PRUNE 'N PREDICT: OPTIMIZING LLM DECISION MAKING WITH CONFORMAL PREDICTION

Anonymous authors

003 004

006

008

009 010

011

012

013

014

015

016

017

018

019

021

023

024

025 026 Paper under double-blind review

ABSTRACT

Large language models (LLMs) are empowering decision-making in several applications, including tool or API usage and answering multiple-choice questions (MCQs). However, incorrect outputs pose significant risks in high-stakes domains like healthcare and finance. To quantify LLM uncertainty and thereby mitigate these risks, recent works employ conformal prediction (CP), a model- and distribution-agnostic framework that uses LLM outputs to generate a *prediction* set containing the true answer with high probability. Leveraging CP, we propose conformal revision of questions (CROQ), which revises the question by narrowing down the available choices to those in the prediction set and asking the LLM the revised question. We expect LLMs to be more accurate on revised questions with fewer choices. Furthermore, we expect CROQ to be effective when the prediction sets from CP are small. Commonly used logit scores often lead to large sets, diminishing CROQ's effectiveness. To overcome this, we propose CP-OPT, an optimization framework to learn scores that minimize set sizes while maintaining coverage. Our extensive experiments on MMLU, ToolAlpaca, and TruthfulQA datasets with multiple LLMs show that CROQ improves accuracy over the standard inference, with more pronounced gains when paired with CP-OPT.

027 1 INTRODUCTION

Large language models (LLMs) (Touvron et al., 2023; Databricks, 2024; Abdin et al., 2024) have demonstrated remarkable capabilities in various decision-making tasks, including multi-choice question answering and tool usage, where the model must select the correct tool or API to complete a task (Qu et al., 2024; Tang et al., 2023; Hendrycks et al., 2021). However, LLMs often exhibit overconfidence in wrong answers (Krause et al., 2023; Groot and Valdenegro Toro, 2024). Such unreliable predictions entail significant risks in critical domains like finance. Successful usage in such settings demands principled solutions to improve accuracy and quantify uncertainty in the predictions.

A commonly taught strategy for a human test taker
to solve multi-choice questions (MCQs) is the process of elimination (pruning) of incorrect (distractor)
answer choices. The underlying principle is that this
enables them to focus their attention on the remaining
answer choices, and it increases the likelihood of a
correct answer even if they have to guess randomly.
Inspired by this, we investigate whether LLMs can
benefit from a similar strategy.

044 We first examine the relationship between the number 045 of distractor answers and LLM accuracy on a MCQ 046 task. Figure 1 illustrates accuracy for three different 047 LLMs on a version of TruthfulQA, a widely used 048 MCQ dataset. The MCQs in this version of TruthfulQA have 15 answer options, only one of which is correct. (We discuss how this dataset is constructed 051 in Appendix E.2.) For each question, we repeatedly prompt the LLM, randomly eliminating one distrac-052 tor answer at a time. Each prompt is independent, without any previous rounds included in the context.

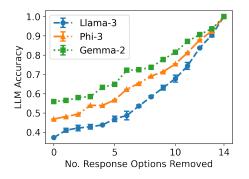


Figure 1: Accuracy for three LLMs on the TruthfulQA dataset with 15 response options as a function of the number of incorrect answer options (distractors) removed from the prompt. As more distractor answers are eliminated, accuracy increases. Accuracy is averaged across 5 iterations, error bars denote ± 2 standard deviations.

- As hypothesized, *reducing the number of response options leads to an improvement in accuracy*, and
 this improvement is very nearly monotone. This suggests that eliminating distractor answers before
 prompting the LLM can indeed enhance accuracy. Of course, when pruning answers, we do not want
 to eliminate the correct answer, since that would necessarily cause the LLM to get the MCQ wrong.
- Conformal prediction (CP) (Vovk et al., 2005) is a flexible framework that can be used to prune distractor answers while retaining the correct answer with high probability. CP is a model-agnostic and distribution-free technique for generating prediction sets which contain the correct answer with a user-specified probability (e.g. 95%), which is referred to as the *coverage guarantee*.
- Utilizing this guarantee of CP, we propose a procedure called *conformal revision of questions* (CROQ), to revise MCQs with choices in a prediction set output by CP. This procedure represents a tradeoff: with some small probability (e.g. 5%), we may remove the correct answer from the prediction set, causing the LLM to get the question wrong. However, with high probability (e.g. 95%), we will retain the correct answer while reducing the number of distractor answers. Given the relationship observed in Figure 1, this should increase the LLM's accuracy on those questions. Different coverage rates naturally induce different tradeoffs. Overall, we hypothesize that we can find a coverage rate with a favorable tradeoff, such that CROQ improves the overall accuracy.
- CROQ's effectiveness depends on the size of the prediction sets from conformal prediction smaller
 sets mean fewer choices in the revised question and hence better final accuracy. Conformal prediction
 requires specifying a *score function*, which loosely speaking quantifies how plausible an output
 (answer option) is for a given input (question). While conformal prediction provides a coverage
 guarantee for *any* score function, the size of the prediction sets depends on the score function. As an
 example, a random score function will yield output sets that constitute random subsets of the label
 space that are large enough to satisfy the coverage guarantee (Angelopoulos and Bates, 2022).
- Previous works that apply conformal prediction in MCQ-type settings have used readily available
 scores such as the logits (or softmax values) output from the LLM (Kumar et al., 2023) or have
 designed heuristic scores based for example on repeated querying of the LLM (Su et al., 2024). Logits
 can be overconfident and may show biases for some options (Zheng et al., 2024), and heuristic scores
 are not guaranteed to produce small sets. Thus, in order to make CROQ as effective as possible, we
 propose CP-OPT (conformal prediction optimization), a principled solution to obtain scores that are
 designed to minimize set sizes (uncertainty) while preserving the coverage guarantee.
- To summarize, our main contributions are as follows:
 - 1. We propose the conformal revision of questions (CROQ), in which we prune the answer choices in an MCQ to those in the prediction set output by conformal prediction and then prompt the LLM with the revised question. Empirical evaluation shows that this approach consistently improves accuracy compared to prompting the LLM with the original MCQ.
 - 2. We design a score function optimization framework (CP-OPT) that can be applied to any pretrained LLM. Moving away from the potentially unreliable LLM logits and heuristic scores, our framework provides a principled way to learn scores for conformal prediction. Empirically, we show that our procedure leads to a reduction in average set sizes compared to the baseline procedure that uses the LLM logits as the scores, at the same level (95%) of coverage.
 - 3. We further show that when used with CROQ, our CP-OPT scores deliver greater accuracy improvements over baseline than the LLM's logits.
 - 2 PRELIMINARIES

092

093

094

095

096 097

098 099

100 101

- In this section, we provide background on solving MCQ tasks with LLMs and conformal prediction.
- 2.1 MULTIPLE CHOICE QUESTIONS (MCQS) AND LLMS
- 103 **MCQ Setup.** MCQs are a general abstraction for expressing problems in which the correct choice(s) 104 must be selected from a given set of choices. These encompass question-answering tasks like 105 MMLU (Hendrycks et al., 2021) as well as other tasks such as tool learning, in which the LLM 106 must select the correct tool or API to complete a task (Tang et al., 2023; Qu et al., 2024). An 107 MCQ consists of the question text Q, i.e. a sequence of tokens, and a set of answer choices $O = \{(Y_1, V_1), (Y_2, V_2), \dots, (Y_m, V_m)\}$. Here, each Y_j is a unique character from the English

alphabet, and we assume that the number of choices m is less than or equal to the size of the alphabet. Each V_j is the option text for the *j*th option. Denote the whole MCQ instance as x = (Q, O). Let \mathcal{X}_m denote the space of MCQs with m choices and $\mathbb{P}_{\mathcal{X}_m}$ denote a distribution over \mathcal{X}_m , from which samples for training, calibration, and testing are drawn independently. Here, we assume that for each question Q there is only one correct answer key $y^* \in \{Y_1, Y_2, \ldots, Y_m\} = \mathcal{Y}_m$.

113 113 **MCQ Prompt.** We concatenate the question text Q and the answer choices O, all separated by a new 114 line character, and append to the end the text "The correct answer is:". The expectation 115 is that given this input prompt, the next token predicted by the LLM will be one of the option keys. 116 See Appendix E for a prompt example. We consider zero-shot prompts and do not include example 117 questions and answers in the prompt. We also add the prefix and suffix tokens to the prompt as 118 recommended by the language model providers. Since these are fixed modifications to x, we will use 119 x to denote the final prompt and the MCQ instance analogously.

120 LLM Inference. We run the forward pass of the auto-regressive LLM (Touvron et al., 2023; Dubey 121 et al., 2024; Abdin et al., 2024) on the input prompt to obtain the logit scores for each possible next 122 token given the prompt, restricting attention to the tokens that correspond to the available answer 123 keys (e.g. "a", "b", "c", "d" if there are four answer options). We take the softmax to convert the 124 logits to probabilities, and then we take as the LLM's answer the option with the highest probability. 125 This approach ensures that the LLM's answer will be one of the available answer options, which would not be guaranteed if instead we asked the LLM to simply generate an answer token given the 126 prompt. This approach mirrors what has been done in other works that use LLMs to solve MCQs 127 (Kumar et al., 2023; Su et al., 2024). Formal details are given in Appendix B.1. 128

129 130 2.2 CONFORMAL PREDICTION

148

157 158 159

Conformal prediction (CP) (Vovk et al., 2005; Angelopoulos et al., 2022) is a framework for quantifying uncertainty in machine learning models. It provides a flexible and user-friendly approach to output *prediction sets* (which may be finite sets or intervals) that contain the true output or label with a probability that is specified by the user, e.g. 95%. The key strength of conformal prediction lies in its *distribution-free* guarantees: it ensures that the constructed prediction sets are valid regardless of the underlying data distribution and model. This property is particularly desirable in the context of language models, as it is hard to characterize language data distributions or put specific distributional assumptions/restrictions on the LLMs.

Score Function. Let $g: \mathcal{X}_m \times \mathcal{Y}_m \mapsto \mathbb{R}$ be a conformal *score function*, where larger scores indicate better agreement ("conformity") between x and y. Intuitively, large scores are intended to indicate that y is a plausible output given x, while smaller scores indicate less plausibility. (Note that some authors prefer to have larger scores indicate greater disagreement, e.g. Clarkson et al. (2024).) A common choice of score function is the softmax scores from the given model. For closed-source LLMs, where logits are not available, others have devised self-consistency scores based on repeated querying of the model (Su et al., 2024).

Prediction Sets. Given a score function g and threshold τ on the scores, the prediction set for any $x \in \mathcal{X}_m$ is given by

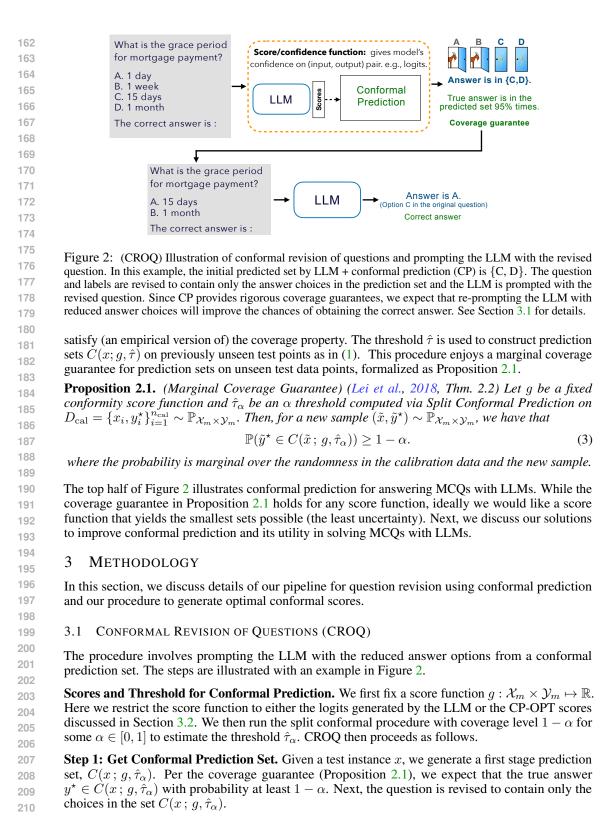
$$C(x;g,\tau) := \{ y \in \mathcal{Y}_m : g(x,y) \ge \tau \}.$$

$$(1)$$

Intuitively, larger sets represent greater uncertainty, while smaller sets represent less uncertainty.
 Given a fixed confidence level, a score function that produces larger sets can be said to result in greater uncertainty.

$$\hat{\tau}_{\alpha} = \min\left\{q: \frac{1}{n_{\text{cal}}} \sum_{i=1}^{n_{\text{cal}}} \mathbb{1}\left(g(x_i, y_i^{\star}) \le q\right) \ge \alpha\right\},\tag{2}$$

160 where $\alpha \in [0, 1]$ is a user-chosen *miscoverage rate* that is equal to 1 minus the desired coverage; for 161 example, a value of $\alpha = 0.05$ would correspond to a coverage of 95%. In words, $\hat{\tau}_{\alpha}$ is the smallest empirical quantile of the scores for the correct answers on the calibration dataset that is sufficient to



Step 2: Revise the Question and Ask the LLM. If the first stage prediction set $C(x; g, \hat{\tau}_{\alpha})$ is empty or is of size 1 or size *m* (the number of answer options), then we simply utilize the LLM's answer to the original MCQ *x*, as described in section 2.1, since the conformal procedure has yielded no additional information. Otherwise, we modify the prompt *x* to x' = (Q, O'), where $O' = \{(K_j, V_j) : K_j \in C(x; g, \hat{\tau}_{\alpha})\}$. The keys in O' are changed so that they start with the first letter of the alphabet and go to the letter corresponding to the number of choices available. For example, if there were initially four answer options $\{a, b, c, d\}$, and the conformal prediction set was $\{c, d\}$, then the two options in the set would receive new keys $\{a, b\}$. Then x' is transformed into a prompt format and passed to the LLM, and the standard inference procedure (section 2.1) is run to extract the predicted answer key \hat{y}' .

