# Mining Word Boundaries from Speech for Cross-domain Chinese Word Segmentation

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#### **<sup>001</sup>** Abstract

 Inspired by early research on exploring natu- rally annotated data for Chinese Word Segmen- tation (CWS), and also by recent research on integration of speech and text processing, this work for the first time proposes to explicitly mine word boundaries from parallel speech- text data. We employ the Montreal Forced Aligner (MFA) toolkit to perform character- level alignment on speech-text data, giving pauses as candidate word boundaries. Based on detailed analysis of collected pauses, we propose an effective probability-based strategy for filtering unreliable word boundaries. To 015 more effectively utilize word boundaries as extra training data, we also propose a robust **complete-then-train (CTT) strategy. We con-** duct cross-domain CWS experiments on two target domains, i.e., ZX and AISHELL2. We have also annotated about 900 sentences as the evaluation data of AISHELL2. Experiments demonstrate the effectiveness of our proposed approach.

## 024 1 Introduction

 As a fundamental task in Chinese language pro- cessing, CWS aims to segment an input character sequence into a word sequence, since words, in- stead of characters, are the basic meaning unit in Chinese. Figure [1](#page-0-0) gives an example of the CWS task, along with the speech signals.

 With the rapid progress of deep learning tech- niques, especially the proposal of pre-trained lan- guage models like BERT [\(Devlin et al.,](#page-8-0) [2019\)](#page-8-0), CWS models have achieve very high performance when there is abundant training data from the same [d](#page-8-1)omain with the test data [\(Tian et al.,](#page-9-0) [2020;](#page-9-0) [Huang](#page-8-1) [et al.,](#page-8-1) [2020b\)](#page-8-1). Therefore, recent studies on CWS pay more attention to the cross-domain scenarios [\(Huang et al.,](#page-8-2) [2020a;](#page-8-2) [Ke et al.,](#page-8-3) [2021\)](#page-8-3).

**040** Meanwhile, considering the high cost of manu-041 ally annotating high-quality CWS data, it had been **042** an attractive research direction to explore naturally

<span id="page-0-0"></span>

Figure 1: An example of speech-text alignment data. The correct segmentation result is "有/人/在/细 细/地/倾听", translated as "some people is carefully listening".

annotated CWS data from different channels. For **043** instance, anchor texts in HMLT-format web docu- **044** ments imply reliable word boundaries [\(Jiang et al.,](#page-8-4) **045** [2013;](#page-8-4) [Yang and Vozila,](#page-9-1) [2014\)](#page-9-1); domain-aware dic- **046** tionaries can match words accurately in target do- **047** main texts [\(Liu et al.,](#page-8-5) [2014\)](#page-8-5). These studies illus- **048** trate that such information can be used as partial **049** annotations for training CWS models. **050**

Another interesting research line in recent years **051** is the multi-modal integration of speech and texts, **052** mainly due to the adoption of unified model archi- **053** tectures in both speech processing [\(Baevski et al.,](#page-8-6) **054** [2020;](#page-8-6) [Hsu et al.,](#page-8-7) [2021\)](#page-8-7) and NLP fields [\(Devlin](#page-8-0) **055** [et al.,](#page-8-0) [2019;](#page-8-0) [Lewis et al.,](#page-8-8) [2020\)](#page-8-8) in the deep learn- **056** ing era. These approaches can be broadly divided **057** into three categories, i.e., 1) using speech as extra **058** features for NLP [\(Zhang et al.,](#page-9-2) [2021\)](#page-9-2), 2) multi- **059** task learning (MTL) with cross-attention interac- **060** tion [\(Sui et al.,](#page-9-3)  $2021$ ), and 3) end-to-end language  $061$ analysis from speech [\(Chen et al.,](#page-8-9) [2022\)](#page-8-9). Among **062** these, a work [\(Zhang et al.,](#page-9-2) [2021\)](#page-9-2) is closely related **063** with ours. They extract extra features from speech 064 to enhance CWS on corresponding texts. **065**

Following previous Inspired by progress of re- **066** search directions discussed above, we propose for **067** the first time to explicitly utilizes pauses in speech **068** as word boundary annotations. The basic moti- **069**

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 vation is that when uttering a Chinese sentence, people often pause after finishing some complete meaning in the middle of the sentence, to breath or to make the speech easier to understand. Con- sidering that words are the basic meaning unit, we hypothesize that the pause information can be uti-lized to help CWS.

