# **Ring Attention with Blockwise Transformers for Near-Infinite Context**

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#### Abstract

Transformers have emerged as the architecture of choice for many state-of-the-art AI models, 1 showcasing exceptional performance across a wide range of AI applications. However, the 2 memory demands imposed by Transformers limit their ability to handle long sequences, thereby 3 creating challenges for tasks involving extended sequences or long-term dependencies. We 4 present a distinct approach, Ring Attention, which leverages blockwise computation of self-5 attention to distribute long sequences across multiple devices while concurrently overlapping the 6 communication of key-value blocks with the computation of blockwise attention. By processing 7 longer input sequences while maintaining memory efficiency, Ring Attention enables training 8 and inference of sequences that are device count times longer than those of prior memory-9 efficient Transformers, effectively eliminating the memory constraints imposed by individual 10 devices. Extensive experiments on language modeling tasks demonstrate the effectiveness of 11 Ring Attention in allowing large sequence input size and improving performance. 12

#### 13 1 Introduction

Transformers [35] have become the backbone of many state-of-the-art AI systems that have demon strated impressive performance across a wide range of AI problems. Transformers achieve this success
 through their architecture design that uses self-attention and position-wise feedforward mechanisms.

17 These components facilitate the efficient cap-

- 18 ture of long-range dependencies between input
- 19 tokens, and enable scalability through highly
- 20 parallel computations.

However, scaling up the context length of Trans-21 formers is a challenge [26], since the inherited 22 architecture design of Transformers, i.e. the self-23 attention has memory cost quadratic in the input 24 sequence length, which makes it challenging to 25 scale to longer input sequences. Large context 26 Transformers are essential for tackling a diverse 27 array of AI challenges, ranging from processing 28 books and high-resolution images to analyzing 29 long videos and complex codebases. They ex-30 cel at extracting information from the intercon-31 nected web and hyperlinked content, and are 32 crucial for handling complex scientific experi-33 ment data. There have been emerging use cases 34 35 of language models with significantly expanded context than before: GPT-3.5 [29] with context 36



Figure 1: Maximum context length on TPUv4-512 (32GB memory on each TPUv4). Baselines are vanilla transformers [35], transformers with memory efficient attention [27], and memory efficient attention and feedforward (blockwise parallel transformers) [22]. Our proposed approach Ring Attention allows training 512 times longer sequence than prior SOTAs and enables the training of sequences that exceed 100 million in length without making approximations to attention.

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length 16K, GPT-4 [26] with context length 32k, MosaicML's MPT [24] with context length 65k,
 and Anthropic's Claude [1] with context length 100k.

Driven by the significance, there has been surging research interests in reducing memory cost. One 39 line of research leverages the observation that the softmax matrix in self-attention can be computed 40 without materializing the full matrix [23] which has led to the development of blockwise computation 41 of self-attention and feedforward [27, 9, 22] without making approximations. Despite the reduced 42 memory, a significant challenge still arises from storing the output of each layer. This necessity arises 43 from self-attention's inherent nature, involving interactions among all elements (n to n interactions). 44 The subsequent layer's self-attention relies on accessing all of the prior layer's outputs. Failing to 45 do so would increase computational costs cubically, as every output must be recomputed for each 46 sequence element, rendering it impractical for longer sequences. To put the memory demand in 47 perspective, even when dealing with a batch size of 1, processing 100 million tokens requires over 48 10,000GB of memory for a modest model with a hidden size of 1024. This is much greater than the 49 capacity contemporary GPUs, which typically have less than 100GB of high-bandwidth memory 50 (HBM). 51

To tackle this challenge, we make a key observation: by performing self-attention and feedforward 52 network computations in a blockwise fashion [22], we can distribute sequence dimensions across 53 multiple devices, allowing concurrent computation and communication. This insight stems from 54 the fact that when we compute the attention on a block-by-block basis, the results are invariant to 55 the ordering of these blockwise computations. Our method distributes the outer loop of computing 56 blockwise attention among hosts, with each device managing its respective input block. For the inner 57 loop, every device computes blockwise attention and feedforward operations specific to its designated 58 input block. Host devices form a conceptual ring, where during the inner loop, each device sends 59 a copy of its key-value blocks being used for blockwise computation to the next device in the ring, 60 while simultaneously receiving key-value blocks from the previous one. Because block computations 61 take longer than block transfers, overlapping these processes results in no added overhead compared 62 to standard transformers. By doing so, each device requires memory only proportional to the block 63 size, which is independent of the original input sequence length. This effectively eliminates the 64 memory constraints imposed by individual devices. Since our approach overlaps the communication 65 of key-value blocks between hosts in a ring with blockwise computation, we name it Ring Attention. 66

We evaluate the effectiveness of our approach on language modeling benchmarks. Our experiments show that Ring Attention can reduce the memory requirements of Transformers, enabling us to train more than 500 times longer sequence than prior memory efficient state-of-the-arts and enables the training of sequences that exceed 100 million in length without making approximations to attention. Importantly, Ring Attention eliminates the memory constraints imposed by individual devices, empowering the training and inference of sequences with lengths that scale in proportion to the number of devices, essentially achieving near-infinite context size.

