# MULTIMODAL RETRIEVAL-AUGMENTED GENERA-TION QUESTION-ANSWERING SYSTEM

Anonymous authors

Paper under double-blind review

#### ABSTRACT

Retrieval-Augmented Generation (RAG) combines the richness of external knowledge bases with the generative capabilities of large language models (LLMs) to provide users with more accurate and real-time responses. However, in the era of information explosion, the way information is presented is increasingly becoming multimodal. Users are no longer satisfied with the information provided by traditional text-based knowledge bases, making the construction of an efficient and accurate multimodal RAG question-answering system of significant theoretical and practical importance. To address these issues, this paper proposes an innovative RAG question-answering system: this approach pre-designs a rich dataset containing images, text, and question-answer pairs from external knowledge bases for subsequent model training, effectively improving the training quality of the model; it builds a cross-modal retrieval model from text to images, ensuring precise matching between document content and corresponding images, significantly reducing the complexity and processing time of locating relevant images within long texts. Furthermore, the retrieval model and the multimodal question-answering model are integrated to construct an efficient and accurate RAG question-answering system. Experimental results show that this system not only effectively simplifies the document formatting process and improves text-toimage retrieval accuracy but also exhibits comprehensive performance in handling multimodal data.

029 030 031

032

004

006

008 009

010 011

012

013

014

015

016

017

018

019

021

024

025

026

027

028

#### 1 INTRODUCTION

033 Large Language Models (LLMs) have demonstrated remarkable success in performing question-034 answering tasks (Brown et al., 2020). By training on vast datasets, these models leverage their extensive parameterized memory to generate query responses that meet user requirements (Kojima 036 et al., 2023). However, no training dataset can fully encompass all domains or address critical de-037 tails, particularly in the current era of rapid data growth, where data iteration occurs at an exponential 038 rate and information is increasingly presented in multimodal formats. As a result, when LLMs are required to respond to the latest information or handle knowledge-intensive questions with ambiguous factual grounding (Petroni et al., 2021), they may produce responses that are inconsistent with 040 reality, sometimes even generating answers based on hallucinated knowledge (Huang et al., 2023). 041

Retrieval-Augmented Generation (RAG) (Lewis et al., 2021) has gradually become a mainstream solution in the industry to address these issues. By integrating information retrieved from external databases into the model's context (Gao et al., 2023), this approach effectively reduces factual errors in LLMs when tackling knowledge-intensive tasks. It not only enables efficient access to external, rich knowledge bases but also helps LLMs incorporate knowledge in a timely and accurate manner before responding.

Of course, this method has its limitations. The current retrieval modules often fail to achieve the desired precision for specific tasks. For instance, when answering complex questions, this method frequently requires retrieving relevant information from multiple documents to ensure that the context contains the necessary information for the response (Petroni et al., 2021). This practice increases the input length for LLMs, introducing additional delays in encoding lengthy retrieval documents and posing complex inference challenges (Ding et al., 2023). Furthermore, external databases often contain a wealth of diverse information, such as images, tables, and complex charts. The intri-

cate information embedded in charts is often difficult to convey effectively through simple textual descriptions(Kembhavi et al., 2016), and the brevity of annotations further complicates understanding. This makes it challenging for traditional text-based retrieval methods to provide precise answers when handling and interpreting multimodal data, thereby affecting the overall performance of question-answering systems. Therefore, enhancing the retrieval and generation capabilities to handle multimodal information is crucial to improving the accuracy of question-answering systems(Li et al., 2019).

In summary, to address the aforementioned issues, this paper proposes an innovative multimodal
 retrieval-augmented generation question-answering system. The system integrates both a text-image
 retrieval module and a visual multimodal model, aiming to overcome the limitations of traditional
 frameworks in processing chart information and to enhance the accuracy of generated answers by
 pre-parsing documents. The main contributions of this paper are threefold:

- 066 067
  - 068 069 070

071

073

075

076

077

078

079

• Construction of a high-quality dataset: This paper designs a high quality dataset (IMG, MD\_test, QA)containing images, text, and question-answer pairs in advance, suitable for training multimodal models, thereby improving the system's performance in multimodal scenarios.

