Hierarchical Network Fusion for Multi-Modal Electron Micrograph Representation Learning with Foundational Large Language Models

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Abstract

Characterizing materials with electron micrographs is a crucial task in fields such 1 as semiconductors and quantum materials. The complex hierarchical structure 2 of micrographs often poses challenges for traditional classification methods. In 3 this study, we propose an innovative backbone architecture for analyzing electron 4 micrographs. We create multi-modal representations of the micrographs by tok-5 enizing them into patch sequences and, additionally, representing them as vision 6 graphs, commonly referred to as patch attributed graphs. We introduce the Hier-7 archical Network Fusion (HNF), a multi-layered network structure architecture 8 that facilitates information exchange between the multi-modal representations and 9 knowledge integration across different patch resolutions. Furthermore, we leverage 10 large language models (LLMs) to generate detailed technical descriptions of nano-11 materials as auxiliary information to assist in the downstream task. We utilize a 12 cross-modal attention mechanism for knowledge fusion across cross-domain repre-13 sentations(both image-based and linguistic insights) to predict the nanomaterial 14 category. This multi-faceted approach promises a more comprehensive and accu-15 rate representation and classification of micrographs for nanomaterial identification. 16 Our framework outperforms traditional methods, overcoming challenges posed by 17 distributional shifts, and facilitating high-throughput screening. 18

19 1 Introduction

Semiconductors are the foundation of modern electronics, driving advancements in computing, com-20 munication systems, transportation systems, and space exploration. The precise design, development, 21 and testing of semiconductor devices is essential for ensuring the reliability, durability, and perfor-22 mance of high-tech chips. Advanced imaging and analysis techniques [55] are key to fabricating 23 24 and integrating nanoscale components and enabling advanced inspection, which is essential for the development of next-generation miniaturized semiconductor devices [13], with sizes now reaching 25 as small as 7 nm or even smaller. However, the increased complexity of producing chips under 7 26 nanometers introduces greater potential for error, jeopardizing the consistency of high-quality chip 27 production and magnifying variability in chip performance. The semiconductor industry utilizes 28 various electron beam tools, including scanning and transmission electron microscopy, to create 29 high-resolution images of these devices. These images, known as electron micrographs, reveal the 30 complex microstructures of materials, which are crucial for the accurate design and evaluation of 31 semiconductor devices. The fabrication of nanoscale components is a challenging task that requires 32 precise control over the manufacturing process. Furthermore, these images facilitate monitoring of 33 the process and defect detection, enabling subsequent process optimization or design adjustments 34 to mitigate defects. The autolabeling of electron micrographs for nanomaterial identification, while 35 advantageous, remains a significant challenge. Figure 1 shows the challenges in nanomaterial iden-36 tification tasks. This is largely attributed to distributional shifts such as manufacturing variations 37 or material property changes, exacerbated by high intra-class dissimilarity within nanomaterials, 38 high inter-class similarity between different nanomaterials, and the existence of visual patterns at 39

multiple scales or spatial heterogeneity. To overcome the challenges in this work, we propose an 40 end-to-end framework for automatic nanomaterial identification based on hierarchical network fusion 41 for multi-modal electron micrograph representation learning with large language models (referred to 42 as "MultiFusion-LLM" for shorthand notation). We hypothesize that electron micrographs exhibit 43 hierarchical dependencies among patches (segmented portions of an electron micrograph). These 44 45 dependencies can be captured using multiple patch sequences and vision graph structures at different 46 spatial resolutions of the patches. To explore this, we tokenize the electron micrographs into grid-like patches to obtain a patch sequence. Additionally, we represent the micrograph as a vision graph, 47 where patches are connected by undirected edges that represent pairwise visual similarity. Figure 48 6 shows the modalities (patch sequence, graph) that offer unique insights and assist in capturing 49 complex patterns. We introduce a < cls > token to the patch sequence and a virtual node to the 50 vision graph. This special token/virtual node encapsulates the entire patch sequence and captures 51 global graph information in their respective contexts. We aim to capture fine- and coarse-grained 52 hierarchical dependencies by treating the micrographs as sequence structures and vision graphs at 53 multiple scales of patch size. The main contributions of this work can be summarized: 54

✓ We have developed the Hierarchical Network Fusion (HNF), a cascading network architec-55 ture that enhances the classification accuracy by analyzing and integrating two complemen-56 tary representations of electron micrographs: patch sequences and vision graphs, which are 57 created at various patch sizes. Vision graphs, constructed using a nearest-neighbor graph 58 59 technique, identify local patch relationships and capture graph-structured priors. Meanwhile, patch sequences help in capturing spatial dependencies between various patches in a 60 micrograph, going beyond the limitations of sparse graph structure priors. The HNF is a 61 multi-layered network featuring an inverted pyramid architecture that generates a multi-scale 62 representation of an electron micrograph by creating a series of patch sequences and vision 63 graphs at different scales of patch size. This inverted pyramid is constructed by progressively 64 increasing the patch size at each layer. Each layer of the pyramid represents the original 65 micrograph-based patch sequence and vision graph at a distinct scale, offering increasingly 66 higher resolutions. By considering information at multiple scales, the HNF facilitates a 67 more comprehensive representation of the electron micrograph, capturing both fine- and 68 coarse-grained details. At each layer, the patch embeddings are iteratively refined using 69 bidirectional Neural Ordinary Differential Equations (Neural ODEs) [20], while the Graph 70 Chebyshev Convolution (GCC) Networks [51, 28] encode the vision graphs in a layer-wise 71 manner to compute graph-level embeddings. A mixture-of-experts (MOE) technique with a 72 gating mechanism optimally combines predictions from both modalities at each layer by 73 calculating a weighted sum of classification token and virtual node embedding to improve 74 classification accuracy. This facilitates an intermodal mutual information exchange, fos-75 tering interaction and knowledge integration between the two modalities. This innovative 76 approach enables the seamless integration of causal information from patch sequences to 77 refine the vision graph embeddings, and structural and semantic information from visual 78 graphs to ground the patch embeddings, fostering enhanced interaction and knowledge 79 fusion within the architecture. Our framework constructs a multi-scale representation of a 80 81 micrograph with the aim of optimally preserving both the high-level features and structural information embedded in the graphs, as well as the causal relations embedded in the patch 82 sequences, thereby enabling a more comprehensive representation of the micrograph. 83

Our approach utilizes Zero-shot Chain-of-Thought (Zero-Shot CoT) prompting with large 84 language models (LLMs)[10, 25, 93] to generate technical descriptions of nanomaterials, 85 including synthesis methods, properties, and applications. We pre-train smaller language 86 models (LMs) [30, 52] through self-supervised masked language modeling (MLM)[5, 30] on 87 these generated textual descriptions, enabling domain-specific customization for improved 88 language understanding. Subsequently, we fine-tune the pre-trained LMs for task-adaptation 89 90 to compute contextualized token embeddings for nanomaterial identification tasks. We 91 employ a weighted sum-pooling attention mechanism to compute text-level embeddings from token embeddings, encapsulating the vast domain-specific knowledge present in the 92 text data. Our approach leverages LLM-based technical descriptions on nanomaterials to 93 identify characteristic features that distinguish them from other nanomaterial categories, 94 incorporating domain-specific knowledge as auxiliary information for downstream training. 95

96 2 Problem Statment

In this study, the focus is on the electron micrograph classification task, a type of inductive learning
 task where the objective is to assign labels to new, unseen micrographs utilizing a labeled dataset

denoted as $\mathcal{D}_L = (\mathcal{I}_L, \mathcal{Y}_L)$. A multi-modal encoder, formulated as the non-linear function $f_{\gamma} : \mathcal{I} \to \mathcal{Y}$ is trained on labeled dataset to predict labels (\mathcal{Y}_U) of unlabeled micrographs (\mathcal{I}_U) . Here, γ denotes the trainable parameters. The objective is to minimize the loss function $\mathcal{L}_{\mathcal{I}}$, which is articulated as

$$\min_{\gamma} \mathcal{L}_{\mathcal{I}} \left(\mathcal{I}_i, \gamma \right) = \sum_{(\mathcal{I}_i, y_i) \in \mathcal{D}_I} \ell \left(f_{\gamma}(\mathcal{I}_i), y_i \right)$$
(1)

where $y_i^{\text{pred}} = f_{\gamma}(\mathcal{I}_i)$ denote the multi-modal encoder predictions and $\ell(\cdot, \cdot)$ denotes the cross-entropy loss.



(a) High intra-class dissimilarity: The electron micrographs of the same nanomaterial (*MEMS* device) can exhibit a high degree of heterogeneity.



(b) High inter-class similarity: Electron micrographs across different nanomaterial categories (*listed from left to right as porous sponges, particles, powders, and films*) exhibit a noteworthy degree of similarity.



(c) Multi-spatial scales of patterns: The spatial heterogeneity of visual patterns in electron micrographs of *nanoparticles* is evident.

Figure 1: The figure provides a visual representation of the challenges of classifying electron micrographs in the SEM dataset([4]).



Figure 2: Our framework includes three methods: (a) Hierarchical Network Fusion (HNF), (b) Zero-shot Chain-of-Thought (Zero-Shot CoT) prompting with large language models (LLMs), and (c) an output layer modeled with the multi-head attention (MHA) mechanism [95] for integrating cross-domain embeddings and facilitating label prediction. LLMs take a prompt, not an electron micrograph, as input.

105 3 Proposed Method

106 3.1 Formalism

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Let's consider an input electron micrograph denoted by \mathbf{I}''' , which has dimensions of $h \times w \times c$, where h, w, and c represent the height, width, and number of channels of the micrograph, respectively. We divide the micrograph into a grid of patches, each having dimensions of $p \times p \times c$, with p representing the patch size. The number of patches along each spatial dimension is given by $n = hw/p^2$. Subsequently, we reshape the 3D micrograph into a 2D patch tensor, denoted as $\mathbf{I}'' \in \mathbb{R}^{n \times (p^2 c)}$. These patches are linearly transformed to create a new tensor, $\mathbf{I}' \in \mathbb{R}^{n \times d}$, where d is the patch embedding dimension. To account for the position of each patch within the micrograph, we introduce position embeddings represented by a matrix $\mathbf{E}_{pos} \in \mathbb{R}^{n \times d_{pos}}$, where d_{pos} denotes the position embedding dimension. We then add the position embedding matrix to the transformed patch tensor I', resulting in the final tensor $\mathbf{I} \in \mathbb{R}^{n \times d}$. In general, $d_{pos} = d$. Finally, we construct a k-nearest neighbors graph to analyze the pairwise relationships between micrograph patches. This vision graph, denoted as \mathcal{G} , is undirected and represents the connectivity of patches based on their pairwise proximity. The graph structure is described by a binary adjacency matrix, $A \in \mathbb{R}^{n \times n}$. If patch j is one of the k-nearest neighbors of patch i, then $A_{ij} = 1$; otherwise, $A_{ij} = 0$.

