# Robust Text Classification: Analyzing Prototype-Based Networks

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#### Abstract

 Downstream applications often require text classification models to be accurate and robust. While the accuracy of the state-of-the-art Lan- guage Models (LMs) approximates human per- formance, they often exhibit a drop in perfor- mance on noisy data found in the real world. This lack of robustness can be concerning, as even small perturbations in the text, irrelevant to the target task, can cause classifiers to in-**correctly change their predictions.** A poten- tial solution can be the family of Prototype- Based Networks (PBNs) that classifies exam- ples based on their similarity to prototypical examples of a class (prototypes) and has been shown to be robust to noise for computer vi- sion tasks. In this paper, we study whether the robustness properties of PBNs transfer to text classification tasks under both targeted and static adversarial attack settings. Our results show that PBNs, as a mere architectural varia- tion of vanilla LMs, offer more robustness com- pared to vanilla LMs under both targeted and static settings. We showcase how PBNs' inter- pretability can help us to understand PBNs' ro- bustness properties. Finally, our ablation stud- ies reveal the sensitivity of PBNs' robustness to how strictly clustering is done in the training phase, as tighter clustering results in less robust **029** PBNs.

### **030 1** Introduction

 Language models (LMs) are widely used in vari- ous NLP tasks and exhibit exceptional performance [\(Chowdhery et al.,](#page-8-0) [2022;](#page-8-0) [Zoph et al.,](#page-11-0) [2022\)](#page-11-0). In light of the need for real-world applications of these models, the requirements for robustness and inter- pretability have become urgent for both Large Lan- guage Models (LLMs) and fine-tuned LMs. More fundamentally, robustness and interpretability are essential components of developing trustworthy technology that can be adopted by experts in any **domain [\(Wagstaff,](#page-11-1) [2012;](#page-11-1) [Slack et al.,](#page-11-2) [2022\)](#page-11-2). How-**ever, LMs have limited interpretability by design

[\(Zhao et al.,](#page-11-3) [2023;](#page-11-3) [Gholizadeh and Zhou,](#page-8-1) [2021\)](#page-8-1), **043** which cannot be fully mitigated by posthoc explain-  $044$ ability techniques [\(Zini and Awad,](#page-11-4) [2022\)](#page-11-4). More- **045** over, LMs lack robustness when exposed to text **046** [p](#page-9-0)erturbations, noisy data, or distribution shifts [\(Jin](#page-9-0) **047** [et al.,](#page-9-0) [2020;](#page-9-0) [Moradi and Samwald,](#page-10-0) [2021\)](#page-10-0). Report- **048** edly, even LLMs lack robustness when faced with **049** [o](#page-11-5)ut-of-distribution data and noisy inputs [\(Wang](#page-11-5) **050** [et al.,](#page-11-5) [2023\)](#page-11-5), a finding that is supported by the em- **051** pirical findings of this paper, too. **052**

On this ground, NLP research has increasingly **053** focused on benchmarks, methods, and studies that **054** emphasize robustness and interpretability (e.g., **055** [Zhou et al.,](#page-11-6) [2020;](#page-11-6) [Jang et al.,](#page-9-1) [2022;](#page-9-1) [Liu et al.,](#page-10-1) **056** [2021\)](#page-10-1). This has also been accompanied by the **057** surge of focus on models that are inherently and **058** [a](#page-9-2)rchitecturally interpretable and robust (e.g., [Koh](#page-9-2) **059** [et al.,](#page-9-2) [2020;](#page-9-2) [Papernot and McDaniel,](#page-10-2) [2018;](#page-10-2) [Keane](#page-9-3) **060** [and Kenny,](#page-9-3) [2019\)](#page-9-3). An example of such models is **061** the family of Prototype-Based Networks (PBNs) **062** that is designed for robustness and interpretabil- **063** ity [\(Li et al.,](#page-9-4) [2018b\)](#page-9-4). PBNs are based on the the- **064** ory of categorization in cognitive science [\(Rosch,](#page-10-3) **065** [1973\)](#page-10-3), where it is governed by the graded degree **066** of possessing prototypical features of different cat- **067** egories, with some members being more central **068** (*prototypical*) than others. Consider, for example, **069** classifying different types of birds. Then, pelican **070** classification can be done through their prototyp- **071** ical tall necks and similarity to a prototypical pel- **072** ican [\(Nauta et al.,](#page-10-4) [2021a\)](#page-10-4). Computationally, this **073** idea is implemented by finding prototypical points **074** or examples in the shared embedding space of data **075** points and using the distance between prototypes **076** and data points to accomplish the classification **077** task. Aligned with how humans approach classifi- **078** cation [\(Linzen,](#page-10-5) [2020\)](#page-10-5), classifications in PBNs are **079** expected to have human-like robustness because **080** they classify through distances to prototypical ex- **081** amples found in the data. Leveraging distance **082** between points helps to quantify prototypicality, **083**

<span id="page-1-0"></span>

Figure 1: Classification by a PBN. The model computes distances between the new point and prototypes,  $d(e_i, P_k)$ , and distances within prototypes,  $d(P_k, P_l)$ , for both inference and training. During training, the model minimizes the loss term, L, consisting of  $\mathcal{L}_{ce}$ ,  $\lambda_c\mathcal{L}_c$ ,  $\lambda_i\mathcal{L}_i$ ,  $\lambda_s\mathcal{L}_s$ , controlling the importance of accuracy, clustering, interpretability, and separation of prototypes, based on all the computed distances; during inference, distances between the new point and prototypes are used for classification by a fully connected layer.

**084** which then facilitates identifying noisy or out-of-**085** distribution samples [\(Yang et al.,](#page-11-7) [2018\)](#page-11-7).

 PBNs have been popular in Computer Vision [\(](#page-8-2)CV) tasks, including image classification [\(An-](#page-8-2) [gelov and Soares,](#page-8-2) [2020\)](#page-8-2) and novel class detec- tion [\(Hase et al.,](#page-9-5) [2019\)](#page-9-5). Inspired by PBNs in CV, NLP researchers have also developed PBN mod- els for text classification, in particular, for senti092 [m](#page-10-7)ent classification (Pluciński et al., [2021;](#page-10-6) [Ming](#page-10-7) [et al.,](#page-10-7) [2019;](#page-10-7) [Hong et al.,](#page-9-6) [2021\)](#page-9-6), few-shot relation extraction [\(Han et al.,](#page-9-7) [2021;](#page-9-7) [Meng et al.,](#page-10-8) [2023\)](#page-10-8), and propaganda detection [\(Das et al.,](#page-8-3) [2022\)](#page-8-3). Yet, while competitive performance and interpretability 097 of PBNs have been studied in both NLP [\(Das et al.,](#page-8-3) [2022;](#page-8-3) [Hase and Bansal,](#page-9-8) [2020\)](#page-9-8) and CV [\(Gu and](#page-9-9) [Ding,](#page-9-9) [2019;](#page-9-9) [van Aken et al.,](#page-11-8) [2022\)](#page-11-8), their robust- ness advantages over vanilla models have only been [i](#page-10-9)nvestigated in CV [\(Yang et al.,](#page-11-7) [2018;](#page-11-7) [Saralajew](#page-10-9) [et al.,](#page-10-9) [2020;](#page-10-9) [Vorácek and Hein,](#page-11-9) [2022\)](#page-11-9).

