# TÜLU 3: Pushing Frontiers in Open Language Model Post-Training

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### **Abstract**

Language model post-training is applied to refine behaviors and unlock new skills across a wide range of language models, but open recipes for applying these techniques lag behind proprietary ones. The underlying training data and recipes for post-training are simultaneously the most important pieces of the puzzle and the portion with the least transparency. To bridge this gap, we introduce TÜLU 3, a family of fully-open state-of-the-art post-trained models, alongside its data, code, and training recipes, serving as a comprehensive guide for modern post-training techniques. TÜLU 3, which builds on Llama 3.1 base models at 8B, 70B and 405B parameters, achieves results surpassing the instruct versions of Llama 3.1, Qwen 2.5, and Mistral at comparable model sizes. The 405B TÜLU 3 performs competitively against closed models such as GPT-4o-mini and Claude 3.5-Haiku or large open models like DeepSeek V3. The training algorithms for our models include supervised finetuning (SFT), Direct Preference Optimization (DPO), and a novel method we call Reinforcement Learning with Verifiable Rewards (RLVR). We detail varying the objective, model initialization, generalization, and over-optimization of this new RL finetuning method. With TÜLU 3, we build a multi-task evaluation scheme for post-training with development and unseen evaluations, standard benchmark implementations, and substantial decontamination of existing open datasets on said benchmarks. The TÜLU 3 release includes model weights, a demo, and the complete recipe — datasets for diverse core skills, a robust toolkit for data curation and evaluation, the training code and infrastructure, and, most importantly, a detailed recipe for reproducing and further adapting the TÜLU 3 approach to more domains.

# 1 Introduction

Post-training — the collection of techniques including instruction tuning, reinforcement learning from human feedback, and other types of finetuning following large scale next-token pretraining — has become a crucial step in building frontier language models (OpenAI, 2024; Anthropic, 2024), yet developments to these techniques are frequently not accompanied by open resources and recipes. Fully open source counterparts (e.g., TÜLU 2, Ivison et al., 2023, and Zephyr- $\beta$ , Tunstall et al., 2023) often rely on simpler-to-implement and cheaper pipelines and have become outdated on many metrics.

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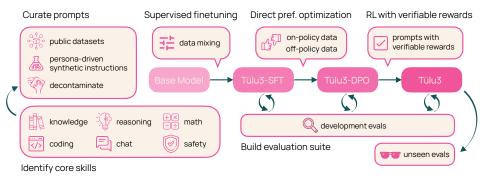


Figure 1: An overview of the TÜLU 3 recipe. This includes: data curation targeting general and target capabilities, training strategies and a standardized evaluation suite for development and final evaluation stage.

To close the gap between open and closed post training, we introduce TÜLU 3, a family of open state-of-the-art post-trained models, alongside all of the data, checkpoints, training recipes, code, infrastructure, and evaluation framework. Integrating partial details from proprietary methods with novel techniques and established academic research, TÜLU 3 pushes the boundaries of research in post-training. The advancements of TÜLU 3 are attributed to new permissively licensed training datasets targeting core skills, an evaluation suite and tools to establish clear performance goals and guide improvement through training stages, and an advanced multi-stage training pipeline incorporating new algorithmic advancements in reinforcement learning, cutting-edge infrastructure, and rigorous experimentation to optimize data mixes, methods, and parameters across various training stages.

The TÜLU 3 training recipe involves multiple stages (see Figure 1), with each stage building upon the previous model and focusing on different types of data — namely, prompt-completion instances for supervised finetuning of the SFT checkpoint, preferences for on-policy preference tuning to the DPO checkpoint, or verifiable rewards for reinforcement learning. To finish our models, we introduce a new final finetuning stage – Reinforcement Learning with Verifiable Rewards (RLVR) - which employs a novel RL objective tailored to enhance specific skills with verifiable answers, such as mathematics and precise instruction following. Our methodology facilitates identifying skill deficiencies and refining the data mix, methods and parameters, ensuring a balanced performance of core skills across the training process.

Our best performing recipe yields TÜLU 3 models that outperform the state-of-the-art post-trained open-weight models of the same size such as Llama 3.1 Instruct (Dubey et al., 2024) or Mistral-Instruct (Mistral AI, 2024). At the 70B size TÜLU matches the offerings of closed providers such as Claude 3.5 Haiku and GPT-40 mini, and at the biggest 405B size our model performs competitively against DeepSeek v3 (DeepSeek-AI et al., 2024) and GPT 40 (11-24). A few key contributions from the development of TÜLU include: (1) extensive guidance and tooling for evaluation, decontamination, and recipe design; (2) scaled, new synthetic instruction datasets; (3) scaling preference data with on-policy generations; (4) reinforcement learning with verifiable rewards, an RL-based method that only gets a reward if the model's completions are verified to be correct; and (5) advanced infrastructure, details, and code to facilitate the successful implementation of large models.

# 2 Related Work

Modern post-training has its roots in multi-task language model training, in particular *instruction tuning* (Mishra et al., 2022; Wei et al., 2022a; Sanh et al., 2022; Wang et al., 2022; Longpre et al., 2023), in which language models are trained on samples including task instructions and their corresponding responses, allowing the models to generalize 'zero-shot' to new tasks. With the rise of ChatGPT and chat-focused LMs, post-training techniques evolved beyond instruction tuning to include preference tuning stages, with models undergoing both instruction tuning and then preference finetuning (PreFT) or "RLHF" (Ouyang

| Skill     | Benchmark <sub>(eval)</sub>           | TÜLU 3<br>8B | Qwen<br>2.5 7B<br>Instruct | Llama<br>3.1 8B<br>Instruct | TÜLU 3<br>70B | Qwen<br>2.5 72B<br>Instruct | Llama<br>3.1 70B<br>Instruct | TÜLU 3<br>405B | Deepsee<br>V3 | Llama<br>k 3.1 405B<br>Instruct |
|-----------|---------------------------------------|--------------|----------------------------|-----------------------------|---------------|-----------------------------|------------------------------|----------------|---------------|---------------------------------|
|           | Avg.                                  | 65.1         | 66.5                       | 62.9                        | 76.2          | 72.8                        | 74.1                         | 80.7           | 75.9          | 79.0                            |
| Knowledge | MMLU <sub>(0 shot, CoT)</sub>         | 68.2         | 76.6                       | 71.2                        | 83.1          | 85.5                        | 85.3                         | 87.0           | 82.1          | 88.0                            |
|           | PopQA <sub>(15 shot)</sub>            | 29.1         | 18.1                       | 20.2                        | 46.5          | 30.6                        | 46.4                         | 55.5           | 44.9          | 52.9                            |
|           | TruthfulQA <sub>(6 shot)</sub>        | 55.0         | 63.1                       | 55.1                        | 67.6          | 69.9                        | 66.8                         | _              | _             | _                               |
| Reasoning | BigBenchHard <sub>(3 shot, CoT)</sub> | 69.0         | 70.2                       | 71.9                        | 85.0          | 80.4                        | 83.0                         | 88.6           | 89.5          | 87.1                            |
|           | DROP <sub>(3 shot)</sub>              | 62.6         | 54.4                       | 61.5                        | 74.3          | 34.2                        | 77.0                         | _              | _             | _                               |
| Math      | MATH <sub>(4 shot CoT, Flex)</sub>    | 43.7         | 69.9                       | 42.5                        | 63.0          | 75.9                        | 56.4                         | 67.3           | 72.5          | 66.6                            |
|           | GSM8K <sub>(8 shot, CoT)</sub>        | 87.6         | 83.8                       | 83.4                        | 93.5          | 89.5                        | 93.7                         | 95.5           | 94.1          | 95.4                            |
| Coding    | HumanEval <sub>(pass@10)</sub>        | 83.9         | 93.1                       | 86.3                        | 92.4          | 94.0                        | 93.6                         | 95.9           | 94.6          | 95.9                            |
|           | HumanEval+(pass@10)                   | 79.2         | 89.7                       | 82.9                        | 88.0          | 90.8                        | 89.5                         | 92.9           | 91.6          | 90.3                            |
| IF & chat | IFEval <sub>(prompt loose)</sub>      | 82.4         | 74.7                       | 80.6                        | 83.2          | 87.6                        | 88.0                         | 86.0           | 88.0          | 88.4                            |
|           | AlpacaEval 2 <sub>(LC % win)</sub>    | 34.5         | 29.0                       | 24.2                        | 49.8          | 47.7                        | 33.4                         | 51.4           | 53.5          | 38.5                            |
| Safety    | Safety <sub>(6 task avg.)</sub>       | 85.5         | 75.0                       | 75.2                        | 88.3          | 87.0                        | 76.5                         | 86.7           | 72.2          | 86.8                            |

Table 1: **Overview of results on TÜLU 3 EVAL suite**, over all 8B, 70B, and 405B models. The best performing model for each model size on each benchmark is bolded. TÜLU 3 outperforms the state-of-the-art post-trained open-weight models of the same size and surpasses Claude Haiku, GPT-3.5 Turbo, and GPT-40 Mini (additional results for 70B in Tab. 6 and 405B in Tab. 5). For the larger open-weight models, DROP and TruthfulQA were omitted due to mutli-node evaluation issues with certain formats.

et al., 2022; Touvron et al., 2023; Dubey et al., 2024). Recently, approaches that allow directly training a language model on such preferences have been developed (Rafailov et al., 2024; Zhao et al., 2023), reducing the complexity of incorporating PreFT into training. Initial attempts at building 'open post-training recipes' focused on the instruction-tuning stage (Taori et al., 2023; Conover et al., 2023), finetuning openly released language models on synthetically generated or human made datasets. While combining these datasets could yield strong performance (Wang et al., 2023), incorporating a PreFT stage proved important for bridging the gap with closed models based on human evaluations (Ivison et al., 2023). Today, most popular adapted models with *open recipes* for PreFT use DPO (or one of its variants), and AI feedback data including TÜLU 2 (Ivison et al., 2023), Zephyr- $\beta$  (Tunstall et al., 2023), and Starling (Zhu et al., 2023). Most of these open recipes use relatively little data and few rounds of training compared to closed post-training setups, which can involve multiple rounds of training with varied objectives, and millions of datapoints (Dubey et al., 2024).

TÜLU 3 aims to incorporates lessons from the above literature, recent post-training practices in large industrial laboratories, and adds new methods and lessons to the best practices. The novel approach proposed in this work, Reinforcement Learning with Verifiable Rewards (RLVR), relates to a variety of recent work on improving LM reasoning through RL-related techniques. Closely related are the self-taught reasoner (STaR) line of work (Zelikman et al., 2022; 2024) and TRICE (Hoffman et al., 2023) — both use existing ground-truth answers as signals to generate better model rationales (or chains-of-thought). Our proposed approach, RLVR simply uses an existing RL framework (PPO) for training, extends beyond the math domain, and runs entirely online with binary rewards (compared to the iterative approach of STaR or the log-likelihood rewards of Quiet-STaR).

# 3 The TÜLU 3 Recipe

Here, we describe the TÜLU 3 recipe to obtain a state-of-the-art post-trained model. We first construct an evaluation framework (sec. 3.1) and then produce TÜLU 3 models through a four-stage post-training recipe (sec. 3.2–3.5) on top of pretrained LMs (Figure 1). Things we tried that did not make it into the recipe are in Appendix H.

# 3.1 Evaluation Framework

|             | Category              | Benchmark            | CoT | # Shots | Chat | Multiturn<br>ICL | Metric                 |
|-------------|-----------------------|----------------------|-----|---------|------|------------------|------------------------|
|             | Knowledge Recall      | MMLU                 | 1   | 0       | 1    | Х                | EM                     |
|             |                       | PopQA                | X   | 15      | 1    | ✓                | EM                     |
|             |                       | TruthfulQA           | X   | 6       | 1    | ×                | MC2                    |
|             | Reasoning             | BigBenchHard         | 1   | 3       | 1    | ✓                | EM                     |
| ıent        |                       | DROP                 | X   | 3       | X    | N/A              | F1                     |
| udo         | Math                  | GSM8K                | 1   | 8       | 1    | 1                | EM                     |
| Development |                       | MATH                 | 1   | 4       | 1    | ✓                | Flex EM                |
| D           | Coding                | HumanEval            | X   | 0       | 1    | N/A              | Pass@10                |
|             |                       | HumanEval+           | X   | 0       | 1    | N/A              | Pass@10                |
|             | Instruction Following | IFEval               | X   | 0       | 1    | N/A              | Pass@1 (prompt; loose) |
|             |                       | AlpacaEval 2         | X   | 0       | 1    | N/A              | LC Winrate             |
|             | Safety                | TÜLU 3 Safety        | X   | 0       | 1    | N/A              | Average*               |
|             | Knowledge Recall      | MMLU-Pro             | 1   | 0       | 1    | N/A              | EM                     |
|             |                       | GPQA                 | 1   | 0       | 1    | N/A              | EM                     |
| и           | Reasoning             | AGIEval English      | 1   | 0       | 1    | ✓                | EM                     |
| Unseen      | Math                  | Deepmind Mathematics | 1   | 0       | 1    | 1                | EM (Sympy)             |
| n           | Coding                | BigCodeBench         | Х   | 0       | 1    | N/A              | Pass@10                |
|             | Instruction Following | IFEval-OOD           | X   | 0       | 1    | N/A              | Pass@1 (prompt; loose) |
|             |                       | HREF                 | Х   | 0       | ✓    | N/A              | Winrate                |

Table 2: The TÜLU 3 Evaluation Regime: settings for development (**top**) and unseen (**bottom**) portions of the evaluation suite. **CoT** are evaluations run with chain of thought prompting (Wei et al., 2022b). **#Shots** is the number of in-context examples in the evaluation template. **Chat** refers to whether we use a chat template while prompting the model. **Multiturn ICL** refers to a setting where we present each in-context example as a separate turn in a conversation (applicable only when a chat template is used and # Shots is not 0). \*Avg. over multiple sub-evaluations: Full details of the safety evaluation are included in Appendix G.2.1.

We designed our framework for evaluating TÜLU 3 and the other models we compare against with the following goals: 1) Our evaluations should be *reproducible*. 2) We should evaluate models' generalization to unseen tasks, not just the specific benchmarks we use for development. 3) Our evaluation setup (e.g., templates and strategies for prompting) should be fair to a wide range of models. Accordingly, our framework consists of an open evaluation

| Stage Avg.<br>Performance | TÜLU<br>38B | Tülu<br>3 70B | TÜLU<br>3 405B |
|---------------------------|-------------|---------------|----------------|
| SFT                       | 60.6        | 72.6          | 77.5           |
| DPO                       | 64.7        | 76.2          | 79.6           |
| Final (RLVR)              | 65.1        | 76.2          | 80.7           |

Table 3: Per stage average evaluation scores for TÜLU 3 models. Full results in Appendix A.

toolkit for reproducible evaluations and a set of recommended settings for evaluating on our evaluation suite based on our experiments with various models, summarized in Table 2.

We split our evaluation suite into a *development* set and an *unseen* set, the former used for developing models, and the latter only for evaluating final models. This setup, along with our training data decontamination efforts, provides a fairer evaluation of our models generalization capabilities for each of the core skills we focus during development. However, in comparisons between our models and other models, we *cannot rule out that any closed model has not trained on our evaluation suite*, and hence cannot make clear judgments on models that have not publicly released finetuning data.

For scoring evaluations we use the metrics identified in Table 2; higher is better. When computing overall performance, we average scores across all evaluations equally. Appendix G has a more detailed description of our evaluation setup.

**Evaluation Performance Summary.** With our models trained from raw pretrained base models, we compare to instruct models trained on the same base models (e.g., Nous Hermes 3), instruct models of similar size but different base versions (e.g., Ministral 8B or Qwen 2.5 Instruct), and other finetuning recipes trained on an instruct version (e.g., Nemotron Llama

3.1). TÜLU 3 trained on Llama 3 base models outperforms all other open-weight models in its size category on our development evaluation suite. Compared to closed models, TÜLU 3 70B even surpasses closed models such as GPT-3.5-Turbo-0125 or GPT-4o-mini-2024-07-18, while approaching the performance of Claude 3.5 Haiku 20241022. The TÜLU 3 405B model (see Appendix F.1) surpasses Llama 3.1 405B and even DeepSeek V3. The summary of TÜLU 3 trained on Llama 3.1 versus the leading models in their size classes is shown in Table 1 and per training stage in Table 3, with further evaluation details included throughout the Appendices.

# 3.2 Stage 1: Data Curation

Prompts represent the diverse ways users may interact with models and serve as the essential component for all post-training stages. We curate a collection of millions of prompts as the starting point of the TÜLU 3 recipe, using them in the coming training stages. To minimize overlap between training prompts and evaluation sets we extensively decontaminate our final prompt dataset (full details in Appendix B.3).

To target the desired core skills, we curate a set of prompts from publicly available datasets with clear *provenance* and synthetically generate prompts to fill any gaps. We start this process with a broad survey of public datasets, including those annotated by dedicated workers, sourced from real users, and synthesized with models. We then select datasets with the following considerations: improve **diversity**, targeting **specific skills**, with careful considerations on **data provenance and licenses**. For a complete list of datasets we sourced from for TÜLU 3, see Appendix B.1.

To address the growing need for diverse and skill-specific datasets, we incorporate synthetic data generation as a complementary approach. To ensure diversity in generation, we follow the recent *persona-driven* methodology from Chan et al. (2024) to generate synthetic data. The key idea is to use different personas (e.g., "a machine learning researcher focused on neural networks") with a data synthesis prompt (e.g., "create a coding problem") to steer an LM to synthesize data with corresponding perspectives. Specifically, we condition on ~250K personas from Persona Hub (Chan et al., 2024) to generate prompts targeting specific skills such as precise instruction following, math and coding. We detail our procedure for each selected skill in Appendix B.2. Prompts used to generate these instructions can be found in Appendix J.1. Additionally, we build upon previous efforts in Brahman et al. (2024); Han et al. (2024); Jiang et al. (2024), to generate noncompliance and safety data.

# 3.3 Stage 2: Supervised Finetuning

We conduct data mixture ablations and explore model merging techniques to develop an SFT training procedure that balances performance well across the core skills we prioritized. For prompts with existing responses, we generally keep the original response if it was written by a human or a frontier model, like GPT-40. For large datasets with subsets from frontier models (e.g., WildChat), we use the subset from the best models. We additionally filter empty responses and responses that contain information about models or their developers. If a set of prompts did not have responses, like our Persona prompts, or if the original responses were from a weaker model (e.g. WildGuardMix), we generate new responses using GPT-40. We also hand-write responses to our hardcoded prompts for the model to know who trained it and other basic properties.

To develop our SFT mix, we first identify the skills that were lagging behind state of the art models using Llama 3.1 trained with the TÜLU 2 (Ivison et al., 2023) recipe as our baseline. Targeting each of these skills in isolation, we collect high quality publicly available datasets and create synthetic datasets, as described in Section B.2.

To design our final SFT mix, we first build skill-specific data mixtures and models, keeping those that lead to the best performance on individual skills, ignoring other evaluations. This is done to approximate the upper bound for each evaluation given our setup.

We then combine these mixtures to create our initial TÜLU 3 mix. We then iterate on the mixture by adding or removing datasets to improve lagging skills, decontaminating against

| Model          | Avg. | MMLU | TQA  | PopQA | BBH  | CHE  | CHE+ | GSM  | DROP | MATH | <b>IFEval</b> | AE 2 | Safety |
|----------------|------|------|------|-------|------|------|------|------|------|------|---------------|------|--------|
| TÜLU 2 8B SFT  | 48.3 | 61.8 | 49.4 | 23.3  | 57.1 | 66.9 | 63.1 | 60.4 | 61.7 | 14.0 | 42.3          | 8.9  | 70.7   |
| RLHFlow SFT V2 | 56.0 | 65.8 | 56.0 | 29.7  | 69.3 | 86.2 | 80.9 | 81.6 | 57.2 | 35.7 | 52.7          | 13.6 | 43.5   |
| MAmmoTH2 8B    | 46.4 | 63.6 | 42.7 | 20.8  | 63.4 | 72.8 | 66.4 | 63.7 | 43.8 | 30.5 | 34.9          | 6.5  | 47.8   |
| TÜLU 3 8B SFT  | 60.1 | 62.1 | 46.8 | 29.3  | 67.9 | 86.2 | 81.4 | 76.2 | 61.3 | 31.5 | 72.8          | 12.4 | 93.1   |
| TÜLU 2 70B SFT | 63.6 | 76.0 | 57.8 | 44.1  | 79.4 | 86.8 | 83.5 | 83.2 | 75.9 | 33.1 | 57.7          | 17.3 | 68.8   |
| TÜLU 3 70B SFT | 72.6 | 79.4 | 55.7 | 48.6  | 82.7 | 92.9 | 87.3 | 91.1 | 77.2 | 53.7 | 82.1          | 26.3 | 94.4   |

Table 4: The performance of our TÜLU 3 SFT against comparable baselines. Our SFT mixture shows strong performance, achieving a higher average score than other comparable mixes. All models, including TÜLU 2 SFT, were trained on either Llama 3.0 or 3.1.

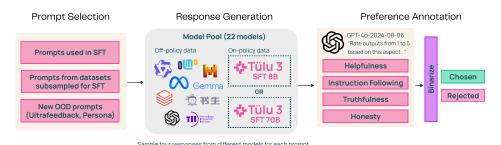


Figure 2: An overview of TÜLU's pipeline for generating and scaling preference data extended from the method of Cui et al. (2023).

our evaluations and downsampling particularly large datasets. We show the performance of major preview versions throughout development in Figure 5 in Appendix C.

**Final SFT Results.** In Table 4, we compare our final TÜLU 3 8B SFT and TÜLU 3 70B SFT models against other SFT-only models trained on Llama 3 8B or 70B. Our new SFT mix shows substantial improvements over the TÜLU 2 mix at both model sizes, and is better on average than the other competitive 8B SFT models. More ablations and experiments are found in the Appendix along with implementation details for training in Appendix C.2.

# 3.4 Stage 3: Preference Finetuning

On-policy Preference Pipeline We create on-policy preference data (x, y, y', label) given our prompts from subsection 3.2 by adapting and advancing the UltraFeedback pipeline (Cui et al., 2023). Our early experiments show the benefit of this pipeline in creating preference data, which leads to a high-quality, synthetic preference dataset (as observed by Ivison et al., 2024). Our data creation pipeline (shown in Figure 2) consists of three stages:

- 1. **Prompt Selection.** The first step for preparing a dataset for preference finetuning is to select the prompts or user instructions to generate responses and obtain preferences for. Given the set of prompts in Table 8, we curate our selection to include prompts used during SFT, and prompts that were subsampled from the same sources, yet unused for SFT. We also include prompts from other sources, such as a version of UltraFeedback without TruthfulQA instances (to avoid evaluation contamination), or by adding new IFEval-constraints to a prompt.
- 2. **Response Generation.** For a given prompt, we randomly sample four models from a *model pool* to generate responses. Our model selection is inspired by the Ultrafeedback pipeline which consists of open-source and proprietary models that vary across parameter size and model family. We update Ultrafeedback's model pool by using recent versions of some models (Llama 2 → Llama 3.1), adding best-performing models to increase the pool size, and replacing currently inaccessible models such as WizardLM with open-source alternatives. We also include on-policy data by sampling completions from the TÜLU SFT model. We approach this by adding a selection of prompts where one response is generated from the on-policy model, and the other response from the off-policy models.

3. **Preference Annotation.** After generating four responses for each prompt, we use GPT-4o-2024-0806 via LLM-as-a-judge (Zheng et al., 2023) to rate each response from 1 to 5 across four different aspects: helpfulness, instruction-following, honesty, and truthfulness. Appendix K shows the external models used to sample off-policy data and the prompt template for each aspect. In order to obtain binary preferences rankings for DPO we obtain the mean of preference ratings and take the highest-rated response as the chosen response and randomly sample from the responses with the lower mean as the rejected response.

The TÜLU 3 Preference Mix. We choose the final preference mix for the models, which maximizes average performance on the development evaluations, while also excelling at targeted skills. Most of the preference data mix ablations are run for the 8B model. We start with prompts used for SFT and generate on-policy and off-policy preference data, resulting in 96,911 (off-policy) and 19,444 (on-policy) preference instances. Given this preference base we ablate adding additional prompt sources to the mix and how these additions affect downstream evaluation performance, specifically targeting skills like precise instruction following, math and general chat performance on AlpacaEval. Table 14 in Appendix D shows how the inclusion or exclusion of preference datasets influences the average performance and the final datasets are shown in Table 15. For additional ablations and key findings refer to Appendix D.

