Diffusion Models for Open-Vocabulary Segmentation

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Figure 1. OVDiff is an open-vocabulary segmentation method that, given an image and a free-form set of class names, can segment any user-defined classes. It is fully automatic and does not require any further training.

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Abstract

001 *Open-vocabulary segmentation is the task of segmenting* anything that can be named in an image. Recently, large-002 003 scale vision-language modelling has led to significant ad-004 vances in open-vocabulary segmentation, but at the cost of 005 gargantuan and increasing training and annotation efforts. Hence, we ask if it is possible to use existing foundation 006 007 models to synthesise on-demand efficient segmentation algorithms for specific class sets, making them applicable 008 009 in an open-vocabulary setting without the need to collect further data, annotations or perform training. To that end, 010 011 we present OVDiff, a novel method that leverages genera-012 tive text-to-image diffusion models for unsupervised openvocabulary segmentation. OVDiff synthesises support image 013 sets for arbitrary textual categories, creating for each a set 014 015 of prototypes representative of both the category and its surrounding context (background). It relies solely on pre-016 trained components and outputs the synthesised segmenter 017 directly, without training. Our approach shows strong per-018 019 formance on a range of benchmarks, obtaining a lead of 020 more than 5% over prior work on PASCAL VOC.

1. Introduction

Open-vocabulary semantic segmentation is the task of seg-022 menting images into regions matching several free-form 023 textual categories. As the field of Computer Vision moves to-024 wards large-scale general-purpose models, open-vocabulary 025 "foundation" models have similarly emerged. Yet, the devel-026 opment of ones suitable for dense localisation tasks such as 027 semantic segmentation incurs both enormous training costs 028 and requires expensive mask annotations. Instead, we show 029 that the open-vocabulary segmentation task can be effec-030 tively tackled starting from a set of frozen foundation models, 031 without requiring additional data or even fine-tuning. 032

In order to do so, we introduce OVDiff, a method that turns existing foundation models into a "factory" of image segmenters, *i.e.*, using foundation models to synthesise ondemand a segmenter for any new concepts specified in natural language. Thus, OVDiff can be used for open-vocabulary segmentation, where it achieves state-of-the-art results in standard benchmarks. Moreover, once synthesised, the segmenters can be efficiently applied to any number of images and easily extended to new categories.

Specifically, segmenting an image using OVDiff can be042done in three steps: generation, representation, and match-043ing. Given a textual prompt, OVDiff uses an off-the-shelf044text-to-image generator like StableDiffusion [50] to generate045

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046 a support set of images. In the representation step, we use a 047 feature extractor (that can be the same network as in the gen-048 eration step) to extract feature prototypes that represent the 049 textual category. Finally, we use simple nearest-neighbour 050 matching scheme to segment the target image using the prototypes computed in the previous step. 051

052 This approach differs from prior work that largely approaches the problem in either of two ways. Starting from 053 multi-modal representations (e.g., CLIP [46]) to bridge vi-054 sion and language, the first way relies on labelled data to 055 fine-tune image-level representations for the segmentation 056 task. Hence, in line with the zero-shot setting [6], these 058 methods require costly dense annotations for some known categories while also extending the segmentation to unseen 059 060 categories by incorporating language.

The second category of prior work [9, 37, 43, 49, 70, 71] 061 062 observes that large-scale vision-language models such as CLIP have a limited understanding of the positioning of 063 064 objects within an image and extend these models with ad-065 ditional grouping mechanisms for better localisation using 066 only image-level captions, but no mask supervision. This, 067 however, requires expensive additional contrastive training at scale. Despite yielding promising results, there are some 068 069 additional pitfalls to this approach. Firstly, as the text might not exhaustively describe all entities in the image or might 070 mention elements that are not depicted, the training signal 071 can be noisy. Secondly, similar captions may be used to 072 073 describe a wide range of visual appearances, or a similar concept might be described differently, sometimes even de-074 075 pending on the other context present. There is ambiguity and 076 a difference in detail between visual and textual data. Lastly, 077 most methods resort to heuristics to segment the background (*i.e.*, leave some pixels unlabelled), as it often cannot be 078 079 described as a textual category. The usual approach is to 080 threshold the similarities to all categories. Finding an appro-081 priate threshold, however, can be challenging and may vary 082 depending on the image, often resulting in imprecise object 083 boundaries. Effectively handling the background remains an 084 open issue.

