FPT: Feature Prompt Tuning for Few-shot Readability Assessment

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Abstract

 Prompt-based methods have achieved promis- ing results in most few-shot text classifica- tion tasks. However, for readability assess- ment tasks, traditional prompt methods lack crucial linguistic knowledge, which has already been proven to be essential. Moreover, previ- ous studies on utilizing linguistic features have shown non-robust performance in few-shot set- tings and may even impair model performance. To address these issues, we propose a novel prompt-based tuning framework that incorpo- rates rich linguistic knowledge, called Feature Prompt Tuning (FPT). Specifically, we extract linguistic features from the text and embed them into trainable soft prompts. Further, we devise a new loss function to calibrate the simi- larity ranking order between categories. Exper- imental results demonstrate that our proposed method FTP not only exhibits a significant performance improvement over the prior best prompt-based tuning approaches, but also sur- passes the previous leading methods that incor- porate linguistic features. Also, our proposed model significantly outperforms the large lan-025 guage model gpt-3.5-turbo-16k in most cases. Our proposed method establishes a new archi- tecture for prompt tuning that sheds light on how linguistic features can be easily adapted to linguistic-related tasks.

1 Introduction

 Readability assessment (RA) is the task of eval- uating the reading difficulty of a given piece of text [\(Vajjala,](#page-10-0) [2022\)](#page-10-0). It has wide applications, such as choosing appropriate reading materials for lan- guage teaching [\(Collins-Thompson and Callan,](#page-8-0) [2004\)](#page-8-0), supporting readers with learning disabili- ties [\(Rello et al.,](#page-10-1) [2012\)](#page-10-1), and ranking search results by their reading levels [\(Kim et al.,](#page-9-0) [2012\)](#page-9-0).

 Early works in RA mainly focused on designing handcrafted linguistic features such as word length (in characters/syllables), sentence length, and usage

Figure 1: Comparison of previous prompt tuning frameworks and our proposed Feature Prompt Tuning (FPT). $T(\cdot)$ and $verbalizer(\cdot)$ denote the template and verbalizer function, respectively. FPT utilizes both hard and soft tokens which are projected from the linguistic features extracted from the input x .

of different difficulty-level words. In recent years, **042** RA has been dominated by neural network-based **043** architectures [\(Meng et al.,](#page-9-1) [2021;](#page-9-1) [Azpiazu and Pera,](#page-8-1) **044** [2019\)](#page-8-1). The key challenge of these methods is to **045** learn a better text representation that can capture **046** deep semantic features. Current research has also **047** explored different ways of combining linguistic **048** features with pretrained language models (PLMs), **049** achieving state-of-the-art results on numerous RA **050** datasets [\(Li et al.,](#page-9-2) [2022;](#page-9-2) [Lee et al.,](#page-9-3) [2021\)](#page-9-3). However, **051** these studies have mainly focused on fine-tuning **052** with a large amount of labelled data while only a few studies have explored few-shot settings. **054**

Prompt-based tuning, shown to be a powerful **055** method for the classification task in the few-shot **056** setting, makes full use of the information in PLMs by reformulating classification tasks as cloze ques- **058** tions. Different prompt-based tuning strategies are **059** illustrated in Figure [1.](#page-0-0) The hard prompt tuning **060** applies a template with [MASK] token to the origi- **061**

 nal input and maps the predicted label word to the corresponding class [\(Han et al.,](#page-9-4) [2022;](#page-9-4) [Shin et al.,](#page-10-2) [2020\)](#page-10-2). The performance is sensitive to the qual- ity of template, which introduces time-consuming and labor-intensive prompt design and optimiza- tion. To address this problem, researchers propose soft prompt strategies, where continuous embed- dings of trainable tokens replaces the hard template and are optimized by training [\(Liu et al.,](#page-9-5) [2021;](#page-9-5) [Lester et al.,](#page-9-6) [2021\)](#page-9-6).

 Despite the success in a range of text classifica- tion tasks, existing prompt-based tuning methods still suffer from inferior performance in RA. This might be attributed to the lack of linguistic knowl- edge which has been demonstrated to play a crucial role in RA [\(Vajjala,](#page-10-0) [2022;](#page-10-0) [Qiu et al.,](#page-9-7) [2021;](#page-9-7) [Li et al.,](#page-9-2) [2022\)](#page-9-2). Meanwhile, RA differs from general classi- fication tasks in that there exists a notion of ranking order between classes. Our intuition behind the uti- lization of linguistic knowledge is that the learned representations of different levels should preserve the similarity relationship analogous to that of orig-inal linguistic features of different levels.

 Motivated by the above insights, in this paper, we propose a novel prompt-based tuning method that incorporates rich linguistic knowledge, called Feature Prompt Tuning (FPT), as shown in the bottom of Figure [1.](#page-0-0) Specifically, our methodology begins with extracting linguistic features from the text. These extracted features are subsequently em- bedded into feature prompts, functioning as train- able soft prompts. Contrary to the conventional prompt tuning frameworks, our model can explic- itly benefit from linguistic knowledge. Further- more, we devise a new loss function to calibrate the similarity relationships between the embedded features across different categories. Our approach is straightforward and effective, offering wide ap- plicability to other tasks where the importance of handcrafted features is emphasized.