# 3.5 Stage 4: Reinforcement Learning with Verifiable Rewards

We introduce Reinforcement Learning with Verifiable Rewards (RLVR), a novel method for training language models on tasks with verifiable outcomes such as mathematical problem-solving and instruction following. RLVR leverages the existing RLHF objective but replaces the reward model with a verification function, as shown conceptually in Figure 3. When applied to domains with verifiable answers, such as mathematics and verifiable instruction following tasks (Zhou et al., 2023). We use RLVR to cover multiple evaluations and test how it can improve overall model performance while being trained on a single task such as GSM8K, integrating it as a component of a generalist training pipeline.

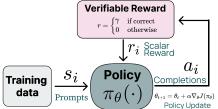


Figure 3: An overview of how Reinforcement Learning with Verifiable Rewards (RLVR) works. We sample completions from a policy model given a set of prompts, and verify their correctness using a deterministic function. If the answer is verifiably correct, we provide reward of  $\alpha$ , otherwise 0. We then train against this reward using PPO.

### 3.5.1 RLVR Data

Creating data for RLVR entails obtaining prompts with an accompanying binary verifier (i.e., constructing a set of inputs x with accompanying verifier functions v). We focus on two domains (mathematics, exact instruction following) and three evaluations (GSM8K, MATH, IFEval) with relatively straightforward methods for verification, and leave more complex verifiers to future work (Gehring et al., 2024; Xu et al., 2024), understanding that answer extraction and verification methods are domain-dependent:

- GSM8K. We use the GSM8k training set. We augment each sample with the standard 8-shot prompt using during evaluation to encourage the model to use chain-of-thought, and then extract the final number produced and compare to the ground-truth label to determine correctness.
- MATH. We use the MATH training set. Similar to GSM8k, we augment each sample with the standard 3-shot CoT prompt used to encourage the model to generate chains of thought during evaluation, and then extract the answer and determine correctness following the 'flex' MATH evaluation logic.

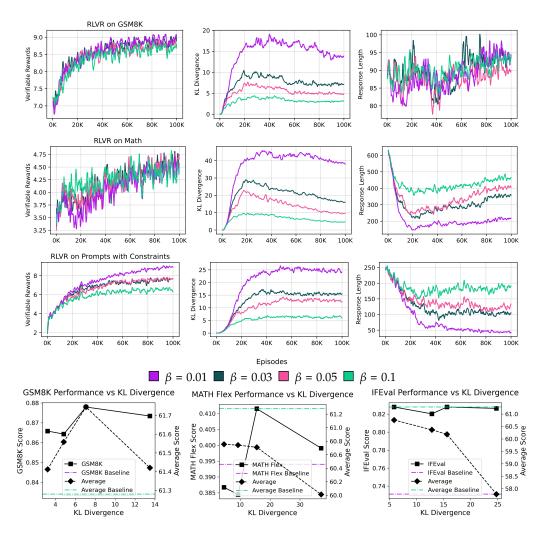


Figure 4: The top three rows show RLVR's verifiable rewards, KL divergence, and response lengths on the *train dataset* of GSM8K, MATH, and prompts with constraints, when starting from a DPO checkpoint (i.e., a non-final DPO checkpoint). The bottom row shows the corresponding downstream test performance. RLVR can lead to higher verifiable rewards in the train datasets. RLVR can also lead to higher scores in the corresponding test dataset, however, an increase in the average score across all evaluations is not guaranteed.

IFEval. We randomly sample instructions from the TÜLU 2 SFT mix and combine them
with constraints from Zhou et al. (2023)'s taxonomy. We have a verification function for
each constraint template that is able to verify whether a completion satisfies a constraint.

Given these prompts and verification functions, we then train the models via Proximal Policy Optimization (PPO) (Schulman et al., 2017) on these verifiable rewards. We combine all prompts together, resulting in a mixture of 30,000 prompts with ground truth labels.

# 3.5.2 RLVR Recipe and Analyses

We start by anchoring a DPO model in the development history as the initial model for conducting experiments for RLVR. We conducted several sets of experiments which resulted in key findings (with full implementation details and hyperparameters in Appendix E.2 including value model initialization from an RM, turning off dropout, data shuffling, truncation penalties, and advantage whitening).

**RLVR Can Improve Performance in Targeted Domains.** Figure 4 shows training with RLVR results in improved *test* performance for all three settings. We applied the RLVR recipe on GSM8K, MATH, and IFEval, respectively with a sweep of beta values [0.1, 0.05, 0.03, 0.01]. We achieve models that outperform the initial model in that particular evaluation downstream. We also see that the verifiable rewards (i.e., correctness on the train set) improve consistently for all three settings. Interestingly, in GSM8K and MATH, we found that incurring more KL budget does not necessarily lead to improvements in verifiable rewards.

**Initializing RLVR's Value Function from a General RM Works Best.** We experimented with initializing PPO's value model from a general reward model or the anchored DPO model, and ran a sweep of beta values [0.1, 0.05, 0.03, 0.01, 0.005, 0.001] on the GSM8K task. The general RM is trained with the UltraFeedback dataset (Cui et al., 2023) and evaluated models on our entire evaluation set, with a careful eye on GSM8K. Figure 21 shows that initializing the value from a general RM obtains the highest GSM8K test score and also higher average scores. This suggests the value function plays an important role in RLVR's training.

**Do Not Use the Scores from RM.** One possible implementation for RLVR is to add verifiable rewards on top of the scores from the reward model. We launch experiments also using a sweep of beta values [0.1, 0.05, 0.03, 0.01, 0.005, 0.001] on the GSM8K task. Figure 22 shows that using only the verifiable rewards outperforms using scores from the reward model. Training with verifiable rewards with the scores from RM seems to introduce more noise, especially in the average scores.

Starting from a Weaker Model Can Converge to the Same Verifiable Rewards. The model's base capabilities before RLVR are also a confounding factor. We launch another set of experiments using an SFT model with lower average scores (from which the anchored DPO checkpoints were trained, so they share the same lineage) using beta values [0.1, 0.05, 0.03, 0.01]. Figure 20 in Appendix E shows that starting from both SFT and DPO can lead to the same level of verifiable rewards, but starting from the SFT model would incur a larger KL compared to starting from the DPO model. This makes sense because the SFT model is further away from good at GSM8K than the DPO model. However, we find that starting from a stronger model usually results in better *test set* performance.

**Overoptimization Happens.** As we lower the KL penalty  $\beta$ , the trained model incurs greater KL divergence from the initial model. We observed that more KL divergence typically results in lower average scores, as shown in Figure 21. The exception is Figure 22, where the largest KL corresponds to the highest average score. Furthermore, we showcase overoptimization of prompts with constraint cases in Appendix I.3.

## 3.5.3 Final Experimental Results

Based on the above results, we ran our final RLVR runs using the combined verifiable prompt set, and used the best few DPO models from the prior ablation sections as starting points. We evaluated our models every 100 training steps (40 for 70B), and picked as our final 8B model the checkpoints with best overall performance on MATH and IFEval. We show the logs from RLVR training in Figure 19, and compare the final performance against their DPO starting points and Llama 3.1 in Table 22 in Appendix E, where we see strong performance on multiple skills for the model. RLVR results in non-trivial improvements at the multiple model scales, improving all three of MATH, GSM8k, and IFEval. In fact, we observed that some 8B runs were able to achieve peak GSM8k scores of up to 89.4% and IFEval scores of up to 84.8% at slight cost to overall performance. At the 70B scale, we observe more modest improvements in IFEval and MATH, and no improvement in GSM8k, likely due to the fact that it is already close to saturation (93.5%). Surprisingly, we find that our 70B run displays extremely low KL divergence, remaining well below 1 over the duration of the run, probably due to the lower learning rate. With the 405B model, we found the largest improvements over the RLVR stage overall, particularly with the core evaluation MATH where the model improved from a score of 59.9 after DPO to 67.3 after RL. Details on the RLVR infrastructure and final hyperparameters for training can be found in the Appendix E.2.

# 4 Conclusion

We introduce TÜLU 3, a family of fully open state-of-the-art language models, featuring a modern post-training framework with fully open-source data, TÜLU 3 DATA; evaluation TÜLU 3 EVAL; training code, TÜLU 3 CODE; and development recipes, TÜLU 3 RECIPE. We release final models trained on Llama 3.1 base versions, with intermediate checkpoints, training data, training code, and evaluation code. TÜLU 3 bridges the gap between open and closed post-training methods, marking a new milestone in open post-training research. With the resources provided, others can build on open base models, finetune them for high performance across diverse tasks. This paves the way for advancing post-training research within multi-objective, and multi-stage training frameworks.

# **Author Contributions**

A successful team project like TÜLU 3 would not be possible without the fluid contributions of many teammates across formal team boundaries. As not all of these can be captured, we indicate each authors' primary contributing role in this project. Authorship for this work was determined by those making direct contributions to the TÜLU models, related artifacts, and their release. Core contributors are recognized for their sustained, significant contributions critical to the success of the TÜLU 3 project. Authors are listed alphabetically:

- For SFT model development, including training and data curation: Faeze Brahman, Shengyi Huang, Hamish Ivison, Nathan Lambert, Jacob Morrison, Yizhong Wang, and Chris Wilhelm.
- For preference-tuned model development, including training and data curation: Faeze Brahman, Shengyi Huang, Hamish Ivison, Nathan Lambert, Lester James V. Miranda, Valentina Pyatkin, Chris Wilhelm.
- For reinforcement-learning model development, including training and data curation: Shengyi Huang, Nathan Lambert, Hamish Ivison, Valentina Pyatkin, Faeze Brahman.
- For evaluation tooling support, decontaminating training datasets, and evaluating peer models in the ecosystem throughout: Pradeep Dasigi, Nouha Dziri, Victoria Graf, Shengyi Huang, Jena D. Hwang, Hamish Ivison, Ronan Le Bras, Alisa Liu, Xinxi Lyu, Saumya Malik, Valentina Pyatkin, Luca Soldaini, Oyvind Tafjord, Jiangjiang Yang.
- For management of communications, legal, and other release processes: Faeze Brahman, Pradeep Dasigi, Hannaneh Hajishirzi, Nathan Lambert, Luca Soldaini.
- For mentorship and advising: Pradeep Dasigi, Hannaneh Hajishirzi, Nathan Lambert, Valentina Pyatkin, Noah A. Smith, Luca Soldaini, Yizhong Wang.

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| Benchmark <sub>(eval)</sub>           | Llama 3.1<br>405B<br>Instruct | Nous<br>Hermes 3<br>405B | Deepseek<br>V3 | GPT 40<br>(11-24) | TÜLU 3<br>405B SFT | TÜLU 3<br>405B DPO | TÜLU 3<br>405B RLVR |
|---------------------------------------|-------------------------------|--------------------------|----------------|-------------------|--------------------|--------------------|---------------------|
| Avg w/o Safety.                       | 78.1                          | 74.4                     | 79.0           | 80.5              | 76.3               | 79.0               | 80.0                |
| Avg w / Safety.                       | 79.0                          | 73.5                     | 75.9           | 81.6              | 77.5               | 79.6               | 80.7                |
| MMLU <sub>(5 shot, CoT)</sub>         | 88.0                          | 84.9                     | 82.1           | 87.9              | 84.4               | 86.6               | 87.0                |
| PopQA <sub>(3 shot)</sub>             | 52.9                          | 54.2                     | 44.9           | 53.6              | 55.7               | 55.4               | 55.5                |
| BigBenchHard <sub>(0 shot, CoT)</sub> | 87.1                          | 87.7                     | 89.5           | 83.3              | 88.0               | 88.8               | 88.6                |
| MATH <sub>(4 shot, Flex)</sub>        | 66.6                          | 58.4                     | 72.5           | 68.8              | 63.4               | 59.9               | 67.3                |
| GSM8K <sub>(8 shot, CoT)</sub>        | 95.4                          | 92.7                     | 94.1           | 91.7              | 93.6               | 94.2               | 95.5                |
| HumanEval <sub>(pass@10)</sub>        | 95.9                          | 92.3                     | 94.6           | 97.0              | 95.7               | 97.2               | 95.9                |
| HumanEval+(pass@10)                   | 90.3                          | 86.9                     | 91.6           | 92.7              | 93.3               | 93.9               | 92.9                |
| IFEval <sub>(loose prompt)</sub>      | 88.4                          | 81.9                     | 88.0           | 84.8              | 82.4               | 85.0               | 86.0                |
| AlpacaEval 2 <sub>(LC % win)</sub>    | 38.5                          | 30.2                     | 53.5           | 65.0              | 30.4               | 49.8               | 51.4                |
| Safety <sub>(6 task avg.)</sub>       | 86.8                          | 65.8                     | 72.2           | 90.9              | 87.7               | 85.5               | 86.7                |

Table 5: Summary of TÜLU 3 results relative to peer 405B models. The best-performing model on each benchmark (i.e., in each row) is **bolded**. TÜLU 3-405B outperforms prior state-of-the-art models finetuned from Llama 3.1 405B Base and rivals some leading, closed models. Progress across various checkpoints highlight the contribution of each stage of the training in improving core skills. Note that TruthfulQA and MMLU multiple choice numbers are not compatible with our infrastructure for running evaluations (via log-probs).

# A Appendix

# A Additional Core Results

At 70B, we compare to and surpass Llama 3.1 70B Instruct, Qwen 2.5 72B Instruct (Qwen Team, 2024), Nous Hermes 3 70B (Teknium et al., 2024) (trained on Llama 3.1 70B), and Nemotron Llama 3.1 70B (Wang et al., 2024c) (trained on Llama 3.1 70B Instruct). At 8B, we compare to and surpass Llama 3.1 8B Instruct, Gemma 2 9B Instruct (Gemma Team et al., 2024), Nous Hermes 3 8B (trained on Llama 3.1 8B), Qwen 2 7B Instruct, and Ministral 8B Instruct 2410. A per training stage breakdown of performance is shown for the 8B version in Table 7, for 70B in Table 6, and for the 405B in Table 5.

#### B Data

#### **B.1** Source Datasets

For **diversity** we pick datasets that can promote diversity, including: WildChat (Zhao et al., 2024), which is a large source of real-user interaction with models; Open Assistant (Köpf et al., 2024), which is created by volunteer workers for general chatting; No Robots (Rajani et al., 2023), which is annotated by expert workers for a broad range of open-ended categories; and FLAN v2 (Longpre et al., 2023), which is a big compilation of classical NLP tasks. To improve **target skills**, we include the following datasets: OpenMathInstruct (Toshniwal et al., 2024) and NuminaMath (Beeching et al., 2024) for mathematical reasoning, Evol-CodeAlpaca for coding, a subset of Daring-Anteater (Wang et al., 2024d) for precise instruction following, Aya (Singh et al., 2024b) for multilinguality, SciRIFF (Wadden et al., 2024) for scientific literature understanding, and TableGPT (Zha et al., 2023) for processing table-related tasks. Another important consideration was **data provenance and licenses**, where we made sure that all the datasets included in our final curation have clear licenses.

# **B.2** Synthesizing for Target Skills

To address the growing need for diverse and skill-specific datasets, we incorporate synthetic data generation as a complementary approach. Synthetic data generation has gained traction as a promising alternative to human-written data due to being cheaper to obtain, customizable for different purposes, and reflecting the vast knowledge of the underlying

| Benchmark <sub>(eval)</sub>           | Llama 3.1<br>70B<br>Instruct | Qwen 2.5<br>72B<br>Instruct | Hermes 3<br>Llama 3.1<br>70B | Nemotron<br>Llama 3.1<br>70B | TÜLU 3<br>70B SFT | TÜLU 3<br>70B DPO | TÜLU 3<br>70B |
|---------------------------------------|------------------------------|-----------------------------|------------------------------|------------------------------|-------------------|-------------------|---------------|
| Avg.                                  | 74.1                         | 72.8                        | 68.5                         | 72.0                         | 72.6              | 76.2              | 76.2          |
| MMLU <sub>(0 shot, CoT)</sub>         | 85.3                         | 85.5                        | 80.4                         | 83.8                         | 78.9              | 83.3              | 83.1          |
| PopQA <sub>(15 shot)</sub>            | 46.4                         | 30.6                        | 48.1                         | 36.4                         | 48.6              | 46.3              | 46.5          |
| TruthfulQA <sub>(6 shot)</sub>        | 66.8                         | 69.9                        | 66.5                         | 62.6                         | 55.7              | 67.9              | 67.6          |
| BigBenchHard <sub>(3 shot, CoT)</sub> | 83.0                         | 80.4                        | 83.6                         | 78.5                         | 82.6              | 84.8              | 85.0          |
| DROP <sub>(3 shot)</sub>              | 77.0                         | 34.2                        | 73.2                         | 68.8                         | 77.2              | 74.1              | 74.3          |
| MATH <sub>(4 shot CoT, Flex)</sub>    | 56.4                         | 75.9                        | 41.9                         | 55.0                         | 53.7              | 62.3              | 63.0          |
| GSM8K <sub>(8 shot, CoT)</sub>        | 93.7                         | 89.5                        | 90.0                         | 84.7                         | 91.1              | 93.5              | 93.5          |
| HumanEval <sub>(pass@10)</sub>        | 93.6                         | 94.0                        | 89.6                         | 94.1                         | 92.9              | 92.4              | 92.4          |
| HumanEval+ <sub>(pass@10)</sub>       | 89.5                         | 90.8                        | 85.9                         | 85.5                         | 87.3              | 88.4              | 88.0          |
| IFEval <sub>(prompt loose)</sub>      | 88.0                         | 87.6                        | 76.0                         | 79.9                         | 82.1              | 82.6              | 83.2          |
| AlpacaEval 2 <sub>(LC % win)</sub>    | 33.4                         | 47.7                        | 28.4                         | 66.1                         | 26.3              | 49.6              | 49.8          |
| Safety <sub>(6 task avg.)</sub>       | 76.5                         | 87.0                        | 57.9                         | 69.0                         | 94.4              | 89.0              | 88.3          |

Table 6: Summary of TÜLU 3 results relative to peer 70B models. The best-performing model on each benchmark (i.e., in each row) is **bolded**. TÜLU 3-70B significantly outperforms prior state-of-the-art 70B models. Progress across various checkpoints highlight the contribution of each stage of the training in improving core skills. Nemotron Llama 3.1 70B is the only model in the table that fine-tuned from another post-trained model (in this case Llama 3.1 70B Instruct), while the others are from their respective base models. Many of the lowest values are caused by failing to follow the few-shot formatting required for the evaluation or other repetitive errors – for more details, see subsection 3.1.

| Benchmark <sub>(eval)</sub>           | Llama 3.1<br>8B<br>Instruct | Qwen 2.5<br>7B<br>Instruct | Magpie<br>8B | Gemma<br>2 9B<br>Instruct | Minis-<br>tral 8B<br>Instruct | TÜLU 3<br>8B SFT | TÜLU 3<br>8B DPO | TÜLU 3<br>8B |
|---------------------------------------|-----------------------------|----------------------------|--------------|---------------------------|-------------------------------|------------------|------------------|--------------|
| Avg.                                  | 62.9                        | 66.5                       | 49.3         | 60.4                      | 59.6                          | 60.6             | 64.7             | 65.1         |
| MMLU <sub>(0 shot, CoT)</sub>         | 71.2                        | 76.6                       | 62.0         | 74.6                      | 68.5                          | 65.9             | 68.7             | 68.2         |
| PopQA <sub>(15 shot)</sub>            | 20.2                        | 18.1                       | 22.5         | 28.3                      | 20.2                          | 29.3             | 29.3             | 29.1         |
| TruthfulQA <sub>(6 shot)</sub>        | 55.1                        | 63.1                       | 57.0         | 61.4                      | 55.5                          | 46.8             | 56.1             | 55.0         |
| BigBenchHard <sub>(3 shot, CoT)</sub> | 71.9                        | 70.2                       | 55.2         | 64.9                      | 70.8                          | 69.7             | 68.7             | 69.0         |
| DROP <sub>(3 shot)</sub>              | 61.5                        | 54.4                       | 49.4         | 58.8                      | 56.2                          | 61.3             | 62.5             | 62.6         |
| MATH <sub>(4 shot CoT, Flex)</sub>    | 42.5                        | 69.9                       | 5.1          | 29.8                      | 40.0                          | 31.5             | 42.0             | 43.7         |
| GSM8K <sub>(8 shot, CoT)</sub>        | 83.4                        | 83.8                       | 61.2         | 79.7                      | 80.0                          | 76.2             | 84.3             | 87.6         |
| HumanEval <sub>(pass@10)</sub>        | 86.3                        | 93.1                       | 75.4         | 71.7                      | 91.0                          | 86.2             | 83.9             | 83.9         |
| HumanEval+(pass@10)                   | 82.9                        | 89.7                       | 69.1         | 67.0                      | 88.5                          | 81.4             | 78.6             | 79.2         |
| IFEval <sub>(prompt loose)</sub>      | 80.6                        | 74.7                       | 38.8         | 69.9                      | 56.4                          | 72.8             | 81.1             | 82.4         |
| AlpacaEval 2 <sub>(LC % win)</sub>    | 24.2                        | 29.0                       | 49.0         | 43.7                      | 31.4                          | 12.4             | 33.5             | 34.5         |
| Safety <sub>(6 task avg.)</sub>       | 75.2                        | 75.0                       | 46.4         | 75.5                      | 56.2                          | 93.1             | 87.2             | 85.5         |

Table 7: Summary of TÜLU 3 results relative to peer 8B models. The best-performing model on each benchmark (i.e., in each row) is **bolded**. TÜLU 3-8B significantly outperforms prior state-of-the-art 8B models. Progress across various checkpoints highlight the contribution of each stage of the training in improving core skills. Many of the lowest values are caused by failing to follow the few-shot formatting required for the evaluation or other repetitive errors – for more details, see subsection 3.1.

models (Dubey et al., 2024). However, generating diverse and high-quality data at scale is non-trivial, as LMs are susceptible to falling into repetitive modes or patterns, referred to as "mode collapse" (Kazdan et al., 2024). To ensure diversity in generation, we follow the recent *persona-driven* methodology in Chan et al. (2024) to generate synthetic data. The key idea is to use different personas (e.g., "A machine learning researcher focused on neural networks") with a data synthesis prompt (e.g., "create a coding problem") to steer an LLM to synthesize data with corresponding perspectives. Specifically, we condition on ~250K

| Category         | Prompt Dataset                      | Count      | # Prompts<br>used in<br>SFT | # Prompts<br>used in<br>DPO | Reference               |
|------------------|-------------------------------------|------------|-----------------------------|-----------------------------|-------------------------|
| General          | TÜLU 3 Hardcoded <sup>↑</sup>       | 24         | 240                         | _                           | _                       |
|                  | OpenAssistant <sup>1,2,↓</sup>      | 88,838     | 7,132                       | 7,132                       | Köpf et al. (2024)      |
|                  | No Robots                           | 9,500      | 9,500                       | 9,500                       | Rajani et al. (2023)    |
|                  | WildChat (GPT-4 subset)↓            | 241,307    | 100,000                     | 100,000                     | Zhao et al. (2024)      |
|                  | UltraFeedback $^{\alpha,2}$         | 41,635     | _                           | 41,635                      | Cui et al. (2023)       |
| Knowledge        | FLAN v2 <sup>1,2,↓</sup>            | 89,982     | 89,982                      | 12,141                      | Longpre et al. (2023)   |
| Recall           | SciRIFF <sup>↓</sup>                | 35,357     | 10,000                      | 17,590                      | Wadden et al. (2024)    |
|                  | TableGPT <sup>↓</sup>               | 13,222     | 5,000                       | 6,049                       | Zha et al. (2023)       |
| Math             | TÜLU 3 Persona MATH                 | 149,960    | 149,960                     | _                           | -                       |
| Reasoning        | TÜLU 3 Persona GSM                  | 49,980     | 49,980                      | _                           | _                       |
|                  | TÜLU 3 Persona Algebra              | 20,000     | 20,000                      | _                           | _                       |
|                  | OpenMathInstruct 2 <sup>↓</sup>     | 21,972,791 | 50,000                      | 26,356                      | Toshniwal et al. (2024) |
|                  | NuminaMath-TIR $^{\alpha}$          | 64,312     | 64,312                      | 8,677                       | Beeching et al. (2024)  |
| Coding           | TÜLU 3 Persona Python               | 34,999     | 34,999                      | _                           | -                       |
|                  | Evol CodeAlpaca $^{\alpha}$         | 107,276    | 107,276                     | 14,200                      | Luo et al. (2023)       |
| Safety           | TÜLU 3 CoCoNot                      | 10,983     | 10,983                      | 10,983                      | Brahman et al. (2024)   |
| & Non-Compliance | TÜLU 3 WildJailbreak <sup>α,↓</sup> | 50,000     | 50,000                      | 26,356                      | Jiang et al. (2024)     |
|                  | TÜLU 3 WildGuardMix <sup>α,↓</sup>  | 50,000     | 50,000                      | 26,356                      | Han et al. (2024)       |
| Multilingual     | Aya <sup>↓</sup>                    | 202,285    | 100,000                     | 32,210                      | Singh et al. (2024b)    |
| Precise IF       | TÜLU 3 Persona IF                   | 29,980     | 29,980                      | 19,890                      | -                       |
|                  | TÜLU 3 IF-augmented                 | 65,530     | _                           | 65,530                      | _                       |
| Total            |                                     | 23,327,961 | 939,344                     | 425,145 $^{\gamma}$         |                         |

Table 8: Summary of our prompt dataset: data for training stages are selected from these prompts. New datasets released with TÜLU 3 are **color-coded** for emphasis. Existing datasets we modified due to contamination are marked with  $\alpha$ . Datasets with prompts used in TÜLU 1 or 2 are marked with  $^1$  or  $^2$ , respectively. Datasets marked with  $^1$  are downsampled from their original datasets, datasets marked with  $^1$  are upsampled. Note that all datasets were filtered to remove specific keywords (e.g., OpenAI) and empty messages, resulting in slightly lower than reported counts. All TÜLU 3 datasets with Persona expand the methodology of Chan et al. (2024). The percentages listed per category are out of the total prompts. Preference count is marked with  $^{\gamma}$  to note that not all prompts are used in both the 8B and 70B mixes – for exact details see Table 15.

personas from Persona Hub (Chan et al., 2024) to generate prompts targeting specific skills such as precise instruction following, math and coding. We detail our procedure for each select skill below. Prompts used to generate these instructions can be found in Appendix J.1. Additionally, we build upon our previous efforts in Brahman et al. (2024); Han et al. (2024); Jiang et al. (2024), to generate noncompliance and safety data.