Our contributions are twofold: (a) proposing a memory efficient transformers architecture that allows the context length to scale linearly with the number of devices while maintaining performance, eliminating the memory bottleneck imposed by individual devices, and (b) demonstrating the effectiveness of our approach through extensive experiments.

## 78 2 Large Context Memory Constraint

Given input sequences  $Q, K, V \in \mathbb{R}^{s \times d}$  where s is the sequence length and d is the head dimension. We compute the matrix of outputs as:

Attention
$$(Q, K, V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d}})V,$$

81 where softmax is applied row-wise. Each self-attention sub-layer is accompanied with a feedforward

network, which is applied to each position separately and identically. This consists of two linear

transformations with a ReLU activation in between.

$$FFN(x) = \max(0, xW_1 + b_1)W_2 + b_2.$$

84 Blockwise Parallel Transformers. Prior state-of-the-arts have led to substantial reductions in mem-85 ory utilization, achieved through innovative techniques that enable attention computation without full

materialization by computing attention in a block by block manner [27, 9, 22]. These advancements 86 lowered the memory overhead of attention to 2bsh Bytes per layer, where b represents the batch 87 size, s denotes the sequence length, and h stands for the hidden size of the model. To further reduce 88 memory usage, blockwise parallel transformer (BPT) [22] introduced a strategy where the feedfor-89 ward network associated with each self-attention sub-layer is computed in a block-wise fashion. This 90 approach effectively limits the maximum activation size of feedforward network from 8bsh to 2bsh. 91 92 For a more detailed analysis of memory efficiency, please refer to the discussion provided therein. In summary, the state-of-the-art transformer layer's memory cost of activation is 2bsh. 93 Large Output of Each Layer. While BPT significantly reduces memory demand in Transformers, it 94 still presents a major challenge for scaling up context length because it requires storing the output 95 of each layer. This storage is crucial due to the inherent nature of self-attention, which involves 96 interactions among all elements (n to n interactions). Without these stored outputs, the subsequent 97 layer's self-attention becomes computationally impractical, necessitating recomputation for each 98 sequence element. To put it simply, processing 100 million tokens with a batch size of 1 requires

over 10,000GB of memory even for a modest model with a hidden size of 1024. In contrast, modern 100 GPUs typically provide less than 100GB of high-bandwidth memory (HBM), and the prospects for 101

significant GPU HBM expansion are hindered by physical limitations and high manufacturing costs. 102

#### 3 **Ring Attention** 103

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104 Our primary objective is to eliminates the memory constraints imposed by individual devices by efficiently distribute long sequences across multiple hosts without adding overhead. To achieve this 105 goal, we propose an enhancement to the blockwise parallel transformers (BPT) framework [22]. 106 When distributing an input sequence across different hosts, each host is responsible for running one 107 element of the outer loop of blockwise attention corresponding to its designated block, as well as the 108 feedforward network specific to that block. These operations do not necessitate communication with 109 other hosts. However, a challenge arises in the inner loop, which involves key-value block interactions 110 that require fetching blocks from other hosts. Since each host possesses only one key-value block, 111 the naive approach of fetching blocks from other hosts results in two significant issues. Firstly, 112 it introduces a computation delay as the system waits to receive the necessary key-value blocks. 113 Secondly, the accumulation of key-value blocks leads to increased memory usage, which defeats the 114 purpose of reducing memory cost. 115

Ring-Based Blockwise Attention. To tackle the aforementioned challenges, we leverage the per-116 mutation invariance property of the inner loop's key-value block operations. This property stems 117 from the fact that the self-attention between a query block and a group of key-value blocks can be 118 119 computed in any order, as long as the statistics of each block are combined correctly for rescaling. We leverage this property by conceptualizing all hosts as forming a ring structure: host-1, host-2, ..., 120 host-N. As we compute blockwise attention and feedforward, each host efficiently coordinates by 121 concurrently sending key-value blocks being used for attention computation to the next host while 122 receiving key-value blocks from the preceding host, effectively overlapping transferring of blocks 123 with blockwise computation. Concretely, for any host-*i*, during the computation of attention between 124 its query block and a key-value block, it concurrently sends key-value blocks to the next host-(i + 1)125 while receiving key-value blocks from the preceding host-(i - 1). If the computation time exceeds 126 the time required for transferring key-value blocks, this results in no additional communication cost. 127 This overlapping mechanism applies to both forward and backward passes of our approach since the 128 same operations and techniques can be used. 129

Arithmetic Intensity Between Hosts. In order to determine the minimal required block size to 130 overlap transferring with computation, assume that each host has F FLOPS and that the bandwidth 131 between hosts is denoted as B. It's worth noting that our approach involves interactions only with 132 the immediately previous and next hosts in a circular configuration, thus our analysis applies to both 133 GPU all-to-all topology and TPU torus topology. Let's consider the variables: block size denoted 134 as c and hidden size as d. When computing blockwise self-attention, we require  $2dc^2$  FLOPs for 135 calculating attention scores using queries and keys, and an additional  $2dc^2$  FLOPs for multiplying 136 these attention scores by values. In total, the computation demands amount to  $4dc^2$  FLOPs. We 137 exclude the projection of queries, keys, and values, as well as blockwise feedforward operations, 138 since they only add compute complexity without any communication costs between hosts. This 139 simplification leads to more stringent condition and does not compromise the validity of our approach. 140



Figure 2: **Top** (a): In the framework of Ring Attention, key-value blocks traverse through hosts to facilitate attention and feedforward computations in a block-by-block fashion. As we compute attention, each host concurrently sends key-value blocks to the next host while receive key-value blocks from the preceding host, effectively overlapping communication with computation. **Bottom** (b): Ring Attention is the same as the original Transformer but with a different way of organizing the compute. In the diagram, we explain this by showing that the current device holds the left column first query block; then we iterate over the same key-value blocks sequence positioned horizontally. The query block, and the bottle middle key-value blocks, are used to compute self-attention (yellow box), whose output is pass to feedforward network (cyan box).

