ProOA: Structural Prompt-based Pre-training for Unified Question Answering

Anonymous ACL submission

Abstract

Question Answering (QA) is a longstanding 002 challenge in natural language processing. Existing QA works mostly focus on specific question types, knowledge domains, or reasoning skills. The specialty in QA research hinders sys-006 tems from modeling commonalities between tasks and generalization for wider applications. To address this issue, we present ProQA, a unified QA paradigm that solves various tasks through a single model. ProQA takes a unified structural prompt as the bridge and improves the QA-centric ability by structural promptbased pre-training. Through a structurally de-013 signed prompt-based input schema, ProQA concurrently models the knowledge generalization for all QA tasks while keeping the knowledge customization for every specific QA task. 017 Furthermore, ProQA is pre-trained with struc-019 tural prompt-formatted large-scale synthesized corpus, which empowers the model with the commonly-required QA ability. Experimental results on 11 QA benchmarks demonstrate that ProQA consistently boosts performance on both full data fine-tuning, few-shot learning, and zero-shot testing scenarios. Furthermore, ProQA exhibits strong ability in both continual learning and transfer learning by taking the advantages of the structural prompt.¹

1 Introduction

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Question Answering has long been an inspirational challenge in NLP research, and is viewed as the next-generation search engine and an essential tool for human beings to obtain knowledge (Etzioni, 2011). Many distinct datasets (Rajpurkar et al., 2016; Lai et al., 2017; Kwiatkowski et al., 2019) have been proposed along with the research trend on QA, involving very diverse question types (e.g., extractive QA, abstractive QA, multiple-choice QA), domains (e.g., finance, daily events), and answer types (e.g., free-formed text, selected option). The majority of previous works focus on tasks with specific question types (Lai et al., 2017; Yang et al., 2018) or specific domains (Trischler et al., 2017; Kwiatkowski et al., 2019). Recent research on large pre-trained language models (Brown et al., 2020; Bommasani et al., 2021) indicates that there may be tight connections among various tasks, which sheds light on a unified paradigm that can be potentially applied to solve various QA tasks to model their commonality.

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This observation motivates us to develop a unified QA model, which can model both the commonly-required QA ability and the difference between various QA tasks within a same paradigm. To achieve this goal, there are several key challenges needed to be addressed: (1) How to model commonalities and enhance transferability among different QA tasks in various domains/formats while reducing the conflict between them? (2) How to construct large-scale QA corpus as the highquality QA-centric data is scarce for pre-training?

In light of this, we conceive ProQA, a unified QA paradigm, which builds up a general model to solve different QA tasks utilizing a structural prompt and improves commonly-required QA ability via structural prompt-based pre-training.

Firstly, to model the commonalities and distinguish task differences, we adopt a structural **prompt** to organize the inputs with a unified structurally designed input schema. As illustrated in Fig. 1, given the complex components (e.g., "Domain", "Format", "Task", "Question", "Passage") as inputs, ProQA divides components into multiple key-value pairs, in which a specific component like "Question" denotes a key, and the specific instance in this component is taken as the value. In this way, the model can discriminate different input components by key indicators and model the speciality of each task via task-specific values (learnable prompts).

Secondly, to alleviate data sparsity problem and

We will release the code, pre-training corpus and pretrained models to facilitate future research along this line.



Figure 1: Approach overview of ProQA. Each box represents a specific instance formulated with the **structural prompt**, and ProQA is pre-trained with **structural prompt-based pre-training**. [] indicates *special key indicator*, < > denotes *hard prompt*, and colored squares denote *continuous learnable soft prompts*.

empower the model with transferability to the adaptation of new tasks, we conduct **structural promptbased pre-training**. We first build a large-scale synthetic QA corpus automatically from Wikipedia, utilizing only a few seed datasets as the prior supervisions for pre-training corpus construction and finally covering primary QA formats. Then we format the pre-training data with the structural prompt, and teach the model to learn the general purpose QA-centric ability and the functionality of each component in the structural prompt via pre-training.

We evaluate the effectiveness of ProQA on 11 downstream QA benchmarks, and the results show that our system achieves consistent performance boost in full data fine-tuning, few-shot learning, and zero-shot learning settings. Experiments demonstrate that ProQA can better mitigate the catastrophic forgetting issue during continual learning by restoring the task-specific soft prompts residing in the structural prompt. Further analyses illustrate that our model has better transferability as it can be more quickly adapted to a newly involved task. Ablation studies verify the effective-

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ness of both the soft prompt and prompt-based pre-training.

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The contributions are summarized as follows:

- We propose ProQA, a unified QA framework for solving various tasks within a single paradigm, taking an extensible and learnable structural prompt as the bridge.
- We enhance general QA-centric capabilities via structural prompt-based pre-training.
- Comprehensive experiments show that our model consistently improves the performance on 11 QA tasks especially in low-resource settings and exhibits better effectiveness in continual learning and few-shot transfer learning.

