# Boosting Translation Capabilities of Large Language Models with Code-Switching Pretraining

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#### Abstract

 Recently, there has been significant attention on adapting the translation capabilities of Large Language Models. Represented by ALMA [\(Xu](#page-10-0) [et al.,](#page-10-0) [2023\)](#page-10-0), a two-stage training recipe has been developed: first, utilizing a large amount of monolingual data for pretraining to enhance proficiency in non-English languages, followed by fine-tuning with a small amount of high- quality bilingual data. However, in the pretrain- ing process, explicit cross-lingual alignment information is not provided, and excessive use of bilingual data can lead to catastrophic for- getting issues, both of which hinder the further advancement of the model's translation abili- ties. In this article, we address this issue by introducing a new pretraining process based on Code-Switching pretraining data. In this stage of pretraining, we can provide rich cross- lingual alignment information while ensuring that the training data is semantically coherent documents, which helps alleviate catastrophic forgetting. Moreover, the training process re- lies solely on monolingual data and a pair of traditional machine translation models, making it highly versatile. Experimental results show that our method has improved the translation quality, achieving state-of-the-art results in sim-ilar works.

#### **<sup>029</sup>** 1 Introduction

 The rapid development of large language models (LLMs) [\(Brown et al.,](#page-8-0) [2020b;](#page-8-0) [Chowdhery et al.,](#page-8-1) [2023;](#page-8-1) [Touvron et al.,](#page-10-1) [2023\)](#page-10-1), represented by the GPT series [\(Brown et al.,](#page-8-0) [2020b\)](#page-8-0), has brought ex- citing progress to the field of Natural Language Processing (NLP). The powerful language under- standing, abstract summarization, and conversa- tional generation capabilities of large models are revolutionizing numerous NLP tasks [\(Shao et al.,](#page-9-0) [2023;](#page-9-0) [Singhal et al.,](#page-10-2) [2023;](#page-10-2) [Zhang et al.,](#page-11-0) [2024;](#page-11-0) [Min](#page-9-1) [et al.,](#page-9-1) [2023\)](#page-9-1), and the field of machine translation is no exception.

Extensive work has verified that large models **042** can achieve zero-shot and few-shot translation **043** [t](#page-8-2)hrough their powerful in-context learning [\(Hendy](#page-8-2) **044** [et al.,](#page-8-2) [2023;](#page-8-2) [Zhang et al.,](#page-10-3) [2023a\)](#page-10-3) capabilities, with- **045** out the need for specific adaptations for translation **046** tasks. However, since large language models are **047** often trained on English as the primary language, **048** the insufficient data in other languages has resulted **049** in most LLMs' translation capabilities falling short **050** compared to commercial traditional models or top **051** commercial LLMs [\(Jiao et al.,](#page-9-2) [2023b\)](#page-9-2). **052**

ALMA [\(Xu et al.,](#page-10-0) [2023\)](#page-10-0) has already proven that **053** we can enhance the translation capabilities of LLM **054** through continual training. They first enhance the **055** proficiency of LLM in these non-English languages **056** by adding monolingual data in those languages for **057** Continual Pretraining (CPT), and then stimulate the **058** translation capabilities of LLM by utilizing small **059** amount high-quality bilingual data for Supervised **060** Finetune (SFT). However, in the pretraining phase 061 they proposed, there was no explicit modeling of **062** cross-lingual alignment, which may hinder further **063** quality improvement. In contrast, [Guo et al.](#page-8-3) [\(2024\)](#page-8-3) **064** attempted to adding sentence-level parallel data **065** during the pretraining phase using an Interlinear **066** text format, but this method has two drawbacks: a) **067** Extensive sentence-level bilingual data has been **068** [d](#page-10-0)emonstrated to induce catastrophic forgetting [\(Xu](#page-10-0) **069** [et al.,](#page-10-0) [2023\)](#page-10-0) and erase previously acquired knowl- **070** edge. b) The pattern of sentence-level parallel data **071** diverges from that of standard pretraining data, ne- **072** cessitating high-quality, semantically coherent doc- **073** ument data. **074** 

In studies of traditional machine translation **075** [\(](#page-9-3)MT) models, the code-switching strategy [\(Lin](#page-9-3) **076** [et al.,](#page-9-3) [2020;](#page-9-3) [Yang et al.,](#page-10-4) [2020\)](#page-10-4) (i.e., replacing **077** words or phrases in the current sentence with ex- **078** pressions from another language) has been shown **079** to be effective in aligning multilingual representa- **080** tion spaces. Drawing inspiration from this, we at- **081** tempted to modify the pretraining corpus of LLMs **082**

 using a sentence-level code-switching strategy and obtained semantically coherent document data com- posed of sentences from two languages. Then LLM can learn cross-lingual contextual dependencies and alignment information embedded in the such data through standard pretraining. To achieve our goals, the most ideal training data is document-level parallel corpora, but such data only exists between high-resource languages and in limited quantities. Nevertheless, leveraging the strong fundamental capabilities of LLMs along with specific markers enables us to utilize tradi- tional MT models for generating document-level back translation data as an alternative. More specifically, we use monolingual data in English and the target language, along with a pair of traditional MT models, to generate two types of code-switching pretraining data: from English to the target language and from the target language to English. Subsequently, a novel pretraining phase, denote as Code-Switching Continual PreTraining (standard pretraining on the code-switching data), is integrated into the two-stage training recipe sug-gested by ALMA. In the end, experiments show

