# Tool Graph Retriever: Exploring Dependency Graph-based Tool Retrieval for Large Language Models

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#### **<sup>001</sup>** Abstract

 With the remarkable advancement of AI agents, the number of their equipped tools is increasing rapidly. However, integrating all tool informa- tion into the limited model context becomes impractical, highlighting the need for efficient tool retrieval methods. In this regard, dominant methods primarily rely on semantic similari- ties between tool descriptions and user queries to retrieve relevant tools. However, they often consider each tool independently, overlooking dependencies between tools, which may lead to the omission of prerequisite tools for success- ful task execution. To deal with this defect, in this paper, we propose Tool Graph Retriever **(TGR), which exploits the dependencies among**  tools to learn better tool representations for re- trieval. First, we construct a dataset termed 019 TDI300K to train a discriminator for identify- ing tool dependencies. Then, we represent all candidate tools as a tool dependency graph and use graph convolution to integrate the depen- dencies into their representations. Finally, these updated tool representations are employed for online retrieval. Experimental results on sev- eral commonly used datasets show that our TGR can bring a performance improvement to existing dominant methods, achieving SOTA performance. Moreover, in-depth analyses also verify the importance of tool dependencies and the effectiveness of our TGR.  $<sup>1</sup>$  $<sup>1</sup>$  $<sup>1</sup>$ </sup>

#### **<sup>032</sup>** 1 Introduction

**031**

 As an important step towards artificial general in- telligence (AGI), tool learning expands the ability of LLM-based AI agents and enables them to in- teract with the external environment. [\(Goertzel,](#page-8-0) [2014;](#page-8-0) [Dou et al.,](#page-8-1) [2023;](#page-8-1) [McLean et al.,](#page-8-2) [2023\)](#page-8-2). How- ever, as the number of equipped tools increases rapidly, it has become challenging for LLMs to process all the tool information, primarily due to

<span id="page-0-1"></span>

Figure 1: An example of dominant tool retrieval process, where some necessary prerequisite tools are omitted due to low semantic similarities. The down arrows ↓ denote the calling order of the tools.

the context length limitations. Therefore, a typ- **041** ical framework of AI agents employs a retriever **042** to retrieve the candidate tools before the practi- **043** cal task, which involves the following four steps. **044** First of all, relevant tools are retrieved from the 045 equipped tool set according to the task description **046** provided by user. Secondly, the LLM, guided by **047** a delicately-designed prompt and the tool retrieval **048** results, creates a tool-invoking plan as the solution **049** path for the task. Thirdly, it takes actions to invoke **050** tools based on the plan and receives feedback from **051** the tool execution result. Finally, if the task is con- **052** sidered complete, it will generate the final response **053** to the user. **054** 

As the first step in the above process, tool re- **055** trieval plays a critical role in constructing a high- **056** performing tool-augmented agent. This is because **057** the context length of the model restricts us to us- **058** ing only a limited number of tools. If necessary **059** tools cannot be accurately retrieved, it will result **060** in an execution error. To achieve accurate tool re- **061** trieval, prevalent tool retrieval methods primarily **062** focus on the semantic similarities between the tool **063** descriptions and the user queries [\(Patil et al.,](#page-8-3) [2023;](#page-8-3) **064** [Li et al.,](#page-8-4) [2023;](#page-8-4) [Qin et al.,](#page-8-5) [2023\)](#page-8-5). They consider **065** each tool independently, which, however, results in **066**

<span id="page-0-0"></span><sup>&</sup>lt;sup>1</sup>We will release our code and dataset upon the acceptance of our paper.

<span id="page-1-0"></span>

Figure 2: Our proposed TGR involves three steps: (1) Dependency Identification, where we build a dataset for tool dependency identification and train a discriminator; (2) Graph-Based Tool Encoding, where we represent the tools with dependencies as a graph and integrate the dependencies into tool representations with graph convolution; (3) Online Retrieval, where we utilize the updated tool embeddings to compute query-tool similarities as the final retrieval scores.

 the omission of some necessary prerequisite tools during retrieval. For instance, in the example of Fig- ure [1,](#page-0-1) the solution path for the query "*Update my email to 'new@domain.com'.*" involves three tools that should be invoked in sequence: "*Validate*", "*Login*", and "*UpdateInfo*". However, the descrip- tions of tools "*Validate*" and "*Login*", which are about "*Validate credential*" and "*Login account*", are semantically irrelevant to the query. As a result, although the invocation of "*UpdateInfo*" depends on the results of "*Validate*" and "*Login*", only the tool "*UpdateInfo*" can be successfully retrieved.

