# Learning to Negotiate via Voluntary Commitment

# **Anonymous authors**

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**Keywords:** Cooperative AI, Multi-agent Reinforcement Learning, Commitment Games, AI Alignment, Multi-agent System

# **Summary**

The partial alignment and conflict of autonomous agents lead to mixed-motive scenarios in many real-world applications. However, agents may fail to cooperate in practice even when cooperation yields a better outcome. One well known reason for this failure comes from noncredible commitments. To facilitate commitments among agents for better cooperation, we define Markov Commitment Games (MCGs), a variant of commitment games, where agents can voluntarily commit to their proposed future plans. Based on MCGs, we propose a learnable commitment protocol via policy gradients. We further propose incentive-compatible learning to accelerate convergence to equilibria with better social welfare. Experimental results in challenging mixed-motive tasks demonstrate faster empirical convergence and higher returns for our method compared with its counterparts.

# **Contribution(s)**

- Formalization of Markov Commitment Games (MCGs) as a tractable framework for state-dependent voluntary commitments in dynamic, mixed-motive multi-agent interactions.
   Context: Prior work on commitment games either focused on static, one-shot commitments (Kalai et al., 2010; Renou, 2009; Schelling, 1980) or suffered from recursive and potentially infinite commitments (Bryan et al., 2010; Forges, 2013; DiGiovanni & Clifton, 2023); MCGs enable linear-size commitments in the planning horizon and are therefore more tractable for agents to reason through.
- Development of Differentiable Commitment Learning (DCL), a unified, end-to-end learnable protocol that jointly optimizes proposal, commitment, and action policies via policy gradients.
  - **Context:** Unlike prior approaches that treated commitments as fixed contracts (Wang et al., 2024; Haupt et al., 2022; Han et al., 2017; Sodomka et al., 2013), DCL allows agents to backpropagate through others' estimated or actual commitment policies, simplifying integration into gradient-based MARL algorithms.
- Introduction of incentive-compatible proposal constraints that guarantee any proposed joint plan improves each agent's expected return, thereby accelerating convergence toward Pareto-improving equilibria.

Context: None

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## **Abstract**

The partial alignment and conflict of autonomous agents lead to mixed-motive scenarios in many real-world applications. However, agents may fail to cooperate in practice even when cooperation yields a better outcome. One well known reason for this failure comes from non-credible commitments. To facilitate commitments among agents for better cooperation, we define Markov Commitment Games (MCGs), a variant of commitment games, where agents can voluntarily commit to their proposed future plans. Based on MCGs, we propose a learnable commitment protocol via policy gradients. We further propose incentive-compatible learning to accelerate convergence to equilibria with better social welfare. Experimental results in challenging mixed-motive tasks demonstrate faster empirical convergence and higher returns for our method compared with its counterparts.

## 1 Introduction

- 13 In mixed-motive applications (Dafoe et al., 2020), agents often fail to cooperate even when coop-
- 14 eration leads to better outcomes. One key reason is the issue of non-credible commitments. For
- instance, in the Prisoner's Dilemma (Table 1), mutual cooperation would lead to higher payoffs for
- 16 both players compared to mutual defection, but each player, driven by its self-interest, is incentivized
- 17 to defect regardless of the other's choice. As a result, credible commitments to cooperate cannot be
- 18 established.

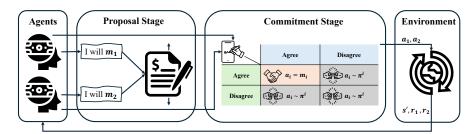


Figure 1: Markov Commitment Game: A Markov commitment game consists of three stages. In the first stage, agents announce their proposed future actions. In the second stage, agents observe others' proposals and decide whether to commit to the joint plan. In the final stage, agents choose their actions: if all agents commit, they follow their proposals; if any agent does not commit, all agents independently select actions based on the current state. Afterward, agents observe the resulting rewards and transit to the next state.

- 19 To mitigate the commitment problem, a commitment device (Rogers et al., 2014; Sun et al., 2023)
- 20 is often required to ensure that agents fulfill their commitments, either by binding their actions to
- 21 fixed strategies (Schelling, 1980; Renou, 2009; Kalai et al., 2010; DiGiovanni & Clifton, 2023) or
- 22 imposing penalties for noncompliance (Bryan et al., 2010). In particular, conditional commitment
- devices (Kalai et al., 2010; Dafoe et al., 2020) have been verified to enhance cooperation in the

Prisoner's Dilemma. When one player conditionally commits to cooperate if and only if the other does the same, the other player is motivated to cooperate. However, these conditional commitment mechanisms, tailored to specific problems, typically rely on fixed, pre-specified rules, leaving no room for adaptation in more complex, dynamic environments. Additionally, such mechanisms are designed primarily for simple, repeated games such as the Prisoner's Dilemma, limiting their applicability to a broader range of strategic scenarios where the conditions for cooperation may evolve over time.

31 To address these limitations, we propose a learnable commitment mechanism, named differentiable 32 commitment learning (DCL) based on the introduced Markov Commitment Games (MCGs, Fig-33 ure 1). MCGs are a variant of commitment games (Renou, 2009; Bryan et al., 2010; Forges, 2013; 34 DiGiovanni & Clifton, 2023). In two-phase commitment games, each agent first announces a uni-35 lateral commitment to a subset of possible strategies, then selects an action based on strategies they 36 have committed to. Different from commitment games, MCGs incorporate an additional proposal 37 phase, where agents release a proposed future plan of their own actions in the current state without 38 disclosing their strategies for other states. As a result, MCGs do not require mutual transparency 39 of commitment strategies and avoid incompatibilities in commitment implementation. Furthermore, 40 commitments in MCGs have linear size in the planning horizon and are therefore more tractable for agents to reason through, whereas in conditional commitment games (Bryan et al., 2010; Forges, 41 42 2013; DiGiovanni & Clifton, 2023), commitments are recursive and potentially infinite.

43 The core idea of DCL in MCGs is to learn a commitment protocol that enables agents to voluntarily 44 align their actions based on the commitments of others. Under the assumption of self-interested 45 agents, DCL adopts the scheme of reinforcement learning (Sutton, 2018), optimizes long-term in-46 dividual returns via policy gradients. Different from common RL algorithms that treat other agents 47 as part of the environment, DCL allows backpropagation through actual or estimated policies of 48 other agents. The advantages of DCL are twofold. 1) The commitment mechanism is agnostic 49 to environment dynamics so that it can generalize across various tasks. Whereas in commitment games (Renou, 2009; Bryan et al., 2010; Forges, 2013; DiGiovanni & Clifton, 2023), the commit-50 ment strategies are pre-defined for specific problems. 2) DCL provides more accurate value eval-51 52 uation and policy gradient estimations through backpropagation across commitment channels. By 53 explicitly leveraging the interdependence of agents' decisions, DCL enhances learning outcomes. 54 Whereas other baseline RL algorithms (Schulman et al., 2017; Haupt et al., 2022; Ivanov et al., 55 2023) treat other agents as part of the environment, resulting in non-stationarity from each agent's 56 perspective.

Extensive experiments in tabular, sequential and iterative social dilemmas verify the efficiency of our approach in promoting cooperation. DCL significantly outperforms several baseline methods, including independent RL, contract-based reward transfer RL, and mediated multi-agent RL, often by establishing mutually beneficial multilateral commitments.

# 2 Markov Commitment Games

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The ability to make binding commitments is a fundamental mechanism for promoting cooperation.

To enable strategic commitment-making among intelligent agents in multi-agent systems, we for-

64 mulate a Markov Commitment Game (MCG, Figure 1), formally defined by a tuple

$$MCG = (\mathcal{N}, \mathcal{S}, \mathcal{T}, (\mathcal{M}^i, \mathcal{C}^i, \mathcal{A}^i, \mathcal{R}^i)_{i \in \mathcal{N}}, \gamma). \tag{1}$$

MCGs include three stages. At each time step t, the agent  $i \in \mathcal{N}$  observes a global state  $s_t \in \mathcal{S}$  and announces a proposal  $m^i \in \mathcal{M}^i = \mathcal{A}^i$  in the first stage. Then each agent i observes the joint proposal  $\mathbf{m} = (m^i)_{i \in \mathcal{N}}$  and makes a commitment decision  $c^i \in \mathcal{C}^i = \{0,1\}$  in the second stage, where  $c^i = 1$  indicates that agent i commits to the joint proposal,  $c^i = 0$  indicates that agent i rejects the joint proposal. In the third stage, if all agents commit to the joint plan, they execute the actions in the proposal, i.e.,  $a^i = m^i, \forall i \in \mathcal{N}$ ; otherwise, each agent i independently selects an action  $a^i \in \mathcal{A}^i$ . Agent i receives the reward  $r^i$ , determined by the reward function

- $\mathcal{R}^i: \mathcal{S} \times \mathcal{A} \to \mathbb{R}$ , where  $\mathcal{A} = (\mathcal{A}^i)_{i \in \mathcal{N}}$  represents the joint action space. Meanwhile, the next
- state  $s_{t+1}$  is generated by the transition function  $\mathcal{T}: \mathcal{S} \times \mathcal{A} \to \Delta(\mathcal{S})$ , which satisfies the Markov
- 74 property and the stationarity condition, i.e.,  $\mathcal{T}(s_{t+1}=s'|s_t=s,\mathbf{a}_t=\mathbf{a})=\mathcal{T}(s_{t+1}=s'|s_t=s,\mathbf{a}_t=s)$
- $s, \mathbf{a}_t = \mathbf{a}, s_{t-1}, \mathbf{a}_{t-1}, \ldots, s_0, \mathbf{a}_0) = \mathcal{T}(s'|s, \mathbf{a}), \forall t.$  This process is repeated until the episode ends. It 75
- is important to note that the transition distribution conditions on the current state and joint actions 76
- only, not on the proposals or commitment decisions. This is because proposals and commitments
- indirectly influence the transition by affecting the actions executed. 78
- 79 In an MCG, each agent has three decisions to make at each time step: what to propose, whether
- to commit or not, and how to choose actions without joint commitment. Therefore, we decompose 80
- each agent's behavioral model into three strategic policies. The proposal policy,  $\phi^i_{\eta^i}: \mathcal{S} \to \Delta(\mathcal{M}^i)$ , maps the current state  $s_t$  to a distribution over agent i' space of proposals. The commitment policy, 81
- 82
- $\psi_{\mathcal{C}^i}^i: \mathcal{S} \times \mathcal{M} \to \Delta(\mathcal{C}^i)$ , depends on the state  $s_t$  and the joint proposal  $\mathbf{m}_t \in \mathcal{M} = (\mathcal{M}^i)_{i \in \mathcal{N}}$ . The 83
- action policy,  $\pi_{\theta^i}^i: \mathcal{S} \to \Delta(\mathcal{A}^i)$ , samples action based on the current state  $s_t$  only. 84
- MCGs adopt a strategic commitment mechanism in mixed-motive multi-agent systems. In this 85
- 86 framework, the environment also serves as a commitment device, enforcing agents' voluntarily im-
- 87 posed restrictions on their future actions. Agents in MCGs have access to this device, which is
- effective only when all self-interested agents agree to commit to a public joint plan. If any agent
- declines, all agents will independently select actions without restrictions by commitment. Thus, the 89
- commitment device facilitates a conditional commitment: agents agree to execute their proposed 90
- actions only if every other agent also commits to the joint plan. 91
- 92
- Driven by self-interest, the objective of each agent i is to find the optimal strategy  $(\phi^i_{\eta^{i*}}, \psi^i_{\zeta^{i*}}, \pi^i_{\theta^{i*}})$  that maximizes their future expected return, i.e. the expected cumulative discounted reward, defined 93
- 94 by

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$$\max_{\eta^i, \zeta^i, \theta^i} V^i_{\phi, \psi, \pi}(s) = \mathbb{E}_{\phi, \psi, \pi} \left[ \sum_{k=t}^{\infty} \gamma^{k-t} r^i_{k+1} | s_t = s \right], \tag{2}$$

- where  $\gamma$  is the discounted factor,  $\phi = (\phi^i_{\eta^i})_{i \in \mathcal{N}}$ ,  $\psi = (\psi^i_{\zeta^i})_{i \in \mathcal{N}}$ ,  $\pi = (\pi^i_{\theta^i})_{i \in \mathcal{N}}$ . Note that agent i's value function  $V^i_{\phi,\psi,\pi}(s)$  is dependent on other agents' strategies, as the collective actions of 95
- 96
- all agents jointly decide the rewards and state transitions in multi-agent systems. Meanwhile, each 97
- agent's proposal and commitment decision also indirectly affect others' expectation of their future 98
- 99 returns. Therefore, the impact of other players' policies on each agent's objective should be properly
- 100 evaluated during learning.

### 2.1 Equilibrium Analysis in Prisoner's Dilemma

- MCGs induce a conditional commitment mechanism, which can lead to different strategic behaviors 102
- 103 and outcomes compared to a game without such commitments.
- 104 **Proposition 2.1.** Mutual cooperation is a Pareto-dominant Nash equilibrium in the MCG of the
- 105 Prisoner's Dilemma.
- 106 Specifically, we demonstrate with Proposition 2.1 that with the ability to commit, both players have
- an incentive to strategically propose and commit to cooperation, given the other agent does the same, 107
- thereby transforming mutual cooperation into a Pareto-dominant Nash equilibrium. The formal 108
- 109 proof of this proposition is provided in Appendix 9.

