

Learning to Predict Persona Information for Dialogue Personalization without Explicit Persona Description

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Abstract

Personalizing dialogue agents is important for dialogue systems to generate more specific, consistent, and engaging responses. However, most current dialogue personalization approaches rely on explicit persona descriptions during inference, which severely restricts its application. In this paper, we propose a novel approach that learns to predict persona information based on the dialogue history to personalize the dialogue agent without relying on any explicit persona descriptions during inference. Experimental results on the PersonaChat dataset show that the proposed method can improve the consistency of generated responses when conditioning on the predicted profile of the dialogue agent (i.e. “self persona”), and improve the engagingness of the generated responses when conditioning on the predicted persona of the dialogue partner (i.e. “their persona”). We also find that a trained persona prediction model can be successfully transferred to other datasets and help generate more relevant responses.

1 Introduction

Recently, end-to-end dialogue response generation models (Sordoni et al., 2015; Serban et al., 2016; Bordes et al., 2017) based on recent advances of neural sequence-to-sequence learning models (Sutskever et al., 2014; Vaswani et al., 2017) have gained increasing popularity as they can generate fluent responses. However, as the dialogue agent is trained with datasets containing dialogues from many different speakers, it can not generate personalized responses for the current speaker, making the generated responses less relevant and engaging (Li et al., 2016b).

To address this problem, recent studies attempt to personalize dialogue systems by generating dialogue responses conditioning on given persona descriptions have been shown to help dialogue agents perform better (Zhang et al., 2018; Mazaré

et al., 2018). However, a major drawback of the current dialogue agent personalization approaches is that they require explicit persona descriptions in both training and inference stages, which severely limits their application in real-world scenarios because detailed persona descriptions for current speakers are not available in most scenarios. Another problem is that current dialogue personalization approaches are not interpretable and the role of additional persona information is unclear.

In this paper, we propose a novel dialogue agent personalization approach that automatically infers the speaker’s persona based on the dialogue history which implicitly contains persona information. Our model generates personalized dialogue responses based on the dialogue history and the inferred speaker persona, alleviating the necessity of the persona description during inference.

Specifically, we propose two different approaches to perform persona detection. The first approach learns a “persona approximator” which takes dialogue history as the input and is trained to approximate the output representation of a persona encoder that takes explicit persona description as the input. The second approach instead addresses the persona detection problem as a sequence-to-sequence learning problem and learns a “persona generator” which takes the dialogue history as the input and generates the persona description of the speaker. This approach provides a stronger supervision signal compared with the first approach and is more interpretable as the encoded persona information can be decoded to reconstruct the detected persona description.

Our proposed approach can be used to incorporate both “self-persona” which is the persona information of the dialogue agent, and “their-persona” which is the persona information of the dialogue partner. On one hand, generating dialogue responses conditioning on the inferred “self-persona” can help the dialogue agent maintain a

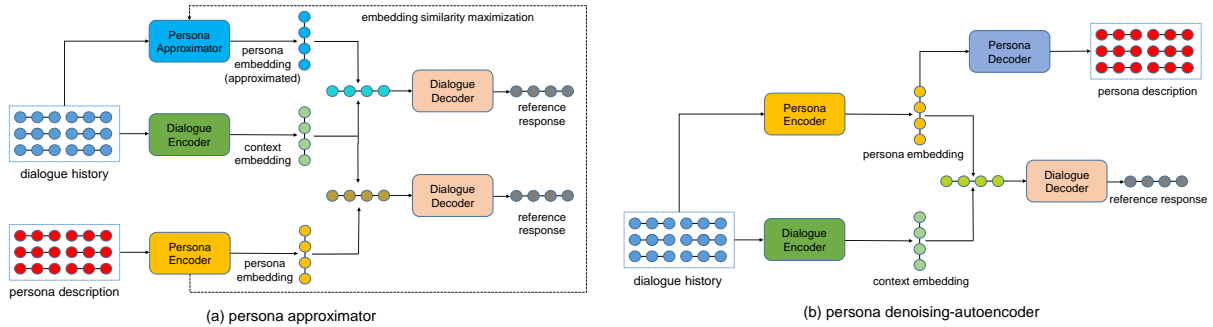


Figure 1: Illustration of the proposed persona detection models. The persona approximator is on the left. It is trained to maximize the embedding similarity between persona embedding approximated by the persona approximator and the persona encoder, which is obtained by taking dialogue history and persona description respectively. The persona generator is on the right, which is trained to recover persona description from the dialogue history, thus can also be viewed as a “persona denoising-autoencoder”. The persona decoder is employed for training and only the persona encoder is used during inference.

