MMSC I: A MULTIMODAL MULTI-DISCIPLINE DATASET FOR PHD-LEVEL SCIENTIFIC COMPREHENSION

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Figure [1](#page-0-0): The top 30 out of **72 science subjects**¹ with the most articles in our dataset MMSci. The corresponding numbers of figures are shown in brackets.

ABSTRACT

The rapid advancement of Large Language Models (LLMs) and Large Multimodal Models (LMMs) has heightened the demand for AI-based scientific assistants capable of understanding scientific articles and figures. Despite progress, there remains a significant gap in evaluating models' comprehension of professional, graduatelevel, and even PhD-level scientific content. Current datasets and benchmarks primarily focus on relatively simple scientific tasks and figures, lacking comprehensive assessments across diverse advanced scientific disciplines. To bridge this gap, we collected a multimodal, multidisciplinary dataset from open-access scientific articles published in Nature Communications journals. This dataset spans 72 scientific disciplines, ensuring both diversity and quality. We created benchmarks with various tasks and settings to comprehensively evaluate LMMs' capabilities in understanding scientific figures and content. Our evaluation revealed that these tasks are highly challenging: many open-source models struggled significantly, and even GPT-4V and GPT-4o faced difficulties. We also explored using our dataset as training resources by constructing visual instruction-following data, enabling the 7B LLaVA model to achieve performance comparable to GPT-4V/o on our benchmark. Additionally, we investigated the use of our interleaved article texts and figure images for pre-training LMMs, resulting in improvements on the material generation task. The source dataset, including articles, figures, constructed benchmarks, and visual instruction-following data, is open-sourced.

1 INTRODUCTION

Recent advancements in generative artificial intelligence, including Large Language Models (LLMs) [\(Brown et al., 2020;](#page-10-0) [Ouyang et al., 2022;](#page-13-0) [Touvron et al., 2023a;](#page-14-0)[b\)](#page-14-1) and Large Multimodal

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¹<https://www.nature.com/nature/browse-subjects>

Models (LMMs) [\(Li et al., 2023;](#page-12-0) [Liu et al., 2024;](#page-12-1) [Zhu et al., 2023;](#page-15-0) [Achiam et al., 2023\)](#page-9-0), have demonstrated remarkable capabilities in solving problems requiring educated knowledge across various domains, including mathematics [\(Cobbe et al., 2021;](#page-10-1) [Chen et al., 2023;](#page-10-2) [Hendrycks et al.,](#page-11-0) [2021;](#page-11-0) [Lu et al., 2022b\)](#page-12-2), history, computer science, law, and technology [\(Hendrycks et al., 2020\)](#page-11-1). While these models excel at tasks ranging from elementary to undergraduate-level knowledge, there is an increasing demand for more professional AI scientific assistants that can comprehend and process advanced, graduate-level, and even PhD-level scientific knowledge [\(noa, 2023;](#page-9-1) [White, 2023;](#page-15-1) [Vert,](#page-14-2) [2023\)](#page-14-2).

In response, researchers have begun exploring the application of these generative models in fields such as biomedicine [\(Thapa & Adhikari, 2023\)](#page-13-1), health [\(Tian et al., 2024\)](#page-14-3), chemistry [\(Zheng et al.,](#page-15-2) [2023;](#page-15-2) [Bran et al., 2023\)](#page-10-3), and material science [\(Xie et al., 2023;](#page-15-3) [Miret & Krishnan, 2024\)](#page-13-2) for purposes including research automation, education, and assistance [\(Meyer et al., 2023\)](#page-13-3). A critical aspect of developing effective AI science assistants is their ability to understand academic scientific literature, which often includes complex figures like data visualization plots and charts, schematic diagrams, macroscopic and microscopic photographs, and other specialized content from various fields.

However, there is currently a lack of comprehensive evaluation of models' understanding of professional PhD-level multimodal scientific knowledge, particularly with figures, across diverse scientific disciplines. Existing evaluations of LMMs on scientific problems are typically limited to up to college-level knowledge and a few science disciplines, such as computer science, mathematics, physics, chemistry, and biology [\(Lu et al., 2022a;](#page-12-3) [Wang et al., 2023;](#page-14-4) [Yue et al., 2023\)](#page-15-4), as shown in Table [1.](#page-2-0) Furthermore, the evaluation of models' abilities to understand scientific figures has been restricted to simple charts and plots [\(Chen et al., 2020;](#page-10-4) [Kahou et al., 2017;](#page-11-2) [Siegel et al., 2016\)](#page-13-4), and suffer from relatively narrow scopes and lower quality [\(Li et al., 2024\)](#page-12-4).

To bridge the gap, we collected a multimodal, multi-discipline dataset **MMSci** from high-quality, open-access articles published in Nature Communications^{[2](#page-1-0)}, which are freely and permanently avail-able upon publication under a Creative Commons Attribution 4.0 International (CC BY) license^{[3](#page-1-1)}. This dataset spans 72 scientific disciplines, primarily within the natural sciences (the top 30 subjects with most articles can be seen in Figure [1\)](#page-0-1). We created a benchmark to evaluate models' understanding of PhD-level multimodal scientific knowledge across various disciplines. The benchmark includes scientific figure captioning and visual question answering (VQA) tasks in various settings, thoroughly assessing LMMs' capabilities in understanding scientific figures and content. Our evaluation revealed significant challenges and deficiencies in current LMMs in interpreting scientific figures and content. Many open-source models struggled considerably with these tasks, demonstrating limited capability. Even GPT-4V and GPT-4o encountered difficulties in producing accurate, relevant captions and matching figures with their descriptions under challenging settings.

Furthermore, our dataset includes a vast collection of high-quality academic articles and figures, which we explored as training resources to enhance models' understanding of scientific content. To achieve this, we constructed visual instruction-following data with discussions about figure content, structured as single or multi-turn interactions. Additionally, we investigated pre-training LMMs using our interleaved article text and figure images to improve their acquisition of scientific knowledge. Experimental results show that our visual instruction-following data enhanced the 7B LLaVA model, achieving performance comparable to GPT-4V/o on our benchmark. Moreover, experiments on a materials science task demonstrated that pre-training on our interleaved multimodal data could improve the performance on material generation. Overall, our contributions are threefold:

- *Data scope and quality*: Our dataset is unique as it consists of high-quality peer-reviewed academic articles and figures across 72 diverse scientific disciplines.
- *Challenging benchmark*: Our benchmark includes tasks with varying settings for comprehensive assessment. Our evaluation reveals notable deficiencies in current LMMs in effectively interpreting figures in scientific literature.
- *Visual instruction-following and interleaved multimodal data*: We developed visual instruction-following data for visual instruction tuning and interleaved article and figure data for pre-training LMMs. Experimental results demonstrate the effectiveness of this approach in enhancing scientific knowledge comprehension.