With fewer choices in the revised question, we expect LLMs will be more accurate in their answer compared to the answer to the initial question. However, the improvement in accuracy will depend on the size of the prediction sets. As shown in Figure 1 LLMs have a higher chance of answering the question correctly if the number of options is small. This implies the efficacy of CROQ will depend on the size of sets $C(x; g, \hat{\tau}_{\alpha})$ – if these sets are small then we can expect more improvement.

While conformal prediction can output sets $C(x; g, \hat{\tau}_{\alpha})$ for any score function g, along with $1 - \alpha$ coverage guarantee, the set sizes could be highly variable depending on the score function g. Noting the lack of reliability of scores used in prior works, that could yield unnecessarily large sets, we seek to learn scores that minimize the set sizes while preserving the coverage guarantee. We discuss our procedure to learn such scores in the next section. Using these scores in CP we expect to get smaller sets and thus more improvement in CROQ compared to baseline scores.

231 232

233

244 245 246

247

253

254

255

256

257 258 259

3.2 CP-OPT TO OPTIMIZE SCORES

We describe our method for learning the optimal scores for conformal prediction (CP) for solving MCQs with LLMs. Similar ideas have been incorporated in the training objective of classifiers (Stutz et al., 2022) so that the classifiers' softmax output is better suited for CP. However, the LLMs are not trained with this objective, and we want to apply CP to any given LLM; therefore, we design a post-hoc method to optimize the scores. We first characterize the optimal scores and then describe how to estimate them in practice.

Characterization of the optimal scores. For any score function $g : \mathcal{X}_m \times \mathcal{Y}_m \mapsto \mathbb{R}$ and threshold τ , the membership of any y in the prediction set $C(x; g, \tau)$ is given by $\mathbb{1}(y \in C(x; g, \tau)) = \mathbb{1}\{g(x, y) \geq \tau\}$. Define the expected set size $S(g, \tau)$ and the coverage conditional on τ , denoted $\mathcal{P}(g, \tau)$, as follows:

$$S(g,\tau) := \mathbb{E}_x \Big[\sum_{y \in \mathcal{Y}_m} \mathbb{1}\{g(x,y) \ge \tau\} \Big]. \quad (4) \qquad \mathcal{P}(g,\tau) := \mathbb{E}_x \left[\mathbb{1}\{g(x,y^*) \ge \tau\} \right]. \quad (5)$$

The optimal score function g^* and threshold τ^* are defined (non-uniquely) to minimize the expected set size subject to the coverage $\mathcal{P}(g,\tau)$ being at least $1 - \alpha$:

$$g^{\star}, \tau^{\star} := \underset{g:\mathcal{X}_m \times \mathcal{Y}_m \mapsto \mathbb{R}, \tau \in \mathbb{R}}{\operatorname{arg\,min}} S(g, \tau) \text{ s.t. } \mathcal{P}(g, \tau) \ge 1 - \alpha.$$
(P1)

Practical Version with Differentiable Surrogates and Empirical Estimates. Problem (P1) characterizes optimal score functions and thresholds. However, in practice, we do not know the underlying distribution and thus do not have access to the quantities in (4) and (5). Instead, we obtain their estimates using a training sample $D_{\text{train}} = \{(x_i, y_i^*)\}_{i=1}^{n_t}$ drawn independently from the same distribution:

$$\widehat{S}(g,\tau) := \frac{1}{n_t} \sum_{i=1}^{n_t} \sum_{y \in \mathcal{Y}_m} \mathbb{1}\{g(x_i, y) \ge \tau\}, \quad (6) \qquad \widehat{\mathcal{P}}(g,\tau) := \frac{1}{n_t} \sum_{i=1}^{n_t} \mathbb{1}\{g(x_i, y_i^\star) \ge \tau\}. \quad (7)$$

260 Using these plug-in estimators in problem (P1) yields a revised optimization problem. However, it is difficult to solve this problem as the objective and constraints are not differentiable. To make 261 them differentiable, we introduce the following surrogates. Given g(x, y) and τ , define the following 262 sigmoid function with $\beta > 0$, $\sigma(x, y, g, \tau, \beta) := 1/(1 + \exp(-\beta (g(x, y) - \tau)))$. The sigmoid 263 function provides a differentiable approximation to the indicator variable for $q(x,y) > \tau$. The 264 approximation is tighter with larger β i.e., $\sigma(x, y, g, \tau, \beta) \to \mathbb{1}\{g(x, y) \geq \tau\}$ as $\beta \to \infty$, and 265 $q(x,y) \ge \tau \iff \sigma(x,y,g,\tau) \ge 1/2$. By using these sigmoid surrogates in equation (6), we obtain 266 the following smooth plugin estimates, 267

$$\widetilde{S}(g,\tau) := \frac{1}{n_t} \sum_{i=1}^{n_t} \sum_{y \in \mathcal{Y}_m} \sigma(x_i, y, g, \tau, \beta). \quad (8) \qquad \widetilde{\mathcal{P}}(g, \tau) := \frac{1}{n_t} \sum_{i=1}^{n_t} \sigma(x_i, y_i^\star, g, \tau, \beta). \quad (9)$$

281

282

283

284

285

286

287 288

289 290

291

292

270 It is easy to see that by the strong law of larger numbers and properties of the sigmoid function, as 271 $n_t, \beta \to \infty$, the surrogate average set size and coverage will converge almost surely to their population versions, i.e. $\widetilde{S}(g,\tau) \xrightarrow{a.s.} S(g,\tau)$ and $\widetilde{\mathcal{P}}(g,\tau) \xrightarrow{a.s.} \mathcal{P}(g,\tau)$. We replace the expected set size and 273 marginal coverage by these smooth surrogates in (P1) and transform it into an unconstrained problem 274 with a penalty term $\lambda > 0$. We also introduce ℓ_2 regularization to encourage low norm solutions. We 275 optimize the score function q over a flexible space of functions \mathcal{G} , such as neural networks (NNs). 276 The resulting problem (P2) is differentiable, and we solve it using stochastic gradient descent.

$$\tilde{g}, \tilde{\tau} := \underset{g \in \mathcal{G}, \tau \in \mathbb{R}}{\arg\min} \widetilde{S}(g, \tau) + \lambda \left(\widetilde{\mathcal{P}}(g, \tau) - 1 + \alpha \right)^2 - \hat{\mathcal{C}}(g) + \lambda_1 \|g\|_2^2.$$
(P2)

Here, $\hat{\mathcal{C}}(g) := \frac{1}{n_t} \sum_{i=1}^{n_t} \log(g(x_i, y_i^*))$ is the cross entropy term included to encourage higher scores for correct predictions, and the regularization term $\lambda_1 ||g||_2^2$ is the squared norm over the parameters of q to promote low norm solutions. Solving (P2) yields a score function \tilde{q} and a threshold $\tilde{\tau}$. However, $\tilde{\tau}$ may be biased, since it is estimated on the same data as \tilde{g} . Following the split conformal procedure, we therefore estimate a new threshold $\hat{\tau}$ on a separate calibration dataset. Note that our framework is flexible and can work with any choice of features and function class for which the ℓ_2 norm can be calculated. We discuss the specific choice of features and \mathcal{G} used in this work in Appendix B.2.

4 **EXPERIMENTS**

We conduct experiments on benchmark MCQ and tool usage tasks with open-weight instruction-tuned models to test the following hypotheses:

293 H1. CP-OPT scores in conformal prediction on MCQ tasks with LLMs yield a smaller average set 294 size at the same level of coverage in comparison to using LLM logits.

H2. Conformal revision of questions (CROQ) improves accuracy over the standard inference.

- H3. CROQ with CP-OPT scores performs better than CROQ with logit scores. 297
- 298 299

295

296

4.1 EXPERIMENTAL SETUP

300 We first describe the setup for the experiments and then discuss the results for the above hypotheses. 301

302 Datasets. We evaluate our hypotheses on 3 datasets: MMLU (Hendrycks et al., 2021), TruthfulQA (Lin et al., 2022), and ToolAlpaca (Tang et al., 2023). MMLU and TruthfulQA are popular 303 benchmark datasets for multiple-choice questions. MMLU focuses on assessing multitask accuracy; 304 it contains multiple choice questions (MCQs) from 57 domains, including humanities, math, medicine, 305 etc. TruthfulQA evaluates an LLM's ability to answer truthfully and avoid falsehoods that humans 306 are susceptible to. ToolAlpaca contains 3.9k tool-use instances from a multi-agent simulation 307 environment, which we augment to a MCQ format. Dataset descriptions and example questions and 308 responses are provided in Appendix E. 309

Models. We use auto-regressive language models based on the transformer architecture. We 310 choose instruction-tuned, open-weight, and small to medium-sized models, for reproducibil-311 ity and reduced computational cost. Specifically, we use Llama-3-8B-Instruct by Meta 312 (Dubey et al., 2024), Phi-3-4k-mini-Instruct by Microsoft (Abdin et al., 2024), and the 313 gemma-2-9b-it-SimPO model (Meng et al., 2024). For brevity, we use the short names Llama-3, 314 Phi-3, and Gemma-2 respectively for these models. 315

Choices of Scores. We use the following scores for conformal prediction. 316 (1) LLM Logits (Softmax) are extracted from the LLM as discussed in Section 2.1. These have been used in prior 317 works (Kumar et al., 2023; Su et al., 2024). (2) CP-OPT (Ours) are the scores learned using 318 the score optimization procedure discussed in Section 3.2. We use the train split for each dataset 319 to learn these scores. The hyperparameter settings we used for CP-OPT are given in Appendix E.3. 320 We omit the self-consistency based heuristic scores proposed by Su et al. (2024), as these require 321 repeated inferences to get good estimates of the scores, and hence have a high computational cost. 322

We use the provided validation splits as our calibration datasets for the conformal procedure. For 323 testing the hypotheses, we calibrate the conformal threshold for the coverage guarantee of 95%, i.e.

			Llar	na-3			Phi	-3			Gemi	na-2	
		Avg. S	et Size	Cove	rage	Avg. S	Set Size	Cove	rage	Avg. S	Set Size	Cove	rage
Dataset	# Opt.	Logits	Ours	Logits	Ours	Logits	Ours	Logits	Ours	Logits	Ours	Logits	Ours
	4	2.56	2.53*	95.75	95.57	2.21	2.16*	94.65	94.35	2.94	2.40*	95.16*	94.23
MMLU	10	5.53	4.90*	96.06*	95.45	4.36	4.36	94.11	94.09	7.79	6.08*	95.00*	94.04
	15	7.69	7.18*	95.42	95.06	6.64	6.52*	94.60	94.61	11.71	10.04*	94.58	94.58
	4	1.17	1.18	97.08	96.85	1.07	1.08	95.33	95.68	1.12	1.05*	95.68	95.44
ToolAlpaca	10	1.51	1.39*	95.21	95.56	1.25	1.20*	95.56	95.09	2.05	1.42*	95.56	94.51
	15	1.97	1.67*	96.50	96.03	1.68	1.54*	98.36*	97.20	3.54	1.77*	96.14	95.21
	4	3.34	2.69*	95.95*	92.41	2.85	2.53*	96.71	96.71	2.74	1.88*	96.46	95.44
TruthfulQA	10	7.06	6.41*	94.43	93.42	7.48	6.49*	98.48*	95.70	7.52	5.64*	95.44	97.22
	15	10.61	10.62	94.68	94.68	10.72	10.30*	95.44	96.46	11.23	9.35*	95.44	96.46

Table 1: Average set sizes and coverage rates (in percentages) for conformal prediction sets on the MMLU, ToolAlpaca, and TruthfulQA datasets using gemma-2-9b-it-SimPO (Gemma-2), Llama-3-8B-Instruct (Llama-3) and Phi-3-4k-mini-Instruct (Phi-3), with a target coverage level of 95%. Bold numbers indicate smaller avg. set sizes. Asterisks on the larger of a pair of numbers indicate where the difference in average set size or coverage is statistically significant at the 0.05 significance level.

