#### **000 001 002 003 004** PHICO: PERSONALISED HUMAN-AI COOPERATIVE CLASSIFICATION USING AUGMENTED NOISY LABELS AND MODEL PREDICTION

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#### ABSTRACT

The nuanced differences in human behavior and the complex dynamics of human-AI interactions pose significant challenges in optimizing human-AI cooperation. Existing approaches tend to oversimplify the problem and rely on a single global behavior model, which overlooks individual variability, leading to sub-optimal solutions. To bridge this gap, we introduce PHICO, a novel framework for human-AI cooperative classification that initially identifies a set of representative annotator profiles characterized by unique noisy label patterns. These patterns are then augmented to train personalised AI cooperative models, each tailored to an annotator profile. When these models are paired with human inputs that exhibit similar noise patterns from a corresponding profile, they consistently achieve a joint classification accuracy that exceeds those achieved by either AI or humans alone. We theoretically prove the convergence of PHICO, ensuring the reliability of the framework. To evaluate PHICO, we introduce novel measures for assessing human-AI cooperative classification and empirically demonstrate its generalisability and performance across diverse datasets including CIFAR-10N, CIFAR-10H, Fashion-MNIST-H, AgNews, and Chaoyang histopathology. PHICO is both a model-agnostic and effective solution for improving human-AI cooperation.

### 1 INTRODUCTION

**032 033 034 035 036 037 038** Determining the optimal human-AI cooperation mechanism is challenging [\(Dafoe et al., 2021\)](#page-9-0). Humans bring experience and contextual insights but are prone to biases; machine learning models excel in specific tasks but lack contextual understanding and complex reasoning [\(Holstein &](#page-10-0) [Aleven, 2021\)](#page-10-0). Many human-AI joint decision making strategies were proposed, e.g., learning to defer [\(Raghu et al., 2019;](#page-11-0) [Madras et al., 2018;](#page-11-1) [Mozannar et al., 2023\)](#page-11-2), learning to complement [\(Wilder](#page-12-0) [et al., 2021\)](#page-12-0), human-in-the-loop [\(Wu et al., 2022\)](#page-12-1), and algorithm-in-the-loop [\(Green & Chen, 2019\)](#page-9-1), seeking to blend the best of human and AI for optimal decision-making.

**039 040 041 042 043** We argue that effective human-AI joint decision-making depends on personalising machine learning (ML) models to the individual's behaviour patterns. While recent works have shown promising progress in incorporating human behaviours through behaviour models [\(Vodrahalli et al., 2022\)](#page-12-2) or confusion matrices [\(Kerrigan et al., 2021\)](#page-10-1), they rely on single global matrix and could not account for the varied biases and preferences among annotators [\(Kocielnik et al., 2019;](#page-10-2) [Wang et al., 2021\)](#page-12-3).

**044 045 046 047 048 049** Indeed, learning individual behavior patterns is challenging, as each person's data usually represents only a small portion of the total, making it insufficient to train personalised AI models [Johnson et al.](#page-10-3) [\(2021\)](#page-10-3). Beyond the scarcity of individual data, evaluating the effectiveness of various human-AI cooperation frameworks also poses difficulties. Traditional metrics such as accuracy fail to capture whether the ML model's alteration to human inputs improve or degrade performance, further complicating the assessment of cooperation effectiveness [Shneiderman](#page-12-4) [\(2022\)](#page-12-4).

**050 051 052 053** This paper addresses these research gaps with PHICO, a framework designed for personalised human-AI cooperative classification to achieve optimal performance (Figure [1\)](#page-1-0). More specifically, given a training dataset with noisy labels from multiple annotators, PHICO first identifies a set of annotator profiles, each characterized by distinct noisy labeling patterns. PHICO then augments these identified noisy label patterns to train personalised AI cooperative model, each optimized to



<span id="page-1-0"></span>Figure 1: Training and inference of PHICO.

effectively interact with its corresponding annotator profile. During testing, a new user undergoes a *user profiling* process, after which a suitable personalised AI cooperative model is selected for personalised human-AI cooperative classification.

We present both a theoretical proof of convergence and an empirical evaluation of PHICO, and introduce a novel assessment measure, *alteration rate*, which quantifies how the model positively or negatively alters labels from human and AI sources. Our empirical studies include both simulated and real multi-rater datasets across various modalities (images and texts) and domains (daily objects, news, and medical), including CIFAR-10N, CIFAR-10H, Fashion-MNIST-H, AgNews, and Chaoyang histopathology. The results show that PHICO is a model-agnostic human-AI cooperation framework outperforming both AI and human decisions alone, as well as state-of-the-art human-AI cooperation methods across various classification tasks. To summarise, our contributions are:

- The first human-AI cooperation framework that combines noisy label learning methods and personalised AI cooperative model.
- A new cooperative classification assessment measure, *alteration rate*, to quantify how the model positively or negatively alters labels from human and AI sources.
- A theoretical proof of convergence and empirical results demonstrating state-of-the-art performance across diverse datasets, including CIFAR-10N, CIFAR-10H, Fashion-MNIST-H, AgNews, and Chaoyang histopathology.

PHICO is model-agnostic and can be trained effectively with noisy labels from multiple raters without ground truth labels, making it a valuable and practical contribution to the ML community.

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### 2 RELATED WORK

**102 103 104 105 106** The conventional belief that automation lessens human control is under revision [\(Parasuraman et al.,](#page-11-3) [2000;](#page-11-3) [Committee, 2014\)](#page-9-2), as the uncertainties of automation often demand more human involvement, leading to new human-AI collaboration strategies [\(Strauch, 2018\)](#page-12-5). With AI models exceeding human accuracy in certain tasks, three new human-AI collaboration paradigms have emerged:

**107** Learning-to-assist approaches aim to support human decision-making with AI model predictions [\(Straitouri et al., 2023\)](#page-12-6). These approaches are commonly seen in critical domains, such as law [\(Liu](#page-11-4)

**108 109 110** [et al., 2021\)](#page-11-4) and medicine [\(Levy et al., 2021\)](#page-10-4), where humans make the final decision. Considerable work has been done to improve model explainability and transparency. [\(Tjoa & Guan, 2021\)](#page-12-7).

**111 112 113 114 115 116** Learning-to-defer methods allow AI models to autonomously manage confident cases and defer decisions to humans when confidence is low [\(Madras et al., 2018;](#page-11-1) [Mozannar et al., 2023;](#page-11-2) [Alves](#page-9-3) [et al., 2023\)](#page-9-3). These approaches focus on the optimization of a utility function that takes into account the accuracy of the AI model, the preference of the human decision maker, and the cost of deferring decisions. For example, [Raghu et al.](#page-11-0) [\(2019\)](#page-11-0) used an ensemble of AI models to predict the risk of patient death, and then defers decisions to a human expert for patients with the highest risk.

**117 118 119 120 121 122** Learning-to-complement models are optimized to leverage the strengths from both human and AI model to improve decision-making. For example, [Steyvers et al.](#page-12-8) [\(2022\)](#page-12-8) proposed a Bayesian framework for modeling human-AI complementarity. [Kerrigan et al.](#page-10-1) [\(2021\)](#page-10-1) used a calibrated confusion matrix to combine human and model predictions in a way that minimizes the expected loss. [Wilder et al.](#page-12-0) [\(2021\)](#page-12-0) consider the uncertainty from AI models and humans to jointly train a model that allocates tasks to the AI model or the human to maximize the overall accuracy.

**123 124 125 126** PHICO falls into the category of learning-to-complement and aims to utilise complementary strengths of both humans and AI. Unlike other approaches that rely on a single behavior model or a global confusion matrix for the entire dataset, PHICO takes a step further by identifying biases among annotators and personalizing the human-AI cooperation to account for these unique biases.

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### 2.1 EVALUATING HUMAN-AI COOPERATION

**130 131 132 133 134 135 136 137 138 139** Human-AI complementarity is defined by [Dellermann et al.](#page-9-4) [\(2021\)](#page-9-4) as leveraging the unique capabilities of both humans and AI to achieve better results than each one could achieve alone. However, assessing the interaction between humans and AI is complicated, and numerous benchmarks have been suggested in existing literature. In the context of learning-to-assist or learning-to-complement, traditional measures such as *accuracy*, *precision*, and *recall* are commonly used. For learning-todefer, measures such as *coverage* are proposed to evaluate the proportion of the data that is processed by the model alone [\(Raghu et al., 2019\)](#page-11-0). When dealing with noisy labels, additional measurements such as *label precision*, *label recall*, and *correction error* are also used [\(Song et al., 2022a\)](#page-12-9). As PHICO presents a new paradigm that combines decisions from humans and AI, we introduce new assessment measures to understand whether combination leads to better decisions.

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2.2 LEARNING FROM NOISY-LABEL (LNL) AND MULTI-RATER LEARNING (MRL)

**143 144 145 146 147 148 149 150 151 152 153** PHICO draws insights from the LNL and MRL community. LNL aims to design algorithms that are robust to the presence of noisy training labels. Recent advancements include DivideMix [\(Li et al.,](#page-10-5) [2020\)](#page-10-5) with its semi-supervised approach, ELR [\(Liu et al., 2020\)](#page-11-5) exploring early learning phenomena, C2D [\(Zheltonozhskii et al., 2022\)](#page-13-0) tackling the warm-up obstacle, and UNICON [\(Karim et al.,](#page-10-6) [2022\)](#page-10-6) with a unified supervised and unsupervised learning to handle noisy labels effectively. MRL trains models using noisy labels from multiple annotators per sample, which can mitigate the identifiability problem under certain conditions [\(Liu et al., 2023\)](#page-11-6). Key developments include MRNet [\(Ji](#page-10-7) [et al., 2021\)](#page-10-7), which addresses multi-rater disagreement, and Crowdlab [\(Goh et al., 2023\)](#page-9-5), designed to be model-agnostic. Despite improvements from LNL and MRL, an accuracy gap persists compared to training with clean labels. This has led to our personalized human-AI joint decision-making paradigm, which incorporates inputs from both humans and AI to make decisions.

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## 3 METHODOLOGY

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**158 159 160 161** PHICO is a model-agnostic human-AI cooperation framework designed to enhance the performance of human-AI joint decision making. In the following sub-sections, we first define the dataset notations in Section [3.1,](#page-3-0) explain the training process and convergence proof in Section [3.2,](#page-3-1) and outline the profiling and inference stages in Section [3.3.](#page-4-0) Section [3.4](#page-4-1) presents our proposed metrics for assessing personalised human-AI cooperation.