²<https://www.nature.com/ncomms/>

³More details can be found at <https://www.nature.com/ncomms/open-access>

2 RELATED DATASET WORK

Scientific Figure Understanding Scientific figures in academic articles convey rich, valuable information, and there has been extensive research on evaluating the understanding of these figures. Early approaches typically focused on data visualization figures. For instance, [Chen et al.](#page-10-4) [\(2020\)](#page-10-4); [Kahou et al.](#page-11-2) [\(2017\)](#page-11-2); [Kafle et al.](#page-11-3) [\(2018\)](#page-11-3) created synthetic datasets comprising various types of plots and charts. To obtain more diverse and complex scientific figures, FigureSeer [\(Siegel et al., 2016\)](#page-13-4) and SciCap [\(Yang et al., 2023\)](#page-15-5) gathered computer science (CS) papers from arXiv to extract article figures from PDFs. More recently, ChartMimic [\(Shi et al., 2024\)](#page-13-5) introduces the chart to code generation task. ArxivQA/Cap [\(Li et al., 2024\)](#page-12-4) collected papers from 32 subjects on arXiv. However, their collection still primarily focuses on CS and math, with limited inclusion of rich and diverse natural science subjects. Additionally, since these arXiv papers are not peer-reviewed, their quality is not guaranteed. In contrast, our dataset emphasizes natural science disciplines and collects high-quality, peer-reviewed articles and figures from the prestigious Nature Communications journals. Covering 72 diverse science disciplines, our dataset ensures both diversity and quality.

Multimodal Science Problems With the advancement of LMMs, many studies have focused on evaluating their capabilities in solving scientific problems in a multimodal context. However, ScienceQA [\(Lu et al., 2022a\)](#page-12-3) primarily addresses problems ranging from elementary to high school levels (K1-12). SciBench [\(Wang et al., 2023\)](#page-14-4) focuses solely on three science disciplines: physics, chemistry, and mathematics. MMMU [\(Yue et al., 2023\)](#page-15-4) includes various subjects such as art, business, history, health, humanities, and technology, but its coverage of science subjects is limited to 25 disciplines according to the categories of the Nature website. In contrast, our dataset evaluates PhD-level scientific knowledge across 72 diverse scientific domains.

3 DATA CURATION

Table 2: The key statistics of MMSci, including the source data and the constructed benchmark test/validation (dev) set and the data for visual instruction-tuning (IT) in the training set.

Source Data Collection Our dataset was collected from the Nature Communications website, consisting of open-access, peer-reviewed papers across five major categories and 72 subjects. The full list of subjects can be seen in Appendix [A.1.3](#page-16-0) . Various information regarding each article

Figure 2: Examples of the seven major types of (sub-)figures in MMSci. Ratios are based on the benchmark test set. Sources are discussed in the acknowledgements.

is easily accessible on this website, providing a user-friendly platform for obtaining all necessary data. For each article, we collected information including the title, abstract, main body content, and references, directly from their respective sections on the article's webpage (e.g., [https://](https://www.nature.com/articles/xxx) www.nature.com/articles/xxx, where "xxx" is the article's unique ID). Figures and their captions were obtained from a dedicated figures page under the article's homepage (e.g., [https:](https://www.nature.com/articles/xxx/figures) [//www.nature.com/articles/xxx/figures](https://www.nature.com/articles/xxx/figures)), eliminating the need to extract figures from PDF files and thus ensuring image quality. We used pylatexenc to convert LaTeX expressions of mathematical formulas in the article text and figure captions into plain text. Since these papers are all peer-reviewed and the text, figure, and caption data are readily available from the website, no additional quality filtering or content extraction was necessary. This ensures authentic and highquality data, unlike previous datasets [\(Yang et al., 2023;](#page-15-5) [Li et al., 2024\)](#page-12-4). We crawled articles up to the date of 2024/04/15. The resulting source dataset comprises 131,393 articles and 742,273 figures.

Sub-caption Extraction Many figures in the dataset consist of multiple sub-figures in a single image, with captions that include a main caption and descriptions of each sub-figure (sub-caption), as illustrated in Figure [3.](#page-4-0) We developed a regular expression matching function to identify sub-figure indices at the beginning of sentences in alphabetical order (a to z), extracting and identifying 514,054 sub-captions/figures, which aids in the consecutive construction of our benchmark.

Exploring Figures in **MMSci** We examined the types of (sub-)figures in MMSci by manually summarizing and categorizing the potential figure types into seven major categories based on a subset of the figures. The categorization is based on the smallest individual components, the sub-figures, when present. Following this review, we used GPT-4o to classify the images within the benchmark test set (see benchmark data splits in the next section). Examples of image types are shown in Figure [2,](#page-3-0) and more statistics can be found in Appendix [A.1.4.](#page-16-1)

4 BENCHMARKS

We developed two benchmark tasks with varying settings to comprehensively test models' comprehension of scientific articles and figures from different aspects, as shown in Figure [3.](#page-4-0)

Scientific Figure Captioning Scientific figure captioning in our dataset MMSc_i presents unique challenges compared to typical natural image captioning. Firstly, unlike natural image captions that can be understood without background knowledge, interpreting figures in scientific articles usually

Figure 3: Illustration of the benchmark and visual instruction-following data construction in MMSci. This example is taken from [\(Guo et al., 2024b\)](#page-11-4). The left side shows the figure including multiple sub-figures. The caption consists of a main caption (bolded) and a series of sub-captions (underlined), each corresponding to a sub-figure. Due to space constraints, we only show sub-captions from (a) to (f). These (sub-)figures and (sub-)captions are used to construct data for figure captioning (upper right), VQA (setting III in this example) (center right), and multi-turn conversations (lower right). Detailed examples of different types of constrcuted data are provided in Appendix [A.1.5.](#page-19-0)

requires grounding in and understanding the article's content. Secondly, scientific figure captions are significantly more detailed, providing rich complementary information to the article. In MMSci, these captions average 153 words, much longer than those for natural images. This complexity and depth make scientific figure captioning a more demanding task. To comprehensively test the model's understanding of scientific figures, we designed three captioning settings: (1) *Ungrounded figure captioning*: The model generates captions without any additional article content. (2) *Abstractgrounded figure captioning*: The model is provided with the paper abstract to give an overview of the paper content. (3) *Full content-grounded figure captioning*: The model is provided with the entire article content to generate the figure caption. Given that the full article content averages around 14k tokens, this setting is primarily suitable for models with longer context windows.

