UNIFIED NEURAL NETWORK SCALING LAWS AND SCALE-TIME EQUIVALENCE

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ABSTRACT

011 As neural networks continue to grow in size but datasets might not, it is vital to 012 understand how much performance improvement can be expected: is it more im-013 portant to scale network size or data volume? Thus, neural network scaling laws, 014 which characterize how test error varies with network size and data volume, have become increasingly important. However, existing scaling laws are often appli-015 cable only in limited regimes and often do not incorporate or predict well-known 016 phenomena such as double descent. Here, we present a novel theoretical charac-017 terization of how three factors - model size, training time, and data volume -018 interact to determine the performance of deep neural networks. We first establish 019 a theoretical and empirical equivalence between scaling the size of a neural network and increasing its training time proportionally. Scale-time equivalence chal-021 lenges the current practice, wherein large models are trained for small durations, and suggests that smaller models trained over extended periods could match their efficacy. It also leads to a novel method for predicting the performance of large-024 scale networks from small-scale networks trained for extended epochs, and vice 025 versa. We next combine scale-time equivalence with a linear model analysis of 026 double descent to obtain a unified theoretical scaling law, which we confirm with experiments across vision benchmarks and network architectures. These laws ex-027 plain several previously unexplained phenomena: reduced data requirements for 028 generalization in larger models, heightened sensitivity to label noise in overparam-029 eterized models, and instances where increasing model scale does not necessarily enhance performance. Our findings hold significant implications for the practical 031 deployment of neural networks, offering a more accessible and efficient path to 032 training and fine-tuning large models. 033

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1 INTRODUCTION

Progress in artificial intelligence (AI) has relied heavily on the dramatic growth in the size of models
and datasets. An active area of research focuses on understanding how test error decreases with
increases in model and data size. This work has led to the development of scaling laws which posit
that test error decreases as a power law with both. However, several theoretical aspects remain
unclear. One significant gap is understanding how test error and the existing scaling laws change as
the training time is varied (Kaplan et al., 2020; Bahri et al., 2021; Rosenfeld et al., 2020; Sharma &
Kaplan, 2022).

The practical relevance of this question is clear: under a fixed compute budget, what is the optimal balance between scaling the model size and dataset volume, and what is the right amount of training for a given data volume? This is particularly relevant in the context of large language models (LLMs), which are often trained for a single epoch, raising questions about the potential efficacy of training smaller models for longer (more epochs).

Furthermore, current scaling laws do not account for other well-known phenomena in learning,
such as double descent (Belkin et al., 2019), in which model performance exhibits non-monotonic
changes with respect to training data volume, model size, and training time. In particular, double
descent theory predicts that test error should increase rapidly at the *interpolation threshold*, the point
at which the model interpolates the training set (Nakkiran et al., 2021; Advani & Saxe, 2017). Like
scaling laws, current theories of double descent leave several empirical phenomena unexplained:

past explanations of double descent require it to occur, but empirically double descent is often not observed; it is unclear whether the interpolation threshold should grow or shrink with model size;
 prior theory does not explain why models in the infinite-parameter limit sometimes perform worse than their finite-parameter counterparts.

We seek the simplest possible unified framework in which to understand learning with respect to model size, data volume, and training time. In doing so, we aim to capture the essential scaling properties of learning in deep neural networks. Our results unify double descent with scaling laws and help to understand when models are sufficiently large for effective performance, how double descent is affected by varying training time and model size, and the variability and shape of loss curves across different problems.

064 065 The contributions of this paper are multi-fold:

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- We theoretically and empirically demonstrate that scaling the size of a neural network is functionally equivalent to increasing its training time by a proportional factor.
- Leveraging this insight, we 1) predict the performance of large-scale networks using smallscale networks trained for many epochs and 2) predict the performance of networks trained for many epochs using the performance of large networks trained for one epoch.
- Using scale-time equivalence, we propose a unified scaling law for deep neural networks that provides a new explanation for parameter-wise double descent: double descent occurs when small models, which effectively train slower than larger models, acquire noisy data features.
- Through experiments conducted on standard vision benchmarks across multiple network architectures, we validate that our model explains several previously unexplained phenomena, including 1) the reduced data requirement for generalization in larger models, 2) the large impact of label noise on overparameterized models, 3) why error of overparameterized models often *increases* with scale.
- 2 Related work
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2.1 SCALING LAWS

Neural network scaling laws describe how generalization error scales with data and model size. A number of works have observed power-law scaling with respect to data and model size (Kaplan et al., 2020; Rosenfeld et al., 2020; Clark et al., 2022), which has been explained theoretically (Bahri et al., 2021; Sharma & Kaplan, 2022; Hutter, 2021; Paquette et al., 2024).

However, other work demonstrates that model scale may not be sufficient to predict model performance (Tay et al., 2022), and casts doubt on power laws as the best model of error rate scaling (Alabdulmohsin et al., 2022; Bansal et al., 2022; Mahmood et al., 2022). Moreover, scaling with respect to training time, holding data volume fixed, remains poorly understood. These observations highlight the need for a more general framework that can predict model performance under many settings.

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- 2.2 DOUBLE DESCENT

098 Double descent is an empirically observed phenomenon in which generalization error of machine 099 learning models with respect to training data volume, model size, and training time exhibits an 100 initial decrease, followed by a brief, sharp increase followed by a final decrease (Belkin et al., 2019; 101 Nakkiran et al., 2021). Double descent with respect to model and data size has been theoretically 102 understood as occurring due to a high degree of overfitting at the *interpolation threshold*, the point 103 at which the model size is just sufficient to interpolate the training data (Adlam & Pennington, 2020; 104 D'Ascoli et al., 2020; Belkin et al., 2019; Advani & Saxe, 2017). Typically, this work uses tools 105 from random matrix theory to explain double descent for random feature models, in which linear regression maps a random, fixed feature pool to the desired output (Simon et al., 2024; Atanasov 106 et al., 2024; Adlam et al., 2022; Bordelon et al., 2024; Maloney et al., 2022; Mei & Montanari, 2019; 107 Ali et al., 2019; Lin et al., 2024).