#### <span id="page-3-0"></span>**162 163** 3.1 DATASET NOTATION

**164 165 166 167 168 169 170 171 172 173 174** Let a multi-rater training set for a multi-class classification task be  $\tilde{\mathcal{D}} = \{(\mathbf{x}_i, {\{\tilde{\mathbf{y}}_{i,j}\}_{j \in \mathcal{A}}})\}_{i=1}^N$ where  $\mathbf{x}_i \in \mathcal{X}$  is a data sample,  $\tilde{\mathbf{y}}_{i,j} \in \mathcal{Y} \subset \{0,1\}^C$  is a one-hot vector for the C-class classification, representing the noisy-label provided by annotator  $j \in A$ . We assume that each data sample has a latent clean label denoted by  $y_i \in \mathcal{Y}$ , annotators' label noise is class-dependent (or asym-metric) [\(Song et al., 2022b\)](#page-12-10), and a consensus labelled training set denoted by  $\vec{\mathcal{D}} = \{(\mathbf{x}_i, \bar{\mathbf{y}}_i)\}_{i=1}^N$ . Note that a key challenge in most human-AI cooperation approaches is their dependence on ground truth labels, which are often hard to obtain. PHICO tackles this problem by using consensus labels, generated through methods like majority voting or expectation maximization [\(Sinha et al., 2018;](#page-12-11) [Ji](#page-10-7) [et al., 2021;](#page-10-7) [Warfield et al., 2004\)](#page-12-12), eliminating the need for ground truth. In our case, we utilize Crowdlab [\(Goh et al., 2023\)](#page-9-5) for its simplicity and superior performance in estimating consensus labels. We provide more details about estimating consensus labels in Appendix [A.](#page-14-0)

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#### <span id="page-3-1"></span>3.2 TRAINING OF PERSONALISED HUMAN-AI COOPERATIVE MODEL

**177 178 179** Figure [1](#page-1-0) shows the three steps for training PHICO: 1) identifying annotator profiles with distinct noisy-label patterns, 2) augmenting noisy labels for each profile, and 3) training personalized AI cooperative models using the augmented noisy labels. We explain each step below.

**180 181 182 183 184** Identifying annotator profiles: To identify a set of representative profiles, each with a distinct noisy label pattern, we first arrange the label sets from all annotators in a uniform format as equation [1.](#page-3-2) We take each annotator  $j \in A$  and each class  $c \in \{1, ..., C\}$  to build the set of sample labels that have consensus label c, with  $\mathcal{S}_{j}^{(c)} = {\{\tilde{\mathbf{y}}_{i,j} | (\mathbf{x}_i, \tilde{\mathbf{y}}_{i,j}) \in \tilde{\mathcal{D}}, c = \arg \max_{\tilde{c} \in \{1, ..., C\}} \bar{\mathbf{y}}_i(\tilde{c})\}}$ . We can then build the  $L \times C$  vector,

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<span id="page-3-2"></span> $\mathbf{s}_j = [l_1^{(1)},...,l_L^{(1)},...,l_1^{(C)},...,l_L^{(C)}]$  $\qquad \qquad (1)$ 

**187 188 189 190 191 192 193** for annotator  $j \in \mathcal{A}$  by randomly selecting L data samples for each class, where  $l_l^{(c)} =$  $\arg \max_{\tilde{c} \in \{1,...,C\}} \tilde{\mathbf{y}}_{i,j}(\tilde{c})$  with  $\tilde{\mathbf{y}}_{i,j} \in \mathcal{S}_j^{(c)}$  representing one of the noisy labels from  $\mathcal{S}_j^{(c)}$ . Each  $s_j$  may be different, but class order is preserved for all annotators. This process is repeated for all annotators to form the set  $\mathcal{L} = \{s_i\}_{i \in \mathcal{A}}$ . We identify representative annotator profiles within  $\mathcal{L}$ based on distinct noisy label patterns [\(Dehariya et al., 2010\)](#page-9-6), using Fuzzy K-Means for its robustness in handling noisy data [\(Xu et al., 2016\)](#page-13-1) with the optimal K determined by the silhouette score, which measures clustering quality (Appendix [B\)](#page-14-1). Each annotator is then assigned a profile.

**194 195 196 197 198 199 200 201 Noisy-label augmentation:** After identifying a set of K profiles, the original training set  $D$  is divided into K subsets  $\tilde{\mathcal{D}}_k \subset \tilde{\mathcal{D}}$ , each containing the users allocated to profile  $k \in \{1, ..., K\}$ . Since the data is divided, some subsets may be missing samples from the original set, as users may not have annotated all samples in  $\mathcal{D}$ . To address this, we propose a noisy label augmentation process that generates extra labels for each profile, enabling the training of  $K$  models. This label augmentation is obtained by sampling from the estimated profile-specific label transition matrix, mapping the consensus label to the noisy label. This approach captures the label biases in each profile, allowing the classifier to be trained to effectively handle these biases.

Assuming profile k from annotator subset  $A_k \subset A$ , k's label transition matrix  $\mathbf{T}_k \in [0,1]^{C \times C}$  is:

$$
\begin{array}{c} 203 \\ 204 \end{array}
$$

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<span id="page-3-3"></span> $\mathbf{T}_k(c,:)=\frac{1}{|\mathcal{A}_k|}$  $\sum$  $\tilde{\mathbf{y}}_i \in \left\{\mathcal{S}^{(c)}_j\right\}$  $j \in A_k$  $\tilde{\mathbf{y}}_i,$  (2)

**207 208 209 210 211 212 213 214 215** where  $\left\{ \mathcal{S}_{j}^{(c)}\right\}$ denotes the set of labels defined above (from samples with consensus label *c*, for  $j \in A_k$ all users in  $A_k$ ). Note that each element of the transition matrix for profile k from equation [2](#page-3-3) denotes the probability that a user in profile k flips from the consensus label  $Y = c$  to the noisy label  $Y = n$ , as in  $\mathbf{T}_k(c, n) = p(\tilde{Y} = n | \bar{Y} = c, R = k)$ , where R is the random variable for the user profile. For each data point  $x_i$  in  $\tilde{\mathcal{D}}_k$ , we take its consensus label c from  $\bar{\mathcal{D}}$  and the profile k's transition matrix  $\mathbf{T}_k$  from equation [2](#page-3-3) to generate G labels by sampling  $\{\hat{\mathbf{y}}_g\}_{g=1}^G \sim p(\tilde{Y}|\bar{Y}=c, R=k)$ , which represents the categorical distribution in row c of the transition matrix  $\mathbf{T}_k$ . The new noisylabel augmented training set for each profile k is denoted by  $\hat{\mathcal{D}}_k = \{ (\mathbf{x}, {\{\hat{\mathbf{y}}_g\}}_{g=1}^G) | (\mathbf{x}, {\{\tilde{\mathbf{y}}_j\}}_{j=1}^{A_k}) \in$  $\tilde{\mathcal{D}}_k, {\{\hat{\mathbf{y}}_g\}}_{g=1}^G \sim p(\tilde{Y}|\bar{Y}=c, R=k) \}.$ 

**216 217 218 219 220 221 222 223 224 225** Training personalised human-AI cooperative model: With the annotator profiles and their augmented noisy labels, we can now formulate the training of the personalised AI cooperative model. The proposed model (top-right of Figure [1\)](#page-1-0) has three components: 1) a base model that transforms input data into a logit with  $f_{\psi_k}: \mathcal{X} \to \mathbb{R}^C$ ; 2) a human label encoder that takes the one-hot user provided noisy label and transforms it into a logit with  $h_{\phi_k} : \mathcal{Y} \to \mathbb{R}^C$ ; and 3) a decision model that takes the model's and human's logits to output a categorical distribution with  $d_{\zeta_k} : \mathbb{R}^C \times \mathbb{R}^C \to \Delta^{C-1}$ . The base model  $f_{\psi_k}(\cdot)$  learns the features of the data, the human label encoder model  $h_{\phi_k}(.)$  aims to discover the label biases of user profile  $k$ , and  $d_{\zeta_k}(.)$  aims to model the joint label noise distribution between the base model and human label encoder to make  $m_{\theta_k}(\mathbf{x}, \hat{\mathbf{y}})$ robust to label noise. The whole model  $m_{\theta_k}: \mathcal{X} \times \mathcal{Y} \to \Delta^{C-1}$  is defined as:

<span id="page-4-2"></span>
$$
m_{\theta_k}(\mathbf{x}, \hat{\mathbf{y}}) = d_{\zeta_k}(f_{\psi_k}(\mathbf{x}) \oplus h_{\phi_k}(\hat{\mathbf{y}})),
$$
\n(3)

**228 229 230 231** where  $\theta_k = \{\psi_k, \phi_k, \zeta_k\}$ , and  $\oplus$  represents the concatenation operator. The base model  $f_{\psi_k}(.)$ could use a different architecture, provided it is trained on  $\bar{\mathcal{D}}$ . Similarly,  $h_{\phi_k}(.)$  and  $d_{\zeta_k}(.)$  can be of different architectures; we configured them as a two-layer and three-layer multi-layer perceptron, respectively, with ReLU activations. The model in equation [3](#page-4-2) is trained as:

<span id="page-4-3"></span>
$$
\{\theta_k^*\}_{k=1}^K = \arg \min_{\{\theta_k\}_{k=1}^K} \frac{1}{K \times |\hat{\mathcal{D}}_k| \times G} \times \sum_{k=1}^K \sum_{(\mathbf{x}_i, \{\hat{\mathbf{y}}_{i,g}\})_{g=1}^G} \ell(\bar{\mathbf{y}}_i, m_{\theta_k}(\mathbf{x}_i, \hat{\mathbf{y}}_{i,g})) + \lambda \times \ell(\hat{\mathbf{y}}_{i,g}, (\mathbf{T}_k)^T \times m_{\theta_k}(\mathbf{x}_i, \hat{\mathbf{y}}_{i,g}))\},
$$
\n(4)

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**238 239 240** where  $\bar{y}_i$  is the consensus label from  $\bar{\mathcal{D}}$ ,  $\ell(.)$  is the cross-entropy loss,  $\lambda \in [0,\infty]$  is a hyperparameter, and the second loss term is motivated by the forward correction procedure proposed by [Patrini et al.](#page-11-7) [\(2017\)](#page-11-7), transforming the clean label prediction from  $m_{\theta_k}(.)$  into the noisy ones in  $\hat{\mathcal{D}}_k$ .

Theoretical proof of PHICO convergence: In the Appendix [D,](#page-17-0) we prove the convergence of the key steps PHICO, namely, the Fuzzy K-Means clustering used to identify annotator profiles, the training of the personalized human-AI cooperative models, and the integration of these two steps.

#### <span id="page-4-0"></span>3.3 USER PROFILING FOR PERSONALISATION

**248 249 250 251 252** Once the models are trained, PHICO achieves personalisation during the testing by first matching the new user to one of the learned personalised AI cooperative models, after which they perform human-AI cooperative classification. The matching process, which we name *user profiling*, has two steps: 1) classifying the testing user into one of the  $K$  profiles, to enable the matching of the user to its personalized classifier  $m_{\theta_k}(.)$  and 2) setting an entry condition based on a comparison between the accuracy of the testing user and the base model  $f_{\psi_k}(.)$ .

**253 254 255 256 257 258** The classifier used in the first step is trained with samples that consist of randomly collected labels of  $M$  training samples for each of the  $C$  classes (estimated from the consensus labels), from users belonging to each of the K profiles. This forms multiple vectors of size  $M \times C$ , which have the structure defined in equation [1,](#page-3-2) where each of those vectors is labelled with the user's profile. We then train a one-versus-all (OVA) support vector machine (SVM)  $K$ -class classifier.

