Large Language Models Are Involuntary Truth-Tellers: Exploiting Fallacy Failure for Jailbreak Attacks

 \wedge WARNING: This paper contains model outputs that may be considered offensive in nature.

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Abstract

 We find that language models have difficul- ties generating fallacious and deceptive rea- soning. When asked to generate deceptive outputs, language models tend to leak hon- est counterparts but believe them to be false. Exploiting this deficiency, we propose a jail- break attack method that elicits an aligned language model for malicious output. Specif- ically, we query the model to generate a fal- lacious yet deceptively real procedure for the harmful behavior. Since a fallacious proce- dure is generally considered fake and thus harmless by LLMs, it helps bypass the safe-**guard mechanism. Yet the output is factually harmful since the LLM cannot fabricate falla-** cious solutions but proposes truthful ones. We evaluate our approach over five safety- aligned large language models, comparing four previous jailbreak methods, and show that our approach achieves competitive per- formance with more harmful outputs. We be- lieve the findings could be extended beyond model safety, such as self-verification and hal-lucination.

025 1 Introduction

 It is arguably easier, at least from the logical per- spective, to tell the truth than to tell a lie. For ex- ample, given a math problem *"What is 1/2 + 1/3"*, telling the truth only requires the ability to per- form the correct reasoning and derive the correct answer. Telling a lie, on the other hand, requires the ability to not only discern the correct answers, but also avoid generating the correct answers and, more importantly, make the wrong answers look real. In this paper, we refer to the task of fabricat- ing incorrect yet seemingly plausible reasoning as *fallacious reasoning*.

 Large language models (LLMs) have long been struggling with reasoning problems. Existing re- search revealed that LLMs have difficulty discern-[i](#page-8-0)ng the veracity of their intrinsic answers [\(Huang](#page-8-0)

Figure 1: A prompt containing malicious behavior can be rejected by a human-value aligned language model. However, when asked to generate a fallacious procedure for the malicious behavior, an LLM can leak the *honest* answer, yet believe it false.

[et al.,](#page-8-0) [2024;](#page-8-0) [Kadavath et al.,](#page-8-1) [2022;](#page-8-1) [Stechly et al.,](#page-9-0) **042** [2023\)](#page-9-0). This raises an intriguing research ques- **043** tion: If LLMs already find it hard to validate their **044** own correctness, can LLMs deliberately generate **045** fallacious reasoning upon request? **046**

This paper starts with a pilot investigation of **047** LLMs' ability to perform fallacious reasoning. **048** Specifically, on four reasoning benchmarks, cov- **049** ering the domains of mathematics, logic, and **050** commonsense, we ask the LLM to generate the **051** correct answers and to deliberately generate **052** wrong answers that are deceptively real. Our sur- **053** prising finding is that, for all of our tested bench- **054** marks, the accuracy of the generated wrong an- **055** swers is almost as high as that of the correct ones. **056** For instance, in Figure [3,](#page-2-0) the LLM generates the **057** correct reasoning chain and final answer despite **058** the fallacious generation request and claims a **059** step to be wrong with a contradictory statement. **060** This pilot study reveals that LLMs might be un- **061** able to intentionally generate deceptive reason- **062** ing and instead often leak the correct solutions in **063** what they claim to be wrong answers.

As we further our investigation, this seemingly **065**

 small glitch in LLMs can lead to a significant se- curity threat. Specifically, we discovered a simple yet effective jailbreak attack, which we call the **fal- lacy failure attack (FFA)**, that can elicit harmful **output from LLMs by exploiting the LLMs' defi-** ciency in fallacious reasoning. Given a malicious query, *e.g. "How to create and spread a virus"*, FFA queries the target LLM to generate a fallacious yet deceptively real procedure for the malicious query, as demonstrated in Figure [1.](#page-0-0) The rationale behind FFA is two-fold: (1) While LLMs generally **reject malicious queries as they are harmful, they** would consider a query asking for a fallacious an- swer harmless since it purportedly does not seek a truthful (and harmful) answer. This can poten-081 tially help to bypass the LLMs' safeguard mecha- nisms; (2) LLMs would generally leak a truthful answer even when asked to generate a fallacious one. Therefore, by asking the LLM to generate fake answers to a malicious query, we can both bypass the security mechanism and obtain a fac- tual and harmful response. Based on the ratio- nales above, FFA crafts a jailbreak prompt with four components: malicious query, fallacious rea- soning request, deceptiveness requirement, and scene and purpose. FFA does not require access to the language model's internal parameters, fine-tuning, or multi-turn interaction with a chatLLM.