 To verify the effectiveness of our proposed meth- ods, we conduct extensive experiments on three RA datasets, including one Chinese data [\(Li et al.,](#page-9-2) [2022\)](#page-9-2) and two English datasets, WeeBit [\(Vajjala](#page-10-3) [and Meurers,](#page-10-3) [2012\)](#page-10-3) and Cambridge [\(Xia et al.,](#page-10-4) [2019\)](#page-10-4). By incorporating linguistic knowledge, our proposed model FPT improves significantly over other prompt-based methods. For instance, in the 2-shot setting, FPT brings a relative performance gain of 43.9% over the traditional soft prompt method on the Chinese dataset and 5.50% on En-glish Weebit. Moreover, compared to other feature

fusion methods, FPT outperforms the previous best **114** method Projecting Feature (PF) [\(Li et al.,](#page-9-2) [2022\)](#page-9-2) by **115** 43.19% on Chinese data and 11.55% on English **116** Weebit data. Also, we experiment on the Large 117 Language Model (LLM), demonstrating the superi- **118** ority of our approach on RA. **119**

We summarize our contributions as follows: **120**

- We propose a novel prompt-based tuning **121** framework, Feature Prompt Tuning (FPT), **122** which incorporates rich linguistic knowledge 123 for RA. **124**
- We design a new calibration loss to ensure the **125** linguistic features retain their original similar- **126** ity information during optimization. **127**
- Our experimental results show that our **128** method outperforms other prompt-based tun- **129** ing methods and effectively leverages linguis- **130** tic features, leading to better and more stable **131** performance improvements than previous ap- **132** proaches. **133**

2 Related Works **¹³⁴**

2.1 Readability Assessment **135**

Early works have explored a wide range of lin- **136** guistic features as measurements for readability. **137** [Flesch](#page-8-2) [\(1948\)](#page-8-2) performed regression over features **138** [s](#page-10-5)uch as average word length in syllable; [Schwarm](#page-10-5) **139** [and Ostendorf](#page-10-5) [\(2005\)](#page-10-5) trained an SVM over features **140** including LM perplexity and syntactic tree height; **141** [Pitler and Nenkova](#page-9-8) [\(2008\)](#page-9-8) illustrated that discourse **142** relations can be a good predictor of readability. **143**

Recent works largely employ deep learning ap- **144** proaches for RA. Several deep architectures, in- **145** [c](#page-10-6)luding BERT [\(Devlin et al.,](#page-8-3) [2018\)](#page-8-3), HAN [\(Yang](#page-10-6) **146** [et al.,](#page-10-6) [2016\)](#page-10-6), and multi-attentive RNN were applied **147** to achieve strong performance without feature en- **148** gineering [\(Martinc et al.,](#page-9-9) [2021;](#page-9-9) [Azpiazu and Pera,](#page-8-1) **149** [2019\)](#page-8-1). However, the performance of neural models **150** tends to fluctuate a lot across different RA datasets **151** [\(Deutsch et al.,](#page-8-4) [2020\)](#page-8-4), suggesting that relying only **152** on neural networks might not be a robust solution **153** for RA. Meanwhile, later works has shown that **154** a hybrid approach combining transformer-based **155** encoders with linguistic features can achieve even **156** [h](#page-9-10)igher performance [\(Lee et al.,](#page-9-3) [2021;](#page-9-3) [Lee and Va-](#page-9-10) **157** [jjala,](#page-9-10) [2022;](#page-9-10) [Li et al.,](#page-9-2) [2022\)](#page-9-2). [Lee and Lee](#page-9-11) [\(2023\)](#page-9-11) **158** applied a prompt-based learning based on seq2seq **159** model, casting RA as a text-to-text task. Differ- **160** ent from the above deep learning approach, we **161**

162 explicitly incorporate linguistic knowledge into our **163** framework to boost performance.

164 2.2 Prompt-based Tuning

 Fine-tuning PLMs have shown their prevalence in [v](#page-8-3)arious NLP tasks. PLMs, such as BERT [\(Devlin](#page-8-3) [et al.,](#page-8-3) [2018\)](#page-8-3), GPT [\(Radford et al.,](#page-9-12) [2018\)](#page-9-12), XLNet [\(Xia et al.,](#page-10-4) [2019\)](#page-10-4), RoBERTa [\(Liu et al.,](#page-9-13) [2019\)](#page-9-13) and T5 [\(Raffel et al.,](#page-10-7) [2020\)](#page-10-7), have been proposed with varied self-supervised learning architectures. It has been demonstrated that larger models tend to [p](#page-8-5)erform better in many learning scenarios [\(Brown](#page-8-5) [et al.,](#page-8-5) [2020\)](#page-8-5), which stimulated PLMs with billions of parameters to emerge.