 Following previous works on cross-domain CWS, we employ Chinese Penn Treebank 5 (CTB5) [\(Xue et al.,](#page-9-4) [2005\)](#page-9-4) as the source domain, and use the widely used ZhuXian ("Jade Dynasty" in English, abbreviated as ZX) data as the target domain [\(Zhang et al.,](#page-9-5) [2014\)](#page-9-5). We collect and clean the parallel speech-text corpus of ZX for mining word boundaries. To more thoroughly evaluate the models, we use AISHELL2 as the second target domain, which is a publicly available data for auto- matic speech recognition (ASR) [\(Du et al.,](#page-8-10) [2018\)](#page-8-10). The contributions of our work are as follows.

- **089** We have manually annotated about 900 sentences **090** as the dev/test evaluation data for the AISHELL2 **091** domain.
- **092** We employ the MFA toolkit [\(McAuliffe et al.,](#page-8-11) **093** [2017\)](#page-8-11) to perform character-level alignment on **094** speech-text corpora, and conduct detailed analy-**095** sis on the collect pauses.
- **096** We propose an effective probability-based strat-**097** egy for filtering unreliable word boundaries, and **098** a robust CTT strategy to make use of the word **099** boundaries as naturally annotated data.
- **100** Experiments on both ZX and AISHELL demon-**101** strate the effectiveness of our proposed approach.

**102** Our code and newly annotated data will be released **103** at <github>.

# **<sup>104</sup>** 2 Mining Word Boundaries from Speech

 This section describes how we collect speech pauses from parallel speech-text data, which con- sists of two steps. First,we prepare parallel speech- text data. Second, we utilize a GMM-HMM based model to obtain character-level speech-text align- ments. Based on the alignments, we can obtain the pause duration between characters. Finally, we conduct detailed analysis on pauses and propose a simple filtering strategy to keep reliable pauses as word boundaries.

<span id="page-1-0"></span>

Table 1: Statistics of data used in our experiments.  $p^B$ means the probability threshold for filtering pauses.

## 2.1 Preparing Speech-Text Parallel Data **115**

In this work, we use CTB as the source domain and **116** employ two target-domain datasets. Table [1](#page-1-0) shows **117** the data statistics. **118** 

(1) ZX. The first dataset is the ZhuXian (ZX) **119** dataset for the web fiction domain, which was con- **120** structed by [Zhang et al.](#page-9-5) [\(2014\)](#page-9-5) and has been widely **121** used in previous works on cross-domain word seg- **122** mentation [\(Liu and Zhang,](#page-8-12) [2012;](#page-8-12) [Ding et al.,](#page-8-13) [2020;](#page-8-13) **123 [Jiang et al.,](#page-8-14) [2021\)](#page-8-14)** 124

The ZX dataset contains about 5K sentences in **125** total.[1](#page-1-1) The ZhuXian fiction consists of about 30K **<sup>126</sup>** sentences in total. In this work, we manage to de- **127** rive word boundaries from speech for the remaining **128** sentences that are not included in ZX-dev/test. **129** 

There are several versions of audio books for **130** the ZhuXian fiction on the Internet, in which some **131** reader reads aloud the texts. We select one version **132** that is of high quality and has little background **133** music. All audios are processed to be at a sampling **134** frequency of 16kHz. **135**

Cleansing. We apply several data cleansing or **136** filtering strategies to improve the data quality. (1) **137** Numbers like "1200" are transformed into their **138** Chinese character form like "一千两百" (one thou- **<sup>139</sup>** sand and two hundred). (2) Silent and special 140 symbols in the texts like punctuation marks are **141** removed. (3) Irrelevant blanks or noises in the **142**

<span id="page-1-1"></span> $1$ Among them, 2,373 sentences are reserved for training, but usually are not used in cross-domain experiments.

 beginning or end of the audio are removed. (4) Au- dios with background music are discarded. Finally, we collect 246 audio files amounting to 144 hours, each corresponding to a chapter of the fiction.