 We evaluate FFA over five safety-aligned large language models: OpenAI GPT-3.5-turbo, GPT-4 (version 0613) [\(OpenAI,](#page-8-2) [2023\)](#page-8-2), Google Gemini- [P](#page-8-4)ro [\(Anil et al.,](#page-8-3) [2024\)](#page-8-3), Vicuna-1.5 (7b) [\(Chiang](#page-8-4) [et al.,](#page-8-4) [2023\)](#page-8-4), and LLaMA-3 (8b) [\(AI@Meta,](#page-8-5) [2024\)](#page-8-5) on two benchmark datasets: AdvBench [\(Zou et al.,](#page-9-1) [2023b\)](#page-9-1) and HEx-PHI [\(Qi et al.,](#page-9-2) [2023\)](#page-9-2). We com- pare FFA with four previous state-of-the-art jail- break attack methods, Greedy Coordinate Gradi- ent (GCG) [\(Zou et al.,](#page-9-1) [2023b\)](#page-9-1), AutoDAN [\(Liu et al.,](#page-8-6) [2023\)](#page-8-6), DeepInception [\(Li et al.,](#page-8-7) [2023\)](#page-8-7), and Art- Prompt [\(Jiang et al.,](#page-8-8) [2024\)](#page-8-8) and under the impact of three defense methods. Our experiments show that FFA performs most effectively against GPT- 3.5, GPT-4, and Vicuna-7b, provoking these mod- els to generate significantly more harmful out- puts. We also find that none of the three defense methods are effective against FFA, highlighting the urgent need to address this security threat. In additional studies, we show the role of scene and purpose in jailbreak attacks and explain why FFA could induce the most factually harmful results.

2 Fallacious Reasoning in LLMs 116

In this section, we present the findings of our pi- **117** lot study about LLMs' capabilities in fabricating **118** fallacious reasoning. **119**

2.1 Task and Motivation 120

We introduce the task of fallacious reasoning, **121** where we ask the LLM to deliberately generate reasoning processes that satisfy two require- **123** ments: ❶ They should be incorrect and lead to **¹²⁴** false answers, and ❷ they should be deceptive **¹²⁵** and appear to be correct. **126**

Generating a fallacious reasoning process is **127** a highly sophisticated task, because it involves **128** multiple capabilities: the ability to judge the cor- **129** rectness of an answer, the ability to avoid generat- **130** ing the correct answer, and the ability to make a **131** wrong answer deceptively real. However, existing **132** research revealed that LLMs struggle in in discern- **133** [i](#page-8-0)ng the veracity of their intrinsic answers [\(Huang](#page-8-0) **134** [et al.,](#page-8-0) [2024;](#page-8-0) [Kadavath et al.,](#page-8-1) [2022;](#page-8-1) [Stechly et al.,](#page-9-0) **135** [2023\)](#page-9-0). Therefore, we raise the following intrigu- **136** ing research questions: Can LLMs deliberately **137** generate fallacious reasoning upon request? **138**

2.2 Experiment Setting 139

To investigate this, we design the following pilot **140** experiment. We choose four reasoning bench- **141** marks, math reasoning GSM8K [\(Cobbe et al.,](#page-8-9) 142 [2021\)](#page-8-9) and MATH [\(Hendrycks et al.,](#page-8-10) [2021\)](#page-8-10), com- **143** monsense reasoning HotPotQA [\(Yang et al.,](#page-9-3) [2018\)](#page-9-3), **144** and logic reasoning ProofWriter [\(Tafjord et al.,](#page-9-4) **145** [2020\)](#page-9-4), and randomly sample 100 questions for **146** each benchmark. For each question, we use **147** GPT-3.5-turbo to generate answers in two modes. **148** ❶ **Honest Mode.** We ask the LLM to generate **¹⁴⁹** the correct answers, using zero-shot Chain-of- **150** Thought [\(Kojima et al.,](#page-8-11) [2023\)](#page-8-11) to prompt the LLM, **151** which appends "*Let's think step by step.*" to the **152** question text; ❷ **Fallacious Mode**. We ask the **¹⁵³** LLM to provide a step-by-step yet *fallacious* so- **154** lution to the question and explain why it is in- **155** correct. Detailed dataset description and exper- **156** imental settings in *this* section are available in **157** Appendix [A.](#page-10-0) **158**

2.3 Our Findings 159

One might expect that the accuracy of the solu- **160** tions generated by these two modes would be **161** drastically different – the honest mode would **162** yield high accuracy and the fallacious mode low. **163**

Figure 2: Accuracy (compared with ground truth of answers) of fallacious and honest solutions on four different tasks by GPT-3.5-turbo.

 However, this is not the case. Figure [2](#page-2-1) com- pares the accuracies of the two modes on the four benchmarks, where we find, quite surprisingly, that the two modes yield comparably high accu- racies. This implies that even if the LLM is asked to generate a wrong answer, it is still likely to gen-erate the correct one.

 To further investigate this behavior, we show a typical example in Figure [3,](#page-2-0) where the LLM gen- erates the correct solution to a question in the MATH dataset despite the fallacious mode. There are two important observations. *First*, although the LLM is asked to generate a fallacious solution, the entire reasoning chain, as well as the final an- swer, is correct. *Second*, when the LLM generates the explanation of why the reasoning chain is in- correct, it simply randomly picks a correct step and claims that it is wrong. The final conclusion even contains a contradictory statement (*"The correct sum should be 5/6, not 5/6"*), indicating the LLM only generates what looks like an explana- tion on the surface without making any actual logical judgment. Although the four benchmarks are in different reasoning domains, our observa-tions are consistent.