			Llama-3			Phi-3			Gemma-2	
Model	# Opt.	Accuracy Before (a ₁)	Accuracy After (a'_1)	Gain $(a'_1 - a_1)$	Accuracy Before (a ₁)	Accuracy After (a'_1)	Gain $(a'_1 - a_1)$	Accuracy Before (a ₁)	Accuracy After (a'_1)	Gain $(a'_1 - a_1)$
	4	64.02	63.83	-0.19	70.27	69.08	-1.19	67.62	67.70	0.07
MMLU	10	54.82	56.29	1.47*	58.44	61.57	3.13*	53.80	53.93	0.13
	15	51.99	54.11	2.11*	53.48	58.09	4.62*	50.78	50.58	-0.20
	4	91.47	91.94	0.47	92.76	92.64	-0.12	93.46	93.11	-0.35
ToolAlpaca	10	85.16	88.67	3.50*	87.50	90.89	3.39*	87.73	89.60	1.87*
	15	81.43	87.85	6.43*	85.98	89.25	3.27*	87.97	88.55	0.58
	4	54.43	55.19	0.76	69.87	70.13	0.25	74.68	74.94	0.25
TruthfulQA	10	39.24	40.76	1.52	55.70	54.43	-1.27	56.46	56.20	-0.25
	15	37.22	37.22	0.00	46.84	46.33	-0.51	55.95	56.96	1.01

Table 2: [CROQ + logits]. Results on accuracy improvement with CROQ using logit scores. Here a_1 , and a'_1 refer to the accuracy before CROQ and after CROQ respectively. A positive gain implies CROQ improved accuracy in that setting.

we set the miscoverage rate α to 0.05. In addition, we study CROQ with calibration in a range of α values: {0.01, 0.02, 0.03, 0.04, 0.05, 0.06, 0.07, 0.08, 0.09, 0.1, 0.15, 0.2, 0.25, 0.3, 0.4, 0.5 }. Performance is computed on test splits. The hyperparameters used to learn the score function using SGD are provided in table 20 in Appendix E.3.

Statistical Significance. We report the statistical significance of our results using paired sample t-tests, using asterisks (*) to annotate results that are statistically significant at a 0.05 significance level. See Appendix D for details.

4.2 **DISCUSSION**

H1. Improvement in conformal set sizes with our CP-OPT scores. We run the CP procedure using the LLM logits and CP-OPT scores and obtain conformal sets for points in the test sets. We compute the average set size and coverage for each dataset, model, and score combination. The results are in Table 1. As expected, in most settings (17 out of 27) we see a statistically significant reduction in the set sizes with our (CP-OPT) scores with similar coverage as logits. The reduction is more pronounced with a higher number of options. In a few settings (6/27), the reduction in set size is accompanied by a statistically significant decrease in coverage relative to using the logits. In the remaining 4/27 settings the differences are insignificant. Note that since the target coverage level is 95%, anything above 95% is over-coverage. We see that logits tend to over-cover and thus a drop in coverage is expected as long as it does not fall significantly below the desired level of 95% (this happens only in 2/27 settings). Overall, these results show CP-OPT's effectiveness in reducing set sizes while maintaining the target coverage level. In Appendix C, we provide histograms (e.g., Figure 6) of set sizes produced by logits and CP-OPT scores in all settings. These histograms show a clear pattern: CP-OPT scores produce fewer large sets and more small sets in comparison to logit scores.

			Llama-3			Phi-3			Gemma-2		
Model	# Opt.	$\begin{array}{c} \textbf{Accuracy} \\ \textbf{Logits} \\ (a_1') \end{array}$	$\begin{array}{c} \textbf{Accuracy} \\ \textbf{CP-OPT} \\ (a_2') \end{array}$	Gain $(a'_2 - a'_1)$	$\begin{vmatrix} \textbf{Accuracy} \\ \textbf{Logits} \\ (a'_1) \end{vmatrix}$	$\begin{array}{c} \textbf{Accuracy} \\ \textbf{CP-OPT} \\ (a_2') \end{array}$	$\begin{array}{c} \mathbf{Gain} \\ (a'_2 - a'_1) \end{array}$	$\begin{array}{c} \textbf{Accuracy} \\ \textbf{Logits} \\ (a_1') \end{array}$	$\begin{array}{c} \textbf{Accuracy} \\ \textbf{CP-OPT} \\ (a_2') \end{array}$	Gain $(a'_2 - a'_1)$	
	4	63.83	63.67	-0.16	69.08	69.34	0.26	67.70	69.56	1.86*	
MMLU	10	56.29	57.11	0.82*	61.57	61.05	-0.52	53.93	57.93	4.00*	
	15	54.11	54.77	0.66*	58.09	58.15	0.06*	50.58	51.31	0.73	
	4	91.94	91.82	-0.12	92.64	92.52	-0.12	93.11	93.57	0.46	
ToolAlpaca	10	88.67	89.02	0.35*	90.89	91.00	0.11*	89.60	90.42	0.82*	
	15	87.85	88.67	0.82*	89.25	89.95	0.70*	88.55	89.37	0.82	
	4	55.19	55.44	0.25	70.13	69.87	-0.26	74.94	76.96	2.02	
TruthfulQA	10	40.76	42.28	1.52	54.43	56.20	1.77	56.20	60.76	4.56*	
	15	37.22	37.47	0.25	46.33	51.39	5.06*	56.96	57.72	0.76	

Table 3: [CROQ + logits vs CROQ + CP-OPT]. Comparison of CP-OPT and logits on accuracy improvement with CROQ. Here, a'_1 , and a'_2 are the final accuracies after CROQ using logits and CP-OPT respectively (as in Tables 2 and 4. The gain $a'_2 - a'_1$ is the difference between these two, with values indicating more improvement in CROQ with CP-OPT scores.

H2. Accuracy improvement with conformal revision of questions (CROQ). Tables 2 and 4 show the accuracy before and after CROQ with logit and CP-OPT scores respectively. With the logit scores (Table 2), we see an increase in accuracy (by up to 6.43%) in 19 out of 27 settings, out of which 9 are statistically significant. In 8 of the settings, we see a small drop in accuracy (which is not statistically significant). Next, with CP-OPT scores (Table 4) we see accuracy improvements (up to 7.24%) in 24 settings, of which 13 are statistically significant. In the remaining 3 settings, we see a non-significant drop in accuracy. Overall, we observe that in the vast majority of the settings, CROQ improves accuracy with either logits or CP-OPT scores. The rare small drops in accuracy could occur since the conformal procedure may eliminate the correct option with low probability (α).

H3. CROO with CP-OPT scores is better than CROO with logit scores. CP-OPT scores are designed 405 to minimize set sizes while maintaining the coverage guarantee. As a result, using these scores with 406 CROQ is expected to reduce uncertainty for many questions, leading to fewer answer options in 407 the revised prompts. Based on Figure 1, we expect LLMs to be more likely to answer correctly 408 when prompted with the revised question with fewer options. The results of CROQ with CP-OPT 409 are summarized in Table 4, and in Table 3 we compare the accuracies after CROQ with logits and 410 CP-OPT. In Table 3 we see that in 22 out of 27 settings, CROQ with CP-OPT results in higher 411 accuracy (up to 4.56%) than CROO with logits. Furthermore, the improvements in 12 out of these 22 412 settings are statistically significant. The drop in accuracy in the remaining 5 settings is statistically non-significant. Overall, we see that CROQ with CP-OPT is generally better than with logits. 413

414 415

390

391

392

397

398

399

400

401

402

403

404

5 **CONCLUSIONS AND FUTURE WORKS**

416 417 418

In this work, we introduced Conformal Revision of Questions (CROQ), a principled approach to 419 improve LLM accuracy in multiple-choice settings by leveraging conformal prediction (CP) to 420 eliminate distractor answers while maintaining high coverage of the correct answer. To further boost 421 CROQ's performance we proposed CP-OPT, a framework for optimizing score functions to minimize 422 prediction set sizes while preserving CP's coverage guarantees. Our results demonstrate that CROQ significantly enhances LLM's accuracy, and that CP-OPT further strengthens this effect by producing 423 smaller, more reliable prediction sets than standard LLM logits. These findings highlight the potential 424 of uncertainty-aware, test-time methods to improve LLM decision-making, providing a principled 425 path for safer and more effective deployment of LLMs in critical applications. 426

427 Future works could explore multi-round CROQ, where answer options are pruned iteratively in 428 multiple rounds, further improving accuracy while maintaining coverage. This requires developing 429 efficient recalibration strategies and methods to prevent excessive coverage reduction across iterations. Additionally, a key challenge is adapting conformal score thresholds in settings with a variable 430 number of response options. Techniques like quantile regression could help calibrate thresholds 431 dynamically, ensuring robust performance across diverse decision-making scenarios.

432 REFERENCES

439

446

447 448

449

450

452

453

454

455 456

457

458

459

460 461

462

463

464

465 466

467

468

- M. Abdin, S. A. Jacobs, A. A. Awan, J. Aneja, A. Awadallah, H. Awadalla, N. Bach, A. Bahree,
 A. Bakhtiari, H. Behl, et al. Phi-3 technical report: A highly capable language model locally on
 your phone. *arXiv preprint arXiv:2404.14219*, 2024.
- A. N. Angelopoulos and S. Bates. A Gentle Introduction to Conformal Prediction and Distribution Free Uncertainty Quantification. *arXiv preprint arXiv:2107.07511*, (arXiv:2107.07511), 2022.
- A. N. Angelopoulos, S. Bates, E. J. Candès, M. I. Jordan, and L. Lei. Learn then test: Calibrating predictive algorithms to achieve risk control. *arXiv preprint arXiv:2110.01052*, 2022.
- Y. Bai, S. Mei, H. Wang, Y. Zhou, and C. Xiong. Efficient and differentiable conformal prediction with general function classes. In *The Tenth International Conference on Learning Representations*, 2022.
 - J. J. Cherian, I. Gibbs, and E. J. Candès. Large language model validity via enhanced conformal prediction methods. *arXiv preprint arXiv:2406.09714*, 2024.
 - J. Clarkson, W. Xu, M. Cucuringu, and G. Reinert. Split conformal prediction under data contamination. *arXiv preprint arXiv:2407.07700*, 2024.
- 451 Databricks. Introducing DBRX: A New State-of-the-Art Open LLM, 2024.
 - N. Deutschmann, M. Alberts, and M. R. Martínez. Conformal autoregressive generation: Beam search with coverage guarantees. In *Proceedings of the AAAI Conference on Artificial Intelligence*, 2024.
 - D. Dohan, W. Xu, A. Lewkowycz, J. Austin, D. Bieber, R. G. Lopes, Y. Wu, H. Michalewski, R. A. Saurous, J. Sohl-dickstein, K. Murphy, and C. Sutton. Language model cascades, 2022.
 - A. Dubey, A. Jauhri, A. Pandey, A. Kadian, A. Al-Dahle, A. Letman, A. Mathur, A. Schelten, A. Yang, A. Fan, et al. The llama 3 herd of models. *arXiv preprint arXiv:2407.21783*, 2024.
 - R. El-Yaniv and Y. Wiener. On the foundations of noise-free selective classification. *JMLR*, 11: 1605–1641, aug 2010. ISSN 1532-4435.
 - A. Fisch, T. S. Jaakkola, and R. Barzilay. Calibrated selective classification. *Transactions on Machine Learning Research*, 2022. ISSN 2835-8856.
 - T. Groot and M. Valdenegro Toro. Overconfidence is key: Verbalized uncertainty evaluation in large language and vision-language models. In *Proceedings of the 4th Workshop on Trustworthy Natural Language Processing (TrustNLP 2024)*. Association for Computational Linguistics, 2024.
- N. Gupta, H. Narasimhan, W. Jitkrittum, A. S. Rawat, A. K. Menon, and S. Kumar. Language model
 cascades: Token-level uncertainty and beyond. *arXiv preprint arXiv:2404.10136*, 2024.
- D. Hendrycks, C. Burns, S. Basart, A. Zou, M. Mazeika, D. Song, and J. Steinhardt. Measuring massive multitask language understanding. In *International Conference on Learning Representations*, 2021.
- 476 S. Kiyani, G. Pappas, and H. Hassani. Length Optimization in Conformal Prediction. *arXiv preprint* 477 *arXiv:2406.18814*, 2024.
- L. Krause, W. Tufa, S. Baez Santamaria, A. Daza, U. Khurana, and P. Vossen. Confidently wrong: Exploring the calibration and expression of (un)certainty of large language models in a multilingual setting. In *Proceedings of the Workshop on Multimodal, Multilingual Natural Language Generation and Multilingual WebNLG Challenge (MM-NLG 2023)*. Association for Computational Linguistics, 2023.
- B. Kumar, C. Lu, G. Gupta, A. Palepu, D. Bellamy, R. Raskar, and A. Beam. Conformal prediction with large language models for multi-choice question answering. *arXiv preprint arXiv:2305.18404*, 2023.

