Differentially Private Bias-Term Fine-tuning of Foundation Models

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Abstract

We study the problem of differentially private (DP) fine-tuning of large pre-trained models - a recent privacy-preserving approach suitable for solving downstream tasks with sensitive data. Existing work has demonstrated that high accuracy is possible under strong privacy constraint, yet requires significant computational overhead or modifications to the network architecture. We propose differentially private bias-term fine-tuning (DP-BiTFiT), which matches the state-of-the-art accuracy for DP algorithms and the efficiency of the standard BiTFiT. DP-BiTFiT is model agnostic (not modifying the network architecture), parameter efficient (only training about 0.1% of the parameters), and computation efficient (almost removing the overhead caused by DP, in both the time and space complexity). On a wide range of tasks, DP-BiTFiT is $2 \sim 30 \times$ faster and uses $2 \sim 8 \times$ less memory than DP full finetuning, even faster than the standard full finetuning. This amazing efficiency enables us to conduct DP fine-tuning on language and vision tasks with long-sequence texts and high-resolution images, which were computationally difficult using existing methods. We open-source our code at FastDP(https://github.com/awslabs/ fast-differential-privacy).

1 Introduction

Fine-tuning large pre-trained neural networks is one of the most critical technique in deep learning, yielding strong performance in a variety of domains (Pan & Yang, 2009; Kenton & Toutanova, 2019; Goyal et al., 2017). Among different methods, full fine-tuning is the most prevalent one, which trains all the model parameters on the downstream tasks and achieves high accuracy within a small number of

training epochs. However, full fine-tuning on large models, from hundreds of millions (He et al., 2016; Chen et al., 2016) to billions of parameters (Brown et al., 2020), can be burdensome in terms of the computation and the deployment, since a full copy of fine-tuned model parameters is needed for each task.

To alleviate this issue, the parameter efficient fine-tuning only trains a substantially small portion of the model parameters, in contrast to the full fine-tuning. At a high level, the parameter efficient fine-tuning methods can be divided into two categories. $\langle 1 \rangle$ Model-aware methods, meaning a relatively small number of parameters are introduced into the neural network architecture and only the new parameters are optimized. Examples include LoRA (Hu et al., 2021), Adapter (Houlsby et al., 2019), and Compacter (Mahabadi et al., 2021). $\langle 2 \rangle$ Model-agnostic methods, meaning that only a subset of existing parameters are trainable. Examples include training only the output linear layer (linear probing, (Kornblith et al., 2019)), only the layer normalization layer (Houlsby et al., 2019) and bias-term fine-tuning (BiTFiT) (Zaken et al., 2022). We illustrate the differences as follows: $\mathbf{W}_0, \mathbf{b}_0$ are the pre-trained weights and biases, $\hat{}$ indicates trainable parameters, and θ is the additional parameters.

$$\underbrace{f(\boldsymbol{x}; \mathbf{W}_0, \mathbf{b}_0)}_{\text{pre-trained model}} \rightarrow \begin{cases} f(\boldsymbol{x}; \hat{\mathbf{W}}, \hat{\mathbf{b}}) & \text{full fine-tuning} \\ f(\boldsymbol{x}; \mathbf{W}_0, \mathbf{b}_0, \hat{\boldsymbol{\theta}}) & \text{model-aware} \\ f(\boldsymbol{x}; \mathbf{W}_0, \hat{\mathbf{b}}) & \text{bias-term only} \end{cases}$$

Empirically, these parameter efficient fine-tuning methods have achieved high accuracy that is comparable to full finetuning in the standard non-private setting. For instance, linear probing of ResNet (He et al., 2016) and Vision Transformer (ViT, (Dosovitskiy et al., 2020)) achieves 80% accuracy on the ImageNet dataset (Sun et al., 2017; Kornblith et al., 2019); LoRA and BiTFiT of RoBERTa (Liu et al., 2019) and BERT (Kenton & Toutanova, 2019) achieve about 94% on SST2 and on average 85% across the General Language Understanding Evaluation (GLUE) datasets (He et al., 2021; Hu et al., 2021). In addition, parameter efficient methods are faster than full fine-tuning and save the communication cost significantly in distributed learning.

Parallel to these developments, the success of deep learning models relies on the availability of large datasets, which may contain sensitive information to be protected rigorously.

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Figure 1: Performance of different fine-tuning methods on MNLI dataset with RoBERTa-large. DP-BiTFiT is one of the most accurate (below DP LoRA marginally), fastest (only slower than DP Adapter), and memory efficient (outperforming others substantially by $3 \times$) DP methods.

This privacy issue is well-known for neural networks can be vulnerable to privacy attacks: membership information can be leaked from the purchase records via Google and Amazon online services (Shokri et al., 2017); sensitive texts can be reconstructed by specifically designed prefix on GPT2 (Carlini et al., 2021) and so can images in CIFAR10 and MNIST (Haim et al., 2022). To protect against such privacy risks, the standard technique is differential privacy (DP, formally stated in Definition 2.1) which randomizes the standard optimizers via the private gradient in Equation (1).

A recent line of work has extensively studied the DP finetuning in both computer vision and language tasks, often achieving less than 3% accuracy drop across different settings via full fine-tuning (De et al., 2022; Li et al., 2021; Bu et al., 2022b;a), linear probing (Mehta et al., 2022), LoRA, Adapter, or Compacter (Yu et al., 2021a). In fact, fine-tuning or pre-training from large dataset is considered necessary in the DP deep learning literature. As a matter of fact, full fine-tuning DP-GPT2 only achieves 24.2 BLEU score ($\epsilon = 8$) on E2E dataset if randomly initialized (Li et al., 2021), in starking contrast to 63.2 BLEU if pretrained; similarly, state-of-the-art (SOTA) DP accuracy on ImageNet is 48% ($\epsilon = 10$) without pre-training (Kurakin et al., 2022) but 86.7% accuracy if pre-trained (De et al., 2022). Specifically, parameter efficient DP fine-tuning has empirically demonstrated strong accuracy (see our Table 3) with $3 \sim 4 \times$ memory saving and $2 \sim 3 \times$ speedup compared to DP full fine-tuning by Opacus (c.f. Figure 3 and Yu et al., 2021a, Table 3). Although previous works have shed light on various DP fine-tuning methods, we are the first to study DP-BiTFiT specifically and to show two distinctive advantages of it.

Firstly, DP-BiTFiT is model-agnostic and remains its parameter efficiency around 0.1% across models by Table 1. While linear probing is also model-agnostic, the parameter efficiency can be as high as 8% in ResNet50. Other methods like LoRA, Adapter and Compacter are architecture-dependent and possibly parameter inefficient, making them difficult to directly apply on arbitrary neural networks: LoRA and Adapter may need to train more than 12% on

BART-large (Lewis et al., 2020) to achieve high accuracy by He et al. (2021, Figure 1& 4).

Secondly, DP-BiTFiT is computationally efficient, almost as much as the standard BiTFiT and significantly more efficient than DP full fine-tuning, particularly with large models and high-dimensional input data. For examples of DP full fine-tuning, Li et al. (2021) have reported $2 \sim 4 \times$ slowdown on large language models for four advanced private codebases and up to $5 \times$ memory overhead, compared to the standard fine-tuning; even on small networks, 11 codebases across Tensorflow, JAX, and Pytorch have demonstrated $0.2 \sim 5 \times$ slowdown and $3 \sim 100 \times$ reduction in maximum batch size in Subramani et al. (2021). See more discussion in Section 3.3.

Algorithm 1 DP Bias-Term Fine-Tuning (BiTFiT)

Parameters: *l*-th layer's bias \mathbf{b}_l , subsampling probability p, number of iterations T, number of layers L, noise scale σ , clipping threshold R, clipping factor C_i (if no clipping then $C_i = 1$).

- 1: for iteration $t = 1, \cdots, T$ do
- 2: Subsample a batch $B_t \subseteq \{1, \ldots, n\}$ from training set with probability p
- 3: for layer $l \in L, L-1, \cdots, 1$ do Get output gradient $\frac{\partial \mathcal{L}}{\partial s_l}$, \mathcal{L}^{-1} , \mathcal{L}^{-1} , \mathcal{L}^{-1} , \mathcal{L}^{-1} Compute gradient $\frac{\partial \mathcal{L}}{\partial s_l}$ Compute per-example gradient and its norm: $\frac{\partial \mathcal{L}_i}{\partial \mathbf{b}_l} = \frac{\partial \mathcal{L}}{\partial s_{l,i}}^{\top} \mathbf{1} \implies \|\frac{\partial \mathcal{L}_i}{\partial \mathbf{b}_l}\|_F^2$ 4: 5: 6: Aggregate grad norms: $\|\frac{\partial \mathcal{L}_i}{\partial \mathbf{b}}\|_F^2 = \sum_l \|\frac{\partial \mathcal{L}_i}{\partial \mathbf{b}}\|_F^2$ 7: Compute clipping factor: $C_i = C(\|\frac{\partial \mathcal{L}_i}{\partial \mathbf{b}}\|_F; R)$ 8: Compute sum of clipped gradients $\mathbf{G} = \sum_{i} C_{i} \frac{\partial \mathcal{L}_{i}}{\partial \mathbf{b}}$ 9: 10: Add Gaussian noise $\mathbf{G} = \mathbf{G} + \sigma R \cdot \mathcal{N}(0, \mathbf{I})$ 11: Descend on bias terms with G by SGD/Adam/...