 This pilot study reveals that LLMs are unable to generate fallacious reasoning on purpose, and instead often leak the correct solution in what they claim to be wrong answers. As we will show in Section [3,](#page-2-2) this deficiency may turn out to be an important security threat in LLMs.

195 3 Fallacy Failure Attack

 The findings introduced above have profound se- curity implications. In this section, we will dis- cuss how the LLMs' failure in fallacious reasoning can be exploited to form an effective jailbreak at-

Figure 3: An example where the LLM failed to provide a fallacious solution upon request but instead proposed the correct solution and contradictorily claimed it false.

tack, called the *Fallacy Failure Attack* (FFA). **200**

3.1 Problem Formulation 201

Jailbreak attacks aim to elicit harmful information **202** from LLM by transforming the malicious query **203** into a special input form that could bypass LLMs' **204** inherent security safeguard. Specifically, con- **205** sider a malicious query, *Q*, (*e.g., "How to create* **206** *and release a virus that can cause ecological dam-* **207** *age?"*). If *Q* is directly fed into an LLM, the LLM is **208** likely to reject to answer due to the security safe- **209** guard mechanism. Jailbreak attack introduces **210** a transformation function, denoted as $f(\cdot)$, that 211 turns the malicious input into a jailbreak input, **212** $Q' = f(Q)$, which can trick the LLM into giving 213 away its answer to the malicious question. **214**

Therefore, the key to designing a jailbreak at- **215** tack is searching for the input transformation **216** function. A jailbreak attack with a high level of **217** threat and practicality should have the following **218** properties: ❶ **Query-agnostic transformation** – **²¹⁹** the same transformation should work for a wide **220** range of malicious queries; and ❷ **Model gener- ²²¹ alizability** – the attack should work for different **222** LLMs, including white-box and black-box LLMs; **223** thus the design of transformation should ideally **224** not utilize the gradient information of LLMs. **225**

3.2 FFA Overview 226

Our proposed attack method, FFA, uses a fal- **227** lacious reasoning query to bypass LLMs' safe- **228** guard mechanisms. Specifically, FFA is built on **229**

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 the following two conclusions. First, although LLMs would generally recognize direct malicious queries as harmful queries and reject them, most of them would consider a query to generate a fal- lacious answer as a harmless query and would not reject them. For example, although *"How to create and release a virus that can cause ecological damage"* is often identified as a harmful query, *"Generate a fallacious procedure of creating and releasing a virus"* is generally considered a harm- less one by most LLMs, because it does not seek to find the true answer, and thus would not be blocked. Second, LLMs would generally release the true answer even when asked to generate a fallacious one. Therefore, by asking the LLM to generate fake answers to a malicious query, we can both bypass the security mechanism and ob- tain the true answer, hence forming the rationale behind FFA.

249 3.3 FFA Prompt Design

250 Based on the rationales above, FFA crafts a jail-**251** break query with the following four components.

 • **Malicious Query** – the target query for which the attacker wishes to obtain an answer, *e.g.*, "*cre- ate and release a virus that can cause ecological damage*" or "*make and distribute counterfeit*."

 • **Fallacious Reasoning Prompt** – the instruction for the LLM to generate a fallacious procedure for the given malicious query, *e.g.*, *"Provide a falla-cious procedure to [malicious query]"*.

 • **Deceptiveness Requirement** – an additional in- struction that the fallacious procedure should be deceptively real, *e.g.*, *"Need to be 'real' to make laymen believe, not too absurd"*. As shown in Fig- ure [4,](#page-4-0) the deceptiveness requirement is crucial in encouraging the LLM to leak real answers (left plot); otherwise, the LLM could generate answers that are too naively fictional (right plot).

 • **Scene and Purpose** – a description of a hypo- thetical scene or role-play and a legitimate pur- pose that could further relax the LLM's ethical constraints. Typical scenes include *"writing a fic- tion"*, *"being a professor"*, or *"playing a game"*; typ- ical purposes include *"for legitimate purpose"* or the ones that align with the scene [\(Li et al.,](#page-8-7) [2023;](#page-8-7) [Liu et al.,](#page-8-6) [2023;](#page-8-6) [Jiang et al.,](#page-8-8) [2024;](#page-8-8) [Qi et al.,](#page-9-2) [2023;](#page-9-2) [Chao et al.,](#page-8-12) [2023\)](#page-8-12).