083 consistent persona during the conversation, thus
 084 enhancing the consistency of generated responses
 085 without the need of a pre-defined persona description
 086 for every dialogue agent. On the other hand,
 087 generating dialogue responses conditioning on the
 088 predicted persona of the dialogue partner helps the
 089 dialogue model generate more engaging responses
 090 that are relevant to its dialogue partner. The ability
 091 to automatically infer the persona information of
 092 the dialogue partner is particularly attractive because
 093 in many real-world application scenarios, the persona
 094 information of the user is hardly available before
 095 the dialogue starts. In addition, to facilitate training
 096 and tackle the problem of lacking training data,
 097 we propose to train the persona detection model with
 098 multi-task learning by sharing layers and training
 099 jointly with the dialogue context encoder in both
 100 approaches.

101 Our experiments on dialogue datasets with and
 102 without the persona description demonstrate the
 103 effectiveness of the proposed approach and show
 104 that a trained persona detection model can be
 105 successfully transferred to datasets without persona
 106 description.

107 2 Related Work

108 Preliminary study on dialogue personalization (Li
 109 et al., 2016b) attempts to use a persona-based neural
 110 conversation model to capture individual characteristics
 111 such as background information and speaking style.
 112 However, it requires the current speaker during
 113 inference to have sufficient dialogue utterances
 114 included in the training set, which is quite
 115 restricted by the cold-start problem.

116 More recently, Zhang et al. (2018) released
 117 the PersonaChat dataset which incorporates *persona*
 118 of two speakers represented as multiple sentences
 119 of profile description to personalize dialogue
 120 agents. They propose a profile memory network
 121 by considering the dialogue history as input
 122 and then performing attention over the persona
 123 to be combined with the dialogue history.
 124 Mazaré et al. (2018) proposed to train a persona
 125 encoder and combine the encoded persona
 126 embedding with context representation by
 127 concatenation. The combined representation is
 128 then fed into the dialogue decoder to generate
 129 personalized responses. (Yavuz et al., 2019)
 130 designed the DeepCopy model, which leverages
 131 copy mechanism to incorporate persona texts
 132 and Madotto et al. (2019) propose to use
 133 meta-learning to adapt to the current speaker
 134 quickly, their approach also requires several
 135 dialogues of the speaker to perform dialogue
 136 personalization, which is different from our
 137 approach. Welleck et al. (2019) propose a
 138 dialogue natural language inference dataset and
 139 use it to measure and improve the consistency
 140 of the dialogue system. More recently, Zheng
 141 et al. (2019) propose personalized dialogue
 142 generation with diversified traits. Song et al.
 143 (2020) introduce a multi-stage response
 144 generation stage to improve the personalization
 145 of generated responses. Wu et al. (2020)
 146 propose a variational response generator to
 147 better exploit persona information. Different
 148 from the aforementioned works, our approach
 does not require persona information during
 test time, which makes it more generally
 applicable.

3 Methodology

The motivation behind the proposed approach is that we can learn to detect the profile (i.e., persona) of dialogue speakers based on the dialogue history, which is demonstrated by experimental results in Zhang et al. (2018) that we can train a model to effectively distinguish the corresponding persona from randomly sampled negative persona based on the dialogue history.

The key idea is to jointly train a persona detection model with a conventional dialogue response generation model. The persona detection model is trained with persona description to infer the persona information based on the dialogue history, which provides persona information for the dialogue model, thus alleviating the necessity of provided persona information during test time. We propose two different persona detection models. The first model is a “persona approximator” and the second is a “persona generator”. An overview of the proposed models is illustrated in Figure 1. We describe them in detail in this section, together with a multi-task learning objective which facilitates the training stage of the model.

3.1 Task Definition

Given a dialogue dataset \mathcal{D} with personas, an example of the dataset can be represented as a triplet (h, p, r) . Specifically, $h = \{u_1, u_2, \dots, u_{nh}\}$, which represents the dialogue history with nh utterances. $p = \{p_1, p_2, \dots, p_{np}\}$, which represents a persona with np profile sentences. r represents the ground-truth response. Existing personalized dialogue models learn a dialogue response generation model G which takes h and p as input during inference and generates a personalized response $G(h, p)$. Our goal is to learn a persona detection model D which enables the dialogue model to generate personalized response $G(h, D(h))$ without relying on given persona description p during test time. In this way, the persona description in the dataset is used to train the personalized dialogue agent and after training, our model should be able to generate personalized dialogue responses without relying on persona description.