**107** that our improved training recipe significantly en-

**108** hances LLM's cross-lingual alignment capability.

**109** The translation quality from the target language to **110** English and from English to the target language has

**111** both improved. At the same time, we found that in **112** the new pretraining stage, the contribution of code-

**113** switching pretraining data in the same direction is **114** greater than in the opposite direction, and we pre-

**115** liminarily analyze that such data may help improve 116 the model's automatic post-editing capabilities.

**117** Our core contributions are as follows:

**118** • The Code-Switching Continual PreTraining **119** stage we proposed can enhance the cross-

**120** lingual alignment capability of LLM, address-

**121** ing the shortcomings of previous work. **122** • We introduced traditional MT models into the

**123** optimization process of LLM's translation ca-

**124** pabilities in the form of back translation. **125** • The final optimized model achieved State-of-

**126** the-Art performance in some translation direc-

**127** tions compared to similar works.

**<sup>128</sup>** 2 Related Work

# **129** 2.1 Large Language Models

**130** Large language models generally refer to **131** transformer-based [\(Vaswani et al.,](#page-10-5) [2017\)](#page-10-5) neural

models with billions of parameters. Both open- **132** source models like Llama [\(Touvron et al.,](#page-10-1) [2023\)](#page-10-1), 133 Mistral [\(Jiang et al.,](#page-9-4) [2023\)](#page-9-4) and GLM [\(Zeng et al.,](#page-10-6) **134** [2022\)](#page-10-6) and closed-source models like GPT-3.5/4 **135** [\(Brown et al.,](#page-7-0) [2020a\)](#page-7-0), Claude [\(Anthropic\)](#page-7-1) demon- **136** strate enhanced language comprehension and gen- **137** eration capabilities. Mainstream LLMs follow a **138** Decoder-only architecture, expanding their param- **139** eter size by layering Transformer decoder units. **140** During training, LLMs initially undergo pretrain- **141** ing on a diverse range of document-level monolin- **142** gual data (such as internet data, books, code, etc.) **143** to establish a foundational model. Subsequently, **144** they undergo training using algorithms like Super- **145** vised Finetune and RLHF [\(Ouyang et al.,](#page-9-5) [2022\)](#page-9-5) **146** to align with human preferences and ultimately **147** achieve a robust multi-turn Instruct/Chat model for **148** diverse tasks.

When adapting LLM for downstream tasks, 150 there are two common strategies: the Incontext- **151** [L](#page-11-1)earning (ICL) strategy based on prompt [\(Zhu](#page-11-1) **152** [et al.,](#page-11-1) [2023\)](#page-11-1) technology and various evolving tech- **153** niques represented by COT [\(Wei et al.,](#page-10-7) [2022\)](#page-10-7). An- **154** other strategy involves fine-tuning [\(Ding et al.,](#page-8-4) **155** [2023\)](#page-8-4) the model using downstream data, which **156** often achieves higher performance. Technologies **157** like Low-rank Adaptation (LoRA) [\(Hu et al.,](#page-8-5) [2021\)](#page-8-5), **158** which solve the training cost issue, significantly enhance the applicability of this strategy. 160

# 2.2 Machine Translation Task **161**

Traditional Methods The traditional machine **162** translation models, represented by transformers **163** [\(Vaswani et al.,](#page-10-5) [2017\)](#page-10-5), utilize an Encoder-decoder **164** architecture to autoregressively decode the target **165** language. Among various optimization methods, **166** data augmentation [\(Burlot and Yvon,](#page-8-6) [2018\)](#page-8-6) tech- **167** niques like Back Translation (BT) [\(Edunov et al.,](#page-8-7) **168** [2018;](#page-8-7) [Hoang et al.,](#page-8-8) [2018;](#page-8-8) [Pham et al.,](#page-9-6) [2021\)](#page-9-6) has **169** been proven to be more effective. BT comprises **170** different variations such as sampling BT, Noise **171** BT, Tag BT [\(Caswell et al.,](#page-8-9) [2019\)](#page-8-9), and so on. In **172** the training phase, BT incorporates a variety of **173** monolingual data in the target language to boost **174** the language model's capabilities, aiding in pro- **175** [d](#page-8-10)ucing more natural and accurate outputs [\(Edunov](#page-8-10) **176** [et al.,](#page-8-10) [2020\)](#page-8-10). Additionally, Forward Translation **177** (FT), which translates the source text into the tar- **178** get language, is frequently paired with BT data. **179**