 In this study, *we investigate whether the robust- ness properties of PBNs transfer to NLP classifica- tion tasks*. In particular, our contributions are: (1) We adopt a modular and comprehensive approach to evaluate PBNs' robustness properties against various well-known adversarial attacks under both targeted and static adversarial settings; (2) We con- duct a comprehensive analysis of the sensitivity of **PBNs' robustness w.r.t. different hyperparameters.** 

 Our experiments show that PBNs' robustness transfers to realistic perturbations in text classifica- tion tasks under both targeted and static adversarial settings and can, thus, enhance the text classifica- tion robustness of LMs. We note that the robustness boost that adversarial augmented training brings to LMs with access to additional pieces of rele- vant data, is higher than the boost caused by PBNs' architecture. Nevertheless, considering that the robustness boost in PBNs is only caused by their **121** architecture without any additional resources (data **122** or parameters), and this architecture is interpretable **123** by design, the merits of such models can contribute **124** to the field. Finally, benefiting from inherent inter- **125** pretability, we showcase how PBN interpretability **126** properties help to explain PBNs' robust behavior. **127**

#### 2 Prototype-Based Networks **<sup>128</sup>**

PBNs classify data points based on their similarity **129** to a set of *prototypes* learned during training. These **130** prototypes summarize prominent semantic patterns **131** of the dataset through two mechanisms: (1) proto- **132** types are defined in the same embedding space as **133** input examples, which makes them interpretable **134** by leveraging input examples in their proximity; **135** and (2) prototypes are designed to cluster semanti- **136** cally similar training examples, which makes them **137** representative of the prominent patterns embed- **138** ded in the data and input examples. The PBN's **139** decisions, based on quantifiable similarity to proto- **140** types, are robust as noise and perturbations are bet- **141** ter reflected in the computed similarity to familiar **142** prototypical patterns [\(Hong et al.,](#page-9-10) [2020\)](#page-9-10). Addition- **143** ally, prototypes can provide insight during infer- **144** ence by helping users explain the model's behavior **145** on input examples through the prototypes utilized **146** for the model's prediction [\(Das et al.,](#page-8-3) [2022\)](#page-8-3). **147**

Inference. Classification in PBNs is done via **148** a fully connected layer applied on the measured **149** distances between embedded data points and pro- **150** totypes. As shown in [Figure 1,](#page-1-0) given a set of **151** data points  $x_j, j \in \{1, ..., N\}$  with labels  $y_j \in \{1, ..., N\}$  $\{1, \ldots, C\}$ , and Q prototypes, PBNs first encode 153 examples with a backbone E, resulting in the em- **154** bedding  $e_j = E(x_j)$ . Next, PBNs compute the 155 156 distances between prototypes and  $e_i$  using the func- tion d. These distances get fed into a fully con- nected layer to compute class-wise logits, incorpo- rating the similarities to each prototype. Applying **a** softmax on top, the final outputs are  $\hat{y}_c(x_i)$ : prob-**ability that**  $x_j$  **belongs to class**  $c \in \{1, \ldots, C\}$ .

**Training.** The model is trained using objectives that simultaneously tweak the backbone param- eters and the (randomly initialized) prototypes, thus promoting high performance and meaning- ful prototypes. To compute a total loss term  $\mathcal{L}$ , PBNs use the computed distances within pro-168 totypes  $d(P_k, P_l)_{k \neq l}$ , distances between all Q prototypes and N training examples given by  $d(e_j, P_k)_{j \in \{1, ..., N\}; k \in \{1, ..., Q\}}$ , and the computed probabilities  $\hat{y}_c$ . The prototypes and the weights in 172 the backbone are adjusted according to  $\mathcal{L}$ . The to- tal loss L consists of different inner loss terms that ensure high accuracy, clustering, interpretability, and low redundancy among prototypes; i. e., the [c](#page-9-4)lassification loss  $\mathcal{L}_{ce}$ , the clustering loss  $\mathcal{L}_{c}$  [\(Li](#page-9-4) [et al.,](#page-9-4) [2018b\)](#page-9-4), the interpretability loss  $\mathcal{L}_i$  [\(Li et al.,](#page-9-4) **[2018b\)](#page-9-4), and separation loss**  $\mathcal{L}_s$  **[\(Hong et al.,](#page-9-10) [2020\)](#page-9-10):** 

179  $\mathcal{L} = \mathcal{L}_{ce} + \lambda_c \mathcal{L}_c + \lambda_i \mathcal{L}_i - \lambda_s \mathcal{L}_s,$  (1)

180 where  $\lambda_c, \lambda_i, \lambda_s > 0$  are regularization factors to **181** adjust the contribution of the auxiliary loss terms.

182 *Classification loss*  $\mathcal{L}_{ce}$  is defined as the cross-**183** entropy loss between predicted and true labels:

184 
$$
\mathcal{L}_{ce} = -\sum_{j=1}^{N} \log(\hat{y}_{y_j}(x_j)).
$$
 (2)

*Clustering loss*  $\mathcal{L}_c$  ensures that the training ex- amples close to each prototype form a cluster of 187 similar examples. In practice,  $\mathcal{L}_c$  keeps all the training examples as close as possible to at least one prototype and minimizes the distance between training examples and their closest prototypes:

$$
\mathcal{L}_c = \frac{1}{N} \sum_{j=1}^N \min_{k \in \{1, ..., Q\}} d(P_k, e_j). \tag{3}
$$

192 *Interpretability loss*  $\mathcal{L}_i$  ensures that the proto-**193** types are interpretable by minimizing the distance **194** to their closest training sample:

195 
$$
\mathcal{L}_{i} = \frac{1}{Q} \sum_{k=1}^{Q} \min_{j \in \{1, ..., N\}} d(P_k, e_j).
$$
 (4)

 Keeping the prototypes close to training samples allows PBNs to represent a prototype by its closest training samples that are domain-independent and enable analysis by task experts.

<span id="page-2-0"></span>

Table 1: Examples of adversarial perturbations, with the perturbed tokens highlighted.

*Separation loss*  $\mathcal{L}_s$  maximizes the inter- 200 prototype distance to reduce the probability of re- **201** dundant prototypes: **202**

$$
\mathcal{L}_s = \frac{2}{Q(Q-1)} \sum_{k,l \in \{1,\dots,Q\}; k \neq l;} d(P_k, P_l). \quad (5) \tag{203}
$$

#### <span id="page-2-1"></span>3 Robustness Evaluation **<sup>204</sup>**

We assess PBNs' robustness against adversarial per- **205** turbations of original input text that are intended **206** to preserve the text's original meaning. The per- **207** turbations change the classification of the target **208** model upon confronting these perturbed examples **209** from the correct behavior to an incorrect one in an **210** [e](#page-9-11)ffective and efficient way [\(Dalvi et al.,](#page-8-4) [2004;](#page-8-4) [Ku-](#page-9-11) **211** [rakin et al.,](#page-9-11) [2017a,](#page-9-11)[b;](#page-9-12) [Li et al.,](#page-9-13) [2023\)](#page-9-13). Automatic ap- **212** [p](#page-11-10)roaches of finding these perturbations vary [\(Zhang](#page-11-10) **213** [et al.,](#page-11-10) [2020\)](#page-11-10): perturbations can be focused on dif- **214** ferent granularities, i.e., *character-level*, *word-* **215** *level*, or *sentence-level*; their generation can be **216** done in different ways, e.g., *replacing*, *inserting*, **217** *deleting*, *swapping* tokens; they can have different **218** searching strategies for their manipulations, such **219** as *context-aware* or *isolated* approaches; and also **220** various salient token identification strategies to **221** maximize their adversarial effect. **222**

Orthogonally, these adversarial perturbations are **223** divided into targeted and static. In the targeted set- **224** ting, the attacker has access to the target model and **225** can attack it directly [\(Si et al.,](#page-10-10) [2021\)](#page-10-10). However, in **226** the static setting, the attacker does not have access **227** to the target model. Hence, adversarial perturba- **228** tions are gathered while attacking external models **229** that the attacker has access to, and the gathered suc- **230** cessful perturbations would be used to assess the **231** robustness of the target model [\(Wang et al.,](#page-11-11) [2022a\)](#page-11-11). **232**

With numerous adversarial perturbation strate- **233** gies in the literature [\(Zhang et al.,](#page-11-10) [2020;](#page-11-10) [Wang et al.,](#page-11-12) **234** [2022c\)](#page-11-12), each with unique advantages (e.g., effec- **235** tiveness vs. efficiency), we use a wide range of **236** existing perturbation strategies in this study. These **237** cover the aforementioned granularities, genera- **238** tion strategies, searching strategies, and salient **239** token identification strategies, under both tar- **240**

**241** geted, and static settings. See examples of adver-**242** sarial perturbations covered in our study in [Table 1.](#page-2-0)