2 Related Work

Unifying QA formats. Despite vast diversity of current QA tasks in question type, answer type, answer source, and data domain (Zeng et al., 2020), there have been efforts in exploring a *unified* format for various QA tasks. Some pioneered to demonstrate the generalization and transferability among different QA tasks (Talmor and Berant, 2019; Dua et al., 2019a; Fisch et al., 2019). Another line of

works investigate multi-task learning for QA (Mc-Cann et al., 2018; Shen et al., 2019; Deng et al., 2019) by jointly training a single encoder to promote knowledge sharing. However, these methods typically require deploying distinct prediction heads for different tasks, which lead to poor scalability and flexibility when confronted with emerging QA tasks of new types.

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To this end, inspired by the success of casting multiple tasks into the same text-to-text format (Lewis et al., 2020; Raffel et al., 2020), researchers propose to learn a single model to unify various QA formats, alleviating the labor of taskspecific designs (Khashabi et al., 2020b; Tafjord and Clark, 2021). However, these models (1) do not explicitly model the task or component characteristics, thus failing to properly disentangle the difference among QA tasks; and (2) overly rely on supervised data from specific tasks, which may not be available under data-scarce scenarios.

QA-centric pre-training. Numerous efforts have been spent on improving PLMs' reasoning 150 abilities with an intermediate pre-training stage be-151 fore fine-tuning on target QA tasks, including (1) 152 language modeling adaptation with salient span 153 masking, which trains PLMs to recover randomly 154 chosen (Guu et al., 2020; Wang et al., 2021) or 155 machine-generated (Kang et al., 2020) masked 156 named entities in the raw corpus; (2) training data augmentation with synthetic question-answer-158 context triples, such as generating (a) pseudo ques-159 tions through adversarial training (Hosking and Riedel, 2019), knowledge bases (Hu et al., 2021) 161 162 or machine translation (Lewis et al., 2019), (b) pseudo answers exploiting recurring spans (Ram 163 et al., 2021) or rules based on heuristics (Bian et al., 164 2021) and (c) pseudo contexts via information re-165 trieval (Glass et al., 2019). Nevertheless, these 166 works largely target at improving a certain reason-167 ing ability for PLMs, and thus cannot be easily 168 generalized to other QA tasks. 169

Prompts for PLMs. To effectively stimulate the 170 knowledge acquired through pre-training, prompt-171 oriented fine-tuning is receiving increasing atten-172 tion (Liu et al., 2021; Ding et al., 2021), which 173 re-formulates the objective of downstream tasks 174 similar to that of pre-training by inserting manually 175 designed (Schick and Schütze, 2021a,b) or automat-176 ically searched (Jiang et al., 2020; Shin et al., 2020) 177 hard prompt tokens into the input text. Consider-178

[Format]: <Format Prompt> ...
[Task]: <Task Prompt> ...
[Domain]: <Domain Prompt> ...
[Question]: Question Text
[Passage]: Passage Text
[Key_i]: Value_i
...
[Key_k]: Value_k

Figure 2: An illustration of the structural prompt. [] indicates special key indicator, < > denotes hard prompt, and grey squares indicate continuous soft prompts.

ing that discrete prompts may not be an optimal solution in the continuous embedding space, recent works (Li and Liang, 2021; Hambardzumyan et al., 2021) proposed tunable soft prompts. It achieves satisfying performance especially when the model size grows extremely large (Lester et al., 2021). Compared with the cumbersome parameters in PLMs, soft prompts are lightweight and pluggable, which paves the way for our goal of flexible adaptation to a new QA task. 179

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3 ProQA

In this section, we detailedly describe the whole framework of ProQA for general purpose QA, which solves various QA tasks within the same paradigm.

3.1 Overview

As shown in Fig. 2, we first organize the inputs of various QA tasks with a unified **structural prompt** (§ 3.2), and adopt a unified text-to-text model for question answering. Then, to enhance the model in learning the general QA-centric ability and the semantics of the structural prompt, we conduct **structural prompt-based pre-training** with synthetic pre-training corpus formatted with the structural prompt (§ 3.3).

Inspired by Khashabi et al. (2020b) and T5 (Raffel et al., 2020), we solve all downstream QA tasks with a unified text-to-text model. In this work, we mainly adopt T5 as the model backbone. Taking the structural prompt-based model input, the unified model generates the answer of the question.

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3.2 Structural Prompt

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211 Here we detailedly illustrate the design of the struc-212 tural prompt and its formatted input to the model.

Definition. We organize complex QA task inputs with the structural prompt. As shown in Fig. 2, the structural prompt consists of multiple {key : value} pairs, where the key represents a specific component² (e.g., "Task", "Format", "Question", etc.), and the value has two possible types: (1) textual content (e.g., question, passage, options) of the data instance; (2) task attributes (e.g., format, domain) represented as the combination of a discrete hard prompt and continuous soft prompts. The hard prompt is a predefined discrete description (we adopt a special token here), and the soft prompts are lightweight learnable and pluggable continuous embeddings that are proven to be parameter-effective in task adaptation (Lester et al., 2021). The structural prompt-formatted examples are illustrated in Fig. 1. In the case of the SQuAD dataset, "(Format Prompt)", "(Task Prompt)", "(Domain Prompt)" will be "(Extractive QA, "(SQuAD)", "(Wikipedia)", respectively.