LLM-based Methods As we mentioned before, **180** when adapting the translation capability of LLMs, 181 there are two types of strategies. The first type **182**

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Figure 1: Construction process of Code-Switching pretraining data. The left side displays the key flow nodes involved in data construction, while the right side elaborates on the specifics of constructing Code-Switching data using original monolingual and BT pseudo-corpus.  $LID$  and  $Trans$  are special tokens.

 focuses on harnessing LLMs' Incontext-learning feature and employing prompt techniques to en- hance the model's translation ability. Many studies [\(Hendy et al.,](#page-8-2) [2023;](#page-8-2) [Zhang et al.,](#page-10-3) [2023a;](#page-10-3) [Wang](#page-10-8) [et al.,](#page-10-8) [2023;](#page-10-8) [Gulcehre et al.,](#page-8-11) [2017\)](#page-8-11) have conducted detailed explorations in this direction. Another type involves fine-tuning the model with specific data from translation tasks to achieve better translation quality. Different studies may attempt to fine-tune the model at different training stages. For example, [fi](#page-10-9)ne-tuning the model with monolingual data [\(Tan](#page-10-9) [et al.,](#page-10-9) [2023;](#page-10-9) [Yang et al.,](#page-10-10) [2023;](#page-10-10) [Wei et al.,](#page-10-11) [2023\)](#page-10-11) in the target language or domain during the pretrain- ing phase. Alternatively, using translation-related instruction [\(Li et al.,](#page-9-7) [2024;](#page-9-7) [Zhang et al.,](#page-10-12) [2023b\)](#page-10-12) data during the SFT phase. [Xu et al.](#page-10-13) [\(2024\)](#page-10-13) aim to enhance translation quality by fine-tuning the model using comparison data with varying quality through reinforcement learning.

## **<sup>202</sup>** 3 Methodology

 In this chapter, we will describe the details of the code-switching strategy we proposed, as well as the training recipe we optimized for adapting the translation capabilities of LLMs.

#### <span id="page-2-1"></span>**207** 3.1 Code-Switching Pretraining Data

 In the traditional MT and multilingual language model (MLM) field, the code-switching strategy has been proven to provide cross-lingual alignment information [\(Lin et al.,](#page-9-3) [2020;](#page-9-3) [Yang et al.,](#page-10-4) [2020\)](#page-10-4). In order to adapt to pretraining tasks for LLMs, we use a sentence-level code-switching strategy and obtain semantically coherent document data composed of sentences from two languages.

 In Figure [1,](#page-2-0) we illustrate the specific approach. We refer to the target language as tgt and English as en. Utilizing a pair of pre-trained traditional MT models, we translate monolingual English and target language corpora to generate BT pseudo-corpus **220** denote as  $D_{bt}$ . When constructing Code-Switching 221 pretraining data  $(D_{cs})$ , we randomly select origi- 222 nal and translated sentences with equal probability, **223** and with a 10% probability, we allow them to ap- **224** pear simultaneously. To effectively differentiate **225** Code-switching data and prevent language confu- **226** sion during inference, we incorporate some special **227** tokens. The design of special tokens and an ex- **228** ample of Code-Switching data are provided in the **229** Appendix [A.](#page-11-2) 230

## 3.2 A New Training Recipe **231**

We proposed a new training recipe, in which we **232** added a "Code-Switching Continual Pretraining" **233** stage to ALMA's two-stage training recipe, aiming **234** to more efficiently inject cross-lingual alignment **235** information. Figure [2](#page-3-0) illustrates our training recipe **236** and the differences between our work and typical **237** similar works. **238**

Stage-1: Continual Pretraining with Monolin- **239** gual Data LLMs like LLaMA are pre-trained on **240** English-dominated corpora. They may encounter **241** issues with insufficient comprehension and gener- **242** ation abilities in the target language. By incorpo- **243** rating a large amount of monolingual data in the **244** target language for continual pretraining, we can **245** alleviate this issue. At this stage, we can train with **246** the full set of parameters or utilize LoRA technol- **247** ogy to enhance training efficiency. Training data **248** often comes from widely available internet sources, **249** such as Common Crawl [\(Foundation,](#page-8-12) [2023\)](#page-8-12), as  $250$ [w](#page-9-8)ell as some cleaned versions like OSCAR [\(Ortiz](#page-9-8) **251** [Su'arez et al.,](#page-9-8) [2019;](#page-9-8) [Kreutzer et al.,](#page-9-9) [2022\)](#page-9-9). It is **252** worth noting that the outcome of this stage is to **253** obtain a foundational LLM with multilingual capa- **254** bilities, where we can conduct the training process **255** ourselves or obtain pre-trained models from the **256** open-source community. **257**

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Figure 2: Training process for our and similar works. Overall, we use a three-stage training recipe. And by using Code-Switching strategy, we provide rich cross-lingual alignment information to solve the problems faced in previous works.

