
WKV-sharing embraced random shuffle RWKV high-order modeling for pan-sharpening

Man Zhou, Xuanhua He¹, Danfeng Hong², Bo Huang^{3*}

¹ University of Science and Technology of China

² Southeast University

³ The University of Hong Kong

Abstract

Pan-sharpening aims to generate a spatially and spectrally enriched multi-spectral image by integrating information from low-resolution multi-spectral image and texture-rich panchromatic counterpart. In this work, we propose a WKV-sharing embraced random shuffle RWKV high-order modeling paradigm for pan-sharpening from Bayesian perspective, coupled with random weight manifold distribution training strategy derived from Functional theory to regularize the solution space adhering to the following principles: **1) Random-shuffle RWKV.** Recently, the Vision RWKV model, with its inherent linear complexity in global modeling, has inspired us to explore its untapped potential in pan-sharpening tasks. However, its attention mechanism, relying on a recurrent bidirectional scanning strategy, suffers from biased effects and demands significant processing time. To address this, we propose a novel Bayesian-inspired scanning strategy called Random Shuffle, complemented by a theoretically-sound inverse shuffle to preserve information coordination invariance, effectively eliminating biases associated with fixed sequence scanning. The Random Shuffle approach mitigates preconceptions in global 2D dependencies in mathematical expectation, providing the model with an unbiased prior. In line with similar spirit of Dropout, we introduce a testing methodology based on Monte Carlo averaging to ensure the model’s output aligns more closely with expected results. **2) WKV-sharing high-order.** Regarding KV’s attention score calculation in spatial mixer of RWKV, we leverage WKV sharing mechanism to transfer WKV activations across RWKV layers, achieving lower latency and improved trainability, and revisit the channel mixer in RWKV, originally a first-order weighting function, and redevelop its high-order potential by sharing the gate mechanism across RWKV layer. Comprehensive experiments across pan-sharpening benchmarks demonstrate our model’s effectiveness, consistently outperforming state-of-the-art alternatives.

1 Introduction

RWKV modeling. Transformer-based methods have surpassed traditional CNNs in pan-sharpening performance, yet their global attention mechanisms- $\text{softmax}(\mathbf{q}_i, \mathbf{k}_j), \forall i, j \in \{\mathbf{m} \times \mathbf{m}\}$ incur quadratic computational complexity $O((\mathbf{m} \times \mathbf{m})^2)$, rendering them impractical for large-scale applications in Fig. 1. The Vision RWKV model, with its linear complexity in global modeling, has recently emerged as a promising alternative for pan-sharpening.

$$\text{Spatial-mixer: } wkv = \text{Re-WKV}(\mathbf{K}_s, \mathbf{V}_s), \quad (1)$$

$$\mathbf{O}_s = \text{Mapping}(\sigma(\mathbf{R}_s) \odot wkv), \quad (2)$$

*corresponding author

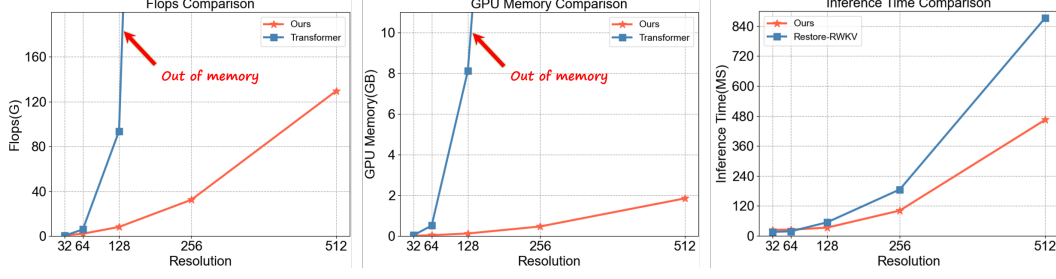


Figure 1: Comparison of Memory, FLOPs, and Inference Time across different scales for Transformer, Restore-RWKV, and our proposed Random-Shuffle RWKV. Unlike traditional transformers, our proposed Random-Shuffle RWKV significantly reduces both memory usage and FLOPs, especially at larger scales where transformers encounter out-of-memory issues. In terms of inference time, our design outperforms the standard RWKV architecture, achieving several-fold reductions in runtime.

$$\text{Channel-mixer: } \mathbf{X}_c = \text{Omni-Shift}(\text{LN}(\mathbf{O}_s)), \quad (3)$$

$$\mathbf{R}_c, \mathbf{V}_c = \text{Mapping}, \gamma(\text{Mapping})(\mathbf{X}_c), \quad (4)$$

$$\mathbf{O}_c = \text{Mapping}((\sigma(\mathbf{R}_c) \odot \mathbf{V}_c)), \quad (5)$$

where $\text{Mapping}(\cdot)$ denotes the non-linearly equipped multi-layer perception, σ and γ indicate sigmoid and squared ReLU activations respectively. Nonetheless, its attention mechanism, which employs a recurrent bidirectional scanning strategy Re-WKV($\cdot$), suffers from biased effects and requires substantial processing time, underscoring the need for further refinement to fully leverage its potential in multi-modal image fusion domain, as indicated in Fig. 2.

Random shuffle. To address this, we propose a novel Bayesian-inspired Random Shuffle scanning strategy, complemented by a theoretically-sound inverse shuffle to preserve information coordination invariance, effectively eliminating biases associated with fixed sequence scanning.

$$\text{Spatial-mixer: } (\mathbf{K}_s, \mathbf{V}_s) = \text{RS}(\mathbf{K}_s, \mathbf{V}_s), \quad (6)$$

$$wkv = \text{WKV}(\mathbf{K}_s, \mathbf{V}_s), \quad (7)$$

$$\mathbf{O}_s = \text{Mapping}(\sigma(\mathbf{R}_s) \odot wkv), \quad (8)$$

$$\mathbf{O}_s = \text{IS}(\mathbf{O}_s) \quad (9)$$

where $\text{RS}(\cdot)(\cdot)$ is the random shuffle function and $\text{IS}(\cdot)$ is the corresponding inverse shuffle function. The Random Shuffle approach mitigates preconceptions in global 2D dependencies in mathematical expectation, providing the model with an unbiased prior. In line with similar spirit of Dropout, we introduce a testing methodology based on Monte Carlo averaging to ensure the model’s output aligns more closely with expected results in Fig. 6.

$$\text{Expectation : } \mathbf{O}_s = \mathbb{E}_S[\text{RS}, \text{WKV}], \quad (10)$$

$$\text{Monte-Carlo estimation: } \mathbf{O}_s \approx \frac{1}{M} \sum_{i=1}^M [\text{RS}, \text{WKV}] \quad (11)$$

High-order. Despite the remarkable progress, existing methods primarily employ the spatial cross-attention and channel-wise scaling mechanisms, which only exploit second-order properties in a cascaded manner, thereby limiting higher-order interaction capabilities. Furthermore, the cascaded second-order interaction paradigm only captures multiple second-order interactions and struggles to balance commendable performance with resource-intensive computations, posing challenges for practical applications, as illustrated in Fig. 1. To address these challenges, our investigation reveals that attention fundamentally operates as a first-order linear weight function

$$\mathbf{O}_j = \text{sigmoid}(\mathbf{R}_c) \cdot \mathbf{V}_c, \quad (12)$$

$$\mathbf{O}_s = \text{sigmoid}(\mathbf{R}_s) \odot wkv, \quad (13)$$

$$0 < \text{sigmoid}(\mathbf{R}_c(i)) < 1, \sum_i \text{sigmoid}(\mathbf{R}_c(i)) = 1, \forall i \quad (14)$$

Mathematically, for any function $p(x)$ satisfying two constraints of $0 \leq p(x) \leq 1$, $\sum_x p(x) = 1$ and acting as first-order statistic calculating, it equals to

$$p(\mathbf{R}_c) \propto \text{sigmoid}(\mathbf{R}_c(i)), \quad (15)$$

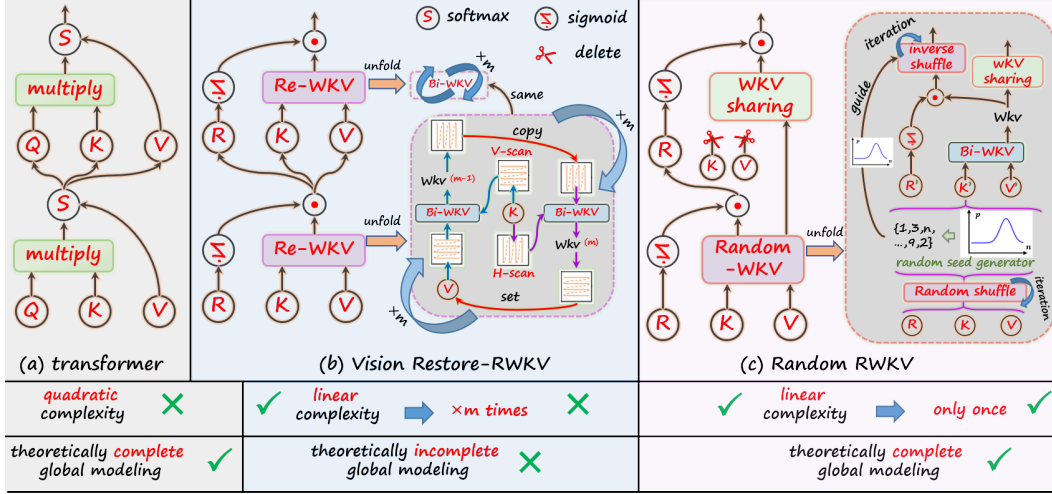


Figure 2: Comparison between previous transformer, Vision Re-RWKV and our proposed random shuffle high-order RWKV paradigm. The typical (a) transformer architecture suffers from quadratic complexity, often resulting in out-of-memory errors when processing high-resolution scenes. Additionally, (b) Vision Re-RWKV performs horizontal and vertical interactions over W and K through m iterations, which, from a Bayesian perspective, can lead to biased effects and incomplete global modeling. The recursive computation process further introduces increased latency. In contrast, (c) our proposed Random RWKV retains the benefits of theoretically incomplete global modeling but operates with linear complexity, offering a more globally effective receptive field. This approach eliminates the issues of memory overload and latency while ensuring efficient modeling.