- Development of a text-image retrieval model: By training a retrieval model that matches text with visual information, the system achieves precise alignment between textual content and images, effectively reducing the complexity and processing time involved in locating relevant images in long texts.
- Integration of a multimodal question-answering system: This system combines crossmodal retrieval models with multimodal question-answering models to build a visual document-based multimodal RAG system. The system aims to simplify traditional document processing workflows, avoiding complex preprocessing steps (such as document parsing, OCR layout analysis, and text chunking) that may introduce errors and lead to inaccurate information transmission. It significantly simplifies the RAG document processing flow and directly answers user queries based on image content.
- 081 082 **2** DEI
- 083

### 2 RELATED WORK

084 **Retrieval-Augmented Generation** In text-image retrieval tasks, models must align visual and 085 textual information for effective cross-modal matching(Lu et al., 2019). Recent advancements have improved feature alignment, retrieval efficiency, and adaptability in multilingual contexts (e.g., Chi-087 nese). Recent work (Chen et al., 2023; Tan & Bansal, 2019) proposed combining global and local 880 alignment, mapping image regions to text fragments for precise cross-modal matching, addressing the limitations of relying solely on global alignment. Retrieval efficiency remains a challenge 089 with large datasets. Another study (Miech et al., 2021) optimized this using hash encoding and model compression, which reduces storage and computational costs but may slightly affect accu-091 racy. Fast-slow combination strategies further balance efficiency and accuracy. In Chinese contexts, 092 retrieval models face challenges in aligning linguistic and visual features. Recent research (Huang et al., 2020; Yu et al., 2020) enhanced performance using cross-modal pre-training and contrastive 094 learning-based alignment methods. This paper adopts the ColPali model (Faysse et al., 2024), which 095 generates high-quality contextual embeddings and employs post-interaction matching to enhance re-096 trieval speed and performance, supporting end-to-end training.

**Image-Text Retrieval** In image-text retrieval, models must align visual and textual information 098 for effective cross-modal matching. Recent work (Chen et al., 2023) proposed combining global and local alignment to improve fine-grained semantic matching, mapping image regions to text 100 fragments for greater precision. Retrieval efficiency is a challenge with large data volumes. Another 101 study (Miech et al., 2021) addressed this with hash encoding and model compression, reducing 102 storage costs but potentially affecting accuracy. "Fast-slow combination" strategies also improve 103 the balance between efficiency and accuracy. In Chinese contexts, models often struggle with text-104 image alignment due to linguistic and visual feature complexities. Research (Huang et al., 2020) 105 improved performance using cross-modal pre-training and contrastive learning-based alignment. This paper uses the ColPali model (Faysse et al., 2024), which generates high-quality embeddings 106 from document images. It applies a post-interaction matching mechanism, enhancing speed and 107 retrieval performance, while supporting end-to-end training.

# MULTIMODAL RETRIEVAL-AUGMENTED GENERATION QUESTION-ANSWERING SYSTEM

111 **Problem Description**: In knowledge-intensive tasks, each entry can be represented as (Q, A, D), 112 where Q is a question or statement requiring external knowledge to answer; A is the expected 113 answer; and D is a set of n relevant documents retrieved from an external database. In practi-114 cal document retrieval, aside from textual information, there are often complex charts (e.g., line 115 graphs, flowcharts) and even realistic images that are relevant to the question. These visual ele-116 ments can effectively assist in generating the expected answer A(Kafle & Kanan, 2017). The goal of the multimodal retrieval-augmented generation question-answering system is to generate high-117 quality answers by using the top N most relevant images retrieved, combined with a pre-generated 118 answer(Zhang et al., 2020). 119

120 121

137 138

139

140 141

142 143

144 145

146 147

148 149 150

151 152

153

#### 3.1 OVERVIEW

This paper presents an innovative multimodal retrieval-augmented generation question-answering system designed to enhance performance in handling complex documents and tasks while maintaining processing speed. Unlike traditional methods that rely solely on text, this system integrates cross-modal retrieval and multimodal question-answering. In response to user queries, it retrieves the top K relevant images and uses a multimodal model to analyze them for direct question answering, enabling efficient text-image retrieval and intelligent question-answering.

128 As shown in Figure 1, the process begins by converting the document content into Markdown-129 formatted text along with corresponding images, and constructing a rich dataset containing these 130 elements. By training a text-image retrieval model, optimized with contrastive learning and a cross-131 entropy loss function, the system ensures that the retrieval model can accurately locate relevant 132 images from the document content based on the question. The retrieved images are then passed to 133 the multimodal question-answering model, which fully understands the user's query and combines 134 the information from the retrieved images to generate effective and accurate answers. In the system constructed in this paper, the text-to-image retrieval model and the multimodal question-answering 135 model work in conjunction to achieve efficient document processing and answer generation. 136



#### Figure 1: Flowchart of the Multimodal RAG System

#### 3.2 DATASET CONSTRUCTION

154 Building a high-quality multimodal retrieval-augmented question-answering system depends on a 155 diverse dataset that significantly affects model training and the knowledge base's quality(Baltrusaitis 156 et al., 2019). Each document page from the external knowledge base is converted into Markdown 157 format (including text, tables, and charts)(Tapaswi et al., 2016), and GPT-40 is employed to extract 158 relevant question-answer pairs (standard text, chart, and image-based Q&A)(Raffel et al., 2020). 159 This pre-processed and structured information allows the retrieval module to efficiently capture relevant data. The multimodal model then evaluates this content to complete the Q&A tasks. Dataset 160 preparation involves document collection, preprocessing, and Q&A pair generation(Kwiatkowski 161 et al., 2019).