To enhance the model in discriminating the functional difference between components, we adopt a **special key indicator** with learnable representation to represent each key. Furthermore, to model the difference between several tasks/domains/formats, we also adopt learnable and storable **specific soft prompts** as the *value* to represent their customized characteristics, which makes the model more flexible for task adaptation.

As a result, the structural prompt can empower the model in the following aspects: (1) modeling knowledge generalization of various tasks utilizing a unified input schema; (2) discriminating different components with the special *key* indicator; (3) customizing the speciality of each task/format/domain with learnable and storable soft prompts as the *value* under corresponding keys.

Input Representation. Specifically, given a structural prompt-formatted instance, we describe the specific representation of the model input. We firstly translate k^{th} key to a *key indicator* D_k (a special token), which is attached by the tokens V_k of the specific value to form a token sequence. It is further represented as $E_k = Embedding([D_k; V_k])$.

The representation of D_k is initialized and updated during training. Since we use soft prompts $P_{\text{task}}/P_{\text{format}}/P_{\text{domain}}$ as the value of the corresponding key and they are commonly required for all the tasks, we prepend them to the input for convenience and concatenate all the E_k to form the final model input X:

$$\boldsymbol{X} = [\boldsymbol{P}_{\text{domain}}; \boldsymbol{P}_{\text{format}}; \boldsymbol{P}_{\text{task}}; \boldsymbol{E}_1; ...; \boldsymbol{E}_k] \quad (1)$$

It is also worth noting that the representations D of key indicators and the soft prompts P are jointly trained with the main model parameters during pretraining for learning the semantics of the structural prompt. Moreover, after being tuned by various tasks, the soft prompts P can be stored to record the customized task-specific characteristics.

3.3 Structural Prompt-based Pre-training

In this part, we introduce how we conduct structural prompt-based pre-training to help the model in learning commonly-required QA ability and the semantics of the structural prompt during pre-training to facilitate the adaption of the structural prompt to downstream tasks.

Task Formulation. Along with the structural prompt-based paradigm, we manifest various exemplary QA format types (i.e., *Extractive QA*, *Abstractive QA*, *Multiple-choice QA* and *Yes/No QA*) for pre-training to inject the general QA-centric ability. Given the multi-format QA pre-training corpus, we transform all QA formats according to the proposed structural prompt, which enables joint pre-training while keeping the differences among various formats. Taking a structural prompt-formatted instance as the input and a free-form answer as the output, the task is further tailored to a QA task with the encoder-decoder model.

Pre-training Corpus Construction. When we prepare the QA pre-training corpus, data sparsity problem is extremely severe because (1) it is impractical and laborious to obtain a large-scale high-quality annotated data for pre-training and (2) it is hard to generate QA-centric self-supervised data using rule-based methods (e.g., token masking or sentence reordering). In this work, inspired by Lewis et al. (2021), we adopt a generation-filtering based corpus construction method to synthesize a large-scale pre-training corpus, based on a large-scale unlabeled Wikipedia corpus with almost 6 million passages.

²It is worth noting that "*Format*" key denotes the format type (e.g., "*MultiChoice QA*") of the task while the "*Task*" key denotes a specific dataset (e.g., "*SQuAD*").

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Typically, the general generation-filtering pro-

1. A QA-pair generation model $g_{qa}(q, a|c)$: Given a passage c as input, $g_{qa}(q, a|c)$ generates q [SEP] a as the output sequence including

2. A filtering QA language model f(a|q,c) for

filtering the generated QA-pairs to ensure the

quality and consistency of the question and the

answer. f(a|q,c) is a conditional-probability-

based approach to filter out QA pairs softly. It

scores a QA pair (q, a) with the likelihood of the answer a conditioned on the passage c and

question q. The QA-pairs with scores higher

than a threshold will be kept for pre-training.

We adopt the same text-to-text pre-trained model

T5 described in § 3.1 as the model backbone of

To ensure the reliability of the generation and

filtering models, we inevitably select a few seed

datasets (typically one for each QA format type)

as the prior supervisions to train these models. It

is worth mentioning that, we avoid using more su-

pervised data for corpus construction, because we

expect the whole paradigm to have better expand-

ability. In other words, if we want to extend the

paradigm for a newly-involved QA format type but

with limited supervised data, we can utilize these

data to automatically create a synthetic large-scale

More specifically, the construction method has

both the generation and filtering model.

cess consists of the following components:

a pair of question q and its answer a.