Visual Question Answering Our multiple-choice VQA task is to select the (sub-)caption that best describes a (sub-)figure across three different settings: (1) *Setting I*: The options include the correct main caption of a figure and three main captions from other figures within the same article. (2) *Setting II*: This setting tests the model's performance in locating and understanding a specific sub-figure within the given image. We randomly select a sub-figure and use its corresponding sub-caption as the correct answer, with three sub-captions from other figures within the same article as alternative choices. (3) *Setting III*: As a more challenging setting than setting II, all choices are sub-captions from the same image. This setting rigorously tests the model's ability to locate the sub-figure and distinguish the correct corresponding content from all the content in the image. For all three settings, we construct questions with four choices to ensure consistency.

Data Split We allocated 1% of articles from each subject to the test set and another 1% to the validation (dev) set, with each subject containing 5 to 50 articles. This resulted in 1,418 articles for the test set and 1,414 for the validation set, used for benchmark evaluation samples. Each test sample is derived from a single article, ensuring no reuse of content. For the captioning data, captions were ensured to contain more than 50 words. Ultimately, each task and setting consists of approximately 1,200 samples, balancing coverage, diversity, and cost for benchmarking.

5 TRAINING RESOURCES

Our dataset consists of rich articles and figure data, which we explore as training resources to enhance models' capabilities in comprehending scientific figures and content.

Visual Instruction-Following Data We use the articles, excluding those in the benchmark, to create a visual instruction-following dataset. As illustrated in Figure [3,](#page-4-0) our dataset consists of conversations discussing figure content, including three types. The first two types are VQA and figure captioning tasks, as in the benchmark, formatted as single-turn interactions. For figure caption tasks, we use only abstract-grounded captioning data in the training set since the full article content is too lengthy for most open-source LMMs. The third type involves multi-turn conversations, where the human asks about content in a sub-figure and the assistant responds with the corresponding sub-caption in each turn. We use diverse conversation templates generated by GPT-4 [\(Achiam et al., 2023\)](#page-9-0) to vary human instructions. All model responses are derived from original articles rather than model-generated, ensuring data quality. This approach resulted in 108,843 multi-turn conversations, culminating in a dataset with over 1 million visual instruction-following conversations, including the other two types.

Interleaved Text and Image Data for Pre-training MMSci includes full article content and figures, naturally forming interleaved text and image data suitable for pre-training LMMs [\(Lin et al.,](#page-12-5) [2023\)](#page-12-5). Since the text and figures are collected separately from different sections of the website, we insert the figures into the article content at the location of their first mention (e.g., Figure/Fig. x).

Table 3: Performance on scientific figure captioning. B@k represents BLEU@k (k=1,2,3,4), R stands for ROUGE-L, M stands for METEOR, BS indicates BERTScore, and CLIP and RCLIP represent CLIPScore and RefCLIPScore, respectively. Best results are bolded and second best are underlined.

6 BENCHMARK EVALUATION RESULTS

We benchmarked various prevalent open-source and proprietary LMMs on the market, including: Kosmos-2 [\(Peng et al., 2023\)](#page-13-6), BLIP-2 [\(Li et al., 2023\)](#page-12-0), Qwen-VL-Chat [\(Bai et al., 2023\)](#page-10-5), and the LLaVA series models [\(Liu et al., 2024;](#page-12-1) [2023\)](#page-12-6), including LLaVA1.5-7B, LLaVA-Next (LLaVA1.6- Vicuna-7B), LLaVA-Next-Mistral (LLaVA1.6-Mistral-7B), and the proprietary GPT-4V [\(Achiam](#page-9-0) [et al., 2023\)](#page-9-0) and GPT-4o. The exact model versions are provided in Appendix [A.3.1.](#page-26-0) Additionally, we fine-tuned a LLaVA-Next (LLaVA1.6-Vicuna-7B) model using our visual instruction-following data, containing around 1,080k training samples, for one epoch. This resulted in our model called **LLaVA-Next-MMSci**.

For scientific figure captioning, we ran the inference three times and reported the average scores for BLEU [\(Papineni et al., 2002\)](#page-13-7), ROUGE [\(Lin, 2004\)](#page-12-7), METEOR [\(Banerjee & Lavie, 2005\)](#page-10-6), and BERTScore [\(Zhang et al., 2019\)](#page-15-6) by comparing the generated captions to the oracle captions. We also reported reference-free image captioning metrics, CLIPScore and RefCLIPScore [\(Hessel et al.,](#page-11-5) [2021\)](#page-11-5), which directly compare the generated captions with the images. However, note that these

Table 4: Accuracies (%) on multi-choice VQA under various settings, with majority voting from different inference runs (k) . Best results are bolded and second best are underlined.

metrics are primarily designed for natural images with relatively short captions and will truncate captions longer than 77 tokens. We only evaluated content-grounded captioning with GPT-4V/o, as they are the only models capable of processing the full article content. For VQA, we ran inferences five times and used majority voting to determine the final answers. For GPT-4V/o, we also tried Chain-of-Thought (CoT) [\(Wei et al., 2022\)](#page-15-7), but the other models did not demonstrate the capability to generate reasonable rationales for CoT. The temperature was set to 0.7 for all evaluations.

Figure Captioning Results Table [9](#page-27-0) presents the results of figure captioning. As expected, grounding the captions on article information improves generation quality. Specifically, when provided with the full article content, GPT-4o achieves highest METEOR and ROUGE scores. This underscores the necessity of understanding scientific figures based on article information. On the other two settings with less or no article information, our fine-tuned model achieves the best results across most metrics. GPT-4V and GPT-4o also perform well, particularly on the METEOR and CLIPScore metrics. In contrast, the other open-source models show significantly poorer performance, demonstrating limited capability to generate accurate and relevant captions. Among them, Qwen-VL-Chat is the only model that achieves reasonable performance regarding BLEU scores and BERTScore. Overall, the models' performances are relatively low, underscoring the unique challenges of this task.