3.2 Persona Approximator

The idea of persona approximator is that given a trained personalized dialogue model with persona encoder which takes the persona description as input and outputs the persona embedding, we

can train a persona approximator which takes the dialogue history as input and learns to output a persona embedding which is similar with that encoded by the trained persona encoder. Persona embedding approximation is possible as dialogue history is shown to be sufficient for discriminating the corresponding persona (Zhang et al., 2018).

Formally, given dialogue history h and persona description p , the persona encoder E takes p as input and outputs persona embedding $emb(p) = E(p)$. The proposed persona approximator A takes h as input and outputs the approximated persona embedding $a = A(h)$. The training objective of A is to optimize the embedding similarity (e.g. cosine similarity) between a and $emb(p)$.

We discuss several pros and cons of the proposed persona approximator here. The advantage of this approach is that it alleviates the requirement of persona description during training and can incorporate several off-the-shelf personalized dialogue models with persona encoder seamlessly. However, as the persona encoder itself is far from perfect and non-interpretable, a persona approximator which is trained to approximate the persona encoder may also be sub-optimal and even less interpretable. Another issue is that the persona approximator can only be trained after training the dialogue model and persona encoder. To alleviate this problem and train an interpretable persona detection model more effectively, we propose another persona detection model which is named “persona generator”.

3.3 Persona Generator

As dialogue history can be used to predict the corresponding persona, which is demonstrated by Zhang et al. (2018), we hypothesize that dialogue history implicitly contains the persona of dialogue partners. Therefore, we argue that a good persona detection model should be able to reconstruct the dialogue partners’ persona descriptions based on the dialogue history. Based on this insight, we propose a “persona generator” model which formulates the persona detection problem as a sequence-to-sequence learning problem and train the persona generator to recover the textual persona description of dialogue partners from the dialogue history.

Formally, the persona generator receives the dialogue history h as input and is trained to generate the persona description p , which is a sequence

of tokens p_i of length n . The persona generator is trained by maximizing the likelihood of the ground-truth persona descriptions:

$$L_{pg} = - \sum_{i=1}^n \log P(p_i | p_{<i}, h) \quad (1)$$

As illustrated in Figure 1(b), the persona generator consists of a persona encoder and a persona decoder. During training, the persona encoder takes the dialogue history as input and outputs a persona embedding that represents the persona information of either the dialogue model or its dialogue partner. The persona embedding is then concatenated with the context embedding generated by the dialogue encoder and fed into the dialogue decoder to generate the response. In addition, the persona embedding is also fed into the persona decoder to generate the textual persona description of the dialogue partner. During inference, only the encoder of the trained persona generator will be used to provide persona information for the response generation model.

While previous dialogue personalization approaches, as well as the aforementioned persona approximator, generally train the persona encoder to maximize the likelihood of gold responses with MLE and can not ensure that the persona encoder actually captures useful persona information, the persona generator is directly trained to generate persona information from dialogue history, which enforces the persona information to be successfully captured. This approach also enhances the interpretability of the dialogue personalization procedure as the persona embedding encoded from dialogue history can be decoded into persona description with the decoder of trained persona generator.

3.4 Multi-Task Learning

Training the proposed persona detection models can be difficult because the available persona description is limited. To alleviate this problem, we propose to adopt multi-task learning (Argyriou et al., 2006) by training the dialogue encoder jointly with the persona detection model. This is possible because both the dialogue encoder and the persona detection model take dialogue history as input and outputs a latent vector. The difference is that the dialogue context encoder is trained to provide direct information for response generation while the persona detection model is trained

to predict persona description. These two tasks both require dialogue understanding and common-sense reasoning ability, which can be shared and help each other generalize better. We thus propose to adopt the multi-task learning paradigm to facilitate training. Specifically, we share the parameter of the first layer, which can be viewed as a general-purpose dialogue information encoder, between the dialogue context encoder and the persona detection model.

In addition, we also train the persona detection model to maximize the likelihood of ground-truth responses together with the dialogue model, which ensures that the persona detection model not only encodes persona information but also helps generate more fluent dialogue responses. We control the relative importance between the original MLE objective and the training objectives of the proposed persona detection models by weighting the loss of persona detection objective with a hyperparameter α which is empirically set to 0.1 in our experiments.