$$\mathbf{O}_j = \int_0^1 p(\mathbf{R}_c) \mathbf{V}_c d\mathbf{v} \approx \mathbf{E}(\mathbf{v}_c), \quad (16)$$

where $\mathbf{E}(\cdot)$ signifies first-order expectation calculating. This insight enables us to replace the conventional cascaded second-order interaction sequence with efficient high-order modeling through tailored attention sharing.

$$\text{Gate potential: } g^{(i-1)} = \text{sigmoid}(\mathbf{R}_c(i)), \quad (17)$$

$$g^{(i)} \leftarrow g^{(i-1)}. \quad (18)$$

Regarding KV 's attention score calculation in spatial mixer, we leverage WKV -sharing mechanism to transfer KV activations across $RWKV$ layers, achieving lower latency and improved trainability, and revisit the channel mixer in $RWKV$, originally a first-order weighting function, and redevelop its high-order potential by sharing the gate mechanism across $RWKV$ layer.

$$\text{WKV sharing: } wkv^{(i-1)} = \text{WKV}(\mathbf{K}_s, \mathbf{V}_s), \quad (19)$$

$$wkv^{(i)} \leftarrow wkv^{(i-1)}. \quad (20)$$

Solutions. In this work, we introduce a WKV -sharing embraced random shuffle $RWKV$ high-order modeling paradigm for pan-sharpening, integrating a Bayesian-inspired Random Shuffle scanning strategy to eliminate biases associated with traditional fixed-sequence scanning in Fig. 3. This approach is complemented by a WKV -sharing mechanism that transfers KV activations across $RWKV$ layers, enhancing trainability and reducing latency while unlocking high-order potential in the channel mixer. Additionally, we implement a random weight, based on Functional theory, to effectively regularize the optimization space, surpassing the limitations of traditional fixed-point loss functions. Extensive experiments across pan-sharpening benchmarks—demonstrate that our model, by harnessing high-order $RWKV$ modeling, significantly enhances the ability to exploit multi-modal synergies, leading to superior performance compared to state-of-the-art methods.

2 Proposed Method

In this section, we begin by reviewing the overview of the proposed pan-sharpening network, as depicted in Fig. 3. We then delve into the core building blocks of our approach, which comprise three

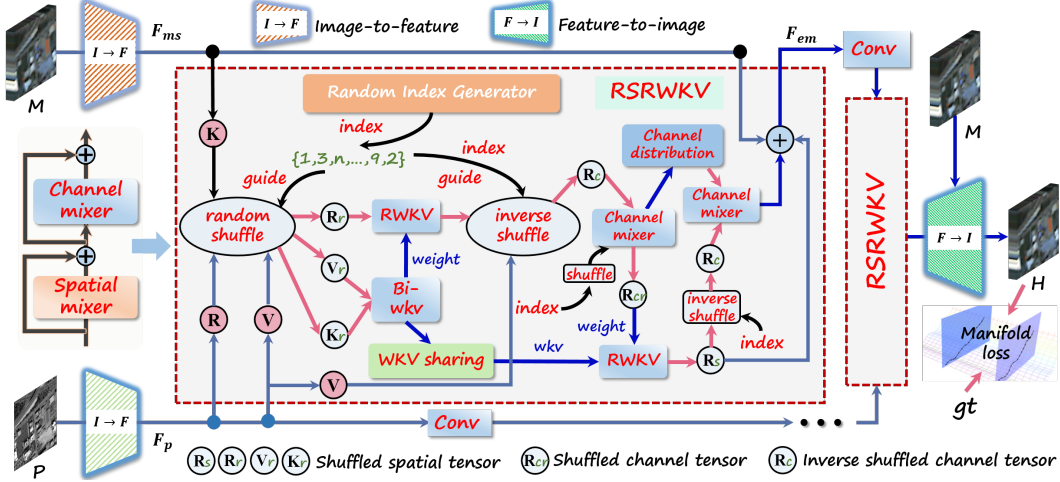


Figure 3: The detailed framework of the proposed Random Shuffle high-order RWKV paradigm (RS-RWKV). RSRWKV treats each feature as a dynamic entity, leveraging a Random Shuffle mechanism, which dynamically alters scanning sequences to enhance global contextual awareness and reduce biases inherent in traditional sequential approaches. By integrating a Bayesian-inspired scanning strategy, the framework effectively addresses the limitations of fixed sequence processing, promoting a more robust understanding of feature relationships. Additionally, the design incorporates a WKV-sharing mechanism that allows for efficient sharing of key-value activations across layers, significantly reducing latency while improving the model’s ability to capture intricate inter-dependencies. This synergistic design not only optimizes computational efficiency but also enriches feature representation. critical components: (a) random shuffle scanning strategy within RWKV’s spatial mixer, coupled with Monte-Carlo expectation estimation during inference, (b) high-order potential of gate mechanism within RWKV’s channel mixer, and (c) WKV-sharing embraced spatial mixer modeling.

2.1 Overview Framework

Structure Flow. Given an PAN image, $\mathbf{I}_P \in \mathbb{R}^{H \times W \times 1}$, and a low-resolution multi-spectral image, $\mathbf{I}_M \in \mathbb{R}^{h \times w \times C}$, we adopt the separate dual-branch modality-aware encoders to project $\mathbf{I}_P$ and the up-sampled $\mathbf{I}_M$, yielding $\mathbf{F}_P \in \mathbb{R}^{H \times W \times C}$ and $\mathbf{F}_M \in \mathbb{R}^{H \times W \times C}$. Subsequently, the extracted modality-aware shallow-level features are passed through the proposed core RWKV high-order paradigm in a sequential manner as

$$\mathbf{F}_P, \mathbf{F}_M = E_P(\mathbf{I}_P), E_M(\mathbf{I}_M) \quad (21)$$

Where $E_P(\cdot)$ and $E_M(\cdot)$ signify the feature extraction encoders for the PAN and multi-spectral modalities, respectively. Then, we employ the successively designed KV-cache embraced random shuffle RWKV high-order modeling, yielding across modality-aware features $\mathbf{F}_P$ and $\mathbf{F}_M$

$$\mathbf{F}_M^{(1)}, \mathbf{F}_P^{(1)} = \text{RSRWKV}_{(i-1)}(\mathbf{F}_P, \mathbf{F}_M), \quad (22)$$

$$\mathbf{F}_M^{(i)}, \mathbf{F}_P^{(i)} = \text{RSRWKV}_{(i)}(\mathbf{F}_M^{(i-1)}, \mathbf{F}_P^{(i-1)}), \quad i \in \{1, L\} \quad (23)$$

where L indicates the iteration number of our RSRWKV. Finally, the transformed deep-level features are projected back into the image space to generate the fused result, $\mathbf{I}_F \in \mathbb{R}^{H \times W \times C}$ from the encoder in conjunction with the 1×1 convolution unit as

$$\mathbf{I}_F = D_C(\mathbf{F}_H) + \text{Up}(\mathbf{I}_M) \quad (24)$$

where $\text{Up}(\cdot)$ and $D_C(\cdot)$ represent the up-sampling and the corresponding decoder, respectively.

Supervision Flow. In this study, we introduce a novel loss function for optimizing the pan-sharpening process and enhancing results, independent of the structure design. Our proposed loss function comprises two components: spatial domain loss $\mathcal{L}_s$ and implicit frequency-decomposition manifold loss $\mathcal{L}_m$, as illustrated in Fig. 5. Prior pan-sharpening methods typically employ pixel losses with local guides in the spatial domain. However, our approach incorporates an additional frequency-decomposition manifold loss, utilizing random weight derived Taylor’s unfolding manifold to regularize the optimization space, resulting in improved pan-sharpening performance.

$$\text{Structure loss: } \mathcal{L}_s = \text{L1}(\mathbf{I}_F, \mathbf{I}_H), \quad (25)$$

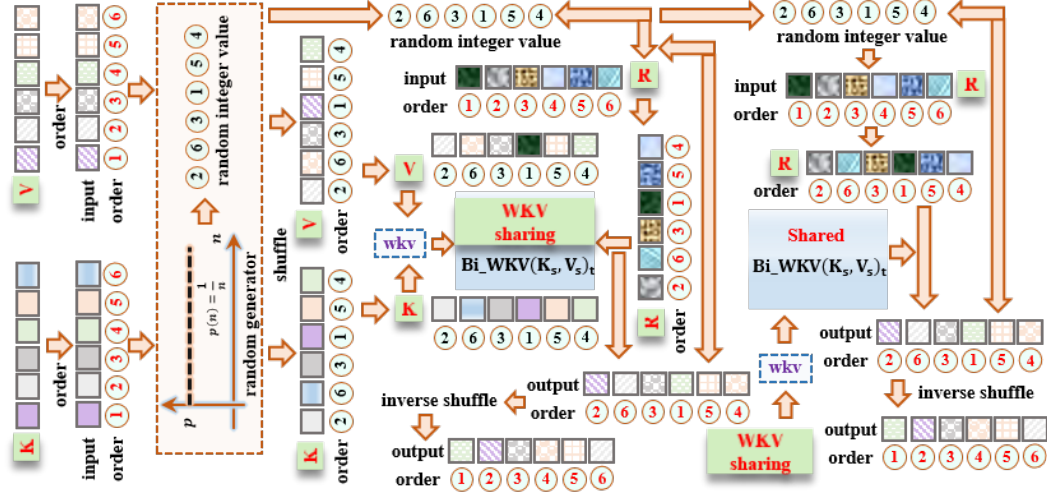


Figure 4: The detailed flowchart of RSRWKV modeling. The square box sequences, denoted as R, K, and V, represent the three components within the RWKV framework and are linked to the circular numbers generated by the random distribution generator, which indicate the random shuffle guidance as order. This guidance facilitates the implementation of the Bayesian-inspired scanning strategy and the theoretically sound inverse shuffle.