VQA Results The results of VQA are shown in Table [4.](#page-6-0) Setting I is the only setting where some open-source models showed accuracies slightly higher than random guessing. In the other settings, all open-source models displayed little capability, with accuracies even lower than random guess. In contrast, our fine-tuned model, GPT-4o, and GPT-4V demonstrated significantly better performance. Our fine-tuned model excelled in Setting I, while GPT-4o performed best in Settings II and III. This might suggest that GPT-4o is better at locating and distinguishing specific areas or sub-figures within whole figures, whereas our model can better summarize entire figures. CoT consistently improved accuracy for GPT-4V and GPT-4o, particularly for GPT-4V, highlighting the need for reasoning ability in these tasks. Overall, our fine-tuned model achieved performance comparable to or better than GPT-4V, demonstrating the effectiveness of our visual instruction-following data from MMSci.

7 A CASE STUDY IN MATERIAL SCIENCES

Material science is the subject with the most articles and figures in our dataset. It is an important and highly interdisciplinary field, requiring knowledge from various subjects. Therefore, we conducted a case study to enhance material science knowledge using our dataset.

There has been research on using language models for material science tasks [\(Walker et al., 2021;](#page-14-5) [Rubungo et al., 2023;](#page-13-8) [Miret & Krishnan, 2024\)](#page-13-2). A recent study [\(Gruver et al., 2024\)](#page-11-6) achieved promising results by utilizing LLaMA2 [\(Touvron et al., 2023b\)](#page-14-1) for material generation. In this study, material crystal structures were represented as text strings, and the LLaMA2 model was trained to generate these structure strings. However, LLaMA2 may lack sufficient scientific knowledge to fully

Table 5: Evaluation of unconditional material generation covering validity, coverage and property distribution, and stability checks. Performance reported over 10,000 samples.

[†] Fraction of structures that are first predicted by M3GNet to have $E_{\text{hull}}^{\text{M3GNet}} < 0.1$ eV/atom, and then verified with DFT to have $E_{\text{hull}}^{\text{DFT}} < 0.0$ eV/atom.

comprehend the principles of material generation. Therefore, we explored the continuous pre-training of LLaMA2 using our interleaved scientific article and figure data, aiming to enhance the model's performance on the stable material generation task.

Pre-training on **MMSci** We continuously pre-trained the LLaMA2-7B model on our collected interleaved article text and figure images, using data within the Physical Science major category, which includes materials science as well as other eight related subjects such as physics, chemistry, and engineering. To inject the multimodal knowledge from our dataset into LLaMA2, we leverage LLaVA's architecture [\(Liu et al., 2024\)](#page-12-1), equipping LLaMA2 with a pre-trained CLIP ViT-L/14- 336 [\(Radford et al., 2021\)](#page-13-9) as the visual encoder and a 2-layer MLP as the projector. During training, we initially kept the LLM frozen and used data from general domains provided by [\(Liu et al., 2024\)](#page-12-1) to initialize the projector. We then trained the model on the interleaved text and image data from general domains in MMC4 [\(Zhu et al., 2024\)](#page-15-8) to further develop its image perception abilities, followed by our collected interleaved articles and figures in MMSci to infuse scientific knowledge. In this stage, we tuned both the LLM and the projector, for one epoch. For the resulting multimodal model, we only use its LLM part, named **LLaMA2-7B-MMSci**, for the subsequent text-only material generation.

Fine-tuning for Materials Generation Given the LLM, we further fine-tune it for the material generation task as in [\(Gruver et al.,](#page-11-6) [2024\)](#page-11-6). Specifically, periodic materials are characterized by a unit cell that repeats infinitely in all three dimensions. Each unit cell is specified by its side lengths (l_1 , l_2 , l_3) and angles (θ_1 , θ_2 , θ_3). Within this lattice structure, there are N atoms, each identified by an element symbol, e_i , and a set of 3D coordinates (x_i, y_i, z_i) . Therefore, the structure of a bulk material C can be represented by:

$$
C = (l_1, l_2, l_3, \theta_1, \theta_2, \theta_3, e_1, x_1, y_1, z_1, ..., e_N, x_N, y_N, z_N). \tag{1}
$$

The prompt for generating these structures is shown in Figure [4.](#page-7-0) The blue part includes conditions such as the formula, space group, energy above hull, etc. The red part is the generated representation of the crystal structure, and the text above is the prompt.

Consistent with prior work [\(Xie et al., 2021;](#page-15-9) [Gruver et al., 2024\)](#page-11-6), we experiment on the MP-20 dataset [\(Jain et al., 2013\)](#page-11-7), which contains 45,231 stable materials. Therefore, an effective generative model trained on MP-20 is expected to generate new crystals that are at lease metastable. We construct the training data from these

Material Generation Prompt Below is a description of a bulk material. The chemical formula is Li2MnO2. The formation energy per atom is -2.0221. Generate a description of the lengths and angles of the lattice vectors and then the element type and coordinates for each atom within the lattice: 3.2 3.2 5.3 90 90 120 Li 0.05 0.08 0.30 Li 0.72 0.41 0.57 Mn 0.39 0.75 0.94 O 0.72 0.41 0.18 O 0.05 0.08 0.69

materials with two types of prompts: conditional generation (with one or multiple conditions) and infilling prompts, where partial crystal structure strings are masked and the model generates the masked parts. We train the model for one epoch, as training for more epochs reduces the diversity and coverage of generated materials.

Results We evaluate the unconditional generation where no conditions are provided, allowing the model to generate potential stable materials for discovery. Consistent with [\(Xie et al., 2021;](#page-15-9) [Gruver](#page-11-6)

Figure 4: The prompt for generating crystal structure.