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little variance for different formats according to their input components. For Extractive QA and Abstractive QA, we adopt the aforementioned gen-339 eral method to synthesize QA-pairs. We also tried to first extract answers using rule-based method (extracted named-entities or key phrases), and only 341 generate questions. We empirically find that this 342 method performs much worse as it involves sim-343 ple bias of the rule-based method. As the inputs 344 for Multiple-Choice QA involve a new component 345 "Candidate Answers", we adopt a distractor (negative options) generation model $g_{neg}(o|c,q,a)$ to 347 generate three negative options o. For Yes/No QA, we simply generate questions by taking True/False 349 as the corresponding answers. Further details are

described in Appendix A.

pre-training corpus.

| Format | Dataset | #Train | #Dev | QA Skills |
|---|---------|--------|------|-----------------------------|
| | SQuAD* | 87k | 10k | Word Matching |
| Extractive OA | Quoref | 22k | 2k | Coreference Reasoning |
| , in the second s | NewsQA | 76k | 4k | Word Matching |
| | NarQA* | 65k | 21k | Story Understanding |
| Abstractive QA | DROP | 77k | 9k | Discrete Reasoning |
| | NQOpen | 79k | 3.6k | Multi-passage Understanding |
| | RACE* | 87k | 4k | Multi-sentence Reasoning |
| MultiChoice QA | DREAM | 6k | 2k | Dialog Reasoning |
| | MCTest | 1.4k | 320 | Multi-sentence Reasoning |
| | OBQA | 4k | 501 | Common Knowledge |
| | SIQA | 33.4k | 2.2k | Commonsense Reasoning |

Table 1: Dataset statistics and required language understanding skills. Datasets with * denote seed datasets for preparing pretraining data.

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4 **Experimental Setup**

Datasets and Evaluation Metrics 4.1

We consider three formats of QA datasets in our experiments: Extractive QA, Abstractive QA and Multiple-Choice QA³. For each QA format, we select one *seed* dataset for preparing the large-scale pre-training data. The seed dataset is used to train the question-answer generation and filtering models in the process of pre-training corpus construction. In total, the experiments are conducted on 11 QA datasets with three different formats and various language understanding abilities. An overview of datasets used in the experiments and their required QA skills are summarized in Table 1.

Extractive QA. We take SQuAD 1.1 (Rajpurkar et al., 2016) as the seed dataset for extractive style QA. In addition, we consider NewsQA (Trischler et al., 2017) and Quoref (Dasigi et al., 2019) to evaluate the generalization ability of models. The EM (Exact Match) score between the extracted span and the gold answer span is used as the evaluation metric for extractive QA.

(NarQA) Abstractive QA. Narrative QA (Kočiský et al., 2018) is taken as the seed dataset for Abstractive QA. DROP (Dua et al., 2019b) and the open-domain version of NaturalQuestions (NQOpen) (Kwiatkowski et al., 2019) are also considered. Passages for each question in NQOpen are retrieved by the dense passage retriever (Karpukhin et al., 2020) and are concatenated into a sequence. We use ROUGE-L (Lin, 2004) metric for NarQA and F1 score for DROP and NQOpen.

³We also include Yes/No QA in our pilot study. We do not consider it in our main experiments because datasets in this format are extremely rare. Results on this QA formats are shown in Appendix B.

| | | ExtractiveQA | | AbstractiveQA | | | MultiChoiceQA | | | | | | |
|-----------|----------------|--------------|--------|---------------|-------------|------|---------------|-------------|-------|--------|------|------|------|
| Setting | Dataset | SQuAD | Quoref | NewsQA | NarQA | DROP | NQOpen | RACE | DREAM | MCTest | OBQA | SIQA | Avg |
| | T5 | 83.4 | 64.9 | 45.2 | 49.3 | 45.0 | 42.3 | 67.9 | 54.8 | 44.4 | 49.6 | 64.1 | 55.5 |
| | UnifiedQA | 84.4 | 74.8 | 45.3 | 49.6 | 45.1 | 42.5 | 71.6 | 67.6 | 83.1 | 57.6 | 64.9 | 62.4 |
| Full-Data | ProQA (qapair) | 84.9 | 76.6 | 50.8 | 49.8 | 55.0 | 43.2 | 73.6 | 72.9 | 85.0 | 61.6 | 67.5 | 64.9 |
| | ProQA (paq) | 85.3 | 76.8 | 50.4 | 50.1 | 52.5 | 43.9 | 73.2 | 73.3 | 85.9 | 61.4 | 67.2 | 65.0 |
| | T5 | 6.7 | 14.6 | 20.5 | 3.4 | 5.8 | 11.9 | 26.2 | 34.7 | 38.1 | 29.0 | 32.4 | 20.3 |
| | UnifiedQA | <u>82.0</u> | 38.2 | 34.2 | <u>49.1</u> | 22.2 | 31.6 | <u>53.0</u> | 57.4 | 73.8 | 41.2 | 42.8 | 48.1 |
| Few-Shot | ProQA (qapair) | <u>82.9</u> | 44.2 | 41.1 | <u>49.1</u> | 24.9 | 33.3 | <u>63.4</u> | 64.5 | 82.5 | 46.2 | 49.1 | 52.8 |
| | ProQA (paq) | <u>84.4</u> | 52.2 | 42.1 | <u>49.2</u> | 27.1 | <u>36.0</u> | <u>66.5</u> | 66.0 | 84.1 | 44.8 | 49.4 | 54.7 |
| | T5 | 0.0 | 0.0 | 0.0 | 3.5 | 2.0 | 1.5 | 24.1 | 34.2 | 27.5 | 21.9 | 33.2 | 13.5 |
| | UnifiedQA | <u>80.7</u> | 27.9 | 31.4 | 48.3 | 18.0 | 30.9 | <u>53.0</u> | 57.0 | 73.4 | 35.9 | 40.3 | 45.2 |
| Zero-Shot | ProQA (qapair) | 80.4 | 30.5 | 30.7 | <u>48.1</u> | 17.0 | 33.0 | <u>62.6</u> | 64.3 | 81.3 | 36.0 | 47.2 | 48.3 |
| | ProQA (paq) | <u>81.3</u> | 42.1 | 31.8 | <u>48.4</u> | 19.7 | <u>36.0</u> | <u>65.9</u> | 65.2 | 81.3 | 38.6 | 46.7 | 50.6 |