121 3.2 Hierarchical Network Fusion(HNF)

We tokenize electron micrographs by dividing them into grid-like patches. This approach yields two 122 complementary representations of micrographs: (a) We represent an electron micrograph as a vision 123 graph, where patches are connected by edges that represent pairwise visual similarity constructed 124 using a nearest-neighbor graph technique. The vision graph captures local patch relationships and 125 utilizes graph-structural priors to analyze pairwise spatial dependencies within the micrograph. (b) 126 Additionally, we represent electron micrographs as a patch sequence, capturing pairwise spatial 127 dependencies beyond the original sparse graph structure between different patches within a micro-128 graph. Representing electron micrographs as both patch sequences and vision graphs serves distinct 129 purposes in their respective contexts. We append a classification token ($\langle cls \rangle$) to a patch sequence 130 to obtain an embedding of the entire patch sequence that captures global information. We augment 131 each vision graph by introducing a virtual node that is bidirectionally connected to all the other nodes 132 in the graph through virtual edges. These virtual edges represent the pairwise relations between each 133 real node and the virtual node. The virtual node embedding captures the long-range dependencies 134 between nodes by considering the global information of the vision graph. We hypothesize that 135 electron micrographs exhibit hierarchical dependencies among patches, which can be captured using 136 multiple patch sequences or vision graph structures at different spatial resolutions of the patches. 137 We present Hierarchical network fusion (HNF), a cascading network architecture that constructs a 138 multi-scale representation of an electron micrograph by creating a series of patch sequences and 139 vision graphs at multiple scales of patch sizes with increasing resolutions. 140



Figure 3: Overview of the HNF module. The HNF module utilizes a multi-layered network with increasing patch sizes to represent the electron micrograph-based patch sequence and vision graph at various scales, facilitating computation of hierarchical embeddings that encapsulate the global context. The cascaded structure incorporates multiple stacked layers; each layer involves bidirectional Neural ODEs and Graph Chebyshev convolution to compute patch sequence and vision graph embeddings, respectively. A gating mechanism integrates these cross-domain embeddings, generating unified hierarchical embeddings that offer a comprehensive view of the electron micrographs. Overall, the HNF module, facilitates seamless information fusion at multiple scales, producing a cohesive representation of the micrographs. < cls > is the cls token and VN is the virtual node. h_i^l and e_i^l denotes the patch and node representation at layer l of patch or node i, respectively.

The HNF architecture synergistically combines patch sequences and vision graphs representations at different scales, enhancing electron micrograph analysis by seamlessly integrating global insights through a multi-layered network structure. The layers are constructed by progressively increasing the

patch size. Each layer of the network represents the original micrograph-based patch sequence and 144 vision graph at different scales, with increasing resolutions. By considering information at multiple 145 scales, the network offers a more comprehensive representation of the micrograph, capturing both 146 fine-grained details and the global context. Figure 3 illustrates the Hierarchical Network Fusion (HNF) 147 method. Each layer uses a bidirectional Neural ODE (refer to the appendix) to iteratively refine patch 148 embeddings, facilitating the smooth, causal evolution of the patch embedding and capturing global 149 150 inter-patch relationships and dependencies. It also incorporates a Graph Chebyshev Convolution Network (refer to the appendix) that maps the high-dimensional discrete vision graph information to 151 low-dimensional node-level embeddings while optimally preserving the high-level visual features and 152 structural information embedded in the graphs. Additionally, at each layer, the mixture-of-experts 153 (MOE) technique employs a gating mechanism to combine predictions from the bidirectional Neural 154 ODEs and the Graph Chebyshev Convolution methods. These predictions are integrated through a 155 weighted sum of their *<cls>* token and virtual node embeddings. The training objectives include 156 optimizing the weight distribution of the gating function for accurate classification of nanomaterial 157 categories in electron micrographs and training the methods using the weights determined by the 158 gating function. Overall, our framework aims to improve classification accuracy by leveraging the 159 strengths of multiple learning methods and optimizing the weights of the gating mechanism, which 160 serves as the bottleneck through which the two modalities interact to obtain the fused representation. 161 In the subsequent layers, the fused information is combined with the individual modalities at higher 162 patch resolutions. Our framework incorporates bidirectional Neural ODEs and Graph Chebyshev 163 networks to facilitate the exchange of mutual information between patch sequences and visual graphs 164 across multiple scales of patch size through the gating mechanism. This approach allows the patch 165 embeddings to be grounded with structural and semantic information from the vision graph while 166 enabling causal relations within the patch sequence to transform the graph embeddings. Overall, the 167 framework fosters interactive knowledge integration between modalities within its architecture. 168

169 3.3 Beyond Conventional Analysis: Leveraging LLMs for Nanomaterial Characterization

The advent of large pre-trained language models (LLMs), such as OpenAI's ChatGPT [10], Google's 170 PaLM [25], and Meta's LLaMA [93], has significantly revolutionized performance in various natural 171 language processing tasks, achieving state-of-the-art results across a wide range of applications. 172 In contrast, small-scale language models (LMs), such as BERT [30] and DeBERTa [52], lack the 173 strong logical reasoning capabilities of LLMs and are limited in their ability to generate coherent 174 and contextually relevant responses compared to larger models. However, small-scale LMs are 175 computationally affordable for fine-tuning using labeled data for specialized task adaptation. In 176 addition, they allow access to logits or token embeddings for downstream applications of smaller 177 LMs across various tasks, aiding in explainability. Owing to their substantial model complexity and 178 scale, general-purpose LLMs require significant computational resources for repurposing through 179 fine-tuning for task-specific customization. Additionally, they do not provide access to latent token 180 embeddings and logits, this black-box nature can limit the interpretability of LLMs. To overcome the 181 challenges, the Language Modeling as a Service (LMaaS [83]) platform provides access to LLMs 182 via text-based API interaction through cloud-based services. However, the integration of LLMs with 183 vision graphs remains an underexplored area, opening up the possibility for innovative techniques 184 that combine language models and graph representation learning algorithms to improve nanomaterial 185 identification applications. To address this, our approach capitalizes on zero-shot chain-of-thought 186 187 (Zero-Shot CoT) prompting of LLMs to generate technical descriptions of nanomaterials. We pre-188 train smaller LMs on the generated textual descriptions using the masked language modeling (MLM) technique (i.e., pre-training for domain-customization) to learn expressive token embeddings for 189 a better understanding of language structure and semantics. We then fine-tune smaller LMs for 190 downstream supervised multi-class classification task (i.e., fine-tuning for task adaptation) to compute 191 context-aware token embeddings. We employ weighted sum-pooling attention mechanisms to obtain 192 contextualized text-level embeddings from token embeddings, which are used to perform inference 193 in the nanomaterial identification task. Our work evaluates two LLMs: GPT-3.5-turbo, and Google 194 195 BARD¹. GPT-3.5-turbo, a newer and larger extension of GPT-3.5 model from OpenAI, excels in various language tasks and shows cost-effectiveness, while Google BARD is significantly larger than 196 GPT-3.5 models. We also utilize a pre-trained small-scale LM, DeBERTa²[52], which is an improved 197 version of the BERT architecture. The technical details of these language models are given in Table 1. 198

¹https://bard.google.com

²For more information, refer to the DeBERTa model documentation available at https://huggingface.co/docs/transformers/index.

¹⁹⁹ In the GPT-3.5-turbo and BARD, text generation diversity is mainly influenced by two parameters:

²⁰⁰ Top-p (nucleus sampling) and temperature. Top-p sets a probability threshold for token inclusion,

filtering out excessively rare or common tokens to balance the output. The temperature parameter

- dictates the randomness of generated text; high values foster creativity, while low values ensure focused and deterministic outputs. In our experiments, we set Top-p to 1 and temperature to 0 for
- focused and deterministic outputs. In our experiments, we set Top-p to 1 and temperature to 0 for
 accurate and controlled text generation.
 Table 1: Technical specifications of the LLMs and LMs. The Cost category indicates the price
 - Table 1: Technical specifications of the LLMs and LMs. The *Cost* category indicates the price for using 1k tokens, while the *Date of Last Update* category denotes the the most recent date the knowledge base of the LLMs was updated.

Model	Organization	Cost	Date of Last Update	Vocabulary Size
ChatGPT	Open-AI	0.002\$	Jun. 2021	175B
BARD	Google	Free	Undisclosed	1,560B
DeBERTa	Hugging Face	Free	N/A	50M

Zero-Shot CoT LLMs Prompting: We access LLMs via the LMaaS platform, using text-based API interactions. We employ open-ended natural language prompts with task-specific instructions to query the LLMs, thereby generating detailed textual descriptions pertaining to the structure, properties, and applications of given nanomaterials. Utilizing a tailored zero-shot prompt template, we guide the LLMs through a series of chain-of-thought prompts[101], extracting comprehensive domain knowledge embedded within the language model parameters to generate rich, detailed technical descriptions of nanomaterials. The customized CoT prompt format is as follows:

descriptions of nanomaterials. The customized CoT prompt format is as follows:

Prompt 1: Introduction: Provide an overview of the nanomaterial category and its significance in various fields. Prompt 2: Definition and Structure: Define the nanomaterial category and describe its typical structure at the nanoscale. **Prompt 3:** Synthesis Methods: Explore different methods used to synthesize or fabricate nanomaterials in this category. Discuss their advantages and limitations. Prompt 4: Properties: Highlight the unique physical, chemical, and electronic properties exhibited by nanomaterials in this category. Discuss how these properties differ from their bulk counterparts. **Prompt 5:** Applications: Explore the wide range of applications where nanomaterials in this category are utilized. Discuss their potential impact in fields such as electronics, energy, medicine, environmental remediation, etc. Prompt **6:** Surface Modification: Describe the strategies used to modify the surface properties of nanomaterials in this category, such as functionalization, coating, or doping. Explain how these modifications enhance their performance or enable specific applications. **Prompt 7**: Toxicity and Safety: Address the potential health and environmental concerns associated with nanomaterials in this category. Discuss studies on their toxicity, risk assessment, and safety measures to mitigate any potential hazards. Prompt 8: Future Directions: Discuss current research trends and future prospects for nanomaterials in this category. Highlight emerging technologies, challenges, and areas of active exploration.

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213 Querying the LLMs generates technical descriptions of nanomaterial categories. It provides valuable 214 insights into the characteristics, properties, and applications of different types of nanomaterials.

215

(LLMs Response) [Textual Outputs]

In the following section, we will present our approach to integrating detailed textual descriptions into 216 a small-scale LM for pre-training through the masked language modeling (MLM) technique, and 217 fine-tuning for domain customization on the downstream supervised nanomaterial identification task. 218 **Domain Customization: Fine-Tuning LMs** Our approach employs a smaller language model (LM) 219 to interpret and encode the textual outputs generated by a larger language model (LLM). We leverage 220 the smaller LM as an intermediate network to bridge the LLMs and downstream classification layers. 221 The encoder-only LMs[77] are fine-tuned using a self-supervised learning approach known as masked 222 language modeling (MLM). In this approach, the large corpus of LLM textual outputs is processed 223 by randomly masking out tokens in each sentence. The model is then trained to predict the masked 224 words, given the context of the surrounding non-masked words. This process helps the model learn 225 the statistical relationships between words and phrases, thereby facilitating the generation of coherent 226 language representations. Briefly, we pre-train smaller general-purpose language models (referred to 227 as LM_{expl}) using the MLM technique for domain customization, enhancing language-based contextual 228 understanding and semantic relationship extraction for aiding downstream applications. We then 229 fine-tune the smaller LM for downstream task-specific adaptation to encapsulate the explanations 230 generated by LLMs. Post pre-training on MLM technique, we input the text sequences generated 231

by LLMs (denoted as S_{expl}) into the LM_{expl} model, which then generates expressive, context-aware 232 embeddings for each token in the sentence, capturing the semantic relationships between the tokens 233 as follows: $h_{\text{expl}} = \text{LM}_{\text{expl}}(s_{\text{expl}})$ 235 236

where the context-aware embeddings are denoted as $h_{expl} \in \mathbb{R}^{m \times d}$, where *m* represents the number of tokens in S_{expl} and *d* is token embedding dimension. We then perform sum-pooling attention 237 mechanism to compute a weighted sum of these token embeddings to encode the textual explanations 238 to obtain an text-level fixed-length embedding as follows: 239

$$\alpha_i = \operatorname{softmax}(q_i); \quad q_i = \mathbf{u}^T h_{\exp l}^{(i)}$$

$$h^{\operatorname{text}} = \sum_{i}^{m} \alpha_i h_{\exp l}^{(i)}$$
(3)
(4)

