AP-OOD: ATTENTION POOLING FOR OUT-OF-DISTRIBUTION DETECTION

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Abstract

Out-of-distribution (OOD) detection, which maps high-dimensional data into a scalar OOD score, is critical for the reliable deployment of machine learning models. A key challenge in recent research is how to effectively leverage and aggregate token embeddings from language models to obtain the OOD score. In this work, we propose AP-OOD, a novel OOD detection method for natural language that goes beyond simple average-based aggregation by exploiting token-level information. AP-OOD is a semi-supervised approach that flexibly interpolates between unsupervised and supervised settings, enabling the use of limited auxiliary outlier data. Empirically, AP-OOD sets a new state of the art in OOD detection for text: in the unsupervised setting, it reduces the FPR95 (false positive rate at 95% true positives) from 27.77% to 5.91% on XSUM summarization, and from 75.19% to 68.13% on WMT15 En-Fr translation.

1 Introduction

Out-of-distribution (OOD) detection is essential for deploying machine learning models in the real world. In practical settings many models encounter inputs that deviate from the model's training distribution. For example, a model trained to summarize news articles might also receive a prompt with a cooking recipe. In such situations, models may assign unwarranted confidence to their predictions, leading to erroneous outputs. The purpose of OOD detection is to classify these inputs as OOD such that the system can then, for instance, notify the user that the prediction is uncertain. Our contributions are as follows:

- 1. We propose AP-OOD, an OOD detection approach for natural language that leverages token-level information to detect OOD sequences.
- 2. AP-OOD is a semi-supervised approach: It can be applied in unsupervised (i.e., when there exists no knowledge about OOD samples) and supervised settings (i.e., when some OOD data of interest is available to the practitioner), and smoothly interpolates between the two.
- 3. We show that AP-OOD can improve OOD detection for natural language in summarization and translation.
- 4. We provide a theoretical motivation for the suitability of AP-OOD for OOD detection on tokenized data.

1.1 Background

Consider a language model trained to autoregressively generate target sequences $(y_1, y_2, ..., y_N)$ given input sequences $(x_1, x_2, ..., x_N)$. The input sequences are drawn i.i.d.: $x_i \sim p_{\text{ID}}$. We consider input sequences $x \in \mathcal{X}^1$ that deviate considerably from the data generation $p_{\text{ID}}(x)$ that defines the "normality" of our data as OOD. Following Ruff et al. (2021), an observed sequence is OOD if it is an element of the set

$$\mathbb{O} := \{ \boldsymbol{x} \in \mathcal{X} \mid p_{\text{ID}}(\boldsymbol{x}) < \epsilon \} \text{ where } \epsilon \ge 0,$$
 (1)

¹We use $\mathcal{X} := \bigcup_{S>1} \mathcal{V}^S$ for the set of input sequences, and $\mathcal{V} := \{v_1, \dots, v_V\}$ is the vocabulary.

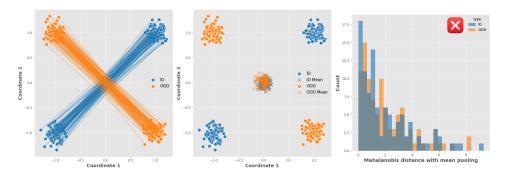


Figure 1: Illustrative example for the failure of mean pooling. (**Left**) ID and OOD sequences $Z_i \in \mathbb{R}^{2\times 2}$, where each sequence contains a pair of token embeddings with two features each. Token embeddings that belong to the same sequence are connected with lines. (**Center**) The means of the ID and OOD sequences both cluster around the origin. (**Right**) A mean pooling approach cannot discriminate between the ID and OOD sequences.

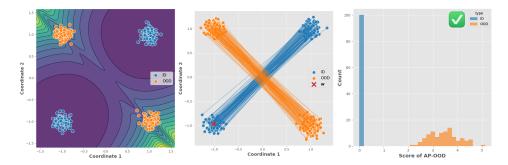


Figure 2: Illustrative example for the mechanism that AP-OOD uses to correctly discriminate between ID and OOD (as opposed to the mean pooling approaches). The setting is the same as in Figure 1. (Left) The loss landscape forms two basins at the locations of the ID token embeddings. (Center) After training AP-OOD with a single weight vector \boldsymbol{w} , the learned \boldsymbol{w} is located in one of the basins. (Right) AP-OOD achieves perfect discrimination between the ID and OOD sequences.

and ϵ is a density threshold. In practice, it is common (e.g., Hendrycks & Gimpel, 2016; Lee et al., 2018; Hofmann et al., 2024) to define a score $s: \mathcal{Z} \to \mathbb{R}$ that uses an encoder $\phi: \mathcal{X} \to \mathcal{Z}$ (where \mathcal{Z} denotes an embedding space). Given s and ϕ , OOD detection can be formulated as a binary classification task with the classes in-distribution (ID) and OOD:

$$\hat{B}(\boldsymbol{x}, \gamma) = \begin{cases} \text{ID} & \text{if } s(\phi(\boldsymbol{x})) \ge \gamma \\ \text{OOD} & \text{if } s(\phi(\boldsymbol{x})) < \gamma \end{cases}$$
 (2)

The outlier score should — in the best case — preserve the density ranking, but it does not have to fulfill all requirements of a probability density (proper normalization or nonnegativity). For evaluation, the threshold γ is typically chosen such that 95% of ID samples from a previously unseen validation set are correctly classified as ID. However, metrics like the area under the receiver operating characteristic (AUROC) can be directly computed on $s(\phi(x))$ without fixing γ , since the AUROC sweeps over all possible thresholds.

2 Method

AP-OOD is a semi-supervised method: It can be trained without access to outlier data (unsupervised), and with access to outlier data (supervised), and can smoothly transition between those two scenarios as more outlier data becomes available for training. In the following, we first introduce AP-OOD in an unsupervised scenario (Section 2.1) and generalize it to the supervised scenario (Section 2.2).

Algorithm 1 AP-OOD

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Require: (\boldsymbol{x}_1,\ldots,\boldsymbol{x}_N),\,\phi_e,\,\phi_d,\,\beta,\,M,\,\mathrm{nsteps}
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1: for i = 1 to N do

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- 111 Compute sequence embedding Z_i using $Z_i \leftarrow \phi_e(x_i)$ or $Z_i \leftarrow \phi_d(\phi_e(x_i))$. 112
 - 3: for step = 1 to nsteps do
 - Sample mini-batch $\{Z_i\}_{i\in\mathcal{B}}$ with batch indices \mathcal{B} .
 - 5:
 - 6:
 - Form batch-local concatenation $\tilde{\mathbf{Z}}_B \leftarrow \|_{i \in \mathcal{B}} \mathbf{Z}_i$. Compute loss $\mathcal{L} \leftarrow \frac{1}{|\mathcal{B}|} \sum_{i \in \mathcal{B}} d^2(\mathbf{Z}_i, \tilde{\mathbf{Z}}_B) \sum_{j=1}^M \log(||\mathbf{w}_j||_2^2)$. Compute gradients of \mathcal{L} w.r.t. $(\mathbf{w}_1, \dots, \mathbf{w}_M)$ and perform a gradient update
 - 8: Form the concatenation of sequence representations $\tilde{Z} \leftarrow (Z_1 \parallel \cdots \parallel Z_N)$
 - 9: $s(\boldsymbol{Z}) \leftarrow \sum_{j=1}^{M} -d_j^2(\boldsymbol{Z}, \tilde{\boldsymbol{Z}}) + \log\left(||\boldsymbol{w}_j||_2^2\right)$.
 10: **return** $s(\cdot)$

Unsupervised OOD Detection

Background Ren et al. (2023) propose to detect OOD inputs using token embeddings obtained from a Transformer encoder-decoder model trained on the language modeling task. Given an input sequence $x \in \mathcal{X}$, they obtain a sequence of token embeddings $m{Z}=(m{z}_1,\ldots,m{z}_S)\in\mathbb{R}^{D imes S}.$ They compare obtaining embeddings $m{E}$ from the encoder $\phi_{\text{enc}}: \mathcal{X} \to \mathcal{Z}^2$ and generating a sequence of embeddings G using the decoder $\phi_{\text{dec}}: \mathcal{Z} \to \mathcal{Z}$:

$$E := \phi_{\text{enc}}(x) \qquad G := \phi_{\text{dec}}(E). \tag{3}$$

For clarity, we write Z for a sequence of token embeddings, whether produced by the encoder or the decoder, and we call Z the sequence representation of x. To obtain a single vector $\bar{z} \in \mathbb{R}^D$, Ren et al. (2023) perform mean pooling:

$$\bar{\mathbf{z}} := \frac{1}{S} \sum_{s=1}^{S} \mathbf{z}_s. \tag{4}$$

Then, they propose to measure whether \bar{z} is OOD by first fitting a Gaussian distribution $\mathcal{N}(\boldsymbol{\mu}, \boldsymbol{\Sigma}), \ \boldsymbol{\mu} \in \mathbb{R}^D, \ \boldsymbol{\Sigma} \in \mathbb{R}^{D \times D}$ to the per-sequence mean embeddings computed from the training corpus, and then computing the squared Mahalanobis distance between \bar{z} and μ :

$$d_{\text{Maha}}^2(\bar{z}, \mu) := (\bar{z} - \mu)^T \Sigma^{-1}(\bar{z} - \mu) \text{ and } s_{\text{Maha}}(\bar{z}) := -d_{\text{Maha}}^2(\bar{z}, \mu).$$
 (5)

Averaging hides anomaly. The key limitation of the approach described above is the use of the **mean** of the token embeddings Z: Averaging the entire sequence into the mean \bar{z} discards the token-level structure that would otherwise be informative for detecting whether a sequence is OOD. Figure 1 shows a toy example of this failure mode: The ID and OOD sequences are indistinguishable using their means, and therefore, the Mahalanobis distance with mean pooling fails to discriminate between them.

Mahalanobis decomposition. To address this limitation, we begin by expressing the Mahalanobis distance as a directional decomposition:

$$d_{\text{Maha}}^2(\bar{\boldsymbol{z}}, \boldsymbol{\mu}) = \sum_{j=1}^D \left(\boldsymbol{w}_j^T \bar{\boldsymbol{z}} - \boldsymbol{w}_j^T \boldsymbol{\mu} \right)^2, \tag{6}$$

The weight vectors $\mathbf{w}_j \in \mathbb{R}^D$ form a basis of \mathbb{R}^D and determine $\mathbf{\Sigma}^{-1}$ via $\mathbf{\Sigma}^{-1} = \sum_{j=1}^D \mathbf{w}_j \mathbf{w}_j^T$. One possibility to map a given Σ^{-1} to weight vectors w_i is to select the directions of the w_i as the unit-norm eigenvectors of Σ^{-1} , and to select the squared norms of the w_i as their corresponding eigenvalues (see Appendix A.2).

²We use $\mathcal{Z} := \bigcup_{S > 1} \mathbb{R}^{D \times S}$ for all finite-length sequences of *D*-dimensional token embeddings.