## **<sup>243</sup>** 4 Experimental Setup

#### **244** 4.1 Datasets

 PBNs classify instances based on their similarity to prototypes learned during training that summarize prominent semantic patterns in a dataset. Thus, with more classes, we might need more prototypes to govern the more complex system between in- stances and prototypes [\(Yang et al.,](#page-11-7) [2018\)](#page-11-7). To study the interplay between the number of classes and robustness, we employ three datasets: (1) *IMDB reviews* [\(Maas et al.,](#page-10-11) [2011\)](#page-10-11): a binary sentiment classification dataset; (2) *AG\_NEWS* [\(Gulli\)](#page-9-14): a col- lection of news articles that can be associated with four categories; (3) *DBPedia*: [1](#page-3-0) **256** a dataset with taxo- nomic, hierarchical categories for Wikipedia arti- cles [\(Lehmann et al.,](#page-9-15) [2015\)](#page-9-15), with nine classes. We use these three datasets to study the robustness of PBNs under both targeted and static adversarial set- tings. As an additional source of static adversarial perturbations, we adopt the SST-2 binary classifi- cation split from the existing *Adversarial GLUE (AdvGLUE)* dataset [\(Wang et al.,](#page-11-11) [2022a\)](#page-11-11), consist- ing of perturbed examples of different granularities, filtered both automatically and by human evalua- tion for more effectiveness. For statistics of the datasets and their perturbations, see [Appendix A.](#page-11-13)

#### **269** 4.2 Perturbations

 Attacking strategies. We selected five well- [e](#page-8-5)stablished adversarial attack methods: BAE [\(Garg](#page-8-5) [and Ramakrishnan,](#page-8-5) [2020\)](#page-8-5), TextFooler [\(Jin et al.,](#page-9-0) [2020\)](#page-9-0), TextBugger [\(Li et al.,](#page-9-16) [2018a\)](#page-9-16), DeepWord- Bug [\(Gao et al.,](#page-8-6) [2018\)](#page-8-6), and PWWS [\(Ren et al.,](#page-10-12)  $2019$ .<sup>2</sup> As mentioned in [Section 3,](#page-2-1) these at- tacks cover a wide range of granularities (e.g., character-based in DeepWordBug and word-based in PWWS), generation strategies (e.g., word substitution in PWWS and TextFooler and dele- tion in TextBugger), searching strategies (e.g., context-aware in BAE and isolated synonym-based in TextFooler), and salient token identification strategies (e.g., finding the important sentences first and then words in TextBugger and finding the important words to change in BAE).

Targeted perturbations. In this setting, the ad- **286** versarial attacks are directly conducted against **287** PBNs and vanilla LMs trained on original datasets. **288** For each attack strategy, we aim for 800 successful **289** perturbations and report the robustness of PBNs **290** against adversarial attacks by Attack Success Rate **291** (ASR; [Wu et al.,](#page-11-14) [2021\)](#page-11-14) and Average Percentage of **292** Words Perturbed (APWP; [Yoo et al.,](#page-11-15) [2020\)](#page-11-15) to reach **293** the observed ASR. Successful perturbations are **294** those that change the prediction of a target model **295** already fine-tuned on that dataset from the correct **296** prediction to the wrong prediction. **297**

Static perturbations. In this setting, the adver- **298** sarial attacks are conducted on external models: **299** BERT [\(Devlin et al.,](#page-8-7) [2018\)](#page-8-7), RoBERTa [\(Liu et al.,](#page-10-14) **300** [2019\)](#page-10-14), and DistilBERT [\(Sanh et al.,](#page-10-15) [2019\)](#page-10-15), which **301** are trained on the original datasets, and a compila- **302** tion of the successful perturbations on those models **303** is used to assess the robustness of PBNs against the **304** studied adversarial attacks by their accuracy on the **305** perturbations, similar to the study by [Wang et al.](#page-11-11) **306** [\(2022a\)](#page-11-11). To obtain the perturbations, each model **307** is fine-tuned on each dataset, and 800 successful **308** perturbations for each attack strategy are obtained. **309** We focus on examples whose perturbations are pre- **310** dicted incorrectly by all three models to maximize **311** the generalizability of this static set of perturbations **312** to a wider range of unseen target models. In princi- **313** ple, the perturbations for each model are different, **314** yielding three variations per original example for **315** a dataset-perturbation pair. For instance, focusing **316** on DBPedia and BAE attack strategy, after 800 **317** successful perturbations for each of the three target **318** models, the perturbations of 347 original examples **319** could change all models' predictions, resulting in a **320** total of 1401  $(3 \times 347)$  perturbations compiled for  $321$ BAE attack strategy and DBPedia dataset. **322**

#### <span id="page-3-2"></span>4.3 PBNs' Hyperparameters **323**

Backbone (E). Prototype alignment and training **324** are highly dependent on the quality of the latent **325** space created by the backbone encoder E, which **326** in turn affects the performance, robustness, and **327** interpretability of PBNs. We consolidate previous **328** [m](#page-10-6)ethods for text classification using PBNs [\(Plu-](#page-10-6) **329** ciński et al., [2021;](#page-10-6) [Das et al.,](#page-8-3) [2022;](#page-8-3) [Ming et al.,](#page-10-7) **330** [2019;](#page-10-7) [Hong et al.,](#page-9-10) [2020\)](#page-9-10) and consider three back- **331** bone architectures: BERT [\(Devlin et al.,](#page-8-7) [2018\)](#page-8-7), **332** BART encoder [\(Lewis et al.,](#page-9-18) [2019\)](#page-9-18), and Electra **333** [\(Clark et al.,](#page-8-8) [2020\)](#page-8-8). Based on our empirical evi- **334** dence, fine-tuning all the layers of the backbone **335**

<span id="page-3-1"></span><span id="page-3-0"></span><sup>1</sup> <https://bit.ly/3RgX41H>

<sup>&</sup>lt;sup>2</sup>We also employed paraphrased-based perturbations [\(Lei](#page-9-17) [et al.,](#page-9-17) [2019\)](#page-9-17), generated by GPT3.5 [\(OpenAI,](#page-10-13) [2022\)](#page-10-13). However, both our baselines and PBNs were robust to these perturbations, and we include them in the Appendix in [Table 6.](#page-14-0)

**336** was causing the PBNs' training not to converge. **337** Hence, we freeze all the layers of the backbones **338** except for the last layer when training.

 **Distance function** (d). The pairwise distance cal- culation quantifies how closely the prototypes are aligned with the training examples [\(Figure 1\)](#page-1-0). In recent work, Euclidean distance has been shown to be better than Cosine distance for similarity cal- culation [\(van Aken et al.,](#page-11-8) [2022;](#page-11-8) [Snell et al.,](#page-11-16) [2017\)](#page-11-16) as it helps to align prototypes closer to the training examples in the encoder's latent space. However, with some utilizing Cosine distance [\(Chen et al.,](#page-8-9) [2019\)](#page-8-9) while others prioritizing Euclidean distance [\(Mettes et al.,](#page-10-16) [2019\)](#page-10-16), and the two having incompa- rable experimental setups, conclusive arguments about the superiority of one over the other cannot be justified, and the choice of distance function is usually treated as a hyperparameter. Accord- ingly, we hypothesize that the impact of d will be significant in our study of robustness, and hence, we consider both Cosine and Euclidean distance functions when training PBNs.

 Number of prototypes (Q). Number of proto- types in PBNs is a key factor for mapping difficult data distributions [\(Yang et al.,](#page-11-7) [2018;](#page-11-7) [Sourati et al.,](#page-11-17) [2023\)](#page-11-17). Hence, to cover a wide range, we consider 362 five values for  $Q = \{2, 4, 8, 16, 64\}.$ 

 **Objective functions**  $(L)$ **. Given the partly com-** plementary goals of loss terms, we investigate the effect of interpretability, clustering, and separation loss on PBNs' robustness, keeping the accuracy 367 constraint  $(\mathcal{L}_{ce})$  intact. To do so, we consider three 368 values,  $\{0, 0.9, 10\}$  for  $\lambda_i$ ,  $\lambda_c$ , and  $\lambda_s$ . 0 value rep- resents the condition where the corresponding loss function is not being utilized in the training process. 0.9 value was empirically found to offer good accu- racy, clustering, and interpretability, across datasets and was also motivated by prior works [\(Das et al.,](#page-8-3) [2022\)](#page-8-3). 10 value was chosen as an upper bound dominating the corresponding loss objective (e.g., interpretability) in the training process.