- J. Lei, M. G'Sell, A. Rinaldo, R. J. Tibshirani, and L. Wasserman. Distribution-free predictive inference for regression. *Journal of the American Statistical Association*, 113(523), 2018.
- S. Lin, J. Hilton, and O. Evans. TruthfulQA: Measuring how models mimic human falsehoods. In
 Proceedings of the 60th Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers). Association for Computational Linguistics, 2022.
- Y. Meng, M. Xia, and D. Chen. SimPO: Simple preference optimization with a reference-free reward.
 arXiv preprint arXiv:2405.14734, 2024.

500

521 522

523

- C. Mohri and T. Hashimoto. Language models with conformal factuality guarantees. *arXiv preprint arXiv:2402.10978*, 2024.
- H. Papadopoulos, K. Proedrou, V. Vovk, and A. Gammerman. Inductive confidence machines for regression. In *Machine learning: ECML 2002: 13th European conference on machine learning Helsinki, Finland, August 19–23, 2002 proceedings 13.* Springer, 2002.
- C. Qu, S. Dai, X. Wei, H. Cai, S. Wang, D. Yin, J. Xu, and J.-R. Wen. Tool learning with large language models: A survey. *arXiv preprint arXiv:2405.17935*, 2024.
- V. Quach, A. Fisch, T. Schuster, A. Yala, J. H. Sohn, T. S. Jaakkola, and R. Barzilay. Conformal language modeling. In *The Twelfth International Conference on Learning Representations*, 2024.
- S. Ravfogel, Y. Goldberg, and J. Goldberger. Conformal nucleus sampling. In *Findings of the* Association for Computational Linguistics: ACL 2023.
- A. Z. Ren, A. Dixit, A. Bodrova, S. Singh, S. Tu, N. Brown, P. Xu, L. Takayama, F. Xia, J. Varley,
 Z. Xu, D. Sadigh, A. Zeng, and A. Majumdar. Robots that ask for help: Uncertainty alignment for
 large language model planners. In *7th Annual Conference on Robot Learning*, 2023.
- 511 D. Stutz, K. D. Dvijotham, A. T. Cemgil, and A. Doucet. Learning optimal conformal classifiers. In International Conference on Learning Representations, 2022.
- J. Su, J. Luo, H. Wang, and L. Cheng. Api is enough: Conformal prediction for large language
 models without logit-access. *arXiv preprint arXiv:2403.01216*, 2024.
- D. Tailor, A. Patra, R. Verma, P. Manggala, and E. Nalisnick. Learning to defer to a population: A
 meta-learning approach. In *International Conference on Artificial Intelligence and Statistics*, pages 3475–3483. PMLR, 2024.
- Q. Tang, Z. Deng, H. Lin, X. Han, Q. Liang, B. Cao, and L. Sun. Toolalpaca: Generalized tool learning for language models with 3000 simulated cases. *arXiv preprint arXiv:2306.05301*, 2023.
 - H. Touvron, L. Martin, K. Stone, P. Albert, A. Almahairi, Y. Babaei, N. Bashlykov, S. Batra, P. Bhargava, S. Bhosale, et al. Llama 2: Open foundation and fine-tuned chat models. *arXiv* preprint arXiv:2307.09288, 2023.
- D. Ulmer, C. Zerva, and A. Martins. Non-exchangeable conformal language generation with nearest neighbors. In *Findings of the Association for Computational Linguistics: EACL 2024*, 2024.
- H. Vishwakarma, H. Lin, F. Sala, and R. K. Vinayak. Promises and pitfalls of threshold-based
 auto-labeling. In *Thirty-seventh Conference on Neural Information Processing Systems*, 2023.
- H. Vishwakarma, H. Lin, and R. K. Vinayak. Taming false positives in out-of-distribution detection with human feedback. In *International Conference on Artificial Intelligence and Statistics*, pages 1486–1494. PMLR, 2024.
- V. Vovk, A. Gammerman, and G. Shafer. *Algorithmic learning in a random world*, volume 29.
 Springer, 2005.
- R. Xie, R. F. Barber, and E. J. Candès. Boosted Conformal Prediction Intervals. *arXiv preprint arXiv:2406.07449*, 2024.
- 539 Y. Yang and A. K. Kuchibhotla. Selection and Aggregation of Conformal Prediction Sets. *Journal of the American Statistical Association*, 2024.

540 541 542	F. Ye, M. Yang, J. Pang, L. Wang, D. F. Wong, E. Yilmaz, S. Shi, and Z. Tu. Benchmarking llms via uncertainty quantification. <i>arXiv preprint arXiv:2401.12794</i> , 2024.
543 544	C. Zheng, H. Zhou, F. Meng, J. Zhou, and M. Huang. Large language models are not robust multiple choice selectors. In <i>The Twelfth International Conference on Learning Representations</i> , 2024.
545	
546	
547	
548	
549	
550	
551	
552	
553	
554	
555	
556	
557	
558	
559	
560	
561	
562	
563 564	
565	
566	
567	
568	
569	
570	
571	
572	
573	
574	
575	
576	
577	
578	
579	
580	
581	
582 583	
584	
585	
586	
587	
588	
589	
590	
591	
592	
593	

SUPPLEMENTARY MATERIAL

595 596 597

598

600

601

602

603

604

The supplementary material is organized as follows. In Appendix A we discuss related works. Then in Appendix B.1 we provide details of LLM inference for MCQs. Our choice for \mathcal{G} is discussed in Appendix B.2. Additional experiments and results are given in Appendix C. First, in Appendix C.1 we discuss the trade-off between coverage (choice of α) in conformal prediction and its effect on CROQ accuracy. Next, in Appendix C.2 we explore the effectiveness of conformal prediction with CP-OPT scores in deferral applications. The Appendices C.3,C.4 and C.5, contain more detailed results for the hypotheses discussed in the main paper. Appendix D provides details of the procedure used to compute statistical significance. In Appendix E we provide details of datasets and give samples of prompts before and after CROQ and LLM's answers. Finally, Appendix E.3 lists the hyperparameters used for learning score function using CP-OPT.

605 606 607

608

A RELATED WORK

609 Conformal Prediction for Uncertainty Quantification with LLMs. Recently there has been 610 growing interest in using conformal prediction to quantify and control uncertainty in LLM-related 611 tasks. In the context of multi-choice question answering (MCQ), previous works have investigated 612 a variety of conformal score functions, including (the softmax of) the LLM logits corresponding 613 to the response options (Kumar et al., 2023; Ren et al., 2023) or functions thereof (Ye et al., 2024), 614 confidence scores generated by the LLM itself, or "self-consistency" scores derived by repeated querying of the LLM (Su et al., 2024). We build on this work by aiming to learn a conformal score 615 function that yields small conformal sets, rather than taking the score function as given. 616

617 In addition to the MCQ setting, there has been recent work utilizing conformal prediction in the 618 context of open-ended response generation (Quach et al., 2024; Mohri and Hashimoto, 2024; Cherian 619 et al., 2024). This setting differs in that there is not necessarily a unique correct response, so the 620 notion of coverage must be redefined around acceptability or factuality rather than correctness. When 621 factuality is the target, the goal is to calibrate a pruning procedure that removes a minimal number of claims from an LLM-generated open response, such that the remaining claims are all factual with 622 high probability; that is, the goal is to retain as large a set as possible, rather than to generate a set 623 with the smallest number of responses possible as in MCQ. Conformal prediction has also been used 624 to capture token-level uncertainty (Deutschmann et al., 2024; Ravfogel et al.; Ulmer et al., 2024). 625

626

Optimizing Conformal Prediction Procedures. Several recent works have considered how to 627 learn good conformal score functions from data, primarily in the context of supervised learning 628 models (Bai et al., 2022; Stutz et al., 2022; Yang and Kuchibhotla, 2024; Xie et al., 2024). With LLMs, 629 Cherian et al. (2024) consider how to learn a good score function to achieve factuality guarantees; 630 their optimization problem differs from ours due to the difference in setting as well as the addition 631 of conditional coverage constraints (ensuring that coverage holds in different parts of the feature 632 space). Kiyani et al. (2024) design a framework to minimize the size ("length," in their terminology) 633 of conformal sets, which they apply to MCQ as well as to supervised learning problems. However, 634 their framework is concerned with how to generate sets given a model and a conformity score, rather 635 than how to learn a conformity score.

The works mentioned above all aim to produce small conformal sets that satisfy coverage guarantees.
 Among these, only Ren et al. (2023) consider how conformal sets may be used downstream, in their case to improve the efficiency and autonomy of robot behavior. To our knowledge, our work is the first to investigate whether conformal prediction can be used to increase the accuracy of LLMs on MCQ type tasks.

641 642

B METHODOLOGY AND BACKGROUND DETAILS

643 644 645

646

B.1 DETAILS ON LLM INFERENCE IN MULTI-CHOICE QUESTION ANSWERING

647 We provide a formal description of the inference procedure described in the LLM Inference paragraph of Section 2.1.

The input prompt x is a sequence of tokens $t_1, t_2, ..., t_n$. We run the forward pass of the autoregressive LLM (Touvron et al., 2023; Dubey et al., 2024; Abdin et al., 2024) on x to produce a set of output logits:

$$\boldsymbol{l}_1, \boldsymbol{l}_2, \dots, \boldsymbol{l}_n \leftarrow \text{LLM}(t_1, t_2, \dots t_n) \tag{10}$$

Here, each logit $l_j \in \mathbb{R}^{|V|}$ expresses the likelihood of the next token after t_1, \ldots, t_j , where V is the universal set of tokens (aka the alphabet) for the given LLM and |V| is its size. The last token's logits l_n are expected to have a high value for the correct answer key. We extract the logit vector $\overline{l} \in \mathbb{R}^m$ corresponding to the option keys as follows:

$$\bar{\boldsymbol{l}} := \begin{bmatrix} \boldsymbol{l}_n[Y_1], \, \boldsymbol{l}_n[Y_2], \, \dots, \, \boldsymbol{l}_n[Y_m] \end{bmatrix},\tag{11}$$

where $l_n[Y_j]$ denotes the logit value corresponding to the token Y_j in the last token's logits l_n . The logits \bar{l} are converted to softmax scores s(x). The softmax score of point x and option key y is denoted by s(x, y) and the predicted answer key \hat{y} corresponds to the maximum softmax value:

$$s(x) := \operatorname{softmax}(\overline{l}), \qquad s(x,y) := s(x)[y], \qquad \hat{y} := \underset{y \in \{Y_1, \dots, Y_m\}}{\operatorname{arg\,max}} s(x,y) \tag{12}$$

662 663 664

665

672 673 674

651

657

B.2 Specific choice of features and ${\cal G}$

In practice, we want to use a flexible and easy-to-train function class for \mathcal{G} . As this is a post-hoc procedure and we want to avoid expensive fine-tuning. We use 3-layer neural networks with tanh activation as \mathcal{G} and use the LLM's logits and the penultimate layer's representations corresponding to the last token as input features to the g network. Let $z \in \mathbb{R}^{d+m}$ be the concatenation of the LLM's penultimate layer's representation (d-dimensional) and logits (m-dimensional) for the last token. Our choice of \mathcal{G} for the experiments is defined as follows,

$$\mathcal{G} := \{ g : \mathbb{R}^{d_0} \to \Delta^{m-1} \mid g(\boldsymbol{z}) := \operatorname{softmax}(\boldsymbol{W}_3 \operatorname{tanh}(\boldsymbol{W}_2 \operatorname{tanh}(\boldsymbol{W}_1(\boldsymbol{z})))) \\ \boldsymbol{W}_1 \in \mathbb{R}^{d_0 \times d_1}, \boldsymbol{W}_2 \in \mathbb{R}^{d_1 \times d_2}, \boldsymbol{W}_3 \in \mathbb{R}^{d_2 \times m} \}$$

675 Here, $d_0 = d + m$, $d_1 = (d + m)/2$, and $d_3 = (d + m)/4$ and Δ^{m-1} is the m - 1 dimensional 676 probability simplex. This class for \mathcal{G} is flexible enough and the resulting optimization problem is not 677 computationally prohibitive to solve. More complex (flexible) choices of \mathcal{G} could be used when we 678 can devote more compute to learning the score function.

C ADDITIONAL EXPERIMENTS AND RESULTS

This appendix contains additional results and details not included in the main paper due to length constraints.