 Fine-tuning large PLMs may be prohibitive, and there exist a significant gap between pretrain- ing tasks and downstream tasks. Prompt tuning addresses this challenge by reformulating down- stream tasks as a language modeling problem and optimizing the prompt. Prompts are used to probe [P](#page-9-14)LM's intrinsic knowledge to perform a task [\(Min](#page-9-14) [et al.,](#page-9-14) [2022\)](#page-9-14), and various techniques of prompting have been explored to aid PLM better: hard prompt [\(Shin et al.,](#page-10-2) [2020;](#page-10-2) [Schick and Schütze,](#page-10-8) [2021\)](#page-10-8), soft prompt [\(Lester et al.,](#page-9-6) [2021;](#page-9-6) [Li and Liang,](#page-9-15) [2021\)](#page-9-15), verbalizer [\(Cui et al.,](#page-8-6) [2022\)](#page-8-6) and pretrained prompt tuning [\(Gu et al.,](#page-8-7) [2021\)](#page-8-7).

 The effectiveness of prompt tuning has been val- idated in various NLP tasks, including sentiment analysis [\(Wu and Shi,](#page-10-9) [2022\)](#page-10-9), named entity recog- [n](#page-8-8)ition [\(Ma et al.,](#page-9-16) [2022\)](#page-9-16), relation extraction [\(Chen](#page-8-8) [et al.,](#page-8-8) [2022\)](#page-8-8) and semantic parsing [\(Schucher et al.,](#page-10-10) [2021\)](#page-10-10). However, the potential of prompt tuning is less explored in RA. In this work, we focus on the effectiveness of linguistic features for modeling readability, and utilize linguistic features to guide prompt tuning.

¹⁹⁸ 3 Background

199 We model RA as a text classification task. For-200 mally, a RA dataset can be denoted as $\mathcal{D} = \{X, Y\}$, 201 where X is the text set and Y is the class set. 202 Each instance $x \in \mathcal{X}$ consists of several tokens, 203 $x = \{w_1, w_2, ..., w_{|x|}\}\$, and is annotated with a 204 **label** $y \in \mathcal{Y}$, indicating the reading difficulty.

205 3.1 Fine-tuning PLMs for RA

 Given a PLM M for RA, fine-tuning methods first **convert a text** $x = (w_1, w_2, ..., w_{|x|})$ into an in-**put sequence** ([CLS], $w_1, w_2, ..., w_{|x|}$, [SEP]). The PLM encodes this sequence into the hidden vectors $h = (h_{[CLS]}, h_1, h_2, ..., h_{|x|}, h_{[SEP]}).$

In the conventional fine-tuning, an additional classifier FC is trained on top of the $[CLS]$ embedding $h_{[CLS]}$. This classifier produces a probability distribution over the class set Y through a softmax function, which can be formulated as:

$$
P(\cdot|x) = \text{Softmax}(FC(h_{[CLS]})),
$$

The objective of fine-tuning is to minimize the cross-entropy loss between the predicted probability distribution $P(\cdot|x)$ and the ground-truth label y:

$$
\mathcal{L}_{classification} = -\frac{1}{|\mathcal{X}|} \sum_{x \in \mathcal{X}} \log P(y|x).
$$

3.2 Prompt-based Tuning **211**

Prompt-based tuning aims to bridge the gap be- **212** tween pretraining tasks and downstream tasks, as **213** illustrated in Figure 1. **214**

Hard Prompt. It typically consists of a template **215** $T(\cdot)$, which transforms the input x into a prompt input x_{prompt} , and a set of label words V that are con- 217 nected to the label space through a mapping func- **218** tion $\Phi: V \to Y$, often referred to as the verbalizer. 219 The prompt input contains at least one [MASK] **220** token for the model to fill with label words. **221**

Taking an example in RA, x_{prompt} could take the form of

$$
x_{prompt} = T(x) =
$$
"It is [MASK] to read: x".

In this case, the input embedding sequence of x_{prompt} is denoted as

$$
(e("It is"), e([MASK]), e("to read:"), e(x)).
$$

Soft Prompt. It replaces hard tokens in the template with trainable soft tokens $[h_1, ..., h_l]$, yielding an input embedding sequence of

$$
(h_1, ..., h_l, e([MASK]), e(x)).
$$

Hybrid Prompt. It combines soft tokens with hard prompt tokens T to form the input embedding sequence:

$$
(h_1, ..., h_l, e(T), e(\text{[MASK]}), e(x)).
$$

By feeding the input embedding sequence of x_{prompt} into M , the probability distribution over the class set Y is modeled by:

$$
P_{\mathcal{M}}(y|x) = P_{\mathcal{M}}([\text{MASK}] = \Phi(y)|x_{prompt})
$$

The learning objective of prompt-based tuning is to minimize the cross entropy loss:

$$
\mathcal{L}_{classification} = -\frac{1}{|\mathcal{X}|} \sum_{x \in \mathcal{X}} \log P_{\mathcal{M}}(y|x)
$$

Figure 2: The architecture of the proposed Feature Prompt Tuning. Column-wise ranking orders of similarity matrices are denoted with numbers.

²²² 4 Feature Prompt Tuning

 In this section, we propose a novel method for RA with prompt-based tuning, named Feature Prompt Tuning (FPT). The architecture of our model is illustrated in Figure [2.](#page-3-0) Specifically, we extract lin- guistic features from the texts and embed them into soft prompts. Then, we employ a loss function to calibrate the similarity relationship between em- bedded features of different classes. We adopt an alternating procedure to optimize the model with respect to the classification loss and calibration **233** loss.