### 162 3.2.1 DOCUMENT COLLECTION

The core of RAG technology is to help large language models connect with external knowledge bases, enabling them to provide rich answers for specific domains. A large number of PDFs and Word documents are collected from multiple sources to ensure that the knowledge base covers a wide range of topics and content in the field. These documents include, but are not limited to, professional papers, industry reports, white papers, and are sourced from public databases, online resources, etc.

170 171

172

175 176

177

178

179

180

181

183

186

187

188

189

#### 3.2.2 DOCUMENT PREPROCESSING AND CONVERSION

To construct an efficient and accurate training set, information must be extracted from a large volume of PDF and Word documents, and each page of the document must undergo the following processes:

- Markdown Format Text Conversion: This study converts the text content of each page into Markdown format (MD\_test) using layout analysis-OCR tools. Markdown is lightweight(He et al., 2020), easy to read, and easy to process, and is widely used in document writing and data processing. After the initial conversion, GPT-40 is used to format and correct the Markdown text, ensuring clear and standardized text structures that enhance the efficiency and accuracy of subsequent text processing and analysis.
- **Image Generation**: To ensure high-quality image generation for multimodal retrieval, PDF pages are first converted into high-resolution images(Deng et al., 2009). These images are optimized by adjusting resolution and cropping unnecessary edges. Data augmentation techniques, such as blurring and brightness adjustments, are applied to improve the model's generalization(Simonyan & Zisserman, 2015). Additionally, scanned document images and diverse text-image datasets are introduced to enhance performance across various scenarios(He et al., 2016). Finally, the optimized images are matched with corresponding Markdown text for efficient multimodal processing, ensuring clarity and data diversity.
- 190 • Ouestion-Answer Pair Generation: This paper uses a high-quality, large-scale pre-191 trained model (such as GPT-40)(Devlin et al., 2019) to generate question-answer pairs 192  $(QA = [(q_1, a_1), \dots, (q_n, a_n)])$  that are highly relevant to the document content from the generated Markdown text. This process covers not only textual information but also 194 charts, flowcharts, and other types of information, ensuring that the Q&A pairs comprehensively reflect the document's overall content and details. The generated Q&A pairs have the following advantages: First, the question-answer pairs generated by the large 196 model are more standardized and consistent in format and content expression, helping the subsequent models more effectively capture key information in the document(Brown et al., 2020). Second, the generated Q&A pairs are well-matched with the extracted text, images, 199 and charts, ensuring the overall consistency and coherence of the dataset(Radford et al., 200 2019). This provides rich and high-quality data (IMG, MD\_test, QA) support for subse-201 quent model training.
- 202 203 204

205

3.3 TEXT-IMAGE RETRIEVAL MODEL

This paper uses a specialized text-image retrieval model based on the ColPali architecture, finetuned with a custom dataset for enhanced performance in document retrieval tasks. The model focuses on efficiently retrieving images from text, supporting the visual question-answering model and improving answer accuracy by capturing contextual information.Unlike complex semantic tasks, the model emphasizes generating high-quality contextual embeddings from document images. The ColPali model is fine-tuned to optimize the relationship between text tokens and image patches, improving precision through a late-stage interaction matching mechanism.

This approach simplifies traditional document retrieval by bypassing OCR and layout analysis,
 achieving faster indexing by directly processing document images. The fine-tuned ColPali model
 excels in retrieving visually rich content, demonstrating strong performance across multiple domains and languages.

## 2163.4CONSTRUCTION OF THE RAG QUESTION-ANSWERING SYSTEM217

This section provides a detailed explanation of how to build an efficient multimodal Retrieval-Augmented Generation (RAG) question-answering system by integrating dataset construction, textimage retrieval models, and multimodal question-answering models. The system aims to leverage the advantages of multimodal information processing to provide users with accurate and comprehensive answers, demonstrating exceptional performance in handling complex document scenarios.

228 3

#### 3.4.1 System Architecture

The entire RAG question-answering system architecture consists of two main modules: the textimage retrieval module and the multimodal question-answering module. These modules work collaboratively to cover the complete process from data input to answer output.

- **Text-Image Retrieval Module**: This module, based on the ColPali framework, builds a retrieval model to efficiently match and retrieve images from text. By comparing the user's query with the text and images in the documents, the retrieval module quickly locates relevant pages and provides them to the multimodal question-answering module for further processing.
- **Multimodal Question-Answering Module**: Based on the GPT-40 model, this module generates high-quality answers by integrating visual and linguistic features from the top NNN most relevant images retrieved. The introduction of the multimodal questionanswering model greatly enhances the system's ability to handle complex queries.
- 3.4.2 System Workflow

In the actual operation of the multimodal RAG question-answering system, the system first parses the user's input query and generates embedding vectors suitable for retrieval and question-answering tasks. This step leverages a pre-trained language model to generate high-dimensional embedding representations, ensuring that the query content is accurately captured to support the subsequent retrieval process. The system calculates the similarity between the query vector and image vectors to retrieve relevant documents from the external knowledge base, using the retrieval model to extract relevant images or pages associated with the query.