# **377** 4.4 Baselines

 Since PBNs are architectural enhancements of vanilla LMs using learned prototypes for classi- fication instead of a traditional softmax layer used in vanilla LMs, vanilla LMs employed as PBNs' backbones serve as a baseline for comparing the robustness of PBNs. We also employ adversarial augmented training [\(Goyal et al.,](#page-9-19) [2023\)](#page-9-19) on top of the vanilla LMs as another baseline. Note that the same layers frozen for PBNs' training are also **386** frozen for the baselines. As we need additional data **387** for such extra training, we use this baseline under **388** static perturbations, where the set of perturbations **389** has already been compiled beforehand. Finally, al- **390** though we note that LLMs are more appropriate **391** choices for generic chat and text generation due **392** to their decoder-only architecture, and fine-tuned **393** LMs are still superior to LLMs when it comes to **394** task-oriented performance [\(Chang et al.,](#page-8-10) [2024\)](#page-8-10), we **395** compare PBNs with two LLMs, namely, GPT4o **396** [\(AI,](#page-8-11) [2024\)](#page-8-11) and Llama3 [\(AI@Meta,](#page-8-12) [2024\)](#page-8-12). **397**

## 5 Results **<sup>398</sup>**

#### 5.1 Robustness of PBNs **399**

The robustness report of PBNs under both targeted **400** adversarial attacks and static attacks under different **401** experimental setups (i.e., datasets, backbones, and **402** attack strategies), using the best hyperparameters **403** is presented in [Table 2.](#page-5-0)<sup>[3](#page-4-0)[4](#page-4-1)</sup> Best hyperparameters 404 were chosen among the permutation of all hyper-  $405$ parameters presented in [Section 4.3](#page-3-2) to yield the **406** highest robustness (lowest ASR or highest accu- **407** racy). Under the targeted adversarial attack setting, **408** our results showed that PBNs are more robust than **409** vanilla LMs (having lower ASR) regardless of the **410** utilized backbone, dataset, or attacking strategy. **411** We also saw similar trends analyzing the robust- **412** ness of PBNs compared to vanilla LMs, averaging **413** over all PBN hyperparameters (find the details in **414** [Table 8\)](#page-15-0). Focusing on the APWP metric, we ob- **415** served that in  $71.0\%$  of the conditions, the PBNs' 416 robustness was greater than vanilla LMs (having **417** higher APWP), and this superiority dropped to **418** 31.0% of the conditions when averaging over all **419** the hyperparameters (find the details in [Table 7\)](#page-15-1), **420** which suggested that PBNs' robustness is sensitive **421** to hyperparameters involved in training. **422**

We observed similar trends under static adversar- **423** ial attacks, where the PBNs' robustness was higher **424** than vanilla LMs (having higher accuracy under **425** attack) in the majority of the conditions (93.7% **426** of all variations of experimental setups and hyper- **427** parameters). We observed that in every experi- **428** mental condition (dataset and attack strategy), a **429** PBN exists with a robustness outperforming LLMs 430 like GPT4o [\(AI,](#page-8-11) [2024\)](#page-8-11) and Llama3 [\(AI@Meta,](#page-8-12) **431**

<span id="page-4-0"></span><sup>&</sup>lt;sup>3</sup>The semantic similarity between original and perturbed texts using OpenAI text-embedding-ada-002 across all datasets and attack types was  $0.97$  ( $SD = 0.01$ ).

<span id="page-4-1"></span><sup>&</sup>lt;sup>4</sup>Our results showed that adversarial perturbations from TextFooler and PWWS were more effective than others.

<span id="page-5-0"></span>

AG_News						$\overline{\phantom{a}}$ DBPedia						<b>IMDB</b>					
	<b>BAE</b>	<b>DWB</b>	<b>PWWS</b>	TB	TF	<b>BAE</b>	<b>DWB</b>		<b>PWWS</b>	TB		TF	<b>BAE</b>	<b>DWB</b>	<b>PWWS</b>	TB	TF
<b>BART</b>	14.8	53.2	53.6	31.8	76.5	18.9		28.3	43.1	21.1		71.9	74.1	74.7	99.3	78.5	100.0
+ PBN	11.1	32.3	41.3	23.1	62.2	15.2		14.7	28.7	12.6		45.5	36.1	41.0	75.9	41.3	73.1
<b>BERT</b>	17.0	78.0	69.8	45.7	88.8	13.9		24.8	31.6	22.0		61.3	82.5	79.7	99.9	83.9	99.9
+ PBN	7.7	42.6	47.0	30.4	70.5	9.8		17.3	21.6	13.0		41.0	42.8	41.0	79.7	57.7	79.8
ELEC.	24.8	89.5	69.1	87.8	87.9	14.5		42.8	45.6	42.3		75.3	52.5	49.2	95.3	67.8	99.3
+ PBN	14.0	34.9	42.9	51.8	70.2	7.8		11.5	17.8	19.1		35.6	28.9	27.4	66.6	36.8	78.0
Static Attacks; Accuracy (%) reported																	
AG News				DBPedia				<b>IMDB</b>			SST <sub>2</sub>						
	BAE	<b>DWB</b>	<b>PWWS</b>	TB	TF	<b>BAE</b>	<b>DWB</b>	<b>PWWS</b>	TB		TF	<b>BAE</b>	<b>DWB</b>	<b>PWWS</b>	TB	TF	<b>GLUE</b>
<b>BART</b>	53.2	76.7	83.2	77.5	85.8	55.5	68.6	58.4	72.5		71.3	74.1	80.5	83.6	85.8	87.6	29.8
+ PBN	57.6	80.6	84.8	79.2	88.8	65.0	71.6	65.7	78.4		74.8	80.4	81.3	86.3	89.3	90.4	50.4
$+ Aug.$	71.7	78.4	85.5	77.6	90.1	84.0	79.6	89.7	88.8		94.0	85.7	86.7	92.9	89.9	96.5	
<b>BERT</b>	47.8	64.0	75.9	69.4	80.7	62.3	61.4	75.4	78.4		82.0	75.1	77.1	85.0	83.4	85.9	42.0
+ PBN	52.9	70.4	78.5	73.8	84.3	66.9	66.6	80.3	82.0		85.8	77.6	79.1	85.3	85.0	86.5	51.1
+ Aug.	58.3	71.6	78.3	71.2	85.4	75.5	70.9	84.1	90.5		91.0	83.2	77.6	91.7	90.8	89.2	
ELEC.	50.4	65.0	73.5	63.9	77.8	79.7	66.9	80.9	81.4		84.4	89.7	90.3	94.6	94.5	95.6	44.3
$+$ PBN	64.6	74.1	85.1	77.2	89.0	78.7	69.8	79.3	82.5		85.8	90.0	90.8	94.6	95.5	96.3	65.6
$+ Aug.$	55.0	59.5	71.7	61.6	79.5	86.2	73.8	88.1	84.5		92.8	89.4	93.7	95.3	94.9	95.8	
GPT40	57.1	73.3	73.0	76.5	79.9	66.0	63.4	61.0	69.0		44.0	87.0	89.5	91.2	93.7	94.2	59.8
Llama3	57.6	56.4	55.0	65.9	62.8	44.0	53.7	37.8	45.0		44.4	82.0	86.0	93.2	89.0	91.5	56.0

Targeted Attacks; Attack Success Rate (ASR %) reported

Table 2: Comparison of PBNs and vanilla LMs (+ vanilla LMs with adversarial augmented training under static attack setting) under both targeted and static adversarial attack perturbations, using the best hyperparameters for PBNs, on IMBD, AG\_News, DBPedia (+ SST-2 from AdvGLUE under static attack setting) datasets, under BAE, DeepWordBug (DWB), PWWS, TextBugger (TB), TextFooler (TF). The highest accuracy and lowest ASR showing the superior model for each architecture is boldfaced, and the second best model is underlined for static attacks.

 [2024\)](#page-8-12) that have orders of magnitude more param- eters and are not interpretable by design as op- posed to PBNs. Vanilla LMs with adversarial aug- mented training demonstrated greater robustness than PBNs in 71.2% of the conditions. This high- lighted the more effective role of additional data in adversarial augmented training compared to PBNs' robust architecture and makes PBNs a preferable [c](#page-8-13)hoice when efficiency is prioritized [\(Goodfellow](#page-8-13) [et al.,](#page-8-13) [2014\)](#page-8-13). Analyzing PBNs' robustness un- der the static adversarial setting averaging over all PBNs' hyperparameters, our results showed that in only 31.2% of the conditions, PBNs have greater robustness compared to vanilla LMs (find the de- tails in [Table 8\)](#page-15-0), which similar to observations on APWP, suggested that PBNs' robustness is sensi-tive to hyperparameters involved in the training.