234 4.1 Feature Prompt Construction

 Feature Extraction Our approach for extract- ing linguistic features from text is consistent with previous works [\(Li et al.,](#page-9-2) [2022;](#page-9-2) [Lee et al.,](#page-9-3) [2021\)](#page-9-3). For English texts, the linguistic features are ex- tracted using the *lingfeat* toolkit [\(Lee et al.,](#page-9-3) [2021\)](#page-9-3), which includes discourse, syntactic, lexical, and shallow features. In terms of Chinese linguistic [f](#page-9-2)eatures, we directly utilize the *zhfeat* toolkit [\(Li](#page-9-2) [et al.,](#page-9-2) [2022\)](#page-9-2) to extract character, word, sentence, and paragraph-level features. Specific details are **provided in Appendix [A.](#page-10-11) For an input text** x **, we** 246 denote the extracted features as f_x , which is a α -247 dimensional vector with α representing the number of extracted features.

> Feature Embedding To incorporate linguistic knowledge into prompt-based tuning, we transform linguistic feature f_x into l distinct vectors

 $\{v_1, ..., v_l\}$ which function as the embeddings of soft tokens, as follows:

$$
\{v_1, ..., v_l\} = \text{MultiHeadMLP}(f_x).
$$

Here, MultiHeadMLP is a multi-head MLP with *l* 249 output heads. Each head consists of a series of fully **250** connected layers followed by non-linear activation **251** functions. **252**

The purpose of using a multi-head MLP is to **253** allow the model to map f_x into separate vector 254 spaces and learn multiple aspects of the linguistic **255** features. This enables the model to better capture **256** the relationships between different features and **257** their contribution to RA. **258**

Ultimately, we formulate the input embedding sequence of x_{prompt} as follows:

$$
(v_1, ..., v_l, e(T), e(\text{[MASK]}), e(x)).
$$

This input sequence is passed through the PLM **259** M to calculate $\mathcal{L}_{classification}$ loss as described in 260 Section [3.2.](#page-2-0) **261**

4.2 Inter-class Similarity Calibration **262**

We denote $\mathcal{F} = \{F_{c_1}, \cdots, F_{c_n}\}\$ as the collection of linguistic features for the dataset D , which consists of *n* classes. Here, $F_{c_i} = \{f_{x_{i1}}, \cdots, f_{x_{ik}}\}$ signifies the extracted features of k samples which belong to i -th class. We apply average pooling to the feature embeddings of each sample in \mathcal{F} , resulting in a set of embedded linguistic features, denoted as $\mathcal{F}' = \{F'_{c_1}, \cdots, F'_{c_n}\}.$ To gauge the

similarity between any two classes F_{c_m} and F_{c_n} , we employ a pairwise approach based on cosine similarity, expressed as:

$$
s_{mn} = \frac{1}{k^2} \sum_{i=1}^{k} \sum_{j=1}^{k} \cos(f_{x_{mi}}, f_{x_{nj}})
$$

 With the feature representation and similarity function in place, we can define our calibration objective. The fundamental intuition is that the distribution of extracted linguistic features should be preserved as much as possible. Namely, if the 268 similarity between F_{c_m} and F_{c_n} is relatively low, **the similarity between** F'_{c_m} and F'_{c_n} should also be proportionately low, and vice versa. Therefore, during the training process, we devise an objective function based on a list-wise ranking loss function ListMLE [\(Xia et al.,](#page-10-12) [2008\)](#page-10-12), to maintain this initial ranking relationship.

> More specifically, we compute the similarity between each pair of classes within $\mathcal F$ to generate the similarity matrix:

$$
M = \left[\begin{array}{cccc} s_{11} & s_{12} & \cdots & s_{1n} \\ s_{21} & s_{22} & \cdots & s_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ s_{n1} & s_{n2} & \cdots & s_{nn} \end{array} \right]
$$

Likewise, we can derive the similarity matrix M' 276 **for** \mathcal{F}' **.**

275

We then use $\Pi = {\pi_1, \pi_2, \cdots, \pi_n}$ to denote the ranking order of the columns in M, where π_i represents the ranking order of the i-th column. We obtain \tilde{M}' by rearranging the columns of M' according to Π :

$$
\hat{M}' = \left[\begin{array}{cccc} s'_{\pi_{11}} & s'_{\pi_{12}} & \cdots & s'_{\pi_{1n}} \\ s'_{\pi_{21}} & s'_{\pi_{22}} & \cdots & s'_{\pi_{2n}} \\ \vdots & \vdots & \ddots & \vdots \\ s'_{\pi_{n1}} & s'_{\pi_{n2}} & \cdots & s'_{\pi_{nn}} \end{array} \right]
$$

Finally, we aim to minimize the following loss function for similarity calibration:

$$
L_{calibration} = -\sum_{k=1}^{n} log \prod_{i=1}^{n} \frac{exp(s'_{\pi_{ik}})}{\sum_{j=i}^{n} exp(s'_{\pi_{jk}})}
$$

277 4.3 Training Procedure

 Training Objectives Given the dataset D and 279 the linguistic feature set \mathcal{F} , we establish two train- ing objectives. The primary objective is to mini-mize the classification loss $L_{classification}$, which

is computed based on the difference between the **282** predicted and actual class labels. The secondary ob- **283** jective is to calibrate the inter-class similarity of the **284** mapped features by minimizing the loss function **285** Lcalibration defined in Section [4.2.](#page-3-1) **²⁸⁶**