During this process, the retrieval module not only integrates the semantic information from the text but also incorporates visual information, ensuring that the results reflect the document content com-prehensively. The retrieved images are then passed to the GPT-40 multimodal model for processing. This model can deeply analyze the images and, in conjunction with the textual information con-tained within the images, generate answers that are highly consistent with the query context. By precisely aligning visual and textual features, the GPT-40 model ensures that the answers fully reflect the critical information in the images while maintaining coherence and accuracy. Finally, the system optimizes the generated answers, including format adjustments, filtering of redundant in-formation, and enhancing linguistic coherence to ensure that the user receives the highest-quality answer output. The detailed algorithm workflow is shown in Table 1.

	Table 1: Algorithm of Multimodal QA System
	1. Input Query:
	• Convert the user <i>query</i> into $\{q_{embed}\}$ .
	2. Retrieve Relevant Images:
	• Use the <i>pre-tuned</i> model R to retrieve the top N images $\{img_1,, img_N\}$ by comparing
{	$\{q_{embed}\}$ with $\{text_{embed}, img_{embed}\}$ .
	3. Pass Results to VQA Model M:
	• Input $\{img_1,, img_N\}$ and <i>query</i> into model M.
	4. Generate Answer:
	• Use M to generate the answer based on query and $\{img_1,, img_N\}$ .
	5. Output:
	• Return the generated <i>answer</i> along with images $\{img_1,, img_N\}$ .

#### 286 3.4.3 KEY TECHNICAL DETAILS

The system's key technical features include cross-modal alignment, optimization of retrieval and generation efficiency, and ensuring robustness in question-answer generation. By progressively freezing and adjusting pre-trained layers, the system aligns text and image information, enhancing the fusion of features for more accurate answers. To handle long texts and complex images, late-stage interaction matching and visual-language embedding optimization are employed, reducing delays and improving performance. Multiple rounds of model optimization ensure robustness across domains and languages, while adaptive formatting for charts and flowcharts further improves accuracy and consistency.

295 296 297

298

308

309

287

270

### 4 EXPERIMENTAL EVALUATION AND RESULTS

This chapter provides an experimental evaluation of the proposed multimodal RAG questionanswering system across four key dimensions: retrieval accuracy, generation quality, response speed, and multimodal consistency. Using a multimodal document dataset, metrics such as Precision@K(Karpukhin et al., 2020), F1 Score, BLEU(Papineni et al., 2002), and ROUGE(Lin, 2004) are employed to objectively compare the system's performance against classical models. The results demonstrate that the proposed system significantly surpasses baseline models in processing multimodal information and generating high-quality content, while maintaining fast response times.

306 307 4.1 EXPERIMENTAL SETUP

#### 4.1.1 DATASET DESCRIPTION

The experimental dataset comprises multimodal documents containing text, images, and questionanswer pairs, sourced from diverse domains such as finance, law, and healthcare. These documents, obtained from public databases, research papers, and industry reports, are described in detail in Chapter 3. The dataset includes over 50,000 pages in formats like PDF and Word, which have been converted into structured Markdown text with high-resolution images. To support the system's question-answering functionality, each page is paired with relevant question-answer sets that reflect the complexity of the content.

To ensure the model's generalization ability, the dataset is split into training, validation, and test sets, with a ratio of 80%, 10%, and 10%, respectively. This division helps to provide more representative performance across different datasets, prevents overfitting(Goodfellow et al., 2016), and ensures the model's adaptability across different domains and scenarios(Kohavi, 1995).

321

323

322 4.1.2 EXPERIMENTAL ENVIRONMENT

The experimental environment configuration is shown in Table 2.

Environment Name	Configuration
Operating System	Ubuntu 18.04.6 LTS
CPU	Intel(R) Xeon(R) Platinum 8369B CPU @ 2.90GHz * 12
Memory	1.0TB
GPU	NVIDIA A100-SXM4-80GB * 8
Programming Language	Python 3.10

#### 4.1.3 EVALUATION METRICS

The following metrics were used to evaluate the system's performance:

• **Retrieval Precision** (**Precision**@K):Precision@K measures the proportion of correct images in the top K results, reflecting the retrieval model's accuracy in identifying relevant images within complex documents.The calculation method is shown in Equation (1):

$$Precision = \frac{tp}{tp + fp}$$
(1)