[et al., 2024\)](#page-11-6), we sample 10,000 generations with a temperature of 0.7. The evaluation focuses on four key aspects: validity, which ensures adherence to physical constraints; coverage and property metrics, which measure the alignment between the ground truth and the sampling distribution; and stability checks, which determine the percentage of samples deemed metastable by M3GNet [\(Chen](#page-10-7) [& Ong, 2022\)](#page-10-7) and stable by DFT [\(Hafner, 2008\)](#page-11-8). As observed in Table [5,](#page-7-1) the LLaMA2-7B model, after being continuously pre-trained on our interleaved articles and figures and multi-task fine-tuning, consistently yields good results and achieves the best compositional validity, coverage precision, metastability, and stability. This underscores the benefit of our data in enhancing the generative model's acquisition of scientific knowledge.

Ablation Studies To understand the sources of LLaMA2-7B-MMSci's performance, we explored other different pre-training data configurations: using only the interleaved data from either MMC4 or MMSci, using interleaved data from MMC4 combined with text-only data from MMSci, and no additional pre-training data, followed by the same fine-tuning setup. From Figure [5,](#page-8-0) we observe that combining interleaved text and images from both datasets achieves best results in both structure and composition validity. This combination equips the model with the capability to effectively read text and interpret images in the articles. In contrast, using only data from general domains in MMC4 did not lead to improvements. Additionally, directly training on MMSci slightly decreases performance in structure validity, likely because the inclusion of visual information can confuse the model if it is not adequately pre-trained with general interleaved data. Using both articles and figures

Figure 5: Ablation studies on the influence of different pre-training data over LLaMA2-7B.

leads to better performance than using only text from MMSci, highlighting the benefit of understanding both figures and content in scientific literature. Overall, the inclusion of our multimodal interleaved data improves performance over not using additional pre-training, indicating the effectiveness of our data.

8 CONCLUSION

In this work, we present $MSEi$, a multi-discipline multimodal dataset that includes high-quality peer-reviewed articles and figures across 72 science disciplines. Using this dataset, we construct a challenging benchmark to evaluate the capabilities of LMMs in understanding scientific figures and content, revealing significant deficiencies. Additionally, we explore the use of our dataset as training resources to enhance models' scientific comprehension. By constructing visual instructionfollowing data and interleaved text and image data for pre-training, we achieve improvements on both our benchmark and the material generation task. We anticipate that our dataset will serve as a valuable resource for evaluating and enhancing the scientific comprehension of generative models, thus advancing the development of AI-based scientific assistants.

8.0.1 LIMITATIONS

Currently, our evaluation benchmark primarily focuses on understanding figures in scientific articles based on the article content or not. We encourage further research to expand these evaluations to encompass a broader range of scientific knowledge present within the articles using our dataset. Additionally, our dataset primarily consists of textual and figure data but lacks tabular data, which can often be expressed as text for model understanding.

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and permanently available online immediately upon publication. We extend our gratitude to the authors of these publications for their contributions, which allowed us to collect and utilize their articles to form our dataset, and to use some of their content as examples and illustrations in our paper [\(Ettinger et al., 2011;](#page-11-9) [Lavasani et al., 2012;](#page-12-8) [Frateschi et al., 2011;](#page-11-10) [Ogawa et al., 2011;](#page-13-10) [Nagpal](#page-13-11) [& Klimov, 2011;](#page-13-11) [Tang et al., 2012;](#page-13-12) [Lindgren et al., 2012;](#page-12-9) [Lundby et al., 2012;](#page-12-10) [Vautard et al., 2014;](#page-14-6) [Espírito-Santo et al., 2014;](#page-10-8) [Kutsukake et al., 2012;](#page-12-11) [Yang et al., 2012;](#page-15-10) [Theriot et al., 2014;](#page-13-13) [Hirasawa](#page-11-11) [et al., 2013;](#page-11-11) [Demirci et al., 2013;](#page-10-9) [Guo et al., 2024a\)](#page-11-12). Zekun Li and Wanrong Zhu are funded by POSCO HOLDINGS. Xianjun Yang and Stephen D. Wilson acknowledge support via the UC Santa Barbara NSF Quantum Foundry funded via the Q-AMASE-i program under award DMR-1906325. Ryan Hsieh acknowledges support via NSF award OAC-2129051.

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A APPENDIX

A.1 DATASET DESCRIPTION

A.1.1 DATASET SUMMARY

Our dataset MMSci is a multimodal, multi-discipline dataset containing high-quality, open-access articles published in Nature Communications journals.[4](#page-16-2) This dataset encompasses five major subjects and spans 72 diverse science disciplines, primarily within the natural sciences. We have developed a benchmark to evaluate models' comprehension of graduate-level multimodal scientific knowledge across various advanced disciplines. Additionally, we constructed visual instruction-following data for visual instruction tuning and interleaved text and image data for visual pre-training.

A.1.2 DATA AND CODE ACCESS

We provide access to our data, model checkpoints, and code through the following links:

- Source dataset, including the collected articles and figures: <https://mmsci.s3.amazonaws.com/rawdata.zip>.
- Benchmark sets, including the dev and test sets for evaluation and the train set consisting of visual instruction following data:

<https://mmsci.s3.amazonaws.com/benchmark.zip>.

- Pre-training data, including the interleaved article and figure data for pre-training: <https://mmsci.s3.amazonaws.com/pretraindata.zip>.
- Checkpoints, including the LLaVA-Next (LLaVA1.6-Vicuna-7B) model fine-tuned on our visual instruction-following data: <https://mmsci.s3.amazonaws.com/checkpoints.zip>
- Code: All the code used in our experiments is available at: <https://github.com/Leezekun/MMSci>

A.1.3 SUBJECTS

Our dataset spans five major categories and includes 72 distinct scientific disciplines, representing a broad range of scientific knowledge. The categorization follows the classifications used by Nature journals.^{[5](#page-16-3)}. The visualizations are shown in Figure [6,](#page-17-0) and detailed statistics of these subjects are provided in Table [6.](#page-18-0) The table includes the number of articles, figures, and the average length of figure captions, article abstracts, and full article content.

A.1.4 IMAGE TYPES

Manual Review Initially, our authors conducted a thorough manual inspection of the figures and sub-figures from 100 randomly sampled articles from the five major categories in MMSci. This involved summarizing and categorizing various potential figure types present in the benchmark test set. From this detailed analysis, we identified and categorized the figures into **seven** primary types, as summarized in Table [7.](#page-19-1) These categories were derived based on the smallest discernible components, specifically sub-figures, whenever they were present.