Algorithm 1: Alternating Training Procedure for Feature Prompt Learning

- 1: Initialize model parameters M and feature embeddings f
- 2: for each epoch do
- 3: Shuffle dataset D
- 4: for each batch b in D do
- 5: Compute $L_{classification}$ for b using M and f

6: Update *M* by minimizing $L_{classification}$

- 7: Compute $L_{calibration}$ for b using f
- 8: Update f by minimizing $L_{calibration}$
- 9: end for
- 10: end for

Alternating Training Procedure For training **287** both loss functions, we adopt an alternating training **288** procedure, as encapsulated in Algorithm [1.](#page-4-0) This **289** procedure iteratively updates the model parame- **290** ters and feature embeddings by minimizing the **291** classification loss Lclassif ication and the similarity **²⁹²** α calibration loss $L_{calibration}$, respectively. 293

In each epoch, the dataset D is shuffled to en- **294** sure the model is not biased towards any particular **295** ordering of the data. For each batch b in D, the **296** classification loss $L_{classification}$ is computed us- 297 ing the current model parameters M and feature **298** embeddings f. The model parameters M are then **299** updated by minimizing this loss. Subsequently, the **300** similarity calibration loss $L_{calibration}$ is computed 301 using the updated feature embeddings f, and the 302 feature embeddings are updated by minimizing this **303** loss. This process is repeated for each batch in **304** the dataset, and for each epoch. The alternating **305** training procedure ensures that the model learns to **306** classify the data accurately while maintaining the **307** inter-class similarity structure of the feature space. **308**

5 Experimental Setting **³⁰⁹**

5.1 Datasets **310**

To evaluate the effectiveness of our proposed **311** method, we conduct experiments on one Chinese **312** dataset and two English datasets, following the **313** **314** same data split as [Li et al.](#page-9-2) [\(2022\)](#page-9-2). The statistic dis-**315** tribution of datasets can be found in Appendix [B.](#page-13-0)

 ChineseLR [\(Li et al.,](#page-9-2) [2022\)](#page-9-2) is a Chinese dataset collected from textbooks of the middle and primary schools of more than ten publishers. Following the standards specified in the *Chinese Curriculum Standards for Compulsory Education*, all texts are divided into five difficulty levels.

 WeeBit [\(Vajjala and Meurers,](#page-10-3) [2012\)](#page-10-3) is often considered as the benchmark data for English RA. It was initially created as an extension of the well-known Weekly Reader corpus.

326 Cambridge [\(Xia et al.,](#page-10-4) [2019\)](#page-10-4) consists of read-**327** ing passages from the five main suite Cambridge **328** English Exams (KET, PET, FCE, CAE, CPE).

329 5.2 Baselines 1: Prompt-based Methods

 For prompt-based methods, we compare with hard, soft, and hybrid prompts. To avoid the influence of verbalizers on experimental results, we adopt a soft verbalizer [\(Hambardzumyan et al.,](#page-9-17) [2021\)](#page-9-17) that employs a linear layer classifier across all prompt-based methods.

 Hard Prompt (HP): We implement four manu- ally defined templates for prompt tuning and select a template with the best performance on the devel- opment set. Details of the templates can be found in Appendix [C.](#page-14-0)

 Soft Prompt (SP): It replaces manually defined prompts with trainable continuous prompts. We follow the same implementation as [Lester et al.](#page-9-6) [\(2021\)](#page-9-6) and use randomly sampled vocabulary to initialize the prompts.

 Hybrid Prompt (HBP): It concatenates train- able continuous prompts to the wrapped input em- [b](#page-8-9)eddings. We adopt the implementation from [Gu](#page-8-9) [et al.](#page-8-9) [\(2022\)](#page-8-9).

 P-tuning: A hybrid prompt method, which re- places some tokens in manually designed prompts with soft prompts and only retains task-relevant an- chor words. The soft prompts are embedded with a bidirectional LSTM and a MLP [\(Liu et al.,](#page-9-5) [2021\)](#page-9-5).

355 5.3 Baselines 2: Fusion Methods

356 We also compare with the methods fusing linguistic **357** features and PLMs from previous studies.

 SVM: Use the single numerical output of a neu- ral model (BERT) as a feature itself, joined with linguistic features, and then fed them into SVM [\(Lee et al.,](#page-9-3) [2021;](#page-9-3) [Deutsch et al.,](#page-8-4) [2020\)](#page-8-4).