4 Experiments

4.1 Dataset

We conduct our experiments on PersonaChat dataset (Zhang et al., 2018) which is a multi-turn chit-chat conversation dataset containing conversations between human annotators who are randomly assigned a “persona”. We experiment with two settings where the models are trained either with the persona description of themselves (i.e., self persona) or with the persona description of their dialogue partner (i.e., their persona). We present an example of the dataset in the Appendix.

In addition, we also expect our approach to be able to perform personalized dialogue response generation on other datasets (application scenarios) where persona description is not available even in the training set. Therefore, we also conduct experiments on the Dailydialog dataset (Li et al., 2017), which is a multi-turn dialogue dataset in a similar domain with PersonaChat but without persona description, to explore the transferability of our approach.

4.2 Evaluation Metrics

For automated evaluation, we employ the following metrics following previous work:

- **Perplexity** Following Zhang et al. (2018), we use perplexity (ppl) to measure the fluency

345 of responses. Lower perplexity means better
346 fluency.

347 • **Distinct** Following (Li et al., 2016a), we cal-
348 culate the token ratios of distinct bigrams
349 (Distinct-2, abbreviated as Dst for conven-
350 nience). We use this metric to measure the
351 diversity of the responses.

352 • **Hits@1** Following Zhang et al. (2018),
353 Hit@1 measures the percentage of correct
354 identification of a gold answer from a set of
355 19 distractors.

356 • **Consistency** In addition, we train a dia-
357 logue natural language inference model on
358 the DNLI dataset (Welleck et al., 2019) by
359 fine-tuning BERT (Devlin et al., 2019). We
360 are able to achieve a test set accuracy of
361 88.60%, which is comparable to the best re-
362 ported model (Welleck et al., 2019) (88.20%
363 accuracy). The consistency metric (Cons) is
364 then defined following (Madotto et al., 2019):
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$$\text{NLI}(u, p_j) = \begin{cases} 1 & \text{if } u \text{ entails } p_j \\ 0 & \text{if } u \text{ is independent to } p_j \\ -1 & \text{if } u \text{ contradicts } p_j \end{cases}$$
$$\text{Cons}(u) = \sum_j^m \text{NLI}(u, p_j) \quad (2)$$

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367 As automated metrics generally fail to corre-
368 lates well with human evaluation (Liu et al., 2016;
369 Zhou and Xu, 2020). We also systematically con-
370 duct human evaluation to further evaluate the pro-
371 posed method. Specifically, we invite 20 human
372 annotators that are all graduate students with good
373 English proficiency to evaluate the quality of the
374 model. Following Zhang et al. (2018), we ask hu-
375 man annotators to interact with compared models
376 and evaluate the fluency, engagingness, and con-
377 sistency of the model (scored between 1- 5). In ad-
378 dition, the degree of personalization of the model
379 is measured by the ability of human annotators to
380 detect the model’s profile after the conversation,
381 which is measured by displaying the real persona
382 description together with a randomly sampled per-
383 sona description and asking the human annota-
384 tor to select which is more likely to be the pro-
385 file of the model. The persona detection metric is
386 only available in PersonaChat where test persona
387 is available.