 Stage-2: Code-Switching Continual Pretrain- ing (CS-CPT) Since our main objective is to en- hance the translation capabilities of LLM, cross- lingual alignment information holds significant im- portance. During the initial training phase, the absence of explicit cross-lingual alignment infor- mation necessitates the LLM to learn implicitly, which may not be the most efficient method. We mitigate this issue by performing Continual Pre- training on Code-switching data (presented in [3.1\)](#page-2-1). And CS-CPT offering three key advantages:

- **269** Code-Switching data explicitly provides cross-**270** lingual contextual dependencies, which can **271** compel the model to learn semantic-level **272** alignment relationships.
- **273** Code-Switching data is essentially semanti-**274** cally coherent document data, which main-**275** tains consistency with the standard pretrain-**276** ing data format and can alleviate catastrophic **277** forgetting.
- **278** It only requires an additional pair of tradi-**279** tional MT models, making resource consump-**280** tion and complexity controllable.

 We use LoRA technology to carry out the train- ing in this stage, but set the embed\_tokens and lm\_head modules to be updatable so that the model can learn token-level alignment information. It is worth mentioning that, as the data pattern is consistent with the first stage, we can even merge them together for training. We also validated this point in the subsequent experimental section.

 Stage-3: High-Quality Data Fine-tuning In previous research on adapting LLMs to down- stream tasks, it has been confirmed that the quality of data during the SFT phase is more important than the quantity [\(Zhou et al.,](#page-11-3) [2023;](#page-11-3) [Maillard et al.,](#page-9-10)

[2023;](#page-9-10) [Gunasekar et al.,](#page-8-13) [2023\)](#page-8-13) of data. Following **294** the settings of previous works ALMA and [Guo et al.](#page-8-3) **295** [\(2024\)](#page-8-3), we use a small amount of high-quality bilin- **296** gual data to fine-tune the model in order to enhance **297** its translation capabilities. To ensure data quality, **298** we collect human-written datasets from WMT de- **299** velopment and test sets. We also employ LoRA for **300** training. 301

## 4 Experiments **<sup>302</sup>**

We mainly tested our algorithm on translation tasks 303 in four directions in two language pairs: English- **304** Chinese and English-German. Our experiment de- **305** sign closely follows ALMA to ensure a fair com- **306** parison. **307** 

## 4.1 Datasets and Evaluation Metrics **308**

The monolingual dataset we used is sourced from **309** OSCAR. Since the base model we chose (Chinese- **310** LLaMA-2 [\(Cui et al.,](#page-8-14) [2023\)](#page-8-14)) has already undergone **311** the first stage of pretraining in Chinese, we selected **312** only 0.5B of Chinese and English data from the **313** OSCAR dataset for the second stage of training. **314** For the English-German translation task, we opted **315** to pretrain with 1.5B of German and English mono- **316** lingual data (the average number in the ALMA's **317** experiments) and similarly used 0.5B for the sec- **318** ond stage of training. **319**

For our parallel training data, we collect human- **320** written test datasets from WMT'17 to WMT'20 for **321**  $EN \Leftrightarrow ZH$  and  $EN \Leftrightarrow DE$  resulting in a total of 37.6K 322 training examples across all languages. **323**

Furthermore, we include the test sets from the **324** WMT22 competition, which are thoughtfully cu- **325** rated to encompass recent content from various **326** domains like news, social media, e-commerce, and **327** conversations. **328**

