# AMR Alignment: Paying Attention to Cross-Attention

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#### Abstract

 With the surge of Transformer models, many have investigated how attention acts on the learned representations. However, attention is still overlooked for specific tasks, such as Semantic Parsing. A popular approach to the formal representation of a sentence's meaning is Abstract Meaning Representation (AMR). Until now, the alignment between a sentence and its AMR representation has been explored in different ways, such as through rules or via the Expectation Maximization (EM) algo- rithm. In this paper, we investigate the ability of Transformer-based parsing models to yield effective alignments without ad-hoc strategies. We present the first in-depth exploration of **cross-attention for AMR by proxy of align-** ment between the sentence spans and the se- mantic units in the graph. We show how cur- rent Transformer-based parsers implicitly en- code the alignment information in the cross- attention weights and how to leverage it to extract such alignment. Furthermore, we su- pervise and guide cross-attention using align- ment, dropping the need for English- and AMR-specific rules.

#### **<sup>026</sup>** 1 Introduction

 At the core of NLU lies the task of Semantic Pars- ing, aiming at translating natural language text into machine-interpretable representations. One of the most popular semantic formalisms is the Abstract Meaning Representation [\(Banarescu et al.,](#page-8-0) [2013,](#page-8-0) AMR), which embeds the semantics of a sentence in a directed acyclic graph, like shown in Figure [1,](#page-1-0) where concepts are represented with nodes, such as *thirst*; semantic relation between concepts are represented by edges, such as *:purpose*; and the co-references are represented with reentrant nodes, such as *p4* representing *pill*. As of now, AMR has [b](#page-10-0)een widely used in Machine Translation [\(Song](#page-10-0) [et al.,](#page-10-0) [2019\)](#page-10-0), Question Answering [\(Lim et al.,](#page-9-0) [2020;](#page-9-0) [Bonial et al.,](#page-8-1) [2020b;](#page-8-1) [Kapanipathi et al.,](#page-9-1) [2021\)](#page-9-1),

Human-Robot Interaction [\(Bonial et al.,](#page-8-2) [2020a\)](#page-8-2), **042** Text Summarization [\(Hardy and Vlachos,](#page-9-2) [2018;](#page-9-2) **043** [Liao et al.,](#page-9-3) [2018\)](#page-9-3) and Information Extraction [\(Rao](#page-10-1) **044** [et al.,](#page-10-1) [2017\)](#page-10-1), among other areas. **045**

Alignment between spans in text and semantic **046** units in graphs (see Figure [1\)](#page-1-0) is a fundamental re- **047** quirement for multiple purposes, such as training **048** AMR parsers [\(Wang et al.,](#page-10-2) [2015;](#page-10-2) [Flanigan et al.,](#page-9-4) **049** [2016;](#page-9-4) [Misra and Artzi,](#page-9-5) [2016;](#page-9-5) [Damonte et al.,](#page-8-3) [2017;](#page-8-3) **050** [Zhou et al.,](#page-11-0) [2021\)](#page-11-0), cross-lingual AMR parsing **051** [\(Blloshmi et al.,](#page-8-4) [2020\)](#page-8-4), applying AMR in down- **052** stream tasks [\(Song et al.,](#page-10-0) [2019\)](#page-10-0), or the creation of **053** new semantic parsing formalisms [\(Navigli et al.,](#page-9-6) **054** [2022;](#page-9-6) [Martínez Lorenzo et al.,](#page-9-7) [2022\)](#page-9-7), among others. **055** However, AMR does not provide such alignment **056** information. **057**

Several alignment standards have been proposed **058** [t](#page-9-8)o mitigate this issue, such as JAMR [\(Flanigan](#page-9-8) **059** [et al.,](#page-9-8) [2014\)](#page-9-8), ISI [\(Pourdamghani et al.,](#page-10-3) [2014\)](#page-10-3) or **060** LEAMR [\(Blodgett and Schneider,](#page-8-5) [2021\)](#page-8-5), among **061** others. Following these standards, there are differ- **062** ent approaches to extract the alignments, such as **063** adopting rule-based approaches [\(Liu et al.,](#page-9-9) [2018\)](#page-9-9), **064** or by statistical strategies using Expectation Maxi- **065** [m](#page-8-5)ization (EM) [\(Pourdamghani et al.,](#page-10-3) [2014;](#page-10-3) [Blod-](#page-8-5) **066** [gett and Schneider,](#page-8-5) [2021\)](#page-8-5). **067**

Current state-of-the-art AMR parsers are auto- **068** regressive neural models [\(Bevilacqua et al.,](#page-8-6) [2021;](#page-8-6) **069** [Bai et al.,](#page-8-7) [2022\)](#page-8-7) that do not generate or rely on  $070$ alignment when parsing the sentence to produce **071** the graph. Therefore to obtain both, one needs **072** to i) predict the graph and then ii) generate the **073** alignment using an aligner system. **074**

Recent work has questioned considering atten- **075** tion as an explanation [\(Bibal et al.,](#page-8-8) [2022\)](#page-8-8), or **076** put it to test against other approaches such as **077** saliency methods [\(Bastings and Filippova,](#page-8-9) [2020\)](#page-8-9). 078 We want to explore whether this holds true for  $079$ cross-attention in auto-regressive parsers and the **080** alignment problem in Semantic Parsing as we un- **081** cover the relation between them. This paper ex- **082**

<span id="page-1-0"></span>

This was a merchant who sold pills that had been invented to quench thirst.

Figure 1: A sentence (top) with its AMR graph (left) and the AMR linearization (right). Colors represent alignment.

 plores how auto-regressive models implicitly en- code the relations between spans in the text and semantic units in the graph through cross-attention and how the alignment can be obtained directly while predicting the graphs.

 The main contributions of this paper are as fol- lows: (i) we explore the type of implicit alignment knowledge that Transformer-based AMR parsing models preserve; (ii) we extract the alignment infor- mation from the model; (iii) we supervise a model's cross-attention for improving how it learns and (iv) obtain state-of-the-art results in AMR alignment, along with different standards.