On the communication front, both key and value blocks require a total of 2cd bytes. Thus, the combined communication demand is 4cd bytes. To achieve an overlap between communication and computation, the following condition must hold:  $4dc^2/F \ge 4cd/B$ . This implies that the block size, denoted as c, should be greater than or equal to F/B. Effectively, this means that the block size needs to be larger than the ratio of FLOPs over bandwidth.

Memory Requirement. A host needs to store multiple blocks, including one block size to store the current query block, two block sizes for the current key and value blocks, and two block sizes for receiving key and value blocks. Furthermore, storing the output of blockwise attention and feedforward necessitates one block size, as the output retains the shape of the query block. Therefore, a total of six blocks are required, which translates to 6bch bytes of memory. It's worth noting that the blockwise feedforward network has a maximum activation size of 2bch [22]. Consequently, the

Table 1: Comparison of maximum activation sizes among different Transformer architectures. Here, b is batch size, h is hidden dimension, n is number of head, s is sequence length, c is block size, the block size (c) is independent of the input sequence length (s). The comparison is between vanilla Transformer [35], memory efficient attention [27], memory efficient attention and feedforward [22], and our proposed approach Ring Attention. Numbers are shown in Bytes per layer, assuming *bfloat16* precision.

| Layer Type  | Self-Attention         | FeedForward  | Total           |
|---|------------------------|--------------|-----------------|
| Vanilla<br>Memory efficient attention<br>Memory efficient attention | $2bns^2$ $2bsh + 4bch$ | 8bsh<br>8bsh | $2bhs^2$ $8bsh$ |
| and feedforward Ring Attention                                      | 2bsh<br>6bch           | 2bsh<br>2bch | 2bsh<br>6bch    |

Table 2: Minimal sequence length needed on each device. Interconnect Bandwidth is the unidirectional bandwidth between hosts, *i.e.*, NVLink / InfiniBand bandwidth between GPUs and ICI bandwidth between TPUs. Minimal sequence length s = 6c and minimal block size c = FLOPS/Bandwidth.

| Spec Per Host   | FLOPS | HBM  | Interconnect<br>Bandwidth | Minimal<br>Blocksize | Minimal<br>Sequence Len |
|-----------------|-------|------|---------------------------|----------------------|-------------------------|
|                 | (TF)  | (GB) | (GB/s)                    | (×1e3)               | (×1e3)                  |
| A100 NVLink     | 312   | 80   | 300                       | 1.0                  | 6.2                     |
| A100 InfiniBand | 312   | 80   | 100                       | 3.1                  | 18.7                    |
| TPU v3          | 123   | 16   | 112                       | 1.1                  | 6.6                     |
| TPU v4          | 275   | 32   | 268                       | 1.0                  | 6.2                     |
| TPU v5e         | 196   | 16   | 186                       | 1.1                  | 6.3                     |

total maximum activation size remains at 6bch bytes. Table 1 provides a detailed comparison of the memory costs between our method and other approaches. Notably, our method exhibits the advantage of linear memory scaling with respect to the block size c, and is independent of the input sequence length s.

Our analysis shows that the model needs to fit in s = 6c sequence length, *i.e.*, six times of minimal block size. Requirements on popular computing servers as shown in Table 3, the required minimal sequence length to be fit in each host is between 6K to 20K. This requirement is easy to meet using blockwise computation of attention and feedforward [22], which we will show in experiment section 5.

Algorithm and Implementation. Algorithm 1 provides the pseudocode of the algorithm. Ring Attention is compatible with existing code for memory efficient transformers: Ring Attention just needs to call whatever available memory efficient computation locally on each host, and overlap the communication of key-value blocks between hosts with blockwise computation. We use collective operation jax.lax.ppermute to send and receive key value blocks between nearby hosts. A Jax implementation is provided in Appendix A.

#### 167 4 Setting

We evaluate the impact of using Ring Attention in improving Transformer models by benchmarking
 maximum sequence length and model flops utilization.

Model Configuration. Our study is built upon the LLaMA architecture, we consider 3B, 7B, 13B,
 and 30B model sizes in our experiments.