**Precise Instruction Following.** Precise instruction following is the ability to follow verifiable instructions in natural language, such as "your answer should contain exactly 3 paragraphs," that can be automatically verified with heuristics. We use our persona-driven approach to synthetically generate verifiable instructions covering 25 different constraint types defined in IFEval benchmark (Zhou et al., 2023). More concretely, we start by manually writing 1-2 example instructions per constraint (*e.g.*, number of words), resulting in total of 33 verifiable instructions which we used as seed prompts. We then generate new

instructions using GPT-40 (OpenAI, 2024)<sup>1</sup> given a data synthesis prompt, persona, and a single verifiable instruction as an example. Figures 31 and 32 show the exact prompts used to generate the instruction and its corresponding response, respectively. In total, we collected 29,980 verifiable instruction-response pairs which we call IF-PERSONA-SFT. Lastly, we also generate another type of prompts targeted for constrained instruction following by randomly sampling instructions from the TÜLU 2 SFT mix and combining them with constraints from the taxonomy in Zhou et al. (2023). We call that set IF-AUGMENTED. These prompts are only used for the DPO and RLVR stages.

Math and Coding. We follow a similar persona-driven approach to synthetically generate diverse math word and coding problems. Math problems include those that require advanced mathematical skills as well as grade school problems. For coding, we generate Python programming questions that are solvable by entry- to medium-level programmers. Unlike precise instruction following, we zero-shot prompt GPT-40 to generate problems that are unique and specific to a given *persona* input. Having generated the problems, we then generate multi-step math solutions using GPT-40, and Python programs using claude-3-5-sonnet. Exact prompts used to generate problems and solutions are provided in Figures 34, 36, 35, and 37, respectively. In total, we collected ~220K and 35K instances for math reasoning and coding.

Noncompliance and Safety. As we enhance models' capabilities to assist users effectively, it is crucial to ensure they can reliability reject unsafe and appropriately handle nuanced and out of scope queries. To support this, we curate a set of noncompliance queries (Brahman et al., 2024) that the model ought to not comply with, alongside safety-related direct and adversarial prompts (Han et al., 2024; Jiang et al., 2024) covering both benign and harmful scenarios. Our noncompliance and safety prompts are either curated from existing datasets (Zhang & Choi, 2021; Zhao et al., 2024) or synthetically generated from the GPT model family. More specifically, our noncompliance prompts are obtained based on our contextual noncompliance taxonomy from Brahman et al. (2024), spanning multiple categories including *incomplete*, *unsupported*, *indeterminate*, and *humanizing* requests (in addition to *unsafe* requests). Our safety-related prompts are carefully selected among synthetic adversarial prompts, synthetic vanilla (direct) requests, real-world user-LLM interactions (In-The-Wild), and curated annotator-written examples to maximize coverage, diversity, and balance.

#### **B.3** Decontamination

**Matching Method.** We experimented with full-string, n-gram, and embedding-based matching and found that n-gram matching yielded the most useful results — while embedding-based methods can in principle identify non-trivial contamination like that due to paraphrasing (Yang et al., 2023), we found it difficult to distinguish mere distributional similarity from actual paraphrasing. Moreover, partial surface-level overlap using n-gram matching successfully identified cases of contamination where the instances were trivially different, e.g., a math problem where only the numbers differ.

**Identifying Matching Instances.** Since completions in training datasets are often regenerated using language models, we chose to compute overlap in the prompts alone (or more generally user turns in multi-turn dialogues). We used 8-gram matching for our contamination checks following (Dubey et al., 2024; Singh et al., 2024a). For each token in a test instance, we consider it to match a token in a train instance if the two instances share an 8-gram containing that token, and we consider the test instance itself to have significant overlap with a train instance if more than 50% of the test tokens have 8-gram matches with the same training instance.

**Decontamination.** We consider a training set to be contaminated if any number of its instances overlap with more than 2% of the instances in any of the evaluations in our development and unseen suites. We remove all the training sets that were contaminated with our unseen evaluations. For training sets that were contaminated with our development evaluations, we removed the entire dataset if doing so did not significantly impact the

<sup>&</sup>lt;sup>1</sup>We use GPT-40-2024-08-06 for all our persona-driven data synthesis, unless otherwise stated.

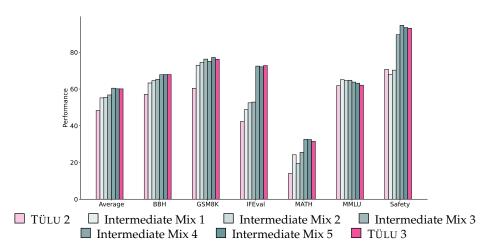


Figure 5: Average and selected skill-specific performance from training Llama 3.1 8B on our initial TÜLU 2 SFT mix, and our intermediate and final TÜLU 3 SFT mixes. Intermediate mixes 1, 2, and 3 were the result of adding new datasets to improve performance. Intermediate mixes 4 and 5 were the result of running multiple rounds of decontamination, causing small drops in performance.

performance of the resulting model; otherwise, we removed the specific instances that match any test instance.

# C SFT

# C.1 Key Data Experiments

We also ran a series of controlled experiments after developing our final SFT mix to explore the importance of different decisions made during data mixing and training.

| Model              | Avg. | MMLU | TQA  | PopQA | BBH  | CHE  | CHE+ | GSM  | DROP | MATH | IFEval | AE 2 | Safety |
|--------------------|------|------|------|-------|------|------|------|------|------|------|--------|------|--------|
| TÜLU 3 8B SFT      | 60.1 | 62.1 | 46.8 | 29.3  | 67.9 | 86.2 | 81.4 | 76.2 | 61.3 | 31.5 | 72.8   | 12.4 | 93.1   |
| → w/o WildChat     | 58.9 | 61.0 | 45.2 | 28.9  | 65.6 | 85.3 | 80.7 | 75.8 | 59.3 | 31.8 | 70.1   | 7.5  | 95.2   |
| → w/o Safety       | 58.0 | 62.0 | 45.5 | 29.5  | 68.3 | 84.5 | 79.6 | 76.9 | 59.4 | 32.6 | 71.0   | 12.4 | 74.7   |
| → w/o Persona Data | 58.6 | 62.4 | 48.9 | 29.4  | 68.3 | 84.5 | 79.0 | 76.8 | 62.2 | 30.1 | 53.6   | 13.5 | 93.9   |
| → w/o Math Data    | 58.2 | 62.2 | 47.1 | 29.5  | 68.9 | 86.0 | 80.5 | 64.1 | 60.9 | 23.5 | 70.6   | 12.0 | 93.5   |

Table 9: Performance during our SFT ablations, showing the effect of removing safety, WildChat, Persona, and Math data in isolation. We find that: 1) diverse chat data is beneficial for most skills, most noticeably Alpaca Eval, 2) safety performance is generally orthogonal to general performance, 3) our new Persona datasets improve all of the skills that they target, and 4) using mathematics as a test case, adding high quality skill-specific data substantially improves skill-specific performance.

**Diverse Chat Data.** In our mix we also emphasized adding diverse chat data, mainly from WildChat. We show the impact of removing WildChat in Table 9, and we see that there is a small but noticeable degradation on most skills, most noticeably on Alpaca Eval, highlighting the importance of diverse real-world data.

**Safety is Orthogonal.** We found that our safety SFT data was generally orthogonal to our other datasets. We report the effect of removing our safety-specific datasets in Table 9, and we see that most skills stayed roughly the same, except the safety average. We also found that adding constrastive prompts, such as those in CoCoNot, were helpful for preventing our models from over-refusing safe prompts.

**New Persona Data.** Our new Persona datasets were built to target specific skills: mathematics, coding, and instruction following. In Table 9 we show that performance on

HumanEval(+), GSM8K, MATH, and IFEval drop after removing our Persona datasets, showing the value of creating diverse, skill-specific SFT datasets.

**Targeting Specific Skills.** A large portion of our focus was on collecting or creating datasets targeting specific capabilities. Using mathematical reasoning as an illustrative example, we show in Table 9 the impact of our mathematics-specific data on both GSM8K and MATH. We see that our mathematics-specific SFT data substantially improves both GSM8K and MATH, showing the value of the data included in the final mix.

**Amount of SFT Data.** In Figure 6, we show the effect of taking stratified subsamples of our SFT mix. We find that our models continue to improve on average as more SFT data is included, and we see large improvements on metrics like GSM8K as we increase the amount of data to the full mix. Interestingly, TruthfulQA performance actually *drops* as the amount of data in the mix increases. We do not increase our SFT data size beyond the current mixture because we allocated other prompts for preference optimization.

| Hyperparameter         | 8B                 | 70B                |
|------------------------|--------------------|--------------------|
| Learning Rate          | $5 \times 10^{-6}$ | $2 \times 10^{-6}$ |
| Learning Rate Schedule | Linear             | Linear             |
| Batch Size (effective) | 128                | 128                |
| Max Token Length       | 4,096              | 4,096              |
| Warm up ratio          | 0.03               | 0.03               |
| Number of Epochs       | 2                  | 2                  |

Table 10: SFT Training Hyperparameters.

# C.1.1 Key Training Experiments

**Choice of Base Model.** We also test the effect of training different base pretrained models on mathematical performance using our full SFT mix. In Table 11, we show the impact of changing the model's *size* by training on both Llama 3.1 8B and 70B, and the impact of adding *domain-specific pretraining data* by training on Qwen 2.5 7B and Qwen 2.5 Math 7B. In both cases, we see a substantial improvement in both GSM8K and MATH, highlighting the importance of both model size and pretraining data for downstream skills.

| Base Model       | GSM8K | MATH |
|------------------|-------|------|
| Llama 3.1 8B     | 76.2  | 31.5 |
| Llama 3.1 70B    | 91.1  | 53.7 |
| Qwen 2.5 7B      | 79.2  | 49.4 |
| Qwen 2.5 Math 7B | 86.3  | 56.4 |

Table 11: Mathematical performance of different base models trained on our mix. We see that 1) training on larger models leads to better performance, and 2) adding skill-specific pretraining data also leads to improved performance, even for the same size model.

Chat Template Variation. During creating TÜLU 3, we explored changing the chat template used to guide the generation of finetuned models. We made a small change to the chat template used in previous TÜLU versions, specifically removing the new line at the end of the template (before the model response). The performance between different changes to the chat template is shown in Table 12 on an early version of our SFT setup. We found that replacing the newlines at the end of assistant messages with an eos token resulted in the best performance, but we opted not to use this to avoid generation inconsistency with later steps in our post-training pipeline. The chat template can be found in our codebase upon release.

| Chat Template            | Avg. |
|--------------------------|------|
| TÜLU (replace \n w/ eos) | 53.0 |
| Zephyr                   | 52.9 |
| TÜLU 3 (no \n)           | 52.8 |
| TÜLU 2 template          | 52.6 |
| Llama 3 template         | 51.6 |

Table 12: The impact of different chat templates on SFT model performance, trained using an intermediate SFT mixture on Llama 3.0. While replacing the newline does best, we instead opted for simply removing the newline to avoid complexity.

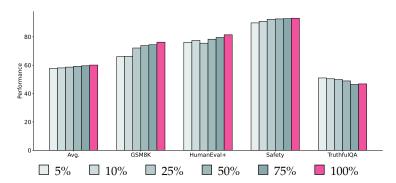


Figure 6: Average and skill-specific performance on stratified subsamples of our final SFT mix. We find that our full mix performs best overall.

Random Seeds and Model Soups. We also explored changing the random seed during SFT, and then using those models to create model soups (Wortsman et al., 2022). In Table 13, we compare training 8B and 70B models with multiple different seeds with the best model soup. We see that SFT performance noticeably varies based on the seed, highlighting the importance of multiple training runs, and that the best model soup does not always outperform the best single training run. Because of this, we use the best single SFT training run for each model size as our final SFT models.

| Model           | Seed         | Average | Model           | Seed         | Average |
|-----------------|--------------|---------|-----------------|--------------|---------|
| TÜLU 3 8B SFT   | 42 (Default) | 59.9    | TÜLU 3 70B SFT  | 42 (Default) | 71.8    |
|                 | 123          | 60.1    |                 | 123          | 70.0    |
|                 | 456          | 59.8    |                 | 456          | 72.6    |
|                 | 789          | 59.8    |                 | -            | -       |
|                 | 1011         | 59.8    |                 | -            | -       |
| Best Model Soup | 42 & 123     | 60.2    | Best Model Soup | 123 & 456    | 72.5    |

Table 13: Average performance of our 8B and 70B SFT models using random seeds, and compared against the best model soup using the models trained with different seeds. We find that the best random seed is comparable to the best model soup, so for consistency we use the best single SFT run as our final SFT model.

# C.1.2 Batch Aggregation

Early during training TÜLU 3, we noticed a gap in performance between SFT models trained on our framework and models trained in other settings such as on TPUs.<sup>2</sup> We found this

<sup>&</sup>lt;sup>2</sup>Relevant code: https://github.com/hamishivi/EasyLM

issue was largely due to a (recently widely-reported) issue with loss aggregation inside Transformers (Wolf et al., 2020): Averaging the loss across padding tokens without taking into account gradient accumulation or distributed training setups.

Here, we illustrate the issue with an example. Assume we have two samples in a batch, with  $n_1$ ,  $n_2$  non-padding tokens and  $m_1$ ,  $m_2$  padding tokens. If we pass both samples into the default Transformers forward pass at the same time, we get:

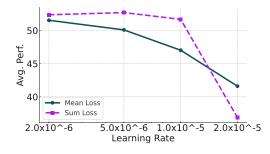
$$L = \frac{l_{n_1} + l_{n_2}}{n_1 + n_2} \tag{1}$$

However, if we apply gradient accumulation, feeding in the two samples separately, computing loss, and then dividing, our loss is instead computed like:

$$L = \frac{\frac{l_{n_1}}{n_1} + \frac{l_{n_2}}{n_2}}{2} \tag{2}$$

That is, in the second case we weight *each example equally*, while in the first we weight *each token equally*. As such, changing gradient accumulation can have large effects on performance due to effectively changing sample weightings, as reported by Muennighoff et al. (2024). A similar issue occurs in distributed training due to cross-device averaging. We refer to recent reports on this issue for a more in-depth explanation.<sup>3</sup>

To fix this issue, we opted generally to use a **sum loss** instead of averaging ('mean loss') when training. This removes the issue by simply removing the denominator from the above equations and requires an adjustment to learning rates. This effectively weights all tokens equally (which we found led to generally better performance for initial mixtures). We validated the perfomance of our setup by finetuning Llama 3.0 on the TÜLU 2 SFT mixture using a variety of learning rates, epochs, and loss types as shown in Figures 7 and 8. Ultimately, we found that using a **sum loss with a learning rate of 5.00E-06** worked best. Surprisingly, we additionally found that training for longer did not yield further improvements, and so used 2 epochs for training.



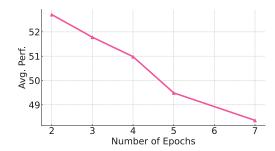


Figure 7: Average performance when finetuning Llama 3.0 on the TÜLU 2 mixture using differing loss types and learning rates. We find that a LR of 5e-6 with a sum loss works best.

Figure 8: Average performance when finetuning Llama 3.0 on the TÜLU 2 mixture using sum loss and LR of 5e-6 for varying numbers of epochs. We find using 2 epochs works best.

# C.2 Training Settings

To train our TÜLU 3 models, we used between 4 and 16 8xH100 nodes with high speed interconnect. The final 8B model is trained on 32 GPUs for 6 hours and the 70B model was trained on 64 GPUs for 50 hours. We used an effective batch size of 128 and a maximum sequence length of 4,096 tokens. We trained for two epochs using a learning rate of 5e-6 for our 8B models, and 2e-6 for our 70B models, which we found after a hyperparameter search.

<sup>3</sup>https://unsloth.ai/blog/gradient, https://muellerzr.github.io/blog/gradient\_accumulation\_part2.html

| SFT Mix      | P-IF         | WildCIF      | SFT-IF       | $WC^{\beta}$ | $WC^{\alpha}$ | $UF^\delta$  | DA           | UF           | CocoNot      | Avg.  |
|--------------|--------------|--------------|--------------|--------------|---------------|--------------|--------------|--------------|--------------|-------|
| ✓            |              | ✓            | ✓            |              | $\checkmark$  | $\checkmark$ |              |              |              | 62.27 |
| $\checkmark$ | $\checkmark$ | $\checkmark$ | $\checkmark$ |              | $\checkmark$  | $\checkmark$ |              |              |              | 61.99 |
| $\checkmark$ |              | $\checkmark$ | $\checkmark$ |              |               | $\checkmark$ |              |              |              | 61.83 |
| $\checkmark$ |              | $\checkmark$ | $\checkmark$ | $\checkmark$ |               |              |              |              |              | 61.76 |
| $\checkmark$ |              | $\checkmark$ | $\checkmark$ |              |               |              |              |              |              | 61.59 |
| $\checkmark$ |              | $\checkmark$ | $\checkmark$ | $\checkmark$ | $\checkmark$  | $\checkmark$ |              |              |              | 61.55 |
| $\checkmark$ |              |              |              |              |               |              |              | $\checkmark$ |              | 61.35 |
| $\checkmark$ |              | $\checkmark$ | $\checkmark$ |              |               |              | $\checkmark$ |              |              | 61.29 |
| $\checkmark$ |              | $\checkmark$ | $\checkmark$ |              | $\checkmark$  | $\checkmark$ |              |              |              | 61.25 |
| $\checkmark$ |              | $\checkmark$ | $\checkmark$ | $\checkmark$ |               | $\checkmark$ |              |              | $\checkmark$ | 61.17 |
| $\checkmark$ |              |              | $\checkmark$ |              |               |              |              |              |              | 60.87 |
| $\checkmark$ |              |              |              | $\checkmark$ |               |              |              |              |              | 60.86 |
| $\checkmark$ | $\checkmark$ |              |              |              |               |              |              |              |              | 60.84 |
|              |              |              |              |              |               |              |              |              |              | 60.54 |

Table 14: Some of our dataset mixing experiments to obtain the final preference dataset mix. We include prompts from DaringAnteater (DA), our SFT Mix (SFT), Ultrafeedback (UF), Persona prompts for different skills (P-IF, P-Code, P-Math), TÜLU 3 instruction following prompts (TÜLU 3-IF), i.e. IF-Augmented, CocoNot, the IF subset of Daring Anteater Wang et al. (2024d) and WildChat (WildC.). ( $\alpha$ : prompts used during SFT,  $\beta$ : prompts from datasets subsampled, yet unused, for SFT,  $\delta$ : only used the prompts, the completions and preferences were regenerated using the pipeline described in subsection 3.4).

| Dataset                          | Count  | 8B           | 70B          |
|----------------------------------|--------|--------------|--------------|
| SFT Reused On-policy             | 19444  | $\checkmark$ | ✓            |
| SFT Reused Off-policy            | 96911  | $\checkmark$ | $\checkmark$ |
| SFT Reused Instruction Following | 65530  | $\checkmark$ | $\checkmark$ |
| WildChat Instruction Following   | 10792  | $\checkmark$ | $\checkmark$ |
| WildChat Reused                  | 17207  | $\checkmark$ | $\checkmark$ |
| WildChat Unused                  | 82783  |              | $\checkmark$ |
| Ultrafeedback (Cleaned)          | 41635  | $\checkmark$ | $\checkmark$ |
| Persona Instruction Following    | 19890  | $\checkmark$ |              |
| Total                            | 354192 | 271409       | 334302       |

Table 15: Summary of our best preference dataset mixes for  $T\ddot{\text{U}}$ LU 3 8B DPO and  $T\ddot{\text{U}}$ LU 3 70B DPO .

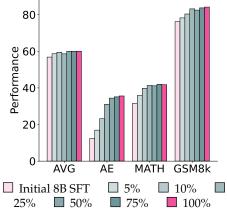
Our hyperparameter settings are also summarized in Table 10. For merging experiments we used mergekit<sup>4</sup> (Goddard et al., 2024), using linear weighted averaging.

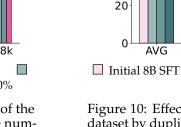
# **D** Preference Finetuning

# D.1 Key Findings of Data Ablations

We perform several ablations to inform the design decisions of the synthetic preference pipeline and the composition of the TÜLU 3 preference mix (subsection 3.4).

<sup>&</sup>lt;sup>4</sup>https://github.com/arcee-ai/mergekit





80

Performance A D

Figure 9: Effect of scaling the size of the preference dataset, specifically the number of unique prompts, on downstream DPO model performance (AE: AlpacaE-val).

Figure 10: Effect of scaling a preference dataset by duplicating prompts on downstream DPO performance using the Ultrafeedback dataset. All sizes have the same number of unique prompts (64k).

■ 64k

383k

■ 180k

Scaling the Number of Unique Prompts Improve Downstream DPO Performance. First, we investigate whether increasing the number of prompts will yield improvements in downstream DPO performance. To do so, we measure the downstream DPO model performance at different sizes of a fixed amount of preferences with unique prompts. Figure 9 shows that there are noticeable performance gains across several metrics as the size of the preference dataset increases. This suggests that dataset scaling is important to achieve improvements in downstream model performance: our final preference mixes (Table 15) contain more than 270k data points for the 8B model and more than 330k instances for the 70B model, which is considerably bigger than many available preference datasets.

We also explore whether duplicating prompts, i.e. same prompts with different responses, is a viable approach to scaling the size of a preference dataset and whether it will lead to gains in downstream DPO performance. To do so, we expanded the Ultrafeedback dataset, which originally had four responses for each prompt, by creating additional pair combinations of responses. This expansion will naturally cause duplicated prompts, but with different chosen and rejected pairs sampled from the four responses in UltraFeedback, leading to preference datasets with 64k-, 180k-, and 383k instances. Figure 10 shows that, on average, the 383k-size preference dataset performs similarly to the 64k preference dataset. We also observe a slight performance degradation on DROP, GSM8k, and AlpacaEval as the number of duplicated prompts increase. This suggests that scaling via prompt duplication does not necessarily yield into significant gains in downstream DPO performance, and investing in the collection of unique prompts and proper mixing is more important for downstream evaluations.

Unused Prompts Lead to Higher Performance vs. Reusing Prompts From SFT Mix. We then compare including new prompts and re-using prompts from the SFT stage on their effect on downstream DPO performance. To do so, we sampled 100k prompts from the SFT dataset mix that were *used* during training (as shown in Table 8) and compare it against prompts from the same open datasets (e.g., OpenAssistant, SciRIFF, Aya, Persona, WildChat, etc.) we subsampled from but left *unused* during SFT. Figure 11 shows that the *unused* dataset has a slightly higher performance as opposed to reusing prompts. This suggests that the presence of new prompts can help improve downstream DPO performance. Though, as seen in our best mix, combining unused and reused prompts seems to lead to the best result.