 In this paper, we propose Tool Graph Retriever **(TGR)**, which exploits the dependencies between tools to refine the tool retrieval process. As shown in Figure [2,](#page-1-0) it involves three steps: (1) Dependency Identification. In this step, we construct a dataset, termed as TDI300K, and train a discriminator to identify the tool dependencies; (2) Graph-Based Tool Encoding. To model the dependencies, we construct a graph with tools as nodes and their dependencies as edges. Then we use graph con- volution to integrate the dependencies for a better learning of the tool representations; (3) Online Re- trieval. We conduct online retrieval by calculating the query-tool similarity with the updated tool rep- [r](#page-8-4)esentations. Compared with previous studies [\(Li](#page-8-4) [et al.,](#page-8-4) [2023;](#page-8-4) [Qin et al.,](#page-8-5) [2023;](#page-8-5) [Patil et al.,](#page-8-3) [2023\)](#page-8-3), TGR leverages the tool dependencies as additional information to refine the retrieval process, thus lead-ing to better results.

**098** Overall, our contributions can be summarized as

follows: 099

- We propose Tool Graph Retriever (TGR), **100** leveraging tool dependencies as additional in- **101** formation to improve the performance of tool **102** retrieval. **103**
- We construct a tool dependency identification **104** dataset termed TDI300K and subsequently **105** train a discriminator, facilitating the subse- **106** quent studies in this area. **107**
- Experimental results and in-depth analyses **108** on several commonly-used datasets demon- **109** strate that TGR brings the improvement of 110 Recall, NDCG and Pass Rate to existing dom- **111** inant methods, achieving state-of-the-art per- **112** formance on several commonly-used datasets. **113**

### 2 Related Work **<sup>114</sup>**

Recently, LLMs have demonstrated outstanding **115** abilities in many tasks. Meanwhile, it becomes **116** dominant to equip LLMs with external tools, de- **117** riving many tool-augmented LLMs such as Tool- **118** former [\(Schick et al.,](#page-8-6) [2023\)](#page-8-6), ART [\(Paranjape et al.,](#page-8-7) **119** [2023\)](#page-8-7) and ToolkenGPT [\(Hao et al.,](#page-8-8) [2023\)](#page-8-8). How- **120** ever, as the number of tools grows rapidly, how **121** to efficiently conduct tool retrieval becomes more **122** important. **123**

In this regard, [Qin et al.](#page-8-5) [\(2023\)](#page-8-5) employ Sentence- **124** BERT [\(Reimers and Gurevych,](#page-8-9) [2019\)](#page-8-9) to train a **125** dense retriever based on a pretrained BERT-base **126** [\(Devlin et al.,](#page-8-10) [2019\)](#page-8-10). The retriever encodes the **127**

 queries and tool descriptions into embeddings re- spectively and selects top-k tools with the highest query-tool similarities. Similarly, [Li et al.](#page-8-4) [\(2023\)](#page-8-4) and [Patil et al.](#page-8-3) [\(2023\)](#page-8-3) employ paraphrase-MiniLM- L3-v2 [\(Reimers and Gurevych,](#page-8-9) [2019\)](#page-8-9) and text-**embedding-ada-00[2](#page-2-0)**  $^2$  as the tool retrievers respec- tively. Besides, [Hao et al.](#page-8-8) [\(2023\)](#page-8-8) represent tools as additional tokens and finetune the original LLM to autonomously select the tool to be invoked. Unlike the studies mentioned above, [Liang et al.](#page-8-11) [\(2023\)](#page-8-11) di- vide tools into different categories to quickly locate relevant ones. They also employ Reinforcement Learning from Human Feedback for the entire task execution, so as to enhance the ability of the tool retriever.

 Different from the above studies, TGR improves the effectiveness of tool retrieval with tool depen- dencies as additional information. We first identify 146 the dependencies between tools and model them as a graph. Then, we use graph convolution to in- tegrate the dependencies into tool representations, which are used for final online retrieval. To the best of our knowledge, our work is the first attempt to leverage tool dependencies to refine the retrieval **152** process.

## **<sup>153</sup>** 3 Tool Graph Retriever

 As shown in Figure [2,](#page-1-0) the construction and uti- lization of our retriever involve three steps: 1) De- pendency Identification; 2) Graph-Based Tool En- coding; 3) Online Retrieval. he following sections provide detailed descriptions of these steps.