## **Differentiable Commitment Learning**

- Based on MCGs, we propose differentiable commitment learning (DCL) under the assumption of 111
- 112 self-interested agents. Instead of treating other agents as part of the environment, DCL considers
- joint actions when evaluating individual returns. To formulate this idea, we define the state-action 113
- value function of agent i in MCGs as  $Q_{\phi,\psi,\pi}^i(s,\mathbf{a}) = \mathbb{E}_{\phi,\psi,\pi}[\sum_{k=t}^{\infty} \gamma^{k-t} r_{k+1}^i | s_t = s, \mathbf{a}_t = \mathbf{a}]$ , rep-114
- resenting the expected future returns conditioned on the current state and the joint actions. Because 115

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- the environment's transitions and reward function in MCGs depend only on the state and joint ac-116
- tions, the state-action value function does not condition on proposals or commitments either. Under 117
- the scheme of on-policy reinforcement learning (Sutton, 2018), DCL estimates this state-action value 118
- 119 function by minimizing the mean square error between  $Q^i_{\phi,\psi,\pi}(s,\mathbf{a})$  and the Monte Carlo returns
- 120
- $\hat{G}^i_t = \sum_{k=t}^T \gamma^{k-t} r^i_{k+1}$  of the sampled trajectories. Similar to the policy gradient theorem (Sutton et al., 1999), we then derive unbiased policy gradients based on  $Q^i_{\phi,\psi,\pi}(s,\mathbf{a})$  in Equations (3), (4), 121
- and (5) respectively. The complete proof of Lemma 3.1 is provided in Appendix 7. 122
- **Lemma 3.1.** Given proposal policy  $\phi^i_{\eta^i}$ , commitment policy  $\psi^i_{\zeta^i}$  and the action policy  $\pi^i_{\theta^i}$  of each agent i in an MCG (1), the gradients of the value function  $V^i_{\phi,\psi,\pi}(s)$  w.r.t.  $\theta^i$ ,  $\zeta^i$ ,  $\eta^i$  are 123
- 124

$$\nabla_{\theta^{i}} V_{\phi,\psi,\pi}^{i}(s) \propto \mathbb{E}_{x \sim \rho_{\phi,\psi,\pi},\mathbf{m} \sim \phi,\mathbf{c} \sim \psi,\mathbf{a} \sim \pi} \Big[ \Big( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \Big) Q_{\phi,\psi,\pi}^{i}(x,\mathbf{a}) \nabla_{\theta^{i}} \log \pi^{i}(a^{i}|x) \Big], \quad (3)$$

$$\nabla_{\zeta^{i}} V_{\phi,\psi,\pi}^{i}(s) \propto \mathbb{E}_{x \sim \rho_{\phi,\psi,\pi},\mathbf{m} \sim \phi,\mathbf{c} \sim \psi,\mathbf{a} \sim \pi} \left[ \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) Q_{\phi,\psi,\pi}^{i}(x,\mathbf{m}) + \left(1 - \mathbb{1}(\mathbf{c} = \mathbf{1})\right) Q_{\phi,\psi,\pi}^{i}(x,\mathbf{a}) \right] \right]$$

$$\nabla_{\zeta^{i}} \log \psi^{i}(c^{i}|x,\mathbf{m}) + \left[ Q_{\phi,\psi,\pi}^{i}(x,\mathbf{m}) - Q_{\phi,\psi,\pi}^{i}(x,\mathbf{a}) \right] \prod_{k \neq i} \mathbb{1}(c^{k} = 1) \cdot \nabla_{\zeta^{i}} \mathbb{1}(c^{i} = 1) \right],$$

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$$\nabla_{\eta^{i}} V_{\phi,\psi,\pi}^{i}(s) \propto \mathbb{E}_{x \sim \rho_{\phi,\psi,\pi},\mathbf{m} \sim \phi,\mathbf{c} \sim \psi,\mathbf{a} \sim \pi} \left[ \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) Q_{\phi,\psi,\pi}^{i}(x,\mathbf{m}) + \left(1 - \mathbb{1}(\mathbf{c} = \mathbf{1})\right) Q_{\phi,\psi,\pi}^{i}(x,\mathbf{a}) \right] \cdot \left( \nabla_{\eta^{i}} \log \phi^{i}(m^{i}|x) + \sum_{j} \nabla_{\eta^{i}} \log \psi^{j}(c^{j}|x,\mathbf{m}) \right) + \sum_{j} \prod_{k \neq j} \mathbb{1}(c^{k} = 1) \left[ Q_{\phi,\psi,\pi}^{i}(x,\mathbf{m}) - Q_{\phi,\psi,\pi}^{i}(x,\mathbf{a}) \right] \cdot \nabla_{\eta^{i}} \mathbb{1}(c^{j} = 1) \right],$$
(5)

- where  $\mathbb{1}(\cdot)$  denotes the indicator function, which equals 1 if the condition inside is true and 0 127
- otherwise;  $\rho_{\phi,\psi,\pi}(x)$  denotes a discounted probability of state x encountered, starting at s and then with all agents following  $\phi,\psi,\pi:\rho_{\phi,\psi,\pi}(x)=\sum_{t=0}^{\infty}\gamma^{t}Pr\{s_{t}=x|s_{0}=s\}.$ 128
- 129
- Through policy gradients in Lemma 3.1, DCL enables agents to optimize their strategies by consid-130
- 131 ering both direct and indirect effects of their policies on their utilities. To capture the direct impact,
- DCL allows agents to differentiate through their own policies, updating in the direction that maxi-132
- 133 mizes their individual returns. On the other hand, DCL allows agents to consider how their decisions
- influence others' commitments and how these influences, in turn, affect their own utilities. This in-134
- 135 direct influence is leveraged by differentiation through the commitment policies of other players
- when computing  $\nabla_{\eta^i} V^i_{\phi,\psi,\pi}(s)$ . To backpropagate through discrete commitments, we apply the 136
- 137 Gumbel-Softmax distribution (Jang et al., 2016) for differentiable sampling.
- 138 Instead of limiting DCL to centralized training (Appendix 8.1) with access to other agents' policies,
- 139 we extend DCL to fully decentralized settings (Appendix 8.2). In decentralized DCL, each agent
- 140 estimates others' policies and differentiates through these estimates to update their own policies.

### **Incentive-Compatible Constraints**

- Although mutual cooperation can be a Nash equilibrium in MCGs for some mixed-motive environ-142
- ments, agents may still have the equilibrium selection problem when multiple equilibria exist. For 143
- 144 instance, mutual defection is another Nash equilibrium of the MCG in Prisoner's Dilemma, with
- 145 less pay-offs of both agents compared to mutual cooperation equilibrium in Lemma 3.1. Even if
- 146 agents are motivated by self-interest to select mutual cooperation equilibria over mutual defection
- 147 equilibria with DCL, they may fail to find the equilibria with better outcomes because of ineffi-
- cient exploration. To address this challenge, we introduce a set of incentive-compatible constraints 148
- on agents' proposal policies in Equation (6), which encourage agents to find mutually beneficial

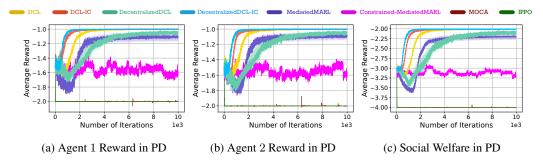


Figure 2: Prisoner's Dilemma: DCL v.s. Other Baselines

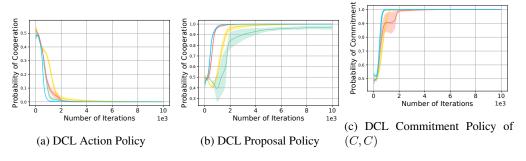


Figure 3: DCL Policies in Prisoner's Dilemma

proposals.

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$$\mathbb{E}_{\mathbf{m} \sim \boldsymbol{\phi}}[Q_{\boldsymbol{\phi}, \boldsymbol{\psi}, \boldsymbol{\pi}}^{i}(s, \mathbf{m})] \ge \mathbb{E}_{\mathbf{a} \sim \boldsymbol{\pi}}[Q_{\boldsymbol{\phi}, \boldsymbol{\psi}, \boldsymbol{\pi}}^{i}(s, \mathbf{a})] \quad \forall i.$$
 (6)

Combining these incentive-compatible constraints with the self-interested objective, agents are 151 152 driven to maximize their expected returns and propose mutually beneficial agreements. If a joint 153 proposal results in outcomes worse than actions induced by independent action policy for any player, agents are penalized during training through a regularization term induced by constraints in Equa-154 155 tion (6). This regularization encourages agents to develop better agreements that benefit all players. 156 Meanwhile, these constraints do not sacrifice agents' self-interests, as they retain the ability to reject 157 proposals that do not enhance their own utility. Thus, they will follow their unconstrained policies 158 unless a mutually beneficial agreement emerges.

It is important to note that feasible solutions always exist for Equation (6), as agents can align their proposal policies with their action policies, i.e.  $\phi^i(s) = \pi^i(s)$  for  $\forall i \in \mathcal{N}$ . We then integrate these constraints into the objective function of agent i with a Lagrange multiplier  $\lambda$ , to update the parameter  $\eta^i$  of the proposal policy:

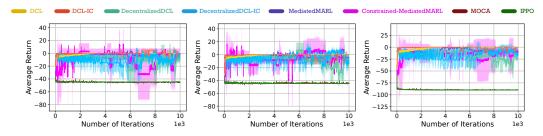
$$\eta^{i} \leftarrow \eta^{i} + \nabla_{\eta^{i}} V_{\phi,\psi,\pi}^{i}(s) + \lambda \nabla_{\eta^{i}} \sum_{j} \min\{0, \mathbb{E}_{\mathbf{m} \sim \phi}[Q_{\phi,\psi,\pi}^{j}(s,\mathbf{m})] - \mathbb{E}_{\mathbf{a} \sim \pi}[Q_{\phi,\psi,\pi}^{j}(s,\mathbf{a})]\}.$$

$$(7)$$

Note that when  $\lambda=0$ , the proposal policies are not constrained by Equation (6). The abstract pseudocode of DCL is provided in Algorithm 1. Please refer to Appendix 8 for more details about DCL.

## 4 Experiments

We evaluated the performance of DCL focusing on two objectives. First, we investigated DCL's ability to foster cooperative behaviors among agents in challenging mixed-motive tasks. To validate this, we analyzed the behaviors of agents with mutual commitment and without commitment. Second, we compared DCL's efficiency against other multi-agent reinforcement learning algorithms in



(a) Agent 1 Return in Grid Game (b) Agent 2 Return in Grid Game (c) Social Welfare in Grid Game

Figure 4: Grid Game (Horizon=16): DCL v.s. Other Baselines.

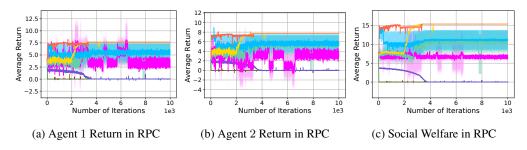


Figure 5: Repeated Purely Conflicting Game (Horizon=16): DCL v.s. Other Baselines.

tabular, repeated, and sequential social dilemmas. We demonstrated improvements in both agents' self-interest optimization and social welfare. Additionally, we compared centralized (Algorithm 2, Appendix 8.1) and decentralized (Algorithm 3, Appendix 8.2) versions of DCL. Each algorithm was executed with and without incentive-compatible constraints (denoted as DCL-IC and DCL respectively), to further explore the impact of the constraints introduced in Equation (6). We compared DCL with Independent PPO (IPPO) (Schulman et al., 2017), Mediated MARL (Ivanov et al., 2023) and Multi-Objective Contract Augmentation Learning (MOCA) (Christoffersen, 2024; Haupt et al., 2022). Each curve was averaged over 10 seeds with shaded regions indicating standard errors. Hyperparameters and more implementation details can be found in Appendix 10.

# 4.1 Results

# 4.1.1 Prisoner's Dilemma

Prisoner's Dilemma (Rapoport, 1965) is a normal form mixed-motive game, with payoff matrix in Table 1. In accord with Proposition 2.1, Figure 2 shows that the DCL agents converge to mutual cooperation in the MCG with utilitarian social welfare -2. The fully decentralized DCL also converges to mutual cooperation, while having a larger oscillation before convergence (Figure 2). This behavior is expected since decentralized DCL estimates

This behavior is expected since decentralized DCL estimates policies of other agents rather than directly accessing the true policies, which introduces biases, particularly in the early stages of training. These biases are gradually reduced as the estimated policies approach the actual policies over time. Figure 3 shows the policies of proposals, commitments and actions. Without mutual commitment, the probability of cooperation converges to 0. Whereas under the conditional commitment mechanism, the probabilities of proposing and commitment mechanism, the probabilities of proposing and com-

C D C (-1,-1) (-3,0) D (0,-3) (-2,-2)

Table 1: Prisoner's Dilemma

mitting to mutual cooperation converge to 1. This result aligns with our theoretical analysis in Proposition 9 and demonstrates the capability of commitment mechanism to achieve cooperation.

- Mediated MARL with an unconstrained mediator shows the second-best performance, while con-197
- 198 strained mediated MARL performs worse, failing to converge to either mutual cooperation or defec-
- 199 tion. This failure may arise from inaccurate value estimation in mediated MARL, which constrains
- 200 the mediator's policy during training. Specifically, mediated MARL trains each agent with indepen-
- 201 dent actor critic (Mnih et al., 2016), considering other agents as part of the environment, leading to
- 202 nonstationarity from each agent's perspective. In contrast, DCL agents consider joint actions when 203
- evaluating future expected returns, avoiding conflicts with the stationary environment assumption 204 in MCGs. Furthermore, the constrained mediated MARL dynamically updates the Lagrange multi-
- 205 plier, shifting the optimization objective at each timestep, which may lead to divergence.
- 206 The other baselines, MOCA and IPPO, converge to the mutual defection equilibrium after only a few
- 207 iterations. Without mechanism design, mutual defection is the only Nash equilibrium in Prisoner's
- 208 Dilemma, so it is expected that IPPO fails to achieve cooperation. Without a specific choice of
- 209 contract space and hand-crafted rules, MOCA also fails to find a contract acceptable to all agents.