## **<sup>096</sup>** 2 Related Work

**JAMR** [\(Flanigan et al.,](#page-9-8) [2014\)](#page-9-8) aligns spans to sub- graphs by applying an ordered list of 14 criteria. One shortcoming of JAMR is that it is unable to resolve ambiguities. TAMR [\(Liu et al.,](#page-9-9) [2018\)](#page-9-9) ex- tends it with an oracle parser that selects the align- ment corresponding to the highest-scored candidate AMR graph. ISI [\(Pourdamghani et al.,](#page-10-3) [2014\)](#page-10-3) uses an EM algorithm to establish alignments of tokens with nodes and relations. The graph is first lin- earized, and then EM is used with a symmetrized scoring function where probabilities of a node or edge to be aligned to a word and vice versa are **109** equal.

**110** This leads to more diversity in terms of align-**111** ment patterns but fails when facing easy to recog-**112** nize patterns such as dates.

 LEAMR [\(Blodgett and Schneider,](#page-8-5) [2021\)](#page-8-5) com- bines rules and EM to automatically align sentence spans with graph's semantic units. All semantic units in the graph should be aligned to at least one span of the sentence, which makes it the first stan-dard to tackle reentrant nodes.

Throughout the last years several systems have **119** incorporated innovative methods to extract the **120** alignment, e.g., by incorporating syntactic informa- **121** tion [\(Chen and Palmer,](#page-8-10) [2017;](#page-8-10) [Szubert et al.,](#page-10-4) [2018;](#page-10-4) **122** [Chu and Kurohashi,](#page-8-11) [2016\)](#page-8-11), word embeddings [\(An-](#page-8-12) **123** [chiêta and Pardo,](#page-8-12) [2020\)](#page-8-12) or including graph distance **124** information [\(Wang and Xue,](#page-10-5) [2017\)](#page-10-5). [Zhou et al.](#page-11-0) **125** [\(2021\)](#page-11-0) provide alignments while parsing with a **126** transition based approach, but rely on JAMR align- **127** ments and are not evaluated. **128**

## 2.1 Semantic Parsing and Transformer **129**

Most modern systems for AMR parsing rely on **130** Encoder-Decoder Transformers such a BART or **131** [T](#page-9-11)5 [\(Lewis et al.,](#page-9-10) [2020;](#page-9-10) [Raffel et al.,](#page-10-6) [2020;](#page-10-6) [Lam](#page-9-11) **132** [et al.,](#page-9-11) [2021\)](#page-9-11). Such models consist of two stacks of **133** Transformer layers, with self- and cross-attention **134** as their backbone. **135** 

With the surge of Transformer models, research 136 has explored how attention encodes the information **137** in text, i.e., whether it corresponds to the intuition **138** behind human attention [\(Vashishth et al.,](#page-10-7) [2019\)](#page-10-7), or **139** [d](#page-8-9)ifferent definitions of explainability [\(Bastings and](#page-8-9) **140** [Filippova,](#page-8-9) [2020;](#page-8-9) [Bibal et al.,](#page-8-8) [2022\)](#page-8-8). Several works **141** have investigated how attention operates, relates 142 to preconceived ideas, aggregates information and **143** explains model behavior for tasks such as Natural **144** Language Inference [\(Stacey et al.,](#page-10-8) [2021\)](#page-10-8), Transla- **145** [t](#page-8-13)ion [\(Yin et al.,](#page-10-9) [2021;](#page-10-9) [Zhang and Feng,](#page-11-1) [2021;](#page-11-1) [Chen](#page-8-13) **146** [et al.,](#page-8-13) [2021\)](#page-8-13), Summarization [\(Xu et al.,](#page-10-10) [2020;](#page-10-10) [Man-](#page-9-12) **147** [akul and Gales,](#page-9-12) [2021\)](#page-9-12) or Sentiment Analysis [\(Wu](#page-10-11) **148** [et al.,](#page-10-11) [2020\)](#page-10-11). There have even been attempts at guid- **149** ing attention in order to improve interpretability or **150** [i](#page-8-14)ts performance in downstreams tasks [\(Deshpande](#page-8-14) **151** [and Narasimhan,](#page-8-14) [2020;](#page-8-14) [Sood et al.,](#page-10-12) [2020\)](#page-10-12). How- **152** ever, to our knowledge there has been no study on **153** attention for AMR Parsing. We fill this gap with **154** our paper. **155**

#### **<sup>156</sup>** 3 Foundations

### **157** 3.1 Alignment Standards

 While conceptually our approach is agnostic to dif- ferent standards, we rely on existing ones. Figure [1](#page-1-0) shows an intuition of the concept's alignment between the sentence and the AMR graph.

 ISI The ISI standard aligns single spans in the sentence to graphs' semantic units (nodes or relations). ISI aligns relations and reentrant nodes when they explicitly appear in the sentence.

 LEAMR The LEAMR standard differentiates among 4 different types of alignment: i) Subgraph Alignments, where all the subgraphs that explicitly appear in the sentence are aligned to a list of con- secutive spans, ii) Duplicate Subgraph, where all the subgraphs that represent omitted concepts in the sentence are aligned, iii) Relation Alignments, where all the relations that do not take part in a previous subgraph structure are aligned, and iv) Reentrancy Alignments, where all the reentrant nodes are aligned. In contrast to ISI, all the seman- tic units in the graph are aligned to some list of consecutive spans in the text.