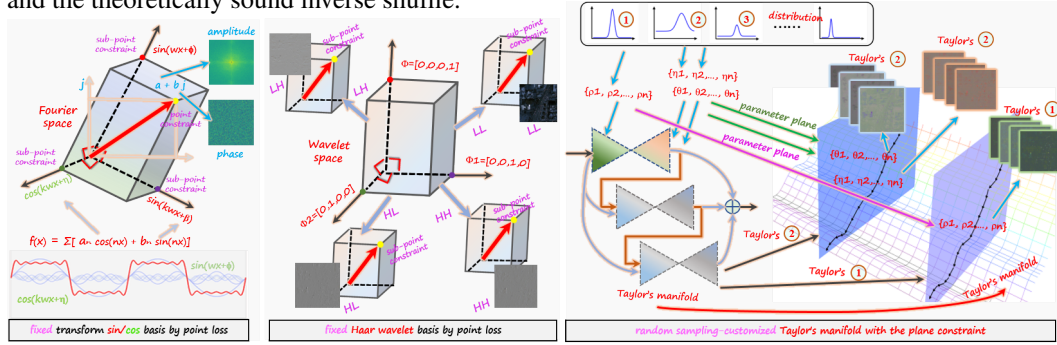


Figure 5: Comparison between Point Loss and Our Customized Manifold Loss. Traditional frequency point losses, such as those based on Fourier and wavelet transforms, aim to constrain the reconstructed output to possess richer textures.

$$\text{Manifold loss: } \mathcal{L}_m = \text{Taylor's}(\mathbf{I}_{\mathcal{F}}, \mathbf{I}_{\mathcal{H}}; \theta_e), \quad (26)$$

$$\theta_e \sim \{\text{Xavier, Kaiming init, Gaussian}(0, 1)\} \quad (27)$$

where $\mathbf{I}_{\mathcal{H}}$ represent the ground truth, L1 indicates the 1-norm, and θ_e denotes the random weights for each epoch within Taylor's unfolding manifold plane. The total loss function is remarked as

$$\mathcal{L} = \mathcal{L}_s + \lambda \mathcal{L}_m \quad (28)$$

2.2 Random shuffle RWKV

Preliminaries of RWKV. Vision RWKV consists of a spatial-mixer module and a channel-mixer module. Given the feature $F_{\mathcal{M}}^{(i)}$, $F_{\mathcal{P}}^{(i)}$ and flattened to a one-dimensional sequence $\mathbf{X}_{\mathcal{M}} \in \mathbb{R}^{T \times C}$ and $\mathbf{X}_{\mathcal{P}} \in \mathbb{R}^{T \times C}$, where $T = H \times W$ represents the number of tokens, $\mathbf{X}_{\mathcal{P}}$ and $\mathbf{X}_{\mathcal{M}}$ are initially processed by a layer normalization operation, followed by an Token shift layer

$$\text{Spatial-mixer: } wkv = \text{Re-WKV}(\mathbf{K}_s, \mathbf{V}_s), \quad (29)$$

$$\mathbf{O}_s = \text{Mapping}(\sigma(\mathbf{R}_s) \odot wkv), \quad (30)$$

Subsequently, $\mathbf{X}_{\mathcal{M}}$ and $\mathbf{X}_{\mathcal{P}}$ are processed by three parallel projected linear modules, yielding outputs receptance $\mathbf{R}_s$, key $\mathbf{K}_s$, and value $\mathbf{V}_s$:

$$\mathbf{R}_s = \mathbf{X}_{\mathcal{M}} \mathbf{W}_R, \quad \mathbf{K}_s = \mathbf{X}_{\mathcal{P}} \mathbf{W}_K, \quad \mathbf{V}_s = \mathbf{X}_{\mathcal{P}} \mathbf{W}_V \quad (31)$$

where $\mathbf{W}_R$, $\mathbf{W}_K$ and $\mathbf{W}_V$ denote the linear layer. $\mathbf{K}_s$ and $\mathbf{V}_s$ serve as inputs to the Re-WKV attention mechanism. Nonetheless, its attention mechanism, which employs a recurrent bidirectional scanning

strategy $\text{Re-WKV}(\cdot)$. To enhance the global receptive field of the WKV attention, the Bi-WKV layer is applied iteratively Q times, as detailed as following:

$$wkv_t = \text{Re-WKV}(\mathbf{K}_s, \mathbf{V}_s)_t = \frac{\sum_{i=0, i \neq t}^{T-1} e^{-(|t-i|-1)/T \cdot w + \mathbf{k}_i \mathbf{v}_i + e^{u + \mathbf{k}_t \mathbf{v}_t}}}{\sum_{i=1, i \neq t}^T e^{-(|t-i|-1)/T \cdot w + \mathbf{k}_i + e^{u + \mathbf{k}_t}}, \quad (32)$$

$$\mathbf{wkv} = \text{Re-WKV}_{(Q)}(\mathbf{K}_s, \mathbf{V}_s). \quad (33)$$

Here, wkv_t denotes the attention for the t -th token, u and w serve as hyperparameters within the attention mechanism. The $\mathbf{k}_i$ and $\mathbf{v}_i$ represent the i -th spatial tokens derived from $\mathbf{K}_s$ and $\mathbf{V}_s$, respectively. The resulting wkv is then passed through a Sigmoid function and subsequently multiplied by $\mathbf{R}_s$. This product is added to $F_{\mathcal{M}}^{(i)}$ to obtain the final spatially mixed output:

$$\mathbf{O}_{\text{sf}} = \mathbf{O}_s + F_{\mathcal{M}}^{(i)}. \quad (34)$$

Followed, the enriched MS feature $\mathbf{O}_{\text{sf}}$ from spatial-mixer's output concatenated with PAN feature $F_{\mathcal{P}}^{(i)}$ is feed into channel-mixer as

$$\text{Channel-mixer: } \mathbf{O}_{sc} = \text{Concate}(\mathbf{O}_{\text{sf}}, F_{\mathcal{P}}^{(i)}), \quad (35)$$

$$\mathbf{X}_c = \text{Omni-Shift}(\text{LN}(\mathbf{O}_{sc})), \quad (36)$$

$$\mathbf{R}_c, \mathbf{V}_c = \text{Mapping}, \gamma(\text{Mapping})(\mathbf{O}_{sc}), \quad (37)$$

$$\mathbf{O}_c = \text{Mapping}((\sigma(\mathbf{R}_c) \odot \mathbf{V}_c)), \quad (38)$$

Nonetheless, its attention mechanism, which employs a recurrent bidirectional scanning strategy $\text{Re-WKV}(\cdot)$, suffers from biased effects and requires substantial processing time.

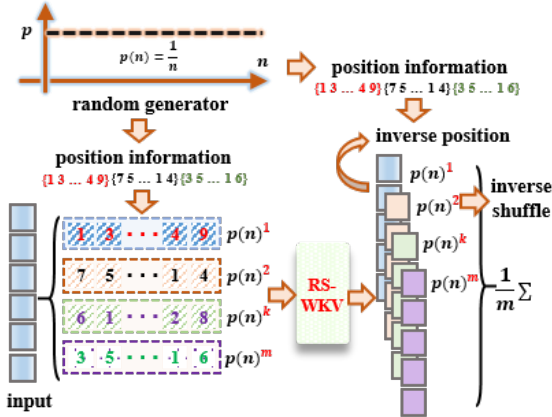


Figure 6: Testing RS-WKV with Monte Carlo Averaging. The random generator operates on a uniform distribution to produce integer values that serve as position information within the shuffling strategy. This position information is used to shuffle the input data accordingly. The shuffled input is then processed by RS-WKV to capture long-range cross-modality dependencies. To maintain information invariance, we apply an inverse shuffle using the cached position information to obtain the weighted output.

$$\text{Expectation: } \mathbf{O}_s = \mathbb{E}_S[\text{RS, WKV}], \quad (43)$$

$$\text{Monte-Carlo estimation: } \mathbf{O}_s \approx \frac{1}{M} \sum_{i=1}^M [\text{RS, WKV}] \quad (44)$$

It seems that the testing time will be scaled by M , which is the number of averaged forward passes. However, the multiple forward passes can be conducted concurrently with modern accelerators, which significantly reduces the testing time in Fig. 2. Specifically, this acceleration can be done by transferring an input to GPU(s) and setting a mini-batch comprising the same input multiple

Spatial mixer. To address this, we propose a novel Bayesian-inspired scanning strategy called Random Shuffle, complemented by a theoretically-sound inverse shuffle to preserve information coordination invariance, effectively eliminating biases associated with fixed sequence scanning.

$$\text{Spatial-mixer: } (\mathbf{K}_s, \mathbf{V}_s) = \text{RS}(\mathbf{K}_s, \mathbf{V}_s), \quad (39)$$

$$wkv = \text{WKV}(\mathbf{K}_s, \mathbf{V}_s), \quad (40)$$

$$\mathbf{O}_s = \text{Mapping}(\sigma(\mathbf{R}_s) \odot wkv), \quad (41)$$

$$\mathbf{O}_s = \text{IS}(\mathbf{O}_s) \quad (42)$$

The Random Shuffle approach mitigates pre-conceptions in global 2D dependencies in mathematical expectation, providing model with an unbiased prior in Fig. 4. In line with similar spirit of Dropout, we introduce a testing methodology based on Monte Carlo averaging to ensure the model's output aligns more closely with expected results through layered expectations. Therefore, the computation of the random shuffle during testing can be expressed as follows, where

times. WKV shuffles independently along the batch dimension. After one forward pass through WKV, averaging over the mini-batch yields the Monte-Carlo estimation.