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<b>Models</b>	$De \Rightarrow En$		$En \Rightarrow De$		$\mathbf{Zh} \Rightarrow \mathbf{En}$		$En \Rightarrow Zh$	
	<b>BLEU</b>	<b>COMET</b>	<b>BLEU</b>	<b>COMET</b>	<b>BLEU</b>	<b>COMET</b>	<b>BLEU</b>	<b>COMET</b>
	SoTA models							
NLLB-54B(Team et al., 2022b)	26.89	78.94	34.50	86.45	16.56	70.70	27.38	78.91
GPT-3.5-D, zero-shot	30.90	84.79	31.80	85.61	25.00	81.60	38.30	85.76
GPT-3.5-T, zero-shot	33.10	85.50	34.40	87.00	26.60	82.90	44.90	87.00
GPT-4	33.87	85.62	35.38	87.44	27.20	82.79	43.98	87.49
	<b>Prior Similar Studies</b>							
$TIM-7B(Zeng et al., 2023)$	27.91	82.80	25.59	82.56	19.33	75.46	19.33	75.46
Parrot-7B(Jiao et al., 2023a)	29.80	83.00	26.10	81.60	20.20	75.90	30.30	80.30
SWIE-7B(Chen et al., 2023)	30.48	82.97	27.21	82.36	21.30	76.48	31.24	80.63
$ALMA-7B(Xu et al., 2023)$	29.56	83.95	30.31	85.59	23.64	79.78	36.48	85.05
Guo et al. (2024)	31.14	84.70	30.50	85.62	22.20	79.88	41.10	86.37
Parrot-13B(Jiao et al., 2023a)	31.10	83.60	28.10	82.60	21.70	76.70	31.70	81.00
BigTranslate-13B(Yang et al., 2023)	23.35	80.68	21.48	78.81	14.16	74.26	28.56	81.31
Bayling-13B(Zhang et al., 2023b)	27.34	83.02	25.62	82.69	20.12	77.72	37.92	84.62
$ALMA-13B(Xu et al., 2023)$	31.14	84.56	31.47	85.62	25.46	80.21	39.84	85.96
Guo et al. (2024)	32.24	85.17	32.53	86.14	23.10	80.53	42.30	86.65
	<b>Traditional Back Translation Model</b>							
NLLB-distilled-600M-Finetune	26.80	78.53	30.01	85.07	19.72	74.89	33.24	80.76
Ours	Our Recipe with Backbone Model: LLaMA2(Touvron et al., 2023)							
7B Stage1,3	30.05	84.07	30.21	85.55	23.96	79.62	35.31	84.74
7B Stage 1, 2, 3	31.64	85.01	31.20	85.71	26.87	80.44	41.81	86.12
13B Stage1,3	31.20	84.43	31.30	85.77	24.31	80.01	37.34	85.27
13B Stage1,2,3	32.74	85.48	32.49	86.20	27.16	81.06	42.84	86.63

Table 1: The main results. Bold numbers represent the best scores among prior similar studies. After integrating CS-CPT, the translation quality of the model has been significantly improved. Our 7B and 13B models have achieved top performance in most evaluation metrics compare to similar studies. Even the BLEU score for the Zh⇒En direction is on par with that of GPT-4.

 For automatic evaluation, we utilize Sacre- BLEU, which implements BLEU[\(Papineni et al.,](#page-9-12) [2002\)](#page-9-12), and COMET[\(Rei et al.,](#page-9-13) [2020\)](#page-9-13) from Unbabel/wmt22-comet-da. SacreBLEU calcu- lates similarity based on n-gram matching, while COMET leverages cross-lingual pretrained mod- els for evaluation. We rely more on COMET than BLEU due to its better alignment with human eval-uations [\(Freitag et al.,](#page-8-16) [2022\)](#page-8-16).

## **338** 4.2 Training Setup

 Our experiments were carried out using Hugging-**Face Transformers** with open-source LLaMA [\(Touvron et al.,](#page-10-1) [2023\)](#page-10-1) family as our foundation model. Most of our verification experiments were conducted on 7B model, but we will also report the results of the 13B model to explore the impact of model size.

 Specifically, we chose to use Chinese-LLaMA2 [\(Cui et al.,](#page-8-14) [2023\)](#page-8-14) as the base model for our training because it handles Chinese more efficiently (using expanded vocabulary) and has already completed the first stage of training in Chinese. Building on this foundation, we can proceed with the second and third stages of training for Chinese tasks. For **352** German tasks, we will execute the training of the **353** first and second stages together. **354**

In the training of the first and second stages, we **355** use the LoRA approach to adapt the key, query, **356** value, and output layers of the self-attention mech- **357** anism, and the LoRA hyperparameters are set to **358**  $R = 32$  and  $a = 64$ . At the same time, the modules *embed* tokens and lm head are also set as 360 updatable parameters. We fine-tune the foundation **361** model for one epoch using a batch size of 256, a 362 warm-up ratio of 0.01, and sequences with a maxi- **363** mum of 1024 tokens in total. **364** 

During the third stage of training, we follow the **365** ALMA's approach by updating only 0.1% of the **366** parameters using LoRA. We train the model for **367** 2 epochs and select the best model based on the **368** lowest validation loss. For both stages, we adopt 369 deepspeed [\(Rasley et al.,](#page-9-14) [2020\)](#page-9-14) to accelerate our **370 training.** 371

We employ the NLLB-600M-distil  $^2$  $^2$  as our tra-  $372$ ditional MT model for BT pseudo-corpus. Addi- **373** tionally, we leveraged training data from WMT21 **374** to improve the translation quality for the target lan- **375**

<span id="page-4-0"></span><sup>1</sup> https://huggingface.co/docs/transformers/en/index

<span id="page-4-1"></span><sup>2</sup> https://github.com/facebookresearch/fairseq/tree/nllb

**376** guages German and Chinese, thereby ensuring the **377** fundamental quality of the BT pseudo-corpus.

## **378** 4.3 Baselines

 We compare our method against two baseline cat- egories. Firstly, we examine previous studies that share our objective of utilizing LLMs for transla- tion. Secondly, we assess against the latest state-of-the-art translation models.