Contributions. We develop DP-BiTFiT, a fine-tuning method that is model-agnostic, accurate, privacy-preserving, parameter efficient, and computationally efficient.

- 1. Algorithmically, we propose the Differentially Private Bias-Term Fine-Tuning (**DP-BiTFiT**) in Algorithm 1 that is highly accurate under DP constraint, on par with SOTA in Section 4 and even outperforming fully finetuned GPT2-large.
- DP-BiTFiT is *model-agnostic*¹ and only optimizes 0.1% of the model parameters on BERT, RoBERTa, GPT2, ViT, ResNet, and so on (see Table 1). Thus DP-BiTFiT is one of the *most parameter efficient* fine-tuning methods among DP LoRA, Adapter, last-layer, etc.
- 3. We design a *computationally efficient* implementation of DP-BiTFiT, whose time and space complexity is almost the same as the standard non-DP BiTFiT, while being faster than non-DP full fine-tuning and other DP fine-tuning (see Figure 1). This advantage is analyzed in Table 2, and demonstrated via the substantial speedup and memory-saving in Figure 3 and Figure 4.
- 4. DP-BiTFiT is a unique algorithm in that *the computation overhead is independent of the feature dimension* T^2 (see red texts in Table 2). This is due to the *activation-free forward pass* that only happens in the no-weight training³ unlike LoRA. In Figure 1, although DP-BiTFiT optimizes a similar number of parameters to DP LoRA or Compacter, its memory efficiency is dominating. Therefore, DP-BiTFiT enjoys a special advantage on long-sequence texts and high-resolution images (see Figure 3).

Novelty. At a glance, our results may appear to be incremental as we are merely adding differential privacy to an existing method (BiTFiT) through a standard mechanism (DP-SGD). This is not true! Computationally, our implementation of DP-BiTFiT is distinct and orthogonal to existing DP algorithms such as GhostClip (Li et al., 2021)⁴, in that DP-BiTFiT exploits the special structures in the forward and backward passes (see the simplicity of computation graph in Figure 2), hence removing the computational and memory overhead in DP-SGD (see the independence of *T* in Table 2), which is unavoidable in other methods. Our main contributions also include

- The complexity analysis of DP parameter-efficient finetuning (PEFT) in Table 2 and Table 7. This was a missing piece in previous DP and non-DP PEFT literature (including the BiTFiT paper) and significantly helpful in determining the benefit of applying different PEFT methods. Specifically, we leverage the complexity analysis to rigorously show that the complexity saving of DP-BiTFiT is 50% compared to the full fine-tuning, and to reveal the unique benefit of DP-BiTFiT on highdimension data.
- The engineering effort: at the time of writing this paper, none of existing codebases including GhostClip and Opacus remove the forward hooks, because no analysis has established that only BiTFiT can be activation-free, not LoRA/Adapter/Compactor or full fine-tuning. Our algorithm enables DP-BiTFiT by one line of code⁵.

2 Preliminaries

Fine-tuning methods. Fine-tuning, i.e. training a model on a large dataset for a sufficiently long time, and then continuing to train (or transferring) onto the downstream datasets, is the standard paradigm to achieve high accuracy in both the standard and the DP regimes. In DP deep learning, the pre-training takes place on a public dataset using regular optimizers like SGD, and the fine-tuning takes place on a private dataset which requires privacy protection, using DP optimizers like DP-SGD in Section 2.

In a long line of research, various fine-tuning methods have been proposed. One of the most popular method is the full fine-tuning, which simply runs gradient descents on all trainable weights and biases, thus can be inefficient when the model is large. To improve the efficiency, Li & Liang (2021) proposes the prefix tuning that only optimizes the prompts or the input layer activation (Lester et al., 2021; Liu et al., 2021). However, as pointed out in Hu et al. (2021) and Li et al. (2021), the prefix tuning can be difficult to optimize and thus sub-optimal on large models. Another approach is to reduce the number of trainable parameters. For example, LoRA (Hu et al., 2021), Adapter (Houlsby et al., 2019; Rebuffi et al., 2017; Pfeiffer et al., 2021; Rücklé et al., 2021; Lin et al., 2020) and Compacter (Mahabadi et al., 2021) insert small 'adapter' layers (usually 1-10% of total parameters) between existing layers, and only the newly added adapters are optimized. We describe the forms and complexity of LoRA and Adapter in Appendix C.

In addition to the aforementioned methods, BiTFiT is a

¹In Section 4, DP-BiTFiT is applicable to all model architectures tested, unlike LoRA (mostly only applies to transformers) and last-layer training (mostly only works on vision models).

²The computation overhead to get the per-sample weight gradient norm is linear (by instantiating per-sample gradints) or quadratic in T (if using the ghost norm trick (Goodfellow, 2015; Li et al., 2021)), for DP full and any other PEFT.

³We distinguish the weight training and bias training in Section 2 using the chain rules. Note that activation-free means memory-saving, which is not leveraged by DP full, LoRA, Adapter, Compacter, etc.

⁴Ghost clipping (GhostClip) is an algebraic technique that only works on weight gradients because it manipulates the activation tensors at $O(BT^2)$ cost. This is too expensive for high-dimension features, hence not applicable to the bias gradients.

⁵In Pytorch, DP-BiTFiT can be enabled within our codebase by [param.requires_grad_(0) for name,param in model.named_parameters() if 'bias' in name].

special parameter-efficient method that rivals the full finetuning (Zaken et al., 2022; Cai et al., 2020; He et al., 2021). Firstly, BiTFiT optimizes a subset of original parameters – the bias terms, which usually constitute less than 1/1000 of all parameters as demonstrated in Table 1. Therefore, BiTFiT can be readily deployed to any network in a modelagnostic manner. Secondly, BiTFiT is fundamentally different to other parameter efficient methods such as LoRA, since the bias gradients are computed differently than the weight gradients on the computation graph. We will elaborate on this in Equation (3).

Deep learning with differential privacy. We recall the classic (ϵ, δ) -DP, under which we train deep neural networks with provably privacy guarantees.

Definition 2.1 ((Dwork et al., 2006)). A randomized algorithm M is (ε, δ) -differentially private if, for any two neighboring datasets S, S' that differ by one datapoint and for any event E, we have $\mathbb{P}[M(S) \in E] \leq e^{\varepsilon} \mathbb{P}[M(S') \in E] + \delta$.

In deep learning, DP can be achieved through applying an off-the-shelf optimizer (SGD or Adam) with a privately released stochastic gradient in place of the regular $\sum_i g_i$. The private stochastic gradient is computed by first getting a minibatch \mathcal{I} via Poisson sampling, then compute

Private gradient:
$$\sum_{i \in \mathcal{I}} \boldsymbol{g}_i \cdot C(\|\boldsymbol{g}_i\|; R) + \sigma R \cdot \mathcal{N}(0, \mathbf{I}) \quad (1)$$

where *C* is any function⁶ $\mathbb{R}^+ \to \mathbb{R}$ subject to $C(x) \leq R/x$, g_i is the *i*-th per-sample gradient, *R* is the clipping threshold, and σ is the noise multiplier. The private gradient is guaranteed to be DP through the *sampled-Gaussian mechanism* and the associated tight privacy accounting to compose over the iterations (see, e.g., Abadi et al., 2016; Wang et al., 2019; Mironov et al., 2019; Koskela et al., 2020; Bu et al., 2020; Gopi et al., 2021, and the references therein.).

Backward propagation. We briefly introduce the backpropagation, which reveals a simple yet important difference between the gradients of weights and those of biases. We consider a linear layer, indexed as the *l*-th layer, with weight $\mathbf{W}_l \in \mathbb{R}^{d \times p}$ and bias as $\mathbf{b}_l \in \mathbb{R}^p$. We leave the derivation of other layers such as normalization and convolution in Appendix A.1. We denote the mini-batched input of this layer as $\mathbf{a}_l \in \mathbb{R}^{B \times T \times d}$ and the immediate output as $\mathbf{s}_l \in \mathbb{R}^{B \times T \times p}$, where *B* is the batch size and *T* is the feature dimension⁷: $\mathbf{a}_{l+1} = \phi(\mathbf{s}_l), \mathbf{s}_l = \mathbf{a}_l \mathbf{W}_l + \mathbf{b}_l$. Here ϕ is any non-parametric inter-layer operation, e.g. the non-linear activation (like ReLU), pooling, padding, and so on.