#### <span id="page-2-2"></span>**159** 3.1 Dependency Identification

160 In this work, we consider the tool  $t_a$  depends on 161 the tool  $t_b$  if they satisfy one of the following con-**162** ditions:

- 163 The tool  $t_a$  requires the result from the tool  $t_b$  as the input. For example, if we want to **165** update the email of a user with the tool "*Up-***166** *dateEmail*", we should first get the permission **167** from the user with the tool "*Login*". There-**168** fore "*UpdateEmail*" depends on "*Login*" for **169** permission acquisition.
- 170 The tool  $t_a$  requires the tool  $t_b$  for prior verifi-**171** cation. For example, the tool "*Login*" depends **172** on the tool "*Validate*" to ensure a valid user-**173** name combined with the correct password.

<span id="page-2-1"></span>

Figure 3: The pipeline used to construct the pretraining dataset, which involves three steps: 1) Extract tool document; 2) Generate dependent tool document; 3) Validate and filter the dependency.

Based on the definition above, we build a dataset **174** termed TDI300K for tool dependency identifica- **175** tion with the format  $\{\langle t_a, t_b \rangle, y\}$ , where  $\langle t_a, t_b \rangle$  176 denotes a pair of tools and y denotes their depen- **177** dencies with three categories: (1)  $t_a$  depends on **178**  $t_b$ , (2) no dependency exists between  $t_a$  and  $t_b$  and **179** (3)  $t_b$  depends on  $t_a$ . It is worth noting that the **180** dependencies between tools are sparse in the tool **181** set, which, however, poses challenges for training **182** the discriminator on a dataset with an imbalanced **183** proportion of different categories. To solve this **184** problem, we adopt a two-stage strategy to train a **185** 3-class discriminator: the pretraining stage enables **186** the discriminator to understand tool functions, and **187** the finetuning stage enhances its ability to identify **188** tool dependencies. **189**

<span id="page-2-3"></span>**Pretraining** Due to the lack of open-source tool 190 dependency identification dataset, we design a **191** three-step pipeline to construct the pretraining **192** dataset derived from CodeSearchNet [\(Husain et al.,](#page-8-12) **193** [2019\)](#page-8-12), which contains 1.78 million real function **194** implementations across various programming lan- **195** guages. As shown in Figure [3,](#page-2-1) we employ three **196** agents based on gpt-3.5-turbo to extract tool docu- **197** ments, generate dependent tool documents, and val- **198** idate the dependency. The LLM-specific prompts **199** are shown in the Appendix [B.](#page-8-13) Firstly, given the spe- **200** cific implementation of a tool function, which is **201**

<span id="page-2-0"></span><sup>2</sup> https://platform.openai.com/docs/guides/embeddings

<span id="page-3-0"></span>

	Category   Pretraining   Finetuning				
$t_a \rightarrow t_b$	92,000	1,029			
$t_a \times t_b$	92,000	33,365			
$t_a \leftarrow t_b$	92,000	1.056			

Table 1: The statistics of our constructed dataset TDI300K for tool dependency identification. The arrow  $\rightarrow$  indicates the direction of the dependency and  $\times$ means no dependency.

202 the source of  $t_a$ , we extract the document in JSON **203** format, containing descriptions of tool functions, **204** input parameters, and output results. Subsequently, 205 the document of another tool  $t_b$  is generated which 206 is required to depend on  $t_a$ . Finally, we evaluate 207 whether the dependency between  $t_a$  and  $t_b$  fulfills **208** the predefined criteria, discarding tool pairs that do **209** not satisfy the conditions.

**Once we obtain an instance where**  $t_b$  **depends** 211 on  $t_a$ , their positions can be swapped to obtain the opposing dependency category. Finally, we con- struct the instances without tool dependencies by **breaking up and shuffling the tool pairs to make**  $t_a$ **and**  $t_b$  **independent. The statistics of the pretraining**  part of TDI300K are shown in Table [1.](#page-3-0) Notice that here we keep three categories balanced to ensure a comprehensive learning of our discriminator on all three categories.