#### **179** 3.2 Cross-attention

Originally described by [Vaswani et al.](#page-10-13) [\(2017\)](#page-10-13) as "multi-head attention over the output of the encoder", and referred to as cross-attention in [Lewis](#page-9-10) [et al.](#page-9-10) [\(2020\)](#page-9-10); it enables the Decoder to attend to the output of the Encoder stack, conditioning the hidden states of the autoregressive component on the input text. We define the self-attention module and Transformer cross-attention as:

$$
\text{Attention}(Q, K, V) = \text{att}(Q, K)V
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\text{att}(Q, K) = softmax(\frac{QK^{T}}{\sqrt{d_k}})
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\text{CrossAtt}(Q, K, V) =
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\text{Concat}(head_1, ..., head_H)W^{O}
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$$
head_h = \text{Attention}(QW_h^Q, KW_h^K, VW_h^V)
$$

180 **where**  $K, V = E^{\ell} \in \mathbb{R}^{n_e \times d_k H}$  and  $Q = D^{\ell} \in$ 181 **R**<sup>n</sup><sub>d</sub>×d<sub>k</sub>H are the encoder and decoder hidden states 182 **at layer**  $\ell$ **,**  $n_e$  **and**  $n_d$  **are the input and output se**quence lengths, H the number of heads,  $W_h^Q$ 183 **quence lengths,** *H* **the number of heads,**  $W_h^Q$ **,**  $W_h^K$ **<br>
and**  $W_h^V \in \mathbb{R}^{d_k H \times d_k}$  **are learned weights that 183 185** project the hidden states to the appropriate dimen-186 sions,  $d_k$ , for each head and  $W^O \in \mathbb{R}^{d_k H \times d_k H}$  is **187** a final learned linear projection. Therefore in each 188 head h and layer  $\ell$  we define the attention weights as  $\mathit{att}^\ell_h = \text{att}(D^\ell W_h^Q)$ 189 **as**  $att_h^{\ell} = \text{att}(D^{\ell}W_h^Q, E^{\ell}W_h^K) \in \mathbb{R}^{n_d \times n_e}.$ 

#### <span id="page-2-0"></span>4 Method **<sup>190</sup>**

#### 4.1 Unsupervised Cross-Attention **191**

We argue there is an intuitive connection between 192 cross-attention and alignments. Under the assump- **193** tion the decoder will attend to the parts in the input **194** that are more relevant to predict the next token, we **195** infer that when decoding the tokens for a certain **196** node in the graph, attention should focus on related **197** tokens in the input, and therefore the words that **198** align to that node. We will use the cross-attention **199** matrices  $(att<sub>h</sub><sup>l</sup>)$  to compute an alignment between 200 the input and the output. **201** 

#### <span id="page-2-1"></span>4.2 Guided Cross-Attention **202**

We want to explore whether cross-attention can be 203 guided by the alignment between the words of the **204** sentence and nodes of the semantic graph. To this **205** end, we construct a sparse matrix  $align \in \mathbb{R}^{n_d \times n_e}$  206 from the automatically generated ISI or LEAMR **207** alignments: **208**

$$
align(i,j) = \begin{cases} 1 & if & x_i \sim y_j \\ 0 & if & x_i \approx y_j \end{cases}
$$

where  $\sim$  indicates an alignment between token  $x_i$  **209** (part of a word) and graph-token  $y_j$  (part of a node  $210$ or relation). **211**

However this produces a sparse matrix. While **212** [t](#page-9-13)here are sparse versions of attention [\(Martins and](#page-9-13) **213** [Astudillo,](#page-9-13) [2016\)](#page-9-13), they did not produce successful 214 alignments in our experiments. Hence we choose **215** to alleviate the constraint of imposing sparsity by **216** employing the scalar mixing approach introduced **217** in ELMO [\(Peters et al.,](#page-10-14) [2018\)](#page-10-14). We therefore learn **218** a weighted mix of each head and obtain a single **219** attention matrix: 220

<span id="page-2-2"></span>
$$
att^{\ell} = \gamma \sum_{h=0}^{H} s_h att_h^{\ell} \in \mathbb{R}^{n_d \times n_e} \tag{1}
$$

(1) **221**

. **224**

where  $s = softmax(a)$  with scalar learnable pa- 222 rameters  $\gamma, a_0, \ldots, a_H$ . We obtain better results 223 when using a subset of heads to compute  $att^{\ell}$ .

The model is free to give more weight to certain **225** heads that naturally become more sparse, while **226** other heads are free to encode useful information **227** that may be independent from alignment. In our **228** [e](#page-8-6)xperiments we use the implementation of [Bevilac-](#page-8-6) **229** [qua et al.](#page-8-6) [\(2021\)](#page-8-6) to train our parser, but add an **230** additional Cross-Entropy loss signal: **231**

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$$
\mathcal{L} = \mathcal{L}_{LM} - \sum_{j}^{n_d} \sum_{i}^{n_e} \log \frac{\exp (att^{\ell}(i, j))}{\sum_{k}^{n_d} \exp (att^{\ell}(i, k))}
$$
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### **235** 4.3 Saliency Methods

 Input saliency methods represent a theoretically- valid alternative to our reasoning about cross- attention, i.e. that when decoding the tokens for a certain node in the graph, a higher importance will be given to the tokens in the input that correspond to that node, or at least to those that were more important in their prediction.

 Therefore we look at the saliency weights of the input at each decoding step, obtaining a weight matrix with the same size as the cross-attention,  $sal \in \mathbb{R}^{n_d \times n_e}.$ 

 To this end we deploy Captum [\(Kokhlikyan](#page-9-14) [et al.,](#page-9-14) [2020\)](#page-9-14), with an array of saliency methods such as gradient-based: Integrated Gradients (IG), Saliency [\(Simonyan et al.,](#page-10-15) [2014\)](#page-10-15), Input X Gradient [\(](#page-10-16)IxG); backpropagation-based: Deeplift [\(Shriku-](#page-10-16) [mar et al.,](#page-10-16) [2017\)](#page-10-16), Guided Backpropagation (GB) [\(Springenberg et al.,](#page-10-17) [2015\)](#page-10-17); and finally occlusion-based [\(Zeiler and Fergus,](#page-11-2) [2014\)](#page-11-2).

