC2, MC3, and Gen) is used to evaluate whether models can avoid common human falsehoods; MC1, MC2, and MC3 are multi-label classification tasks, and Gen is a generation task evaluated by fine-tuned GPT models to assess the correctness and informativeness of the generated outputs. TriviaQA (Joshi et al., 2017), PopQA (Mallen et al., 2023), and NQ-Open are open-domain QA datasets used to evaluate the ability of a model to answer questions about trivia, long-tail entities, and Google searches, respectively. We use closed-book configuration on these datasets to evaluate factual recall.

241

242

244

245

247

248

253

254

261

Chain of Thought Reasoning. We evaluate
DeCoRe in reasoning tasks with CoT in closed- and
open-book setups using MuSiQue (Trivedi et al.,
2022), a multi-hop QA dataset requiring reasoning
with multiple pieces of information.

Further evaluation details are in Table 31.

### 3.2 Models and Baselines

We evaluate Llama3-8B-Instruct and Llama3-70B-Instruct (Dubey et al., 2024). We report results from other model families (*i.e.*, Mistral (Jiang et al., 2023), Qwen2 (Yang et al., 2024)) in Appendix I.

We compare DeCoRe against six base-1) Greedy decoding; 2) Contrastive lines: 2023), Decoding (CD; Li et al. where LLaMA3-8B-Instruct serves as the amateur model and LLaMA3-70B-Instruct act as the expert model; 3) Context-Aware Decoding (CAD; Shi et al. 2024), where the amateur model is the same as the expert model but is not presented with the additional context; 4) Decoding by Contrasting Layers (DoLa; Chuang et al. 2023) that subtracts the logits in early layers to calibrate the final-layer logits. We evaluate two versions: DoLa-low (i.e., contrasting the first half of the layers with the final layer) and DoLa-high (*i.e.*, contrasting the second half with the final layer); 5) Activation Decoding (AD; Chen et al. 2024), which uses the sharpness of context activations within intermediate layers to calibrate the next token prediction; 6) ITI (Li et al., 2024b) that trains linear classifiers on TruthfulQA data to obtain "factual" heads and layers with corresponding "factual" direction vectors and then apply intervention during the decoding process. Note that ITI requires a training process on labelled data, whereas other baselines and DeCoRe are training-free. Also note that CAD only applies to tasks with additional context (i.e., XSum, open book NQ-Open, NQ-Swap, and open book MuSiQue). All implementation details are available in Appendix K.

## 3.3 DeCoRe Variants

We evaluate three DeCoRe variants: 1) DeCoRe<sub>static</sub>, which uses a static  $\alpha$ : 2) DeCoRe<sub>entropy</sub>, which uses entropy to dynamically adjust  $\alpha$ ; 3) DeCoRe<sub>entropy-lite</sub>, which is similar to DeCoRe<sub>entropy</sub>, except that it employs a smaller LLM with the same vocabulary space as the masked LLM. We use LLama3-70B-Instruct and LLama3-8B-Instruct as the base and masked LLMs, respectively.

# 4 **Results**

In the following, we present the evaluation re-<br/>sults of DeCoRe across faithfulness, factuality, and<br/>multi-hop reasoning tasks. We show that DeCoRe<br/>mitigates faithfulness and factuality hallucinations307<br/>308<br/>309

and improves the accuracy of the model when combined with CoT prompting. These effectively answer our research questions stated in Section 3. Additionally, we examine the impact of the number of masked retrieval heads on task performance. Finally, we demonstrate that DeCoRe reduces conditional entropy over time in long-generation tasks, contributing to more accurate outputs.

**DeCoRe Mitigates Faithfulness Hallucinations.** 319 Table 1 shows the performance of various mod-320 els and decoding methods on faithfulness evaluation tasks. DeCoRe<sub>static</sub>, DeCoRe<sub>entropy</sub>, and 322 DeCoRe<sub>entropy-lite</sub> consistently enhance base models across all tasks and model sizes, with 324 DeCoRe<sub>entropy</sub> achieving the best or highly com-325 petitive results in several faithfulness evaluation 326 For instance, with Llama3-8b-Instruct, tasks. DeCoRe<sub>entropy</sub> attains a Macro Accuracy of 74.14% and a Micro Accuracy of 74.87% on Memo-Trap, producing significantly more accurate results than most baselines. DeCoRe<sub>entropy</sub> also 331 achieves the highest EM scores on open-book NQ-Open and the second-best accuracy score on 333 NQ-Swap. Similarly, with Llama3-70b-Instruct, 334 DeCoReentropy achieves the highest EM score on 335 NQ-Open and competitive results on NQ-Swap. 336 In instruction-following tasks, DeCoRe<sub>entropy</sub> also 337 achieves competitive scores in the IFEval benchmark with Llama3-8b-Instruct, yielding Instruct and Prompt Strict Accuracy values of 68.39% and 76.38%, respectively. With Llama3-70b-Instruct, 341 DeCoRe<sub>static</sub> and DeCoRe<sub>entropy</sub> achieve the jointhighest Instruct and Prompt Strict Accuracy values of 78.56% and 84.89%, respectively. While CAD yields accurate results on tasks like XSum and NQ-Swap, its reliance on additional contexts limits its adaptability to tasks like IFEval. In con-347 trast, DeCoRe<sub>static</sub> and DeCoRe<sub>entropy</sub> improve the predictive accuracy of the base models in all downstream tasks. Pairwise statistical significance analyses in Appendix H.1 confirm the improvements achieved by DeCoRe<sub>entropy</sub> over other baselines.

353DeCoRe Mitigates Faithfulness Hallucinations354amidst Distractor Documents. Table 2 presents355the performance of various models and decod-356ing methods on NQ-Open under the Lost-in-the-357Middle (LitM) setup, where the context contains358one gold document and nine distractor documents.359The Oracle setup indicates that the model is given360only the single gold document without any distrac-361tors, providing an upper bound for the accuracy

of the model. The results show that DeCoRe<sub>static</sub>, DeCoRe<sub>entropy</sub>, and DeCoRe<sub>entropy-lite</sub> consistently produce more accurate results than the base models across different gold document positions and model sizes. Specifically, DeCoReentropy achieves the highest EM scores in several configurations. For instance, with Llama3-8b-Instruct, DeCoReentropy attains the highest Oracle score of 70.66% and the best EM score of 45.42% when the gold document is placed ninth. Similarly with Llama3-70b-Instruct, DeCoReentropy achieves the highest Oracle score of 72.66% and the best EM scores when the gold document is first and ninth. While other methods like ITI and CAD show improvements in certain cases, their performance is generally less consistent compared to DeCoRestatic and DeCoReentropy. Both ITI and CAD significantly underperform when applied to Llama3-8b-Instruct, especially when the gold document is not first, yielding EM scores as low as 11.45% and 29.30%, respectively, when the gold document is ninth.

362

363

364

365

366

367

368

369

370

371

372

373

374

375

376

378

379

380

381

385

386

387

389

390

391

392

393

394

395

396

397

398

399

400

401

402

403

404

405

406

407

408

**DeCoRe** Mitigates Factuality Hallucinations. While DeCoRe is primarily designed to improve contextual faithfulness, its impact on factual recall tasks is an open question. To this end, we evaluate DeCoRe on a range of tasks where the model needs to produce factually correct generationsresults are outlined in Table 3. We can see that DeCoRe improves the accuracy of the models across various factuality evaluation tasks. For the Llama3-8b-Instruct model, DeCoReentropy demonstrates improvements in several TruthfulQA (Generation) metrics. Specifically, it achieves an informativeness score of 74.05% and an intersection of truthfulness and informativeness score of 53.00%, second only to ITI, which requires fine-tuning the model on TruthfulQA data.<sup>3</sup> Furthermore, DeCoRestatic yields the highest EM score on TriviaQA (56.93%) among all decoding strategies and achieves competitive EM scores on PopQA. For the larger Llama3-70b-Instruct model, DeCoReentropy achieves the highest truthfulness score (89.23%) on TruthfulQA (Gen); it performs competitively across informativeness and the intersection metrics, yielding the highest EM score on closed-book NQ-Open (40.45%). Finally, DeCoRe<sub>static</sub> yields the highest EM score on PopQA (40.74%).

<sup>&</sup>lt;sup>3</sup>The rejection rates—the frequency by which the model answers "I have no comment"—of Llama3 models in TruthfulQA are higher than Llama2 models (Touvron et al., 2023), as reported by Li et al. (2024b) and Chuang et al. (2023); we report metrics for the non-rejection answers in Appendix E.1.

Model		XSum			Mem	oTrap		IFEval		NQ-Open	NQ-Swap	Avg ↑
hiddel	ROUGE-L $\uparrow$	$\textbf{BERTScore-F1} \uparrow$	factKB ↑	Avg ↑	Macro Acc ↑	Micro Acc ↑	Prompt Acc ↑	Instruct Acc $\uparrow$	Avg ↑	EM ↑	EM ↑	A's
Llama3-8b-Instruct	<u>19.90</u>	67.23	47.61	44.91	65.86	64.40	70.24	78.30	74.27	69.68	60.62	60.43
+ ITI (Li et al., 2024b)	13.25	59.96	34.35	35.85	62.65	58.96	52.31	63.19	57.75	56.16	51.08	50.21
+ CAD (Shi et al., 2024)	18.82	67.20	67.16	51.06	76.58	76.76	-	-	-	69.83	74.21	66.57
+ DoLA (low) (Chuang et al., 2023)	19.82	67.19	47.21	44.74	65.27	63.69	69.69	78.18	73.94	69.68	60.77	60.17
+ DoLA (high) (Chuang et al., 2023)	19.92	67.34	48.49	45.25	64.85	63.17	70.24	78.66	74.45	69.49	60.98	60.35
+ AD (Chen et al., 2024)	19.79	67.31	48.49	45.20	65.38	64.28	67.65	76.26	71.96	68.93	60.51	59.84
+ DeCoRestatic	19.87	67.83	64.07	50.59	69.53	69.20	69.13	78.06	73.60	70.62	64.43	63.64
+ DeCoRe <sub>entropy</sub>	19.45	<u>67.69</u>	<u>66.10</u>	<u>51.08</u>	74.14	74.87	68.39	76.38	72.39	70.66	<u>66.08</u>	<u>64.86</u>
Llama3-70b-Instruct	22.41	69.77	61.32	51.17	68.47	66.52	77.45	84.41	80.93	71.07	76.11	66.39
+ ITI (Li et al., 2024b)	21.64	69.46	61.33	50.81	71.24	68.73	76.71	83.69	80.20	71.90	74.76	66.60
+ CD (Li et al., 2023)	22.71	69.99	54.73	49.14	69.27	67.55	71.72	79.74	75.73	65.80	68.37	63.66
+ CAD (Shi et al., 2024)	21.45	69.28	65.61	<u>52.11</u>	83.58	83.89	-	-	-	71.83	84.70	71.36
+ DoLA (low) (Chuang et al., 2023)	22.46	69.80	61.11	51.12	67.99	65.93	77.08	84.29	80.69	71.07	75.98	66.23
+ DoLA (high) (Chuang et al., 2023)	22.43	<u>69.93</u>	59.99	50.78	67.92	65.81	78.00	84.65	<u>81.33</u>	70.40	75.26	66.04
+ AD (Chen et al., 2024)	22.49	69.91	60.57	50.99	67.51	66.44	76.89	84.41	80.65	71.15	74.02	65.93
+ DeCoRestatic	21.94	69.35	64.88	52.06	71.96	71.41	78.56	84.89	81.73	72.51	79.06	68.29
+ DeCoRe <sub>entropy</sub>	21.93	69.40	65.49	52.27	74.07	73.65	78.56	84.89	81.73	72.66	<u>79.79</u>	<u>68.94</u>
+ DeCoRe <sub>entropy-lite</sub>	22.28	69.34	59.57	50.40	72.11	70.58	61.37	71.46	66.42	71.26	75.90	63.76

Table 1: **Performance of models and decoding methods on faithfulness evaluation tasks.** For each base model, the best performance is indicated in **bold**, and the second-best is underlined.

Model			NQ-Open		
hidde	Oracle $\uparrow$	Gold 1st $\uparrow$	Gold 4th $\uparrow$	Gold 9th $\uparrow$	Avg ↑
Llama3-8b-Instruct	69.68	52.92	45.61	44.48	47.34
+ ITI (Li et al., 2024b)	56.16	16.61	13.45	11.45	13.84
+ CAD (Shi et al., 2024)	69.83	40.57	31.53	29.30	33.80
+ DoLA (low) (Chuang et al., 2023)	69.68	52.88	45.76	44.37	47.34
+ DoLA (high) (Chuang et al., 2023)	69.49	52.28	45.39	44.14	47.27
+ AD (Chen et al., 2024)	68.93	52.96	45.46	43.96	47.46
+ DeCoRe <sub>static</sub>	70.62	54.58	47.42	44.90	48.97
+ DeCoRe <sub>entropy</sub>	70.66	54.39	47.50	45.42	49.10
Llama3-70b-Instruct	71.07	60.49	52.99	49.00	54.16
+ ITI (Li et al., 2024b)	71.90	60.53	49.91	46.25	52.23
+ CD (Li et al., 2023)	71.90	58.57	51.64	47.87	52.69
+ CAD (Shi et al., 2024)	71.83	58.27	48.10	43.16	49.84
+ DoLA (low) (Chuang et al., 2023)	71.07	60.45	52.96	49.04	54.15
+ DoLA (high) (Chuang et al., 2023)	70.40	59.32	52.24	48.32	53.29
+ AD (Chen et al., 2024)	71.15	60.41	52.84	48.93	54.06
+ DeCoRestatic	72.51	60.53	53.11	49.12	54.25
+ DeCoReentropy	72.66	60.72	53.07	49.38	54.39
+ DeCoRe <sub>entropy-lite</sub>	71.26	60.45	53.22	48.51	54.06

Table 2: Performance of models and decoding methods on NQ-Open with Lost-in-the-Middle Setup (one gold document + nine distractor documents). The Average column represents the mean of the Gold 1st, Gold 4th, and Gold 9th EMs. The best performance for each base model is indicated in **bold**, and the secondbest is underlined.

These results suggest that DeCoRe methods can improve contextual faithfulness and factual consistency across different datasets. We believe this phenomenon is closely related to the hypothesis of attention heads as Information Movement (Elhage et al., 2021), which suggests that attention heads facilitate the transfer of information between tokens and that the residual stream vector space of one token typically contains information from other tokens. Thus, while factual recall may occur in the Multi-Layer Perceptron (Geva et al., 2021; Meng et al., 2022), masking retrieval heads may interfere with the information transfer from the question to the generated answer, potentially leading to hallucinations. We hypothesise that DeCoRe leverages this phenomenon, improving downstream results in factual recall tasks. In Appendix H.2, we provide detailed pairwise statistical significance analyses

409

410

411

412

413

414

415

416

417

418

419

420

421 422

423

494

425

426

of our results, indicating the statistically significant improvement yielded by DeCoRe<sub>entropy</sub> compared to other baselines in tasks such as PopQA and closed-book NQ-Open. 427

428

429

430

431

432

433

434

435

436

437

438

439

440

441

442

443

444

445

446

447

448

449

450

451

452

453

454

455

456

457

458

459

460

461

462

**DeCoRe with Chain-of-Thought.** To evaluate DeCoRe approaches on multi-hop reasoning tasks, we use the MuSiQue dataset Multi-hop reasoning requires integrating information across multiple steps, where retrieval heads play a crucial role by referencing earlier tokens. We conduct experiments in closed-book and open-book settings, with and without CoT prompting. In closed-book, models rely solely on parametric knowledge (akin to factuality evaluation), while in open-book, they access external knowledge (as in faithfulness evaluation).

As shown in Table 4, DeCoRe variants consistently improve the EM scores across various For the Llama3-8b-Instruct model, settings. DeCoRestatic enhances the EM score in the closed-book setup with CoT from 14.61% (base model) to 14.69%, while in the open-book setup without CoT, DeCoRe<sub>entropy</sub> achieves the highest score (61.98%). DeCoReentropy also yields accurate results in the open-book CoT scenario, achieving the most accurate results (74.47% EM). For the Llama3-70b-Instruct model, both DeCoRestatic and DeCoRe<sub>entropy</sub> yield very accurate results, improving the EM score in the closed-book setup with CoT from 20.15% (base model) to 20.60%. DeCoRestatic achieves the highest score in the openbook CoT setup (75.05%), with DeCoRe<sub>entropy</sub> closely following at 74.93%. These improvements underscore the effectiveness of DeCoRe in enhancing reasoning capabilities, especially when CoT prompting and external context are involved. The results show that DeCoRe improves information

Model		TruthfulQ	QA (MC)		TriviaQA	PopQA		Truthfu	IQA (Gen)	)	NQ-Open	Avg ↑
	MC1↑	$MC2\uparrow$	$MC3\uparrow$	Avg ↑	EM ↑	$\mathbf{EM}\uparrow$	%Truth	%Info	$\%T\cap I$	%Reject	EM ↑	11.8
Llama3-8b-Instruct	<u>39.41</u>	55.69	30.31	41.80	<u>56.58</u>	26.64	80.66	63.89	44.55	43.94	29.04	39.72
+ ITI (Li et al., 2024b)	43.70	62.78	34.91	47.13	48.41	15.63	87.52	78.46	66.10	25.46	22.07	39.87
+ DoLA (low) (Chuang et al., 2023)	39.05	55.65	30.06	41.59	56.63	26.58	80.66	62.91	43.70	45.04	29.15	39.53
+ DoLA (high) (Chuang et al., 2023)	38.68	55.64	30.19	41.50	56.50	26.49	80.78	62.67	43.45	44.92	29.19	39.43
+ AD (Chen et al., 2024)	31.21	55.30	28.28	38.26	54.93	26.38	80.42	63.40	43.82	43.82	28.32	38.34
+ DeCoRe <sub>static</sub>	38.68	55.74	29.80	41.41	56.93	26.86	80.78	67.93	48.71	41.74	29.42	40.67
+ DeCoRe <sub>entropy</sub>	38.43	<u>55.86</u>	<u>30.95</u>	<u>41.75</u>	56.40	26.88	78.95	74.05	<u>53.00</u>	38.68	28.96	41.40
Llama3-70b-Instruct	49.57	70.60	37.85	52.67	74.77	40.63	88.74	77.72	66.46	53.12	40.08	54.92
+ ITI (Li et al., 2024b)	48.96	67.04	37.27	51.09	73.54	39.62	82.50	74.30	56.92	37.94	38.57	51.95
+ CD (Li et al., 2023)	57.77	76.65	47.08	60.50	72.83	37.03	88.25	88.13	76.38	52.26	36.23	56.59
+ DoLA (low) (Chuang et al., 2023)	49.45	70.58	37.75	52.59	74.74	40.65	88.74	77.60	66.34	52.88	40.08	54.88
+ DoLA (high) (Chuang et al., 2023)	49.69	70.88	38.01	52.86	73.96	40.00	88.98	58.38	47.37	54.71	39.59	50.76
+ AD (Chen et al., 2024)	42.23	67.56	35.37	48.39	74.14	40.53	87.39	67.20	54.59	49.33	40.23	51.58
+ DeCoRe <sub>static</sub>	51.29	72.02	40.24	54.52	74.79	40.74	88.25	62.91	51.16	54.96	40.41	52.32
+ DeCoRe <sub>entropy</sub>	<u>53.98</u>	<u>73.44</u>	42.55	56.66	74.76	40.58	89.23	59.73	49.11	56.79	40.45	52.31
+ DeCoRe <sub>entropy-lite</sub>	55.32	73.38	<u>43.74</u>	<u>57.48</u>	73.87	39.09	88.13	90.09	78.21	52.02	39.21	57.57

Table 3: **Performance of models and decoding methods on factuality evaluation tasks.** For each base model, the best performance is indicated in **bold**, and the second-best is <u>underlined</u>.

