# **Extract, Select and Rewrite: A New Modular Summarization Method**

## **Anonymous EMNLP submission**

#### Abstract

Prior works on supervised summarization are mainly based on end-to-end models, leading to low modularity, unfaithfulness and low interpretability. To address this, we propose a new three-phase modular abstractive sentence summarization method. We split up the summarization problem explicitly into three stages, namely knowledge extraction, content selection and rewriting. We utilize multiple knowledge extractors to obtain relation triples from the text, learn a fine-tuned classifier to select content to be included in the summary and use a fine-tuned BART rewriter to rewrite the selected triples into a natural language summary. We find our model shows good modularity as the modules can be trained separately and on different datasets. The automatic and human evaluations demonstrate that our new method is competitive with state-of-the-art methods and more faithful than end-to-end baseline models.

# 1 Introduction

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The task of summarization aims to generate a shorter version of one (or more) input documents that captures most of the salient ideas in the input. Most neural network-based approaches (Rush et al., 2015; Lewis et al., 2020) perform summarization in a single supervised step, training a model to generate summaries to documents from a paired corpus. While this results in fluent summaries, it inevitably results in unfaithfulness as summaries become more abstractive (Durmus et al., 2020).

One approach to mitigate this issues is knowledge augmented summarization. This line of work modifies the sequence-to-sequence architecture of models to incorporate information from relation triples (Cao et al., 2017), knowledge graphs (Zhu et al., 2021; Guan et al., 2021), and topics (Aralikatte et al., 2021). These methods typically augment the source document with the additional input and learn to generate the reference summary by attending to this structured information. They don't explicitly learn content selection as a standalone step so it is unclear how the structured knowledge affects the generated summary.

Another concern with this formulation is that the modularity of end-to-end models is low. These methods could not be separated into different parts explicitly, which means the models could only be trained as a whole, leading to low controllability and low interpretability. Specifically, the content selected to be in the summary is learned implicitly from the data in an end-to-end manner—there exists no formal criteria to identify relevant content within the source document. Since content selection is learned along with text generation, it does not allow for control of the summarization process—different applications and users might have different preferences of what needs to be in the summary (Cao and Wang, 2021).

In order to address these shortcomings, we propose to split the summarization task into three phases, namely knowledge extraction, content selection and rewriting. First, we utilize Information Extraction tools to extract structured knowledge in the form of relation triples from the source text. In the content selection phase, we fine-tune a RoBERTa (Liu et al., 2019a) sentence-pair classification model to select relevant triples from the extracted set. Finally we obtain the summary by rewriting the selected triples into natural language using a fine-tuned BART (Lewis et al., 2020) language model. By decoupling content selection and rewriting, we make the summaries less abstractive and hence reduce the chance of hallucination errors (Durmus et al., 2020) in the text generation phase. Another advantage of the modular setup is that the rewriter does not need paired summarization data to be trained and so for each summarization dataset we only need to train the content selection classification model.

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<sup>&</sup>lt;sup>1</sup>The codes and datasets will be released upon acceptance.

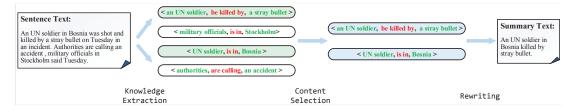


Figure 1: The overview of the three-phase summarization framework.

We run experiments on the Gigaword, DUC-2004 and Reddit-TIFU datasets and find that our approach produces summaries that are competitive to the state-of-the-art on automatic metrics. The generated summaries are more faithful to the source text by the human evaluation. We also observe that the rewriter module can be trained once on standalone text and can be reused across different datasets—a content selector trained on Reddit-TIFU paired with a rewriter from the news domain produces fluent summaries. Besides, this approach to summarization provides more well defined specification for the task allowing for more targeted and interpretable evaluation.

# 2 Related Work

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Abstractive Sentence Summarization Abstractive sentence summarization has been intensively studied in recent years. Rush et al. (2015) proposed a seq2seq structure suitable for sentence summarization, and See et al. (2017) enhanced the model by pointer mechanism. Duan et al. (2019) introduced a transformer summarization model. Devlin et al. (2019) proposed BERT model, and Dong et al. (2019) proposed UNILM model using mask techniques. Lewis et al. (2020) proposed BART model utilizing denoising techniques.