 (2) AISHELL2. For the second domain, we adopt the AISHELL2 [\(Du et al.,](#page-8-10) [2018\)](#page-8-10) mandarin Chinese speech corpus, which contains about 1,000 hours of high-quality audio, corresponding to about 151 one million transcription sentences.<sup>[2](#page-2-0)</sup> The corpus covers 12 different domains that are closely related with application of speech recognition in smart home, autonomous driving, industrial production, **155** etc.

 One major feature of the AISHELL2 data, whose major use is as training data for ASR, is that the transcription texts do not contain punctuation marks. In fact, outputs of ASR models usually do not contain soundless symbols in written texts, including punctuation marks.

 Instead of injecting punctuation marks in AISHELL2 transcription texts, which would be highly time-consuming and prone to annotation er- rors, we decide to perform word segmentation on transcription texts directly. We believe this is an interesting and useful scenario for word segmenta- tion research. Text normalization procedures such as filling punctuation marks may be applied over the output word sequence.

 To alleviate the mismatch between the AISHELL2 data and the source-domain training data, i.e., CTB, regarding punctuation marks, we employ a simple strategy that can boost the performance of the baseline model by large margin. For each sentence in CTB-train, we remove the punctuation marks in the sentence. With this strategy, the trained model can handle transcription texts well.

 To evaluate the model on AISHELL2, we have manually annotated about 900 sentences in the original AISHELL2-dev/test, and use them as the dev/test evaluation datasets. We present more de-tails about data annotation in Section [4.1.](#page-5-0)

#### **185** 2.2 Character-level Speech-Text Alignment

 In this paper, we try to derive word boundaries from speech based on pause information. The intuition is that if the speaker pauses for some time after uttering a char, then there may be a word boundary after the char. The key challenge for implementing

this idea is how to obtain accurate character-level **191** alignments between speech signals and the corre- **192** sponding sentence.

In the past decade, end-to-end Transformer **194** based models have become the dominate ASR **195** [a](#page-8-15)pproach due to its superior performance [\(Gulati](#page-8-15) **196** [et al.,](#page-8-15) [2020;](#page-8-15) [Zhang et al.,](#page-9-6) [2023;](#page-9-6) [Pratap et al.,](#page-8-16) [2023\)](#page-8-16). **197** With an extra connectionist temporal classification 198 (CTC) component, the model can explicitly pro- **199** duce alignment. However, our early experiments **200** reveal that the Transformer-CTC based models suf- **201** fer from a severe peak alignment issue, meaning **202** that every character is usually aligned to a single **203** speech frame, leaving most of the frames aligned **204** to blanks. This finding is consistent with previous **205** results [\(Senior et al.,](#page-9-7) [2015;](#page-9-7) [Zeyer et al.,](#page-9-8) [2021\)](#page-9-8). **206**

Instead, we employ the MFA toolkit with its **207** GMM-HMM implementation to obtain alignment **208** between text and speech [\(McAuliffe et al.,](#page-8-11) [2017\)](#page-8-11). **209** We employ both monophone and triphone GMMs. 210

Given a speech, we use the default frame window **211** length of 25ms and the default frame offset of 10ms. **212** For each frame, the acoustic features are the stan- **213** dard Mel-frequency cepstral coefficients (MFCCs). **214** Formally, we represent speech as  $x = x_0...x_i...x_n$ , 215 where  $x_i$  is an MFCC feature vector, and the corre-  $216$ sponding transcription as  $y = y_0...y_i...y_m$ , where 217  $y_i$  denotes a token. The objective of GMM-HMM  $218$ is two fold: 1) to determine which phonemes corre- **219** spond to a token, and 2) to determine which frames **220**  $(e.g., x_k...x_l)$  correspond to a phoneme. Combining the results, we can obtain the time range **222** for each token. The model works under the un- **223** supervised scenario, and apply the expectation- **224** maximization (EM) algorithm [\(Moon,](#page-8-17) [1996\)](#page-8-17) on **225** the training speech-text pairs. **226**

We continue training the pre-trained mandarin **227** model in the MFA toolkit<sup>[3](#page-2-1)</sup> using our parallel  $228$ speech-text data at hand, either ZX or AISHELL2. **229** In our context, a token  $y_i$  corresponds to a charac-  $230$ ter.<sup>[4](#page-2-2)</sup> Suppose  $y_i$  is aligned to  $x_{b_i}...x_{e_i}$ , also denoted 231 as  $(b_i, e_i)$ , where  $b_i$  and  $e_i$  are the beginning and 232 end indices of frames. Then we can calculate the **233** pause duration between two adjacent characters, **234**

<span id="page-2-0"></span> $2$ We sincerely thank the Beijing AISHELL Technology Co., Ltd for sharing the data.