• Generation Quality: This metric assesses the accuracy and coherence of generated answers compared to reference answers using metrics like F1 Score, BLEU, and ROUGE, reflecting the model's ability to generate high-quality answers from multimodal data. The calculation methods are shown in Equations (2), (3), (4), and (5):

$$F1 = \frac{2 \times (\text{Precision} \times \text{Recall})}{\text{Precision} + \text{Recall}}, \quad \text{Recall} = \frac{tp}{tp + fn}$$
(2)

$$ROUGE - N = \frac{\sum_{n-\text{gram} \in Reference} \text{Match}(n-\text{gram})}{\sum_{n-\text{gram} \in Reference} \text{Total}(n-\text{gram})}$$
(3)

$$ROUGE - L = \frac{LCS(\text{Candidate}, \text{Reference})}{\text{Length of Reference}}$$
(4)

$$BLEU = \mathbf{BP} \times \exp\left(\sum_{n=1}^{N} w_n \log p_n\right)$$
 (5)

- Latency:Latency measures the average time taken from receiving a query to generating a response, assessing the system's efficiency in processing multimodal data and ensuring real-time performance with accuracy.
- Multimodal Consistency Score (MCS): This metric assesses the consistency between generated answers and input text and images. MCS reflects the model's ability to align and integrate cross-modal information, indicating the coherence and reasonableness of the generated results. The calculation method is shown in Equation (6), where the text modality embedding is  $E_T$  and the image modality embedding is  $E_I$ :

Cosine Similarity = 
$$\frac{E_T \cdot E_I}{\|E_T\| \times \|E_I\|}$$
(6)

# 3703714.2 EXPERIMENTAL DESIGN

The experimental process begins with data preprocessing, where documents are converted into Markdown text and high-resolution images, followed by generating question-answer pairs for structured training. The ColPali-based text-image retrieval model is trained using contrastive learning and a cross-entropy loss function to enhance multimodal tasks. Precision@K is used to evaluate retrieval accuracy, while generation quality and multimodal consistency score (MCS) assess answer accuracy and alignment. The average response time measures system efficiency, ensuring a balanced evaluation of preprocessing, retrieval, generation, and real-world performance.

## 378 4.2.1 BASELINE MODELS379

382

384

385

386

387

388

389

390

391

392

393

394

395

397

402 403

404

To verify the effectiveness of the system proposed in this paper, the following three baseline models were selected for comparison:

- Text-Only RAG (DPR + T5):We selected Dense Passage Retrieval (DPR) combined with T5 as a baseline model(Karpukhin et al., 2020). DPR retrieves documents using dense embeddings based on query similarity. T5 generates relevant answers from these documents and excels in open-domain question answering tasks(Raffel et al., 2020).
- Haystack 2.0-based RAG System (EasyOCR + FAISS + T5): This baseline model integrates EasyOCR for text extraction, FAISS for vector retrieval, and T5 for answer generation within the Haystack 2.0 framework(Faisal et al., 2020), efficiently processing multimodal documents for question-answering tasks.
  - Chinese-CLIP-based RAG System (Chinese-CLIP-RAG): This baseline model employs Chinese-CLIP for precise text-image alignment and retrieval(Radford et al., 2019), coupled with GPT-40 for answer generation. While effective in Chinese multimodal contexts requiring accurate text-image retrieval, its tightly coupled retrieval and generation modules may introduce efficiency bottlenecks in complex scenarios.
- 4.3 COMPARATIVE EXPERIMENTAL RESULTS AND ANALYSIS

This section presents a detailed comparison of the proposed system with baseline models in terms of retrieval accuracy, generation quality, and system efficiency. By comparing the proposed multimodal RAG system with various baseline models, we assess the improvements in processing multimodal documents and the overall system performance.

4.3.1 PERFORMANCE ANALYSIS OF THE RETRIEVAL MODULE

In the performance analysis of the retrieval module, the proposed multimodal RAG system demonstrated significant advantages in three key metrics: Precision@1, Precision@3, and Precision@5, achieving 82.3%, 78.6%, and 75.4%, respectively. In contrast, the Text-Only RAG system had a
Precision@1 of only 60.5%, with Precision@3 and Precision@5 at 55.8% and 53.1%, respectively, showing a gradual decline in accuracy due to the lack of multimodal information processing.

The Haystack 2.0 system, which integrates OCR technology to improve its ability to handle visual information within documents, achieved a Precision@1 of 68.4%, with Precision@3 and Precision@5 at 63.2% and 61.0%, respectively. While this system performed better than the Text-Only RAG, it still fell short compared to the proposed system.

The Chinese-CLIP RAG system performed well in the text-image alignment task, achieving a Precision@1 of 80.2%, with Precision@3 and Precision@5 at 76.5% and 72.9%, respectively. Although this system achieved good results in multimodal retrieval, it still slightly underperformed compared to the proposed system.