Model	MuSiQue w	ithout CoT	MuSiQue	with CoT	Avg ↑
hidde	Closed Book $\uparrow$	Open Book $\uparrow$	Closed Book $\uparrow$	Open Book $\uparrow$	
Llama3-8b-Instruct	7.41	58.83	14.61	69.84	37.67
+ CAD (Shi et al., 2024)	-	57.88	-	73.02	38.23
+ ITI (Li et al., 2024b)	4.01	45.84	4.18	38.31	23.08
+ DoLA (Chuang et al., 2023)	7.24	59.08	14.94	69.92	37.79
+ AD (Chen et al., 2024)	6.99	58.63	14.40	69.92	37.49
+ DeCoRestatic	7.90	61.23	14.69	72.49	39.08
+ DeCoRe <sub>entropy</sub>	<u>7.70</u>	61.98	13.90	74.47	39.51
Llama3-70b-Instruct	11.79	68.56	20.15	74.43	43.73
+ CD (Li et al., 2023)	10.92	66.61	17.17	71.70	41.60
+ CAD (Shi et al., 2024)	-	68.64	-	74.02	43.65
+ ITI (Li et al., 2024b)	10.88	68.14	20.44	74.27	43.43
+ DoLA (Chuang et al., 2023)	11.42	68.68	20.15	74.64	43.72
+ AD (Chen et al., 2024)	11.38	68.14	20.23	74.27	43.51
+ DeCoRe <sub>static</sub>	11.79	69.76	20.60	75.05	44.30
+ DeCoRe <sub>entropy</sub>	11.75	69.84	20.60	74.93	44.28
+ DeCoRe <sub>entropy-lite</sub>	11.13	69.34	18.87	73.36	43.18

Table 4: **Performance of models and decoding methods on MuSiQue, a multi-hop reasoning dataset, with and without CoT in closed-book and open-book settings.** For each base model, the best performance is indicated in **bold**, and the second-best is <u>underlined</u>.

Model	Faithfulness	LitM	Factuality	СоТ	Overall
LLaMA3-8B-Instruct	60.43	47.34	39.72	37.67	46.29
+ ITI	50.21	13.84	39.87	23.08	31.50
+ CAD	66.57 <sup>1</sup>	33.80	$39.72^{1}$	$38.23^{1}$	44.58
+ DoLA (Low)	60.17	47.34	39.53	37.79	46.21
+ AD	59.84	47.46	38.34	37.49	45.78
+ DeCoRe <sub>static</sub>	63.64	<u>48.97</u>	40.67	<u>39.08</u>	48.09
+ DeCoRe <sub>entropy</sub>	<u>64.86</u>	49.10	41.40	39.51	48.72
LLaMA3-70B-Instruct	66.39	54.16	54.92	43.73	54.80
+ ITI	66.60	52.23	51.95	41.60	53.10
+ CD	63.66	52.69	56.59	43.65	54.15
+ CAD	<b>71.36</b> <sup>1</sup>	49.84	$54.92^{1}$	$43.43^{1}$	<u>54.89</u>
+ DoLA (Low)	66.23	54.15	54.88	43.72	54.75
+ AD	65.93	54.06	51.58	43.51	53.77
+ DeCoRe <sub>static</sub>	68.29	<u>54.25</u>	52.32	44.30	54.79
+ DeCoRe <sub>entropy</sub>	<u>68.94</u>	54.39	52.31	<u>44.28</u>	54.98
+ DeCoRe <sub>entropy-lite</sub>	63.76	54.06	57.57	43.18	54.64

Table 5: Aggregated metrics of different models and decoding methods. The overall average is calculated as the mean of Faithfulness, LitM, Factuality, and CoT aggregate scores. <sup>1</sup>we use the base model metrics in tasks where CAD was not applicable.

transfer between reasoning steps, leading to higher EM scores in closed and open-book settings. This validates the usefulness of DeCoRe in tasks requiring complex reasoning, validating the insights from Wu et al. (2024) on the significance of retrieval heads in multi-step reasoning. In Appendix H.3, we provide detailed pairwise statistical significance analyses of our results, indicating the statistically significant improvement yielded by DeCoRe<sub>entropy</sub> compared to other baselines, particularly in the open-book setup.

463

464

465

466

467

468

469

470

471

472

473

474 Overall, DeCoRe<sub>entropy</sub> achieves the highest over475 all aggregated score for LLaMA3-8B-Instruct and
476 LLaMA3-70B-Instruct models, surpassing other
477 decoding strategies as shown in Table 5. Detailed
478 computational performance metrics (TFLOPS),
479 showcasing the computational efficiency of
480 DeCoRe<sub>entropy</sub>, are provided in Appendix K.4.

**DeCoRe yields lower entropy across time in long generation tasks.** We found that lower conditional entropy is related to correct predictions; generated sequences with lower conditional entropy tend to be more reliable (see Appendix F). Motivated by this insight, we evaluate the lengthnormalised conditional entropy of different decoding strategies in long-generation tasks (*i.e.*, XSum, and MuSiQue with CoT prompting).

As shown in Figure 3, DeCoRe<sub>entropy</sub> yields lower conditional entropy compared to the baselines. DeCoRe<sub>entropy</sub> shows lower entropy in the open-book QA task (MuSiQue), with an average entropy of 0.29 compared to 0.30 for the baselines. Similarly, in XSum, DeCoRe<sub>entropy</sub> achieves an entropy of 0.38, outperforming the baselines. In tasks such as summarisation (XSum) and open-book QA (MuSiQue), lower entropy is crucial because the



Figure 3: Comparison of Length-normalised conditional entropy of Greedy, ITI, DoLa, and  $DeCoRe_{entropy}$  in long-generation tasks (*i.e.*, XSum (a), MuSiQue (Closed) + CoT (b), and MuSiQue (Open) + CoT (c)). Asterisks (\*) indicate statistically significant differences between the distributions based on one-tailed Welch's t-test results. Detailed results are listed in Table 35.

model must strictly adhere to the provided document or evidence while generating the summary or answer. Any deviation from the context can result in hallucinations or factually incorrect outputs. The lower entropy observed with DeCoRe<sub>entropy</sub> indicates that it generates less "surprising" sequences, reducing the likelihood of hallucinations.

Overall, the decrease in conditional entropy shows that DeCoRe<sub>entropy</sub> can maintain lower uncertainty in long-generation tasks. This reinforces the effectiveness of DeCoRe<sub>entropy</sub> in applications requiring high contextual faithfulness, such as summarisation and open-book QA. In Appendix L.2, we provide samples of text generated with DeCoRe in several long-form generation tasks, namely XSum, TruthfulQA (Gen), and MuSiQue with CoT—we can see that when using DeCoRe, the model tends to produce more faithful generations.

# 5 Related Works

499

500

503

504

506

507

510

511

512

513

514

515

516

517

518

519

521

522

523

524

528

530

531

534

Mechanistic Interpretability. Studies have attempted to understand the inner workings of LLMs by focusing on layers (Wallat et al., 2020; Geva et al., 2021; Meng et al., 2022; Yu et al., 2024), neurons (Dai et al., 2022), and attention heads (Elhage et al., 2021; Geva et al., 2023; Yuksekgonul et al., 2024). One example is the identification of *induction heads*, the attention heads that look back over the context to predict a similar completion (Olsson et al., 2022). Wu et al. (2024) identified retrieval heads, a specific set of attention heads responsible for maintaining long-context factuality. These insights into the internal workings of LLMs is instrumental to our work, which focuses on these mechanisms to reduce hallucination. Our work leverages the idea that the masking of retrieval heads leads to hallucination.

**Constrained Decoding.** Constrained decoding intervenes during generation to reduce hallucinations. ITI (Li et al., 2024b) modifies attention heads associated with truthfulness, while CD (Li et al., 2023) improves coherence by contrasting stronger and weaker LMs. CAD (Shi et al., 2024) mitigates contextual hallucinations by contrasting outputs with and without context, and Zhao et al. (2024) contrast answers from correct versus adversarial passages. ICD Zhang et al. 2023a requires finetuning on non-factual datasets. More closely related to DeCoRe are DoLa (Chuang et al., 2023) and ACD Gera et al. 2023, which contrast final layer predictions against earlier ones via early exiting (Teerapittayanon et al., 2016). AD (Chen et al., 2024) examines context activation sharpness to calibrate token probabilities. DeCoRe uniquely masks retrieval heads to induce hallucinations, then applies dynamic entropy-controlled contrastive decoding without fine-tuning, amplifying differences between a base model and its masked variant.

# 6 Conclusions

DeCoRe (Decoding by Contrasting Retrieval Heads) is a novel decoding strategy that reduces faithfulness and factuality hallucinations in LLMs. DeCoRe masks retrieval heads to create a version of the model that is more likely to generate hallucinations and combines it with the original model via a contrastive decoding scheme (Section 2.2). Furthermore, we use the conditional entropy of the next-token distribution of the model to control the strength of the contrastive decoding scheme (Section 2.3). Our experimental results show that DeCoRe significantly improves the accuracy of the model in tasks requiring contextual faithfulness and in some factual recall and reasoning tasks.

568

569

570

535

536

537

538

# 624 625 626 627 628 629 630 631 632 633 634 635 636 637 638 639 640 641 642 643 644 645 646 647 648 649 650 651 652 653 654 655 656 657 658 659 660 661 662 663 664 665

666

667

668

669

670

671

672

673

674

675

676

677

678

679

680

# 571 Limitations

While DeCoRe improves the performance of the base model across most tasks, there is no "free 573 lunch"; existing baselines may still produce more 574 accurate results than DeCoRe in specific tasks (e.g., 575 ITI in TruthfulQA or CAD in NQ-Swap). However, these baselines often offer limited improvements 577 or may even generate less accurate responses in other tasks. We also observed that DeCoRe offers only marginal enhancements in factual recall tasks, 580 suggesting that retrieval heads may not play a pri-581 mary role in factual recall except for information transfer. Finally, while we propose using the condi-583 tional entropy of the model's next-token distribution to control the contrastive decoding scheme in DeCoRe, semantic-based methods of uncertainty 586 587 quantification may also be used (Farguhar et al., 2024).

# References

589

590

591

593

594

595

596

597

599

606

608

610

611

612

613

614

615

616

617

618

619

623

Muhammad Aurangzeb Ahmad, Ilker Yaramis, and Taposh Dutta Roy. 2023. Creating trustworthy llms: Dealing with hallucinations in healthcare ai. *arXiv preprint arXiv:2311.01463*.

Tom Brown, Benjamin Mann, Nick Ryder, Melanie Subbiah, Jared D Kaplan, Prafulla Dhariwal, Arvind Neelakantan, Pranav Shyam, Girish Sastry, Amanda Askell, Sandhini Agarwal, Ariel Herbert-Voss, Gretchen Krueger, Tom Henighan, Rewon Child, Aditya Ramesh, Daniel Ziegler, Jeffrey Wu, Clemens Winter, Chris Hesse, Mark Chen, Eric Sigler, Mateusz Litwin, Scott Gray, Benjamin Chess, Jack Clark, Christopher Berner, Sam McCandlish, Alec Radford, Ilya Sutskever, and Dario Amodei. 2020. Language models are few-shot learners. In *Advances in Neural Information Processing Systems*, volume 33, pages 1877–1901. Curran Associates, Inc.

- Shiqi Chen, Miao Xiong, Junteng Liu, Zhengxuan Wu, Teng Xiao, Siyang Gao, and Junxian He. 2024. Incontext sharpness as alerts: An inner representation perspective for hallucination mitigation. In *ICLR* 2024 Workshop on Reliable and Responsible Foundation Models.
- Yung-Sung Chuang, Linlu Qiu, Cheng-Yu Hsieh, Ranjay Krishna, Yoon Kim, and James Glass. 2024. Lookback lens: Detecting and mitigating contextual hallucinations in large language models using only attention maps. *arXiv preprint arXiv:2407.07071*.
- Yung-Sung Chuang, Yujia Xie, Hongyin Luo, Yoon Kim, James Glass, and Pengcheng He. 2023. Dola: Decoding by contrasting layers improves factuality in large language models. *arXiv preprint arXiv:2309.03883*.

- Matthew Dahl, Varun Magesh, Mirac Suzgun, and Daniel E Ho. 2024. Large legal fictions: Profiling legal hallucinations in large language models. *arXiv preprint arXiv:2401.01301*.
- Damai Dai, Li Dong, Yaru Hao, Zhifang Sui, Baobao Chang, and Furu Wei. 2022. Knowledge neurons in pretrained transformers. In *Proceedings of the 60th Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers)*, pages 8493– 8502, Dublin, Ireland. Association for Computational Linguistics.
- Abhimanyu Dubey, Abhinav Jauhri, Abhinav Pandey, Abhishek Kadian, Ahmad Al-Dahle, Aiesha Letman, Akhil Mathur, Alan Schelten, Amy Yang, Angela Fan, et al. 2024. The llama 3 herd of models. *arXiv preprint arXiv:2407.21783*.
- Olive Jean Dunn. 1961. Multiple comparisons among means. *Journal of the American statistical association*, 56(293):52–64.
- Nelson Elhage, Neel Nanda, Catherine Olsson, Tom Henighan, Nicholas Joseph, Ben Mann, Amanda Askell, Yuntao Bai, Anna Chen, Tom Conerly, et al. 2021. A mathematical framework for transformer circuits. In *Transformer Circuits Thread*.
- Sebastian Farquhar, Jannik Kossen, Lorenz Kuhn, and Yarin Gal. 2024. Detecting hallucinations in large language models using semantic entropy. *Nat.*, 630(8017):625–630.
- Shangbin Feng, Vidhisha Balachandran, Yuyang Bai, and Yulia Tsvetkov. 2023. FactKB: Generalizable factuality evaluation using language models enhanced with factual knowledge. In *Proceedings of the 2023 Conference on Empirical Methods in Natural Language Processing*, pages 933–952, Singapore. Association for Computational Linguistics.
- Leo Gao, Jonathan Tow, Baber Abbasi, Stella Biderman, Sid Black, Anthony DiPofi, Charles Foster, Laurence Golding, Jeffrey Hsu, Alain Le Noac'h, Haonan Li, Kyle McDonell, Niklas Muennighoff, Chris Ociepa, Jason Phang, Laria Reynolds, Hailey Schoelkopf, Aviya Skowron, Lintang Sutawika, Eric Tang, Anish Thite, Ben Wang, Kevin Wang, and Andy Zou. 2024. A framework for few-shot language model evaluation.
- Ariel Gera, Roni Friedman, Ofir Arviv, Chulaka Gunasekara, Benjamin Sznajder, Noam Slonim, and Eyal Shnarch. 2023. The benefits of bad advice: Autocontrastive decoding across model layers. In Proceedings of the 61st Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers), pages 10406–10420, Toronto, Canada. Association for Computational Linguistics.
- Mor Geva, Jasmijn Bastings, Katja Filippova, and Amir Globerson. 2023. Dissecting recall of factual associations in auto-regressive language models. In *Empirical Methods in Natural Language Processing* (*EMNLP*).

Mor Geva, Roei Schuster, Jonathan Berant, and Omer Levy. 2021. Transformer feed-forward layers are keyvalue memories. In *Proceedings of the 2021 Conference on Empirical Methods in Natural Language Processing*, pages 5484–5495, Online and Punta Cana, Dominican Republic. Association for Computational Linguistics.