### 210 4.1.2 Grid Game

- 211 The above results show that DCL works well on a tabular social dilemma with a single state, we next
- extend the evaluation to sequential social dilemmas. We created a 2-player, T-step, N-grid game, 212
- 214
- where agent 1 starts at grid position  $p_0^1=0$ , and agent 2 starts at  $p_0^2=N-1$ . At each timestep, each player observes both agents' locations,  $s_t=(p_t^1,p_t^2)$ , and chooses between moving forward,  $p_{t+1}^i=\min\{p_t^i+1,N-1\}$ , or moving backward,  $p_{t+1}^i=\max\{p_t^i-1,0\}$ . Rewards are defined based on agents' positions: for agent 1,  $r^1=p^1-2(N-1-p^2)$ ; for agent 2,  $r^2=N-1-p^2-2p^1$ . 215
- 216
- This grid game presents a social dilemma at every state. Agents benefit from cooperation by moving 217
- 218 away from the other player's initial position, while the dominant strategy is to move towards the
- 219 other's starting point. Figure 4 demonstrates that DCL agents gradually learn to cooperate, with zero
- 220 accumulated discounted rewards. In contrast, other baselines fail to converge to such cooperative
- 221 strategies.

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## 4.1.3 Repeated Purely Conflicting Game

- 223 To investigate whether DCL can adapt effectively to scenarios with significant competition, we
- 224 then introduced a purely conflicting game presented in Table 2. In this game, an increase in one 225 agent's payoff always results in a decrease in the payoff of others. The dominant strategy of each
- 226 agent is to play  $A_2$  regardless of the opponent's action, which also holds true in finitely repeated 227
- versions (denoted as RPC). Under such conditions, agents have no opportunity to establish 1-step 228 mutually beneficial agreements. As a result, all players receive zero payoff throughout episodes.

 $A_1$ 

(0,0)

(2,-1)

 $A_1$ 

 $A_2$ 

(-1,2)

(0,0)

Table 2: Purely Conflicting Game

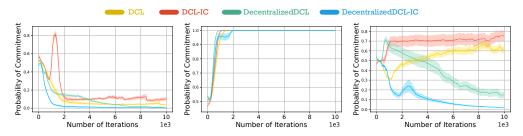
both can achieve positive long-term returns by committing to

- 230 However, if agents can commit to actions over multiple steps,
- 232 a tit-for-tat agreement. To explore this, we extended DCL
- 233 with mega-step commitments, enabling agents to commit to
- 234 multi-step, mutually beneficial proposals. Our experiments
- 235 show that DCL agents successfully converge to cooperative
- strategies  $[(A_1, A_2), (A_2, A_1), ...]$  by alternating between  $A_1$ 236
- 237 and  $A_2$  in multiple steps. While DCL agents make sacrifices
- 238 at certain steps, they achieve significantly higher cumulative payoffs over the long run compared to
- 239 other baselines (Figure 5), demonstrating DCL's adaptability to highly competitive environments.

#### 5 **Discussion on Experiments** 240

#### 241 5.1 Many-player Scenarios

- 242 In MCGs, the joint proposal space grows exponentially with the number of agents, which would
- inevitably increase the computational complexity. To investigate how DCL handles scalability with



(a) Commitment Policy of (C, D) (b) Commitment Policy of (D, C) (c) Commitment Policy of (D, D)

Figure 6: DCL Commitment Policies in Prisoner's Dilemma

many players, we conducted additional experiments on an N-player public goods game (Marwell & Ames, 1981) with benefit factor 1.5, where the dominant strategy for each agent is to free-ride by not contributing to the public pool. The results demonstrate that DCL with incentive-compatible constraints performs effectively across scenarios with 2, 3, 5, and 10 agents, achieving high social welfare. Most agents converge to propose contributions and commit to joint proposals that result in positive individual welfare. These findings indicate that DCL scales well to many-player games, with the agreement rate of joint proposals remaining stable (> 0.99) as the number of agents increases. We report runtime, average joint proposal agreement rate and average social welfare per batch (batch size =256) across 5 random seeds in Table 8, Appendix 11.

# 5.2 Robustness to Maliciously Irrational Agents

As shown in Figure 3c and Figure 6, DCL agents converge to commitment policies that accept proposals for mutual cooperation and self-defection when the co-player cooperates, while rejecting cooperation when the co-player proposes defection in the Prisoner's Dilemma. Consequently, when interacting with irrational agents—such as those who always propose defection—DCL agents will reject such proposals and choose to defect following their action policies (Figure 3a). This demonstrates the robustness of DCL agents against malicious agents, as they effectively reject disadvantageous agreements and act in their own best interests.

# 6 Conclusion

We introduced the Markov Commitment Games, a framework that allows self-interested agents to negotiate future plans through voluntary commitments. It responds to the open problem in cooperative AI (Dafoe et al., 2020) on commitment capabilities without relying on altruism. We derived unbiased proposal, commitment, and action policy gradients (Lemma 3.1), which facilitates the design of policy updates while preserving the stationarity assumption of the multi-agent environment. Under the framework of MCGs, we proposed differentiable commitment learning (DCL), which maximizes agents' expected self-interests while incorporating incentive-compatible constraints on their proposal policies to encourage mutually beneficial agreements. DCL also mitigates limitations of non-stationary training of existing methods. Rather than treating other agents as part of a stationary environment—a simplification that does not hold in multi-agent settings—DCL explicitly leverages other agents' actions when estimating future expected values. This approach enhances the accuracy of value estimations and promotes stability during training. We empirically showed that our method outperforms the baseline methods in multiple tasks, often by successfully facilitating cooperation among agents. We also demonstrated the efficacy of DCL in its fully decentralized implementation.

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# **Supplementary Materials**

The following content was not necessarily subject to peer review.

# 376 7 Proof of Lemma 3.1

373 374

- 377 The proof of Lemma 3.1 derives the action, commitment, and proposal policy gradients in DCL.
- 378 Recall that the state value function (the objective function of self-interested agents) in MCGs is:

$$V_{\phi,\psi,\pi}^{i}(s) = \mathbb{E}_{\phi,\psi,\pi}\left[\sum_{k=t}^{\infty} \gamma^{k-t} r_{k+1}^{i} | s_{t} = s\right].$$
 (8)

379 The state-action value function is:

$$Q_{\phi,\psi,\pi}^{i}(s,\mathbf{a}) = \mathbb{E}_{\phi,\psi,\pi}\left[\sum_{k=t}^{\infty} \gamma^{k-t} r_{k+1}^{i} | s_{t} = s, \mathbf{a}_{t} = \mathbf{a}\right]. \tag{9}$$

380 Therefore we can expand the state value function by:

$$V_{\phi,\psi,\pi}^{i}(s) = \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|s) \sum_{\mathbf{c} \sim \psi} \psi(\mathbf{c}|s,\mathbf{m}) \sum_{\mathbf{a} \sim \pi} \pi(\mathbf{a}|s) \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) Q_{\phi,\psi,\pi}^{i}(s,\mathbf{m}) + \left(1 - \mathbb{1}(\mathbf{c} = \mathbf{1})\right) Q_{\phi,\psi,\pi}^{i}(s,\mathbf{a}) \right].$$
(10)

381 We then derive policy gradients based on the state-action value function and policy functions.

# 382 7.1 Unconstrained Policy Gradient

383 *Proof.* First, we consider the action policy gradient  $\nabla_{\theta^i} V^i_{\phi,\psi,\pi}(s)$  for each agent  $i \in \mathcal{N}$ :

$$\nabla_{\theta^{i}} V_{\phi,\psi,\pi}^{i}(s)$$

$$= \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|s) \sum_{\mathbf{c} \sim \psi} \psi(\mathbf{c}|s, \mathbf{m}) \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) \nabla_{\theta^{i}} Q_{\phi,\psi,\pi}^{i}(s, \mathbf{m}) + \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) \right]$$

$$= \sum_{\mathbf{a} \sim \pi} Q_{\phi,\psi,\pi}^{i}(s, \mathbf{a}) \nabla_{\theta^{i}} \pi(\mathbf{a}|s) + \pi(\mathbf{a}|s) \nabla_{\theta^{i}} Q_{\phi,\psi,\pi}^{i}(s, \mathbf{a}) ,$$

$$= \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|s) \sum_{\mathbf{c} \sim \psi} \psi(\mathbf{c}|s, \mathbf{m}) \left[ \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) \sum_{\mathbf{a} \sim \pi} Q_{\phi,\psi,\pi}^{i}(s, \mathbf{a}) \nabla_{\theta^{i}} \pi(\mathbf{a}|s) \right]$$

$$+ \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|s) \sum_{\mathbf{c} \sim \psi} \psi(\mathbf{c}|s, \mathbf{m}) \cdot \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) \nabla_{\theta^{i}} Q_{\phi,\psi,\pi}^{i}(s, \mathbf{m}) + \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) \sum_{\mathbf{a} \sim \pi} \pi(\mathbf{a}|s) \nabla_{\theta^{i}} Q_{\phi,\psi,\pi}^{i}(s, \mathbf{a}) \right].$$

$$(11)$$

Let

$$f_{\phi,\psi,\pi}(s) = \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|s) \sum_{\mathbf{c} \sim \psi} \psi(\mathbf{c}|s,\mathbf{m}) \left[ \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) \sum_{\mathbf{a} \sim \pi} Q_{\phi,\psi,\pi}^{i}(s,\mathbf{a}) \nabla_{\theta^{i}} \pi(\mathbf{a}|s) \right].$$

384 We have

$$\nabla_{\theta^{i}} V_{\phi,\psi,\pi}^{i}(s) = f_{\phi,\psi,\pi}(s) + \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|s) \sum_{\mathbf{c} \sim \psi} \psi(\mathbf{c}|s,\mathbf{m}) \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) \nabla_{\theta^{i}} Q_{\phi,\psi,\pi}^{i}(s,\mathbf{m}) + \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) \cdot \sum_{\mathbf{a} \sim \pi} \pi(\mathbf{a}|s) \nabla_{\theta^{i}} Q_{\phi,\psi,\pi}^{i}(s,\mathbf{a}) \right].$$

$$(12)$$

385 Since  $Q^i_{\phi,\psi,\pi}(s,\mathbf{a})=R^i(s,\mathbf{a})+\gamma\sum_{s'}p(s'|s,\mathbf{a})V^i_{\phi,\psi,\pi}(s')$ , we obtain

$$\nabla_{\theta^i} Q_{\phi,\psi,\pi}^i(s,\mathbf{a}) = \nabla_{\theta^i} \left( R^i(s,\mathbf{a}) + \gamma \sum_{s'} p(s'|s,\mathbf{a}) V_{\phi,\psi,\pi}^i(s') \right) = \gamma \sum_{s'} p(s'|s,\mathbf{a}) \nabla_{\theta^i} V_{\phi,\psi,\pi}^i(s').$$
(13)

386 Therefore,

$$\nabla_{\theta^i} V^i_{\phi,\psi,\pi}(s)$$

$$= f_{\phi,\psi,\pi}(s) + \gamma \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|s) \sum_{\mathbf{c} \sim \psi} \psi(\mathbf{c}|s,\mathbf{m}) \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) \sum_{s'} p(s'|s,\mathbf{m}) \nabla_{\theta^{i}} V_{\phi,\psi,\pi}^{i}(s') + \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) \right] \cdot \sum_{\mathbf{a} \sim \pi} \pi(\mathbf{a}|s) \sum_{s'} p(s'|s,\mathbf{a}) \nabla_{\theta^{i}} V_{\phi,\psi,\pi}^{i}(s') \right].$$
(14)

Define  $d_{\phi,\psi,\pi}(s,s',k)$  as the probability of transitioning from state s to state s' in k steps under

388  $\phi, \psi, \pi$ , then we have

$$d_{\phi,\psi,\pi}(s,s',1) = \sum_{\mathbf{m}\sim\phi} \phi(\mathbf{m}|s) \sum_{\mathbf{c}\sim\psi} \psi(\mathbf{c}|s,\mathbf{m}) \left[ \mathbb{1}(\mathbf{c}=\mathbf{1})p(s'|s,\mathbf{m}) + \left(1 - \mathbb{1}(\mathbf{c}=\mathbf{1})\right) \sum_{\mathbf{a}\sim\pi} \pi(\mathbf{a}|s)p(s'|s,\mathbf{a}) \right],$$
(15)

389 and

$$d_{\phi,\psi,\pi}(s,s',k+1) = \sum_{x} d_{\phi,\psi,\pi}(s,x,k) d_{\phi,\psi,\pi}(x,s',1).$$
 (16)

390 Note

$$d_{\phi,\psi,\pi}(s,s,0) = \sum_{x} d_{\phi,\psi,\pi}(s,x,0) = 1.$$
 (17)

391 Then,

$$\nabla_{\theta^i} V^i_{\phi,\psi,\pi}(s)$$

$$= f_{\phi,\psi,\pi}(s) + \gamma \sum_{s'} \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|s) \sum_{\mathbf{c} \sim \psi} \psi(\mathbf{c}|s,\mathbf{m}) \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) p(s'|s,\mathbf{m}) + \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) \sum_{\mathbf{a} \sim \pi} \pi(\mathbf{a}|s) p(s'|s,\mathbf{a}) \right] \cdot \nabla_{\theta^{i}} V_{\phi,\psi,\pi}^{i}(s'),$$

$$= f_{\phi,\psi,\pi}(s) + \gamma \sum_{s'} d_{\phi,\psi,\pi}(s,s',1) \nabla_{\theta^{i}} V_{\phi,\psi,\pi}^{i}(s').$$
(18)

392 By induction,

$$\nabla_{\theta^{i}} V_{\phi,\psi,\pi}^{i}(s) = f_{\phi,\psi,\pi}(s) + \gamma \sum_{s'} d_{\phi,\psi,\pi}(s,s',1) \Big( f_{\phi,\psi,\pi}(s') + \gamma \sum_{s''} d_{\phi,\psi,\pi}(s',s'',1) \nabla_{\theta^{i}} V_{\phi,\psi,\pi}^{i}(s'') \Big),$$

$$= f_{\phi,\psi,\pi}(s) + \gamma \sum_{s'} d_{\phi,\psi,\pi}(s,s',1) f_{\phi,\psi,\pi}(s') + \gamma^{2} \sum_{s''} d_{\phi,\psi,\pi}(s,s'',2) \nabla_{\theta^{i}} V_{\phi,\psi,\pi}^{i}(s''), \qquad (19)$$

$$= \sum_{x \in S} \sum_{k=0}^{\infty} \gamma^{k} d_{\phi,\psi,\pi}(s,x,k) f_{\phi,\psi,\pi}(x).$$