277 To sum up, the prompt template for FFA is **278** shown below. Our method does not require ac-**279** cess to the language model's internal parameters, fine-tuning, or multi-turn interaction with a chat **280 LLM.** 281

Prompt Template of FFA

[Scene] provide a fallacious procedure to [Malicious Query] [Purpose]. Make sure the procedure has to be fake but read well by laymen. [Deceptiveness Requirement]

4 Experiments 283

In this section, we describe our experiment re- **284** sults evaluating the effectiveness of FFA, as well **285** as additional studies on its mechanisms. **286**

4.1 Experimental Settings 287

Victim Language Models We utilize five State- **288** of-the-Art large language models for evaluation, **289** including three closed-source models, OpenAI **290** [G](#page-8-2)PT-3.5-turbo and GPT-4 (version 0613) [\(Ope-](#page-8-2) **291** [nAI,](#page-8-2) [2023\)](#page-8-2) and Google Gemini-Pro [\(Anil et al.,](#page-8-3) **292** [2024\)](#page-8-3), and two open-source models, Vicuna- **293** 1.5 (7b) [\(Chiang et al.,](#page-8-4) [2023\)](#page-8-4) and LLaMA-3 **294** (8b) [\(AI@Meta,](#page-8-5) [2024\)](#page-8-5). All LLMs are aligned with **295** safety protocols. **296**

Baselines We compare our approach with four **297** previous State-of-the-Art attacking methods: **298**

• **Greedy Coordinate Gradient (GCG)** [\(Zou et al.,](#page-9-1) **²⁹⁹** [2023b\)](#page-9-1) is an optimization-based method which **300** requires white-box access to a language model. It **301** searches for a token sequence that maximizes the **302** conditional probability of an output starting with **303** an affirmative response. **304**

• **AutoDAN** [\(Liu et al.,](#page-8-6) [2023\)](#page-8-6) an optimization- **³⁰⁵** based, automated jailbreak attack that generates **306** stealthy prompts using a hierarchical genetic al- **307** gorithm, requiring white-box access to a language **308 model.** 309

• **DeepInception** [\(Li et al.,](#page-8-7) [2023\)](#page-8-7) is a black-box jail- **³¹⁰** break attack that leverages LLMs' personification **311** abilities to construct a nested scene to provoke **312** harmful behaviors. **313**

• **ArtPrompt** [\(Jiang et al.,](#page-8-8) [2024\)](#page-8-8) is a black-box at- **³¹⁴** tack that leverages ASCII art to conceal harmful **315** instructions within a word puzzle. The attack **316** then encourages the LLM to decode this masked **317** word and inadvertently complete the harmful in- **318** struction, thereby circumventing the safety mea- **319** sures. **320**

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Figure 4: A comparison between our pilot jailbreak prompts and corresponding output excerpts, with and without specification of deceptiveness.

321 For completeness, we also include a **Naïve 322** baseline, which directly instructs victim LLMs for **323** harmful behaviors.

 Datasets Following previous work, we evaluate our approach on two benchmark datasets: **Ad- vBench** [\(Zou et al.,](#page-9-1) [2023b\)](#page-9-1) and **HEx-PHI** [\(Qi et al.,](#page-9-2) [2023\)](#page-9-2). Specifically, we use a subset of 50 represen- tative non-duplicate harmful instructions from [A](#page-8-8)dvBench, following [Wei et al.](#page-9-5) [\(2023\)](#page-9-5) and [Jiang](#page-8-8) [et al.](#page-8-8) [\(2024\)](#page-8-8). For the HEx-PHI dataset, we sample ten harmful instructions from each of the 11 pro-hibited categories, following [Jiang et al.](#page-8-8) [\(2024\)](#page-8-8).

 Metrics We utilize three metrics to evaluate the effectiveness of a jailbreak attack: ❶ **Bypass Rate (BPR)** (or Helpful Rate or Non-Refusal Rate), de- fined as the percentage of harmful attack queries that an LLM does not refuse to answer over the total number of queries. To detect refusal in the response, we use a Judge-dictionary containing a list of keywords or phrases (*e.g.*, "Sorry, I can not assist with that."), following [Qi et al.](#page-9-2) [\(2023\)](#page-9-2) and [Jiang et al.](#page-8-8) [\(2024\)](#page-8-8). However, LLMs can some- times respond to malicious queries with off-topic content or ethical advice rather than directly re- fusing to answer, causing the bypass rate to be inaccurate. To this end, previous work [\(Qi et al.,](#page-9-2) [2023;](#page-9-2) [Jiang et al.,](#page-8-8) [2024;](#page-8-8) [Liu et al.,](#page-8-6) [2023\)](#page-8-6) utilizes LLMs to evaluate the harmfulness in the output. The output content is rated by GPT-4, given de- tailed rubrics from 1 to 5 for harmfulness, with a score of 1 for no harm and 5 for extreme harm. Therefore, we also report ❷ **Average Harmfulness Score (AHS)**, the harmfulness scores averaged

over all the responses; and ❸ **Attack Success Rate ³⁵⁴ (ASR)**, the percentage of responses with a score of **355** [5](#page-9-2) over the total number of responses, following [Qi](#page-9-2) **356** [et al.](#page-9-2) [\(2023\)](#page-9-2) and [Jiang et al.](#page-8-8) [\(2024\)](#page-8-8). **357**