Beyond mean pooling. To overcome the limitations of mean pooling, we generalize Equation (6) by using attention pooling (Bahdanau, 2014; Ramsauer et al., 2021):

$$\operatorname{AttPool}_{\beta}(\boldsymbol{Z}, \boldsymbol{w}) := \boldsymbol{Z}\operatorname{softmax}(\beta \boldsymbol{Z}^T \boldsymbol{w}) \text{ and } \bar{\boldsymbol{z}} := \operatorname{AttPool}_{\beta}(\boldsymbol{Z}, \boldsymbol{w}).$$
 (7)

where β is the inverse temperature, and \boldsymbol{w} is a learnable query. AP-OOD also uses attention for the corpus-wide pooling: Given the sequence representations $(\boldsymbol{Z}_1,\ldots,\boldsymbol{Z}_N)$ from a corpus $(\boldsymbol{x}_1,\ldots,\boldsymbol{x}_N)$ with $\boldsymbol{Z}_i:=\phi_{\text{enc}}(\boldsymbol{x}_i)$, we define $\tilde{\boldsymbol{Z}}$ as the concatenation of all sequence representations: $\tilde{\boldsymbol{Z}}:=(\boldsymbol{Z}_1\parallel\cdots\parallel\boldsymbol{Z}_N)$. AP-OOD estimates $\boldsymbol{\mu}:=\text{AttPool}_{\beta}(\tilde{\boldsymbol{Z}},\boldsymbol{w})$. Given the $\bar{\boldsymbol{z}}$ and $\boldsymbol{\mu}$ from the attention pooling, AP-OOD estimates $d^2(\boldsymbol{Z},\tilde{\boldsymbol{Z}})$, the squared distance between a sequence representation \boldsymbol{Z} and the concatenation $\tilde{\boldsymbol{Z}}$ analogous to Equation (6):

$$d^{2}(\boldsymbol{Z}, \tilde{\boldsymbol{Z}}) := \sum_{j=1}^{M} \left(\boldsymbol{w}_{j}^{T} \boldsymbol{Z} \operatorname{softmax}(\beta \ \boldsymbol{Z}^{T} \boldsymbol{w}_{j}) - \boldsymbol{w}_{j}^{T} \tilde{\boldsymbol{Z}} \operatorname{softmax}(\beta \ \tilde{\boldsymbol{Z}}^{T} \boldsymbol{w}_{j}) \right)^{2} = \sum_{j=1}^{M} d_{j}^{2}(\boldsymbol{Z}, \tilde{\boldsymbol{Z}}). \tag{8}$$

We refer to M as the number of heads. In general, M does not need to equal the embedding dimension D. We show in Appendix A.3 that, when $\beta=0$ and M=D, Equation (8) reduces to the Mahalanobis distance (Equations (5) and (6)). In Appendix A.1, we show that $s_{\min}(\mathbf{Z}) = \min_j -d_j^2(\mathbf{Z}, \tilde{\mathbf{Z}}) + \log(||\mathbf{w}_j||_2^2)$ is a score function as defined in Equation (2). Our score arises naturally as the upper bound

$$s(\mathbf{Z}) := \sum_{j=1}^{M} -d_j^2(\mathbf{Z}, \tilde{\mathbf{Z}}) + \log(||\mathbf{w}_j||_2^2).$$
 (9)

In Appendix B.6, we empirically compare the min-based score $s_{\min}(\mathbf{Z})$ to its upper-bound variant $s(\mathbf{Z})$ and find that $s(\mathbf{Z})$ yields stronger OOD discrimination. The choice of this score naturally leads to the loss function of AP-OOD:

$$\mathcal{L}(\boldsymbol{w}_{1},...,\boldsymbol{w}_{M}) := \frac{1}{N} \sum_{i=1}^{N} d^{2}(\boldsymbol{Z}_{i},\tilde{\boldsymbol{Z}}) - \sum_{i=1}^{M} \log(||\boldsymbol{w}_{j}||_{2}^{2}).$$
 (10)

Multiple queries per head. We now extend AP-OOD and use multiple queries per head. We use a set of stacked queries $\mathbf{W}_j = (\mathbf{w}_{j1}, \dots, \mathbf{w}_{jT}) \in \mathbb{R}^{D \times T}$ per head. For simplicity, we consider a single head with the queries \mathbf{W} for now. We begin by extending the softmax notation from Ramsauer et al. (2021) to matrix-valued arguments. Given a matrix $\mathbf{A} \in \mathbb{R}^{S \times T}$

$$\operatorname{softmax}(\beta \mathbf{A})_{st} := \frac{\exp(\beta a_{st})}{\sum_{s'=1}^{S} \sum_{t'=1}^{T} \exp(\beta a_{s't'})}.$$
 (11)

In other words, the softmax normalizes over the rows and columns of \boldsymbol{A} . Next, we extend the attention pooling process from Equation (7) with the matrix-valued softmax: APOOD transforms the sequence representation $\boldsymbol{Z} \in \mathbb{R}^{D \times S}$ with S tokens to a new sequence representation $\boldsymbol{\bar{Z}} = \boldsymbol{Z} \boldsymbol{P} \in \mathbb{R}^{D \times T}$ with T tokens. The updated attention pooling process is

$$\operatorname{AttPool}_{\beta}(\boldsymbol{Z}, \boldsymbol{W}) := \boldsymbol{Z}\operatorname{softmax}(\beta \boldsymbol{Z}^T\boldsymbol{W}) \text{ and } \bar{\boldsymbol{Z}} := \operatorname{AttPool}_{\beta}(\boldsymbol{Z}, \boldsymbol{W}).$$
 (12)

Finally, AP-OOD uses $\boldsymbol{W} \in \mathbb{R}^{D \times T}$ to transform the $\bar{\boldsymbol{Z}} \in \mathbb{R}^{D \times T}$ to a real number with the Frobenius inner product $\langle \boldsymbol{W}, \bar{\boldsymbol{Z}} \rangle_{\mathrm{F}} = \mathrm{vec}(\boldsymbol{W})^T \, \mathrm{vec}(\bar{\boldsymbol{Z}}) = \mathrm{Tr}(\boldsymbol{W}^T \bar{\boldsymbol{Z}})$. To summarize, the extended squared distance is

$$d^{2}(\boldsymbol{Z}, \tilde{\boldsymbol{Z}}) := \sum_{j=1}^{M} \left(\operatorname{Tr}(\boldsymbol{W}_{j}^{T} \boldsymbol{Z} \operatorname{softmax}(\beta \ \boldsymbol{Z}^{T} \boldsymbol{W}_{j})) - \operatorname{Tr}(\boldsymbol{W}_{j}^{T} \tilde{\boldsymbol{Z}} \operatorname{softmax}(\beta \ \tilde{\boldsymbol{Z}}^{T} \boldsymbol{W}_{j})) \right)^{2}.$$
(13)

Finally, the regularizing term is $-\log(||\boldsymbol{W}||_{\mathrm{F}}^2)$ (where $||\cdot||_{\mathrm{F}}^2$ denotes the squared Frobenius norm). To summarize, the extended loss is

$$\mathcal{L}(\boldsymbol{W}_1, \dots, \boldsymbol{W}_M) := \frac{1}{N} \sum_{i=1}^N d^2(\boldsymbol{Z}_i, \tilde{\boldsymbol{Z}}) - \sum_{j=1}^M \log(||\boldsymbol{W}_j||_F^2).$$
 (14)

2.2 Supervised OOD Detection

Background. Supplying an OOD detector with information about the distribution of the OOD examples at training time can improve the ID-OOD decision boundary (Hendrycks et al., 2018). In practice, it is hard to find OOD data for training that is fully indicative of the OOD distribution seen during inference. Outlier exposure (OE; Hendrycks et al., 2018) therefore uses a large and diverse auxiliary outlier set (AUX; e.g., C4 for text data) as a stand-in for the OOD case. However, it is not always possible to crawl such large and diverse AUX data sets. For example, consider a translation task with a less widely spoken source language. In such a case, one might have to resort to a smaller AUX data set. Therefore, it is desirable that an OOD detector scales gracefully with the degree of auxiliary supervision, adapting to the available number of AUX examples (Ruff et al., 2019; Liznerski et al., 2022).

Utilizing AUX data. To adapt AP-OOD to the supervised setting, we follow Ruff et al. (2019) and Liznerski et al. (2022): AP-OOD punishes large squared distances $d^2(\mathbf{Z}, \tilde{\mathbf{Z}})$ for ID samples \mathbf{Z} and encourages large squared distances for AUX samples \mathbf{Z} . Formally, AP-OOD minimizes the binary cross-entropy loss with the classes ID and AUX with $p(y = \text{ID}|\mathbf{Z}) = \exp(-d^2(\mathbf{Z}, \tilde{\mathbf{Z}}))$. Given N ID examples $(\mathbf{Z}_1, \ldots, \mathbf{Z}_N)$, and N' AUX examples $(\mathbf{Z}_{N+1}, \ldots, \mathbf{Z}_{N+N'})$, AP-OOD minimizes the supervised loss

$$\mathcal{L}_{SUP} := \frac{1}{N+N'} \sum_{i=1}^{N} d^2(\mathbf{Z}_i, \tilde{\mathbf{Z}}) - \lambda \frac{1}{N+N'} \sum_{i=N+1}^{N+N'} \log(1 - \exp(-d^2(\mathbf{Z}_i, \tilde{\mathbf{Z}}))), \quad (15)$$

where $\lambda \geq 0$. If $\lambda = 0$, \mathcal{L}_{SUP} equals the unsupervised loss \mathcal{L} without the regularizing term.

3 Experiments

Toy experiment. We present a toy experiment illustrating the main intuitions behind AP-OOD. Figure 1 demonstrates a simple failure mode of mean pooling approaches: First, we generate ID and OOD token embeddings $Z_i \in \mathbb{R}^{2\times 2}$. Each ID sequence representation consists of one token sampled from $\mathcal{N}((1,1), \sigma^2 \mathbf{I})$ and one token sampled from $\mathcal{N}((-1,-1), \sigma^2 \mathbf{I})$. The OOD sequences contain two tokens sampled from $\mathcal{N}((-1,1), \sigma^2 \mathbf{I})$ and $\mathcal{N}((1,-1), \sigma^2 \mathbf{I})$, respectively. We set $\sigma := 0.1$. The left panel shows the generated sequences, where each sequence consists of two dots (representing the two tokens) connected by a line. Because the means of the ID and OOD sequences both cluster around the origin (central panel), the Mahalanobis distance with mean pooling fails to discriminate between them (right panel). Figure 2 shows how AP-OOD overcomes this limitation: We set M=1 and T=1 and train AP-OOD as described in Section 2.1 on the ID data only, but we modify the pooling mechanism from Equation (7): We replace the dot product similarity in the softmax with the negative squared Euclidean distance, as it is known to work better in low-dimensional spaces (we provide the formal definition for this modification in Appendix B.2). The left panel of Figure 2 shows that the loss landscape of w forms two basins at the locations of the ID tokens. The central panel shows that after training, w is located in one of the basins. Finally, the right panel shows that AP-OOD perfectly discriminates ID and OOD.

Summarization. We follow Ren et al. (2023) and use a PEGASUS_{LARGE} (Zhang et al., 2020) fine-tuned on the ID data set XSUM (Narayan et al., 2018). We utilize the C4 training split as the AUX data set. We measure the OOD detection performance on the data sets CNN/Daily Mail (CNN/DM; news articles from CNN and Daily Mail; Hermann et al., 2015; See et al., 2017), Newsroom (articles and summaries written by authors and editors from 38 news publications; Grusky et al., 2018), Reddit TIFU (posts and summaries from the online discussion forum Reddit; Kim et al., 2018), and Samsum (summaries of casual dialogues; Gliwa et al., 2019). The ForumSum data set used in the experiments of Ren et al. (2023) has been retracted. Therefore, we do not use it in our experiments.

Translation. We train a Transformer (base) (Vaswani et al., 2017) on WMT15 En-Fr (Bojar et al., 2015). The model trains for 100,000 steps using AdamW (Loshchilov & Hutter, 2017) with a cosine schedule (Loshchilov & Hutter, 2016), linear warmup, and a peak learning

Table 1: Unsupervised OOD detection performance on text summarization. We compare results from AP-OOD, Mahalanobis (Lee et al., 2018; Ren et al., 2023), KNN (Sun et al., 2022), Deep SVDD (Ruff et al., 2018), model perplexity (Ren et al., 2023), and entropy (Malinin & Gales, 2020) on PEGASUS_{LARGE} trained on XSUM as the ID data set. \downarrow indicates "lower is better" and \uparrow "higher is better". All values in %. We estimate standard deviations across five independent dataset splits and training runs.