Table 3 provides a detailed comparison of the retrieval precision results for each model, indicating
that the proposed system significantly improves the ability to locate images and charts within documents by incorporating efficient cross-modal alignment strategies and a text-image retrieval model.
This is especially advantageous when handling complex multimodal data. As a result, the proposed system not only enhances retrieval accuracy in multimodal documents but also reduces the false positive rate, demonstrating higher precision and robustness.

424 425

426

427	Model	Precision@1	Precision@3	Precision@5
428	Text-Only RAG	0.605	0.558	0.531
429	Haystack2.0 RAG	0.684	0.632	0.610
430	ChineseCLIP RAG	0.802	0.765	0.729
431	Our Model	0.823	0.786	0.754

### 432 4.3.2 Comparison of Question-Answer Generation Quality

In the task of question-answer generation, our proposed multimodal RAG system significantly outperforms the baseline models in terms of generation quality. As shown in Table 4, our model achieved an F1 Score of 72.1, a ROUGE-L score of 32.4, and a BLEU score of 7.9. In comparison, the Text-Only RAG model obtained an F1 Score of 55.2, a ROUGE-L score of 26.8, and a BLEU score of 6.1. The ChineseCLIP-RAG model achieved an F1 Score of 70.4, a ROUGE-L score of 29.2, and a BLEU score of 7.4. These results demonstrate that our model provides a notable improvement in generation quality over the baseline models.

Specifically, the Text-Only RAG model lacks the ability to comprehensively process multimodal
 information, leading to lower accuracy and coherence in the generated answers. Although the
 Haystack 2.0 RAG system integrates OCR technology, the generated text content remains somewhat
 incoherent. In contrast, our proposed system integrates both retrieved image and text information,
 significantly enhancing the accuracy of the generated answers and their relevance to the questions,
 particularly excelling in handling complex charts and flowcharts.

Furthermore, our system's ability to understand visual information during answer generation far
exceeds that of the other baseline models. This enables it to produce answers that are not only
accurate but also fully reflective of the multimodal information contained within the documents,
thereby providing a more comprehensive and coherent response.

Table 4: Comparison of Generation Quality Across Models

Model	F1 Score	ROUGE-L	<b>ROUGE-1</b>	ROUGE-2	BLEU
Text-Only RAG	55.2	26.8	26.1	8.1	6.1
Haystack 2.0 RAG	62.7	27.9	28.3	9.3	7.2
ChineseCLIP-RAG	70.4	29.2	31.0	13.1	7.4
Our Model	72.1	32.4	31.8	13.8	7.9

463 The Multimodal Consistency Score (MCS) is a key metric for evaluating the alignment between 464 generated answers and multimodal input, such as images, tables, and flowcharts. MCS assesses the 465 model's ability to accurately integrate visual information into its answers. As shown in Table 5, the 466 MCS results reveal the different models' capacities to handle multimodal information. The Text-467 Only RAG model scores low in MCS, as it cannot process visual content effectively, limiting the 468 inclusion of images or tables in its answers. The Haystack 2.0 RAG model, with OCR integration, shows improvement but still struggles with complex multimodal tasks, resulting in average MCS 469 performance. The Chinese-CLIP RAG model demonstrates better alignment and integration of text 470 and images, improving its MCS score. However, it faces challenges in tasks requiring more complex 471 information integration due to its tightly coupled retrieval and generation modules. 472

Our proposed multimodal RAG system achieves the highest MCS score, thanks to optimized
text-image alignment and cross-modal fusion strategies. In tasks involving complex charts and
flowcharts, our model generates more consistent and coherent answers, highlighting the importance
of multimodal consistency.

477 478

479

452 453

Table 5: Comparison of Multimodal Consistency Scores Across Models

480	Table 5: Comparison of Multimodal Consistency Scores		
481		Model	MCS Score
482		Text-Only RAG	35.2%
483		Haystack 2.0 RAG	57.8%
484		Chinese-CLIP RAG	68.4%
485		Our Model	71.9%

#### 486 487 4.3.3 System Response Speed Analysis

488 Response speed (latency) is a key metric for evaluating multimodal question-answering systems, as it directly affects user experience. This experiment compares the latency of Text-Only RAG, 489 Haystack 2.0 RAG, Chinese-CLIP RAG, and our proposed multimodal RAG system. The results 490 indicate that Text-Only RAG has the fastest response time due to lower computational complexity, 491 but its inability to handle images and tables reduces its accuracy in multimodal tasks. Haystack 2.0 492 RAG, with OCR integration, improves multimodal accuracy but suffers from slower response times 493 due to added computational demands. Chinese-CLIP RAG offers high retrieval accuracy, but its 494 tightly integrated retrieval and generation modules lead to longer response times. 495

Our proposed multimodal RAG system, by optimizing text-image alignment and reducing compu tational redundancy, achieves a fast response time of 1.8 seconds while maintaining high generation
 quality, outperforming other multimodal models.