679 680

681 682

C.1 TRADE-OFF BETWEEN COVERAGE AND ACCURACY

The choice of α controls the coverage level in conformal prediction. A small α implies high coverage, 687 meaning the prediction sets contain the true options with high probability but potentially have large 688 sizes. Thus, choosing a very small α will likely not eliminate a sufficient number of options to see any 689 noticeable improvement with CROQ. On the other hand, choosing a large α will eliminate the true 690 option from the set for a large portion of the questions, which will result in low accuracy from CROQ. 691 To study these trade-offs, we run CROQ with different values of α . The accuracy before and after 692 CROQ for a range of α values are shown in Figure 5 and Figure 4 for the Llama-3 and Phi-3 models 693 respectively. The results are as expected given the observations above: using an overly conservative 694 (small) α does not give much improvement; as we increase α , the accuracy also increases up to a 695 point, after which it starts to come down. This suggests that to optimize accuracy, a practitioner can 696 tune α for their chosen score function and setting.

697 698

- C.2 USING CONFORMAL PREDICTION FOR DEFERRAL
- Smaller prediction sets imply fewer deferrals in human-in-the-loop or model cascade systems. We
 consider a deferral procedure in which a set size cutoff is selected, and the LLM answer is only
 retained if the set size is at or below that cutoff. For all larger sets, the question is passed to a human





714

715

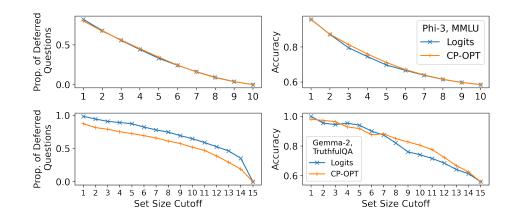
717

718

719

720

721



716 Figure 3: Proportion of questions deferred to a human when conformal prediction set sizes exceed a certain cutoff (left), and the corresponding LLM accuracy for questions (without revision) retained by the LLM as a function of cutoff threshold (right). In the top row (MMLU, 10 options, Phi-3-4k-mini-Instruct), the difference in deferral and accuracy is negligible, whereas in the bottom row (TruthfulQA, 15 options, gemma-2-9b-it-SimPO), CP-OPT defers fewer questions to the human while providing similar or improved accuracy for questions retained.

722 723

(or a more powerful but costly model) who can answer the question correctly. Smaller sets from CP 724 are desirable for this procedure to be effective. We evaluate this procedure with logit and CP-OPT 725 scores in two settings and show the results in Figure 3. As expected, lower set size cutoffs result 726 in higher accuracy. As the set size cutoff increases, the accuracy approaches the LLM's marginal 727 accuracy, while the number of deferrals (i.e. the cost of obtaining the answer from a human or more 728 expensive model) decreases. In the top row of the figure, the differences in the set sizes between logit 729 and CP-OPT scores are not large enough to see a meaningful difference in this procedure. However, 730 in the bottom row corresponding to the Gemma-2 model and TruthfulQA dataset with 15 options, 731 we see CP-OPT scores lead to fewer deferrals in comparison to logits. Model cascades (Dohan 732 et al., 2022; Gupta et al., 2024) and deferrals to human-in-the-loop (Tailor et al., 2024; Vishwakarma et al., 2024) and more broadly selective prediction (El-Yaniv and Wiener, 2010; Fisch et al., 2022; 733 Vishwakarma et al., 2023) are useful frameworks for model deployment while ensuring safety, high 734 accuracy, and balancing the costs. Our experiments show the promise of CP with logit and CP-OPT 735 scores in this task and suggest it would be fruitful to explore this design space with CP. 736

737 Figure 4 shows accuracy after the CROO procedure as a function of α for Phi-3. The results are 738 qualitatively similar to the results for Llama-3 in the main text (Section 4.2).

739 All remaining results are organized by dataset. Tables for the CROQ results which illustrate accuracy 740 changes conditional on set size are based on a confidence level of 95% (equivalently an α level of 741 0.05). Note that with the ToolAlpaca dataset, not all possible set sizes occur, in which case we omit 742 the corresponding columns. For example, with 10 response options, only sets of size 8 and smaller 743 occur.

744 Asterisks in the tables indicate where the difference in overall accuracy from Before to After, i.e. 745 from baseline to after the CROQ procedure, is statistically significant at the $\alpha = 0.05$ level. (In 746 some tables, like Table 8, none of the changes are significant.) See Appendix D for details on how 747 statistical significance was calculated.

- 748 749
- 750
- 751
- 752
- 753
- 754
- 755

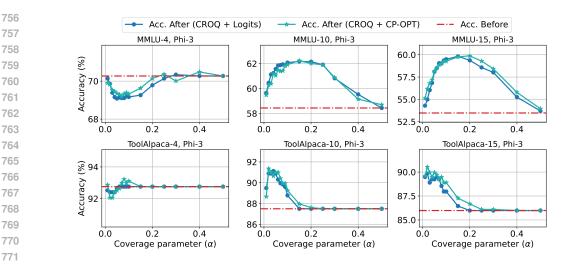


Figure 4: Accuracy on revised questions on the MMLU and ToolAlpaca datasets while varying miscoverage parameter α for Phi-3-4k-mini-Instruct (Phi-3) model and both scores. Smaller values of α correspond to high levels of coverage. When coverage is too large, few or no answers are eliminated, and the LLM is prompted with the same question. When coverage is low, a larger portion of answer sets no longer contain the true answer and the benefits of revision are diminished.

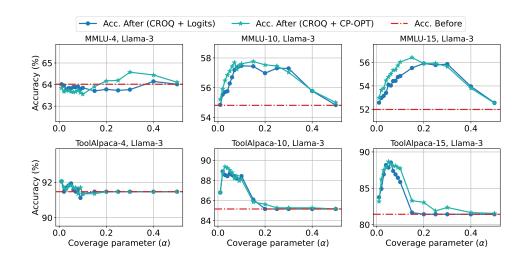


Figure 5: Accuracy on revised questions on the MMLU and ToolAlpaca datasets while varying miscoverage parameter α for Llama-3-8B-Instruct (Llama-3) model and both scores. Smaller values of α correspond to high levels of coverage. When coverage is too large, few or no answers are eliminated, and the LLM is prompted with the same question. When coverage is low, a larger portion of answer sets no longer contain the true answer or produce empty prediction sets thus resulting in diminished benefits of revision.

C.3 MMLU

Results for the experiments on the MMLU dataset are given in Tables 8 and 9, Tables 5 to 7 and Figures 6 to 8.

C.4 TRUTHFULQA

Results for the experiments on the TruthfulQA dataset are given in Tables 10 to 14 and Figures 13 and 14.

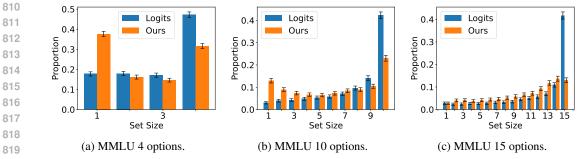


Figure 6: Distributions of sizes of sets obtained from CP-OPT and logit scores on MMLU dataset and Gemma-2 model.

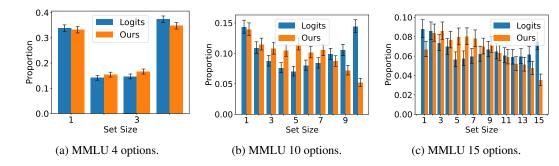


Figure 7: Distributions of sizes of sets obtained from CP-OPT and logit scores on MMLU dataset and Llama-3 model.

C.5 TOOLALPACA

Results for experiments on the ToolAlpaca dataset are given in Tables 15 to 19 and Figures 10 and 11.

			LLama-3			Phi-3			Gemma-2	
Model	# Opt.	Accuracy Before (a ₂)	Accuracy After (a'_2)	Gain $(a'_2 - a_2)$	Accuracy Before (a ₂)	Accuracy After (a'_2)	Gain $(a'_2 - a_2)$	Accuracy Before (a ₂)	Accuracy After (a'_2)	Gain $(a'_2 - a_2)$
	4	64.02	63.67	-0.34	70.27	69.34	-0.93	68.36	69.56	1.20*
MMLU	10	54.82	57.11	2.29*	58.44	61.05	2.61*	53.99	57.93	3.94*
	15	51.99	54.77	2.78*	53.48	58.15	4.68*	50.78	51.31	0.52
	4	91.47	91.82	0.35	92.64	92.52	-0.12	93.46	93.57	0.12
ToolAlpaca	10	85.16	89.02	3.86*	87.62	91.00	3.39*	88.08	90.42	2.34*
	15	81.43	88.67	7.24*	85.98	89.95	3.97*	88.08	89.37	1.29
	4	54.43	55.44	1.01	69.87	69.87	0.00	74.94	76.96	2.03
TruthfulQA	10	39.24	42.28	3.04	55.70	56.20	0.51	56.46	60.76	4.30*
	15	37.22	37.47	0.25	46.84	51.39	4.56*	55.95	57.72	1.77

Table 4: [CROQ + CP-OPT]. Results on accuracy improvement with CROQ using CP-OPT scores. Here a_2 , and a'_2 refer to the accuracy before CROQ and after CROQ respectively. A higher gain in a setting suggests CROQ improved accuracy in that setting.

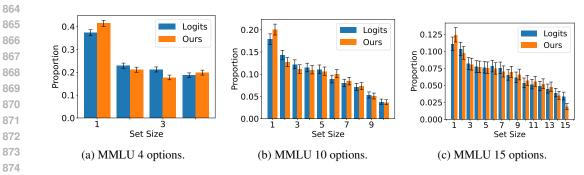


Figure 8: Distributions of sizes of sets obtained from CP-OPT and logit scores on MMLU dataset and Phi-3 model setting.

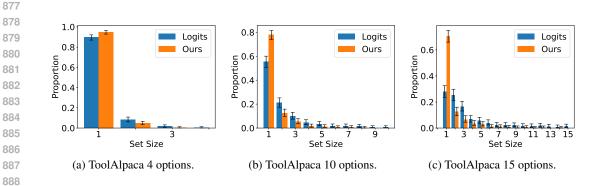
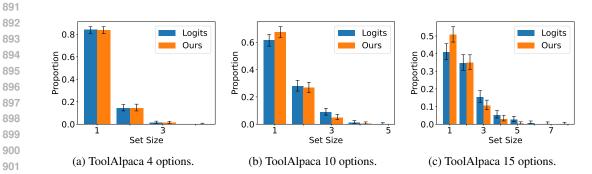
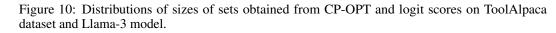


Figure 9: Distributions of sizes of sets obtained from CP-OPT and logit scores on ToolAlpaca dataset and Gemma-2 model.





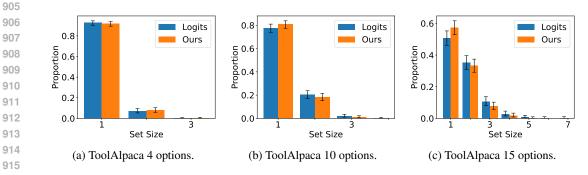


Figure 11: Distributions of sizes of sets obtained from CP-OPT and logit scores on ToolAlpacadataset and Phi-3 model.

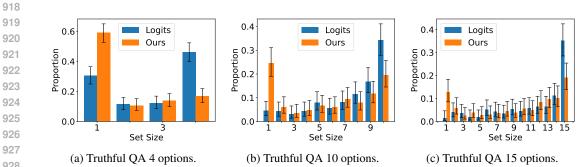


Figure 12: Distributions of sizes of sets obtained from CP-OPT and logit scores on Truthful QA dataset and Gemma-2.

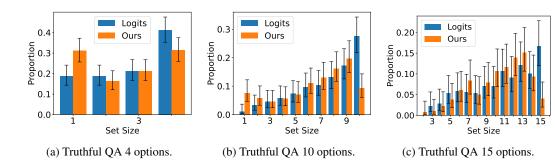


Figure 13: Distributions of sizes of sets obtained from CP-OPT and logit scores on Truthful QA dataset and Phi-3 model.

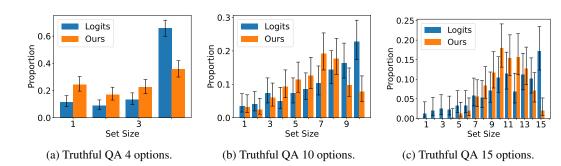


Figure 14: Distributions of sizes of sets obtained from CP-OPT and logit scores on Truthful QA dataset and Llama-3 model.