Table 2: Main results on 11 downstream QA datasets under full-data fine-tuning, few-show learning, and zero-shot learning settings. Since the supervisions of seeds datasets are used in the pre-training corpus construction which may introduce bias in few-shot and zero-shot settings, results on these corresponding entries are underlined.

Multiple-Choice QA. For multiple choice QA, the following datasets are considered: RACE (Lai et al., 2017) (seed dataset), DREAM (Sun et al., 2019), MCTest (Richardson et al., 2013), Open-BookQA (OBQA) (Mihaylov et al., 2018), Social IQa (SIQA) (Sap et al., 2019). OBQA does not have contexts (reading comprehension passages). The context for DREAM is in the dialogue style and we concatenate them into a sequence as the passage input. We select the option with the highest textual similarity with the generated answer as the final answer. We compute the accuracy of the correct options for all multiple choice QA datasets.

4.2 Approaches

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T5 (Raffel et al., 2020) is a unified textto-text pre-training framework that covers all text-based language problems. We use google/t5-v1_1-base from HuggingFace Transformers (Wolf et al., 2020) that is only pre-trained on C4 excluding any supervised training dataset (e.g., QA datasets).

UnifiedOA (Khashabi et al., 2020b) crosses the 405 format boundaries of different QA tasks by for-406 mulating them into text-to-text tasks under T5. It 407 directly concatenates all inputs via \n into a se-408 409 quence and feeds it into T5 for predicting the answer. We train our own UnifiedOA model on the 410 combination of three aforementioned seed datasets, 411 412 namely SQuAD, NarQA, and RACE.

413 ProQA is our proposed structural prompt-based
414 pre-training approach. ProQA is pre-trained
415 jointly on three formats of pre-training corpus: Ex416 tractive QA, Abstractive QA, and Multiple-Choice
417 QA. This approach using corpus prepared from

QA-pair generation-filtering model described in § 3.3 is named as ProQA (qapair). Additionally, we leverage the off-the-shelf large-scale QA pairs from Probably-Asked Questions/PAQ (Lewis et al., 2021), and replace our extractive QA pre-training corpus by a subset of PAQ (abstractive QA and multiple-choice QA corpus remains unchanged). PAQ provides a refined pipeline that introduces learned models on every step of QA pair generation, i.e., passage selection, answer identification, question generation, and filtering. We name this variant as ProQA (paq). 418

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For every downstream QA dataset, we start from the above pre-trained models and conduct experiments under full-data fine-tuning, few-shot learning, and zero-shot learning settings. For few-shot learning, we randomly sample 32 instances from the training set.

5 Results and Analyses

5.1 Main Results

Main results are shown in Table 2, and we have the following observations:

- QA-centric pre-trained models, namely UnifiedQA and ProQA, outperform T5 by a large margin on both seed datasets and non-seed datasets. This is because there is some transferable knowledge across different QA tasks. Once the model is pre-trained by any QA task, the learned knowledge can be generalized to any other datasets.
- ProQA demonstrates better knowledge customization ability than UnifiedQA – ProQA beats UnifiedQA by a large margin in few-shot and zero-shot settings. This is because (1) the

| | Task A→Task B | | | | | | | | | |
|---------------------------------|---------------------|-----------------------|-----------------------|---------------------|---------------------|---------------------|-----------------------|---------------------|---------------------|------|
| Methods | $EX{\rightarrow}EX$ | $EX {\rightarrow} AB$ | $EX {\rightarrow} MC$ | $AB{\rightarrow}EX$ | $AB{\rightarrow}AB$ | $AB{\rightarrow}MC$ | $MC {\rightarrow} EX$ | $MC{\rightarrow}AB$ | $MC{\rightarrow}MC$ | Avg |
| Task B Model | 20.5% | 26.2% | 13.0% | 8.9% | 6.3% | 6.5% | 4.6% | 4.9% | 0.9% | 9.9% |
| Task B Model (w/ Task A Prompt) | 17.1% | 10.6% | 6.7% | 3.1% | 3.1% | 2.2% | 0.4% | 0.7% | -0.5% | 4.3% |

Table 3: Continual learning results for averaged performance drops compared with the original task A results under different task learning orders (**lower is better**). Negative number means the performance improves compared with the original task A results. EX: Extractive QA; AB: Abstractive QA; MC: Multiple-chioce QA.

hard and soft prompts in the structural prompt enable better knowledge customization for every QA task, especially the "Task" key-value pair that is different for every QA task; (2) structural prompt-based pretraining empowers ProQA to adapt faster (§ 5.3) and better (Table 2) to these non-seed datasets.