Our three-step approach departs substantially from both 085 086 of these schemes. We show that large-scale text-to-image generative models, such as StableDiffusion [50], can help 087 088 bridge the vision-and-language gap without the need for 089 annotations or costly training. Furthermore, diffusion models 090 also produce latent spaces that are semantically meaningful and well-localised. This solves a second problem: multi-091 092 modal embeddings are difficult to learn and often suffer from 093 ambiguities and differences in detail between modalities. Instead, our approach can use unimodal features for open-094 vocabulary segmentation, which offers several advantages. 095 Firstly, as text-to-image generators encode a distribution of 096 097 possible images, this offers a means to deal with intra-class 098 variation and captures the ambiguity in textual descriptions. Secondly, the generative image models encode not only the 099 visual appearance of objects but also provide contextual 100 priors, which we use for direct background segmentation. 101

This work presents a simple framework that achieves 102 state-of-the-art performance across open-vocabulary seg-103 mentation benchmarks. It combines several off-the-shelf 104 pre-trained networks into a segmenter "factory" that seg-105 ments images into arbitrary textual categories in three simple 106 steps. OVDiff requires no additional data, mask supervision, 107 nor fine-tuning. To summarise, we make the following core 108 contributions: (1) We introduce a method to use pre-trained 109 diffusion models for the task of open-vocabulary segmen-110 tation, that requires no additional data, mask supervision, 111 or fine-tuning. (2) We propose a principled way to handle 112 backgrounds by forming prototypes from contextual priors 113 built into text-to-image generative models. (3) A set of addi-114 tional techniques for further improving performance, such as 115 multiple prototypes, category filtering and "stuff" filtering. 116

2. Related work

Zero-shot open-vocabulary segmentation. Open-118 vocabulary semantic segmentation is a relatively new 119 problem and is typically approached in two ways. The first 120 line of work poses the problem as "zero-shot", i.e., segment-121 ing unseen classes after training on a set of observed classes 122 with dense annotations. Early approaches [6, 11, 20, 31] 123 explore generative networks to sample features using 124 conditional language embeddings for classes. In [30, 69] 125 image encoders are trained to output dense features that 126 can be correlated with word2vec [41] and CLIP [46] text 127 embeddings. Follow-up works [15, 19, 33, 73] approach 128 the problem in two steps, predicting class-agnostic masks 129 and aligning the embeddings of masks with language. 130 IFSeg [74] generates synthetic feature maps by pasting 131 CLIP text embeddings into a known spatial configuration to 132 use as additional supervision. Different from our approach, 133 all these works rely on mask supervision for a set of known 134 classes. 135

The second line of work eliminates the need for mask 136 annotations and instead aims to align image regions with 137 language using only image-text pairs. This is largely en-138 abled by recent advancements in large-scale vision-language 139 models [46]. Some methods introduce internal group-140 ing mechanisms such as hierarchical grouping [49, 70], 141 slot-attention [71], or cross-attention to learn cluster cen-142 troids [35, 37]. Assignment to language queries is performed 143 at group level. Another line of work [9, 43, 48, 79] aims to 144 learn dense features that are better localised when correlated 145 with language embeddings at pixel level. With the exception 146 of [48, 68, 79], thresholding is often required to determine 147 the background during inference. Alternatively, a curated 148 list of background prompts can be used [48]. 149