362 FT: Standard fine-tuning method without lin-**363** guistic features, where the hidden representation of

[CLS] token is used for classification. This base- **364** line is for validating whether the linguistic features **365** are indeed having a positive effect. **366**

Concatenation (Con): Fine-tune with linguistic **367** features, in which the linguistic features are directly **368** concatenated to the hidden representation of the **369** [CLS] token [\(Meng et al.,](#page-9-1) [2021;](#page-9-1) [Qiu et al.,](#page-9-7) [2021\)](#page-9-7). **370**

PF: Fuse linguistic features with hidden repre- **371** [s](#page-9-2)entations of [CLS] through projection filtering [\(Li](#page-9-2) **372** [et al.,](#page-9-2) [2022\)](#page-9-2). **373**

5.4 Implementation Details **374**

Under the few-shot setting, we randomly sample **375** $k = 1, 2, 4, 8, 16$ instances in each class from the 376 training and development set. For each k-shot ex- **377** periment, we sample 4 different training and dev **378** sets and repeat experiments on each training set **379** for 4 times. We select the best model checkpoint **380** based on the performance on the development set, **381** and evaluate the models on the entire test set. As **382** for the evaluation metric, we use accuracy in all **383** experiments and take the mean values as the final **384** results. **385**

All our models and baselines are implemented **386** with the PyTorch [\(Paszke et al.,](#page-9-18) [2019\)](#page-9-18) framework 387 and Huggingface transformers [\(Wolf et al.,](#page-10-13) [2020\)](#page-10-13). **388** We use BERT [\(Devlin et al.,](#page-8-3) [2018\)](#page-8-3) as our PLM **389** backbone. During training, we employ the AdamW **390** optimizer [\(Loshchilov and Hutter,](#page-9-19) [2019\)](#page-9-19) with a **391** weight decay of 0.01 and a warm-up ratio of 0.05. **392** We tune the model with the batch size of 8 for 30 393 epochs, and the learning rate is 1e-5. All experi- **394** ments are conducted with four NVIDIA GeForce **395** RTX 3090s. **396**

6 Results and Analysis **³⁹⁷**

6.1 Comparison with Prompt-based Methods **398**

Table [1](#page-6-0) shows the results of our proposed method **399** FPT and prompt-based baselines under the few- **400** shot setting. (1) Our method FPT significantly 401 outperforms nearly all baseline methods across all **402** three datasets under different shots, demonstrat- **403** ing that our method exhibits greater robustness **404** and adaptability to variations in data sizes and lan- **405** guages. (2) FTP particularly excels on the Chine- **406** seLR dataset, and it outperforms the soft prompt 407 (SP) method by 8.41, 14.1, 10.15, 9.94 and 7.9 408 points under 1, 2, 4, 8, 16 shots, respectively. (3) **409** In the task of RA, the soft prompt method gener- **410** ally outperforms the hard prompt. Interestingly, **411** the hybrid prompt, a combination of both, does **412**

k	Methods	ChineseLR	Weebit	Cambridge
	HP	29.49(5.21)	41.83(4.72)	36.25(8.49)
	SP	31.22(4.70)	46.61(3.63)	41.73(8.45)
1	HBP	33.51(5.19)	44.46(5.02)	42.04(9.12)
	P-tuning	33.36(4.12)	41.23(4.11)	40.36(7.15)
	FPT(ours)	39.63(6.38)	43.61(4.50)	44.17(7.12)
	HP	28.38(8.14)	49.23(2.85)	46.88(9.31)
	SP	32.14(5.54)	52.22(4.35)	49.13(8.38)
2	HBP	33.38(7.02)	52.52(2.66)	49.56(7.12)
	P-tuning	35.12(4.20)	50.71(3.87)	48.97(8.47)
	FPT(ours)	46.24(5.62)	55.10(4.04)	59.79(10.2)
	HP	36.56(5.18)	53.41(4.50)	48.75(8.49)
	SP	38.78(2.83)	54.96(3.89)	49.36(9.14)
4	HBP	39.81(2.67)	56.88(3.52)	50.13(8.77)
	P-tuning	38.45(3.09)	54.35(3.21)	48.85(9.64)
	FPT(ours)	48.93(3.21)	57.70(4.63)	53.54(7.21)
	HP	41.21(4.83)	61.31(3.13)	55.42(6.86)
	SP	42.72(2.82)	62.02(2.67)	56.75(6.89)
8	HBP	41.93(4.12)	63.37(2.02)	57.34(9.28)
	P-tuning	42.81(4.04)	61.81(3.28)	56.90(7.23)
	FPT(ours)	52.66(5.00)	64.92(2.75)	59.38(6.58)
16	HP	47.35(3.69)	63.75(5.41)	61.67(8.98)
	SP	47.44(2.09)	67.54(4.56)	63.77(7.43)
	HBP	47.08(3.11)	67.30(4.69)	63.98(7.34)
	P-tuning	46.26(3.19)	65.52(3.84)	62.03(9.62)
	FPT(ours)	55.25(2.93)	68.19(4.21)	65.00(4.25)

Table 1: Experimental results comparing with promptbased methods. We report mean performance and the standard deviation in brackets. The best results are in bold, and the best results of previous prompt-based methods are underlined.

 not always yield better results than the standalone soft prompt. This could be attributed to the inher- ent challenge in designing and selecting effective hard prompts for RA. Nevertheless, as a hybrid prompt approach that integrates linguistic knowl- edge, our proposed method continues to exhibit robust performance, demonstrating its adaptability and effectiveness.