Then we define a stationary distribution  $\rho_{\phi,\psi,\pi}(x) = \frac{\sum_{k=0}^{\infty} \gamma^k d_{\phi,\psi,\pi}(s,x,k)}{\sum_{x \in \mathcal{S}} \sum_{k=0}^{\infty} \gamma^k d_{\phi,\psi,\pi}(s,x,k)}$ , also known as an

394 occupancy measure of  $\phi, \psi, \pi$ . Thus,

$$\nabla_{\theta^{i}} V_{\phi,\psi,\pi}^{i}(s)$$

$$\propto \sum_{x \in \mathcal{S}} \rho_{\phi,\psi,\pi}(x) f_{\phi,\psi,\pi}(x),$$

$$= \sum_{x \in \mathcal{S}} \rho_{\phi,\psi,\pi}(x) \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|x) \sum_{\mathbf{c} \sim \psi} \psi(\mathbf{c}|x,\mathbf{m}) \left[ \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) \sum_{\mathbf{a} \sim \pi} Q_{\phi,\psi,\pi}^{i}(x,\mathbf{a}) \nabla_{\theta^{i}} \pi(\mathbf{a}|x) \right],$$

$$= \sum_{x \in \mathcal{S}} \rho_{\phi,\psi,\pi}(x) \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|x) \sum_{\mathbf{c} \sim \psi} \psi(\mathbf{c}|x,\mathbf{m}) \sum_{\mathbf{a} \sim \pi} \pi(\mathbf{a}|x) \left[ \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) Q_{\phi,\psi,\pi}^{i}(x,\mathbf{a}) \nabla_{\theta^{i}} \log \pi(\mathbf{a}|x) \right],$$

$$= \mathbb{E}_{x \sim \rho_{\phi,\psi,\pi},\mathbf{m} \sim \phi,\mathbf{c} \sim \psi,\mathbf{a} \sim \pi} \left[ \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) Q_{\phi,\psi,\pi}^{i}(x,\mathbf{a}) \nabla_{\theta^{i}} \log \pi(\mathbf{a}|x) \right],$$

$$= \mathbb{E}_{x \sim \rho_{\phi,\psi,\pi},\mathbf{m} \sim \phi,\mathbf{c} \sim \psi,\mathbf{a} \sim \pi} \left[ \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) Q_{\phi,\psi,\pi}^{i}(x,\mathbf{a}) \nabla_{\theta^{i}} \log \pi(\mathbf{a}|x) \right].$$

$$(20)$$

395 Therefore, we have

$$\nabla_{\theta^i} V^i_{\boldsymbol{\phi},\boldsymbol{\psi},\boldsymbol{\pi}}(s) \propto \mathbb{E}_{x \sim \rho_{\boldsymbol{\phi},\boldsymbol{\psi},\boldsymbol{\pi}},\mathbf{m} \sim \boldsymbol{\phi},\mathbf{c} \sim \boldsymbol{\psi},\mathbf{a} \sim \boldsymbol{\pi}} \Big[ \Big( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \Big) Q^i_{\boldsymbol{\phi},\boldsymbol{\psi},\boldsymbol{\pi}}(x,\mathbf{a}) \nabla_{\theta^i} \log \pi^i(a^i|x) \Big]. \quad \Box$$

### 396 7.2 Commitment Network Gradient

397 *Proof.* Next, we consider commitment policy gradient  $\nabla_{\zeta^i} V^i_{\phi,\psi,\pi}(s)$ :

$$\begin{split} &\nabla_{\zeta^{i}}V_{\phi,\psi,\pi}^{i}(s) \\ &= \nabla_{\zeta^{i}}\sum_{\mathbf{m}\sim\phi}\phi(\mathbf{m}|s)\sum_{\mathbf{c}\sim\psi}\psi(\mathbf{c}|s,\mathbf{m})\bigg[\mathbbm{1}(\mathbf{c}=\mathbf{1})Q_{\phi,\psi,\pi}^{i}(s,\mathbf{m}) + \Big(1-\mathbbm{1}(\mathbf{c}=\mathbf{1})\Big)\sum_{\mathbf{a}\sim\pi}\pi(\mathbf{a}|s)Q_{\phi,\psi,\pi}^{i}(s,\mathbf{a})\bigg],\\ &=\sum_{\mathbf{m}\sim\phi}\phi(\mathbf{m}|s)\sum_{\mathbf{c}\sim\psi}\bigg[\mathbbm{1}(\mathbf{c}=\mathbf{1})Q_{\phi,\psi,\pi}^{i}(s,\mathbf{m}) + \Big(1-\mathbbm{1}(\mathbf{c}=\mathbf{1})\Big)\sum_{\mathbf{a}\sim\pi}\pi(\mathbf{a}|s)Q_{\phi,\psi,\pi}^{i}(s,\mathbf{a})\bigg]\nabla_{\zeta^{i}}\psi(\mathbf{c}|s,\mathbf{m})\\ &+\sum_{\mathbf{m}\sim\phi}\phi(\mathbf{m}|s)\sum_{\mathbf{c}\sim\psi}\psi(\mathbf{c}|s,\mathbf{m})\nabla_{\zeta^{i}}\bigg[\mathbbm{1}(\mathbf{c}=\mathbf{1})Q_{\phi,\psi,\pi}^{i}(s,\mathbf{m}) + \Big(1-\mathbbm{1}(\mathbf{c}=\mathbf{1})\Big)\sum_{\mathbf{a}\sim\pi}\pi(\mathbf{a}|s)Q_{\phi,\psi,\pi}^{i}(s,\mathbf{a})\bigg],\\ &=\sum_{\mathbf{m}\sim\phi}\phi(\mathbf{m}|s)\sum_{\mathbf{c}\sim\psi}\bigg[\mathbbm{1}(\mathbf{c}=\mathbf{1})Q_{\phi,\psi,\pi}^{i}(s,\mathbf{m}) + \Big(1-\mathbbm{1}(\mathbf{c}=\mathbf{1})\Big)\sum_{\mathbf{a}\sim\pi}\pi(\mathbf{a}|s)Q_{\phi,\psi,\pi}^{i}(s,\mathbf{a})\bigg]\nabla_{\zeta^{i}}\psi(\mathbf{c}|s,\mathbf{m})\\ &+\sum_{\mathbf{m}\sim\phi}\phi(\mathbf{m}|s)\sum_{\mathbf{c}\sim\psi}\psi(\mathbf{c}|s,\mathbf{m})\bigg[Q_{\phi,\psi,\pi}^{i}(s,\mathbf{m}) - \sum_{\mathbf{a}\sim\pi}\pi(\mathbf{a}|s)Q_{\phi,\psi,\pi}^{i}(s,\mathbf{a})\bigg]\nabla_{\zeta^{i}}\mathbbm{1}(\mathbf{c}=\mathbf{1})\\ &+\sum_{\mathbf{m}\sim\phi}\phi(\mathbf{m}|s)\sum_{\mathbf{c}\sim\psi}\psi(\mathbf{c}|s,\mathbf{m})\bigg[\mathbbm{1}(\mathbf{c}=\mathbf{1})\nabla_{\zeta^{i}}Q_{\phi,\psi,\pi}^{i}(s,\mathbf{m}) + \Big(1-\mathbbm{1}(\mathbf{c}=\mathbf{1})\Big)\sum_{\mathbf{a}\sim\pi}\pi(\mathbf{a}|s)\nabla_{\zeta^{i}}Q_{\phi,\psi,\pi}^{i}(s,\mathbf{a})\bigg]. \end{split}$$

398 Let

$$g_{\phi,\psi,\pi}(s) = \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|s) \sum_{\mathbf{c} \sim \psi} \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) Q_{\phi,\psi,\pi}^{i}(s,\mathbf{m}) + \left(1 - \mathbb{1}(\mathbf{c} = \mathbf{1})\right) \sum_{\mathbf{a} \sim \pi} \pi(\mathbf{a}|s) Q_{\phi,\psi,\pi}^{i}(s,\mathbf{a}) \right] \nabla_{\zeta^{i}} \psi(\mathbf{c}|s,\mathbf{m}) + \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|s) \sum_{\mathbf{c} \sim \psi} \psi(\mathbf{c}|s,\mathbf{m}) \left[ Q_{\phi,\psi,\pi}^{i}(s,\mathbf{m}) - \sum_{\mathbf{a} \sim \pi} \pi(\mathbf{a}|s) Q_{\phi,\psi,\pi}^{i}(s,\mathbf{a}) \right] \nabla_{\zeta^{i}} \mathbb{1}(\mathbf{c} = \mathbf{1}).$$

399 Then,

$$\nabla_{\zeta^{i}}V_{\phi,\psi,\pi}^{i}(s)$$

$$=g_{\phi,\psi,\pi}(s) + \sum_{\mathbf{m}\sim\phi}\phi(\mathbf{m}|s)\sum_{\mathbf{c}\sim\psi}\psi(\mathbf{c}|s,\mathbf{m})\left[\mathbb{1}(\mathbf{c}=\mathbf{1})\nabla_{\zeta^{i}}Q_{\phi,\psi,\pi}^{i}(s,\mathbf{m}) + \left(1-\mathbb{1}(\mathbf{c}=\mathbf{1})\right)\sum_{\mathbf{a}\sim\pi}\pi(\mathbf{a}|s)\right]$$

$$\cdot\nabla_{\zeta^{i}}Q_{\phi,\psi,\pi}^{i}(s,\mathbf{a}),$$

$$=g_{\phi,\psi,\pi}(s) + \gamma\sum_{\mathbf{m}\sim\phi}\phi(\mathbf{m}|s)\sum_{\mathbf{c}\sim\psi}\psi(\mathbf{c}|s,\mathbf{m})\left[\mathbb{1}(\mathbf{c}=\mathbf{1})\sum_{s'}p(s'|s,\mathbf{m})\nabla_{\zeta^{i}}V_{\phi,\psi,\pi}^{i}(s') + \left(1-\mathbb{1}(\mathbf{c}=\mathbf{1})\right)\right]$$

$$\cdot\sum_{\mathbf{a}\sim\pi}\pi(\mathbf{a}|s)\sum_{s'}p(s'|s,\mathbf{a})\nabla_{\zeta^{i}}V_{\phi,\psi,\pi}^{i}(s')\right].$$
(22)

400 According to (15),

$$\nabla_{\zeta^i} V_{\phi,\psi,\pi}^i(s) = g_{\phi,\psi,\pi}(s) + \gamma \sum_{s'} d_{\phi,\psi,\pi}(s,s',1) \nabla_{\zeta^i} V_{\phi,\psi,\pi}^i(s'). \tag{23}$$

401 Similarly by induction,

$$\begin{split} & \nabla_{\zeta^{i}} V_{\phi,\psi,\pi}^{i}(s) \\ & = \sum_{x \in S} \sum_{k=0}^{\infty} \gamma^{k} d_{\phi,\psi,\pi}(s,x,k) g_{\phi,\psi,\pi}(x), \\ & \propto \sum_{x \in S} \rho_{\phi,\psi,\pi}(x) g_{\phi,\psi,\pi}(x), \\ & = \sum_{x \in S} \rho_{\phi,\psi,\pi}(x) \left[ \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|x) \sum_{\mathbf{c} \sim \psi} \left[ \mathbbm{1}(\mathbf{c} = 1) Q_{\phi,\psi,\pi}^{i}(x,\mathbf{m}) + \left( 1 - \mathbbm{1}(\mathbf{c} = 1) \right) \sum_{\mathbf{a} \sim \pi} \pi(\mathbf{a}|x) Q_{\phi,\psi,\pi}^{i}(x,\mathbf{a}) \right] \\ & \cdot \nabla_{\zeta^{i}} \psi(\mathbf{c}|x,\mathbf{m}) + \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|x) \sum_{\mathbf{c} \sim \psi} \psi(\mathbf{c}|x,\mathbf{m}) \left[ Q_{\phi,\psi,\pi}^{i}(x,\mathbf{m}) - \sum_{\mathbf{a} \sim \pi} \pi(\mathbf{a}|x) Q_{\phi,\psi,\pi}^{i}(x,\mathbf{a}) \right] \nabla_{\zeta^{i}} \mathbbm{1}(\mathbf{c} = 1) \right], \\ & = \mathbb{E}_{x \sim \rho_{\phi,\psi,\pi},\mathbf{m} \sim \phi,\mathbf{c} \sim \psi,\mathbf{a} \sim \pi} \left[ \left[ \mathbbm{1}(\mathbf{c} = 1) Q_{\phi,\psi,\pi}^{i}(x,\mathbf{m}) + \left( 1 - \mathbbm{1}(\mathbf{c} = 1) \right) Q_{\phi,\psi,\pi}^{i}(x,\mathbf{a}) \right] \nabla_{\zeta^{i}} \log \psi(\mathbf{c}|x,\mathbf{m}) \right. \\ & + \left. \left[ Q_{\phi,\psi,\pi}^{i}(x,\mathbf{m}) - Q_{\phi,\psi,\pi}^{i}(x,\mathbf{a}) \right] \nabla_{\zeta^{i}} \mathbbm{1}(\mathbf{c} = 1) \right], \\ & = \mathbb{E}_{x \sim \rho_{\phi,\psi,\pi},\mathbf{m} \sim \phi,\mathbf{c} \sim \psi,\mathbf{a} \sim \pi} \left[ \left[ \mathbbm{1}(\mathbf{c} = 1) Q_{\phi,\psi,\pi}^{i}(x,\mathbf{m}) + \left( 1 - \mathbbm{1}(\mathbf{c} = 1) \right) Q_{\phi,\psi,\pi}^{i}(x,\mathbf{a}) \right] \nabla_{\zeta^{i}} \log \psi^{i}(c^{i}|x,\mathbf{m}) \right. \\ & + \left. \left[ Q_{\phi,\psi,\pi}^{i}(x,\mathbf{m}) - Q_{\phi,\psi,\pi}^{i}(x,\mathbf{a}) \right] \prod_{k \neq i} \mathbbm{1}(c^{k} = 1) \nabla_{\zeta^{i}} \mathbbm{1}(c^{i} = 1) \right]. \end{split}$$

402 Therefore,

$$\nabla_{\zeta^{i}} V_{\phi,\psi,\pi}^{i}(s) \propto \mathbb{E}_{x \sim \rho_{\phi,\psi,\pi},\mathbf{m} \sim \phi,\mathbf{c} \sim \psi,\mathbf{a} \sim \pi} \left[ \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) Q_{\phi,\psi,\pi}^{i}(x,\mathbf{m}) + \left(1 - \mathbb{1}(\mathbf{c} = \mathbf{1})\right) Q_{\phi,\psi,\pi}^{i}(x,\mathbf{a}) \right] \cdot \nabla_{\zeta^{i}} \log \psi^{i}(c^{i}|x,\mathbf{m}) + \left[ Q_{\phi,\psi,\pi}^{i}(x,\mathbf{m}) - Q_{\phi,\psi,\pi}^{i}(x,\mathbf{a}) \right] \prod_{k \neq i} \mathbb{1}(c^{k} = 1) \nabla_{\zeta^{i}} \mathbb{1}(c^{i} = 1) \right].$$

15

- Note that  $\nabla_{\zeta^i}\mathbb{1}(c^i=1)=\frac{d\mathbb{1}(c^i=1)}{dc^i}\frac{\partial c^i}{\partial \zeta^i}$ . To compute  $\frac{\partial c^i}{\partial \zeta^i}$ , we apply the Gumbel-Softmax distribution (Jang et al., 2016) for differentiable sampling. This allows backpropagation through the 403
- 404
- 405 differentiable commitment sample  $c^i$  for  $\forall i \in \mathcal{N}$ .