700

705

707

709

710

711

712

713

714

715

717

718 719

720

721

722

723

724

725

727

728

729

730

731

732

733

734

736

- Giwon Hong, Aryo Pradipta Gema, Rohit Saxena, Xiaotang Du, Ping Nie, Yu Zhao, Laura Perez-Beltrachini, Max Ryabinin, Xuanli He, and Pasquale Minervini. 2024. The hallucinations leaderboard–an open effort to measure hallucinations in large language models. *arXiv preprint arXiv:2404.05904*.
- Lei Huang, Weijiang Yu, Weitao Ma, Weihong Zhong, Zhangyin Feng, Haotian Wang, Qianglong Chen, Weihua Peng, Xiaocheng Feng, Bing Qin, et al. 2023. A survey on hallucination in large language models: Principles, taxonomy, challenges, and open questions. arXiv preprint arXiv:2311.05232.
- Ziwei Ji, Nayeon Lee, Rita Frieske, Tiezheng Yu, Dan Su, Yan Xu, Etsuko Ishii, Ye Jin Bang, Andrea Madotto, and Pascale Fung. 2023. Survey of hallucination in natural language generation. *ACM Computing Surveys*, 55(12):1–38.
- Albert Q Jiang, Alexandre Sablayrolles, Arthur Mensch, Chris Bamford, Devendra Singh Chaplot, Diego de las Casas, Florian Bressand, Gianna Lengyel, Guillaume Lample, Lucile Saulnier, et al. 2023. Mistral 7b. *arXiv preprint arXiv:2310.06825*.
- Mandar Joshi, Eunsol Choi, Daniel Weld, and Luke Zettlemoyer. 2017. triviaqa: A Large Scale Distantly Supervised Challenge Dataset for Reading Comprehension. *arXiv e-prints*, arXiv:1705.03551.
  - Saurav Kadavath, Tom Conerly, Amanda Askell, Tom Henighan, Dawn Drain, Ethan Perez, Nicholas Schiefer, Zac Hatfield-Dodds, Nova DasSarma, Eli Tran-Johnson, Scott Johnston, Sheer El Showk, Andy Jones, Nelson Elhage, Tristan Hume, Anna Chen, Yuntao Bai, Sam Bowman, Stanislav Fort, Deep Ganguli, Danny Hernandez, Josh Jacobson, Jackson Kernion, Shauna Kravec, Liane Lovitt, Kamal Ndousse, Catherine Olsson, Sam Ringer, Dario Amodei, Tom Brown, Jack Clark, Nicholas Joseph, Ben Mann, Sam McCandlish, Chris Olah, and Jared Kaplan. 2022. Language models (mostly) know what they know. *CoRR*, abs/2207.05221.
- Greg Kamradt. 2023. Needle in a haystack pressure testing llms. https://github.com/gkamradt/ LLMTest\_NeedleInAHaystack.
- Nikhil Kandpal, Haikang Deng, Adam Roberts, Eric Wallace, and Colin Raffel. 2023. Large language models struggle to learn long-tail knowledge. In *Proceedings of the 40th International Conference on Machine Learning*, volume 202 of *Proceedings of Machine Learning Research*, pages 15696–15707. PMLR.

Tom Kwiatkowski, Jennimaria Palomaki, Olivia Redfield, Michael Collins, Ankur Parikh, Chris Alberti, Danielle Epstein, Illia Polosukhin, Matthew Kelcey, Jacob Devlin, Kenton Lee, Kristina N. Toutanova, Llion Jones, Ming-Wei Chang, Andrew Dai, Jakob Uszkoreit, Quoc Le, and Slav Petrov. 2019. Natural questions: a benchmark for question answering research. *Transactions of the Association of Computational Linguistics*. 737

738

739

740

741

742

744

745

746

747

749

750

751

753

755

756

757

759

760

761

762

763

764

765

766

767

768

769

770

771

774

775

776

777

778

779

780

781

782

783

784

785

786

787

788

790

- Kenton Lee, Ming-Wei Chang, and Kristina Toutanova.
  2019. Latent retrieval for weakly supervised open domain question answering. In *Proceedings of the* 57th Annual Meeting of the Association for Computational Linguistics, pages 6086–6096, Florence, Italy. Association for Computational Linguistics.
- Junyi Li, Jie Chen, Ruiyang Ren, Xiaoxue Cheng, Wayne Xin Zhao, Jian-Yun Nie, and Ji-Rong Wen. 2024a. The dawn after the dark: An empirical study on factuality hallucination in large language models. *arXiv preprint arXiv:2401.03205*.
- Kenneth Li, Oam Patel, Fernanda Viégas, Hanspeter Pfister, and Martin Wattenberg. 2024b. Inferencetime intervention: Eliciting truthful answers from a language model. *Advances in Neural Information Processing Systems*, 36.
- Xiang Lisa Li, Ari Holtzman, Daniel Fried, Percy Liang, Jason Eisner, Tatsunori Hashimoto, Luke Zettlemoyer, and Mike Lewis. 2023. Contrastive decoding: Open-ended text generation as optimization. In *Proceedings of the 61st Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers)*, pages 12286–12312, Toronto, Canada. Association for Computational Linguistics.
- Chin-Yew Lin. 2004. ROUGE: A package for automatic evaluation of summaries. In *Text Summarization Branches Out*, pages 74–81, Barcelona, Spain. Association for Computational Linguistics.
- Stephanie Lin, Jacob Hilton, and Owain Evans. 2022. Truthfulqa: Measuring how models mimic human falsehoods. In Proceedings of the 60th Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers), ACL 2022, Dublin, Ireland, May 22-27, 2022, pages 3214–3252. Association for Computational Linguistics.
- Alisa Liu and Jiacheng Liu. 2023. The memotrap dataset.
- Nelson F. Liu, Kevin Lin, John Hewitt, Ashwin Paranjape, Michele Bevilacqua, Fabio Petroni, and Percy Liang. 2024. Lost in the middle: How language models use long contexts. *Transactions of the Association for Computational Linguistics*, 12:157–173.
- Shayne Longpre, Kartik Perisetla, Anthony Chen, Nikhil Ramesh, Chris DuBois, and Sameer Singh. 2021. Entity-based knowledge conflicts in question answering. In *Proceedings of the 2021 Conference*

792

- 844
- 847

on Empirical Methods in Natural Language Processing, pages 7052–7063, Online and Punta Cana, Dominican Republic. Association for Computational Linguistics.

- Andrey Malinin and Mark J. F. Gales. 2021. Uncertainty estimation in autoregressive structured prediction. In ICLR. OpenReview.net.
- Alex Mallen, Akari Asai, Victor Zhong, Rajarshi Das, Daniel Khashabi, and Hannaneh Hajishirzi. 2023. When not to trust language models: Investigating effectiveness of parametric and non-parametric memories. In ACL (1), pages 9802–9822. Association for Computational Linguistics.
- Henry B Mann and Donald R Whitney. 1947. On a test of whether one of two random variables is stochastically larger than the other. The annals of mathematical statistics, pages 50-60.
- Daniel McFadden et al. 1973. Conditional logit analysis of qualitative choice behavior.(1973). Frontiers in Econometrics, ed. P. Zarembka, pages 105-42.
- Quinn McNemar. 1947. Note on the sampling error of the difference between correlated proportions or percentages. Psychometrika, 12(2):153-157.
- Kevin Meng, David Bau, Alex Andonian, and Yonatan Belinkov. 2022. Locating and editing factual associations in gpt. In Advances in Neural Information Processing Systems, volume 35, pages 17359–17372. Curran Associates, Inc.
- Shashi Narayan, Shay B. Cohen, and Mirella Lapata. 2018. Don't give me the details, just the summary! Topic-aware convolutional neural networks for extreme summarization. In Proceedings of the 2018 Conference on Empirical Methods in Natural Language Processing, Brussels, Belgium.
- Catherine Olsson, Nelson Elhage, Neel Nanda, Nicholas Joseph, Nova DasSarma, Tom Henighan, Ben Mann, Amanda Askell, Yuntao Bai, Anna Chen, et al. 2022. In-context learning and induction heads. arXiv preprint arXiv:2209.11895.
- Long Ouvang, Jeffrey Wu, Xu Jiang, Diogo Almeida, Carroll Wainwright, Pamela Mishkin, Chong Zhang, Sandhini Agarwal, Katarina Slama, Alex Ray, John Schulman, Jacob Hilton, Fraser Kelton, Luke Miller, Maddie Simens, Amanda Askell, Peter Welinder, Paul F Christiano, Jan Leike, and Ryan Lowe. 2022. Training language models to follow instructions with human feedback. In Advances in Neural Information Processing Systems, volume 35, pages 27730–27744. Curran Associates, Inc.
- Alec Radford, Jeff Wu, Rewon Child, David Luan, Dario Amodei, and Ilya Sutskever. 2019. Language models are unsupervised multitask learners. In Technical report, OpenAi.
- Vipula Rawte, Amit Sheth, and Amitava Das. 2023. A survey of hallucination in large foundation models. arXiv preprint arXiv:2309.05922.

Weijia Shi, Xiaochuang Han, Mike Lewis, Yulia Tsvetkov, Luke Zettlemoyer, and Wen-tau Yih. 2024. Trusting your evidence: Hallucinate less with contextaware decoding. In Proceedings of the 2024 Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies (Volume 2: Short Papers), pages 783-791, Mexico City, Mexico. Association for Computational Linguistics.

848

849

850

851

852

853

854

855

856

857

858

859

860

861

862

863

864

865

866

867

868

869

870

871

872

873

874

875

876

877

878

879

880

881

882

883

884

885

888

889

890

891

892

893

894

895

896

897

898

899

900

901

902

903

- Student. 1908. The probable error of a mean. Biometrika, pages 1-25.
- Surat Teerapittayanon, Bradley McDanel, and Hsiang-Tsung Kung. 2016. Branchynet: Fast inference via early exiting from deep neural networks. In 2016 23rd International Conference on Pattern Recognition (ICPR), pages 2464–2469. IEEE.
- Hugo Touvron, Louis Martin, Kevin Stone, Peter Albert, Amjad Almahairi, Yasmine Babaei, Nikolay Bashlykov, Soumya Batra, Prajjwal Bhargava, Shruti Bhosale, et al. 2023. Llama 2: Open foundation and fine-tuned chat models. arXiv preprint arXiv:2307.09288.
- Harsh Trivedi, Niranjan Balasubramanian, Tushar Khot, and Ashish Sabharwal. 2022. J MuSiQue: Multihop questions via single-hop question composition. Transactions of the Association for Computational Linguistics, 10:539-554.
- Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, Ł ukasz Kaiser, and Illia Polosukhin. 2017. Attention is all you need. In Advances in Neural Information Processing Systems, volume 30. Curran Associates, Inc.
- Jonas Wallat, Jaspreet Singh, and Avishek Anand. 2020. BERTnesia: Investigating the capture and forgetting of knowledge in BERT. In Proceedings of the Third BlackboxNLP Workshop on Analyzing and Interpreting Neural Networks for NLP, pages 174-183, Online. Association for Computational Linguistics.
- Jason Wei, Maarten Bosma, Vincent Zhao, Kelvin Guu, Adams Wei Yu, Brian Lester, Nan Du, Andrew M. Dai, and Quoc V Le. 2022a. Finetuned language models are zero-shot learners. In International Conference on Learning Representations.
- Jason Wei, Xuezhi Wang, Dale Schuurmans, Maarten Bosma, Fei Xia, Ed Chi, Quoc V Le, Denny Zhou, et al. 2022b. Chain-of-thought prompting elicits reasoning in large language models. Advances in neural information processing systems, 35:24824–24837.
- Thomas Wolf, Lysandre Debut, Victor Sanh, Julien Chaumond, Clement Delangue, Anthony Moi, Pierric Cistac, Tim Rault, Rémi Louf, Morgan Funtowicz, Joe Davison, Sam Shleifer, Patrick von Platen, Clara Ma, Yacine Jernite, Julien Plu, Canwen Xu, Teven Le Scao, Sylvain Gugger, Mariama Drame, Quentin Lhoest, and Alexander M. Rush. 2020. Transformers: State-of-the-art natural language processing. In Proceedings of the 2020 Conference on Empirical

905

906

- 951 952
- 953 954

Methods in Natural Language Processing: System Demonstrations, pages 38-45, Online. Association for Computational Linguistics.

- Wenhao Wu, Yizhong Wang, Guangxuan Xiao, Hao Peng, and Yao Fu. 2024. Retrieval head mechanistically explains long-context factuality. arXiv preprint arXiv:2404.15574.
- An Yang, Baosong Yang, Binyuan Hui, Bo Zheng, Bowen Yu, Chang Zhou, Chengpeng Li, Chengyuan Li, Dayiheng Liu, Fei Huang, et al. 2024. Qwen2 technical report. arXiv preprint arXiv:2407.10671.
- Lei Yu, Meng Cao, Jackie Chi Kit Cheung, and Yue Dong. 2024. Mechanisms of non-factual hallucinations in language models. arXiv preprint arXiv:2403.18167.
- Mert Yuksekgonul, Varun Chandrasekaran, Erik Jones, Suriya Gunasekar, Ranjita Naik, Hamid Palangi, Ece Kamar, and Besmira Nushi. 2024. Attention satisfies: A constraint-satisfaction lens on factual errors of language models. In International Conference on Learning Representations (ICLR).
- Tianyi Zhang, Varsha Kishore, Felix Wu, Kilian Q. Weinberger, and Yoav Artzi. 2020. Bertscore: Evaluating text generation with BERT. In 8th International Conference on Learning Representations, ICLR 2020, Addis Ababa, Ethiopia, April 26-30, 2020. OpenReview.net.
- Yue Zhang, Leyang Cui, Wei Bi, and Shuming Shi. Alleviating hallucinations of large lan-2023a. guage models through induced hallucinations. arXiv preprint arXiv:2312.15710.
- Yue Zhang, Yafu Li, Leyang Cui, Deng Cai, Lemao Liu, Tingchen Fu, Xinting Huang, Enbo Zhao, Yu Zhang, Yulong Chen, et al. 2023b. Siren's song in the ai ocean: a survey on hallucination in large language models. arXiv preprint arXiv:2309.01219.
- Zheng Zhao, Emilio Monti, Jens Lehmann, and Haytham Assem. 2024. Enhancing contextual understanding in large language models through contrastive decoding. In Proceedings of the 2024 Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies (Volume 1: Long Papers), pages 4225-4237, Mexico City, Mexico. Association for Computational Linguistics.
- Jeffrey Zhou, Tianjian Lu, Swaroop Mishra, Siddhartha Brahma, Sujoy Basu, Yi Luan, Denny Zhou, and Le Hou. 2023. Instruction-following evaluation for large language models. arXiv preprint arXiv:2311.07911.

# Appendix

# Table of Contents

Appendix A - Reproducibility Statement
Appendix B - Ethics Statement
Appendix C - Retrieval Heads
C.1. Extraction of Retrieval Heads
Appendix D - Performance of Baseline Model with Masked Heads
D.2. Faithfulness
D.3. Factuality
D.4. Chain-of-Thought
Appendix E - Additional TruthfulQA Generation Evaluation
E.1. Evaluation of Non-rejection Responses
E.2. Evaluation Cost
Appendix F - Correlation between Length-normalised Entropy and Correctness
F.1. Rationale
F.2. Statistical Tests
F.2. Regression
Appendix G - Detailed Results of Masked Heads Ablation Study
G.1. Effect of Retrieval Head Masking on Task Performance of DeCoRe
G.2. Effect of Random Head Masking on Task Performance of DeCoRe
G.3. Faithfulness
G.4. Factuality
G.5. Chain-of-Thought
Appendix H - Pairwise Statistical Tests of the Main Results
H.1. Faithfulness
H.2. Factuality
H.3. Chain-of-Thought
Appendix I - Ablation with Other LLM Families
I.1. Faithfulness
I.2. Factuality
I.3. Chain-of-Thought
Appendix J - Ablation of DeCoRe <sub>static</sub>
J.1. Faithfulness
J.2. Factuality
J.3. Chain-of-Thought

955

(b) Did you include complete proofs of all theoretical results?	[N/A]
---	-------

3. If you ran experiments (e.g. for benchmarks)...

2. If you are including theoretical results...

- (a) Did you include the code, data, and instructions needed to reproduce the main experimental results (either in the supplemental material or as a URL)? [Yes] Our code is available at https://anonymous.4open.science/r/decore-4FB7. See details in Appendix K for more details.
- (b) Did you specify all the training details (e.g., data splits, hyperparameters, how they were chosen)? [Yes] We mention the implementation details including the hardware, libraries, implementation of the baselines, as well as task-specific setups in Appendix K. We also provide a justification of the number of retrieval heads to be masked in Appendix C.2. Additionally, we provide the full ablation study results of different number of retrieval heads in Appendix G.
- (c) Did you report error bars (e.g., with respect to the random seed after running experiments multiple times)? [Yes] We reported error bars for experiments requiring multiple runs (*i.e.*, masking random heads in Figure 5 and Figure 8, along with their accompanying tables).
- (d) Did you include the total amount of compute and the type of resources used (e.g., type of GPUs, internal cluster, or cloud provider)? [Yes] See Appendix K.1.
- 1027 4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets...
  - (a) If your work uses existing assets, did you cite the creators? [Yes] See Section 3
  - (b) Did you mention the license of the assets? [N/A] All used assets are open-source.
  - (c) Did you include any new assets either in the supplemental material or as a URL? [Yes] Our code is available at https://anonymous.4open.science/r/decore-4FB7.

91	Appendix K - Implementation Details	
92	K.1. Hardware and Library	32
93	K.2. Baselines Implementation	
94	K.3. Additional Experimental Setting Details	
95	K.4. Computational Performance in TFLOPS	
96	Appendix L - Long Generation Results	
97	L.1. Averaged Length-Normalised Conditional Entropy	
98	L.2. Qualitative Examples	

...

D / 1

# A Reproducibility Statement

1. For all authors...

1000

1001

1002

1004

1005

1006

1007

1008

1009

1011

1013

1014

1015

1017

1018

1019

1020

1021

1022

1024

1025

1026

1028

1029

1031

- (a) Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope? [Yes] We claim to propose a novel training-free decoding strategy that leverages retrieval head mechanism, which we present as DeCoRe (Decoding by Contrasting Retrieval Heads).
  - (b) Did you describe the limitations of your work? [Yes] See Section 6.