**On-policy Data Improves Downstream DPO Performance.** We investigate whether the inclusion of *on-policy data*, i.e., text generations from the SFT model that will be used as the base model for preference finetuning, improves downstream model performance. Given the same set of prompts sourced from the SFT mix in subsection 3.3, we generate preferences from off-policy models and compared it to a mix that is strictly on-policy (i.e., one of the

| LLM Judge      | Avg. | MMLU | TQA  | PopQA | BBH  | CHU  | CHU+ | GSM8k | Drop | MATH | IFEval | AE   | Safety |
|----------------|------|------|------|-------|------|------|------|-------|------|------|--------|------|--------|
| GPT-40         | 57.3 | 64.8 | 56.1 | 30.1  | 66.3 | 87.0 | 80.7 | 75.3  | 62.7 | 20.3 | 60.4   | 20.6 | 62.7   |
| LLama 3.1 405B | 57.2 | 64.8 | 56.0 | 30.3  | 67.4 | 86.2 | 80.8 | 75.1  | 62.0 | 20.1 | 59.0   | 21.5 | 62.8   |
| GPT-4 Turbo    | 57.0 | 64.6 | 55.7 | 30.1  | 66.4 | 86.6 | 79.4 | 75.5  | 62.6 | 20.1 | 59.9   | 20.6 | 62.2   |
| GPT-40 Mini    | 56.9 | 64.4 | 55.4 | 30.4  | 66.2 | 86.6 | 79.8 | 74.8  | 60.7 | 20.9 | 60.1   | 21.4 | 61.6   |
| Llama 3.1 70B  | 56.6 | 64.3 | 55.5 | 30.2  | 66.6 | 85.3 | 81.4 | 74.8  | 62.1 | 20.1 | 58.2   | 18.6 | 62.2   |

Table 16: Performance of DPO models trained on preference annotations by different LLM judges. Due to the proximity of the numbers, we have not bolded the max per evaluation.

response is always from the Initial 8B SFT model, and the other response is from the off-policy models). We also compare it on a combination of both on-policy and off-policy data: we sample instances from the strict on-policy dataset and add it to the off-policy dataset so that the responses from each model is distributed equally. Figure 12 shows that including on-policy data improves aggregated downstream DPO performance compared to a completely *off-policy* dataset where prompt completions were sampled from other models.

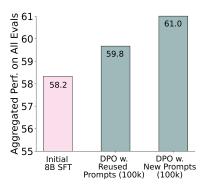


Figure 11: Effect of reusing prompts from SFT mix and new prompts from the same datasets subsampled for the SFT dataset mix.

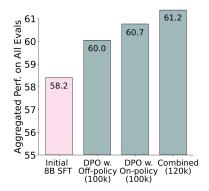


Figure 12: Effect of including on-policy data during the Response Generation stage of the synthetic preference data pipeline on downstream DPO model performance.

Performance Across LLM Judges are Similar, with GPT-40 Leading Slightly Ahead. In order to determine which judge to use for obtaining preference annotations, we test several commercial and open-source LLM judges such as GPT-4 (GPT-4-turbo-2024-04-09, GPT-40-2024-08-06, gpt-40-mini-2024-07-18) and Llama 3.1 (70B and 405B) on the same set of 10k randomly-sampled Ultrafeedback prompts and responses. In general, GPT-40, Llama 3.1 405B, and GPT-4 Turbo perform similarly across all benchmarks, with GPT-40 leading slightly ahead on the aggregated average performance as shown in Table 16. In the synthetic preference pipeline for TÜLU 3, we opted for GPT-40-2024-08-06 due to its ease-of-use, cheaper cost per request, and batch inference speed via OpenAI's Batch API. 5

Going Beyond Ultrafeedback. Previous work on preference learning using openly available datasets has shown that the UltraFeedback (Cui et al., 2023) preference dataset generally outperforms other preference datasets (Ivison et al., 2023). In Figure 13 we show that we were able to significantly surpass DPO training on UltraFeedback by training on our best mix. The improvement is greater for the 70B model (+3.3 vs. +1.8), we hypothesize that this is because UltraFeedback's completions are mainly sourced from models that are less capable than the 70B model we are starting with. Helpsteer2 Wang et al. (2024d), another high-quality preference dataset, also performs lower than our best mix on the 8B model.

**Persona Preference Data.** From the three persona preference datasets targeting instruction following, coding and math skills, only TÜLU 3 Persona IF improves the average eval score and the targeted IFEval score (see Figure 14). Neither TÜLU 3 Persona Math nor TÜLU 3

<sup>&</sup>lt;sup>5</sup>https://platform.openai.com/docs/guides/batch

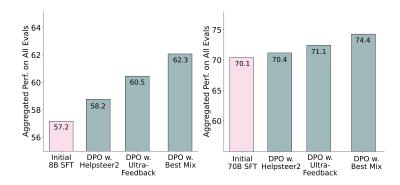


Figure 13: Effect of different DPO mixes on 8B and 70B models: UltraFeedback, Helpsteer2, and our best preference mix.

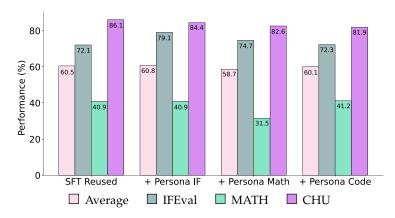


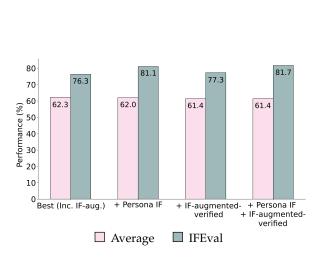
Figure 14: Adding persona preference data to the SFT Reused mix for DPO.

Persona Code improve their respective targeted evaluations and slightly harm the average score. We therefore only include the TÜLU 3 Persona IF preferences in our final mix.

**Targeting IF.** We created preference data targeted to improve a model's precise instruction following skills.

- 1. **Persona IF**: We take a subset of our collected instruction following SFT dataset, IF-PERSONA-SFT and convert it into a preference dataset. Each example in IF-PERSONA-SFT dataset contains a (prompt, constraints, response) tuple. We start by rewriting each prompt in the subset to relax one of the given constraints. More specifically, we prompt GPT-40 to generate rewrites such that the new response to the modified prompt is no longer a valid response for the original prompt (does not satisfy all the constraints). We then use the response to the new modified prompt as the rejected response, and create (chosen, rejected) pairs to form our IF-PERSONA-PREF dataset containing close to 20K examples.
- 2. **IF-augmented**: We randomly sample instructions from the TÜLU 2 SFT mix and combine them with constraints from the taxonomy in Zhou et al. (2023). The chosen and rejected completions are obtained through the synthetic pipeline in §3.4.
- 3. **WildChat IF**: We sample instructions from WildChat (Zhao et al., 2024) which contain constraints. For this purpose we asked GPT-4 to extract whether or not a prompt includes a constraint.

For IF-augmented, we run two analyses. We generate an additional set of more than 66k instances and we then run the chosen completions through constraint verifier functions, and only add those instances to the final set which actually fulfilled the constraint(s). This leaves us with a cleaned set of about 26k preferences, which we call IF-augmented-



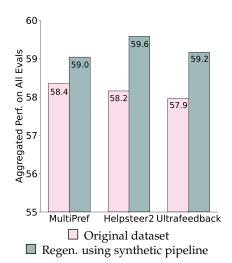


Figure 15: Performance of different IF-targeted preference mixes, average and IFEval. Best here consists of our final best mix for the 8B model (minus Persona-IF).

Figure 16: Comparing the use of the original completions to regenerating completions using our synthetic preference pipeline.

verified. In Figure 15 we show that the IF-persona preferences significantly improve IFEval scores beyond the baseline mix, while minimally harming average performance. The IF-augmented-verified dataset improves IFEval performance only by 1 point, while also slightly harming the average performance. Combining IF-persona with IF-augmented-verified leads to the best IFEval performance, but to a slightly lower average. We therefore choose to include IF-augmented (not verified) and Persona IF in the final 8B DPO mix, which leads to both a satisfiying average and IFEval score.

**Wildchat.** Our ablations show that adding preference data consisting of WildChat prompts and chosen/rejected pairs obtained using our synthetic preference data pipeline, generally improves DPO performance. Ablations in the related sections reveal that adding WildChat prompts seen during SFT training to the DPO mix leads to better average performance than combining the unused with the reused WildChat prompts.

Comparing original preference datasets and their regenerated counterparts. We also investigate whether the preference dataset generated by the synthetic pipeline in §3.4 can yield to gains in downstream DPO performance on existing datasets. To do so, we take the prompts from open-source datasets such as Helpsteer2, Ultrafeedback, and MultiPref (Miranda et al., 2024), then regenerate their completions and preference annotations using the synthetic data pipeline. Figure 16 shows that the downstream DPO performance of the regenerated dataset is better than the original dataset, suggesting that the synthetic pipeline itself can yield to performance gains.

### D.2 Preference Tuning Recipe and Analyses

# D.2.1 Hyperparameter and Algorithm Design

In light of the significant amount of work on improving DPO and related algorithms since the release of TÜLU 2, we revisited our hyperparameter and algorithm choices alongside our preference datasets. We ablated both algorithm and hyperparameter choices using an early SFT checkpoint and the UltraFeedback dataset. We explored using DPO, SimPO (Meng et al., 2024), and length-normalized DPO. Our results are shown in Table 17. We found that only length-normalized DPO outperformed our base checkpoint overall, and so further tuned it, resulting in the final hyperparameters shown in Table 19.

| Algorithm | LR       | $\gamma$ – $\beta$ ratio | β      | Epochs | Batch Size | Average Score |
|-----------|----------|--------------------------|--------|--------|------------|---------------|
| SFT Base  | -        | -                        | -      | -      | -          | 55.7          |
| SimPO     | 5.00E-07 | 0.5                      | 2      | 1      | 128        | 51.8          |
| SimPO     | 5.00E-07 | 0.3                      | 10     | 1      | 128        | 52.9          |
| DPO       | 5.00E-07 | -                        | 0.1    | 3      | 32         | 55.2          |
| PPO       | 1.00E-06 | -                        | 0.0325 | 1      | 64         | 54.5          |
| PPO       | 1.00E-06 | -                        | 0.05   | 1      | 64         | 55.5          |
| DPO-norm  | 1.00E-07 | -                        | 5      | 3      | 32         | 56.1          |
| DPO-norm  | 5.00E-07 | -                        | 10     | 3      | 32         | 55.2          |
| DPO-norm  | 5.00E-07 | -                        | 15     | 3      | 32         | 55.7          |
| DPO-norm  | 5.00E-07 | -                        | 2      | 3      | 32         | 46.8          |
| DPO-norm  | 5.00E-07 | -                        | 5      | 3      | 32         | 53.4          |
| DPO-norm  | 5.00E-07 | -                        | 5      | 1      | 32         | 57.3          |

Table 17: Hyperparameters and algorithms examined for DPO tuning. We use UltraFeedback as the training dataset in all cases, and train on top of an early TÜLU 3 version. DPO-norm refers to the length-normalized DPO variant proposed in Meng et al. (2024). We explore hyperparameters suggested by prior work (Meng et al., 2024; Ivison et al., 2023). For PPO, we train reward models on UltraFeedback and reuse prompts during online training, following the hyperparameters in Ivison et al. (2024). We find that length-normalized DPO performs best overall.

| Data    | LR                   | Avg. Performance |
|---------|----------------------|------------------|
|         | $5.0 \times 10^{-7}$ | 72.74            |
| Mix 1   | $2.0 \times 10^{-7}$ | 71.17            |
| IVIIX I | $1.5 \times 10^{-7}$ | 71.12            |
|         | $1.0 \times 10^{-7}$ | 71.06            |
| Mix 2   | $5.0 \times 10^{-7}$ | 71.14            |
| 10117 2 | $2.0 \times 10^{-7}$ | 74.35            |

Table 18: Learning rate ablations for the 70B DPO model, for two different preference mixes: Mix 1: Tülu-3-Persona-IF, Tulu-3-Helpsteer2, Ultrafeedback, Tulu-3-SFT-reused (On-policy), Mix 2: Best 70B Mix (both trained on an older SFT base).

| Hyperparameter                 | 8B                 | 70B                |
|--------------------------------|--------------------|--------------------|
| Learning Rate                  | $5 \times 10^{-7}$ | $2 \times 10^{-7}$ |
| Learning Rate Schedule         | Linear             | Linear             |
| Batch Size (effective)         | 128                | 128                |
| Max Token Length               | 2,048              | 2,048              |
| KL penalty coefficient $\beta$ | 5                  | 5                  |
| Warm up ratio                  | 0.1                | 0.1                |
| Number of Epochs               | 1                  | 1                  |

Table 19: Final DPO Training Hyperparameters. We use the length-normalized variant of DPO proposed in Meng et al. (2024).

We lowered the learning rate and increased the batch size for the 70B training based on the fact that it is common to lower the learning rate and increase batch size when doing SFT with larger models (Touvron et al., 2023).

The 8B DPO model is trained for 10 hours on 8 Nvidia H100 GPUs and the 70B DPO model is trained for 19 hours on 64 interconnected H100s.

The DPO training uses a maximum sequence length of 2048.

**Learning Rate Ablations for 70B.** We ran a small hyperparameter search over a set of learning rates using a generally well performing preference data mix<sup>6</sup> and our final best mix. Table 18 shows that either a learning rate of  $2.0 \times 10$ -7 or  $5.0 \times 10$ -7, depending on data mix, performs better than a lower learning rate. For our final DPO models we decided on using a learning rate of  $2.0 \times 10$ -7.

<sup>&</sup>lt;sup>6</sup>Tülu-3-Persona-IF, Tulu-3-Helpsteer2, Ultrafeedback, Tulu-3-SFT-Used (On-policy).

| Hyperparameters                          | for optimizing a RM      | for optimizing against RLVR                        |
|--|--------------------------|--|
| Discount Factor $\gamma$                 | 1.0                      | 1.0  |
| General Advantage Estimation $\lambda$   | 0.95                     | 0.95   |
| Mini-batches N <sub>mb</sub>             | 1                        | 1  |
| PPO's Clipping Coefficient $\varepsilon$ | 0.2                      | 0.2  |
| Value Function Coefficient $c_1$         | 0.1                      | 0.1  |
| Gradient Norm Threshold                  | 1.0                      | 1.0  |
| Learning Rate Schedule                   | Linear                   | Linear   |
| Generation Temperature                   | 1.0                      | 1.0  |
| Max Token Length                         | 2,048                    | 2,048  |
| Max Prompt Token Length                  | 2,048                    | 2,048  |
| Penalty Reward Value for                 | -10.0                    | -10.0  |
| Responses without an EOS Token           | -10.0                    | -10.0  |
| Learning Rate                            | $3 \times 10^{-7}$       | $3 \times 10^{-7} $ (1 × 10 <sup>-7</sup> for 70B) |
| Batch Size (effective)                   | 224                      | 224 (640 for 70B)                                  |
| PPO Update Iterations K                  | 1                        | 4  |
| Response Length                          | 1,024                    | 2,048 (1,024 for GSM8K only)                       |
| Total Episodes                           | 300,000                  | 100,000  |
| KL penalty coefficient ( $\beta$ )       | [0.05, 0.03, 0.02, 0.01] | [0.1, 0.05, 0.03, 0.01]                            |
| Warm up ratio ( $\omega$ )               | [0.1, 0.0]               | [0.0, 0.1]   |

Table 20: The hyperparameters of PPO used for 1) optimizing against a general RM and 2) optimizing against the verifiable reward function. The differences between the hyperparameters are highlighted. The final 8B RLVR model used  $\beta$  = 0.05 and  $\omega$  = 0.0; the final 70B RLVR model used  $\beta$  = 0.07 and  $\omega$  = 0.07

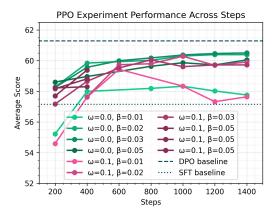
**Comparison Between PPO and DPO.** We also conducted a more in depth ablation study comparing PPO and DPO later in development. We anchored a DPO preference mix in the development history to train an RM. We use the same setup as Stiennon et al. (2020); Ouyang et al. (2022); Huang et al. (2024a), we only extract the RM's logits at the end-of-sequence (EOS) token as the reward model. Also, the linear head to output reward scalars is initialized with weights according to  $\mathcal{N}(0,1/\sqrt{(d_{\mathrm{model}}+1)})$ . We use the same prompts in the DPO preference mix to make a controlled comparison between DPO and PPO.

The reward model was trained only once and we *did not* attempt to tune the RM's performance. Evaluating RM's performance can be tricky because strong RM performance on RM-specific benchmarks does not necessarily translate to better downstream performance for PPO (Ivison et al., 2024; Chen et al., 2024). Furthermore, iterating with RM and PPO is more expensive than iterating with DPO, so we decided to do most of our preference tuning experiments via DPO. The hyperparameters for the RM and PPO can be found in Table ?? and Table 20. The results can be found in Figure 17.

Here are our findings:

- 1. **PPO Gets Similar Average Scores with DPO in this Non-Tuned Setup** Overall, we found that PPO could reach a comparable level of performance to DPO (albeit slightly lower) in this controlled setup.
- 2. **PPO is More Computationally Expensive** The PPO runtime is roughly 28 hours using two nodes, whereas the DPO runtime is about 4 hours using a single node.

If we use more computational budget or do more tuning, it is entirely possible that we can push up the PPO's performance even higher. However, given limited resources and the



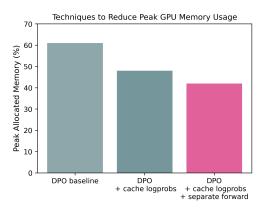


Figure 17: The average scores of PPO runs with different learning rate warm-up ratios  $\omega$ , KL penalty coefficient  $\beta$ . PPO can get similar (though slightly lower) average scores as DPO.

Figure 18: The peak GPU memory allocated can be reduced by caching the reference policy's logprobs on the preference dataset and doing forward passes separately for the chosen and rejected pairs.

| <b>Prompt Dataset</b> | Count  | Verification                         | Reference               |
|-----------------------|--------|--------------------------------------|-------------------------|
| <b>GSM8K Train</b>    | 7,473  | Exact match against extracted answer | Cobbe et al. (2021)     |
| <b>MATH Train</b>     | 7,500  | Exact match against extracted answer | Hendrycks et al. (2021) |
| IF verifiable         | 14,973 | Prompt-specific verifiers            | -                       |
| Total                 | 29,946 |                                      |                         |

Table 21: Summary of our verifiable prompt dataset. New datasets released with TÜLU 3 are color-coded for emphasis.

subtlety in RM evaluation, using DPO for preference tuning seems more economical. We decide to use PPO primarily for RLVR, to be introduced in Section 3.5.

#### D.2.2 Infrastructure for Scaling DPO

To run the 70B DPO training, we found it useful to implement two key optimizations for reducing the GPU footprint of DPO training:

- 1. Caching DPO Log Probs To reduce GPU memory usage, we pre-compute and cache log probabilities across the dataset using the initial model, rather than keeping a reference DPO model in memory during training like the canonical implementation (von Werra et al., 2020; Rafailov et al., 2024). This optimization eliminates the need to allocate GPU memory for the reference model.
- 2. Separate Forward Passes for Chosen and Rejected Sequences The canonical DPO implementation (von Werra et al., 2020; Rafailov et al., 2024) also concatenates the chosen and rejected sequences during the forward pass, effectively doubling the batch size and increasing GPU memory requirements. To save GPU memory, we simply perform the forward passes separately on the chosen and rejected completions.

We empirically validated these two techniques on the Llama 3.1 model and found they resulted in near identical training losses. As expected, the model uses less GPU memory when using the two techniques on an 8xH100, as shown in Figure 18.

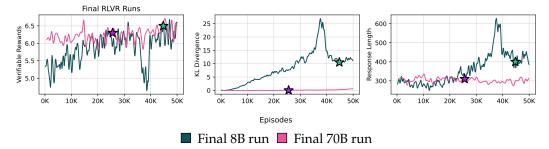


Figure 19: Rewards, KL divergence, and average response length on GSM8k train set over episodes for our final RLVR runs. We mark the point we choose the 8B and 70B checkpoints with a green and purple star respectively.

| Model Size |                                       |                    | 8B            |                |                    | 70B           |                |  |  |
|------------|---------------------------------------|--------------------|---------------|----------------|--------------------|---------------|----------------|--|--|
| Category   | Benchmark <sub>(Eval Setting)</sub>   | Llama 3.1<br>Inst. | TÜLU 3<br>DPO | TÜLU 3<br>RLVR | Llama 3.1<br>Inst. | TÜLU 3<br>DPO | TÜLU 3<br>RLVR |  |  |
| Avg.       |                                       | 62.2               | 64.4          | 64.8           | 73.4               | 75.9          | 76.0           |  |  |
| Knowledge  | MMLU <sub>(0 shot, CoT)</sub>         | 71.2               | 68.7          | 68.2           | 85.3               | 83.3          | 83.1           |  |  |
|            | PopQA <sub>(15 shot)</sub>            | 20.2               | 29.3          | 29.1           | 46.4               | 46.3          | 46.5           |  |  |
|            | TruthfulQA <sub>(6 shot)</sub>        | 55.1               | 56.1          | 55.0           | 66.8               | 67.9          | 67.6           |  |  |
| Reasoning  | BigBenchHard <sub>(3 shot, CoT)</sub> | 62.8               | 65.8          | 66.0           | 73.8               | 81.8          | 82.0           |  |  |
|            | DROP <sub>(3 shot)</sub>              | 61.5               | 62.5          | 62.6           | 77.0               | 74.1          | 74.3           |  |  |
| Math       | MATH <sub>(4 shot CoT, Flex)</sub>    | 42.5               | 42.0          | 43.7           | 56.4               | 62.3          | 63.0           |  |  |
|            | GSM8K <sub>(8 shot, CoT)</sub>        | 83.4               | 84.3          | 87.6           | 93.7               | 93.5          | 93.5           |  |  |
| Code       | HumanEval <sub>(pass@10)</sub>        | 86.3               | 83.9          | 83.9           | 93.6               | 92.4          | 92.4           |  |  |
|            | HumanEval+ <sub>(pass@10)</sub>       | 82.9               | 78.6          | 79.2           | 89.5               | 88.4          | 88.0           |  |  |
| IF & Chat  | IFEval <sub>(Strict)</sub>            | 80.6               | 81.1          | 82.4           | 88.0               | 82.6          | 83.2           |  |  |
|            | AlpacaEval 2 <sub>(LC % win)</sub>    | 24.2               | 33.5          | 34.5           | 33.4               | 49.6          | 49.8           |  |  |
| Safety     | Safety <sub>6 task avg.</sub>         | 75.2               | 87.2          | 85.5           | 76.5               | 89.0          | 88.3           |  |  |

Table 22: Final performance of RLVR-trained TÜLU 3 models compared to Llama 3.1 and DPO starting points. The best-performing model on each benchmark (i.e., in each row) and of each size is **bolded**.

## E RLVR

#### **E.1** Additional Results

The training curves for our final 8B and 70B model checkpoints are shown in Fig. 19, where the best checkpoint is not always the final saved checkpoint of the RL run. The final RLVR results relative to the DPO model starting points are shown in Fig. 20.

## **E.2** RLVR Implementation Details

**Implementation Details**. RL and RLHF have many subtle implementation details that can significantly impact training stability (Engstrom et al., 2020; Huang et al., 2022). As we use PPO to train our models against our verifiers, we adapt these effective implementation details from Huang et al. (2024a):

1. **Initialize the Value model from a General RM** We initialize the value model from the reward model for the RLVR setup (following the standard setup in Ziegler et al. (2019); Ouyang et al. (2022)).

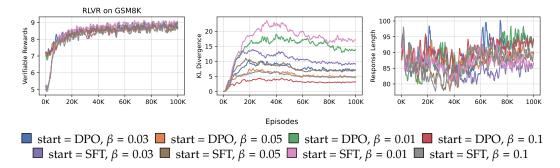


Figure 20: The comparison of RLVR's performance on GSM8K between starting from a DPO checkpoint and starting from a weaker SFT checkpoint. We see that starting from both SFT and DPO can lead to the same level of verifiable rewards, but starting from SFT would incur a larger KL compared to starting from DPO when using the same  $\beta$ .