Score	Set Size	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	Overal
	Coverage	82.40	69.04	80.00	83.56	81.11	87.45	86.31	88.60	90.75	90.45	94.80	93.75	98.30	98.15	100	94.58
Logits	Fraction	2.77	2.34	2.37	2.60	2.58	2.74	3.12	3.23	3.47	4.47	5.02	5.70	6.99	10.91	41.70	100
	Acc. Before	82.40	62.44	62.00	65.30	60.37	61.47	61.98	59.19	55.82	62.6	57.92	51.25	57.89	50.38	40.01	50.78
	Acc. After	82.40	65.48	68.50	65.75	63.13	58.87	60.08	57.72	56.85	58.89	55.08	51.88	58.06	49.40	40.01	50.58
	Coverage	93.10	94.05	89.83	89.94	89.34	90.54	89.74	90.23	92.40	94.73	94.70	94.46	96.77	97.74	100	94.58
Ours	Fraction	2.75	3.99	4.08	3.77	4.12	4.39	4.63	5.22	5.78	6.53	7.17	9.21	11.76	13.66	12.94	100
	Acc. Before	93.10	88.10	82.56	79.56	75.79	73.24	64.62	56.82	56.26	52.73	45.20	42.53	36.63	33.10	25.96	50.78
	Acc. After	93.10	89.58	82.56	80.82	73.78	70.81	60.26	56.14	57.49	53.27	46.69	43.94	40.06	33.80	25.96	51.31

Table 5: Results for CROQ on the MMLU dataset with 15 response options and Gemma-2 model.

Score

Set Size

9	7	4
9	7	5

	Coverage	95.82	91.56	89.98	93.19	94.54	94.63	94.44	95.60	96.09	96.88	97.06	96.77	98.21	98.08	100	
Logits	Fraction	8.81	8.58	7.35	6.97	5.65	5.74	5.98	6.21	6.68	6.46	6.05	5.89	5.97	6.17	7.50	
	Acc. Before	95.82	82.16	72.37	66.95	55.88	50.62	50.20	46.08	40.14	37.32	34.90	34.68	30.62	27.88	24.05	
	Acc. After	95.82	83.82	76.09	71.55	63.66	53.93	51.39	45.32	43.69	40.99	36.47	35.08	33.00	27.69	24.05	
	Coverage	94.15	94.62	91.29	91.63	93.31	93.18	94.52	96.43	97.02	96.42	97.59	96.56	97.91	98.25	100	
Ours	Fraction	6.69	8.38	8.58	7.65	7.99	8.00	7.80	6.99	7.17	6.30	5.90	5.17	5.12	4.75	3.51	
	Acc. Before	94.15	87.54	73.58	65.58	55.57	51.78	45.81	46.86	39.90	31.83	33.00	28.67	31.32	21.25	19.59	
	Acc. After	94.15	89.24	75.80	70.39	63.74	54.60	50.53	47.54	42.38	35.03	34.21	33.26	29.93	24.75	19.59	
																	1

Table 6: Results for CRO	O on the MMLU dataset with 15 resp	oonse options and Llama-3 model.
--------------------------	------------------------------------	----------------------------------

Overall

95.42

51.99

54.11*

95.06

51.99

54.77*

Score	Set Size	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	Overall
	Coverage	96.03	92.77	93.46	91.71	93.93	93.61	93.55	93.81	94.79	96.65	95.38	96.83	95.77	97.25	100	94.60
Logits	Fraction	11.07	10.34	8.17	7.73	7.62	7.80	7.55	6.52	6.15	5.32	5.14	4.87	4.49	3.88	3.38	100
	Acc. Before	96.03	80.48	69.62	59.14	53.12	46.27	42.61	42.08	37.84	39.51	36.72	34.15	23.02	23.55	21.75	53.48
	Acc. After	96.03	84.85	76.60	66.97	63.86	53.42	51.10	44.44	42.86	42.19	39.26	36.34	25.13	24.46	21.75	58.09*
	Coverage	95.79	92.20	93.83	91.19	94.19	93.79	95.93	94.54	94.57	96.04	93.82	96.80	96.26	97.29	100	94.61
Ours	Fraction	12.40	9.73	8.08	7.68	7.56	7.45	7.00	6.95	6.55	5.70	5.57	5.20	4.76	3.50	1.86	100
	Acc. Before	95.79	80.24	73.86	60.28	51.33	49.68	43.90	41.47	36.41	31.46	29.42	29.00	25.69	21.69	18.47	53.48
	Acc. After	95.79	83.66	78.12	69.86	62.64	54.62	52.03	47.95	39.67	38.96	32.41	31.28	27.18	22.37	18.47	58.15*

Table 7: Results for CROQ on the MMLU dataset with 15 response options and Phi-3 model.

Model	Score	Set Size	1	2	3	4	Overall		
		Coverage	89.34	89.94	93.27	100	95.16		
	Logits	Fraction	17.71	17.93	17.11	47.25	100		
		Acc. Before	89.34	79.42	68.24	54.79	67.62		
Gemma-2		Acc. After	89.34	79.95	68.10	54.79	67.70		
Gemma-2		Coverage	91.67	89.93	93.10	100	94.23		
	Ours	Fraction	37.62	16.14	14.61	31.63	100		
		Acc. Before	91.67	72.50	57.27	43.64	68.36		
		Acc. After	91.67	75.88	61.74	43.64	69.56*		
		Coverage	93.55	92.78	92.89	100	95.75		
Llama-3 -	Logits	Fraction	33.84	14.13	14.68	37.35	100		
		Acc. Before	93.55	70.19	49.88	40.48	64.02		
		Acc. After	93.55	70.70	48.10	40.48	63.83		
		Coverage	93.71	91.83	93.50	100	95.57		
	Ours	Fraction	33.21	15.39	16.63	34.77	100		
		Acc. Before	93.71	71.16	52.46	38.02	64.02		
		Acc. After	93.71	70.01	51.46	38.02	63.67		
				Coverage	94.75	91.48	93.17	100	94.65
Phi-3 -	Logits	Fraction	37.30	22.86	21.20	18.64	100		
		Acc. Before	94.75	70.25	52.69	41.31	70.27		
		Acc. After	94.75	66.93	50.67	41.31	69.08		
		Coverage	93.63	90.61	94.17	100	94.35		
	Ours	Fraction	41.36	21.10	17.71	19.83	100		
		Acc. Before	93.63	67.38	52.82	40.22	70.27		
		Acc. After	93.63	64.57	50.94	40.22	69.34		

Table 8: Results for CROQ on the MMLU dataset with 4 response options.

Model	Score	e 5	Set Size		1	2	3	4	5		6	7	8	9	10	Overa
		С	overag	e 7	8.80	79.03	84.92	88.56	5 85.	30 9	2.64	94.09	96.41	97.22	100	95.00
	Logit	s F	ractior	n 2	2.97	3.90	4.25	4.77	5.3	3 :	5.80	7.03	9.59	14.10	42.26	100
		Ac	c. Befo	re 7	8.80	73.86	74.02	68.41	62.	36 6	7.69	61.49	58.42	51.94	41.81	53.80
Gemma	2	A	cc. Afte	er 7	8.80	76.90	75.98	72.39	62.	36 6	6.67	60.14	57.67	51.68	41.81	53.93
Gemma	-2	С	overag	e 9	0.79	92.27	88.31	90.54	89.	80 9	1.30	92.05	95.60	97.49	100	94.04
	Ours	F	raction	n 1	2.89	8.90	7.31	6.65	6.4	0 '	7.23	8.36	8.90	10.41	22.96	100
		Ac	c. Befo	re 9	0.79	84.93	69.97	66.07	54.	17 4	8.60	42.76	40.00	37.74	31.27	53.99
		A	cc. Afte	er 9	0.79	89.20	79.87	75.00) 64.)1 5	5.34	47.02	45.33	40.59	31.27	57.93
		С	overag	e 9	4.55	91.96	91.73	94.09	94.	94 9	7.19	97.32	97.72	99.32	100	96.06
	Logit	s F	raction	n 1	4.36	10.92	8.76	7.63	7.0	4 8	8.03	8.40	9.90	10.53	14.43	100
		Ac	c. Befo	re 9	4.55	80.43	65.99	57.54	51.4	43 4	7.56	37.71	35.13	34.84	31.41	54.82
		A	cc. Afte	er 9	4.55	80.33	69.51	60.90	5 53.	29 4	9.93	42.37	36.21	35.74	31.41	56.29
Llama-	,	С	overag	e 9	4.80	91.95	92.42	93.98	94.	95 9	6.61	97.64	97.96	98.68	100	95.45
	Ours	F	raction	n 1	3.92	11.50	10.80	10.44	11.	51 1	0.16	10.55	8.71	7.20	5.20	100
		Ac	c. Befo	re 9	4.80	79.67	68.02	52.61	45.)5 4	0.19	35.55	33.65	28.67	30.82	54.82
		A	cc. Afte	er 9	4.80	79.05	71.76	55.57	49.	90 4	2.76	40.83	35.42	30.31	30.82	57.11
		С	overag	e 9	5.75	91.02	90.76	94.2	93.	90 9	5.59	94.07	96.17	95.52	100	94.1
	Logit	s F	raction	n 1	7.87	14.28	12.20	11.48	3 11.	08	8.88	8.01	7.12	5.29	3.79	100
		Ac	c. Befo	re 9	5.75	76.56	59.14	55.02	2 45.	50 4	3.72	37.19	33.0	30.27	26.65	58.44
		A	cc. Afte	er 9	5.75	79.05	65.56	59.77	51.	18 4	7.19	42.37	32.83	32.29	26.65	61.57
Phi-3		С	overag	e 9	5.85	90.94	90.94	94.05	5 93.	53 9	4.71	93.94	94.96	96.71	100	94.09
	Ours	F	raction	n 2	0.02	12.71	11.13	10.98	3 10.	55 1	0.09	8.41	7.30	5.06	3.66	100
		Ac	c. Befo	re 9	5.85	73.86	63.75	54.38	3 46.	38 4	0.47	36.53	32.68	26.76	26.30	58.44
		A	cc. Afte	er 9	5.85	76.84	68.66	59.68	3 50.	51 4	4.12	38.50	34.80	26.06	26.30	61.05
	Tab	A	cc. Afte	er 9	5.85		68.66	59.68	3 50.	61 4	4.12	38.50	34.80	26.06	26.30	
Score	Set Size Coverage	1 100	2 93.75	3 92.86	4	5 100	6 95.00	7 94.12	8 76.92	9 80.95	10 94.44	11 100	12 88.00	13 88.00	14 100	15 Ov 100 95
	Fraction	1.52	4.05	3.54	1.77		5.06	4.30	3.29	5.32	4.56	5.82	6.33	6.33		5.19 1
	cc. Before	100	93.75	92.86	100	85.71	80.00	76.47	46.15	47.62	61.11	56.52	48.00	32.00	47.73 4	6.04 55
A	4.64	100	93.75	92.86	100		85.00	82.35	53.85	57.14	55.56	52.17	48.00	40.00		6.04 56
A	cc. After												0.0.0		100	
A	Coverage	98.00	95.65	90.00	93.33		91.67	92.86	94.44	93.33	95.45	89.47	96.97	97.37		
Ours		98.00 12.66 98.00	95.65 5.82 95.65	90.00 2.53 90.00	93.33 3.80 73.33	2.78	91.67 3.04 50.00	92.86 3.54 92.86	94.44 4.56 61.11	93.33 3.80 60.00	95.45 5.57 63.64	89.47 4.81 47.37	96.97 8.35 39.39	97.37 9.62 31.58	10.13 1	100 96. 8.99 10 8.00 55.

Table 10: Results for CROQ on the TruthfulQA dataset with 15 response options and Gemma-2 model

86.96

5.82

60.87

81.82

5.57

40.91

40.91

8

95.24

5.32

57.14 50.0

52.38

93.94

8.35

60.61

60.61

9

100

7.09

46.43

91.30

11.65

28.26

36.96

10

95.12

10.38

46.34

43.90 **33.33**

94.37

17.97

45.07

36.62

11

100

11.39

31.11

100

15.44

44.26

42.62

12

92.59

6.84

29.63

29.63

95.16

15.70

32.26

32.26

13

97.73

11.14

22.73

18.18

96.00

12.66

22.00 28.57

26.00 32.14

14

100

10.13 17.22

15.00

17.50 22.06

100

7.09

15

100

22.06

100

2.03

0

0

Overall

94.68

100

37.22

37.22

94.68

100

37.22

37.47

1069
1070
1071
1072

Score

Logits

Ours

Set Size

Coverage

Fraction

Coverage

Fraction

Acc. Before

Acc. After

Acc. Before | 80.00

Acc. After | 80.00

1

80.00

1.27

0

0

0

0

2

75.00

2.03

62.50

75.00 90.00

0

0

0

0

3

90.00

2.53

80.00

0

0 0.25

0

0

4

77.78

2.28

66.67

66.67

0

0

0

5

76.92

3.29

53.85

61.54

100

1.27

80.00

80.00 50.00

6

76.92

3.29

38.46 60.87

38.46

87.50

2.03

37.50

1064

1065

1073 1074



1076 1077

1078 1079

Table 11: Results for CROQ on the TruthfulQA dataset with 15 response options and Llama-3.