 Prior Similar Work We compare our model with BigTranslate [\(Yang et al.,](#page-10-10) [2023\)](#page-10-10), which ex- tends LLaMA-1-13B to over 100 translation di- rections; TIM [\(Zeng et al.,](#page-10-15) [2023\)](#page-10-15), which uses cor- rect and incorrect examples to help LLM to learn translation; SWIE [\(Chen et al.,](#page-8-15) [2023\)](#page-8-15), which im- proves LLM in translation via instruction augmen- tation; ParroT[\(Jiao et al.,](#page-9-11) [2023a\)](#page-9-11), through three types of instructions improves the translation per- [f](#page-10-12)ormance of LLM after SFT; and BayLing [\(Zhang](#page-10-12) [et al.,](#page-10-12) [2023b\)](#page-10-12), which uses interactive translation instructions; and ALMA [\(Xu et al.,](#page-10-0) [2023\)](#page-10-0), a two- stage finetuning method that initially fine-tunes on monolingual data and subsequently on a small set of high-quality parallel data; and [Guo et al.](#page-8-3) [\(2024\)](#page-8-3), expand on ALMA's approach by introducing an additional stage for fine-tuning with parallel sen-tences with Interlinear text format.

 SoTA Models We focus on the NLLB-54B model, the top-tier translation model in the NLLB family [\(Team et al.,](#page-10-16) [2022a\)](#page-10-16), as well as the zero-shot capabilities of GPT3.5-text-davinci-003 (GPT-3.5- D) and GPT-3.5-turbo-0301 (GPT-3.5-T), along  $407$  with GPT-4<sup>[3](#page-5-0)</sup>.

## **<sup>408</sup>** 5 Results

 Main Results Table 1 summarizes the main re- sults of our experiments. In summary, our final optimized model has shown consistent improve- ment in translation quality, surpassing ALMA in both BLEU and COMET metrics. The improve- ment in the Chinese translation task is greater than that in the German task, and the BLEU metric for ZH⇒EN task even on par with GPT-4. Compared to similar works, the 13B model has achieved a leading position in most metrics.

 Effectiveness of Code-Switching Continual Pretraining The training in the second stage in- deed improved the model's translation ability. Tak-ing Chinese tasks as an example, the COMET scores for ZH⇒EN and EN⇒ZH improved by **423** 0.82 and 1.38, while BLEU scores improved by **424** 2.91 and 6.5, respectively. For the German task, **425** the overall trend is consistent with Chinese, but the **426** improvement is slightly smaller relative to Chinese. **427** This may be because the alignment information in **428** the foundation models for German and English is **429** richer compared to Chinese (with a higher charac- **430** ter overlap rate). **431**

Compared with Prior Similar Studies Com- **432** pared to the strong baseline ALMA, our 7B model **433** achieved an average BLEU improvement of 2.88 **434** and a COMET improvement of 0.73. Our results **435** exceed those of [Guo et al.](#page-8-3) [\(2024\)](#page-8-3) in the tasks for **436** ZH⇒EN and DE⇒EN, but are on par with theirs **437** in the EN $\Rightarrow$ ZH and EN $\Rightarrow$ DE directions. But it is 438 important to note that we did not use parallel cor- **439** pora in our training process. Moreover, unlike the **440** OSCAR data that we employed, they utilized the **441** WMT bilingual training data, which is more closer 442 to the domain of the current test set. **443**

## 6 Analysis **<sup>444</sup>**

In this chapter, we will analyze the key points of **445** the model. Some analysis experiments will be con- **446** ducted on Chinese tasks because Chinese and En- **447** glish have relatively greater linguistic distances. **448**

#### 6.1 Cross-lingual alignment analysis **449**

To verify whether our model in the second stage **450** has achieved the goal of cross-lingual alignment, **451** we referenced relevant works [\(Lin et al.,](#page-9-3) [2020\)](#page-9-3) **452** and conducted quantitative analyses in two dimen- **453** sions. Firstly, we calculated the similarity of word **454** embeddings for words with the same meanings in **455** different languages. We selected the top 1000 most **456** frequent words according to the MUSE  $<sup>4</sup>$  $<sup>4</sup>$  $<sup>4</sup>$  dictionary.  $457$ </sup> We averaged the sub-word sequences of words to **458** obtain word embeddings and calculated the cosine **459** similarity between the two languages. Additionally,  $460$ we analyzed representations at the sentence level 461 for sentences with the same meanings. We used **462** the Flores [\(NLLB Team,](#page-9-15) [2022\)](#page-9-15) test set to calculate **463** sentence-level embeddings using the same method 464 and computed the corresponding similarities. The **465** results of stage-1 and stage-2 pretraning models **466** are summarized in Figure [3.](#page-6-0) From the figure, it is **467** evident that, both word and sentence-level similari- **468** ties have significantly improved after our CS-CPT, **469** regardless of whether it is language pairs with rela- **470**