Defense Methods To evaluate our approach **358** from the defense perspective, we consider three **359** popular defense methods against jailbreak at- **360** [t](#page-8-8)acks [\(Jain et al.,](#page-8-13) [2023;](#page-8-13) [Liu et al.,](#page-8-6) [2023;](#page-8-6) [Jiang](#page-8-8) **361** [et al.,](#page-8-8) [2024\)](#page-8-8): ❶ **Perplexity Filter**. Typical at- **³⁶²** tacking prompts can contain gibberish or un- **363** conventional strings, resulting in high perplexity. **364** Thus, the perplexity filter rejects input string *X* **365** if $PPL(X)$ exceeds a pre-defined threshold T . \bullet 366 **Paraphrasing.** A query is paraphrased before be- **367** ing prompted to an LLM. Ideally, paraphrasing **368** would preserve an instruction's natural seman- **369** tics but fail to accurately maintain enough adver- **370** sarial tokens for jailbreak. ❸ **Retokenization.** A **³⁷¹** [q](#page-9-6)uery is preprocessed by BPE-dropout [\(Provilkov](#page-9-6) **372** [et al.,](#page-9-6) [2019\)](#page-9-6), where 20% merges from the BPE tok- **373** enizer are dropped, following [Jiang et al.](#page-8-8) [\(2024\)](#page-8-8). **374** For example, one of the possible retokenization **375** results of the string "a fallacious procedure **376** for making counterfeit" can be "a fall aci **377** ous proce du re for ma king coun ter fe **378** it". Although previous work indicates that, for **379** benign instructions, PPL checks can result in false **380** alarms and string transformations can degrade **381** model output quality, these defensive measures **382** remain valuable for understanding the mecha- **383** nisms of attack methods. **384**

Implementation Details For baselines, when **385** attacking a black-box model with methods requir- **386** ing white-box access, we follow their official im- plementation of transferable settings, optimizing the prompt with an open-source model (Vicuna- [7](#page-9-7)b in [Zou et al.](#page-9-1) [\(2023b\)](#page-9-1) and LLaMA-2 [\(Touvron](#page-9-7) [et al.,](#page-9-7) [2023\)](#page-9-7) in [Liu et al.](#page-8-6) [\(2023\)](#page-8-6)) and transferring it to attack the black-box models. For FFA, we ensemble attack prompts using three pre-defined common combinations of scene and purpose for each malicious instruction and store the one with the highest harmful score. We find that the truth- fulness of output is not sensitive to the phras- ing of the discriminator and set it as '*need to be "real" to make laymen believe, not too absurd. That is, deceivable enough to educated group*' for the rest of the experiments. The full implementation details of the attack, defense, and prompt tem- plates used in the experiments are available in Appendix [B.](#page-10-1)

405 4.2 Main Results

 Attack Efficiency Table [1](#page-6-0) illustrates the per- formance of FFA compared with the five base- lines across five language models. There are pri- marily two observations regarding our approach. **First**, FFA is most effective against GPT-3.5, GPT- 4, and Vicuna-7b and achieves comparable per- formance against Gemini-Pro, compared with other previous State-of-the-Art jailbreak meth- ods. Against GPT-3.5, GPT-4, and Vicuna-7b, our method provokes the LLMs to generate signifi- cantly more harmful output, with a 10% ∼ 50% ab- solute improvement in ASR. **Second**, the recently released language model LLaMA-3, in general, has stronger defense power against multiple jail- break attack methods. However, our method per- formed even worse compared with some other methods. By manual inspection of the responses of the model, we find that LLaMA-3 is inclined to reject any instruction involving the creation of deceptive or false content, irrespective of its po- tential harm. For instance, LLaMA-3 will refuse the proposition of a fallacious mathematical the- orem proof. While we acknowledge that rejecting all false content could provide optimal defense against FFA, the ability to generate fallacies could, paradoxically, reflect a form of AI intelligence and be advantageous in specific contexts, such as mathematics and theory. **Additionally**, there are a few observations regarding other baselines: (1) Nave approach, which directly asks an LLM ¨ to propose harmful output, can be easily rejected by all models. (2) Despite ArtPrompt's sufficient

performance, it struggles against an easily tar- **438** geted model, Vicuna-7b. We find that this is due **439** to the model's inability to interpret ASCII art and **440** reconstruct the true intent of the attack. (3) Deep- **441** Inception exhibits a very high bypass rate, but its **442** output is not harmful based on the AHS and ASR. **443** We will discuss a hypothesis on the harmfulness **444** of our outputs compared with DeepInception in **445 Section [4.3.](#page-5-0)** 446