<span id="page-2-1"></span><sup>3</sup> [https://mfa-models.readthedocs.io/en/latest/](https://mfa-models.readthedocs.io/en/latest/acoustic) [acoustic](https://mfa-models.readthedocs.io/en/latest/acoustic)

<span id="page-2-2"></span><sup>&</sup>lt;sup>4</sup>By default, the mandarin model in the MFA toolkit can only perform alignment at the word level, since the acoustic dictionary is word-based and polyphonic characters only have one entry, corresponding to the most frequent pronunciation. To handle this issue, we extend the acoustic dictionary by leveraging a Pinyin-based Chinese lexicon (both words and characters). We will release the related resource and the scripts.

235 for instance  $y_i$  and  $y_{i+1}$  as follows.

236 
$$
d(y_i, y_{i+1}) = (b_{i+1} - e_i) \times 10ms \qquad (1)
$$

**237** Figure [1](#page-0-0) gives an example. There are two pauses **238** in the sentence, with duration of *230ms* and *110ms* **239** respectively.

#### <span id="page-3-1"></span>**240** 2.3 Filtering Pauses

 At the beginning, our plan was to filter unreliable word boundaries based on a global pause duration 243 threshold. For instance, if  $d(y_i, y_{i+1}) < 50$ ms, then we discard the pause and not consider it as a boundaries. In other words, we only keep pauses 246 with  $d(y_i, y_{i+1}) \ge 50$ *ms* as boundaries. However, our analysis shows that pauses with short duration are equally helpful.

 Then we turn to another simple probability- based filtering strategy. The idea is to let the base- line model trained on the source-domain data (i.e., CTB) to judge. If the baseline model has a very small probability to support the boundary, then we discard it.

 Following previous works, we adopt the BERT- based CRF model as our baseline model, and em- ploy the label set of {B, M, E, S}, meaning "be- ginning", "middle", "end", and "single-char", re- spectively. Given an input char sequence y =  $y_0...y_m$ , we denote a label sequence as  $z = z_0...z_m$ . The marginal probability of a label bigram at given 262 positions i and  $i + 1$ , for instance  $E_S$ , is:

$$
p(\mathsf{E}_-\mathsf{S}|\mathbf{y},i) = \sum_{\mathbf{z}:z_i=\mathsf{E},z_{i+1}=\mathsf{S}} p(\mathbf{z}|\mathbf{y}).\tag{2}
$$

**264** Then the probability that there is a boundary 265 between  $y_i$  and  $y_{i+1}$  is:

266 
$$
p^{B}(\mathbf{y}, i) = \sum_{l \in \{S_S, S_S, E_S, E_S, E_B\}} p(l|\mathbf{y}, i).
$$
 (3)

**267** And the probability that there is no boundary is:

268 
$$
1 - p^{B}(\mathbf{y}, i) = \sum_{l \in \{B_{\text{L}}, M_{\text{L}}, B_{\text{L}}, M_{\text{L}}, M_{\text{L}}, E\}} p(l|\mathbf{y}, i).
$$
 (4)

**269** Please note that illegal label bigrams (a.k.a. illegal **270** transitions) such as B\_B are forbidden and always **271** get zero probability.

**272** According to our experiments and analysis, our 273 final approach keeps all pauses having  $p^B \ge 0.1$ , **274** regardless of the pause duration.

<span id="page-3-0"></span>

Figure 2: Statistics of pauses regarding probability/accuracy of being boundaries and duration distribution. Probabilities are grouped into four bins, i.e.,  $[0.0, 0.1), [0.1, 0.9), [0.9, 1.0),$  and  $[1.0,).$  The overall percentage means the proportion of pauses belonging to a given probability bin against all pauses. Pause durations are divided into four bins, i.e., [10, 50), [50, 150), [150, 500), and [500,), in the unit of *ms*. Given a probability bin, the internal percentage means the proportion of pauses belonging to a given duration bin against all pauses in the probability bin. For the ZX data, accuracy means the proportion of pauses that are really word boundaries according to further verification.