High-order channel mixer. However, existing methods primarily employ the spatial cross-attention and channel-wise scaling mechanism, which only exploits second-order properties in a cascaded manner, thereby limiting higher-order interaction capabilities. Furthermore, the cascaded second-order interaction paradigm only captures multiple second-order interactions and struggles to balance commendable performance with resource-intensive computations. To address this, our investigation reveals that attention fundamentally operates as a first-order linear weight function

$$\mathbf{O}_j = \text{sigmoid}(\mathbf{R}_c) \cdot \mathbf{V}_c, \quad (45)$$

$$\mathbf{O}_s = \text{sigmoid}(\mathbf{R}_s) \odot wkv, \quad (46)$$

$$0 < \text{sigmoid}(\mathbf{R}_c(i)) < 1, \quad \sum_i \text{sigmoid}(\mathbf{R}_c(i)) = 1, \quad \forall i \quad (47)$$

In mathematically, for any function $p(x)$ satisfying two constraints of $0 \leq p(x) \leq 1$, $\sum_x p(x) = 1$ and acting as first-order statistic calculating, it equals to

$$p(\mathbf{R}_c) \propto \text{sigmoid}(\mathbf{R}_c(i)), \quad (48)$$

$$\mathbf{O}_j = \int_0^1 p(\mathbf{R}_c) \mathbf{V}_c d\mathbf{v} \approx \mathbf{E}(\mathbf{v}_c), \quad (49)$$

This insight enables us to replace the conventional cascaded second-order interaction sequence with efficient high-order modeling through tailored attention sharing.

$$\text{Gate potential: } g^{(i-1)} = \text{sigmoid}(\mathbf{R}_c(i)), \quad (50)$$

$$g^{(i)} \leftarrow g^{(i-1)}. \quad (51)$$

In the context of first-order statistical expectation of a variance tensor, referring to the definition, we assume any probability distribution $p(\mathbf{v})$ that satisfies two constraints: $0 \leq p(\mathbf{v}) \leq 1$, $\sum_i p(\mathbf{v}) = 1$. Given this, the expectation of $\mathbf{v}_j$ can be expressed as

$$\mathbf{E}(\mathbf{v}_j) = \int_0^1 p(\mathbf{v}) \mathbf{v}_j d\mathbf{v} \quad (52)$$

Referring to the definition above, we consider the $\text{sigmoid}(\cdot)$ function, which satisfies the constraints, as a special case of a probability sampling distribution. This allows us to deduce that our investigation reveals attention fundamentally operates as a first-order linear weight function and can be constituted by the simple 1-dimension convolution Conv_1 . By leveraging the matrix associative property,

$$g^{(i)} = \text{Conv}_1(g^{(i-1)}), \quad \mathbf{O}_j = \mathbf{v}_c \cdot g^{(i)} \quad (53)$$

$$\text{Gate potential: } \mathbf{O}_j = \mathbf{v}_c \cdot \text{Conv}_1(g^{(i-1)}), \quad (54)$$

$$g^{(i)} \leftarrow g^{(i-1)}, \quad \mathbf{O}_j = \text{Conv}_1(\mathbf{v}_c \cdot g^{(i)}) \quad (55)$$

Mathematically equivalent transformation is capable of further mitigating attention collapse.

Similar to high-order channel interactions, we extend the wkv calculating within spatial mixer to achieve high-order spatial interactions:

$$\mathbf{O}_s = \text{sigmoid}(\mathbf{R}_s) \odot wkv, \quad (56)$$

the above process signifies first-order expectation calculating.

WKV-sharing RWKV high-order modeling. Regarding WKV's attention score calculation in spatial mixer, we leverage WKV-sharing mechanism to transfer WKV activations across RWKV layers and revisit the channel mixer in RWKV, originally a first-order weighting function, and redevelop its high-order potential by sharing the gate mechanism across RWKV layer.

$$\text{WKV sharing: } wkv^{(i-1)} = \text{WKV}(\mathbf{K}_s, \mathbf{V}_s), \quad (57)$$

$$wkv^{(i)} \leftarrow wkv^{(i-1)}. \quad (58)$$

To facilitate cross-order information integration, we enhance the representation of cross-modality interactions by incorporating diverse information in a cross-order manner. This enhancement enables the generation of more informative representations by leveraging the observation that different orders tend to capture diverse and complementary patterns.

$$\mathbf{V}_b \leftarrow \text{Conv}(\text{concat}[\mathbf{V}_1, \mathbf{V}_2, \dots, \mathbf{V}_n]). \quad (59)$$

where $\mathbf{V}_n$ denotes the n -th order spatial and channel-wise information within the tailored high-order.

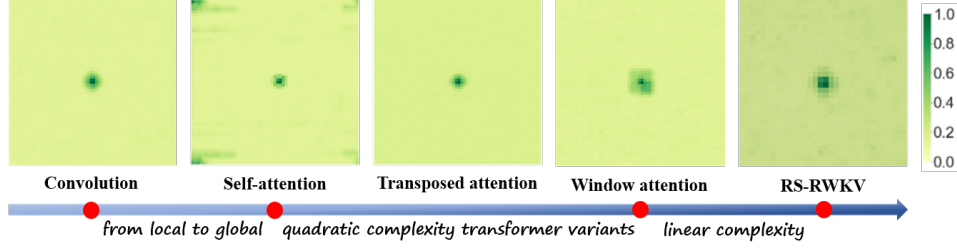


Figure 7: The Effective Receptive Field (ERF) visualization for various models. Our proposed RS-RWKV achieves the most extensive global ERF, demonstrating its superior capacity.

Table 1: Comparison on the WordView-II, WordView-III and GaoFen2 datasets.

Method	WordView-II				WordView-III				GaoFen2			
	PSNR $\uparrow$	SSIM $\uparrow$	SAM $\downarrow$	ERGAS $\downarrow$	PSNR $\uparrow$	SSIM $\uparrow$	SAM $\downarrow$	ERGAS $\downarrow$	PSNR $\uparrow$	SSIM $\uparrow$	SAM $\downarrow$	ERGAS $\downarrow$
SFIM	34.1297	0.8975	0.0439	2.3449	21.8212	0.5457	0.1208	8.9730	36.9060	0.8882	0.0318	1.7398
GS	35.6376	0.9176	0.0423	1.8774	22.5608	0.5470	0.1217	8.2433	37.2260	0.9034	0.0309	1.6736
Brovey	35.8646	0.9216	0.0403	1.8238	22.5060	0.5466	0.1159	8.2331	37.7974	0.9026	0.0218	1.3720
IHS	35.2962	0.9027	0.0461	2.0278	22.5579	0.5354	0.1266	8.3616	38.1754	0.9100	0.0243	1.5336
GFPCA	34.558	0.9038	0.0488	2.1400	22.3400	0.4826	0.1294	8.3964	37.9443	0.9204	0.0314	1.5604
PNN	40.755	0.9624	0.0259	1.0646	29.9418	0.9121	0.0824	3.3206	43.1208	0.9704	0.0172	0.8528
PANNet	40.8176	0.9626	0.0257	1.0557	29.6840	0.9072	0.0851	3.4263	43.0659	0.9685	0.0178	0.8577
MSDCNN	41.3355	0.9664	0.0242	0.9940	30.3038	0.9184	0.0782	3.1884	45.6874	0.9827	0.0135	0.6389
SRPPNN	41.4538	0.9679	0.0233	0.9899	30.4346	0.9202	0.0770	3.1553	47.1998	0.9877	0.0106	0.5586
GPPNN	41.1622	0.9684	0.0244	1.0315	30.1785	0.9175	0.0776	3.2593	44.2145	0.9815	0.0137	0.7361
INNformer	41.6903	0.9704	0.0227	0.9514	30.5365	0.9225	0.0747	3.0997	47.3528	0.9893	0.0102	0.5479
MutNet	41.6773	0.9705	0.0224	0.9519	30.4907	0.9223	0.0749	3.1125	47.3042	0.9892	0.0102	0.5481
SFINet	41.7244	0.9725	0.0220	0.9506	30.5971	0.9236	0.0741	3.0798	47.4712	0.9901	0.0102	0.5462
PanFlowNet	41.8548	0.9712	0.0224	0.9335	30.4873	0.9221	0.0751	3.1142	47.2533	0.9884	0.0103	0.5512
Ours	42.0945	0.9721	0.0214	0.9081	30.9665	0.9266	0.0726	2.9247	47.7144	0.9896	0.0098	0.5229

3 Experiments over pan-sharpening

To evaluate the performance, we conduct comparative analysis against pan-sharpening. The traditional methods included SFIM [1], Brovey [2], GS [3], IHS [4], and GFPCA [5]. Additionally, we include various deep learning-based techniques, such as PNN [6], PANNet [7], MSDCNN [8], SRPPNN [9], GPPNN [10], MutNet [11], INNformer [12], SFINet [13], and PanFlowNet [14].

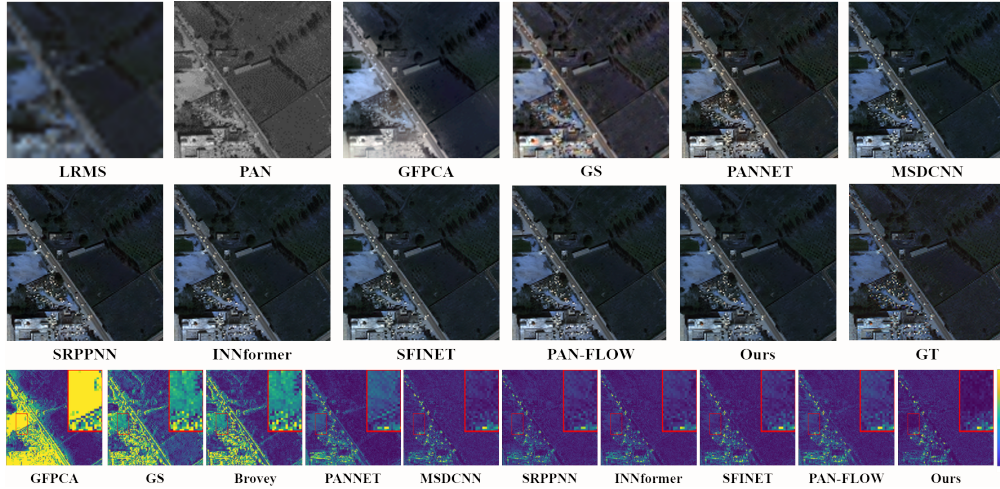


Figure 8: Visual comparisons between other pan-sharpening methods on WorldView-III satellite. **Comparisons with SOTA.** To assess the performance, we employed a diverse set of metrics, with the results systematically presented in Table 1. These results highlight the outstanding performance of our techniques, clearly demonstrating their superiority over benchmark algorithms across all evaluation criteria. Due to page limit, we present visual comparisons of representative samples from the WorldView-II and WorldView-III datasets in supplementary materials.

Effect of the Number L of RSRWKV: To investigate the impact of model size, we conducted ablation studies by varying the number of RSRWKV layers. As illustrated in Table 2, performance

Table 3: Ablation studies on the proposed core designs over the WorldView-II datasets.