 To sum up, we observed that PBNs consistently and over different metrics were more robust com- pared to vanilla LMs and LLMs, using the best hy- perparameters without sacrificing performance on the original unperturbed samples (find performance on original datasets in [Table 6\)](#page-14-0). We believe that the observed robust behavior is due to the design of the PBN architecture. Standard neural networks for text classification distinguish classes by drawing hyperplanes between samples of different classes that are prone to noise [\(Yang et al.,](#page-11-7) [2018\)](#page-11-7), espe-

<span id="page-5-2"></span>

Figure 2: Attack Success Rate (ASR %) of PBNs with different  $\lambda_c$  values adjusting the importance of clustering in the trained PBNs, with other hyperparameters set to their best values, and averaged across other possible variables (e.g., backbone and attack type). The dotted line represents the ASR for the non-PBN model.

cially when dealing with several classes. Instead, **460** PBNs are inherently more robust since they per-  $461$ form classification based on the similarity of data **462** points to prototypes, acting as class centroids. Fi- **463** nally, we observed that the robustness superiority **464** of PBNs compared to vanilla LMs dropped when **465** averaging over all the possible hyperparameters, **466** which is what we investigate further in [Section 5.2.](#page-5-1) **467** 

#### <span id="page-5-1"></span>5.2 Sensitivity to Hyperparameters **468**

We studied the sensitivity of PBNs' robustness to 469 the hyperparameters involved in training, covering **470**

<span id="page-6-0"></span>

Figure 3: Attack Success Rate (ASR %) of PBNs with different numbers of prototypes, with other hyperparameters set to their best values, and averaged across other possible variables (e.g., backbone and attack type). Dotted line represents the ASR for the non-PBN model.

 values discussed in [Section 4.3.](#page-3-2) Focusing on each hyperparameter, the value for the other ones was se- lected to yield the best performance so that, overall, we could better depict the sensitivity and limiting effect of the hyperparameter of interest. We did not observe any sensitivity from PBNs with respect [t](#page-16-0)o the backbone, interpretability term  $(\lambda_i;$  see [Sec](#page-16-0)[tion C.5\)](#page-16-0), separation term  $(\lambda_s; \text{ see Section C.7})$ , and the distance function (d; see [Section C.4\)](#page-14-1).

 However, as presented in [Figure 2,](#page-5-2) we observed that higher values of  $\lambda_c$ , promoting tighter cluster- ing of input examples around prototypes, hinder PBNs' robustness. Clustering loss is a regulariza- tion term that encourages samples to be close to prototypes in the embedding space, further enhanc- ing interpretability but potentially reducing accu- racy by narrowing the diversity in embedding space, which is a common phenomenon in loss terms of competing goals. The mean and standard deviation over (transformed) distances between prototypes and samples can be used to describe the spread of embedded data points around prototypes. These 493 values are  $(-0.24 \pm 1.7) \times 10^{-7}$  with  $\lambda_c = 0.9$ , and  $(-0.18 \pm 1.5) \times 10^{-6}$  with  $\lambda_c = 10$ , showing less diverse prototypes indicated by smaller measured distances caused by stronger clustering.

 Additionally, as depicted in [Figure 3,](#page-6-0) we ob- served poor robustness from PBNs when the num- ber of prototypes is as low as two, which is intu- itive as a low number of prototypes also means a lower number of semantic patterns learned, which constraints the PBNs' abilities to distinguish be- tween different classes. Noting that more proto- types add to the complexity and size of the network as a whole, the observed stable trend of the robust-ness with the higher number of prototypes (> 2)

<span id="page-6-1"></span>

	Proto. Representative Training Examples	Label		
$P_0$	Handly's Lessee v. Anthony (1820): De-	<b>UnitWork</b>		
	termined Indiana-Kentucky boundary.			
	Rasul v. Bush (2004): Decided jurisdiction	UnitWork		
	over Guantanamo detainees.			
$P_1$	<b>Marine Corps Air Station Futenma: U.S.</b>	Place		
	Marine Corps base, Ginowan, Okinawa; re-			
	gional military hub.			
	<b>Ozdere:</b> Turkish coastal resort town in	Place		
	Izmir Province, popular among tourists.			
P <sub>2</sub>	Yevgeni Viktorovich Balyaikin: Russian	Agent		
	footballer for FC Tom Tomsk.			
	Gigi Morasco: Fictional character on	Agent		
	ABC's One Life to Live.			

Table 3: Examples of prototypes, their closest training examples, alongside their label derived from their closest training examples, extracted from a PBN with 16 prototypes and a BART backbone on DBPedia. Note that the presented training examples are the summarization of their longer version for easier interpretation.

suggests that as long as the number of prototypes is  $507$ not too low, PBNs with lower number of prototypes **508** can be preferred. This corroborates with the studies **509** performed by [Yang et al.](#page-11-7) [\(2018\)](#page-11-7). Finally, note that **510** the same analysis using other metrics (e.g., APWP) **511** and under static adversarial setting (using accuracy **512** as the studied metric) depicted the same trend and **513** can be found in [Section C.6](#page-16-2) and [Section C.8.](#page-16-3) **514**

## 5.3 PBNs' Interpretability w.r.t. Robustness **515**

PBNs are interpretable by design, and we can un- **516** derstand their behavior through the distance of **517** input examples to prototypes and the importance **518** of these distances, extracted by the last fully con- **519** nected layer of PBNs transforming vector of dis- **520** tances to log probabilities for classes. Examples **521** of learned prototypes that can be represented by **522** their closest training input examples are shown in **523** [Table 3.](#page-6-1) These input examples help the user iden- **524** tify the semantic features that the prototypes are **525** associated with, which by our observations in our **526** case, were mostly driven by the class label of the **527** closest training examples. **528**

We can also benefit from interpretable properties **529** of PBNs to better understand their robustness prop- **530** erties, regardless of the success of perturbations. **531** [Table 4](#page-7-0) illustrates predictions of a PBN on three **532** original and perturbed examples from the DBPedia **533** dataset, alongside the top-2 prototypes that were **534** utilized by the PBN's fully connected layer for pre- **535** diction and prototypes' associated label (by their **536** closest training examples). In the first two exam- **537** ples, PBN correctly classifies both the original and **538** perturbed examples, and from the top-2 prototypes, **539** we observe that this is due to unchanged prototypes **540**

<span id="page-7-0"></span>

Table 4: Examples of the original test (top) and adversarially perturbed examples (bottom) of DBPedia using TextFooler, classified by a PBN, alongside the top-2 activated prototypes by the PBN's fully connected layer and their associated labels. Incorrectly predicted examples are in *italic*.

 utilized in prediction. However, in the last exam- ple, the model incorrectly classifies an example that is associated with an Agent as a Place. Interest- ingly, this incorrect behavior can be explained by the change in the top-2 activated prototypes, where they are changing from Agent-associated to Place- associated prototypes because of the misspelling of "saint" with "street." Thus, the use of prototypes not only enhances our understanding of the model's decision-making process but also unveils how mi-nor perturbations influence the model's predictions.

# **<sup>552</sup>** 6 Related Work

 Robustness evaluation. Robustness in NLP is defined as models' ability to perform well un- der noisy [\(Ebrahimi et al.,](#page-8-14) [2018\)](#page-8-14) and out-of- distribution data [\(Hendrycks et al.,](#page-9-20) [2020\)](#page-9-20). With the wide adoption of NLP models in different do- mains and their near-human performance on vari- ous benchmarks [\(Wang et al.,](#page-11-18) [2019;](#page-11-18) [Sarlin et al.,](#page-10-17) [2020\)](#page-10-17), concerns have shifted towards models' per- formance facing noisy data [\(Wang et al.,](#page-11-11) [2022a,](#page-11-11)[b\)](#page-11-19). Studies have designed novel and effective adver- sarial attacks [\(Jin et al.,](#page-9-0) [2020;](#page-9-0) [Zhang et al.,](#page-11-10) [2020\)](#page-11-10), defense mechanisms [\(Goyal et al.,](#page-9-19) [2023;](#page-9-19) [Liu et al.,](#page-10-18) [2020\)](#page-10-18), and evaluations to better understand the ro- bustness properties of NLP models [\(Wang et al.,](#page-11-11) [2022a;](#page-11-11) [Morris et al.,](#page-10-19) [2020a\)](#page-10-19). These evaluations are also being extended to LLMs, as they similarly lack robustness [\(Wang et al.,](#page-11-5) [2023;](#page-11-5) [Shi et al.,](#page-10-20) [2023\)](#page-10-20). While prior work has studied LMs' robustness, to our knowledge, PBNs' robustness properties have not been explored yet. Our study bridges this gap.