 The pretraining process is a 3-class classifica- tion task, where we concatenate the documents of  $t_a$  and  $t_b$  and separate them with a special token [SEP], following [Devlin et al.](#page-8-10) [\(2019\)](#page-8-10). Besides, we add a special classification token [CLS] before the input sequence, whose final hidden state is used for 226 the classification task. With  $\hat{y}$  denoting the model prediction, we define the following cross-entropy training objective:

229 
$$
L(y, \hat{y}) = -\sum_{k=1}^{3} y_k \log(\hat{y}_k).
$$
 (1)

 Finetuning To enhance the ability of the discrim- inator, we further finetune it on a manually con- structed dataset with imbalanced category propor- tions which is more consistent with the real appli- cation scenario. First, we collect real function tools [3](#page-3-1)5 **hadden** from open-source datasets, projects, and libraries<sup>3</sup>. Then, we write documents for these function tools

with the same format as those in the pretraining 237 dataset. Subsequently, these tools are organized as **238** several tool sets to facilitate dependency annota- **239** tion. Based on the definition of tool dependency **240** mentioned above, we manually annotate the depen- **241** dency categories given a pair of tools within a tool **242** set. The statistics of the result datasets are also **243** shown in Table [1.](#page-3-0) **244** 

From Table [1,](#page-3-0) we can clearly find that the imbal- **245** ance category proportions propose a challenge for **246** the discriminator. To deal with this problem and **247** avoid overfitting, we define the following category- **248** specific average training loss: **249** 

$$
L(y, \hat{y}) = -\sum_{k=1}^{3} \frac{\sum_{i=1}^{N_k} y_{i,k} \log(\hat{y}_{i,k})}{N_k}
$$
 (2)

(2) **250**

where  $N_k$  denotes number of instances with the  $251$ k-th dependency category. **252**

Notably, during the practical finetuning process, **253** we split 20 percent of the whole dataset as the vali- **254** dation dataset, which is used to keep the checkpoint **255** with the best performance. We also manually con- **256** struct the testing dataset, which is derived from the **257** existing tools in API-Bank, and the dependency **258** categories are manually annotated. It contains 60, **259** 500, and 60 samples for each category respectively. **260** Here we choose API-Bank as the source of the test 261 dataset since the tools are massive and the depen- **262** dencies are hard to annotate in ToolBench. The **263** performances of the discriminator on the validation **264** and testing dataset will be presented in Section [4.2.](#page-5-0) **265**

#### 3.2 Graph-Based Tool Encoding **266**

With the above tool dependency discriminator, we 267 use it to identify the dependencies among the tool **268** set and then construct a tool dependency graph. **269** Formally, our graph is directed and can be formal- **270** ized as  $G = (V, E)$ . In the node set V, each node **271** represents a candidate tool. As for the edge set **272** E, if the tool  $t_a$  depends on the tool  $t_b$ , the node **273** of  $t_a$  will be linked to that of  $t_b$ , forming an edge.  $274$ Let us revisit the graph in Figure [2.](#page-1-0) In this graph, **275** we include the tools "*Validate*", "*Login*", and "*Up-* **276** *dateEmail*" as separate nodes, and construct two **277** edges linking the tool nodes: "*Login*" to "*Validate*", **278** "*UpdateEmail*" to "*Login*", respectively. **279**

Then, based on the tool dependency graph, we **280** adopt graph convolution [\(Kipf and Welling,](#page-8-14) [2017\)](#page-8-14) **281** to learn tool representations, where the tool de- **282** pendency information is fully incorporated. For- **283** mally, we follow [Kipf and Welling](#page-8-14) [\(2017\)](#page-8-14) to con- **284**

<span id="page-3-1"></span> $3$ The sources include datasets like the training dataset of ToolBench, projects like online shopping, and libraries like OpenGL.

- 
- <span id="page-4-0"></span>

**285** duct graph-based tool encoding in the following **286** way:

287 
$$
G(X, A) = D^{-\frac{1}{2}}(A+I)D^{-\frac{1}{2}}X.
$$
 (3)

 Here X stands for the tool embedding matrix. A and D denote the adjacency matrix and degree ma- trix of the graph respectively. There are several ways to initialize the tool embeddings here. For latter experiment, we follow [Qin et al.](#page-8-5) [\(2023\)](#page-8-5) to use the retriever to encode the tool documents with a specific format for ToolBench to embeddings. While for API-Bank, we only encode the tool de- scriptions to mitigate the difference between the query domain and tool document domain. It is also worth noting that Equation [3](#page-4-0) removes the trainable parameters of GCN [\(Kipf and Welling,](#page-8-14) [2017\)](#page-8-14) to accelerate the retrieval process.

# **301** 3.3 Online Retrieval

 The final process of TGR is to retrieve tools with the updated tool representations, which have in- corporated the dependency information. Specifi- cally, given a user query, we encode the query to an embedding vector with the same dimension as [t](#page-8-5)he updated tool representations. Following [Qin](#page-8-5) [et al.](#page-8-5) [\(2023\)](#page-8-5), we compute the similarities between the embeddings of queries and tools as the retrieval score. Subsequently, we rank all the candidate tools in descending order and return top-k tools with the highest scores.