### 7.3 Proposing Network Gradient 406

*Proof.* Finally, we consider the proposal policy gradient  $\nabla_{\eta^i}V^i_{\phi,\psi,\pi}(s)$ : 407

$$\nabla_{\eta^{i}} V_{\phi,\psi,\pi}^{i}(s)$$

$$=\nabla_{\eta^{i}} \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|s) \sum_{\mathbf{c} \sim \psi} \psi(\mathbf{c}|s, \mathbf{m}) \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) Q_{\phi,\psi,\pi}^{i}(s, \mathbf{m}) + \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) \sum_{\mathbf{a} \sim \pi} \pi(\mathbf{a}|s) Q_{\phi,\psi,\pi}^{i}(s, \mathbf{a}) \right],$$

$$= \sum_{\mathbf{m} \sim \phi} \sum_{\mathbf{c} \sim \psi} \psi(\mathbf{c}|s, \mathbf{m}) \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) Q_{\phi,\psi,\pi}^{i}(s, \mathbf{m}) + \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) \sum_{\mathbf{a} \sim \pi} \pi(\mathbf{a}|s) Q_{\phi,\psi,\pi}^{i}(s, \mathbf{a}) \right] \nabla_{\eta^{i}} \phi(\mathbf{m}|s)$$

$$+ \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|s) \sum_{\mathbf{c} \sim \psi} \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) Q_{\phi,\psi,\pi}^{i}(s, \mathbf{m}) + \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) \sum_{\mathbf{a} \sim \pi} \pi(\mathbf{a}|s) Q_{\phi,\psi,\pi}^{i}(s, \mathbf{a}) \right] \nabla_{\eta^{i}} \psi(\mathbf{c}|s, \mathbf{m})$$

$$+ \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|s) \sum_{\mathbf{c} \sim \psi} \psi(\mathbf{c}|s, \mathbf{m}) \left[ Q_{\phi,\psi,\pi}^{i}(s, \mathbf{m}) - \sum_{\mathbf{a} \sim \pi} \pi(\mathbf{a}|s) Q_{\phi,\psi,\pi}^{i}(s, \mathbf{a}) \right] \nabla_{\eta^{i}} \mathbb{1}(\mathbf{c} = \mathbf{1})$$

$$+ \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|s) \sum_{\mathbf{c} \sim \psi} \psi(\mathbf{c}|s, \mathbf{m}) \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) \nabla_{\eta^{i}} Q_{\phi,\psi,\pi}^{i}(s, \mathbf{m}) + \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) \sum_{\mathbf{a} \sim \pi} \pi(\mathbf{a}|s) \nabla_{\eta^{i}} Q_{\phi,\psi,\pi}^{i}(s, \mathbf{a}) \right].$$

$$+ \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) \sum_{\mathbf{a} \sim \pi} \pi(\mathbf{a}|s) \nabla_{\eta^{i}} Q_{\phi,\psi,\pi}^{i}(s, \mathbf{a}) \right].$$

$$(25)$$

408 Let

$$h_{\phi,\psi,\pi}(s)$$

$$= \sum_{\mathbf{m} \sim \phi} \sum_{\mathbf{c} \sim \psi} \psi(\mathbf{c}|s, \mathbf{m}) \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) Q_{\phi,\psi,\pi}^{i}(s, \mathbf{m}) + \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) \sum_{\mathbf{a} \sim \pi} \pi(\mathbf{a}|s) Q_{\phi,\psi,\pi}^{i}(s, \mathbf{a}) \right] \nabla_{\eta^{i}} \phi(\mathbf{m}|s)$$

$$+ \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|s) \sum_{\mathbf{c} \sim \psi} \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) Q_{\phi,\psi,\pi}^{i}(s, \mathbf{m}) + \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) \sum_{\mathbf{a} \sim \pi} \pi(\mathbf{a}|s) Q_{\phi,\psi,\pi}^{i}(s, \mathbf{a}) \right] \nabla_{\eta^{i}} \psi(\mathbf{c}|s, \mathbf{m})$$

$$+ \sum_{\mathbf{m} \sim \phi} \phi(\mathbf{m}|s) \sum_{\mathbf{c} \sim \psi} \psi(\mathbf{c}|s, \mathbf{m}) \left[ Q_{\phi,\psi,\pi}^{i}(s, \mathbf{m}) - \sum_{\mathbf{a} \sim \pi} \pi(\mathbf{a}|s) Q_{\phi,\psi,\pi}^{i}(s, \mathbf{a}) \right] \nabla_{\eta^{i}} \mathbb{1}(\mathbf{c} = \mathbf{1}).$$
(26)

Similarly we have 409

$$\begin{split} &\nabla_{\eta^i} V^i_{\boldsymbol{\phi},\boldsymbol{\psi},\boldsymbol{\pi}}(s) \\ &\propto \sum_{x \in \mathcal{S}} \rho_{\boldsymbol{\phi},\boldsymbol{\psi},\boldsymbol{\pi}}(x) h_{\boldsymbol{\phi},\boldsymbol{\psi},\boldsymbol{\pi}}(x), \\ &= \sum_{x \in \mathcal{S}} \rho_{\boldsymbol{\phi},\boldsymbol{\psi},\boldsymbol{\pi}}(x) \Bigg[ \sum_{\mathbf{m} \sim \boldsymbol{\phi}} \sum_{\mathbf{c} \sim \boldsymbol{\psi}} \boldsymbol{\psi}(\mathbf{c}|x,\mathbf{m}) \Big[ \mathbbm{1}(\mathbf{c} = \mathbf{1}) Q^i_{\boldsymbol{\phi},\boldsymbol{\psi},\boldsymbol{\pi}}(x,\mathbf{m}) + \Big(1 - \mathbbm{1}(\mathbf{c} = \mathbf{1})\Big) \sum_{\mathbf{a} \sim \boldsymbol{\pi}} \boldsymbol{\pi}(\mathbf{a}|x) Q^i_{\boldsymbol{\phi},\boldsymbol{\psi},\boldsymbol{\pi}}(x,\mathbf{a}) \Big] \\ &\cdot \nabla_{\eta^i} \boldsymbol{\phi}(\mathbf{m}|x) + \sum_{\mathbf{m} \sim \boldsymbol{\phi}} \boldsymbol{\phi}(\mathbf{m}|x) \sum_{\mathbf{c} \sim \boldsymbol{\psi}} \Big[ \mathbbm{1}(\mathbf{c} = \mathbf{1}) Q^i_{\boldsymbol{\phi},\boldsymbol{\psi},\boldsymbol{\pi}}(x,\mathbf{m}) + \Big(1 - \mathbbm{1}(\mathbf{c} = \mathbf{1})\Big) \sum_{\mathbf{a} \sim \boldsymbol{\pi}} \boldsymbol{\pi}(\mathbf{a}|x) Q^i_{\boldsymbol{\phi},\boldsymbol{\psi},\boldsymbol{\pi}}(x,\mathbf{a}) \Big] \\ &\cdot \nabla_{\eta^i} \boldsymbol{\psi}(\mathbf{c}|x,\mathbf{m}) + \sum_{\mathbf{m} \sim \boldsymbol{\phi}} \boldsymbol{\phi}(\mathbf{m}|x) \sum_{\mathbf{c} \sim \boldsymbol{\psi}} \boldsymbol{\psi}(\mathbf{c}|x,\mathbf{m}) \Big[ Q^i_{\boldsymbol{\phi},\boldsymbol{\psi},\boldsymbol{\pi}}(x,\mathbf{m}) - \sum_{\mathbf{a} \sim \boldsymbol{\pi}} \boldsymbol{\pi}(\mathbf{a}|x) Q^i_{\boldsymbol{\phi},\boldsymbol{\psi},\boldsymbol{\pi}}(x,\mathbf{a}) \Big] \nabla_{\eta^i} \mathbbm{1}(\mathbf{c} = \mathbf{1}) \Bigg], \end{split}$$

$$\begin{split} &= \mathbb{E}_{x \sim \rho_{\phi, \psi, \pi}, \mathbf{m} \sim \phi, \mathbf{c} \sim \psi, \mathbf{a} \sim \pi} \left[ \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) Q_{\phi, \psi, \pi}^{i}(x, \mathbf{m}) + \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) Q_{\phi, \psi, \pi}^{i}(x, \mathbf{a}) \right] \left( \nabla_{\eta^{i}} \log \phi(\mathbf{m}|x) \right. \\ &+ \nabla_{\eta^{i}} \log \psi(\mathbf{c}|x, \mathbf{m}) \right) + \left[ Q_{\phi, \psi, \pi}^{i}(x, \mathbf{m}) - Q_{\phi, \psi, \pi}^{i}(x, \mathbf{a}) \right] \nabla_{\eta^{i}} \mathbb{1}(\mathbf{c} = \mathbf{1}) \right], \\ &= \mathbb{E}_{x \sim \rho_{\phi, \psi, \pi}, \mathbf{m} \sim \phi, \mathbf{c} \sim \psi, \mathbf{a} \sim \pi} \left[ \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) Q_{\phi, \psi, \pi}^{i}(x, \mathbf{m}) + \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) Q_{\phi, \psi, \pi}^{i}(x, \mathbf{a}) \right] \left( \nabla_{\eta^{i}} \log \phi^{i}(m^{i}|x) \right. \\ &+ \sum_{j} \nabla_{\eta^{i}} \log \psi^{j}(c^{j}|x, \mathbf{m}) \right) + \left[ Q_{\phi, \psi, \pi}^{i}(x, \mathbf{m}) - Q_{\phi, \psi, \pi}^{i}(x, \mathbf{a}) \right] \left( \mathbb{1}(c^{-i} = \mathbf{1}) \nabla_{\eta^{i}} \mathbb{1}(c^{i} = \mathbf{1}) + \mathbb{1}(c^{i} = \mathbf{1}) \right. \\ &\cdot \nabla_{\eta^{i}} \mathbb{1}(c^{-i} = \mathbf{1}) \right) \right], \\ &= \mathbb{E}_{x \sim \rho_{\phi, \psi, \pi}, \mathbf{m} \sim \phi, \mathbf{c} \sim \psi, \mathbf{a} \sim \pi} \left[ \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) Q_{\phi, \psi, \pi}^{i}(x, \mathbf{m}) + \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) Q_{\phi, \psi, \pi}^{i}(x, \mathbf{a}) \right] \left( \nabla_{\eta^{i}} \log \phi^{i}(m^{i}|x) \right. \\ &+ \sum_{j} \nabla_{\eta^{i}} \log \psi^{j}(c^{j}|x, \mathbf{m}) \right) + \sum_{j} \prod_{k \neq j} \mathbb{1}(c^{k} = \mathbf{1}) \left[ Q_{\phi, \psi, \pi}^{i}(x, \mathbf{m}) - Q_{\phi, \psi, \pi}^{i}(x, \mathbf{a}) \right] \nabla_{\eta^{i}} \mathbb{1}(c^{j} = \mathbf{1}) \right]. \end{aligned} \tag{27}$$

410 Therefore,

$$\nabla_{\eta^{i}} V_{\phi,\psi,\pi}^{i}(s) \propto \mathbb{E}_{x \sim \rho_{\phi,\psi,\pi},\mathbf{m} \sim \phi,\mathbf{c} \sim \psi,\mathbf{a} \sim \pi} \left[ \left[ \mathbb{1}(\mathbf{c} = \mathbf{1}) Q_{\phi,\psi,\pi}^{i}(x,\mathbf{m}) + \left( 1 - \mathbb{1}(\mathbf{c} = \mathbf{1}) \right) Q_{\phi,\psi,\pi}^{i}(x,\mathbf{a}) \right] \cdot \left( \nabla_{\eta^{i}} \log \phi^{i}(m^{i}|x) + \sum_{j} \nabla_{\eta^{i}} \log \psi^{j}(c^{j}|x,\mathbf{m}) \right) + \sum_{j} \prod_{k \neq j} \mathbb{1}(c^{k} = 1) \left[ Q_{\phi,\psi,\pi}^{i}(x,\mathbf{m}) - Q_{\phi,\psi,\pi}^{i}(x,\mathbf{a}) \right] \nabla_{\eta^{i}} \mathbb{1}(c^{j} = 1) \right].$$

- Note that  $\nabla_{\eta^i}\mathbbm{1}(c^i=1)=\frac{d\mathbbm{1}(c^i=1)}{dc^i}(\frac{\partial\psi^i}{\partial c^i})^{-1}\frac{\partial\psi^i}{\partial m^i}\frac{\partial m^i}{\partial \eta^i}, \quad \nabla_{\eta^i}\mathbbm{1}(c^j=1)|_{j\neq i}=\frac{d\mathbbm{1}(c^j=1)}{dc^j}(\frac{\partial\psi^j}{\partial c^j})^{-1}\frac{\partial\psi^j}{\partial m^i}\frac{\partial m^i}{\partial \eta^i}.$  We apply Gumbel-Softmax distribution (Jang et al., 2016) again, which
- allows autodifferentiation through  $m^i, \forall i$ . 413

#### 8 **DCL Details** 414

#### 415 8.1 Centralized DCL

- 416 DCL updates policies with respect to policy gradients in Lemma 3.1. Because calculating
- $\nabla_{\eta^i} V^i_{\phi,\psi,\pi}(s)$  requires differentiation through commitment policies of other agents  $j \in \mathcal{N} \setminus i$ , we
- present centralized DCL in Algorithm 2 that allows agents to backpropagate through exact policies 418
- 419 of others.