**259 260 261 262** To classify a testing user into one of the  $K$  profiles, we first ask the user to label each image in a validation set,  $V = \{(\mathbf{x}_i, \mathbf{y}_i)\}_{i=1}^{M \times C}$ , which contains images not used in the training or testing sets. Using these labels, we build an  $\overline{M} \times \overline{C}$  vector, which is then processed by the OVA SVM classifier to determine the user's profile.

**263 264 265** In the second step, we compare the base model and testing user accuracies on the validation set V. The model  $m_{\theta_k}$  (.) is used only if the base model  $f_{\psi_k}$  (.) performs better [\(Steyvers et al., 2022\)](#page-12-8).  $m_{\theta_k}(.)$  is evaluated on the test set  $\mathcal{T} = \{(\mathbf{x}_i, \mathbf{y}_i)\}\,$  with no overlap with training or validation images.

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#### <span id="page-4-1"></span>3.4 NEW METRICS FOR PERSONALISED HUMAN-AI COOPERATIVE CLASSIFICATION

**269** Our new evaluation criteria assesses the impact of the model's label alterations on user performance. We first define the positive and negative alteration measures:

$$
^{271}_{272} \qquad \text{Positive} \qquad \qquad ; A_{+}
$$

$$
\begin{array}{ll}\n\text{272} & \text{Positive} \\
\text{273} & \text{Alternation} \\
\end{array} \text{ : } A_+ = \frac{1}{|\mathcal{T}| \times |\mathcal{A}|}
$$

<span id="page-5-0"></span>
$$
\begin{array}{ll}\n\text{Negative} & \text{if } i \in \{1, j=1, j=1, j \neq j\} \\
\text{Negative} & \text{Alternative} \\
\text{Alternative} & \text{Alternative} \\
\text
$$

 $\ddot{\mathbf{y}}_{i,j} = \bar{\mathbf{y}}_i$  $\tilde{\mathbf{y}}_{i,j} \neq \bar{\mathbf{y}}_i$  <span id="page-5-1"></span>Positive Alteration Rate

:  $R_{A_{+}} = \frac{A_{+}}{A_{-}}$ 

 $A_+ + A_-$ 

(6)

 $\sum_{\lambda}^{|\mathcal{T}|,|\mathcal{A}|}$ 

**278 279 280 281 282** where  $\ddot{y}_j =$  OneHot $(m_{\theta_k}(x, \tilde{y}_j))$ , with the function OneHot :  $\Delta^{C-1} \to Y$  returning a one-hot label representing the class with the largest prediction from the model  $m_{\theta_k}(.)$ . In equation [5,](#page-5-0)  $A_+$ quantifies the effectiveness of the model to correct users' labels, where the user provided incorrect labels. In contrast,  $A_$ , in equation [5,](#page-5-0) measures the proportion where the user had a correct label that was subsequently misclassified by the model.

Aligning with that,  $R_{A_{+}}$  and  $R_{A_{-}}$  in equation [6](#page-5-1) measure positive and negative alteration rates, respectively. Hence, an effective model should have high  $R_{A_+}$ , low  $R_{A_-}$ , and a high post-alteration accuracy, i.e. the accuracy after the label alteration by the personalised AI cooperative model.

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### <span id="page-5-2"></span>4 EXPERIMENTS

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<span id="page-5-3"></span>4.1 DATASETS

**291 292 293 294 295 296 297 298 299 300 301** CIFAR-10 includes 50,000 training, 200 validation, and 9,800 testing class-balanced color images, each sized  $32 \times 32$ , with 10 classes. CIFAR-10N extends CIFAR-10's training set via crowd-sourced labeling to 747 annotators, with each image having three labels from different annotators. **CIFAR-**10H expands CIFAR-10's testing set via crowd-sourcing to 2571 annotators, resulting in an average of 51 labels per image. Fashion-MNIST-H extends [Xiao et al.'](#page-13-2)s Fashion-MNIST's testing set to multiple annotations from 885 annotators, averaging 66 labels per image. We use the crowd-sourced testing set as the training set, with 200 images from the original training set allocated for validation and the remainder for testing. AgNews is a text classification dataset with 120,000 training, 200 validation, and 7,400 testing news articles across 4 classes. Lastly, Chaoyang is a pathological dataset with 4021 training, 80 validation, and 2059 testing images, each having three expert labels in the training set. More details about datasets can be found in Appendix [C.1.](#page-15-0)

**302 303 304 305 306 307 308 309 310 311 312** Setup on datasets with simulated annotators: On CIFAR-10, a pairwise flipping experiment is conducted where 8 out of 10 classes have clean labels, but in two classes, 80% of samples have labels flipped. Three user profiles are simulated by flipping labels between classes airplane $\leftrightarrow$ bird, another profile that flips horse↔deer, and the other flips truck↔automobile. This results in 15 unique users (5 for each profile) for training and testing. For AgNews, pairwise flipping occurs on two out of four classes, with 80% of samples flipped. Three user profiles are simulated, one that flips between classes business↔science/technology, another that flips world↔sports, and the third that flips sports $\leftrightarrow$ business. resulting in 15 unique users (with 5 for each profile) for training and testing. Both datasets use  $D$  for training OVA SVM with automatically chosen K profiles based on silhouette score in equation [8.](#page-14-2) ResNet-18 [He et al.](#page-9-7) [\(2016\)](#page-9-7) and Bert-Base-Uncased [Devlin et al.](#page-9-8) [\(2018\)](#page-9-8) models are used as  $f_{\psi_k}(.)$  in training  $m_{\theta_k}(.)$  in equation [3](#page-4-2) for each profile k with CIFAR-10 and AgNews respectively. More details on the simulation setup is in Appendix [C.](#page-15-1)

**313 314 315 316 317 318 319 320 321 322 323** Setup on datasets with real annotators: for CIFAR-10N training, we conduct two experiments. In the first experiment, the labels from 747 annotators form  $\hat{D}$ . Of these, 155 annotators who labeled at least 20 images per class are selected, split into 79 training users and 80 testing users. The training users' labels are used to build  $K$  profiles where  $K$  is automatically chosen based on the silhouette score in equation [8,](#page-14-2) and train the OVA SVM classifier. During testing, noisy-label transition matrices are estimated using annotator labels and consensus labels for each testing user, resulting in 80 noisy test sets. In the second CIFAR-10N experiment, CIFAR-10H is used as the testing set without modification. Noise transition matrices are estimated and used to simulate noisy annotations for each testing user, resulting in unique noisy test sets for all 2571 users. For Fashion-MNIST-H, labels from 885 annotators form  $\overline{D}$ . 366 annotators who labeled at least 20 images per class are selected, split into 183 training and 183 testing users. Similar to CIFAR-10N, noisy-label transition matrices are estimated for testing users, producing 183 noisy testing sets. Chaoyang dataset has

**324 325 326 327** three annotators per image, forming  $\hat{\mathcal{D}}$ . Training users are used to build K profiles and train an OVA SVM classifier. During testing, noisy-label transition matrices are estimated, resulting in three noisy test sets. Details on experiment setup, data preparation, and implementation are in Appendix [C.](#page-15-1)

**328 329 330 331 332 333** Backbone models and training details: Our experiments use various backbone models to showcase robustness, including ViT-Large-16, DenseNet-121, and ResNet-50. Data augmentation policy by [Cubuk et al.](#page-9-9) [\(2019\)](#page-9-9) was adopted for CIFAR-10 and [Cubuk et al.](#page-9-10) [\(2020\)](#page-9-10) for Fashion-MNIST datasets, while Chaoyang is limited to random horizontal and vertical flips. Pre-trained models are employed for their robustness to noisy labels [\(Jiang et al., 2020\)](#page-10-8). We use Adam and NAdam optimizers to train  $f_{\psi_k}(.)$  and  $m_{\theta_k}(.)$ . Implementation is in PyTorch, running on an NVIDIA RTX 4090 GPU.

### <span id="page-6-2"></span>4.2 RESULTS

**336 337 338 339 340 341 342 343 344 345 346 347 348 349** that shows positive and negative alteration as who (do not)meet entry condition. computed in equation [5](#page-5-0) and alteration rates from equation [6](#page-5-1) for  $K$  selected from the silhouette score in equation [8.](#page-14-2) The shaded rows in Table [1](#page-6-0) contrast testing users who met the entry condition (see second step in Section [3.3\)](#page-4-0), against all testing users in the unshaded rows (note: for the CIFAR10 simulation, the two sets are the same since all users met the condition). Note that Table [2](#page-6-1) shows results for profiled users from the shaded rows of Table [1.](#page-6-0)

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**351 352 353 354 355 356 357 358 359 360 361** annotators: The first and second rows from on-boarded users of Table [1.](#page-6-0) of Table [1](#page-6-0) detail the number of testing users that improved (I), maintained (M), or did not improve (NI) with PHICO in the CIFAR-10 and AgNews simulations. The accompanying comparison between original and post-alteration accuracy is reported in the last two columns. Note that in Table [1,](#page-6-0) all 15 users improved, with the average accuracy after alteration surpassing the original accuracy in both datasets. In Table

Table [1](#page-6-0) displays the post-alteration accuracy, Table 1: Number of users who improved (I), mainprovided by PHICO, with respect to the orig-tained (M) or did not improve (NI) and Initial accuracy inal accuracy of users, followed by Table [2](#page-6-1) vs accuracy after alterations. (Un)shaded rows: users

<span id="page-6-0"></span>

Results of datasets with simulated Table 2: Positive and negative alterations and rates

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**362 363 364** [2,](#page-6-1) a large  $A_+$  contrasts with a low  $A_-$ , emphasizing a high proportion of  $R_{A_+}$  and a low proportion of  $R_{A_{-}}$ . Notably, the noise matrices estimated for  $K = 3$  in figures [9](#page-24-0) and [10](#page-24-1) closely resemble those used to simulate 15 users in figures [3](#page-16-0) and [2,](#page-15-2) which confirms the estimated  $K = 3$  in Tables [1](#page-6-0) and [2.](#page-6-1)

**365 366 367 368 369 370 Results of datasets with real annotators:** According to Table [1,](#page-6-0) all users who were profiled and met entry condition in every experiment, improved their accuracy with PHICO. Even considering all users, the method tends to improve the performance of the majority. Table [1](#page-6-0) shows that the accuracy after alterations for profiled users in CIFAR-10N, CIFAR-10H, Fashion-MNIST-H and Chaoyang increase by approximately 18%, 5%, 30%, 7%, respectively. Table [2](#page-6-1) shows that PHICO has high positive alteration rates for profiled users compared to negative alteration rates.

**371 372 373 374 375** Appendix [E](#page-19-0) presents standard deviation and 95% confidence values for post-alteration accuracy at automatically selected  $K$  for all datasets, showing a significant improvement in user accuracy in all datasets. Additionally, Table [11](#page-20-0) in Appendix [F](#page-20-1) highlights effective joint decision-making, even when both human and base model are incorrect, showcasing the capacity to learn joint biases. A simple attempt to model interpretability is discussed in Appendix [G](#page-20-2) using CIFAR-10 simulation.