We write $\mathcal{L} = \sum_{i=1}^{n} \mathcal{L}_i$ as the total loss and \mathcal{L}_i as the per-sample loss of the *i*-th sample. During a standard backpropagation of L layers, the chain rule keeps track of the *output gradient* at each layer in a just-in-time fashion:

$$\frac{\partial \mathcal{L}}{\partial \boldsymbol{s}_{l}} = \frac{\partial \mathcal{L}}{\partial \boldsymbol{a}_{L}} \circ \frac{\partial \boldsymbol{a}_{L}}{\partial \boldsymbol{s}_{L-1}} \cdot \frac{\partial \boldsymbol{s}_{L-1}}{\partial \boldsymbol{a}_{L-1}} \circ \cdots \frac{\partial \boldsymbol{a}_{l+1}}{\partial \boldsymbol{s}_{l}}$$
$$= \frac{\partial \mathcal{L}}{\partial \boldsymbol{s}_{l+1}} \mathbf{W}_{l+1} \circ \phi'(\boldsymbol{s}_{l}).$$
(2)

Here \circ is the Hadamard product and \cdot is the matrix product. This output gradient $\frac{\partial \mathcal{L}}{\partial s_l}$ is used to compute per-sample gradient of weights and biases,

$$\frac{\partial \mathcal{L}_{i}}{\partial \mathbf{W}_{l}}^{\top} = \sum_{j} \frac{\partial \mathcal{L}_{i}}{\partial s_{l,j}}^{\top} \frac{\partial s_{l,j}}{\partial \mathbf{W}_{l}} = \frac{\partial \mathcal{L}}{\partial s_{l,i}}^{\top} a_{l,i},$$

$$\frac{\partial \mathcal{L}_{i}}{\partial \mathbf{b}_{l}}^{\top} = \sum_{j} \frac{\partial \mathcal{L}_{i}}{\partial s_{l,j}}^{\top} \frac{\partial s_{l,j}}{\partial \mathbf{b}_{l}} = \frac{\partial \mathcal{L}}{\partial s_{l,i}}^{\top} \mathbf{1}.$$
(3)

Notably, the weight gradient needs the activation tensor a_l to compute an expensive O(BTpd) tensor multiplication. Memory-wise, $\{a_l\}_l$ across all layers is very costly to store (taking more than 95% memory across VGG, ResNet, DenseNet, RoBERTa, etc. by Jain et al. (2020, Figure 3)). In sharp contrast, the computation of bias gradient does not need a_l , and the multiplication with 1 in Equation (3) is actually a cheap O(BTp) summation on $\frac{\partial \mathcal{L}}{\partial a_l}: B \times T \times p \to B \times p$.

Forward propagation and the hook. During the forward propagation, all Pytorch-based codebases for DP algorithms such as Private Transformers, Opacus, FastGradClip, Private-Vision, and others (Yu et al., 2021a; Bu et al., 2023) register the forward hooks to extract the activation tensors $\{a_l\}_l$ of all layers from the computation graph, where a_l is computed and stored. Hence, the majority of memory burden is on the activation that grows extremely large for huge models like GPT3 (Brown et al., 2020) with 175B parameters: the activation tensors consume more than 3600GB of memory while the parameters and gradients only consume 300GB (Rajbhandari et al., 2020). On one hand, this issue can be alleviated by the activation recomputation or checkpointing technique (Chen et al., 2016; Jain et al., 2020), whose memory cost reduces from O(L) to $O(\sqrt{L})$ with an extra 33% slowdown. Alternatively, we note that the activation tensors are not necessary in the forward propagation, if we only optimize the bias terms.

3 Differentially private Bias-Term Fine-Tuning

We propose DP-BiTFiT, to privately train only the bias terms in a neural network by combining Equation (3) and

⁶Examples of gradient clipping include but not limited to Abadi's clipping min $(R/||\mathbf{g}_i||, 1)$ (Abadi et al., 2016) and automatic clipping (AUTO-S) $R/(||\mathbf{g}_i|| + 0.01)$ (Bu et al., 2022b; Yang et al., 2022).

^{$\bar{7}$}In sequential data such as text, *T* is the sequence length; in vision data, *T* is the product of input dimensions (e.g. for images, *T* is the product of height and width). We refer to a high-dimensional input when *T* is large.



Figure 2: Back-propagation for DP (red&black) and non-DP (black) algorithms. Note that the bias gradient uses a much simpler computation graph than the weight gradient, rendering DP-BiTFiT easy-to-implement and efficient-to-compute. Left: full fine-tuning with GhostClip (ghost clipping; (Goodfellow, 2015; Li et al., 2021; Bu et al., 2022a)). Upper right: full fine-tuning with Opacus (Yousefpour et al., 2021). Lower right: DP-BiTFiT.

Equation (1). We use shaded lines to represent the additional DP operations in Algorithm 1, and add DP-related variables and operations in red in the computation graph by Figure 2.

Implementation-wise, DP-BiTFiT is different from all existing DP algorithms (including full, LoRA, Adapter, etc.) that optimize weights, since it does not apply a Pytorch forward hook to store the activation a_l for all layers. We provide the implementation details of DP-BiTFiT in Appendix B. To give a concrete example, we apply DP-BiTFiT to the RoBERTa-large model on QQP dataset, following the same setting as Li et al. (2021) and using one 40GB A100 GPU. This is the most time-consuming text classification task in our work, taking 119 minutes per epoch for a training batch size 20 using the fastest DP full fine-tuning implementation - GhostClip (Li et al., 2021). To conduct a simple ablation study, setting all weights to not require gradients (but forward hooks are still operating) reduces the training time by 50% to to 80 minutes; removing the forward hooks further reduces the training time by 30% to 63 minutes; finally, using the maximum batch size allowed by the memory-saving DP-BiTFiT reduces to 43 minutes.

3.1 Parameter efficiency

DP-BiTFiT enjoys exactly the same parameter efficiency as the standard BiTFiT, training merely about 0.1% of the total parameters in large models. We demonstrate that DP-

BiTFiT is one of the most parameter-efficient fine-tuning through a list of models in Table 1.

Dataset	Model	# of params	% of bias
	VGG16	138M	0.009
	ResNet18	11.7M	0.043
ImageNet	ResNet50	25.6M	0.113
	ViT-small-patch16	21.7M	0.238
	ViT-base-patch16	85.8M	0.120
	ViT-large-patch16	303M	0.090
	GPT2-small	124M	0.082
E2E	GPT2-medium	355M	0.076
	GPT2-large	774M	0.066
CLUE	RoBERTa-base	125M	0.083
GLUE	RoBERTa-large	355M	0.077

Table 1: Parameter efficiency of (DP) BiTFiT. Extended results on more models are in Table 11.

An advantage of this parameter efficiency is reflected in the computation efficiency, given that most parameters do not require gradients to be computed: we show in Table 2 and Section 3.3 that DP-BiTFiT is much more efficient than full fine-tuning (DP and even non-DP). Additionally, the parameter efficiency also translates to the communication efficiency in the distributed learning. For example, the 64bit communication cost of DP full fine-tuning is 64MDwhere M is number of worker and D is total number of parameters, which can be reduced $1000 \times$ by DP-BiTFiT.

	forward				bias training				
	&output grad	non-DP(full)	Opacus(full)	GhostClip(full)	Book-Keeping(full)	DP(LoRA)	DP(Adapter)	non-DP	DP (ours)
Time complexity	4BTpd	2BTpd	+2BTpd	$+2BTpd +2BT^{2}(p+d)$	$O(T)\approx 0$	+32BT(p+d)	+64BTp	BTp	+3Bp
Space complexity	$\begin{array}{c} pd+\\ BT(p+d) \end{array}$	BT(p+d)	+Bpd	$+2BT^2$	$+\min\{2BT^2, 2Bpd\}$	+16B(p+d)	+32Bp	p	+Bp
# back-prop		1	1	2	1	1 or 2	1 or 2	1	1
storing activation		 Image: A set of the set of the	 Image: A set of the set of the	 Image: A set of the set of the	 Image: A set of the set of the	 Image: A second s	 Image: A set of the set of the	X	X

Table 2: Per-layer time and space complexity (measured by float-point operations) of training on weights (full and LoRA, Adapter; rank= 16 as in (Yu et al., 2021a)) and biases. Only bias training's overhead is free of T. '+' means additional overhead to non-DP training, and '()' means between two values. The layer index l is omitted for simplicity.

3.2 Complexity of weight and bias training

We present in Table 2 the complexity of DP training on weights and biases, for one layer mapping $B \times T_l \times d_l$ to $B \times T_l \times p_l$. To elaborate on Footnote 7, for text data, T_l is the sequence length, d_l is input dimension, and p_l is output dimension; for image data and specially in a convolution layer, T_l is height times width, d_l is the input channels times kernel sizes, p_l is the output channels (c.f. Bu et al., 2022a, Section 2.3). Notice that the total complexity of training a network is summed across all layers, e.g. the time complexity of standard full training is $6B \sum_l T_l p_l d_l$, DP full fine-tuning is over $8B \sum_l T_l p_l d_l$, and DP-BiTFiT is about $4B \sum_l T_l p_l d_l$. Therefore, our complexity analysis indicates that DP-BiTFiT is $6/4 = 1.5 \times$ faster than non-private full fine-tuning and over $8/4 = 2 \times$ faster than DP full fine-tuning.

Here, the DP weight training (full fine-tuning or any other PEFT) uses three efficient implementations that are equivalent mathematically but have different complexity: Opacus (Yousefpour et al., 2021), GhostClip (Goodfellow, 2015; Li et al., 2021), and MixGhostClip (Bu et al., 2022a). The first two implementations are illustrated in Figure 2, of which MixGhostClip is a hybridization that reduces to GhostClip when T is small. These implementations have been thoroughly analyzed in Appendix C of (Bu et al., 2022a) and we take the complexity result from Bu et al. (2022a, Table 1). For the complexity of bias training in Table 2, it suffices to analyze Line 5 of Algorithm 1. We leave the details in Appendix C, where we also apply the complexity analysis of weight training beyond full fine-tuning, including DP LoRA and DP Adapter for the first time.