#### 420 8.2 **Decentralized DCL**

- 421 Centralized training is not always feasible in mixed-motive environments. To address this limitation,
- 422 we further present decentralized DCL in Algorithm 3. In decentralized DCL, each agent estimates
- others' policies and value functions with DCL. Then, agents can differentiate through these estimates 423
- to update their own policies. 424

# 425 **9 Proof of Proposition 2.1**

- 426 Recall the definition of Nash equilibrium and Pareto-dominant outcome:
- **Definition 9.1.** (Hu & Wellman, 2003) In stochastic game  $\Gamma$ , a Nash equilibrium point is a tuple of
- 428 *n strategies*  $(\pi_*^1, ..., \pi_*^n)$  *such that for all*  $s \in \mathcal{S}$  *and* i = 1, ..., n,

$$V^{i}(s, \pi_{*}^{1}, ..., \pi_{*}^{n}) \ge V^{i}(s, \pi_{*}^{1}, ..., \pi_{*}^{i-1}, \pi^{i}, \pi_{*}^{i+1}, ..., \pi_{*}^{n}), \quad \forall \pi^{i} \in \Pi^{i},$$
(28)

- 429 where  $\Pi^i$  is the set of strategies available to agent i.
- 430 At a Nash equilibrium, no player can improve their payoff by changing their strategy, assuming that
- 431 the other players stick to their current strategies.
- 432 **Definition 9.2.** (Censor, 1977; Fudenberg, 1991) An outcome of a game is Pareto-dominant, also
- 433 known as Pareto-optimal and Pareto-efficient, if it's impossible to make one player better-off, without
- 434 making some other players worse-off.
- 435 To prove Proposition 2.1, we need to find a tuple of strategies  $((\phi_*^i, \psi_*^i, \pi_*^i), (\phi_*^{-i}, \psi_*^{-i}, \pi_*^{-i}))$  in
- 436 MCGs that satisfies the conditions of Nash equilibrium and Pareto-optimality.
- 437 *Proof.* In the MCG of the Prisoner's Dilemma, we define a tuple of deterministic strategies  $\forall i \in \mathcal{N}$ ,
- 438  $\phi^{i}(s) = C, \pi^{i}_{*}(s) = D$  and

$$\begin{split} & \psi_*^i(s, \mathbf{m} = \{C, C\}) = 1, \\ & \psi_*^i(s, \mathbf{m} = \{D, C\}) = 1, \\ & \psi_*^i(s, \mathbf{m} = \{C, D\}) = 0, \\ & \psi_*^i(s, \mathbf{m} = \{D, D\}) = 0 \text{ or } 1. \end{split} \tag{29}$$

439 So the value function of this tuple is:

$$V^{i}(s, (\phi_{\star}^{i}, \psi_{\star}^{i}, \pi_{\star}^{i}) | (\phi_{\star}^{-i}, \psi_{\star}^{-i}, \pi_{\star}^{-i})) = -1.$$
(30)

- Then we show that no player can increase their payoff by unilaterally changing to other deterministic
- strategies, assuming all other players keep their strategies fixed.
- 442 1.  $\forall \phi^i \neq \phi^i_*$ , i.e.  $\phi^i(s) = D$ , and  $\forall \psi^i$ :
- 443 (a) if  $\pi^i(s) = C$ ,

$$V^{i}(s, (\phi^{i}, \psi^{i}, \pi^{i}) | (\phi_{*}^{-i}, \psi_{*}^{-i}, \pi_{*}^{-i})) = -3 < -1 = V^{i}(s, (\phi_{*}^{i}, \psi_{*}^{i}, \pi_{*}^{i}) | (\phi_{*}^{-i}, \psi_{*}^{-i}, \pi_{*}^{-i})),$$
(31)

(b) otherwise  $\pi^i(s) = D$ ,

$$V^{i}(s, (\phi^{i}, \psi^{i}, \pi^{i}) | (\phi_{*}^{-i}, \psi_{*}^{-i}, \pi_{*}^{-i})) = -2 < -1 = V^{i}(s, (\phi_{*}^{i}, \psi_{*}^{i}, \pi_{*}^{i}) | (\phi_{*}^{-i}, \psi_{*}^{-i}, \pi_{*}^{-i})).$$
(32)

- 445 2.  $\phi^i = \phi^i_* \text{ and } \psi^i \neq \psi^i_*$ :
- 446 (a)  $\forall \psi^i \neq \psi^i_* \text{ s.t. } \psi^i(s, \mathbf{m} = \{C, C\}) = 1 \text{ and } \forall \pi^i,$

$$V^{i}(s, (\phi^{i}, \psi^{i}, \pi^{i}) | (\phi_{*}^{-i}, \psi_{*}^{-i}, \pi_{*}^{-i})) = -1 = V^{i}(s, (\phi_{*}^{i}, \psi_{*}^{i}, \pi_{*}^{i}) | (\phi_{*}^{-i}, \psi_{*}^{-i}, \pi_{*}^{-i})).$$
(33)

- 447 (b)  $\forall \psi^i \neq \psi^i_* \text{ s.t. } \psi^i(s, \mathbf{m} = \{C, C\}) = 0,$
- 448 i. if  $\pi^i(s) = C$ ,

$$V^{i}(s, (\phi^{i}, \psi^{i}, \pi^{i}) | (\phi_{*}^{-i}, \psi_{*}^{-i}, \pi_{*}^{-i})) = -3 < -1 = V^{i}(s, (\phi_{*}^{i}, \psi_{*}^{i}, \pi_{*}^{i}) | (\phi_{*}^{-i}, \psi_{*}^{-i}, \pi_{*}^{-i})),$$
(34)

ii. otherwise  $\pi^i(s) = D$ , 449

$$V^{i}(s, (\phi^{i}, \psi^{i}, \pi^{i}) | (\phi_{*}^{-i}, \psi_{*}^{-i}, \pi_{*}^{-i})) = -2 < -1 = V^{i}(s, (\phi_{*}^{i}, \psi_{*}^{i}, \pi_{*}^{i}) | (\phi_{*}^{-i}, \psi_{*}^{-i}, \pi_{*}^{-i})).$$
(35)

450 3.  $\phi^i = \phi^i_*, \psi^i = \psi^i_*, \forall \pi^i$ :

$$V^{i}(s, (\phi^{i}, \psi^{i}, \pi^{i}) | (\phi_{*}^{-i}, \psi_{*}^{-i}, \pi_{*}^{-i})) = -1 = V^{i}(s, (\phi_{*}^{i}, \psi_{*}^{i}, \pi_{*}^{i}) | (\phi_{*}^{-i}, \psi_{*}^{-i}, \pi_{*}^{-i})).$$
(36)

451 Thus,

$$V^{i}(s, (\phi^{i}, \psi^{i}, \pi^{i}) | (\phi_{*}^{-i}, \psi_{*}^{-i}, \pi_{*}^{-i})) \leq V^{i}(s, (\phi_{*}^{i}, \psi_{*}^{i}, \pi_{*}^{i}) | (\phi_{*}^{-i}, \psi_{*}^{-i}, \pi_{*}^{-i})), \quad \forall \phi^{i}, \psi^{i}, \pi^{i}.$$
 (37)

- Therefore,  $((\phi_*^i,\psi_*^i,\pi_*^i),(\phi_*^{-i},\psi_*^{-i},\pi_*^{-i}))$  is a pure strategy Nash equilibrium in the MCG of Prisoner's Dilemma. Meanwhile,  $((\phi_*^i,\psi_*^i,\pi_*^i),(\phi_*^{-i},\psi_*^{-i},\pi_*^{-i}))$  is also a Pareto-optimal equilibrium. 452
- 453
- Given the payoff matrix in Table 1, other possible outcomes are (-2, -2), (0, -3) and (-3, 0). 454
- Therefore, no further improvement can be made to one player's outcome without reducing the pay-455
- off of another player compared to (-1,-1) achieved by  $((\phi_*^i,\psi_*^i,\pi_*^i),(\phi_*^{-i},\psi_*^{-i},\pi_*^{-i}))$ . 456

# Algorithm 1 Differentiable Commitment Learning

Input: initial parameters of action policy  $\theta^i$ , commitment policy  $\zeta^i$ , proposal policy  $\eta^i$ , actionvalue function  $w^i$  for  $i \in \mathcal{N}$ , learning rate  $\beta$ , Lagrange multiplier  $\lambda$ , number of iterations T. for k=0, 1, 2, ..., T-1 do

Collect set of trajectories  $\mathcal{D}_k = \{\tau_t\}$  by running latest policies  $(\theta^i, \zeta^i, \eta^i), \forall i \in \mathcal{N}$ .

Compute Monte-Carlo discounted accumulative rewards  $\hat{G}_t^i, \forall i \in \mathcal{N}$ .

Fit value function with gradient descent by minimizing the mean-squared error:

$$w_{k+1}^i = \arg\min_{w^i} \frac{1}{|\mathcal{D}_k| T} \sum_{\tau \in \mathcal{D}_k} \sum_{t=0}^T (Q_{w^i}^i(s_t, \mathbf{a}_t) - \hat{G}_t^i)^2.$$

Estimate action policy gradient  $\hat{g}_{\theta_{L}^{i}}$  according to Equation (3).

Estimate commitment policy gradient  $\hat{g}_{\zeta_k^i}$  according to Equation (4). Estimate proposal policy gradient  $\hat{g}_{\eta_k^i}$  w.r.t. expected return according to Equation (5).

Estimate proposal policy gradient  $\hat{g}_{\eta_k^i}^{'}$  w.r.t. the incentive-compatible constraints by

$$\frac{1}{|\mathcal{D}_k|} \sum_{\tau \in \mathcal{D}_k} \sum_{t=0}^T \sum_j \nabla_{\eta_k^i} \min\{0, Q_{w_{k+1}^j}^j(s_t, \mathbf{m}_t) - Q_{w_{k+1}^j}^j(s_t, \mathbf{a}_t)\}.$$

Update policy parameters for all agents with gradient ascent

$$\boldsymbol{\theta}_{k+1}^i = \boldsymbol{\theta}_k^i + \beta \hat{\boldsymbol{g}}_{\boldsymbol{\theta}_k^i}, \boldsymbol{\zeta}_{k+1}^i = \boldsymbol{\zeta}_k^i + \beta \hat{\boldsymbol{g}}_{\boldsymbol{\zeta}_k^i}, \boldsymbol{\eta}_{k+1}^i = \boldsymbol{\eta}_k^i + \beta \hat{\boldsymbol{g}}_{\boldsymbol{\eta}_k^i} + \boldsymbol{\lambda} \hat{\boldsymbol{g}}_{\boldsymbol{\eta}_k^i}^{'}.$$

end for

# **Hyperparameters**

- 458 For all algorithms, we utilized 2-layer MLP networks with ReLU activation in the hidden layers. All
- 459 policy networks apply a softmax function as the output activation, whereas the value network uses a
- 460 linear output without any activation function. Other hyperparameters are reported in Table 5, 6 and
- 461 7.

457

### **Algorithm 2** Differentiable Commitment Learning (Centralized Version)

Input: initial action policy parameters  $\theta^i$ , initial commitment policy parameters  $\zeta^i$ , initial proposal policy parameters  $\eta^i$ , initial action-value function parameters  $w^i$  for all  $i \in \mathcal{N}$ .

for k=0, 1, 2, ... do

Collect set of trajectories  $\mathcal{D}_k = \{\tau_t\}$  by running latest policies  $(\theta^i, \zeta^i, \eta^i), \forall i \in \mathcal{N}$ .

Compute Monte-Carlo discounted accumulative rewards  $\hat{G}_t^i, \forall i \in \mathcal{N}$ .