## <span id="page-3-2"></span>**<sup>255</sup>** 5 Alignment Extraction

 $256$  The algorithm  $1$  to extract and to align the input-**257** output spans is divided into the following steps:

- **258** 1. Alignment Score Matrix We create a matrix 259  $M \in \mathbb{R}^{n_d \times n_e}$ , where  $n_e$  is number of tokens  $260$  in the sentence and  $n_d$  is the number of to-**261** kens in the linearized graph, using the cross-**262** attention or saliency weights as described in **263** Section [4.](#page-2-0)
- **264** 2. Span Segmentation We sum the scores of to-**265** kens that belong to the same sentence words **266** column-wise in M. Then, the sentence to-**267** kens are grouped into spans using the span **268** segmentation procedure in LEAMR [\(Blodgett](#page-8-5) **269** [and Schneider,](#page-8-5) [2021\)](#page-8-5).
- **270** 3. Graph Segmentation We sum the score of to-**271** kens that belong to the same graph's semantic **272** unit row-wise in M.
- 4. Sentence Graph Tokens Map We iterate over **273** all the graph's semantic units and map them **274** to the sentence span with higher score in M. **275**
- 5. Special Graph Structures We revise the map- **276** ping by identify subgraphs that represent lit- **277** eral or matching spans – e.g., named entities, **278** date entities, specific predicates, etc – and **279** align them accordingly. **280**
- 6. Alignment Formatting We extract the final **281** alignments to the appropriate format using the **282** resulting mapping relating graph's semantic **283** units to sentence spans. **284**

## 6 Experimental Setup **<sup>285</sup>**

#### 6.1 Datasets **286**

Graph inventory AMR 3.0 (LDC2020T02) con- **287** tains 59,255 manually annotated sentence-graph **288** pairs. We only use the train split for the guided **289** approach, and use the respective validation and test **290** splits from the alignment systems. **291** 

Alignments We evaluate our systems on two **292** gold alignments. ISI [\(Pourdamghani et al.,](#page-10-3) [2014\)](#page-10-3) **293** released two splits of 200 manually annotated align- **294** ments that we use as validation and test set. We **295** update them to the AMR 3.0 formalism. Similarly **296** LEAMR provided 150 validation and 200 test man- **297** ually annotated alignments. These include some **298** sentence-graph pairs from *The Little Prince* Corpus **299** (TLP) complemented with randomly sampled from **300** AMR 3.0. **301**

## 6.2 Model **302**

In all cases we use SPRING as our parsing model, **303** based on BART-large. We extract all  $att_h^{\ell}$  matrices  $304$ [f](#page-8-15)rom a model trained on AMR 3.0 as in [Blloshmi](#page-8-15) **305** [et al.](#page-8-15) [\(2021\)](#page-8-15) in order to perform our unsupervised **306** cross-attention analysis. For the guided approach **307** we re-train using the same hyper-parameters as **308** the original implementation but with an extra loss **309** signal as described in Section [4.2](#page-2-1) based on either **310** LEAMR or ISI. When using LEAMR alignments, **311** we restructure the training split in order to exclude 312 any pair from their test and validation sets. **313**

## <span id="page-3-1"></span>7 Experiments **<sup>314</sup>**

Layer and Head analysis To explore how cross- **315** attention correlates to alignment, we compute the **316** Pearson's r correlation between each  $att_h^{\ell}$  matrix  $317$ and the LEAMR alignment matrix align after we **318**

<span id="page-3-0"></span><sup>&</sup>lt;sup>1</sup>The pseudo algorithm is described in the Appendix [C](#page-11-3)

<span id="page-4-0"></span>

Figure 2: Unsupervised (left), saliency (center-left) and guided (center-right) alignment weights and LEAMR (right) gold alignment for lpp\_1943.1209. To interactively explore all cross-attention weights go [here.](https://amr-align-cross-attention.netlify.app/)

 flatten them and remove special tokens not relevant for alignment. In Figure [3](#page-5-0) we observe how, overall, there is a clear positive correlation. We noticed that attention is focused solely on the beginning and end of sentence tokens and punctuation marks in heads with a low correlation. While we do not have an intuition on why certain heads correlate more with it, there is a clear connection between cross- attention and alignment. For instance, the head 6 in layer 3  $(at_6^3)$  achieves a value of 0.635, approxi- mately the same as the sum of the whole layer. The left image in Figure [2](#page-4-0) shows the cross-attention 331 values for  $att_6^3$  for an example of the TLP corpus. Notice how despite being a model that has not seen any alignment information, it can find the correct correspondence between non-trivial matches such as merchant and person.

 Saliency methods The two most correlated methods were Saliency and GB, with 0.575. De- spite this result, when we look at it, we notice how saliency methods were more prone to focus on es- sential parts of the sentence, such as the subject or predicate. These are usually aligned to more nodes and relations, explaining the high correlation, but it was less nuanced than cross-attention. The center image of Figure [2](#page-4-0) portrays such conduct.

 Guided Our best result was by supervising layer 3 during training using the approach de- scribed in [4.2,](#page-2-1) on half of the heads (3, 4, 5, 6, 7, 11, 12 and 15) selected by their correlation on the validation set and using Cross-Entropy Loss. The performance on parsing was not affecte, there is more information in Appendix [D.](#page-11-4) When we look at  $att^3$  using the learned weighted mix from Equa-  $352$ tion [1](#page-2-2) with LEAMR alignments, the correlation **353** reaches 0.866, much higher than any other method. **354** Figure [3](#page-5-0) shows the impact of supervising half the **355** heads on layer 3, as well as how it even influences **356** heads in other layers. By looking at the center-right **357** Figure [2,](#page-4-0)  $att_6^3$  attention is more condensed, which  $358$ ties with the improvement in correlation. However, **359** notice how sometimes the model confidently at- **360** tends to incorrect positions, such as  $\langle pointer:0 \rangle$  361 and *merchant* when it should be *sold*. 362