108 However, these models generally do not explain double descent behavior in terms of training time. 109 Originally, Nakkiran et al. (2019; 2021) hypothesized that training models for longer results in an 110 effective increase in model size, thus allowing time-wise double descent to be explained in the same 111 way as scale-wise double descent. Recent works have provided an alternate explanation: time-wise 112 double descent occurs due to data features being learned at varying scales (Pezeshki et al., 2022; Heckel & Yilmaz, 2021; Stephenson & Lee, 2021). Error rises as models overfit to quickly-learned 113 noisy features, but then falls as models more slowly learn signal features. In this work, we unify this 114 explanation of time-wise double descent with the traditional account of double descent. 115

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3 SCALE-TIME EQUIVALENCE IN NEURAL NETWORKS

In this section, we demonstrate that model size and training time may be traded off with each other.
This result is consistent with and generalizes prior results demonstrating that models learn functions
of increasing complexity over time (Nakkiran et al., 2019). We establish the result theoretically
in a simplified model and validate it empirically in neural networks across several datasets and
architectures.

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3.1 RANDOM SUBSPACE MODEL

Following the lines of prior double descent analyses in random feature models, we construct a random subspace model to demonstrate scale-time equivalence theoretically. Consider a large P dimensional model with parameters β , such that the function represented by the model depends only on a low-dimensional linear projection of β . This is reasonable for neural networks: it is well known that neural networks trained by stochastic gradient descent tend towards flat minima of their loss landscapes, thus revealing many redundant dimensions in the network (i.e. only a low-dimensional subspace affects the network output). Specifically, we denote the low-dimensional projection as $\alpha \in \mathbb{R}^r$ where r < P which is constructed as:

$$\alpha = K\beta \tag{1}$$

136 where $K \in \mathbb{R}^{r \times P}$ is a fixed projection matrix.

Assume that we can only control a random *p*-dimensional (P > p > r) linear subspace of the large model. This may again be a reasonable assumption for model classes such as neural networks: smaller neural networks can naturally be viewed as linear subspaces of larger neural networks that contain them architecturally (i.e. have a larger width and depth) (Hall & Li, 1993). We denote the parameters of our controllable *p* dimensional subspace model as $\theta \in \mathbb{R}^p$, where:

$$\beta = R\theta + \beta_0 \tag{2}$$

for a random matrix $R \in \mathbb{R}^{P \times p}$ with elements drawn iid from a unit Gaussian and with $\beta_0 \in \mathbb{R}^P$ fixed. We will represent time t with subscript t (i.e. β_0 denotes β at time 0). Observe that any p dimensional affine subspace of \mathbb{R}^P may be represented in the form above for some choice of R and β_0 .

Under these assumptions, we can show that under gradient descent, increasing the scale p of the model is equivalent to increasing training time:

Theorem 1. We denote the loss as a function of α : $L \in \mathbb{R}^r \to \mathbb{R}$. Suppose L has Lipschitz constant l and its second derivative has Lipschitz constant h. Suppose that continuous time gradient flow is applied to θ with learning rate η from initialization $\theta = 0$. We denote $\alpha_t = K(R\theta_t + \beta_0)$ where θ_t are the parameters at time t. Denote $A_t \in \mathbb{R}^r$ as the solution to:

$$\dot{A}_t = -\eta K K^T \nabla L(A_t) \tag{3}$$

156 with initial condition $A_0 = K\beta_0$. Note that A_t does not depend on p. Then, with probability $1 - \epsilon$:

$$||\alpha_t - A_{pt}|| \le \frac{l\sqrt{||K||_F^4 + ||KK^T||_F^2}}{h\sqrt{p\epsilon}||KK^T||} (e^{\eta pth||KK^T||} - 1)$$
(4)

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161 See Appendix A for a proof. The theorem implies closeness between the function implemented by the network, represented by α_t , and another quantity A_{pt} which only depends on the product pt. In



Figure 1: Proportional trade-off between model scale and training time: testing the prediction
on a linear model. Red lines indicate tradeoff curves between number of training iterations and
model size. Curves are computed by, for each model size, measuring the minimum amount of training time necessary to achieve different loss levels. Different curves indicate different performance
thresholds; darker lines indicate a smaller error threshold. Margins indicate standard errors over 5
trials. Grey dashed lines represent 1:1 proportionality between scale and training iterations.

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other words, the learned model only depends on the product of the number of parameters p and time t (up to some error). Thus, we may interpret the product pt as representing the distance of a model along the training trajectory; larger pt implies more training progress. Increasing the number of parameters by a scale factor is equivalent to increasing the training time by the same scale factor and vice versa: scale is *equivalent* to time. Intuitively, this is because each parameter allows the function to learn at a fixed rate; thus, adding more parameters linearly increases the effective learning rate.

The result also reveals when such a scale-time equivalence *cannot* be made. The error bound implies 189 that when training progress pt is fixed, as p grows, scale and time become increasingly equivalent 190 (the bound approaches zero). The equivalence breaks down for small p since here, the randomly cho-191 sen subspace of the model may or may not align well with K; as p grows, the amount of alignment 192 becomes less stochastic. Moreover, as training progress pt grows, the bound grows exponentially 193 because small perturbations to the model early in the training trajectory lead to exponentially larger 194 changes later in the trajectory. Finally, we highlight that our result holds under standard neural net-195 work parameterizations in which the gradient of the model output with respect to each parameter 196 does not scale with p; in other parameterizations such as Neural Tangent Kernel (Jacot et al., 2018), 197 we may expect a different form of scale-time equivalence.

We first validate our prediction in a simple linear model in which a varying fraction of the model parameters are controllable. See Appendix C for details. In Figure 1, we find lines of 1:1 proportionality between model scale and the number of iterations required to reach a fixed loss level, validating our theory.