172 **Baselines.** We evaluate our method by comparing it with vanilla transformers [35] which computes

self-attention by materializing the attention matrix and computes the feedforward network normally,

transformers with memory efficient attention [27] and its efficient CUDA implementation [9], and

transformers with both memory efficient attention and feedforward [22].

| Algorithm 1 Reducing Transformers Memory Cost with Ring Attention.                     |
|--|
| <b>Required:</b> Input sequence $x$ . Number of hosts $N_h$ .                          |
| Initialize   |
| Split input sequence into $N_h$ blocks that each host has one input block.             |
| Compute query, key, and value for its input block on each host.                        |
| for Each transformer layer do  |
| for $count = 1$ to $N_h - 1$ do  |
| for For each host concurrently. do   |
| Compute memory efficient attention incrementally using local query, key, value blocks. |
| Send key and value blocks to next host and receive key and value blocks from previous  |
| host.  |
| end for  |
| end for  |
| for For each host concurrently. do   |
| Compute memory efficient feedforward using local attention output.                     |
| end for  |
| end for  |

Training Configuration. For all methods, we apply full gradient checkpointing [5] to both attention
and feedforward, following prior works [27, 22]. The experiments are on both GPUs and TPUs.
For GPUs, we consider both single DGX A100 server with 8 GPUs and distributed 32 A100 GPUs.
We also experiment with TPUs, from older generations TPUv3 to newer generations of TPUv4 and
TPUv5e. We note that all of our results are obtained using full precision instead of mixed precision.

#### 181 5 Results

In our experiments, our primary objective is to comprehensively evaluate the performance of Ring Attention across multiple key metrics, including maximum supported sequence length within accelerator memory, model flops utilization, and throughput. We compare Ring Attention's performance with several baseline models , including the vanilla transformers [35], transformers with memory efficient attention [27], and transformers with both memory efficient attention and feedforward [22], across different model sizes and accelerator configurations.

#### 188 5.1 Evaluating Max Context Size

We evaluate maximum supported context length using tensor parallelism and batch size 1 in sequences. Following prior works [22, 31], we note that no data parallelism is considered in our evaluations since our approach is independent of data parallelism. As a result, the batch sizes used in our analysis are much lower than the ones used for the end-to-end training. Practitioners can combine our method with data parallelism to scale up batch size, which we will show in Section 5.2. Table 3 summarizes the results of our experiments.

Our Ring Attention model consistently surpasses baselines, delivering superior scalability across 195 diverse hardware setups. For example, with 32 A100 GPUs, we achieve over 32 million tokens in 196 context size, a significant improvement over baselines. Furthermore, when utilizing larger accelerators 197 like TPUv4-512, Ring Attention enables a 512x increase in context size, allows training sequences of 198 over 100 million tokens. Furthermore, our Ring Attention model scales linearly with the number of 199 devices, as demonstrated by the 8x improvement over BPT on 8 A100 and the 512x improvement on 200 201 TPUv4-512. If a model can be trained with context size s on n GPUs using the blockwise attention and feedforward, with our Ring Attention approach, it becomes possible to train a model with a 202 context size of ns. 203

<sup>&</sup>lt;sup>1</sup>Unlike TPUv4-256 and TPUv5-256 where the number 256 represents the count of TPUv4 (v5) hosts, TPUv3 uses a doubled host count notation. So, TPUv3-512 means there are 256 hosts. See https://cloud.google.com/tpu/docs/system-architecture-tpu-vm# tpu\_v3 for more details.

Table 3: Maximum context length supported in device memory on different model sizes and clusters of accelerators. Baselines are vanilla transformer [35], transformer with memory efficient attention [27], and transformer with memory efficient attention and feedforward [22]. The context size is reported in tokens (1e3). Our Ring Attention substantially outperforms baselines and scales linearly with number of devices, achieving over 100M context size.

|                        | Max context size supported ( $\times 1e3$ ) |                          |                                  |                          |                 |  |
|------------------------|---|--------------------------|----------------------------------|--------------------------|-----------------|--|
|                        | Vanilla                                     | Memory<br>Efficient Attn | Memory Efficient<br>Attn and FFN | Ring Attention<br>(Ours) | Ours<br>vs SOTA |  |
| 8x A100 NVLink         |   |                          |                                  |                          |                 |  |
| 3B                     | 16  | 256                      | 512                              | 4096 (4M)                | 8x              |  |
| 7B                     | 16  | 256                      | 512                              | 4096 (4M)                | 8x              |  |
| 13B                    | 8   | 128                      | 256                              | 2048 (2M)                | 8x              |  |
| 30B                    | 8   | 64                       | 256                              | 2048 (2M)                | 8x              |  |
| 32x A100 InfiniBand    |   |                          |                                  |                          |                 |  |
| 7B                     | 32  | 512                      | 1024                             | 32768 (32M)              | 32x             |  |
| 30B                    | 16  | 128                      | 512                              | 16384 (16M)              | 32x             |  |
| TPUv3-512 <sup>1</sup> | ĺ   |                          |                                  |                          |                 |  |
| 7B                     | 4   | 16                       | 64                               | 16384 (16M)              | 256x            |  |
| 13B                    | 2   | 8                        | 32                               | 8192 (8M)                | 256x            |  |
| 30B                    | 1   | 4                        | 16                               | 4096 (4M)                | 256x            |  |
| TPUv4-512              |   |                          |                                  |                          |                 |  |
| 3B                     | 8   | 64                       | 256                              | 131072 (131M)            | 512x            |  |
| 7B                     | 8   | 32                       | 128                              | 65536 (65M)              | 512x            |  |
| 13B                    | 4   | 16                       | 64                               | 32768 (32M)              | 512x            |  |
| 30B                    | 2   | 8                        | 32                               | 16384 (16M)              | 512x            |  |
| TPUv5e-256             |   |                          |                                  |                          |                 |  |
| 7B                     | 4   | 16                       | 64                               | 16384 (16M)              | 256x            |  |
| 30B                    | 1   | 4                        | 16                               | 4096 (4M)                | 256x            |  |