## 8 Results **<sup>363</sup>**

Table [1](#page-6-0) shows the performances of our two ap- **364** proaches on the LEAMR gold alignments com- **365** pared to previous systems. We use the same evalua- **366** tion setup as [Blodgett and Schneider](#page-8-5) [\(2021\)](#page-8-5), where **367** the partial match assigns a partial credit from Jac- **368** card indices between nodes and tokens. In both **369** guided and unsupervised methods, we extract the **370** score matrix for Algorithm [0](#page-11-3) from the sum of the **371** cross-attention in the first four layers. We used a **372** Wilcoxon signed-rank test [\(Wilcoxon,](#page-10-18) [1945\)](#page-10-18) on the **373** alignment matches per graph to check for signif- **374** icant differences. Both our approaches were sig- **375** nificantly different compared to LEAMR (p=0.031 376 and p=0.007 respectively). However, we found no **377** statistical difference between our unsupervised and **378** guided approaches (p=0.481). **379**

Our guided attention approach performs best, im- **380** proving upon LEAMR on Subgraph (+0.5) and Re- **381** lation (+2.6). For Reentrancy, performance is rela- **382** tively low, and we will explore the reasons behind **383**

<span id="page-5-0"></span>

Deeplift  $GB$ Saliency  $\mathsf{lxG}$ Occlusion IG

Figure 3: Heatmap of Pearson's R correlation to LEAMR validation set for unsupervised (left) and guided (right) cross-attention weights as well as saliency methods (bottom).

 such scores later. Perhaps most interesting is the performance of the unsupervised alignment system using raw cross-attention weights from SPRING. It stays competitive against the guided model with- out having access to any alignment information. It outperforms LEAMR which, despite being un- supervised, relies on a set of inductive biases and rules based on alignments. While we also draw on specific rules related to the graph structure in post-processing, we will investigate their impact in an ablation study.

 Relations that are argument structures (i.e.:ARG and :ARG-of) usually depend on the predictions for their parent or child nodes; hence their improve- ment is tied to the Subgraph Alignment. The results in Table [2](#page-6-1) reassure this intuition. Notice how for Single Relations (such as :domain or :purpose in Figure [2\)](#page-4-0) the performance by LEAMR was much [l](#page-8-5)ower, even worse than that of ISI. [Blodgett and](#page-8-5) [Schneider](#page-8-5) [\(2021\)](#page-8-5) argued that it was due to the model being overeager to align to frequent prepo- sitions such as *to* and *of*. On the other hand, our unsupervised method achieves 15 points over ISI and 20 over LEAMR, which hints at the implicit knowledge on alignment that cross-attention en- codes. Our guided approach experiences a consid- erable drop for Single Relations since it was trained on data generated by LEAMR, replicating its faulty behavior albeit being slightly more robust.

When we test our systems against the ISI align- **413** ments instead, both our models achieve state-of-the- **414** art results, surpassing those of previous systems, **415** including LEAMR. This highlights the flexibility **416** of cross-attention as an standard-agnostic aligner. **417** We provide additional information in Appendix [B.](#page-11-5) **418**

# 9 Ablation **<sup>419</sup>**

To get further insights on the results we perform an **420** ablation study on: **421** 

Gold spans LEAMR relies on a span segmen- **422** tation phase, with a set of multiword expressions **423** as well as Stanza based named entity identification. **424** We use the same system in order to have matching **425** sentence spans, however these sometimes differ **426** from those in the gold data leading to errors. Top **427** of Table [3](#page-7-0) shows the performance when the gold **428** spans from the test set are used instead. We see how **429** performance improves across all systems and our **430** approach shows gains over LEAMR independent **431** of correct spans. **432**

Rules All modern alignment systems have a **433** certain dependency on rules. For instance, we use **434** the subgraph structure for Named Entities, certain **435** relations are matched to their parent or child nodes, **436** etc. See Appendix [A](#page-11-6) for more details. But what **437** is the impact of such rules? As expected, both **438** LEAMR and our unsupervised method see a con- **439** siderable performance drop. For Relation, LEAMR **440**

<span id="page-6-0"></span>

		<b>Exact Alignment</b>			<b>Partial Alignment</b>		<b>Spans</b>	Coverage	
		${\bf P}$	$\bf{R}$	F1	${\bf P}$	$\bf{R}$	F1	F1	
Subgraph	<b>ISI</b>	71.56	68.24	69.86	78.03	74.54	76.24	86.59	78.70
<b>Alignment</b>	<b>JAMR</b>	87.21	83.06	85.09	90.29	85.99	88.09	92.38	91.10
(1707)	<b>TAMR</b>	85.68	83.38	84.51	88.62	86.24	87.41	94.64	94.90
	<b>LEAMR</b>	93.91	94.02	93.97	95.69	95.81	95.75	96.05	100.00
	LEAMR <sup>+</sup>	93.74	93.91	93.82	95.51	95.68	95.60	95.54	100.00
	Ours - Unsupervised	94.11	94.49	94.30	96.03	96.42	96.26	95.94	100.00
	Ours - Guided - ISI	89.87	91.97	90.91	92.11	94.27	93.18	93.69	100.00
	Ours - Guided - LEAMR	94.39	94.67	94.53	96.62	96.90	96.76	96.40	100.00
<b>Relation</b>	<b>ISI</b>	59.28	8.51	14.89	66.32	9.52	16.65	83.09	9.80
<b>Alignment</b>	<b>LEAMR</b>	85.67	87.37	85.52	88.74	88.44	88.59	95.41	100.00
(1263)	LEAMR <sup>+</sup>	84.63	84.85	84.74	87.77	87.99	87.88	91.98	100.00
	Ours - Unsupervised	87.14	87.59	87.36	89.87	90.33	90.10	91.03	100.00
	Ours - Guided - ISI	83.82	83.39	83.61	86.45	86.00	86.22	87.30	100.00
	Ours - Guided - LEAMR	88.03	88.18	88.11	91.08	91.24	91.16	91.87	100.00
Reentrancy	<b>LEAMR</b>	55.75	54.61	55.17					100.00
<b>Alignment</b>	LEAMR <sup>†</sup>	54.61	54.05	54.33					100.00
(293)	Ours - Unsupervised	44.75	44.59	44.67					100.00
	Ours - Guided - ISI	42.09	39.35	40.77					100.00
	Ours - Guided - LEAMR	56.90	57.09	57.00					100.00
<b>Duplicate</b>	<b>LEAMR</b>	66.67	58.82	62.50	70.00	61.76	65.62		100.00
Subgraph	LEAMR <sup>†</sup>	68.75	64.71	66.67	68.75	64.71	66.67		100.00
<b>Alignment</b>	Ours - Unsupervised	77.78	82.35	80.00	77.78	82.35	80.00		100.00
(17)	Ours - Guided - ISI	63.16	70.59	66.67	65.79	73.53	69.44		100.00
	Ours - Guided - LEAMR	70.00	82.35	75.68	72.50	85.29	78.38		100.00