3.3 Scalability of DP algorithms

By Table 2, we observe that DP training on weights can be memory costly, especially when the models are large and the data is high-dimensional. As an example of the large modelling issue, Li et al. (2021) shows that Opacus cannot fit even a single datapoint into a 16GB GPU using GPT2-large (Radford et al.) with 774M parameters, due to its $O(B \sum_{l} p_{l}d_{l})$ space complexity where the number of parameters is $\sum_{l} p_{l}d_{l}$; for high-dimensional data, Ghost-Clip cannot fit a single 400×400 image into the same GPU using ResNet18 with 11.7M parameters, due to its $O(B \sum_l T_l^2)$ space complexity. Although MixGhostClip (Bu et al., 2022a; 2023) significantly alleviates the memory issue in both cases, the computational overhead from DP training may still be a concern when the dimension is extremely high (c.f. Bu et al., 2022a, Figure 4). In sharp contrast, DP-BiTFiT is amazingly scalable since its computational overhead is negligible and independent of T (though the total complexity is still linear in T).

3.3.1 EFFICIENCY V.S. FEATURE DIMENSION



Figure 3: Memory and speed by different fine-tuning methods. Top two: SST2 dataset (sequence length T; MixGhost-Clip is equivalent to GhostClip for this small T), RoBERTabase and batch size 20. Bottom two: 50000 images of $\sqrt{T} \times \sqrt{T}$ pixels, ResNet50 and batch size 200.

To empirically evaluate the computation efficiency of DP fine-tuning methods, we measure the time and GPU memory for a fixed batch size. We depict the high-dimensional data issue in Figure 3, in which the memory saving and speedup by DP-BiTFiT is substantial. We expect to observe greater efficiency advantage of DP-BiTFiT on higher dimensional data, e.g. in document-level language tasks with $T \approx 20000$ by Beltagy et al. (2020), and in high-resolution image tasks, such as 1024×1024 CelebA-HQ (Karras et al., 2018) and Flickr-Faces-HQ (Karras et al., 2019) where T can be of order 10^5 in the convolution layers.



Figure 4: Maximum throughput and batch size by different fine-tuning methods. Each model is represented by one column, which sorts the model size in decreasing order from left to right. Top two: E2E dataset with GPT2-small/medium/large (MixGhostClip is equivalent to Ghost-Clip for this small T). Bottom two: 50000 images of 512×512 pixels with ResNet 50/101/152.

3.3.2 EFFICIENCY V.S. MODEL SIZE

To stress-test the computation efficiency of DP-BiTFiT with large models, we apply the maximum batch size with respect to each fine-tuning method, instead of using a fixed one across different methods. Therefore, DP-BiTFiT can further leverage its memory efficiency to achieve the best throughput. Here we consider a setting of high-dimensional data $(T = 512^2)$ but small ResNet (11.7 ~ 58.2M parameters) and the other setting of low-dimensional data (T = 100) but large GPT2 (125 ~ 774M parameters).

3.4 Applicability of DP-BiTFiT

Some model architectures, such as LLAMA (Touvron et al., 2023a;b; Chowdhery et al., 2023) and the convolutional layers followed by batch normalization (He et al., 2016), may not contain any bias terms, hence DP-BiTFiT (and its non-DP counter-part) is either not directly applicable or less performant. We propose DP-BiTFiT-Add, which adds zero or randomly initialized bias terms to the layers and then applies DP-BiTFiT. Such initialization does not affect the pre-trained utility, but enlarges the parameter space and thus allows better performance after fine-tuning. As a concrete example, we experiment with ResNet18 (no bias in all convolutional layers) on CelebA for the multi-label classification task, under the same setting as Table 6. The accuracy boosts from 86.9% of DP-BiTFiT to 87.3% of DP-BiTFiT-Add, compared to 88.4% of the full fine-tuning.

Note that DP-BiTFiT-Add is still activation-free and highly parameter-efficient: DP-BiTFiT-Add trains less than 0.1% parameters on ResNet18, and only 0.03% parameters on LLAMA2-7B.

4 Experiments

We now test the accuracy of DP-BiTFiT on natural language and computer vision tasks, with the settings in Appendix D. For DP full fine-tuning algorithms, we use GhostClip (Li et al., 2021) on texts, and MixedGhostClip (Bu et al., 2022a) on images, which achieve SOTA efficiency and accuracy on these datasets respectively. We compute ϵ using a conversion from RDP though tighter privacy accountants in Section 2 are feasible. And we observe that, in all experiments with or without DP, the optimal learning rate for BiTFiT is larger than that for full fine-tuning.

Table 3: Accuracy of fine-tuning methods with RoBERTa, under $\epsilon = 8$. More non-private fine-tuning results (similar to here) can be found in (Yu et al., 2021a; Hu et al., 2021; Zaken et al., 2022). Note that linear probing of RoBERTa-base only gets 87.2% on SST2 and 77.3% on QNLI.

	Ful	1	RGP	Adapter	Lol	RA	BiTF	iТ	Compacter		
	(Li et al.	2021)	(Yu et al., 2021a)	(Yu et al., 2021a)	(Yu et al.	., 2021a)	Our	5	(Yu et al., 2021a)		
Additional params to networks	X		X	✓	v	/	X		1		
Forward caching activations	1		1	√	J	/	X		1		
	RoBERTa-base (125M)										
% of trainable params	100	%	100%	1.4%	0.9	4%	0.083	%	0.055%		
	standard	DP	DP	DP	standard	DP	standard	DP	DP		
Accuracy SST2	94.5	92.1	91.6	92.5	95.1	92.2	93.5	92.4	92.3		
Accuracy QNLI	91.4	87.9	87.2	87.5	93.3	87.3	87.3	86.9	85.1		
Accuracy QQP	87.3	86.1	85.5	85.6	90.8	85.7	86.1	85.6	84.7		
Accuracy MNLI-m	85.9	83.2	80.1	83.4	87.5	83.5	83.4	82.9	82.6		
			RoBERTa-l	arge (355M)							
% of trainable params	100	%	100%	1.4%	0.9	4%	0.077	%	0.053%		
	standard	DP	DP	DP	standard	DP	standard	DP	DP		
Accuracy SST2	96.2	93.8	93.0	93.9	96.2	95.3	95.5	94.5	94.2		
Accuracy QNLI	93.6	91.1	90.0	90.7	94.9	90.8	92.2	91.1	90.2		
Accuracy QQP	87.9	87.5	86.7	86.3	91.6	87.4	87.9	86.9	86.2		
Accuracy MNLI-m	90.3	87.0	86.1	87.7	90.6	87.8	89.3	88.3	87.5		

		F The Participant					1		
	£11	100%	standard	2.91	69.46	71.36	8.78	0.46	2.42
	Tull	100%	DP ($\epsilon = 8$)	2.33	63.60	67.07	7.71	0.40	1.94
	LoRA		standard		69.68	71.71	8.82	0.46	2.49
GPT2-small			DP ($\epsilon = 8$)	—	63.39	67.53	7.45	0.41	1.95
(124M)	prefix		standard		68.85	70.81	8.72	0.45	2.35
			DP ($\epsilon = 8$)	—	49.26	60.73	5.53	0.36	1.57
	DITET	0.082%	standard	3.19	64.46	63.67	4.25	0.36	1.36
	DITTT	0.08270	$DP\left(\epsilon=8\right)$	2.89	60.56	64.96	6.14	0.37	1.62
	full	1000	standard	2.08	68.50	71.46	8.63	0.45	2.14
GPT2-medium		100%	$DP\left(\epsilon=8\right)$	2.25	64.22	67.53	8.17	0.42	2.08
(355M)	DITET	0.0760	standard	2.85	64.48	67.81	8.50	0.43	2.11
	DIIFII	0.070%	$\mathrm{DP}\left(\epsilon=8\right)$	2.67	61.02	66.13	7.18	0.39	1.80
	£.11	1000	standard	1.79	66.84	70.38	8.73	0.46	2.36
GPT2-large	Tuli	100%	$DP\left(\epsilon=8\right)$	2.26	64.64	68.97	8.30	0.42	2.16
(774M)	BiTFiT		standard	2.79	65.79	67.61	8.55	0.43	2.21
		0.000%	$DP\left(\epsilon=8\right)$	2.59	65.21	67.88	8.43	0.42	2.15

Table 4: Performance of fine-tuning methods with GPT2, under $\epsilon = 8$. LoRA and prefix results are documented in Li et al. (2021). Best performance in each model is in bold text. DP-BiTFiT is comparable to DP full, especially on larger models. Model |Fine-tuning|% of params| Privacy |Perplexity||BLEU^{+}|ROGUE-L^{+}|NIST^{+}|METEOR^{+}|CIDEr^{+}|

4.1 Text classification

We experiment on MNLI-m(mismatch) (Williams et al., 2018), QQP (Iyer et al., 2017), QNLI (Rajpurkar et al., 2016), and SST2 datasets (Socher et al., 2013). Competitive algorithms include reparameterized gradient perturbation (RGP, (Yu et al., 2021c)), LoRA, Adapter and Compacter (Yu et al., 2021a). We use the same setup as Li et al. (2021) on RoBERTa models with text-infiling, only increasing the learning rate for DP-BiTFiT. Additional results under a stronger privacy guarantee $\epsilon = 3$ can be found in Table 12.

In Table 3, DP-BiTFiT is highly parameter efficiency and accurate compared with other DP fine-tuning. As indicated by Figure 1 and Figure 3, over $2\times$ speedup and over $3\times$ memory saving is observed, when switching from DP full fine-tuning to DP-BiTFiT.

Remark 4.1. It is encouraging to observe that the gap between the full fine-tuning and BiTFiT, with or without DP, tends to decrease as the model size increases. For instance on QNLI, this gap without privacy reduces from 4.1% to 1.4%, and with privacy reduces from 1.4% to 0.1%. This scaling pattern is consistently observed on different tasks, e.g. in Table 4 and Table 6.