Config	KV-cache	Channel-mixer cache	Random shuffle	Random manifold loss	PSNR $\uparrow$	SSIM $\uparrow$	SAM $\downarrow$	ERGAS $\downarrow$
(I)	✗	✓	✓	✓	41.9967	0.9715	0.0222	0.9344
(II)	✓	✗	✓	✓	41.9478	0.9715	0.0221	0.9427
(III)	✓	✓	✗	✓	41.9172	0.9713	0.0224	0.9274
(IV)	✓	✓	✓	✗	41.9394	0.9713	0.0219	0.9293
(V)	✓	✓	✓	✓	42.0945	0.9721	0.0214	0.9081

improved significantly as the number of RSRWKV components increased, demonstrating a clear benefit from incorporating additional layers. However, it can be observed that this performance enhancement plateaued beyond three components, with only marginal improvements noted upon further increases. To balance performance gains with computational efficiency, we selected $L = 9$ as the default configuration with the model efficacy while maintaining computational load.

Effect of the core designs: We conducted a series of ablation studies to systematically investigate the impact of each proposed core designs in Table 3: KV-cache, Channel-mixer cache, Random Shuffle, and Random Manifold Loss. Each experiment involved the removal of one core design from the framework to assess its contribution to overall performance. The results indicate that the absence of any single core design consistently leads to a decline in model performance. Specifically, removing the KV-cache increased latency due to inefficient cross-layer information sharing. Excluding the Channel-mixer cache weakened cross-modal dependency modeling, degrading fusion quality. Removing Random Shuffle introduced fixed-sequence biases, reducing feature diversity. Omitting the Random Manifold Loss destabilized training convergence via unregularized optimization.

Table 2: Comparison on the WorldView-II datasets as the number of RSRWKV increases.

Number (L)	PSNR $\uparrow$	SSIM $\uparrow$	SAM $\downarrow$	ERGAS $\downarrow$
tiny (L=3)	41.9596	0.9715	0.0220	0.9133
small (L=7)	41.9972	0.9716	0.0218	0.9184
regular (L=9)	42.0945	0.9721	0.0214	0.9081
Large (L=11)	42.0976	0.9722	0.0213	0.9076

Among the models compared, our proposed RS-RWKV achieves the most extensive global ERF, demonstrating its superior capacity to integrate information across both local and distant regions,

Effect of ERF: ERF visualization for various models in Fig. 7. The dark regions in the visualizations represent the extent of the ERF, with a more widespread distribution of darker areas indicating a larger and more effective receptive field. A larger ERF suggests that the model can capture more global context and long-range dependencies.

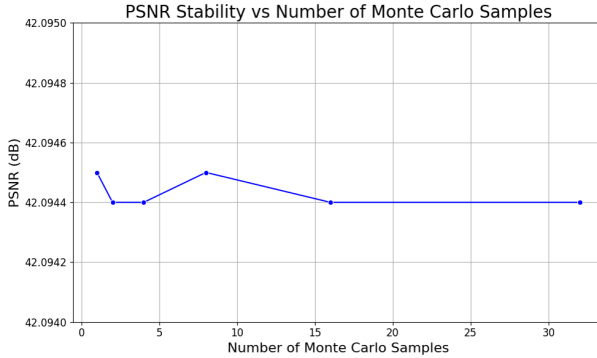


Figure 9: Monte Carlo averaging over random shuffle inference within spatial mixer.

number of one, which allows for quicker model iterations without compromising output quality. This optimization enhances the overall efficiency, making it more suitable for real-world scenarios.

Effect of Monte Carlo sampling: Due to the Monte Carlo averaging implemented in our RWKV framework, we explored the effect of varying the sampling number from 1 to 32 on performance, visualized in Fig. 9. Our findings indicated that performance remained remarkably stable across this range, suggesting that utilizing a single sample is sufficient for achieving reliable results. This decision not only minimizes processing time but also simplifies the implementation, making it more efficient for practical applications. Consequently, we adopted a sampling num-

4 Conclusion

We propose RS-RWKV, a novel pan-sharpening framework that synergizes multi-modal data through three core innovations: (1) a Random Shuffle strategy to eliminate fixed-scanning bias and enhance global modeling; (2) a KV-cache mechanism for efficient cross-layer activation sharing, reducing latency while improving trainability; and (3) a Random-weight manifold loss to regularize the optimization landscape. Extensive evaluations across pan-sharpening demonstrate our method’s superior performance against state-of-the-art baselines.

References

- [1] J. G. Liu. Smoothing filter-based intensity modulation: A spectral preserve image fusion technique for improving spatial details. *International Journal of Remote Sensing*, 21(18):3461–3472, 2000.
- [2] A. R. Gillespie, A. B. Kahle, and R. E. Walker. Color enhancement of highly correlated images. ii. channel ratio and "chromaticity" transformation techniques - sciencedirect. *Remote Sensing of Environment*, 22(3):343–365, 1987.
- [3] Craig A Laben and Bernard V Brower. Process for enhancing the spatial resolution of multi-spectral imagery using pan-sharpening, January 4 2000. US Patent 6,011,875.
- [4] R Haydn. Application of the ihs color transform to the processing of multisensor data and image enhancement. In *Proc. of the International Symposium on Remote Sensing of Arid and Semi-Arid Lands, Cairo, Egypt, 1982*, 1982.
- [5] Wenzhi Liao, Xin Huang, Fricke Van Coillie, Guy Thoonen, Aleksandra Pižurica, Paul Scheunders, and Wilfried Philips. Two-stage fusion of thermal hyperspectral and visible rgb image by pca and guided filter. In *2015 7th Workshop on Hyperspectral Image and Signal Processing: Evolution in Remote Sensing (WHISPERS)*, pages 1–4. Ieee, 2015.
- [6] Giuseppe Masi, Davide Cozzolino, Luisa Verdoliva, and Giuseppe Scarpa. Pansharpening by convolutional neural networks. *Remote Sensing*, 8(7):594, 2016.
- [7] Junfeng Yang, Xueyang Fu, Yuwen Hu, Yue Huang, Xinghao Ding, and John Paisley. Pannet: A deep network architecture for pan-sharpening. In *Proceedings of the IEEE international conference on computer vision*, pages 5449–5457, 2017.
- [8] Q. Yuan, Y. Wei, X. Meng, H. Shen, and L. Zhang. A multiscale and multidepth convolutional neural network for remote sensing imagery pan-sharpening. *IEEE Journal of Selected Topics in Applied Earth Observations and Remote Sensing*, 11(3):978–989, 2018.
- [9] Jiajun Cai and Bo Huang. Super-resolution-guided progressive pansharpening based on a deep convolutional neural network. *IEEE Transactions on Geoscience and Remote Sensing*, 59(6):5206–5220, 2020.
- [10] Shuang Xu, Jianshe Zhang, Zixiang Zhao, Kai Sun, Junmin Liu, and Chunxia Zhang. Deep gradient projection networks for pan-sharpening. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 1366–1375, 2021.
- [11] Man Zhou, Keyu Yan, Jie Huang, Zihe Yang, Xueyang Fu, and Feng Zhao. Mutual information-driven pan-sharpening. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition (CVPR)*, pages 1798–1808, June 2022.
- [12] Man Zhou, Jie Huang, Yanchi Fang, Xueyang Fu, and Aiping Liu. Pan-sharpening with customized transformer and invertible neural network. In *Thirty-Six AAAI Conference on Artificial Intelligence*, 2022.
- [13] Man Zhou, Jie Huang, Keyu Yan, Hu Yu, Xueyang Fu, Aiping Liu, Xian Wei, and Feng Zhao. Spatial-frequency domain information integration for pan-sharpening. In *Computer Vision—ECCV 2022: 17th European Conference, Tel Aviv, Israel, October 23–27, 2022, Proceedings, Part XVIII*, pages 274–291. Springer, 2022.
- [14] Gang Yang, Xiangyong Cao, Wenzhe Xiao, Man Zhou, Aiping Liu, Xun Chen, and Deyu Meng. Panflownet: A flow-based deep network for pan-sharpening. In *Proceedings of the IEEE/CVF International Conference on Computer Vision (ICCV)*, pages 16857–16867, October 2023.
- [15] Wjoseph Carper, Thomasm Lillesand, and Ralphw Kiefer. The use of intensity-hue-saturation transformations for merging spot panchromatic and multispectral image data. *Photogrammetric Engineering and remote sensing*, 56(4):459–467, 1990.
- [16] Alan R Gillespie, Anne B Kahle, and Richard E Walker. Color enhancement of highly correlated images. ii. channel ratio and "chromaticity" transformation techniques. *Remote Sensing of Environment*, 22(3):343–365, 1987.

- [17] Morteza Ghahremani and Hassan Ghassemian. Nonlinear ihs: A promising method for pan-sharpening. *IEEE Geoscience and Remote Sensing Letters*, 13(11):1606–1610, 2016.
- [18] Bruno Aiazzi, Stefano Baronti, and Massimo Selva. Improving component substitution pan-sharpening through multivariate regression of ms + pan data. *IEEE Transactions on Geoscience and Remote Sensing*, 45(10):3230–3239, 2007.
- [19] Coloma Ballester, Vicent Caselles, Laura Igual, Joan Verdera, and Bernard Rougé. A variational model for p+ xs image fusion. *International Journal of Computer Vision*, 69(1):43–58, 2006.
- [20] Chen Chen, Yeqing Li, Wei Liu, and Junzhou Huang. Sirf: Simultaneous satellite image registration and fusion in a unified framework. *IEEE Transactions on Image Processing*, 24(11):4213–4224, 2015.
- [21] Xueyang Fu, Zihuang Lin, Yue Huang, and Xinghao Ding. A variational pan-sharpening with local gradient constraints. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 10265–10274, 2019.
- [22] Xin Tian, Yuerong Chen, Changcai Yang, and Jiayi Ma. Variational pansharpening by exploiting cartoon-texture similarities. *IEEE Transactions on Geoscience and Remote Sensing*, pages 1–16, 2021.
- [23] Han Xu, Jiayi Ma, Zhenfeng Shao, Hao Zhang, Junjun Jiang, and Xiaojie Guo. Sdpnet: A deep network for pan-sharpening with enhanced information representation. *IEEE Transactions on Geoscience and Remote Sensing*, 59(5):4120–4134, 2021.
- [24] Hao Zhang and Jiayi Ma. Gtp-pnet: A residual learning network based on gradient transformation prior for pansharpening. *ISPRS Journal of Photogrammetry and Remote Sensing*, 172:223–239, 2021.
- [25] Kaiming He, Xiangyu Zhang, Shaoqing Ren, and Jian Sun. Deep residual learning for image recognition. In *Proceedings of the IEEE conference on computer vision and pattern recognition*, pages 770–778, 2016.
- [26] Xin Wu, Danfeng Hong, and Jocelyn Chanussot. Convolutional neural networks for multimodal remote sensing data classification. *IEEE Transactions on Geoscience and Remote Sensing*, 60:1–10, 2022.
- [27] Qi Xie, Minghao Zhou, Qian Zhao, Zongben Xu, and Deyu Meng. Mhf-net: An interpretable deep network for multispectral and hyperspectral image fusion. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 44(3):1457–1473, 2022.
- [28] Xin Tian, Kun Li, Zhongyuan Wang, and Jiayi Ma. Vp-net: An interpretable deep network for variational pansharpening. *IEEE Transactions on Geoscience and Remote Sensing*, pages 1–16, 2021.
- [29] Zhong-Cheng Wu, Ting-Zhu Huang, Liang-Jian Deng, Jin-Fan Hu, and Gemine Vivone. Vo+net: An adaptive approach using variational optimization and deep learning for panchromatic sharpening. *IEEE Transactions on Geoscience and Remote Sensing*, pages 1–16, 2021.