#### 204 5.2 Evaluating Model Flops Utilization

We evaluate the model flops utilization (MFU) of Ring Attention in standard training settings 205 using fully sharded data parallelism(FSDP) [10] and tensor parallelism following LLaMA and 206 OpenLLaMA [34, 11]. The batch size in tokens are 2M on 8/32x A100 and 4M on TPUv4-256. Our 207 goal is investigating the impact of model size and context length on MFU, a critical performance 208 metrics while highlighting the benefits of our approach. Table 5.1 presents the results of our 209 experiments on MFU for different model sizes and context lengths. We present the achieved MFU 210 using state-of-the-art memory efficient transformers BPT [22], compare it to our anticipated MFU 211 based on these results, and demonstrate the actual MFU obtained with our approach (Ring Attention). 212 For fair comparison, both BPT and our approach are based on the same BPT implementation<sup>2</sup> on 213 both GPUs and TPUs. It's worth noting that on GPUs our approach Ring Attention can be also 214 integrated with the more compute efficient Triton code [16] or CUDA code [9] of memory efficient 215 attention [27], similarly on TPUs it is also compatible with Pallas [33]. Combing these low level 216 kernels implementations with our approach can maximize MFU, we leave that to future work. 217

Ring Attention trains much longer context sizes for self-attention, resulting in higher self-attention FLOPs compared to baseline models. Since self-attention has a lower MFU than feedforward, Ring Attention is expected to have a lower MFU than the baseline models. Our method offers a clear advantage in terms of maintaining MFU while enabling training with significantly longer context lengths. As shown in Table 5.1, when comparing our approach to prior state-of-the-arts, it is evident that we can train very large context models without compromising MFU or throughput.

<sup>&</sup>lt;sup>2</sup>https://github.com/lhao499/llm\_large\_context

Table 4: Model flops utilization (MFU) with different training configurations: model sizes, compute, and context lengths. Ring Attention enables training large models (30B-65B) for over 1M context size with negligible overheads.







#### 224 5.3 Impact on LLM Performance

We evaluate Ring Attention by applying our method to finetune LLaMA model to longer context. In 225 this experiment, while our approach enables training with millions of context tokens, we conducted 226 finetuning on the LLaMA-13B model, limiting the context length to 512K tokens due to constraints 227 on our cloud compute budget. This finetuning was carried out on 8 A100 GPUs, using the ShareGPT 228 dataset, following methodologies as outlined in prior works [6, 12]. We then evaluated our finetuned 229 model on the line retrieval test [19]. In this test, the model needs to precisely retrieve a number 230 from a long document, the task can effectively capture the abilities of text generation, retrieval, and 231 information association at long context, reflected by the retrieving accuracy. Figure 3 presents the 232 accuracy results for different models across varying context lengths (measured in tokens). Notably, 233 our model, Ring Attention-13B-512K, stands out as it maintains high accuracy levels even with 234 long contexts. GPT3.5-turbo-16K, Vicuna-16B-16K, and Claude-2-100K demonstrate competitive 235 accuracy within short context lengths. However, they cannot handle extended context lengths. 236

#### 237 6 Related Work

Transformers have garnered significant attention in the field of AI and have become the backbone 238 for numerous state-of-the-art models. Several works have explored memory-efficient techniques 239 to address the memory limitations of Transformers and enable their application to a wider range 240 of problems. Computing exact self-attention in a blockwise manner using the tiling technique [23] 241 has led to the development of memory efficient attention mechanisms [27] and its efficient CUDA 242 implementation [9], and blockwise parallel transformer [22] that proposes computing both feedfor-243 ward and self-attention block-by-block, resulting in a significant reduction in memory requirements. 244 In line with these advancements, our work falls into the category of memory efficient computation 245 for Transformers. Other works have investigated the approximation of attention mechanisms, yet 246 these efforts have often yielded sub-optimal results or encountered challenges during scaling up. 247 For an in-depth review of these techniques, we recommend referring to the surveys by Narang et al. 248 [25], Tay et al. [32]. Another avenue of research explores various parallelism methods, including 249 tensor parallelism [31], pipeline parallelism [14], sequence parallelism [20, 17], and FSDP [10, 28]. 250 The activations of self-attention take a substantial amount of memory for large context models and 251 tensor parallelism can only reduce parts of activations memory. Sequence parallelism of self-attention 252 introduces a significant communication overhead that cannot be overlapped with computation, our 253 work leverages on blockwise parallel transformers to distribute blockwise computation across devices 254 255 and concurrently overlaps the communication of key-value blocks between hosts with blockwise computation. Overlapping communication with computation has been studied in high performance 256 computing literature [7, 36, 8, *inter alia*]. While ring communication has found applications in other 257 parallel computing scenarios [2, 15, 13, 30], our work stands out as the first work to show that it can 258 be applied to self-attention as used in Transformers and to make it fit efficiently into Transformer 259 training and inference without adding significant overhead by overlapping blockwise computation 260 and communication. 261

#### 262 7 Conclusion

In conclusion, we propose a memory efficient approach to reduce the memory requirements of Transformers, the backbone of state-of-the-art AI models. Our approach enables the context length to scale linearly with the number of devices while maintaining performance, eliminating the memory bottleneck imposed by individual devices. Through extensive experiments, we demonstrate its effectiveness, achieving up to 512x memory reduction than prior memory efficient Transformers. Our contributions include a practical method for large context sizes in large Transformer models.