4.2 Natural Language Generation

We compare DP-BiTFiT with DP LoRA, full fine-tuning, and prefix tuning (Li & Liang, 2021) on E2E dataset (Dusek et al., 2020), in order to train GPT2 that generates texts to evaluate a restaurant. The performance measures are BLEU (Papineni et al., 2002), ROGUE-L (Lin, 2004), NIST (Sadjadi et al., 2018), METEOR (Banerjee & Lavie, 2005), CIDEr (Vedantam et al., 2015) and perplexity. We use the same setup as Bu et al. (2022b) with automatic clipping, only increasing the learning rate for DP-BiTFiT. More results under a stronger privacy guarantee $\epsilon = 3$ can be found in Table 13.

In Table 4, DP-BiTFiT has shown strong performance, even outperforming DP full fine-tuning on GPT2-large, as well as both the computation and parameter efficiency (see Figure 4). Similar to Remark 4.1, the gap of BLEU score between DP-BiTFiT and DP full fine-tuning reduces from -3.06/-3.20 (GPT2-small/medium) to +0.57 (GPT2-large), as the model size increases. We refer to Table 13 for a more significant pattern when $\epsilon = 3$.

Table 5: Accuracy of DP ViT-large on CIFAR, 3 epochs.



Figure 5: Accuracy of DP ViT-large on CIFAR100.

Dataset		Model	Fine-tuning	Accuracy
	(Yu et al., 2021b)	ResNet152 (GEP)	last-layer	94.8
	(Tramer & Boneh, 2020)	SIMCLRv2	last-layer	92.7
CIEA D 10	$(D_{2} \text{ at al} 2022)$	Wide-ResNet28	last-layer	93.6
$(\epsilon = 2 \delta = 1e-5)$	(De et al., 2022)	Wide-ResNet28	full	95.4
(c 2, o 10 0)		crossvit-base-240	full	96.1
	(Bu et al., 2022a)	vit-base-patch16	full	97.4
		vit-large-patch16	full	98.9
		crossvit-base-240	BiTFiT	95.7
	Ours	vit-base-patch16	BiTFiT	97.7
		vit-large-patch16	BiTFiT	99.0
CelebA [Smiling]	(Bu et al., 2022b)	ResNet9	full	91.08
$(\epsilon - 8 \delta - 5e_{-}6)$		ResNet18	full	91.02
(c = 0, 0 = 3c - 0)	Ours	ResNet18	BiTFiT	88.17
		ResNet18	last-layer	66.15
CelebA [Male]	(Bu et al., 2022b)	ResNet9	full	95.70
$(\epsilon - 8 \delta - 5e_{-}6)$		ResNet18	full	95.15
(c = 0, 0 = 3c - 0)	Ours	ResNet18	BiTFiT	92.29
		ResNet18	last-layer	78.70
Calab A [Multi labal]	(Bu et al., 2022b)	ResNet9	full	87.58
$(\epsilon - 8 \delta - 5e \delta)$		ResNet18	full	88.38
(c = 0, 0 = 3c - 0)	Ours	ResNet18	BiTFiT	86.87
		ResNet18	last-layer	83.67

Table 6: Accuracy of DP fine-tuning methods on CIFAR10 and CelebA. More results under different ϵ and network architectures can be found in Appendix E.3.

4.3 Image classification

We further experiment on CIFAR10/CIFAR100 (32×32 pixels, resized to 224×224) and CelebA (218×178 pixels, not resized; results in Table 16 and Table 6) after pre-training on ImageNet (224×224 pixels). For these downstream datasets (e.g. CIFAR10 has only 10 classes), the number of classes is different than that in ImageNet, which has 1000 classes. Consequently, the classification head of the pre-trained model is re-placed by random initialization. Therefore, our DP-BiTFiT is applied on top of the last-layer training, but the number of trainable parameter remains $\approx 0.1\%$ of the model parameters. For instance, ViT-large has 303M parameters, of which 282k are biases and the weight of last layer contains ≈ 100 k, depending on the number of classes in the downstram task.

We observe that DP-BiTFiT enjoys $1.5 \times$ speedup for transformers and ResNet in Table 16, and that DP-BiTFiT performs on par with full fine-tuning in Tables 5, 6, 14 and 15, e.g. achieving state-of-the-art 99.0% accuracy on CIFAR10 and 91.2% on CIFAR100 at $\epsilon = 2$. Our observation holds across various models (especially on transformers), privacy budgets, and datasets. However, DP-BiTFiT needs extra attention for convolutional neural networks (CNN) as we elaborate in Appendix A.2.

5 Discussion

In this work, we study DP-BiTFiT to privately train the bias terms of neural networks. The highlight of DP-BiTFiT is the accuracy, the parameter efficiency and the computation efficiency, which is realized by not forward caching the activation tensors, and not back-propagating the gradient of weights. This unique mechanism allows DP-BiTFiT to be as fast and memory-saving as its non-private counterpart, thus particularly suitable for large models and/or high-dimension data on which the full fine-tuning can be costly.

While we have studied DP-BiTFiT as a standalone method, it is promising to combine it with other methods, such as prefix-based tuning and *weights*-based fine-tuning. For instance, one can fine-tune DP LoRA+BiTFiT, via $f(x; \mathbf{W}_0, \hat{\mathbf{b}}, \hat{\boldsymbol{\theta}})$ to obtain even better performance⁸. We readily offer such flexible combination in our codebase, which automatically implements any DP algorithms in the backend.

⁸In fact, this has been acknowledged in the non-DP LoRA (Hu et al., 2021): "Training bias vectors in tandem with LoRA might be a cost-efficient way to squeeze out extra task performance".

Impact Statement

This paper presents work whose goal is to advance the field of Machine Learning. There are many potential societal consequences of our work, none which we feel must be specifically highlighted here.

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A Detailed analysis

A.1 Back-propagation

We rigorously analyze the neural network represented in Section 2: for sample index $i \in [B]$,

$$\underbrace{\mathbf{a}_{l+1,i}}_{\mathbb{R}^{T\times d'}} = \phi(\underbrace{\mathbf{s}_{l,i}}_{\mathbb{R}^{T\times p}}), \qquad \mathbf{s}_{l,i} = \underbrace{\mathbf{a}_{l,i}}_{\mathbb{R}^{T\times d}} \underbrace{\mathbf{W}_l}_{\mathbb{R}^{d\times p}} + \underbrace{\mathbf{1}}_{\mathbb{R}^{T\times 1}} \cdot \underbrace{\mathbf{b}_l}_{\mathbb{R}^{1\times p}}, \tag{4}$$

Then the per-sample weight gradient is given by the chain rule as

$$\frac{\partial \mathcal{L}_{i}}{\partial \mathbf{W}_{l}}^{\top} = \sum_{i} \frac{\partial \mathcal{L}_{i}}{\partial s_{l,j}}^{\top} \frac{\partial s_{l,j}}{\partial \mathbf{W}_{l}} = \frac{\partial \mathcal{L}_{i}}{\partial s_{l,i}}^{\top} \frac{\partial s_{l,i}}{\partial \mathbf{W}_{l}} = \frac{\partial \mathcal{L}_{i}}{\partial s_{l,i}}^{\top} \boldsymbol{a}_{l,i} = \frac{\partial \mathcal{L}}{\partial s_{l,i}}^{\top} \boldsymbol{a}_{l,i}$$

in which the second equality holds when there is no parameter sharing (so that each per-sample loss only depends on *i*-th input and output). The last equality holds for the same reason.

Similarly, we have the per-sample bias gradient as

$$\frac{\partial \mathcal{L}_{i}}{\partial \mathbf{b}_{l}}^{\top} = \sum_{i} \frac{\partial \mathcal{L}_{i}}{\partial s_{l,j}}^{\top} \frac{\partial s_{l,j}}{\partial \mathbf{b}_{l}} = \frac{\partial \mathcal{L}_{i}}{\partial s_{l,i}}^{\top} \frac{\partial s_{l,i}}{\partial \mathbf{b}_{l}} = \frac{\partial \mathcal{L}_{i}}{\partial s_{l,i}}^{\top} \mathbf{1} = \frac{\partial \mathcal{L}}{\partial s_{l,i}}^{\top} \mathbf{1}.$$

We additionally demonstrate that bias gradient is independent of the input a_l , on the convolution (1d/2d/3d) and the normalization layers. For the convolution, s_l is the inversely folded output and a_l is the unfolded input, then the forward pass is the same as that of linear layer in Equation (4). Notice that T is the product of hidden feature dimension (c.f. (Bu et al., 2022a)), which depends on the padding, kernel sizes, strides, etc. For the batch, layer, group, and instance normalization, the forward pass is

$$m{s}_{l,i} = rac{m{a}_{l,i} - \mathbb{E}(m{a}_l)}{\sqrt{ ext{Var}(m{a}_l) + 0.00001}} \cdot m{W}_l + m{1} \cdot m{b}_l$$

which can be analyzed similarly to that of Equation (4).

A.2 Making BiTFiT work with convolutional neural networks

Most (non-transformer) vision models use convolution layers and batch normalization during their standard non-DP training, which is problematic for DP training in general, especially for DP-BiTFiT. We take ResNet (He et al., 2016) as a concrete example.