Fit value function for all  $i \in \mathcal{N}$  with gradient descent by minimizing the mean-squared error:

$$w_{k+1}^{i} = \arg\min_{w^{i}} \frac{1}{|\mathcal{D}_{k}|T} \sum_{\tau \in \mathcal{D}_{k}} \sum_{t=0}^{T} (Q_{w^{i}}^{i}(s_{t}, \mathbf{a}_{t}) - \hat{G}_{t}^{i})^{2}.$$

Estimate action policy gradient for all  $i \in \mathcal{N}$  as

$$\hat{g}_{\theta_k^i} = \frac{1}{|\mathcal{D}_k|} \sum_{\tau \in \mathcal{D}_k} \sum_{t=0}^{T} \left( 1 - \mathbb{1}(\mathbf{c}_t = \mathbf{1}) \right) Q_{w_{k+1}^i}^i(s_t, \mathbf{a}_t) \nabla_{\theta_k^i} \log \pi_{\theta_k^i}^i(a_t^i | s_t).$$

Estimate commitment policy gradient for all  $i \in \mathcal{N}$  as

$$\hat{g}_{\zeta_{k}^{i}} = \frac{1}{|\mathcal{D}_{k}|} \sum_{\tau \in \mathcal{D}_{k}} \sum_{t=0}^{T} \left[ \mathbb{1}(\mathbf{c}_{t} = \mathbf{1}) Q_{w_{k+1}^{i}}^{i}(s_{t}, \mathbf{m}_{t}) + \left(1 - \mathbb{1}(\mathbf{c}_{t} = \mathbf{1})\right) Q_{w_{k+1}^{i}}^{i}(s_{t}, \mathbf{a}_{t}) \right] \\ \cdot \nabla_{\zeta_{k}^{i}} \log \psi_{\zeta_{k}^{i}}^{i}(c_{t}^{i}|s_{t}, \mathbf{m}_{t}) + \left[ Q_{w_{k+1}^{i}}^{i}(s_{t}, \mathbf{m}_{t}) - Q_{w_{k+1}^{i}}^{i}(s_{t}, \mathbf{a}_{t}) \right] \prod_{j \neq i} \mathbb{1}(c_{t}^{j} = 1) \nabla_{\zeta_{k}^{i}} \mathbb{1}(c_{t}^{i} = 1).$$

Estimate proposal policy gradient w.r.t. the expected return for all  $i \in \mathcal{N}$  by

$$\hat{g}_{\eta_k^i} = \frac{1}{|\mathcal{D}_k|} \sum_{\tau \in \mathcal{D}_k} \sum_{t=0}^T \left[ \mathbb{1}(\mathbf{c}_t = \mathbf{1}) Q_{w_{k+1}^i}^i(s_t, \mathbf{m}_t) + \left( 1 - \mathbb{1}(\mathbf{c}_t = \mathbf{1}) \right) Q_{w_{k+1}^i}^i(s_t, \mathbf{a}_t) \right]$$

$$\cdot \left( \nabla_{\eta_k^i} \log \phi_{\eta_k^i}^i(m_t^i | s_t) + \sum_j \nabla_{\eta_k^i} \log \psi_{\zeta_k^j}^j(c_t^j | s_t, \mathbf{m}_t) \right)$$

$$+ \sum_i \prod_{l \neq i} \mathbb{1}(c_t^l = 1) \left[ Q_{w_{k+1}^i}^i(s_t, \mathbf{m}_t) - Q_{w_{k+1}^i}^i(s_t, \mathbf{a}_t) \right] \nabla_{\eta_k^i} \mathbb{1}(c_t^j = 1).$$

Estimate proposal policy gradient w.r.t. incentive-compatible constraints for all  $i \in \mathcal{N}$  by

$$\hat{g}'_{\eta_k^i} = \frac{1}{|\mathcal{D}_k|} \sum_{\tau \in \mathcal{D}_k} \sum_{t=0}^T \sum_j \nabla_{\eta_k^i} \min\{0, Q_{w_{k+1}^j}^j(s_t, \mathbf{m}_t) - Q_{w_{k+1}^j}^j(s_t, \mathbf{a}_t)\}.$$

Update policy parameters for all  $i \in \mathcal{N}$  with gradient ascent,

$$\begin{split} \theta^i_{k+1} &= \theta^i_k + \beta \hat{g}_{\theta^i_k}, \\ \zeta^i_{k+1} &= \zeta^i_k + \beta \hat{g}_{\zeta^i_k}, \\ \eta^i_{k+1} &= \eta^i_k + \beta \hat{g}_{\eta^i_k} + \lambda \hat{g}'_{\eta^i_k} \end{split}$$

end for

# Algorithm 3 Differentiable Commitment Learning (Decentralized Version)

Input: initial action policy parameters:  $\theta^i$ , initial estimated action policy parameters of  $b \in \mathcal{N} \setminus i$ :  $\tilde{\theta}^{ib}$ , initial commitment policy parameters:  $\zeta^i$ , initial estimated commitment policy parameters of  $b \in \mathcal{N} \setminus i$ :  $\tilde{\zeta}^{ib}$ , initial proposal policy parameters:  $\eta^i$ , initial estimated proposal policy parameters of  $b \in \mathcal{N} \setminus i$ :  $\tilde{\eta}^{ib}$ , initial action-value function parameters:  $w^i$  for  $i \in \mathcal{N}$ , initial estimated action-value function parameters of  $b \in \mathcal{N} \setminus i$ :  $\tilde{w}^{ib}$  for all  $i \in \mathcal{N}$ .

for k=0, 1, 2, ... do

Collect set of trajectories  $\mathcal{D}_k = \{\tau_t\}$  by running latest policies  $(\theta^i, \zeta^i, \eta^i), \forall i \in \mathcal{N}$ .

Compute Monte-Carlo discounted accumulative rewards  $\hat{G}_t^i, \forall i \in \mathcal{N}$ .

Fit value function for all  $i \in \mathcal{N}$  with gradient descent by minimizing the mean-squared error:

$$w_{k+1}^{i} = \arg\min_{w^{i}} \frac{1}{|\mathcal{D}_{k}|T} \sum_{\tau \in \mathcal{D}_{k}} \sum_{t=0}^{T} (Q_{w^{i}}^{i}(s_{t}, \mathbf{a}_{t}) - \hat{G}_{t}^{i})^{2}.$$

Fit estimated value function of b for  $\forall b \in \mathcal{N} \setminus i$  and  $\forall i \in \mathcal{N}$  with gradient descent by minimizing the mean-squared error:

$$\tilde{w}_{k+1}^{ib} = \arg\min_{w^b} \frac{1}{|\mathcal{D}_k|T} \sum_{\tau \in \mathcal{D}_k} \sum_{t=0}^T (Q_{w^b}^b(s_t, \mathbf{a}_t) - \hat{G}_t^b)^2.$$

Estimate action policy gradient for all  $i \in \mathcal{N}$  as

$$\hat{g}_{\theta_k^i} = \frac{1}{|\mathcal{D}_k|} \sum_{\tau \in \mathcal{D}_k} \sum_{t=0}^{T} \left( 1 - \mathbb{1}(\mathbf{c}_t = \mathbf{1}) \right) Q_{w_{k+1}^i}^i(s_t, \mathbf{a}_t) \nabla_{\theta_k^i} \log \pi_{\theta_k^i}^i(a_t^i | s_t).$$

Estimate action policy of b for  $\forall b \in \mathcal{N} \setminus i$  and  $\forall i \in \mathcal{N}$  by

$$\hat{g}_{\tilde{\theta}_k^{ib}} = \frac{1}{|\mathcal{D}_k|} \sum_{\tau \in \mathcal{D}_k} \sum_{t=0}^T \left( 1 - \mathbb{1}(\mathbf{c}_t = \mathbf{1}) \right) Q_{\tilde{w}_{k+1}^{ib}}^b(s_t, \mathbf{a}_t) \nabla_{\tilde{\theta}_k^{ib}} \log \pi_{\tilde{\theta}_k^{ib}}^b(a_t^b | s_t).$$

Estimate commitment policy gradient for all  $i \in \mathcal{N}$  as

$$\hat{g}_{\zeta_{k}^{i}} = \frac{1}{|\mathcal{D}_{k}|} \sum_{\tau \in \mathcal{D}_{k}} \sum_{t=0}^{T} \left[ \mathbb{1}(\mathbf{c}_{t} = \mathbf{1}) Q_{w_{k+1}^{i}}^{i}(s_{t}, \mathbf{m}_{t}) + \left(1 - \mathbb{1}(\mathbf{c}_{t} = \mathbf{1})\right) Q_{w_{k+1}^{i}}^{i}(s_{t}, \mathbf{a}_{t}) \right] \\ \cdot \nabla_{\zeta_{k}^{i}} \log \psi_{\zeta_{k}^{i}}^{i}(c_{t}^{i}|s_{t}, \mathbf{m}_{t}) + \left[ Q_{w_{k+1}^{i}}^{i}(s_{t}, \mathbf{m}_{t}) - Q_{w_{k+1}^{i}}^{i}(s_{t}, \mathbf{a}_{t}) \right] \prod_{j \neq i} \mathbb{1}(c_{t}^{j} = 1) \nabla_{\zeta_{k}^{i}} \mathbb{1}(c_{t}^{i} = 1).$$

Estimate commitment policy gradient of b for  $\forall b \in \mathcal{N} \setminus i$  and  $\forall i \in \mathcal{N}$  by

$$\begin{split} \hat{g}_{\tilde{\zeta}_k^{ib}} = & \frac{1}{|\mathcal{D}_k|} \sum_{\tau \in \mathcal{D}_k} \sum_{t=0}^T \left[ \mathbb{1}(\mathbf{c}_t = \mathbf{1}) Q_{\tilde{w}_{k+1}^{ib}}^b(s_t, \mathbf{m}_t) + \left( 1 - \mathbb{1}(\mathbf{c}_t = \mathbf{1}) \right) Q_{\tilde{w}_{k+1}^{ib}}^b(s_t, \mathbf{a}_t) \right] \\ & \cdot \nabla_{\tilde{\zeta}_k^{ib}} \log \psi_{\tilde{\zeta}_k^{ib}}^i(c_t^b | s_t, \mathbf{m}_t) + \left[ Q_{\tilde{w}_{k+1}^{ib}}^b(s_t, \mathbf{m}_t) - Q_{\tilde{w}_{k+1}^{ib}}^b(s_t, \mathbf{a}_t) \right] \prod_{j \neq b} \mathbb{1}(c_t^j = 1) \nabla_{\tilde{\zeta}_k^{ib}} \mathbb{1}(c_t^b = 1). \end{split}$$

Estimate proposal policy gradient w.r.t. the expected return for all  $i \in \mathcal{N}$  by

$$\begin{split} \hat{g}_{\eta_{k}^{i}} = & \frac{1}{|\mathcal{D}_{k}|} \sum_{\tau \in \mathcal{D}_{k}} \sum_{t=0}^{T} \left[ \mathbb{1}(\mathbf{c}_{t} = \mathbf{1}) Q_{w_{k+1}^{i}}^{i}(s_{t}, \mathbf{m}_{t}) + \left(1 - \mathbb{1}(\mathbf{c}_{t} = \mathbf{1})\right) Q_{w_{k+1}^{i}}^{i}(s_{t}, \mathbf{a}_{t}) \right] \cdot \left(\nabla_{\eta_{k}^{i}} \log \phi_{\eta_{k}^{i}}^{i}(m_{t}^{i}|s_{t}) + \sum_{j} \nabla_{\eta_{k}^{i}} \log \psi_{\zeta_{k}^{j}}^{j}(c_{t}^{j}|s_{t}, \mathbf{m}_{t})\right) + \sum_{j} \prod_{l \neq j} \mathbb{1}(c_{t}^{l} = 1) \left[Q_{w_{k+1}^{i}}^{i}(s_{t}, \mathbf{m}_{t}) - Q_{w_{k+1}^{i}}^{i}(s_{t}, \mathbf{a}_{t})\right] \nabla_{\eta_{k}^{i}} \mathbb{1}(c_{t}^{j} = 1). \end{split}$$

Estimate proposal policy gradient w.r.t. incentive-compatible constraints for all  $i \in \mathcal{N}$  by

$$\hat{g}_{\eta_{k}^{i}}^{'} = \frac{1}{|\mathcal{D}_{k}|} \sum_{\tau \in \mathcal{D}_{k}} \sum_{t=0}^{T} \nabla_{\eta_{k}^{i}} \min\{0, Q_{w_{k+1}^{i}}^{i}(s_{t}, \mathbf{m}_{t}) - Q_{w_{k+1}^{i}}^{i}(s, \mathbf{a})\} + \sum_{b \neq i} \nabla_{\eta_{k}^{i}} \min\{0, Q_{\tilde{w}_{k}^{ib}}^{b}(s, \mathbf{m}) - Q_{\tilde{w}_{k}^{ib}}^{b}(s, \mathbf{a})\}.$$

Estimate proposal policy gradient w.r.t. the expected return of b for  $\forall b \in \mathcal{N} \setminus i$  and  $\forall i \in \mathcal{N}$  by

$$\begin{split} \hat{g}_{\tilde{\eta}_{k}^{ib}} = & \frac{1}{|\mathcal{D}_{k}|} \sum_{\tau \in \mathcal{D}_{k}} \sum_{t=0}^{T} \left[ \mathbb{1}(\mathbf{c}_{t} = \mathbf{1}) Q_{\tilde{w}_{k+1}^{ib}}^{b}(s_{t}, \mathbf{m}_{t}) + \left(1 - \mathbb{1}(\mathbf{c}_{t} = \mathbf{1})\right) Q_{\tilde{w}_{k+1}^{ib}}^{b}(s_{t}, \mathbf{a}_{t}) \right] \cdot \left( \nabla_{\tilde{\eta}_{k}^{ib}} \log \phi_{\tilde{\eta}_{k}^{ib}}^{b}(m_{t}^{b}|s_{t}) \right. \\ & + \sum_{j} \nabla_{\tilde{\eta}_{k}^{ib}} \log \psi_{\tilde{\zeta}_{k}^{ij}}^{j}(c_{t}^{j}|s_{t}, \mathbf{m}_{t}) \right) + \sum_{j} \prod_{l \neq j} \mathbb{1}(c_{t}^{l} = 1) \left[ Q_{\tilde{w}_{k+1}^{ib}}^{b}(s_{t}, \mathbf{m}_{t}) - Q_{\tilde{w}_{k+1}^{ib}}^{b}(s_{t}, \mathbf{a}_{t}) \right] \nabla_{\tilde{\eta}_{k}^{ib}} \mathbb{1}(c_{t}^{j} = 1). \end{split}$$