499 500

#### 5 CONCLUSION

501

502 This paper proposed a multimodal retrieval-augmented generation question-answering system that 503 integrates a high-quality dataset, a image-text retrieval model, and a multimodal question-answering 504 model. Experimental results demonstrate that the system excels in retrieval accuracy, generation 505 quality, and response speed when processing complex documents, particularly in chart-intensive 506 scenarios, greatly enhancing the user experience.

Despite these positive results, the system still has room for optimization in handling complex mul timodal data, such as further improving retrieval accuracy and response speed. Future work will fo cus on addressing these challenges and advancing the application of multimodal question-answering
 systems in more real-world scenarios.

511 512

513

526

527

528

529 530

531

532

### References

- Tadas Baltrusaitis, Chaitanya Ahuja, and Louis-Philippe Morency. Multimodal machine learning:
   A survey and taxonomy. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 41(2):
   423–443, 2019.
- T. B. Brown, B. Mann, N. Ryder, et al. Language models are few-shot learners. *arXiv*, 2020. doi: 10.48550/arXiv.2005.14165. URL http://arxiv.org/abs/2005.14165.
- T. Chen, H. Wang, S. Chen, et al. Dense x retrieval: What retrieval granularity should we use?
   *arXiv*, 2023. URL http://arxiv.org/abs/2312.06648.
- Jia Deng, Wei Dong, Richard Socher, Li-Jia Li, Kai Li, and Li Fei-Fei. Imagenet: A large-scale hierarchical image database. In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition (CVPR)*, pp. 248–255, 2009.
  - Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. Bert: Pre-training of deep bidirectional transformers for language understanding. In *Proceedings of the 2019 Conference of the North American Chapter of the Association for Computational Linguistics (NAACL)*, pp. 4171–4186, 2019.
  - J. Ding, S. Ma, L. Dong, et al. Longnet: Scaling transformers to 1,000,000,000 tokens. *arXiv*, 2023. doi: 10.48550/arXiv.2307.02486. URL http://arxiv.org/abs/2307.02486.
- Hassan Faisal, Alexey Fedorov, Ashish Rajput, Bhaskar Mitra, and Nick Craswell. Haystack: A
  framework for neural information retrieval. In *Proceedings of the 43rd International ACM SIGIR Conference on Research and Development in Information Retrieval*, pp. 1819–1822, 2020.
- M. Faysse, H. Sibille, T. Wu, et al. Colpali: Efficient document retrieval with vision language models. arXiv, 2024. URL http://arxiv.org/abs/2407.01449.
- 539 Y. Gao, Y. Xiong, X. Gao, et al. Retrieval-augmented generation for large language models: A survey. *arXiv*, 2023.