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 $\sum_{i=0}^{\alpha_i n_{expl}}$ where u is a differentiable vector. The text-level embedding $h^{\text{text}} \in \mathbb{R}^d$ captures the essence or core 242 of the domain knowledge as a whole, extracted from the foundational LLMs for each nanomaterial. 243 We calculate the relevance score between the text-level embedding(h^{text}) and the electron micrograph 244 representations(h_{fus}) obtained from the hierarchical network fusion(HNF, refer to section 3.2), as 245

detailed below,
$$\beta^* = \arg\max_{c} [\operatorname{softmax}(q_k h_{\operatorname{fus}})]; \quad q_k = \mathbf{v}^T [h_1^{text}] |\cdot||h_c^{text}]$$
(5)

where the subscript, c denotes the total number of nanomaterial categories and v is a trainable 248 parameter. The above operator computes the list of scores or probabilities for each nanomaterial, 249 and the $\arg \max$ operator selects the nanomaterial for which the probability score is maximized. We 250 then select the appropriate/relevant nanomaterial text-level embedding conditioned on hierarchical 251 embedding (h_{fus}) as follows: $h_{\text{fus}}^{\text{text}} = h_{\beta^*}^{\text{text}}$ 252 (6)

 β^* denotes the nanomaterial label with the highest probability. This is essentially a matching mecha-254 nism that tries to find the best pairwise alignment among the various nanomaterial text-level embed-255 dings $(h_1^{text}, \ldots, h_c^{text})$ and the hierarchical embedding (h_{fus}) obtained from the hierarchical network 256 fusion (HNF). We utilize backpropagation error in the downstream supervised multi-classification 257 task to fine-tune the smaller LMs to maximize the pairwise alignment between the complementary 258 hierarchical embedding (h_{fus}) and its corresponding text-level embedding $h_{\text{fus}}^{\text{text}}$. To put it briefly, $h_{\text{fus}}^{\text{text}}$ 259 incorporates the expert knowledge obtained from foundational LLMs for the appropriate nanomaterial 260 underlying the electron micrographs. 261

3.4 Overall Method 262

Figure 2 provides an overview of the "MultiFusion-LLM" framework. Our proposed framework 263 comprises three distinct methods: a) Hierarchical Network Fusion (HNF) tokenizes micrographs 264 into patches to obtain patch sequences and construct vision graphs. It introduces a $\langle cls \rangle$ token 265 into the patch sequence and a virtual node for the vision graph to capture global characteristics. The 266 network has a multi-layered structure; each layer of the network consists of bidirectional Neural ODEs 267 and graph Chebyshev networks, and regulates the information flow through a gating mechanism to 268 learn hierarchical embeddings with increasing patch sizes across each layer. It computes cross-modal 269 embeddings, denoted as h_{fus} , by integrating embeddings between modalities at different patch 270 resolutions, thereby facilitating the exchange of information and integration of knowledge. For more 271 detailed information, please refer to section 3.2. b) LLMs for Incorporating Domain Knowledge: 272 We generate technical descriptions of nanomaterials, capturing a wide range of information including 273 structure, properties, and applications using Zero-Shot CoT prompting of LLMs. To illustrate, Table 274 8 provides a glimpse of the LLM-retrieved text obtained from GPT-3.5 turbo, specifically generated 275 to address natural language queries regarding MEMS devices. Initially, we pre-train a smaller LM 276 on the generated descriptions through masked language modeling (MLM). Later, we fine-tune this 277 small-scale LM on a downstream supervised task to encapsulate the generated explanations. We 278 then utilize the weighted sum-pooling attention mechanism to compute domain-specific knowledge-279 incorporated text-level embeddings, denoted as $\mathbf{h}_{fus}^{\text{text}}$. For additional details, please refer to subsection 3.3. (c) We employ the **multi-head attention mechanism** (**MHA**)[95] to fuse text-level embeddings $\mathbf{h}_{fus}^{\text{text}}$, with hierarchical embeddings \mathbf{h}_{fus} , enabling the capture of contextually relevant information 280 281 282 and achieving semantic alignment across different cross-domain embeddings. Simultaneously, by 283 focusing on and aligning high-level textual descriptions (text-level embeddings) with detailed visual 284 representations (hierarchical embeddings), we ensure a comprehensive understanding and analysis of 285 electron micrographs from both descriptive and visual perspectives. This approach helps mitigate 286 the inherent limitations arising from high intra-class dissimilarity, high inter-class similarity, and 287 spatial heterogeneity in visual patterns across the electron micrographs, ultimately enhancing the 288 performance of nanomaterial identification tasks. We compute the Query, Key, Value projections for 289

the text-level embedding $\mathbf{h}_{fus}^{\text{text}}$ for each head h as follows:

²⁹¹
$$Q_{\text{text}}^{h} = \mathbf{h}_{fus}^{\text{text}} W_{Q_{\text{text}}}^{h}; K_{\text{text}}^{h} = \mathbf{h}_{fus}^{\text{text}} W_{K_{\text{text}}}^{h}; V_{\text{text}}^{h} = \mathbf{h}_{fus}^{\text{text}} W_{V_{\text{text}}}^{h}$$
(7)

Similarly, the Query, Key, Value projections for hierarchical embedding \mathbf{h}_{fus} for each head h as follows: $Q_{\text{fus}}^{h} = \mathbf{h}_{fus} W_{Q_{\text{fus}}}^{h}; K_{\text{fus}}^{h} = \mathbf{h}_{fus} W_{K_{\text{fus}}}^{h}; V_{\text{fus}}^{h} = \mathbf{h}_{fus} W_{V_{\text{fus}}}^{h}$ (8)

We concatenate keys and values of text-level and hierarchical embeddings to create a unified representation. $K^h = [K^h - K^h] \cdot V^h = [V^h - V^h]$ (0)

We apply Softmax attention to integrate complementary information from the cross-domain emb dings, focusing on relevant information and aligning them semantically.

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$$A_{\rm cross}^{h} = \text{Softmax}\left(\frac{(Q_{\rm text}^{h} + Q_{\rm fus}^{h})K_{\rm concat}^{h}}{\sqrt{d_{h}}}\right)$$
(10)

Each head outputs a new vector representation that highlights the most relevant features in the mono-domain embeddings, tailored to specific aspects of the data.

$$O_{\rm cross}^h = A_{\rm cross}^h V_{\rm concat}^h \tag{11}$$

Finally, we concatenate and linearly transform all head-specific outputs to create the final unified cross-modal embedding. $O_{\text{concat}} = [O_{\text{cross}}^1, O_{\text{cross}}^2]$ (12)

305 cross-modal embedding.
$$O_{\text{concat}} = [O_{\text{cross}}^1, O_{\text{cross}}^2, \dots, O_{\text{cross}}^n]$$
 (1

$$C_{\rm cross} = O_{\rm concat} W_{O_{\rm cross}} \tag{13}$$

$$\mathbf{p}_i = \operatorname{softmax}(\mathbf{W}y_{\operatorname{cross}}) \tag{14}$$

where $W_{Q_{\text{text}}}^h, W_{K_{\text{text}}}^h, W_{V_{\text{text}}}^h, W_{V_{\text{fus}}}^h, W_{Q_{\text{fus}}}^h, W_{K_{\text{fus}}}^h, W_{O_{\text{cross}}}$ and W are the trainable weight matrices. d_h represents the dimensionality of the key/query/value for each head, and H is the number of heads. p_i 307 308 represents the probability distribution across nanomaterial categories, we apply the argmax operation 309 to p_i to determine the framework's predictions for the nanomaterial category. In summary, we conduct 310 Zero-shot CoT prompting of LLMs to generate technical descriptions of nanomaterials and pre-train 311 small-scale LMs using masked language modeling (MLM). Next, we jointly optimize the smaller 312 pre-trained LM and the hierarchical network fusion (HNF) method on supervised learning tasks. 313 The objective is to minimize the cross-entropy loss and enhance multi-class classification accuracy. 314 In summary, the MHA offers a multi-faceted approach to capture and align varied information 315 sources, making it a powerful tool for multi-modal data integration and analysis. It allows for a 316 robust, synergistic, and comprehensive representation of data, especially in contexts like nanomaterial 317 analysis where both modalities offer complementary insights. 318

319 4 Experiments And Results

320 4.1 Datasets

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Our study primarily utilized the SEM dataset[4] to automate nanomaterial identification. The expert-321 annotated dataset spans across 10 distinct categories, representing a broad range of nanomaterials 322 such as *particles*, *nanowires*, *patterned surfaces*, *among others*. In total, it contains approximately 323 21,283 electron micrographs. Figure 4 provides a visual representation of the different nanomaterial 324 categories included in the SEM dataset. Despite the initial findings by [74] on a subset of the 325 original dataset, our research was based on the complete dataset since the subset was not publicly 326 accessible. Although the original dataset curators, [4], did not provide predefined splits for training, 327 validation, and testing, we utilized the k-fold cross-validation method to evaluate our framework's 328 329 performance. This strategy facilitated a fair comparison with popular baseline models in a competitive benchmark setting. Furthermore, we extended our evaluation by leveraging several open-source 330 material benchmark datasets relevant to our study. These datasets were used to showcase the efficacy 331 of our proposed framework and its applicability in a broader context beyond the SEM dataset. 332



Figure 4: The figure depicts the different types of nanomaterials found in the SEM dataset ([4]) (left to right in the first row: *biological, fibers, films, MEMS, nanowires*; left to right in the second row: *particles, patterned surface, porous sponges, powder, tips*).

333 4.2 Results

We evaluated the effectiveness of our proposed framework through a comprehensive performance 334 analysis, comparing it to commonly used computer vision baseline models. Our comparisons included 335 supervised learning models such as ConvNets and ViTs (as referenced in [2, 1]), along with self-336 supervised learning techniques like Vision Contrastive Learning (VCL, as discussed in [34]). Table 337 2 reports the experimental results from our study. To ensure a fair and rigorous comparison, we 338 conducted experiments with consistent settings across all algorithms, measuring performance using 339 the Top-N accuracy metric and evaluating specifically for $N \in \{1, 2, 3, 5\}$. Our proposed framework 340 outperforms the baseline models, showing a substantial relative improvement of 25.8% in the Top-1 341 score and a marginal improvement of 5.34% in the Top-5 score compared to the next-best baseline 342 model, T2TViT ([110]). 343

Table 2: The table shows the comparison of our proposed method with baseline algorithms, including vision-based supervised ConvNets, ViTs, and self-supervised learning (VSL) algorithms.