<span id="page-5-0"></span><sup>3</sup>GPT-3.5-D, GPT-3.5-T and GPT-4 results are sourced from [Xu et al.,](#page-10-0) [2023](#page-10-0)

<span id="page-5-1"></span><sup>4</sup> https://github.com/facebookresearch/MUSE

<span id="page-6-0"></span>

Figure 3: The average cosine similarity results of models from various stages are sourced from the 7B version. We observe an increase in similarity after the second training stage, affirming the effectiveness of our training approach.

 tively close distances like EN-DE or distant pairs like Chinese-English. This once again proves that CS-CPT can indeed serve the intended purpose, aligning the model's cross-lingual representations to some extent.

#### **476** 6.2 Using of traditional MT models

 When creating Code-Switching data, we introduced a of traditional sentence-level MT model to en- sure the method's versatility and overcome chal- lenges in obtaining document-level parallel cor- pora or document-level MT models. The results in Table [1](#page-4-2) indicate that they did not achieve higher translation quality in terms of BLEU and COMET scores compared to the first-stage model. This find- ing dismisses the idea of LLM gaining knowledge via distillation from pseudo-corpus affirms that the model acquired alignment information beneficial for translation from the Code-Switching data after training in the second stage.

## **490** 6.3 FT is more effective than BT?

 Back translation is more effective than forward translation during the optimization of traditional machine translation models. For instance, when optimizing the ZH⇒EN model, the pseudo-corpus in the EN⇒ZH direction is typically more effec- tive. This is because back translation introduces a large amount of monolingual data for the target language side, enhancing the generation capability of the target language [\(Edunov et al.,](#page-8-7) [2018\)](#page-8-7). With LLMs having already learned a significant amount of monolingual data during the pre-training phase, the target language's generation ability is already

<span id="page-6-1"></span>

<b>Models</b>		$ZH \Rightarrow EN$ $EN \Rightarrow ZH$		
		BLEU CMT BLEU CMT		
7B Stage-1,3		23.96 79.62 35.31 84.74		
7B Stage-1,2,3		26.87 80.44 41.81 86.12		
Only $D_{cs}^{zh2en}$		26.30 80.10 38.01 85.32		
Only $D_{cs}^{en2zh}$		24.70 79.80 39.17 85.60		

Table 2: Comparative experimental results of codeswitching data between BT and FT. "Only  $D_{cs}^{z h 2e n}$ " means using only ZH⇒EN Code-Switching data for training stage-2.

strong. Does this conclusion still hold when adapt- **503** ing LLM to translation tasks? **504**

To explore this, we conducted comparative ex- **505** periments on Chinese tasks. Specifically, in our **506** CS-CPT stage, we only used code-switching data **507** in one direction, then obtained the final translation **508** model through the third stage of SFT. The results  $509$ are summarized in Table [2.](#page-6-1) We were surprised **510** to find that the improvement brought by forward **511** translation is significantly better than that of back **512** translation. Taking ZH⇒EN task as an example, **513** using only ZH⇒EN direction code-switching data **514** resulted in an improvement ratio of over 70% com- **515** pared to using a mixture of data from both direc- **516** tions, while the improvement ratio for the quality **517** of EN⇒ZH task was only around 25%. The over- **518** all trend for  $EN\Rightarrow ZH$  task is similar, just not as  $519$ pronounced as with ZH⇒EN task. **520**

We speculate that apart from bringing benefits **521** in cross-lingual alignment, the forward transla- **522** tion data has also boosted the Automatic Post- **523** Editing (APE) capability of LLM. During the CS- **524** CPT stage, we used special tokens to mark code- **525** switching pseudo data, guiding the model to dif- **526** ferentiate between real and pseudo data. In the **527** final SFT stage, the humans-written parallel data **528** inspired the model to output sentences that lean **529** towards real data during translation. By comparing **530** these two types of data, LLM has improved its abil- **531** ity to rewrite machine-translation results into more **532** natural and fluent translations. **533**

To validate our speculation, we conducted a sim- **534** ple test on the APE capabilities of the models from **535** the first and second stages. Specifically, we used **536** the traditional MT model to translate the test set **537** and obtained machine-translation results, then gen- **538** erated APE results using the 3-shot learning. Eval- **539** uation results are summarized in Table [3.](#page-7-2) The **540** APE ability of the second-stage model is stronger  $541$ than that of the first-stage model, with an aver- **542**

<span id="page-7-2"></span>

<b>Models</b>		$ZH \Rightarrow EN$ $EN \Rightarrow ZH$		
		BLEU CMT BLEU CMT		
NLLB-distil		19.72 74.89 33.24 80.76		
Stage1 + APE 20.20 75.24 33.56 82.11				
Stage1,2 + APE 20.41 75.78 33.61 82.37				

Table 3: Results of APE ability tests for pre-trained models at different stages. The results are all from the 7B version of the model, and the testing method is 3-shot learning. "NLLB-distil" is our traditional MT model used for translating BT pseudo-corpus.