Firstly, it is well-known that DP training does not support batch normalization, because the mean and standard deviation are computed based on samples (c.f. https://opacus.ai/tutorials/guide_to_module_validator). Therefore, in DP training, ResNet-BN (with batch normalization) is modified to a different achitecture ResNet-GN (replaced by group normalization, e.g. (Abadi et al., 2016)). Put differently, ResNet is different in DP and non-DP training and sometimes the comparison may be unfair. This makes vision transformers favorable because they use layer normalization so that the architectures do not require modification when switching to DP regime.

Secondly, the convolution layers usually do not contain bias terms when followed by batch normalization. This is the case in packages like tensorflow.keras, torchvision, timm, and in models like ResNet, ResNext, DenseNet, etc. The reason of not having bias terms is that the batch normalization performs mean subtraction, which make the biases ineffective (see https://discuss.pytorch.org/t/no-bias-in-the-pretrianed-state-dictionary-of-resnet18/153263/2). In words, ResNet-BN(with bias)=ResNet-BN(no bias), but ResNet-GN(with bias) \neq ResNet-GN(no bias).

Consequences Consider two networks, ResNet(no bias) with bias-less convolution and ResNet(with bias). In full finetuning, we are training all 100 layers of both ResNets and they are equivalent under batch normalization; but in DP-BiTFiT, we are essentially not training ResNet(no bias), maybe except the classification head.

A.2.1 WALK-AROUND 1

To walk around, we can manually re-write the convolution layers in CNNs, which is technically troublesome and has to be done in a case-by-case manner. For example, in (Bu et al., 2022b), ResNet9 was implemented with bias in the convolution layers. This walk-around can improve the performance of DP-BiTFiT significantly (because all layers are trainable now) without sacrificing the training efficiency.

A.2.2 WALK-AROUND 2

Alternatively, we can leverage a two-phase training to interpolate between full fine-tuning and BiTFiT. We introduce the *two-phase training*, denoted as X+BiTFiT, which firstly applies DP full fine-tuning for X epochs then DP-BiTFiT for the rest of training. Hence, X+BiTFiT becomes DP full fine-tuning when X equals total epochs, and reduces to DP-BiTFiT when X = 0. Empirically speaking, it suffices to use $X \leq 2$ to achieve comparable accuracy to full fine-tuning, while still enjoying some speedup. The effectiveness of two-phase training is verified in Appendix E.3. 1+BiTFiT outperforms previous SOTA by DP full fine-tuning (Bu et al., 2022a) that used BEiT-large: CIFAR10 97.1% \rightarrow 98.8%; CIFAR100 86.2% \rightarrow 88.7%, under $\epsilon = 2$. 2+BiTFiT is comparable to previous SOTA, 87.05/87.58% \rightarrow 86.54/86.71% on CelebA in Table 16, under $\epsilon = 3/8$ respectively.

As a concrete example, our experiments on CIFAR10 shows that while training ViT-tiny with DP-BiTFiT only achieves 82.6% accuracy, the two-phase training that applies DP full fine-tuning for a single epoch boosts the accuracy to 92.6%. This boost is even more effective on CIFAR100, where DP-BiTFiT achieves 12% accuracy but the two-phase training gives 63%. A number of experiments can be found in Appendix E.3.

B Implementation of DP-BiTFiT

In this section we describe the implementation of DP-BiTFiT, which only uses Pytorch backward hook but not the forward hook, and thus is different from existing packages such as FastGradClip (Lee & Kifer, 2020), Opacus (Yousefpour et al., 2021), Private Transformers (Li et al., 2021), Private CNN (Bu et al., 2022a). Notice that in these packages, the forward hook is used to store the activation tensor a_i for all layers, which incurs huge memory burden as discussed in Section 2.

The Pytorch backward hook is a function, to be registered on a torch Module (or a layer in the neural network), that will be executed in the backward propagation. The backward hook automatically extracts the input gradient $\frac{\partial \mathcal{L}}{\partial a_l}$ and the output gradient $\frac{\partial \mathcal{L}}{\partial s_l}$ of the layer.

In DP-BiTFiT, we call register_backward_hook to register a backward hook for Line 5 of Algorithm 1. An example for a linear layer: $\mathbb{R}^{B \times T \times d} \rightarrow \mathbb{R}^{B \times T \times p}$ looks like

```
def hook(linear_layer, grad_input, grad_output):
    linear_layer.bias.grad_sample = grad_output.sum(dim=1)
    linear_layer.bias.norm_sample = linear_layer.bias.grad_sample.norm(2,dim=1)
```

Here the attribute norm_sample stores the per-sample gradient norm $\left\|\frac{\partial \mathcal{L}_i}{\partial \mathbf{b}_l}\right\|_F$, and the attribute grad_sample stores the $\mathbb{R}^{B \times p}$ per-sample gradient of bias.

Then the implementation of DP-BiTFiT for one iteration looks like

where biases is the collection of all bias terms in all layers.

C Complexity analysis

We provide more details on analyzing the time and space complexity. The analysis for full fine-tuning has been presented in Appendix C of (Bu et al., 2022a). At high level, the major components of time complexity is from the matrix/tensor multiplication, for example, if a layer takes in $a_l \in \mathbb{R}^{B \times T \times d}$ and multiply with its $\mathbf{W}_l \in \mathbb{R}^{d \times p}$, the time complexity would be 2BTdp for this forward pass, and the back-propagation roughly takes 2 times the time complexity, leading to (2 + 4) = 6BTdp complexity. In some DP algorithms, like GhostClip, the back-propagation is done twice, hence it's roughly (2 + 4 + 4) = 10BTdp.

This analysis is adapted here for the parameter efficient fine-tuning: for example, Adapter (Houlsby et al., 2019) uses two matrices $W_{down} \in \mathbb{R}^{p \times r}$, $W_{up} \in \mathbb{R}^{r \times p}$ that constitute

$$x \leftarrow x + \text{GeLU}(x \cdot W_{down}) W_{up}$$

Hence the complexity, in comparison to full-finetuning, changes by replacing $d \rightarrow 2r$.

LoRA (Hu et al., 2021) also uses two matrices $W_{down} \in \mathbb{R}^{d \times r}, W_{up} \in \mathbb{R}^{r \times p}$ that constitute

$$x \leftarrow x \cdot W + x \cdot W_{down} W_{up}$$

Hence the complexity, in comparison to full fine-tuning, changes by replacing $pd \rightarrow r(p+d)$.

Table 7: Per-layer time and space complexity of training on weights (full and parameter efficient fine-tuning) and biases. '+' means additional overhead to non-DP training.

	forward		weight		bias training		
	&output grad	non-DP	DP full (Opacus)	DP LoRA	DP Adapter	non-DP	DP (ours)
Time complexity	4BTpd	2BTpd	+2BTpd	+2BT(pr+dr)	+4BTpr	BTp	+3Bp
Space complexity	pd + BTd	BT(p+d)	+Bpd	+B(pr+dr)	+2Bpr	p	+Bp
# back-prop		1	1	1	1	1	1
forward hook		×	1	✓	1	×	X

For per-sample bias gradient clipping, we need $\frac{\partial \mathcal{L}_i}{\partial \mathbf{b}_l}^{\top} = \frac{\partial \mathcal{L}}{\partial \mathbf{s}_{l,i}}^{\top} \mathbf{1}$ in Equation (3), which consists of the *per-sample gradient instantiation* (i.e. summation along the feature dimension, from $\mathbb{R}^{Tp} \to \mathbb{R}^p$, $\frac{\partial \mathcal{L}}{\partial \mathbf{s}_{l,i}} \to \frac{\partial \mathcal{L}_i}{\partial \mathbf{b}_l}$), and computing the per-sample gradient norm (i.e. *taking the square* at each index and *summing all indices*). Here each operation in italic takes Bp time complexity, meaning the total time complexity is 3Bp, but the space complexity is Bp if operated in-place.

D Experiment details

D.1 Language tasks

Throughout this work, the text datasets are processed and loaded from Huggingface (Lhoest et al., 2021). We follow the same setup as (Li et al., 2021; Bu et al., 2022b), such as $\delta = 0.5$ /sample size. The full fine-tuning is implemented by Private Transformers codebase, version 0.2.0 (i.e. GhostClip algorithm (Li et al., 2021)).

For text classification, we experiment on four datasets: **MNLI**(**m**), the matched splits from Multi-Genre Natural Language Inference Corpus; **QQP**, the Quora Question Pairs2 dataset; **QNLI** The Stanford Question Answering dataset; **SST2** The Stanford Sentiment Treebank dataset.

To give a fair comparison, we use the same optimizer as in (Li et al., 2021), i.e. DP-Adam with Abadi's clipping.

For E2E generation task, we experiment GPT2 models using the same optimizer as in (Bu et al., 2022b), using DP-AdamW with automatic clipping.

Dataset	MNLI	QQP	QNLI	SST2
epoch	18	18	6	3
batch size	6000	6000	2000	1000
clipping threshold R		0.	.1	
DP learning rate	full	5e-4 / E	BiTFiT 5e	e-3
non-DP learning rate	full	5e-5 / E	BiTFiT 1e	e-3
max sequence length		25	56	

Table 8: Hyperparameters of text classification in Table 3 and Table 12, using RoBERTa (base/large).

Table 9: Hyperparameters of E2E generation task in Table 4 and Table 13, using GPT2.

Model	GPT2-small	GPT2-medium	GPT2-large
epoch		10	
batch size		1024	
DP learning rate (full)	2e-3	2e-3	2e-3
non-DP learning rate (full)	2e-4	1e-4	1e-4
DP learning rate (BiTFiT)		1e-2	
non-DP learning rate (BiTFiT)		2e-3	
learning rate decay		No	
max sequence length		100	

D.2 Image tasks

We give the experiments settings for image classification. For CIFAR10 and CIFAR100, we use the same setting as (Bu et al., 2022a), e.g. 5 epochs for CrossViT, 3 epochs for ViT and BEiT-large. For CelebA, we use the same setting as (Bu et al., 2022b), e.g. 10 epochs.