108 Modular Summarization The existing approaches are two-step extractive-abstractive meth-109 ods based on sentences. Pilault et al. (2020) and 110 Chen and Bansal (2018) summarize scientific papers and general texts by first extracting sentences 112 from it and then abstractively summarizing them. 113 Krishna et al. (2021) proposed a medical text gen-114 eration method using modular summarization tech-115 niques based on cluster. The "modularity" of these 116 methods mainly defer to combination of neural net-117 works implicitly instead of splitting into different 118 modules explicitly, which is essentially different 119 from our model. 120

# **3** Framework

We divide the summarization task explicitly into three phases—Knowledge Extraction, Content Selection and Rewriting, as shown in Figure 1. 121

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**Knowledge Extraction** To enable fine grained content selection, we extract knowledge from the source documents in the form of <entity, relation, entity> triples. To ensure that as many potential knowledge triples in the text can be extracted, we utilize multiple extractors and merge the different triples sets. We extract the knowledge triples from the source sentences in training set as S, triples from the corresponding summaries in training set as T. The extracted triples will be the subtask data sets in the following two phases. Specifically, S is used to train the content selector, and T will be used for training rewriting model. Sand T could be from different datasets.

The extractors used usually generate a large number of redundant triples (candidates with a large overlap with each other). To filter these prior to content selection, we use the Jaccard index on ngrams to calculate the similarity of any two triples:

$$\operatorname{Sim}(x_i, x_j) \stackrel{\text{der}}{=} \lambda_1 \mathbf{J}_{\text{Uni}}(x_i, x_j) + \lambda_2 \mathbf{J}_{\text{Bi}}(x_i, x_j)$$

We remove the redundant triples based on the Jaccard index thresholds, which are determined from the experiments.

**Content Selection** In content selection phase, we select those knowledge triples that are to be included in the summary out of the candidates generated in knowledge extraction phase. We regard this as a sentence-pair binary classifier on the source sentence and candidate knowledge triple extracted from it. If the triple is to be included in the summary of the document, the sentence-triple pair will be labeled positive, otherwise negative. In order to train this classifier, we need to obtain supervised labels for the triples in the train set, S. For each triple in S, we use ROUGE (Lin, 2004) to measure the similarity to the corresponding summaries, and

set a threshold The threshold is determined fromthe experiments.

**Rewriting** The selected triples contain all the in-163 164 formation to be included in the summary. In rewriting phase, we need to rewrite the content of the 165 selected triples into natural language to produce 166 167 fluent and grammatically correct summaries. We view this phase as a sequence-to-sequence text gen-168 eration problem. The subtask dataset for this phase contains the concatenated selected triples from the 170 knowledge extraction phase and their correspond-171 ing reference summaries.<sup>2</sup> In order to construct the 172 subtask data set, we concatenate the selected triples 173 in the order of the summary text as the source se-174 quence, and set the corresponding reference sum-175 mary as the target sequence. 176

## 4 Experiments

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## 4.1 Datasets and Experiment Settings

We evaluate our approach using the annotated Gigaword corpus (Rush et al., 2015), with around 3.8M training samples, on the task of supervised sentence summarization. For training the content selection and rewriting models, we constructed the datasets of subtasks in the knowledge extraction phase as detailed in Section 3. In knowledge extraction phase, we utilized Ollie (Mausam et al., 2012), Stanford CoreNLP OpenIE (Angeli et al., 2015) and UW OpenIE (Saha and Mausam, 2018) as the extractors. We fine-tuned RoBERTa-large (Liu et al., 2019b) model as the sentence-pair classifier for content selection, and fine-tuned BARTlarge (Lewis et al., 2020) model from fairseq (Ott et al., 2019) as summary rewriter in rewriting phase. Detailed fine-tuning hyper parameters are in Appendix **B**.

#### 4.2 Summarization Evaluation

We evaluated the three phases and the quality of the final generated summaries separately.

Phases Evaluations We extract triples from Gigaword dataset for detailed statistics. Table 1 shows the detailed statistics in training and test set. We then create the datasets for fine-tuning the content selector and rewriter. The number of sentencetriple-pair samples is 400k, which is for selector. The size of the rewriting data set is 2M, which is for rewriter fine-tuning. The accuracy of selector is

	Extracted	Valid	Redun.	Pos/Neg
Train Sent	6.34	2.53	60.1%	0.91
Train Summ	4.51	1.76	61.0%	
Test Sent	6.19	2.42	60.9%	

Table 1: Statistics of triples on training and test sets. "*Extracted*" and "*Valid*" are the mean number of the the extracted and valid tripletts (redundance removed). "*Redun.*" is the redundance rate. "*Pos/Neg*" is the positive and negative sample ratio of the constructed data set in selection phase.

Case Study			
ST: Zairean president Mobutu Sese Seko will stay at his French Riviera residence			
until at least the middle of the week because of an increase in diplomatic activity, a			
Mobutu aide said on Sunday.			
Selected Triples:			
(Zairean president Mobutu Sese Seko, will stay at, his French Riviera residence)			
(Zairean president Mobutu Sese Seko, will stay until, the middle of the week)			
Our Model: Zairean president Mobutu will stay at his French Riviera resi-			
dence until the middle of week			
BART: Tanzania's Mobutu to stay at Riviera residence until middle of week			
Ref: Zairean president Mobutu to stay in France till mid-week			

Figure 2: A case study on the Gigaword testset. **ST** is the source text; **Ref** is the reference summary; **BART** is the BART baseline summary; **Selected Triples** is the triples selected in the content selection phase; **Our Model** is the generated summary of our model triples.