 Prototype-based networks. PBNs are widely used in CV [\(Chen et al.,](#page-8-9) [2019;](#page-8-9) [Hase et al.,](#page-9-5) [2019;](#page-9-5) [Kim et al.,](#page-9-21) [2021;](#page-9-21) [Nauta et al.,](#page-10-21) [2021b;](#page-10-21) [Pahde et al.,](#page-10-22) [2021\)](#page-10-22) because of their interpretability and robust- ness properties [\(Soares et al.,](#page-11-20) [2022;](#page-11-20) [Yang et al.,](#page-11-7) [2018\)](#page-11-7). While limited work has been done in the NLP domain, PBNs have recently found applica- tion in text classification tasks such as propaganda detection [\(Das et al.,](#page-8-3) [2022\)](#page-8-3), logical fallacy detec[t](#page-10-6)ion [\(Sourati et al.,](#page-11-17) [2023\)](#page-11-17), sentiment analysis [\(Plu-](#page-10-6) **582** [cinski et al.](#page-10-6), [2021\)](#page-10-6), and few-shot relation extrac- 583 tion [\(Meng et al.,](#page-10-8) [2023\)](#page-10-8). ProseNet [\(Ming et al.,](#page-10-7) **584** [2019\)](#page-10-7), a prototype-based text classifier, uses sev- **585** eral criteria for constructing prototypes [\(He et al.,](#page-9-22) **586** [2020\)](#page-9-22), and a special optimization procedure for bet- **587** ter interpretability. ProtoryNet [\(Hong et al.,](#page-9-10) [2020\)](#page-9-10) **588** leverages RNN-extracted prototype trajectories and **589** deploys a pruning procedure for prototypes, and **590** ProtoTex [\(Das et al.,](#page-8-3) [2022\)](#page-8-3) uses negative proto- **591** types for handling the absence of features for clas- **592** sification. While PBNs are expected to be robust to **593** perturbations, this property has not been systemati- **594** cally studied in NLP. Our paper consolidates PBN **595** components used in prior studies and studies their **596** robustness in different adversarial settings. **597**

# 7 Conclusions **<sup>598</sup>**

Inspired by the lack of robustness to noisy data **599** of state-of-the-art LMs and LLMs, we study the **600** robustness of PBNs, as an architecturally robust **601** variation of LMs, against both targeted and static **602** adversarial attacks. We find that PBNs are more **603** robust than vanilla LMs and even LLMs such as **604** Llama3, both under targeted and static adversarial **605** attack settings. Our results suggest that this robust- **606** ness can be sensitive to hyperparameters involved **607** in PBNs' training. More particularly, we note that **608** a low number of prototypes and tight clustering **609** conditions limit the robustness capacities of PBNs. **610** Additionally, benefiting from the inherently inter- **611** pretable architecture of PBNs, we showcase how **612** learned prototypes can be utilized for robustness **613** and also for gaining insights about their behavior **614** facing adversarial perturbations, even when PBNs **615** are wrong. In summary, our work provides en- **616** couraging results for the potential of PBNs to en- **617** hance the robustness of LMs across a variety of **618** text classification tasks and quantifies the impact **619** of architectural components on PBN robustness. **620**

## **<sup>621</sup>** Limitations

 Although we cover a wide range of adversarial per- turbations and strategies for their generation, we acknowledge that more complicated perturbations can also be created that are more effective and help the community have a more complete under- standing of the models' robustness. Hence, we do not comment on the generalizability of our study to all possible textual perturbations besides our evaluation on AdvGLUE. Moreover, although it is customary in the field to utilize prototype-based networks for classification tasks, their application and robustness on other tasks remain to be explored. Furthermore, while we attempt to use a wide vari- ety of backbones for our study, we do not ascertain similar patterns for all possible PBN backbones and leave this study for future work. Finally, we encourage more exploration of the interpretability of these models under different attacks to better understand the interpretability benefits of models when analyzing robustness.

#### **<sup>642</sup>** Ethical Considerations

 Although the datasets and domains we focus on do not pose any societal harm, the potential harm that is associated with using the publicly available tools we used in this study to manipulate models in other critical domains should be considered. Issues surrounding anonymization and offensive content hold importance in data-driven studies, particularly in fields like natural language processing. Since we utilize datasets like IMDB, AG\_News, DBPedia, and AdvGLUE that are already content-moderated, there is no need for anonymization of data before testing for robustness in this study.

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 have been made public by them, mentioning non- commercial use, which aligns with how we use these datasets. We have included information on their descriptions and how they were gathered:

 IMDB. This dataset is compiled from a set of 50000 reviews sourced from IMDB in English, lim- iting each movie to a maximum of 30 reviews. It has maintained an equal count of positive and neg- ative reviews, ensuring a 50% accuracy through random guessing. To align with prior research on polarity classification, the authors specifically focus on highly polarized reviews. A review is 1060 considered negative if it scores  $\leq$  4 out of 10 and positive if it scores ≥ 7 out of 10. Neutral reviews are excluded from this dataset. Authors have made the dataset publicly available, and you can find [m](https://ai.stanford.edu/~amaas/data/sentiment/)ore information about this dataset at [https://](https://ai.stanford.edu/~amaas/data/sentiment/) [ai.stanford.edu/~amaas/data/sentiment/](https://ai.stanford.edu/~amaas/data/sentiment/).

 AG\_News. This dataset comprises over 1 million English news articles sourced from 2000+ news outlets over a span of more than a year by Come- ToMyHead, an academic news search engine op- erational since July 2004. Provided by the aca- demic community, this dataset aids research in data mining, information retrieval, data compres- sion, data streaming, and non-commercial activi- ties. This news topic classification dataset features four classes: world, sports, business, and science. The details about the intended use and access condi- [t](http://www.di.unipi.it/~gulli/AG_corpus_of_news_articles.html)ions are provided at [http://www.di.unipi.it/](http://www.di.unipi.it/~gulli/AG_corpus_of_news_articles.html) [~gulli/AG\\_corpus\\_of\\_news\\_articles.html](http://www.di.unipi.it/~gulli/AG_corpus_of_news_articles.html).

**DBPedia.** DBPedia<sup>[5](#page-12-0)</sup> seeks to extract organized information from Wikipedia's vast content. The gathered subset of data we used offers hierar- chical categories for 342782 Wikipedia articles. These classes are distributed across three lev- els, comprising 9, 70, and 219 classes, respec- tively. We used the version that has nine classes: Agent, Work, Place, Species, UnitOfWork, Event, SportsSeason, Device, and TopicalConcept. Al- though the articles are in English, specific names (e.g., the name of a place or person) can be non-English. Find more information about this [d](https://huggingface.co/datasets/DeveloperOats/DBPedia_Classes)ataset at [https://huggingface.co/datasets/](https://huggingface.co/datasets/DeveloperOats/DBPedia_Classes) [DeveloperOats/DBPedia\\_Classes](https://huggingface.co/datasets/DeveloperOats/DBPedia_Classes).