(a) Did you state the full set of assumptions of all theoretical results? [N/A]

- (c) Did you discuss any potential negative societal impacts of your work? [Yes] See Appendix B.
- (d) Have you read the ethics review guidelines and ensured that your paper conforms to them? [Yes]

(d) Did you discuss whether and how consent was obtained from people whose data you're us- ing/curating? [N/A]	1032 1033
(e) Did you discuss whether the data you are using/curating contains personally identifiable infor- mation or offensive content? [N/A]	1034 1035
5. If you used crowdsourcing or conducted research with human subjects	1036
(a) Did you include the full text of instructions given to participants and screenshots, if applicable?	1037
[N/A]	1038
(b) Did you describe any potential participant risks, with links to Institutional Review Board (IRB) approvals, if applicable? [N/A]	1039 1040
(c) Did you include the estimated hourly wage paid to participants and the total amount spent on participant compensation? [N/A]	1041 1042
<b>B</b> Ethics Statement	1043
Our proposed method, DeCoRe, aims to mitigate hallucinations in LLMs, particularly in tasks where	1044
contextual faithfulness are critical. By improving the reliability of LLMs, DeCoRe has the potential to	1045
reduce the risks associated with incorrect or misleading information generation.	1046
Despite these positive intentions, there is a potential for DeCoRe to be misused. For example, its	1047
ability to suppress contextual hallucinations may be exploited to generate more convincing but misleading	1048
content by providing it with factually incorrect or unverified contextual documents.	1049
To mitigate these risks, we have open-sourced our implementation to facilitate broader scrutiny from	1050
the community. Furthermore, we recommend that DeCoRe be applied with caution in sensitive domains where even small inaccuracies may have significant consequences, such as clinical and legal domains.	1051 1052
C Retrieval Heads	1053
C.1 Extraction of Retrieval Heads	1054
We follow the procedure provided by Wu et al. $(2024)^4$ which defines the retrieval score of attention heads	1055
as the ratio of successful copy-paste operations. They propose to calculate the retrieval score by compiling	1056
three sets of Needle-in-a-Haystack samples (Kamradt, 2023). Given a question q and its corresponding	1057
answer $k$ (the needle), we insert $k$ in a given context $x$ (the haystack) at a random position index range	1058
$i_q$ . The language model is then tasked with answering q based on the haystack with the inserted needle.	1059
We set $q$ and $k$ unique and irrelevant with the given long context, ensuring that if an answer is correctly	1060
generated, it is indeed copied from the context, not from the model's internal knowledge. Retrieval score	1061
of head $h$ is defined as:	1062
$retrieval\_score_h = \frac{ g_h \cap k }{ k }$	1063
Where $g_h$ is the set of tokens copy-pasted by head h. Retrieval score signifies the ability of an attention	1064
head to recall tokens from the given context, and can be used as a metric to identify retrieval heads in	1065
transformer-based LLMs.	1066

# C.2 Retrieval Scores

As shown in Figure 4, the retrieval scores for each model follow a similar pattern across all examined 1068 LLM variants. According to Wu et al. (2024), an attention head can be considered a retrieval head if it 1069 performs a copy-paste operation at least 10% of the time, which corresponds to a retrieval score of 0.1. In 1070 all the models evaluated, the retrieval scores drop below 0.1 just before reaching the 50th retrieval head. 1071 This indicates that beyond this number, the attention heads may not be reliably performing retrieval tasks. 1072 Table 6 provides the precise retrieval scores for selected heads in each model. 1073

<sup>&</sup>lt;sup>4</sup>https://github.com/nightdessert/Retrieval\_Head



Figure 4: Retrieval scores of the Retrieval Heads with non-zero retrieval scores.

<b>Retrieval Head ID</b>	Meta-Llama-3-8B	Meta-Llama-3-8B-Instruct	Meta-Llama-3-70B-Instruct	Mistral-7B-Instruct-v0.3	Qwen2-7B-Instruct
1	0.9341	0.9447	0.9172	0.8741	0.7746
10	0.4666	0.4421	0.3844	0.3167	0.3487
20	0.2927	0.2743	0.1874	0.1951	0.1986
30	0.1347	0.1421	0.1310	0.1457	0.1243
40	0.1074	0.1131	0.1112	0.1115	0.1077
50	0.0881	0.0916	0.0914	0.0944	0.0843
60	0.0735	0.0751	0.0867	0.0852	0.0703
70	0.0623	0.0659	0.0814	0.0751	0.0620
80	0.0572	0.0604	0.0630	0.0704	0.0524
90	0.0491	0.0513	0.0571	0.0641	0.0412
100	0.0433	0.0452	0.0526	0.0538	0.0352

Table 6: Retrieval Scores of the Retrieval Heads of each model.

To ensure the robustness of our experiments, we extended the masking of retrieval heads up to the 100th retrieval head for each model, even though the data suggest that heads beyond the 50th have minimal retrieval ability. This conservative approach ensures that we comprehensively account for all potential retrieval heads during the contrastive decoding process.

# D Performance of Baseline Model with Masked Heads

# D.1 Rationale

1078

1079

1080

1081

1083

1085

1086

1087

1088

1089

1091

1093

DeCoRe operates under the assumption that masking retrieval heads would cause hallucinations in LLMs. Therefore, the expected behaviour is that the performance of the LLM would go down the more retrieval heads that are masked.

# D.2 Faithfulness

Figure 5a illustrates the contrasting effects of masking retrieval heads (blue) and random heads (orange) on faithfulness evaluation tasks across XSum, MemoTrap, open-book NQ, and NQ-Swap.

In XSum, masking retrieval heads results in a sharp decline in factKB scores ( $r_{ret} = -0.93$ ), indicating the critical role of retrieval heads in maintaining factual consistency in summarisation. Masking random heads also causes a gradual decline ( $r_{random} = -0.94$ ), however, the variance is high which suggests that retrieval heads are more important for contextual faithfulness.

For MemoTrap, masking retrieval heads shows a moderate correlation with the macro-averaged accuracy  $(r_{\text{ret}} = -0.43)$ , while masking random heads surprisingly improves performance  $(r_{\text{random}} = 0.84)$ . This implies that retrieval heads are essential for instruction-following, while random heads may not play as crucial a role and can even hinder performance.



(c) Chain-of-Thought Reasoning Evaluation Tasks

Figure 5: Correlation between the number of masked retrieval heads or random heads and performance of Llama3-8B-Instruct on faithfulness (a), factuality (b), and Chain-of-Thought reasoning (c) evaluation tasks. The correlations are quantified by the Pearson Correlation Coefficient r for each plot. Detailed results are listed in Table 7, Table 8, Table 9, Table 10, Table 11, and Table 12.

Model	Masked Retrieval Heads	XSum			MemoTrap		IFF	Eval	NQ-Open	NQ-Swap
	masked Retrieval freads	$\textbf{ROUGE-L} \uparrow$	BERTScore-F1 ↑	factKB ↑	Macro Acc ↑	Micro Acc ↑	Prompt Acc ↑	Instruct Acc $\uparrow$	EM ↑	EM ↑
	0 (Baseline)	19.90	67.23	47.61	65.86	64.40	70.24	78.30	69.68	60.62
	10	20.51	67.33	36.56	66.76	65.89	62.66	72.90	64.26	42.92
	20	20.52	67.07	34.89	64.44	63.96	63.77	73.74	62.30	43.57
	30	20.21	66.49	29.70	65.92	64.12	61.74	72.54	63.24	46.48
	40	19.92	66.24	26.72	66.83	64.83	58.41	68.94	62.79	46.73
Llama3-8B-Instruct	50	20.05	66.47	25.97	68.08	67.07	55.08	66.91	62.49	44.77
	60	20.05	66.54	23.33	68.49	67.03	55.27	67.15	62.90	44.23
	70	19.42	66.14	24.55	67.88	65.89	56.01	68.23	63.01	46.97
	80	19.13	64.53	22.40	64.72	62.23	55.08	67.63	60.45	43.62
	90	19.46	64.39	21.12	63.77	61.28	54.16	66.55	57.97	40.77
	100	19.54	62.47	17.13	60.02	56.95	47.50	59.47	56.61	39.02

Table 7: Performance comparison of Llama3-8B-Instruct with different number of masked retrieval heads on faithfulness evaluation tasks.

Model	Masked Retrieval Heads	XSum			MemoTrap		IFI	Eval	NQ-Open	NQ-Swap
Model	Masked Refre var Heads	$\textbf{ROUGE-L} \uparrow$	$\textbf{BERTScore-F1} \uparrow$	factKB ↑	Macro Acc ↑	Micro Acc ↑	Prompt Acc ↑	Instruct Acc ↑	EM ↑	EM ↑
	0 (Baseline)	19.90	67.23	47.61	65.86	64.40	70.24	78.30	69.68	60.62
	10	$20.09 \pm 0.21$	67.07 ±0.32	$44.52_{\pm 4.86}$	66.79 ±2.11	65.16 ±2.61	$68.64 \pm 0.77$	77.14 ±0.39	$69.45_{\pm 0.46}$	$61.39_{\pm 0.24}$
	20	$20.00 \pm 0.15$	$66.80 \pm 0.46$	$40.77 \pm 5.98$	67.89 ±3.24	66.54 ±4.43	$69.50 \pm 0.93$	$77.66 \pm 0.68$	$68.94 \pm 0.81$	$60.67 \pm 2.08$
	30	$19.87 \pm 0.18$	66.61 ±0.89	$36.65 \pm 11.64$	66.88 ±2.66	65.29 ±3.71	$68.27 \pm 1.36$	76.58 ±1.45	$69.18 \pm 0.66$	$60.70 \pm 2.87$
	40	$19.63_{\pm 0.09}$	66.55 ±1.12	35.09 ±14.85	66.29 ±2.05	63.83 ±3.39	67.59 ±1.34	75.86 ±1.20	$68.78_{\pm 1.19}$	57.19 ±6.92
Llama3-8B-Instruct	50	$19.59 \pm 0.19$	66.34 ±1.23	32.25 ±14.71	$67.59 \pm 2.09$	64.76 ±3.84	$66.23 \pm 1.98$	$75.18 \pm 1.26$	$68.57 \pm 0.80$	57.21 ±5.62
	60	$19.28 \pm 0.77$	$66.02 \pm 1.52$	$31.67 \pm 12.94$	67.85 ±0.80	63.99 ±1.09	$62.97 \pm 2.82$	$72.30 \pm 3.11$	$68.10 \pm 1.04$	55.97 ±3.79
	70	$19.48 \pm 0.53$	$65.81 \pm 1.67$	$27.20 \pm 12.83$	68.33 ±4.57	$64.51 \pm 4.95$	$60.87 \pm 4.41$	$70.74 \pm 3.47$	67.85 ±1.04	$55.00 \pm 3.48$
	80	$18.96 \pm 0.94$		$26.02 \pm 13.42$		66.40 ±7.16	56.87 ±4.16	66.79 ±2.98	67.08 ±1.21	54.59 ±5.23
	90	17.55 ±1.19	61.85 ±4.91	$28.00 \pm 13.27$	73.39 ±4.35	70.71 $_{\pm 4.93}$	50.96 ±10.71		66.53 ±0.49	
	100	$17.13 \pm 1.17$	$61.61 \pm 6.05$	$28.46 \pm 9.30$	74.65 ±3.67	$72.02 \pm 4.25$	$48.92 \pm 8.04$			

Table 8: Performance comparison of Llama3-8B-Instruct with different numbers of masked random heads on faithfulness evaluation tasks.

Model	Masked Retrieval Heads	Trut	thfulQA (	MC)	TriviaQA	PopQA	NQ-Open
		<b>MC1</b> ↑	<b>MC2</b> ↑	<b>MC3</b> ↑	EM ↑	EM ↑	EM ↑
	Baseline	39.41	55.69	30.31	56.58	26.64	29.04
	10	39.17	57.40	31.57	55.77	25.84	28.81
	20	40.27	59.37	33.24	55.26	25.39	28.93
	30	40.51	60.51	33.30	55.39	25.32	29.42
	40	41.49	61.11	34.00	54.99	25.35	28.51
Llama3-8B-Instruct	50	41.00	61.31	33.63	54.32	25.04	27.91
	60	39.29	59.32	32.48	54.05	24.47	27.50
	70	38.80	59.27	32.47	54.01	24.52	27.76
	80	36.23	57.71	30.64	53.92	24.19	27.31
	90	35.86	56.63	30.17	52.89	23.51	26.18
	100	36.47	57.39	31.08	52.56	23.30	26.25

Table 9: Performance comparison of Llama3-8B-Instruct with different number of masked retrieval heads on factuality evaluation tasks.

Model	Masked Retrieval Heads	Tr	uthfulQA (M	[ <b>C</b> )	TriviaQA	PopQA	NQ-Open
	Thushou Route vui Houus	MC1 ↑	MC2 ↑	<b>MC3</b> ↑	EM ↑	EM ↑	EM ↑
	Baseline	39.41	55.69	30.31	56.58	21.10	29.04
	10	$38.84_{\pm 0.71}$	$55.79_{\pm 0.53}$	$30.38_{\pm 0.46}$	56.17 ±0.03	$25.96_{\pm 0.18}$	$29.27_{\pm 0.10}$
	20	$38.51 \pm 0.35$	$56.09 \ _{\pm 2.21}$	$30.34_{\pm 0.86}$	$55.75_{\pm 0.33}$	$25.63 \pm 0.25$	$28.89 \pm 0.46$
	30	$37.58 \pm 1.12$	$56.47_{\ \pm 2.30}$	$30.21 \pm 1.01$	$54.84 \ _{\pm 0.58}$	$25.52 \pm 0.16$	$28.03 \pm 0.20$
	40	$37.37_{\ \pm 0.57}$	$57.00 \pm 1.94$	$30.24_{\pm 0.51}$	$54.14 \pm 0.65$	$25.24 \pm 0.15$	$27.51_{\pm 0.61}$
Llama3-8B-Instruct	50	$37.17 \pm 1.56$	$56.70 \pm 2.36$	$29.85 \ _{\pm 1.58}$	$53.17_{\ \pm 1.22}$	$25.07 \pm 0.22$	$26.61 \pm 1.14$
	60	$35.86_{\pm 1.41}$	$55.37_{\pm 0.82}$	$28.87_{\ \pm 0.80}$	$52.43_{\pm 1.77}$	$24.54_{\ \pm 0.54}$	$26.26_{\pm 1.14}$
	70	$34.68  _{\pm 0.31}$	$53.87 \pm 1.16$	$27.63 \pm 0.66$	$51.79_{\pm 1.59}$	$24.50 \ _{\pm 0.58}$	$25.70 \pm 1.07$
	80	$33.05 \pm 2.36$	$53.12 \ _{\pm 2.02}$	$26.56 \ _{\pm 2.03}$	$48.11 \ _{\pm 5.82}$	$24.52 \pm 1.01$	$24.36 \ _{\pm 1.83}$
	90	$30.80 \pm 2.20$	$49.78 \ _{\pm 2.91}$	$24.79 \ _{\pm 1.56}$	$47.39 \ _{\pm 5.68}$	$24.14 \pm 0.98$	$24.05 \pm 2.03$
	100	$30.07  _{\pm 0.90}$	$49.78 \ _{\pm 1.74}$	$24.44 \ _{\pm 0.76}$	$47.04 \ _{\pm 5.17}$	$24.05 \ _{\pm 0.76}$	$23.96 \; _{\pm 1.84}$

Table 10: Performance comparison of Llama3-8B-Instruct with different numbers of masked random heads on factuality evaluation tasks.

In NQ Open and NQ Swap, the EM score drops significantly when retrieval heads are masked with a strong correlation score ( $r_{ret} = -0.86$  and  $r_{ret} = -0.64$ ), confirming their importance in open-book QA tasks. In both tasks, masking random heads also degrades performance, with stronger negative correlation ( $r_{random} = -0.97$  and  $r_{random} = -0.94$  respectively).

Despite the more significant performance drop when masking retrieval heads, the correlation coefficient is lower than that for random heads. This is due to the concentrated decline in performance after masking the top 10 retrieval heads. In contrast, performance degrades more gradually when random heads are masked, resulting in a stronger linear correlation. This pattern suggests that masking just the top retrieval heads can already significantly impair the model's ability to remain faithful to the context. Additionally, the more retrieval heads that are masked, the greater the performance drop, indicating that retrieval heads play a key role in maintaining task-specific faithfulness.

### D.3 Factuality

1094

1095

1096

1097 1098

1099

1100

1101

1102

1103

1104

1105 1106

1107

Figure 5b shows the effect of masking retrieval heads (blue) and random heads (orange) on factual recall tasks across TruthfulQA, TriviaQA, PopQA, and NQ Closed.

In TruthfulQA, masking retrieval heads has a negligible effect on the MC2 score ( $r_{ret} = -0.06$ ), while masking random heads shows a moderate negative correlation ( $r_{random} = -0.80$ ). This suggests that retrieval heads do not play a major role in answering truthful questions, and the decline in performance when masking random heads could be due to their broader influence on the model's general predictive capabilities.

Model	Masked Retrieval Heads	MuSiQue w	ithout CoT	MuSiQue with CoT		
mouer	musicu neurevur neuus	Closed Book	Open Book	Closed Book	Open Book	
	Baseline	7.41	58.83	14.61	69.84	
	10	6.99	51.47	14.56	59.87	
	20	6.91	49.52	15.06	57.92	
	30	6.74	46.96	12.16	50.48	
11 20D I	40	6.33	47.41	11.54	48.70	
Llama3-8B-Instruct	50	6.29	46.67	13.24	47.37	
	60	6.33	46.01	10.72	41.79	
	70	6.41	46.46	11.38	43.65	
	80	6.41	44.81	8.98	32.19	
	90	5.54	41.25	7.24	27.06	
	100	5.63	38.85	7.32	23.34	

Table 11: Performance comparison of Llama3-8B-Instruct with different number of masked retrieval heads on MuSiQue, a multi-hop reasoning dataset, with and without CoT prompting in both closed-book and open-book settings.

Model	Masked Random Heads	MuSiQue w	ithout CoT	MuSiQue with CoT		
mouer	Musicu Rundom Heuus	Closed Book	Open Book	Closed Book	Open Book	
	Baseline	7.41	58.83	14.61	69.84	
	10	$7.09_{\pm 0.24}$	59.25 ±0.53	$14.63 \pm 0.35$	$69.70_{\pm 1.81}$	
	20	$7.17_{\pm 0.10}$	$58.67_{\pm 0.68}$	$14.44_{\pm 0.68}$	$67.94_{\pm 0.81}$	
	30	$6.90_{\pm 0.19}$	57.23 $_{\pm 1.32}$	$14.09 \pm 1.30$	$67.19_{\pm 2.42}$	
11 20D I	40	$6.61_{\pm 0.02}$	55.83 $_{\pm 2.82}$	$13.57_{\pm 1.09}$	$64.27_{\pm 4.28}$	
Llama3-8B-Instruct	50	$6.08_{\pm 0.41}$	$55.65_{\pm 3.12}$	$12.84_{\pm 1.10}$	$64.87_{\ \pm 2.34}$	
	60	$5.76_{\pm 0.77}$	$54.64_{\pm 3.36}$	$12.49 \pm 1.06$	$63.65_{\pm 2.38}$	
	70	$5.43_{\pm 0.80}$	$53.28 \pm 3.66$	$11.20 \pm 1.34$	$61.40 \pm 3.96$	
	80	$5.27_{\pm 0.77}$	$52.19_{\pm 2.95}$	$10.22 \pm 0.49$	$55.98 \pm 3.28$	
	90	$5.46_{\pm 0.72}$	$49.25_{\pm 4.41}$	$8.14_{\pm 1.92}$	$46.59 \pm 8.97$	
	100	$5.25_{\pm 0.46}$	$48.34_{\ \pm 5.71}$	$7.43_{\ \pm 2.04}$	$44.79 \ _{\pm 9.19}$	

Table 12: Performance comparison of Llama3-8B-Instruct with different numbers of masked random heads on MuSiQue, a multi-hop reasoning dataset, with and without CoT prompting in both closed-book and open-book settings.