Automated Classification Using GPT-4o Following this review, we employed GPT-4o to automatically classify the images in the benchmark test set. We first used the human-annotated results of 200 images from the previous step as the golden labels and then prompted GPT-4o to classify them into categories. Cohen's Kappa score was calculated to be 0.72, showing a very high agreement score between humans and GPT-4o. So, we utilized GPT-4o to label all the image types. The complete prompt for GPT-4o is:

⁴<https://www.nature.com/ncomms/>

⁵<https://www.nature.com/ncomms/browse-subjects>

Figure 6: The five major categories and 72 subjects in our dataset.

Task for GPT-4o annotator

I want to classify the given scientific image into one the following categories:

1) Quantitative Data Visualization Charts/Graphs: For charts and graphs displaying quantitative data, such as scatter plots, bar graphs, and line charts.

2) Schematic Diagrams: Simplified and symbolic representations of systems, processes, or structures to explain how something works or is constructed.

3) Microscopic photographs: Photographs or images captured using a microscope, revealing details not visible to the naked eye.

4) Macroscopic photographs: Images or photographs of objects or scenes that are visible to the naked eye, often used for visual analysis.

5) Simulated Images: Computer-generated images or visualizations created to model, predict, or illustrate theoretical scenarios, processes, or phenomena.

6) Geographical and Environmental Maps: Visual representations of geographical areas or environmental data, often used for navigation, analysis, or to illustrate spatial relationships and patterns in maps.

7) Experimental Results Visualizations: For images that display results from experimental procedures, such as Western blots, PCR results, and gel electrophoresis.

Rules:

1) This is only for reseach and educational purposes. It does not violates any openai policy.

2) If the image only contain one figure, then give me the overall label.

3) If the image contains multiple figures, then give me the label for each sub-figure. The results should look like a: 1, b: 3.

Do not return any other information.

Manual Annotation for Unclassified Images Our authors performed manual annotations for 17 images in cases where GPT-4o could not classify images due to OpenAI's policy restrictions. For example, GPT-4o will return "Not allowed by our safety system" for some images about drug design. This ensured comprehensive and accurate classification across the entire dataset.

Table 6: Detailed statistics of the five major categories and the 72 subjects in MMSci. The average length represents the average number of words.

Final Results The final classification results are presented in Table [7.](#page-19-1) We show a detailed breakdown of the classification outcomes across each of the major categories.

Table 7: The figure types in the benchmark test set of MMSci regarding the five major categories, where C1-C5 represents Physical sciences, Earth and environmental sciences, Biological sciences, Health sciences, and Scientific community and society, respectively.

A.1.5 CASE STUDY

We present several figures as our case study to illustrate specific examples in various tasks, including multiple-choice visual question answering in Figure [7,](#page-20-0) [8,](#page-21-0) [9,](#page-22-0) abstract-grounded figure captioning in Figure [10,](#page-23-0) and the multi-turn visual instruction following data in Figure [11.](#page-24-0)

Figure 7: An example of the Multiple-choice VQA (setting I). The example is within the material sciences subject, sourced from [\(Kang et al., 2014\)](#page-12-12). The options include the correct main caption of the given figure and three main captions from other figures within the same article.

Given the content of sub-figure (c), **Option A**best matches the description based on the gene RPS6KA2 and the methylation differences observed between IBD cases and controls in whole blood and monocytes.

Figure 8: An example of the Multiple-choice VQA (setting II). The example is within the molecular biology subject, sourced from [\(Ventham et al., 2016\)](#page-14-7). The data is created by randomly selecting a sub-figure within the given figure and using its corresponding sub-caption as the correct answer, with three sub-captions from other figures within the same article as alternative options.

Given these details, the description that best matches sub-figure (a) is: D: A unique hydrophobic triangular stacking of three conserved Leu residues with the guanine base (drawn as spheres). Leu10-Leu54-Leu58 residues form a triangular stack with Gua2 base. Similarly, Leu25-Leu29-Leu39 residues form another triangular stack with Gua1 base. Thus, the correct answer is D.

Figure 9: An example of the Multiple-choice VQA (setting III). The example is within the biochemistry subject, sourced from [\(Wang et al., 2016\)](#page-14-8). The data is created by randomly selecting a sub-figure within the given figure and using its corresponding sub-caption as the correct option, with three other sub-captions within this given figure as alternative options.

Figure 10: An example of the abstract-ground figure captioning. The example is within the ecology subject, sourced from [\(Bag et al., 2020\)](#page-10-10).

Figure 11: An example of multi-turn visual instruction following data in the training set. The example is within the health care subject, sourced from [\(Lee et al., 2012\)](#page-12-13). The data is created by converting the whole captions including the descriptions of different sub-figures with this given figures into multi-turn interactions where each turn discuss the cotent of a sub-figure.

A.2 DATASHEET

A.2.1 MOTIVATION

With the advancement of large language and multimodal models, there is a growing demand for professional AI scientific assistants capable of comprehending and processing advanced, graduatelevel scientific knowledge [\(noa, 2023;](#page-9-1) [White, 2023;](#page-15-1) [Vert, 2023\)](#page-14-2). A crucial aspect of developing effective AI scientific assistants is their ability to understand academic scientific literature, which often includes complex figures such as data visualization plots, charts, schematic diagrams, macroscopic and microscopic photograph, and other specialized content from a variety of scientific fields. However, there is currently a lack of comprehensive evaluation for models' understanding of advanced graduatelevel multimodal scientific knowledge, especially in the context of complex figures across diverse scientific disciplines. Existing evaluations tend to focus on simpler charts and plots [\(Chen et al.,](#page-10-4) [2020;](#page-10-4) [Kahou et al., 2017;](#page-11-2) [Siegel et al., 2016\)](#page-13-4) and suffer from narrow scopes and lower quality [\(Li](#page-12-4) [et al., 2024\)](#page-12-4).

Our dataset, $MNSci$, is designed to address this gap. $MMSci$ is a multimodal, multi-discipline dataset comprising high-quality, peer-reviewed articles and figures from 72 scientific disciplines, predominantly within the natural sciences. We created a benchmark to evaluate models' understanding of graduate-level multimodal scientific knowledge across these disciplines. Additionally, this dataset can serve as a training resource to enhance models' comprehension of multimodal scientific knowledge.

A.2.2 INTENDED USE

This dataset is used to evaluate and enhance the large multimodal models (LMMs)' understanding of advanced multimodal scientific knowledge.