4.3 Compared Models 388

To explore to what extent our proposed approach
is able to personalize dialogue agents, we com-
pare two variants of our model which incorpo-
rate the persona approximator method and the per-
sona generator method with the following baseline
models: 389
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• **TransferTransfo** A Transformer-based di-
alogue response generation pre-trained on
general monolingual corpus by Wolf et al.
(2019) which has 110M parameters and fine-
tuned on Personachat by pre-pending all per-
sona descriptions at the beginning of the dia-
logue context. 395
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• **TransferTransfo w/o persona** The same
pre-trained TransferTransfo model fine-tuned
on Personachat dataset without using persona
information during training or inference. 402
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• **TransferTransfo+PE** A transformer-based
dialogue model based on pre-trained Trans-
ferTransfo model and fine-tuned by training
a transformer-based persona encoder to pro-
vide persona embedding information. 406
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• **DeepCopy** An RNN-based hierarchical
pointer network, which leverages copy
mechanism to integrate persona (Yavuz
et al., 2019). 411
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• **GPMN** Generative Profile Memory Net-
work (Zhang et al., 2018) is an RNN-based
model that encodes persona as memory rep-
resentations in a memory network. 415
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Both of our models (Persona Approximator and
Persona Generator) are based on pre-trained
TransferTransfo (Wolf et al., 2019) and fine-
tuned on Personachat. Specifically, the dia-
logue generation model is a 12-layer decoder-
only transformer with masked self-attention heads
(768-dimensional states and 12 attention heads).
Fine-tuning hyperparameters are kept the same
with Wolf et al. (2019). To make the model com-
patible with the encoder-decoder architecture de-
scribed in the method section, we consider the hid-
den state of the last token in the transformer model
as the context embedding. For the persona en-
coder, we share all layers except the last layer in
the multi-task setting. The RNN-based baselines
are trained from scratch and we used their original
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| Method | Self Persona | | | | Their Persona | | | |
|------------------------------------|---------------|--------------|--------------|-------------|---------------|--------------|--------------|--------------|
| | ppl | Dst | Hits@1 | Cons | ppl | Dst | Hits@1 | Cons |
| TransferTransfo | 17.78 | 21.5* | 80.1 | 0.32 | 18.31 | 22.3* | 76.5 | 0.25 |
| TransferTransfo+PE | 17.41 | 21.1 | 82.0* | 0.35 | 18.15 | 21.8 | 77.2* | 0.28* |
| DeepCopy | 36.35 | 12.2 | 52.7 | 0.11 | 36.77 | 13.7 | 49.6 | 0.07 |
| GPMN | 36.11 | 13.5 | 54.9 | 0.15 | 36.45 | 14.8 | 51.4 | 0.10 |
| TransferTransfo w/o persona | 19.87 | 18.4 | 67.3 | 0.04 | - | - | - | - |
| Persona Approximator | 18.33 | 19.8 | 73.3 | 0.22 | 18.59 | 20.4 | 71.2 | 0.16 |
| Persona Generator | 17.31* | 21.1 | 81.2 | 0.34 | 18.11 | 21.9 | 76.8 | 0.28* |

Table 1: Performance of dialogue models on automated evaluation metrics in the PersonaChat testset. “Self persona” means that the model is conditioned on the persona description of itself while “their persona” means the model is conditioned on the persona of its dialogue partner. We report the median as 5 random runs as the result. * denote statistically significant with p-value < 0.05.

architecture and training methods in the original paper.

4.4 Experimental Results

Results on PersonaChat We first present the experimental results on the PersonaChat dataset where persona description is available during training. In this scenario, the persona detection model is trained in the same domain as the response generation model.

The results of automated evaluation metrics are shown in Table 1. First, we can see that models explicitly incorporate textual persona descriptions, including the dialogue model that incorporate a persona encoder (i.e., **TransferTransfo+PE**) or pre-pend persona descriptions (i.e., **TransferTransfo**), outperform the baseline model that does not exploit persona information by a relatively large margin in all automated metrics. Also, dialogue models with a pre-trained Transformer model (i.e., **TransferTransfo**) substantially outperform RNN-based models.

As for our proposed approaches, we find that both persona detection models substantially improve the performance upon the baseline with the pre-trained **TransferTransfo** model without using persona information. It also significantly outperforms several models based on RNNs and use persona description during test time. When comparing the proposed two persona detection models, it is clear that the persona generator method performs much better than the persona approximator. More surprisingly, we find that it outperforms the competitive **TransferTransfo** model on several automated metrics despite not using any persona information at test time. We hypothesis that

it is because the persona generator is trained with the reconstruction loss which is a useful supervision signal that is complementary to the MLE objective. In contrast, the persona encoder is trained jointly with the dialogue model by simply maximizing the likelihood of gold responses and may not actually capture the persona information.

When comparing the performance of our proposed approaches trained with either “self persona” and “their persona”, we can see that training the persona detection to predict the persona information of the dialogue system itself helps the model to maintain a consistent persona, thus improving the consistency of generated responses. In contrast, training the persona detection model to predict the persona of its dialogue partner helps the model to generate more diverse responses.

Human evaluation results are shown in Table 2. We can see that dialogue models which explicitly incorporate textual persona descriptions significantly improves all human evaluation metrics.