A Technical Appendices and Supplementary Material

A.1 Manifold loss.

Inspired by our previous work, pan-sharpening aims to reconstruct the missing middle and high frequencies. Existing approaches often rely on fixed-point frequency domain loss functions, such as those based on the Discrete Wavelet Transform (DWT) and Discrete Fourier Transform (DFT), which employ fixed orthogonal basis transformations in Fig. 5. These methods can introduce bias into the network’s predictions, as the loss functions do not capture the full complexity of the error distribution between network predictions and ground truth from a Bayesian perspective. This complexity makes model optimization challenging and can lead to biased predictions. To address this, we build on Functional Theory to demonstrate that random weight networks, structured within a

strict mathematical manifold, can be formulated as a manifold loss function plane. This formulation effectively regularizes the optimization space, providing an advantage over traditional fixed-point loss functions. Prior research finds the main part and the derivative part of Taylor’s Approximations take the same effect as the two competing goals of high-level contextualized information and spatial details of image fusion respectively. Drawing inspiration from image frequency-level decomposition, we leverage Taylor’s unfolding manifold, with the weights randomly initialized in each training iteration epoch, to formulate the manifold loss function plane while accounting for the implicit frequency decomposition constraint.

$$\text{Taylor's unfolding: } \mathcal{L}_m = \text{Taylor's}(\mathbf{I}_F, \mathbf{I}_H; \theta_e), \quad (60)$$

$$\theta_e \sim \{\text{Xavier, Kaiming init, Gaussian}(0, 1)\} \quad (61)$$

In summary, the contributions of this work are as follows.

- **Random Shuffle RWKV for Pan-Sharpening:** We propose a novel Random Shuffle scanning strategy within the RWKV framework, inspired by Bayesian principles, to mitigate biases inherent in fixed-sequence scanning. This method enhances global 2D dependency modeling by providing an unbiased prior, improving pan-sharpening performance.
- **KV-Cache High-Order Modeling:** We introduce a WKV-sharing mechanism to share KV activations across RWKV layers, significantly reducing latency and enhancing trainability. Additionally, we extend the channel mixer in RWKV from a first-order to a high-order function, further boosting the model’s capacity to capture complex inter-dependencies.
- **Random Weight Manifold Loss:** We develop a random weight manifold loss function grounded in Functional theory, which effectively regularizes the optimization space. This approach overcomes the limitations of traditional fixed-point loss functions, leading to better convergence and improved performance in pan-sharpening.
- **Extensive Experimental Validation:** We conduct comprehensive experiments, demonstrating that our model consistently outperforms state-of-the-art alternatives, establishing a new standard for performance.

A.2 Limitation & broader impact

A potential limitation is that the proposed framework has not been extensively tested across diverse remote sensing tasks, for example hyperspectral and multi-spectral image fusion. Future studies should validate its generalizability in broader remote sensing application scenarios.

Remote sensing fusion integrates multi-modal data to produce enhanced observations, critical for environmental monitoring (e.g., forest loss), disaster response (wildfire/flood tracking), and sustainable development (agriculture, urban heat analysis). By enabling cost-effective access to precise data, it democratizes global resources—helping developing nations tackle climate and food security challenges—while advancing cross-disciplinary geoscience research.

A.3 Related work

Traditional pan-sharpening methods are typically classified into three primary categories: Component Substitution (CS), Multi-resolution Analysis (MRA), and Variational Optimization (VO) approaches. CS methods, such as intensity hue-saturation (IHS) fusion, principal component analysis (PCA), Brovey transforms, and Gram-Schmidt (GS) orthogonalization, are widely used [15, 16]. Enhancements to these methods include nonlinear IHS (NIHS) to reduce spectrum distortion and adaptive techniques like the GSA method [17, 18]. Despite their practicality, CS and MRA methods often introduce artifacts into the fused images. VO methods have emerged as alternatives to address spectral distortion and improve the spatial resolution of multi-spectral images. For example, P+XS pan-sharpening posits that the PAN image can be modeled as a linear combination of high-resolution multi-spectral (HRMS) bands, with the upsampled low-resolution multi-spectral (LRMS) image approximating a blurred HRMS image [19]. VO approaches incorporate constraints such as dynamic gradient sparsity (SIRF), local gradient constraints (LGC), and group low-rank constraints for texture similarity (ADMM) [20, 21, 22]. Despite their sophistication, VO methods often require manual parameter tuning and may struggle to capture structural relationships within images, potentially leading to suboptimal performance.

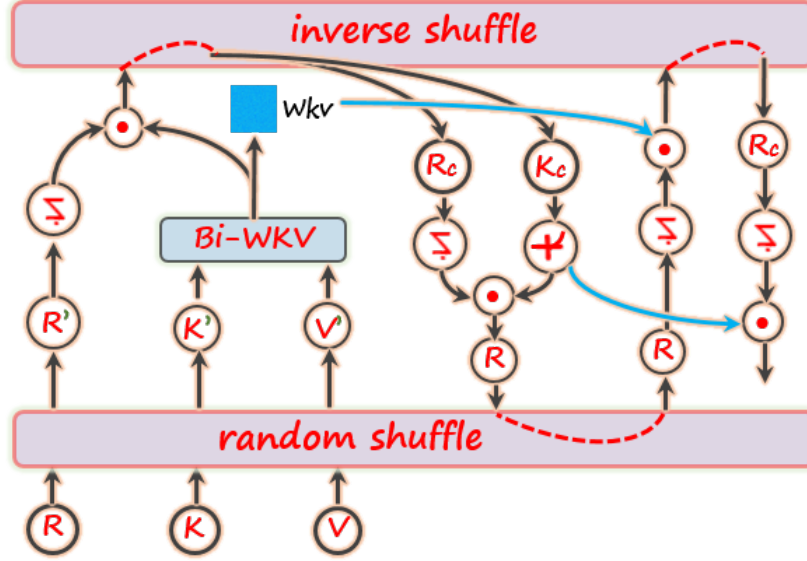


Figure 10: The illustration of high-order WKV sharing and channel mixer cache mechanism at adjacent steps. The calculated values from the previous step within the spatial mixer are shared with the subsequent step, facilitating the implementation of the WKV sharing and replacing the complex computation of wkv . Similarly, the channel-wise distribution within the channel mixer employs an analogous high-order sharing mechanism. Each stage adheres to a consistent random shuffle guidance, ensuring cohesive integration across the framework.

Convolutional Neural Networks (CNNs) have transformed computer vision with their prowess in nonlinear fitting and feature extraction, making them crucial for hyperspectral and remote sensing image analysis. Recent advancements in pan-sharpening focus on CNN-based approaches [23, 24]. Masi *et al.* [6] were among the first to apply CNNs to pan-sharpening, demonstrating superior results compared to traditional methods. Yang *et al.* [7] extended this work by incorporating residual blocks [25] into a deeper CNN architecture, while Wu *et al.* [26] introduced a multi-scale module to enhance the CNN structure. Cai *et al.* [9] further improved performance by utilizing multi-scale image inputs within the backbone network. A new category of model-driven CNNs has recently gained traction, integrating physical insights into optimization-based tasks. Xu *et al.* [10] applied distinct priors for PAN and MS images within a structured CNN framework, enhancing interpretability. Xie *et al.* [27] incorporated an optimization algorithm into a CNN architecture, while Tian *et al.* [28] and Wu *et al.* [29] combined VO techniques with deep residual CNNs. Zhou *et al.* [11] introduced a novel pan-sharpening framework driven by mutual information, which enhances information representation through complementary learning between PAN and MS modalities, thereby reducing redundancy and significantly improving pan-sharpening performance.

A.4 Feature Visualization

To verify the contributions of the proposed random shuffle RWKV high-order modeling mechanism, we analyze the feature maps corresponding to the input, the Random shuffled features within the RS-RWKV framework, the Output from the inverse shuffled component, and the Enhanced feature generated by summing the input with the Output. As detailed in Section 2.2, the randomly shuffled feature Random shuffled exhibits a chaotic state, aligning with theoretical expectations. Fig. 12 demonstrates that the Output from the inverse shuffled component effectively captures global information while emphasizing cross-modality detail. By integrating the extracted detailed information into the input, the final Enhanced feature provides a more informative and comprehensive representation of the input image. These findings indicate that the designed random shuffle RWKV high-order modeling mechanism successfully fuses global information from multiple modalities, leading to improved model performance.