Limitations and Future Work. Although our method achieves state-of-the-art low memory usage for Transformer models, it does have some limitations that need to be addressed:

• *Scaled up training*: due to compute budget constraint, our experiments focus on evaluation the effectiveness of the proposed approach without large scale training models.

Optimal compute efficiency: While Ring Attention enables the context length to scale linearly
 with the number of devices while maintaining performance, optimizing low-level operations is
 crucial for achieving optimal compute efficiency. In future works, we suggest considering porting
 our method to CUDA / OpenAI Triton /Jax Pallas to achieve maximum sequence length and
 compute performance.

In terms of future prospects, the possibility of near-infinite context introduces a vast array of exciting
opportunities, such as large video-language models, decision making and tool use transformers on extended trial-and-error experience, understanding and generating large code projects, and transforming
language models into a versatile AI scientist for helping understand science experimental data.

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## 384 A Code

The implementation of Ring Attention in Jax is provided in Figure 4. We use defvjp function 385 to define both the forward and backward passes, and use collective operation jax.lax.ppermute 386 to facilitate the exchange of key-value blocks among a ring of hosts. The provided code snip-387 pet highlights essential components of Ring Attention. The complete implementation with 388 maximum memory efficient just needs to replace the local blockwise computation, specifi-389 cally jnp.einsum("bshd,btd->bhst", q, k) and jnp.einsum("bhst,btd->bshd", s, v) 390 as well as the local blockwise feedforward computation with BPT's Jax based blockwise attention 391 and FFN computation. For maximum compute efficiency our Ring Attention can be integrated 392 with exiting kernel-level fused-attention implementations, such as on GPUs Ring Attention can be 393 integrated with Triton code [16] or CUDA code [9], similarly on TPUs it is also compatible with 394 Pallas code [33] of the memory efficient attention [27]. 395

## **B** Experiment Details

#### 397 B.1 Evaluation of context length

In the experimental results presented in Section 5.1, we used tensor parallelism to partition the model across GPUs or TPU units. Our evaluation focused on determining the maximum achievable sequence length, using a sequence number of one. For TPUs, we utilized its default training configuration, which involved performing matmul operations in bfloat16 format with weight accumulation in float32. On the other hand, for GPUs, we adopted the default setup, where all operations were performed in float32.

#### 404 **B.2 Evaluation of MFU**

In the evaluation presented in Section 5.2, the training was conducted using FSDP [10] with no gradient accumulation. The batch size in tokens is 2 million per batch on GPU and 4 million per batch on TPU. For gradient checkpointing [5], we used nothing\_saveable as checkpointing policies for attention and feedforward network (FFN). For more details, please refer to Jax documentation.