Our method falls into the second category. However, 150

151 in contrast to prior work, we leverage a generative model 152 to translate language queries to pre-trained image feature 153 extractors without further training. We also segment the 154 background directly, without relying on thresholding or 155 curated list of background prompts. A closely related approach to ours is ReCO [56], where CLIP is used for im-156 age retrieval compiling a set of exemplar images from Im-157 ageNet for a given language query, which is then used for 158 co-segmentation. In our method, the shortcoming of an im-159 age database is addressed by synthesising data on-demand. 160 161 Furthermore, instead of co-segmentation, we leverage the cross-attention of the generator to extract objects. Instead 162 of similarity of support images, we use diverse samples and 163 both foreground and contextual backgrounds. 164

165 **Diffusion models.** Diffusion models [26, 59, 60] are a class 166 of generative methods that have seen tremendous success in 167 text-to-image systems such as DALL-E [47], Imagen [52], 168 and Stable Diffusion [50], trained on Internet-scale data such as LAION-5B [54]. The step-wise generative process 169 170 and the language conditioning make pre-trained diffusion models attractive also for discriminative tasks. They have 171 172 been recently used in few-shot classification [77], few-shot segmentation [2] and panoptic segmentation [72], and to 173 generate pairs of images and segmentation masks [32]. How-174 ever, these methods rely on dense manual annotations to 175 associate diffusion features with the desired output. 176

177 Annotation-free discriminative approaches such as [13, 29] use pre-trained diffusion models as zero-shot classifiers. 178 DiffuMask [67] uses prompt engineering to synthesise a 179 dataset of "known" and "unseen" categories and trains a 180 closed-set segmenter with masks obtained from the cross-181 182 attention maps of the diffusion model. DiffusionSeg [38] uses DDIM inversion [60] to obtain feature maps and at-183 184 tention masks of object-centric images to perform unsuper-185 vised object discovery, but relies on ImageNet labels and is not open-vocabulary. Our approach also leverages the 186 187 rich semantic information present in diffusion models for segmentation; unlike these methods, however, it is open-set 188 189 and does not require further training.

Unsupervised segmentation. Our work is also related to 190 191 unsupervised segmentation approaches. While early works relied on hand-crafted priors [12, 44, 66, 75, 76] later ap-192 proaches leverage feature extractors such as DINO [8] and 193 perform further analysis of these methods [21, 39, 55, 57, 194 195 58, 63–65]. Some approaches make use of generative methods, usually GANs, to separate images in foreground and 196 background layers [3-5, 10] or analyse latent structure to 197 induce known foreground-background changes [40, 62] to 198 synthesise a training dataset with labels. Largely focused on 199 200 unsupervised saliency prediction, these methods are class-201 agnostic and do not incorporate language.

3. Method

We present OVDiff, a method for open-vocabulary segmenta-203 tion, *i.e.*, semantic segmentation of any category described in 204 natural language. We achieve this goal in three steps: (1) we 205 leverage text-to-image generative models to generate a set 206 of images representative of the described category, (2) use 207 these to ground representations from off-the-shelf pretrained 208 feature extractors, and (3) match these against input image 209 features to perform segmentation. 210

3.1. OVDiff: Diffusion-based open-vocabulary segmentation 212

Our goal is to devise an algorithm which, given a new vo-213 cabulary of categories $c_i \in C$ formulated as natural language 214 queries, can segment any image against it. Let $I \in \mathbb{R}^{H \times W \times 3}$ 215 be an image to be segmented. Let $\Phi_v : \mathbb{R}^{H \times W \times 3} \rightarrow \mathbb{R}^{H'W' \times D}$ be an off-the-shelf visual feature extractor and 216 217 $\Phi_t : \mathbb{R}^{d_t} \to \mathbb{R}^D$ a text encoder. Assuming that image and 218 text encoders are aligned, one can achieve segmentation by 219 simply computing a similarity function, for example, the 220 cosine similarity $s(\Phi_v(I), \Phi_t(c_i))$, with $s(x, y) = \frac{x^T y}{\|x\| \|y\|}$, between the encoded image $\Phi_v(I)$ and an encoding of a 221 222 class label c_i . To meaningfully compare different modalities, 223 image and text features must lie in a shared representation 224 space, which is typically learned by jointly training Φ_v and 225 Φ_t using image-text or image-label pairs [46]. 226