421 6.2 Comparison with Fusion Methods

 Table [2](#page-6-1) reports the experimental results compar- ing with fusion methods under the few-shot set- ting. (1) Our proposed method FPT shows a stable and significant improvement compared to the pre- vious feature fusion methods. For instance, in the 2-shot setting, FPT outperforms the best previous fusion methods by 11.28, 5.8 and 11.66 points on ChineseLR, Weebit and Cambridge, respectively. This demonstrates our method's effectiveness in integrating linguistic features for RA. (2) Methods with linguistic features perform better than stan- dard fine-tuning on Chinese datasets. However, it may not necessarily lead to improvement on En-

k	Methods	ChineseLR	Weebit	Cambridge
	FT	28.59(4.88)	45.99(2.94)	34.17(4.33)
	SVM	25.34(3.87)	44.82(3.14)	35.31(5.23)
1	Con	28.53(4.68)	43.81(3.88)	33.33(10.1)
	PF	30.13(3.99)	44.01(2.91)	35.11(9.12)
	FPT(ours)	33.29(4.80)	46.67(3.50) 48.79(3.49) 49.55(3.78) 49.29(2.88) 50.23(2.81) 56.03(3.48) 52.46(4.28) 53.03(5.65) 52.46(4.28) 53.18(2.99) 56.17(3.84) 61.11(3.15) 60.98(5.78) 58.41(3.31) 59.32(2.97) 62.40(3.30) 65.93(5.50) 63.72(4.98) 64.52(4.73) 65.08(4.60) 68.10(3.25)	43.96(7.09)
	FT	22.87(7.19)		44.17(10.4)
	SVM	23.95(9.28)		43.99(11.0)
$\overline{2}$	Con	25.61(8.21)		41.67(8.16)
	PF	26.12(7.21)		41.52(7.34)
	FPT(ours)	37.40(4.77)		55.83(6.72)
	FT	36.64(5.37)		47.50(6.29)
	SVM	37.11(6.88)		47.58(8.67)
$\overline{4}$	Con	36.64(5.37)		47.50(6.29)
	PF	37.13(5.11)		48.46(4.79)
	FPT(ours)	44.88(3.27)		55.00(4.86)
	FT	40.45(2.91)		61.46(7.81)
	SVM	40.52(3.67)		61.55(9.10)
8	Con	41.65(2.98)		58.96(7.43)
	PF	44.00(2.86)		55.62(10.9)
	FPT(ours)	47.60(3.66)		64.17(5.95)
	FT	45.73(4.11)		71.04(7.97)
	SVM	46.85(3.72)		71.22(8.15)
16	Con	48.33(3.99) 48.66(3.20) 53.94(3.16)	71.46(6.12)	
	PF			69.38(6.79)
	FPT(ours)			69.17(7.77)

Table 2: Experimental results comparing with the feature fusion methods. Con means Concatenation. For a fair comparison, here FPT concatenates the feature embeddings to the original input embedding and outputs the classification logits over [CLS] embedding instead of [MASK].

glish datasets, especially when k is increased to **435** a sufficient amount, which indicates that simply **436** applying linguistic features to aid in English RA is **437** not consistently effective.

Table 3: Ablation study of FPT on ChineseLR and Weebit datasets. SC represents the similarity calibration and FP means utilizing linguistic features as soft prompts.

6.3 Ablation Study **439**

To validate the effectiveness of each component **440** in our proposed model, we conduct ablation ex- **441** periments on both English Weebit and ChineseLR **442** datastes. Table [3](#page-6-2) lists the results. **443**

Our full model yields the best performance on **444**

438

Figure 3: The comparison results of linguistic features, randomly initialized vectors and pseudo tokens.

 both datasets. When removing the similarity cali- bration (SC) module, the performance is markedly decreased, demonstrating the necessity of retaining the linguistic features' original similarity informa- tion during optimization. We have also investi- gated the impact of SC by visualising the similarity difference matrix before and after applying SC, the results of which are presented in Appendix [D.](#page-14-1) Moreover, further removal of the feature prompt (FP) shows a steep drop in performance (12.37 points on ChieseLR and 4.29 points on Weebit 456 when $k = 4$), validating the effectiveness of incor- porating linguistic features as soft prompts. We note that the improvement of SC and FP is more significant on the Chinese dataset compared to the English dataset, indicating that the Chinese RA task is more dependent on linguistic features.

462 6.4 The Significance of Linguistic Features

 To further analyze whether linguistic features im- prove performance, in our model structure, we re- place the linguistic feature vectors with randomly initialized vectors. On the other hand, we re- implement the Hybrid Prompt Tuning by utilizing pseudo tokens as soft prompts. We conduct experi- ments on WeeBit and ChineseLR datasets, and the comparison results are shown in Figure [3.](#page-7-0)

 The performance on both datasets significantly decreases when the linguistic features are replaced with random vectors, especially on the ChineseLR dataset, where the decrease is up to 16.27%. The fewer the samples, the more severe the decline caused by the replacement, further indicating the beneficial role of linguistic features when data is insufficient. Moreover, compared to pseudo tokens, using vector-form embeddings as soft prompts re- quires the integration of linguistic knowledge to achieve better performance.

Table 4: Comparison between our model and LLM (gpt-3.5-turbo-16k) on three datasets. k represents the number of in-context examples. Due to the limitation of context length, the experiments on Chinese dataset cannot be carried out.