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3.2 Empirical validation in neural networks

205 We next turn to examine whether scale-time equivalence is present empirically in neural net-206 works. We conduct experiments on MNIST (Deng, 2012), CIFAR-10 (Krizhevsky, 2009), and SVHN (Goodfellow et al., 2013) training a 7-layer convolutional neural network (CNN) and a 6-207 layer multilayer perception (MLP) with stochastic gradient descent (SGD). To assess scale-time 208 equivalence, we measure the minimum amount of training time required to achieve non-zero gener-209 alization under various network widths and by varying the dataset size by subsampling. Scale-time 210 equivalence predicts that wider networks will require less time to generalize in a systematically 211 predictable way. See Appendix C for further experimental details. 212

As observed in Figure 2, in all settings, we see a clear tradeoff curve between scale and training time: increasing scale by a fixed factor is nearly equivalent to reducing training time by another fixed factor. Importantly, the scale here is set as the *effective* number of network parameters, defined as the maximum number of training points that can be fit by the network, not the absolute number



Figure 2: Proportional trade-off between model scale and training time: testing the prediction on neural networks. Red lines indicate tradeoff curves between number of training epochs and network scale for different datasets and architectures trained with SGD. Different curves indicate different amounts of training data; darker lines indicate more data. Curves are computed by, for each network scale, measuring the minimum amount of training time necessary to achieve nonzero generalization. Margins indicate standard errors over 5 trials. Grey curves are lines of 1:1 proportionality between scale and training epochs.

of parameters. We set the effective parameter count as the *cube root* of the number of parameters. Appendix B provides a heuristic argument for this scaling rate.

Under this choice of scale, we find a systematic and predictable relationship between scale and train-247 ing time, demonstrating that scale-time equivalence can be empirically observed. We also note that 248 this phenomenon has been observed in prior literature (although not quantitatively characterized); 249 for instance, Nakkiran et al. (2019) find that patterns of double descent are similar with respect to 250 training epochs and network size. We emphasize that these results are limited to gradient descent 251 (the setting of our theory). With Adam optimization (Kingma & Ba, 2015), the number of epochs 252 for generalization first decreases with scale, then increases (see Appendix D Figure 7). We hypoth-253 esize that since Adam has an adaptive learning rate, it is using a smaller effective learning rate for 254 very large networks. As learning rate shrinks, more epochs are needed to generalize, leading to the 255 observed results.

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4 PREDICTING OPTIMAL NETWORK SCALE AND TRAINING TIME

Scale-time equivalence suggests it should be possible to predict the performance of large models by
 training small models for many epochs and vice versa. This allows us to predict the optimal network
 scale and training time for a given dataset and base architecture.

On benchmark datasets and architectures, we conduct two experiments: 1) predict performance
 under varying model scales from a small network trained for long training times, 2) predict performance
 mance over long training times by using larger networks trained for just 1 epoch. See Appendix C for experimental details and Figures 10 and 11 for full results.

Figure 3 illustrates that scale-time equivalence can indeed be used to extrapolate performance on large scales and training times. Predictions of test and train performance under large model scales are particularly close to the true performance; notably, we can closely predict the scale at which generalization starts to occur. However, there is a small discrepancy between predicted and actual



Figure 3: By scale-time equivalence, small models trained for long times predict performance
of large models trained for small times and vice versa: test of prediction. Predicted and true test
and train error of a CNN (top row) and MLP (bottom row) trained on MNIST. Column 1: predicting
the performance of larger models over a few epochs by training smaller models for up to 100 epochs.
Column 2: predicting performance of smaller models over many epochs by training larger models
for 1 epoch. We use scale-time equivalence to predict the equivalent scale or number of epochs for
each prediction. Margins indicate standard errors over 5 trials.

performance; we believe this can be corrected with dataset and model-specific tuning of the scaletime trade-off curve. Nevertheless, our findings reveal that scale-time equivalence can be used to predict optimal network scale and training time.

5 A UNIFIED VIEW OF DOUBLE DESCENT W.R.T. TRAINING TIME, MODEL SCALE AND TRAINING SET SIZE

We next leverage scale-time equivalence to obtain a more-unified understanding of the phenomenon of double descent, with respect to training time, parameter count and training set size.

5.1 ERROR SCALING OVER TIME IN A LINEAR MODEL

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Following the approach of Pezeshki et al. (2022); Heckel & Yilmaz (2021); Stephenson & Lee (2021); Schaeffer et al. (2023), we first present a simple linear model that explains double descent with respect to time.

Consider a linear student-teacher setting in which training set outputs $Y \in \mathbb{R}^n$ are constructed as:

$$Y = Xw + \varepsilon \tag{5}$$

where training data $X \in \mathbb{R}^{n \times m}$, noise $\varepsilon \in \mathbb{R}^n$, $w \in \mathbb{R}^m$ is the unknown true model, n is the number of training points and m is the model dimensionality. We assume w is drawn independently from ε . Then, the parameters θ_t of a linear model learned after t time of gradient flow on mean squared error (with learning rate η) can be expressed as:

$$\theta_t = X^{\dagger} (I - e^{-\eta X X^T t}) Y \tag{6}$$

The resulting prediction error $x^T \theta_t - x^T w$ on a test point x can be expressed as:

$$x^{T}[X^{\dagger}(I - e^{-\eta X X^{T}t})X - I]w + x^{T}X^{\dagger}(I - e^{-\eta X X^{T}t})\varepsilon$$
(7)

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Figure 4: **Predicted variations in double-descent behavior, depending on training noise profile.** Schematic loss trajectories (a) and corresponding parameter space trajectories (b) of linear regression under various noise settings (different color curves). Depending on the noise profile, parameters may experience a temporary increase in error resembling an interpolation threshold.

We then use the singular value decomposition $U\Sigma V^T$ of X to express the prediction error:

$$x^{T}V[\Sigma^{\dagger}(I - e^{-\eta\Sigma\Sigma^{T}t})\Sigma - I]V^{T}w + x^{T}V\Sigma^{\dagger}(I - e^{-\eta\Sigma\Sigma^{T}t})V^{T}\varepsilon$$
$$= \sum_{i=1}^{m} -(x^{T}V)_{i}(V^{T}w)_{i}e^{-\eta\sigma_{i}^{2}t} + (x^{T}V)_{i}(V^{T}\varepsilon)_{i}\frac{1 - e^{-\eta\sigma_{i}^{2}t}}{\sigma_{i}}$$
(8)

where σ_i are the singular values of X, and we denote $\sigma_i = 0$ for i > n when n < m (in this case, $\frac{1-e^{-\eta\sigma_i^2 t}}{\sigma_i}$ denotes 0). Using the independence of w and ε , we finally may simply express the expected squared prediction error as:

$$\mathbb{E}[(x^T w - x^T \theta_t)^2] = \mathbb{E}[(\sum_{i=1}^m S_i e^{-\eta \sigma_i^2 t})^2] + \mathbb{E}[(\sum_{i=1}^m N_i \frac{1 - e^{-\eta \sigma_i^2 t}}{\sigma_i})^2]$$
(9)

where $S_i = -(x^T V)_i (V^T w)_i$, $N_i = (x^T V)_i (V^T \varepsilon)_i$. The first, signal term captures how well w can be learned in the absence of noise. In the underparameterized regime (n > m), this term approaches 0 as $t \to \infty$: without noise, the model can be learned perfectly. Observe that in general, the prediction error is *not* predicted to decay directly as a power law with t: instead, it decays or grows following a combination of exponential curves (though a combination of exponential decays at different rates can mimic a power law (Reed & Hughes, 2002)).