# 2.4 Analysis of Pauses **275**

The lower part of Table [1](#page-1-0) presents the overall statis- **276** tics of pauses in both ZX and AISHELL2, with and **277** witout filtering. One notable difference between **278** the two datasets is that pauses are much sparser **279** in the latter. Almost all sentences in ZX contain **280** pauses ( $\geq 10ms$ ), and for sentences that do contain 281 pauses, the average number of pauses is about 8. In **282** contrast, less than 40% of sentences in AISHELL2 **283** contain pauses, and the average number is only 1.7. **284** We believe the major reason is that the sentences **285** are much longer in ZX than in AISHELL2. Each **286** sentence contains about 25 words in average in the **287** former, while only about 7 in the latter. **288**

Figure [2](#page-3-0) provides more details about the pauses. **289** We group probability of  $[0.1, 0.9)$  into one bin for  $290$ two reasons. First, the total percentage of pauses **291** falling into the bin is still not high. Second, pauses **292** in the bin scatter quite evenly in terms of proba- **293**

**315**

**294** bility. Our experiments show that despite the low **295** overall percentage, pauses in this bin are quite valu-**296** able for improving model performance.

 From the aspect of *overall percentage*, the most notable difference is that the percentages for the first two probability bins, i.e., [0.0, 0.1) and [0.1, 0.9), are much higher in AISHELL2 than in **ZX**  $(2.7 \rightarrow 15.7 \text{ and } 0.4 \rightarrow 2.6)$ .

 From the aspect of *internal percentage*, we can see that pauses of different duration bins have similar distribution in the four probability bins in AISHELL2. In contrast, in ZX the percentages of smaller pause duration, i.e., [10, 50) and [50, 150), decrease consistently as the probability increases.

 For ZX, we also manage to report the accuracy for each probability bin, in order to gain more in- sights. Instead of performing manual annotation, we notice that the ZX data with WS annotations are a part of the transcription texts and thus eval- uate the accuracy of pauses as word boundaries over the overlapping sentences, using annotated WS information as the gold-standard.<sup>[5](#page-4-0)</sup>

 It is clear that accuracy increases consistently as the probability becomes higher. Most of pauses falling into the [0.0, 0.1) bin are incorrect bound-aries, and thus should be excluded.

 Pauses with high probability, i.e., [0.9, 1.0) and [1.0,), have almost perfect accuracy and should be included. However, from the perspective of model training, we suspect that this part may not be very useful, since the baseline model is already quite certain about these boundaries.

 Most importantly, pauses in the [0.1, 0.9) have 79.3% accuracy, which is much higher than that for the [0.0, 0.1) bin. Our experiments show that these pauses are very useful for the model.

### **<sup>330</sup>** 3 Utilizing Pauses as Word Boundaries

 Word boundaries as naturally annotated CWS data. In fact, quite a few previous studies try to explore word boundaries from different chan- nels and use them as naturally annotated CWS data [\(Jiang et al.,](#page-8-4) [2013;](#page-8-4) [Liu et al.,](#page-8-5) [2014;](#page-8-5) [Yang and Vozila,](#page-9-1) [2014\)](#page-9-1). Under a sequence labeling framework, word boundaries can be naturally treated as partial an- notations and used to construct a constrained label **339** space.

<span id="page-4-1"></span>

Figure 3: Constrained label space for the sentence in Figure [1,](#page-0-0) in which we obtain two boundaries "有人/在 细细地/倾听". Illegal labels are marked as gray. The red thick lines present a legal path that may be selected by a model.