		CNN/DM	Newsroom	Reddit	Samsum	Mean			
Input OOD									
Mahalanobis	AUROC ↑ FPR95 ↓	$69.00^{\pm0.27}$ $92.19^{\pm0.08}$	86.37 ^{±0.19} 64.48 ^{±0.71}	$98.64^{\pm0.07}$ $2.45^{\pm0.34}$	$\frac{99.77^{\pm 0.01}}{0.17^{\pm 0.02}}$	88.45 39.82			
KNN	AUROC ↑ FPR95 ↓	$54.34^{\pm0.15}$ $99.40^{\pm0.03}$	$73.76^{\pm0.09}$ $88.56^{\pm0.17}$	$94.52^{\pm0.03}$ $51.24^{\pm0.70}$	$98.82^{\pm0.01}$ $3.07^{\pm0.16}$	$80.36 \\ 60.57$			
Deep SVDD	AUROC ↑ FPR95 ↓	$\frac{75.86^{\pm 1.00}}{73.70^{\pm 2.35}}$	$\frac{91.20^{\pm 0.21}}{36.46^{\pm 1.12}}$	$\frac{99.73^{\pm 0.05}}{0.26^{\pm 0.09}}$	$99.57^{\pm0.04}$ $0.67^{\pm0.17}$	$\frac{91.59}{27.77}$			
AP-OOD (Ours)	AUROC ↑ FPR95 ↓	$96.13^{\pm 0.44} \\ 19.51^{\pm 2.24}$	$99.10^{\pm0.08} \ 4.11^{\pm0.28}$	$99.91^{\pm0.03} \ 0.00^{\pm0.01}$	$99.80^{\pm0.04} \ 0.04^{\pm0.03}$	$98.74 \\ 5.91$			
		Output (OOD						
Perplexity	AUROC ↑ FPR95 ↓	$42.20^{\pm0.14}$ $77.71^{\pm0.17}$	$53.99^{\pm0.31}$ $79.07^{\pm0.57}$	$83.38^{\pm0.15}$ $45.56^{\pm0.40}$	$78.53^{\pm0.31}$ $46.96^{\pm0.20}$	64.52 62.32			
Entropy	AUROC ↑ FPR95 ↓	$59.59^{\pm0.21}$ $79.04^{\pm0.75}$	$77.20^{\pm0.52}$ $64.24^{\pm1.21}$	$93.47^{\pm0.21}$ $30.19^{\pm1.34}$	$87.17^{\pm0.20}$ $50.47^{\pm1.64}$	79.36 55.98			
Mahalanobis	AUROC ↑ FPR95 ↓	$63.27^{\pm0.17}$ $89.84^{\pm0.13}$	$88.26^{\pm0.11}$ $47.83^{\pm0.71}$	$97.40^{\pm0.09}$ $11.13^{\pm0.58}$	$97.29^{\pm0.08}$ $13.57^{\pm0.25}$	86.55 40.59			
KNN	AUROC ↑ FPR95 ↓	$\frac{74.37^{\pm0.13}}{73.36^{\pm0.20}}$	86.96 ^{±0.08} 53.44 ^{±0.58}	$95.85^{\pm0.06}$ $15.78^{\pm0.27}$	$\frac{97.33^{\pm0.03}}{10.29^{\pm0.22}}$	88.63 38.22			
Deep SVDD	AUROC ↑ FPR95 ↓	68.31 ^{±1.63} 76.76 ^{±1.15}	$94.13^{\pm0.12}$ $19.22^{\pm0.34}$	$\frac{97.60^{\pm0.26}}{8.90^{\pm1.25}}$	$95.97^{\pm0.15}$ $20.17^{\pm1.28}$	$\frac{89.00}{31.26}$			
AP-OOD (Ours)	AUROC ↑ FPR95 ↓	$93.37^{\pm0.54} \ 23.12^{\pm1.97}$	$\frac{92.62^{\pm0.67}}{29.91^{\pm2.93}}$	$98.04^{\pm0.28} 6.34^{\pm1.56}$	$98.30^{\pm0.11} \ 6.83^{\pm0.64}$	95.59 16.55			

rate of 5×10^{-4} . We set the batch size to 1024 and the context length to 512. Following Ren et al. (2023), the AUX data set is ParaCrawl En–Fr, and the OOD data sets are newstest2014 (nt2014), newsdiscussdev2015 (ndd2015), and newsdiscusstest2015 (ndt2015) from WMT15 (Bojar et al., 2015), and the Law, Koran, Medical, IT, and Subtitles subsets from OPUS (Tiedemann, 2012; Aulamo & Tiedemann, 2019).

Training. We extract 100,000 ID sequence representations (E or G) and use all extracted representations for training AP-OOD in all experiments. We also extract AUX sequence representations, and we vary the number of AUX sequences available from 0 (unsupervised) to 10,000 (fully supervised). While training AP-OOD, the Transformer model remains frozen. We use the Adam optimizer (Kingma & Ba, 2014) without weight decay, set the learning rate to 0.01 and apply a cosine schedule (Loshchilov & Hutter, 2016). We train for 2,000 steps with a batch size of 512. We select M and T such that the parameter count of AP-OOD matches the parameter count of the Mahalanobis method (i.e., the size of Σ). For more information on hyperparameter selection, we refer to Appendix B.3. During training, we estimate μ using the sequences in a given mini-batch. When training is complete, we do an additional pass over the corpus \tilde{Z} and compute the final μ using attention pooling, which we implement by iterating over mini-batches of \tilde{Z} . We describe this process in Appendix B.1.

Baselines. We compare AP-OOD to six unsupervised OOD detection methods: We apply the embedding-based methods Mahalanobis (Lee et al., 2018; Ren et al., 2023), KNN (Sun et al., 2022), and Deep SVDD (Ruff et al., 2018) to both the input and output sequence representations (E and G, respectively), and we apply Perplexity (Ren et al., 2023) and Entropy (Malinin & Gales, 2020) to the output of the decoder. We also compare AP-OOD to three supervised OOD detection methods: binary logits (Ren et al., 2023), relative Mahalanobis (Ren et al., 2023), and Deep SAD (Ruff et al., 2019). We evaluate the discriminative power of the methods in our comparison using the false positive rate at 95% true positives (FPR95) and AUROC.

Audio data. To demonstrate the effectiveness of AP-OOD on data modalities other than text, we apply the method to the MIMII-DG audio data set (Dohi et al., 2022). The data set comprises audio recordings of 15 different machines, ranging from 10 to 12 seconds in length. The dataset contains 990 samples per machine. During preprocessing, the raw

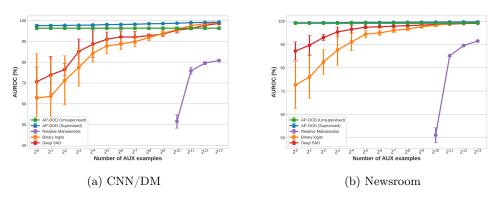


Figure 3: OOD detection performance on the input token embeddings of PEGASUS_{LARGE} trained on XSUM. We vary the number of AUX samples and compare AP-OOD, binary logits (Ren et al., 2023), Deep SAD (Ruff et al., 2019), and relative Mahalanobis (Ren et al., 2023). AP-OOD attains the highest AUROC independent of AUX sample count.

audio waveforms are converted into audio spectrograms. We train a Transformer (Vaswani et al., 2017) to classify a subset of 7 machines. The remaining 8 machines are considered as OOD. The architecture and training method for the network were adopted from Huang et al. (2022). To adjust for the small data set size, we decrease the size of the architecture: We increase the patch size to 32×32 pixels, decrease the embedding dimension to 32, and utilize only three attention blocks with four heads each. Consequently, the encoder of the network produces 128 tokens with D=32 features. We train AP-OOD on the encoder output in the unsupervised setting using M=128 and T=8.

4 Results

Table 1 shows the results on unsupervised OOD detection on the text summarization task. AP-OOD surpasses methods with mean pooling by a large margin for both input and output settings for most OOD data sets. Most notably, the mean FPR95 on CNN/DM improves from 73.70% for the best baseline Deep SVDD to 19.51% for AP-OOD. The table also shows that the embedding-based methods (Mahalanobis, KNN, Deep SVDD, and AP-OOD) perform better than the prediction-based baselines perplexity and entropy. Figure 4 shows the results of AP-OOD in the semi-supervised setting: supplying AUX data to AP-OOD improves the AUROC, and more AUX data results in a larger improvement. AP-OOD attains the highest AUROC independent of AUX sample count. We include the results on additional OOD data sets in the semi-supervised setting and results on fully supervised OOD detection on the summarization task in Appendix B.4, and we present ablations on AP-OOD on text summarization in Appendix B.7.

Table 2 shows the results on unsupervised OOD detection on the translation task. AP-OOD gives the best average results for the input and output settings. It is noteworthy that in the translation task, the prediction-based methods perform better, with the perplexity baseline outperforming all embedding-based methods evaluated on the output token embeddings except AP-OOD. We hypothesize that this discrepancy can be explained as follows: In translation, ID uncertainty is typically low because the source sentence largely dictates what must be generated — specific words, names, and inflections — so ID perplexities are small and tightly clustered. In text summarization, ID uncertainty is higher because many different summaries can be equally valid, with freedom in what to include and how to phrase it. This raises and spreads ID perplexity and weakens ID-OOD separation when using perplexity. We include results on fully supervised OOD detection for translation in Appendix B.5.

In the audio task, the network achieves an accuracy of 97.6% on the primary classification task. Table 3 presents the results of the unsupervised OOD detection methods AP-OOD, Mahalanobis (Lee et al., 2018), KNN (Sun et al., 2022), and Deep SVDD (Ruff et al., 2018). The results show that AP-OOD improves the FPR95 metric from 57.11% (KNN) to 22.35%.

Table 2: Unsupervised OOD detection performance on English-to-French translation. We compare results from AP-OOD, Mahalanobis (Lee et al., 2018; Ren et al., 2023), KNN (Sun et al., 2022), Deep SVDD (Ruff et al., 2018), model perplexity (Ren et al., 2023), and entropy (Malinin & Gales, 2020) on a Transformer (base) trained on WMT15 En−Fr as the ID data set. ↓ indicates "lower is better" and ↑ "higher is better". All values in %. We estimate standard deviations across five independent dataset splits and training runs.