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 Comparing ProQA (qapair) and ProQA (paq), we find that ProQA (paq) performs better in most scenarios. Presumably, PAQ provides high quality pre-training corpus through its pipelined approach – there are in total four BERT-sized models to be prepared for generating PAQ corpus. Instead, our proposed QA pair generation approach is simple and can be applied to not only Extractive QA but also Abstractive QA and Multiple-choice QA in the pre-training corpus construction process.

5.2 Continual Learning via Soft Prompt

One benefit of introducing soft prompt in ProQA is that it can potentially mitigate the catastrophic forgetting issue when adapting to a new task. If ProQA is **sequentially fine-tuned on task A and task B under few-shot setting**, it can load task A soft prompt back when it is evaluated again on the task A. The plug-in flexibility of ProQA brings huge improvements compared with its counterpart that keeps the task B soft prompt.

We conduct continual learning by setting task A and B as different combinations among datasets with formats⁴: Extractive QA (EX), Abstractive QA (AB), and Multiple-choice QA (MC). Formally, we first adapt ProQA to task A by few-shot learning to obtain the model A: f_{θ}^{A} with performance s^{A} . Then we sequentially adapt f_{θ}^{A} to task B and receive task B model f_{θ}^{AB} . We evaluate performance of the model f_{θ}^{AB} on task A under two settings: (1) direct testing (task-B prompt) (2) first restoring the learned task-A prompt from f_{θ}^{A} to the model f_{θ}^{AB}



Figure 3: The few-shot learning curves of EM scores on the validation set of the NewsQA task.

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and then testing. Performance of the two settings are denoted as s^{AB} and $s^{AB'}$, respectively. We evaluate the continual learning performance under these two settings with the **percentage of the performance drop** on the task A: "*Task B Model*"= $\frac{s^A - s^{AB}}{s^A}$, and "*Task B Model (w/ Task A Prompt)*" $= \frac{s^A - s^{AB'}}{s^A}$.

As shown in Table 3, the catastrophic forgetting issue does exist when evaluating task A with task B model ("*Task B Model*") directly. The performance drops as large as 26.2% for EX \rightarrow AB. However, restoring task A prompt brings huge improvements across all task combinations ("*Task B Model w/ Task A Prompt*"). It is surprising to see that restoring task A prompt could sometimes even improve task A performance (MC \rightarrow MC = -0.5%). Presumably, sequential learning two tasks under the same question format (MC) makes the model learn the transferable knowledge while restoring task A prompt brings task-specific knowledge. Detailed experimental results on the 33 combinations of datasets can be found in Appendix C.

5.3 Convergence Analysis

We investigate the effectiveness of pre-training by compare the step-wise performance under few-shot learning setting. The learning curves of EM scores on the validation set of the NewsQA task is shown in Figure 3. Out of the three models, T5 convergences slowest because it does not have any QA-centric knowledge while our proposed ProQA

⁴Note that we consider two tasks in continual learning because we also want to directly investigate the task adaptation to-and-fro the same format (e.g., MC \rightarrow MC) or different formats (e.g., AB \rightarrow EX).

| Model | NewsQA | NQOpen | DREAM |
|------------------------------|--------|--------|-------|
| ProQA | 42.1 | 36.0 | 66.0 |
| w/o soft prompt | 38.5 | 32.9 | 64.5 |
| w/o pretraining | 20.5 | 10.7 | 35.1 |
| UnifiedQA + Pre-train Corpus | 37.3 | 32.4 | 59.6 |

Table 4: Ablation study results on three non-seed datasets under different QA formats (extractive, abstractive, multiple-choice).

adapts fastest and best. Moreover, we find that UnifiedQA EM score rapidly saturates and eventually degrades slightly, suggesting that the model overfits under the few-shot setting. On the counterpart, our ProQA continues to improve and never degrades because the hard and soft prompt inside the structural prompt balance the knowledge generalization and knowledge customization well.

5.4 Ablation Study

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An ablation study is conducted to unveil the effectiveness of every component in ProQA. We consider three variants of ProOA: (1) ProOA without the soft prompt in its structural prompt; (2) ProQA further without prompt-based pre-training. (3) UnifiedQA + Pre-train Corpus is the UnifiedQA model pre-trained on our prepared large-scale synthetic QA corpus. Few-shot learning results on three non-seed datasets under different QA formats are shown in Table 4. We find that removing the soft prompt from the model disables the task-specific knowledge learned during pre-training. Moreover, removing the prompt-based pretraining drastically hurts the performance as the equivalent model (T5 + hard structural prompt) does not have any QA knowledge. Finally, UnifiedQA + Pre-train Corpus could not compete with ProQA, showing that our proposed structural prompt earns better balance between knowledge generalization and knowledge customization than UnifiedQA.