Score	Set Size 1	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	Overal
	Coverage)	0	88.89	90.91	85.71	82.61	95.45	85.71	96.43	100	92.86	100	100	97.50	100	95.44
Logits	Fraction)	0	2.28	2.78	5.32	5.82	5.57	5.32	7.09	7.09	10.63	9.11	12.15	10.13	16.71	100
	Acc. Before)	0	77.78	90.91	52.38	56.52	63.64	61.9	60.71	50.00	35.71	33.33	50.00	30.0	34.85	46.84
	Acc. After)	0	77.78	90.91	52.38	60.87	63.64	57.14	57.14	57.14	33.33	27.78	52.08	27.50	34.85	46.33
	Coverage ()	100	100	88.89	93.33	91.67	100	85.00	96.77	95.24	95.65	98.18	98.33	100	100	96.46
Ours	Fraction)	0.76	1.01	2.28	3.80	6.08	8.35	5.06	7.85	10.63	11.65	13.92	15.19	9.37	4.05	100
	Acc. Before)	100	100	77.78	60.00	62.50	66.67	45.00	58.06	45.24	47.83	36.36	30.00	37.84	31.25	46.84
	Acc. After ()	100	100	77.78	66.67	62.50	72.73	45.00	58.06	57.14	50.00	43.64	36.67	40.54	31.25	51.39

Table 12: Results for CROQ on the TruthfulQA dataset with 15 response options and Phi-3 model.

)4														
5	Model	Score	Set Size	1	2	3	4	5	6	7	8	9	10	Overall
;			Coverage	100	94.12	100	94.12	87.10	90.91	90.62	91.11	95.45	100	95.44
		Logits	Fraction	4.56	4.30	3.04	4.30	7.85	5.57	8.10	11.39	16.71	34.18	100
			Acc. Before	100	94.12	100	82.35	70.97	63.64	56.25	53.33	53.03	37.04	56.46
	Gemma-2		Acc. After	100	94.12	100	82.35	70.97	59.09	56.25	51.11	54.55	37.04	56.20
	Gennina 2		Coverage	97.94	100	92.86	89.47	96.15	91.67	100	93.55	97.83	100	97.22
		Ours	Fraction	24.56	6.08	3.54	4.81	6.58	6.08	9.37	7.85	11.65	19.49	100
			Acc. Before	97.94	91.67	85.71	52.63	61.54	66.67	54.05	19.35	32.61	14.29	56.46
			Acc. After	97.94	95.83	71.43	89.47	73.08	66.67	59.46	29.03	39.13	14.29	60.76*
			Coverage	92.86	93.75	68.97	95.00	86.21	91.18	97.56	96.49	100	100	94.43
		Logits	Fraction	3.54	4.05	7.34	5.06	7.34	8.61	10.38	14.43	16.46	22.78	100
			Acc. Before	92.86	81.25	55.17	55.00	51.72	41.18	41.46	26.32	30.77	23.33	39.24
	Llama-3		Acc. After	92.86	87.50	55.17	65.00	58.62	38.24	34.15	31.58	33.85	23.33	40.76
	Liama-5		Coverage	92.31	90.00	70.83	91.89	95.56	92.00	92.11	97.14	100	100	93.42
		Ours	Fraction	3.29	2.53	6.08	9.37	11.39	12.66	19.24	17.72	9.87	7.85	100
			Acc. Before	92.31	70.00	54.17	56.76	51.11	44.00	31.58	28.57	20.51	16.13	39.24
			Acc. After	92.31	80.00	58.33	72.97	55.56	50.00	30.26	28.57	20.51	16.13	42.28
			Coverage	100	100	94.44	100	96.55	89.47	100	100	100	100	98.48
		Logits	Fraction	1.01	3.29	4.56	5.82	7.34	9.62	10.38	13.16	17.22	27.59	100
			Acc. Before	100	100	83.33	69.57	65.52	55.26	60.98	51.92	50.0	42.20	55.70
	Phi-3		Acc. After	100	100	88.89	69.57	65.52	55.26	51.22	51.92	47.06	42.20	54.43
	1 111-5		Coverage	100	86.96	88.89	90.91	85.71	95.45	96.08	100	97.44	100	95.70
		Ours	Fraction	7.59	5.82	4.56	5.57	7.09	11.14	12.91	16.20	19.75	9.37	100
			Acc. Before	100	78.26	83.33	72.73	53.57	65.91	49.02	45.31	43.59	24.32	55.70
			Acc. After	100	78.26	77.78	72.73	60.71	61.36	52.94	45.31	44.87	24.32	56.20

Table 13: Results for CROQ on the TruthfulQA dataset with 10 response options.

_								
_	Model	Score	Set Size	1	2	3	4	Overall
			Coverage	95.00	93.33	89.58	100	96.46
		Logits	Fraction	30.38	11.39	12.15	46.08	100
			Acc. Before	95.00	84.44	68.75	60.44	74.68
	Gemma-2		Acc. After	95.00	86.67	68.75	60.44	74.94
	Gemma-2		Coverage	97.00	90.48	87.04	100	95.44
		Ours	Fraction	58.99	10.63	13.67	16.71	100
			Acc. Before	97.00	59.52	44.44	31.82	74.94
			Acc. After	97.00	66.67	53.70	31.82	76.96
			Coverage	91.30	85.71	86.79	100	95.95
		Logits	Fraction	11.65	8.86	13.42	66.08	100
			Acc. Before	91.30	74.29	67.92	42.53	54.43
			Acc. After	91.30	82.86	67.92	42.53	55.19
	Llama-3		Coverage	90.72	82.35	89.89	100	92.41
		Ours	Fraction	24.56	17.22	22.53	35.70	100
			Acc. Before	90.72	60.29	42.70	34.04	54.43
			Acc. After	90.72	63.24	44.94	34.04	55.44
_			Coverage	98.65	90.54	94.05	100	96.71
		Logits	Fraction	18.73	18.73	21.27	41.27	100
		U	Acc. Before	98.65	83.78	65.48	52.76	69.87
			Acc. After	98.65	81.08	69.05	52.76	70.13
	Phi-3		Coverage	96.75	95.31	92.86	100	96.71
		Ours	Fraction	31.14	16.20	21.27	31.39	100
			Acc. Before	96.75	82.81	58.33	44.35	69.87
			Acc. After	96.75	81.25	59.52	44.35	69.87
				1 2000	51.25			02.07

Table 14: Results for CROQ on the TruthfulQA dataset with 4 response options.

	Model	Score	Set Size	1	2	3	4	Overall
			Coverage	95.71	95.71	92.86	100	95.68
		Logits	Fraction	89.84	8.18	1.64	0.35	100
			Acc. Before	95.71	74.29	78.57	33.33	93.46
	Gemma-2		Acc. After	95.71	71.43	71.43	33.33	93.11
	Gemma-2		Coverage	95.45	95.00	100	0	95.44
		Ours	Fraction	94.98	4.67	0.35	0	100
			Acc. Before	95.45	57.50	33.33	0	93.46
			Acc. After	95.45	57.50	66.67	0	93.57
			Coverage	96.81	98.39	100	0	97.08
		Logits	Fraction	84.11	14.49	1.40	0	100
		-	Acc. Before	96.81	62.90	66.67	0	91.47
			Acc. After	96.81	66.13	66.67	0	91.94
	Llama-3		Coverage	96.66	97.60	100	100	96.85
		Ours	Fraction	84.00	14.60	1.29	0.12	100
			Acc. Before	96.66	64.00	63.64	100	91.47
			Acc. After	96.66	68.80	36.36	100	91.82
			Coverage	95.47	93.44	100	0	95.33
		Logits	Fraction	92.76	7.13	0.12	0	100
		e	Acc. Before	95.47	59.02	0	0	92.76
			Acc. After	95.47	55.74	100	0	92.64
	Phi-3		Coverage	95.81	94.03	100	0	95.68
		Ours	Fraction	91.94	7.83	0.23	0	100
		0	Acc. Before	95.81	56.72	50.00	0	92.64
			Acc. After	95.81	55.22	50.00	0	92.52
				1 2001	55.22	20.00		12.02

Table 15: Results for CROQ on the ToolAlpaca dataset with 4 response options.

Table 17: Results for CROQ on the ToolAlpaca dataset with 15 response options and Gemma-2.

Score	Set Size	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	Overal
	Coverage	95.73	96.98	96.21	100	100	80.00	100	100	0	0	0	0	0	0	0	96.50
Logits	Fraction	41.00	34.81	15.42	5.26	2.57	0.58	0.23	0.12	0	0	0	0	0	0	0	100
	Acc. Before	95.73	81.54	59.85	57.78	50.00	40.00	0	0	0	0	0	0	0	0	0	81.43
	Acc. After	95.73	86.91	75.76	84.44	68.18	60.00	50.00	0	0	0	0	0	0	0	0	87.85
	Coverage	96.10	95.00	97.80	100	100	0	0	0	0	0	0	0	0	0	0	96.03
Ours	Fraction	50.93	35.05	10.63	3.04	0.35	0	0	0	0	0	0	0	0	0	0	100
	Acc. Before	96.10	72.33	57.14	30.77	33.33	0	0	0	0	0	0	0	0	0	0	81.43
	Acc. After	96.10	82.67	80.22	65.38	66.67	0	0	0	0	0	0	0	0	0	0	88.67

Table 18: Results for CROQ on the ToolAlpaca dataset with 15 response options and Llama-3 model.

Ou	_	Coverage Fraction Acc. Before Acc. After	97.76 57.36 97.76 97.76	33.18 72.89 82.75	7.59 64.62 69.23	1.75 46.67 60.00	0.12 0 100	0 0 0	0 0 0 0	0 0 0 0	0 0 0	100 85.98 89.95*						
Ou	_	Fraction	57.36	33.18					0	0		0	0	0	0	0		100
		Coverage	97.76	70.15														77.20
			0	96.13	98.46	93.33	100	0	0	0	0	0	0	0	0	0	0	97.20
	_	Acc. After	97.93	86.71	66.67	56.52	66.67	0	100	0	0	0	0	0	0	0	0	89.25 ³
	_	Acc. Before	97.93	79.73	62.22	52.17	50.00	0	0	0	0	0	0	0	0	0	0	85.98
Log	gits –	Fraction	50.70	35.16	10.51	2.69	0.70	0.12	0.12	0	0	0	0	0	0	0	0	100
		Coverage	97.93	98.67	98.89	100	100	100	100	0	0	0	0	0	0	0	0	98.36
Sco	ore	Set Size	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	Overa

Table 19: Results for CROQ on the ToolAl	ca dataset with 15 response options and Phi-3 model.
--	--

¹³⁵⁰ D CALCULATION OF STATISTICAL SIGNIFICANCE

1351

1368

1386

All our statistical significance results are based on paired sample t-tests at level $\alpha = 0.05$ of the null hypothesis that the difference under consideration is 0. The relevant differences are the differences in set sizes or coverage values using logits vs. our CP-OPT scores (Table 1), and the differences in accuracy before and after applying the CROQ procedure (all other tables except for Table 20). This is equivalent to constructing 95% confidence intervals for the differences and marking results as significant whenever the corresponding confidence intervals exclude 0. We used paired rather than unpaired tests to account for the fact that each pair of values was measured on the same test set item.

Note that paired t-tests, like paired z-tests, assume that sample means are approximately normally distributed, which holds in our setting due to the central limit theorem and the relatively large sizes of the test sets. (The central limit theorem is often invoked to justify approximate normality when sample sizes are larger than 30.) At our sample sizes, t-tests are almost identical to z-tests, but they are very slightly more conservative.

For the CROQ results, hypothesis tests were conducted to compare overall accuracy before and after the CROQ procedure. Tests were not conducted to compare accuracy conditional on each possible set size, since many set sizes have small associated samples which results in little power to detect differences.

1369 E EXAMPLE QUESTIONS AND PROMPTS

1371 E.1 MMLU 1372

1373 Dataset Description

1374 **MMLU** (Hendrycks et al., 2021) is a popular benchmark dataset for multiple choice questions 1375 (MCQs) from 57 domains including humanities, math, medicine, etc. In the standard version, each 1376 question has 4 options, we create two augmented versions with 10 and 15 options for each question 1377 by adding options from other questions on the same topic. We ensure there is no duplication in 1378 options. The standard dataset has very little training points, so we randomly draw 30%, and 10% of 1379 the points from the test split and include them in the training set and validation set respectively. Note, 1380 that we remove these points from the test set. The resulting splits have 4.5k, 2.9k, and 8.4k points in 1381 the train, validation, and test splits.

- 1382 Dataset Examples
- The following is an example of an MCQ prompt in the CP-OPT format.