We propose two modifications to this approach. First, we 227 observe that it is better to compare representations of the 228 same modality than across vision and language modalities. 229 We thus replace $\Phi_t(c_i)$ with a *D*-dimensional visual repre-230 sentation \overline{P} of class c_i , which we refer to as a *prototype*. In 231 this case, the same feature extractor can be used for both pro-232 totypes and target images; thus, their comparison becomes 233 straightforward and does not necessitate further training. 234 Second, we propose utilising *multiple* prototypes per cate-235 gory instead of a single class embedding. This enables us to 236 accommodate intra-class variations in appearance, and, as 237 we explain later, it also allows us to exploit contextual priors, 238 which in turn help to segment the background. 239

Our approach, thus, proceeds in three steps: (1) a set 240 of support images is sampled based on vocabulary C, (2) a 241 set of prototypes \mathcal{P} is calculated, and (3) a set of images 242 $\{I_1, I_2 \dots\}$ is segmented against these prototypes. We ob-243 serve that in practical applications, whole image collections **24**4 are processed using the same vocabulary, as altering the set 245 of target classes for individual images in an informed way 246 would already require some knowledge of their contents. 247 248 Steps (1) and (2) are, thus, performed very infrequently, and their cost is heavily amortised. Next, we detail each step. 249





Figure 2. OVDiff overview. Prototype sampling: text queries are used to sample a set of support images which are further processed by a feature extractor and a segmenter forming positive and negative (background) prototypes. Segmentation: image features are compared against prototypes. The CLIP filter removes irrelevant prototypes based on global image contents.

3.2. Support set generation 250

251 To construct a set of prototypes, the first step of our approach is to sample a support set of images representative of each 252 253 category c_i . This can be accomplished by leveraging pretrained text-conditional generative models. Sampling images 254 from a generative model, as opposed to a curated dataset of 255 real images, aligns well with the goals of open-vocabulary 256 segmentation as it enables the construction of prototypes for 257 258 any user-specified category or description, even those for 259 which a manually labelled set may not be readily available $(e.g., c_i =$ "donut with chocolate glaze"). 260

Specifically, for each query c_i , we define a prompt "A 261 good picture of a $\langle c_i \rangle$ " and generate a small batch 262 263 of N support images $\mathcal{S} = \{S_1, S_2, \dots, S_N \mid S_n \in \mathbb{R}^{hw \times 3}\}$ of height h and width w using Stable Diffusion [50]. 264

3.3. Representing categories 265

Naïvely, prototypes \bar{P}_{c_i} could be constructed by averaging 266 all features across all images for class c_i . This is unlikely to 267 268 result in good prototypes because not all pixels in the sam-269 pled images correspond to the class specified by c_i . Instead, we propose to extract the class prototypes as follows. 270

Class prototypes. Our approach generates two sets of pro-271 272 totypes, positive and negative, for each class. Positive prototypes are extracted from image regions that are associated 273 274 with $\langle c_i \rangle$, while negative prototypes represent "background" 275 regions. Thus, to obtain prototypes, the first step is segmenting the sampled images into foreground and background. To 276 identify regions most associated with c_i , we use the fact that 277 278 the layout of a generated image is largely dependent on the cross-attention maps of the diffusion model [24], i.e., pixels 279 attend more strongly to words that describe them. For a given 280 word or description (in our case c_i), one can generate a set 281 of attribution maps $\mathcal{A} = \{A_1, A_2, \dots, A_N \mid A_n \in \mathbb{R}^{hw}\},\$ 282 corresponding to the support set S, by summing the cross-283 284 attention maps across all layers, heads, and denoising steps

of the network [61].

