Does Maximizing Neural Regression Scores Teach Us About The Brain?

Editors: List of editors' names

Abstract

A prominent methodology in computational neuroscience posits that the brain can be understood by identifying which artificial neural network models most accurately predict biological neural activations, measured according to regression test error or other similar metrics. In this opinion piece, we argue that this methodology has become overused, and a more pluralistic approach is needed. Our view is that the field lacks a canonical definition of model goodness, and rather than engaging with this difficult question, the neural regressions methodology simply asserted a proxy – neural predictivity – then overfit to this proxy. We begin with an egregious failure of the neural regressions methodology in which the best fitting models disagreed with key properties of the neural circuit. Next, we highlight converging empirical and mathematical evidence that explains the disconnect: (linear) neural regressions are simply discovering the implicit biases of (linear) regression, which may not appropriately identify models that are actually brain-like. This is an instance of Goodhart's law: by selecting neural network models that optimize (linear) neural predictivity, the field's results have devolved into re-discovering general properties of (linear) regression, rather than furthering our understanding of the brain. These insights suggest that the neural regressions methodology may be insufficient for understanding the brain, and we call for a critical reevaluation of this methodology in computational neuroscience. Keywords: Computational neuroscience, brain-score, similarity metrics, neural alignment, neural network models of the brain, neural regressions methodology

1. Introduction

An influential methodology in computational neuroscience argues that task-optimized deep artificial neural networks (ANNs) should be considered good models of the brain if they capture a large fraction of variance in neural population recordings assessed via regressions of ANN unit activity onto biological neural responses (Yamins and DiCarlo, 2016a). The claim is that the ANN(s) with better performing neural regressions are more similar to the brain than alternative models. This approach has been widely used in vision, audition, language, and spatial navigation, most often with (regularized) linear models but occasionally with other metrics; due to limited space, we defer citations to Related Work (App. Sec. A).

In this opinion piece, we argue that computational neuroscience lacks sufficiently rich definitions of neural similarity, and such notions are likely context-dependent and difficult to construct. The neural regressions methodology sidesteps these challenges by defining a proxy – for instance, the test R^2 of linear regression between biological recordings and model activations – and then choosing models based on this proxy. The models that win a selection process (e.g., on BrainScore) may do so more because of implicit biases of the proxy than because of meaningful relationships with the brain.

This perspective explains why, for example, the neural regressions methodology was confidently incorrect when applied to models of grid cells: linear regression has no interest

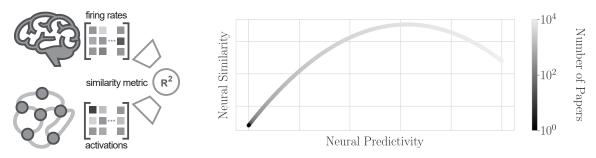


Figure 1: Computational neuroscience lacks canonical definitions of neural similarity, and rather than engaging with this difficult question, the neural regressions methodology simply devised a proxy – neural predictivity – then overfit to the proxy without verifying the extent to which the proxy agrees with neural similarity. Although we don't define neural similarity here, we emphasize that it is task, model, and question-dependent, and hence cannot always be neural predictivity.

in key criteria of neural similarity for grid cells: periodic tuning curves (Hafting et al., 2005), multiple grid modules with specific period ratios (Stensola et al., 2012), toroidal continuous attractor dynamics (Yoon et al., 2013; Gardner et al., 2022). This perspective also explains a finding by four independent research groups in different modalities, data, architectures and recording technologies (Schaeffer et al., 2022; Elmoznino and Bonner, 2024; Tuckute et al., 2023; Cheng and Antonello, 2024) of a quantitatively consistent relationship between test R^2 and effective dimensionality, that was mathematically refined and further empirically studied by Canatar et al. (2024): (linear) neural predictivity *is* (linear) regression, and (linear) regression has implicit biases, irrespective of the underlying neuroscience. We focus on linear regression because of its ubiquity in the literature, but other preference functions (e.g., RSA (Kriegeskorte et al., 2008b), CKA (Kornblith et al., 2019), SVCCA (Raghu et al., 2017), Procrustes (Williams et al., 2021), etc.) would not escape this critique; rather, they would simply change the implicit biases of the chosen preference function.

Together, these insights suggest that the neural regression methodology, and more broadly the idea that a uniform set of metrics can automate model selection, may be fundamentally flawed, overfitting to those metrics rather than advancing our understanding of the brain. We conclude by suggesting a re-evaluation of such methodologies.