Table 1: LEAMR alignments results. Column blocks: models; Exact and Partial scores; Span and Coverage measures. Row blocks: alignment types, number of instances in brackets. † indicates our re-implementation. Guided versions using ISI/LEAMR silver alignments. Bold is best.

<span id="page-6-1"></span>

	<b>AMR</b> parser	P	R	F1
ALL	<b>ISI</b>	59.3	08.5	14.9
	LEARM †	84.6	84.9	84.7
	Ours - Unsupervised	87.1	87.6	87.4
	Ous - Guided - LEAMR	88.0	88.2	88.1
<b>Single</b>	ISI	82.9	52.1	64.0
<b>Relations</b>	LEARM <sup>†</sup>	64.8	55.7	59.9
(121)	Ours - Unsupervised	79.5	79.5	79.5
	Ous - Guided - LEAMR	77.5	64.8	70.5
Argument	<b>ISI</b>	39.6	03.5	06.4
Structure	LEARM +	86.6	88.2	87.4
(1042)	Ours - Unsupervised	87.9	88.4	88.2
	Ous - Guided - LEAMR	89.0	90.8	89.9

Table 2: LEAMR results breakdown for Relation Alignment. Column blocks: relation type; models; scores. † indicates our re-implementation. Bold is best.

**441** drops by almost 60 points, since it heavily relies on **442** the predictions of parent and child nodes to provide **443** candidates to the EM model. Our unsupervised approach also suffers from such dependency, los- **444** ing 25 points. However, our guided model is quite **445** resilient to rules removal, barely dropping by one **446** point on Subgraph and 5 on Relation. **447**

Layers Figure [3](#page-5-0) showed how alignment acts **448** differently across heads and layers. We explore **449** this information flow in the decoder by extracting **450** the alignments from the sum of layers at different **451** depths. The bottom of Table [3](#page-7-0) shows this for both **452** our unsupervised and guided models, as well as the **453** Saliency method. [3] indicates the sum of heads **454** in the supervised layer, while [3]\* is the learned **455** weighted mix. From our results early layers seem **456** to align more explicitly, with performance dropping **457** with depth. This corresponds to the idea that Trans- **458** former models encode basic semantic information **459** early [\(Tenney et al.,](#page-10-19) [2019\)](#page-10-19). While layers 7 and 8 did **460** show high correlation values, the cross-attention 461 becomes more disperse with depth, probably due to **462** each token encoding more contextual information. **463**

<span id="page-7-0"></span>

	<b>GOLD</b>		<b>Without Rules</b>		<b>Lavers</b>												
							<b>Unsupervised</b>				Guided						
	LEAMR +	Uns.		Guided   LEAMR $\dagger$	Uns.	Guided	Sal.	[0:4]	[4:8]	[8:12]	$[0:12]$ $[0:4]$		[4:8]	[8:12]	[0:12]	$\lceil 3 \rceil$	$[3]*$
Sub.	96.5	96.7	97.0	87.6	88.6	93.4	$\parallel$ 62.2	94.3	69.8	63.3	87.7	94.5	74.4	66.3	93.2	93.7	93.7
Rel.	87.1	89.2	90.3	26.6	60.1	83.4	50.0	87.7	72.7	61.6	84.5	88.1	73.8	62.5	87.9	86.2 85.9	
Reen.	56.8	46.7	59.0	15.2	38.6	57.0	34.5	44.7	41.1	36.1	41.9	57.0	39.2	33.0	51.0		52.7 53.4
Dupl.	62.9	80.0	75.7	40.0	71.8	73.7	9.5	80.0	11.1	27.3	64.3	75.9	30.0	27.3	66.7	70.3	70.3

Table 3: F1 results on Exact Alignment on ablation studies. Column blocks: alignment types; using gold spans; removing rules from the models; by layers. Guided approach using LEAMR silver alignments. † indicates our re-implementation. [x:y] indicates sum from layer x to y. \* indicates weighted head sum. Bold is best.

<span id="page-7-1"></span>

	R	F1
<b>JAMR</b>	92.7 80.1 85.9 92.1 84.5 88.1 85.9 92.3 89.0 95.4 93.2 94.3 96.3 94.2 95.2	
<b>TAMR</b>		
<b>LEAMR</b>		
<b>Ours - Unsupervised</b>		
<b>Ours - Guided</b>		

Table 4: ISI results. Column blocks: models, measures.

 ISI Table [4](#page-7-1) shows the performance of our systems and previous ones with the ISI alignment as reference. We omitted relations and Named Entities in order to focus solely on non-rule based alignments and have a fair comparison between sys- tems. Here, our aligner does not rely on any span- segmentation, hence nodes and spans are aligned solely based on which words and nodes share the highest cross-attention values. Still, Over the previ-ous systems, ours outperformed by over 5 points

#### <span id="page-7-2"></span>**<sup>474</sup>** 10 Error analysis

**475** We identify three main classes of errors that under-**476** mine the extraction of alignments:

 Consecutive spans Because each subgraph in LEAMR is aligned to a list of successive spans, the standard cannot correctly deal with transitive phrasal verbs. For example, for the verb "take off" the direct object might appear in-between ("take your jacket off"). Because these are not consecutive spans, we align just to "take" or "off".