	Algorithms	Parameters	Top-1	Top-2	Top-3	Top-5	
	AlexNet([65])	5.70E+07	0.493	0.582	0.673	0.793	
ts	DenseNet([57])	2.39E+05	0.539	0.750	0.875	0.906	
Š	ResNet([50])	2.72E+05	0.512	0.766	0.891	0.906	
NU	VGG([81])	3.44E+07	0.517	0.644	0.717	0.779	
చి	GoogleNet([84])	2.61E+05	0.560	0.844	0.906	0.938	
	SqueezeNet([58])	7.41E+05	0.436	0.469	0.609	0.656	
	Barlowtwins[111]	8.99E+06	0.138	0.250	0.328	0.453	
	SimCLR[22]	8.73E+06	0.157	0.234	0.359	0.469	
Ľ	byol[43]	8.86E+06	0.130	0.234	0.281	0.422	
S	moco[49]	8.73E+06	0.158	0.188	0.250	0.438	
	nnclr[33]	9.12E+06	0.144	0.266	0.313	0.531	
	simsiam[23]	9.01E+6	0.170	0.266	0.391	0.500	
-	CCT[47]	4.10E+05	0.600	0.781	0.875	0.969	
	CVT[102]	2.56E+05	0.537	0.750	0.828	0.953	
	ConViT[26]	6.00E+05	0.582	0.734	0.828	0.938	
	ConvVT[102]	9.23E+04	0.291	0.563	0.734	0.875	
	CrossViT[17]	8.35E+05	0.466	0.719	0.828	0.938	
	PVTC[99]	1.30E+06	0.567	0.766	0.813	0.922	
	SwinT[71]	2.78E+07	0.675	0.766	0.891	0.938	
(s)	VanillaViT[31]	1.79E+06	0.623	0.828	0.859	0.938	
N.	Visformer[24]	1.21E+05	0.371	0.578	0.641	0.797	
rs(ATS[36]	3.26E+06	0.511	0.703	0.828	0.938	
nei	CaiT[92]	3.84E+07	0.616	0.750	0.906	0.938	
OLI	DeepViT[113]	3.26E+06	0.512	0.734	0.875	0.938	
ısf	Dino[15]	2.02E+07	0.047	0.219	0.391	0.432	
rai	Distallation[91]	2.06E+06	0.516	0.719	0.844	0.938	
E	LeViT[42]	1.68E+07	0.597	0.813	0.875	0.953	
ion	MA[48]	3.87E+06	0.192	0.288	0.350	0.459	
Vis	NesT[112]	1.61E+07	0.636	0.828	0.891	0.953	
•	PatchMerger[78]	3.26E+06	0.549	0.719	0.859	0.922	
	PiT[54]	4.48E+06	0.520	0.703	0.828	0.953	
	RegionViT[16]	1.22E+07	0.575	0.797	0.859	0.922	
	SMIM[104]	2.38E+06	0.163	0.297	0.453	0.609	
	T2TViT[110]	1.03E+07	0.702	0.859	0.906	0.938	
	ViT-SD[68]	4.47E+06	0.613	0.766	0.906	0.953	
	MultiFusion-LLM W/GPT-3.5	2.39E+07	0.947	0.965	0.986	0.991	
	MultiFusion-LLM W/Google Bard	2.39E+07	0.852	0.899	0.927	0.953	

344 5 Conclusion

To conclude, we have conducted the first in-depth study aimed at achieving state-of-the-art perfor-345 mance in nanomaterial characterization. This study introduces the innovative MultiFusion-LLM 346 framework, a robust solution to the challenges associated with nanomaterial identification in electron 347 micrographs. By synergistically integrating multi-modal representations and leveraging the analytical 348 prowess of large language models, it promises more nuanced and accurate classification. Our compre-349 hensive framework has outperformed traditional methods, showcasing cutting-edge performance on 350 cost-efficient GPU hardware. Furthermore, it has demonstrated effectiveness and computational effi-351 ciency, particularly with large datasets, thereby accelerating high-throughput screening and advancing 352 research holding implications for the advancement of the semiconductor industries. 353

354 6 Technical Appendix

Table 3 presents experimental findings comparing the proposed framework's performance to various supervised learning-based baseline models, including several GNN architectures ([79, 38]), and we use Graph Contrastive Learning (GCL, [114]) algorithms for additional comparison. Our proposed framework achieves SOTA performance on the benchmark dataset [4] compared to the baselines.

Algorithms Parameters Top-1 Top-2 Top-3 Top-5 0.513 0 595 0.778 GBT[8] 7.09E+05 0.686 GRACE[115] 7.44E+05 0.581 0.646 0.711 0.773 GSI 6.92E+05 **BGRL**[87] 0.573 0.629 0.671 0.728 InfoGraph[82] 6.82E+05 0.560 0.631 0.694 0.756 APPNP[64] 7.35E+05 0.604 0.713 0.792 0.823 AGNN[88] 0.841 0.943 5.22E+05 0.517 0.733 ARMA[7] 4.57E+05 0.553 0.747 0.848 0.925 **Graph Neural Networks** DNA[37] 8.48E+05 0.593 0.891 0.677 0.786 GAT[96] 6.31E+05 0.507 0.724 0.807 0.914 GGConv[69] 8.05E+05 0.583 0.778 0.841 0.944 GraphConv[75] 5.85E+05 0.623 0.787 0.875 0.953 GCN2Conv[19] 6.18E+05 0.697 0.813 0.867 0.945 ChebConv[28] 5.00E+05 0.547 0.762 0.834 0.896 GraphConv[75] 6.79E+05 0.533 0.727 0.847 0.961 0.738 GraphUNet[39] 9.57E+05 0.622 0.866 0.912 MPNN[40] 5.22E+05 0.643 0.792 0.873 0.959 RGGConv[9] 6.58E+05 0.633 0.727 0.886 0.928 SuperGAT[61] 5.54E+05 0.561 0.676 0.863 0.935

5.74E+05

2.39E+07

2.39E+07

0.614

0.947

0.852

0.739

0.965

0.899

0.803

0.986

0.927

0.946

0.991

0.953

Table 3: The table presents the results of a comparative study between our proposed method and supervised-learning based GNNs, as well as self-supervised graph contrastive learning (GCL) algorithms, on the SEM dataset [4].

359 6.1 Experimental Setup

TAGConv[32]

MultiFusion-LLM W/GPT-3.5

MultiFusion-LLM W/Google Bard

The SEM dataset[4] consists of electron micrographs with dimensions of $1024 \times 768 \times 3$ pixels. 360 To facilitate our analysis, we downscale these micrographs to $224 \times 224 \times 3$ pixels. As part of the 361 data preprocessing, we normalize the electron micrographs by adjusting the mean and covariance 362 to achieve a value of 0.5 across all channels. This normalization results in the micrographs falling 363 within the range of [-1, 1]. We tokenize the downscaled and normalized micrographs into discrete, 364 non-overlapping patches. Subsequently, we represent the electron micrographs as patch sequences 365 and construct vision graphs using the Top-K nearest neighbor search algorithm. Specifically, we 366 set the value of K to 10, 6, and 4 for each layer in the hierarchical network fusion (HNF) method, 367 resulting in a total of three layers. This process generates multi-scale vision graphs and patch 368 sequences with patch resolutions increasing of 16, 28, and 32 pixels. The patch dimension (d_{pos}) 369 and position embedding dimension (d) are both set to 64. The framework is evaluated using a 370 10-fold cross-validation strategy and trained for 50 epochs with an initial learning rate of $1e^{-3}$ and a 371 batch size of 48. We have a few more hyperparameters set for the cross-modal attention layer with 372 373 the number of attention heads(H) to 4, and the dimensionality of Key/Query/Value (d_h) is 16. To 374 enhance the performance of the MultiFusion-LLM framework, we employ two key strategies: (a) early stopping on the validation set, which halts training when the framework's performance on the 375 validation data plateaus to prevent overfitting; and (b) a learning rate scheduler that systematically 376 reduces the learning rate by half if the validation loss stagnates for five consecutive epochs. Reducing 377 the learning rate can help the framework converge to a better solution and avoid overfitting. In 378 addition, we utilize the Adam optimization algorithm [62] to update the trainable parameters of 379 the framework. Our proposed framework enhances the accuracy of multi-class classification tasks 380 by seamlessly integrating both large language models (LLMs) and small-scale language models 381 (LMs). The framework fully leverages the capabilities of LLMs in generating technical descriptions 382 of nanomaterials, an approach that can significantly exploit domain-specific linguistic insights critical 383 for nanomaterial identification tasks. The framework interacts with off-the-shelf LLMs through a 384 Language Model as a Service (LaMaaS) platform through the text-based API interactions. In this 385 study, we utilized GPT-3.5-turbo and Google Bard as representative LLMs. The hyperparameters 386 for our framework were not individually fine-tuned for each LLM. Instead, they were consistently 387 applied across all LLMs. This method underscores our framework's generality, ease of use, and 388

compatibility with existing off-the-shelf LLMs. For decoder-only LLMs, the maximum output token
sequence length is 4096 for GPT-3.5-turbo and 4000 for Google Bard. To optimize computational
resource use, the system is trained on eight V100 GPUs, each boasting 8 GB of GPU memory,
utilizing the PyTorch framework. This configuration ensures the training process is completed within
a reasonable timeframe. Given the potentially high computational cost of using prompting with
LLMs, we conducted each experiment twice and reported the averaged results.

395 6.2 Ablation Study

Figure 5 illustrates the overview of the framework. Our proposed framework comprises three dis-396 tinct methods: (a) The Hierarchical Network Fusion (HNF) is a multi-layered, cascading network 397 architecture designed to enhance the classification accuracy of electron micrographs. It integrates 398 two complementary representations at multiple layers: (a) patch sequences, which assist in capturing 399 spatial dependencies among patches beyond pairwise dependencies, and (b) vision graphs, which 400 401 capture the local pairwise patch relationships. These techniques provide a detailed multi-scale rep-402 resentation of the micrographs, encapsulating both fine-grained and coarse-grained details. HNF 403 uses an inverted pyramid structure, incorporating increasing patch sizes at each layer, and utilizes 404 bidirectional Neural ODEs and Graph chebyshev convolution(GCC) networks for iterative patch embeddings refinement and the computation of the optimal node-level embeddings, respectively. 405 A mixture-of-experts technique further optimizes the integration of these cross-domain modalities, 406 fostering efficient knowledge exchange and improving classification accuracy by effectively modeling 407 structural, semantic, and causal information from both techniques. (b) Using Zero-shot CoT prompt-408 ing with LLMs, we generate detailed technical descriptions of nanomaterials. We pre-train smaller 409 LMs using masked language modeling (MLM) on these descriptions to facilitate domain-specific 410 customization. These pre-trained LMs are then fine-tuned for task-specific adaptation to generate 411 contextualized token embeddings. We apply a sum-pooling attention mechanism to obtain text-level 412 embeddings from these token embeddings, thereby capturing the vast domain-specific knowledge 413 embedded in the generated textual descriptions. (c) We use the cross-modal multi-head attention 414 mechanism to integrate and align information from different modalities — specifically, from hierar-415 chical network fusion (HNF) and language models — into a coherent and unified representation that 416 captures complex, hierarchical, and potentially cross-modal patterns, emphasizing relevant features 417 to enhance the accuracy of the multi-class classification task. 418





Figure 5: Overall, the architecture of our framework involves using zero-shot CoT prompting with LLMs to generate technical textual descriptions and pre-train smaller language models (LMs) using masked language modeling (MLM). We then jointly optimize the smaller LM along with the HNF method in supervised learning tasks, aiming to minimize cross-entropy loss and improve multi-class classification accuracy.

Algorithms	Avg-Precision	Avg-Recall	Avg-F1 Score
MultiFusion-LLM W-GPT4	0.941	0.945	0.939
w/o HNF	0.776	0.753	0.745
w/o LLMs	0.714	0.726	0.721
w/o MHA	0.827	0.831	0.823

Table 4: In the ablation study, we systematically disable individual methods to assess their respective contributions and importance. The goal of this study is to understand the impact or significance of specific methods on the overall performance of the framework. The experimental findings reveal the significance of the disabled methods, as indicated by the consistent decrease in performance metrics of the ablated variants compared to the baseline. These results substantiate our hypothesis regarding the joint optimization of HNF (see subsection 3.2) and LLMs (see subsection 3.3) methods, demonstrating improved framework performance.