2. Neural Regressions Can Reach Incorrect Conclusions with High Confidence

In vision, Bowers et al. (2023) documented how artificial networks preferred by the neural regressions methodology lack or contradict properties of primate vision, and others have identified additional flaws (Mehrer et al., 2020; Xu and Vaziri-Pashkam, 2021; Han et al., 2023; Feather et al., 2023; Feghhi et al., 2024). Here, we chose to focus on the clearest example of a failure of the neural regressions methodology: grid cells.

Why focus on grid cells? Grid cells – a surprising and important Nobel Prize-winning discovery (Hafting et al., 2005) – differ from vision, audition and language in that humanity possesses scientific models (Fiete et al., 2008b; Burak and Fiete, 2009a, 2006; Sreenivasan and Fiete, 2011a) that have repeatedly proven predictive (Stensola et al. (2012); Yoon et al.

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(2013); Gardner et al. (2022)), not in the regressions sense but in the sense of exhibiting fundamental properties, e.g., localization of each module to a two-dimensional subspace, quantization of grid module periods, preserved low-dimensional dynamics across waking and sleep. In a domain we understand well, how did the regressions methodology do?

When applied to a specific neural circuit (grid cells) that humanity possesses near-normative models of, the neural regressions methodology preferred incorrect models with high confidence.

As context, the key research questions of grid cells are modeling their dynamics and the evolutionary causes for their existence. Previous and now near-normative models of grid cells showed how strong recurrent interactions leading to pattern formation, coupled with a way for movement inputs to shift the pattern phase and thus perform path integration, could generate grid cell dynamics (Burak and Fiete, 2009b; Khona et al., 2022); and that multiple grid modules played key roles in disambiguating position over large ranges and in error correction (Fiete et al., 2008c; Sreenivasan and Fiete, 2011b). Later, models based on deep recurrent networks trained in a supervised manner to path integrate were shown to learn grid-like units (Banino et al., 2018; Cueva and Wei, 2018; Sorscher et al., 2019), and neural-regressions based work (Nayebi et al., 2021) showed that these supervised deep recurrent path integrators achieved the best performance possible at predicting recordings from mouse medial entorhinal cortex, leading the authors to call for better neural data.

However, multiple independent lines of evidence demonstrated that these high R^2 deep learning models are worse models of grid cells: (1) The required supervised targets, putative place cells, contradict known biological properties of place cells at both the single cell and population levels (Schaeffer et al., 2023a); (2) The grid-like units lack key properties of real grid cells: properly periodic triangular tuning curves, multiple discrete grid modules, and specific ratios between grid modules (Schaeffer et al., 2022, 2023b); (3) the artificial grid units in some works were statistically indistinguishable from low pass-filtered noise (Sorscher et al., 2019, 2023). (4) In terms of evolutionary origins, the path integration objective of high- R^2 networks is not a sufficient objective for grid cells, as shown in Kanitscheider and Fiete (2017b,a); Schaeffer et al. (2023b), argued by prior theoretical work (Fiete et al., 2008a; Sreenivasan and Fiete, 2011a; Mathis et al., 2012; Wei et al., 2015), and shown by newer deep learning models (Gao et al., 2018; Xu et al., 2022; Dorrell et al., 2023; Schaeffer et al., 2024; Xu et al., 2024a,b). For common criteria of neural similarity to grid cells, see App. Sec. B

Why, then, did the neural regressions methodology so strongly support deep path integrators despite their discrepancies with known important properties of the neural circuit?

3. The Neural Regressions Methodology Reveals Insights Into Regression, Not Insights Into the Brain

Schaeffer et al. (2022) were unable to obtain the networks or neural recordings of mouse medial entorhinal cortex to investigate this question, but made a rough conjecture: "different [models] achieve different neural predictivity scores because different models learn different intrinsic dimensionalities that then provide richer/poorer bases for linear regressions." As evidence, the authors trained the same networks studied by Nayebi et al. (2021) and showed

that reported test Pearson correlations exhibit an approximately linear-log relationship with a measure of effective dimensionality of networks' representations called *participation ratio* (Fig 2a). To be more mathematically precise, consider P stimuli, and denote artificial activations with M units as $\mathbf{X} \in \mathbb{R}^{P \times M}$ and biological responses with N neurons as $\mathbf{Y} \in \mathbb{R}^{P \times N}$. The authors fit linear models using a training set of size p < P:

$$\hat{\beta}(p) \stackrel{\text{def}}{=} \arg\min_{\beta \in \mathbb{R}^{M \times N}} ||\mathbf{X}_{1:p} \beta - \mathbf{Y}_{1:p}||_F^2 + \alpha_{\text{reg}} ||\beta||_F^2 \tag{1}$$