**1093** AdvGLUE. Adversarial GLUE (AdvGLUE) **1094** [\(Wang et al.,](#page-11-11) [2022a\)](#page-11-11) introduces a multi-task En-**1095** glish benchmark designed to investigate and assess

the vulnerabilities of modern large-scale language **1096** models against various adversarial attacks. It en- **1097** compasses five corpora, including SST-2 sentiment **1098** classification, QQP paraphrase test dataset, and **1099** QNLI, RTE, and MNLI, all of which are natural lan- **1100** guage inference datasets. To assess robustness, per- **1101** turbations are applied to these datasets through both **1102** automated and human-evaluated methods, span- **1103** ning word-level, sentence-level, and human-crafted **1104** examples. Our focus primarily centers on SST-2 **1105** due to its alignment with the other covered datasets **1106** in our study and its classification nature. This **1107** dataset has been made public by the authors and is **1108** released with CC BY-SA 4.0 license. **1109**

#### **B** Implementation Details 1110

#### **B.1 Experimental Environment** 1111

For all the experiments that involved training or **1112** evaluating PBNs or vanilla LMs, we used three **1113** GPU NVIDIA RTX A5000 devices with Python **1114** v3.9.16 and CUDA v11.6, and each experiment **1115** took between 10 minutes to 2 hours, depending **1116** on the dataset and model used. All Transformer **1117** models were trained using the Transformers pack- **1118** age v4.30.2 and Torch package v2.0.1+cu117. We **1119** used TextAttack v0.3.10 [\(Morris et al.,](#page-10-23) [2020b\)](#page-10-23) for **1120** implementing the employed attack strategies and **1121** perturbations. **1122** 

#### **B.2 Training Details** 1123

All prototypes are initialized randomly for a fair 1124 comparison, and only the last layer of LM back- **1125** bones are trainable. The prototypes are trained **1126** without being constrained to a certain class from 1127 the beginning, and their corresponding class can **1128** be identified after training. The transformation **1129** from distances to class logits is done through a **1130** simple fully connected layer without intercept to 1131 avoid introducing additional complexity and keep **1132** the prediction interpretable through prototype dis- **1133** tances. Both the backbone of PBNs and their **1134** vanilla counterparts leveraged the same LM and **1135** were fine-tuned separately to show the difference 1136 that is only attributed to the models' architecture. **1137** Focusing on the BERT-based PBN for evaluation, **1138** since BERT-base is one of the models from which 1139 we extract static perturbations by directly attacking 1140 it, to ensure generalization of the experiments on **1141** different backbones in the evaluation step, we use **1142** BERT-Medium [\(Turc et al.,](#page-11-21) [2019\)](#page-11-21) as the backbone **1143** for BERT-based PBN and its vanilla counterpart. **1144**

<span id="page-12-0"></span><sup>5</sup> <https://www.dbpedia.org/>

<span id="page-13-0"></span>

<b>Dataset</b>	#Classes	#Tokens	#Train	#Val	#Test	BAE	<b>DWB</b>	<b>PWWS</b>	TВ		Other
<b>IMDB</b>		234	22,500	2.500	25.000	1784	1584	2816	2408	2880	$\overline{\phantom{0}}$
AG News	4	103	112.400	7.600	7.600	663	1287	1533	1383	1893	$\overline{\phantom{0}}$
<b>DBPedia</b>		38	240,942	36,003	60.794	1041	.143	1401	1281	1836	$\overline{\phantom{0}}$
$SST-2$		14	67.349	872	.821	-	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	148

Table 5: Dataset statistics: number of classes, the average number of tokens, and size of the perturbed datasets under BAE, DeepWordBug (DWB), PWWS, TextBugger (TB), TextFooler (TF), obtained. SST-2 subset comes from the AdvGlue benchmark [\(Wang et al.,](#page-11-11) [2022a\)](#page-11-11) after removing the human-generated instances that do not belong to either category of perturbation classes.

 For all the datasets, the training split, valida- [t](https://huggingface.co/)ion split, and test splits were used from [https://](https://huggingface.co/) [huggingface.co/](https://huggingface.co/). During training on the IMDB, SST-2, and DBPedia datasets, the batch size was set to 64. This number was 256 on the AG\_News dataset. All the models were trained with the num- ber of epochs adjusted according to an early stop- ping module with patience of 4 and a threshold value of 0.01 for change in accuracy.

 All the Transformer models were fine-tuned on [t](https://huggingface.co/)op of a pre-trained model gathered from [https:](https://huggingface.co/) [//huggingface.co/](https://huggingface.co/). Details of the models used in our experiments are presented in the following:

- **1158** Electra [\(Clark et al.,](#page-8-8) [2020\)](#page-8-8): google/electra-**1159** base-discriminator;
- **1160** BART [\(Lewis et al.,](#page-9-18) [2019\)](#page-9-18): ModelTC/bart-**1161** base-mnli, facebook/bart-base, facebook/bart-**1162** large-mnli;
- **1163** BERT [\(Devlin et al.,](#page-8-7) [2018\)](#page-8-7): prajjwal1/bert-**1164** medium.

 Furthermore, the models that were used in the process of gathering static perturbations were also pre-trained Transformer models gathered from <https://huggingface.co/>. Find the details of models used categorized by the dataset below:

- **1170** IMDB: textattack/bert-base-uncased-imdb, **1171** textattack/distilbert-base-uncased-imdb, **1172** textattack/roberta-base-imdb;
- **1173** AG\_News: textattack/bert-base-uncased-ag-**1174** news, andi611/distilbert-base-uncased-ner-**1175** agnews, textattack/roberta-base-ag-news;
- **1176** DBPedia: dbpedia\_bert-base-uncased, 1177 dbpedia distilbert-base-uncased, 1178 **dbpedia** roberta-base.

**1179** Since we could not find models from TextAttack **1180** [\(Morris et al.,](#page-10-23) [2020b\)](#page-10-23) library that were fine-tuned on DBPedia, the models that are presented above **1181** were fine-tuned by us on that dataset as well and 1182 then used as the target model. **1183** 

#### **B.3 GPT4o and Llama3 Baseline** 1184

We used GPT4o and Llama3 [\(AI@Meta,](#page-8-12) [2024\)](#page-8-12) as 1185 baselines in our experiments to compare its per- **1186** formance on original and perturbed examples with **1187** PBN and their vanilla models. In this section, we **1188** present the prompts that we gave to these models **1189** to generate the baseline responses and the reported **1190** performance in [Table 2.](#page-5-0) We used the following **1191** prompts for the four different datasets: **1192**

IMDB: *Identify the binary sentiment of the fol-* **1193** *lowing text:* [text]. *Strictly output only "negative"* **1194** *or "positive" according to the sentiment and noth-* **1195** *ing else. Assistant:* **1196**

AG\_News: *Categorize the following news* **1197** *strictly into only one of the following classes:* **1198** *world, sports, business, and science. Ensure that* **1199** *you output only the category name and nothing else.* **1200** *Text:* [text]. *Assistant:* **1201**

DBPedia: *Categorize the following text article* **1202** *strictly into only one taxonomic category from the* **1203** *following list: Agent, Work, Place, Species, UnitOf-* **1204** *Work, Event, SportsSeason, Device, and Topical-* **1205** *Concept. Ensure that you output only the category* **1206** *name and nothing else. Text:* [text]. *Assistant:* **1207**

SST-2: *Identify the binary sentiment of the fol-* **1208** *lowing text:* [text]. *Strictly output only "negative"* **1209** *or "positive" according to the sentiment and noth-* **1210** *ing else. Assistant:* **1211**

# C Additional Experiments **<sup>1212</sup>**

# C.1 Robustness of PBNs Against **1213** Paraphrased-Based Perturbations **1214**

Comparison between PBNs and vanilla LMs on **1215** the original and paraphrased version of texts from **1216** AG\_News, DBPedia, and IMDB datasets that **1217** GPT3.5 generated are shown in [Table 6,](#page-14-0) which **1218**

<span id="page-14-0"></span>

			AG News   DBPedia   IMDB Orig Adv   Orig Adv   Orig Adv BART 93.7 92.6 91.2 91.3 97.5 96.0 + PBN 93.2 93.8 92.0 91.6 97.2 97.0 BERT 92.5 91.0 90.8 90.5 95.5 94.2 + PBN 92.8 91.2 90.3 90.8 95.2 95.0 ELEC. 93.0 92.1   90.5 90.0   96.0 94.5 + PBN 93.5 91.8 90.8 89.7 95.8 95.0	

Table 6: Comparison between PBNs and vanilla LMs on the original and paraphrased version of texts from AG\_News, DBPedia, and IMDB datasets that GPT3.5 generated.