Yet, thresholding these attribution maps may not be op-286 timal for segmenting foreground/background, as they are 287 often coarse or incomplete, and sometimes only parts of 288 objects receive high activation. To improve segmentation 289 quality, we propose to optionally leverage an unsupervised 290 instance segmentation method Γ . Unsupervised segmenters 291 are not vocabulary-aware and may produce multiple binary object proposals. We denote these as $\mathcal{M}_n = \{M_{nr} \mid M_{nr} \in \mathcal{M}_n\}$ $\{0,1\}^{hw}\}$, where n indexes the support images and r in-294 dexes the object masks (including a mask for the back-295 ground). We thus construct a promptable extension of Γ 296 segmenter to select appropriate proposals for foreground 297 and background: for each image, we select from \mathcal{M}_n the 298 mask with the highest (lowest) average attribution as the 299 foreground (background): 300

$$M_n^{\rm fg} =_{M \in \mathcal{M}_n} \frac{M^\top A_n}{M^\top M}, \quad M_n^{\rm bg} =_{M \in \mathcal{M}_n} \frac{M^\top A_n}{M^\top M}.$$
(1) 301

Prototype aggregation. We can compute prototypes P_n^{g} for foreground and background regions ($g \in \{fg, bg\}$) as

$$P_n^{\mathrm{g}} = \frac{(\hat{M}_n^{\mathrm{g}})^\top \Phi_v(S_n)}{m_n^{\mathrm{g}}} \in \mathbb{R}^D, \qquad (2) \qquad 304$$

where \hat{M}_n^{g} denotes a resized version of M_n^{g} that matches the spatial dimensions of $\Phi_v(S_n)$, and $m_n^{\rm g} = (\hat{M}_n^{\rm g})^{\top} \hat{M}_n^{\rm g}$ counts the number of pixels within each mask. In other words, prototypes are obtained by means of an off-the-shelf pretrained feature extractor and computed as the average feature within each mask.

We refer to these as *instance* prototypes because they are computed from each image individually, and each image in the support set can be viewed as an instance of class c_i .

In addition to instance prototypes, we found it helpful 314 to also compute *class-level* prototypes \bar{P}^{g} by averaging the 315 instance prototypes weighted by their mask sizes as $\bar{P}^{g} =$ 316 $\sum_{n=1}^{N} m_n^{\rm g} P_n^{\rm g} / \sum_{n=1}^{N} m_n^{\rm g}.$ 317

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Finally, we propose to augment the set of class and in-318 stance prototypes using K-Means clustering of the masked 319 320 features to obtain *part-level* prototypes. We perform spa-321 tial clustering separately on foreground and background re-322 gions and take each cluster centroid as a prototype P_k^{g} with $1 \leq k \leq K$. The intuition behind this is to enable seg-323 mentation at the level of parts, support greater intra-class 324 325 variability, and a wider range of feature extractors that might 326 not be scale invariant.

We consider the union of all these feature prototypes:

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$$\mathcal{P}^{g} = P^{g} \cup \{P_{n}^{g} \mid 1 \le n \le N\} \cup \{P_{k}^{g} \mid 1 \le k \le K\}$$
 (3)

for $g \in \{fg, bg\}$, and associate them with a single category.

330 We note that this process is repeated for each $c_i \in C$ and 331 we hereby refer to \mathcal{P}^{fg} (and \mathcal{P}^{bg}) as $\mathcal{P}_{c_i}^{\text{fg}}(\mathcal{P}_{c_i}^{\text{bg}})$, *i.e.*, as the 332 foreground (background) prototypes of class c_i .

Since $\mathcal{P}_{c_i}^{\text{fg}}$ ($\mathcal{P}_{c_i}^{\text{bg}}$) depend only on class c_i , they can be precomputed, and the set of classes can be dynamically expanded without the need to adapt existing prototypes.

336 3.4. Segmentation via prototype matching

To perform segmentation of any target image I given a 337 338 vocabulary C, we first extract image features using the same visual encoder Φ_v used for the prototypes. The vo-339 340 cabulary is expanded with an additional background class 341 $\mathcal{C} = \{c_{\text{bg}}\} \cup \mathcal{C}$, for which the positive (*foreground*) prototype is the union of all *background* prototypes in the vocabulary: 342 $\mathcal{P}_{c_{\mathrm{bg}}}^{\mathrm{fg}} = \bigcup_{c_i \in \mathcal{C}} \mathcal{P}_{c_i}^{\mathrm{bg}}$. Then, a segmentation map can simply 343 be obtained by matching dense image features to prototypes 344 345 using cosine similarity. A class with the highest similarity in 346 its prototype set is chosen:

$$M =_{c \in \hat{\mathcal{C}}} \max_{P \in \mathcal{P}_c^{fg}} s(\Phi_v(I), P).$$
(4)