Furthermore, we conducted a visualization of the key components within the RWKV framework in Fig. 11, specifically R, K, and V, while systematically varying the stage-wise RS-RWKV from bottom to top. The results indicate that with an increase in stages, a progressively larger number of features

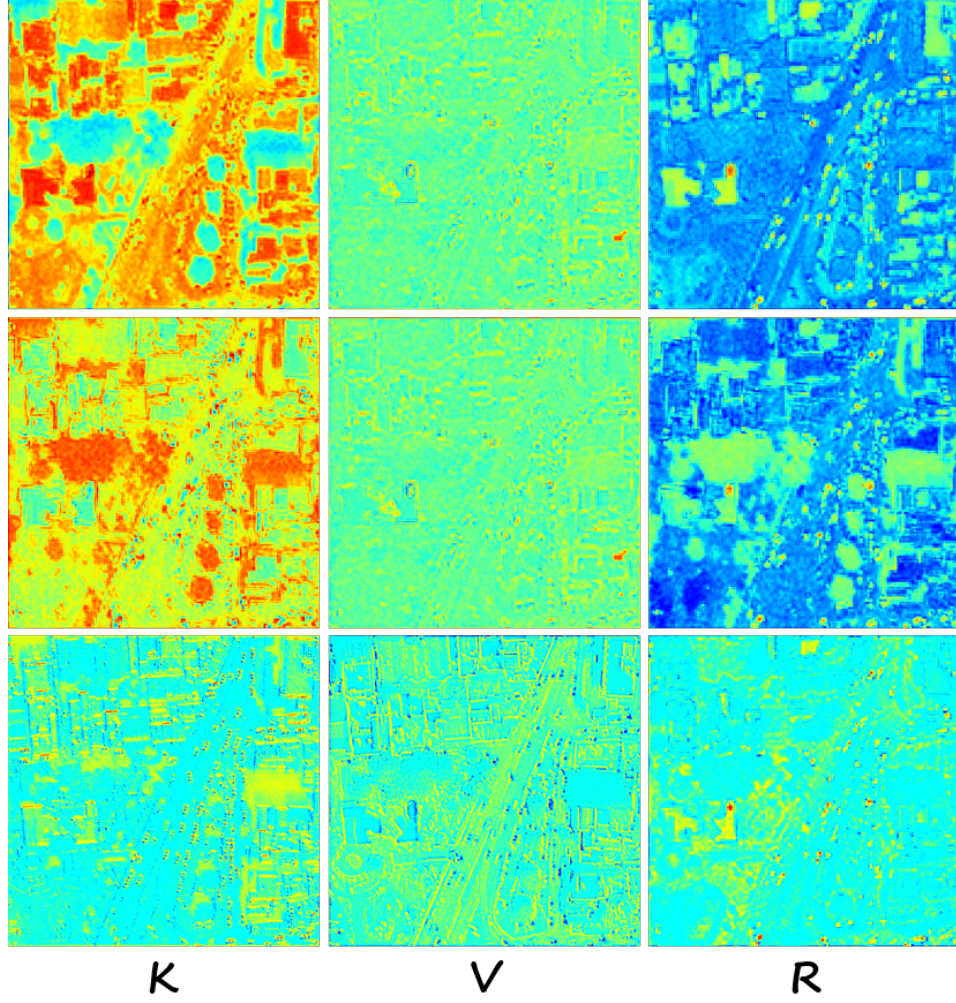


Figure 11: The key fundamental factors of RSRWKV over pan-sharpening by varying stages.

are activated. Notably, the features corresponding to V and K exhibit a complementary relationship, which is advantageous for the extraction of salient features. This phenomenon is consistent with the design principles of the RWKV framework, reinforcing its capacity to effectively harness high-order interactions for improved performance.

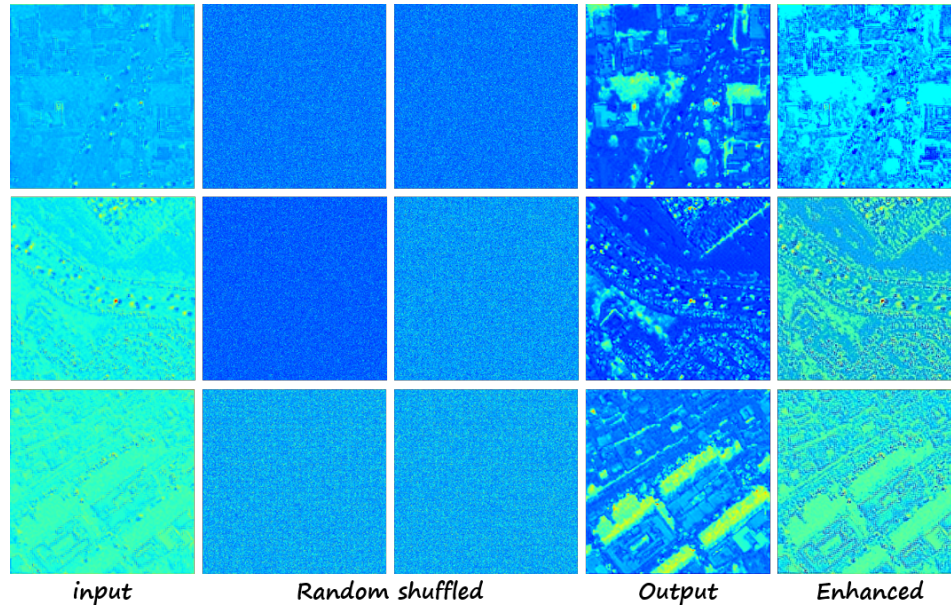


Figure 12: The feature visualization within RS-RWKV over pan-sharpening on the WorldView-III satellite.

NeurIPS Paper Checklist

The checklist is designed to encourage best practices for responsible machine learning research, addressing issues of reproducibility, transparency, research ethics, and societal impact. Do not remove the checklist: **The papers not including the checklist will be desk rejected.** The checklist should follow the references and follow the (optional) supplemental material. The checklist does NOT count towards the page limit.

Please read the checklist guidelines carefully for information on how to answer these questions. For each question in the checklist:

- You should answer [Yes], [No], or [NA].
- [NA] means either that the question is Not Applicable for that particular paper or the relevant information is Not Available.
- Please provide a short (1–2 sentence) justification right after your answer (even for NA).

The checklist answers are an integral part of your paper submission. They are visible to the reviewers, area chairs, senior area chairs, and ethics reviewers. You will be asked to also include it (after eventual revisions) with the final version of your paper, and its final version will be published with the paper.

The reviewers of your paper will be asked to use the checklist as one of the factors in their evaluation. While "[Yes]" is generally preferable to "[No]", it is perfectly acceptable to answer "[No]" provided a proper justification is given (e.g., "error bars are not reported because it would be too computationally expensive" or "we were unable to find the license for the dataset we used"). In general, answering "[No]" or "[NA]" is not grounds for rejection. While the questions are phrased in a binary way, we acknowledge that the true answer is often more nuanced, so please just use your best judgment and write a justification to elaborate. All supporting evidence can appear either in the main paper or the supplemental material, provided in appendix. If you answer [Yes] to a question, in the justification please point to the section(s) where related material for the question can be found.

IMPORTANT, please:

- **Delete this instruction block, but keep the section heading "NeurIPS Paper Checklist",**
- **Keep the checklist subsection headings, questions/answers and guidelines below.**

- **Do not modify the questions and only use the provided macros for your answers.**

1. Claims

Question: Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope?

Answer: [\[Yes\]](#)

Justification: See page 1-3.

Guidelines:

- The answer NA means that the abstract and introduction do not include the claims made in the paper.
- The abstract and/or introduction should clearly state the claims made, including the contributions made in the paper and important assumptions and limitations. A No or NA answer to this question will not be perceived well by the reviewers.
- The claims made should match theoretical and experimental results, and reflect how much the results can be expected to generalize to other settings.
- It is fine to include aspirational goals as motivation as long as it is clear that these goals are not attained by the paper.

2. Limitations

Question: Does the paper discuss the limitations of the work performed by the authors?

Answer: [\[Yes\]](#)

Justification: See A.2.

Guidelines:

- The answer NA means that the paper has no limitation while the answer No means that the paper has limitations, but those are not discussed in the paper.
- The authors are encouraged to create a separate "Limitations" section in their paper.
- The paper should point out any strong assumptions and how robust the results are to violations of these assumptions (e.g., independence assumptions, noiseless settings, model well-specification, asymptotic approximations only holding locally). The authors should reflect on how these assumptions might be violated in practice and what the implications would be.
- The authors should reflect on the scope of the claims made, e.g., if the approach was only tested on a few datasets or with a few runs. In general, empirical results often depend on implicit assumptions, which should be articulated.
- The authors should reflect on the factors that influence the performance of the approach. For example, a facial recognition algorithm may perform poorly when image resolution is low or images are taken in low lighting. Or a speech-to-text system might not be used reliably to provide closed captions for online lectures because it fails to handle technical jargon.
- The authors should discuss the computational efficiency of the proposed algorithms and how they scale with dataset size.
- If applicable, the authors should discuss possible limitations of their approach to address problems of privacy and fairness.
- While the authors might fear that complete honesty about limitations might be used by reviewers as grounds for rejection, a worse outcome might be that reviewers discover limitations that aren't acknowledged in the paper. The authors should use their best judgment and recognize that individual actions in favor of transparency play an important role in developing norms that preserve the integrity of the community. Reviewers will be specifically instructed to not penalize honesty concerning limitations.

3. Theory assumptions and proofs

Question: For each theoretical result, does the paper provide the full set of assumptions and a complete (and correct) proof?

Answer: [\[Yes\]](#)

Justification: See page 3-7 and page 13-17.

Guidelines:

- The answer NA means that the paper does not include theoretical results.
- All the theorems, formulas, and proofs in the paper should be numbered and cross-referenced.
- All assumptions should be clearly stated or referenced in the statement of any theorems.
- The proofs can either appear in the main paper or the supplemental material, but if they appear in the supplemental material, the authors are encouraged to provide a short proof sketch to provide intuition.
- Inversely, any informal proof provided in the core of the paper should be complemented by formal proofs provided in appendix or supplemental material.
- Theorems and Lemmas that the proof relies upon should be properly referenced.

4. Experimental result reproducibility

Question: Does the paper fully disclose all the information needed to reproduce the main experimental results of the paper to the extent that it affects the main claims and/or conclusions of the paper (regardless of whether the code and data are provided or not)?