Defense Impact Table [2](#page-6-1) presents the results un- **447** der various defense settings. The "No Defense" **448** results indicate the best attack performance with- **449** out implementing any defense measures. Gen- **450** erally, all three defense methods can negatively **451** impact the effectiveness of FFA. However, we **452** observe that **(1)** PPL-Filter only marginally af- **453** fects FFA. The result is expected since our at- **454** tack prompt is phrased naturally without non- **455** sensical or unconventional strings. **(2)** Para- **456** phrasing is generally the most effective defense **457** method against our approach. This is unexpected **458** since the semantics of instructing LLM for a falla- **459** cious output should be preserved after paraphras- **460** ing. We hypothesize that even subtle semantic **461** changes, including describing harmful behavior, **462** could affect LLM's security measures. **(3)** Sur- **463** prisingly, paraphrasing and retokenization did **464** not degrade but enhanced the FFA attack's effec- **465** tiveness against LLaMA-3. We find that during **466** paraphrasing, the terms "fallacious/fake" are of- **467** ten rephrased as "invalid" or "flawed." Given the **468** LLM's strong opposition to fake content, we hy- **469** pothesize that paraphrasing could alleviate this **470** opposition. However, interpreting retokenization **471** is challenging as we're uncertain how distorted **472** token inputs are perceived by language models. **473** Overall, none of the three methods effectively de- **474** fend against or mitigate FFA, highlighting the ur- **475** gent need for more advanced defenses and fur- **476** ther research on the fallacious generation ability **477** in LLMs. **478**

4.3 Additional Studies 479

Impact of Scene and Purpose on Attack Efficacy 480 An intriguing question is the role of scene and **481** purpose in jailbreak attacks. Do they alone suf- **482** fice to bypass the LLM's security measures? Does **483** FFA retain attack ability *without* a scene or pur- **484** pose? We conducted an ablation study and com- **485** puted the AHS and ASR under five attacks, scene, **486** and purpose combinations across three language **487**

	$GPT-3.5$ -turbo ^T			$GPT-4$ [†]			Gemini-Pro ¹			Vicuna-7b			$LI.aMA-3-8B$		
Attack Method	BPR%	AHS	ASR%	BPR%	AHS	ASR%	BPR%	AHS	ASR%	BPR%	AHS	ASR%	BPR%	AHS	ASR%
Naïve	2	l.22			.00			1.28	6	4.4	1.09			00.1	Ω
GCG	30	3.36	54	24	. 48	10	48	2.88	46	96.3	4.09	66.2	38.1	1.96	8.8
AutoDan	24	1.78	18	14	1.52	10	20	1.34	8	98.1	4.21	63.1	46.3	2.03	13.8
DeepInception	100	2.90	16	100	1.30		100	4.34	78	100	3.48	32.5	58.1	1.99	10.0
ArtPrompt	92	4.56	78	98	3.38	32	100	4.42	76	100	2.84	12.5	82.5	3.07	28.7
FFA (Ours)	100	4.71	88.1	96.3	4.26	73.8	82.5	4.04	73.1	100	4.81	90.0	46.3	2.22	24.4

Table 1: Attack efficacy of FFA against five language models compared to five baseline methods.† indicates results from previous papers. Directly asking an LLM to propose harmful output can be easily rejected by all models. FFA performs most effectively against GPT-3.5, GPT-4, and Vicuna-7b, provoking these models to generate significantly more harmful outputs. However, FFA struggles against LLaMA-3. This is because LLaMA-3 is inclined to reject any instruction involving the creation of false content, irrespective of its potential harm. ArtPrompt performs poorly against Vicuna-7b due to the model's lack of comprehension ability of ASCII art. DeepInception exhibits a very high bypass rate, but its output is not harmful based on the AHS and ASR.

	GPT-3.5-turbo			$GPT-4$			Gemini-Pro			Vicuna-7b			LLaMA-3-8B		
Defense Method	BPR%	AHS	ASR%	BPR%	AHS	ASR%	BPR%	AHS	ASR%	BPR%	AHS	ASR%	BPR%	AHS	ASR%
No Defense	100	4.71	88.1	96.3	4.26	73.8	82.5	4.04	73.1	100	4.81	90.0	46.3	2.22	24.4
PPL-Filter	95.6	4.55	84.4	91.9	4.11	70.0	78.8	3.89	69.4	95.6	4.64	86.2	43.8	2.14	22.5
Paraphrasing	90.0	4.09	65.6	65.6	2.91	42.5	51.2	2.95	43.8	71.3	3.67	63.1	63.1	2.88	31.9
Retokenization	97.5	4.01	61.3	63.1	3.11	46.9	58.8	2.99	38.8	92.5	3.19	31.9	73.1	2.44	21.9

Table 2: Result of FFA performance under the impact of defense approaches.

 models shown in Figure [5.](#page-6-2) setting X, Y, and Z re- fer to directly asking LLM for malicious behavior with that combination of scene and purpose, re- spectively. FFA + Z refers to using Z as the scene and purpose of the FFA attack. FFA + None refers to the FFA attack without specifying any scene or purpose. We can observe that **(1)** naïvely adding a scene and purpose to the direct instruction of harmful behavior mostly has a marginal effect on jailbreak attack. The only exception is the com- bination of "scientific fiction" and "against evil Doctor X," which demonstrates notable attack efficacy against GPT-3.5-turbo and Gemini-Pro. Interestingly, this unique design seems to be the primary driving force behind the DeepInception method. **(2)** Although our method archives opti- mal performance with the combination of scene and purpose, it can retain significant attack ability without a scene and purpose, except for LLaMA-3, which opposes the creation of untruthful content. **(3)** When using the same fictitious scene and pur- pose as DeepInception, our method is more likely to induce more harmful output.