6 Discussion

In this section, we discuss on how to extend the ProQA to a new task even with a new schema, and sheds light on potential future directions.

1) Task Adaptation with Structural Prompt: The design of structural prompt empowers ProQA with better expandability. In our main experiments, we adopt 3 format types and 11 QA tasks. In the future, we can adapt ProQA to more tasks, formats, domains, and new input schema. Intuitively, when being adapted to a new task with unseen for-

mat/domain, ProQA can initialize the specific soft prompts and learn the characteristic of the new domain/task through model training. Moreover, if we encounter a new input schema that involves new keys (e.g., "*extracted entities or commonsense knowledge*"), we can add a new key-value pair in the input schema and learns the functionality of the new key indicator through training. 561

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2) Unified QA Systems: We think further studies on unified QA systems could target on a better pre-training schema for general purpose QA, or optimizing the modeling strategy for the structural prompt to process more complex input, or output formats (e.g., adding extracted entities or retrieved knowledge).

3) Unification with Structural Prompt: The application of the structural prompt is not limited only on the QA task. Intuitively, task inputs/outputs with various formats or components can also be organized with the structural prompt, like *dialog* or *aspect-based sentiment analysis*. In this way, we can integrate multiple tasks with carefully organized structural input, and improve the uniformity and expandability of the whole paradigm.

7 Conclusion

We introduce ProQA, a unified QA paradigm that adopts a single model for solving various QA tasks with the bridge of a structural prompt. Structural prompt simultaneously models the common ability required for various tasks and keeps the speciality of each task, through a structurally designed learnable input schema. We further conduct structural prompt-based pre-training, seeking to empower the model with general QA-centric ability and injects the semantic knowledge of the structural prompt into the pre-training model. Experimental results on 11 QA benchmarks demonstrate that ProQA can significantly boost performance on all settings. Further analyses show that our method can better mitigate the catastrophic forgetting issue during continual learning, and our method can be adapted to a newly involved task more quickly, by taking the advantages of the structural prompt. In the future, we hope our analysis could inspire more explorations on the unified QA methods, or the unification of distinct tasks with complex inputs modeling by the structural prompt. We also hope structural prompt can be further utilized into the unification of more tasks with complex inputs.

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A Implementation Details

A.1 Corpus Preparation

In this part, we describe the details of corpus construction.

The current pre-training corpus contains almost 4 million pre-training instances formulated with the structural prompt, including 1 million Multiplechoice QA instances, 2 million Extractive QA instances, and 2 million Abstractive QA instances. When generating questions and answers, we take the context as the input, and the sequence "question [SEP] answer" as the output. In order to train the filtering model, we take the *context* and *question* as the inputs, and the answer as the output. During the inference process of QA-pairs filtering, we take the context and the generated question as the model input of the QA model and set generated answer as the label. Then we compute the final soft score with the cross-entropy loss between the label and the answer generated by the QA model, Next, we rerank all the generated QA-pairs according to the soft scores in an ascending order to select the most consistent QA-pairs as the pre-training instances.

Specifically, we employ AdamW as the optimizer for model training. We adopt *T5-Large* as the model backbone and the seed datasets as supervisions for training both the question-answer pairs generation, and the filtering QA model. We set learning rate as 1e-5, warmup step as 0, batch size as 2 per GPU, and training epochs as 10.

A.2 Details on Pre-training and Task Adaptation.

Pre-training. During pre-training, we jointly train the main model parameters with the representations of the *special key indicators* and the *task/format-specific soft prompts*.

Initially, we don't have any specific tasks during pre-training, so we take the three pre-training corpus (i.e., *"MultiChoiceQA, Extractive QA, and Abstractive QA"*) as the three initial tasks, and randomly initialize the task and format specific soft prompts.

Specifically, we use *T5-Base* as the model backbone, and set learning rate as 1e-4, batch size as 8 per GPU and gradient accumulation steps as 10. We adopt 8 V100 GPUs for pre-training.

Fine-tuning. During fine-tuning, we need to initialize the task/format-specific soft prompts for a specific downstream task. If the task corresponds

| Setting | Dataset | BoolQ |
|-----------|--------------|--------------|
| Full-Data | T5 Pro QA | 62.2 80.6 |
| Few-Shot | T5 Pro QA | 0.0 55.4 |
| Zero-Shot | T5 Pro QA | 0.0 62.1 |

Table 5: Result on two Yes/No QA tasks under full-data fine-tuning, few-shot learning, and zero-shot learning settings.

to a specific format participating in the pre-training stage, we use the corresponding soft prompts of this format type to initialize the soft prompts for the current tasks to transfer the learned knowledge. If the task corresponds to a new format, we can randomly initialize the task/format prompts. 955

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Specifically, we use *T5-Base* as the model backbone, and set learning rate as 1e-4, batch size as 2 per GPU, gradient accumulation steps as 2, and training epochs as 5. We adopt 8 V100 GPUs for fine-tuning.