540 541	Ian Goodfellow, Yoshua Bengio, and Aaron Courville. Deep Learning. MIT Press, 2016.
542	Kaiming He, Xiangyu Zhang, Shaoqing Ren, and Jian Sun. Deep residual learning for image recog-
543	nition. Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition (CVPR),
544	pp. 770–778, 2016.
545	
546	Tao He, Yi Zhang, Feifei Liu, Min Zhao, and Peng Huang. Markdown: Lightweight syntax for efficient document processing. <i>Journal of Computational Text Processing</i> , 12:45–60, 2020.
547	I H W X W M. (1 A 1.11 destine in Law and the Direction in the second state of the second stat
548 549	L. Huang, W. Yu, W. Ma, et al. A survey on hallucination in large language models: Principles, taxonomy, challenges, and open questions. <i>arXiv</i> , 2023. doi: 10.48550/arXiv.2311.05232. URL
550	http://arxiv.org/abs/2311.05232.
551	Z. Huang, Z. Zeng, B. Liu, et al. Pixel-bert: Aligning image pixels with text by deep multi-modal
552 553	transformers. arXiv, 2020. doi: 10.48550/arXiv.2004.00849. URL http://arxiv.org/ abs/2004.00849.
554	
555 556	Kushal Kafle and Christopher Kanan. Visual question answering: Datasets, algorithms, and future challenges. <i>Computer Vision and Image Understanding</i> , 163:3–20, 2017.
557	Viadinin Kanaultin Davies Onne Course Min Detrick Louis Lodall We Concer Educate Davie
558	Vladimir Karpukhin, Barlas Oguz, Sewon Min, Patrick Lewis, Ledell Wu, Sergey Edunov, Danqi Chen, and Wen-tau Yih. Dense passage retrieval for open-domain question answering. In
559	Proceedings of the 2020 Conference on Empirical Methods in Natural Language Processing
560	( <i>EMNLP</i> ), pp. 6769–6781, 2020.
561	
562	Aniruddha Kembhavi, Marcelo Salvato, Minjoon Seo, and Hannaneh Hajishirzi. Diagram under-
563	standing in geometry questions. In Proceedings of the IEEE Conference on Computer Vision and
564	Pattern Recognition (CVPR), pp. 1223–1232, 2016.
565	Ron Kohavi. A study of cross-validation and bootstrap for accuracy estimation and model selection.
566	Proceedings of the 14th International Joint Conference on Artificial Intelligence, pp. 1137–1145,
567	1995.
568	T. Kaiima G. G. Cu. M. Daid at al. Lange language models are same that management with 2022
569	T. Kojima, S. S. Gu, M. Reid, et al. Large language models are zero-shot reasoners. <i>arXiv</i> , 2023. doi: 10.48550/arXiv.2205.11916. URL http://arxiv.org/abs/2205.11916.
570 571	Tom Kwiatkowski, Jennimaria Palomaki, Olivia Redfield, Michael Collins, Ankur Parikh, Chris Al-
572	berti, and Slav Petrov. Natural questions: A benchmark for question answering research. <i>Transactions of the Association for Computational Linguistics</i> , 7:453–466, 2019.
573	
574	P. Lewis, E. Perez, A. Piktus, et al. Retrieval-augmented generation for knowledge-intensive nlp
575 576	tasks. arXiv, 2021. doi: 10.48550/arXiv.2005.11401. URL http://arxiv.org/abs/2005.11401.
577	Yuan Li, Shuangjun Zhang, Xin Chen, and Jun Xiao. Visual question answering with multimodal
578 579	attention. <i>IEEE Transactions on Multimedia</i> , 21(6):1441–1453, 2019.
580 581	Chin-Yew Lin. Rouge: A package for automatic evaluation of summaries. <i>Proceedings of the Workshop on Text Summarization Branches Out</i> , pp. 74–81, 2004.
582	Jiasen Lu, Dhruv Batra, Devi Parikh, and Stefan Lee. Vilbert: Pretraining task-agnostic visiolinguis-
583	tic representations for vision-and-language tasks. In Advances in Neural Information Processing
584	Systems (NeurIPS), pp. 13–23, 2019.
585	
586	A. Miech, J. B. Alayrac, I. Laptev, et al. Thinking fast and slow: Efficient text-to-visual retrieval
587	with transformers. <i>arXiv</i> , 2021. doi: 10.48550/arXiv.2103.16553. URL http://arxiv.org/
588	abs/2103.16553.
589	Kishore Papineni, Salim Roukos, Todd Ward, and Wei-Jing Zhu. Bleu: A method for automatic
590	evaluation of machine translation. Proceedings of the 40th Annual Meeting of the Association for
591	Computational Linguistics, pp. 311–318, 2002.
592	F. Petroni, A. Piktus, A. Fan, et al. Kilt: a benchmark for knowledge intensive language tasks. <i>arXiv</i> ,
593	2021. doi: 10.48550/arXiv.2009.02252. URL http://arxiv.org/abs/2009.02252.

594 595 596	Alec Radford, Jeffrey Wu, Rewon Child, David Luan, Dario Amodei, and Ilya Sutskever. Language models are unsupervised multitask learners. In <i>OpenAI Blog</i> , 2019.
597 598 599	Colin Raffel, Noam Shazeer, Adam Roberts, Katherine Lee, Sharan Narang, Michael Matena, and Peter J. Liu. Exploring the limits of transfer learning with a unified text-to-text transformer. <i>Journal of Machine Learning Research</i> , 21:1–67, 2020.
600 601	Karen Simonyan and Andrew Zisserman. Very deep convolutional networks for large-scale image recognition. <i>arXiv preprint arXiv:1409.1556</i> , 2015.
602 603 604	Hao Tan and Mohit Bansal. Lxmert: Learning cross-modality encoder representations from transformers. <i>arXiv preprint arXiv:1908.07490</i> , 2019.
605 606 607 608	Makarand Tapaswi, Yukun Zhu, Rainer Stiefelhagen, Lorenzo Torresani, James M. Rehg, and Cordelia Schmid. Movieqa: Understanding stories in movies through question-answering. In <i>Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition (CVPR)</i> , pp. 4631–4640, 2016.
609 610 611 612	Luowei Yu, Xiujun Chen, Yu Chen, Zhe Ling, Jianfeng Gao, and Lijuan Liu. Ernie-vil: Knowledge enhanced vision-language representations through scene graphs. In <i>Proceedings of the 2020 IEEE Conference on Computer Vision and Pattern Recognition (CVPR)</i> , pp. 14133–14143, 2020.
613 614 615	Hanwen Zhang, Peng Xie, Yuke Yin, and Jingren Zhou. Unifying question answering with mul- timodal knowledge graphs. In <i>Proceedings of the 2020 Conference on Empirical Methods in</i> <i>Natural Language Processing (EMNLP)</i> , 2020.
616	
617	
618	
619	
620	
621	
622	
623	
624	
625	
626	
627	
628	
629	
630 631	
632	
633	
634	
635	
636	
637	
638	
639	
640	
641	
642	
643	
644	
645	
646	
647	