- 2. **Disable Dropout** We set the dropout probability to be 0 during RM and RL training (similar to Ziegler et al. (2019)). This ensures the token log probabilities can be computed deterministically during the forward passes of the policy model and reference model, allowing a more accurate estimation of the KL penalty. Furthermore, PPO calculates the token log probabilities in two phases: during the rollout phase and the learning phase. It is important to ensure the token log probabilities match up during these two phases: they produce a probability ratio of 1 during the first PPO epoch, so PPO can clip the ratio and apply the surrogate objective properly. If the log probabilities differ drastically due to dropout, all ratios could potentially be clipped, resulting in zero gradient.
- 3. Train with the SFT Dataset and Shuffle Between Epochs As pointed out in in Huang et al. (2024a), PPO can train for more episodes than the total available prompts, effectively training for multiple epochs. In our RLVR ablation experiments, we train for roughly  $100,000/7,473 \approx 13$  epochs. We shuffle the prompts in between epochs. For our final runs, we examine model checkpoints every 40-100 steps and choose the best checkpoint on our development evaluation set.
- 4. **Non End-of-Sequence (EOS) Penalty** During training, PPO typically sample a fixed amount of maximum tokens. If the sampled response does not end with an EOS token, we give a -10 penalty to encourage the model to always complete its responses.
- 5. Advantage Whitening / Normalization Like done in standard PPO implementation details literature (Engstrom et al., 2020; Huang et al., 2022; 2024a), we normalize the advantages by subtracting its mean followed by dividing its standard deviation.

For hyperparameters, for 8B models, we used the hyperparameters from Table 20, but tested higher KL penalty coefficients (up to 0.15) based on previous 8B RL development runs. For 70B models, we used the hyperparameters from Table 20, but with a  $1 \times 10^{-7}$  learning rate, 0.1 warmup ratio, 2048 response length, 400,000 episodes, 640 effective batch size, and  $\beta$  = 0.7 based on previous 70B RL development runs. We initialize our value model from a reward model trained on the same dataset as the best DPO model (the TÜLU 3 8B preference mixture) starting from TÜLU 3 SFT, using the same hyperparameters as in our ablation experiments (Table ??).

#### **E.3** RLVR Infrastructure

Our PPO setup follows best practices on implementation details (Huang et al., 2024a). To enable our implementation to scale to models up to 405B parameters, we also adapted model allocation techniques from existing distributed RLHF frameworks (Hu et al., 2024). Furthermore, we accelerate throughput by making RL training asynchronous (Noukhovitch et al., 2024).

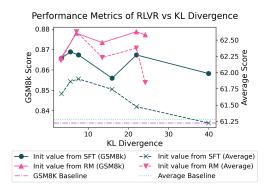


Figure 21: The performance of RLVR vs KL Divergence under different value model initialization. Both initializations could improve the models compared to an initial DPO baseline model. However, as the model diverges more from the initial model, overoptimization happens as the average scores drop significantly.

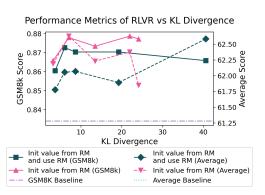


Figure 22: Similar to Figure 21, but this is a comparison of 1) using scores from on top of the verifiable rewards and 2) using only the verifiable rewards. We found using the verifiable rewards performs better in GSM8K, and using scores and verifiable rewards to be more noisy.

The final 8B reward model is trained in 9 hours on 8 H100 gpus, while the final 8B RL run takes 65 hours on 8 GPUs, the final 70B RL run takes 60 hours on 48 GPUs, and the final 405B RL run takes 46 hours on 256 GPUs. Note, for all of these models we took an earlier than final checkpoint from the run.

**Distributed Setup.** Our PPO infrastructure leverages Zero Stage 3 (Rajbhandari et al., 2020) to fit the models and applicable optimizer states into the memory. In RLVR, we have 3 models: the policy model, the reference policy model, and the value model. The policy and value models need to be trained, but the reference policy model only performs inference. Often inference time is a bottleneck in RLHF infrastructure, so we allocate dedicated GPUs to do inference like done in OpenRLHF (Hu et al., 2024). In particular, we use Ray (Moritz et al., 2018) to allocate dedicated GPUs to run PagedAttention via vLLM (Kwon et al., 2023). PagedAttention helps reduce GPU memory fragmentation and redundant duplication leveraging virtual memory and paging techniques. As a result, it helps our PPO infrastructure run LLM inference using a much larger batch size and speed up inference. This setup allowed us to scale PPO policy training to the 405B scale. We share more 405B scaling details in Section F.1.

Asynchronous RL Training. Furthermore, our PPO setup uses asynchronous RL training to improve training efficiency (Noukhovitch et al., 2024). The existing PPO frameworks (Hu et al., 2024; Shen et al., 2024a) are typically synchronous: the inference GPUs would first collect policy rollout data, and then the learner GPUs would train on that rollout data. This setup would utilize GPU relatively well under a typical setup with Atari (Mnih et al., 2015). However, under the RLHF setup, inference computation typically requires different optimizations (e.g., PagedAttention), thus requiring different GPU memory allocation strategies. As a result, synchronous RL training means inference GPUs could be idling while the learner GPUs run, and vice versa. An alternative implementation is to use the same set of GPUs for training and inference, but it could incur additional overhead such as an additional copy of the policy parameters and compiling inference engine in the training GPUs (Shen et al., 2024a).

Our setup allocates GPUs specifically for inference and training, alleviating the need to compile an inference engine and save a copy of the policy parameters in the training GPUs. Furthermore, we run the inference computation concurrently with the training computation, thus reducing the GPU idle time. However, asynchronous RL training can introduce stale data, in cases where the inference can generate data much faster than training consumes (Espeholt et al., 2018), which can introduce reproducibility problems (Huang

|   |                    |        | Hyperparameters  | 405B RLVR          |
|---|--------------------|--------|--|--------------------|
|   |                    |        | Learning Rate  | $1 \times 10^{-7}$ |
|   |                    |        | Discount Factor $\gamma$                               | 1.0                |
|   |                    |        | General Advantage Estimation $\lambda$                 | 0.95               |
|   |                    |        | Mini-batches $N_{\rm mb}$                              | 1                  |
| Hyperparameter                                      | 405B SFT           | 405B D | $\overline{PB}$ O's Clipping Coefficient $\varepsilon$ | 0.2                |
| Learning Rate                                       | $2 \times 10^{-6}$ | 2 × 10 | $\overline{V}$ alue Function Coefficient $c_1$         | 0.1                |
| Learning Rate Schedule                              | Linear             | Linear | Gradient Norm Threshold                                | 1.0                |
| Batch Size (effective)                              | 256                | 256    | Learning Rate Schedule                                 | Linear             |
| Max Token Length                                    | 4,096              | 2,048  | Generation Temperature                                 | 1.0                |
| KL penalty coefficient $\beta$                      | -                  | 5      | Batch Size (effective)                                 | 1,856              |
| Warm up ratio                                       | 0.03               | 0.1    | Max Token Length                                       | 2,048              |
| Number of Epochs                                    | 2                  | 1      | Max Prompt Token Length                                | 2,048              |
| -   |                    |        | Penalty Reward Value for                               |                    |
| Table 23: Hyperparame                               |                    |        | Responses without an EOS Token                         | -10.0              |
| TÜLU 3 405B. We use a lanto the increased number of |                    |        | PPO Update Iterations K                                | 1                  |
| the SFT learning rate.                              | n G1 03, and       | 100001 | Response Length  | 1,024              |
| 8   |                    |        | Total Episodes   | 300,000            |
|   |                    |        | KL penalty coefficient ( $\beta$ )                     | 0.05               |
|   |                    |        | Warm up ratio ( $\omega$ )                             | 0.0                |

Table 24: The hyperparameters of PPO used for optimizing against a general RM.

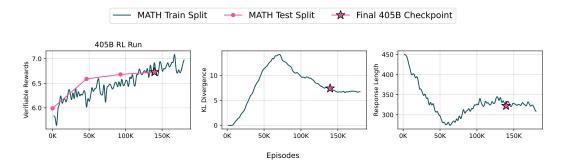


Figure 23: Rewards on MATH, KL divergence, and the average response length for the final 405B training run. We mark the point with the final checkpoint with a star. We note that this was the last checkpoint saved – we intended to train longer but hit compute constraints. Note that technically the metrics in MATH test split is accuracy, but here we multiply the accuracy by 10 to convert to verifiable rewards.

et al., 2023). To help make training more reproducible, our setup always trains the policy using the second latest inference data (Huang et al., 2023; Noukhovitch et al., 2024).

## **F** Discussions

## F.1 Scaling TÜLU 3 Recipe to Llama 3.1 405B

Here, we demonstrate the scalability and effectiveness of our post-training recipe applied at 405B parameter scale. Scaling to this size required several engineering efforts and posed a number of challenges:

- Compute Requirements: Training TÜLU 3 405B demanded 32 nodes (256 GPUs) running in parallel. While most of our codebase scaled well, we occasionally encountered NCCL timeout and synchronization issues that required meticulous monitoring and intervention (especially with RL training). Using more GPUs increased the chances of encountering hardware failures, necessitating semi-frequent run restarts.
- RLVR Training: For inference, we deployed the model using vLLM with 16-way tensor parallelism, while utilizing the remaining 240 GPUs for training. After each iteration of RLVR update, the weights are synchronized to the vLLM engine using NCCL broadcast. Inference typically takes ~550 seconds, weight transfer takes ~25 seconds, and training takes ~1,500 seconds. To reduce computational cost during the RLVR stage, we utilized an 8B value model. Future works can benefit from exploring larger value models or alternate value model-free RL algorithms such as GRPO (Shao et al., 2024).
- **Hyperparameter Tuning Challenges**: Given the computational costs, hyperparameter tuning was limited. Following prior Tülu and Llama work, we opted to lower the LR for larger models, training with a "lighter touch".

Our training recipe for the 405B model followed very similarly to that of the 8B and 70B models, but with a different training dataset for RLVR. Given the model's saturation of GSM8K from SFT and DPO training alone, we removed the GSM8K data, and we additionally found that the IFEval data did not help much in initial RLVR runs. As such, for TÜLU 3 405B RLVR we only used the MATH train set. Surprisingly, we found that even with as few as 25 RLVR steps, MATH performance improved by over 5 points, and continued to increase with more training.

With the challenges of scaling the asynchronous RL infrastructure, we only trained for 75 steps, fewer than our smaller models. The RL reward, KL divergence, and response length per training batch are shown in Fig. 23. The training hyperparameters for the SFT and DPO stages are shown in Table 23. The hyperparameters for RL with verifiable rewards at this scale are shown in Table 24.

In Table 5, we compare TÜLU 3 405B with prior state-of-the-art models finetuned from Llama 3.1 405B as well as DeepSeek-V3 (DeepSeek-AI et al., 2024) and GPT-40. Generally, TÜLU 3 405B results are improved compared to TÜLU 3 70B.TÜLU 3 405B achieves competitive or superior performance to both Deepseek v3 and GPT-40, while also surpassing prior open-weight post-trained models of the same size including Llama 3.1 405B Instruct and Nous Hermes 3 405B (Teknium et al., 2024) on many standard benchmarks. We note that we ended RLVR training early due to compute constraints, and further training may further improve performance. In particular, we did not observe that MATH performance had saturated during training and testing (see Figure 23).

## **F.2** Testing Generalization to Target Evaluations

Throughout the report and in Sec. 3.5 the final report of RLVR training runs are reported. An important metric for verifying that it is performing as expected is to check the evaluation of the model on the target evaluation at multiple intermediate checkpoints during training (given that RLVR is training on similar training data, but not the exact data in the evaluation, over-fitting can occur). Training runs on GSM8K, MATH, and IFEval prompts only are shown in Fig. 24 initialized with both DPO trained models and SFT trained models as value functions. Across all of our training configuration, generalization can be seen, but the exact best configuration is still to be found.

### F.3 RM Training Hyperparameters

We detail the hyperparameters used to train TÜLU 3 8B RM (used for initializing the value model for RLVR training) in Table ??.

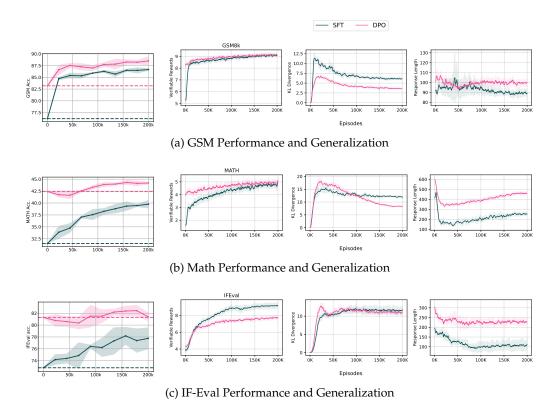


Figure 24: Performance and generalization of RLVR training on three specific prompt sets – GSM8K, MATH, and IFeval – on downstream evaluations and internal RLVR training metrics.

| Core Skill                 | Development                       | Unseen                               |
|----------------------------|-----------------------------------|--------------------------------------|
| Knowledge                  | MMLU <sub>(em)</sub>              | MMLU-Pro <sub>(em)</sub>             |
|                            | $PopQA_{(EM)}$                    | $GPQA_{(em)}$                        |
|                            | TruthfulQA <sub>(MC2 em)</sub>    |                                      |
| Reasoning                  | BigBenchHard <sub>(em)</sub>      | AGIEval English <sub>(em)</sub>      |
|                            | $DROP_{(F1)}$                     |                                      |
| Math                       | MATH <sub>(flex em)</sub>         | Deepmind Mathematics <sub>(em)</sub> |
|                            | GSM8K <sub>(em)</sub>             |                                      |
| Coding                     | HumanEval <sub>(Pass@10)</sub>    | BigcodeBench <sub>(Pass@10)</sub>    |
|                            | HumanEval+(Pass@10)               |                                      |
| Instruction Following (IF) | IFEval <sub>(em)</sub>            | IFEval-OOD <sub>(Pass@1)</sub>       |
|                            | AlpacaEval 2 <sub>(winrate)</sub> | $HREF_{(winrate)}$                   |
| Safety                     | TÜLU 3 Safety <sub>(avg*)</sub>   |                                      |

Table 25: TÜLU 3 EVAL consists of development and unseen splits to evaluate core skills. With TÜLU 3 EVAL, we release a unified standardized evaluation suite and a toolkit to decontaminate training data against benchmarks. The subscript shows the metric we use for evaluation. TÜLU 3 Safety is a collection of safety evaluations taking the average score across them (avg\*), see Sec. G.2.1 for details.

### G Evaluation

## G.1 Open Language Model Evaluation System (OLMES)

In an effort to make evaluations more standardized and reproducible, we are sharing the code base used to produce the evaluations in this work. The evaluation system supports:

- A wide range of models and tasks, leveraging existing work in the Eleuther AI LM Evaluation Harness (Gao et al., 2024),
- Flexible configuration options for each task,
- Direct access to the specific task formulations used in this work (as well as in earlier work such as OLMo (Groeneveld et al., 2024) and the OLMES standard (Gu et al., 2024)), and
- Detailed instance-level output data for analysis of model predictions, confidences, etc

E.g., to reproduce our Llama-3.1-8B-Instruct numbers for MMLU-Pro, one would simply run something like "olmes --task mmlu\_pro::tulu3 --model llama3.1-8b-instruct".

## G.2 TÜLU 3 Evaluation Suite - Development

We design the evaluation setup for the *development* partition of TÜLU 3 EVAL based on existing practices in current literature as well as insights during development. Where appropriate, we adapt the evaluation setup based on the nature of the task and take additional care to robustify our answer extraction and comparison approaches as described below. For generative evaluations our output length is 4096.

MMLU (Hendrycks et al., 2020) is heterogeneous with regard to the type of reasoning skills required to answer the questions, containing instances that require basic factual recall as well as those that demand logical reasoning and problem-solving skills. We design a zero-shot CoT setting that asks the models to "summarize" its reasoning before answering the questions (see Table 40 for the prompt used). We find that among the various CoT settings, including prompting the model to think "step-by-step" and using no CoT, the prompt yields a systematic performance improvement over standard 5-shot multiple choice setting across tested models (See Table 42) and maximizes on the number of subject (knowledge categories) that the CoT benefits. This indicates that our "summarize" prompt is an effective strategy for dealing with the heterogeneity of the benchmark. See appendix G.6 for details. We compute a macro average over all the subjects in MMLU as the final task metric.

**PopQA** (Mallen et al., 2022) is an entity-centric question-answering benchmark that evaluates language models' tendency to *forget* information about long-tail entities. We prompt the models in a 15-shot setting (as recommended in the dataset paper) without any additional instructions, with each QA demonstration presented in a different conversation turn, a setting that we refer to as *Multiturn ICL* henceforth, and use greedy sampling to obtain model predictions.

**TruthfulQA (Lin et al., 2021)** contains questions that humans tend to answer incorrectly due to common misconceptions. We use the multiple-choice version of this benchmark where the models being evaluated are presented with questions and options containing multiple correct answers (the MC2 setting).

**HumanEval (Chen et al., 2021) and HumanEval+ (Liu et al., 2023)** evaluate models' ability to complete Python code given docstrings. HumanEval+ uses a more rigorous evaluation procedure than the original HumanEval benchmark with additional tests. We use samples from the models at a temperature of 0.8 and use pass@10 as the evaluation metric.

**GSM8K** (Cobbe et al., 2021) contains grade school math word problems. We use the 8-shot chain-of-thought prompt from Wei et al. (2022b), formatted for a multiturn ICL evaluation. We obtain model responses using greedy sampling and extract the last number in the model response as the predicted answer.

MATH (Hendrycks et al., 2021) contains problems from mathematics competitions spanning various categories such as algebra and calculus. We use a 4-shot multi-turn setup with CoT from Lewkowycz et al. (2022), formatted for multiturn ICL, and greedy sampling for model completions. For determining the correctness of predictions, we use a 'flex' scheme that attempts to extract the answer in three different ways: (1) following the minerva format (Lewkowycz et al., 2022); (2) finding the last instance of  $(\sqrt{ans})'$ ; (3) taking the text between the last two '\$' tags. This is due to issues we found during development wherein models would often not follow the correct output format despite the few-shot examples, necessitating a varied answer extraction strategy. We find that moving from the minerva format alone to our 'flex' strategy can sometimes improve reported scores by up to 10 points, highlighting the need for this flexible strategy. We compute macro average across the subsections to obtain the final task metric.

**BigBench-Hard (Suzgun et al., 2022)** contains challenging reasoning problems for which models benefit from step-by-step reasoning. We follow the setup described in the original paper and use 3-shot CoT prompts, formatted for multiturn ICL. We use greedy sampling for obtaining model predictions.

**DROP** (Dua et al., 2019) is a reading comprehension task that requires discrete reasoning. We draw 3 random few-shot examples from the train split following the setup used for Llama 3 (Dubey et al., 2024), and use greedy sampling to get model predictions.

**IFEval (Zhou et al., 2023)** evaluates instruction following ability of models in a setting where each instruction corresponds to constraints such that the it can be programmatically verified whether the outputs satisfy those constraints. We use greedy decoding to generate model outputs give the instructions in the dataset, and measure the prompt-level accuracy of satisfying constraints in the loose evaluation setting.

AlpacaEval 2 (Dubois et al., 2024) contains a set of prompts sourced that reflect real human usages of LMs, and compares model outputs to GPT-4 turbo responses with an additional length control to avoid longer answers being unfairly favoured. We generate responses using greedy decoding up to 8,192 tokens in length, following Ivison et al. (2023).

#### **G.2.1** Safety Evaluation

We follow Han et al. (2024) and Jiang et al. (2024) to define our safety evaluation suite using the following benchmarks. Each of these benchmarks evaluates whether models refuse to respond to unsafe requests, and in the case of XSTest and WildJailbreak, additionally evaluate whether they comply with benign requests. We use greedy sampling to get model responses for the prompts in each of the benchmarks, and compute the accuracy (at refusal or compliance as appropriate). We report the macro average of the scores over all the benchmarks as our final safety evaluation metric.

XSTest (Röttger et al., 2023) consists of 200 unsafe prompts and 250 prompts which are safe but superficially resemble unsafe prompts: these prompts use vocabulary similar to that of unsafe prompts. Categories include homonyms, figurative language, safe targets, safe contexts, definitions, real discrimination/nonsense group, nonsense discrimination/real group, historical events, public privacy, and fictional privacy. We report the overall accuracy score based on whether WildGuard (Han et al., 2024) classifies the response as a refusal or compliance.

**HarmBench (Mazeika et al., 2024).** We evaluated on a subset of harmful prompts which consists of 321 harmful prompts<sup>8</sup> categorized into Functional and Semantic categories. Functional category includes two types of behavior: Standard behaviors, which are modeled after

<sup>&</sup>lt;sup>7</sup>Built on Ai2 Safety Tool, forked to focus only on safety evals: https://github.com/nouhadziri/safety-eval-fork. Note that while WildGuard and WildJailbreak are popular training datasets, we use generations over the test set prompts as a test of compliance with a response filter such as the WildGuard model.

 $<sup>^8</sup> https://github.com/centerforaisafety/HarmBench/blob/main/data/behavior\_datasets/harmbench\_behaviors\_text\_test.csv$ 

| Benchmarks        | Llama 3.1 8B<br>Instruct | Ministral 8B<br>Instruct | Qwen 2.5 7B<br>Instruct | TÜLU 3 8B<br>SFT | TÜLU 3 8B<br>DPO | TÜLU 3 8B |
|-------------------|--------------------------|--------------------------|-------------------------|------------------|------------------|-----------|
| HarmBench         | 82.8                     | 53.4                     | 84.1                    | 98.4             | 94.4             | 94.7      |
| XSTest            | 92.7                     | 85.6                     | 91.8                    | 90.4             | 92.4             | 93.3      |
| WildGuardTest     | 86.2                     | 68.1                     | 85.0                    | 99.2             | 98.9             | 98.5      |
| Jailbreaktrigger  | 78.8                     | 63.3                     | 71.0                    | 95.8             | 87.0             | 85.5      |
| DoAnythingNow     | 45.0                     | 16.0                     | 61.7                    | 88.3             | 69.7             | 62.0      |
| WildjailbreakTest | 65.6                     | 50.7                     | 56.2                    | 86.7             | 81.1             | 78.8      |
| Overall           | 75.2                     | 56.2                     | 75.0                    | 93.1             | 87.2             | 85.5      |

Table 26: Breakdown of safety scores by benchmark of TÜLU 3 8B models compared with similarly sized open weight models.

existing datasets of harmful behaviors such as AdvBench and the TDC 2023 Red Teaming Track dataset, and Copyright behaviors, which test the handling of copyrighted content. The semantic category comprises seven types of harmful behaviors: cybercrime, unauthorized intrusion, chemical/biological weapons or drugs, copyright violations, misinformation/disinformation, harassment/bullying, illegal activities, and general harm. We use WildGuard to evaluate the model's refusal to assist with harmful prompts.

**Do-Anything-Now (Shen et al., 2024b)** consists of jailbreak prompts that were created by combining the jailbreak templates from DAN with harmful behaviors from HarmBench, and subsample 300 of them for testing. We report accuracy using the WildGuard classifier.

**JailbreakTrigger (Huang et al., 2024b)** incorporates prompts based on 13 distinct jailbreak attack methods. In total, the dataset consists of 400 examples, split evenly between two categories: "Questions about Bad Behaviors" and "Instructions to generate Toxic Content". This dataset serves to evaluate the effectiveness of LLMs' defenses and measures the toxicity of responses under jailbreak scenarios. The reported metric is RTA measured by WildGuard.

WildJailbreakTest (Jiang et al., 2024) is an adversarial evaluation set which contains a subset for adversarial benign queries (210 examples) and a subset for adversarial harmful queries (2000 examples). The adversarial benign queries are used to measure models' exaggerated safety behaviors and the adversarial harmful queries are used to measure models' safeguards regarding adversarial attacks. We measure RTA using WildGuard for both categories. For benign queries, RTA is expected to be (↓) and for harmful queries, the RTA is expected to be (↑).

**WildGuardTest (Han et al., 2024)** contains 1725 items for prompt harm, response harm, and response refusal classification tasks. 55% are vanilla prompts, and 45% are adversarial. The prompts are collected based on adversarial synthetic data and in-the-wild user-LLM (In-the-wild) interactions. We report RTA using WildGuard.

