EFFICIENT GLOBAL DATA ATTRIBUTION FOR DIFFU-SION MODELS

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Abstract

With the widespread usage of diffusion models, effective data attribution is needed to ensure fair acknowledgment for contributors of high-quality training samples, and to identify potential sources of harmful content. In this early work, we introduce a novel framework tailored to removal-based data attribution for diffusion models, leveraging *sparsified unlearning*. This approach significantly improves the computational scalability and effectiveness of removal-based data attribution. In our experiments, we attribute diffusion model FID back to CIFAR-10 training images with datamodel attributions, showing better linear datamodeling score (LDS) than datamodel attributions based on naive retraining.

1 INTRODUCTION

Diffusion models have demonstrated impressive performance on image generation (Ho et al., 2020; Song et al., 2020b), with models such as Dall-E 2 (Ramesh et al., 2022) and Stable Diffusion (Rombach et al., 2022) showing powerful utilities and enabling downstream applications via customization (Hu et al., 2021; Ruiz et al., 2023). The training data for large-scale diffusion models are often scraped from the internet (Schuhmann et al., 2022), raising concerns such as copyright attribution, harmful content generation (Birhane et al., 2021), and bias propagation (Luccioni et al., 2023). *Data attribution*, which aims to trace machine learning model behaviors back to training data, has the potential to address these issues. Indeed, in the context of supervised learning, data attribution methods have already been used to valuate data (Ghorbani & Zou, 2019), identify adversarial samples (Koh & Liang, 2017), and discover similar data points (Ilyas et al., 2022).

Some recent work has developed data attribution methods for diffusion models (Dai & Gifford, 2023; Wang et al., 2023; Georgiev et al., 2023; Zheng et al., 2023). These methods focus on *local* model behaviors related to a given generated image. However, some use cases require understanding data's influence on *global* model behaviors, which are related to the overall generative distribution of a diffusion model. For example, understanding which data have a negative impact on the Fréchet Inception Distance (FID) (Heusel et al., 2017) can identify noisy samples. As another example, the demographic diversity of generated images can be considered a global behavior, and attributing this behavior to training data can help us find the sources of social biases in diffusion models (Luccioni et al., 2023). Hence, here we focus on global data attribution for diffusion models.

Many data attribution methods can be categorized as (1) *gradient-based* methods that compute loss gradients with training samples as inputs (Koh & Liang, 2017; Yeh et al., 2018), (2) *removal-based* methods that retrain models on training subsets to estimate each sample's influence (Ghorbani & Zou, 2019; Ilyas et al., 2022), or (3) a combination of both (Park et al., 2023). Global model behaviors may not be differentiable for diffusion models (e.g., proportion of generated images corresponding to a demographic group). Therefore, methods that require gradient computations are not applicable, while removal-based methods are. However, removal-based data attribution requires models be retrained many times with different training subsets. Although it has been shown possible to retrain tens of thousands of models to measure data importance for image classifiers (Ilyas et al., 2022), diffusion models generally require longer training time and make naive retraining computationally infeasible. In this work, we propose to make global data attribution computationally efficient

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for diffusion models, by approximating retraining with *sparsified unlearning*. Specifically, we adapt the "prune first, then unlearn" paradigm (Jia et al., 2023) to approximate diffusion model retraining and improve the performance of datamodel attributions by 10% linear modeling score (LDS) (Ilyas et al., 2022), compared to datamodel attributions with naive retraining.

2 RELATED WORK

Diffusion models. Generally, diffusion models are trained to approximate a data distribution $q(\mathbf{x}_0)$. To perform learning, a training sample $\mathbf{x}_0 \sim q(\mathbf{x}_0)$ is sequentially corrupted by additive noise (Ho et al., 2020). This procedure is called the *forward process* and defined by $q(\mathbf{x}_t|\mathbf{x}_{t-1}) := \mathcal{N}(\mathbf{x}_t; \sqrt{1-\beta_t}\mathbf{x}_{t-1}, \beta_t \mathbf{I})$, for t = 1, ..., T, where $\{\beta_t\}_{t=1}^T$ corresponds to a variance schedule. Notably, the forward process allows sampling of \mathbf{x}_t at any time step t from \mathbf{x}_0 , with the closed form $q(\mathbf{x}_t|\mathbf{x}_0) = \mathcal{N}(\mathbf{x}_t; \sqrt{\overline{\alpha}_t}\mathbf{x}_0, (1 - \overline{\alpha}_t)\mathbf{I})$, where $\alpha_t := 1 - \beta_t$ and $\overline{\alpha}_t := \prod_{s=1}^t \alpha_s$. Then, a diffusion model learns to denoise $\mathbf{x}_{1:T}$, following the *reverse process* defined by $p_{\theta}(\mathbf{x}_{t-1}|\mathbf{x}_t) := \mathcal{N}(\mathbf{x}_{t-1}; \mu_{\theta}(\mathbf{x}_t, t), \sigma_t^2 \mathbf{I})$, where $\theta \in \mathbb{R}^d$ is the model parameters, and σ_t corresponds to some sampling schedule (Karras et al., 2022).