The second, noise term initially starts at 0 and grows over time. Notably, the size of the noise term is largest near the interpolation threshold (when $m \approx n$) since the smallest singular values σ_i will take small, non-zero values. As n or m grows larger than the other (distance from the interpolation threshold increases), the size of the noise term decreases. However, the noise term's magnitude monotonically increases with t.

367 For any given singular component of X, if the noise components are large relative to signal compo-368 nents (i.e. $|N_i| > |S_i|$), we expect that error will increase at the corresponding timescale, around 369 $t = \frac{1}{n\sigma_1^2}$ and vice versa. Thus, if the small singular value components are noisy, error will increase 370 later during training, while if large singular value components are noisy, error will increase early 371 during training. Double-descent occurs when noisy components are acquired first (increase in error) 372 followed by signal components (decrease in error). Figure 4(a) illustrates how different acquisition 373 rates of noise vs. signal components may yield different loss trajectories, with some corresponding 374 to double descent. We may also view these trends geometrically in the parameter space; Figure 4(b) 375 shows that if signal and noise correspond to orthogonal parameter dimensions, then double descent (orange curve) corresponds to a setting in which noise dimensions are learned rapidly before signal 376 dimensions. The optimal training time depends on which point in the parameter trajectory is closest 377 to the true optimum and may occur either at the end of training or at an intermediate point.



Figure 5: Larger models require less data to interpolate: test of prediction. Test and train mean squared error of CNN models trained on MNIST under varying levels of data. Different curves indicate different model scales; darker colors indicate larger models. Margins indicate standard errors over 5 trials.

5.2 EARLY NOISE ACQUISITION CAN EXPLAIN PARAMETER-WISE DOUBLE DESCENT

Next, we hypothesize that due to scale-time equivalence, parameter-wise double descent occurs *due to the same mechanism* as time-wise double descent. Namely, in settings where noise is acquired
 before signal, smaller scale models (which require effectively larger training time by scale-time
 equivalence) fail to acquire signal.

More precisely, by combining Equation 9 and scale-time equivalence, we propose the following scaling law in terms of the number of parameters p and time t by substituting t with pt:

$$error^{2} = \mathbb{E}[(\sum_{i=1}^{\infty} S_{i}e^{-\eta\sigma_{i}^{2}pt})^{2}] + \mathbb{E}[(\sum_{i=1}^{\infty} N_{i}\frac{1-e^{-\eta\sigma_{i}^{2}pt}}{\sigma_{i}})^{2}]$$
(10)

where σ_i depends on the number of training points n and S_i and N_i are random variables determining the strength of signal and noise respectively. This scaling law simultaneously explains double descent in terms of both p and t. Moreover, it can explain double descent in terms of data volume nas well: if n is set such that there are several small non-zero values of σ_i , the noise term becomes amplified. This explanation for double descent in n follows prior literature (Advani & Saxe, 2017).

The explanation for parameter-wise double descent differs from conventional wisdom in which double descent occurs due to the same reason as double descent in n: namely, when the number of model parameters is close to n, the model is highly sensitive to noisy directions in the training data (corresponding to small σ_i in a linear model), thus severely overfitting. How can we distinguish this hypothesis from ours? We propose three tests to separate the two hypotheses.

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5.3 Less data required for generalization with model scale

418 The interpolation threshold can be defined as the point when the number of data points equals the 419 effective complexity of a model (Nakkiran et al., 2019). Thus, for any model, the location of the 420 interpolation threshold with respect to data volume is the model's effective capacity: higher capacity 421 models have a rightward-shifted interpolation threshold with respect to data volume. Conventional 422 double descent theory argues that this point corresponds to a sharp decrease in the test set error as the amount of data grows. Starting from zero data, we would therefore expect that larger models 423 (which have a larger effective capacity), would experience a sharp decrease in test set error at *higher* 424 data volumes: larger models require more data to generalize. By contrast, under our explanation, as 425 model size grows, the amount of data needed to generalize *decreases*: since model size corresponds 426 to training time, generalization occurs more easily with larger models (equivalently, more training 427 time). 428

To test this, we conduct experiments on benchmark datasets and architectures. Figure 5 reveals that larger models indeed require less data to generalize, thus supporting our hypothesis. (See Appendix C for experimental details and Figure 8 for full results.) Indeed, the *training set errors decrease* with data volume which is at odds with conventional double descent theory in which more



Figure 6: Noise induces a persistent or growing error with training time and model scale, but not with dataset size. Test mean squared error vs. number of epochs, model scale and training data under noisy and noise-free labels. Each row indicates a different combination of dataset and architecture. Margins indicate standard errors over 5 trials.

data is always harder to interpolate. Fundamentally, this is because conventional double descent theory typically assumes complete training convergence, which does not explain phenomena under the practically relevant setting of a fixed training budget. In summary, the increased ease of general-ization with model scale does not neatly fit into standard theories of double descent, but readily fits in our explanation.