Figure [3](#page-4-1) gives an example. Due to the boundary **340** "人 (people)/在 (is)", the left-side char can only **<sup>341</sup>** be either a single-char word or the end of a word, **342** where as the right-side char can only be either a 343 single-char word or starting a word. A similar 344 explanation goes to the second boundary. **345**

#### 3.1 Problem with the Partial-CRF strategy **346**

To make use of partially annotated training samples, **347** shown in Figure [3,](#page-4-1) we first employ the partial-CRF 348 strategy [\(Liu et al.,](#page-8-5) [2014\)](#page-8-5), which is theoretically 349 elegant. The basic idea is that instead of maximiz- **350** ing the probability of a single gold-standard label **351** sequence, the training objective is to maximize the **352** sum of probabilities of all legal paths in the con- **353** strained space, which can be efficiently computed **354** via a variant Forward algorithm. **355**

However, our experiments show that this strategy **356** performs terribly when the model is trained on both **357** CTB-Train and the target-domain data with only **358** boundaries. Further analysis show that the models **359** predicts an extreme high percent of the S label to **360** the target-domain sentences (i.e., most words being **361** single-char). We suspect the major reason is that all 362 characters in the constrained space can be labeled **363** as "S" tags, as shown in Figure [3,](#page-4-1) and the model **364** fails to transfer from CTB to the target domain the **365** knowledge of when/how to compose multi-char **366 words.** 367

## 3.2 The Complete-Then-Train (CTT) Strategy **368**

To address the above issue, we present a simple **369** yet effective CTT strategy. The basic idea is con- **370** verting partial annotations into full annotations by **371** letting a basic model select an optimal sequence **372** in the constrained space. Figure [4](#page-5-1) illustrates the **373** strategy, consisting of three steps. First, we train a **374** CWS model (i.e., baseline) on the basic CWS train- **375** ing dataset without using naturally annotated data. **376**

<span id="page-4-0"></span> ${}^{5}$ Due to several factors, including transcription mistakes, difference in the fiction versions, difference in sentence segmentation procedures, etc, we collect about 2K overlapping sentences that appear both in the transcription texts and the ZX evaluation data.

<span id="page-5-1"></span>

Figure 4: The CTT training strategy.

 Second, we employ the basic model to complete partial annotations into full ones. More concretely, the basic model selects an optimal label sequence from the constrained space via constrained Viterbi decoding. For example, we suppose the model se- lects the path marked by red thick lines in Figure [3.](#page-4-1) Finally, we use both basic CWS data and completed data to train the full model.

## **<sup>385</sup>** 4 Experiments

# <span id="page-5-0"></span>**386** 4.1 Annotation Details for AISHELL2

 Upon release, the AISHELL2 data sets aside 2,500 sentences and 3,000 sentences, serving as the dev and test sets, respectively. We apply the baseline models and our full models to the 5,500 sentences. From the sentences that receive different results from a baseline model and a full model, we ran-domly select 900 sentences for manual annotation.

 Two postgraduate students participate the data annotation. Our annotation process consists of two stages. At the first stage, each sentence is anno- tated by the two annotators, and the differences are resolved by further discussion. During this stage, the annotators becomes familiar with the segmenta- tion guidelines of CTB [\(Xia,](#page-9-9) [2000\)](#page-9-9). At the second stage, one annotator (the first author of this sub- mission) annotates all left sentences. We plan to annotate more sentences to make the experiment conclusions more solid.

 To speed up annotation, we provide the results of the two models with differences highlighted. Meanwhile, the models' results are randomly given, so that the annotator cannot tell which results are from which model, avoiding the risk of favoring our own approach. Table [2](#page-5-2) illustrates the annotation **411** process.

<span id="page-5-2"></span>

Item	Sentence
	邀请上朋友办个晚宴 Invite friends to host a dinner party
Results of Model 1	邀*/请上*/朋友/办/个/晚宴/ Invite / please up / friends / to host / a / dinner party
Results of Model 2	邀*/请*/上*/朋友/办/个/晚宴/ Invite / please / up / friends / to host / a / dinner party
<b>Annotation Results</b>	邀 请 / 上 / 朋 友 / 办 / 个 / 晚 宴 / Invite / friends / to host / a / dinner party

Table 2: Illustration of the annotation process of the AISHELL2 dev/test data. Please notice that results of models are randomly given, so that the annotator cannot favor our own approach.