Letting $\mathbf{X}\mathbf{X}^T = \sum_{i=1}^P \lambda_i \mathbf{v}_i \mathbf{v}_i^T$, Schaeffer et al. (2022) found that approximately:

Test
$$R^2 \sim \alpha \log(\text{Participation Ratio}) + \beta$$
; Participation Ratio $\stackrel{\text{def}}{=} \frac{(\sum_{i=1}^{P} \lambda_i)^2}{\sum_{i=1}^{P} \lambda_i^2}$ (2)

Concurrent and subsequent work found quantitatively similar results across species, modalities, brain circuits and neural recording technologies: Elmoznino and Bonner (2024) in deep convolutional networks trained on vision tasks to predict macaque IT cortex (Fig 2b), Tuckute et al. (2023) in deep auditory networks to predict human brain-wide fMRI responses (Fig 2c), and Cheng and Antonello (2024) in language models to predict human brain-wide fMRI responses (Fig. 2d). This finding by four independent research groups across different data modalities, training tasks, ANN architectures, species and neural recording technologies is puzzling. Are these results indicative of some deeper scientific insight into the brain? In our view, no. This pattern is attributable to the neural regressions methodology, not the brain. Participation ratio (PR) was a reasonable first guess that was refined into a more descriptive spectral theory of the neural regressions methodology; specifically, Canatar et al. (2024) showed the normalized error $E_g(p)$ of any linear model $\hat{\mathbf{Y}}(p) \stackrel{\text{def}}{=} \mathbf{X}\hat{\boldsymbol{\beta}}(p)$ is given as:

$$E_g(p) \stackrel{\text{def}}{=} \frac{||\hat{\mathbf{Y}}(p) - \mathbf{Y}||_F^2}{||\mathbf{Y}||_F^2} = \sum_{i=1}^P \frac{||\mathbf{Y}^T \mathbf{v}_i||_2^2}{||\mathbf{Y}||_F^2} \cdot \frac{\kappa^2}{1 - \gamma} \frac{1}{(p\lambda_i + \kappa)^2},$$
(3)

where $\kappa = \alpha_{\text{reg}} + \kappa \sum_{i=1}^{P} \frac{\lambda_i}{p\lambda_i + \kappa}$, $\gamma = \sum_{i=1}^{P} \frac{p\lambda_i^2}{(p\lambda_i + \kappa)^2}$. This result reveals higher dimensionality *can* reduce prediction error, but not always, and that a full characterization depends on the interplay between eigenvalues, eigenvectors and regression targets. Importantly, note that this theory of neural predictivity makes no assumptions about a neural, behavioral, biological, ethological or otherwise meaningful relationship between **X** and **Y**. Rather, as its origin makes clear (Bordelon et al., 2020; Canatar et al., 2021), this theory is fundamentally *a description of linear regression* (Schaeffer et al., 2023c). This leads to the realization:

Taken to its extreme, the neural regressions methodology has taught us the implicit biases of our chosen proxy function (e.g., test R^2 of linear regression), not which candidate artificial neural networks are actually similar to the brain.

Due to space limitations, we defer our Future Outlook to App. Sec. D.

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Appendix A. Published Work Using the Neural Regressions Methodology

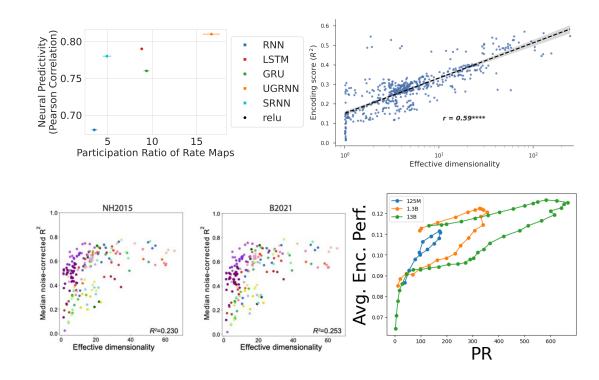
The neural regressions methodology has been widely used in vision (Yamins et al., 2014; Eickenberg et al., 2017; Schrimpf et al., 2018; Kar et al., 2019; Kubilius et al., 2019; Schrimpf et al., 2020; Zhuang et al., 2021; Jang et al., 2021; Xu and Vaziri-Pashkam, 2021; Storrs et al., 2021; Ratan Murty et al., 2021; Conwell et al., 2022; Kazemian et al., 2024), audition (Kell et al., 2018; Vaidya et al., 2022; Millet et al., 2022; Tuckute et al., 2023), language Pereira et al. (2018); Jain et al. (2020); Schrimpf et al. (2021); Antonello et al. (2021); Pasquiou et al. (2022); Caucheteux and King (2022); Caucheteux et al. (2023); Goldstein et al. (2023); Aw and Toneva (2023); AlKhamissi et al. (2024); Hosseini et al. (2024); Oota et al. (2024); Cheng and Antonello (2024); Kauf et al. (2024); Antonello et al. (2024); Tuckute et al. (2024); Mischler et al. (2024); Hong et al. (2024), and spatial navigation Nayebi et al. (2021), most often with (regularized) linear models, but occasionally with non-linear models. This list is not exhaustive and we welcome readers to contact us to suggest additional appropriate citations.