⁴¹⁹ To perform ablation studies, we systematically disabled certain methods to create various ablated ⁴²⁰ variants, which were subsequently evaluated using the SEM dataset [4], with our original framework

serving as the baseline for comparison. This approach enables us to verify the effectiveness of 421 our methods, substantiate their design decisions, and justify their inclusion in the framework. A 422 substantial decrease in performance of the ablated variants, compared to the baseline, underscores 423 the significance of the omitted method. The ablated variants that exclude the hierarchical network 424 fusion (HNF), large language models (LLMs), and the multi-head attention layer are denoted as 425 proposed framework "w/o HNF", "w/o LLMs", and "w/o MHA" respectively. The abbreviation "w/o" 426 stands for "without". For the case of "w/o MHA", we concatenate the cross-domain embeddings and 427 transform them through a linear layer to predict the label. The findings from the ablation study are 428 presented in Table 4. On the SEM dataset[4], the "w/o HNF" variant shows a substantial decline in 429 performance relative to the baseline, evidenced by a significant drop of 17.53% in Avg-Precision. 430 Similarly, the "w/o LLMs" variant performs much worse than the baseline, with a drop of 24.12% in 431 Avg-Precision. In addition, the "w/o MHA" variant exhibited a notable deterioration in performance 432 compared to the baseline, manifested by a substantial decrease of 11.9% in Avg-Precision. This is 433 attributed to the overly simplified linear operator in the output layer. The results of our ablation study 434 clearly illustrate the crucial role of each omitted method, with the ablated variants demonstrating a 435 consistent decline in performance metrics compared to the baseline. 436

437 6.3 An In-Depth Empirical Insights into Nanomaterial Classification

We have conducted additional experiments to gauge the efficacy of our framework, which sheds 438 light on its ability to categorize electron micrographs across various nanomaterial categories. The 439 experimental results, presented in Table 5, demonstrate that our proposed framework can generalize to 440 a wide range of nanomaterials, including those with complex patterns. We evaluated the performance 441 of our framework using the SEM dataset[4], employing standard metrics such as precision (P in 442 %), recall (R in %), and F1-score (F1 in %). We adopt a multi-metric approach to ensure a fair 443 and thorough comparison with baseline models. To facilitate this, we utilize a confusion matrix 444 encompassing various metrics for multi-class classification. This confusion matrix aids in scrutinizing 445 our framework's performance by offering insights into how it categorizes electron micrographs across 446 different nanomaterial categories. The metrics included in the confusion matrix are as follows: True 447 Positives (TP) represent micrographs that are correctly classified as belonging to a specific category. 448 False Negatives (FN) represent micrographs that actually belong to a category but are incorrectly 449 classified or missed. True Negatives (TN) represent micrographs that are correctly identified as not 450 belonging to a particular category. False Positives (FP) represent micrographs that are mistakenly 451 classified as belonging to a category despite not actually belonging to that category. These metrics 452 evaluate the accuracy and effectiveness of our framework in micrograph categorization. Precision 453 (TP / (FP + TP)) measures the proportion of correctly classified micrographs for a specific category, 454 while recall (TP / (FN + TP)) measures the proportion of all micrographs of a category that were 455 accurately identified. The F1-score is computed as the balanced mean of precision and recall. It is 456 important to note that the SEM dataset is highly class-imbalanced. Our framework demonstrates a 457 relatively higher score in the classification of nanomaterial categories with a large number of labeled 458 instances compared to those with fewer. This favorable performance of our proposed framework can 459 be attributed to its reduced dependency on nanomaterial-specific relational inductive bias, setting it 460 apart from traditional methods. 461

Category	Multi-class metrics				
80	Precision	Recall	F1 Score		
Biological	0.931±0.009	0.943±0.007	0.935±0.013		
Tips	0.909 ± 0.005	$0.919 {\pm} 0.008$	0.916±0.011		
Fibres	0.979 ± 0.007	0.965 ± 0.012	0.963 ± 0.014		
Porous Sponge	0.929 ± 0.014	0.941 ± 0.013	0.925 ± 0.010		
Films Coated Surface	$0.938 {\pm} 0.005$	0.934 ± 0.009	0.941 ± 0.008		
Patterned surface	0.946 ± 0.016	0.942 ± 0.006	0.941±0.014		
Nanowires	$0.938 {\pm} 0.012$	$0.945 {\pm} 0.007$	0.948 ± 0.011		
Particles	$0.935 {\pm} 0.006$	0.937 ± 0.011	0.929 ± 0.023		
MEMS devices	0.939 ± 0.011	$0.932 {\pm} 0.008$	0.923 ± 0.009		
Powder	0.941 ± 0.014	$0.928 {\pm} 0.009$	0.917±0.011		

Table 5: The table illustrates the effectiveness of our proposed framework in identifying individual nanomaterial categories within the SEM dataset.

462 6.4 Baseline Algorithms

⁴⁶³ We have categorized our baseline methods into four distinct groups: Graph Neural Networks (GNNs)

464 ([79, 38]), Graph Contrastive Learning (GCL) [114]), Convolutional Neural Networks (ConvNets)[2,

⁴⁶⁵ 1], Vision Transformers (ViTs) ([2, 1]) and Vision Contrastive Learning (VCL) ([34]) algorithms

. We construct vision graphs to represent electron micrographs using the Top-K nearest neighbor 466 search technique. In this representation, patches are treated as nodes, and pairwise associations 467 between semantically similar nearest-neighbor nodes are represented as edges. For the baselines, 468 we avoid constructing multi-scale vision graphs with increasing patch resolutions. Instead, we set 469 the patch size to 32 pixels to reduce the complexity of the baseline models and set K to 5 for 470 finding the nearest neighbors. The baseline Graph Neural Networks (GNNs)[79, 38]) are used 471 for the multi-class classification task on vision graphs through supervised learning. The graph 472 contrastive learning (GCL) algorithms ([114]) utilize several graph data augmentation strategies to 473 create multiple correlated views of a vision graph. GCL aims to maximize the similarity between 474 positively correlated views of a graph while minimizing dissimilarity with others, thereby learning 475 invariant self-supervised node-level embeddings. The GCL algorithms employ the Graph Attention 476 Network (GAT) ([96]) as the node-level graph encoder. Graph-level embeddings are generated 477 by performing sum-pooling on the node-level embeddings. During inference, the Random Forest 478 (RF) algorithm utilizes these robust self-supervised graph-level embeddings to predict nanomaterial 479 categories, having been trained using supervised learning. To evaluate the effectiveness of the 480 unsupervised embeddings, we measure the classification accuracy of the RF model on the holdout 481 data. In addition, we employ baseline ConvNets ([2, 1]) operating on the regular grid of pixels in 482 electron micrographs for classification tasks using supervised learning. We also utilize baseline Vision 483 Transformers (ViTs) ([2, 1]) trained through supervised learning to analyze patch sequences within 484 each electron micrograph for classification tasks. Furthermore, we utilize visual-contrastive learning 485 (VCL) techniques ([34]), which are self-supervised algorithms designed for contrastive learning in 486 computer vision tasks. We employ the ResNet backbone architecture for feature extraction. 487



Figure 6: In this illustrative example, we divided an electron micrograph (MEMS device, [4]) into a grid of 3×3 patches. The image presents various representations of the micrograph, including a regular grid, a sequence, and a graph representation from left to right, respectively. Different approaches for processing these representations include ConvNets that operate on pixel grids, ViTs that operate on patch sequences, and GNNs that operate on vision graphs. These graphs represent patches as nodes and are constructed using a nearest neighbor search algorithm, connecting patches based on visual similarity rather than spatial proximity. Each method offers a unique perspective for analyzing electron micrographs, providing distinct advantages and insights into patterns.

488 **6.5 Hyperparameter Studies**

We performed an in-depth hyperparameter tuning to determine the optimal hyperparameters for our 489 framework. The hyperparameters of the algorithm are: (1) the dimensionality of the embedding (d), 490 and (2) batch size (b). The hyperparameters were chosen from the following ranges: embedding 491 dimension $(d) \in [32, 64, 128, 256]$ and batch size $(b) \in [32, 48, 64, 96]$. We conducted hyperparam-492 eter optimization using the random-search technique to achieve the optimal performance of our 493 proposed framework on the validation dataset, measured in terms of Top-1 classification accuracy. 494 For each experiment, we altered the hyperparameter under investigation to ascertain its impact on the 495 framework's performance. The study determined that the optimal hyperparameters are d = 64 and 496 b = 48.497

(d,b) (32,48) (64,4)	8) (128,48)	(256, 48)	(d,b)	(64, 32)	(64, 48)	(64, 64)	(64, 96)
0.941 0.94	7 0.935	0.927		0.943	0.947	0.939	0.936

Table 6: The table reports the experimental findings of the hyperparameter study.

498 6.6 Benchmarking with open-source material datasets

499	• NEU-SDD ³ ([29]) is a comprehensive database comprising 1800 grayscale electron micro-
500	graphs of surface defects on hot-rolled steel strips. The dataset is divided into six distinct
501	defect classes, each containing 300 micrographs with a resolution of 200×200 pixels. The
502	defect categories include pitted surfaces, scratches, rolled-in scale, crazing, patches, and
503	inclusion defects. Figure 7 displays representative images from each category. We conducted

³Datasource: http://faculty.neu.edu.cn/yunhyan/NEU_surface_defect_database.html

a comparative analysis using various standard algorithms to evaluate the effectiveness of our
 proposed approach, specifically in the domain of multi-class classification tasks for surface
 defect identification.

• **CMI**⁴ consists of 600 high-resolution electron micrographs depicting corroding panels. Each micrograph has been annotated by corrosion experts following ASTM-D1654 standards, assigning discrete ratings ranging from 5 to 9. The dataset includes 120 distinct micrographs for each corrosion rating with a spatial resolution of 512×512 pixels. Figure 8 illustrates a selection of representative images for each rating. Our proposed method for multiclass classification task is evaluated by comparing its performance against several standard algorithms.

• KTH-TIPS⁵ represents a comprehensive texture dataset, comprising 810 electron mi-514 crographs, each depicting one of ten distinct material types. These micrographs, with a 515 resolution of 200 x 200 pixels, encompass a wide range of materials captured under different 516 illuminations, poses, and scales. The diverse material categories encompass textures such as 517 sponge, orange peel, styrofoam, cotton, cracker, linen, brown bread, sandpaper, crumpled 518 aluminum foil, and corduroy. Figure 9 showcases a selection of sample images from each 519 category. In order to assess and demonstrate the efficacy of our proposed method, we con-520 duct a comparative analysis of its performance against various standard algorithms within 521 the domain of multi-class identification tasks. 522



Figure 7: The NEU-SDD dataset contains six distinct defect categories found in hot-rolled steel strips, which are described in reference 3([29]).



Figure 8: The CMI dataset is a collection of electron micrographs that represent five corrosion rating categories. These categories are described in reference 4.



Figure 9: The KTH-TIPS dataset contains samples of electron micrographs of ten distinct materials. These materials are described in reference 5.

Table 7 presents a comprehensive comparison of the performance achieved by our proposed approach

⁵²⁴ in contrast to various baseline methods, evaluated across all datasets. The experimental results

525 demonstrate that our method achieves state-of-the-art performance on all datasets, underscoring the

⁵²⁶ efficacy and robustness of our framework.

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⁴https://arl.wpi.edu/corrosion_dataset
⁵https://www.csc.kth.se/cvap/databases/kth-tips/index.html

	Algorithms	NEU-SDD	CMI	KTH-TIPS
es	ResNet	0.906	0.928	0.941
Ŀ.	GoogleNet	0.936	0.928	0.929
Se	SqueezeNet	0.955	0.943	0.963
\mathbf{Ba}	VanillaViT	0.962	0.968	0.972
	MultiFusion-LLM	0.993	0.989	0.991

Table 7: The table presents the comparative evaluation of our proposed framework's performance against several benchmark algorithms on a variety of datasets.