A.2.3 DATA COLLECTION

Data Source The dataset comprises open-access articles published in Nature Communications^{[6](#page-25-0)}. These articles are freely and permanently accessible upon publication under the Creative Commons Attribution 4.0 International (CC BY) License. Detailed information on the open-access policy of Nature Communications is available at <https://www.nature.com/ncomms/open-access>.

Data Collection Process We collected various types of information for each article from the Nature Communications website. The articles' information includes titles, abstracts, main body content, references, and PDF versions of the articles, all directly accessible from their respective sections on the article's webpage (e.g., <https://www.nature.com/articles/xxx>, where "xxx" is the article's unique ID). Additionally, figures and their captions were sourced from a dedicated figures section linked from each article's main page (e.g., [https://www.nature.com/articles/](https://www.nature.com/articles/xxx/figures) [xxx/figures](https://www.nature.com/articles/xxx/figures)). This user-friendly platform facilitates easy acquisition of all necessary data, eliminating the needs for quality control and data filtering.

Annotations The dataset does not include explicit annotations. Instead, the authors themselves carried out a small-scale manual review and classification of the image types specifically for analysis. No external annotators or crowdworkers were involved in this process.

Personal and Sensitive Information The dataset does not include any personal or sensitive information. All article content is publicly accessible. All author information are also publicly available, and no personal information was explicitly extracted, stored, or used from the authors.

A.2.4 SOCIAL IMPACT AND ETHICAL CONSIDERATIONS

Benefits The benefits of our dataset are two-fold: (1) Evaluation Benchmark: This dataset serves as a valuable evaluation benchmark for assessing the understanding of large multimodal models (LMMs) regarding scientific articles and figures. (2) Training Resources: It can be used as a training resource to enhance LMMs' comprehension of scientific articles and figures, improving their performance in various scientific and research-related tasks.

⁶<https://www.nature.com/ncomms/>

Table 8: Evaluated LMMs in our experiments with their versions or Huggingface model paths.

Risks and Ethical Considerations However, there are potential risks and ethical considerations to address: (1) Misuse in Academic Integrity: The advancement of AI research assistants facilitated by this dataset could potentially lead to misuse, such as academic fraud, fabrication, or improper assistance in academic work. We strongly encourage users to exercise caution and responsibility when using AI assistants, ensuring they are employed ethically and correctly. (2) Data Misinterpretation and Hallucination: There is a risk of misinterpreting the dataset's content, leading to inaccurate conclusions or misuse of scientific information. Users should critically assess and validate the AI-generated outputs against established scientific knowledge and principles.

A.2.5 LIMITATIONS

Currently, our evaluation benchmark primarily focuses on understanding figures in scientific articles based on the article content or not. We encourage further efforts to expand these evaluations to include a broader range of scientific knowledge using our dataset.

A.2.6 AUTHOR STATEMENT

The authors declare full responsibility for any rights violations, including but not limited to intellectual property rights and privacy rights, that may arise from the publication and use of this dataset. We confirm that all data provided is licensed under appropriate licenses, ensuring legal compliance and transparency.

A.2.7 HOSTING, LICENSING, AND MAINTENANCE PLAN

The dataset will be hosted on GitHub, offering reliable and secure access. We commit to maintaining the repository with regular updates, security patches, and user support to ensure the data's integrity and usability over time. Licensing terms will be clearly communicated to users, adhering to the appropriate data licenses to promote proper usage and distribution. The data is licensed under the CC BY 4.0 License, which permits sharing and adaptation with proper attribution. The primary codebase for our project is licensed under the Apache 2.0 License.

A.3 EXPERIMENTAL SETUP

A.3.1 EVALUATED MODEL

We evaluated two proprietary models GPT-4V and GPT-4o and six open-source LMMs. Additionally, we tested our fine-tuned model, which is based on LLaVA-Next (LLaVA1.6-Vicuna-7B). For evaluations of open-source models, we utilized checkpoints available on Hugging Face^{[7](#page-26-1)}. The specific versions of proprietary models and paths for open-source models are detailed in Table [8.](#page-26-2) All inferences for the open-source models were executed on a computing cluster equipped with eight NVIDIA A100 GPUs, each with 40GB of memory.

A.3.2 EVALUATION SETUP AND RESULTS

As described in the main paper, we set the temperature to 0.7 for inferences on both the scientific figure captioning and multiple-choice Visual Question Answering (VQA) tasks. For the figure captioning task, we conducted the inference three times, and the averaged results along with their

⁷<https://huggingface.co/models>

Table 9: Performance on scientific figure captioning with standard deviation. B@k represents BLEU@k (k=1,2,3,4), R stands for ROUGE-L, M stands for METEOR, BS indicates BERTScore, and CLIP and RCLIP represent CLIPScore and RefCLIPScore, respectively. Best results are bolded and second best are underlined.

standard deviations are reported in Table [9.](#page-27-0) For the multiple-choice VQA task, we performed up to five inference runs and reported the accuracy based on majority voting in the main paper (Table 4).

Table 10: Hyperparameters for visual instruction tuning.

Values
https://huggingface.co/liuhaotian/llava-v1.6-vicuna-7b
https://huqqinqface.co/openai/clip-vit-large-patch14-336
2-layer MLP
128
0.00002
cosine
0.0
0.03
2048

A.3.3 VISUAL INSTRUCTION TUNING

Following the visual instruction tuning approach described in [\(Liu et al., 2024\)](#page-12-1), we continuously fine-tuned the LLaVA-Next model (LLaVA1.6-Vicuna-7B). The original vision encoder, openai/clip-vit-large-patch14-336, was kept unchanged, while the projector and language model components were updated. The hyperparameters used in this process are detailed in Table [10.](#page-27-1) The fine-tuning was performed on a computing cluster equipped with eight NVIDIA A100 GPUs, each with 40GB of memory. This training process took approximately 24 hours to complete.

A.3.4 VISUAL LANGUAGE PRE-TRAINING

In our case study experiments on the material generation task, we continuously pre-train a LLaMA2- 7B model using our interleaved article and figure data to infuse more material science-relevant knowledge. Specifically, for pre-training on the interleaved text and image data, we follow the methodology outlined in [\(Lin et al., 2023\)](#page-12-5).