<span id="page-7-3"></span>

<b>Models</b>	$ZH \Rightarrow EN$	$EN\Rightarrow ZH$		
		BLEU CMT BLEU CMT		
7B Stage1,2,3		26.87 80.44 41.81 86.12		
CPT-InterLinear		24.12 79.79 37.87 85.54		
$+ 5$ -Epoch		23.87 79.36 37.88 85.46		
$SFT + BT$		23.45 78.86 34.57 83.15		
+ Full Data		23.01 78.49 34.55 82.71		

Table 4: Results of ablation experiments. "CPT + Inter-Linear" represents replacing  $D_{cs}$  with data in InterLinear text format. "SFT + BT" means using BT translation data to replace human-writing data for the stage-3 training with equal data volum. "Full Data" denote using all the BT data.

**543** age COMET improvement of over 0.4 for the final **544** translation results. Further in-depth exploration **545** will be left for future research.

#### **546** 6.4 Ablation for BT Pseudo-Corpus

 If we follow the previous work and directly use BT pseudo-corpus in the CPT or SFT stage, how would it compare to the current Code-Switching strategy? To verify this question, we conducted a series of ablation experiments. Firstly, following [Guo et al.](#page-8-3) [\(2024\)](#page-8-3), we replaced the Code-Switching data with InnerLinear formatted data for the second- stage pretraining and also extended the training time to explore the issue of catastrophic forgetting. Next, we bypassed the second stage and utilized BT pseudo-corpus in the SFT phase, experiment- ing with varying amounts of data. The results are summarized in Table [4.](#page-7-3)

**560** From the experimental results, we can draw the **561** following conclusions:

 • It is not wise to introduce BT pseudo-corpus in the SFT stage. The improvement in trans- lation quality is not as good as that of human- written data, which aligns with previous find-**566** ings.

• Using data in InnerLinear Text Format in the **567** second stage can bring limit improvement, **568** and there is a certain gap compared to the **569** Code-Switching strategy in terms of BLEU **570** and COMET metrics. Moreover, as the train- **571** ing time increases, the model indeed exhibits **572** the issue of catastrophic forgetting, with a sig- **573** nificant decline in translation quality in the **574** ZH⇒EN direction. **575**

## 7 Conclusion **<sup>576</sup>**

In this paper, we focus on the research of adapting **577** the translation capabilities of large models. We at- **578** tempt to inject cross-lingual alignment information **579** into LLM during the pretraining phase through a **580** Code-Switching strategy, thereby expanding the **581** classic two-stage training recipe. Experiments **582** show that our Code-Switching data constructed **583** based on the back translation strategy achieves de- **584** sirable results, enhancing the end-to-end translation **585** quality of LLMs. Additionally, we also find in our **586** new training recipe, forward translation data seems **587** to be more efficient, and the model's APE capabil- **588** ity may also benefit from the new training stage. **589** Our Code-Switching strategy and the introduction **590** of traditional MT models in the form of back trans- **591** lation into the optimization work of LLM-based **592** translation models may inspire future research to **593** some extent. 594

#### 8 Limitations **<sup>595</sup>**

The code-switching data format is consistent with **596** the standard pre-training data format. In theory, **597** we can further increase the amount of monolingual **598** data for additional optimization. This aspect of **599** work needs to be further explored in the future. **600** 

Current experiments and analyses are based on **601** translation tasks centered around English. Extend- **602** ing our strategies to non-English translation tasks **603** is also worth further research and optimization. **604**

A more in-depth analysis of the principles be- **605** hind the effectiveness of Code-Switching data and **606** the internal changes in the model will lead to more **607** meaningful discoveries. **608**

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Figure 4: An example of Code-Switching data from Chinese to English direction.

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## <span id="page-11-2"></span>**A** Code-Switching Data Details

 The special tokens used in constructing Code- Switching data include LID and TRANS. Among them, LID consists of the language name enclosed 981 in angled brackets, with "<Chinese>", "<English>", 982 and "<German>" representing Chinese ( $LID<sub>zh</sub>$ ), 983 English  $(LID_{en})$ , and German  $(LID_{de})$  respec-tively. TRANS is "<Translation>".

 An example of Code-Switching data from Chi-nese to English direction is shown in Figure [4.](#page-11-4)