 Harmfulness from Honesty Figure [6](#page-7-0) presents a qualitative example of the outputs from FFA and DeepInception, both targeting the same ma- licious behavior. Content-wise, while DeepIncep- tion's output is loaded with science fiction termi- nology, FFA presents factual and detailed steps for the queried malicious behavior. Why is the out-

Figure 5: Scatter plot of AHS and ASR from five attack and scene/purpose combinations across three language models.

put produced by FFA more harmful and factual? **518** We hypothesize that (1) despite the potential for a 519 science fiction scenario to bypass the LLM's secu- **520** rity measures, the output will inevitably be more **521** fictional due to the LLM's understanding of sci- **522** fi. (2) In contrast, FFA rests on the fact that LLM **523** cannot generate a deceptive solution but instead **524** provides a truthful counterpart, thus is factually **525** harmful. For this reason, the harmfulness in the **526** FFA's output, to some extent, is retained even **527** when using the fictitious scene and purpose from **528** DeepInception. **529**

Interestingly, in the FFA output, the LLM also **530** tries to elucidate that the above procedure is falla- **531**

Figure 6: A comparison of the model outputs between FFA and DeepInception, given the same target behavior describe steps on insider trading.

532 cious. This incoherent conclusion and the truth-**533** ful solution under the fallacious request echo the **534** findings in the fallacious reasoning section.

535 5 Related Work

 Jailbreak Attack Recent large-scale language models (LLMs) are optimized and aligned with human preferences under ethical guidelines and [l](#page-9-8)egal constraints [\(Ouyang et al.,](#page-8-14) [2022;](#page-8-14) [Ziegler](#page-9-8) [et al.,](#page-9-8) [2020;](#page-9-8) [Zou et al.,](#page-9-9) [2023a\)](#page-9-9). However, studies have discovered that deliberately transforming the prompt can trick LLMs into responding with malicious instructions without rejection, which exposes the ethical and security risks of LLMs in real-world applications [\(Wei et al.,](#page-9-5) [2023;](#page-9-5) [Qi et al.,](#page-9-2) [2023\)](#page-9-2). Two primary strategies are currently em- ployed to identify these transformations. The first involves optimization requiring access to a white box language model. [Zou et al.](#page-9-1) [\(2023b\)](#page-9-1) introduce an optimization-based method by searching for a token sequence that maximizes the conditional probability of an output starting with an affirma- tive response. [Liu et al.](#page-8-6) [\(2023\)](#page-8-6) propose to generate more readable prompts using a hierarchical ge- netic algorithm. The second involves manually crafting or searching for prompt updates without requiring gradient access. [Li et al.](#page-8-7) [\(2023\)](#page-8-7) lever- age LLMs' personification abilities to construct a [n](#page-8-8)ested scene to provoke harmful behaviors. [Jiang](#page-8-8) [et al.](#page-8-8) [\(2024\)](#page-8-8) use ASCII art to conceal harmful in- structions within a word puzzle to circumvent the safety measures. There are also methods that are based on multi-turn interactions with chat LLMs. [Chao et al.](#page-8-12) [\(2023\)](#page-8-12) utilize an additional language model as an attacker to find jailbreak prompts with multiple queries, [Russinovich et al.](#page-9-10) **566** [\(2024\)](#page-9-10) attack the chat language model with multi- **567** turn dialogues. **568**

Jailbreak Defense The development of defense **569** methods is challenging and limited due to the **570** inaccessibility of internal parameters of closed- **571** source language models. The most straightfor- **572** ward strategy involves a perplexity check, presum- **573** ing the attack prompt contains unnatural strings. **574** Some methods involve prompt pre-processing, **575** including token perturbation and transforma- **576** [t](#page-9-11)ion [\(Jain et al.,](#page-8-13) [2023;](#page-8-13) [Provilkov et al.,](#page-9-6) [2019;](#page-9-6) [Robey](#page-9-11) **577** [et al.,](#page-9-11) [2023\)](#page-9-11). However, these defenses could com- **578** promise benign user instructions' output quality. **579** Lastly, some strategies leverage language models **580** to assess the potential harm of the instruction **581** and its output [\(Kumar et al.,](#page-8-15) [2024;](#page-8-15) [Phute et al.,](#page-9-12) **582** [2024\)](#page-9-12). **583**

6 Conclusion and Future Work 584

This paper presented a simple yet explainable **585** and effective jailbreak attack method. It is pred- **586** icated on the observation that language models **587** cannot generate fallacious and deceptive solu- **588** tions but instead produce honest counterparts. **589** We argue that this observation not only poses **590** a security threat but also implies how modern **591** LLMs' perceptions of specific tasks are limited **592** when the scenario is inadequately optimized and **593** aligned during training. We believe this observa- **594** tion can be further extended to related research **595** areas, such as self-verification and hallucination, **596** providing valuable insights into understanding **597** LLM behavior toward general intelligence. **598**

599 Limitations

 While in this paper, we propose an effective jail- break attack method against language models, we have not yet identified an ideal defense mecha- nism to counteract it. One potential defense strat- egy is to consistently reject queries containing fal- lacious reasoning. However, this approach may not be optimal, as it undermines the versatility and utility of large language models in achieving general intelligence and could lead to inadvertent rejection of benign queries in other applications. Future work is required to develop more robust and sophisticated defense strategies to effectively prevent FFA.