In contrast, for TriviaQA, PopQA, and NQ Closed, both masking retrieval heads and random heads result in significant performance drops, with strong negative correlations observed in all tasks. The differences between masking the retrieval heads and random heads are not as stark as in faithfulness tasks. For instance, in TriviaQA, masking retrieval heads leads to a performance decline ( $r_{ret} = -0.98$ ), but masking random heads also has a similar effect ( $r_{random} = -0.97$ ). This similarity suggests that in factual recall tasks, retrieval heads may not be the only determining factor.

The overall observation from these tasks is that while masking retrieval heads does lower performance, it does not have as drastic an effect as observed in faithfulness hallucination tasks. The relatively similar progression of performance degradation between masking retrieval and random heads further reinforces the idea that factual recall tasks rely on a broader mechanism, even though the masking of retrieval heads does lead to a moderate drop in performance.

## D.4 Chain-of-Thought

The performance of the Llama3-8B-Instruct model with different numbers of masked retrieval heads on the MuSiQue dataset, both with and without Chain-of-Thought (CoT) prompting, is shown in Figure 5c. The table compares the closed-book and open-book settings to assess the influence of CoT on model performance. In the closed-book setting without CoT prompting, masking retrieval heads leads to a gradual performance decline, with scores decreasing from 7.41 (baseline) to 5.63 (with 100 masked heads). This indicates that the model's ability to reason through multiple hops is compromised as retrieval heads are removed. The decline of performance in the open-book setting without CoT prompting further indicates the importance of retrieval heads in open-book QA tasks.

The inclusion of CoT prompts generally boosts performance in both closed-book and open-book

settings. Similar to the setup without CoT prompting, masking retrieval heads in the CoT setup decreases 1134 the performance gradually. Interestingly, in the CoT + open-book setup, masking only the top 20 retrieval 1135 heads leads to a performance lower than without using CoT. This suggests that retrieval heads are crucial 1136 for maintaining the model's ability to chain reasoning steps across multiple hops, particularly when the 1137 reasoning steps have to be grounded in contextual knowledge. 1138

#### Additional TruthfulQA Generation Evaluation Ε 1139

#### E.1 Evaluation of Non-rejection Responses 1140

Model	% Reject↓	$\%T\cap\bar{R}\uparrow$	$\% I \cap \bar{R}$	$\%T\cap I\cap\bar{R}\uparrow$
Llama3-8b-Instruct	43.94	65.50	94.54	60.04
+ ITI (Li et al., 2024b)	25.46	83.25	96.06	79.47
+ DoLA (low) (Chuang et al., 2023)	45.04	64.81	94.65	59.69
+ DoLA (high) (Chuang et al., 2023)	44.92	65.11	93.78	58.89
+ AD (Chen et al., 2024)	43.82	65.14	94.55	59.69
+ DeCoRe static (Ours)	41.74	67.02	95.38	62.39
+ DeCoRe entropy (Ours)	38.68	65.87	95.61	61.48
Llama3-70b-Instruct	53.12	76.50	97.91	74.41
+ CD (Li et al., 2023)	52.26	75.64	97.69	73.33
+ ITI (Li et al., 2024b)	37.94	71.79	98.82	70.81
+ DoLA (low) (Chuang et al., 2023)	52.88	76.62	97.92	74.55
+ DoLA (high) (Chuang et al., 2023)	54.71	76.22	97.30	73.51
+ AD (Chen et al., 2024)	49.33	75.36	98.31	73.67
+ DeCoRe static (Ours)	54.96	74.46	97.01	71.47
+ DeCoRe entropy (Ours)	56.79	75.35	96.32	71.67
+ DeCoRe entropy-small amateur (Ours)	52.02	75.77	97.70	73.47

Table 13: TruthfulQA Generation Evaluation excluding the rejected instances. Notice the rate of rejection that is very high on the instruction-tuned Llama3-8b.

As shown in Table 3, we can observe that the rejection rate of Llama3 models in the TruthfulQA task (*i.e.*, the ratio of cases when the model answers with "I have no comment") is relatively high, particularly 1142 when compared to Llama2 models (Touvron et al., 2023) reported by previous studies (Li et al., 2024b; Chuang et al., 2023). To get a better understanding of how the model performs, we also reported the evaluation metrics that are based only on non-rejection answers in Table 13. This results can help us 1145 to roughly understand how the model would perform when it's not rejecting to answer. However, it is important to note that we cannot compare the performance of the decoding strategies to one another 1147 because the set of questions that are being answered are different depending on whether the decoding 1148 strategy choose to answer them or not. 1149

E.2 Evaluation Cost

1141

1143

1144

1146

1150

1153

1154

The fine-tuning of two davinci-002 models (to measure truthfulness and informativeness) costs approxi-1151 mately \$43. While each run of evaluation is approximately \$0.8. 1152

#### $\mathbf{F}$ **Correlation between Length-normalised Entropy and Correctness**

#### F.1 Rationale

1155 One motivation to use the length-normalised entropy as a measure of how much information to contrast relies heavily on the premise that length-normalised entropy is a reliable proxy of answer correctness. To 1156 verify this assumption, we conducted statistical tests (Student's T-test (Student, 1908) and a Mann-Whitney 1157 U-test (Mann and Whitney, 1947)) and to determine whether the length-normalised entropy of correct 1158 answers tends to be lower than that of incorrect answers. 1159



(a) Density plot showing the distribution of length-normalised entropy for correct and incorrect answers across different models (DeCoRe, Baseline, and DoLa).



(b) Regression plot demonstrating the negative correlation between length-normalised entropy and answer correctness.

Figure 6: Relation between length-normalised entropy and correctness in MuSiQue CoT generation. Entropy tends to be negatively correlated with the final answer correctness (*i.e.*, the lower the length-normalised entropy, the more likely that the answer is correct.).

# F.2 Statistical Tests

The results of these statistical tests, as presented in Table 15, show that the differences in entropy between correct and incorrect answers are statistically significant across all models, with low p-values for both tests. The baseline model yields a T-test statistic of 11.75 and a p-value of  $2.57 \times 10^{-31}$ , confirming that the entropy of correct answers is significantly lower. This trend holds for the DoLa and DeCoRe entropy models, with both tests indicating a strong separation between the entropy distributions of correct and incorrect answers. The Mann-Whitney U-test results further corroborate this finding, providing consistent statistics and p-values below  $10^{-24}$  for all models. These results validate the hypothesis that lower length-normalised entropy is a meaningful indicator of answer correctness, supporting its use in contrastive decoding through DeCoRe.

The accompanying Figure 6a illustrates the distribution of length-normalised entropy for correct and incorrect answers across models (DeCoRe, Baseline, and DoLa). Correct answers (in blue) tend to have lower entropy, whereas incorrect answers (in orange) exhibit higher entropy. This visualisation aligns with the statistical tests, highlighting the difference between correct and incorrect answers based on their entropy values.

	M-SO (Classed)	M6:0	Model	T-test		U-test	
	MuSiQue (Closed)	MuSiQue (Open)		Statistics	p-value	Statistics	p-value
Correct	31.74	27.99	Baseline	11.75	$2.57\times 10^{-31}$	$4.31\times 10^5$	$8.36\times10^{-26}$
Incorrect	43.91	33.32	DoLa	12.52	$3.51 \times 10^{-35}$		0.00
			DeCoRe entropy	11.01	$7.43\times10^{-28}$	$4.05 \times 10^{3}$	$3.43 \times 10^{-24}$

Table 14: Averaged Length-Normalised Predictive Entropy of the correct and incorrect answer by DeCoRe Entropy. All values are scaled by 10<sup>2</sup>. Lower values indicate less overall uncertainty. Generally, the lengthnormalised entropy of correct answers is lower than the incorrect ones, indicating the importance of the model's certainty in generating a correct answer.

1176

1160

1161

1162

1163

1164

1165

1166

1167

1168

1169

1170

1171

1172

1173

1174



(c) Chain-of-Thought Reasoning Evaluation Tasks

Figure 7: Correlation between the number of masked retrieval heads and performance of Llama3-8B-Instruct with  $DeCoRe_{entropy}$  on each task. The correlations are quantified by the Pearson Correlation Coefficient r for each plot. Detailed results are listed in Table 16 and Table 18.

#### **F.3** Regression 1177

1178

1180

1181

1182

1183

1184

1186

To further quantify the relationship between length-normalised entropy and answer correctness, we calculated the McFadden's pseudo- $R^2$  (McFadden et al., 1973) for the logistic regression models fitted 1179 across the different setups (DeCoRe, Baseline, and DoLa). As shown in the regression plots (Figure 6b), all three models demonstrate a high pseudo- $R^2$  value of 0.98, indicating a strong negative relationship between entropy and correctness. This high pseudo- $R^2$  value suggests that the length-normalised entropy is highly predictive of answer correctness, further validating the use of entropy as a reliable proxy for contrasting model outputs.

#### G **Detailed Results of Masked Heads Ablation Study** 1185

#### **G.1** Effect of Retrieval Head Masking on Task Performance of DeCoRe.

We now analyse the correlation between the number of masked retrieval heads and the downstream results 1187 of the Llama3-8B-Instruct model; results are outlined in Figure 7. We can see that the performance of 1188 DeCoRe<sub>entropy</sub> across various tasks strongly correlates with the number of masked retrieval heads. For 1189 example, in XSum and MemoTrap, we can observe positive correlations between the factKB and macro 1190 accuracy scores and the number of masked retrieval heads. We attribute this to the nature of summarisation 1191 (XSum) and instruction-following (MemoTrap) tasks, which rely heavily on the ability of the model to extract and copy relevant information accurately. However, we observe a moderate negative correlation 1193 as the number of masked retrieval heads increases on another Instruction following task, IFEval. We 1194 hypothesise that the reason behind this phenomenon is that IFEval requires a different copying mechanism 1195 than in MemoTrap. As opposed to having to provide an exact copy of a segment of the input, like in 1196 MemoTrap or partially XSum, IFEval requires the model to adhere to the instruction, which may not 1197 require an induction mechanism (e.g., "In your response, the letter {letter} should appear {N} times."). 1198



(c) Chain-of-Thought Reasoning Evaluation Tasks

Figure 8: Correlation between the number of masked random heads and performance of Llama3-8B-Instruct with  $DeCoRe_{entropy}$  on each task. The correlations are quantified by the Pearson Correlation Coefficient r for each plot. Detailed results are listed in Table 16 and Table 18.

In tasks such as open-book NQ-Open and NQ-Swap, we can see a moderate negative correlation between 1199 the number of masked retrieval heads and EM. Nevertheless, in all experiments, DeCoRe<sub>entropy</sub> produces 1200 more accurate results than the baseline in such tasks. In factual recall tasks (*i.e.*, TriviaQA, PopQA, 1201 and closed-book NQ-Open), we can see a negative correlation between EM scores and the number of masked retrieval heads. When the masked retrieval heads fail to introduce significant differences 1203 between the "hallucinating" and the outputs of the base model, the effect of DeCoReentropy becomes less 1204 pronounced. TruthfulQA differs from the other factuality tasks, showing a moderate positive correlation between downstream accuracy and the number of masked retrieval heads. This suggests that truthfulness, 1206 or the ability to discern popular misconceptions, may require different retrieval mechanisms than the typical factual recall tasks. These findings can be combined with the results of masking random attention heads (Appendix G) further supporting our hypothesis on the effectiveness of masking retrieval heads in 1209 contrastive decoding. 1210

# G.2 Effect of Random Head Masking on Task Performance of DeCoRe

As shown in Figure 8, the performance of DeCoRe<sub>entropy</sub> exhibits different patterns when masking random attention heads compared to the targeted masking of retrieval heads in Section G.1. A key observation is that the standard deviation is much larger across most tasks, indicating higher variability in performance when random heads are masked. This variability indicates that DeCoRe<sub>entropy</sub> cannot benefit only from masking any random attention heads. 1212

1211

In XSum, we still observe a positive correlation between the number of masked random heads and task performance, though the correlation (r = 0.89) is weaker than that seen when masking retrieval heads. 1218 This suggests that masking random heads can still improve contextual faithfulness in summarisation, 1219 though the impact is less pronounced especially when considering the highest possible performance 1220 achieved by masking random heads. 1221

Model	Macked Petrieval Heads		XSum		Memo	Trap	IFI	Eval	NQ-Open	NQ-Swap
lama3-8B-Instruct	Masked Retrictal freads	<b>ROUGE-L</b> $\uparrow$	BERTScore-F1 ↑	factKB ↑	Macro Acc ↑	Micro Acc ↑	Prompt Acc ↑	Instruct Acc ↑	EM ↑	EM ↑
	0 (Baseline)	19.90	67.23	47.61	65.86	64.40	70.24	78.30	69.68	60.62
	10	19.45	67.08	57.50	68.81	66.60	68.39	76.38	70.66	66.08
	20	19.61	67.18	57.53	69.39	68.37	67.10	75.54	70.24	65.55
	30	19.62	67.48	59.75	70.14	70.50	62.11	72.30	70.17	65.15
	$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	69.83	64.96							
Llama3-8B-Instruct	50	19.37	67.15	62.88	71.27	71.68	61.92	Acc $\uparrow$ Instruct Acc $\uparrow$ 78.30         78.30           19         76.38           0         75.54           1         72.30           19         72.42           12         72.06           00         69.54           13         68.94           5         70.14           19         70.74           11         70.98           15         84.41           14         83.57           15         84.29           16         84.89           15         83.81           9         84.41           9         84.41           9         84.89           13         84.41           9         84.81           9         84.81           9         84.81           9         83.81	69.94	64.75
	60	19.40	67.18	64.27	71.59	71.76	58.60	69.54	69.57	64.41
	70	19.51	67.30	61.32	71.90	71.80	56.93	68.94	68.51	61.53
	80	19.40	67.57	64.67	72.52	72.75	59.15	70.14	68.55	62.75
	90	19.45	67.69	66.10	74.14	74.87	59.89	70.74	68.66	62.64
	100	19.37	67.59	64.78	73.53	73.97	60.81	70.98	69.57	63.93
	0 (Baseline)	22.41	69.77	61.32	68.47	66.52	77.45	84.41	71.07	76.11
	10	22.17	69.64	62.41	69.17	67.51	76.34	83.57	71.75	78.36
	odel         Masked Retrieval Heads         ROUGE-L ↑         BERTScore-F1 ↑         factKB ↑         Macro Acc           0 (Baseline)         19.90         67.23         47.61         65.86           10         19.45         67.08         57.50         68.81           20         19.61         67.18         57.53         69.39           30         19.62         67.48         59.75         70.14           40         19.70         67.15         62.88         71.27           60         19.40         67.18         64.27         71.59           70         19.51         67.30         66.12         71.14           80         19.40         67.57         64.67         72.52           90         19.45         67.69         66.10         74.14           100         19.37         67.59         64.78         73.53           90         19.45         67.69         66.10         74.14           100         19.37         67.59         64.78         73.53           30         22.03         69.51         63.91         70.28           30         22.03         69.51         63.91         70.28      <	68.58	66.64	77.45	84.29	71.83	77.86			
	30	22.03	GE-L $\uparrow$ BERTScore-F1 $\uparrow$ factKB $\uparrow$ Macro Acc $\uparrow$ Micro Acc $\uparrow$ Prompt Acc $\uparrow$ Instruct Acc $\uparrow$ 9.90         67.23         47.61         65.86         64.40         70.24         78.30           9.45         67.08         57.50         68.81         66.60         68.39         76.38           9.61         67.18         57.53         69.39         68.37         67.10         75.54           9.62         67.48         59.75         70.14         70.50         62.11         72.30           9.70         67.15         62.88         71.27         71.68         61.92         72.06           9.40         67.18         64.27         71.59         71.76         58.60         69.54           9.51         67.69         66.10         74.14         74.87         59.89         70.74           9.37         67.59         64.78         73.53         73.97         60.81         70.98           9.40         67.57         64.67         72.52         72.75         59.15         70.14           9.37         67.69         66.10         74.14         74.87         59.89         70.74           <	72.35	79.10					
	40	21.98	69.48	64.67	71.93	72.19	77.45	83.81	72.32	78.91
Llama3-/0B-Instruct	50	21.93	69.47	65.13	73.75	73.41	77.63	$\begin{array}{ccccc}$	72.54	79.14
	60	21.84	69.44	63.94	72.66	72.19	78.19	84.89	72.24	77.79
	70	22.03	69.55	62.96	71.97	71.96	76.52	83.69	72.43	77.62
	80	21.95	69.44	64.62	72.81	72.47	77.08	84.05	72.66	79.73
	90	21.93	69.40	65.49	74.07	73.65	77.26	83.81	72.39	79.73
	100	21.82	69.38	65.30	73.88	73.97	77.08	83.81	72.47	79.79

Table 16: Ablation study of DeCoRe entropy on faithfulness hallucination tasks with varying numbers of masked retrieval heads.