**376 377** Comparison with related methods: In Table [3,](#page-7-0) we compare our results with the following competing methods proposed in literature: [Raghu et al.](#page-11-0) [\(2019\)](#page-11-0) which defers to humans when the classifier's error probability is high, [Madras et al.](#page-11-1) [\(2018\)](#page-11-1) blending human and AI insights, [Okati et al.](#page-11-8) [\(2021\)](#page-11-8)

**379 380** Table 3: Comparison of PHICO against proposed meth-Table 4: Comparing PHICO to LNL and ods in literature.

<span id="page-7-1"></span>MRL methods with asymmetric label noise 10%, 30%, 40% on CIFAR-10, referencing accuracy from [Karim et al.;](#page-10-6) [Zheltonozhskii](#page-13-0) [et al.](#page-13-0)



**395 396 397 398 399 400 401** refining the classifier to outperform humans and using a post-hoc rejector to decide who is more likely to err on individual case and [Mozannar & Sontag](#page-11-9) [\(2020\)](#page-11-9), [Verma & Nalisnick](#page-12-13) [\(2022\)](#page-12-13), [Mozan](#page-11-2)[nar et al.](#page-11-2) [\(2023\)](#page-11-2) which propose surrogate loss functions to better align the optimisation with deferral goals. The comparison involves training models *with* and *without* ground truth, assessed by accuracy against test set ground truth annotations (see Table [3\)](#page-7-0). When trained without ground truth, the training set consensus  $\bar{y}$  is used. Remarkably, our models trained *without* ground truth outperform those trained *with* ground truth.

**402 403 404 405 406 407 408 409 410 411 412 413** Table [4](#page-7-1) shows a comparison between PHICO and LNL and MRL methods on CIFAR-10, following [Karim et al.](#page-10-6) [\(2022\)](#page-10-6) using a Vit-Base-16 backbone pre-trained on ImageNet-21K. In this experiment, we simulate six users, each introducing a 10% asymmetric noise in three class pairs (Airplane $\leftrightarrow$ Bird, Truck $\leftrightarrow$ Automobile, and Horse $\leftrightarrow$ Deer). Subsequently, we trained and evaluated PHICO with  $K = 3$ . The same experiment was repeated for 30% and 40% noise rates. This comparison uses the cross entropy (CE) baseline and the following LNL methods: DMix [\(Li et al.,](#page-10-5) [2020\)](#page-10-5) based on semi-supervised learning, ELR [\(Liu et al., 2020\)](#page-11-5) exploring a regularised loss, C2D [\(Zheltonozhskii et al., 2022\)](#page-13-0) addressing the warm-up obstacle, JPL [\(Kim et al., 2021\)](#page-10-9) exploring negative learning, MOIT [\(Ortego et al., 2021\)](#page-11-10) combining contrastive and semi-supervised learning, and UNICON [\(Karim et al., 2022\)](#page-10-6) providing a unified framework for supervised and unsupervised learning. We also include the following MRL methods in the comparison: [Goh et al.](#page-9-5) [\(2023\)](#page-9-5) exploring a majority voting followed by ensemble method to reach consensus, and [Sinha et al.](#page-12-11) [\(2018\)](#page-12-11) introducing a rapid vote aggregation method for consensus labelling based on expectation maximization.

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#### **416 417** 5 ABLATION STUDIES

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**419 420 421 422 423 424 425 426 427 428 429 430 431** We report the results and main conclusions of the ablation study, where details can be found in the cited appendices. We study the effect of noisy label augmentation in Table [5](#page-8-0) (details explained in Appendix [K\)](#page-26-0), which evaluates post alteration accuracy against augmentation times  $G \in \{0, 1, 3, 5\}$ , where results show a large accuracy increase from  $G = 0$  to  $G = 1$  and a steady improvement for  $G > 1$ . Next, we evaluate different backbone models, including DenseNet-121, ResNet-50 and ViT/B-16. Results in Table [8](#page-8-1) show consistent improvement across all backbones while remaining agnostic to the backbone model. Additionally, comparison to related methods confirms our superior performance across different backbone models in Table [7](#page-8-2) (see details in Appendix [J\)](#page-26-1). Table [9](#page-8-3) performs an ablation study by varying asymmetric noise rates (40%, 60%, 80%, 90%) on CIFAR-10 simulations (details in Appendix [I\)](#page-25-0), showcasing the robustness of our approach with accuracy above 86% in all noise rates. Table [6](#page-8-4) (and Appendix [H\)](#page-23-0) shows the variation in post-alteration accuracy for higher  $K \in 1, 2, 3, 6, 10$  with CIFAR-10N. Increasing K from 1 to 3 improves accuracy, but it declines for  $K > 3$  due to fewer users per profile. Additional experiments on positive and negative alterations around the optimal  $K$  are shown in Tables [16](#page-25-1) and [17.](#page-25-2) Appendix [L](#page-26-2) explores the effect of  $\lambda$  in the loss function equation [4,](#page-4-3) with  $\lambda = 0.1$  yielding the best accuracy.

hyper-parameter G.

| G | Post-alt.<br>acc. | A+     | А-     | $RA+$  | RA-    |
|---|-------------------|--------|--------|--------|--------|
| 0 | 0.6148            | 0.4113 | 0.3015 | 0.5770 | 0.4229 |
|   | 0.9889            | 0.9530 | 0.0040 | 0.9958 | 0.0042 |
| 3 | 0.9891            | 09541  | 0.0040 | 0.9958 | 0.0042 |
| 5 | 0.9892            | 0.9522 | 0.0035 | 0.9963 | 0.0037 |

<span id="page-8-4"></span>Table 6: Performance on CIFAR-10N as a function of the number of clusters  $V$ 



<span id="page-8-0"></span>Table 5: Performance on CIFAR-10N [K=2] Table 7: Comparison between HAICO-CN and as a function of the noisy label augmentation competing methods in the literature with different base models using CIFAR-10N.

<span id="page-8-2"></span>

| Method                   | ResNet50          | ViTR16               |        |  |  |  |  |  |  |
|--------------------------|-------------------|----------------------|--------|--|--|--|--|--|--|
|                          | With Ground Truth |                      |        |  |  |  |  |  |  |
| Madras et al. (2018)     | 0.8508            | 0.8412               | 0.8307 |  |  |  |  |  |  |
| Raghu et al. (2019)      | 0.8707            | 0.8281               | 0.9703 |  |  |  |  |  |  |
| Mozannar & Sontag (2020) | 0.8514            | 0.8502               | 0.9489 |  |  |  |  |  |  |
| Okati et al. (2021)      | 0.8103            | 0.8021               | 0.9402 |  |  |  |  |  |  |
| Verma & Nalisnick (2022) | 0.7008            | 0.6332               | 0.9588 |  |  |  |  |  |  |
| Mozannar et al. (2023)   | 0.7822            | 0.8496               | 0.9479 |  |  |  |  |  |  |
|                          |                   | Without Ground Truth |        |  |  |  |  |  |  |
| Madras et al. (2018)     | 0.8427            | 0.8474               | 0.8605 |  |  |  |  |  |  |
| Raghu et al. (2019)      | 0.8316            | 0.8788               | 0.9668 |  |  |  |  |  |  |
| Mozannar & Sontag (2020) | 0.7030            | 0.8489               | 0.9254 |  |  |  |  |  |  |
| Okati et al. (2021)      | 0.8003            | 0.7055               | 0.8811 |  |  |  |  |  |  |
| Verma & Nalisnick (2022) | 0.6241            | 0.5932               | 0.9450 |  |  |  |  |  |  |
| Mozannar et al. (2023)   | 0.6588            | 0.8470               | 0.9446 |  |  |  |  |  |  |
| Ours                     | 0.9677            | 0.9686               | 0.9891 |  |  |  |  |  |  |

<span id="page-8-1"></span>Table 8: Ablation with CIFAR-10N using different backbone Table 9: Performance on CIFAR-

<span id="page-8-3"></span>10 as a function of noise rate

| models as the base model $f_{\psi_k}(.)$ . |                      |                   |        |        |        |        | 10 as a function of noise rate |                      |                           |  |  |
|--|----------------------|-------------------|--------|--------|--------|--------|--------------------------------|----------------------|---------------------------|--|--|
| Backbone<br>Model                          | Original<br>Accuracy | Post-alt.<br>acc. | A+     | А-     | $RA+$  | $RA-$  | Asymmetric<br>Noise Rate       | Original<br>Accuracy | Post alt.<br>acc. $(K=3)$ |  |  |
| ResNet-50                                  | 0.8461               | 0.9677            | 0.8623 | 0.0131 | 0.9849 | 0.0150 | 40%                            | 0.9198               | 0.9923                    |  |  |
| DenseNet-<br>121                           | 0.8464               | 0.9686            | 0.8535 | 0.0105 | 0.9878 | 0.0122 | 60%<br>80%                     | 0.8800<br>0.8400     | 0.9678<br>0.8788          |  |  |
| $Vit/B-16$                                 | 0.8365               | 0.9891            | 0.9541 | 0.0040 | 0.9958 | 0.0042 | 90%                            | 0.8202               | 0.8684                    |  |  |

#### 6 DISCUSSION

**460 461 462 463 464 465 466 467 468** An intriguing aspect of PHICO is its capability to correct errors even when both humans and AI models make mistakes. Sec. [4.2](#page-6-2) and Appendix [F](#page-20-1) suggest it happens from the personalised AI cooperative model that associates noisy labelling patterns of raters and the AI model to the correct label. A necessary condition for this to happen is to prove that  $P(C|\neg A, \neg B) > 0$ , where A represents the event that the base model provides a correct prediction,  $B$  denotes the event that the human provides a correct label, and  $C$  is the event that our joint decision model produces a correct classification. Assuming that the base model and humans can make mistakes, and that events A and B are independent (and also independent given C), we trivially have:  $P(C|\neg A, \neg B) = \frac{P(\neg A, \neg B|C) \cdot P(C)}{P(\neg A, \neg B)}$  $\frac{(1-P(A|C)).(1-P(B|C)).P(C)}{(1-P(A))(1-P(B))} > 0$  because  $0 < P(B|C), P(A|C), P(A), P(B), P(C) < 1.$ 

**469 470 471 472 473 474 475** Future work for PHICO includes addressing the complexity of human-AI cooperation, where interactions may change human behavior over time. While PHICO currently doesn't account for this dynamic, it could be adapted by regularly updating user's assigned profile to reflect evolving interactions and noisy patterns. Additionally, we will aim to create a more efficient few-shot profiling process and extend PHICO for multi-label classification, building on insights from [Li et al.](#page-10-10) [\(2022\)](#page-10-10); [Kye et al.](#page-10-11) [\(2022\)](#page-10-11). Enhancing privacy in learned profiles through local differential privacy [Yang et al.](#page-13-3) [\(2022\)](#page-13-3) is also a key direction for future work.

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### 7 CONCLUSIONS

**479 480 481 482 483 484 485** We introduced PHICO, a novel human-AI cooperation framework that integrates noisy label learning methods with personalized AI cooperative models. Through both a theoretical convergence proof and an empirical evaluation across diverse datasets, including CIFAR-10N, CIFAR-10H, Fashion-MNIST-H, AgNews, and Chaoyang histopathology, we demonstrated the robustness and effectiveness of PHICO. We also proposed a new measure, the alteration rate, to quantify the impact of PHICO on label modifications from both human and AI sources. With its model-agnostic design and the ability to manage multi-rater datasets without ground truth labels, PHICO offers an effective solution to human-AI cooperation tasks.