6.7 Graph Chebyshev convolution 527

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The graph convolution is a powerful tool in the realm of learning from graph-structured data. The 528 spectral graph convolution[85] is a popular approach, but it can be computationally expensive for 529 large graphs. To tackle this issue, Chebyshev graph convolution[28] offers a more scalable approach 530 that can be used to achieve similar performance in capturing the local connectivity and spectral 531 properties of the graph. More precisely, Graph Chebyshev Convolution is a method that approximates 532 the spectral graph convolution by using Chebyshev polynomials. Graph Chebyshev Convolution 533 allows us to apply convolutional filters on graph-structured data based on the Chebyshev polynomial 534 approximation of the graph Laplacian. The Chebyshev polynomials are calculated based on the 535 normalized Laplacian matrix of the graph. The normalized Laplacian matrix, denoted as \hat{L} , is defined 536 as: 537 538

$$\hat{L} = \hat{D}^{-1/2} \hat{A} \hat{D}^{-1/2}$$
(15)

where \hat{A} is the normalized adjacency matrix and \hat{D} is the diagonal degree matrix of the graph. The 539 Chebyshev approximation of the graph Laplacian up to any desired degree is obtained by using a 540 truncated expansion of Chebyshev polynomials, denoted as $T_k(\hat{L})$, where k represents the degree of 541 the polynomial. These polynomials are computed recursively using the following recurrence relation 542 as follows: 543

4
$$T_k(\hat{L}) = \begin{cases} I, & \text{if } k = 0\\ \hat{L}, & \text{if } k = 1\\ 2\hat{L}T_{k-1}(\hat{L}) - T_{k-2}(\hat{L}), & \text{otherwise} \end{cases}$$

where I is the identity matrix. Given an input graph feature matrix $I \in \mathbb{R}^{n \times d}$, where n denotes the 545 number of patches and d is the patch embedding dimension, and the Chebyshev polynomials denoted 546 by $T_k(\hat{L})$. The Chebyshev graph convolution operation can be defined as follows: 547

48
$$E = \sigma\left(\sum_{k=0}^{K-1} T_k(\hat{L})I\Theta_k\right)$$
(16)

where $\sigma(\cdot)$ is a non-linear ReLU activation function applied element-wise and $\Theta_k \in \mathbb{R}^{d \times d}$ is the 549 parameter matrix (weights) for the k-th order Chebyshev polynomial. It is important to note that the 550 parameter matrices Θ_k are typically learnable and optimized during the training process to adaptively 551 capture the global graph characteristics. K denotes the maximum order of the Chebyshev polynomials 552 and influences the expressive power of the approximation. $E \in \mathbb{R}^{n \times d}$ is the transformed node feature 553 matrix, which captures the local structure and relationships within the graph, where $e_i \in \mathbb{R}^d$ denotes 554 the node embedding. 555

6.8 Neural Ordinary Differential Equations (NODE) 556

Neural Ordinary Differential Equations (Neural ODE) [20] represent a deep neural network model 557 designed for continuous-time systems, in contrast to traditional discrete-time neural networks. In the 558 Neural ODE framework, we denote the hidden state of a dynamic system at a given time t as z(t). 559 The objective is to determine the evolution of $\mathbf{z}(t)$ by calculating its derivative with respect to time 560 to capture the temporal dynamics of the system. This derivative is represented by a parameterized 561 neural network function, denoted as $f(\mathbf{z}(t), t, \theta)$, as follows: 562

$$\frac{d\mathbf{z}(t)}{dt} = f(\mathbf{z}(t), t, \theta) \tag{17}$$

Here, θ represents the parameters of the neural network $f(\cdot)$. To compute the output of the Neural 564 ODE framework, an ODE solver takes the initial hidden state $z(t_0)$ at the starting time point t_0 and 565 integrates the hidden state derivative over time to produce the hidden state $\mathbf{z}(t_1)$ at the specified 566 output time point t_1 , as described below: 567

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$$\mathbf{z}(t_1) = \mathbf{z}(t_0) + \int_{t_0}^{t_1} f(\mathbf{z}(t), t, \theta) dt$$
(18)

In summary, by formulating neural networks as continuous-depth models through Neural ODEs, this framework can generate the hidden state of a dynamic system at any given time point and effectively handle continuous-time data. This characteristic makes it particularly useful for modeling continuoustime dynamic systems. Furthermore, to reduce memory requirements during backpropagation, Chen et al. [20] introduced the adjoint sensitivity method for Neural ODEs. An adjoint, denoted as $\mathbf{a}(t) = \frac{\partial \mathcal{L}}{\partial \mathbf{z}(t)}$, is defined, where \mathcal{L} represents the loss function. The gradient of \mathcal{L} with respect to the network parameters θ can be directly computed using the adjoint and an ODE solver:

576
$$\frac{d\mathcal{L}}{d\theta} = -\int_{t_1}^{t_0} \mathbf{a}(t)^T \frac{\partial f(\mathbf{z}(t), t, \theta)}{\partial \theta} dt$$
(19)

In essence, the adjoint sensitivity method solves an augmented ODE backward in time, enabling the 577 computation of gradients without the need for backpropagation through the ODE solver operations. 578 This means that the model doesn't have to store intermediate results (partial derivatives) from 579 forward propagation, resulting in a constant memory cost as a function of the depth. In this work, 580 we incorporate Neural ODEs into computer vision tasks for electron micrograph classification by 581 segmenting an electron micrograph into a sequence of patches. The sequence length is determined 582 by the total number of patches generated through the tokenization of the electron micrograph, 583 with each patch serving as an individual token in the sequence. Treating the input sequence of 584 patches as a continuous-time system enables Neural ODEs to capture the evolution of the patch 585 embeddings smoothly and continuously. Moreover, this approach facilitates the causal modeling of 586 spatial relationships and transformations between consecutive patches by encoding them into patch 587 embeddings. In this work, we model the neural network $f(\cdot)$ using a transformer encoder[95]. It 588 consists of a stack of encoder layers, each containing self-attention mechanisms and feed-forward 589 neural networks. The encoder layers capture the relationships and dependencies between the patches 590 in the image. We learn the bidirectional representation of sequences to capture information from 591 both the past and future context of a given patch in a sequence. Our bidirectional representation 592 learning approach incorporates two separate Neural ODEs: one that processes the sequence from left 593 to right (forward pass) and another that processes the sequence from right to left (backward pass). 594 Each pass maintains its own hidden state, and the outputs of both passes are combined through a 595 gating mechanism. Let's denote the forward Neural ODE estimate of the patch embedding at time 596 point t_1 as $\mathbf{z}_f(t_1)$ and the backward Neural ODE as $\mathbf{z}_b(t_1)$ using Equation 18. A gating mechanism 597 is implemented to regulate the information flow from $\mathbf{z}_f(t_1)$ and $\mathbf{z}_b(t_1)$, which produces a weighted 598 combination of representations $h_{(t_1)}$. The gating mechanism is described as follows: 599

$$g = \sigma \left(f'(\mathbf{z}_f(t_1)) + f''(\mathbf{z}_b(t_1)) \right)$$
(20)

$$h_{(t_1)} = \sigma \left(g(\mathbf{z}_f(t_1)) + (1 - g)(\mathbf{z}_b(t_1)) \right)$$
(21)

where f' and f'' are linear projections. In our work, the use of adaptive ODE solvers can lead to 601 significant time consumption. To ensure manageable training time, we use fixed-grid ODE solvers 602 in combination with the Interpolated Reverse Dynamic Method (IRDM) proposed by Daulbaev 603 et al. [27]. The IRDM employs Barycentric Lagrange interpolation [6] on a Chebyshev grid [94] to 604 605 approximate the solution of patch embeddings during the reverse-mode differentiation (referred to as backpropagation) through the ODE solver. By incorporating IRDM, we can reduce the computational 606 time during backpropagation while maintaining satisfactory learning accuracy. Specifically, we 607 adopt a fixed-grid ODE solver, namely the fourth-order Runge-Kutta method[12], and implement the 608 interpolated reverse dynamic method with 3 Chebyshev nodes. This approach enables us to ensure 609 tractable training time without compromising precision. 610

611 6.9 Related Work

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In this section, we will first review the backbone architectures used in computer vision. Next, we 612 will survey the evolution of graph neural networks, with a particular focus on Graph Convolutional 613 Networks (GCN)[63] and their utilization in vision tasks. The landscape of computer vision has been 614 significantly shaped by convolutional networks(i.e., ConvNets or CNNs), which have brought about a 615 seismic shift in the field and have established themselves as the predominant architecture (LeCun et 616 al.[67], Krizhevsky et al.[65], He et al.[50]). LeNet[67] significantly influenced the development and 617 popularity of ConvNets, paving the way for more advanced and deeper networks in subsequent years 618 across a broad spectrum of vision tasks, including image classification [65], object detection [35], and 619 semantic segmentation [72]. Over the past decade, groundbreaking advancements such as ResNet [50], 620

MobileNet[56], and NAS[116, 109] have further shaped the landscape of CNN architectures. The 621 advent of the vision transformer(ViT)[31, 45, 14, 18] has been a trailblazer, leading to the development 622 of a myriad of improved ViT variants[31]. These improvements encompass pyramid architectures[71, 623 98], local attention mechanisms[46, 71], and position encoding techniques[103]. Inspired by the 624 vision transformer, researchers have also explored the potential of Multilayer Perceptrons (MLP) 625 in computer vision tasks[90, 89]. By incorporating tailored modules[21, 70, 44, 86], MLP-based 626 techniques have demonstrated exceptional performance in general vision tasks, including object 627 detection and segmentation. Graph Neural Networks (GNNs) originated from the early work of 628 Scarselli et al. [80] and Gori et al. [41], introducing the concept of spatial graph convolutional networks 629 with non-recursive layers [73] to learn from graph-structured data. Since then, spatial GCNs have 630 seen numerous adaptations and improvements, as proposed in previous works such as Niepert et 631 al.[76], Atwood et al.[3], and Gilmer et al.[40]. Spectral GCNs, grounded in spectral graph theory, 632 were first introduced in a study by Bruna et al. [11]. Subsequent methods to enhance these networks 633 have been proposed in studies by Kipf et al.[63], Henaff et al.[53], and Defferrard et al.[28]. In 634 the realm of computer vision[105, 66, 60, 97], Graph Convolutional Networks (GCNs) have been 635 applied to diverse tasks including point cloud classification, scene graph generation, and action 636 recognition. Point clouds refer to sets of 3D points derived from LiDAR scans, where GCNs 637 have been leveraged for classification and segmentation[66, 100, 108]. The process of scene graph 638 generation involves parsing an input image into a graph representation that delineates objects and their 639 interrelationships, often integrating object detection with GCN techniques[107]. Furthermore, GCNs 640 have been instrumental in facilitating human action recognition tasks by analyzing graphs representing 641 linked human joints[106, 59]. Current frameworks in the semiconductor manufacturing sector fall 642 short in various aspects, especially when compared to recently proposed advancements. Many existing 643 solutions fail to capitalize on the detailed analysis achievable through the synergy of patch sequences 644 and vision graphs at different scales in electron micrographs. Moreover, these frameworks typically 645 analyze data at a singular scale, missing the opportunities that a multi-scale approach could offer in 646 enhancing classification accuracy. Furthermore, the industry has yet to fully embrace the utilization of 647 large language models (LLMs) in generating technical descriptions of nanomaterials, a strategy that 648 can significantly deepen domain-specific insights critical for nanomaterial identification tasks. This 649 glaring gap in the integration of image-based and linguistic insights renders current architectures less 650 comprehensive and nuanced, potentially impeding breakthroughs in the semiconductor industry. The 651 proposed "MultiFusion-LLM" framework represents a pioneering approach to automatic nanomaterial 652 identification, addressing the prevailing challenges in semiconductor manufacturing processes. At 653 its core, it employs a hierarchical network fusion (HNF) architecture that amalgamates two diverse 654 representations of electron micrographs: patch sequences and vision graphs. These representations 655 undergo iterative refinement through a layered, inverted pyramid structure, integrating multi-scale 656 information to enhance classification accuracy. Additionally, the framework introduces a zero-shot 657 658 chain-of-thought (Zero-Shot CoT) prompting technique that utilizes large language models (LLMs) to generate detailed technical descriptions of nanomaterials. These descriptions, rich in domain-specific 659 knowledge, serve as auxiliary information that bolsters identification tasks. By encapsulating both 660 image-based and linguistic insights, the framework aims to facilitate a more comprehensive and 661 nuanced analysis of electron micrographs, thereby holding significant promise for advancements in 662 the semiconductor industry. 663