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5.4 PERSISTENT VS LOCAL EFFECTS OF NOISE ON ERROR CURVES

475 Another key distinction is how the two explanations behave under varying levels of noise. Under 476 the conventional explanation, as the noise $(|N_i|)$ increases, the error increases mostly *locally around* 477 $p \approx n$, near the interpolation threshold. This is because in Equation 9, the noise coefficients N_i multiply terms that are largest near the interpolation threshold and decrease as either data volume or 478 model scale grow larger than the other (Schaeffer et al., 2023). In contrast, under our hypothesis, as 479 the noise increases, the error monotonically grows with both model scale and time (see Equation 10). 480 In other words, when the noise level changes, our hypothesis predicts a global performance change 481 with respect to model scale while the conventional explanation predicts a primarily local change. 482

We conduct experiments on benchmark datasets and architectures to validate this. We add label
 noise to the training set and evaluate performance as a function of epochs, model scale and data size.
 Appendix C includes experimental details. Noise leads to an increase in error in all cases, Figure 6 (See Appendix D for additional plots), with a persistent or growing error with training time (epochs)

486 and model scale. However, it leads to only localized increases in error in terms of the dataset size: 487 in the case of MNIST and SVHN, for instance, there is nearly no change in error at the highest data 488 volume.

5.5 SHAPE OF LOSS CURVE: UPTURN WITH MODEL SIZE

492 A final key distinction between the two explanations is their prediction of the shape of the loss curve. Under the conventional explanation of double descent, past the interpolation threshold, increasing model size always improves performance. However, under our hypothesis, increasing model size 494 may in certain cases *worsen performance*, if signal features are learned before noisy features. In 495 Figure 6, we find that CIFAR-10 and SVHN indeed exhibit a U-shaped error curve with respect to 496 model scale such that larger models do worse. This departure from a conventional double descent curve is consistent with our model.

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DISCUSSION 6

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In this work, we demonstrate that model scale and training time can be traded off with each other. 502 This enables us to re-frame parameter-wise double descent as occurring due to the same mechanism as epoch-wise double descent, which occurs due to the early acquisition of noise features during 504 training. This framing of parameter-wise double descent has a number of surprising implications 505 unexplained by standard explanations of double descent: 1) generalization requires less data with a 506 larger model, 2) label noise significantly increases test error even for highly overparameterized mod-507 els, 3) increasing model scale for overparameterized models need not always improve performance. 508

How can we reconcile our findings with conventional theory and experimental findings on double de-509 scent and scaling laws? Note that in past work, the presence of double descent with respect to model 510 scale is often dependent on the choice of dataset, model, and whether label noise is added (Nakki-511 ran et al., 2021). While past explanations of parameter-wise double descent necessitate that it must 512 occur, our explanation is more flexible: double descent need not occur if noisy features are acquired 513 later in training than signal features. Indeed, our theory explains why local increases in test error in 514 terms of model scale are often relatively modest in contrast to the sharp spike predicted by conven-515 tional theory (Belkin et al., 2019). Thus, our explanation is more consistent with the variability of 516 double descent observed in the literature. 517

Regarding scaling laws, our predicted scaling law in Equation 10 is more flexible than the power law 518 scalings predicted in prior literature; indeed, with the proper settings of signal and noise parameters 519 S_i and N_i , we may recover power-law scalings of error with respect to time, model scale and data 520 volume. However, our approach retains the flexibility to explain error scalings in settings where a 521 power law *does not* explain empirical error trends, as we see in our experimental results. 522

Given the parametric flexibility of our scaling law, how can we use it to predict performance as model 523 scale or training time increases? We demonstrate that by scale-time equivalence, performance under 524 varying training times can be used to predict performance under varying model scales and vice versa. 525 Our approach eliminates the need for strong parametric assumptions in the form of scaling law to 526 make extrapolation predictions. This is particularly useful in cases where error *increases* with scale 527 for overparameterized models since our approach can be used to predict an optimal model size. 528 On the other hand, we require empirically evaluating the performance of models (under either a 529 smaller scale or lower training time). We believe our approach can be valuable to practitioners who 530 have the flexibility to run some limited empirical small-scale experiments before full-scale training. 531 Finally, our results suggest that smaller models trained for a longer time may behave as well as larger models, which we empirically observe on vision benchmarks. This is particularly important in the 532 age of LLMs, where very large models are trained for a small number of epochs (often just one). 533

534 We also highlight some important limitations of our work. Our experiments are all conducted on standard vision benchmarks; we believe testing our theory on the language domain is a critical future 536 direction. Another limitation is that we do not yet have a fundamental understanding of what sets the appropriate model scale of a neural network. Experimentally, we found that the cube root of the number of model parameters is appropriate, but without a strong theoretical basis; this deserves 538 further study. Overall, we believe our contributions not only shed light on neural scaling laws, but also present exciting directions for future work.

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A PROOF OF THEOREM 1

First, observe that gradient descent applied to θ corresponds to:

$$\dot{\theta} = -\eta R^T K^T \nabla L(\alpha) \tag{11}$$

This yields a change in α of:

$$\dot{\alpha} = -\eta K R R^T K^T \nabla L(\alpha) \tag{12}$$

Properties of KRR^TK^T First, we investigate the properties of the $r \times r$ random matrix KRR^TK^T . Since the elements of R are drawn iid from a unit Gaussian, $\mathbb{E}[RR^T] = pI$. Thus,

$$\mathbb{E}[KRR^TK^T] = pKK^T \tag{13}$$

Next, consider the element at the *i*th row and *j*th column of KRR^TK^T . This may be expressed as:

$$K_{i,:}RR^{T}K_{:,j}^{T} = \sum_{l} K_{i,:}R_{:,l}R_{l,:}^{T}K_{:,j}^{T} = \sum_{l} (K_{i,:}R_{:,l})(K_{j,:}R_{:,l})$$
(14)

where we express different columns of R as $R_{:,l}$. Note that each term in the summand is independent from one another since R has iid elements. Observe that $K_{i,:}R_{:,l} = \sum_k K_{i,k}R_{k,l}$ and each term $K_{i,k}R_{k,l}$ is an independent mean zero Gaussian with variance $K_{i,k}^2$. Thus, $K_{i,:}R_{:,l}$ is a mean zero Gaussian with variance $K_{i,:}K_{:,i}^T$. Moreover, since the expectation of $K_{i,:}RR^TK_{:,j}^T$ is $pK_{i,:}K_{:,j}^T$, $K_{i,:}R_{:,l}$ and $K_{j,:}R_{:,l}$ are jointly Gaussian with covariance $K_{i,:}K_{:,j}^T$.