We use DP-Adam with Abadi's clipping. We do not apply tricks such as random data augmentation, weight standardization (Qiao et al., 2019), or parameter averaging (Polyak & Juditsky, 1992). Our experiments are heavily based on Private CNN (i.e. MixGhostClip algorithm (Bu et al., 2022a)) and TIMM codebases.

Table 10: Hyperparameters of image classification task in Section 4.3, Table 14, Table 15, Table 16.

Dataset	CIFAR10	CIFAR10	CIFAR100	CelebA	
Model	CrossViT	ViT-large	ViT-large	ResNet18	
epoch	5	3	3	10	
batch size	1000	1000	1000	500	
clipping threshold	0.1				
DP learning rate (full)	1e-3	5e-4	5e-4	1e-3	
DP learning rate (BiTFiT)	5e-3	5e-3	5e-3	8e-3	
learning rate decay		ľ	No		
normalizing data	Yes	Yes	Yes	No	

E Additional tables and figures

E.1 Parameter efficiency of DP-BiTFiT

Model	Number of params	% of params
VGG11	133M	0.009
VGG16	138M	0.009
VGG19	144M	0.010
ResNet18	11.7M	0.043
ResNet34	21.8M	0.044
ResNet50	25.6M	0.113
ResNet101	44.5M	0.121
ResNet152	60.2M	0.127
wide_resnet50_2	68.9M	0.051
wide_resnet101_2	126.9M	0.055
convnext_base	88.6M	0.148
convnext_large	197.8M	0.099
ViT-small-patch16	22.0M	0.238
ViT-base-patch16	86.6M	0.120
ViT-large-patch16	304M	0.090
beit_base_patch16_224	86.5M	0.088
deit_base_patch16_224	86.4M	0.120
GPT2-small	124M	0.082
GPT2-medium	355M	0.076
GPT2-large	774M	0.066
RoBERTa-base	125M	0.083
RoBERTa-large	355M	0.077
BERT-base-uncased	109M	0.094
BERT-large-uncased	335M	0.081
BART-large	406M	0.082
longformer-base-4096	149M	0.088
longformer-large-4096	435M	0.080

Table 11: Parameter efficiency of (DP) BiTFiT on various models.

E.2 More results on DP-BiTFiT and language tasks

	full (L	i et al., 2	2021; Bu	et al., 2	022b)	BiTFiT (ours)				
			R	RoBERT	a-base					
	standard	DP _{Abadi}	DP _{AUTO}	DP _{Abadi}	DP _{AUTO}	standard	DP _{Abadi}	DP _{AUTO}	DP _{Abadi}	DP _{AUTO}
	$\epsilon = \infty$	$\epsilon = 8$	$\epsilon = 8$	$\epsilon = 3$	$\epsilon = 3$	$\epsilon = \infty$	$\epsilon = 8$	$\epsilon = 8$	$\epsilon = 3$	$\epsilon = 3$
Accuracy SST2	94.5	92.1	92.4	91.9	92.3	93.5	92.4	92.4	92.2	92.2
Accuracy QNLI	91.4	87.9	87.9	87.4	86.9	87.3	86.9	87.0	86.4	86.4
Accuracy QQP	87.3	86.1	86.6	85.6	85.8	86.1	85.6	85.9	84.8	85.0
Accuracy MNLI-m	85.9	83.2	83.8	82.5	83.2	83.4	82.9	83.2	82.5	82.7
			R	oBERTa	ı-large					
	standard	DP _{Abadi}	DP _{AUTO}	DP _{Abadi}	DP _{AUTO}	standard	DP _{Abadi}	DP _{AUTO}	DP _{Abadi}	DP _{AUTO}
	$\epsilon = \infty$	$\epsilon = 8$	$\epsilon = 8$	$\epsilon = 3$	$\epsilon = 3$	$\epsilon = \infty$	$\epsilon = 8$	$\epsilon = 8$	$\epsilon = 3$	$\epsilon = 3$
Accuracy SST2	96.2	93.8	94.6	93.0	93.9	95.5	94.5	94.7	94.5	94.6
Accuracy QNLI	93.6	91.1	91.5	90.8	91.0	92.2	91.1	91.3	90.7	90.8
Accuracy QQP	87.9	86.9	87.5	86.6	86.8	87.9	86.9	87.1	86.6	86.7
Accuracy MNLI-m	90.3	87.0	87.1	86.4	86.3	89.3	88.3	88.4	87.2	87.8

Table 12: Accuracy of full fine-tuning and BiTFiT with RoBERTa, under different per-sample clipping functions (indicated as subscript, Abadi (Abadi et al., 2016) and AUTO-S (Bu et al., 2022b)). Same setting as Appendix D.

Table 13: Accuracy of fine-tuning with GPT2 on E2E dataset. LoRA and prefix results are taken from (Li et al., 2021). Same setting as Appendix D.

Model	Fine-tuning	% of params	Privacy↓	Perplexity↓	BLEU↑	ROGUE-L↑	NIST↑	METEOR↑	CIDEr↑
	ful1	100%	standard	2.91	69.46	71.36	8.78	0.46	2.42
	Tull	100%	DP ($\epsilon = 8$)	2.33	63.60	67.07	7.71	0.40	1.94
			$DP(\epsilon = 3)$	2.36	61.34	65.87	7.07	0.39	1.80
GPT2-small	LoPA		standard		69.68	71.71	8.82	0.46	2.49
(124M)	LUKA		$DP (\epsilon = 8)$		63.39	67.53	7.45	0.41	1.95
			$DP(\epsilon = 3)$		58.15	65.77	5.46	0.37	1.58
	prefix		standard		68.85	70.81	8.72	0.45	2.35
	prenx		$DP (\epsilon = 8)$		49.26	60.73	5.53	0.36	1.57
			DP ($\epsilon = 3$)		47.77	58.96	5.25	0.36	1.51
	BITET	0.082%	standard	3.19	64.46	63.67	4.25	0.36	1.36
	DIIII	0.08270	DP ($\epsilon = 8$)	2.89	60.56	64.96	6.14	0.37	1.62
			DP ($\epsilon = 3$)	3.00	54.78	63.55	4.78	0.34	1.31
-	fu11	100%	standard	2.08	68.50	71.46	8.63	0.45	2.14
GPT2-medium	Tull	100%	$DP(\epsilon = 8)$	2.25	64.22	67.53	8.17	0.42	2.08
(355M)			$DP(\epsilon = 3)$	2.62	63.85	67.07	7.11	0.39	1.75
	BITET	0.076%	standard	2.85	64.48	67.81	8.50	0.43	2.11
	DITTI	0.070%	$DP (\epsilon = 8)$	2.67	61.02	66.13	7.18	0.39	1.80
			DP ($\epsilon = 3$)	2.67	57.11	66.16	5.07	0.37	1.47
	f.,11	100%	standard	1.79	66.84	70.38	8.73	0.46	2.36
GPT2-large	Iuli	100%	$DP(\epsilon = 8)$	2.26	64.64	68.97	8.30	0.42	2.16
(774M)			$DP(\epsilon = 3)$	2.65	64.18	67.86	7.94	0.40	2.01
	BITET	0.066%	standard	2.79	65.79	67.61	8.55	0.43	2.21
	DITTT	0.00070	DP ($\epsilon = 8$)	2.59	65.21	67.88	8.43	0.42	2.15
			DP ($\epsilon = 3$)	2.61	65.18	67.90	8.34	0.42	2.12

E.3 More results on two-phase training

Here X+BiTFiT does not train last layer, i.e. the classification head is randomized before full fine-tuning happens.

Table 14: Accuracy of two-phase fine-tuning on CIFAR10. Same setting as Appendix D.2. BEiT-large uses DP full fine-tuning learning rate 5e-4, DP-BiTFiT learning rate 5e-3. Others use DP full fine-tuning learning rate 1e-3, DP-BiTFiT learning rate 5e-3.

CIFAR10										
Model	Privacy	0+BiTFiT	1+BiTFiT	2+BiTFiT	DP full					
beit_large_patch16_224	$\epsilon = 1$	11.7	98.2	97.9	97.2					
	$\epsilon = 2$	10.0	98.3	98.0	97.3					
	$\epsilon = 4$	13.8	98.2	98.0	97.5					
	$\epsilon = 8$	10.1	98.5	98.0	97.8					
beit_base_patch16_224	$\epsilon = 1$	10.0	96.6	96.0	95.4					
	$\epsilon = 2$	10.7	97.1	96.4	96.0					
	$\epsilon = 4$	14.0	97.2	96.6	96.2					
	$\epsilon = 8$	10.0	97.2	96.5	96.3					
deit_base_patch16_224	$\epsilon = 1$	78.2	94.4	95.2	95.4					
	$\epsilon = 2$	75.0	95.4	95.2	95.6					
	$\epsilon = 4$	72.9	95.8	95.9	96.0					
	$\epsilon = 8$	71.2	96.1	96.0	96.3					
crossvit_base_240	$\epsilon = 1$	74.3	92.4	94.3	95.2					
	$\epsilon = 2$	80.4	93.6	95.0	95.3					
	$\epsilon = 4$	81.0	94.9	95.8	95.7					
	$\epsilon = 8$	78.2	94.8	95.8	96.2					
vit_large_patch16_224	$\epsilon = 1$	89.7	98.9	98.7	98.9					
	$\epsilon = 2$	90.6	98.8	98.9	98.9					
	$\epsilon = 4$	93.2	98.9	98.8	99.0					
	$\epsilon = 8$	93.9	99.0	98.9	99.0					
vit_base_patch16_224	$\epsilon = 1$	86.7	95.2	97.0	96.8					
	$\epsilon = 2$	89.3	97.7	97.1	97.1					
	$\epsilon = 4$	88.3	97.7	97.2	97.2					
	$\epsilon = 8$	88.7	97.6	97.2	97.4					

Table 15: Accuracy of two-phase fine-tuning on CIFAR100. Same setting as Appendix D.2. BEiT-large uses DP full fine-tuning learning rate 5e-4, DP-BiTFiT learning rate 5e-3. Others use DP full fine-tuning learning rate 1e-3, DP-BiTFiT learning rate 5e-3.