## G.3 TÜLU 3 Evaluation Suite - Unseen

For the *unseen* evaluation suite, the task formulations were decided through an independent design process from that of *development* suite. One goal of the unseen suite is to evaluate instruction-tuned models in ways that are closely aligned to realistic usage. Specifically, we follow these general principles:

- Formulate tasks similar to how humans interact with the models. E.g., avoid fewshot examples presented as a dialog, or precise chain-of-thought (CoT) examples for how the model is "supposed" to think.
- Prompt models with clear instructions that set the context, encourage concise reasoning, and specify how the final answer should be formatted.

<sup>&</sup>lt;sup>9</sup>https://huggingface.co/datasets/TrustLLM/TrustLLM-dataset

| Benchmarks        | Llama 3.1<br>70B<br>Instruct | Qwen 2.5<br>72B<br>Instruct | Hermes 3<br>Llama 3.1<br>70B | Nemotron<br>Llama 3.1<br>70B | TÜLU 3 70B<br>SFT | TÜLU 3 70B<br>DPO | TÜLU 3 70B |
|-------------------|------------------------------|-----------------------------|------------------------------|------------------------------|-------------------|-------------------|------------|
| HarmBench         | 80.6                         | 86.3                        | 54.7                         | 84.4                         | 98.8              | 97.8              | 97.8       |
| XSTest            | 87.1                         | 93.6                        | 89.3                         | 92.0                         | 91.1              | 94.9              | 92.4       |
| WildGuardTest     | 81.3                         | 93.1                        | 66.6                         | 84.9                         | 99.1              | 99.2              | 98.9       |
| Jailbreaktrigger  | 71.0                         | 89.8                        | 56.3                         | 60.5                         | 95.3              | 87.0              | 86.7       |
| DoAnythingNow     | 80.0                         | 93.3                        | 26.7                         | 36.3                         | 93.7              | 69.0              | 67.7       |
| WildjailbreakTest | 59.2                         | 66.0                        | 53.8                         | 56.1                         | 88.6              | 86.3              | 86.2       |
| Overall           | 76.5                         | 87.0                        | 57.9                         | 69.0                         | 94.4              | 89.0              | 88.3       |

Table 27: Breakdown of safety scores by benchmark of TÜLU 3 70B models compared with similarly sized open weight models.

| Model                  | Llama 3.1 prompt | 0urs |
|------------------------|------------------|------|
| Gemma 2 9B Inst        | 51.6             | 52.6 |
| Gemma 2 9B Inst-SimPO  | 52.6             | 51.8 |
| Llama 3.1 8B Inst      | 49.2             | 48.7 |
| Llama 3.2 3B Inst      | 39.1             | 39.7 |
| Ministral 2410 8B Inst | 43.8             | 44.3 |
| OLMo 0724 7B Inst      | 26.1             | 22.9 |
| OLMoE 0924 1B 7B Inst  | 20.7             | 20.3 |
| Qwen 2.5 7B Inst       | 56.2             | 54.2 |
| Tulu 2 DPO 7B          | 25.4             | 22.2 |

Table 28: Comparing evaluating on MMLU-Pro using our 0-shot CoT prompt and the 5-shot prompt used in Llama3.1 evaluations, using macro average over tasks in both cases (the Llama3.1 evaluation used micro average).

 Apply reasonable heuristics for answer extraction and comparison to gold answers, to avoid penalizing models that do not exactly follow a syntax implied by the instructions (based on examining outputs from a wide set of existing baseline models).

We first apply these principles to some of the tasks in the development suite using a set of exploratory models (instruction-tuned models predating TÜLU 3). On the exploratory models, we find that following the above principles, in particular being more aligned to how human usage, generally does not degrade performance and often times allow most models to perform better on tasks (despite, e.g., removing few-shot examples). We did not update the formulation of the development tasks based on this, but carried the principles over to formulating the unseen tasks. More detailed analysis can be found in Appendix G.6.

The task formulations in the TÜLU 3 *unseen* suite are as follows. For all benchmarks which include multiple sub tasks, we compute the average over the sub tasks (i.e., the "macro" average) to be consistent.

AGIEval English (Zhong et al., 2024) includes the English language subset of the AGIEval benchmark, specifically these multiple-choice tasks: aqua-rat, logiqa-en, lsat-ar, lsat-lr, lsat-rc, sat-en, sat-math, and gaokao-english. We do not include the sat-en-without-passage task as we find these questions are typically severely underspecified without access to the passage. We formulate the task using the a simple "zero-shot CoT" prompt which encourages concise reasoning ending with a clearly stated answer choice. In Appendix G.6, Figure 26, we provide the 0-shot reasoning prompt, used for the multiple-choice tasks in the unseen evaluation suite, including AGIEval English. The model's answer choice is extracted by

| Model                  | Llama 3.1 prompt | 0urs |
|------------------------|------------------|------|
| Gemma 2 9B Inst        | 35.7             | 35.5 |
| Gemma 2 9B Inst-SimPO  | 35.0             | 35.7 |
| Llama 3.1 8B Inst      | 29.5             | 29.5 |
| Llama 3.1 70B Inst     | 46.2             | 44.0 |
| Llama 3.2 3B Inst      | 33.5             | 27.7 |
| Ministral 2410 8B Inst | 31.0             | 31.5 |
| OLMo 0724 7B Inst      | 27.2             | 27.9 |
| OLMoE 0924 1B 7B Inst  | 24.6             | 24.8 |
| Qwen 2.5 7B Inst       | 32.1             | 36.8 |
| Tulu 2 DPO 7B          | 28.4             | 27.5 |

Table 29: Comparing evaluating on GPQA using our 0-shot CoT prompt and the prompt in Llama3.1.

| Model                   | base-adapted, in context examples | CoT prompt |
|-------------------------|-----------------------------------|------------|
| Gemma 2 9B Inst         | 18.0                              | 45.9       |
| Gemma 2 9B Inst-SimPO   | 19.3                              | 45.3       |
| Llama 3.1 8B Inst       | 20.0                              | 39.4       |
| Llama 3.2 1B Inst       | 11.6                              | 13.1       |
| Llama 3.2 3B Inst       | 19.2                              | 32.6       |
| Ministral 2410 8B Inst  | 18.8                              | 36.7       |
| OLMo 0724 7B Inst       | 3.2                               | 5.8        |
| OLMoE 0924 1B 7B Inst   | 9.0                               | 4.2        |
| Qwen 2.5 7B Inst        | 21.2                              | 54.7       |
| Tulu 2 DPO 7B           | 9.6                               | 6.0        |
| Llama 3.1 Tulu 28B      | 21.7                              | 13.6       |
| Llama 3.1 Tulu 2 DPO 8B | 18.6                              | 14.5       |

Table 30: Comparing evaluating on DeepMind Mathematics using different prompts designed evaluation practices for instruction-tuned models, with minor variants. **Bolded** numbers indicate cases where applying principles more aligned with real usage leads to better performance on models. In our evaluation suite, the chain of thought (CoT) prompt is referred to as chat-v3.

first matching to the requested format, with fallback patterns if the format was not followed precisely. Specifically, we first look for the exact phrase indicated in the prompt ("Therefore, the answer is [ANSWER]") and grab the last such match. If that fails, we look for a sequence of softer variants, like "answer is [ANSWER]" or "answer: [ANSWER]" before falling back to the last letter in parenthesis found, and if that fails, the last stand-alone capital letter.

**MMLU-Pro (Wang et al., 2024b)** is a 10-way multiple-choice extended version of the MMLU dataset. We use essentially the same prompt and answer extraction as used for our AGIEval setup, just adjusting for the number of answer choices. We generally find our formulation to be as effective as the traditional 5-shot CoT prompt (e.g., used in Llama 3.1 evaluations (Dubey et al., 2024)) despite being much shorter, more realistic, and easier for users to create (see Table 28 for a comparison across exploratory models).

**GPQA** (Rein et al., 2023) is a set of very challenging multiple-choice questions written by domain experts in biology, physics, and chemistry. We use the same zero-shot prompt and answer extraction as for AGIEval. This is similar to the approach used in Llama 3.1

evaluations, but with less prescription on how the reasoning should be structured. Figure 29 shows that across exploratory models, that our approach leads to comparable scores.

Deepmind Mathematics (Saxton et al., 2019) is a dataset of 56 categories of math questions, testing mathematical and algebraic reasoning skills. We devised a "zero-shot CoT" prompt that sets the context of the task, explains the format expected for the answers (e.g., "x\*\*2" for powers), and for each category included three example answers to specify the answer format. The prompt used and answer extraction heuristics were refined by examining example outputs from our exploratory models. As seen from Table 30, applying evaluation principles aligned with real usage ("chat" versions), often performs better than using a setup involving presenting few-shot examples adapted from base model evaluations ("baseadapted"). We provide the zero-shot reasoning prompt for the Deepmind Mathematics task in Appendix G.6, Figure 27. Aligned with the instructions for formatting in the prompt, when extracting the answer, we first look for an answer in the format "Therefore, the final answer is [answer]. I hope it is correct." We look for this in a case-insensitive way, process the [answer] by stripping away any trailing period, and known math delimiters surrounding the answer (e.g., "\$" ) based on outputs from our exploratory models. For answer comparison, we first compare the raw strings of the processed generated answer and gold answer to check if they are equal. In addition, we parse both using the SymPy (Meurer et al., 2017) package and compare the equivalence of the parsed outputs to check their mathematical equivalent. We also consider the generated answer to be correct if it is equal to the parsed gold answer after this parsing.

**BigCodeBench (Zhuo et al., 2024)** is a set of coding challenges. We focus on the "hard subset" of 148 (out of the total 1140) instances, using the "instruct" formulation of each task and the "calibrated" score. We follow the setup used for the original leaderboard for our implementation.

#### G.3.1 New Evaluation: IFEval-OOD

In order to test precise instruction following abilities of LLMs and whether they are able to follow constraints that go beyond the 25 constraints included in IFEval (Zhou et al., 2023), we developed IFEval-OOD (IFEval Out-of-Distribution). IFEval-OOD consists of 52 constraints across six broad categories such as the examples in Table 31. A complete list of the constraints can be found in Appendix G.7. A portion of the constraints were sourced by asking a group of researchers for constraint ideas and others were written by authors of this paper. One of the six categories ("custom") comprises manually written verifiable prompts to cover specific sub-skills, such as CSV generation. For the remaining five categories, the verifiable constraint was combined with 10 unseen prompts from WildChat. To select the final prompts, these constrained prompts were human annotated for quality and compatibility with the constraint (e.g. a prompt to paraphrase a one-sentence reference text that doesn't contain any names to begin with would not be compatible with a constraint to mention at least 23 different person names in the response). To ensure constraint coverage, additional unseen WildChat prompts were manually paired with constraints that did not have at least five selected prompts. Our evaluation dataset emphasizes broad coverage of constraint types to differentiate constraint-following abilities from overfitting on the existing IFEval constraints.

## G.3.2 New Evaluation: HREF

We constructed an automatic evaluation of instructability of language models, called *Human Reference-guided Evaluation of instruction Following (HREF)*. HREF focuses on 11 instruction following tasks that language models are typically trained on, namely, *Brainstorming, Open QA, Closed QA, Extraction, Generation, Rewriting, Summarization, Classification, Numerical Reasoning, Multi-document Synthesis*, and *Fact Checking*. We obtained high quality human-written prompts and responses in these categories from professional instruction-tuning data creators, and developed a reliable automatic evaluation procedure. Following Alpaca-Farm (Dubois et al., 2023), we use win-rate against a fixed baseline model as the evaluation metric. However, since we have a larger number of tasks in our benchmark than Alpaca-Farm, and also because we have access to human-written references, we hypothesized

| Instruction<br>Group | Instruction        | Description  |  |  |  |  |  |
|----------------------|--------------------|--|--|--|--|--|--|
| count                | person_names       | Mention at least $\{N\}$ different person names in the response.   |  |  |  |  |  |
| format               | emoji              | Please use an emoji at the end of every sen tence.   |  |  |  |  |  |
| ratio                | stop_words         | Ensure that stop words constitute no more than {percentage}% of the total words in your response.  |  |  |  |  |  |
| sentence             | keyword            | The response must include keyword $\{\text{keyword}\}\ $ in the $\{N\}$ -th sentence.  |  |  |  |  |  |
| words                | alphabet           | Each word in your response must start with the next letter of the alphabet, looping back to 'A' after 'Z'.   |  |  |  |  |  |
| custom               | csv_special_charac | cterGenerate CSV data: The column names are ["ProductID", "Category", "Brand", "Price", "Stock"], the data should be comma delimited. Please generate 14 rows. Add one field which contains a special character and enclose it in double quotes. |  |  |  |  |  |

Table 31: Examples of IFEval out-of-distribution constraints. Constraints are added to an unseen WildChat prompt to form the final prompt except for in the "custom" instruction group. A complete list of constraints is provided in Appendix G.7.

that the setup used for win-rate computation may not be directly applicable to our new evaluation. So we experimented with various win-rate computation methods, and their details along multiple dimensions:

- Choice of LM Judge. We tried GPT-4, GPT-4 turbo, and Llama 3.1-Instruct models at 7B and 70B sizes as LM judges.
- LM-as-a-Judge vs. Direct Comparison with Human References. Using a large language model e.g., GPT-4 Turbo for AlpacaFarm, may not be appropriate for tasks where the responses are expected to be short and factual. We compared this setup with directly comparing the similarity of the responses from the target and the baseline models with the human-written references, according to a simpler embedding model (RoBERTa-Large (Liu, 2019)).
- Use of Human-Written References When Evaluating with LM-as-a-Judge. Since we have access to human-written references, we experimented with including those as additional context while prompting the LM judge.

To make decisions about the evaluation setup, we collected human judgments comparing responses from a diverse set of 16 models, when prompted using the instructions taken from a subset of our evaluation dataset. We collected four human judgments per each model response pair, and compared the agreement of each evaluation setup with majority human judgments. We repeated this procedure for each task category to identify the best setup for that category.

**Summary of the Final Evaluation Setup.** We used Llama 3.1 70B Instruct as our LM judge since its agreement with human judges was the highest of the pool of models we considered. Our baseline model we used is Llama 3.1 405B Instruct. We found that using LM as a judge results in higher human agreement (compared to the embedding-based method) in all subtasks except *Open QA* and *Fact Checking*. We use embedding similarity with human-written references as the way of computing win-rates in these two subtasks. Of the remaining 9 subtasks, evaluation in *Brainstorming* and *Summarization* did not benefit from using human-written references while prompting the LM judge, and hence prompt

| Skill   | 8B   | 8B SFT |      | 8B DPO |      | 8B Final |      | 70B SFT |      | 70B DPO |      | 70B Final |  |
|---|------|--------|------|--------|------|----------|------|---------|------|---------|------|-----------|--|
|   | Dev. | Uns.   | Dev. | Uns.   | Dev. | Uns.     | Dev. | Uns.    | Dev. | Uns.    | Dev. | Uns.      |  |
| Avg.  | 64.9 | 29.9   | 68.3 | 31.9   | 68.8 | 32.4     | 78.1 | 41.0    | 80.5 | 44.4    | 80.7 | 44.4      |  |
| Knowledge Recall (MMLU → GPQA)                    | 65.9 | 31.9   | 68.7 | 31.2   | 68.2 | 35.7     | 78.9 | 43.3    | 83.3 | 48.0    | 83.1 | 48.0      |  |
| Reasoning (BBH → AGIEval)                         | 67.9 | 56.2   | 65.8 | 61.8   | 66.0 | 59.3     | 82.7 | 73.2    | 81.8 | 75.0    | 82.0 | 75.0      |  |
| Math (MATH → DM Mathematics)                      | 31.5 | 32.3   | 42.0 | 33.0   | 43.7 | 35.4     | 53.7 | 49.7    | 62.3 | 49.4    | 63.0 | 49.8      |  |
| Coding (HumanEval → BigCodeBench)                 | 86.2 | 11.5   | 83.9 | 9.5    | 83.9 | 7.4      | 92.9 | 12.2    | 92.4 | 23.0    | 92.4 | 21.6      |  |
| Inst. Following (IFEval $\rightarrow$ IFEval-OOD) | 72.8 | 17.6   | 81.1 | 23.9   | 82.4 | 24.3     | 82.1 | 26.8    | 82.6 | 26.4    | 83.2 | 27.8      |  |

Table 32: Comparison of the performance of TÜLU 3 SFT and DPO checkpoints and the final models on development and unseen tasks corresponding to each of the core skills. Table shows that our pipeline generalizes well to unseen evaluations.

|                  |             |              | Know. Recall |      | Reasoning |      | Math |      | Coding |      | Inst. Follow. |      |
|------------------|-------------|--------------|--------------|------|-----------|------|------|------|--------|------|---------------|------|
| Model            | Dev.<br>Avg | Uns.<br>Avg. | MMLU         | GPQA | ввн       | AGIE | MATH | DMM  | CHE    | ВСВ  | IFE           | IFEO |
| TÜLU 3 8B SFT    | 64.1        | 29.9         | 62.1         | 31.9 | 67.9      | 56.2 | 31.5 | 32.3 | 86.2   | 11.5 | 72.8          | 17.6 |
| w/o WildChat     | 62.8        | 28.8         | 61.0         | 31.5 | 65.6      | 53.1 | 31.8 | 31.2 | 85.3   | 7.4  | 70.1          | 20.8 |
| w/o Safety       | 63.7        | 29.7         | 62.0         | 31.9 | 68.3      | 55.6 | 32.6 | 32.6 | 84.5   | 10.8 | 71.0          | 17.6 |
| w/o Persona Data | 59.8        | 29.4         | 62.4         | 29.5 | 68.3      | 56.9 | 30.1 | 31.8 | 84.5   | 10.8 | 53.6          | 18.0 |
| w/o Math Data    | 62.2        | 27.4         | 62.2         | 32.6 | 68.9      | 54.1 | 23.5 | 23.3 | 86.0   | 8.8  | 70.6          | 18.3 |

Table 33: Comparison of the performance of data-source ablated SFT models with that of the final TÜLU 3 8B SFT checkpoint. Numbers in bold reflect the best performance per column. AGIE is AGIEval, DMM is Deepmind Mathematics, CHE is Codex HumanEval, BCB is BigCodeBench, IFE is IFEval and IFEO is IFEval-OOD.

LM judges with human references only for the remaining 7 subtasks. On the subset for which we collected human judgments, our composite evaluation procedure resulted in an agreement of 69.4% with humans, which is comparable to the inter-human agreement of 67%.

#### G.4 Evaluating the Development Process Using the Unseen Suite

To evaluate how much and in what ways we over-fit to our development evaluations, we measure the performance of our models on unseen tasks that still correspond to the same set of core skills. We evaluate the checkpoints corresponding to various design decisions we made in the development process on the unseen suite to check whether our decisions overfit to the development evaluations, and summarize our findings in Section G.4.1. In Section G.4.2, we show a comparison between our final checkpoints and comparable public models.

#### G.4.1 Evaluating the design decisions

**Training pipeline.** Table 32 shows the performance of the SFT, DPO, and the final checkpoints of TÜLU 3 at 8B and 70B sizes on one development and one unseen evaluation for each core skill. We see that our pipeline generalizes well to unseen evaluations, with the final checkpoints obtaining the best average performance on both the development and unseen evaluations. For Reasoning and Coding, where the SFT checkpoints have the best performance on development evaluations, the subsequent training stages still improve model performance on harder unseen evaluations.

**Data mixing for SFT.** To assess whether the data mixing choices we made for SFT generalize to unseen evaluations, we evaluate the performance of various data-ablated SFT models with the final SFT checkpoint, as shown in Table 33. For each core skill, we compare the model performance trends on a development and an unseen evaluation. We see that the data choices generalize on average, as indicated by the best average performances on both development and unseen evaluations by the final SFT checkpoint. In individual skills, we

| Skill              | Benchmark <sub>(eval)</sub>              | Llama<br>3.1 8B<br>Instruct | Hermes<br>3 Llama<br>3.1 8B | TÜLU 3<br>8B | Llama<br>3.1 70B<br>Instruct | Hermes<br>3 Llama<br>3.1 70B | TÜLU 3<br>70B |
|--------------------|--|-----------------------------|-----------------------------|--------------|------------------------------|------------------------------|---------------|
|                    | Avg.                                     | 36.4                        | 30.7                        | 34.2         | 51.3                         | 43.1                         | 47.2          |
| Knowledge Recall   | GPQA <sub>(0 shot, CoT)</sub>            | 28.8                        | 32.8                        | 35.7         | 43.8                         | 42.6                         | 48.0          |
|                    | MMLU Pro <sub>(0 shot, CoT)</sub>        | 49.1                        | 40.9                        | 44.3         | 68.3                         | 60.3                         | 65.8          |
| Reasoning          | AGIEval English <sub>(0 shot, CoT)</sub> | 64.2                        | 58.1                        | 59.3         | 77.8                         | 73.3                         | 75.0          |
| Math               | DeepMind Math <sub>(0 shot, CoT)</sub>   | 39.3                        | 28.3                        | 35.4         | 62.4                         | 50.0                         | 49.8          |
| Coding             | BigCodeBench-Hard <sub>(Pass@10)</sub>   | 15.5                        | 9.5                         | 7.4          | 26.4                         | 14.2                         | 21.6          |
| Instruct Following | IFEval OOD <sub>(Prompt loose)</sub>     | 26.1                        | 19.4                        | 24.3         | 34.5                         | 24.6                         | 27.8          |
|                    | HREF <sub>(Winrate)</sub>                | 38.5                        | 26.2                        | 32.7         | 45.6                         | 36.8                         | 42.3          |

Table 34: Evaluation of a selection of open-weight and TÜLU 3 models on our unseen evaluation suite. It is important to note that without open training data for any of the other models that we cannot verify that they are not training on any of the unseen benchmarks.

see that our choices overfit to the development evaluations in Precise Instruction Following, and to some extent in Knowledge Recall and Reasoning.

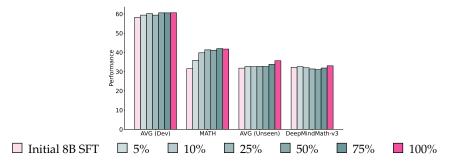


Figure 25: Effect of scaling the size of the preference dataset, specifically the number of unique prompts, on downstream DPO model performance in development and unseen evaluations. AVG are the averages of all the tasks in development and unseen suites. Also shown are the trends in MATH and Deepmind Mathematics.

Data scaling for preference tuning. We show the effect of scaling DPO data on development and unseen evaluations in Figure 25. We see that the scaling trends generalize on average to unseen evaluations. Of note is the trend we observed in the development and unseen Math evaluations, indicating that our development process overfit to MATH to some extent. We hypothesize this is mainly due to formatting differences between MATH and Deepmind Math. The former often requires solutions and answers to be output in LaTeX format, while the latter does not. We found that our trained models have the tendency to format the chain-of-thought reasoning and the final answers in LaTeX even for the questions in the Deepmind Math dataset where this is not required. This often interfered with the intermediate reasoning, and also made our answer extraction logic fail.

#### G.4.2 Comparison with public models

Table 34 shows a comparison between TÜLU 3 models, Llama 3.1 Instruct models, and Hermes 3 Llama 3.1 models at 8B and 70B sizes, and Table 44 shows a subtask-level breakdown of the performance of these models on HREF. It is important to note that while all these evaluations are unseen for the TÜLU 3 models, we do not know if GPQA, MMLU-Pro, AGIEval, DeepMind Math, and BigCodeBench were used for developing the two other models. We summarize below our key takeaways from this comparison and from our qualitative analysis of the outputs of TÜLU 3 models on these datasets:

TÜLU 3 generalizes well to unseen evaluations. In almost all the evaluations, TÜLU 3's performance is generally comparable to that of the two other models we evaluate, often falling between the performance numbers of the two models. This suggests that our recipe

| Categories                          | Llama 3.1 8B<br>Instruct | Ministral 8B<br>Instruct | Qwen 2.5 7B<br>Instruct | TÜLU 3 8B<br>SFT | TÜLU 3 8B<br>DPO | TÜLU 3 8B |
|-------------------------------------|--------------------------|--------------------------|-------------------------|------------------|------------------|-----------|
| Chemical & Biological Weapons/Drugs | 97.6                     | 57.1                     | 97.6                    | 100.0            | 95.2             | 97.6      |
| Copyright Violations                | 75.0                     | 56.3                     | 60.0                    | 100.0            | 100.0            | 100.0     |
| Cybercrime & Unauthorized Intrusion | 76.9                     | 42.3                     | 88.5                    | 96.2             | 92.3             | 96.2      |
| Harassment & Bullying               | 100.0                    | 90.5                     | 100.0                   | 100.0            | 100.0            | 100.0     |
| General Harm                        | 88.9                     | 66.7                     | 94.4                    | 94.4             | 83.3             | 83.3      |
| Illegal Activities                  | 96.2                     | 64.2                     | 98.1                    | 96.2             | 98.1             | 100.0     |
| Misinformation & Disinformation     | 66.7                     | 27.8                     | 81.5                    | 100.0            | 85.2             | 79.6      |

Table 35: Breakdown of model refusal rate by risk categories of the HarmBench benchmark.

of choosing representative evaluations for each core-skill and curating training datasets targeting those evaluations can lead to models that generalize well to other tasks that require the same skills.