		IT	Koran	Law	Medical	Subtitles	ndd2015	ndt2015	nt2014	Mean
	Input OOD									
Mahalanobis	AUROC ↑	$93.94^{\pm0.01}$	$66.82^{\pm0.29}$	$49.39^{\pm0.30}$	$78.50^{\pm0.41}$	$89.61^{\pm0.09}$	$65.87^{\pm0.01}$	$66.44^{\pm0.01}$	$51.53^{\pm0.01}$	70.26
Manaianobis	FPR95 ↓	$31.29^{\pm0.29}$	$93.46^{\pm0.27}$	$91.26^{\pm0.50}$	$63.13^{\pm0.77}$	$59.60^{\pm0.48}$	$87.01^{\pm0.14}$	$89.09^{\pm0.10}$	$97.13^{\pm0.10}$	76.50
KNN	AUROC ↑	$94.16^{\pm0.01}$	$66.16^{\pm0.24}$	$46.68^{\pm0.22}$	$79.62^{\pm0.41}$	$89.16^{\pm0.11}$	$64.81^{\pm0.05}$	$65.63^{\pm0.05}$	$53.21^{\pm0.05}$	69.93
IXIVIV	FPR95 ↓	$32.44^{\pm0.12}$	$94.69^{\pm0.28}$	$92.71^{\pm0.34}$	$67.04^{\pm0.73}$	$63.35^{\pm0.32}$	$88.91^{\pm0.07}$	$89.97^{\pm0.04}$	$97.51^{\pm0.03}$	78.33
Deep SVDD	AUROC ↑	$92.53^{\pm0.15}$	$64.12^{\pm0.81}$	$51.56^{\pm1.21}$	$77.40^{\pm0.52}$	$87.64^{\pm0.37}$	$63.30^{\pm0.40}$	$63.58^{\pm0.31}$	$49.31^{\pm0.31}$	68.68
Deep SVDD	FPR95 ↓	$39.37^{\pm0.94}$	$95.24^{\pm0.28}$	$92.80^{\pm0.29}$	$66.17^{\pm0.71}$	$65.53^{\pm1.33}$	$89.87^{\pm0.22}$	$90.91^{\pm0.27}$	$98.07^{\pm0.19}$	79.74
AP-OOD (Ours)	AUROC ↑	$94.88^{\pm0.08}$	$73.51^{\pm0.33}$	$51.11^{\pm0.38}$	$81.80^{\pm0.35}$	$89.14^{\pm0.32}$	$69.98^{\pm0.15}$	$70.40^{\pm0.27}$	$57.82^{\pm0.23}$	73.58
AF-OOD (Ours)	FPR95 \downarrow	$25.00^{\pm0.59}$	$87.48^{\pm0.33}$	$89.45^{\pm0.67}$	$58.51^{\pm0.60}$	$60.78^{\pm 2.07}$	$86.45^{\pm0.91}$	$87.05^{\pm0.32}$	$94.19^{\pm0.41}$	73.61
				Output (OOD					
D. 1. 2	AUROC ↑	$94.06^{\pm0.00}$	$77.05^{\pm0.20}$	$45.18^{\pm0.38}$	$75.41^{\pm0.42}$	$92.38^{\pm0.08}$	$75.32^{\pm0.02}$	$75.81^{\pm0.02}$	$61.74^{\pm0.02}$	74.62
Perplexity	FPR95 ↓	$35.36^{\pm0.01}$	$90.54^{\pm0.35}$	$90.14^{\pm0.34}$	$69.17^{\pm0.60}$	$50.11^{\pm0.58}$	$83.94^{\pm0.04}$	$85.47^{\pm0.00}$	$96.80^{\pm0.00}$	75.19
E. C.	AUROC ↑	$71.44^{\pm0.22}$	$86.14^{\pm0.32}$	$53.98^{\pm0.23}$	$51.12^{\pm0.44}$	$70.95^{\pm0.47}$	$75.11^{\pm0.96}$	$72.96^{\pm0.22}$	$71.31^{\pm0.17}$	69.13
Entropy	$FPR95 \downarrow$	$71.19^{\pm0.95}$	$56.19^{\pm1.91}$	$93.94^{\pm0.37}$	$90.27^{\pm0.64}$	$74.56^{\pm1.23}$	$76.28^{\pm2.13}$	$77.65^{\pm1.54}$	$85.71^{\pm1.32}$	78.23
Mahalanobis	AUROC ↑	$90.74^{\pm0.01}$	$69.38^{\pm0.17}$	$52.25^{\pm0.14}$	$75.68^{\pm0.47}$	$86.57^{\pm0.08}$	$62.28^{\pm0.03}$	$62.76^{\pm0.02}$	$48.63^{\pm0.02}$	68.54
Manaianobis	FPR95 ↓	$57.02^{\pm0.44}$	$94.26^{\pm0.23}$	$97.15^{\pm0.15}$	$81.34^{\pm0.33}$	$76.16^{\pm0.79}$	$93.09^{\pm0.29}$	$93.93^{\pm0.13}$	$98.00^{\pm0.09}$	86.37
KNN	AUROC ↑	$95.35^{\pm0.04}$	$71.55^{\pm0.17}$	$57.40^{\pm0.14}$	$78.53^{\pm0.58}$	$87.06^{\pm0.12}$	$67.16^{\pm0.12}$	$67.90^{\pm0.13}$	$58.38^{\pm0.10}$	72.92
KININ	$FPR95 \downarrow$	$27.61^{\pm0.31}$	$94.13^{\pm0.11}$	$93.82^{\pm0.32}$	$65.10^{\pm0.58}$	$72.73^{\pm0.43}$	$91.33^{\pm0.08}$	$91.88^{\pm0.10}$	$96.79^{\pm0.05}$	79.17
Deep SVDD	AUROC ↑	$89.20^{\pm0.13}$	$67.28^{\pm0.80}$	$54.40^{\pm0.83}$	$73.96^{\pm0.65}$	$84.00^{\pm0.19}$	$60.37^{\pm0.57}$	$60.66^{\pm0.37}$	$47.11^{\pm0.22}$	67.12
Deep SVDD	FPR95 ↓	$62.41^{\pm 1.21}$	$95.19^{\pm0.48}$	$95.03^{\pm0.65}$	$81.50^{\pm 1.69}$	$81.56^{\pm1.15}$	$93.93^{\pm0.26}$	$95.75^{\pm0.44}$	$98.41^{\pm0.16}$	87.97
AP-OOD (Ours)	AUROC ↑	$96.28^{\pm0.11}$	$80.70^{\pm0.50}$	$53.07^{\pm0.68}$	$80.84^{\pm0.87}$	$93.88^{\pm0.36}$	$80.64^{\pm0.57}$	$81.39^{\pm0.56}$	$68.12^{\pm0.65}$	79.36
AI -OOD (Outs)	$FPR95 \downarrow$	$21.20^{\pm0.65}$	$82.49^{\pm 1.29}$	$87.38^{\pm0.44}$	$63.67^{\pm1.03}$	$40.27^{\pm 3.02}$	$77.14^{\pm 1.68}$	$78.39^{\pm 1.29}$	$94.50^{\pm0.40}$	68.13

Table 3: Unsupervised OOD detection performance on audio classification. We compare results from AP-OOD, Mahalanobis (Lee et al., 2018; Ren et al., 2023), KNN (Sun et al., 2022), Deep SVDD (Ruff et al., 2018) trained on MIMII-DG (Dohi et al., 2022) as the ID data set. \downarrow indicates "lower is better" and \uparrow "higher is better". All values in %. We estimate standard deviations across five independent training runs.

	Mahalanobis	KNN	${\rm Deep~SVDD}$	AP-OOD (Ours)
AUROC ↑ FPR95 ↓	$64.96^{\pm0.002}$ $84.39^{\pm0.011}$	$81.21^{\pm 0.000} 57.11^{\pm 0.000}$	$53.48^{\pm 1.930}$ $89.44^{\pm 1.689}$	$92.86^{\pm0.746} \ 22.35^{\pm2.388}$

5 Related Work

OOD detection. Some authors (e.g., Bishop, 1994; Roth et al., 2022; Yang et al., 2022) distinguish between anomalies, outliers, and novelties. These distinctions reflect different goals within applications (Ruff et al., 2021). For example, when an anomaly is found, it will usually be removed from the training pipeline. However, when a novelty is found, it should be studied. We focus on detecting samples that are not part of the training distribution and consider sample categorization as a downstream task. OOD detection methods can be categorized into three groups: Post-hoc, training-time, and OE methods. A common and straightforward approach for OOD detection is the post-hoc approach, where one employs statistics obtained from a classifier. Perhaps the most well-known approach is the maximum softmax probability (MSP; Hendrycks & Gimpel, 2016). A wide range of post-hoc OOD detection approaches have been proposed to address the shortcomings of MSP (e.g., Lee et al., 2018; Hendrycks et al., 2019a; Liu et al., 2020; Sun et al., 2021; 2022; Wang et al., 2022; Zhang et al., 2023b; Djurisic et al., 2023; Liu et al., 2023; Xu et al., 2024; Guo et al., 2025). A commonly used post-hoc method is the Mahalanobis distance (e.g., Lee et al., 2018; Sehwag et al., 2021; Ren et al., 2023). Recently, Müller & Hein (2025) proposed feature normalization to improve Mahalanobis-based OOD detection, and Guo et al. (2025) show that the Mahalanobis distance benefits from dynamically adjusting the prior geometry in response to new data. In contrast to post-hoc methods, training-time methods modify the training process of the encoder (e.g., Hendrycks et al., 2019c; Tack et al., 2020; Sehwag et al., 2021; Du et al., 2022; Hendrycks et al., 2022; Wei et al., 2022; Ming et al., 2023; Tao et al., 2023; Lu et al., 2024). Finally, the group of OE methods incorporates AUX data in the training process (e.g., Hendrycks et al., 2019b; Liu et al., 2020; Ming et al., 2022; Zhang et al., 2023a; Wang et al., 2023; Zhu et al., 2023; Jiang et al., 2024; Hofmann et al., 2024).

OOD detection and natural language. Most of the aforementioned OOD detection approaches target vision tasks, and many of them require a classification model as the encoder ϕ . Applying these vision-based OOD methods to text is not straightforward due to the sequence-dependent nature of natural language (e.g., in autoregressive language generation). OOD detection specifically tailored for natural language is still underexplored. Ren et al. (2023) propose the log-model perplexity of a generated sequence y as a simple baseline for OOD detection on autoregressive language modeling tasks: $-\frac{1}{L}\sum_{l=1}^{L}\log p_{\theta}(y_{l}|\boldsymbol{y}_{< l},\boldsymbol{x})$. However, they show experimentally that model perplexity is inherently limited. Because of these shortcomings, Ren et al. (2023) propose embedding-based OOD detection methods for text data. Relatively few other works have explored OOD detection for generative language modeling. Notable applications include translation (e.g., Xiao et al., 2020; Malinin et al., 2021; Ren et al., 2023), summarization (Ren et al., 2023), and mathematical reasoning (Wang et al., 2024). A related field is hallucination detection (e.g., Malinin & Gales, 2020; Farquhar et al., 2024; Du et al., 2024; Aichberger et al., 2025; Park et al., 2025). Unlike OOD detection (which flags inputs outside the training distribution), the goal of hallucination detection is to identify prompts a generative language model is unlikely to answer truthfully.

Multiple instance learning (MIL). MIL (Dietterich et al., 1997; Maron & Lozano-Pérez, 1997; Andrews et al., 2002; Ilse et al., 2018) considers a classifier that maps a bag $Z = (z_1, \ldots, z_S)$ of instances z_s to a bag-level label $Y \in \{0,1\}$. MIL also assumes that individual labels $y_s \in \{0,1\}$ exist for the instances, which remain unknown during training. By assumption, the bag-level label is positive once one of the instance-level labels is positive (and negative if all are instance-level labels negative), i.e., $Y := \max_s y_s$. Recent MIL methods use attention pooling (Ilse et al., 2018; Shao et al., 2021; Al Hajj et al., 2024) and modern Hopfield networks (Widrich et al., 2020) to pool the features of the instances.

One-class classification (OCC). OCC (Schölkopf et al., 1999) is the problem of learning a decision boundary separating the ID and OOD regions while having access to examples from the ID data set only. One-Class SVM (Schölkopf et al., 2001) learns a maximum margin hyperplane in the feature space that separates the ID data from the origin. Support Vector Data Description (SVDD; Tax & Duin, 2004) learns a hypersphere which encapsulates the ID data. Most closely related to AP-OOD is Deep SVDD (Ruff et al., 2018). Deep SVDD learns an encoder $\psi(\cdot, \mathcal{W}): \mathbb{R}^D \to \mathbb{R}^M$ by minimizing the volume of a data-enclosing hypersphere in the output space. Ruff et al. (2019) propose Deep SAD, an extension of Deep SVDD that makes use of AUX data during training. However, Liznerski et al. (2022) show that the effectiveness of this extension degrades with increasing dimensionality.

6 Limitations

In this section, we would like to discuss two limitations that we found. First, the selection of the AUX data is crucial, since it determines the shape of the ID–OOD decision boundary. If the AUX distribution diverges from the OOD examples faced at inference, the induced boundary may not be aligned with the task. Second, it remains unclear how reliably the OOD detection performance on specific data sets can indicate the general ability to detect OOD examples, as a large portion of plausible OOD inputs remains untested.