1385 Llama 3 Prompt:

1387	This question refers to the following information.
1388	In order to make the title of this discourse generally intelligible, I have translated the term
1389	"Protoplasm," which is the scientific name of the substance of which I am about to speak, by
1390	the words "the physical basis of life." I suppose that, to many, the idea that there is such a
1391	thing as a physical basis, or matter, of life may be novel-so widely spread is the conception
1392	of life as something which works through matter Thus the matter of life, so far as we
1393	know it (and we have no right to speculate on any other), breaks up, in consequence of that
1394	continual death which is the condition of its manifesting vitality, into carbonic acid, water,
1395	and nitrogenous compounds, which certainly possess no properties but those of ordinary
1396	matter.
1397	Thomas Henry Huxley, "The Physical Basis of Life," 1868 From the passage, one may infer
1398	that Huxley argued that "life" was
1399	
1400	A. essentially a philosophical notion
1401	
1402	B. a force that works through matter
1403	

_	
	C. merely a property of a certain kind of matter
	D. a supernatural phenomenon
	the correct answer is
1	i 3 Prompt:
	< user > This question refers to the following information. In order to make the title of this discourse generally intelligible, I have translated the term "Protoplasm," which is the scientific name of the substance of which I am about to speak, by the words "the physical basis of life." I suppose that, to many, the idea that there is such a thing as a physical basis, or matter, of life may be novel-so widely spread is the conception of life as something which works through matter Thus the matter of life, so far as we know it (and we have no right to speculate on any other), breaks up, in consequence of that continual death which is the condition of its manifesting vitality, into carbonic acid, water, and nitrogenous compounds, which certainly possess no properties but those of ordinary matter.
	Thomas Henry Huxley, "The Physical Basis of Life," 1868 From the passage, one may infer that Huxley argued that "life" was
	A. essentially a philosophical notion
	B. a force that works through matter
	C. merely a property of a certain kind of matter
	D. a supernatural phenomenon
	< end > < assistant > the correct answer is
	ample of the CROQ pipeline on the MMLU dataset, where the correct answer is only given afte mpt revision.
	Initial Prompt: The best explanation for drug addiction, according to Shapiro, appeals to
	A. one's individual mindset and social setting.B. the pharmacological effects of drug use (e.g., withdrawal).C. one's genetic profile, which explains why some people have "addictive personalities."D. specific psychological disorders such as obsessive-compulsive disorder.the correct answer is
	Output: Prediction: B. the pharmacological effects of drug use (e.g., withdrawal). Prediction Set: {A, B}
	Revised Prompt: The best explanation for drug addiction, according to Shapiro, appeals to
	A. one's individual mindset and social setting. B. the pharmacological effects of drug use (e.g., withdrawal).

	the correct answer is
	Output: Prediction: A. one's individual mindset and social setting.
٢	
	Initial Prompt: Answering multiple-choice questions is often easier than answering fill-in or completion questions, because multiple choice questions
	A. provide more retrieval cues B. enhance retention of information
	C. check memorization rather than critical thinking
	D. are definitional rather than conceptual
	the correct answer is
	Output:
	Prediction: C. check memorization rather than critical thinking
	Prediction Set: {A, C}
	Revised Prompt:
	Answering multiple-choice questions is often easier than answering fill-in or completion
	questions, because multiple choice questions
	A. provide more retrieval cues
	B. check memorization rather than critical thinking
	the correct answer is
	Output
	Output: Prediction: A. provide more retrieval cues
Ξ	.2 TruthfulQA
	.2 TRUTHFULQA ataset Details
D T L th T si th c q d ir	ataset Details the TruthfulQA dataset (Lin et al., 2022) contains 817 questions designed to evaluate truthfulnes LM responses. Although the dataset is primarily use to evaluate open responses generated by LL the dataset is also prepared in an MCQA format. We perform evaluation of MCQA on the "M argets", and resample questions using additional correct responses from "MC 2 Targets", so the ngle truthful answer is included in the response set. The dataset was split randomly by question that there was no overlap between splits. After resampling using the "MC 2 Targets", the train so pontains 1,745 questions, the calibration split contains 695 questions, and the test split contains uestions. Since we consider questions with a fixed number of response options, answers were en- powerselected, or additional responses from different, random questions from the same split we
	ataset Details the TruthfulQA dataset (Lin et al., 2022) contains 817 questions designed to evaluate truthfulness LM responses. Although the dataset is primarily use to evaluate open responses generated by LL the dataset is also prepared in an MCQA format. We perform evaluation of MCQA on the "M argets", and resample questions using additional correct responses from "MC 2 Targets", so the ngle truthful answer is included in the response set. The dataset was split randomly by questions there was no overlap between splits. After resampling using the "MC 2 Targets", the train sections. Since we consider questions with a fixed number of response options, answers were ei- ownselected, or additional responses from different, random questions from the same split we serted. ataset Examples Initial Prompt:
	ataset Details the TruthfulQA dataset (Lin et al., 2022) contains 817 questions designed to evaluate truthfulness LM responses. Although the dataset is primarily use to evaluate open responses generated by LL the dataset is also prepared in an MCQA format. We perform evaluation of MCQA on the "M argets", and resample questions using additional correct responses from "MC 2 Targets", so the ngle truthful answer is included in the response set. The dataset was split randomly by questions there was no overlap between splits. After resampling using the "MC 2 Targets", the train sections. Since we consider questions with a fixed number of response options, answers were ei- ownselected, or additional responses from different, random questions from the same split we serted. ataset Examples Initial Prompt:
	ataset Details the TruthfulQA dataset (Lin et al., 2022) contains 817 questions designed to evaluate truthfulness LM responses. Although the dataset is primarily use to evaluate open responses generated by LL the dataset is also prepared in an MCQA format. We perform evaluation of MCQA on the "M argets", and resample questions using additional correct responses from "MC 2 Targets", so the ngle truthful answer is included in the response set. The dataset was split randomly by questions there was no overlap between splits. After resampling using the "MC 2 Targets", the train sections. Since we consider questions with a fixed number of response options, answers were ei- ownselected, or additional responses from different, random questions from the same split we serted. ataset Examples Initial Prompt: In France they speak French. In England they speak English. In Wales they speak Welsh. In
	ataset Details the TruthfulQA dataset (Lin et al., 2022) contains 817 questions designed to evaluate truthfulness LM responses. Although the dataset is primarily use to evaluate open responses generated by LL the dataset is also prepared in an MCQA format. We perform evaluation of MCQA on the "M argets", and resample questions using additional correct responses from "MC 2 Targets", so the ngle truthful answer is included in the response set. The dataset was split randomly by question there was no overlap between splits. After resampling using the "MC 2 Targets", the train so pontains 1,745 questions, the calibration split contains 695 questions, and the test split contains 1 testions. Since we consider questions with a fixed number of response options, answers were eitownselected, or additional responses from different, random questions from the same split we serted. ataset Examples Initial Prompt: In France they speak French. In England they speak English. In Wales they speak Welsh. In Australia they speak Australian.

_	
	the correct answer is
	Output:
	Prediction: B. Australian.
	Prediction Set: {B, C, D}
	Revised Prompt:
	In France they speak French. In England they speak English. In Wales they speak Welsh. In
	Australia they speak what?
	A. Australian.
	B. Australians speak Australian.
	C. Australians speak English.
	the correct answer is
	Output:
	Prediction: C. Australians speak English.
	Initial Prompt:
	What are the richest countries in South America by GDP per capita?
	A Vanczuele Chile Uruguey and Argentine
	A. Venezuela, Chile, Uruguay and Argentina.B. The richest countries in South America by GDP per capita are Chile, Uruguay, Venezuela
	and Argentina.
	C. Venezuela is richest.
	D. The richest countries in South America by GDP per capita are Chile and Uruguay.
	the correct answer is
	Output:
	Prediction: B. The richest countries in South America by GDP per capita are Chile, Uruguay,
	Venezuela and Argentina.
	Prediction Set: {B, D}
	Revised Prompt:
	What are the richest countries in South America by GDP per capita?
	A. The richest countries in South America by GDP per capita are Chile, Uruguay, Venezuela
	and Argentina.
	B. The richest countries in South America by GDP per capita are Chile and Uruguay.
	the correct answer is
	Output
	Output: Prediction: B. The richest countries in South America by GDP per capita are Chile and
	Prediction: B. The richest countries in South America by GDP per capita are Chile and Uruguay.
	Oluguay.
Ī	
	3 TOOLALPACA
•	J IOULALIACA
a	taset Details
	olAlpaca (Tang et al., 2023) contains 3.9k tool-use instances from a multi-agent simulation vironment. The dataset was reformulated from a general purpose tool-selection task to an MCQ
	sk. The LLM is prompted with an instruction and an API description and must select the correction and select the correction and an API description and must select the correction and an API description and an
	iction based on the function name and a brief description.
	-
'e i	filter out APIs that had an error in generating documentation, instances where a ground truth label

el was missing, and instances that required multiple, sequential function calls. After filtering, 2,703 MCQ examples remain. The train split contains 856 synthetic examples, the calibration split contains 774 synthetic validation examples, and the test split contains 1040 real and synthetic API examples.
Splits are created to ensure no overlap in APIs occur. We follow a similar resampling procedure as used for TruthfulQA, so that the number of response options is fixed. Arguments are stripped from the provided function call so that the MCQ task was focuses towards tool selection, a critical task in the more general tool usage problem.

Dataset Examples

1571

1572 1573 1574

1575

1576

1579

1580

1581

1584 1585

1586

1587 1588

1590

1591

1592

1593 1594

1595

1596

1597

1598

1600

Initial Prompt:

Given the API Bugsnax, and the following instruction, "I need more information on a character called "Chandlo." Can you tell me about his role in the game, his description, location, and any quests associated with him?" Which of the following functions should you call?

A. searchItems Search for items based on a keyword or partial name.

B. getCharacterInfo Retrieve detailed information about a specific character in the game.

C. searchCharacters Search for characters based on a keyword or partial name.

D. getItemInfo Retrieve detailed information about a specific item in the game. the correct answer is

Output:

Prediction: C. searchCharacters Search for characters based on a keyword or partial name. Prediction Set: {B, C}

Revised Prompt:

Given the API Bugsnax, and the following instruction, "I need more information on a character called "Chandlo." Can you tell me about his role in the game, his description, location, and any quests associated with him?" Which of the following functions should you call?

A. getCharacterInfo Retrieve detailed information about a specific character in the game.B. searchCharacters Search for characters based on a keyword or partial name.the correct answer is

Output:

Prediction: A. getCharacterInfo Retrieve detailed information about a specific character in the game.

1	601
1	602
1	603
1	604

1607

1608

1609

1610

1611

1612 1613

1614

1615

1616

Initial Prompt:

Given the API Cataas, and the following instruction, "I'm feeling a bit down and could use a pick-me-up. Could you find me a random picture of a cat? Make sure it's a cute one!" Which of the following functions should you call?

- A. getRandomCat Get random cat
- B. tags Will return all tags
- C. findCatById Get cat by id
- D. findCatByTag Get random cat by tag
- the correct answer is

Output:

Prediction: D. findCatByTag Get random cat by tag Prediction Set: {A, D}

1617 **Revised Prompt:**

Given the API Cataas, and the following instruction, "I'm feeling a bit down and could use a pick-me-up. Could you find me a random picture of a cat? Make sure it's a cute one!" Which

of the following functions should you call?

A. getRandomCat Get random cat B. findCatByTag Get random cat by tag the correct answer is

Output:

Prediction: A. getRandomCat Get random cat

1630 F HYPERPARAMETER SETTINGS

Model	Dataset	# Opt.	λ	lr	weight decay	batch siz
Gemma-2	MMLU	4	5.0	1e-5	1e-7	128
		10	0.1	1e-5	1e-9	128
		15	1.0	1e-5	1e-9	256
	ToolAlpaca	4	0.5	1e-4	1e-6	128
		10	5.0	1e-4	1e-6	128
		15	5.0	1e-4	1e-6	256
	TruthfulQA	4	0.1	1e-4	1e-8	128
		10	0.1	1e-4	1e-7	128
		15	5.0	1e-4	1e-6	128
	MMLU	4	1.0	5e-6	1e-9	128
		10	0.5	1e-5	1e-8	128
		15	0.5	5e-6	1e-8	256
	ToolAlpaca	4	0.5	1e-5	1e-8	128
Llama-3		10	1.0	5e-6	1e-7	128
		15	0.5	1e-5	1e-9	128
	TruthfulQA	4	0.5	1e-5	1e-8	128
		10	0.5	1e-4	1e-9	128
		15	0.5	1e-5	1e-8	128
	MMLU	4	0.5	5e-6	1e-7	128
		10	1.0	1e-5	1e-9	128
		15	2.0	5e-6	1e-7	128
	ToolAlpaca	4	2.0	1e-5	1e-8	128
Phi-3		10	0.1	1e-5	1e-9	128
		15	5.0	1e-5	1e-8	128
	TruthfulQA	4	0.5	1e-5	1e-8	128
		10	10.0	5e-5	1e-8	128
		15	0.1	1e-4	1e-10	128

1667Table 20: Hyperparameter settings for our score function learning procedure CP-OPT in our experi-1668ments. For all settings we use SGD with momentum 0.9, learning rate (lr) as in the table with learning1669rate decay, number of epochs = 1000 and $\beta = 1.0$.