Instead of modeling the conditional means μ_{θ} , it is standard to predict the added noises with a neural network ϵ_{θ} using the reparameterization trick. Once a diffusion model has been trained, a new image can be generated by sampling an initial noise $\mathbf{x}_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ and iteratively applying ϵ_{θ} at each step t = T, ..., 1 for denoising. In practice, there are different design choices of the denoising process such as the inference time steps and output scaling (Song et al., 2020a;b; Karras et al., 2022).

Data attribution. The goal of data attribution is to identify important training data for a model's behaviors. Some methods are computationally efficient, requiring only the loss gradients computed at the sample to be explained and at the training samples (Koh & Liang, 2017; Yeh et al., 2018). In contrast, methods that rely on retraining models with subsets of the training data have been shown to perform better, at the cost of expensive computation required for retraining models (Ghorbani & Zou, 2019; Ilyas et al., 2022). Park et al. (2023) combine gradient-based attribution with a handful of retrained models to achieve both efficiency and performance. Because training diffusion models is resource-intensive, existing data attribution methods for diffusion models either focus on methods with gradient computations (Georgiev et al., 2023; Zheng et al., 2023) or rely on non-standard training procedures (Dai & Gifford, 2023; Wang et al., 2023). In addition, these data attribution methods focus on local model behaviors specific to a given generated image.

Machine unlearning. One goal of *machine unlearning* is to produce models that behave as if certain training data have been removed (Mercuri et al., 2022). Exact unlearning methods such as SISA apply to models that have already been trained on data subsets (Bourtoule et al., 2021), whereas approximate unlearning methods are applicable to any models (Golatkar et al., 2020; Thudi et al., 2022). For diffusion models, Dai & Gifford (2023) apply SISA to design an ensembled diffusion model that allow exact unlearning for data attribution. Recently, Jia et al. (2023) show that pruning a supervised model first before unlearning can improve unlearning efficiency and efficacy. We adapt this paradigm of sparsified unlearning to efficiently approximate diffusion model retraining.

Contributions. Our contributions include the following. (1) To our knowledge, we are the first to study global data attribution for diffusion models. (2) We propose a general framework to efficiently estimate removal-based global data attributions via sparsified unlearning. (3) We demonstrate that our framework can outperform removal-based methods that rely on naive retraining.

3 EFFICIENT GLOBAL DATA ATTRIBUTION VIA SPARSIFIED UNLEARNING

To compute global data attributions for a given diffusion model ϵ^1 , we first need to define a global model behavior $\gamma : \mathcal{E} \to \mathbb{R}$, where \mathcal{E} denotes the set of all possible diffusion models with the same architecture. Considering the Fréchet Inception Distance (FID) (Heusel et al., 2017) as an example, γ is a wrapper function that generates multiple images using ϵ and calculates the FID between the generated images and reference images. With a model behavior γ specified, removal-based

¹We consider the common practice of training the noise predictor ϵ as training a diffusion model. We also drop the dependency on the model parameters θ for notational ease.

attribution methods such as Data Shapley (Ghorbani & Zou, 2019) and datamodeling (Ilyas et al., 2022) estimate the influence of training data, by computing γ with models $\{\epsilon_{S_i}\}_i$ trained on different data subsets S_i . In the datamodeling framework particularly, a linear model $g_\beta : \{0,1\}^N \to \mathbb{R}$ is fitted to predict $\{\gamma(\epsilon_{S_i})\}_i$ from training data subsets $\{S_i\}_i$ sampled from a distribution \mathcal{D} . Here, N denotes the total number of training data. Specifically,

$$\beta = \underset{\omega \in \mathbb{R}^{N+1}}{\arg\min} \mathbb{E}_{S_i \sim \mathcal{D}} \left[\mathcal{L} \left(g_\omega(\mathbf{1}_{S_i}), \gamma(\epsilon_{S_i}) \right) \right], \tag{1}$$

where $\mathbf{1}_{S_i}$ is a characteristic vector indicating the presence of each training sample in S_i , such that

$$(\mathbf{1}_{S_i})_j = \begin{cases} 1 & \text{if the } j \text{th training sample is in } S_i, \\ 0 & \text{otherwise.} \end{cases}$$
(2)

Here, \mathcal{L} is a regression objective (e.g., mean squared error), which can also include regularization. The fitted parameters $\beta_{1:N}$, without the intercept term β_0 , are considered the attribution scores.