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<span id="page-12-14"></span><span id="page-12-13"></span><span id="page-12-12"></span><span id="page-12-11"></span><span id="page-12-10"></span><span id="page-12-9"></span><span id="page-12-8"></span><span id="page-12-7"></span><span id="page-12-6"></span><span id="page-12-5"></span><span id="page-12-4"></span><span id="page-12-3"></span><span id="page-12-2"></span><span id="page-12-1"></span><span id="page-12-0"></span>

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<span id="page-14-0"></span>**783 784 785 786 787 788 789** Many multi-rater input datasets lack ground truth labels. To address this, PHICO is built to function effectively without relying on them. During training, we use Crowdlab [\(Goh et al., 2023\)](#page-9-5) to estimate a consensus label  $\bar{y}_i$ , which approximates the true clean label  $y_i$ . Crowdlab works in two steps. In the first step, it estimates a consensus by majority vote  $\bar{y}'_i$  per training sample. In the second step, it trains a classifier using the initial consensus and obtains predicted class probabilities for each training example. After that, Crowdlab uses these predicted probabilities along with the original annotations from raters to estimate a better consensus, creating the following ensemble,

$$
\bar{\mathbf{y}}_i = \mathbf{w}_{\gamma} \times f_{\gamma}(\mathbf{x}_i) + \mathbf{w}_1 \times \tilde{\mathbf{y}}_{i,1} + \dots + \mathbf{w}_{|\mathcal{A}|} \times \tilde{\mathbf{y}}_{i,|\mathcal{A}|},\tag{7}
$$

**794** where  $f_\gamma: \mathcal{X} \to \Delta^{C-1}$  is a classifier trained with the majority vote label  $\bar{\mathbf{y}}'_i$  to output a categorical distribution for C classes, and the weights  $w_{\gamma}, w_1, ..., w_{|\mathcal{A}|}$  are assigned according to an estimate of how trustworthy the model is, compared to each individual annotator. The outcome of Crowdlab is a consensus labelled training set denoted by  $\bar{\mathcal{D}} = \{(\mathbf{x}_i, \bar{\mathbf{y}}_i)\}_{i=1}^N$ . Note that the consensus label is necessary only when the clean label  $y_i$  is latent. If such clean label is observed, then Crowdlab is no longer needed, and PHICO can be trained with  $\mathcal{D} = \{(\mathbf{x}_i, \mathbf{y}_i)\}_{i=1}^N$ .

### <span id="page-14-1"></span>B DECIDING THE OPTIMAL NUMBER OF PROFILES

We determine the optimal number of profiles  $K$  with the silhouette score defined by,

<span id="page-14-2"></span>
$$
S_k = \frac{1}{|A|} \sum_{j \in \mathcal{A}} \frac{b(\mathbf{s}_j) - a(\mathbf{s}_j)}{\max\{a(\mathbf{s}_j), b(\mathbf{s}_j)\}},\tag{8}
$$

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**806 807 808 809** where  $a(s_i)$  denotes the sample's intra-profile distance (i.e., the average L2 distance to all other points in the same profile),  $b(s_j)$  represents the inter-profile distance (i.e., the lowest average L2 distance to all points in any other profile). The mean silhouette score for  $K$  profiles is defined by  $S(K) = \frac{1}{K} \sum_{k=1}^{K} S_k$ . The optimal number of profiles for the dataset is identified by selecting K that yields the highest silhouette score.

#### **810 811** C EXPERIMENTAL SETUP

### <span id="page-15-1"></span><span id="page-15-0"></span>C.1 DATASETS

**815 816 817 818 819 820 821 822 823 824 825 826 827 828 829 830** CIFAR-10 comprises 50,000 training, 200 validation, and 9,800 testing class-balanced color images, each sized  $32 \times 32$ , and has 10 classes. CIFAR-10N extends the training set of CIFAR-10 by crowdsourcing its labelling to 747 annotators, where each image has three labels produced by different annotators. The majority of annotators provided 200 labels. CIFAR-10H extends the CIFAR-10 testing set by crowd-sourcing it to 2571 annotators, each contributing with 210 labels. The resulting label set contains an average of 51 labels per image. Fashion-MNIST [\(Xiao et al., 2017\)](#page-13-2) comprises 60,000 training samples, and 10,000 testing samples with class-balanced images (belonging to one of 10 classes) of size  $28 \times 28$  pixels. Fashion-MNIST-H [\(Ishida et al., 2023\)](#page-10-12) extends the Fashion-MNIST's testing set of 10,000 images by crowd-sourcing them to 885 annotators. The resulting label set contains an average of 66 labels per image. We train the model using **Fashion-MNIST-H**'s annotations on Fashion-MNIST's test set, utilizing its 10,000 test images for training and splitting the original training set into 200 validation and 59,800 test images. AgNews is a text classification dataset comprising 120,000 training, 200 validation and 7,400 testing class-balanced news articles categorized into 4 classes. Lastly, Chaoyang is a pathological dataset featuring four classes of images, having a training set of 4021 images, a validation set with 80 images, and a testing set of 2059 images. Notably, each image in the training set is labeled by three experts, resulting in three labels per image, and the testing set presents a single consensus label.

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#### C.2 DATASET WITH SIMULATED ANNOTATORS

**834 835 836 837 838 839 840 841 842 843** The simulation experiments on CIFAR-10 consists of a pairwise flipping experiment, where 8 out of 10 classes have 100% of clean labels, but in two classes, 80% of samples have labels flipped to the incorrect class. We simulate three profiles of users, one that flips 80% of the samples between classes airplane $\leftrightarrow$ bird, another profile that flips horse $\leftrightarrow$ deer, and the other profile that flips truck $\leftrightarrow$ automobile. For each profile, we simulate five training and five testing users, producing a total of  $5 \times 3 = 15$  unique users for training and another 15 users for testing. The training images, together with the 15 labels/image by the training users, will form  $D$  and it is used to build K profiles and train the OVA SVM, where  $K$  is automatically chosen based on the silhouette score in equa-tion [8.](#page-14-2) The model for each profile k,  $m_{\theta_k}$ . in equation [3,](#page-4-2) uses a ResNet-18 [He et al.](#page-9-7) [\(2016\)](#page-9-7) as  $f_{\psi_k}$ (.) Figure [3](#page-16-0) shows the noise matrices used for simulating CIFAR-10 users.

**844 845 846 847 848 849 850 851 852** For AgNews, we adopted a pairwise flipping on two out of four classes, where 80% of samples are flipped to the incorrect class while the remaining 2 classes have  $100\%$  clean labels. We simulate three profiles of users, one that flips between classes business↔science/technology, another profile that flips world↔sports, and the third profile that flips sports↔business. Five training and five testing users are simulated for each profile producing a total of 15 unique users for training and another 15 for testing. The training articles together with 15 training labels/article make up  $\mathcal D$  which is used to make  $K$  profiles and train OVA SVM, where  $K$  is automatically chosen based on the silhouette score in equation [8.](#page-14-2) A Bert-Base-Uncased [Devlin et al.](#page-9-8) [\(2018\)](#page-9-8) model is used as  $f_{\psi_k}(.)$ when training  $m_{\theta_k}(\cdot)$  in equation [3](#page-4-2) for each profile k. The figure [2](#page-15-2) shows the noise matrices used for simulating AgNews users.



<span id="page-15-2"></span>Figure 2: Noise matrices used for simulating users with AgNews.



<span id="page-16-0"></span>Figure 3: Noise matrices used for simulating users with CIFAR-10.

#### C.3 DATASET WITH REAL ANNOTATORS

**882 883 884 885 886 887 888 889** When training with CIFAR-10N, we present two experiments. For the first experiment, the labels from 747 annotators form  $\overline{D}$ . Out of them, 155 were identified for having annotated at least 20 images per class, and they were split in half, taking 79 as training users and 80 as testing users. The training users' labels are used to build the K profiles and train the OVA SVM classifier, where K is automatically chosen based on the silhouette score in equation [8.](#page-14-2) During testing, a testing user's noisy-label transition matrix is estimated using the annotator's labels and consensus labels. This matrix is used to simulate noisy annotations from that testing user. Therefore, 80 noisy test sets are produced, with each representing the biases that each user possesses. The model for each profile  $k$ , denoted by  $m_{\theta_k}(.)$ , uses ViT-Base-16 [\(Dosovitskiy et al., 2020\)](#page-9-11) as the backbone for  $f_{\psi_k}(.)$ .

**890 891 892 893 894 895** For the second CIFAR-10N experiment, we use CIFAR-10H as the testing set, where the labels from testing users were used without any modification for user profiling. The same labels were used to estimate a noise transition matrix and simulate their own test set. For all 2571 users, their own test test was simulated with own biases. The models trained for CIFAR-10N were used for this experiment.

**896 897 898 899 900 901 902 903 904** For the Fashion-MNIST-H experiment, the labels from all 885 annotators are taken to form the  $D$ . Then, 366 out of 885 users are chosen since they have annotated at least 20 images per class and are split in half to have 183 users for training and 183 for testing. The training users' labels are used to build the K profiles and train the OVA SVM classifier, where  $K$  is automatically chosen based on the silhouette score in equation [8.](#page-14-2) During testing, the testing user's noisy-label transition matrix is estimated using the annotator's labels and consensus labels. This matrix is used to simulate noisy annotations from that testing user. Therefore, 183 noisy testing sets are produced, with each representing the biases that each user possesses. The model for each profile  $k$ , represented by  $m_{\theta_k}(.)$ uses DenseNet-121 [\(Huang et al., 2017\)](#page-10-13) for  $f_{\psi_k}(.)$ .

**905 906 907 908 909 910** Chaoyang has three annotators per image, which form the  $D$ . Training users are used to make K profiles, and train an OVA SVM, where  $K$  is automatically chosen based on the silhouette score in equation [8.](#page-14-2) For each profile k, a model  $m_{\theta_k}(.)$  is trained with a ViT-Large-16 as the backbone for  $f_{\psi_k}$ (.). During testing, user's noisy-label transition matrix is estimated using the annotator's labels and consensus labels. This matrix is used to simulate noisy annotations from that user, resulting three noisy test sets.

**911 912 913 914 915** Our method retain annotators' noisy label patterns, but it's important to note that Fashion-MNIST-H and Chaoyang test sets are simulated and might not completely mimic real annotator inputs. In contrast, CIFAR-10N and CIFAR-10H, with human labels for CIFAR-10's training and testing sets, offer a more realistic setup with crowd-sourced labels in both phases, better reflecting real-world conditions.

**916 917** In our experiments, we use various backbone models to showcase our model's robustness. An ablation study in Appendix [J](#page-26-1) details the switch from ViT-Base-16 [\(Dosovitskiy et al., 2020\)](#page-9-11) to DenseNet-121 [\(Huang et al., 2017\)](#page-10-13) and Resnet-50 [He et al.](#page-9-7) [\(2016\)](#page-9-7) on CIFAR-10N.