348 Category pre-filtering. To limit the impact of spurious correlations that might exist in the feature space of the visual 349 350 encoder, we introduce a pre-filtering process for the target vocabulary given image I. Specifically, we leverage CLIP [46] 351 352 as a strong open-vocabulary classifier but propose to apply it in a multi-label fashion to constrain the segmentation to 353 the subset of categories $\mathcal{C}' \subseteq \mathcal{C}$ that appear in the target 354 image. First, we encode the target image and each category 355 using CLIP. Any categories that do not score higher than 356 1/|c| are removed from consideration, that is we keep the 357 subset $\{P_{c'}^{g} \mid c' \in \mathcal{C}'\}$, $g \in \{fg, bg\}$. If more than η cat-358 egories are present, then the top- η are selected. We then 359 form "multi-label" prompts as " $\langle c_a \rangle$ and $\langle c_b \rangle$ and ..." 360 where the categories are selected among the top scoring ones 361 taking into account all 2^{η} combinations. The best-scoring 362 363 multi-label prompt determines the final list of categories to 364 be used in Equation (4).

Table 1. Open-vocabulary segmentation. Comparison of our approach, OVDiff, to the state of the art (under the mIoU metric). Our results are an average of 5 seeds $\pm \sigma$. *results from [9].

| Method | Support Set | Further Training | , voc | Context | Object |
|------------------|----------------|---------------------|-----------------------|----------------------------------|-------------------------|
| ReCo* [56] | Real | X | 25.1 | 19.9 | 15.7 |
| ViL-Seg [35] | X | 1 | 37.3 | 18.9 | - |
| MaskCLIP* [79] | × | X | 38.8 | 23.6 | 20.6 |
| TCL [9] | × | 1 | 51.2 | 24.3 | 30.4 |
| CLIPpy [48] | X | 1 | 52.2 | - | <u>32.0</u> |
| GroupViT [70] | × | 1 | 52.3 | 22.4 | - |
| ViewCo [49] | × | 1 | 52.4 | 23.0 | 23.5 |
| SegCLIP [37] | × | 1 | 52.6 | 24.7 | 26.5 |
| OVSegmentor [71] |) X | 1 | 53.8 | 20.4 | 25.1 |
| CLIP-DIY [68] | × | X | <u>59.9</u> | _ | 31.0 |
| OVDiff (-CutLER |) Synth. | X | 62.8 | 28.6 | 34.9 |
| OVDiff | Synth. | × | 66.3 ± 0.2 | $\textbf{29.7} \pm \textbf{0.3}$ | 34.6 ± 0.3 |
| TCL [9] (+PAMR) | X | 1 | 55.0 | 30.4 | 31.6 |
| OVDiff (+PAMR) | Synth. | × | $\mathbf{68.4\pm0.2}$ | $31.\overline{2\pm0.4}$ | $36.\overline{2\pm0.4}$ |

Table 2. Segmentation performance of OVDiff based on different feature extractors.

| Feature Extractor | MAE | DINO | CLIP (token) | CLIP (keys) | SD | SD + DINO + CLIP |
|----------------------|------|------|-----------------|----------------|------|---------------------|
| VOC | 54.9 | 59.1 | 51.4 | 61.8 | 64.4 | 66.4 |