After removing sentences that cannot be labeled **412** due to noise or transcription errors, we obtain 881 **413** sentences in total. We split them into a dev set and  $414$ a test set. Table [1](#page-1-0) shows the data statistics. **415**

## 4.2 Settings **416**

For the evaluation, we employ the standard metrics 417 of precision (P), recall (R), and the F1 score. **418**

As discussed in Section [2.3,](#page-3-1) we regard CWS as a **419** sequence labeling task and employ the BERT-CRF **420** baseline model.[6](#page-5-3) We use AdamW with an initial **<sup>421</sup>** learning rate of 5e-5, and a mini-batch size of 1000 **422** characters. The dropout ratio is 0.1 for all models. **423** We train each model for 10 epochs. **424** 

Following previous works on cross-domain word **425** segmentation on ZX, we use CTB5-train as the **426** training data, and use the target-domain dev data to **427** select the best epoch number. **428** 

To be more convincing, we train each model **429** three times with three different random seeds and **430** present the average and standard deviation.[7](#page-5-4)

**431**

#### 4.3 Results **432**

Table [3](#page-6-0) presents the main results. Compared with **433** previous results on ZX, our baseline model already **434** achieve very good performance. **435**

Most importantly, we can see that our final **436** models using filtered pauses as word boundary **437**  $(p^B \ge 0.1)$  achieves significant improvement boost 438 by 0.45 and 1.44 in F1 score on ZX-test and **439** AISHELL2-test, respectively, compared with the **440** baseline models. **441**

<span id="page-5-4"></span><span id="page-5-3"></span><sup>6</sup> <https://huggingface.co/bert-base-chinese>  $\sigma^7 \sigma = \sqrt{\frac{1}{n-1}\sum_{k=1}^n (x_i - \bar{x})^2}$ 

<span id="page-6-0"></span>

Table 3: Main results on both datasets.

**442** Effect of filtering pauses. Compared with the **443** results of models without filtering pauses, our final 444 models ( $p^B \ge 0.1$ ) are consistently superior in F1 **445** scores.

 Usefulness of pauses with probability of [0.1, 0.9). On the one hand, compared with using  $p^B \ge 0.9$ , our final models ( $p^B \ge 0.1$ ) are con- sistently superior in F1 scores. On the other hand, compared with not filtering pauses, the models only 451 using pauses of  $p^B \ge 0.9$  have even lower F1 score in ZX-test and AISHELL2-test. These two aspects reflect the usefulness of pauses with probability of [0.1, 0.9).

# **<sup>455</sup>** 5 Related Works

# **456** 5.1 Integrated Speech and Text Processing

 In the deep learning, the Transformer-based model architecture becomes popular in both speech pro- cessing and NLP fields. The same architecture makes it convenient to process speech and textual data in an integrated manner. Intuitively, speech and text can provide complementary useful fea- tures. We summarize recent works into three cate-**464** gories.

**465** (1) Speech as extra features for NLP. The most **466** straightforward way is to extract features from **467** speech and use them as extra inputs for an NLP model. [Zhang et al.](#page-9-2) [\(2021\)](#page-9-2) present an interesting **468** pioneer effort and use speech features to help CWS, **469** which is closely with our work. Their approach re-  $470$ quires parallel speech-text data in both training and **471** test phases, with WS annotations and the character- **472** frame alignments. They manually annotate 250 **473** sentences and split them into training-test data. Ex- **474** periments show that extra speech features are bene- **475** ficial. **476**

Different from their work, ours emphasis on the **477** use of pause information in speech. We do not **478** need WS annotations for the text data and automat- **479** ically derive character/frame alignments. In the test **480** phase, our CWS model performs on on text data, **481** rather than parallel speech-text data. **482**

(2) MTL with cross-attention interaction. **483** Given parallel speech-text data, [Sui et al.](#page-9-3) [\(2021\)](#page-9-3) 484 present a multi-task learning approach that per- **485** forms NER and ASR at the same time. They first **486** use separate encoders for the two types of inputs, **487** and then employ the cross-attention mechanism to **488** achieve multi-model interaction. **489**

(3) End-to-End language analysis from speech. **490** Several works propose to directly derive language **491** analysis results from speech inputs in an end-to- **492** end manner. [Ghannay et al.](#page-8-20) [\(2018\)](#page-8-20) embed NE **493** labels into texts and train a model that transcribes **494**

 speech into texts and treats NE labels as normal tokens. They conduct experiments on French NER. [Yadav et al.](#page-9-10) [\(2020\)](#page-9-10) applie the approach to English NER and propose a new label embedding scheme. [Chen et al.](#page-8-9) [\(2022\)](#page-8-9) present a Chinese datasets of parallel speech-text data with NE annotations, and systematically compare the pipeline and end-to-end approaches.