**918 919 920 921 922 923 924 925 926 927 928 929** In our CIFAR experiments, we adopted the data augmentation policy introduced by [Cubuk et al.](#page-9-9) [\(2019\)](#page-9-9). Also, for Fashion-MNIST, alongside random horizontal and vertical flips, we integrated auto augmentations as proposed by [Cubuk et al.](#page-9-10) [\(2020\)](#page-9-10). For the Chaoyang dataset, data augmentation was limited to random resized crops of dimensions  $224 \times 224$ . For the AgNews dataset, the title and description were concatenated and truncated to maximum length of 64 tokens. We rely on pretrained models for  $f_{\psi_k}$  because of their robustness to noisy labels [\(Jiang et al., 2020\)](#page-10-8) (e.g., ViT models were pre-trained on ImageNet-21K, while ResNet-18 and DenseNet-121 models were pretrained on ImageNet-1K. Bert model and Bert tokenizer are trained on a large corpora of articles in self-supervised fashion). Adam optimizer was employed for training  $f_{\psi_k}(\cdot)$  with consensus  $\bar{\mathcal{D}}$ , where NAdam was used for training  $m_{\theta_k}(.)$  on  $\hat{\mathcal{D}}$ , each utilizing their respective default learning rates. Implementations were done in PyTorch and executed on an NVIDIA GeForce RTX 4090 GPU.

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### <span id="page-17-4"></span><span id="page-17-0"></span>D THEORETICAL PROOF OF CONVERGENCE OF PHICO

#### D.1 CONVERGENCE OF FUZZY K-MEANS

**935 936 937 938** Each annotator  $j \in A$  is represented by a set of labels that this user has given to instances of the training set. Assuming that the training set has  $N$  instances belonging to one of  $C$  classes and each instance has a label  $y \in \{0, 1, 2, ..., C - 1\} = C$ , then,  $v_j$  is an N dimensional array of integers denoted by  $v \in \mathcal{C}^N$  representing user j's annotations.

**940 941 942 943** We assume an additive label noise process defined by  $\tilde{y} = y + \epsilon$ , where  $\epsilon \in \mathbb{Z}$  denotes an integer number generator. For example, if  $y = 0$  and  $\epsilon = 1$ , then  $\tilde{y} = 1$ . Similarly an N-dimensional vector j is affected by the same process – for instance, if we have  $v_j = [0, 1, 2]$  and  $\epsilon$  is  $[1, 0, -2]$ , this forms the user j's noisy vector  $s_i = [1, 1, 0] \in \mathcal{C}^N$ .

**944 945** Let  $\{s_j\}_{j\in\mathcal{A}}$  form the noisy labels from the users in A. The clustering of users with K means can be written as an optimisation process using the following cost function

<span id="page-17-2"></span>
$$
f(K, \{\mathcal{L}_r\}_{r=1}^K, \{\mathbf{c}_r\}_{r=1}^K) := \sum_{r=1}^K \sum_{\mathbf{s}_j \in \mathcal{L}_r} ||\mathbf{s}_j - \mathbf{c}_r||^2,
$$
(9)

where K denotes the number of cluster centroids,  $\mathcal{L}_r \subset \{s_j\}_{j \in \mathcal{A}}$ , contains users assigned to centroid  $c_r$ . When K is fixed, minimal cost can be achieved by choosing the clustering that assigns each  $s_i$ to the closest centroid following [Bottou & Bengio](#page-9-12) [\(1994\)](#page-9-12) and [Tang & Monteleoni](#page-12-14) [\(2017\)](#page-12-14), as in

<span id="page-17-1"></span>
$$
f(K) := \min_{\{\mathcal{L}_r\}_{r=1}^K, \{\mathbf{c}_r\}_{r=1}^K} f(K, \{\mathcal{L}_r\}_{r=1}^K, \{\mathbf{c}_r\}_{r=1}^K) = \min_{\{\mathcal{L}_r\}_{r=1}^K} \sum_{r=1}^K \sum_{\mathbf{s}_j \in \mathcal{L}_r} ||\mathbf{s}_j - \mathbf{c}_r||^2. \tag{10}
$$

[Bottou & Bengio](#page-9-12) [\(1994\)](#page-9-12) and [Tang & Monteleoni](#page-12-14) [\(2017\)](#page-12-14) present evidence that clustering converges under fixed cluster numbers (as in equation [10](#page-17-1) in [Tang & Monteleoni](#page-12-14) [\(2017\)](#page-12-14), despite being NP-hard in general (equation [9](#page-17-2) in [Tang & Monteleoni](#page-12-14) [\(2017\)](#page-12-14)).

**961 962 963** The fuzzy K-means is an extension of the classic K-means clustering algorithm, shown above, where each data point has a degree of belonging to each cluster, rather than a binary membership as in traditional K-means. More specifically, in fuzzy K-means, we minimise the following cost function,

<span id="page-17-3"></span>
$$
f(K) := \min_{\{\mathbf{u}_{j,r}\}_{j \in A, r=1..K}, \{\mathbf{c}_r\}_{r=1}^K} \sum_{r=1}^K \sum_{j \in A} \mathbf{u}_{j,r}^b \times ||\mathbf{s}_j - \mathbf{c}_r||^2,
$$
(11)

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**968 969 970 971** where  $b > 1$  is the fuzziness parameter, and  $\mathbf{u}_{j,r}$  is the membership degree of  $\mathbf{s}_j$  to cluster  $\mathbf{c}_r$  with the constraint that  $\sum_{r=1}^{K} \mathbf{u}_{j,r} = 1$ . [Hathaway & Bezdek](#page-9-13) [\(1986\)](#page-9-13) presents the convergence proof of the Fuzzy K-means algorithm, showing that the iterative update rules for the membership matrix and cluster centers lead to the decrease of the objective function and establish conditions for convergence to a local minimum.

#### **972 973** D.2 CONVERGENCE OF THE MODEL  $m_{\theta}$

<span id="page-18-0"></span>The three component model architecture is optimised towards the objective function [4,](#page-4-3) which is,

$$
\mathcal{L}\left(\{\theta_k^*\}_{k=1}^K\right) = \arg \min_{\{\theta_k\}_{k=1}^K} \frac{1}{K \times |\hat{\mathcal{D}}_k| \times G} \times \sum_{k=1}^K \sum_{\left(\mathbf{x}_i, \{\hat{\mathbf{y}}_{i,g}\}\}_{g=1}^G} \ell(\bar{\mathbf{y}}_i, m_{\theta_k}(\mathbf{x}_i, \hat{\mathbf{y}}_{i,g})) + \lambda \times \ell(\hat{\mathbf{y}}_{i,g}, (\mathbf{T}_k)^{\top} \times m_{\theta_k}(\mathbf{x}_i, \hat{\mathbf{y}}_{i,g}))\right),
$$

we aim to find  $\{\theta_k\}_{k=1}^K$  that minimizes  $\mathcal{L}$ . Hence, the objective function is a sum of  $K \times 2$  crossentropy losses.

### Facts

- 1. The objective function is differentiable as it is a sum of  $K \times 2$  differentiable functions.
- 2. Smoothness: Given the function  $\mathcal L$  is differentiable, its gradient  $\nabla \mathcal L$  is Lipschitz continuous with constant L. This means for any  $\theta$  and  $\theta'$  [\(Patel et al., 2022\)](#page-11-11),

$$
\|\nabla \mathcal{L}(\theta) - \nabla \mathcal{L}(\theta')\| \le L \|\theta - \theta'\|.
$$

#### **991** Gradient Descent Algorithm

**992 993** The update rule for gradient descent is:  $\theta_k^{(t+1)} = \theta_k^{(t)} - \alpha \nabla \mathcal{L}(\theta_k^{(t)})$  $\binom{k}{k}$ , where  $\alpha$  is the learning rate.

#### **994** Convergence Proof

Step 1: Descent Lemma For a smooth function with Lipschitz continuous gradient, the following inequality holds [\(Patel et al., 2022;](#page-11-11) [Mahdavi et al., 2013\)](#page-11-12):

$$
\mathcal{L}(\theta_k^{(t+1)}) \leq \mathcal{L}(\theta_k^{(t)}) + \nabla \mathcal{L}(\theta_k^{(t)})^T (\theta_k^{(t+1)} - \theta_k^{(t)}) + \frac{L}{2} \|\theta_k^{(t+1)} - \theta_k^{(t)}\|^2.
$$

Substitute the gradient descent update rule into this inequality:

$$
\theta_k^{(t+1)} = \theta_k^{(t)} - \alpha \nabla \mathcal{L}(\theta_k^{(t)}),
$$

$$
\theta_k^{(t+1)} - \theta_k^{(t)} = -\alpha \nabla \mathcal{L}(\theta_k^{(t)}),
$$

$$
\|\theta_k^{(t+1)} - \theta_k^{(t)}\|^2 = \alpha^2 \|\nabla \mathcal{L}(\theta_k^{(t)})\|^2.
$$

**1006** Thus,

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$$
\mathcal{L}(\theta_k^{(t+1)}) \leq \mathcal{L}(\theta_k^{(t)}) - \alpha \|\nabla \mathcal{L}(\theta_k^{(t)})\|^2 + \frac{L\alpha^2}{2} \|\nabla \mathcal{L}(\theta_k^{(t)})\|^2.
$$

**1009** Step 2: Simplifying and rearranging the inequality, we have:

$$
\mathcal{L}(\theta_k^{(t+1)}) \leq \mathcal{L}(\theta_k^{(t)}) - \left(\alpha - \frac{L\alpha^2}{2}\right) \|\nabla \mathcal{L}(\theta_k^{(t)})\|^2.
$$

**1013 1014 1015** To ensure that the coefficient of  $\|\nabla \mathcal{L}(\theta_k^{(t)})\|$  $\|k^{(t)}\|^{2}$  is positive, choose  $\alpha$  such that  $0 < \alpha < \frac{2}{L}$ . A common choice is  $\alpha = \frac{1}{L}$ :

$$
\mathcal{L}(\theta_k^{(t+1)}) \leq \mathcal{L}(\theta_k^{(t)}) - \frac{1}{2L} \|\nabla \mathcal{L}(\theta_k^{(t)})\|^2.
$$

**1017 1018 Step 3:** Summing the Inequalities over  $t = 0, 1, \ldots, T - 1$ :

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\n
$$
\sum_{t=0}^{T-1} \left( \mathcal{L}(\theta_k^{(t)}) - \mathcal{L}(\theta_k^{(t+1)}) \right) \ge \frac{1}{2L} \sum_{t=0}^{T-1} \|\nabla \mathcal{L}(\theta_k^{(t)})\|^2.
$$

Since  $\mathcal{L}(\theta_k^{(t)})$  $k^{(t)}$ ) is non-increasing,

$$
\mathcal{L}(\theta_k^{(0)}) - \mathcal{L}(\theta_k^{(T)}) \ge \frac{1}{2L} \sum_{t=0}^{T-1} \|\nabla \mathcal{L}(\theta_k^{(t)})\|^2.
$$

**1026 1027 Step 4:** Convergence of the Gradient Norm. By dividing both sides by  $T$ :

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$$
\frac{1}{T}\sum_{t=0}^{T-1}\|\nabla \mathcal{L}(\theta_k^{(t)})\|^2 \leq \frac{2L(\mathcal{L}(\theta_k^{(0)}) - \mathcal{L}(\theta_k^{(T)}))}{T}.
$$

**1031 1032** As  $t\to\infty$ ,  $\frac{1}{T}\sum_{t=0}^{T-1} \|\nabla \mathcal{L}(\theta_k^{(t)})\|$  $\binom{t}{k}$ ||<sup>2</sup> → 0, which implies that

> $\|\nabla \mathcal{L}(\theta_k^{(t)}\)$  $\binom{v}{k}$ ||  $\rightarrow 0$  as  $t \rightarrow \infty$ .