**1219** illustrated that both PBNs and vanilla LMs are ro-**1220** bust to such perturbations.

**1221** C.2 Robustness of PBNs' w.r.t. Average **1222** Percentage of Words Perturbed

 The Comparison of PBNs and vanilla LMs' ro- bustness with respect to the Average Percentage of Words Perturbed (APWP) under different adver- sarial settings, different datasets, and perturbation strategies is shown in [Table 7.](#page-15-1) We observed that while using the best hyperparameters, PBNs are more robust than vanilla LMs in the majority of the cases, this superiority is less salient when aver- aging over all hyperparameters involved in PBNs' training, which entails how PBNs' robustness is sensitive to hyperparameters.

## **1234** C.3 Robustness of PBNs' Averaged over **1235** Hyperparameters

 The comparison of PBNs and vanilla LMs under different adversarial settings, on different datasets, and different attacking strategies, averaged over all hyperparameters of PBNs, is shown in [Table 8.](#page-15-0) Comparing the observed trends with the same trends when using the best hyperparameters for PBNs, our results suggested that PBNs' robustness is sensitive to hyperparameters that are involved in their training.

# <span id="page-14-1"></span>**1245** C.4 Effect of Distance Function on **1246** Robustness

 [Figure 6,](#page-16-4) [Figure 4,](#page-14-2) and [Figure 5](#page-14-3) illustrate the ro- bustness of PBNs compared to vanilla LMs, using different distance functions, showing that PBNs' robustness is not sensitive to this hyperparameter.

<span id="page-14-2"></span>

Figure 4: Attack Success Rate (ASR %) of PBNs with different distance functions and other hyperparameters set to their best values and averaged across other possible variables (e.g., backbone and attack type). The dotted line represents the ASR for the vanilla LMs.

<span id="page-14-3"></span>

Figure 5: Average Percentage of Words Perturbed (APWP) of PBNs with different distance functions and other hyperparameters set to their best values and averaged across other possible variables (e.g., backbone and attack type). The dotted line represents the ASR for the vanilla LMs.

<span id="page-15-1"></span>

## Using the best hyperparameters

Table 7: Comparison of PBNs and vanilla LMs' robustness with respect to Average Percentage of Words Perturbed (APWP) under targeted adversarial attack perturbations, both using the best hyperparameters and averaged over all hyperparameters for PBNs, on IMBD, AG\_News, and DBPeida datasets, under BAE, DeepWordBug (DWB), PWWS, TextBugger (TB), TextFooler (TF). The highest APWP showing the superior model for each architecture is boldfaced.

<span id="page-15-0"></span>

# Targeted Attacks; Attack Success Rate (ASR %) reported

Table 8: Comparison of PBNs and vanilla LMs (+ vanilla LMs with adversarial augmented training under static attack setting) under both targeted and static adversarial attack perturbations, averaged over all hyperparameters for PBNs, on IMBD, AG\_News, DBPeida (+ SST-2 AdvGLUE under static attack setting) datasets, under BAE, DeepWordBug (DWB), PWWS, TextBugger (TB), TextFooler (TF). The highest accuracy and lowest ASR showing the superior model for each architecture is boldfaced, and the second best model is underlined for static attacks.

<span id="page-16-4"></span>

Figure 6: Accuracy of PBNs under static adversarial settings, with different distance functions, with other hyperparameters set to their best values and averaged across other possible variables (e.g., backbone and attack type). The dotted line represents the ASR for the vanilla LMs.

#### <span id="page-16-0"></span>**1251** C.5 Effect of Interpretability on Robustness

 [Figure 9,](#page-17-0) [Figure 7,](#page-16-5) and [Figure 8](#page-16-6) illustrate the ro- bustness of PBNs compared to vanilla LMs, using 1254 different values of  $\lambda_i$  adjusting the importance of interpretability, showing that overall, PBNs' robust-ness is not sensitive to this hyperparameter.

#### <span id="page-16-2"></span>**1257** C.6 Effect of Clustering on Robustness

 [Figure 10,](#page-17-1) [Figure 11](#page-17-2) illustrate the robustness of PBNs compared to vanilla LMs, using different 1260 values of  $\lambda_c$  adjusting the importance of cluster- ing, that alongside the trends observed using ASR (see [Figure 2\)](#page-5-2), show that overall, PBNs' robustness degrades with tighter clustering in PBNs' training.

#### <span id="page-16-1"></span>**1264** C.7 Effect of Separation on Robustness

 [Figure 14,](#page-18-0) [Figure 12,](#page-17-3) and [Figure 13](#page-18-1) illustrate the robustness of PBNs compared to vanilla LMs, us-**ing different values of**  $\lambda_s$  **adjusting the importance**  of separability between prototypes, showing that overall, PBNs' robustness is not sensitive to this hyperparameter.

#### <span id="page-16-3"></span>**1271** C.8 Effect of Number of Prototypes on **1272** Robustness

 [Figure 15,](#page-18-2) [Figure 16](#page-18-3) illustrate the robustness of PBNs compared to vanilla LMs, using different numbers of prototypes, that alongside the trends observed using ASR (see [Figure 3\)](#page-6-0), show that over- all, PBNs' robustness degrades with low number of prototypes as PBNs can capture lower number of semantic patterns in such conditions.

<span id="page-16-5"></span>

Figure 7: Attack Success Rate (ASR %) of PBNs with different  $\lambda_i$  values adjusting the importance of interpretability of the prototypes in training, with other hyperparameters set to their best values, and averaged across other possible variables (e.g., backbone and attack type). The dotted line represents the ASR for the non-PBN model.

<span id="page-16-6"></span>

Figure 8: Average Percentage of Words Perturbed (APWP) of PBNs with different  $\lambda_i$  values adjusting the importance of interpretability of the prototypes in training, with other hyperparameters set to their best values, and averaged across other possible variables (e.g., backbone and attack type). The dotted line represents the ASR for the non-PBN model.

<span id="page-17-0"></span>

<span id="page-17-2"></span>non-PBN + aug training 100  $\bar{\mathbf{S}}$ 90 100 Accuracy RG News 80 100 80  $0.0$  $0.9$ 10.0  $\lambda_c$ 

Figure 9: Accuracy of PBNs under static adversarial settings, with different  $\lambda_i$  values adjusting the level of interpretability in PBNs, with other hyperparameters set to their best values and averaged across other possible variables (e.g., backbone and attack type). The dotted line represents the ASR for the vanilla LMs.

Figure 11: Accuracy of PBNs under static adversarial settings, with different  $\lambda_c$  values adjusting the level of clustering in PBNs, with other hyperparameters set to their best values and averaged across other possible variables (e.g., backbone and attack type). The dotted line represents the ASR for the vanilla LMs.

<span id="page-17-1"></span>

Figure 10: Average Percentage of Words Perturbed (APWP) of PBNs with different  $\lambda_c$  values adjusting the importance of clustering of examples in PBNs, with other hyperparameters set to their best values, and averaged across other possible variables (e.g., backbone and attack type). The dotted line represents the ASR for the non-PBN model.

<span id="page-17-3"></span>

Figure 12: Attack Success Rate (ASR %) of PBNs with different  $\lambda_s$  values adjusting the level of separation between the prototypes, with other hyperparameters set to their best values and averaged across other possible variables (e.g., backbone and attack type). The dotted line represents the ASR for the vanilla LMs.

<span id="page-18-1"></span>

<span id="page-18-2"></span>

Figure 13: Average Percentage of Words Perturbed (APWP) of PBNs with different  $\lambda_s$  values adjusting the level of separation between the prototypes, with other hyperparameters set to their best values and averaged across other possible variables (e.g., backbone and attack type). The dotted line represents the ASR for the vanilla LMs.

Figure 15: Average Percentage of Words Perturbed (APWP) of PBNs with different numbers of prototypes, with other hyperparameters set to their best values, and averaged across other possible variables (e.g., backbone and attack type). The dotted line represents the ASR for the non-PBN model.

<span id="page-18-0"></span>

Figure 14: Accuracy of PBNs under static adversarial settings, with different  $\lambda_s$  values adjusting the level of separation between the prototypes, with other hyperparameters set to their best values and averaged across other possible variables (e.g., backbone and attack type). The dotted line represents the ASR for the vanilla LMs.

<span id="page-18-3"></span>

Figure 16: Accuracy of PBNs under static adversarial settings, with different numbers of prototypes, with other hyperparameters set to their best values and averaged across other possible variables (e.g., backbone and attack type). The dotted line represents the ASR for the vanilla LMs.