 Rules We have a few rules to recognize sub- graph structures, such as Named Entities, and align them to the same spans. However, Named Entity structures contain a placeholder node indicating the entity type and when the placeholder node appears explicitly in the sentence, the node should not be part of the Named Entity subgraph. For example, when aligning *'Málaga', the city*, the placeholder node should be aligned to *city* while our model aligned it to *Málaga*.

**Reentrancy** Because all graph units in 494 LEAMR must be aligned, Reentrancy performs **495** the poorest compared to the other types. For ex- **496** ample, in the sentence *He wants to protect himself* **497** the primary node is *He* and there are two reen- **498** trant nodes, one referring to who protects – this **499** is omitted in the sentence – and the other one to **500** who is protected (*himself*). The LEAMR standard 501 aligns the non-omitted nodes to the sentence's spe- **502** cific word that reflects the meaning (*himself*) and **503** the omitted to the main verb (*protect*). However, **504** the unsupervised model fails to align the reentrant **505** nodes that is omitted in the sentence. On the other **506** hand the guided model sometimes fails to align  $507$ the node that appears explicitly in the sentence cor- **508** rectly. We blame this to the silver nature of the train **509** data, which propagates the LEAMR error which **510** usually just aligned these words to the verb. **511**

### 11 Conclusion and Implications **<sup>512</sup>**

In this paper we show for the first time how cross- **513** attention is closely tied to the concept of alignment **514** for Semantic Parsing in AMR. Both our unsuper- **515** vised and our guided attention systems outperform **516** previous alignment models. Moreover, our pro- **517** posed method uses the cross-attention from a state- **518** of-the-art parsing model, with no overhead com- **519** putation and without influence the performance **520** in the parsing task. The fact that our approach is **521** much more resilient to the lack of handcrafted rules **522** shows its capability as a standard-agnostic aligner, **523** opening the door to its use in other tasks such as **524** Machine Translation or Summarization. **525**

In the future, with the objective of obtaining the **526** first language-agnostic AMR aligner system, we **527** aim to explore its zero-shot capabilities on cross- **528** lingual AMR parsing. Furthermore, we are inter- **529** ested in perform an analysis about what are attend- **530** ing the attention heads that are not correlate to the **531** alignment information. **532**

## **<sup>533</sup>** 12 Ethical Considerations

 Regarding the ethical and social implications of our approach for AMR alignments, we do not believe it could have a negative impact. However meth- ods such as guiding cross-attention could introduce new ways to supervise a model in order to produce harmful or unwanted model predictions.

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# <span id="page-11-6"></span>**<sup>892</sup>** A LEAMR Alignment Rules

 The LEAMR standard has some predefined strate- gies for alignments that were followed during their annotation, as well as fixed in their alignment pipeline along EM. We kept a few of them when extracting the alignment, just those related to the structure of the graph, and not to token matching between the sentence and the graph.

## **900** A.1 Subgraph

- **901** Nodes *have-org-role-91* and *have-rel-role-91* **902** follow a fixed structure related to a person ie. **903** the sentence word *enemy* is represented as *per-*904 *son*  $\rightarrow$  *have-rel-role-91*  $\rightarrow$  *enemy*, therefore **905** for such subgraphs we use the alignment from **906** the child node.
- **907** Similarly for Named Entities, we align the **908** whole subgraph structure based on its child **909** nodes which indicate its surfaceform. How-**910** ever this leads to some errors as described in **911** Section [10.](#page-7-2)
- **912** We align node *amr-unknown* to the question **913** mark if it appears in the sentence.

## **914** A.2 Relations

**915** • For the relation *:condition* we align it to the **916** word *if* when it appears in the sentence.

- *:purpose* is aligned with *to* when in the sen- **917** tence. **918**
- *:ARGX* relations are aligned to the same span **919** as the parent node, while *:ARGX-of* to that **920** of the child, since they share the alignment of **921** the predicate they are connected to. **922**
- For *:mod* and *:duration* we use the alignment **923** from the child node. **924**
- For *:domain* and *:opX* we use the alignment **925** from the parent node. **926**

# <span id="page-11-5"></span>**B** Extra Results 927

## B.1 LEAMR Results **928**

We explore the variance with different seeds when **929** guiding cross-attention. Table [1](#page-6-0) reports on a single **930** seed selected at random. Table [5](#page-12-0) shows the results **931** for five different seeds as well as the average and **932** standard deviation. We observe some variance, **933** especially for those alignment types with fewer **934** elements; however, average performance is always **935** higher than previous approaches. **936** 

# <span id="page-11-3"></span>C Alignment Extraction Algorithm **<sup>937</sup>**

Algorithm [0](#page-11-3) shows the procedure for extracting the **938** alignment between spans in the sentence and the **939** semantic units in the graphs, using a matrix that **940** weights encoder tokens with the decoder tokens **941**

# <span id="page-11-4"></span>D AMR parsing **<sup>942</sup>**

Since our guided approach was trained with a dif- **943** ferent loss than the SPRING model, it could influ- **944** ence the performance in the Semantic Parsing task. **945** Therefore, we tested our model also in the AMR **946** parsing task using the test set of AMR 2.0 and **947** AMR 3.0. Table [6](#page-12-1) shows the result, where we can observe how our model preserves the performance **949** on parsing. **950**

# E Hardware 951

Experiments were performed using a single **952** NVIDIA 3090 GPU with 64GB of RAM and Intel® **<sup>953</sup>**  $Core^{TM}$  i9-10900KF CPU.  $954$ 

Training the model took 13 hours, 30 min per **955** training epoch while evaluating on the validation **956** set took 20 min at the end of each one. We selected **957** the best performing epoch based on the SMATCH **958** metric on the validation set. 959