Appendix B. Example Criteria of Neural Similarity to Grid Cells

In this paper, we intentionally do not provide a general definition of "neural similarity" (see Future Outlook - App. Sec. D), in part because we feel such a definition is likely highly context dependent. But we can offer a constructive example in the narrow context of grid cells. When considering models, researchers often consider the following (non-exhaustive) list of relevant criteria for evaluating whether a model is similar to the circuit:

- Individual neurons exhibit equilateral triangular periodic tuning curves
- In the population of grid cells, multiple grid periodicities exist
- The periodicities of the grid cells is quantized
- The quantized periods of the modules exhibit precise ratios between adjacent periods
- The population of grid cells topologically lives on the cross product of multiple twisted tori, one per module
- That topological structure is a continuous attractor

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Appendix C. Test R^2 Versus Participation Ratio

Figure 2: Four independent publications studying four different modalities and brain circuits in three different species found a consistent quantitative heuristic: Test R^2 is an affine transformation of the log participation ratio (Eqn. 2). Note the log-X scaling in the top right. Figures from Schaeffer et al. (2022); Elmoznino and Bonner (2024); Tuckute et al. (2023); Cheng and Antonello (2024). Canatar et al. (2024) later provided a more comprehensive spectral theory of the neural regressions methodology, which demonstrates results like these are attributable to general properties of linear regression.

Appendix D. Future Outlook: Methodological Pluralism

Despite our critiques of the regression methodology, model-system comparison is a necessary component of a modeling science. How then, can we move beyond flaws arising as a consequence of emphasizing only a single metric?

One short-term answer: use a number of different comparisons that emphasize different aspects of model and system. This may include comparing behavior on top of neural activations, as is already a feature of the Brain-Score platform (Schrimpf et al. (2018); Yamins and DiCarlo (2016b)), neural dynamics on top of neural geometry (Ostrow et al., 2023), or using a variety of different metrics that have different biases (Han et al. (2023)). Beyond linear regression, computational neuroscience has introduced a number of other candidates into the literature, including RSA (Kriegeskorte et al. (2008a)), Procrustes (Williams et al. (2021)), CKA (Kornblith et al. (2019)), SVCCA (Raghu et al. (2017)), and a number of variants of these metrics. These developments are promising, although it is worth noting that any single method alone can fall prev to Goodhart's law. It is also important to note that depending on the scientific question, the type of system feature being compared may change. All of the above metrics only seek to compare geometric features of neural activations. Recently proposed methods, such as Dynamical Similarity Analysis (DSA, Ostrow et al. (2023)) seek to compare different features of the system like dynamical structure. Using more types of comparison, both in terms of metrics and data, will mitigate the biases of individual comparisons, making Goodharting more challenging (but still possible) and resulting in more robust conclusions.

In the longer-term, beyond significantly increasing the number of types of comparisons being done, it is worth taking a step back and asking 'what do we mean by neural similarity'? We intentionally did not attempt to propose notions of neural similarity here, for two reasons. Firstly, 4 pages is too short to both critique the neural regressions methodology and propose and justify an alternative. Secondly, the "right" notions are likely (1) highly bespoke to the particular brain circuit and/or behavior being studied, (2) effortful to identify and quantify, (3) contentious in the community. Answering this question will likely warrant an entirely separate paper. To briefly sketch our view, neural similarity is almost certainly a function of the scientific question at hand. In some cases, similarity may be the geometry of neural activations, in which case the above family may be sufficient, provided a battery of metrics are used. In other cases, more care should be taken to define 'similarity' and identify modes of comparison that allow to draw real conclusions about the brain, not our metric.