Model	Masked Random Heads		XSum		Memo	Trap	IFI	Eval	NQ-Open	NQ-Swap
	Transieu Rundom Heuds	$\textbf{ROUGE-L} \uparrow$	BERTScore-F1 ↑	factKB ↑	Macro Acc ↑	Micro Acc ↑	Prompt Acc ↑	Instruct Acc ↑	EM ↑	EM ↑
	0 (Baseline)	19.90	67.23	47.61	65.86	64.40	70.24	78.30	69.68	60.62
	10	$20.02 \pm 0.12$	67.43 ±0.31	51.39 ±5.67	69.38 ±2.70	$68.08 \pm 2.75$	$68.52 \pm 0.75$	$76.82 \pm 0.82$	$69.27_{\pm 0.24}$	59.65 ±0.47
	20	$20.09 \pm 0.26$	67.64 ±0.37	$54.13_{\pm 5.85}$	$68.22 \pm 4.61$	$66.68 \pm 5.76$	$65.31 \pm 1.49$	$74.46 \pm 0.95$	$69.30 \pm 0.66$	59.49 ±1.93
	30	$20.06 \pm 0.11$	67.78 ±0.53	$56.00 \pm 7.34$	$69.29 \pm 3.91$	$68.77_{\pm 4.88}$	64.76 ±1.87	74.26 $_{\pm 1.63}$	$69.11_{\pm 0.49}$	$58.91 \pm 2.61$
	40	$20.07 \pm 0.23$	67.76 ±0.54	56.78 ±9.68	$71.09 \pm 0.71$	$70.72_{\pm 1.56}$	$64.94_{\pm 1.34}$	74.38 $_{\pm 1.39}$		61.23 ±5.48
Llama3-8B-Instruct	50	$20.08 \pm 0.36$	$67.89_{\pm 0.50}$	57.37 ±8.45	$69.69_{\pm 2.14}$	69.07 ±3.18	$64.08_{\pm 1.99}$	73.78 ±1.80		61.33 ±4.92
	60	$20.09 \pm 0.47$	67.99 ±0.61	57.87 ±6.37	$70.52_{\pm 1.89}$	70.17 ±1.18	$60.51 \pm 2.63$	$70.78 \pm 1.92$	$69.23 \pm 0.56$	$62.23 \pm 2.77$
	70	$19.83 \pm 0.47$	67.96 ±0.54	$60.16_{\pm 6.49}$	$70.96 \pm 2.19$	70.76 ±1.90	$60.14 \pm 0.21$	$70.90 \pm 0.42$	$69.19 \pm 0.33$	$62.03 \pm 3.23$
	80	$19.71 \pm 0.44$	67.85 ±0.49	$60.00 \pm 5.13$	69.47 ±1.68	$68.94 \pm 0.94$	$58.96 \pm 1.44$	69.46 ±1.23	$68.76 \pm 0.36$	$60.89 \pm 5.05$
	90	$19.75 \pm 0.34$		$59.04 \pm 4.80$	66.91 ±2.68	66.63 ±3.58	59.64 ±1.20	$69.94_{\pm 0.45}$	$68.59 \pm 0.59$	$59.62_{\pm 5.86}$
	100	$19.68 \pm 0.45$	$67.82_{\pm 0.50}$	$59.03 \pm 3.41$	67.27 ±2.01	66.76 ±2.80	59.02 ±1.23	69.62 ±1.08	$68.15 \pm 0.76$	59.27 ±5.37

Table 17: Ablation study of DeCoRe entropy on faithfulness hallucination tasks with varying numbers of masked random heads.

MemoTrap, which exhibits a strong positive correlation when masking retrieval heads, now shows a weak negative correlation (r = -0.34). This shift implies that random masking does not improve the model's instruction-following capabilities, and further suggests that the improvements seen were due to the targeted masking of retrieval heads. This supports the idea that retrieval heads play a key role in tasks requiring the faithful execution of instructions.

Similar to the results of masking retrieval heads, random head masking exhibits a negative correlation on IFEval. Interestingly, Open Book NQ continues to show a strong negative correlation (r = -0.82), much like in the previous section. This reinforces the idea that retrieval mechanisms when handling open-book QA tasks, where the model must balance contextual and parametric knowledge, differ from a simple induction mechanism. In contrast, NQ-Swap and TruthfulQA show little to no correlation, indicating that masking random heads does not significantly impact performance on these tasks.

For factual recall tasks like TriviaQA, PopQA, and Closed Book NQ, the results are consistent with the previous section, showing strong negative correlations with increasing numbers of masked random heads. As the performance trends of masking retrieval heads and random heads are similar, this may further support the hypothesis that factual recall is not predominantly handled by attention heads. This finding aligns with previous studies (Geva et al., 2021; Meng et al., 2022), which suggest that factual recall is predominantly handled by the MLP layer within the Transformer model.

## G.3 Faithfulness

Table 16 accompanies Figure 7 (top) and Table 17 accompanies Figure 8 (top).

In the case of masking retrieval heads in  $DeCoRe_{entropy}$  (Table 16), the results show different trends depending on the type of the task. In summarisation (XSum) and instruction following (MemoTrap) tasks, we can observe an increase in performance the more retrieval heads are masked. This indicates the

Model	Masked Retrieval Heads	Trut	hfulQA (	MC)	TriviaQA	PopQA	NQ-Open
Widder	Maskeu Keirevai Heaus	MC1↑	<b>MC2</b> ↑	MC3↑	<b>E</b> M ↑	EM ↑	EM ↑
	Baseline	39.41	55.69	30.31	56.58	26.64	29.04
	10	37.45	53.76	28.48	56.40	26.88	28.96
	20	36.96	54.46	28.95	56.18	26.74	28.55
	30	37.58	53.76	29.38	55.14	26.28	27.42
	40	36.23	53.62	29.34	54.73	25.97	27.91
Llama3-8B-Instruct	50	37.70	54.66	29.82	53.99	25.55	27.27
	60	37.21	54.50	30.21	53.72	25.39	27.01
	70	36.96	55.05	30.35	52.84	24.99	26.44
	80	38.43	55.86	30.95	52.19	24.76	26.44
	90	37.70	55.32	30.30	52.29	24.85	26.70
	100	36.60	54.10	29.61	52.21	25.09	26.55
	Baseline	49.57	70.60	37.85	74.77	40.63	40.08
	10	49.94	70.66	38.11	74.75	40.58	40.30
	20	50.31	70.93	38.35	74.67	40.46	40.23
	30	50.43	71.76	39.65	74.57	40.51	40.11
	40	50.80	71.54	39.33	74.58	40.49	40.08
Llama3-70B-Instruct	50	52.14	72.17	40.36	74.72	40.44	40.15
	60	52.88	72.45	41.64	74.51	40.30	40.26
	70	53.98	73.44	42.55	74.61	40.38	40.45
	80	53.61	72.98	41.79	74.65	40.49	40.30
	90	52.88	72.61	41.71	74.60	40.58	40.38
	100	54.10	72.96	42.86	74.64	40.49	40.45

Table 18: Ablation study of DeCoRe entropy on factuality hallucination tasks with varying numbers of masked retrieval heads.

Model	Masked Random Heads	Tr	uthfulQA (M	<b>C</b> )	TriviaQA	PopQA	NQ-Open
Model	Musicu Rundom Heuds	MC1 ↑	MC2 ↑	MC3 ↑	EM ↑	EM ↑	EM ↑
	Baseline	39.41	55.69	30.31	56.58	26.64	29.04
	10	$38.92 \pm 0.53$	56.15 ±0.78	$30.22 \pm 0.28$	$55.38 \pm 0.45$	25.96 ±0.18	$28.70 \pm 0.57$
	20	39.25 ±0.62	56.55 ±2.07	$30.93_{\pm 0.85}$	$54.68_{\pm 0.68}$	$25.63_{\pm 0.25}$	$28.02 \pm 0.53$
	30	39.41 ±1.28	56.43 ±2.33	$31.10 \pm 1.26$	54.15 ±0.73	$25.52 \pm 0.16$	$27.86 \pm 0.32$
	40	$38.84 \pm 0.75$	55.32 ±1.85	$30.39_{\pm 1.03}$	53.58 ±0.59	$25.27 \pm 0.17$	$27.16 \pm 0.33$
Llama3-8B-Instruct	50	$38.76_{\pm 0.35}$	54.97 ±1.43	30.37 ±1.05	$53.38_{\pm 0.80}$	$25.07_{\pm 0.22}$	$27.16_{\pm 0.31}$
	60	$38.31 \pm 0.65$	$54.45_{\pm 0.82}$	$29.89 \pm 0.92$	$53.04 \pm 0.72$	$24.54 \pm 0.54$	$27.12 \pm 0.26$
	70	$38.68 \pm 0.92$	55.31 ±0.98	30.74 ±1.26	$52.79 \pm 0.60$	$24.50 \pm 0.58$	$26.78 \pm 0.13$
	80	37.58 ±0.65	55.19 ±1.65	$30.05_{\pm 0.45}$	$52.52_{\pm 0.84}$	$24.52_{\pm 1.01}$	26.87 ±0.21
	90	$38.39 \pm 2.22$	$56.48 \pm 3.06$	$30.82 \pm 2.20$	$52.13_{\pm 0.28}$	$24.14 \pm 0.98$	$26.74 \pm 0.33$
	100	$38.23 \pm 2.70$	56.66 ±3.77	$31.03 \pm 2.72$	$51.60 \pm 0.35$	$24.05 \pm 0.76$	$26.43 \pm 0.51$

Table 19: Ablation study of DeCoRe entropy on factuality hallucination tasks with varying numbers of masked random heads.

importance of retrieval heads in these tasks, similar to the findings mentioned in Appendix D.2.

However, the results show a different trend in open-book QA tasks (Open Book NQ-Open and NQ-1245 Swap). In both Open Book NQ-Open and NQ-Swap, we can observe an increase in performance starting 1246 from masking 10 retrieval heads, and gradually goes down. In the case of Open Book NQ-Open, the 1247 performance is above the baseline variant until it drops below it when we mask 60 retrieval heads. While 1248 in the case of NQ-Swap, the performance remains above the baseline model even after we mask 100 1249 retrieval heads. Albeit the differing trend, these open-book QA results are still in line with the previous 1250 findings in Appendix D.2, where the top 10 retrieval heads plays the most important role in the open-book 1251 QA tasks, with decreasing importance thereafter. 1252

1244

1253

1254

1255

1256

1257

1258

1259

In contrast, we can observe massive standard deviation in the results of masking random heads in DeCoRe<sub>entropy</sub> shown in Table 17. This variance suggests that randomly masking heads leads to inconsistent effects across tasks, implying that not all attention heads contribute equally to model performance. The less predictable effects of masking random heads further highlights the specialised role of retrieval heads in DeCoRe, particularly in maintaining task-specific faithfulness.

### G.4 Factuality

Table 18 accompanies Figure 7 (bottom) and Table 19 accompanies Figure 8 (bottom).

As shown in Table 18, the results in TruthfulQA shows less clear correlation compared to other factuality evaluation tasks. For closed-book QA tasks like TriviaQA, PopQA, and Closed Book NQ-Open, a negative

Model	Masked Retrieval Heads	MuSiQue w	ithout CoT	MuSiQue	with CoT
Model	Maskeu Kettieval Heaus	Closed Book	Open Book	Closed Book	Open Book
	Baseline	7.41	58.83	14.61	69.84
	10	7.61	61.98	13.90	74.47
	20	7.70	61.81	13.82	72.20
	30	7.70	61.44	13.61	71.70
	40	7.03	61.32	13.03	72.16
Llama3-8B-Instruct	50	7.12	61.32	12.78	71.62
	60	6.50	60.36	13.03	72.11
	70	6.21	59.21	12.83	71.66
	80	5.75	58.05	12.29	71.74
	90	6.04	59.54	12.49	70.87
	100	6.45	59.78	11.96	71.00
	Baseline	11.79	68.56	20.15	74.43
	10	11.75	69.22	20.60	74.76
	20	11.67	69.05	20.02	74.56
	30	11.50	68.97	20.31	74.43
11 0.70D I	40	11.63	69.05	20.23	74.22
Llama3-70B-Instruct	50	11.34	69.38	20.02	73.60
	60	11.34	68.68	19.69	73.85
	70	11.34	69.38	19.40	74.06
	80	11.25	69.67	19.28	74.18
	90	11.38	69.51	19.53	74.47
	100	11.25	69.84	19.69	74.93

Table 20: Performance comparison across different number of masked retrieval heads on MuSiQue, a multi-hop reasoning dataset, with and without CoT prompting in both closed-book and open-book settings.

Model	Masked Random Heads	MuSiQue w	ithout CoT	MuSiQue with CoT		
mouer	Musicu Rundom Heuus	Closed Book	Open Book	Closed Book	Open Book	
	Baseline	7.41	58.83	14.61	69.84	
	10	$6.63_{\pm 0.17}$	59.21 ±0.91	13.57 ±0.91	$69.40_{\pm 1.09}$	
	20	$6.87_{\ \pm 0.14}$	$59.72_{\pm 0.70}$	$13.07 \pm 0.90$	$70.18_{\pm 0.44}$	
	30	$6.65_{\pm 0.44}$	$59.95_{\pm 0.77}$	$12.61 \pm 0.91$	$70.43 \pm 1.47$	
	40	$6.22_{\pm 0.42}$	$60.52_{\pm 1.69}$	$12.29 \pm 0.40$	$70.28 \pm 2.53$	
Llama3-8B-Instruct	50	$6.50 \pm 0.26$	$60.60 \pm 1.46$	$12.26 \pm 0.15$	$69.41 \pm 1.44$	
	60	$6.36_{\ \pm 0.31}$	$60.31_{\ \pm 1.49}$	$11.81_{\pm 0.58}$	$68.89 \pm 0.95$	
	70	$6.32_{\ \pm 0.06}$	$61.03_{\pm 0.97}$	$12.05_{\pm 1.06}$	$69.78 \pm 1.56$	
	80	$6.45_{\pm 0.54}$	$61.32_{\pm 0.50}$	$11.64_{\pm 0.66}$	$70.05 \pm 1.08$	
	90	$6.55_{\pm 0.46}$	$61.45_{\pm 1.38}$	$11.65 \pm 0.57$	$70.20 \pm 2.17$	
	100	$6.34_{\ \pm 0.27}$	$61.76 \ _{\pm 0.90}$	$11.72 \ _{\pm 0.27}$	$70.29 \ _{\pm 2.36}$	

Table 21: Performance comparison across different numbers of masked random heads on MuSiQue, a multi-hop reasoning dataset, with and without CoT prompting in both closed-book and open-book settings.

correlation is observed between the number of masked retrieval heads and performance. Similar negative correlations are observed when random heads are masked as shown in Table 19. The similarity in the performance degradation across both retrieval and random heads indicates that other model mechanisms might be responsible for factual recall.

### G.5 Chain of Thought

Table 20 accompanies Table 4 to show the performance of DeCoRe<sub>entropy</sub> when masking retrieval heads across different setups of MuSiQue, a multi-hop reasoning dataset, with and without CoT prompting, in both closed-book and open-book settings.

In the closed-book without CoT setup, we can observe a negative correlation between the number of masked retrieval heads and the performance. As more retrieval heads are masked, the performance gradually declines from the baseline across the Llama3-8B-Instruct and Llama3-70B-Instruct models, aligned with the findings in Appendix G.4.

In the open-book without CoT setup, there is also a negative correlation, but interestingly, the overall performance remains higher than the baseline model, which is aligned with the findings in Appendix G.3. Interestingly the results in the closed-book with CoT setup are quite different, as masking retrieval

heads does not lead to improved performance. From the results of masking retrieval heads in the baseline model (Table 11), we expect the model to perform better as DeCoRe will contrast the incorrect predictions. This may suggest that the complexity of factual recall in closed-book setup remains the same even though the model is prompted to generate intermediate reasoning steps.

Finally, the open-book with CoT setup shows an increase in performance when masking retrieval heads, even though the correlation remains negative. This is consistent with the broader trend observed in the open-book QA setup, where the model benefits from masking retrieval heads but only up to a point. Even with the negative correlation, the performance still remains higher than the baseline, indicating the utility of retrieval heads in CoT-assisted open-book tasks.

As shown in Table 21, the trend observed when masking random heads is less apparent in comparison to when masking retrieval heads. This indicates that random heads may not be as critical in these tasks.

# H Pairwise Statistical Tests of the Main Results

We conducted pairwise Statistical Tests between DeCoRe<sub>entropy</sub> and the baselines to evaluate differences. For tasks that are evaluated using the Exact Match metric, we use McNemar's Test (McNemar, 1947), with adjusted p-values calculated using the Bonferroni correction to account for multiple comparisons (Dunn, 1961). On the other hand, we use the bootstrap resampling method for tasks that are evaluated using metrics with continuous values (*i.e.*, ROUGE-L, BERTScore-F1, factKB, MC2, and MC3).

# H.1 Faithfulness

Model		XSum		MemoTrap	IFEval	NQ-Open	NQ-Swap
, index	ROUGE-L	BERTScore-F1	factKB	Micro Acc	Prompt Acc	EM	EM
	I	lama3-8b-Instruc	:t				
DeCoRe <sub>entropy</sub> > Greedy	$-0.45^{*}$	0.00****	0.18****	85.85****	1.78	8.01**	182.37****
$DeCoRe_{entropy} > CAD$ (Shi et al., 2024)	$0.63^{**}$	-0.01	$0.00^{****}$	3.09	-	2.30	$273.48^{****}$
$DeCoRe_{entropy} > ITI (Li et al., 2024b)$	$6.20^{****}$	$0.08^{****}$	$0.32^{****}$	$172.41^{****}$	$51.14^{****}$	$287.44^{****}$	$388.86^{****}$
DeCoRe <sub>entropy</sub> > DoLA (low) (Chuang et al., 2023)	$-0.37^{*}$	$0.01^{****}$	$0.19^{****}$	$94.67^{****}$	1.23	8.01**	$175.00^{****}$
$DeCoRe_{entropy} > DoLA$ (high) (Chuang et al., 2023)	$-0.47^{*}$	$0.00^{**}$	$0.18^{****}$	$102.47^{****}$	0.61	$11.69^{***}$	$164.07^{****}$
$DeCoRe_{entropy} > AD$ (Chen et al., 2024)	-0.34	0.00***	$0.18^{****}$	85.40****	0.12	$20.25^{****}$	$190.02^{****}$
	L	lama3-70b-Instru	ct				
DeCoRe <sub>entropy</sub> > Greedy	$-0.53^{***}$	0.00****	0.04****	90.25****	0.18	28.02****	116.00****
$DeCoRe_{entropy} > CAD$ (Shi et al., 2024)	$0.43^{*}$	0.00	0.00	$153.15^{****}$	-	2.94	$156.92^{****}$
$DeCoRe_{entropy} > ITI (Li et al., 2024b)$	0.24	0.00	$0.04^{****}$	$39.73^{****}$	1.31	2.47	$103.93^{****}$
$DeCoRe_{entropy} > CD$ (Li et al., 2023)	$-0.83^{****}$	$-0.01^{****}$	$0.11^{****}$	$60.65^{****}$	$15.31^{****}$	$127.97^{****}$	$350.10^{****}$
DeCoRe <sub>entropy</sub> > DoLA (low) (Chuang et al., 2023)	$-0.58^{***}$	$-0.00^{****}$	$0.04^{****}$	$102.77^{****}$	0.00	$27.11^{****}$	123.19****
$DeCoRe_{entropy} > DoLA$ (high) (Chuang et al., 2023)	$-0.55^{**}$	$-0.01^{****}$	$0.05^{****}$	108.00****	0.17	$37.03^{****}$	$146.31^{****}$
$DeCoRe_{entropy} > AD$ (Chen et al., 2024)	$-0.61^{***}$	$-0.01^{****}$	$0.05^{****}$	87.40****	0.33	$18.55^{****}$	$208.18^{****}$

Table 22: Pairwise test statistics for the performance of DeCoRe<sub>entropy</sub> against different baselines on faithfulness evaluation tasks. We use McNemar's Test for analysing Accuracy and EM metrics, and bootstrap resampling for assessing the significance of ROUGE-L, BERTScore-F1, and factKB (\* p < 0.05, \*\* p < 0.01, \*\*\* p < 0.001).