# **<sup>313</sup>** 4 Experiment

**314** In this section, we conduct comprehensive experi-**315** ments and in-depth analyses to evaluate the effec-**316** tiveness of TGR.

# **317** 4.1 Setup

**318** Datasets We carry out experiments on two **319** commonly-used datasets:

 • API-Bank [\(Li et al.,](#page-8-4) [2023\)](#page-8-4). The test dataset of API-Bank involves 3 levels, including a total of 311 test samples which are composed of the user query, the corresponding tools, and the final execution results. During the evaluation, we extract user queries and the correspond- ing tool retrieval results to quantify the tool retrieval performance.

**328** • **ToolBench** [\(Qin et al.,](#page-8-5) [2023\)](#page-8-5). Considering the **329** massive number of APIs and time complex-**330** ity, we conduct experiments with the ToolBench instances at the I1 level<sup>[4](#page-4-1)</sup>. Given the **331** category information about the APIs in Tool- **332** Bench, we first group these APIs based on **333** their categories. Subsequently, we identify **334** the dependencies between APIs within each **335** group and build a graph. Finally, on the ba- **336** sis of the graph, all API representations are **337** updated for retrieval. **338**

Baselines We compare TGR with several com- **339** monly used retrieval baselines, which can be **340** mainly divided into the following two categories: **341**

- Word frequency-based retrieval methods. **342** Typically, these methods compute the similar- **343** ities between the queries and tool descriptions **344** according to the word frequency. In this cat- **345** egory, the commonly used methods include **346** BM25 [\(Robertson et al.,](#page-8-15) [2009\)](#page-8-15) and TF-IDF **347** [\(Ramos et al.,](#page-8-16) [2003\)](#page-8-16). **348**
- Text embedding-based retrieval methods. **349** The methods we consider in this category **350** involve different text embedding models: **351** Paraphrase MiniLM-L3-v2 [\(Reimers and](#page-8-9) **352** [Gurevych,](#page-8-9) [2019\)](#page-8-9) and **ToolBench-IR** [\(Qin](#page-8-5) 353 [et al.,](#page-8-5) [2023\)](#page-8-5), which have been used in API- **354** Bank and ToolBench as tool retrievers respec- **355** tively. **356**

Implementation Details We use BERT-base- **357** uncased [\(Devlin et al.,](#page-8-10) [2019\)](#page-8-10) as the base model **358** of the discriminator. As described in Section [3.1,](#page-2-2) **359** we first pretrain the discriminator on the category- **360** balanced pretraining dataset, and then finetune it on **361** the category-imbalanced finetuning dataset. During **362** this process, we keep the checkpoint with the best **363** performance on the validation dataset and evaluate **364** its performance on the test dataset. Finally, we use **365** Precision, Recall, and F1 score as the evaluation **366** metrics for the discriminator. **367** 

As for the tool retrieval experiment on API-Bank, **368** we simply use the description of tools for retrieval. **369** For ToolBench, we follow [Qin et al.](#page-8-5) [\(2023\)](#page-8-5) to use **370** a structured document format of tools containing **371** names, descriptions, and parameters for retrieval. **372** Lastly, following [Qu et al.](#page-8-17) [\(2024\)](#page-8-17), we consider **373** three metrics: Recall, NDCG, and Pass Rate at the **374** settings of top-5 and top-10 for both API-Bank and **375**

<span id="page-4-1"></span><sup>4</sup>At I2 and I3 levels, each query involves APIs across different categories, which proposes challenges of high time complexity for constructing graphs. Thus, we leave extending our retrieval to other levels as future work.

<span id="page-5-3"></span>

<b>Dataset</b>	<b>Method</b>	Recall		<b>NDCG</b>		<b>Pass Rate</b>	
		@5	@10	@5	@10	@5	<b>@10</b>
API-Bank	BM25 (Robertson et al., 2009)	0.391	0.493	0.353	0.394	0.228	0.302
	TF-IDF (Ramos et al., 2003)	0.566	0.746	0.501	0.573	0.383	0.605
	PMLM-L3-v2 (Reimers and Gurevych, 2019)	0.659	0.763	0.569	0.609	0.479	0.592
	$PMLM-L3-v2+TGR$	0.736	0.834	0.622	0.659	0.576	0.698
	ToolBench-IR (Qin et al., 2023)	0.714	0.790	0.639	0.670	0.531	0.624
	ToolBench-IR+TGR	0.761	0.878	0.664	0.712	0.595	0.788
ToolBench-I1	BM25 (Robertson et al., 2009)	0.175	0.218	0.224	0.221	0.030	0.090
	TF-IDF (Ramos et al., 2003)	0.406	0.525	0.442	0.473	0.210	0.330
	PMLM-L3-v2 (Reimers and Gurevych, 2019)	0.365	0.468	0.399	0.421	0.140	0.250
	PMLM-L3-v2+TGR	0.429	0.556	0.451	0.483	0.240	0.450
	ToolBench-IR (Qin et al., 2023)	0.709	0.841	0.791	0.807	0.460	0.690
	ToolBench-IR+TGR	0.742	0.868	0.811	0.829	0.510	0.730