CIFAR100									
Model	Privacy	0+BiTFiT	1+BiTFiT	2+BiTFiT	DP full				
beit_large_patch16_224	$\epsilon = 1$	1.0	86.9	87.8	87.0				
	$\epsilon = 2$	1.0	88.7	89.3	88.7				
	$\epsilon = 4$	1.0	89.7	89.7	89.6				
	$\epsilon = 8$	1.0	90.3	90.7	90.0				
beit_base_patch16_224	$\epsilon = 1$	1.0	81.4	82.2	80.9				
	$\epsilon = 2$	1.0	83.4	83.4	83.1				
	$\epsilon = 4$	1.0	84.6	85.1	84.8				
	$\epsilon = 8$	1.0	84.9	85.6	85.2				
deit_base_patch16_224	$\epsilon = 1$	10.9	49.1	65.9	69.1				
	$\epsilon = 2$	13.6	58.1	71.5	74.3				
	$\epsilon = 4$	15.7	64.5	73.9	77.1				
	$\epsilon = 8$	16.6	69.7	75.7	77.9				
crossvit_base_240	$\epsilon = 1$	12.2	49.2	61.7	67.6				
	$\epsilon = 2$	12.3	56.8	65.3	71.6				
	$\epsilon = 4$	17.2	61.6	70.4	73.1				
	$\epsilon = 8$	20.9	63.4	72.8	74.2				
vit_large_patch16_224	$\epsilon = 1$	14.0	73.5	86.0	87.7				
	$\epsilon = 2$	19.4	82.4	89.0	90.1				
	$\epsilon = 4$	24.3	87.5	89.9	91.0				
	$\epsilon = 8$	23.9	89.0	90.7	91.3				
vit_base_patch16_224	$\epsilon = 1$	16.0	64.3	79.5	83.9				
	$\epsilon = 2$	22.9	77.0	83.8	85.5				
	$\epsilon = 4$	21.2	83.0	85.2	87.2				
	$\epsilon = 8$	26.2	83.8	86.5	87.1				

Table 16: Accuracy on CelebA dataset with settings in Appendix D.2 from one run. DP full fine-tuning is implemented with
the most efficient MixGhostClip algorithm (Bu et al., 2022a). We observe that linear probing (LP) only gives 83.67% at
$\epsilon = 8$. *Note the accuracy is based on timm<=0.6.5 and may change for a different version.

Attributes	0+BiTFiT	1+BiTFiT	2+BiTFiT	DP full	DP-BiTFiT(LP)	0+BiTFiT	1+BiTFiT	2+BiTFiT	DP full	DP-BiTFiT(LP)	
Autoutes		$\epsilon = 3$				$\epsilon = 8$					
5 o Clock Shadow	90.01	90.01	90.14	91.32	90.35	90.01	90.01	90.51	91.64	90.97	
Arched Eyebrows	71.56	73.12	76.01	77.33	75.41	71.56	73.74	75.49	78.82	76.49	
Attractive	68.71	73.98	75.99	79.22	74.96	69.70	73.61	76.20	78.08	7523	
Bags Under Eyes	79.74	79.76	81.27	81.73	81.14	79.74	79.74	80.69	82.62	8172	
Bald	97.88	97.88	97.88	97.93	97.93	97.88	97.88	97.88	97.91	9790	
Bangs	84.43	84.43	84.80	94.06	90.85	84.43	84.44	86.51	94.22	92.34	
Big Lips	67.30	67.30	67.30	67.78	67.42	67.30	67.30	67.29	68.34	67.65	
Big Nose	78.80	78.95	80.08	81.19	79.96	78.80	78.92	79.23	81.86	80.28	
Black Hair	72.84	74.86	82.37	85.84	81.48	73.02	78.71	83.33	86.47	82.38	
Blond Hair	89.54	93.00	93.28	94.17	93.03	89.13	92.62	93.88	94.34	93.51	
Blurry	94.94	94.94	94.94	95.05	95.21	94.94	94.94	94.96	95.10	95.34	
Brown Hair	82.03	82.02	82.87	85.44	82.68	82.03	82.37	83.49	85.04	82.88	
Bushy Eyebrows	87.05	87.05	87.21	88.26	87.11	87.05	87.05	87.15	89.02	87.22	
Chubby	94.70	94.70	94.70	94.84	94.57	94.70	94.70	94.70	94.78	94.47	
Double Chin	95.43	95.43	95.43	95.49	95.34	95.43	95.43	95.43	95.39	95.26	
Eyeglasses	93.54	93.54	93.54	94.30	94.77	93.54	93.54	93.54	95.85	96.32	
Goatee	95.42	95.42	95.42	95.96	95.41	95.42	95.42	95.42	95.89	95.55	
Gray Hair	96.81	96.81	96.85	97.44	96.78	96.81	96.81	97.12	97.45	96.59	
Heavy Makeup	76.51	82.76	85.71	88.48	83.73	77.22	83.03	85.86	89.05	84.70	
High Cheekbones	62.13	68.20	81.63	83.77	76.91	61.43	67.27	81.33	84.20	79.42	
Male	80.37	88.47	91.52	94.73	89.92	82.04	88.52	92.14	95.19	90.69	
Mouth Slightly Open	54.03	59.32	77.61	86.75	74.20	55.26	60.70	79.42	90.24	77.53	
Mustache	96.13	96.13	96.13	96.10	96.06	96.13	96.13	96.13	96.12	95.98	
Narrow Eyes	85.13	85.13	85.13	85.14	85.15	85.13	85.13	85.13	85.16	85.13	
No Beard	85.37	85.87	87.56	92.94	88.33	85.37	85.88	88.59	93.59	89.81	
Oval Face	70.44	70.94	71.50	73.11	71.51	70.44	71.48	71.92	71.77	71.25	
Pale Skin	95.79	95.79	95.79	95.79	95.76	95.79	95.79	95.79	95.79	95.73	
Pointy Nose	71.43	71.51	71.63	71.89	71.40	71.43	71.47	71.77	72.87	72.11	
Receding Hairline	91.51	91.51	91.51	91.59	91.40	91.51	91.51	91.51	91.61	91.39	
Rosy Cheeks	92.83	92.83	92.86	93.07	92.75	92.87	92.83	92.86	93.33	92.99	
Sideburns	95.36	95.36	95.36	96.44	95.55	95.36	95.36	95.36	96.63	95.79	
Smiling	60.07	66.32	85.85	89.34	79.99	58.92	65.97	85.55	89.11	82.82	
Straight Hair	79.01	79.01	79.02	79.65	79.22	79.01	79.01	79.13	78.60	79.47	
Wavy Hair	71.24	73.09	76.22	77.35	77.98	70.86	73.62	77.11	72.73	78.90	
Wearing Earrings	79.34	79.34	80.37	83.24	81.54	79.34	79.34	80.71	84.36	82.65	
Wearing Hat	95.80	95.80	95.80	96.01	95.95	95.80	95.80	95.80	97.02	96.63	
Wearing Lipstick	80.61	87.90	89.81	91.59	87.54	80.35	87.20	89.56	91.94	88.16	
Wearing Necklace	86.21	86.21	86.21	86.21	86.16	86.21	86.21	86.21	86.21	86.12	
Wearing Necktie	92.99	92.99	93.03	93.58	93.61	92.99	92.99	93.11	93.57	94.13	
Young	75.71	79.33	81.23	83.69	80.57	75.71	78.52	80.66	83.11	80.93	
Average	82.97	84.42	86.54	88.20	86.25	83.01	84.52	86.71	88.38	86.87	
Total time	10:30	12:02	13:34	25:50	10:30	10:30	12:02	13:34	25:50	10:30	

E.4 Hyperparameter tuning for DP-BiTFiT

We demonstrate that employing DP-BiTFiT does not complicate the learning rate tuning, when compared to the full fine-tuning.

Table 17: Test accuracy on SST2 under $\epsilon = 8$, using DP-Adam with AUTO-S clipping.

	DP-BiTFiT				DP full				non-DP full				
learning rate	5e-4	1e-3	2e-3	5e-3	1e-2	1e-4	2e-4	5e-4	1e-3	1e-5	2e-5	5e-5	1e-4
RoBERTa-base	90.94	91.28	91.74	92.43	90.94	91.51	91.97	92.43	91.28	93.92	94.38	94.49	93.35
RoBERTa-large	94.38	95.07	94.38	94.50	94.04	94.84	94.72	94.61	92.66	95.76	96.21	96.21	95.99