84.6%. The ROUGE scores increased more than 1 point after being rewrited comparing to the concatenated selected triples. The detailed metrics of the phases evaluations are showed in the Appendix A.

Automatic and Human Evaluations The final performance is evaluated with the standard ROUGE metrics. We conducted the automatic evaluation on Gigaword test set and DUC-2004 dataset, 1951 and 500 samples separately. We choose some strong sentence summarization models as the comparison baselines. The performances are shown in Table 2 and Table 3 separately.

To test the modularity of our framework, we use a different dataset Reddit TIFU (Kim et al., 2019) for training content selector and rewriter. We perform an ablation where the rewriter is trained on text from Reddit-TIFU and Gigaword and report performance on Reddit-TIFU—the key is that the rewriter does not need paired text to be trained, it can be reused for multiple summarization tasks. We further subsampled 1k samples from Reddit TIFU and Gigaword for training the modules to see how performance varies in the small data regime. The results are showed in Table 4.

To verify that our approach produces more faithful summaries, we ran a user study on Amazon MTurk where crowdworkers annotated summaries to 100 randomly sampled texts from the Gigaword

<sup>&</sup>lt;sup>2</sup>We are not using paired summarization data. Specifically, any text data will suffice for this phase, even just Wikitext.

Model	R-1	R-2	R-L
PEGASUS (Zhang et al., 2020)	39.12	19.86	36.24
BRXF (Aghajanyan et al., 2021)	40.45	20.69	36.56
BART (Baseline)	37.28	18.58	34.53
Our Model	39.51	20.07	36.67

Table 2: ROUGE F1 scores on the Gigaword test set. Our modular approach outperforms a baseline BART model trained to perform summarization in an end-toend manner. We also report values from recent works that show that our ROUGE scores are competitive with the supervised state-of-the-art on this dataset. **Bold** indicates the best score in each of R-1, R-2 and R-L.

Model	R-1	R-2	R-L
RT+Conv (Wang et al., 2018)	31.15	10.85	27.68
ALONE (Takase et al., 2020)	32.57	11.63	28.24
WDROP (Takase et al., 2021)	33.06	11.45	28.51
BART (Baseline)	31.36	11.40	28.02
Our Model	32.98	11.82	28.74

Table 3: ROUGE F1 scores on DUC-2004 dataset. Our modular approach outperforms a baseline BART model trained to perform summarization in an end-to-end manner. We also report values from recent works that show that our ROUGE scores are competitive with the state-of-the-art on this dataset. All modules are trained on Gigaword before evaluation on DUC-2004 since DUC-2004 is purely a test set. **Bold** indicates the best score.

test set. For each article, we ask crowdworkers to rate summaries of our approach and the baseline (BART), along with outputs by human-written summaries from the original dataset. The results are reported in Table 5. A representative example from Gigaword is shown in Figure 2.

## 5 Analysis

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Automatic evaluation shows that our three-phase model can achieve or approach the state-of-the-art 243 performance on multiple summarization datasets. 244 Also human evaluation shows that our model can enhance the quality of summaries in terms of im-246 proving faithfulness. The main reason is that our 247 three-stage model can limit the content of the gen-248 erated summary in the content selection stage, and 249 then rewrite only selected content. So text generation will introduce less hallucination. In addition, 251 our model has structural advantages. First, our model has a better modularity than other summarization models, as the modules can be trained on 255 different datasets separately to enhance the performance. This means we can modify the modules of 256 the framework to enhance the performance instead of redesigning the entire model. Our model also

Model	R-1	R-2	R-L
PEGASUS (Zhang et al., 2020)	26.63	9.01	21.60
BR3F (Aghajanyan et al., 2021)	30.31	10.98	24.74
BART (Baseline)	24.19	8.12	21.31
Our Model			
$S_R + R_G$	29.23	10.32	24.48
$S_R + R_R$	29.02	10.11	24.06
$S_{R1k} + R_{G1k}$	28.67	9.89	23.80
$S_{R1k} + R_{R1k}$	28.98	10.02	23.90
$S_{R1k} + R_G$	29.01	10.07	23.97

Table 4: ROUGE F1 scores on Reddit TIFU dataset.  $S_R$  means the content selector was trained on Reddit TIFU,  $R_G$  and  $R_R$  mean rewriter trained on Gigaword and Reddit TIFU respectively. 1k means that the module is trained on 1000 randomly sampled article-summary pairs. We see that the rewriter can be trained on text from a larger dataset to enhance performance, indicating that inference on new datasets only requires training a new content selector. We see that our content selector can be trained with a much smaller amount of data to outperform the BART baseline. **Bold** means the best.