Table 2: Evaluation results on API-Bank and ToolBench-I1.

<span id="page-5-1"></span>

	<b>Valid</b>	Test
Precison	0.775	0.893
Recall	0.814	0.760
F1	0.792	0.817

Table 3: Performance of the tool dependency discriminator. We evaluate the Precision, Recall, and F1 score on the train, valid, and test datasets.

 ToolBench. Here we define the Pass Rate as the proportion of test samples whose required tools are totally retrieved successfully, which can be formal-ized as follows:

$$
pass@k = \frac{1}{|Q|} \sum_{q}^{Q} \mathbb{I}(\Phi(q) \subseteq \Psi^k(q)) \tag{4}
$$

 where  $\Phi(q)$  denotes the set of ground-truth tools for **query q,**  $\Psi^k(q)$  **represents the top-k tools retrieved** 383 for query q, and  $\mathbb{I}(\cdot)$  is an indicator function that returns 1 if the retrieval results include all ground- truth tools within the top-k results for query q, and 0 otherwise.

 A higher Recall demonstrates that more required tools are successfully retrieved, a higher NDCG score indicates that the target tools achieve higher ranks, and a higher Rass Rate signifies that more queries are completed with all the required tools retrieved.

<span id="page-5-2"></span>

	<b>API-Bank</b>	ToolBench
#Total	119	10,439
#Connected	50	8,600
Proportion	0.420	0.824

Table 4: The proportion of connected graph nodes in API-Bank and ToolBench.

#### <span id="page-5-0"></span>4.2 Discriminator Performance **393**

In this group of experiments, we first focus on the **394** quality of the constructed tool dependency graph, **395** which, intuitively, greatly depends on the discrimi- 396 nator and is crucial for the performance of TGR. **397**

To this end, we present the Precision, Recall, **398** and F1 score of our discriminator across the vali- **399** dation and testing datasets in Table [3.](#page-5-1) Overall, our **400** discriminator can achieve decent performance on **401** two datasets. Additionally, the resulting graphs are **402** visualized in Appendix [C.](#page-8-18) 403

Furthermore, we calculate the proportions of  $404$ connected nodes in the tool dependency graphs, **405** as shown in Table [4.](#page-5-2) We note that the proportions **406** of connected graph nodes differ between the two **407** datasets, which is influenced by the granularity of **408** tool functions because fully-featured tools are less **409** likely to depend on others while specialized tools 410 designed with fine-grained functions usually have **411** more intensive dependencies. **412**

<span id="page-6-1"></span>

<b>Method</b>		Recall		<b>NDCG</b>		<b>Pass Rate</b>	
		@5	@10	@5	@10	@5	@10
$PMLM-L3-v2$ (Reimers and Gurevych, 2019)	$+TGR-d$	0.736	0.834	0.622	0.659	0.576	0.698
	$+TGR-m$	0.745	0.846	0.634	0.672	0.592	0.711
ToolBench-IR (Qin et al., 2023)	$+TGR-d$	0.761	0.878	0.664	0.712	0.595	0.788
	$+TGR-m$	0.788	0.893	0.698	0.741	0.646	0.817

<span id="page-6-0"></span>Table 5: Performance comparison between different TGRs, of which tool dependency graphs are constructed by our discriminator (represented as +TGR-d) and manual annotations (represented as +TGR-m). These group of experiments are conducted on the API-Bank [\(Li et al.,](#page-8-4) [2023\)](#page-8-4).

#### **413** 4.3 Main Results

 The results of tool retrieval are presented in Table [2,](#page-5-3) showing that on all three metrics, TGR significantly improves the performance of base text embedding models and outperforms word frequency-based re- trieval methods to a large extent. This indicates that incorporating tool dependency as additional infor- mation greatly enhances the effectiveness of tool retrieval. Furthermore, we arrive at the following interesting conclusions.