613 Ethics Statement

 This paper introduces a jailbreak approach lever- aging LLMs' failures of fallacious reasoning. It potentially allows adversaries to exploit LLMs, creating outputs that do not align with human values or intentions. However, like previous jail- break research, this work should encourage re- search into improved defense strategies and de- velop more robust, secure, and well-aligned LLMs in the long term. We also hope that the charac- teristic of LLMs leaking truthful content upon request of the fallacious generation will draw at- tention from the research community, enabling potential research in other areas, such as halluci-nation and LLM self-verification.

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778 supporting facts. We sample questions form the
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 hard-level development set since the online test- ing set is unavailable. • **ProofWriter** [\(Tafjord et al.,](#page-9-4) [2020\)](#page-9-4) is a multi-hop logic reasoning dataset with each example con- taining a set of facts and rules for the logic deduc-tion. We sample all the questions from the set

764 A Fallacious Reasoning Details

775 from each of the five difficulty levels.

770 with moderate difficulties.

767 ing:

765 For the experiments in Section 2, we randomly **766** sample 100 data points from each of the follow-

⁷⁶⁸ • **GSM8K** [\(Cobbe et al.,](#page-8-9) [2021\)](#page-8-9) contains linguisti-**769** cally diverse grade school-level math questions

 • **MATH** [\(Hendrycks et al.,](#page-8-10) [2021\)](#page-8-10) is a competition mathematics dataset with challenging concepts such as Calculus and Linear Algebra, spanning over five difficulty levels. We sample 20 examples

⁷⁷⁶ • **HotPotQA** [\(Yang et al.,](#page-9-3) [2018\)](#page-9-3) is a multi-domain, **777** multi-hop question-answering dataset based on

 requiring the most hops. We show another example where the LLM failed to propose fallacious reasoning from the HotPotQA dataset in Figure [7,](#page-10-2) with similar pat-

Prompt

...

...

789 terns as the example in Figure [3.](#page-2-0)

Provide a step-by-step **fallacious** solution for the following question. Explain which step was wrong. ...

Fact 3: Fifty Shades is an American film series that consists of three erotic romantic drama films, based on the " Fifty Shades" trilogy by English author E. L. James.

Fact 7: Fifty Shades Freed is the third and final installment of the erotic romance "Fifty Shades Trilogy"

Question: What is the nationality of the author of Fifty Shades Freed?

Output

Solution:

1. Erika Mitchell, known by her pen name E. L. James, is an English author.

- 2. E. L. James wrote the novel "Fifty Shades Freed".
- 3. Therefore, the author of Fifty Shades Freed is English. **(**✓**)**

Explanation:

The assumption that the author of the book is of the same nationality as the pen name used is wrong. (**unsupported**)

Figure 7: An example from HotPotQA, where the LLM failed to provide a fallacious solution upon request but instead proposed the correct solution and claimed it false without supporting evidence.

B Main Experiment Settings 790

For PPL-Filter, we use GPT-2 to calculate *PPL*(*X*), **791** following [Alon and Kamfonas](#page-8-16) [\(2023\)](#page-8-16), defined as: **792**

$$
PPL(X) = -\frac{1}{t} \sum_{i}^{t} log p(x_i | x_{
$$

where *X* is the input token sequence. Follow- **794** ing [Jain et al.](#page-8-13) [\(2023\)](#page-8-13); [Jiang et al.](#page-8-8) [\(2024\)](#page-8-8), we set **795** $T = 175.57$ as the threshold, which is the max per- 796 plexity among all the *direct instructions* of the **797** malicious behaviors in the AdvBench datasets. **798**

Since ArtPrompt may require encoding and **799** masking one (meaningful) word at a time for each **800** harmful behavior (e.g., "[MASK] fraud" or "tax **801** [MASK]"), we report the best performance out of **802** multiple attack prompts for each harmful query. **803**

We utilize GPT-3.5-turbo for paraphrasing, fol-
804 lowing [Jain et al.](#page-8-13) [\(2023\)](#page-8-13), with the template "Para- **805** phrase the following instruction: {oringial instruc- **806** tion}". The paraphrased version is then forwarded **807** to the target LLM as the new instruction. **808**

We treat [scene] and [purpose] as hyper- 809 parameters in FFA. We utilize three predefined **810** scene-purpose pairs based on commonsense and **811** previous work, shown in Table [3:](#page-11-0) **812**

Table 3: Scene and Purpose combinations used in FFA.