Now, consider the expectation of $(K_{i,:}RR^TK_{:,i}^T)^2$:

$$\mathbb{E}[(K_{i,:}RR^TK_{:,j}^T)^2] = \mathbb{E}[\sum_{l} (K_{i,:}R_{:,l})(K_{j,:}R_{:,l})\sum_{l'} (K_{i,:}R_{:,l'})(K_{j,:}R_{:,l'})]$$
(15)

since $(K_{i,:}R_{:,l})(K_{j,:}R_{:,l})$ and $(K_{i,:}R_{:,l'})(K_{j,:}R_{:,l'})$ are independent for $l \neq l'$:

$$\mathbb{E}[(K_{i,:}RR^T K_{:,j}^T)^2] = \mathbb{E}[\sum_{l} (K_{i,:}R_{:,l})^2 (K_{j,:}R_{:,l})^2] + p(p-1)\mathbb{E}[(K_{i,:}R_{:,l}) (K_{j,:}R_{:,l})]^2$$
(16)

 $\mathbb{E}[(K_{i,:}R_{:,l})(K_{j,:}R_{:,l})]$ is simply $K_{i,:}K_{:,j}^T$. Since $K_{i,:}R_{:,l}$ and $K_{j,:}R_{:,l}$ are jointly Gaussian, we may reparameterize them as:

$$K_{i,:}R_{:,l} = az_i \tag{17}$$

$$K_{j,:}R_{:,l} = bz_i + cz_j \tag{18}$$

where z_i and z_j are independent unit Gaussians, $a = \sqrt{K_{i,:}K_{:,i}^T}$, $b = \frac{K_{i,:}K_{:,j}^T}{a}$, $c = \sqrt{K_{j,:}K_{:,j}^T - b^2}$. Then, $(K_{i,:}R_{:,l})^2(K_{j,:}R_{:,l})^2$ may be expressed as:

$$(K_{i,:}R_{:,l})^2(K_{j,:}R_{:,l})^2 = (az_i)^2(bz_i + cz_j)^2 = a^2 z_i^2(bz_i + cz_j)^2 = a^2 b^2 z_i^4 + 2a^2 bc z_i^3 z_j + a^2 c^2 z_i^2 z_j^2$$
(19)

Taking the expectation:

$$\mathbb{E}\left[a^{2}b^{2}z_{i}^{4} + 2a^{2}bcz_{i}^{3}z_{j} + a^{2}c^{2}z_{i}^{2}z_{j}^{2}\right] = a^{2}b^{2}\mathbb{E}[z_{i}^{4}] + 2a^{2}bc\mathbb{E}[z_{i}^{3}z_{j}] + a^{2}c^{2}\mathbb{E}[z_{i}^{2}z_{j}^{2}]$$
(20)

Using the moments of unit Gaussians, we have $\mathbb{E}[z_i^4] = 3$, $\mathbb{E}[z_i^3 z_j] = 0$, $\mathbb{E}[z_i^2 z_j^2] = 1$. Thus, we have

$$\mathbb{E}[(K_{i,:}R_{:,l})^2(K_{j,:}R_{:,l})^2] = 3a^2b^2 + a^2c^2 = a^2(3b^2 + c^2)$$
$$= a^2(K_{j,:}K_{:,j}^T + 2\frac{(K_{i,:}K_{:,j}^T)^2}{a^2}) = K_{i,:}K_{:,i}^TK_{j,:}K_{:,j}^T + 2(K_{i,:}K_{:,j}^T)^2 \quad (21)$$

Finally, we may express the expectation of $(K_{i,:}RR^TK_{:,i}^T)^2$ as:

$$\mathbb{E}[(K_{i,:}RR^T K_{:,j}^T)^2] = p(K_{i,:}K_{:,i}^T K_{j,:}K_{:,j}^T + 2(K_{i,:}K_{:,j}^T)^2) + p(p-1)(K_{i,:}K_{:,j}^T)^2$$
(22)

Decomposition into expectation and noise We now decompose KRR^TK^T into an expectation term and a mean-zero noise term: 704 The second secon

$$KRR^T K^T = pKK^T + N \tag{23}$$

where $N \in \mathbb{R}^{r \times r}$ is a mean-zero matrix. Note that each element of N has variance:

$$\mathbb{E}[N_{i,j}^2] = \mathbb{E}[(K_{i,:}RR^TK_{:,j}^T)^2] - p^2(K_{i,:}K_{:,j}^T)^2 = p(K_{i,:}K_{:,i}^TK_{j,:}K_{:,j}^T + (K_{i,:}K_{:,j}^T)^2)$$
(24)

Thus, the squared Frobenius norm of N has expectation:

$$\mathbb{E}[||N||_F^2] = \sum_{i,j} \mathbb{E}[N_{i,j}^2] = p \sum_{i,j} K_{i,i} K_{:,i}^T K_{j,i} K_{:,j}^T + (K_{i,i} K_{:,j}^T)^2 = p(||K||_F^4 + ||KK^T||_F^2)$$
(25)

We express the dynamics of α as:

$$\dot{\alpha} = -\eta p K K^T \nabla L(\alpha) - \eta N \nabla L(\alpha)$$
(26)

Now suppose we have two copies of α : one copy ($\alpha^{(1)}$) with noise-free dynamics, and a second copy with noise:

$$\dot{\alpha}^{(1)} = -\eta p K K^T \nabla L(\alpha^{(1)}) \tag{27}$$

$$\dot{\alpha}^{(2)} = -\eta p K K^T \nabla L(\alpha^{(2)}) - \eta N \nabla L(\alpha^{(2)})$$
(28)

We define the discrepancy between them as $\delta = \alpha^{(2)} - \alpha^{(1)}$, which has dynamics:

$$\dot{\delta} = -\eta p K K^T [\nabla L(\alpha^{(2)}) - \nabla L(\alpha^{(1)})] - \eta N \nabla L(\alpha^{(2)})$$
(29)

724 Consider the rate of change of the ℓ_2 norm of δ :

$$\frac{d}{dt}||\delta|| \le ||\dot{\delta}|| \le \eta p||KK^{T}[\nabla L(\alpha^{(2)}) - \nabla L(\alpha^{(1)})]|| + \eta||N\nabla L(\alpha^{(2)})||$$
(30)

Using the Lipschitz bounds on ∇L and L:

$$\frac{d}{dt}||\delta|| \le \eta ph||KK^T||||\delta|| + \eta||N||l$$
(31)

where the matrix norms in the expression denote ℓ_2 operator norm.