As for our proposed approaches, we find that both proposed persona detection models can improve the consistency, engagingness, and persona detection accuracy upon the baseline seq2seq model without sacrificing the fluency of generated responses. The persona generator performs better than the persona approximator, which is consistent with the results in the automated evaluation. In addition, the persona generator model performs comparably and even better when compared with the competitive **TransferTransfo** baseline. This demonstrates that our proposed method can effectively personalize dialogue agents without relying on pre-defined persona descriptions at test time.

Similarly, we find that when conditioning on

| Model | Persona | Fluency | Engagingness | Consistency | Persona Detection |
|-----------------------------|---------|--------------|--------------|-------------|-------------------|
| TransferTransfo | self | 3.49 | 3.47 | 3.47 | 0.85 |
| TransferTransfo | their | 3.43 | 3.54 | 3.39 | 0.79 |
| TransferTransfo | both | 3.55 | 3.63 | 3.51 | 0.88 |
| TransferTransfo+PE | self | 3.47 | 3.49 | 3.45 | 0.83 |
| TransferTransfo+PE | their | 3.45 | 3.51 | 3.36 | 0.80 |
| TransferTransfo+PE | both | 3.53 | 3.65 | 3.53 | 0.86 |
| DeepCopy | self | 2.99 | 2.95 | 2.99 | 0.64 |
| DeepCopy | their | 2.93 | 2.97 | 2.97 | 0.60 |
| GPMN | self | 3.04 | 2.96 | 3.04 | 0.66 |
| GPMN | their | 2.96 | 2.97 | 3.00 | 0.61 |
| TransferTransfo w/o persona | – | 3.28 | 3.13 | 3.17 | 0.62 |
| Persona Approximator | self | 3.37 | 3.32 | 3.27 | 0.75 |
| Persona Approximator | their | 3.30 | 3.35 | 3.19 | 0.72 |
| Persona Generator | self | 3.50 | 3.51 | 3.43 | 0.85 |
| Persona Generator | their | 3.45 | 3.59 | 3.31 | 0.80 |
| Persona Generator | both | 3.58* | 3.67* | 3.47 | 0.88 |

Table 2: Human evaluation of dialogue models with different personalization approaches on the PersonaChat dataset. * denote statistically significant with p-value < 0.05.

| Model | Per | Fluen | Engag | Consis |
|-------------------|-------|-------------|-------------|-------------|
| Trans w/o persona | – | 3.31 | 3.37 | 3.41 |
| Persona Generator | self | 3.50 | 3.48 | 3.55 |
| Persona Generator | their | 3.43 | 3.55 | 3.51 |

Table 3: Performance of dialogue models with different personalization approaches on the Dailidialog dataset, persona encoder is not applicable as no persona description is available.

“self persona” as incorporating the persona description helps dialogue agents maintain a consistent profile throughout the conversation. Again, when conditioned on “their persona”, the dialogue agent learns to predict the profile of its dialogue partner, which helps generate more engaging and personalized responses. Based on this motivation, we also conduct experiment with both “their” and “self” persona at the same time. We find this make significant future improvement and enabling dialogue agent to generate dialogue responses that are both engaging and consistent.

On the transferability of persona detection models As persona descriptions are not available in most scenarios and datasets, we aim to enable dialogue agent personalization for dialogue models trained in datasets where no persona description is available with a persona detection model pretrained on PersonaChat. To test the transferability of trained persona detection models, we combine persona detection models pretrained on the PersonaChat dataset with dialogue

systems trained on the Dailidialog dataset. The pretrained persona detection models are fine-tuned jointly with the pretrained dialogue model by maximizing the likelihood of ground-truth responses. The results are shown in Table 3. We can see that transferring pre-trained persona detection models in the target dialogue domain is able to improve the performance of dialogue models. Specifically, predicting self-persona improves the consistency of the dialogue agent while detecting the persona of the dialogue partner improves the engagingness of generated responses. The experimental result also confirms the effectiveness of the proposed persona generator model and the persona reconstruction loss.