#### 409 **B.3 Evaluation on line retrieval**

In the evaluation presented in Section 5.3, the training was conducted using FSDP on 8x A100 80GB 410 Cloud GPUs. We finetuned the LLaMA-13B model [34], limiting context length to 512K tokens due 411 to constraints on our cloud compute budget, though our approach enables training with millions of 412 context tokens. We use user-shared conversations gathered from ShareGPT.com with its public APIs 413 for finetuning, following methodologies as outlined in prior works [6, 12]. ShareGPT is a website 414 where users can share their ChatGPT conversations. To ensure data quality, we convert the HTML 415 back to markdown and filter out some inappropriate or low-quality samples, which results in 125K 416 conversations after data cleaning. 417

```
@partial(jax.custom_vjp, nondiff_argnums=[3, 4, 5])
1
2
    def _ring_attention_fwd(q, k, v, mask, axis_name, float32_logits):
        if float32_logits:
3
            q, k = q.astype(jnp.float32), k.astype(jnp.float32)
4
        batch, q_len, num_heads, _ = q.shape
5
        batch, kv_len, dim_per_head = k.shape
6
        numerator = jnp.zeros((batch, q_len, num_heads, dim_per_head)).astype(q.dtype)
7
        denominator = jnp.zeros((batch, num_heads, q_len)).astype(q.dtype)
8
        axis_size = lax.psum(1, axis_name)
9
        scale = jnp.sqrt(q.shape[-1])
10
        def scan_kv_block(carry, idx):
11
            prev_max_score, numerator, denominator, k, v = carry
12
            mask = lax.dynamic_slice_in_dim(mask,
13
                 (lax.axis_index(axis_name) - idx) % axis_size * kv_len, kv_len, axis=-1)
14
            attn_weights = jnp.einsum("bqhd,bkd->bhqk", q, k) / scale
15
            attn_weights = jnp.where(mask, -jnp.inf, attn_weights)
16
17
            max_score = jnp.maximum(prev_max_score, jnp.max(attn_weights, axis=-1))
18
            exp_weights = jnp.exp(attn_weights - max_score[..., None])
            correction = rearrange(jnp.exp(prev_max_score - max_score), 'b h q -> b q h')[..., None]
19
            numerator = numerator * correction + jnp.einsum("bhqk,bkd->bqhd", exp_weights, v)
20
            denominator = denominator * jnp.exp(prev_max_score - max_score) + jnp.sum(exp_weights, axis=-1)
21
            k, v = map(lambda x: lax.ppermute(x, axis_name, perm=[(i,
22
23
                (i + 1) % axis_size) for i in range(axis_size)]), (k, v))
            return (max_score, numerator, denominator, k, v), None
24
        prev_max_score = jnp.full((batch, num_heads, q_len), -jnp.inf).astype(q.dtype)
25
        (numerator, max_score, denominator, _, _), _ = lax.scan(scan_kv_block,
26
            init=(prev_max_score, numerator, denominator, k, v), xs=jnp.arange(0, axis_size))
27
        output = numerator / rearrange(denominator, 'b h q -> b q h')[..., None]
28
29
        return output.astype(v.dtype), (output, q, k, v, numerator, denominator, max_score)
30
    def _ring_attention_bwd(mask, axis_name, float32_logits, res, g):
31
32
        del float32_logits
        axis_size = lax.psum(1, axis_name)
33
        output, q, k, v, numerator, denominator, max_score = res
34
        dq = jnp.zeros_like(q, dtype=jnp.float32)
35
        dk = jnp.zeros_like(k, dtype=jnp.float32)
36
        dv = jnp.zeros_like(v, dtype=jnp.float32)
37
        batch, kv_len, dim_per_head = k.shape
38
39
        scale = jnp.sqrt(q.shape[-1])
        def scan_kv_block(carry, idx):
40
41
            dq, dk, dv, k, v = carry
            mask = lax.dynamic_slice_in_dim(mask,
42
                (lax.axis_index(axis_name) - idx) % axis_size * kv_len, kv_len, axis=-1)
43
44
            attn_weights = jnp.einsum("bqhd,bkd->bhqk", q, k) / scale
            attn_weights = jnp.where(mask, -jnp.inf, attn_weights)
45
            exp_weights = jnp.exp(attn_weights - max_score[..., None]) / denominator[..., None]
46
            ds = jnp.einsum("bqhd,bkd->bhqk", g, v)
47
            dl = (ds - jnp.einsum("bqhd,bqhd->bhs", g, output)[..., None]) * exp_weights
48
            dq = dq + jnp.einsum("bhqk,bkd->bqhd", dl, k) / scale
dk = dk + jnp.einsum("bqhd,bhqk->bkd", q, dl) / scale
49
50
            dv = dv + jnp.einsum("bhqk,bqhd->bkd", exp_weights, g)
51
            k, v, dk, dv = map(lambda x: lax.ppermute(x, axis_name, perm=[(i,
52
                 (i + 1) % axis_size) for i in range(axis_size)]), (k, v, dk, dv))
53
            return (dq, dk, dv, k, v), None
54
55
        (dq, dk, dv, k, v), _ = lax.scan(scan_kv_block, init=(dq, dk, dv, k, v), xs=jnp.arange(0, axis_size))
        dq, dk, dv = dq.astype(q.dtype), dk.astype(k.dtype), dv.astype(v.dtype)
56
        return dq, dk, dv
57
58
    @partial(jax.custom_vjp, nondiff_argnums=[3, 4, 5])
59
    def ring_attention(q, k, v, mask, axis_name, float32_logits=True):
60
61
        y, _ = _ring_attention_fwd(q, k, v, mask, axis_name, float32_logits)
62
        return y
63
    ring_attention.defvjp(_ring_attention_fwd, _ring_attention_bwd)
64
```

Figure 4: Key parts of the implementation of Ring Attention in Jax. We use collective operation lax.ppermute to send and receive key value blocks between previous and next hosts.

|               |            |             |             |                   |                            |                     |              |                |                  | - 5000 |
|---------------|------------|-------------|-------------|-------------------|----------------------------|---------------------|--------------|----------------|------------------|--------|
| 1TB           | 1.0        | 1.1         | 1.1         | 1.3               | 1.6                        | 2.1                 | 5.6          | 56.8           | 596.8            | 5000   |
| 175B          | 1.1        | 1.2         | 1.4         | 1.8               | 2.6                        | 4.3                 | 14.4         | 162.6          | 1725.6           | - 1000 |
| l Size<br>928 | 1.1        | 1.2         | 1.5         | 2.2               | 3.4                        | 5.8                 | 20.6         | 237.2          | 2521.5           | - 100  |
| аро<br>33В    | 1.1        | 1.3         | 1.6         | 2.3               | 3.7                        | 6.5                 | 23.2         | 268.0          | 2850.3           |        |
| 13B           | 1.1        | 1.4         | 1.8         | 2.8               | 4.6                        | 8.4                 | 31.0         | 362.3          | 3855.9           | -10    |
| 7B            | 1.1        | 1.4         | 2.0         | 3.1               | 5.4                        | 10.0                | 37.4         | 439.7          | 4682.0           |        |
|               | 2x<br>(8K) | 4x<br>(16K) | 8x<br>(32K) | 16x<br>(64K)<br>C | 32x<br>(128K)<br>ontext Le | 64x<br>(256K)<br>en | 256x<br>(1M) | 3072x<br>(10M) | 32768x<br>(100M) | -1     |

Figure 5: The per dataset training FLOPs cost ratio relative to a 4k context size, considering different model dimensions. On the x-axis, you'll find the context length, where, for example, 32x(128k) denotes a context length of 128k, 32x the size of the same model's 4k context length.