Model Architecture Following the approach outlined in [\(Liu et al., 2024;](#page-12-1) [Lin et al., 2023\)](#page-12-5), we extend the LLaMA2-7B model from a text-only model to a multimodal model by augmenting the LLM with a visual encoder to learn visual embeddings and a projector to bridge the embeddings between the text and visual modalities. Specifically, the visual encoder processes the image and outputs visual features. These features are then mapped into the word embedding space by the projector, creating visual tokens. These visual tokens are concatenated with the word tokens and fed into the LLM, allowing the model to integrate both text and visual information for generation. The specific LLM, visual encoder, and projectors used in our experiments are presented in Table [11.](#page-28-0)

Training Stages The visual pre-training process [\(Lin et al., 2023\)](#page-12-5) involves two stages:

- 1. Projection initialization: In this stage, the LLM and the visual encoder are both pre-trained and remain fixed. The projector, however, is randomly initialized. Only the projector is fine-tuned during this stage, using image-caption pairs from [\(Liu et al., 2024\)](#page-12-1).
- 2. Visual language pre-training: During this stage, both the LLM and the projector are fine-tuned on the interleaved image and text data. This includes data from general domains provided by MMC4 [\(Zhu et al., 2024\)](#page-15-8), as well as scientific articles and figures from our dataset MMSci. Previous research [\(Lin et al., 2023\)](#page-12-5) has shown that tuning both the LLM and the projector yields better results than tuning only one of them. Throughout this stage, the visual encoder remains fixed.

We did not conduct the further visual instruction-tuning for this model, as our primary objective was to infuse scientific knowledge into the LLM for the consecutive text-only material generation task. The two stages were conducted on a computing cluster equipped with eight NVIDIA A100 GPUs, each with 40GB of memory. The first stage took approximately 4 hours, and the second stage took around 36 hours.

A.3.5 MATERIALS GENERATION

As a case study to investigate whether scientific knowledge has been effectively infused into the LLM (LLaMA2-7B in our experiments) and whether it can enhance performance on material sciencerelated tasks, we follow the methodology from [Gruver et al.](#page-11-6) [\(2024\)](#page-11-6) to explore the material generation task. The primary objective is to format material crystal structures into text strings and fine-tuning the LLM to generate stable materials.

Prompt design We adhere to the prompt design described in [\(Gruver et al., 2024\)](#page-11-6). There are two types of prompts in the training data: the generation prompt with one or multiple conditions and infilling prompts, where partial crystal structure strings are masked and the model generates the masked parts. The specific prompt templates are shown below, adapted from [\(Gruver et al., 2024\)](#page-11-6).

The formula condition as shown above is always included, while other conditions are sampled from the following: formation energy per atom, band gap, energy above hull, and space group number.

Evaluation Our evaluations follows [\(Xie et al., 2021;](#page-15-9) [Gruver et al., 2024\)](#page-11-6), including four key aspects. We reiterate some details here. Structural validity is assessed by ensuring that the shortest distance between any pair of atoms exceeds 0.5 Å. Compositional validity is evaluated by verifying that the overall charge is neutral, as calculated using SMACT [\(Davies et al., 2019\)](#page-10-11). Coverage metrics, COV-R (Recall) and COV-P (Precision), measure the similarity between ensembles of generated materials and ground truth materials in the test set. The property distribution metrics quantify the earth mover's distance (EMD) between the property distributions of generated materials and those in the test set, specifically for density (ρ , in g/cm^3) and the number of unique elements (N_{el}).

Metastability and stability are assessed based on the energy above the convex hull, denoted as \hat{E}_{hull} . Two approaches are employed to estimate \hat{E}_{hull} : M3GNet [\(Chen & Ong, 2022\)](#page-10-7) and Density Functional Theory (DFT) using the VASP code [\(Hafner, 2008\)](#page-11-8). For M3GNet, each sample undergoes relaxation using force and stress calculations before evaluating the energy of the final structure. For DFT, relaxation is performed using the VASP code, which provides more accurate results but requires significantly more computational resources. A material is considered metastable by M3GNet if the predicted energy above the hull, $E_{\text{hull}}^{\text{M3GNet}}$, is less than 0.1 eV/atom. Furthermore, if validated by DFT, the material must have $E_{\text{hull}}^{\text{DFT}} < 0.0 \text{ eV/atom}$ to be considered stable. The percentages of such materials are reported over the total 10,000 inferences. We use the Materials Project [\(Jain et al., 2013\)](#page-11-7) dated 2023-02-07.

Training Details Following the approach in [\(Gruver et al., 2024\)](#page-11-6), we utilize 4-bit quantization [\(Dettmers et al., 2021\)](#page-10-12) and Low-Rank Adapters (LoRA) [\(Hu et al., 2021\)](#page-11-13) for efficient fine-tuning. The model is trained with a batch size of 1 for 1 epoch. We set the LoRA rank to 8 and the LoRA alpha to 32. The learning rate is 0.0001, annealed by a cosine scheduler. The training was conducted on a single NVIDIA A100 GPU, took approximately 4 hours to complete.

Conditional Generation and Infilling Results Due to space constraints, we did not include the results for the conditional materials generation and infilling tasks in the main paper. Here, we present these additional findings. The performance metrics reported are based on the same model used in the main paper. Our training data included two types of prompts: conditional generation prompts and infilling prompts. We compare our model LLaMA2-7B-MMSci, which has undergone continuous pre-training, with the original LLaMA2-7B that was trained without additional pre-training data. Both models were trained on datasets that included prompts for both conditional generation and infilling tasks under the same setup.

Following [\(Gruver et al., 2024\)](#page-11-6), we performed 1,000 inferences for each condition in the conditional generation evaluation and 1,000 inferences for the infilling evaluation. For conditional generation evaluation, we assessed the percentage of generated materials that adhered to specified conditions, including formula, space group, and energy above the hull (E_{hull}) . In the infilling evaluation, we measured diversity by computing the pairwise distance between generated samples and those from Matminer [\(Ward et al., 2018;](#page-15-11) [Xie et al., 2021\)](#page-15-9), focusing on composition and structure. Additionally, we evaluated metastability estimated by M3GNet. As seen in Table [12,](#page-30-0) LLaMA2-7B-MMSci, after

continuous pre-training on our dataset MMSci, outperforms the original LLaMA2-7B across most metrics. This demonstrates its enhanced effectiveness in handling materials generation tasks.