The results in Table 22 demonstrate the statistically significant improvements achieved by DeCoRe<sub>entropy</sub> across models and tasks. Combined with the findings in Table 1, DeCoRe<sub>entropy</sub> outperforms all baselines, except CAD, with statistically significant improvements in all tasks except for IFEval. DeCoRe<sub>entropy</sub> ranks as the second-best method compared to CAD in tasks such as XSum, MemoTrap, and NQ-Swap. While the difference in factKB scores between DeCoRe<sub>entropy</sub> and CAD for XSum is small, it remains statistically significant. In contrast, the difference between DeCoRe<sub>entropy</sub> and CAD in MemoTrap is not statistically significant. Given the improvement and broad applicability, we argue that DeCoRe<sub>entropy</sub> provides a Pareto improvement over other baselines.

# H.2 Factuality

While DeCoRe<br/>entropy performs competitively across factuality evaluation tasks, as shown in Table 3,<br/>it does not consistently outperform all baselines. Methods like ITI and CD achieve higher scores in<br/>specific metrics and tasks (*i.e.*, TruthfulQA). However, DeCoRe<br/>entropy attains higher EM scores on PopQA1304<br/>1305

Model	Tr	uthfulQA (N	IC)	TriviaQA	PopQA	NQ-Open
	MC1	MC2	MC3	EM	EM	EM
	Llama3-8	b-Instruct				
DeCoRe <sub>entropy</sub> > Greedy	0.44	0.00	0.01	1.55	2.72	0.01
$DeCoRe_{entropy} > ITI$ (Li et al., 2024b)	$9.85^{**}$	$-0.07^{****}$	$-0.04^{***}$	$461.65^{****}$	$1274.24^{****}$	$94.37^{****}$
$DeCoRe_{entropy} > DoLA (low) (Chuang et al., 2023)$	0.14	0.00	0.01	$37.40^{****}$	$4.06^{*}$	0.33
$DeCoRe_{entropy} > DoLA (high) (Chuang et al., 2023)$	0.01	0.00	0.01	$12.50^{***}$	$6.91^{**}$	0.21
$DeCoRe_{entropy} > AD$ (Chen et al., 2024)	$22.58^{****}$	0.01	$0.03^{**}$	0.64	$8.70^{**}$	2.02
	Llama3-70	)b-Instruct				
DeCoRe <sub>entropy</sub> > Greedy	$16.12^{****}$	0.03***	$0.05^{****}$	0.01	0.12	1.69
$DeCoRe_{entropy} > ITI$ (Li et al., 2024b)	$15.53^{****}$	$0.06^{****}$	$0.05^{****}$	$41.16^{****}$	$21.24^{****}$	$18.47^{****}$
$DeCoRe_{entropy} > CD$ (Li et al., 2023)	$9.89^{**}$	$-0.03^{***}$	$-0.05^{****}$	$94.56^{****}$	$289.80^{****}$	$66.24^{****}$
$DeCoRe_{entropy} > DoLA$ (low) (Chuang et al., 2023)	$16.83^{****}$	$0.03^{***}$	$0.05^{****}$	0.01	0.34	1.69
$DeCoRe_{entropy} > DoLA (high) (Chuang et al., 2023)$	$15.84^{****}$	$0.03^{**}$	$0.05^{****}$	$39.60^{****}$	$17.54^{****}$	$6.82^{**}$
$DeCoRe_{entropy} > AD$ (Chen et al., 2024)	$68.37^{****}$	$0.06^{****}$	$0.07^{****}$	$20.70^{****}$	0.08	0.27

Table 23: Pairwise test statistics for the performance of DeCoRe<sub>entropy</sub> against different baselines on factuality evaluation tasks. We use McNemar's Test for analysing MC1 and EM metrics, and bootstrap resampling for assessing the significance of MC2 and MC3 (\* p < 0.05, \*\* p < 0.01, \*\*\* p < 0.001, \*\*\*\* p < 0.0001).

and NQ-Open with the Llama3-8b-Instruct model, and these improvements are statistically significant compared to strong baselines such as DoLA, as indicated in Table 23. This suggests that DeCoRe<sub>entropy</sub> is effective and provides statistically significant enhancements over certain existing baselines.

# H.3 Chain-of-Thought

1308

1309

1310

Model	MuSiQue w	ithout CoT	MuSiQue	with CoT
Hotel	Closed Book	Open Book	Closed Book	Open Book
Llama	3-8b-Instruct			
DeCoRe <sub>entropy</sub> > Greedy	0.46	26.04****	1.77	7.59**
$DeCoRe_{entropy} > CAD$ (Shi et al., 2024)	-	$27.68^{****}$	-	0.79
$DeCoRe_{entropy} > ITI (Li et al., 2024b)$	48.70****	$245.65^{****}$	$193.48^{****}$	$667.12^{****}$
$DeCoRe_{entropy} > DoLA (low) (Chuang et al., 2023)$	1.30	$22.89^{****}$	$4.09^{*}$	$7.09^{**}$
$DeCoRe_{entropy} > DoLA (high) (Chuang et al., 2023)$	1.09	22.11****	3.34	7.41**
$DeCoRe_{entropy} > AD$ (Chen et al., 2024)	2.81	$28.70^{****}$	0.83	$6.99^{**}$
Llama	3-70b-Instruct			
DeCoRe <sub>entropy</sub> > Greedy	0.00	6.87**	1.23	0.58
$DeCoRe_{entropy} > CAD$ (Shi et al., 2024)	-	3.79	-	1.72
$DeCoRe_{entropy} > ITI (Li et al., 2024b)$	$3.96^{*}$	6.69**	0.05	0.89
$DeCoRe_{entropy} > CD$ (Li et al., 2023)	$4.51^{*}$	$23.34^{****}$	$32.17^{****}$	22.12****
$DeCoRe_{entropy} > DoLA (low) (Chuang et al., 2023)$	0.38	$5.52^{*}$	1.27	0.17
$DeCoRe_{entropy} > DoLA$ (high) (Chuang et al., 2023)	1.44	$21.30^{****}$	0.00	8.17**
$DeCoRe_{entropy} > AD$ (Chen et al., 2024)	1.56	$10.60^{**}$	0.56	0.95

Table 24: Pairwise McNemar's test statistics for the performance of DeCoRe<sub>entropy</sub> against different baselines on MuSiQue, a multi-hop reasoning dataset, with and without CoT prompting in both closed-book and open-book settings (\* p < 0.05, \*\* p < 0.01, \*\*\* p < 0.001, \*\*\*\* p < 0.0001).

The results in Table 4 demonstrate that DeCoRe<sub>entropy</sub> achieves strong performance on the MuSiQue multi-hop reasoning dataset, particularly in open-book settings. For the Llama3-8b-Instruct model, DeCoRe<sub>entropy</sub> attains the highest average score and excels in open-book scenarios both without and with CoT, with these improvements being statistically significant compared to baselines like DoLA and CAD, as shown in Table 24. While DeCoRe<sub>entropy</sub> does not always outperform all baselines in closed-book settings, it still shows significant gains over methods like ITI. Similarly, for the Llama3-70b-Instruct model, DeCoRe<sub>entropy</sub> achieves the highest EM score in the open-book setting without CoT. These findings suggest that DeCoRe<sub>entropy</sub> significantly improves the model in a multi-hop reasoning task.

Model		XSum		Memo	Trap	IFI	Eval	NQ-Open	NQ-Swap
mouer	ROUGE-L↑	BERTScore-F1 ↑	factKB ↑	Macro Acc ↑	Micro Acc ↑	Prompt Acc ↑	Instruct Acc ↑	EM ↑	EM ↑
Mistral-7B-Instruct-v0.3	16.53	65.30	65.53	76.63	75.11	51.02	60.91	66.86	65.17
+ CAD (Shi et al., 2024)	14.71	63.55	69.90	83.63	81.49	-	-	65.54	76.11
+ DoLA (low) (Chuang et al., 2023)	16.45	65.24	65.51	76.33	74.75	49.54	60.19	67.01	65.32
+ DoLA (high) (Chuang et al., 2023)	16.44	65.23	65.70	76.47	74.91	49.72	60.19	66.97	65.21
+ AD (Chen et al., 2024)	16.58	65.36	65.25	76.80	75.35	51.76	62.35	66.70	63.99
+ DeCoRe static (Ours)	15.57	64.20	71.75	77.01	76.49	51.94	62.47	68.02	68.08
+ DeCoRe entropy (Ours)	15.15	63.80	<u>70.73</u>	<u>77.54</u>	<u>76.96</u>	51.20	61.27	68.48	<u>68.61</u>
Qwen2-7B-Instruct	20.00	67.70	68.66	82.13	80.54	52.31	62.35	68.81	72.90
+ CAD (Shi et al., 2024)	17.06	65.08	71.98	87.52	86.14	-	-	69.30	78.05
+ DoLA (low) (Chuang et al., 2023)	19.57	67.47	65.05	82.76	81.76	54.16	65.35	68.32	72.88
+ DoLA (high) (Chuang et al., 2023)	18.69	66.60	55.71	56.61	55.89	47.32	59.59	65.76	70.48
+ AD (Chen et al., 2024)	19.58	67.66	66.42	81.37	80.03	51.76	62.35	68.14	72.29
+ DeCoRe static (Ours)	18.78	66.82	75.21	82.50	81.02	58.04	67.51	70.13	75.64
+ DeCoRe entropy (Ours)	17.09	64.79	76.90	83.80	82.04	<u>54.90</u>	64.03	70.58	75.31

Table 25: Performance comparison of other model families (*i.e.*, Mistral-7B-Instruct-v0.3 and Qwen2-7B-Instruct) with different decoding strategies on faithfulness evaluation tasks. For each base model, the best performance is indicated in **bold**, and the second-best is underlined.

Model	Tru	thfulQA (	MC)	TriviaQA	PopQA	Т	ruthfulQA	(Generatio	n)	NQ-Open
mouch	MC1↑	$MC2\uparrow$	MC3↑	EM ↑	EM ↑	%Truth ↑	%Info ↑	$\%T\cap I\uparrow$	$\%$ Reject $\downarrow$	EM ↑
Mistral-7B-Instruct-v0.3	50.31	65.62	38.29	59.99	26.65	80.54	97.06	77.60	26.07	31.49
+ DoLA (low) (Chuang et al., 2023)	50.18	65.64	38.17	60.06	26.68	80.29	97.31	77.60	25.70	31.53
+ DoLA (high) (Chuang et al., 2023)	50.18	65.61	38.18	60.03	26.68	80.54	97.06	77.60	25.70	31.53
+ AD (Chen et al., 2024)	43.82	64.44	35.67	59.92	26.66	80.29	97.18	77.48	25.70	30.55
+ DeCoRe static (Ours)	53.49	67.13	39.48	60.09	27.02	77.85	<u>97.43</u>	75.40	20.81	31.38
+ DeCoRe entropy (Ours)	54.84	69.08	41.82	59.64	27.11	76.99	97.80	74.79	15.91	31.45
Qwen2-7B-Instruct	29.99	48.08	24.22	42.77	17.55	80.78	67.93	48.71	37.33	25.91
+ DoLA (low) (Chuang et al., 2023)	30.11	49.11	25.09	40.57	15.85	84.58	65.36	50.06	41.74	23.84
+ DoLA (high) (Chuang et al., 2023)	20.44	47.09	22.76	37.82	13.84	83.97	61.57	45.53	45.17	21.36
+ AD (Chen et al., 2024)	30.85	49.71	25.33	42.13	18.19	78.09	79.68	57.83	26.31	24.41
+ DeCoRe static (Ours)	31.09	48.23	25.20	42.50	17.71	79.31	69.28	48.59	37.33	26.06
+ DeCoRe entropy (Ours)	34.52	51.79	27.30	41.30	17.15	76.87	76.74	53.61	26.81	25.05

Table 26: Performance comparison of other model families (*i.e.*, Mistral-7B-Instruct-v0.3 and Qwen2-7B-Instruct) with different decoding strategies on factuality evaluation tasks. For each base model, the best performance is indicated in **bold**, and the second-best is <u>underlined</u>.

# I Ablation with Other LLM Families

## I.1 Faithfulness

Table 25 shows the performance of other model families (*i.e.*, Mistral-7B-Instruct-v0.3 and Qwen2-7B-Instruct) evaluated across faithfulness tasks with different decoding strategies. The results indicate that DeCoRe static and DeCoRe entropy outperform baseline models and other decoding strategies (DoLA) in most cases, demonstrating the effectiveness of DeCoRe in enhancing faithfulness evaluation tasks.

For Mistral-7B-Instruct-v0.3, both DeCoRe static and DeCoRe entropy perform competitively. Specifically, DeCoRe entropy achieves the highest scores on XSum's factKB, MemoTrap's Macro Acc, Open-Book NQ-Open, and NQ-Swap, showing the strongest ability to generate factually consistent summaries, follow instructions, and handle contextually faithful QA. DeCoRe static also improves performance significantly, underlining its utility in faithfulness tasks, even without dynamic entropy adjustments.

For Qwen2-7B-Instruct, DeCoRe entropy also leads in most tasks. It shows top performance on XSum's factKB, MemoTrap and Open-Book NQ-Open, indicating that it excels in generating factually consistent summaries, following instruction, and answering complex QA questions. DeCoRe static marginally surpasses DeCoRe entropy in NQ-Swap EM, suggesting that in some cases, static contrastive decoding may be sufficient for maintaining contextual faithfulness.

Overall, the trend observed across both model families confirms that DeCoRe, whether in static1335or entropy-controlled mode, provides significant improvements in maintaining contextual faithfulness1336regardless of the base model family, outperforming traditional decoding strategies like DoLA across1337summarisation, instruction-following, and QA tasks.1338

1319

1320

1322

1323

1324

1325

1326

1327

1328

1329

1330

1331

1332

1333

Model	MuSiQue w	ithout CoT	MuSiQue with CoT			
	<b>Closed Book</b>	Open Book	<b>Closed Book</b>	Open Book		
Mistral-7B-Instruct-v0.3	7.61	58.01	11.17	59.70		
+ CAD (Shi et al., 2024)	-	50.10	-	63.55		
+ DoLA (low)	7.53	58.21	10.92	59.79		
+ AD (Chen et al., 2024)	7.53	59.00	11.34	61.69		
+ DeCoRe static	7.86	<u>59.33</u>	12.04	<u>63.92</u>		
+ DeCoRe entropy	7.57	62.72	<u>11.21</u>	65.12		
Qwen2-7B-Instruct	6.54	63.01	8.23	60.57		
+ CAD (Shi et al., 2024)	-	64.58	-	66.41		
+ DoLA (low)	7.03	65.45	7.70	64.54		
+ AD (Chen et al., 2024)	5.71	65.29	8.44	65.70		
+ DeCoRe static	<u>6.70</u>	63.34	8.36	<u>66.78</u>		
+ DeCoRe entropy	6.16	<u>66.49</u>	<u>8.23</u>	67.98		

Table 27: Performance comparison of other model families (*i.e.*, Mistral-7B-Instruct-v0.3 and Qwen2-7B-Instruct) with different decoding strategies on MuSiQue, a multi-hop reasoning task. For each base model, the best performance is indicated in **bold**, and the second-best is <u>underlined</u>.

# I.2 Factuality

Table 26 compares the performance of Mistral-7B-Instruct-v0.3 and Qwen2-7B-Instruct on factuality evaluation tasks using different decoding strategies. For Mistral-7B-Instruct-v0.3, DeCoRe entropy delivers the best performance across multiple metrics, multiple choice metrics, the informativeness and rejection score on TruthfulQA, EM on TriviaQA and PopQA. DeCoRe static also performs well, particularly in improving the EM scores for PopQA and TriviaQA, showing its utility in handling factual recall tasks effectively.

Qwen2-7B-Instruct shows a similar pattern. DeCoRe entropy outperforms both the baseline model and DoLA in multiple choice and generation metrics on TruthfulQA. This highlights its superior capability in distinguishing truthful answers and minimising rejected outputs.

Overall, the trend across both model families confirms that DeCoRe, particularly DeCoRe entropy, significantly enhances the model's performance beyond just contextual faithfulnes.

# I.3 Chain-of-Thought

Table 27 presents the performance of Mistral-7B-Instruct-v0.3 and Qwen2-7B-Instruct on the MuSiQue multi-hop reasoning task across different decoding strategies. The most notable performance improvement for both models is observed in the open-book setup, particularly when coupled with CoT prompting which is also aligned with the results.

Without CoT, the open-book setup already shows strong performance, with DeCoRe entropy outperforming both DoLA and the baseline model. However, when CoT prompting is incorporated, the performance boost becomes even more apparent. This confirms that DeCoRe further amplifies the effectiveness of CoT prompting across model families.

# J Ablation of DeCoRe<sub>static</sub>

DeCoRe<sub>static</sub> uses a hyperparameter  $\alpha$  to control how much we want to contrast the prediction of the masked model from the base model, as shown in Equation (6). We examine the various values of  $\alpha$  and shows the results in Figure 9 across the faithfulness, factuality, and CoT reasoning evaluation tasks.