Query prompt	LLM-retrieved text
Introduction: Provide an overview of the MEMS and its significance in various fields?	Introduction: Micro-Electro-Mechanical Systems, commonly known as MEMS, is a cutting-edge technology that integrates miniaturized mechanical and electromechanical elements with electronics on a single silicon chip or substrate. These systems typically consist of components with dimensions ranging from micrometers to millimeters. MEMS devices have revolutionized various industries and fields due to their unique capabilities, compact size, low power consumption, and cost-effectiveness. They enable the creation of tiny, sophisticated systems with enhanced functionalities, making them indispensable in numerous applications.
	Significance in Various Fields:
	Consumer Electronics: MEMS devices have played a crucial role in the advancement of consumer electronics. Accelerometers, gyro- scopes, and magnetometers in smartphones enable motion sensing, orientation detection, and navigation. MEMS microphones are widely used for voice and audio input in smartphones, laptops, and other gadgets.
	Automotive: MEMS sensors are fundamental to the functioning of modern vehicles. They are used in airbag deployment systems, tire pressure monitoring systems, electronic stability control, and more. MEMS accelerometers and gyroscopes provide data for advanced driver assistance systems (ADAS) and vehicle navigation.
	Aerospace and Defense: MEMS technology has revolutionized aerospace and defense applications. Inertial sensors, such as ac- celerometers and gyroscope arrays, are vital for aircraft and spacecraft stabilization, guidance, and control. MEMS-based pressure sensors are used in altimeters and barometers, and microbolometers for in- frared imaging.
	Industrial Applications: MEMS devices find extensive use in indus- trial automation and control systems. They are used for precise measurement, monitoring, and feedback control in manufacturing processes, structural health monitoring, and predictive maintenance.
	Robotics: MEMS sensors are essential components in robotics and autonomous systems. They provide feedback for motion control, bal- ance, and obstacle detection, enabling robots to navigate and interact with their environment safely.
	Telecommunications: MEMS technology has been instrumental in the miniaturization of RF switches, tunable capacitors, and filters, leading to improved performance and efficiency in wireless communication devices.
	Energy Harvesting: MEMS energy harvesters are used to capture and convert ambient energy sources such as vibrations, solar, and thermal energy into usable electrical power for low-power electronic devices.
	The significance of MEMS in these and many other fields stems from their ability to offer scalable, high-performance, and cost-effective solutions for various applications. The continuous advancement in MEMS fabrication techniques and materials has opened up new possi- bilities for even more innovative and diverse applications in the future. As research and development in this field continue, we can expect MEMS to remain at the forefront of technological progress across numerous industries.

Table 6. LEWI-Tenteved text of OF 1-5.5 for natural language queries about MIEWIS devices.	Table 8: LLM-retrieved text c	f GPT-3.5 for natural language	queries about MEMS devices
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Query prompt	LLM-retrieved text
Definition and Structure: De- fine the MEMS and describe its typical structure at the nanoscale.?	Definition of MEMS: Micro-Electro-Mechanical Systems (MEMS) refers to a class of miniaturized devices and systems that integrate micro-scale mechanical components, electrical circuits, and some- times optical elements on a single substrate. These components are typically fabricated using semiconductor manufacturing techniques, similar to those used for integrated circuits (ICs). MEMS devices are characterized by their small size, with components ranging from micrometers to millimeters, and they often operate in the micro/nano scale.
	Typical Structure of MEMS at the Nanoscale: MEMS devices exhibit a wide variety of structures and designs, each tailored to their specific application. At the nanoscale, MEMS structures often involve me- chanical components with dimensions in the micrometer range and electrical connections at the nanoscale level. The following are the key components commonly found in MEMS devices at the nanoscale:
	Substrate: The foundation of a MEMS device is typically a silicon substrate. Silicon wafers are commonly used due to their excellent mechanical properties, compatibility with semiconductor processes, and availability in various sizes.
	Mechanical Components: MEMS devices consist of micro or nano- scale mechanical elements that can move or respond to external stim- uli. These components may include beams, cantilevers, diaphragms, membranes, gears, and more. The design and material of these struc- tures depend on the specific application.
	Actuators: Actuators are responsible for generating mechanical mo- tion in MEMS devices. They can be electrostatic, piezoelectric, ther- mal, magnetic, or other types, depending on the required movement and sensitivity.
	Sensors: MEMS sensors are vital for detecting and measuring physi- cal quantities such as acceleration, pressure, temperature, humidity, etc. Common MEMS sensors include accelerometers, gyroscopes, pressure sensors, and temperature sensors.
	Transducers: Transducers convert one form of energy into another. In MEMS, they are often used to transform mechanical motion or physical parameters into electrical signals or vice versa.
	Interconnects: Nanoscale electrical interconnects provide the neces- sary connections between the MEMS components and the external circuits or systems. These connections are usually made using metal traces or vias.
	Control Electronics: For some MEMS devices, integrated control electronics are present on the same chip to process, amplify, and transmit signals to and from the mechanical components and sensors.
	The fabrication of these nano/micro-scale components involves vari- ous advanced processes, including photolithography, etching, deposi- tion, and bonding. The choice of materials, such as silicon, polymers, metals, and composites, is critical to achieving the desired mechani- cal and electrical properties. The combination of these components and their precise integration on a single substrate allows MEMS de- vices to perform a wide range of functions across various industries, making them a fundamental technology in the world of miniaturized electronics and sensors.

Table 8: LLM-retrieved text of GPT-3.5 for natural language queries about MEMS devices.

Query prompt	LLM-retrieved text
Synthesis Methods: Explore different methods used to syn- thesize or fabricate MEMS de-	Various methods are used to synthesize or fabricate MEMS devices, each with its own advantages and limitations. Below are some com- monly used synthesis methods:
vices in this category. Dis- cuss their advantages and lim- itations.?	Bulk Micromachining: Advantages: (a) Well-established and widely used method, (b) Suitable for fabricating simple, 2D MEMS structures and (c) Relatively low cost and straightforward process. Limitations: (a) Limited design flexibility for complex 3D structures, (b) Fabrica- tion of delicate or high-aspect-ratio structures can be challenging, and (c) Not suitable for integrating multiple layers of different materials.
	Surface Micromachining: Advantages: (a) Enables the fabrication of complex 3D structures, (b) Suitable for high-volume production due to batch processing capabilities, and (c) Can integrate multiple layers of different materials. Limitations: (a) Typically limited to planar structures, (b) Requires sacrificial layer techniques, which can be more complicated and time-consuming than bulk micromachining, and (c) Material choices are often limited to specific combinations suitable for sacrificial release.
	LIGA (Lithography, Electroplating, and Molding): Advantages: (a) Capable of fabricating high-aspect-ratio structures with excellent pre- cision, (b) Suitable for mass production of microstructures, and (c) Compatible with various materials. Limitations: (a) Complex and costly process due to multiple steps involved, (b) Limited to metal- lic materials for electroplating, and (c) Difficulties in creating free- standing structures.
	Soft Lithography: Advantages: (a) Simple and cost-effective tech- nique, (b) Suitable for rapid prototyping and small-scale production, and (c) Enables the use of a wide range of materials, including poly- mers and biomaterials. Limitations: (a) Limited to simple structures and low aspect ratios, (b) Resolution and precision may not be as high as other techniques, and (c) Not suitable for high-temperature or harsh environments.
	Silicon-on-Insulator (SOI) Technology: Advantages: (a) Provides high mechanical stability and isolation, (b) Suitable for integrating MEMS and CMOS components on the same chip, and (c) Excellent electrical isolation between components. Limitations: (a) Higher cost compared to standard silicon wafers, (b) Limited availability of thick SOI wafers, restricting the aspect ratio of structures, and (c) Challenges in wafer bonding and handling.
	Nanolithography and Nanofabrication: Advantages: (a) Enables fabri- cation of nanoscale MEMS devices with high precision, (b) Allows for the development of novel and highly sensitive sensors and actuators, and (c) Can be used to create complex nanostructures and patterns. Limitations: (a) Expensive and requires specialized equipment, (b) Limited to research and development due to high costs and complex- ity, and (c) Mass production of nanoscale MEMS devices remains challenging.
	3D Printing/Additive Manufacturing: Advantages: (a) Enables rapid prototyping and customization of MEMS devices, (b) Supports the creation of complex 3D geometries and intricate structures, and (c) Reduces the need for complex lithography and etching steps. Limi- tations: (a) Limited resolution compared to traditional lithography- based methods, (b) Material choices may be restricted for some 3D printing techniques, and (c) Post-processing steps might be required for improved surface finishes and accuracy.

Overall, the choice of synthesis method depends on the requirement of material properties, volume of production, and budget constraints. 20

Table 8: LLM-retrieved text of GPT-3.5 for natural language queries about MEMS devices.

Query prompt	LLM-retrieved text
Properties: Highlight the unique physical, chemical, and electronic properties exhibited by MEMS in this nanomaerial	MEMS (Micro-Electro-Mechanical Systems) exhibit several unique physical, chemical, and electronic properties at the nanoscale, which differentiate them from their bulk counterparts. Below are some of the key properties:
category. Discuss how these properties differ from their bulk counterparts.?	Size and Scale: The most obvious property of MEMS is their minia- ture size, typically ranging from micrometers to millimeters. This reduced scale allows for compact, lightweight, and portable devices, which is not achievable in their bulk counterparts.
	Surface-to-Volume Ratio: At the nanoscale, MEMS devices have a higher surface-to-volume ratio compared to bulk devices. This increased surface area enhances interactions with the surrounding environment, leading to improved sensitivity in sensors and more efficient reactions in chemical and biological applications.
	Mechanical Properties: MEMS structures often exhibit different me- chanical properties compared to their bulk counterparts. For exam- ple, nanoscale mechanical components can have enhanced flexibility, higher resilience, and reduced inertia due to their reduced mass and size. These properties are advantageous in applications such as ac- celerometers and gyroscopes.
	Thermal Properties: The reduced dimensions of MEMS components result in lower thermal mass and faster response to changes in tem- perature. This property is vital for accurate temperature sensing and thermal management in compact devices.
	Electrical Properties: MEMS devices at the nanoscale can exhibit unique electrical properties, such as high sensitivity to electrical sig- nals. Piezoelectric and electrostatic properties are commonly utilized for sensing and actuation in MEMS devices.
	Optical Properties: Some MEMS devices, especially those incorporat- ing optical elements, can exhibit novel optical properties due to the interaction of light with nanoscale structures. This enables the devel- opment of miniaturized optical sensors, displays, and communication systems.
	Material Properties: At the nanoscale, materials can exhibit different properties than at the bulk level. For example, nanomaterials may have altered mechanical, electrical, and optical characteristics due to quantum effects and surface interactions. MEMS devices can leverage these unique material properties for specific functionalities.
	Sensitivity: MEMS sensors at the nanoscale are highly sensitive to various stimuli, such as mechanical, electrical, thermal, and chemical changes. This sensitivity enables precise measurements and detec- tions, making MEMS devices suitable for a wide range of applications, including healthcare, environmental monitoring, and industrial au- tomation.
	Energy Efficiency: The reduced size and lower power requirements of MEMS devices at the nanoscale make them more energy-efficient compared to bulk systems. This energy efficiency is crucial for portable and battery-operated devices.
	Integration: MEMS devices can integrate multiple functionalities, such as sensing, actuation, and signal processing, on a single chip. This integration capability allows for the development of complex, multifunctional systems in a compact form factor.
	In summary, the unique physical, chemical, and electronic properties of MEMS at the nanoscale make them ideal for a wide range of applications, including sensors, actuators, and biomedical devices.

Table 8: LLM-retrieved text of GPT-3.5 for natural language queries about MEMS devices.