**1035 1036 1037 1038** This means that the gradient of  $\mathcal{L}(.)$  converges to zero as  $t \to \infty$ . Hence, given that the function  $\mathcal{L}(.)$  is smooth and its gradient is Lipschitz continuous, the gradient descent algorithm consists of a sequence of iterates  $\{\theta_k^{(t)}\}$  $\binom{n}{k}$  that converges to a stationary point of the objective function  $\mathcal{L}$ .

**1039** Linear combination of convergent functions is also convergent [\(Binmore, 1982\)](#page-9-14).

function for fuzzy-k means clustering (from eq. [11\)](#page-17-3)

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### D.3 CONVERGENCE OF THE TRAINING PROCESS

**1043 1044 1045 1046 1047 1048** An overall p-level hierarchical optimization converges, under sufficient conditions such as sequential decision making, dependence of subsequent level's problem on previous level's problem, non-empty solution sets of levels and existence of optimal solutions for each level [\(Anandalingam & Friesz,](#page-9-15) [1992;](#page-9-15) [Bracken & McGill, 1973;](#page-9-16) [Ren et al., 2021\)](#page-11-13). Accordingly, we can structure PHICO's two step training process as a bi-level  $(p=2)$  optimization problem, where the first level involves choosing best profiles K followed by a model training process on each profile  $K = \{1,..,K\}$ .

**1049** Let,

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# •  $\mathcal{L}\left(\{\theta_k^*\}_{k=1}^K\right)$  is the objective function for the model training.

#### **1055 1056** Bi-Level Problem Formulation

**1057 1058 1059** Our optimisation consists of a bi-level optimisation problem that first finds the set of annotator noise profiles using Fuzzy K-Means, which is used to constrain the optimisation of the objective function [4](#page-4-3) given the result from the Fuzzy K-Means, as follows:

•  $f(K, \{u_{j,r}\}_{j \in A, r=1..K}, \{c_r\}_{r=1}^K) = \sum_{r=1}^K \sum_{j \in A} u_{j,r}^b \times ||s_j - c_r||^2$  is the objective

**1060 1061** minimize  $_{\{\theta_k\}_{i=1}^{K^*}} \mathcal{L}\left({\{\theta_k\}}_{i=1}^{|K^*|}\right)$ 

subject to  $K^*$ ,  $\{u_{j,r}^*\}_{j\in A,r=1..K^*} = \arg \min_{K, \{u_{j,r}\}_{j\in A,r=1..K}, \{c_r\}_{r=1}^K} f(K, \{u_{j,r}\}_{j\in A,r=1..K}, \{c_r\}_{r=1}^K)$ 

#### **1065 Convergence**

**1066 1067 1068 1069** *Upper level convergence:* Given the optimal number of profiles K<sup>\*</sup> from the lower level, the deep learning model's parameters  $\{\theta_k\}_{i=1}^{K^*}$  are optimized using gradient descent. This optimization converges as shown in the appendix [D.2.](#page-18-0)

**1070** *Lower level convergence:* The fuzzy K-means algorithm converges, as shown in the appendix [D.1.](#page-17-4)

**1071 1072 1073** *Overall convergence:* Since lower level provides a stable constraint to the upper level, and both problems converge individually, the overall hierarchical optimization problem converges under stated assumptions for each sub-problem [\(Anandalingam & Friesz, 1992;](#page-9-15) [Bracken & McGill, 1973\)](#page-9-16).

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### <span id="page-19-0"></span>E STATISTICAL CONFIDENCE OF RESULTS

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**1078 1079** Table [10](#page-20-3) shows the standard deviation and 95% confidence interval of post-alteration accuracy for real-annotator experiments, under the optimal K from the silhouette score. Results show that PHICO significantly improve users compared to their original accuracy.

Table 10: Standard deviation and confidence interval of experiments with real annotators

<span id="page-20-3"></span>

| Dataset         | Mean accuracy<br>after alterations | Standard<br>deviation $(\pm)$ | 95% confidence<br>interval |
|-----------------|------------------------------------|-------------------------------|----------------------------|
| $CIFAR10-N$     | 0.98913                            | 0.00104                       | (0.98890, 0.98937)         |
| CIFAR10-H       | 0.99260                            | 0.00240                       | (0.99250, 0.99271)         |
| Fashion-MNIST-H | 0.87786                            | 0.00837                       | (0.87661, 0.87913)         |
| Chaovang        | 0.92374                            | 0.00388                       | (0.87438, 0.97312)         |

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### <span id="page-20-1"></span>F DISTRIBUTION OF DECISIONS MADE BY HUMAN, AI AND HUMAN-AI COOPERATION

**1090 1091 1092 1093 1094 1095 1096 1097 1098 1099 1100** Table [11](#page-20-0) shows how decisions from human, base model and joint decisions are distributed at each experiment conducted in Section [4.](#page-5-2) This proportions are computed using the testing set. Decision of human, or the AI model  $f_{\psi_k}(.)$ , or the cooperation  $m_{\theta_k}(.)$  are divided into correct  $(\checkmark)$ , if their label is equal to the target, or wrong  $(X)$ , otherwise. According to Table [11,](#page-20-0) in all experiments, the majority of correct joint decisions are resulted following both correct human and AI counterparts. On the contrary, the smallest proportion of incorrect joint decisions are made when both individual parties are correct. Further, the results reflect the tendency of joint decision being correct when at least one member of the Human-AI team is correct, as anticipated in a cooperative setting. An interesting observation is that we can also see cases where the cooperative decision is correct even when both individual counterparts are wrong. We believe this showcases the capacity of our approach to learn the joint biases posed by individual parties and intervene in cases where both are weak.

<span id="page-20-0"></span>**1101 1102** Table 11: Proportion that each combination of Human, AI, or Cooperation is correct (✓) or incorrect (✗). Columns sum to 1 to indicate all possible combinations.

| $\sim$ $\sim$ |       |                  |                            |           |           |             |          |
|---------------|-------|------------------|----------------------------|-----------|-----------|-------------|----------|
| 1103          | Human | AI               | Coopera-                   | CIFAR10-N | CIFAR10-H | Fashion-    | Chaoyang |
|               |       | $J\psi_k(\cdot)$ | tion $m_{\theta_{\nu}}(.)$ | $\%$      | $\%$      | Mnist-H $%$ | $\%$     |
| 1104          |       |                  |                            | 5.15      | 5.59      | 4.47        | 3.35     |
| 1105          |       |                  |                            | 0.65      | 2.26      | 15.05       | 1.82     |
| 1106          |       |                  |                            | 93.79     | 91.35     | 72.13       | 92.16    |
|               |       |                  |                            | 0.05      | 0.05      | 4.29        | 0.13     |
| 1107          |       |                  |                            | 0.13      | 0.19      | 0.33        | 0.49     |
| 1108          |       |                  |                            | 0.11      | 0.39      | 1.38        | 1.29     |
|               |       |                  |                            | 0.00      | 0.00      | 0.20        | 0.00     |
| 1109          |       |                  |                            | 0.12      | 0.17      | 2.17        | 0.76     |
| 1110          |       |                  |                            |           |           |             |          |

### <span id="page-20-2"></span>G MODEL INTERPRETABILITY

**1113 1114 1115 1116** This section suggests a way to interpret our model's decision via visualising profile examples and use a interpretable decision model. Figures [4,](#page-20-4) [5](#page-21-0) and [6](#page-21-1) illustrates profiles from CIFAR-10 simulation, Fashion-MNIST-H and Chaoyang experiments. Those profile noise visualisations are complemented with sample images where label noise was found and positively altered by the model.



<span id="page-20-4"></span>Figure 4: Noise matrices when K=3 in CIFAR-10 simulation experiment

<span id="page-21-0"></span>

 We also conducted an experiment by replacing the decision model in PHICO with a decision tree model to enable interpretability. The decision tree was trained by concatenating the output logits from base model and human embedding for the training set as in the Section [3.2.](#page-3-1)

<span id="page-21-1"></span> 

 Experiment was done for K=3 in simulation experiment with CIFAR-10 and trained decision trees are plot in the figures [7](#page-22-0) and [8.](#page-23-1) It can be seen the decision tree uses the base model's output features (with the prefix 'b<sub>-</sub>') as a decision factor when there is user noise present in a specific class. Otherwise the tree relies on human input features with the prefix 'u\_'.



<span id="page-22-0"></span>Figure 7: Decision tree behaviour when it is trained on profile with human noise in Horse-Deer class pair (left) and Airplane-Bird class pair (right).

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<span id="page-23-3"></span>Table 12: Post alteration accuracy variation in terms of  $\lambda$  that weights the second term of the loss in equation [4](#page-4-3) (with CIFAR-10N).

| <b>Backbone</b><br>model | $\lambda = 0$ | $\lambda = 0.01$ | $\lambda = 0.1$ | $\lambda = 1$ | $\lambda = 10$ |
|--------------------------|---------------|------------------|-----------------|---------------|----------------|
| ResNet-50                | 0.9295        | 0.9437           | 0.9677          | 0.9399        | 0.9291         |
| DenseNet-121             | 0.9364        | 0.9501           | 0.9686          | 0.9373        | 0.9306         |
| $ViT-B/16$               | 0.9821        | 0.9815           | 0.9891          | 0.9759        | 0.9695         |

<span id="page-23-2"></span>Table 13: Silhouette score variation as a function of K for experiments

| u truck@0.50 u truck@0.50                                   | K  |          |           |           |           |          |
|---|----|----------|-----------|-----------|-----------|----------|
|   |    | CIFAR-10 | AgNews    | CIFAR-10N | F-MNIST-H | Chaoyang |
|   |    | 0.3475   | 0.4489    | 0.0103    | 0.0909    | 0.6606   |
|   |    | 0.5519   | 0.5759    | 0.0077    | 0.0909    | 0.9999   |
| $n = 11962$<br>n=3694<br>an11335<br>submobile<br>automobile |    | 0.3705   | 0.3692    | 0.0035    | 0.0909    |          |
|   |    | 0.1868   | 0.1835    | $-1.0635$ | 0.0406    |          |
|   |    | 0.0057   | 0.0002    | 0.0043    | 0.0021    |          |
|   |    | 0.0064   | 0.0008    | $-0.0076$ | 0.0909    |          |
| Figure 8: Decision tree behaviour when it is                |    | 0.0019   | 0.0016    | $-0.0033$ | 0.0196    |          |
| trained on profile with human noise in Truck- 9             |    | 0.0047   | 0.0011    | $-0.0155$ | 0.0909    |          |
| Automobile closs nois                                       | 10 | 0.0028   | 3.669E-05 | $-0.0072$ | 0.0196    |          |
|   |    |          |           |           |           |          |

<span id="page-23-0"></span>H PERFORMANCE AS A FUNCTION OF K

<span id="page-23-1"></span>Automobile class pair.