Now, returning to $||N||_F^2$, note that by Markov's inequality, with probability $1 - \epsilon$:

$$|N||_{F}^{2} \leq \frac{p}{\epsilon} (||K||_{F}^{4} + ||KK^{T}||_{F}^{2})$$
(32)

⁷³⁶ Using the fact that $||N|| \le ||N||_F$, with probability $1 - \epsilon$:

$$\frac{d}{dt}||\delta|| \le \eta ph||KK^{T}||||\delta|| + \eta \frac{\sqrt{p}}{\sqrt{\epsilon}} \sqrt{||K||_{F}^{4} + ||KK^{T}||_{F}^{2}}l$$
(33)

This is a differential inequality in $||\delta||$. Observe that $||\delta||$ takes its maximum possible trajectory at equality. Assuming $||\delta|| = 0$ at time t = 0, this differential inequality may be solved by simply solving the equality case and setting the solution as the upper bound on δ_t (with subscript denoting time t):

$$||\delta_t|| \le \frac{\eta \frac{\sqrt{p}}{\sqrt{\epsilon}} \sqrt{||K||_F^4 + ||KK^T||_F^2} l}{\eta ph||KK^T||} (e^{\eta ph||KK^T||t} - 1) = \frac{\sqrt{||K||_F^4 + ||KK^T||_F^2} l}{\sqrt{p\epsilon}h||KK^T||} (e^{\eta pth||KK^T||} - 1)$$
(34)

Solving the noise-free dynamics Now, we consider the noise-free dynamics:

$$\dot{\alpha}^{(1)} = -\eta p K K^T \nabla L(\alpha^{(1)}) \tag{35}$$

Note that A_{pt} solves the dynamical equation for $\alpha^{(1)}$ by the chain rule:

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$$\frac{d}{dt}A_{pt} = p(-\eta K K^T \nabla L(A_{pt})) = -\eta p K K^T \nabla L(A_{pt})$$
(36)

Thus, we may simply express $\alpha_t^{(1)}$ as A_{pt} .

Final result Combining and reexpressing our previous results, we may write that with probability $1 - \epsilon$:

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 $||\alpha_t^{(2)} - A_{pt}|| = ||\alpha_t^{(2)} - \alpha_t^{(1)}|| = ||\delta_t|| \le \frac{l\sqrt{||K||_F^4 + ||KK^T||_F^2}}{h\sqrt{p\epsilon}||KK^T||} (e^{\eta pth||KK^T||} - 1)$ (37)

Thus, the true dynamics deviate from A_{pt} by a bounded amount.

B MEASURING EFFECTIVE PARAMETER COUNT

766 In this section, we aim to provide a justification for why the *cube root* of the absolute number of 767 parameters of a network is a good proxy for the *effective* number of parameters. Finding the effective 768 number of parameters requires defining the parameter count at which the interpolation threshold 769 occurs; this number is the effective parameter count. Unfortunately, in most models, training data 770 is not *perfectly* interpolated by the model; thus defining the interpolation threshold location exactly 771 is nontrivial. Instead, we identify a property of the interpolation threshold in the training error of 772 linear models, then identify at which parameter count this property also holds in nonlinear models.

Training error in linear models Suppose we are provided a training set $X \in \mathbb{R}^{n \times p}$ and corresponding training labels $Y \in \mathbb{R}^n$ where *n* is the number of data points. Assume that *X* has elements drawn uniformly from a unit Gaussian. We aim to find a parameter $\theta \in \mathbb{R}^p$ such that:

$$Y \approx X\theta \tag{38}$$

The minimum norm solution minimizing the mean squared error is:

$$\theta = X^{\dagger}Y \tag{39}$$

where \dagger denotes pseudoinverse. Denoting the predicted training labels as \hat{Y} , the mean squared error is then:

$$\frac{1}{n}||Y - \hat{Y}||_2^2 = \frac{1}{n}||Y - XX^{\dagger}Y||_2^2 = \frac{1}{n}Y^T(I - XX^{\dagger})Y$$
(40)

Finally, assuming that $Y_i^2 = 1$ for all *i*, we may write the mean training error as:

$$\frac{1}{n}||Y - \hat{Y}||_2^2 = \max(1 - \frac{p}{n}, 0) \tag{41}$$

Thus, for a linear model, the training error decreases linearly at rate $-\frac{1}{n}$ with respect to p before the interpolation threshold (p = n), and then is zero after the interpolation threshold. We extract a key property around the interpolation threshold from the linear model: the training error is $\frac{1}{n}$ at p = n - 1 = O(n). Note that for any $\alpha < 1$, the training error is $\frac{1}{n^{\alpha}}$ before O(n).

Power law training rate decay Next, we consider power-law decays of training rate error and characterize which power laws are consistent with the interpolation threshold properties outlined above. Consider a power law decay of the mean training error of $\frac{n^{\alpha}}{p^{\beta}}$. Note that in order to satisfy condition (2), we must have:

$$\frac{1}{n} = O(\frac{n^{\alpha}}{n^{\beta}}) \tag{42}$$

where we set p = O(n). Thus, $\beta - \alpha = 1$. Power-law decays of the form $\frac{n^{\alpha}}{p^{\alpha+1}}$ are consistent with the interpolation threshold property.

Error scaling in neural networks Next, we turn to model training error scaling in neural networks. We make the following heuristic argument: to fit *n* training points, a network of width *m* needs to encode O(mn) numbers corresponding to m + 1 numbers for each training point (to represent the features and labels of each training point). On the other hand, the network has $O(m^2)$ parameters since its intermediate layer weights have m^2 parameters. We expect that scaling both the network's capacity of $O(m^2)$ and the required capacity of O(mn) at the same rate will not change the training error. Thus, we expect the training error to be a function of $\frac{mn}{m^2} = \frac{n}{m}$.