6.5 Comparison with the LLM **482**

Large language model (LLM) excels at various **483** downstream tasks without the need for parame- **484** ter adjustment. We conduct experiments on LLM **485** utilizing the gpt-3.5-turbo-16k API, and the accu- **486** racy results are presented in Table [4.](#page-7-1) Our model **487** with 110M parameters significantly outperforms 488 the LLM model on the English dataset (except one **489** sample on Cambridge). Moreover, gpt-3.5-turbo- **490** 16k is unable to perform 1-shot or 2-shot exper- **491** iments on ChineseLR due to its limited context **492** length. This underscores the necessity for research **493** on tasks related to longer textual information in RA **494** (Reading Comprehension and Answering). **495**

7 Conclusion **⁴⁹⁶**

Inspired by the solid performance of prompt tun- **497** ing on classification tasks and the importance of **498** linguistic features in the RA task, we empirically **499** investigated the effectiveness of incorporating lin- **500** guistic features into prompt tuning for RA. We con- **501** vert linguistic features of the input into soft tokens **502** and utilize the similarity calibration loss to preserve **503** similarity relationship between classes before and **504** after the transformation. The results show notice- **505** able improvements over previous fusion methods **506** and prompt-based approaches in the few-shot learn- **507** ing setting. The ablation study further illustrated **508** that the proposed model benefits from linguistic **509** features and additional similarity calibration. **510**

Limitations **⁵¹¹**

Our proposed method, which leverages the masked **512** language model (MLM) backbone such as BERT, **513** has demonstrated its efficacy across a variety of **514**

515 natural language processing tasks. Despite its **516** strengths, we acknowledge several limitations that **517** warrant further investigation.

 Firstly, our approach exhibits constraints in pro- cessing long texts, a scenario frequently encoun- tered in Chinese readability evaluation datasets. The inherent architecture of MLMs like BERT is optimized for shorter sequences, leading to poten- tial performance degradation when dealing with extensive text inputs.

 Secondly, while MLM-based methods are profi- cient in classification tasks, they often fall short in terms of interpretability of the classification outcomes. The black-box nature of these models makes it challenging to trace and understand the decision-making process, which is crucial for ap-plications where justification of results is required.

 Lastly, the success of our method is significantly contingent upon the quality of linguistic features extracted from the text. However, the extraction of high-quality linguistic features is not always guaranteed, especially in languages with rich mor-phology or poor data resources.

 In conclusion, while our method stands as a ro- bust approach for several NLP tasks, addressing these limitations is imperative for advancing the field and extending the applicability of MLM-based models to a broader spectrum of text analysis chal-**543** lenges.

⁵⁴⁴ Ethics Statement

 Potential Risks Firstly, as a neural network- based method, the predictive outcomes of our ap- proach should not be applied in practical applica- tions without the involvement of human experts. This is a responsible practice for the actual benefi- ciaries, the learners. Secondly, as mentioned earlier, low-quality or even incorrect linguistic features can negatively impact our method. Therefore, evaluat- ing the quality of linguistic features is essential for the efficacy of our approach.

 About Computational Budget For each k-shot experiment, we conducted a total of 16 repetitions (refer to Section 5.4) for all baselines and FPT. The duration of a single experiment varies according to the size of k (approximately 20 seconds to 200 sec- onds), but the time consumed by different methods is almost identical.

562 Use of Scientific Artifacts We utilize the *lingfeat* **563** toolkit [\(Lee et al.,](#page-9-3) [2021\)](#page-9-3) to extract linguistic features from English texts; this toolkit is publicly **564** accessible under the CC-BY-SA-4.0 license. For **565** extracting Chinese linguistic features, we employ **566** the *zhfeat* toolkit [\(Li et al.,](#page-9-2) [2022\)](#page-9-2). **567**

Use of AI Assistants We have employed Chat- **568** GPT as a writing assistant, primarily for polishing **569** the text after the initial composition. **570**

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A Details of Linguistic Features **⁸⁰²**

A.1 Chinese Linguistic Features **803**

Table 5: Character features description.

Table 6: Word features description.

Table 7: Sentence features description.

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Table 8: Paragraph features description.

A.2 English Linguistic Features

14 Dependency distribution

Table 9: Discourse features description.

Table 10: Syntactic features description.

Table 11: Lexico Semantic features description.

Table 12: Shallow Traditional features description.

B The statistic distribution of datasets **⁸²⁶**

Table 13: Statistics of datasets for readability assessment. Avg.Length means the average tokens per passage.

 datasets, both with and without SC. Specifically, we calculate the similarity of linguistic features be- tween each category before and after embedding to obtain two similarity matrices. Then we subtract the former from the latter to obtain the difference matrix. The results are shown in Figure [4,](#page-14-2) where the diagonal of the matrix represents the similarity of the linguistic features from the same category.

 On both datasets, SC can effectively increase the similarity between the same and analogous cate- gories (represented by warm colors), while reduc- ing the similarity between distance categories (rep- resented by cool colors). This can provide effective assistance for classification tasks.

(a) ChineseLR w/o SC (b) ChineseLR w/ SC

(c) Weebit w/o SC (d) Weebit w/ SC

Figure 4: Similarity difference matrices. We plot the difference matrices of similarity before and after linguistic feature embedding, both with and without SC. The horizontal and vertical coordinates represent the level of linguistic features. By comparing the diagonal of the matrix before and after the similarity calibration (that is, the similarity between linguistic features of the same level), the similarity between analogous categories is drawn closer.