7 Conclusion

We introduce AP-OOD: an approach for OOD detection for natural language that can learn in supervised and unsupervised settings. In contrast to previous methods, AP-OOD learns how to pool token-level information without the explicit need for AUX data. Our experiments show that when supplied with AUX data during training, the performance of AP-OOD improves as more AUX data is provided. We compare AP-OOD to five unsupervised and three supervised OOD detection methods. Overall, AP-OOD shows the best results.

REPRODUCIBILITY STATEMENT

To ensure reproducibility, we provide the source code of our implementation of AP-OOD in the unsupervised and supervised settings in the supplementary material. Detailed instructions on running the source code and reproducing the experiments are provided in the file readme.md. We provide information about data, the training process, and the hyperparameter selection in Section 3 and Appendix B.3.

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A THEORETICAL NOTES

A.1 OOD Score Investigation

In the following, we show that

$$\min_{j \in \{1, \dots, S\}} -d_j^2(\phi_{\mathrm{enc}}(\boldsymbol{x}), \tilde{\boldsymbol{Z}}) + \log(||\boldsymbol{w}_j||_2^2) < 2\log(\epsilon) \ + \ \log(2\pi) \quad \Longrightarrow \quad \boldsymbol{x} \in \mathbb{O}$$

whenever $z_j := \frac{\boldsymbol{w}_j^T}{||\boldsymbol{w}_j||_2} \bar{\boldsymbol{z}}_j$ is normally distributed with probability density function

$$\dot{p}_j(z_j) := \frac{||\boldsymbol{w}_j||_2}{\sqrt{2\pi}} \exp\left(-\frac{1}{2}(||\boldsymbol{w}_j||_2 z_j - \boldsymbol{w}_j^T \boldsymbol{\mu}_j)^2\right),$$
 (16)

weight vectors $\boldsymbol{w}_j \in \mathbb{R}^D$, encoder $\phi_{\text{enc}} : \mathcal{X} \to \mathcal{Z}, \mathcal{Z} = \bigcup_{S \geq 1} \mathbb{R}^{D \times S}, \boldsymbol{Z} \in \mathcal{Z}, \tilde{\boldsymbol{z}} \in \mathcal{Z}, \tilde{\boldsymbol{z}}_j = \boldsymbol{Z} \boldsymbol{p}_j,$ $\boldsymbol{\mu}_j = \tilde{\boldsymbol{Z}} \tilde{\boldsymbol{p}}_j, \ \boldsymbol{p}_j \in \Delta^S \text{ and } \tilde{\boldsymbol{p}}_j \in \Delta^{S'} \text{ with}$

$$\Delta^S := \{(p_1, \dots, p_S) \in [0, 1]^S \mid \sum_{i=1}^S p_i = 1\}.$$

Proof. Note that the ϕ_{enc} -pushforward density $p_{\phi_{\text{enc}}}$ of p_{ID} satisfies

$$p_{\phi_{ ext{enc}}}(oldsymbol{Z}) := \int_{\mathcal{X}} p_{ ext{ID}}(oldsymbol{x}) \; \delta(\phi_{ ext{enc}}(oldsymbol{x}) = oldsymbol{Z}) \, \mathrm{d}p_{ ext{ID}}(oldsymbol{x}) \geq \; p_{ ext{ID}}(oldsymbol{x}).$$

Analogously, we get $\bar{p}_j(\bar{z}_j) \geq p_{\phi_{\text{enc}}}(\boldsymbol{Z})$ for $\bar{z}_j := \boldsymbol{Z}\boldsymbol{p}_j$ and $\dot{p}_j(z_j) \geq \bar{p}_j(\bar{z}_j)$ for $z_j := \frac{\boldsymbol{w}_j^T}{\|\boldsymbol{w}_j\|_2} \bar{z}_j$.

That is, for any $j \in \{1, ..., M\}$, we have that $p_{\text{ID}}(\boldsymbol{x}) \leq p_{\phi_{\text{enc}}}(\boldsymbol{Z}) \leq \bar{p}_{j}(\bar{z}_{j}) \leq \dot{p}_{j}(z_{j})$. As a consequence, for all $j \in \{1, ..., M\}$ it holds that $\dot{p}_{j}(z_{j}) < \epsilon \implies p_{\text{ID}}(\boldsymbol{x}) < \epsilon$. Moreover, the following equivalence holds:

As a consequence, we have that $x \in \mathbb{O}$, if Equation (17) is satisfied for any $j \in \{1, \ldots, M\}$. \square

A.2 Mahalanobis Decomposition

We assume the D weight vectors \mathbf{w}_j are linearly independent. First, we start from the decomposed term and show that the Mahalanobis distance is equivalent.

$$d_{\text{Maha}}^2(\bar{\boldsymbol{z}}, \boldsymbol{\mu}) = \sum_{j=1}^{D} (\boldsymbol{w}_j^T \bar{\boldsymbol{z}} - \boldsymbol{w}_j^T \boldsymbol{\mu})^2$$
(18)

$$= (\bar{\boldsymbol{z}} - \boldsymbol{\mu})^T \left(\sum_{j=1}^D \boldsymbol{w}_j \boldsymbol{w}_j^T \right) (\bar{\boldsymbol{z}} - \boldsymbol{\mu})$$
 (19)

$$= (\bar{\mathbf{z}} - \boldsymbol{\mu})^T \boldsymbol{\Sigma}^{-1} (\bar{\mathbf{z}} - \boldsymbol{\mu}). \tag{20}$$

Because the weight vectors are linearly independent, Σ^{-1} has full rank. Next, we go in the opposite direction and show that the eigenvectors $V = (v_1, \ldots, v_D)$ and eigenvalues $D = \operatorname{diag}(\lambda_1, \ldots, \lambda_D)$ of Σ can be used to select the corresponding w_i .

$$d_{\text{Maha}}^2(\bar{z}, \boldsymbol{\mu}) = (\bar{z} - \boldsymbol{\mu})^T \boldsymbol{\Sigma}^{-1} (\bar{z} - \boldsymbol{\mu})$$
(21)

$$= (\bar{z} - \mu)^T V^T D^{-1} V (\bar{z} - \mu)$$
(22)

$$= \left(\sqrt{D^{-1}}V\bar{z} - \sqrt{D^{-1}}V\mu\right)^{T} \left(\sqrt{D^{-1}}V\bar{z} - \sqrt{D^{-1}}V\mu\right)$$
(23)

$$= \sum_{j=1}^{D} (\boldsymbol{w}_{j}^{T} \bar{\boldsymbol{z}} - \boldsymbol{w}_{j}^{T} \boldsymbol{\mu})^{2}, \qquad (24)$$

where $\boldsymbol{w}_j = \sqrt{\lambda_j^{-1}} \boldsymbol{v}_j, \, \boldsymbol{\Sigma} = \boldsymbol{V}^T \boldsymbol{D} \boldsymbol{V}, \, \text{and} \, \boldsymbol{\Sigma}^{-1} = \boldsymbol{V}^T \boldsymbol{D}^{-1} \boldsymbol{V}.$

A.3 AP-OOD REDUCES TO MAHALANOBIS DISTANCE WITH MEAN POOLING FOR $\beta=0$

In this section, we show that as $\beta = 0$ and M = D, $d^2(\mathbf{Z}, \tilde{\mathbf{Z}})$ reduces to the Mahalanobis distance with mean pooling as used by Ren et al. (2023). To arrive at the result, we assume uniform sequence lengths.

$$\operatorname{softmax}(0 \cdot \mathbf{Z}^T \mathbf{w})_s = \frac{\exp(0 \cdot \mathbf{z}_s^T \mathbf{w})}{\sum_{s'=1}^{S} \exp(0 \cdot \mathbf{z}_{s'}^T \mathbf{w})} = \frac{1}{S}, \tag{25}$$

$$\bar{z} = \text{AttPool}_0(\boldsymbol{Z}, \boldsymbol{w}) = \boldsymbol{Z} \text{softmax}(0 \cdot \boldsymbol{Z}^T \boldsymbol{w}) = \frac{1}{S} \sum_{s=1}^{S} \boldsymbol{z}_s,$$
 (26)

$$\boldsymbol{\mu} = \operatorname{AttPool}_{0}(\tilde{\boldsymbol{Z}}, \boldsymbol{w}) = \tilde{\boldsymbol{Z}}\operatorname{softmax}(0 \cdot \tilde{\boldsymbol{Z}}^{T}\boldsymbol{w}) = \frac{1}{SN} \sum_{i=1}^{N} \sum_{s=1}^{S} \boldsymbol{z}_{is} = \frac{1}{N} \sum_{i=1}^{N} \bar{\boldsymbol{z}}_{i},$$
 (27)

where we use the concatenated sequence $\tilde{\boldsymbol{Z}} = (\boldsymbol{Z}_1 \| \cdots \| \boldsymbol{Z}_N)$, and the sequence representations $\boldsymbol{Z}_i = \phi(\boldsymbol{x}_i) = (\boldsymbol{z}_{i1}, \dots, \boldsymbol{z}_{iS}) \in \mathbb{R}^{D \times S}$. The squared distance of AP-OOD reduces to

$$d^{2}(\boldsymbol{Z}, \tilde{\boldsymbol{Z}}) = \sum_{j=1}^{M} \left(\boldsymbol{w}_{j}^{T} \boldsymbol{Z} \operatorname{softmax}(\beta \ \boldsymbol{Z}^{T} \boldsymbol{w}_{j}) - \boldsymbol{w}_{j}^{T} \tilde{\boldsymbol{Z}} \operatorname{softmax}(\beta \ \tilde{\boldsymbol{Z}}^{T} \boldsymbol{w}_{j}) \right)^{2}$$
(28)

$$= \sum_{j=1}^{D} (\boldsymbol{w}_{j}^{T} \bar{\boldsymbol{z}} - \boldsymbol{w}_{j}^{T} \boldsymbol{\mu})^{2} = d_{\text{Maha}}^{2} (\bar{\boldsymbol{z}}, \boldsymbol{\mu}).$$
 (29)

To show the relation with non-uniform sequence lengths, we modify the attention pooling as follows:

$$AttPool_{\beta}(\boldsymbol{Z}, \boldsymbol{w}) := \boldsymbol{Z}softmax(\beta \boldsymbol{Z}^{T} \boldsymbol{w} + \log(\boldsymbol{s}))$$
(30)

where s contains the sequence lengths S of the sequences (replicated for the individual tokens). The corresponding vector \tilde{s} for $\tilde{\mathbf{Z}}$ consists of the sequence lengths S_i replicated for the individual tokens. The resulting $\bar{\mathbf{z}}$ and $\boldsymbol{\mu}$ are:

$$\operatorname{softmax}(0 \cdot \boldsymbol{Z}^T \boldsymbol{w} + \log(\boldsymbol{s}))_s = \frac{\exp(0 \cdot \boldsymbol{z}_s^T \boldsymbol{w} + \log(S))}{\sum_{s'=1}^S \exp(0 \cdot \boldsymbol{z}_{s'}^T \boldsymbol{w} + \log(S))} = \frac{1}{S}, \quad (31)$$

$$\bar{z} = \text{AttPool}_0(\boldsymbol{Z}, \boldsymbol{w}) = \boldsymbol{Z} \text{softmax}(0 \cdot \boldsymbol{Z}^T \boldsymbol{w} + \log(\boldsymbol{s})) = \frac{1}{S} \sum_{s=1}^{S} \boldsymbol{z}_s,$$
 (32)

$$\boldsymbol{\mu} = \operatorname{AttPool}_{0}(\tilde{\boldsymbol{Z}}, \boldsymbol{w}) = \tilde{\boldsymbol{Z}}\operatorname{softmax}(0 \cdot \tilde{\boldsymbol{Z}}^{T}\boldsymbol{w} + \log(\tilde{\boldsymbol{s}})) = \frac{1}{N} \sum_{i=1}^{N} \frac{1}{S_{i}} \sum_{s=1}^{S} \boldsymbol{z}_{is} = \frac{1}{N} \sum_{i=1}^{N} \bar{\boldsymbol{z}}_{i}.$$
(33)