To find which function of $\frac{n}{m}$ models the training error, we introduce another argument. We assume that the network can be approximated as an ensemble of $O(m^2)$ submodels, each with O(1) param-eters. Suppose the model output is the average of the outputs of the submodels. Then, assuming that the distribution of the submodel outputs on any given training point has variance O(1) over different model initializations, the variance of the ensemble output is $O(\frac{1}{m^2})$. Thus, over model initialization, the model output at any given training point will center around a mean value with deviations on the order of $O(\frac{1}{m})$. Assuming that as the network capacity goes to ∞ , the training error goes to 0, the mean value of the predicted output on a training point must be the true value; thus, the predicted output differs from the true output by $O(\frac{1}{m})$. This corresponds to a mean squared error on the train-ing points scaling as $O(\frac{1}{m^2})$. Finally, to get the dependence on n, we use the observation that the error must depend on only the fraction $\frac{n}{m}$. Thus, the mean squared training error scales as $O(\frac{n^2}{m^2})$.

Finally, we know by the argument above that power law error rate scaling of the form $\frac{n^{\alpha}}{p^{\alpha+1}}$ are consistent with the interpolation threshold property, where *p* is the effective number of parameters. Equating this with the neural network scaling result of $O(\frac{n^2}{m^3})$, we must have that $\alpha = 2$, yielding:

$$O(\frac{n^2}{p^3}) = O(\frac{n^2}{m^2})$$
(43)

Equating the denominators, we have $p^3 = m^2$, or $p = m^{2/3}$. In other words, the effective number of parameters is $m^{2/3}$. Since the absolute number of parameters in the network is m^2 , the effective number of parameters is the cube root of the absolute parameter count.

864 C EXPERIMENTAL DETAILS

C.1 MODEL TRAINING

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We used three benchmark datasets: CIFAR-10, MNIST, and SVHN. Each dataset was subject to
preprocessing involving standard normalization. For CIFAR-10 and SVHN, the normalization was
performed using means and standard deviations of (0.5, 0.5, 0.5). For MNIST, the normalization
used a mean and standard deviation of 0.5.

Two types of neural network architectures were evaluated:

- Convolutional Neural Network (CNN): Our CNN architecture consisted of six ReLU activated convolutional layers, with kernel sizes (3, 3, 3, 3, 3, 2 for CIFAR-10/SVHN or 1 for MNIST), strides (2, 1, 2, 1, 1, 1), and number of filters (5s, 10s, 20s, 40s, 80s) where s is a width parameter. This was followed by a fully connected layer.
 - Multilayer Perceptron (MLP): The MLP architecture comprised six fully connected layers with hidden layer width 10s where s is a scale parameter.

The width parameter was set to values of 1, 2, 5, 10, 20, 50, and 100. When we refer to "model 882 scale", we quantify this as the cube root of the number of network parameters rather than the value 883 of the width parameter. We tested two learning rates (0.001 and 0.01) in combination with two 884 optimizers (Adam and SGD respectively). Label noise was introduced at levels of 0.0 (no noise) 885 and 0.2 (20% noise) to evaluate the robustness of the models. The number of training samples 886 was varied among 100, 200, 500, 1000, 2000, 5000, 10000, 20000, and 50000. The samples were 887 randomly selected from their respective base training sets. A constant batch size of 32 and 100 training epochs were used across all experiments. Mean Squared Error (MSE) was used as the loss function. Model performance was assessed using Mean Squared Error (MSE) on both training and 889 test sets. For reproducibility, we set a manual seed for the PyTorch random number generator. Five 890 different seeds (101, 102, 103, 104, 105) were used to assess the variance in results due to random 891 initialization. 892

894 C.2 Scale-time tradeoff validation

Linear model We construct a loss function as:

$$L(\theta) = ||K(R\theta + \beta_0) - \alpha^*||^2 \tag{44}$$

where α^* is a target value of α . K, R, β_0 and α^* are all independently sampled from unit Gaussians, and θ is initialized from a unit Gaussian. We set P = 1000 and r = 3. We train θ via gradient descent with learning rate 10^{-6} and evaluate the number of iterations required to reach various values of the loss for varying values of p. This setup corresponds to a linear classifier trained with gradient descent on mean squared error using a training batch of k = 3 points where only p of the P = 1000 parameters are controllable.

Neural networks To evaluate the scale time tradeoff, for each model, we compute the minimum number of epochs necessary to achieve a test set MSE below 0.09.

C.3 SHIFTING INTERPOLATION THRESHOLD

912 913 Experimental results are shown for networks trained with SGD.

- 915 C.4 IMPACT OF NOISE AND SHAPE OF LOSS CURVE
- 917 Experimental results are shown for networks trained with Adam; since Adam trains faster, this allows us to examine training trends at later points in training.

918 C.5 OPTIMAL SCALE PREDICTIONS

To predict error over scale using error over time or vice versa, we treat the quantity pt as a predictor of performance, where p is the effective number of network parameters. Thus, if we wish to know the error of a network at (p_1, t_1) and we know the error of the network for all p_0 , then we may predict the error at (p_1, t_1) as the error at $(p_0, (\frac{p_1}{p_0})t_1)$.

C.6 COMPUTING INFRASTRUCTURE

Experiments were run on a computing cluster with GPUs ranging in memory size from 11 GB to 80 GB.



Figure 7: Red lines indicate tradeoff curves between number of training epochs and network scale for different datasets and architectures trained with Adam. Different curves indicate different amounts of training data darker lines indicate more data. Curves are computed by, for each network scale, measuring the minimum amount of training time necessary to achieve non-zero generalization. Margins indicate standard errors over 5 trials. Grey curves are lines of 1:1 proportionality between scale and training epochs.



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Figure 8: Test and train mean squared error of MLP and CNN models trained on benchmark datasets
 under varying levels of data. Different curves indicate different model scales; darker colors indicate
 larger models. Margins indicate standard errors over 5 trials.



Figure 9: Test mean squared error vs. number of epochs, model scale and training data under noisy and noise-free labels. Each row indicates a different combination of dataset and architecture. Margins indicate standard errors over 5 trials.



Figure 10: Predicted and true test and train mean squared error of MLP and CNN models trained on benchmark datasets under varying model widths for 10 epochs. Margins indicate standard errors over 5 trials. Predictions are generated by training a small model for 100 epochs and using scale-time equivalence to predict the equivalent scale.



Figure 11: Predicted and true test and train mean squared error of MLP and CNN models trained on
benchmark datasets under over training time for a medium-sized model. Margins indicate standard
errors over 5 trials. Predictions are generated by training models of varying sizes for 1 epoch and
using scale-time equivalence to predict the equivalent number of training epochs.

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