 Firstly, when applying TGR to ToolBench-IR, which is finetuned specifically for the tool retrieval task, it can achieve the SOTA performance on both datasets. Therefore, we believe that finetuning and TGR are two methods that are compatible with each other and thus can be used to improve the performance of tool retrieval simultaneously.

 It can also be seen that the methods based on ToolBench-IR greatly surpass others on ToolBench. This is because the tool documents in ToolBench have a specific format that only ToolBench-IR can fit well since it is finetuned on the training set of ToolBench.

#### **436** 4.4 Effect of Different Dependency Graph

 In this subsection, we study the effect of the graph construction quality for TGR. Due to the extensive number of tools in ToolBench, which makes man- ual annotation of the entire graph impractical, we choose API-Bank and the same two text embed- [d](#page-8-9)ing models: Paraphrase MiniLM-L3-v2 [\(Reimers](#page-8-9) [and Gurevych,](#page-8-9) [2019\)](#page-8-9) and ToolBench-IR [\(Qin et al.,](#page-8-5) [2023\)](#page-8-5) for this experiment. We also use the same metric as the main experiments in Section [4.3.](#page-6-0)

**446** Table [5](#page-6-1) lists the experimental results. To avoid **447** confusion, we term the TGR based on the discrim-**448** inator as +TGR-d and on manual annotation as

<span id="page-6-2"></span>

Figure 4: The relationship between the density of the tool dependency graph and the recall increment.

+TGR-m. From this table, we can clearly observe **449** that +TGR-m performs better than +TGR-d, no **450** matter which embedding model is used. In our 451 opinion, this result is reasonable because the quality **452** of the manually-constructed tool dependency graph **453** is higher than that of the discriminator-constructed **454** graph. Thus, we believe that how to improve the **455** performance of our discriminator is very important **456** for the further improvement of TGR. **457**

#### 4.5 Effect of Graph Density **458**

In this subsection, we evaluate the effect of the den- **459** sity of the tool dependency graph on tool retrieval. 460 Specifically, we collate all the tools in ToolBench 461 by their categories and rank the categories accord- **462** ing to their graph density, which is measured by **463** the proportion of connected tool nodes. Due to the **464** limited size of the test set, we extract 100 queries **465** for each category from the train set for evaluation, **466** which are completely unused during the procedure **467** of discriminator dataset construction. For the evalu- **468** ation metric, we measure the recall increment of the **469**

<span id="page-7-0"></span>

Table 6: Case study of tool retrieval on API-Bank. Correct APIs are highlighted in blue.

 TGR-enhanced text embedding model over the base text embedding model at the top-5 setting. Here we use the ToolBench-IR as the text embedding model considering its excellent retrieval performance.

 The result is shown in Figure [4.](#page-6-2) We can see that as the density of the graph increases, the recall increment also exhibits an upward trend, which val- idates that dependencies between tools indeed help to improve the performance of tool retrieval. It also demonstrates that TGR is highly robust and more effective for dependency-intensive tool retrieval.

#### **481** 4.6 Case Study

 Finally, we provide two examples to further illus- trate how TGR improves the performance of tool retrieval. We conduct case studies on both API- Bank and ToolBench with ToolBench-IR as the base text embedding model, since it achieves the best performance in our main experiments.

 Table [6](#page-7-0) presents the first example in API-Bank, where the tool "*DeleteAccount*" requires the re- sult (the user token) from the tool "*GetUserToken*" as an input parameter. We display the retrieval results by their ranking orders. The retrieval re- sults of ToolBench-IR contain only one correct API "*DeleteAccount*" with the top rank due to its high se- mantic similarity with the query. With the enhance- ment of TGR, "*GetUserToken*", which "*DeleteAc- count*" depends on, incorporates the information from "*DeleteAccount*" and is also retrieved with a high rank.

**500** Table [7](#page-7-1) presents the second example in Tool-

<span id="page-7-1"></span>

Table 7: Case study of tool retrieval on ToolBench. Correct APIs are highlighted in blue.