#### H.1 RESULTS OF DATASETS WITH SIMULATED ANNOTATORS

**1283 1284 1285 1286 1287 1288 1289 1290 1291 1292 1293 1294 1295** The first and second rows of Table [14](#page-25-3) detail the number of testing users that improved (I), maintained (M), or did not improve (NI) with PHICO in the CIFAR-10 and AgNews simulations. Notice how the number of I users increases and NI users decreases in CIFAR-10, showcasing the best personalisation when  $K = 3$ , which has the highest silhouette score of 0.55195 (silhouette scores in Table [13\)](#page-23-2). At  $K = 3$ , Table [14](#page-25-3) shows that all [15](#page-25-4) users improved with CIFAR-10, and Table 15 displays that the average accuracy after alteration is larger than the user's original accuracy. Similarly, AgNews reports its highest post alteration accuracy at  $K = 3$  when silhouette score reaches max 0.57586. Also, as  $K$  decreases, the post alteration accuracy decreases slightly as a result of the lower number of improved users. Similarly, the simulation results in Table [16](#page-25-1) highlights the increase of  $A_+$  when reaching optimal K, accompanied by a decline in negative alterations  $A_-$ . Addition-ally, Table [17](#page-25-2) shows an increasing alteration rate with  $K$ , reflecting the larger proportion of positive alterations and smaller proportion of negative alterations when reaching optimal  $K = 3$  with both simulation datasets. The figures [9](#page-24-0) and [10](#page-24-1) showcase the estimated noise matrices for  $K \in \{1, 2, 3\}$ from CIFAR-10 and AgNews test users. Note that  $K = 3$  in those figures, closely resembles the noise matrices used to simulate the users in figures [3](#page-16-0) and [2.](#page-15-2)



<span id="page-24-1"></span><span id="page-24-0"></span>Figure 10: Estimated noise matrices for each profile when  $K \in \{1, 2, 3\}$  from the simulation with AgNews.

#### **1350** H.2 RESULTS OF DATASETS WITH REAL ANNOTATORS

**1351 1352**

**1353 1354 1355 1356 1357 1358 1359 1360 1361 1362** According to Table [14,](#page-25-3) all profiled users in every experiment have improved their accuracy with PHICO. Even considering all users, the method tends to improve the performance of the majority of users. Similarly to the simulated case, the number of improved users increases for the optimal  $K$  of the respective dataset (silhouette scores in Table [13\)](#page-23-2). Table [15](#page-25-4) shows that the accuracy after alterations for the profiled users in CIFAR-10N, CIFAR-10H, Fashion-MNIST-H and Chaoyang increase by least 18%, 5%, 30%, 6%, respectively. Table [16](#page-25-1) shows that negative alterations for profiled users tend to decrease as  $K > 1$ . On CIFAR-10N and Fashion-MNIST-H positive alterations increase with  $K$ , but CIFAR-10H and Chaoyang show the opposite trend. Nevertheless, the accuracy for all datasets increases as a function of  $K$ , as shown in Table [15](#page-25-4) because of the declining negative alterations. Table [17](#page-25-2) shows that PHICO has increasing positive alteration rates compared to decreasing negative alteration rates as a function of K.

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<span id="page-25-3"></span>**1365** Table 14: Number of users who improved (I), maintained Table 15: Initial accuracy vs the accu-(M) and did not improve (NI). racy after alterations.

| $\mathbf{u}$ ) and the not improve (TVT). |              |      |          |              |                           |          |              |      |                           |                          | Tacy and and annums. |          |                      |                                   |         |
|---|--------------|------|----------|--------------|---------------------------|----------|--------------|------|---------------------------|--------------------------|----------------------|----------|----------------------|-----------------------------------|---------|
| Dataset                                   | <b>Users</b> |      | $K=1$    |              |                           | $K=2$    |              |      | $K=3$                     |                          |                      | Original |                      | <b>Accuracy after Alterations</b> |         |
|   |              |      |          | NI           |                           |          | NI           |      |                           | $\overline{\mathrm{NI}}$ | Dataset              | Accuracy | K=1                  | $K=2$                             | $K=3$   |
|   |              |      |          |              | With simulated annotators |          |              |      | With simulated annotators |                          |                      |          |                      |                                   |         |
| CIFAR10                                   | 15           |      |          | 10           |                           |          |              | 15   |                           |                          | CIFAR10              | 0.84001  | 0.83478              | 0.84500                           | 0.87875 |
|   | 15           |      |          | 10           | 9.                        |          | 6            | 15   |                           | $\mathbf{0}$             |                      | 0.84001  | 0.83478              | 0.84500                           | 0.87875 |
| AgNews                                    | 15           | 15   |          |              | 15                        |          |              | 15   |                           |                          |                      | 0.59976  | 0.93695              | 0.94974                           | 0.98020 |
|   | 15           | 15   |          | $\Omega$     | 15                        |          | $\Omega$     | 15   | $\Omega$                  | $\mathbf{0}$             | AgNews               | 0.59976  | 0.93695              | 0.94974                           | 0.98020 |
|   |              |      |          |              | With real annotators      |          |              |      |                           |                          |                      |          | With real annotators |                                   |         |
| CIFAR10-N                                 | 80           | 80   |          | $\Omega$     | 80                        |          | $\Omega$     | 80   | $\mathbf{U}$              |                          | CIFAR10-N            | 0.83648  | 0.98775              | 0.98913                           | 0.98915 |
|   | 80           | 80   |          | $\Omega$     | 80                        |          | $\Omega$     | 80   | $\Omega$                  | $\Omega$                 |                      | 0.83648  | 0.98775              | 0.98913                           | 0.98915 |
| CIFAR10-H                                 | 2571         | 2548 |          | 23           | 2566                      |          |              | 2567 |                           |                          |                      | 0.94873  | 0.99184              | 0.99304                           | 0.99318 |
|   | 2022         | 2022 |          | $\Omega$     | 2022                      |          | $\Omega$     | 2022 |                           | $\mathbf{0}$             | CIFAR10-H            | 0.93999  | 0.99143              | 0.99260                           | 0.99277 |
| Fashion-                                  | 183          | 182  |          |              | 183                       |          | 0            | 183  | $\mathbf{U}$              | $\overline{0}$           | Fashion-             | 0.67226  | 0.86483              | 0.87849                           | 0.87693 |
| <b>MNIST-H</b>                            | 182          | 182  |          | $\Omega$     | 182                       |          | $\Omega$     | 182  | $\theta$                  | $\overline{0}$           | <b>MNIST-H</b>       | 0.66249  | 0.86432              | 0.87786                           | 0.87636 |
| Chaoyang                                  |              |      |          |              |                           |          |              |      |                           |                          |                      | 0.90270  | 0.91937              | 0.94123                           | 0.94657 |
|   | 2            |      | $\Omega$ | $\mathbf{0}$ |                           | $\Omega$ | $\mathbf{0}$ |      | $\Omega$                  | $\mathbf{0}$             | Chaoyang             | 0.85818  | 0.91500              | 0.92714                           | 0.92374 |
|   |              |      |          |              |                           |          |              |      |                           |                          |                      |          |                      |                                   |         |

<span id="page-25-1"></span>Table 16: Alterations around optimal K

<span id="page-25-4"></span><span id="page-25-2"></span>Table 17: Alteration rates around optimal K



**1389 1390 1391 1392 1393 1394 1395 1396** The effect of having values of  $K$  that are larger than its optimal and having more profiles was studied by extending the experiment done with CIFAR-10N dataset with VIT/B-16 base model. The results in Table [6](#page-8-4) indicate that from  $K = 1$  to  $K = 3$ , the accuracy increases and, for  $K > 3$ , it starts to decrease. Even though all testing users had their accuracy improved in all experiments, their accuracy gain has been slightly impacted by  $K$ . This demonstrates that having larger  $Ks$  beyond optimal silhouette score does not guarantee the best accuracy gain. Possibly, as  $K$  increases, the number of users per profile during training decreases, meaning that the augmented noisy labels may over personalise to the users' biases which may lead to a less generalisable model for testing users.

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#### <span id="page-25-0"></span>I PERFORMANCE AS A FUNCTION OF NOISE RATE

**1399 1400**

**1401 1402 1403** The robustness of the approach for different noise rates was studied by extending the simulation with CIFAR-10 to different noise rates. The obtained results are reported in Table [9.](#page-8-3) An ImageNet pre-trained ResNet-18 was used as the backbone for the base model. The same simulation data preparation explained in Section [4.1](#page-5-3) was followed here.

#### <span id="page-26-1"></span> J THE ABLATION WITH DIFFERENT BACKBONE MODELS AS BASE MODEL

 This experiment tests different backbones as the base model on CIFAR-10N dataset. The CIFAR-10N experiment follows the one described in Section [4.1](#page-5-3) with a VIT/B-16 as the base model  $f_{\psi_k}(.)$ , and DenseNet-121 and Resnet-50 as  $f_{\psi_k}(.)$ .

 The results in Table [8](#page-8-1) showcases that different base models improve users in different degrees as accuracy after alterations is different among them. Yet, it consistently surpasses the original accuracy of users and all the profiled users were improved irrespectively of the base model.

 It is important to emphasise that as the  $f_{\psi_k}(\cdot)$  changes, the consensus estimation in Section [A](#page-14-0) changes. Following that, the number of users chosen for labelling at least 20 images from each class varies. This also changes the number of users in the test set and the recorded original accuracy in Table [8.](#page-8-1) To be specific, the experiments with ResNet-50 and DenseNet-121 were conducted respectively with 155 and 157 users identified for labelling 20 images per class. In the experiment with ResNet-50, 77 were in the training set and 78 were in the testing set. In the case with DenseNet-121, it was 78 and 79 in training and testing sets, respectively. The recorded results and user distribution for the experiment with ViT/B-16 are same as in the main paper.

 Further, we extend the comparative analysis in Section [4.2](#page-7-1) and use the two backbones with methods from literature to examine the performance. From the results in Table [7,](#page-8-2) our approach consistently outperforms the methods in literature.

<span id="page-26-0"></span>

 K PERFORMANCE AS A FUNCTION OF NOISY LABEL AUGMENTATION G

- The effect of the number of times G that noisy labels were augmented in profile  $\hat{\mathcal{D}}_k$  is explored by extending the CIFAR-10N experiment with VIT/B-16. The results in Table [5](#page-8-0) shows that larger  $G$ promotes a slight increase in the users' post alteration accuracy. Note that  $K$  was fixed at 2 for this experiment.
- <span id="page-26-2"></span>

#### L TESTING  $\lambda$  IN THE LOSS FUNCTION

 Here, we study how the second term in the loss function in equation [4](#page-4-3) affects the post alteration accuracy. We conduct a range of experiments with  $\lambda \in \{0, 0.01, 0.1, 1, 10\}$ . Using CIFAR-10N dataset, three sets of experiments were conducted using ResNet-50, DenseNet-121 and Bit/B-16 as base models. Even though all users were improved in every experiment, the results in Table [12](#page-23-3) show how post alteration accuracy vary with  $\lambda$ . It is clear that the highest post alteration accuracy is centered around  $\lambda = 0.1$  for all 3 base models.