<span id="page-12-0"></span>

			<b>Exact Alignment</b>		<b>Partial Alignment</b>	<b>Spans</b>		
		${\bf P}$	$\mathbf R$	F1	${\bf P}$	$\mathbf R$	F1	F1
Subgraph	Run 1	94.39	94.67	94.53	96.62	96.90	96.76	96.40
<b>Alignment</b>	Run 2	93.79	93.85	93.82	96.22	96.27	96.25	96.05
(1707)	Run 3	94.26	94.32	94.29	96.60	96.66	96.63	96.34
	Run 4	94.20	94.26	94.23	96.47	96.53	96.50	96.22
	Run 5	93.81	94.14	93.98	95.81	96.14	95.97	95.73
	Average	94.09	94.25	94.17	96.34	96.50	96.42	96.15
	Std	0.27	0.30	0.28	0.34	0.30	0.32	0.27
<b>Relation</b>	Run 1	88.03	88.18	88.11	91.08	91.24	91.16	91.87
<b>Alignment</b>	Run 2	87.90	88.36	88.13	90.71	91.18	90.95	91.87
(1263)	Run 3	88.61	88.61	88.61	91.44	91.44	91.44	91.95
	Run 4	88.39	88.61	88.50	91.02	91.25	91.14	91.66
	Run 5	88.59	88.44	88.52	91.24	91.08	91.16	91.86
	Average	88.30	88.44	88.37	91.10	91.24	91.17	91.84
	Std	0.32	0.18	0.28	0.27	0.13	0.17	0.05
Reentrancy	Run 1	56.90	57.09	57.00				
<b>Alignment</b>	Run 2	56.23	56.42	56.32				
(293)	Run 3	57.24	57.43	57.34				
	Run 4	55.56	55.74	55.65				
	Run 5	55.22	55.41	55.31				
	Average	56.23	56.42	56.32				
	Std	0.86	0.86	0.86				
<b>Duplicate</b>	Run 1	70.00	82.35	75.88	72.50	85.29	78.38	
Subgraph	Run 2	65.00	76.47	70.27	67.50	79.41	72.97	
<b>Alignment</b>	Run 3	70.00	82.35	75.68	70.00	82.35	75.68	
(17)	Run 4	73.68	82.35	77.78	76.32	85.29	80.56	
	Run 5	70.00	82.35	75.68	70.00	82.35	75.68	
	Average	69.74	81.17	75.06	71.26	82.94	76.65	
	Std	3.09	2.63	2.82	3.33	2.46	2.90	

Table 5: Results on the LEAMR alignment for 5 seeds on the guided approach. Column blocks: runs; measures. Row blocks: alignment types; average and standard deviation (std). Bold is best.

<span id="page-12-1"></span>

Table 6: AMR parsing ResultsBold is best.

## **<sup>960</sup>** F Data

**961** The AMR data used in this paper is licensed under **962** the *LDC User Agreement for Non-Members* for **963** LDC subscribers, which can be found [here.](https://catalog.ldc.upenn.edu/LDC2020T02) The *The Little Prince* Corpus can be found [here](https://amr.isi.edu/download.html) from **964** the Information Science Institute of the University **965** of Southern California. **966**

## G Limitations **<sup>967</sup>**

Even though our method is an excellent alterna- **968** tive to the current AMR aligner system, which is **969** standard and task-agnostic, we notice some draw- **970** backs when moving to other autoregressive models **971** or languages: **972**

Model In this work, we studied how Cross **973** Attention layers retain alignment information be- **974** tween input and output tokens in auto-regressive **975** Algorithm 1 Procedure for extracting the alignment between spans in the sentence and the semantic units in the graphs, using a matrix that weights encoder tokens with the decoder tokens.

1: function EXTRACTALIGNMENTS(encoderT okens, decoderT okens, scoreM atrix) 2:  $alignmentMap \leftarrow dict()$ 3:  $spansList \leftarrow$  SPANS(encoderTokens)  $\triangleright$  Extract sentence spans as in LEAMR 4:  $spanPosMap \leftarrow \text{TOK2SPAN}(encoderToken)$   $\triangleright$  Map input tokens to spans 5: graph $PosMap \leftarrow \text{TOK2NODE}(decoderTokens)$   $\triangleright$  Map output tokens to graph unit 6: COMBINESUBWORDTOKENS(scoreM atrix) 7: for  $decoderTokenPos, GraphUnit$  in graph $PosMap$  do 8: encoderTokensScores  $\leftarrow scoreMatrix[decoderTokenPos]$ 9:  $maxScorePos \leftarrow ARGMAX(encoderTokenScores)$ 10:  $alignmentMap[GraphUnit] \leftarrow \text{SELECTSPAN}(spansList, maxScorePos)$ 11: end for 12:  $fixedMatches \leftarrow GETFIXEDMATCHES(graphPosMap) \rightarrow Look for rule based matches$ 13:  $alignmentMap \leftarrow APPLYFIXEDMATCHES(alignment Map, fixed Matches)$ 14:  $alignments \leftarrow \text{FORMATALIGNMENT}(alignmentMap)$ 15: return alignments

## 16: end function

 models. In Section [7,](#page-3-1) we examined which layers in state-of-the-art AMR parser models based on BART-large best preserve this information. Unfor- tunately, we cannot guarantee that these layers are optimal for other auto-regressive models, and so on. As a result, an examination of cross-attention across multiple models should be required before developing the cross-lingual application of this ap-**984** proach.

 Sentence Segmentation It is necessary to apply LEAMR's Spam Segmentation technique to produce the alignment in LEAMR format (Section [5\)](#page-3-2). However, this segmentation method has several flaws: i) As stated in Section [10,](#page-7-2) this approach does not deal appropriately with phrasal verbs and consecutive segments; ii) the algorithm is English- specific; it is dependent on English grammar rules that we are unable to project to other languages. Therefore we cannot extract the LEAMR align- ments in a cross-lingual AMR parsing because we lack a segmentation procedure. However, although LEAMR alignment has this constraint, ISI align- ment does not require any initial sentence segmen-tation and may thus be utilized cross-lingually.