Summaries	Sup.	Unsup.	Incoh.	Inconc.
Human-Written	96	3	0	1
BART (Baseline)	90	6	2	2
Our Model	94	3	2	1

Table 5: Human Evaluation of Summaries for Faithfulness from AMT. The summaries from the dataset (Human-Written) and those generated by our model and the BART baseline are annotated by 3 crowdworkers. Summaries are marked as Supported (by the source), Unsupported or Incoherent by each crowdworker. The final label is decided by a majority vote. It is labeled Inconlcusive if there is no agreement. Our model produces more faithful summaries than the baseline.

provides better defined subtask specifications and more transparent evaluations (i.e. evaluate content selection and rewriting separately) for summarization.

# 6 Conclusion

We propose a three-phase modular abstractive sentence summarization method that obtains competitive performance on automatic metrics while producing more faithful summaries. The modular aspect allows us to train the content selection and rewriting models separately and reuse them on multiple datasets. By decoupling text generation and content selection, we are able to provide a well defined task specification for summation as well. In the future, we are aiming to experiment with more task specific content selectors and adapt our framework to multi-document summarization.

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# Appendices

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# A Details of the Generated Summaries

As mentioned in the paper, the summary generation of our model is based on triples extracted from the original text. Therefore, the quality of the extracted triples during inference will affect the quality of the generated abstracts to a certain extent. For example, the length of the final generated summaries will depend on the text length of the triples. In order to ensure the quality of the triplet to the greatest extent, methods such as co-reference resolution will be required.

The metrics for the content selector fine-tuning is showed in Table 6.

In order to evaluate the performance of the rewrite model and verify that the rewrite model can effectively enhance the quality of the generated summary, we compared the ROUGE scores of the concatenated triples (before being rewritten) and the summaries generated by our BART rewriter comparing to the reference summaries. Table Table 7 shows the comparison of ROUGE scores, which verified the rewriting phase enhance the quality of generated summaries.

The length statistics of the generated summaries of our model on Gigaword test set is showed in Table 8.

#### **B** Hyper Parameters

The hyper parameters for fine-tuning RoBERTalarge in content selection phase, and BART-large model in rewriting phase are listed. All models are trained and fine-tuned on 2 NVIDIA RTX 2080 Ti GPUs.

B.1 Content Selection

TOTAL\_NUM\_UPDATES = 3000 WARMUP\_UPDATES = 500 LR = 1e-5 NUM\_CLASSES = 2 MAX\_SENTENCES = 8

**B.2** Rewriting

504	TOTAL_NUM_UPDATES = $10000$
505	WARMUP_UPDATES = 500
506	$MAX_TOKENS = 256$
507	UPDATE_FREQ = $2$
508	LR = 3e-5

Acc.	Rec.	Prec.	F1
84.6%	83.5%	83.7%	83.3%

Table 6: Sentence-pair (article text and triple) binary classification metrics of content selection phase.

	R-1	R-2	R-L
Concat Triples	38.98	18.12	35.76
<b>Rewrite Summary</b>	39.51	20.07	36.82

Table 7: Performance enhancement of the rewriter comparing to the directly concatenated triples on Gigaword dataset.

# C The Human Evaluation on Other Indicators

For the human evaluation on other indicators, we randomly sample 100 articles from Gigaword test set and ask 3 annotators to rate summaries of our systems and the baseline (BART), along with outputs by human-written summaries, showing in Table 9. We consider two types of unfaithful errors: (i) *hallucination error* (HErr.) and (ii) *logical error* (LErr.). We ask the annotators to label each type as 1 for existence of errors and 0 otherwise, and to score summaries on a Likert scale from 1 (worst) to 5 (best) on *informativeness* (Info.).

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**Informativeness** It is the indicator reflecting whether the generated summary covers all important information points in the input text.

**Logical Error** The error for model of generating summaries whose logic structures contradicting with which in the original text (such as summarizing "A is B's dog" as "B is A's dog").

Hallucination Error The error for model of generating summaries containing the facts that are not in or cannot be inferred from original text.

Statistics	Articles	Ref.	Our Model
Avg Len	30.9	9.1	12.3

 Table 8: Sentence-pair classification metrics of content selection phase.

Models	Info.↑	HErr.↓	LErr.↓
BART	3.76	12%	14%
Our Model	3.91	7%	9%
HUMAN	4.57	5%	2%

Table 9: Human evaluation on informativeness (Info.) (1-to-5), and hallucination error(HErr.) and logical error (LErr.) (0-to-1). **Bold** means it is significantly increased comparing to other models. (p < 0.05)