Attributing data contributions to diffusion models using a removal-based approach has been considered impractical, because model retraining time increases linearly with the number of sampled subsets. We propose a novel framework of **removal through sparsified unlearning**, to significantly speed up the attribution procedure. Specifically, our approach utilizes *approximate gradient unlearning* (Golatkar et al., 2020; Thudi et al., 2022), which aims to approximate a model retrained with a subset S_i . We also combine approximate unlearning with the "prune first, then unlearn" paradigm (Jia et al., 2023), leveraging model sparsity to make approximate unlearning even faster. Overall, given a trained diffusion model ϵ and instantiating with datamodel attribution, our framework consists of four steps:

- 1. (**Pruning**) Prune ϵ to obtain a sparser and similarly performant model $\tilde{\epsilon}$.
- 2. (Unlearning with subsets) Sample a training data subset $S_i \sim \mathcal{D}$. Obtain an unlearned model $\tilde{\epsilon}_{S_i}$ by gradient descent on the model parameters of $\tilde{\epsilon}$, with S_i coupled with the original objective used to train ϵ . Repeat this M times.
- 3. (Computing model behaviors) Compute $\gamma(\tilde{\epsilon}_{S_1}), \gamma(\tilde{\epsilon}_{S_2}), ..., \gamma(\tilde{\epsilon}_{S_M})$.
- 4. (Datamodel fitting) Fit a datamodel g_{β} with $\{\mathbf{1}_{S_i}\}_{i=1}^M$ as inputs and $\{\gamma(\tilde{\epsilon}_{S_i})\}_{i=1}^M$ as outputs.

4 EXPERIMENTS

Diffusion model setup. We trained Denoising Diffusion Probability Models (DDPMs) following the original implementation of Ho et al. (2020) on CIFAR-10 (Krizhevsky et al., 2009) for 200,000 gradient steps, with T = 1,000. The DDIM linear scheduler with 100 time steps and $\beta_1 = 10^{-4}, \beta_T = 0.02$ was used for image generation (Song et al., 2020a).

Pruning and unlearning setup. We pruned the DDPM trained on the entire CIFAR-10 dataset using magnitude-based pruning (Han et al., 2015) for its computational efficiency, following Fang et al. (2023) with a pruning ratio of 0.3 and threshold of 0.05. Gradient descent with 4,000 gradient steps was used for unlearning. All models were trained with NVIDIA RTX6000 GPUs.

Evaluating data attribution performance. Data attribution performance is measured using the linear datamodeling score (LDS) Ilyas et al. (2022), which evaluates an attribution method by comparing linearly predicted model behaviors against actual retrained model behaviors. Let $S_1, ..., S_K$ be K randomly sampled subsets of the training set, each of size $\alpha \cdot N$ for some $\alpha \in (0, 1)$. We set $\alpha = 0.5$ for our experiments. The LDS for a set of data attribution scores $\tau \in \mathbb{R}^N$ is defined as

$$LDS := \rho(\{\gamma(\epsilon_{S_i})\}_{i=1}^K, \{\mathbf{1}_{S_i}^{\top}\tau\}_{i=1}^K),$$
(3)

where ρ is the Spearman rank correlation (Spearman, 1961), and ϵ_{S_i} denotes a model retrained from scratch with the training subset S_i . In our experiments we consider the FID as the global model behavior γ .

Results. To validate our proposed framework, we first compare the similarity between 96 pairs of sparsified unlearned models and models retrained from scratch with the same training subsets. As

| Method | \mathbf{FID}_1 | \mathbf{FID}_2 | Train Steps | Train Time (min) |
|--|------------------|------------------|-------------|------------------|
| Original training | 6.79 | - | 200k | - |
| Magnitude-based pruning | 7.38 | 1.0 | 200k | |
| Retraining from scratch (exact unlearning) | - | - | 200k | 1207 |
| Sparsified unlearning (our framework) | | 1.6 | 4k | 18.9 |

Table 1: Performance of diffusion models on CIFAR-10 measured by FID and computational time. FID₁ compares to the CIFAR-10 training data. FID₂ compares to generated images from models retrained from scratch.

shown in Table 1, sparsified unlearning is faster than retraining from scratch by a factor of nearly 64, while maintaining a similar generative distribution (FID = 1.9). This efficiency gain suggests the potential to increase the number of sampled subsets by up to 64-fold for any removal-based data attribution method.

Subsequently, we evaluate the LDS of datamodel attributions by retraining versus sparsified unlearning under various computation budgets. Here, we consider the computation time for one instance of retraining from scratch as a reference unit. Our results demonstrate that sparsified unlearning outperforms naive retraining with much less computing time (Fig. 1).



Figure 1: Comparison of retraining-based vs. unlearning-based datamodel attributions by LDS (%) and computational time.

5 DISCUSSION

In this early work, we introduce a novel framework to efficiently estimate global data attributions for diffusion models, using sparsified unlearning as an approach to speed up removal-based data attribution. We apply our framework to attribute diffusion model FID back to training images in CIFAR-10 with datamodel attributions, showing better LDS performance than datamodel attributions based on naive retraining. There are several directions for potential improvements and future work. First, while we only focus on datamodel attributions for this work, the proposed framework can extend to other removal-based methods, such as Data Shapley (Ghorbani & Zou, 2019) and Data Banzhaf (Wang & Jia, 2023). Beyond gradient unlearning, there are opportunities to apply unlearning methods tailored for diffusion models (Heng & Soh, 2023; Gandikota et al., 2023). Another promising aspect of our framework is its flexibility for incorporating customized model behaviors of diffusion models. This is crucial for assessing the impact of individual data points on the influence of foundation generative models, with particular relevance to high-stakes scenarios concerning safety and copyright (Birhane et al., 2021).

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