Answer: [\[Yes\]](#)

Justification: **[TODO]**

Guidelines:

- The answer NA means that the paper does not include experiments.
- If the paper includes experiments, a No answer to this question will not be perceived well by the reviewers: Making the paper reproducible is important, regardless of whether the code and data are provided or not.
- If the contribution is a dataset and/or model, the authors should describe the steps taken to make their results reproducible or verifiable.
- Depending on the contribution, reproducibility can be accomplished in various ways. For example, if the contribution is a novel architecture, describing the architecture fully might suffice, or if the contribution is a specific model and empirical evaluation, it may be necessary to either make it possible for others to replicate the model with the same dataset, or provide access to the model. In general, releasing code and data is often one good way to accomplish this, but reproducibility can also be provided via detailed instructions for how to replicate the results, access to a hosted model (e.g., in the case of a large language model), releasing of a model checkpoint, or other means that are appropriate to the research performed.
- While NeurIPS does not require releasing code, the conference does require all submissions to provide some reasonable avenue for reproducibility, which may depend on the nature of the contribution. For example
 - (a) If the contribution is primarily a new algorithm, the paper should make it clear how to reproduce that algorithm.
 - (b) If the contribution is primarily a new model architecture, the paper should describe the architecture clearly and fully.
 - (c) If the contribution is a new model (e.g., a large language model), then there should either be a way to access this model for reproducing the results or a way to reproduce the model (e.g., with an open-source dataset or instructions for how to construct the dataset).
 - (d) We recognize that reproducibility may be tricky in some cases, in which case authors are welcome to describe the particular way they provide for reproducibility. In the case of closed-source models, it may be that access to the model is limited in some way (e.g., to registered users), but it should be possible for other researchers to have some path to reproducing or verifying the results.

5. Open access to data and code

Question: Does the paper provide open access to the data and code, with sufficient instructions to faithfully reproduce the main experimental results, as described in supplemental material?

Answer: [Yes]

Justification: [TODO]

Guidelines:

- The answer NA means that paper does not include experiments requiring code.
- Please see the NeurIPS code and data submission guidelines (<https://nips.cc/public/guides/CodeSubmissionPolicy>) for more details.
- While we encourage the release of code and data, we understand that this might not be possible, so “No” is an acceptable answer. Papers cannot be rejected simply for not including code, unless this is central to the contribution (e.g., for a new open-source benchmark).
- The instructions should contain the exact command and environment needed to run to reproduce the results. See the NeurIPS code and data submission guidelines (<https://nips.cc/public/guides/CodeSubmissionPolicy>) for more details.
- The authors should provide instructions on data access and preparation, including how to access the raw data, preprocessed data, intermediate data, and generated data, etc.
- The authors should provide scripts to reproduce all experimental results for the new proposed method and baselines. If only a subset of experiments are reproducible, they should state which ones are omitted from the script and why.
- At submission time, to preserve anonymity, the authors should release anonymized versions (if applicable).
- Providing as much information as possible in supplemental material (appended to the paper) is recommended, but including URLs to data and code is permitted.

6. Experimental setting/details

Question: Does the paper specify all the training and test details (e.g., data splits, hyper-parameters, how they were chosen, type of optimizer, etc.) necessary to understand the results?

Answer: [Yes]

Justification: See page A.5.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The experimental setting should be presented in the core of the paper to a level of detail that is necessary to appreciate the results and make sense of them.
- The full details can be provided either with the code, in appendix, or as supplemental material.

7. Experiment statistical significance

Question: Does the paper report error bars suitably and correctly defined or other appropriate information about the statistical significance of the experiments?

Answer: [Yes]

Justification: [TODO]

Guidelines:

- The answer NA means that the paper does not include experiments.
- The authors should answer "Yes" if the results are accompanied by error bars, confidence intervals, or statistical significance tests, at least for the experiments that support the main claims of the paper.
- The factors of variability that the error bars are capturing should be clearly stated (for example, train/test split, initialization, random drawing of some parameter, or overall run with given experimental conditions).
- The method for calculating the error bars should be explained (closed form formula, call to a library function, bootstrap, etc.)
- The assumptions made should be given (e.g., Normally distributed errors).
- It should be clear whether the error bar is the standard deviation or the standard error of the mean.

- It is OK to report 1-sigma error bars, but one should state it. The authors should preferably report a 2-sigma error bar than state that they have a 96% CI, if the hypothesis of Normality of errors is not verified.
- For asymmetric distributions, the authors should be careful not to show in tables or figures symmetric error bars that would yield results that are out of range (e.g. negative error rates).
- If error bars are reported in tables or plots, The authors should explain in the text how they were calculated and reference the corresponding figures or tables in the text.

8. Experiments compute resources

Question: For each experiment, does the paper provide sufficient information on the computer resources (type of compute workers, memory, time of execution) needed to reproduce the experiments?

Answer: [Yes]

Justification: [TODO]

Guidelines:

- The answer NA means that the paper does not include experiments.
- The paper should indicate the type of compute workers CPU or GPU, internal cluster, or cloud provider, including relevant memory and storage.
- The paper should provide the amount of compute required for each of the individual experimental runs as well as estimate the total compute.
- The paper should disclose whether the full research project required more compute than the experiments reported in the paper (e.g., preliminary or failed experiments that didn't make it into the paper).

9. Code of ethics

Question: Does the research conducted in the paper conform, in every respect, with the NeurIPS Code of Ethics <https://neurips.cc/public/EthicsGuidelines>?

Answer: [Yes]

Justification: [TODO]

Guidelines:

- The answer NA means that the authors have not reviewed the NeurIPS Code of Ethics.
- If the authors answer No, they should explain the special circumstances that require a deviation from the Code of Ethics.
- The authors should make sure to preserve anonymity (e.g., if there is a special consideration due to laws or regulations in their jurisdiction).

10. Broader impacts

Question: Does the paper discuss both potential positive societal impacts and negative societal impacts of the work performed?

Answer: [Yes]

Justification: [TODO]

Guidelines:

- The answer NA means that there is no societal impact of the work performed.
- If the authors answer NA or No, they should explain why their work has no societal impact or why the paper does not address societal impact.
- Examples of negative societal impacts include potential malicious or unintended uses (e.g., disinformation, generating fake profiles, surveillance), fairness considerations (e.g., deployment of technologies that could make decisions that unfairly impact specific groups), privacy considerations, and security considerations.
- The conference expects that many papers will be foundational research and not tied to particular applications, let alone deployments. However, if there is a direct path to any negative applications, the authors should point it out. For example, it is legitimate to point out that an improvement in the quality of generative models could be used to

generate deepfakes for disinformation. On the other hand, it is not needed to point out that a generic algorithm for optimizing neural networks could enable people to train models that generate Deepfakes faster.

- The authors should consider possible harms that could arise when the technology is being used as intended and functioning correctly, harms that could arise when the technology is being used as intended but gives incorrect results, and harms following from (intentional or unintentional) misuse of the technology.
- If there are negative societal impacts, the authors could also discuss possible mitigation strategies (e.g., gated release of models, providing defenses in addition to attacks, mechanisms for monitoring misuse, mechanisms to monitor how a system learns from feedback over time, improving the efficiency and accessibility of ML).

11. Safeguards

Question: Does the paper describe safeguards that have been put in place for responsible release of data or models that have a high risk for misuse (e.g., pretrained language models, image generators, or scraped datasets)?

Answer: [NA]

Justification: **[TODO]**

Guidelines:

- The answer NA means that the paper poses no such risks.
- Released models that have a high risk for misuse or dual-use should be released with necessary safeguards to allow for controlled use of the model, for example by requiring that users adhere to usage guidelines or restrictions to access the model or implementing safety filters.
- Datasets that have been scraped from the Internet could pose safety risks. The authors should describe how they avoided releasing unsafe images.
- We recognize that providing effective safeguards is challenging, and many papers do not require this, but we encourage authors to take this into account and make a best faith effort.

12. Licenses for existing assets

Question: Are the creators or original owners of assets (e.g., code, data, models), used in the paper, properly credited and are the license and terms of use explicitly mentioned and properly respected?

Answer: [NA]

Justification: **[TODO]**

Guidelines:

- The answer NA means that the paper does not use existing assets.
- The authors should cite the original paper that produced the code package or dataset.
- The authors should state which version of the asset is used and, if possible, include a URL.
- The name of the license (e.g., CC-BY 4.0) should be included for each asset.
- For scraped data from a particular source (e.g., website), the copyright and terms of service of that source should be provided.
- If assets are released, the license, copyright information, and terms of use in the package should be provided. For popular datasets, paperswithcode.com/datasets has curated licenses for some datasets. Their licensing guide can help determine the license of a dataset.
- For existing datasets that are re-packaged, both the original license and the license of the derived asset (if it has changed) should be provided.
- If this information is not available online, the authors are encouraged to reach out to the asset's creators.

13. New assets

Question: Are new assets introduced in the paper well documented and is the documentation provided alongside the assets?

Answer: [NA]

Justification: [TODO]

Guidelines:

- The answer NA means that the paper does not release new assets.
- Researchers should communicate the details of the dataset/code/model as part of their submissions via structured templates. This includes details about training, license, limitations, etc.
- The paper should discuss whether and how consent was obtained from people whose asset is used.
- At submission time, remember to anonymize your assets (if applicable). You can either create an anonymized URL or include an anonymized zip file.

14. Crowdsourcing and research with human subjects

Question: For crowdsourcing experiments and research with human subjects, does the paper include the full text of instructions given to participants and screenshots, if applicable, as well as details about compensation (if any)?

Answer: [NA]

Justification: [TODO]

Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Including this information in the supplemental material is fine, but if the main contribution of the paper involves human subjects, then as much detail as possible should be included in the main paper.
- According to the NeurIPS Code of Ethics, workers involved in data collection, curation, or other labor should be paid at least the minimum wage in the country of the data collector.

15. Institutional review board (IRB) approvals or equivalent for research with human subjects

Question: Does the paper describe potential risks incurred by study participants, whether such risks were disclosed to the subjects, and whether Institutional Review Board (IRB) approvals (or an equivalent approval/review based on the requirements of your country or institution) were obtained?

Answer: [NA]

Justification: [TODO]

Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Depending on the country in which research is conducted, IRB approval (or equivalent) may be required for any human subjects research. If you obtained IRB approval, you should clearly state this in the paper.
- We recognize that the procedures for this may vary significantly between institutions and locations, and we expect authors to adhere to the NeurIPS Code of Ethics and the guidelines for their institution.
- For initial submissions, do not include any information that would break anonymity (if applicable), such as the institution conducting the review.

16. Declaration of LLM usage

Question: Does the paper describe the usage of LLMs if it is an important, original, or non-standard component of the core methods in this research? Note that if the LLM is used only for writing, editing, or formatting purposes and does not impact the core methodology, scientific rigorousness, or originality of the research, declaration is not required.

Answer: [NA]

Justification: **[TODO]**

Guidelines:

- The answer NA means that the core method development in this research does not involve LLMs as any important, original, or non-standard components.
- Please refer to our LLM policy (<https://neurips.cc/Conferences/2025/LLM>) for what should or should not be described.