Bench. It is obvious that the base ToolBench-IR **501** misses the required API "*Get Today's Prediction*". **502** Given the relationship that "*Get Next Prediction*" **503** depends on "*Get Today's Prediction*", the TGR- **504** enhanced ToolBench-IR succeeds in retrieving the **505** missing tool. 506

#### 5 Conclusion **<sup>507</sup>**

In this paper, we introduce Tool Graph Retriever **508** (TGR), leveraging tool dependencies to enhance **509** the tool retrieval process for LLMs. We first de- **510** fine the criteria for tool dependency and establish a **511** dataset to train a discriminator for identifying tool **512** dependencies. Then, we use this discriminator to **513** handle candidate tools, forming a tool dependency **514** graph. Subsequently, via graph convolution, we **515** perform tool encoding based on this graph, where **516** the updated tool representations can be used for **517** the final tool retrieval. Experimental results and **518** in-depth analyses strongly demonstrate the effec- **519** tiveness of TGR across multiple datasets. **520**

In the future, we will explore more features to **521** improve our discriminator, which has a significant **522** impact on the performance of our TGR. Besides, **523** we will try some efficient graph networks to obtain **524** better tool representations. Finally, how to further **525** enhance the generalization of our TGR is also one **526** of our future research focuses. **527**

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- **<sup>528</sup>** Limitations
- **529** In our opinion, due to the absence of a tool de-**530** pendency identification dataset, the accuracy of **531** the discriminator is somewhat limited. The time 532 **complexity of graph construction is**  $O(N^2)$ **, which 533** could be optimized by developing prior rules to **534** filter out tools with no apparent dependency.

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## <span id="page-8-13"></span>B Prompts for LLMs during Dataset **<sup>615</sup>** Construction 616

Here we provide the prompts we used for each 617 LLM in the dataset construction pipeline we men- **618** tioned in Section [3.1.](#page-2-3) The prompts are shown in **619** Figure [6,](#page-9-1) [7,](#page-10-0) and [8](#page-11-0) respectively. 620

between vectors, thus losing some features. **614**

## <span id="page-8-18"></span>C Visualization of the Graph **<sup>621</sup>**

We also visualize the tool dependency graph. Con- 622 sidering aesthetics and simplicity, we display part **623** of the graph in API-Bank and ToolBench con- **624** structed by the discriminator. The graph is shown **625** in Figure [9](#page-11-1) and [10.](#page-12-0) **626**

<span id="page-9-0"></span>

Figure 5: Evaluation on different similarity computing methods.

<span id="page-9-1"></span>

Please provide the API documentation for the above function:

Figure 6: The prompt for the LLM to extract API documentation.

```
Here is a API document. To analyze the input and output parameters of this document, you
need to generate another API document that meets one of the following conditions:
1. The input parameters of the generated API are obtained from the output parameters of the
given API
2. The output parameters of the generated API can serve as input parameters for the given API
Below is the given API documentation:
 `ison
{api}Please return your results in the following json format:
  `json
\{"satisfied": " The serial number that satisfies the above conditions, with a value of 1 or 2",
  "name": "function name".
  "description": " the function description can be directly filled with `doc string`",
  "input param": [
     \{"name": " name of input parameter",
       "type": " type of input parameter",
       "description": " description of input parameter "
     \}\mathbf{I}"output param": [
       "name": " name of output parameter",
       "type": " type of output parameter",
       "description": " description of output parameter "
     \mathcal{E}\mathbf{I}\}Among them, satisfied indicates that the generated API satisfies which condition, numerical
type, the value is 1 or 2. function name field name and function description field are strings,
input parameter `input parm` field and output parameter `ouput param` are lists, each
parameter contains parameter name, parameter type type, parameter description description
three fields, all of which are of string type. Please return all the above fields in English.
Please give the documentation of the generated API:
```
Figure 7: The prompt for the LLM to generate API documentation of a dependent tool function.

<span id="page-11-0"></span>Here are two API documents given in json format, you need to determine if there is a parameter dependency between the two APIs, i.e. whether the input of one API should be taken from the output of the other. The first API: "json"  ${api1}$ The second API: `json  $\{api2\}$ Determine whether the above two APIs have dependencies on parameters, and return them in the following json format: `json  $\{$ "result": " 1 means there is a dependency on the parameter, 0 means there is no dependency on the parameter"  $\left\{ \right.$ 

Figure 8: The prompt for the LLM to verify the dependency between two tools with the format of API documentation.

<span id="page-11-1"></span>

Figure 9: Visualization of the part of our constructed tool dependency graph in API-Bank [\(Li et al.,](#page-8-4) [2023\)](#page-8-4). The directed edge from  $t_a$  to  $t_b$  means  $t_a$  is the prerequisite of  $t_b$ , i.e. the calling of  $t_b$  depends on  $t_a$ .

<span id="page-12-0"></span>

Figure 10: Visualization of the part of our constructed tool dependency graph in Toolbench [\(Qin et al.,](#page-8-5) [2023\)](#page-8-5).