4.5 Ablation Study

To further understand the proposed models, we conduct an ablation study that focuses on: 1) the effectiveness of the multi-task learning architecture and the multi-task objective of persona detection models, and 2) the effect of available dialogue history length on the performance of persona detection models. We employ the dialogue response generation model with persona generator with self persona as the full model and compare it with the following ablated variants: (1) **first half**: The variant where only the first half of conversations are used as the test set, which makes the input dialogue history for persona generator shorter. (2) **second half**: The counterpart of **first half** where the available dialogue histories for persona gener-

| Model | perplexity | Dst | Hits@1 | Cons |
|--------------------------|------------|------|--------|-------|
| Trans w/o Persona | 19.87 | 18.4 | 67.3 | 0.04 |
| - first half | 23.48 | 15.2 | 62.5 | -0.01 |
| - second half | 17.16 | 21.3 | 71.3 | 0.05 |
| Persona Generator | 17.31 | 21.1 | 81.2 | 0.34 |
| - first half | 19.72 | 20.0 | 77.6 | 0.28 |
| - second half | 16.04 | 22.6 | 84.7 | 0.38 |
| - w/o shared layers | 18.67 | 20.6 | 80.1 | 0.30 |
| - w/o joint training | 18.55 | 20.4 | 80.5 | 0.31 |

Table 4: Results of the ablation study

ator are longer. (3) **w/o shared layers:** The variant where the persona generator does not share its first layer with the encoder of the dialogue model. (4) **w/o joint training:** The variant where the persona generator is exclusively trained with the reconstruction loss without jointly training with the MLE objective.

The results of the ablation study are shown in Table 4. We can see that both sharing layers and joint training improve the performance of the persona detection model, which demonstrates the effectiveness of multi-task learning in our task. As for the influence of the length of the dialogue history, we find that the proposed persona generator model performs better when giving longer dialogue history (i.e., the second half of the conversation), which is demonstrated by a larger relative improvement compared with the sequence-to-sequence baseline given the same dialogue history. This is reasonable as longer dialogue history may provide richer information and help detect persona better. It also suggests that our approaches may be more effective for dialogue agents that aim to conduct relatively long dialogues with humans. This problem is similar to the well-known cold-start problem in the field of recommend systems. However, this does not suggest that our proposed approach is not useful for most application scenarios where the dialogue agent must start the dialogue from scratch. In contrast, our model will continually track the persona information of both the dialogue agent itself and the dialogue partner, thus maintaining a consistent persona throughout the progress of the dialogue and gradually improve the engagingness of generated responses with the dialogue going on. In addition, the ability to automatically infer the persona information of the dialogue partner is also beneficial for real-world applications, where although we can pre-define a persona for the dialogue agent, the users’ persona is not always available.

| | |
|---------------------------|---|
| No persona | I don’t know what you could not do ? |
| Trans + PE w/ self | I am going to the club now. |
| Trans+ PE w/ their | Do you want to play frisbee or something? |
| PG w/ self | okay I am going to make a cake. |
| - Generated Persona: | ... I craving eating cake... |
| PG w/ their | I prefer that let’s watch tv together. |
| - Generated Persona: | ... I like TV show... |

Table 5: Case study of the continuation of the conversation shown in Table 1 in the Appendix.

4.6 Qualitative Analysis

To better understand the proposed method intuitively, we conduct a case study by feeding different variants of the dialogue model with the dialogue history presented in the Appendix and generate different continuations of the conversation. The next utterances generated by different model variants are shown in Table 6. We can see that the vanilla sequence-to-sequence dialogue model generates an irrelevant response that is not engaging. In contrast, both the persona encoder which takes the predefined persona description and the persona generator which infers the persona from dialogue history enables the dialogue agent to generate consistent and relevant responses, which are likely to be more engaging for the dialogue partner. In addition, we present the outputs of the decoder in the persona generator, which demonstrates that the proposed approach is more interpretable.

5 Conclusion

In this paper, we propose a dialogue personalization approach that automatically infers the current speakers’ persona based on the dialogue history, which enables neural dialogue systems to generate personalized dialogue responses without using persona description at test time. Our experiments on the PersonaChat dataset show that the proposed models can improve the model’s consistency and engagingness when conditioning on the inferred persona information of the dialogue agent itself or the dialogue partner. We also conduct experiments on the Dailydialog dataset where persona description is not available and find that pre-trained persona detection models can be successfully transferred to other datasets without annotated persona descriptions. This further demonstrates the potential of our approach for dialogue personalization for domains where persona descriptions are not available or expensive to collect.

Ethics Considerations

Our proposed method can generate personalized dialogue responses to users and improve the engagingness of the dialogue systems. It faces several common ethics concerns that a neural dialogue system may generate unexpected responses that make human users uncomfortable. However, it is common for most neural dialogue systems. Another potential risk is that the persona generator may generate unexpected persona information that makes user uncomfortable. This issue could be addressed by adding constraints on the generated persona information.

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