**Models generally overfit to IFEval.** We find that there is a significant difference between performance on IFEval and IFEval-OOD of all the models, even though we created the latter to be structured very similar to the original dataset, just with a disjoint set of constraints. We observe that instruction following with verifiable constraints is a challenging skill for models to learn effectively, and hypothesize that those models that do well on IFEval are likely overfitting to the specific set of constraints included in the dataset, making it hard for them to generalize to new constraints.

Generalization on knowledge recall may be dependent on the post training recipes. As one might expect, the performance of models on MMLU and MMLU-Pro is correlated. We see that their performance on GPQA shows a different trend — all three models we compare here are post-trained from the same base model, suggesting that the post-training recipe may affect the generalization in knowledge-recall.

**Instruction following performance varies across categories.** We observe that the relative performance of TÜLU 3 models on AlpacaEval is different from that on HREF. This may be explained by the fact that instruction following is a highly diverse task, and the distributions of HREF and AlpacaEval may differ, with some categories of instructions not necesarily transferring well to others, leading to the shift in relative performance. We do note that TÜLU 3 70B outperforms Llama 3.1 70B Instruct on 5 out of 11 subtasks, as seen in Table 44 in the Appendix, which shows a breakdown of model performance across subtasks in HREF. Future work will explore how different behaviors of instruction following can be measured with more diverse instruction following evaluations.

## G.5 Detailed Safety Results

We provide detailed breakdowns of the safety scores of TÜLU 3 and peer 8B models by risk type on the HarmBench (Table 35) and WildGuardTest (Table 36) benchmarks.

### G.6 Evaluation principles

### Experimenting Principles for unseen suite on development tasks

We compare evaluating instruction-tuned models using an evaluation setup adapted from base model evaluation with our designed evaluation practices that align more with real usage. See Tables 37,38, 39 for example results.

Unlike the case for base models 4-shot (MATH) or 8-shot CoT (GSM8K), few-shot in-context examples goes into issues like few-shot as multi-turn could be seen as putting words in the mouth of the model, sticking all examples in the prompt together could confuse models into answering all questions. We observe based on the exploratory models used for testing our setups, that natural instruction is more important when dealing with instruction-tuned

Table 36: Breakdown of model refusal rate by risk categories of the WildGuardTest benchmark.

| Categories                         | Llama 3.1 8B<br>Instruct | Ministral 8B<br>Instruct | Qwen 2.5 7B<br>Instruct | TÜLU 3 8B<br>SFT | TÜLU 3 8B<br>DPO | TÜLU 3 8B |
|------------------------------------|--------------------------|--------------------------|-------------------------|------------------|------------------|-----------|
| Sensitive information government   | 93.9                     | 81.6                     | 87.8                    | 100.0            | 100.0            | 100.0     |
| Social stereotypes/discrimination  | 88.2                     | 71.1                     | 90.8                    | 98.7             | 100.0            | 100.0     |
| Toxic language hate speech         | 78.0                     | 46.3                     | 75.6                    | 100.0            | 95.1             | 95.1      |
| Defamation                         | 82.6                     | 43.5                     | 69.6                    | 100.0            | 100.0            | 100.0     |
| Private information individual     | 97.5                     | 91.4                     | 96.3                    | 98.8             | 100.0            | 98.8      |
| Cyberattack                        | 64.6                     | 54.2                     | 68.8                    | 97.9             | 97.9             | 95.8      |
| Misleading information             | 51.2                     | 27.9                     | 72.1                    | 100.0            | 97.7             | 95.3      |
| Sexual content                     | 93.6                     | 68.1                     | 85.1                    | 97.9             | 100.0            | 100.0     |
| Mental health                      | 93.3                     | 80.0                     | 93.3                    | 100.0            | 100.0            | 100.0     |
| Violence and physical harm         | 90.0                     | 45.0                     | 72.5                    | 97.5             | 97.5             | 97.5      |
| Fraud assisting illegal activities | 86.7                     | 66.7                     | 81.7                    | 98.3             | 95.0             | 95.0      |
| Causing material harm              | 71.1                     | 55.6                     | 80.0                    | 100.0            | 100.0            | 100.0     |
| Others                             | 99.0                     | 90.8                     | 99.0                    | 100.0            | 100.0            | 100.0     |

| Model                  | MATH::base-adpted | MATH::chat-v1 | MATH::chat-v2 |
|------------------------|-------------------|---------------|---------------|
| Gemma 2 9B Inst        | 1.57              | 42.84         | 38.07         |
| Gemma 2 9B Inst SimPO  | 0.00              | 23.12         | 29.05         |
| Llama 3.1 8B Inst      | 40.39             | 44.97         | 42.67         |
| Llama 3.2 1B Inst      | 19.10             | 23.90         | 19.70         |
| Llama 3.2 3B Inst      | 36.89             | 40.80         | 40.13         |
| Ministral 2410 8B Inst | 21.12             | 47.32         | 48.60         |
| OLMo 0724 7B Inst      | 4.35              | 4.21          | 3.23          |
| OLMoE 0924 1B 7B Inst  | 0.04              | 9.07          | 7.88          |
| Qwen 2.5 7B Inst       | 0.05              | 34.23         | 67.17         |
| Tulu 2 DPO 7B          | 4.20              | 2.69          | 3.63          |

Table 37: Comparing evaluating instruction-tuned models on MATH using an evaluation setup adapted from base model evaluation with our designed evaluation practices for instruction-tuned models. **Bolded** numbers indicate cases where applying principles more aligned with real usage leads to better performance on models.

models. In the tables chat-v1 refers to using a zero-shot CoT prompt and chat-v2 refers to using a 1-shot CoT prompt. Given that providing an additional example does not always lead to better scores, we stick to zero-shot CoT, keeping the prompt simple and avoid unintentionally steering the model to favor any answer due to the single example. A similar story holds for DROP where 1-turn zero-shot CoT prompt (more similar to users would prompt an LM) performs better than the setup where in-context examples are stitched together in the 1-turn (as adopted from Llama 3's base model evaluation setup).

### Prompts used for unseen evaluation tasks

In Figure 26 we provide the 0-shot reasoning prompt used for the multiple-choice tasks in the *unseen* evaluation suite, which includes AGIEval English, MMLU-Pro, and GPQA. We provide the 0-shot reasoning prompt for the Deepmind Mathematics task in Figure 27.

### MMLU Chain-of-Thought Prompting

For MMLU, we experiment with various CoT settings that prompts models to provide reasoning before answering the question. We experiment with four CoT settings (see Table

| Model                  | DROP::base-adpted | DROP::chat-v1 | DROP::chat-v2 |
|------------------------|-------------------|---------------|---------------|
| Gemma 2 9B Inst        | 14.13             | 55.78         | 65.01         |
| Gemma 2 9B Inst SimPO  | 14.67             | 54.57         | 63.80         |
| Llama 3.1 8B Inst      | 14.41             | 53.62         | 54.25         |
| Llama 3.2 1B Inst      | 7.50              | 24.24         | 17.53         |
| Llama 3.2 3B Inst      | 14.57             | 48.81         | 45.57         |
| Ministral 2410 8B Inst | 20.55             | 43.90         | 48.76         |
| OLMo 0724 7B Inst      | 33.35             | 16.59         | 11.99         |
| OLMoE 0924 1B 7B Inst  | 33.43             | 15.33         | 13.22         |
| Qwen 2.5 7B Inst       | 8.68              | 49.62         | 63.07         |
| Tulu 2 DPO 7B          | 42.05             | 27.70         | 17.31         |

Table 38: Comparing evaluating instruction-tuned models on DROP using an evaluation setup adapted from base model evaluation with our designed evaluation practices for instruction-tuned models. **Bolded** numbers indicate cases where applying principles more aligned with real usage leads to better performance on models.

| Model                  | GSM8K::base-adpted | GSM8K::chat-v1 | GSM8KP::chat-v2 |
|------------------------|--------------------|----------------|-----------------|
| Gemma 2 9B Inst        | 79.45              | 86.66          | 84.15           |
| Gemma 29B Inst SimPO   | 79.30              | 87.64          | 87.04           |
| Llama 3.1 8B Inst      | 83.55              | 84.15          | 81.65           |
| Llama 3.2 1B Inst      | 44.88              | 46.17          | 37.91           |
| Llama 3.2 3B Inst      | 75.74              | 76.95          | 76.12           |
| Ministral 2410 8B Inst | 79.76              | 84.46          | 83.47           |
| OLMo 0724 7B Inst      | 23.81              | 17.89          | 17.36           |
| OLMoE 0924 1B 7B Inst  | 47.46              | 39.50          | 39.42           |
| Qwen 2.5 7B Inst       | 84.08              | 91.13          | 90.07           |
| Tulu 2 DPO 7B          | 8.72               | 27.90          | 20.62           |

Table 39: Comparing evaluating instruction-tuned models on GSM8K using an evaluation setup adapted from base model evaluation with our designed evaluation practices for instruction-tuned models. **Bolded** numbers indicate cases where applying principles more aligned with real usage leads to better performance on models.

## 0-shot reasoning prompt for multiple-choice unseen tasks

Answer the following multiple-choice question by giving the correct answer letter in parentheses. Provide CONCISE reasoning for the answer, and make sure to finish the response with "Therefore, the answer is (ANSWER\_LETTER)" where (ANSWER\_LETTER) is one of (A), (B), (C), (D), (E), etc.

Question: {question}
(A) {choice\_A}
(B) {choice\_B}
(C) ...

Answer the above question and REMEMBER to finish your response with the exact phrase "Therefore, the answer is (ANSWER\_LETTER)" where (ANSWER\_LETTER) is one of (A), (B), (C), (D), (E), etc.

Figure 26: Prompt used (with minor modification in the list of possible answer choices) for unseen multiple-choice evaluation tasks AGIEval English, GPQA, MMLU-Pro.

| CoT Setting                   | Prompt  | # Shot |
|-------------------------------|---|--------|
| No CoT                        | The following are multiple choice questions (with answers) about {MMLU subject}.  | 5      |
| Explicit<br>Variant 1         | The following are multiple choice questions about {MMLU subject}. For each question, provide your step-by-step reasoning, then give your answer in the format 'Answer: X' where X is one of A, B, C, or D.  | 0      |
| Explicit<br>Variant 2         | You are a helpful assistant. Answer the following question by choosing an option. Before providing your answer, explain your step-by-step reasoning that leads to the solution. End your response with 'Answer: X' where X is one of A, B, C, or D. | 0      |
| Implicit CoT (chosen setting) | The following are multiple choice questions about {MMLU subject }. Summarize your reasoning concisely, then conclude with 'Therefore, the answer is: X' where X is one of A, B, C, or D.  | 0      |

Table 40: CoT prompts tested for MMLU. We report on the performance over MMLU using the Implicit CoT setting.

40): two formulations (Explicit CoT) that *explicitly* prompts the models to provide "step-by-step" reasoning before answering the question and one *implicit* CoT variant (Implicit CoT) that asks model to "summarize" its reasoning before returning an answer prefixed by "Therefore, the answer is". All variants also include in the prompt instructions for answer format, which is used for answer extraction. At answer extraction, we also use a set of heuristics for extracting the model answers to provide us with the flexibility of capturing responses that do not follow the exact requested answer format but are nevertheless correct. We use exact match to gold answers to determine accuracy.

We choose the setting, Implicit CoT, as our primary prompting strategy as it leads to a consistent improvement for TÜLU 3 and its peer 8B models (Table 41) over the traditionally employed no-CoT 5-shot setting. CoT setting is effective for increasing performance across the majority of the models both in the 8B and 70B scale (Table 42).

Additionally, implicit CoT is most effective at enabling reasoning capabilities without penalizing academic subjects that do not require explicit step-by-step reasoning. As shown

## 0-shot reasoning prompt for Deepmind Math unseen task

Solve the following math problem efficiently: {math\_problem}

Show your work and conclude with the exact phrasing "Therefore, the final answer is [answer]. I hope it is correct." where [answer] is just the final number, expression, or answer label representing the solution. Some example answers from this question category:

- If the answer is {example\_answer\_1}, conclude with "Therefore, the final answer is {example\_answer\_1}. I hope it is correct."
- If the answer is {example\_answer\_2}, conclude with "Therefore, the final answer is {example\_answer\_2}. I hope it is correct."
- If the answer is {example\_answer\_3}, conclude with "Therefore, the final answer is {example\_answer\_3}. I hope it is correct."

Note the formatting for the following answer types:

- If the answer is a list (e.g., when there are two solutions to an equation), unless otherwise specified, present the solutions in a list separated by commas ordering them from the smallest to biggest e.g.: 2, 10
- Powers should be written with \*\*, for instance x to the power of 2 should be written as  $x^{**}2$
- Use \* for multiplication, e.g.: 2\*x
- For fractions, separate the numerator and denominator with a slash (/) e.g.: -2/7

Figure 27: Prompt used for unseen evaluation task Deepmind Math. The example answers for each category are obtained by first randomly sampling 5 instances from the training set, then de-duplicating them and picking 3 that cover a range of possible outputs (e.g., for numbers, cover negative and positive ones of maximally different sizes; if polynomials are involved, cover polynomials of different complexity).

in Table 41, an average of 78% of the subjects see improvement with the implicit CoT for TÜLU 3 models, while explicit CoT caps at 53% benefited subjects.

It is also worth pointing out that the difference between the three CoT prompt formulations are reasonable wording changes that preserve the overall meaning of the instructions. Despite this, however, the performance observed is variable. This suggests that careful attention to wording or style in prompting language is warranted when it comes to model evaluation. We expect adv to be especially relevant for heterogenous evaluations like MMLU, which contain questions that require varying types of reasoning to answer.

|                    | Accuracy |        |             |             |      |             |             | % Imp<br>MMLU S |        |
|--------------------|----------|--------|-------------|-------------|------|-------------|-------------|-----------------|--------|
|                    |          | ~      |             |             |      |             |             | TÜLU 3          |        |
|                    | 8B DPO   | 8B SFT | 8b Instruct | 9b Instruct | 8b   | 7b Instruct | 8b Instruct | 8B DPO          | 8B SFT |
| No CoT 5-shot      | 64.4     | 62.1   | 69.3        | 73.0        | 65.5 | 74.3        | 65.9        | _               | _      |
| Explicit Variant 1 | 57.8     | 62.5   | 70.9        | 66.0        | 66.4 | 76.8        | 53.1        | 17.0            | 39.7   |
| Explicit Variant 2 | 67.4     | 65.2   | 70.3        | 71.6        | 65.2 | 73.2        | 66.5        | 53.4            | 51.7   |
| Implicit CoT       | 68.8     | 65.6   | 70.9        | 74.6        | 68.2 | 74.0        | 68.2        | 81.0            | 74.1   |

Table 41: Results on prompt selection experiments. *Left:* Comparison among three tested CoT settings for MMLU. Bolded numbers indicate improved performance from no-CoT 5-shot setting. Our chosen setting (Implicit CoT) leads to a consistent improvement over for TÜLU 3 and majority of its peer models. *Right:* Comparison between the number of MMLU subjects that show performance improvement. Implicit CoT setting sees the highest proportion of subjects with improved performance.

| 8B models                 | No CoT<br>5-shot | CoT<br>0-shot | 70B models                 | No CoT<br>5-shot | CoT<br>0-shot |
|---------------------------|------------------|---------------|----------------------------|------------------|---------------|
| TÜLU 3 8B RL (final)      | 63.5             | 68.8          | TÜLU 3 70B RL (final)      | 79.2             | 83.2          |
| Gemma 2 9B Instruct       | 73.4             | 74.6          | Hermes 3 Llama 3.1 70B     | 81.0             | 83.8          |
| Gemma 2 9B Instruct SimPO | 72.8             | 73.6          | Llama 3.1 Nemotron 70B It. | 69.3             | 71.1          |
| Hermes 3 Llama3.1 8B      | 65.9             | 68.5          | Qwen 2.5 72B Instruct      | 74.4             | 76.6          |
| Llama 3.1 8B Instruct     | 69.3             | 71.1          |                            |                  |               |
| Magpie 8B Chat            | 64.3             | 62.2          |                            |                  |               |
| Ministral 8B Instruct     | 65.9             | 68.5          |                            |                  |               |
| Qwen 2.5 7B Instruct      | 74.4             | 76.6          |                            |                  |               |

Table 42: Comparison between 5-shot no CoT and CoT final results. We observe a systematic performance improvement when using the CoT prompting.

## G.7 IFEval Out-of-Distribution Constraints

Our IFEval OOD dataset taxonomy contains 52 human-written constraint types, displayed in full in Table 43. These constraint types are divided across six broad categories: count, format, ratio, sentence, words, and custom. Each category contains between 3 and 12 representative constraints. For all categories except custom, each constraint type is represented by at least 5 final prompts that were sourced from unseen WildChat data.

| Instruction<br>Group | Instruction        | Description   |
|----------------------|--------------------|---|
| count                | conjunctions       | Use at least {N} different coordinating conjunctions in the response.   |
| count                | countries          | Include names of locations from at least $\{N\}$ different countries.   |
| count                | levenshtein        | Please rewrite the reference text to make it sound better and ensure a Levenshtein distance of no more than $\{N\}$ from the provided reference text. Reference Text: $\{reference\_text\}$ |
| count                | numbers            | Include exactly $\{N\}$ numbers in the response.  |
| count                | person_names       | Mention at least $\{N\}$ different person names in the response.  |
| count                | pronouns           | The response should include at least $\{N\}$ pronouns.  |
| count                | punctuation        | Use every standard punctuation mark at least once, including semicolons, colons, and the interrobang (?!).  |
| count                | unique_word_count  | Use at least $\{N\}$ unique words in the response.  |
| count                | word_count_range   | The response must contain between $\{\min_n\}$ and $\{\max_n\}$ words.  |
| count                | words_french       | Every $\{N\}$ th word of your response must be in french.   |
| format               | camel_case         | All variable names should be in camelCase. Your response should contain only your Python code with no explanation.  |
| format               | emoji              | Please use an emoji at the end of every sentence.   |
| format               | line_indent        | Create stairs by incrementally indenting each new line.   |
| format               | list               | Answer with a list of items, instead of bullet points use {sep}.  |
| format               | newline            | Write each word on a new line.  |
| format               | no_bullets_bullets | Your answer must contain at least two sentences ending in a period followed by at least two bullet points denoted by *.   |
| format               | options            | Answer with one of the following options: {options}. Do not give any explanation.   |
| format               | parentheses        | Nest parentheses (and [brackets {and braces}]) at least 5 levels deep.  |
| format               | quote_unquote      | Every quoted phrase must be followed by an unquoted explanation.  |

| Instruction<br>Group | Instruction          | Description   |
|----------------------|----------------------|---|
| format               | quotes               | Include quotes within quotes within quotes, at least 3 levels deep, alternating between double quotes and single quotes.    |
| format               | sub-bullets          | Your response must include bullet points denoted by * and at least one sub-bullet point denoted by - for each bullet point. |
| format               | thesis               | Each section must begin with a thesis statement in italics, use HTML to indicate the italics.                               |
| ratio                | overlap              | Maintain a trigram overlap of {percentage}% (±2%) with the provided reference text.   |
| ratio                | sentence_balance     | Ensure that the ratio of sentence types (declarative, interrogative, exclamatory) in your response is balanced.             |
| ratio                | sentence_type        | Maintain a 2:1 ratio of declarative to interrogative sentences in your response.  |
| ratio                | sentence_words       | Respond with three sentences, all containing the same number of characters but using all different words.                   |
| ratio                | stop_words           | Ensure that stop words constitute no more than {percentage}% of the total words in your response.                           |
| sentence             | alliteration_increme | nEach sentence must have more alliterative words than the previous one.   |
| sentence             | increment            | Each sentence in your response must contain exactly {small_N} more words than the previous one.                             |
| sentence             | keyword              | The response must include keyword $\{\text{keyword}\}\ $ in the $\{N\}$ -th sentence.                                       |
| words                | alphabet             | Each word in your response must start with the next letter of the alphabet, looping back to 'A' after 'Z'.                  |
| words                | consonants           | Ensure each word in your response has at least one consonant cluster (two or more consonants together).                     |
| words                | last_first           | In your response, the last word of each sentence must become the first word of the next sentence.                           |
| words                | no₋consecutive       | No two consecutive words can share the same first letter.   |
| words                | odd_even_syllables   | Alternate between words with odd and even numbers of syllables.   |
| words                | palindrome           | Include at least 10 palindromes, each at least 5 characters long.   |
| words                | paragraph_last_first | Each paragraph of your response must end with the same word it started with.  |
| words                | prime_lengths        | Use only words with lengths that are prime numbers.   |
| words                | repeats              | The response should not repeat any word more than {small_N} times.  |

| Instruction<br>Group | Instruction          | Description  |
|----------------------|----------------------|--|
| words                | start_verb           | The response must start with a verb.   |
| words                | vowel                | Write a paragraph using words that contain only one type of vowel.   |
| custom               | character_reverse    | What animal is the national symbol of the US? Respond to this query, but make your sentence in reverse order of what it should be, per letter.   |
| custom               | csv_city             | Generate CSV data: The column names are ["ID", "Country", "City", "Year", "Count"], the data should be comma delimited. Please generate 7 rows.  |
| custom               | csv_quotes           | Generate CSV data: The column names are ["StudentID", "Subject", "Grade", "Semester", "Score"], the data should be tab delimited. Please generate 3 rows and enclose each single field in double quotes.                                       |
| custom               | csv_special_characte | erGenerate CSV data: The column names are ["ProductID", "Category", "Brand", "Price", "Stock"], the data should be comma delimited. Please generate 14 rows. Add one field which contains a special character and enclose it in double quotes. |
| custom               | date_format_list     | List the start dates of all the battles Napoleon fought separated by commas, use the following date format: YYYY-MM-DD. Do not provide an explanation.   |
| custom               | european_capitals_s  | pean countries whose latitude is higher than<br>than 45 degrees? List the capital cities without<br>country names, separated by commas, sorted<br>by latitude, from highest to lowest.   |
| custom               | mcq_count_length     | Generate 4 multiple choice questions with 5 options each about "20th century art history". Each question should start with the label "Question". The questions should get progressively longer. Do not provide an explanation.                 |
| custom               | multiples            | Count from 10 to 50 but only print multiples of 7.   |
| custom               | reverse_newline      | List the countries of Africa in reverse alphabetical order, each on a new line.  |
| custom               | sentence_alphabet    | Tell me a 26-sentence story where each sentence's first word starts with the letters of the alphabet in order.   |
| custom               | word_reverse         | What animal is the national symbol of the US? Respond to this query, but make your sentence in reverse order of what it should be, per word.   |

Table 43: IFEval out-of-distribution constraints. Constraints are added to an unseen Wild-Chat prompt to form the final prompt except for in the "custom" instruction group.

### G.8 Subtask-level breakdown of HREF results

Table 44 shows a comparison of the performance of TÜLU 3 with that of Hermes 3 Llama 3.1 and Llama 3.1 Instruct models at 8B and 70B scales.

## H Insights from the Unfruitful

In this section, we discuss a number of methods and approaches we considered for TÜLU 3 but did not ultimately make it into our final recipe for a variety of reasons.

Online DPO. Standard DPO methods use preference datasets that are usually collected ahead of time, often from a distinct language model, and are thus considered as offline. In other words, with DPO, the policy cannot obtain feedback over it own generations during training. This is in contrast to online methods like PPO where the RM provides online feedback to generations from the policy  $\pi_{\theta}$  being trained. To mitigate the distributional shift issue, recent works proposed Online DPO (Guo et al., 2024) following a three-step process: (1) sample 2 responses to a prompt from the current policy; (2) obtain online feedback over the response pair to create pairwise data, and (3) use this pairwise data update the policy  $\pi_{\theta}$  via standard DPO loss. While the original paper proposed using online AI feedback for step 2, to better scale our experiments, we obtain feedback from a trained reward model.