B EXPERIMENTS

B.1 Attention Pooling over the Corpus

In this section, we describe the process of performing attention pooling over a long sequence \tilde{Z} that is too large to fit into memory. For this, we need the log-sum-exponential function. We follow the notation from Ramsauer et al. (2021):

$$lse(\beta, \boldsymbol{a}) = \beta^{-1} log \left(\sum_{s=1}^{S} exp(\beta a_s) \right)$$
 (34)

Algorithm 2 Attention pooling over a long sequence

```
Require: \tilde{\mathbf{Z}} = (\tilde{\mathbf{z}}_1, \dots, \tilde{\mathbf{z}}_S) \in \mathbb{R}^{D \times S}, \, \beta, \, \mathbf{w}, \, B

1: E \leftarrow -\infty

2: \boldsymbol{\mu} \leftarrow \mathbf{0}

3: for s \leftarrow 1 to S step B do

4: Load mini-batch \boldsymbol{B} \leftarrow (\tilde{\mathbf{z}}_s, \dots, \tilde{\mathbf{z}}_{s+B})

5: E_B \leftarrow \operatorname{lse}(\beta, \boldsymbol{B}^T \boldsymbol{w})

6: \boldsymbol{p} \leftarrow \exp(\beta(\boldsymbol{B}^T \boldsymbol{w} - E_B))

7: \boldsymbol{\mu}_B \leftarrow \boldsymbol{B} \boldsymbol{p}

8: p_B \leftarrow \sigma(\beta(E_B - E))

9: \boldsymbol{\mu} \leftarrow p_B \boldsymbol{\mu}_B + (1 - p_B) \boldsymbol{\mu}

10: E \leftarrow \beta^{-1} \log (\exp(\beta E_B) + \exp(\beta E))

return \boldsymbol{\mu}
```

B.2 Additional Details for the Toy Experiment

In the toy experiment in Figure 2, we modify the attention pooling process to use the negative squared Euclidean distance instead of the dot product similarity because the Euclidean distance is known to work better in low-dimensional spaces. Formally, the modified attention pooling process is:

$$AttPool_{\beta}(\mathbf{Z}, \mathbf{w}) := \sum_{s=1}^{S} \mathbf{z}_{s} \frac{\exp(-\frac{\beta}{2}||\mathbf{z}_{s} - \mathbf{w}||_{2}^{2})}{\sum_{s'=1}^{S} \exp(-\frac{\beta}{2}||\mathbf{z}_{s'} - \mathbf{w}||_{2}^{2})}.$$
 (35)

B.3 Hyperparameter selection.

To find the values for β , M, and T in the unsupervised setting, we perform a grid search using the values $\beta \in \{\frac{1}{\sqrt{D}}, 0.25, 0.5, 1, 2\}$ and $T \in \{1, 4, 16\}$. We select M such that the total number of parameters of AP-OOD equals the number of entries in Σ of the Mahalanobis method, i.e., such that MT = D. We select the hyperparameter configuration by evaluating each resulting model on OOD detection using a validation split of the AUX data set (in the unsupervised setting, we use the AUX data set only for model selection, not for training the model), and we select the model with the highest AUROC. In the supervised setting, we follow the same procedure, and we additionally select $\lambda \in \{0.1, 1, 10\}$.

B.4 Additional Experiments on Text Summarization

In the fully supervised setting, we train all methods on the embeddings of 100,000 ID examples and 10,000 AUX examples obtained from PEGASUS_{LARGE} trained on text summarization using the XSUM data set. Table 4 shows that AP-OOD substantially improves fully supervised OOD detection results, improving the previously best mean FPR95 of 1.06% (binary logits) to 0.28% in the input OOD setting. Figure 4 shows the results for the semi-supervised setting when scaling the number of AUX examples on all OOD data sets for text summarization. We evaluate relative Mahalanobis only for $N' \geq 1024$, because Σ is not

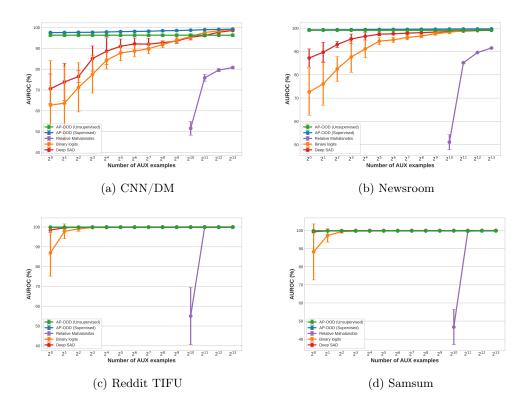


Figure 4: OOD detection performance on text summarization for all OOD data sets. We vary the number of AUX examples and compare results from AP-OOD, binary logits (Ren et al., 2023), relative Mahalanobis (Ren et al., 2023), and Deep SAD (Ruff et al., 2019).

Table 4: Supervised OOD detection performance on text summarization. We compare results from AP-OOD, binary logits (Ren et al., 2023), relative Mahalanobis (Ren et al., 2023), and Deep SAD (Ruff et al., 2019) on PEGASUS_{LARGE} trained on XSUM as the ID data set. \downarrow indicates "lower is better" and \uparrow "higher is better". All values in %. We estimate standard deviations across five independent dataset splits and training runs.

		CNN/DM	Newsroom	Reddit	Samsum	Mean			
Input OOD									
Binary logits	AUROC ↑ FPR95 ↓	$\frac{99.43^{\pm 0.11}}{2.32^{\pm 0.59}}$	$\frac{99.52^{\pm 0.06}}{1.93^{\pm 0.17}}$	100.00 ^{±0.00} 0.00 ^{±0.00}	$99.99^{\pm0.00}$ $0.01^{\pm0.01}$	$\frac{99.73}{1.06}$			
Relative Mahalanobis	AUROC ↑ FPR95 ↓	$81.28^{\pm0.19}$ $62.92^{\pm0.34}$	$91.85^{\pm0.20}$ $28.22^{\pm0.43}$	$99.96^{\pm0.00}$ $0.00^{\pm0.01}$	$99.98^{\pm0.00}$ $0.01^{\pm0.01}$	93.27 22.79			
Deep SAD	AUROC ↑ FPR95 ↓	$98.85^{\pm0.17}$ $3.69^{\pm0.81}$	$99.24^{\pm0.07}$ $2.38^{\pm0.16}$	$\frac{100.00^{\pm 0.00}}{0.00^{\pm 0.00}}$	$\frac{100.00^{\pm0.00}}{0.00^{\pm0.00}}$	99.52 1.52			
AP-OOD (Ours)	AUROC ↑ FPR95 ↓	$99.83^{\pm0.18} \ 0.37^{\pm0.51}$	$99.71^{\pm0.05} \ 0.76^{\pm0.19}$	$^{100.00^{\pm0.00}}_{0.00^{\pm0.00}}$	$^{100.00^{\pm 0.00}}_{0.00^{\pm 0.00}}$	$99.88 \\ 0.28$			
		Output O	OD						
Binary logits	AUROC ↑ FPR95 ↓	$\frac{98.67^{\pm 0.26}}{5.01^{\pm 0.97}}$	$99.49^{\pm0.03}$ $1.77^{\pm0.07}$	$99.99^{\pm0.01}$ $0.00^{\pm0.00}$	$99.94^{\pm0.02}$ $0.09^{\pm0.04}$	$\frac{99.52}{1.72}$			
Relative Mahalanobis	AUROC ↑ FPR95 ↓	$93.58^{\pm0.18}$ $24.32^{\pm0.33}$	$97.41^{\pm0.08}$ $8.54^{\pm0.23}$	$99.82^{\pm0.01}$ $0.04^{\pm0.01}$	$99.54^{\pm0.03}$ $1.00^{\pm0.09}$	97.59 8.47			
Deep SAD	AUROC ↑ FPR95 ↓	$98.39^{\pm0.23}$ $6.00^{\pm0.75}$	$\frac{99.53^{\pm 0.03}}{1.66^{\pm 0.14}}$	$\frac{100.00^{\pm 0.00}}{0.00^{\pm 0.00}}$	$\frac{99.96^{\pm0.00}}{0.07^{\pm0.03}}$	99.47 1.93			
AP-OOD (Ours)	AUROC ↑ FPR95 ↓	$99.00^{\pm0.13} \ 3.25^{\pm0.42}$	$99.59^{\pm0.02} \ 1.24^{\pm0.07}$	$100.00^{\pm 0.00} \ 0.00^{\pm 0.00}$	$99.98^{\pm0.00}$ $0.01^{\pm0.01}$	$99.64 \\ 1.13$			

invertible when using fewer AUX examples. In contrast to Figure 3, Figure 4 also shows the results for Reddit TIFU and Samsum. On these two data sets, all evaluated methods except relative Mahalanobis achieve near-perfect OOD detection results for $N' \geq 8$.

IT

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Law

972 973

Table 5: Supervised OOD detection performance on English-to-French translation. We compare results from AP-OOD, binary logits, relative mahalanobis (Ren et al., 2023), and Deep SAD (Ruff et al., 2019) on a base Transformer trained on WMT15 En-Fr as the ID data set. ↓ indicates "lower is better" and ↑ "higher is better". All values in %. We estimate standard deviations across five independent dataset splits and training runs.