# 1364 J.1 Faithfulness

As shown in Figure 9a and Table 28, for XSum, increasing  $\alpha$  leads the highest factKB score up until  $\alpha = 1.0$ . MemoTrap tasks show a steady improvement in both Macro and Micro Accuracy as  $\alpha$  increases, peaking at  $\alpha = 2.0$ . However, for IFEval, higher values of  $\alpha$  lead to a drop in Instruct and Prompt



(c) Chain-of-Thought Reasoning Evaluation Tasks

Figure 9: Relation between  $\alpha$  and performance metrics of Llama3-8b-Instruct with DeCoRe<sub>static</sub> in the faithfulness (a), factuality (b), and Chain-of-Thought reasoning (c) evaluation tasks. Detailed results are listed in Table 28, Table 29, and Table 30.

Accuracy. Similarly, for the Open book NQ-Open and NQ-Swap tasks, performance decreases for extreme values of  $\alpha$ . 1368

# J.2 Factuality

Figure 9b and Table 29 show that, for TruthfulQA, the MC2 score improves slightly at higher  $\alpha$  values, with the best performance for MC2 at  $\alpha = 8.0$ . TriviaQA shows stable EM performance for lower  $\alpha$ , but it significantly drops when  $\alpha$  increases beyond 4.0. For PopQA and Closed-Book NQ-Open, performance declines as  $\alpha$  increases, with the best scores occurring at lower  $\alpha$ . 1371

# J.3 Chain of Thought

As shown in Figure 9c and Table 30, the performance of Llama3-8b-Instruct on MuSiQue varies with the choice of  $\alpha$  in both closed-book and open-book settings, with and without CoT prompting. Without CoT, performance peaks at  $\alpha = 0.5$  in both settings, but rapidly declines for higher values of  $\alpha$ . When CoT prompting is applied, accuracy improves across all settings, with the best results also observed at  $\alpha = 0.5$ . However, as  $\alpha$  increases beyond 1.0, performance deteriorates sharply, particularly at extreme values such as  $\alpha = 4.0$  and  $\alpha = 8.0$ .

Overall, these patterns show that some tasks may benefit from a high  $\alpha$  value, while the others may1382require it to be more constrained, indicating that it is necessary to have a dynamic  $\alpha$  value throughout the1383generation.1384

1070

α		XSum		Memo	oTrap	IFE	lval	NQ-Open	NQ-Swap
u	ROUGE-L↑	BERTScore-F1 ↑	factKB ↑	Macro Acc ↑	Micro Acc ↑	Instruct Acc ↑	<b>Prompt Acc</b> ↑	EM ↑	EM ↑
-0.5	20.16	66.42	28.17	63.52	60.65	76.98	68.58	68.17	55.75
0.0	19.90	67.23	47.61	65.86	64.40	70.24	78.30	69.68	60.62
0.5	19.87	67.83	64.07	69.53	69.20	69.13	78.06	70.62	64.43
1.0	19.41	67.83	67.46	69.71	70.22	73.74	63.59	70.73	64.88
2.0	18.38	67.19	64.02	71.28	71.84	70.74	59.70	69.64	63.02
4.0	16.65	65.26	52.61	70.77	71.09	51.56	37.52	62.86	54.83
8.0	13.05	55.65	31.34	70.68	70.97	35.01	20.70	43.24	39.97

Table 28: Performance of Llama3-8b-Instruct with DeCoRe<sub>static</sub> on faithfulness evaluation tasks. For each base model, the best performance is indicated in **bold**, and the second-best is <u>underlined</u>.

α	Trut	hfulQA (	MC)	TriviaQA	PopQA	NQ-Open
u	<b>MC1</b> ↑	<b>MC2</b> ↑	MC3↑	EM ↑	EM ↑	EM ↑
-0.5	38.31	57.05	31.48	56.00	26.09	28.93
0.0	39.41	55.69	30.31	56.58	26.64	29.04
0.5	38.68	55.74	29.80	56.93	26.86	29.42
1.0	38.07	55.86	29.81	56.78	26.87	28.93
2.0	36.84	56.13	30.08	56.47	26.60	28.59
4.0	37.45	57.62	31.43	53.92	24.55	28.14
8.0	37.70	58.37	31.82	43.67	18.66	23.47

Table 29: Performance of Llama3-8b-Instruct with DeCoRe<sub>static</sub> on factuality evaluation tasks. For each base model, the best performance is indicated in **bold**, and the second-best is <u>underlined</u>.

# K Implementation Details

1385

1386

1387

1388

1389

1390

1391

1392

1394

1395

1396

1398

1399

1400

1401

1402

1403

1404

1405

# K.1 Hardware and Library

We run all the experiments with NVIDIA A100 80GB GPUs. Specifically, we use 1 GPU instance for LLMs with 7B and 8B parameters, and 2 GPUs for 70B parameters LLM. We use the Huggingface Transformers libraries (Wolf et al., 2020) and custom LLM model python classes from (Wu et al., 2024) which contains the snippet to mask the attention heads. Our code is available at https://anonymous.40pen.science/r/decore-4FB7.

# K.2 Baseline Implementation

We obtained the fine-tuned weights of ITI models of Llama3-8B-Instruct and Llama3-70B-Instruct from https://huggingface.co/jujipotle/honest\_llama3\_8B\_instruct and https:// huggingface.co/jujipotle/honest\_llama3\_70B\_instruct, respectively. As the ITI modifications are already incorporated into the weights, we use them similarly to the baseline model with greedy decoding. For DoLa generation, we use the Huggingface official implementation via the .generate(...) function. While for the multiple choice tasks which compare the generated probability distribution, we use the implementation provided by the official code repository (https://github.com/voidism/DoLa). We followed the original implementation of the Contrastive Decoding algorithm (https://github. com/XiangLi1999/ContrastiveDecoding). We followed the original implementation of the Activation Decoding algorithm (https://github.com/hkust-nlp/Activation\_Decoding). We followed the original implementation of the Context Aware Decoding algorithm (https://github.com/xhan77/ context-aware-decoding).

# K.3 Additional Experimental Setting Details

Table 31 outlines the additional experimental settings for each task, including the evaluation metrics, number of shots (In-Context Learning demonstrations), and corresponding prompt templates. The prompt templates use double curly braces to denote input data placeholders. In each task, we use the same set of examples across all inputs to maintain an equal setup. We adopted examples from prior work and conducted a qualitative inspection (Gao et al., 2024; Chuang et al., 2023; Hong et al., 2024; Liu et al.,

α	MuSiQue w	MuSiQue without CoT		with CoT
u	Closed Book $\uparrow$	<b>Open Book</b> $\uparrow$	Closed Book $\uparrow$	<b>Open Book</b> $\uparrow$
-0.5	6.95	55.94	14.56	66.32
0.0	11.79	68.56	20.15	74.43
0.5	11.79	69.76	20.60	75.05
1.0	8.27	62.27	14.19	72.07
2.0	7.12	60.57	11.67	70.09
4.0	4.18	52.92	7.36	58.46
8.0	2.52	33.88	5.01	31.36

Table 30: Performance of Llama3-8b-Instruct with DeCoRe<sub>static</sub> on MuSiQue, a multi-hop reasoning dataset, with and without CoT prompting in both closed-book and open-book settings. For each base model, the best performance is indicated in **bold**, and the second-best is <u>underlined</u>.

2024). Specifically for the MuSiQue tasks, we noticed that three examples were not suitable for the intended tasks, as they did not adequately demonstrate multi-hop reasoning (see Table 32).

# K.4 Computational Performance in TFLOPS

Table 33 shows the computational performance of various models measured in TFLOPS. The CAD model exhibits the highest computational demand at 8.44 TFLOPS. In contrast, DeCoRe<sub>static</sub>, DeCoRe<sub>entropy</sub>, and DoLa show similar computational performance compared to the base model using greedy decoding, ranging from 4.24 to 4.32 TFLOPS. We believe that this is because DeCoRe implementation leverages shared KV caching as opposed to CAD which forces completely separate forward passes.

# L Long Generation Results

# L.1 Averaged Length-Normalised Conditional Entropy

Table 35 accompanies Figure 3. Refer to Section 4 for the explanation. Along with Table 34, we found that there is no significant difference between the methods, with the exception of ITI which generates shorter answers, however inaccurate. Thus, the difference is only in the correctness of the generation.

# L.2 Qualitative Examples

**XSum** Figure 10 presents a qualitative comparison between the baseline decoding and DeCoRe entropy generations in the XSum task. Both decodings are generally accurate, but there are notable differences in the information included. The entropy spikes when the model generates important or factual details such as the netting around the seal and the location. While the baseline focuses on reporting the basic details of the event, DeCoRe adds additional, contextually relevant information, such as the reference to avoiding serious injury and infection. This extra detail aligns with the facts presented in the original document (e.g., "[...] the net would have eventually cut through his skin which could have resulted in septicaemia or other infections [...]").

TruthfulQAFigure 11 compares the baseline decoding with DeCoRe entropy generations in the1433TruthfulQA task. The amber background highlights the entropy value, with darker shades indicating1434higher uncertainty. In this example, the baseline model declines to answer the question, providing an1435uninformative response: "I have no comment." In contrast, DeCoRe generates a much more detailed and1436accurate answer, correctly refuting the link between the MMR vaccine and autism while also mentioning1437the discrediting of Wakefield's research. The entropy spikes are observed near key facts, such as "autism"1438and "measles" and the follow-up that "subsequent investigations" discredited the study.1439

MuSiQueFigure 12 compares the baseline decoding with DeCoRe entropy generations in the MuSiQue1440task. Amber shading indicates the entropy level, with darker shades indicating higher uncertainty. Since1441MuSiQue is a question answering task, we can indicate the correct and incorrect answer by using green1442and red backgrounds, respectively. Both decoding strategies show similar entropy spikes when generating1443

# Article:

The grey seal was found at Cruden Bay on Tuesday. The Scottish SPCA removed the seal from beach and took it to the charity's rescue centre where it was cut free. Ch Insp John Carle said: "The amount of netting tangled around the seal's neck was the largest I have ever seen. It was a lovely moment watching the seal heading back into the water." He added: "He was so large and heavy it took three of our officers to lift him and take him to our centre in Drumoak where we cut the net away. If he hadn't been discovered and freed the net would eventually have cut through his skin which could have resulted in septicaemia or other infections. Thankfully there were no injuries in this case and we were able to release him relatively quickly. This was a very happy ending and we're so glad we were able to help."

Scottish S PCA from a beach in Cr uden Bay after it became ent angled in a large amount of net ting around its neck , which was eventually cut away and the seal was released back into the
(a) Baseline. (b) DeCoRe <sub>entropy</sub> .

Figure 10: Qualitative comparison of Baseline decoding vs DeCoRe<sub>entropy</sub> generations in the XSum task, along with the entropy over time. Amber background indicates the entropy (darker shades indicate higher entropy). Notice that the entropy spikes nearing the important fact such as the details about the amount of netting and the location of the incident. Both generations are equally accurate, but DeCoRe occasionally includes additional, relevant details. For example, it mentions the rescue preventing serious injury and infection, which is consistent with the source document (i.e., "[...] the net would eventually have cut through his skin which could have resulted in septicaemia or other infections [...]").

the names "Gilroy" and "Robert," suggesting uncertainty. DeCoRe, however, correctly selects "Robert 1444 Ludlum," the author of the original novel, while the baseline model incorrectly selects "Gilroy," the screenplay writer. This shows DeCoRe's improved accuracy in selecting the right answer, particularly in cases where multiple plausible names are involved. 1447



Figure 11: Qualitative comparison of Baseline decoding vs DeCoRe<sub>entropy</sub> generations in the TruthfulQA task, along with the entropy over time. Amber background indicates the entropy (darker shades indicate higher entropy). Notice that the entropy spikes nearing the beginning of important facts such as the diagnoses (*i.e.*, autism and measles) and the fact that the paper was discredited by subsequent studies. As noted in Table 3, DeCoRe is more likely to not reject answering the question compared to the baseline models.

Wikipedia Title: The Bourne Ultimatum (film)	)
The Bourne Ultimatum is a 2007 action spy film of	directed by Paul Greengrass loosely based on
the novel of the same name by Robert Ludlum	. The screenplay was written by Tony Gilroy,
Scott Z. Burns and George Nolfi and based on a s	screen story of the novel by Gilroy.
Question: What was the story that included the cl	haracter from The Bourne Betrayal based on?
The screenplay for The Bour ne	The screenplay for The Bour ne
Ult im atum was written by Tony	Ult im atum was written by Tony
Gil roy , Scott Z . Burns , and	Gil roy , Scott Z . Burns , and
George N olf i , and was based	George N olf i , and was based
on a screen story of the novel by	on a screen story of the novel by
Gil roy .	Robert Lud lum .
(a) Baseline.	(b) DeCoRe <sub>entropy</sub> .

Figure 12: Qualitative comparison of the Baseline decoding vs DeCoRe<sub>entropy</sub> generations in the MuSiQue task, along with the entropy over time. Amber background indicates the entropy (darker shades indicate higher entropy), while green and red background indicates the right and wrong answers, respectively. Entropy generally follow the same pattern for the similar generation. Notice that both models are more uncertain when generating "Gil" or "Robert", which are the final answers. "Robert Ludlum" is the correct answer, while "Gilroy" was mentioned in the passage as the writer of the screen story, but not the original novel.

Task	Metric	# of shots	Prompt Template
		Faith	fulness Hallucination
XSum	ROUGE-L BERTScore factKB	0	Generate a summary comprising of 1 sentence for the given article.\n\n Article: " + {{document}}\n\nSummary:
MemoTrap	Macro Accuracy Micro Accuracy	0	{{question}}
IFEval	Instruction-level Strict Accuracy Prompt-level Strict Accuracy	0	{{question}}
Open Book NQ-Open	EM	1	Write a high-quality answer for the given question using only the provided search results (some of which might be irrelevant). Provide the answer in 5 words or less without any explanation.\n\n {{in-context learning demonstrations}}\n\n Document [{{document_index}}] {{document}}\n Question: {{question}\n Answer:
NQ-Swap	EM	4	Answer the following question based on the provided context:\n\n {{in-context learning demonstrations}}\n\n Context: {{context}}\n Question: {{question}}\n Answer:
		Fac	tuality Hallucination
Closed-Book NQ-Open	EM	8	Write a high-quality answer for the given question. Provide the answer in 5 words or less without any explanation.\n\n {{in-context learning demonstrations}}\n\n Question: {{question}}\n Answer:
TriviaQA	EM	8	Answer the given question.\n\n {{in-context learning demonstrations}}\n\n Question: {{question}}\n Answer:
PopQA	EM	8	Answer the given question.\n\n {{in-context learning demonstrations}}\n\n Question: {{question}}\n Answer:
TruthfulQA	MC1 MC2 MC3 GPT-Judge GPT-Info	6	Interpret each question literally, and as a question about the real world; carefully research each answer, without falling prey to any common myths; and reply "I have no comment" unless you are completely certain of the answer.\n\n {{in-context learning demonstrations}}\n\n Q: {{question}}\n A:
			Reasoning
MuSiQue Direct	EM	17	Answer the following question.\n\n {{in-context learning demonstrations}}\n\n Q: {{question}}\n Answer:
MuSiQue CoT	EM	17	Answer the following question by reasoning step-by-step.\n\n {{in-context learning demonstrations}}\n\n Q: {{question}}\n Answer:

Table 31: Additional experimental setting details for the tasks, including the number of shots and the prompt templates. The double curly braces "{{}}" signify input data.

Question	Answer	Reason of Removal
What genre is the record label of the per- former of So Long, See You Tomorrow associ- ated with?	The performer of So Long, See You Tomorrow is Bombay Bicy- cle Club. The record label of Bombay Bicycle Club is Island Records. The genre of Island Records is jazz. So the answer is: jazz.	A record label does not have only one specific genre, as confirmed by a Wikipedia search of Island Records.
What is the <b>genre of</b> <b>the record label</b> of the band that performed on the Crush Tour?	The Crush Tour is performed by the band Bon Jovi. The record la- bel of Bon Jovi is Island Records. The genre of Island Records is jazz. So the answer is: jazz.	A record label does not have only one specific genre, as confirmed by a Wikipedia search of Island Records.
Where is the crying stone found in the country in which Raphael Tuju holds citizenship?	Raphael Tuju is a citizen of Kenya. The crying stone in Kenya is found along the high- way towards Kisumu. So the answer is: along the highway to- wards Kisumu.	The second passage al- ready contains the an- swer as standalone evi- dence. It does not high- light the multi-hop rea- soning.

Table 32: Erroneous In-Context Learning Demonstrations of MuSiQue

Model	<b>TFLOPS</b> $\downarrow$	
Llama3-8B-Instruct	4.24	
+ CAD	8.44	
+ DoLa	4.28	
+ DeCoRe <sub>static</sub>	4.32	
+ DeCoRe <sub>entropy</sub>	4.32	

Table 33: Computational performance of the decoding methods in TFLOPS.

Model	XSum	MuSiQue with CoT	
		Closed	Open
LLama3-8B-Instruct	54.74	50.63	44.41
+ ITI	29.37	24.71	21.72
+ DoLa	54.97	50.35	44.49
+ DeCoRe <sub>entropy</sub>	52.31	54.76	46.15

Table 34: Average Length of Generations.

Model	XSum	MuSiQue with CoT	
	110 0111	Closed	Open
Llama3-8b-Instruct	$0.41_{\pm 0.12}$	$0.30{\scriptstyle~\pm 0.10}$	$0.43 \scriptstyle \pm 0.20$
+ ITI	$0.65_{\ \pm 0.21}$	$0.46_{\ \pm 0.18}$	$0.72_{\ \pm 0.28}$
+ DoLa	$0.41_{\ \pm 0.12}$	$0.30_{\ \pm 0.10}$	$0.43_{\ \pm 0.20}$
+ DeCoRe <sub>entropy</sub>	$\textbf{0.38}_{\pm 0.11}$	$\textbf{0.29}_{\pm 0.10}$	$\textbf{0.41}_{\pm 0.20}$

Table 35: Averaged Length-Normalised Conditional Entropy which signifies the averaged overall uncertainty of generated sequences per model. Lower values indicate less overall uncertainty. **Bold** indicates the lowest value.