 [Wu et al.](#page-9-11) [\(2022\)](#page-9-11) propose an end-to-end rela- tion extraction model that transcribes speech into (entity, entity, relation) triples, and totally ignores the full text (not performing ASR). However, their experiments show that the end-to-end approach is inferior to the pipeline model, i.e., first ASR and then relation extraction on texts.

 Utilizing speech pauses. [Fleck](#page-8-21) [\(2008\)](#page-8-21) make use of speech pauses to help English ASR, and more specifically to help transforming phonemes into words. The pauses are output by a previous ASR component and are embedded in the phoneme se- quence. They propose to use the pauses to segment the phoneme sequence into several fragments and transform them into words separately.

## **518** 5.2 Naturally annotated CWS data

 Mining naturally annotated data. Previous studies try to mine naturally annotated CWS data from different channels. [Jiang et al.](#page-8-4) [\(2013\)](#page-8-4) hy- pothesize that anchor texts (i.e., for hyperlinks) in HTML-format web documents are very likely to correspond to complete meaning units, and thus can be explored to obtain at least two word boundaries. In the cross-domain scenario, [Liu et al.](#page-8-5) [\(2014\)](#page-8-5) use a domain-related dictionary and perform maximum matching on unlabeled target-domain text, treating matched texts as annotated words.

 Utilizing naturally annotated data. Above nat- urally annotated data are in two forms. In the first form, some word boundaries in the sentence are given, whereas in the second, some words are given. Both forms can be treated as partial annotations, in contrast to full annotations, and be encoded as constrained label space as shown in Figure [3.](#page-4-1)

 [Jiang et al.](#page-8-4) [\(2013\)](#page-8-4) proposes a constrained de- coding approach to learn from partially annotated data with word boundaries. They use a max-margin training loss. For each training sentence, they first obtain an optimal label sequence from the con- strained space and use it as gold-standard reference in an online fashion.

Some researchers employ the CRF [\(Liu et al.,](#page-8-5) **544** [2014;](#page-8-5) [Yang and Vozila,](#page-9-1) [2014\)](#page-9-1) to extend the loss **545** for learning from partial/incomplete annotations. **546** In this work, we also use this approach, but obtain **547** inferior performance probably due to the issue of **548** pervasive "S" labels. We propose a simple yet **549** effective CTT strategy. **550**

# 6 Conclusion **<sup>551</sup>**

This paper for the first time proposes to explicitly **552** mine word boundaries from speech-text data as **553** extra naturally annotated training data for cross- **554** domain CWS. Initially, we collect speech-text data **555** from the web fiction domain (ZX) and annotate **556** a part of original AISHELL2-dev/test datasets for **557** CWS evaluation. Secondly, we perform character- **558** level alignment on the speech-text data to mine **559** word boundaries. Thirdly, we employ the base- **560** line to calculate the marginal probability of word **561** boundaries. By analyzing the accuracy across four **562** probability range, we filter out word boundaries **563** with probabilities lower than 0.1. Finally, we employ a CTT method to leverage mined word bound- **565** aries as extra training data to improve CWS model **566** performance in cross-domain scenarios. Our ex- **567** periments demonstrate that mined word boundaries **568** significantly enhance CWS via the CTT method. **569** Upon analysis, we find that filtering boundaries is  $570$ crucial to the efficacy of the CTT method. **571**

# Limitations **<sup>572</sup>**

We believe our work has built a solid foundation **573** for future research on this direction. Meanwhile **574** we are aware that our work is limited in and can be  $575$ improved from several aspects. **576**

First, our approach relies on accurate character- **577** level alignment between speech and texts. So **578** far, we use MFA as a black-box and our early **579** trails showed that the end-to-end Transformer-CTC **580** model is inferior. Therefore, our proposed ap-<br>581 proach may be more effective with improved align- **582** ment quality. 583

Second, this work only utilizes pauses detected **584** by character-level aligner to derive word bound- **585** aries, but ignore other rich features in speech. For **586** example, intonation or pitch change may also be **587** helpful. **588**

Finally, as discussed in [4.1,](#page-5-0) we plan to annotate **589** more evaluation data for AISHELL2 to make the **590** experiments more solid. **591**

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