Medical

Subtitles

ndd2015

ndt2015

nt2014

Mean

9	/	d
9	7	9
9	8	0
9	8	1

974

975

976

977

	Input OOD										
Binary logits	AUROC ↑ FPR95 ↓	$93.60^{\pm0.34}$ $28.58^{\pm1.19}$	$\frac{95.17^{\pm0.05}}{34.91^{\pm0.75}}$	$54.29^{\pm0.33}$ $97.16^{\pm0.06}$	$70.47^{\pm0.67}$ $82.27^{\pm0.64}$	$90.53^{\pm0.46}$ $41.03^{\pm0.96}$	$89.91^{\pm0.15}$ $60.64^{\pm0.41}$	$\frac{89.80^{\pm0.16}}{57.56^{\pm0.58}}$	$\frac{85.65^{\pm0.06}}{75.78^{\pm0.44}}$	83.68 59.74	
Relative Mahalanobis	AUROC↑ FPR95 ↓	92.82 ^{±0.26} 19.27 ^{±0.44}	93.31 ^{±0.09} 53.50 ^{±0.68}	43.07 ^{±0.38} 94.27 ^{±0.24}	$\frac{74.40^{\pm0.40}}{67.63^{\pm0.66}}$	$95.73^{\pm0.21}$ $13.38^{\pm0.28}$	89.33 ^{±0.04} 59.06 ^{±0.43}	88.88 ^{±0.05} 61.49 ^{±0.37}	82.06 ^{±0.13} 83.24 ^{±0.14}	82.45 56.48	
Deep SAD	AUROC↑ FPR95↓	$\frac{94.56^{\pm0.13}}{28.31^{\pm0.62}}$	$94.77^{\pm0.14}$ $40.77^{\pm1.35}$	$57.44^{\pm0.58}$ $97.10^{\pm0.13}$	$71.67^{\pm0.27}$ $83.74^{\pm0.28}$	$91.57^{\pm0.21}$ $41.15^{\pm1.24}$	$\frac{90.07^{\pm0.16}}{61.54^{\pm0.81}}$	89.47 ^{±0.12} 62.11 ^{±0.82}	$84.42^{\pm0.19}$ $79.33^{\pm0.65}$	84.25 61.76	
AP-OOD (Ours)	AUROC ↑	$94.97^{\pm0.54}$	$96.17^{\pm0.35}$	$56.82^{\pm1.03}$	$79.31^{\pm0.99}$	$95.03^{\pm0.41}$	$90.66^{\pm0.39}$	$90.73^{\pm0.36}$	$86.56^{\pm0.36}$	86.28	
	FPR95 ↓	29.93 ^{±2.86}	$26.04^{\pm 2.97}$	94.46 ^{±0.83} Output OO	79.06 ^{±1.44}	$29.17^{\pm 2.32}$	$56.34^{\pm 2.46}$	$55.12^{\pm 1.47}$	$69.75^{\pm 1.36}$	54.98	
	AUROC ↑	$95.15^{\pm0.06}$	$95.64^{\pm0.17}$	58.96 ^{±0.79}	$74.70^{\pm0.37}$	$92.79^{\pm0.22}$	$90.32^{\pm0.19}$	$90.21^{\pm0.16}$	$85.73^{\pm0.12}$	85,44	
Binary logits	FPR95↓	$27.58^{\pm0.44}$	$30.49^{\pm 1.89}$	$96.36^{\pm0.28}$	$82.09^{\pm0.61}$	$39.08^{\pm1.07}$	$57.36^{\pm0.95}$	$57.65^{\pm0.68}$	$75.34^{\pm0.41}$	58.24	
Relative Mahalanobis	AUROC ↑ FPR95 ↓	92.83 ^{±0.18} 28.72 ^{±0.40}	$94.94^{\pm0.14}$ $36.30^{\pm1.18}$	$41.88^{\pm0.42}$ $95.54^{\pm0.29}$	71.09 ^{±0.27} 80.88 ^{±0.20}	$\frac{95.14^{\pm0.16}}{20.42^{\pm0.57}}$	$88.86^{\pm0.02}$ $67.39^{\pm0.52}$	$87.83^{\pm0.08}$ $67.80^{\pm0.48}$	82.59 ^{±0.10} 85.74 ^{±0.20}	81.89 60.35	
Deep SAD	AUROC ↑	$95.88^{\pm0.13}$	$\frac{96.57^{\pm 0.21}}{21.38^{\pm 1.75}}$	56.47 ^{±1.31} 95.86 ^{±0.38}	$\frac{76.35^{\pm0.60}}{82.47^{\pm0.52}}$	$94.79^{\pm0.12}$ $30.23^{\pm0.82}$	90.66 ^{±0.11} 58.14 ^{±1.45}	$90.40^{\pm0.11}$ $57.37^{\pm1.64}$	86.21 ^{±0.18} 75.73 ^{±0.23}	85.92	
AP-OOD (Ours)	FPR95 ↓ AUROC ↑	$95.82^{\pm0.24}$	$96.85^{\pm0.24}$	$59.22^{\pm0.92}$	$78.27^{\pm1.67}$	$95.78^{\pm0.13}$	$90.31^{\pm0.33}$	$89.87^{\pm0.35}$	$83.97^{\pm0.90}$	55.61 86.26	
	FPR95 ↓	$28.51^{\pm 1.44}$	$19.94^{\pm1.78}$	$93.65^{\pm0.36}$	$81.37^{\pm0.56}$	$26.96^{\pm 1.04}$	$59.28^{\pm1.36}$	$57.48^{\pm 1.09}$	$73.64^{\pm1.21}$	55.10	

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Table 6: Unsupervised OOD detection performance on text summarization. We compare results from AP-OOD when using $s(\mathbf{Z})$ and $s_{\min}(\mathbf{Z})$, on PEGASUS_{LARGE} trained on XSUM as the ID data set. ↓ indicates "lower is better" and ↑ "higher is better". All values in %. We estimate standard deviations across five independent dataset splits and training runs.

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		CNN/DM	Newsroom	Reddit	Samsum	Mean				
Input OOD										
s(Z)	AUROC ↑ FPR95 ↓	96.13 ^{±0.44} 19.51 ^{±2.24}	$99.10^{\pm0.08} \ 4.11^{\pm0.28}$	$99.91^{\pm0.03} \ 0.00^{\pm0.01}$	$99.80^{\pm0.04} \ 0.04^{\pm0.03}$	98.74 5.91				
$s_{\min}(\boldsymbol{Z})$	AUROC ↑ FPR95 ↓	$96.08^{\pm0.37}$ $18.78^{\pm2.73}$	$97.48^{\pm0.28}$ $11.16^{\pm1.21}$	$99.71^{\pm0.20}$ $0.01^{\pm0.01}$	$97.67^{\pm0.35}$ $12.04^{\pm3.04}$	97.74 10.50				
		Outp	out OOD							
$s(\mathbf{Z})$	AUROC ↑ FPR95 ↓	$93.37^{\pm0.54}$ $\mathbf{23.12^{\pm1.97}}$	$92.62^{\pm 0.67} \\ 29.91^{\pm 2.93}$	$98.04^{\pm0.28} \ 6.34^{\pm1.56}$	$98.30^{\pm0.11} \ 6.83^{\pm0.64}$	95.59 16.55				
$s_{\min}(\boldsymbol{Z})$	AUROC ↑ FPR95 ↓	$93.82^{\pm 1.56}$ $26.60^{\pm 5.53}$	$88.30^{\pm 3.45}$ $38.26^{\pm 3.73}$	$95.94^{\pm 2.25}$ $18.49^{\pm 9.01}$	$90.13^{\pm 4.31}$ $36.71^{\pm 12.40}$	92.05 30.02				



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ADDITIONAL EXPERIMENTS ON TRANSLATION

In the fully supervised setting, we train all methods on the embeddings of 100,000 ID embeddings and 100,000 AUX embeddings obtained from a base Transformer trained on WMT15 En–Fr translation. Table 5 shows that AP-OOD improves supervised OOD detection results w.r.t. the mean AUROC and FPR95 metrics.

OOD SCORE COMPARISON

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We experimentally compare the min-based OOD score $s_{\min}(\mathbf{Z})$ and its upper bound $s(\mathbf{Z})$. For training, we use the loss from Equation (10) in both settings. The results in Table 6 show that $s(\mathbf{Z})$ achieves better OOD discrimination w.r.t. the mean AUROC and FPR95. While $s_{\min}(\mathbf{Z})$ roughly matches the OOD detection metrics of $s(\mathbf{Z})$ on CNN/DM for both input and output, $s_{\min}(\mathbf{Z})$ lags behind $s(\mathbf{Z})$ on the other OOD data sets.

Ablations B.7

Beta sensitivity analysis. We evaluate AP-OOD when varying the hyperparameter β on the summarization task. We select β from $\{0, 1/\sqrt{D}, 0.25, 0.5, 1, 2\}$, and we leave the

Table 7: Unsupervised OOD detection performance on text summarization. We compare results from AP-OOD trained on XSUM as the ID data set when varying β . \downarrow indicates "lower is better" and \uparrow "higher is better". All values in %. We estimate standard deviations across five independent dataset splits and training runs.

		CNN/DM	Newsroom	Reddit	Samsum	Mean			
Input OOD									
$\beta = 0$	AUROC ↑ FPR95 ↓	$66.83^{\pm0.44}$ $97.17^{\pm0.10}$	$81.42^{\pm0.27}$ $76.31^{\pm0.35}$	$94.81^{\pm0.32}$ $41.12^{\pm3.42}$	$93.38^{\pm0.20}$ $19.96^{\pm0.84}$	84.11 58.64			
$\beta=0.25$	AUROC ↑ FPR95 ↓	$97.76^{\pm0.11} \ 11.07^{\pm0.74}$	$98.75^{\pm0.07}$ $4.75^{\pm0.41}$	$\frac{99.87^{\pm0.06}}{0.00^{\pm0.00}}$	$99.46^{\pm0.09}$ $0.02^{\pm0.02}$	$98.96 \\ 3.96$			
$\beta = 0.5$	AUROC ↑ FPR95 ↓	$\frac{96.13^{\pm 0.44}}{19.51^{\pm 2.24}}$	$99.10^{\pm0.08} \ 4.11^{\pm0.28}$	$99.91^{\pm0.03}$ $0.00^{\pm0.01}$	$99.80^{\pm0.04}$ $0.04^{\pm0.03}$	$\frac{98.74}{5.91}$			
$\beta = 1$	AUROC ↑ FPR95 ↓	$91.36^{\pm0.41}$ $38.78^{\pm4.50}$	$\frac{98.77^{\pm0.05}}{4.94^{\pm0.23}}$	$99.75^{\pm0.02}$ $0.02^{\pm0.02}$	$\frac{99.83^{\pm0.01}}{0.00^{\pm0.00}}$	97.43 10.94			
$\beta=2$	AUROC ↑ FPR95 ↓	$84.29^{\pm0.91}$ $63.31^{\pm4.63}$	$97.58^{\pm0.09}$ $9.14^{\pm0.46}$	$99.52^{\pm0.05}$ $0.12^{\pm0.07}$	$99.76^{\pm0.01}$ $0.05^{\pm0.03}$	95.28 18.16			
$\beta=1/\sqrt{D}$	AUROC ↑ FPR95 ↓	$89.09^{\pm0.66}$ $53.96^{\pm3.30}$	$90.59^{\pm0.35}$ $47.50^{\pm1.83}$	$99.59^{\pm0.18}$ $0.17^{\pm0.18}$	$99.87^{\pm0.01} 0.04^{\pm0.02}$	94.79 25.42			
		Outpu	ıt OOD						
$\beta = 0$	AUROC ↑ FPR95 ↓	$77.67^{\pm 1.37}$ $82.07^{\pm 1.30}$	$85.10^{\pm0.61}$ $69.32^{\pm1.65}$	$84.12^{\pm 1.08}$ $57.30^{\pm 1.73}$	$91.70^{\pm0.44}$ $29.37^{\pm1.73}$	84.65 59.52			
$\beta = 0.25$	AUROC \uparrow FPR95 \downarrow	$91.37^{\pm0.64}$ $43.03^{\pm1.71}$	$93.66^{\pm0.13}$ $34.70^{\pm0.32}$	$94.79^{\pm0.29}$ $38.38^{\pm3.27}$	$96.56^{\pm0.27}$ $18.61^{\pm2.44}$	$94.10 \\ 33.68$			
$\beta = 0.5$	AUROC ↑ FPR95 ↓	$93.37^{\pm0.54}$ $23.12^{\pm1.97}$	$\frac{92.62^{\pm0.67}}{29.91^{\pm2.93}}$	$98.04^{\pm0.28}$ $6.34^{\pm1.56}$	$98.30^{\pm0.11}$ $6.83^{\pm0.64}$	95.59 16.55			
$\beta = 1$	AUROC ↑ FPR95 ↓	$93.06^{\pm0.57}$ $24.04^{\pm1.95}$	$91.82^{\pm0.71}$ $32.04^{\pm2.97}$	$\frac{97.66^{\pm0.33}}{9.29^{\pm1.71}}$	$97.91^{\pm 0.22}$ $8.82^{\pm 1.42}$	95.11 18.55			
$\beta=2$	AUROC ↑ FPR95 ↓	$\frac{93.25^{\pm0.48}}{23.69^{\pm1.94}}$	$91.98^{\pm0.73}$ $31.23^{\pm3.09}$	$97.57^{\pm0.40}$ $10.06^{\pm2.44}$	$\frac{97.97^{\pm0.19}}{8.37^{\pm1.30}}$	$\frac{95.19}{18.34}$			
$\beta=1/\sqrt{D}$	AUROC ↑ FPR95 ↓	$ \frac{54.67^{\pm 0.72}}{92.40^{\pm 0.21}} $	$ 80.59^{\pm 0.72} \\ 65.83^{\pm 1.03} $	$94.12^{\pm0.30}$ $30.04^{\pm1.15}$	$94.93^{\pm 0.35} 27.20^{\pm 1.94}$	81.08 53.87			

settings for M and T unchanged (i.e., they are identical to the settings used in Table 1). Table 7 shows that AP-OOD on text summarization is relatively insensitive to the selection of β inside the range [0.25, 2] in the input and output settings.

Number of heads M and queries T. We ablate on the number of heads M and the number of queries T of AP-OOD on the summarization task. For this ablation, we select $T \in \{1, 2, 4, 8, 16, 32, 64, 128, 512, 1024\}$ and we then select M such that the total number of parameters of AP-OOD equals the number of entries in Σ of the Mahalanobis method, i.e., such that MT = D. The results in Table 8 show that AP-OOD works best on the summarization task for both input and output when M = 512 and T = 2. Although the performance drops when decreasing M and increasing T, we find that AP-OOD is relatively insensitive to the number of heads and queries.