#### 418 C Training FLOPs Scaling of Context Size

Given that our proposed approach unlocks the possibility of training with a context size exceeding 100 419 million tokens and allows for linear scaling of the context size based on the number of devices, it is 420 essential to understand how the training FLOPs per dataset scale with the context size. While a larger 421 context size results in a higher number of FLOPs, the increased ratio does not scale quadratically 422 because the number of tokens remains fixed. We present these results in Figure 5, which showcases 423 various model sizes and context lengths, representing different computational budgets. The figure 424 illustrates the ratio of FLOPs for larger context lengths compared to the same model with a shorter 425 4K context size. We calculated the per sequence FLOPs using  $(24bsh^2 + 4bs^2h)n$  where h is 426 model hidden dimension, b is batch size, s is total sequence length, and n is number of layers. The 427 per dataset FLOPs ratio is then given by  $((24bs_2h^2 + 4bs_2^2h)/(24bs_1h^2 + 4bs_1^2h))/(s_2/s_1) =$ 428  $(6h + s_2)/(6h + s_1)$ , where  $s_2$  and  $s_1$  are new and old context lengths. Model sizes and their 429 hidden dimensions are as follows: LLaMA-7B (4096), LLaMA-13B (5140), LLaMA-33B (7168), 430 LLaMA-65B (8192), GPT3-175B (12288), and 1TB (36864). These model configurations are from 431 LLaMA [34] and GPT-3 [3] papers, except the 1TB model size and dimension were defined by us. 432

As depicted in Figure 5, scaling up small models to a 1M context size results in approximately 20-40 times more FLOPs, and even more for 10M and 100M token context sizes. However, as the model sizes increase, the cost ratio decreases. For instance, scaling up the 170B model from 4K to 10M incurs 162.6x higher per dataset FLOPs, despite the context size being 3072 times longer.

#### 437 **D** Impact on In Context RL Performance

In addition to show the application of Ring Attention to finetune LLM in Section 5.3, we present additional results of applying Ring Attention for learning trial-and-error RL experience using Transformers. We report our results in Table 5, where we evaluate our proposed model on the ExoRL benchmark across six different tasks. On ExoRL, we report the cumulative return, as per ExoRL [37]. We compare BC, DT [4], AT [21], and AT with memory efficient attention [27] (AT+ME), AT with blockwise parallel transformers [22] (AT+BPT), and AT with our Ring Attention (AT+Ring Attention).

Table 5: Application of Ring Attention on improving Transformer in RL. BC and DT use vanilla attention. AT + ME denotes using memory efficient attention, AT + BPT denotes using blockwise parallel transformer. AT + RA denotes using Ring Attention.

| ExoRL            | BC-10% | DT    | AT + ME      | AT + BPT     | AT + BPT      | AT + RA       |
|------------------|--------|-------|--------------|--------------|---------------|---------------|
| Task             |        |       | N Trajs = 32 | N Trajs = 32 | N Trajs = 128 | N Trajs = 128 |
| Walker Stand     | 52.91  | 34.54 | oom          | 95.45        | oom           | 98.23         |
| Walker Run       | 34.81  | 49.82 | oom          | 105.88       | oom           | 110.45        |
| Walker Walk      | 13.53  | 34.94 | oom          | 78.56        | oom           | 78.95         |
| Cheetah Run      | 34.66  | 67.53 | oom          | 178.75       | oom           | 181.34        |
| Jaco Reach       | 23.95  | 18.64 | oom          | 87.56        | oom           | 89.51         |
| Cartpole Swingup | 56.82  | 67.56 | oom          | 120.56       | oom           | 123.45        |
| Total Average    | 36.11  | 45.51 | oom          | 111.13       | oom           | 113.66        |

The numbers of BC, DT, AT are from the ExoRL and AT paper. AT + Ring Attention numbers are 444 run by ourselves. Since the ExoRL data is highly diverse, having been collected using unsupervised 445 RL [18], it has been found that TD learning performs best, while behavior cloning struggles [37]. 446 AT [21] shows that conditioning Transformer on multiple trajectories with relabeled target return can 447 achieve competitive results with TD learning. For more details, please refer to their papers. We are 448 interested in applying Ring Attention to improve the performance of AT by conditioning on a larger 449 number of trajectories rather than 32 trajectories in prior works. It is worth noting that each trajectory 450 has  $1000 \times 4$  length where 1000 is sequence length while 4 is return-state-action-reward, making 451 training 128 trajectories with modest 350M size model infeasible for prior state-of-the-art blockwise 452 parallel transformers. Results in Table 5 show that, by scaling up the sequence length (number of 453 trajectories), AT + Ring Attention consistently outperforms oringal AT with BPT across all six tasks, 454 achieving a total average return of 113.66 compared to the AT with BPT model's total average return 455 of 111.13. The results show that the advantage of Ring Attention for training and inference with long 456 sequences. 457