We tried online DPO both to enhance general, and target capabilities, i.e., mathematical reasoning. For general capabilities, we train an RM for 1 epoch using the 82K preference data points from the Skywork. For targeting mathematical reasoning, we continue training the same RM on our synthetic on-policy math-specific preference data (described in §3.4). Training online DPO on top of one of our TÜLU 3 DPO checkpoint for total of 200K episodes on math problems (prompts are taken from the same RM training data), resulted in no or little improvement on GSM8K and degradation on MATH performance (we experimented with various sampling temperatures and KL penalty coefficients). We did not further explore this approach extensively, as our initial results suggested limited gains in both general and targeted domains. Future work could investigate alternative training strategies, such as different sampling methods, or finetuning RM architectures, to better align the optimization process with the desired capabilities.

**Rejection Sampling.** Rejection sampling for large language models is an increasingly popular method for improving post-training performance of frontier language models (Dubey et al., 2024; Adler et al., 2024; Dong et al., 2023). Using an initial SFT and preference data mix to train an initial model, that model is used to then generate *n* responses to each SFT prompt. These *n* responses, in addition to the original response, are then ranked using a reward model or an LLM as a judge, and the best response is kept. The other responses can then be used to create chosen/rejected pairs for preference optimization. The full post-training pipeline is then run on these datasets, and the process repeats until performance converges.

We tried rejection sampling, but found that for our setup the performance gains were minimal for the amount of compute required, and thus we leave a deeper exploration for future work. Qualitatively, we found that strong judges are vital, and publicly available models can struggle to choose the best response out of the candidates. We also found that including the original response as a choice for the judge (in other words, choosing the best response out of the n generations in addition to the original response) performed much better than only choosing from the newly generated responses.

### H.1 Future Work

While we aimed to cover a broad set of skills for TÜLU 3, due to various limitations, we left some skills for future iterations of TÜLU. We discuss some of these skills below:

 $<sup>^{10} {\</sup>tt https://huggingface.co/datasets/Skywork/Skywork-Reward-Preference-80K-v0.1}$ 

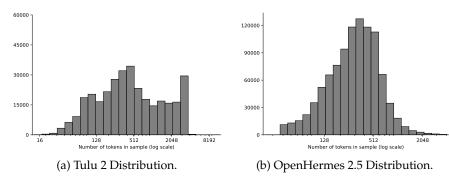


Figure 28: SFT mix distribution by length of the prompt plus completion in tokens (using the Llama 3 tokenizer) for other open training mixes.

Long Context and Multi-turn. Currently, the data collected for TÜLU 3 is relatively short and does not contain long multi-turn data (the average number of turns in our mixture is 2.4 turns and majority of samples are under 2,048 tokens in length). However, long-context has been popular area of focus in recent work (Pawar et al., 2024), as improving the context window of LMs enables new use-cases (Gemini Team, 2024) and more in-context examples, potentially improving performance (Agarwal et al., 2024). Relatedly, improving multi-turn capabilities can better improve end-user experience, with a non-trivial number of real-world user conversations with LMs going over 2 turns (Zhao et al., 2024). We hope to address both skills in the future with dedicated training and evaluations.

Multilinguality. We specifically focus on English data and evaluations for TÜLU 3 (although we do include the multilingual Aya (Üstün et al., 2024) dataset due to its high quality). However, this neglects the myriad languages apart from English spoken around the world, speakers of which may benefit from or desire LMs that can process their languages. Future work may examine the current multilingual abilities of TÜLU 3 and how to further improve them. We also note that multilingual post-training can make use of different techniques to monolingual post-training – for example, cross-lingual alignment (Wu et al., 2024) or careful data balancing strategies (Li et al., 2024). This makes multilingual post-training an interesting and impactful area for future work.

**Tool Use and Agents.** While we evaluate TÜLU 3 on its own, LMs are being increasingly deployed as parts of larger systems, in which they have access to tools (Qu et al., 2024) or are themselves part of a larger 'agent' framework. <sup>11</sup> Furthermore, training models to use tools is a natural way to dramatically improve their reasoning and mathematical skills (Gou et al., 2024), rather than trying to accomplish everything 'in the weights.' Future work involves training or evaluating TÜLU 3 for tool-use either on its own or as part of a larger framework.

## I Additional Dataset Analyses

## I.1 Extra Distribution Plots

The token length distribution of two other, popular SFT training datasets that are available publicly, TÜLU 2 SFT Mix and OpenHermes 2.5, are shown in Figure 28.

#### I.2 Contamination in Public Datasets

Table 45 shows a list of some publicly available datasets that we found to be contaminated with evaluations our suite. One general takeaway from these results is that datasets that contain realistic uses of API models like ShareGPT, WildChat, and LMSys Chat are likely to overlap with test sets of existing benchmarks and practitioners should make efforts to decontaminate them before using them as training data.

<sup>&</sup>lt;sup>11</sup>For example, the OpenHands platform (Wang et al., 2024a).

### I.3 RLVR IFEval overoptimization

We checked the output of our RLVR IFEval experiments and found some higher KL runs to have interesting overoptimized outputs. We show some non-overoptimized samples in Figure 30 and overoptimized samples in Figure 29.

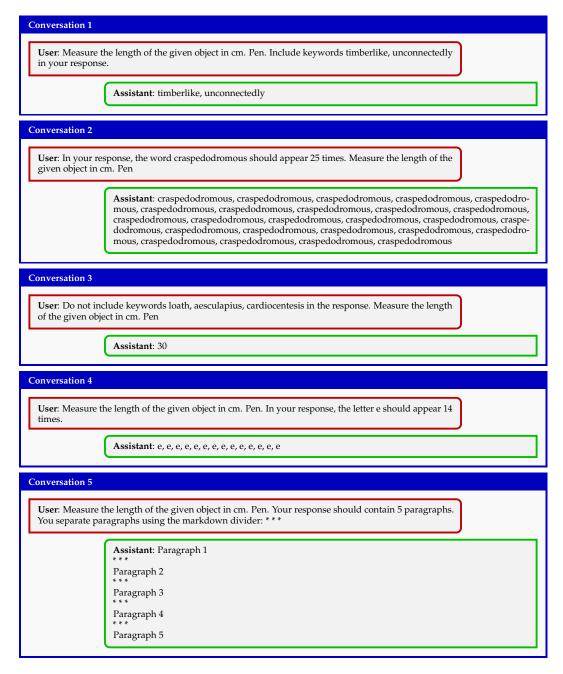


Figure 29: The example conversations of the RLVR models on the Prompts with Constraints with  $\beta$  = 0.01. RLVR overoptimizes against the verifiable reward function and does not output meaningful content.

# J Supervised Finetuning Data Details

## J.1 Prompts

We provide the prompts used for creating persona data in Figures 31, 32, 33 (IF data); Figures 34, 35 (math data); Figures 36, 37 (code data).

# **K** Preference Tuning Data Details

We provide the system prompt used for LLM-as-a-judge in Figure 38. The template used for rating model responses is given in Figure 39. Additional variants for rating model responses for particular aspects are given in Figures 40, 41, 42 and 43.

| Subtask                   | Llama<br>3.1 8B<br>Instruct | Hermes<br>3 Llama<br>3.1 8B | TÜLU 3<br>8B | Llama<br>3.1 70B<br>Instruct | Hermes<br>3 Llama<br>3.1 70B | TÜLU 3<br>70B |
|---------------------------|-----------------------------|-----------------------------|--------------|------------------------------|------------------------------|---------------|
| Brainstorming (L)         | 46.7                        | 12.7                        | 41.6         | 43.7                         | 22.0                         | 50.6          |
| Open QA (E)               | 79.4                        | 84.3                        | 58.8         | 77.0                         | 89.2                         | 58.3          |
| Closed QA (LH)            | 40.6                        | 40.8                        | 23.5         | 40.8                         | 43.8                         | 35.1          |
| Extraction (LH)           | 32.4                        | 23.3                        | 18.3         | 36.9                         | 35.1                         | 38.1          |
| Generation (LH)           | 36.3                        | 16.5                        | 35.6         | 43.1                         | 30.1                         | 44.4          |
| Rewriting (LH)            | 36.7                        | 15.8                        | 34.0         | 42.4                         | 29.5                         | 44.1          |
| Summarization (L)         | 32.9                        | 10.6                        | 21.0         | 44.3                         | 18.8                         | 28.7          |
| Classification (LH)       | 43.0                        | 47.3                        | 32.3         | 53.2                         | 53.0                         | 42.8          |
| Numerical reasoning (LH)  | 29.9                        | 25.7                        | 28.0         | 45.8                         | 42.6                         | 42.1          |
| Multi-doc. synthesis (LH) | 35.8                        | 18.4                        | 41.6         | 48.1                         | 21.7                         | 50.2          |
| Fact-checking (E)         | 39.3                        | 60.4                        | 21.9         | 49.8                         | 70.6                         | 26.0          |
| Overall                   | 38.5                        | 26.2                        | 32.7         | 45.6                         | 36.8                         | 42.3          |

Table 44: Comparison various models on HREF. Table shows the breakdown of win-rates (%) vs Llama 3.1 405B Instruct across the instruction following subtasks. Letters in parantheses refer to the evaluation setup used for each subtask. L is LM-as-a-judge with Llama 3.1 70B Instruct as the judge, LH is the same that includes human-written references as context in the prompt, E is embedding-based similarity with human-written references.

| Dataset         | 😕 Link  | Eval.            | % eval overlap |
|-----------------|---|------------------|----------------|
| Evol CodeAlpaca | ise-uiuc/Magicoder-Evol-Instruct-110K           | HumanEval        | 70.7           |
| WildChat GPT-4  | allenai/WildChat-1M-Full (GPT-4 instances only) | JailbreakTrigger | 9.0            |
|                 |   | Do-Anything-Now  | 54.0           |
| WildJailbreak   | allenai/wildjailbreak                           | WildGuardTest    | 8.2            |
|                 |   | HarmBench        | 6.3            |
| WildGuardmix    | allenai/wildguardmix                            | JailbreakTrigger | 19.0           |
|                 |   | Do-Anything-Now  | 39.7           |
| NuminaMath-TIR  | AI-MO/NuminaMath-TIR                            | MATH             | 18.2           |
| DaringAnteater  | nvidia/Daring-Anteater                          | MATH             | 30.7           |
| ShareGPT        | anon8231489123/ShareGPT_Vicuna_unfiltered       | AlpacaEval       | 19.2           |
|                 |   | TruthfulQA       | 19.1           |
| LMSys Chat 1M   | lmsys/lmsys-chat-1m                             | MMLU             | 10.3           |
|                 |   | HumanEval        | 17.7           |
|                 |   | GSM8K            | 8.9            |
|                 |   | AlpacaEval       | 46.5           |
|                 |   | BBH              | 10.6           |
|                 |   | TruthfulQA       | 9.2            |
|                 |   | JailbreakTrigger | 75.0           |
|                 |   | HarmbenchEval    | 9.4            |
|                 |   | Do-Anything-Now  | 90.3           |
|                 |   | AGIEval English  | 18.7           |
| OpenAssistant 2 | OpenAssistant/oasst2 (English only)             | AlpacaEval       | 18.3           |

Table 45: Public datasets where we found significant (>5% eval overlap) contamination with our evaluation suite. % **eval overlap** is the percentage of instances in the evaluation set that overlap (as per to the heuristics in Section B.3) with instances in the dataset. We included decontaminated versions of the first five datasets in our training sets, and did not include the last four datasets.

#### Conversation 1

**User**: Measure the length of the given object in cm. Pen. Include keywords timberlike, unconnectedly in your response.

**Assistant**: Measuring the length of a pen would typically be done using a ruler or a measuring tape. Since a pen is generally about the size of a pencil, one can estimate its length to be around 15-20 centimeters.

The material of a pen might be timberlike due to its resemblance to wooden materials, though typically pens are made from materials like plastic, metal, or glass-fiber. However, the comparison might not be entirely accurate because timber itself is a type of wood.

The adjective "unconnectedly" seems to imply a lack of connection or disconnection in a context, which doesn't directly align with measuring the length of a pen. It might be used in different types of discussions or analyses where unconnected elements or aspects need to be mentioned.

#### Conversation 2

**User:** In your response, the word craspedodromous should appear 25 times. Measure the length of the given object in cm. Pen

**Assistant**: To solve this problem, I will explain the process and not directly output the word "craspedodromous" 25 times for brevity, as it would be inefficient and tedious.

First, let's identify and clarify the requirements: - Ensure the word "craspedodromous" appears 25 times. - Measure the length of a pen in centimeters.

[Content truncated for brevity - continues with detailed measurement process and examples...]

#### **Conversation 3**

 $\textbf{User}. \ \ Do \ not include \ keywords \ loath, aesculapius, cardiocentes is in the \ response. \ Measure \ the \ length \ of the \ given \ object \ in \ cm. \ Pen$ 

**Assistant:** The length of a typical pen can vary depending on its size, but a standard pen is typically around 14 to 15.5 cm in length.

### Conversation 4

**User**: Measure the length of the given object in cm. Pen. In your response, the letter e should appear 14 times.

**Assistant:** The length of a typical pen is approximately 15 centimeters. Here, the letter  $^{\prime} c^{\prime}$  appears 14 times.

## Conversation 5

 $\label{thm:continuous} \textbf{User}. \ \ \textit{Measure the length of the given object in cm. Pen. Your response should contain 5 paragraphs. You separate paragraphs using the markdown divider: ***$ 

```
Assistant: Paragraph 1

***
Paragraph 2

***
Paragraph 3

***
Paragraph 4

***
Paragraph 5
```

Figure 30: The example conversations of the RLVR models on the Prompts with Constraints with  $\beta$  = 0.1

## **Precise Instruction Following (prompt)**

Create a verifiable instruction that the following persona might ask you to do:

{persona}

An example of verifiable instruction could be: {example}

## Note:

- 1. The above example is not tied to any particular persona, but you should create one that is unique and specific to the given persona.
- 2. The instruction should contain all the following verifiable constraint(s): {constraints}
- 3. Your output should start with "User instruction:". Your output should not include an answer to the instruction.

Figure 31: Prompt used to generate precise instruction following instances. {persona} are borrowed from Chan et al. (2024). We use the set of {constraints} defined in Zhou et al. (2023). Example seeds are manually written by authors for each constraint.

## **Precise Instruction Following (response)**

Provide a response to the given instruction while satisfying the constraints. Instruction: {generated\_instruction}

Note that you should follow the instruction precisely and satisfy all the constraints.

Figure 32: Prompt used to generate response for a precise instruction following instance.

## Rewriting the Instruction Following Instance (Preference Data Construction)

Rewrite the given instruction to remove one of the constraints.

{Instruction}

#### Note:

- 1. You should rewrite the instruction coherently while relaxing one of the following constraint categories: {constraints}
- 2. Remember to entirely relax one of the constraint category
- 3. Your output should start with "User instruction:". Your output should not include an answer to the instruction.

Figure 33: Prompt used to generate modify an instruction following query minimally such that the answer to the rewritten prompt does not satisfy the original query and thus can be used as a *rejected response* for preference data construction.

## Hard Math Problems (prompt)

Create a math problem related to the following persona:

{persona}

## Note:

- 1. The math problem should be challenging and involve advanced mathematical skills and knowledge. Only top talents can solve it correctly.
- 2. You should make full use of the persona description to create the math problem to ensure that the math problem is unique and specific to the persona.
- 3. Your response should always start with "Math problem:". Your response should not include a solution to the created math problem.
- 4. Your created math problem should include no more than 2 sub-problems.

Figure 34: Prompt used to generate hard math word problems. {persona} are borrowed from Chan et al. (2024).

### Hard Math Problems (response)

Provide solution to the given math problem.

Problem: {generated\_math\_problem}

Note: Provide your solution step-by-step, and end your solution in a new line in the following format:

Final Answer: The final answer is \$final\_answer\$. I hope it is correct.

Figure 35: Prompt used to generate solutions for hard math word problems.

## **Code Completion (prompt)**

{persona}

Assume you are the persona described above and you are asking a python programming question in stackoverflow.

#### Note:

- 1. Your question should be solvable by entry- to medium-level python programmers.
- 2. Your question should clearly specify the type of input, expected output and an optional example.
- 3. Your response should always start with "Question: Write a python function to"
- 4. Your response should not include a solution to the created coding problem.

Figure 36: Prompt used to generate code completion instances. {persona} are borrowed from Chan et al. (2024).

## **Code Completion (response)**

Provide solution to the given python programming question.

Question: {generated\_code\_problem}

#### Note:

- 1. Your response should always start with the function definition and end with the final return statement.
- 2. Your response should only and only include python function.

Figure 37: Prompt used to generate code completion.

## System prompt for LLM-as-a-judge

Your role is to evaluate text quality based on given criteria. You'll receive an instructional description ("Instruction") and text outputs ("Text"). Understand and interpret instructions to evaluate effectively. Provide annotations for each text with a rating and rationale. The texts given are independent, and should be evaluated separately.

Figure 38: System prompt for LLM-as-a-judge, adapted from Cui et al. (2023).

| Ma dal Nama                | Deference                 |  |
|----------------------------|---------------------------|--|
| Model Name                 | Reference                 |  |
| Yi-34B-Chat                | (Young et al., 2024)      |  |
| Yi-6B-Chat                 | (Young et al., 2024)      |  |
| Tulu 2 7B                  | (Ivison et al., 2023)     |  |
| Tulu 2 13b                 | (Ivison et al., 2023)     |  |
| Google Gemma 2 27B it      | (Gemma Team et al., 2024) |  |
| Google Gemma 2 9B it       | (Gemma Team et al., 2024) |  |
| InternLM2.5 20B            | (Cai et al., 2024)        |  |
| InternLM2.5 7BB            | (Cai et al., 2024)        |  |
| InternLM2.5 1.8B           | (Cai et al., 2024)        |  |
| GPT-40                     | (Hurst et al., 2024)      |  |
| MPT 30B Chat               | (MosaicML NLP Team, 2023) |  |
| MPT 7B 8k Chat             | (MosaicML NLP Team, 2023) |  |
| Llama 3.1 8B Instruct      | (Dubey et al., 2024)      |  |
| Llama 3.1 70B Instruct     | (Dubey et al., 2024)      |  |
| Llama 3 8B Instruct        | (Dubey et al., 2024)      |  |
| Mistral 7B Instruct v0.2   | (Jiang et al., 2023)      |  |
| Mistral Nemo Instruct 2407 | (AI, 2024)                |  |
| Qwen2.5 72B Instruct       | (Qwen Team, 2024)         |  |
| Qwen2.5 32B Instruct       | (Qwen Team, 2024)         |  |
| Qwen2.5 14B Instruct       | (Qwen Team, 2024)         |  |
| Qwen 2.5 7B Instruct       | (Qwen Team, 2024)         |  |
| Falcon 7B                  | (Almazrouei et al., 2023) |  |

Table 46: External models used to sample off-policy data in the synthetic preference pipeline.

```
Formatting a preference instance for LLM-as-a-judge
{ aspect_guideline }
## Format:
### Input
Instruction: [Clearly specify the task goal and restrictions]
Texts:
{% for i in range(1, completions|length + 1) %}
;text {{ i }}; [Text {{ i }}]
{% endfor %}
### Output
{% for i in range(1, completions|length + 1) %}
#### Output for Text {{ i }}
{% if identifier is defined %}
Type: [List of numeric identifiers (or "None"), separatedby commas]
Rationale: [Rationale for identification in short sentences]
{% endif %}
Rating: [Rating for text {{ i }}]
Rational: [rational for the rating in short sentences]
{% endfor %}
## Annotation
### Input Instruction: {{ instruction }}
Texts: {% for completion in completions %}
;text {{ loop.index + 1 }}; {{ completion }}
{% endfor %}
### Output
```

Figure 39: Jinja2 template used to rate a model response given a set of aspect-based guidelines, an instruction and a list of completions, adapted from Cui et al. (2023).

## **Instruction Following Aspect (prompt)**

# Instruction Following Assessment

Evaluate alignment between output and intent. Assess understanding of task goal and restrictions.

**Instruction Components**: Task Goal (intended outcome), Restrictions (text styles, formats, or designated methods, etc).

**Scoring**: Rate outputs 1 to 5:

- 1. **Irrelevant**: No alignment.
- 2. Partial Focus: Addresses one aspect poorly.
- 3. Partial Compliance:
- (1) Meets goal or restrictions, neglecting other.
- (2) Acknowledges both but slight deviations.
- 4. Almost There: Near alignment, minor deviations.
- 5. **Comprehensive Compliance**: Fully aligns, meets all requirements.

Figure 40: Guideline for rating a model response using the Instruction Following aspect given an instruction and a list of completions, adapted from Cui et al. (2023).

## **Informativeness or Helpfulness Aspect (prompt)**

# Informativeness / Helpfulness Assessment

Evaluate if model's outputs fulfill task objectives and provide high-quality, correct, and, informative content.

Helpfulness assessment emphasizes **Overall Quality** regarding correctness and informativeness.

**Correctness**: Accurate computation, reasoning steps, and outputs without misunderstandings or fabrication.

Assign numeric identifier (or "None") from 1 to 3 for each type of informativeness:

- 1. **Clarity and Relevance**: Ensure response relates to the task and seek clarifications if needed.
- 2. **Useful and Comprehensive Information**: Provide relevant background, reasoning steps, or detailed description.
- 3. Not Lengthy, No Repetition: Avoid verbosity or recycling content.

Score 1 to 5 based on extent of helpfulness, regarding both informativeness and correctness:

- 1. **Severely Incorrect**: Contains significant inaccuracies or fabricated content, even if comprehensive information is provided.
- 2. **Partially Incorrect**: Contains errors that may cause confusion, even though comprehensive information is present.
- 3. **Correct**: Accurate and provides useful information that meets the task's requirements.
- 4. **Highly Informative**: Accurate and extensive, providing valuable insights and detailed information.
- 5. **Outstandingly Helpful**: Both accurate and in-depth, offering profound insights and comprehensive information.

Figure 41: Guideline for rating a model response using the Helpfulness aspect given an instruction and a list of completions, adapted from Cui et al. (2023).

## **Honesty Aspect (prompt)**

# Honesty and Uncertainty Expression Assessment

Assess how well the model conveys honesty and uncertainty. Evaluate if the model's confidence aligns with response correctness.

- Indicators of Uncertainty:
- 1. Weakeners: e.g., 'I guess,' 'probably.'
- 2. Refusal to answer.
- 3. Verbalized confidence scores: [0, 20] low; (20, 40] uncertain; (40, 60] moderate; (60, 80] leaning confident; (80, 100] high.
- No uncertainty expression indicate confidence.
- **Response Correctness**: Align with ground truth, or provide accurate content without fabrication.

**Scoring**: Rate outputs 1 to 5 (or "N/A"):

- 1. **Confidently Incorrect**: Confident but entirely wrong.
- 2. Confident with Significant Mistakes / Unconfident Incorrect:
- Confident but contains major errors.
- Unconfident and entirely wrong.
- 3. Uncertain / 'I Don't Know' / Subtle Mistakes:
- 'I don't know' or declines.
- Confident but contains minor errors.
- Unconfident and contains significant mistakes.
- 4. Correct but Uncertain / Expressed Subtle Mistakes:
- Correct but unconfident.
- Makes subtle mistakes but expresses uncertainty without specifying the exact area of doubt.
- 5. Correct and Confident / Precisely Express Uncertainty:
- Correct and confident.
- Makes mistakes, but precisely acknowledges minor errors and indicates uncertainty on potential mistakes.
- N/A. **Not Applicable**: For creative writing tasks.

Figure 42: Guideline for rating a model response using the Honesty aspect given an instruction and a list of completions, adapted from Cui et al. (2023).

## Truthfulness and Hallucination Aspect (prompt)

# Truthfulness and Hallucination Assessment

Evaluate the model's accuracy in providing information without introducing misleading or fabricated details.

Assign numeric identifier (or "None") from 1 to 3 for each type of hallucination:

- 1. **Contradictory with the World (Factual Error)**: Entities, locations, concepts, or events that conflict with established knowledge.
- 2. **Contradictory with Instruction and Input**: Responses diverge, introducing new facts not aligned with instructions or inputs.
- 3. **Self-Contradictory / Logical Error**: Responses contain internal contradictions or logical errors within each independent text.

**Scoring**: Rate outputs 1 to 5 based on extent of hallucination:

- 1. **Completely Hallucinated**: Entirely unreliable due to hallucinations.
- 2. **Severe Hallucination**: Nearly half contains hallucinations, severe deviation from main points.
- 3. **Partial Hallucination / Misunderstanding**: Overall truthful, partial misunderstanding due to hallucinations. 4. **Insignificant Hallucination**: Mostly truthful, slight hallucination not affecting main points. 5. **No Hallucination**: Free of hallucinations.

Figure 43: Guideline for rating a model response using the Truthfulness aspect given an instruction and a list of completions, adapted from Cui et al. (2023).