Dot product and Euclidean distance. We compare using the dot product and the negative squared Euclidean distance for the attention pooling in AP-OOD. For a formal definition of attention pooling with the negative squared Euclidean distance, we refer to Appendix B.2. Table 9 shows that using the dot product works substantially better. This result aligns with the well-established observation that measuring similarity using the dot product in high-dimensional spaces is more effective than using Euclidean distance.

C THE USE OF LARGE LANGUAGE MODELS

When creating this paper, we utilized large language models (LLMs) to refine our writing, to identify related work, and for research ideation. When refining the writing using LLMs, we carefully review and verify LLM output to preserve sentence semantics. For related work, we confirm the soundness of papers suggested by the LLM, and for research ideation, we verify the factual accuracy of all statements.

Table 8: Unsupervised OOD detection performance on text summarization. We compare results from AP-OOD trained on XSUM as the ID data set when varying M and T. \downarrow indicates "lower is better" and \uparrow "higher is better". All values in %. We estimate standard deviations across five independent dataset splits and training runs.

		CNN/DM	Newsroom	Reddit	Samsum	Mean
		Input O	OD			
M = 1024 $T = 1$	AUROC ↑ FPR95 ↓	$97.16^{\pm0.22}$ $14.72^{\pm0.83}$	98.25 ^{±0.11} 7.54 ^{±0.62}	99.82 ^{±0.01} 0.00 ^{±0.00}	$99.32^{\pm0.03}$ $0.64^{\pm0.11}$	98.64 5.72
M = 512 $T = 2$	AUROC↑ FPR95↓	$97.98^{\pm0.16}$ $9.77^{\pm0.80}$	$98.83^{\pm0.07}$ $4.67^{\pm0.30}$	$\begin{array}{c} 99.87^{\pm 0.03} \\ \hline 0.00^{\pm 0.00} \\ 99.87^{\pm 0.06} \end{array}$	$99.60^{\pm0.04}$ $0.02^{\pm0.02}$	99.07 3.61
M = 256 T = 4	AUROC↑ FPR95↓	$\frac{97.76^{\pm0.11}}{11.07^{\pm0.74}}$ $97.53^{\pm0.15}$	$\frac{98.75^{\pm0.07}}{4.75^{\pm0.41}}$ $98.49^{\pm0.15}$	$\frac{0.00^{\pm 0.00}}{99.83^{\pm 0.07}}$	$\frac{99.46^{\pm 0.09}}{0.02^{\pm 0.02}}$ $99.14^{\pm 0.12}$	98.96 3.96
M = 128 $T = 8$	AUROC ↑ FPR95 ↓ AUROC ↑	$12.48^{\pm 1.14}$ $97.10^{\pm 0.09}$	$5.94^{\pm0.65}$ $98.14^{\pm0.16}$	$\frac{0.00^{\pm 0.00}}{99.84^{\pm 0.07}}$	$0.25^{\pm 0.10}$ $98.81^{\pm 0.16}$	98.75 4.67 98.47
M = 64 $T = 16$	FPR95 ↓ AUROC↑	$14.30^{\pm 0.77}$ $96.84^{\pm 0.35}$	$7.87^{\pm0.86}$ $97.78^{\pm0.15}$	$0.00^{\pm 0.00}$ $99.83^{\pm 0.05}$	$0.99^{\pm 0.50}$ $98.56^{\pm 0.28}$	5.79 98.25
M = 32 $T = 32$	FPR95 ↓ AUROC↑	$14.97^{\pm 1.96}$ $96.23^{\pm 0.45}$	$10.18^{\pm0.80}$ $97.35^{\pm0.24}$	$0.01^{\pm 0.02}$ $99.73^{\pm 0.11}$	$2.53^{\pm 2.12}$ $98.12^{\pm 0.24}$	6.92 97.86
M = 16 $T = 64$	FPR95 ↓ AUROC ↑	$16.65^{\pm 1.99}$ $95.56^{\pm 0.38}$	$12.55^{\pm 1.15}$ $96.47^{\pm 0.46}$	$0.09^{\pm0.20}$ $99.67^{\pm0.27}$	$5.69^{\pm 1.87}$ $97.44^{\pm 0.25}$	8.75 97.29
M = 8 T = 128	FPR95 ↓ AUROC ↑	$18.16^{\pm 1.57}$ $94.58^{\pm 0.67}$	$16.34^{\pm 1.91}$ $94.75^{\pm 0.52}$	$0.52^{\pm 1.13}$ $99.27^{\pm 0.86}$	$11.29^{\pm 1.78}$ $95.24^{\pm 0.25}$	11.58 95.96
M = 4 T = 256	FPR95 ↓ AUROC ↑	$20.10^{\pm 2.32}$ $93.17^{\pm 0.75}$	21.71 ^{±2.30} 91.87 ^{±0.56}	2.01 ^{±4.09} 98.43 ^{±2.39}	24.58 ^{±1.83} 89.87 ^{±0.86}	17.10 93.34
M = 2 T = 512	FPR95 ↓ AUROC ↑	$22.86^{\pm 2.20}$ $90.90^{\pm 1.20}$	27.09 ^{±1.48} 88.10 ^{±0.83}	$4.95^{\pm 9.38}$ $96.68^{\pm 5.76}$	$39.75^{\pm 3.06}$ $81.41^{\pm 1.06}$	23.66 89.27
M = 1 $T = 1024$	FPR95 \downarrow	$27.14^{\pm 3.03}$	$32.64^{\pm2.29}$	$9.03^{\pm 16.78}$	$52.73^{\pm 3.76}$	30.39
		Output O	OD			
M=1024 T=1	AUROC ↑ FPR95 ↓	$92.47^{\pm0.48}$ $39.11^{\pm1.81}$	$94.17^{\pm0.30}$ $34.69^{\pm0.85}$	$98.36^{\pm0.22}$ $3.11^{\pm1.16}$	$97.77^{\pm0.14}$ $12.59^{\pm0.90}$	95.69 22.38
M=512 T=2	AUROC ↑ FPR95 ↓	$93.79^{\pm0.25} \ 32.45^{\pm1.29}$	$95.85^{\pm0.18} \ 20.10^{\pm0.67}$	$99.02^{\pm0.20}$ $0.95^{\pm0.66}$	$98.96^{\pm0.06}$ $2.77^{\pm0.54}$	$96.90 \\ 14.07$
M=256 T=4	AUROC↑ FPR95↓	$\frac{93.35^{\pm0.46}}{33.67^{\pm2.77}}$	$\frac{95.48^{\pm0.28}}{21.73^{\pm0.82}}$	$\frac{99.19^{\pm 0.26}}{0.86^{\pm 0.95}}$	$99.05^{\pm0.06}$ $2.72^{\pm0.52}$	$\frac{96.77}{14.75}$
M = 128 $T = 8$	AUROC↑ FPR95↓	93.24 ^{±0.34} 32.84 ^{±1.75}	95.27 ^{±0.37} 23.40 ^{±1.53}	$99.21^{\pm0.41}$ $0.99^{\pm1.56}$	$\frac{98.99^{\pm0.04}}{3.26^{\pm0.42}}$	96.68 15.12
M = 64 $T = 16$	AUROC↑ FPR95↓	92.95 ^{±0.82} 34.08 ^{±4.22}	$94.92^{\pm 0.39}$ $25.53^{\pm 1.87}$	99.11 ^{±0.36} 1.48 ^{±1.63}	98.89 ^{±0.14} 4.10 ^{±0.70}	96.47 16.30
M = 32 $T = 32$	AUROC↑ FPR95↓	92.54 ^{±0.61} 37.21 ^{±3.76}	$94.11^{\pm0.47}$ $29.56^{\pm2.71}$	$98.67^{\pm 0.73}$ $4.68^{\pm 4.39}$	98.63 ^{±0.41} 6.11 ^{±2.55}	95.99 19.39
M = 16 $T = 64$	AUROC↑ FPR95↓	$91.26^{\pm 1.17}$ $41.96^{\pm 4.43}$	$92.62^{\pm 1.40}$ $35.78^{\pm 5.78}$	$97.99^{\pm 2.33}$ $8.75^{\pm 13.44}$	98.58 ^{±0.84} 6.19 ^{±4.88}	95.11 23.17
M = 8 $T = 128$	AUROC↑ FPR95↓	$90.94^{\pm 1.97}$ $41.24^{\pm 8.00}$	91.99 ^{±1.88} 36.42 ^{±7.58}	$97.10^{\pm 2.54}$ $13.13^{\pm 13.35}$	98.28 ^{±0.80} 7.58 ^{±3.85}	94.58 24.59
M=4 T=256	AUROC↑ FPR95↓	$89.62^{\pm 1.80}$ $47.52^{\pm 9.04}$	$90.35^{\pm 2.64}$ $41.77^{\pm 12.21}$	$95.91^{\pm 3.26}$ $18.53^{\pm 16.24}$	$97.73^{\pm 0.96}$ $10.02^{\pm 4.76}$ $96.91^{\pm 1.26}$	93.40 29.46
M = 2 $T = 512$	AUROC↑ FPR95↓	87.82 ^{±2.50} 52.18 ^{±9.71}	88.06 ^{±1.29} 50.66 ^{±5.51}	94.00 ^{±3.38} 28.44 ^{±17.40}	$13.98^{\pm6.18}$	91.70 36.31
M = 1 $T = 1024$	AUROC↑ FPR95↓	$86.45^{\pm 1.86}$ $50.92^{\pm 8.94}$	$86.95^{\pm 1.79}$ $49.61^{\pm 6.70}$	$93.43^{\pm 2.35}$ $29.61^{\pm 8.37}$	$96.10^{\pm 1.59}$ $14.82^{\pm 3.62}$	90.73 36.24

Table 9: Unsupervised OOD detection performance on text summarization. We compare results from AP-OOD trained on XSUM as the ID data set when using the dot product and the Euclidean similarity. \downarrow indicates "lower is better" and \uparrow "higher is better". All values in %. We estimate standard deviations across five independent dataset splits and training runs.

		CNN/DM	Newsroom	Reddit	Samsum	Mean				
	Input OOD									
Dot product	AUROC ↑ FPR95 ↓	$97.76^{\pm0.11} \ 11.07^{\pm0.74}$	$98.75^{\pm0.07} \ 4.75^{\pm0.41}$	$99.87^{\pm0.06} \ 0.00^{\pm0.00}$	$99.46^{\pm0.09} \ 0.02^{\pm0.02}$	98.96 3.96				
Euclidean	AUROC \uparrow FPR95 \downarrow	$74.22^{\pm0.65}$ $90.20^{\pm0.37}$	$84.43^{\pm0.23}$ $74.08^{\pm1.04}$	$97.06^{\pm0.41}$ $15.27^{\pm5.30}$	$98.30^{\pm0.23}$ $7.17^{\pm1.94}$	$\frac{88.50}{46.68}$				
		Output	OOD							
Dot product	AUROC ↑ FPR95 ↓	$93.37^{\pm 0.54} \ 23.12^{\pm 1.98}$	$92.62^{\pm0.65} \ 29.93^{\pm2.89}$	$98.04^{\pm0.29} \ 6.36^{\pm1.60}$	$98.30^{\pm0.11} \ 6.83^{\pm0.64}$	95.58 16.56				
Euclidean	AUROC ↑ FPR95 ↓	$87.67^{\pm0.74}$ $65.62^{\pm3.90}$	$88.17^{\pm 1.80}$ $66.04^{\pm 4.38}$	$96.50^{\pm0.57}$ $22.34^{\pm5.36}$	$91.28^{\pm 1.79}$ $53.89^{\pm 7.80}$	$\frac{90.90}{51.97}$				