Few-shot Learning. We adopt a similar way to initialize the task-specific soft prompts for few-shot learning. We use the standard setting which utilizes 32 randomly selected instances for few-shot learning. Specifically, we adopt *T5-Base* as the model backbone, and set learning rate as 1e-5, batch size as 1 per GPU, gradient accumulation steps as 1, and training steps as 800 for few-shot learning.

Zero-shot Learning Since zero-shot learning does not involve training stage, we just need to initialize the task-specific prompt for inference. Therefore, we initialize the task-specific prompt with the pre-trained task prompts of its corresponding format type.

B Results on Yes/No Pre-training

During our pilot study, we take the BoolQ (Clark et al., 2019) as the seed dataset to construct a largescale pre-training corpus, and test the full-data, fewshot, zero-shot on top of the pre-trained ProQA. We also take the naturally-perturbed version of this dataset BoolQ-NP (Khashabi et al., 2020a) into account for evaluation. Results are shown in Table 5. We find that the ProQA significantly outperforms T5 baseline on all settings. Note that we take a strict evaluation towards the model's output.

| Task A | Task A Model (Few-Shot Results) | Task B | Task B Model (Evaluation on Task A) | Task B Model (w/ Task A Prompt) (Evaluation on Task A) |
|-----------------|------------------------------------|-----------------|--|---|
| | | EX/Quoref (EM) | 33.7 | 35.3 |
| | | AB/DROP (F1) | 31.3 | 33.7 |
| | | AB/NQOpen (F1) | 27.6 | 34.2 |
| EX/NewsQA (EM) | 42.1 | MC/DREAM (Acc) | 35.2 | 37.9 |
| | | MC/MCTest (Acc) | 34.5 | 36.8 |
| | | MC/OBQA (Acc) | 34.4 | 35.9 |
| | | EX/NewsQA (EM) | 41.1 | 42.8 |
| | | AB/DROP (F1) | 43.0 | 51.4 |
| | | AB/NQOpen (F1) | 38.0 | 51.0 |
| EX/Quoref (EM) | 52.2 | MC/DREAM (Acc) | 47.2 | 51.3 |
| | | MC/MCTest (Acc) | 47.8 | 52.0 |
| | | MC/OBQA (Acc) | 48.5 | 51.6 |
| | | EX/NewsQA (EM) | 32.8 | 33.9 |
| AB/NOOpen (F1) | 36.0 | EX/Quoref (EM) | 36.2 | 35.4 |
| | 2010 | AB/DROP (F1) | 34.3 | 35.8 |
| AB/DROP (F1) | 27.1 | EX/NewsQA (EM) | 22.8 | 26.3 |
| | | EX/Quoref (EM) | 24.0 | 26.6 |
| | | AB/NQOpen (F1) | 25.0 | 25.6 |
| | | MC/DREAM (Acc) | 25.1 | 26.2 |
| | | MC/MCTest (Acc) | 25.4 | 26.7 |
| | | MC/OBQA (Acc) | 25.6 | 26.7 |
| | | EX/NewsQA (EM) | 81.6 | 83.1 |
| | | AB/DROP (F1) | 82.8 | 83.8 |
| MC/MCTest (Acc) | 84.1 | MC/DREAM (Acc) | 83.2 | 83.8 |
| | | MC/OBQA (Acc) | 82.2 | 82.5 |
| | | EX/NewsQA (EM) | 64.0 | 65.4 |
| MC/DREAM (Acc) | 66.0 | AB/DROP (F1) | 63.5 | 65.7 |
| | | MC/MCTest (Acc) | 65.5 | 65.8 |
| | | MC/OBQA (Acc) | 65.2 | 65.5 |
| | | EX/NewsQA (EM) | 41.4 | 45.2 |
| MC/OBQA (Acc) | 44.0 | AB/DROP (F1) | 40.6 | 44.2 |
| | 44.8 | MC/MCTest (Acc) | 44.8 | 45.8 |
| | | MC/DREAM (Acc) | 44.2 | 46.8 |

Table 6: Full results on continual learning. For each task, we provide the task format (EX, AB, MC) and its evaluation metrics (EM, F1, Acc). EX: Extractive QA; AB: Abstractive QA; MC: Multiple-Choice QA.

In other words, if the output is not any format of "yes", "no", "true", "false", that prediction will be classified as wrong.

C Details on Continual Learning

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Table 6 provides the full results for the continual learning experiment. The model is firstly trained on task A under few-shot setting, and then fine-tuned on task B. Afterwards, we evaluate the trained "Task B Model" and "Task B Model (w/ Task A Prompt)" on task A to test its continual learning capability. Detailed results on every Task A/Task B combination (33 reported in total) are shown in Table 6. Note that we consider two tasks in continual learning because we also want to investigate the task adaptation to-and-fro the same format (e.g., MC \rightarrow MC) or different formats (e.g., AB \rightarrow EX). The results shed light on how could we arrange the order of training on tasks to achieve the best overall performance when a bunch of tasks arrive.

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