Estimate proposal policy gradient w.r.t. incentive-compatible constraints of b for  $\forall b \in \mathcal{N} \setminus i$  and  $\forall i \in \mathcal{N}$  by

$$\hat{g}_{\tilde{\eta}_{k}^{ib}}^{'} = \frac{1}{|\mathcal{D}_{k}|} \sum_{\tau \in \mathcal{D}_{k}} \sum_{t=0}^{T} \nabla_{\tilde{\eta}_{k}^{ib}} \min\{0, Q_{w_{k+1}^{i}}^{i}(s_{t}, \mathbf{m}_{t}) - Q_{w_{k+1}^{i}}^{i}(s, \mathbf{a})\} + \sum_{b \neq i} \nabla_{\tilde{\eta}_{k}^{ib}} \min\{0, Q_{\tilde{w}_{k+1}^{ib}}^{b}(s, \mathbf{m}) - Q_{\tilde{w}_{k+1}^{ib}}^{b}(s, \mathbf{a})\}.$$

Update policy parameters for all  $i \in \mathcal{N}$  with gradient ascent,

$$\theta_{k+1}^{i} = \theta_{k}^{i} + \beta \hat{g}_{\theta_{k}^{i}}, \zeta_{k+1}^{i} = \zeta_{k}^{i} + \beta \hat{g}_{\zeta_{k}^{i}}, \eta_{k+1}^{i} = \eta_{k}^{i} + \beta \hat{g}_{\eta_{k}^{i}} + \lambda \hat{g}_{\eta_{k}^{i}}^{'}.$$

Update policy parameters for all  $b \in \mathcal{N} \setminus i$  and  $i \in \mathcal{N}$  with gradient ascent,

$$\tilde{\theta}_{k+1}^{ib} = \tilde{\theta}_{k}^{ib} + \beta \hat{g}_{\tilde{\theta}_{k}^{ib}}, \tilde{\zeta}_{k+1}^{ib} = \tilde{\zeta}_{k}^{ib} + \beta \hat{g}_{\tilde{\zeta}_{k}^{ib}}, \tilde{\eta}_{k+1}^{ib} = \tilde{\eta}_{k}^{ib} + \beta \hat{g}_{\tilde{\eta}_{k}^{ib}} + \lambda \hat{g}_{\tilde{\eta}_{k}^{ib}}'$$

end for

Table 3: Comparison with Related Frameworks

Name	Commitment	Share Policies	Altruistic Third Party	Reward Transfer	Proposal of Actions
Commitment Games (Renou, 2009)	Unconditional	Yes	No	No	No
Conditional Commitment Games (Bryan et al., 2010)	Conditional	Yes	No	No	No
Contract Mechanism (Hughes et al., 2020)	Conditional	No	No	No	Joint Action
Formal Contracting (Haupt et al., 2022)	Conditional	No	No	Yes	No
Mediated-MARL (Ivanov et al., 2023)	N/A	No	Yes	No	No
MCGs (Ours)	Conditional	No	No	No	Self Action

Table 4: Comparison with MARL Baselines

Name	Objective	Reward Transfer	Independent Learning	Decentralized Learning
IPPO (Schulman et al., 2017)	Individual Returns	No	Yes	Yes
MOCA (Haupt et al., 2022)	Individual Returns	Yes	Yes	Yes
Mediated-MARL (Ivanov et al., 2023)	Social Welfare + Individual Returns	No	Yes	Yes
Centralized DCL (Ours)	Individual Returns	No	No	No
Decentralized DCL (Ours)	Individual Returns	No	No	Yes

Table 5: Hyperparameters of Prisoner's Dilemma

Hyperparameters	DCL	Mediated-MARL	IPPO	MOCA
Num of Iterations	10,000	10,000	10,000	10,000
Batch size	128	128	128	128
Entropy Coef. Start	1.0	1.0	N/A	N/A
Entropy Decay	0.0005	0.0005	N/A	N/A
Min. Entropy Coef.	0	0	N/A	N/A
LR of Value Function	8e-4	8e-4	8e-4	8e-4
LR of Policies	4e-4	4e-4	4e-4	4e-4
Hidden Layer size	8	8	8	8
Num of Layers	2	2	2	2
KL-coefficient	N/A	N/A	0.2	0.2
KL-target	N/A	N/A	0.01	0.01
Clip Parameter in PPO	N/A	N/A	0.3	0.3
Temperature	10.0	N/A	N/A	N/A
Temperature Decay	0.05	N/A	N/A	N/A
Min. Temperature	1.0	N/A	N/A	N/A
Num of Update Per Iteration	1	1	1	1

Table 6: Hyperparameters of Grid Game

Hyperparameters	DCL	Mediated-MARL	IPPO	MOCA
Horizon	16	16	16	16
Grid Size	4	4	4	4
Num of Iterations	10,000	10,000	10,000	10,000
Discount Factor	0.99	0.99	0.99	0.99
Batch size	512	512	512	512
Entropy Coef. Start	2.0	2.0	N/A	N/A
Entropy Decay	0.0005	0.0005	N/A	N/A
Min. Entropy Coef.	0.001	0.001	N/A	N/A
LR of Value Function	8e-4	8e-4	8e-4	8e-4
LR of Policies	4e-4	4e-4	4e-4	4e-4
Hidden Layer size	32	32	32	32
Num of Layers	2	2	2	2
KL-coefficient	N/A	N/A	0.2	0.2
KL-target	N/A	N/A	0.01	0.01
Clip Parameter in PPO	N/A	N/A	0.3	0.3
Temperature	1.0	N/A	N/A	N/A
Temperature Decay	0	N/A	N/A	N/A
Min. Temperature	1.0	N/A	N/A	N/A
Num of Update Per Iteration	30	30	30	30

Table 7: Hyperparameters of Repeated Pure Conflicting Game

Hyperparameters	DCL	Mediated-MARL	IPPO	MOCA
Num of Iterations	10,000	10,000	10,000	10,000
Discount Factor	0.99	0.99	0.99	0.99
Batch size	512	512	512	512
Entropy Coef. Start	2.0	2.0	N/A	N/A
Entropy Decay	0.0005	0.0005	N/A	N/A
Min. Entropy Coef.	0.001	0.001	N/A	N/A
LR of Value Function	8e-4	8e-4	8e-4	8e-4
LR of Policies	4e-4	4e-4	4e-4	4e-4
Hidden Layer size	32	32	32	32
Num of Layers	2	2	2	2
KL-coefficient	N/A	N/A	0.2	0.2
KL-target	N/A	N/A	0.01	0.01
Clip Parameter in PPO	N/A	N/A	0.3	0.3
Temperature	1.0	N/A	N/A	N/A
Temperature Decay	0	N/A	N/A	N/A
Min. Temperature	1.0	N/A	N/A	N/A
Num of Update Per Iteration	30	30	30	30

# 11 Many-player Experiments

 To investigate how DCL handles scalability with many players, we conducted experiments on an N-player public goods game. For each agent i, the reward is calculated as  $R^i = \sum_j C^j * \beta - C^i$ , where  $C^i$  denotes the contribution of agent i,  $\beta$  denotes the benefit factor with a range between (1,N). In our experiments, we set  $\beta=1.5$  for all scenarios. Results in Table 8 indicate that DCL with incentive-compatible constraints scales effectively with large numbers of agents. While the runtime of DCL increases with the number of agents, the agreement rate of joint proposals remains stable (>0.99), achieving high social welfare.

Table 8: DCL-IC on Many-player Public Goods Game

Number of Agents	Run Time (Hours)	Agreement Rate	Social Welfare
2	4	$0.996 \pm 0.002$	$0.997 \pm 0.002$
3	7	$0.994 \pm 0.001$	$1.491 \pm 0.004$
5	12	$0.996 \pm 0.001$	$1.989 \pm 0.002$
10	32	$0.991 \pm 0.001$	$3.659 \pm 0.143$

## 470 12 Related Works

# 12.1 Cooperation Problems in Mixed-Motive Environments

The causes of cooperation failures between self-interested agents in mixed-motive environments have been primarily categorized into two types: information problems and commitment problems (Dafoe et al., 2020; Powell, 2006; Fearon, 1995). Information problems refer to cooperation failures caused by incorrect or insufficient information, which frequently occur in partially observable environments. Existing works have demonstrated that information problems can be alleviated by communication (Kim et al., 2020; Sukhbaatar et al., 2016; Foerster et al., 2016) and opponent reasoning (Konan et al., 2022; Jaques et al., 2019; Wen et al., 2019). However, in mixed-motive environments, agents driven by conflicting self-interests may deceive others regarding their

480 private observations (Lin et al., 2024; Kamenica, 2019; Taneva, 2019; Dughmi, 2017). Coopera-481 tion may also fail due to agents' inability to make credible commitments, known as commitment 482 problems, even in the absence of information asymmetries. For instance, cooperation can not be 483 achieved through cheap talk communication or non-binding promises of cooperation in the Pris-484 oner's Dilemma (Rapoport, 1965), as agents achieve higher payoffs by defecting regardless of the 485 opponent's actions. To address commitment problems, a commitment device is often required to en-486 sure that agents fulfill their commitments, either by restricting their actions or imposing penalties for 487 noncompliance (Sun et al., 2023; Rogers et al., 2014). Static conditional commitments facilitated by 488 such devices have been shown to enhance cooperation in the prisoner's dilemma (Kalai et al., 2010; 489 Renou, 2009; Schelling, 1980). However, these fixed strategies are difficult to generalize across 490 various games and environments.

# 491 12.2 Binding Contracts Mechanism

- Binding contracts are generally applied to establish commitments in multi-agent systems. The literature offers various approaches to contract design. Wang et al. (2024); Han et al. (2017); Sandholm & Lesser (1996) developed contracts that bind agents' future actions through side payments, rewarding agents for fulfilling commitments and penalizing them for noncompliance. Haupt et al. (2022); Sodomka et al. (2013) also explored mechanisms where agents voluntarily agree to binding reward transfers. However, these methods directly alter agents' incentives, which may not be feasible in practice.
- 499 Instead, Kramár et al. (2022); De Jonge & Zhang (2020); Hughes et al. (2020) proposed adaptive 500 binding actions without reward transfers, which are similar to MCGs but differ in specific details. 501 De Jonge & Zhang (2020) focused on turn-taking games with unilateral commitments, while MCGs emphasize simultaneous moves and multilateral commitments. Hughes et al. (2020) required agents 503 to propose a joint plan for all, with multilateral commitment only if they propose the same plan. In MCGs, however, each agent proposes an individual plan and uses a separate commitment model 505 to decide whether to commit or not. Kramár et al. (2022) introduced pairwise negotiation through Nash Bargaining Solution (NBS) (Binmore et al., 1986), aiming to maximize the product of agents' 507 utilities. In contrast, MCGs focus on selfish agents aiming to maximize their individual long-term 508 returns.

# 12.3 Altruistic Third Party

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510 Without manipulating agents' rewards, (Ivanov et al., 2023; McAleer et al., 2021; Greenwald et al., 511 2003) introduced pro-social third parties to mediate agents' actions and induce cooperative behav-512 iors. These approaches optimize social welfare such as the sum of agents' returns while incor-513 porating rationality constraints that define equilibria, ensuring that self-interested agents have no incentive to deviate from their strategies. Specifically, utilitarian correlated-Q learning (Greenwald 515 et al., 2003) utilized a centralized model to optimize the joint action probability distribution of all 516 agents, with an objective that maximizes the sum of the agents' rewards. In contrast, Ivanov et al. 517 (2023); McAleer et al. (2021) trained agents to optimize their individual payoffs, allowing them 518 to follow the recommendations of a prosocial mediator or take their actions independently if those 519 recommendations do not align with their self-interests. However, these approaches still rely on a 520 centralized altruistic third party, which may become ineffective in highly conflicting environments 521 where collective interests significantly clash with individual self-interests.

# 12.4 Background on Commitment Games

A normal form game  $G = (\mathcal{N}, (\mathcal{R}^i, \mathcal{A}^i)_{i \in \mathcal{N}})$  consists of a set  $\mathcal{N}$  of agents, where each agent i chooses an action  $a^i \in \mathcal{A}^i$  and earns a reward according to the function  $\mathcal{R}^i : \prod_j \mathcal{A}^j \to \mathbb{R}$ . A commitment game (Renou, 2009) extends a normal form game to two phases where each agent first makes a commitment and then plays an action. Formally, a commitment game  $CG = (\mathcal{N}, (\mathcal{R}^i, \mathcal{A}^i, \mathcal{C}^i)_{i \in \mathcal{N}})$  extends a normal form game with a commitment space  $C^i$  for each

agent. Player i's strategy  $(c^i, \sigma^i)$  consists of a commitment  $c^i \in \mathcal{C}^i$  and a response function  $\sigma^i: \prod_j \mathcal{C}^j \to \mathcal{A}^i$ . For example, Renou (2009) considered unconditional unilateral commitments where a commitment  $c^i \subseteq \mathcal{A}^i$  is a subset of the action space, meaning that the agent commits to choose an action in that subset. Such unconditional unilateral commitments can yield better equilibria (i.e., Pareto optimal) when ruling out some threats will incite other agents to cooperate. However, in other games such as Prisoner's Dilemma, no unilateral commitment will induce convergence to mutual cooperation.

Kalai et al. (2010) proposed conditional unilateral commitments  $\mathcal{C}^i:\prod_{j\neq i}\mathcal{C}^j\to\mathcal{A}^i$ , where agents commit to some actions conditioned on the commitments of others. This space of commitments is recursive and potentially infinite, however it can turn mutual cooperation into a stable equilibrium in Prisoner's Dilemma when both agents commit to cooperating conditioned on the other one cooperating too. Kalai et al. (2010) further augmented conditional unilateral commitments with a voluntary commitment space. In this voluntary commitment space, agents are allowed to play the normal form game G without making any advanced commitment. Thus, agents will independently select their actions  $a^i \in A^i$  if they voluntarily decide not to commit to any  $c^i \in \mathcal{C}^i$ . However, this conditional commitment mechanism requires agents to reveal their commitment strategies (Kalai et al., 2010; Forges, 2013) or source code of their models (DiGiovanni & Clifton, 2023), which may be impractical and lead to incompatibilities in commitment implementation.