Query prompt	LLM-retrieved text
Applications: Explore the wide range of applications where MEMs are utilized. Discuss their potential impact in fields such as electronics, energy, medicine, environmental reme- diation, etc.	MEMS (Micro-Electro-Mechanical Systems) have found extensive applications across various industries due to their unique properties and miniaturized form factor. Here are some of the key applications of MEMS in different fields:
	Electronics and Consumer Devices: (a) MEMS accelerometers and gy- roscopes in smartphones and wearable devices enable motion sensing and orientation detection for gaming, navigation, and fitness track- ing, (b) MEMS microphones are used in smartphones, tablets, and other consumer electronics for voice recording and noise cancella- tion, and (c) MEMS-based displays, such as digital micromirror de- vices(DMDs), are utilized in projectors and digital cinema.
	Healthcare and Medicine: (a) MEMS-based lab-on-a-chip devices allow for rapid and precise analysis of biological samples, enabling diagnostics, DNA sequencing, and disease detection, (b) Microflu- idic MEMS devices are used for drug delivery systems, implantable medical devices, and micro-pumps for controlled drug release, and (c) MEMS sensors monitor vital signs in wearable health devices and provide real-time patient data for telemedicine applications.
	Automotive and Transportation: (a) MEMS accelerometers and gyro- scopes are essential components in automotive safety systems, such as airbags, electronic stability control, and tire pressure monitoring systems, (b) MEMS pressure sensors are used in engine manage- ment and emissions control systems to optimize performance and fuel efficiency, and (c) MEMS-based inertial navigation systems pro- vide precise navigation and positioning for autonomous vehicles and drones.
	Environmental Monitoring: (a) MEMS sensors are used for moni- toring air quality, temperature, humidity, and gas concentrations in environmental monitoring systems, and (b) MEMS devices enable remote sensing and data collection for climate research and weather forecasting.
	Aerospace and Defense: (a) MEMS gyroscopes and accelerometers are critical components in aerospace applications for attitude control, navigation, and guidance systems, (b) MEMS pressure sensors are used in altitude and airspeed measurements in aircraft, and (c) MEMS- based infrared imaging devices are utilized in night vision systems for military and security applications.
	Energy Harvesting:(a) MEMS energy harvesters convert ambient en- ergy, such as vibrations or thermal gradients, into electrical power, providing a sustainable energy source for low-power electronics and IoT devices.
	Industrial Automation: (a) MEMS-based sensors are used for con- dition monitoring, predictive maintenance, and feedback control in manufacturing and industrial processes, improving efficiency and reducing downtime.
	Robotics: MEMS sensors and actuators enable precise motion control and sensing in robots, making them more autonomous and capable of interacting with their environment.
	The potential impact of MEMS in these fields is immense. They contribute to increased efficiency, enhanced functionality, reduced energy consumption, and improved safety in various applications. As MEMS technology continues to advance, we can expect further integration, miniaturization, and performance improvements, leading to even more innovative applications across industries and benefiting

society as a whole.

Query prompt	LLM-retrieved text
Applications: Explore the wide range of applications where MEMs are utilized. Discuss their potential impact in fields such as electronics, energy, medicine, environmental reme- diation, etc.	Surface modification plays a crucial role in tailoring the properties of MEMS devices in the nanomaterials category. It involves altering the surface characteristics of the MEMS components to enhance their performance or enable specific applications. Here are some common strategies used for surface modification:
	Functionalization: Functionalization involves attaching or grafting specific molecules or functional groups onto the surface of MEMS de- vices. This process enhances the surface's chemical reactivity and al- lows for specific interactions with target substances. Functionalization can be achieved through chemical reactions or self-assembled mono- layers (SAMs). Some applications of functionalization in MEMS include:
	Biomolecule Immobilization: Functionalizing the surface with biomolecules, such as antibodies or DNA probes, enables biosensing applications for disease detection and medical diagnostics.
	Gas Sensing: The surface functionalization of MEMS gas sensors with specific materials enhances their selectivity and sensitivity to target gases, making them suitable for environmental monitoring and industrial safety.
	Coating: Surface coating involves depositing thin layers of materials onto the MEMS surface to alter its properties. Coatings can be func- tional (active) or protective (passive) in nature. Some coating methods include physical vapor deposition (PVD), chemical vapor deposition (CVD), and atomic layer deposition (ALD). Coatings can enhance MEMS performance in various ways, such as: Anti-Stiction Coatings: Coating the MEMS surface with lubricants or hydrophobic materials reduces stiction and friction, which is crucial for reliable operation in micro-mechanical devices.
	Chemical Protection: Coatings can protect MEMS devices from chem- ical corrosion or degradation, increasing their durability and lifespan in harsh environments. Optical Coatings: Coatings with specific optical properties can enhance light transmission or reflection, im- proving the efficiency of optical MEMS devices like micro-mirrors and displays.
	Doping: Doping involves introducing impurity atoms into the ma- terial's surface to modify its electrical properties. This strategy is commonly used in semiconductors to create p-type or n-type regions and adjust the device's conductivity. Doping is critical for MEMS devices with electronic functionality, such as sensors and actuators. By carefully controlling the doping process, the sensitivity, response time, and power consumption of MEMS devices can be optimized for specific applications.
	Nanopatterning: Nanopatterning involves creating specific patterns or nanostructures on the MEMS surface. Techniques like electron beam lithography, nanoimprint lithography, and nanocontact printing can be used to fabricate intricate patterns. Nanopatterning enables applications such as:
	Enhanced Adhesion: Nanostructures can improve the adhesion be- tween MEMS components and bonding surfaces, reducing the risk of delamination or failure. Superhydrophobicity: Nanostructured sur- faces can achieve superhydrophobic properties, repelling water and other liquids. This is useful in self-cleaning or water-repellent MEMS devices.

Overall, surface modification techniques allows to fine-tune the material's properties, improve performance.

Query prompt	LLM-retrieved text
Toxicity and Safety: Address the potential health and envi- ronmental concerns associated with MEMS in this nanomateri- als category. Discuss studies on their toxicity, risk assessment, and safety measures to mitigate any potential hazards.	As with any emerging technology, there are concerns about the po- tential health and environmental impacts associated with MEMS in the nanomaterials category. While MEMS devices themselves are generally considered safe, certain nanomaterials and fabrication pro- cesses used in their production can raise toxicity and safety issues. It's essential to address these concerns through rigorous studies, risk assessments, and implementation of safety measures. Here are some key points to consider:
	Nanomaterial Toxicity: Nanomaterials, due to their small size and increased surface area, may exhibit different properties and behaviors compared to their bulk counterparts. Some nanomaterials can poten- tially pose health and environmental risks if they are released into the environment or come into contact with living organisms. Toxicity studies are essential to assess the potential harm caused by exposure to nanomaterials used in MEMS devices.
	Risk Assessment: Researchers and regulatory bodies conduct risk assessments to evaluate the potential hazards associated with the use of nanomaterials in MEMS devices. These assessments consider expo- sure pathways, potential toxicity, and the likelihood of adverse effects. Risk assessment helps in identifying potential risks and implementing appropriate safety measures to minimize or eliminate hazards.
	Safety Measures: To mitigate potential health and environmental risks, safety measures can be implemented throughout the lifecycle of MEMS devices. These measures include:
	Engineering Controls: Implementing engineering controls during the fabrication process to minimize exposure to hazardous materials and ensure safe handling and disposal of nanomaterials.
	Personal Protective Equipment (PPE): Providing employees with appropriate PPE to prevent inhalation or skin contact with nanomaterials during fabrication or handling of MEMS devices. Workplace Safety Protocols: Establishing workplace safety protocols and guidelines for the safe handling, storage, and disposal of nanomaterials and MEMS devices. Environmental Regulation: Complying with environmental regulations and guidelines for the safe disposal of waste materials generated during MEMS fabrication.
	Environmental Impact Assessment: Environmental impact assess- ments evaluate the potential environmental impacts of introducing MEMS devices into various applications. These assessments help identify potential risks and provide insights into how to design MEMS devices with minimal environmental impact.
	Continued Research: Continued research into the toxicity of nano- materials and the potential hazards associated with MEMS devices is essential. As the technology advances, new materials and fabrica- tion processes may emerge, warranting further investigation to ensure safety.
	In conclusion, while MEMS devices in the nanomaterials category offer exciting possibilities for various applications, it is crucial to address potential health and environmental concerns associated with nanomaterials. Conducting thorough toxicity studies, risk assess- ments, and implementing safety measures are essential steps to ensure the responsible and sustainable development and use of MEMS tech- nology. By prioritizing safety and environmental considerations, the benefits of MEMS can be harnessed while minimizing any potential

risks.

Query prompt	LLM-retrieved text
Future Directions: Discuss cur- rent research trends and fu- ture prospects for MEMS . Highlight emerging technolo- gies, challenges, and areas of	Current research trends and future prospects for MEMS (Micro- Electro-Mechanical Systems) are driven by a combination of techno- logical advancements, societal demands, and industry needs. Here are some key areas of active exploration and emerging technologies in the field of MEMS:
active exploration.	Internet of Things (IoT) and Smart Devices: The proliferation of IoT and smart devices is fueling the demand for MEMS sensors and actuators that are smaller, more power-efficient, and capable of pro- viding precise data. Research is focused on developing low-power, miniaturized MEMS devices for applications in smart homes, wear- able devices, environmental monitoring, and industrial automation, (b) Energy Harvesting: Energy harvesting using MEMS devices is a promising area of research. Researchers are exploring the use of MEMS energy harvesters to capture ambient energy from vibrations, thermal gradients, and solar radiation to power low-energy electronic devices and sensors, (C) MEMS-NEMS Integration: The integration of MEMS with NEMS (Nano-Electro-Mechanical Systems) is an area of active exploration. NEMS components, due to their smaller size and increased sensitivity, can enhance the performance and capabilities of MEMS devices, especially in sensing and actuation applications, (d) Nanomaterials and Nanofabrication: Advances in nanomaterials and nanofabrication techniques are enabling the development of novel MEMS devices with enhanced functionalities and improved perfor- mance. Researchers are exploring nanomaterial-based MEMS devices for applications in gas sensing, chemical detection, and bio-imaging, and (e) 3D Printing and Additive Manufacturing: 3D printing and additive manufacturing are being investigated for rapid prototyping and fabrication of complex MEMS structures. This technology allows for greater design flexibility and customization, facilitating innovation in MEMS development.
	Challenges: Despite the promising future of MEMS technology, some challenges need to be addressed: (a) Integration Complexity: As MEMS devices become more sophisticated and multifunctional, in- tegration challenges arise. The integration of different materials, electronics, and sensors on a single chip requires precise fabrication techniques and design optimization. (b) Reliability and Longevity: MEMS devices are often used in harsh environments, and ensuring their reliability and longevity under such conditions is crucial. Materi- als compatibility, stiction, and packaging issues need to be carefully addressed, (c) Standardization: The lack of standardized processes and testing methods for MEMS devices can hinder their widespread adoption and commercialization. Standardization efforts are essential to ensure consistent performance and compatibility across different MEMS devices, and (d) Scaling and Manufacturing Costs: Scaling down MEMS devices to nanoscale dimensions presents manufac- turing challenges and can increase production costs. Cost-effective fabrication methods for mass production are crucial for widespread adoption.

Query prompt	LLM-retrieved text
	Future Prospects: The future prospects for MEMS technology are promising, with potential impact in various sectors: (a) Healthcare and Medical Devices: MEMS-based medical devices are expected to revolutionize diagnostics, treatment, and personalized medicine, leading to better patient outcomes and healthcare efficiency, (b) Au- tonomous Systems: MEMS sensors and actuators will play a crucial role in enabling autonomous vehicles, drones, and robotics, advancing automation and safety across industries, (c) Environmental Monitor- ing and Sustainability: MEMS devices will continue to contribute to environmental monitoring and sustainability efforts by providing accurate data on pollution levels, climate change, and resource man- agement, (d) Smart Cities: MEMS technology will be integral to the development of smart cities, enabling efficient infrastructure manage- ment, traffic control, and environmental monitoring, and (e) Wearable and Implantable Devices: MEMS-based wearable and implantable devices will enable continuous health monitoring and intervention, improving overall well-being and quality of life.
	In conclusion, the future of MEMS technology holds great promise, with advancements in nanomaterials, 3D printing, IoT, and healthcare applications driving innovation. Addressing current challenges and promoting collaboration between researchers, industry, and regulatory bodies will be key to unlocking the full potential of MEMS and realizing its transformative impact across various sectors.

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