"Stuff" filtering. Occasionally, c_i might not describe a 365 countable object category but an identifiable region in the 366 image, e.g., sky, often referred to as a "stuff" class. "Stuff" 367 classes warrant additional consideration as they might appear 368 as background in images of other categories, e.g., boat im-369 ages might often contain regions of water and sky. As a 370 result, the process outlined above might sample background 371 prototypes for one class that coincide with the foreground 372 prototypes of another. To mitigate this issue, we introduce 373 an additional filtering step to detect and reject such proto-374 types, when the full vocabulary, *i.e.*, the set of classes under 375 consideration, is known. First, we only consider foreground 376 prototypes for "stuff" classes. Additionally, any negative 377 prototypes of "thing" classes with high cosine similarity 378 with any of the "stuff" class prototypes are simply removed. 379 In our experiments, we use ChatGPT [45] to automatically 380 categorise a set of classes as "thing" or "stuff". 381

4. Experiments

We evaluate OVDiff on the open-vocabulary semantic seg-
mentation task. First, we consider different feature extractors383
384and investigate how they can be grounded by leveraging our
approach. We then compare our method with prior work. We
ablate the components of OVDiff, visualize the prototypes,
and conclude with a qualitative comparison with prior works385
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386on in-the-wild images.389

Datasets and implementation details. As the approach390does not require further training of components, we only391

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Figure 3. Qualitative results. OVDiff in comparison to TCL (+ PAMR). OVDiff provides more accurate segmentations across a range objects and stuff classes with well defined object boundaries that separate from the background well.

consider data for evaluation. Following prior work [70], 392 393 to assess the segmentation performance, we report mean Intersection-over-Union (mIoU) on validation splits of PAS-394 CAL VOC (VOC) [18], PASCAL Context (Context) [42] and 395 396 COCO-Object (Object) [7] datasets, with 20, 59, and 80 foreground classes, respectively. These datasets include a back-397 ground class to reflect a realistic setting of non-exhaustive 398 vocabularies. Context also contains both "things" and "stuff" 399 400 classes. We also evaluate without background on VOC, Context, ADE20K [78], COCO-Stuff [7] and Cityscapes [14], 401 402 with 20, 59, 150, 171, and 19 classes, respectively, but do not 403 consider this a realistic setting as it relies on knowing which 404 pixels cannot be described by a set of categories. Thus we leave such evaluation to Appendix A.3. Similar to [9, 70, 71], 405 we employ a sliding window approach. We use two scales to 406 407 aid with the limited resolution of off-the-shelf feature extractors with square window sizes of 448 and 336 and a stride 408 of 224 pixels. We set the size of the support set to N = 32. 409 For the diffusion model, we use Stable Diffusion v1.5; for 410 unsupervised segmenter Γ , we employ CutLER [64]. 411

412 4.1. Grounding feature extractors

Our method can be combined with *any* pretrained visual
feature extractor for constructing prototypes and extracting
image features. To verify this quantitatively, we experiment
with various self-supervised ViT feature extractors (Tab. 2):
DINO [8], MAE [23], and CLIP [46]. We also use SD as a
feature extractor.

We find that SD performs the best, though CLIP and
DINO also show strong performance based on our experiments on VOC. MAE shows the weakest performance, which
may be attributed to its lack of semanticity [23]; yet it is still
competitive with the majority of purposefully trained networks when employed as part of our approach. We find that
taking *keys* of the second to last layer in CLIP yields better

results than using patch tokens (CLIP token). As feature 426 extractors have different training objectives, we hypothesise 427 that their feature spaces might be complementary. Thus, we 428 also consider an ensemble approach. In this case, the cosine 429 distances formed between features of different extractors 430 and respective prototypes are averaged. The combination 431 of SD, DINO, and CLIP performs the best. We adopt this 432 formulation for the main set of experiments. 433

4.2. Comparison to existing methods

In Tab. 1, we compare our method with prior work that does not rely on manual mask annotation on three datasets: VOC, Context, Object. We include a brief overview of the methods in the supplement. We find that our method compares favourably, outperforming other methods in all settings. In particular, results on VOC show the largest margin, with more than 5% improvement over prior work.

We also consider a version of our method, OVDiff (-CutLER), that does not rely on an additional unsupervised segmenter Γ . Instead, the attention masks are thresholded. We observe that such a version of OVDiff has strong performance, outperforming prior work as well. CutLER is helpful, but not a critical component, and OVDiff performs strongly without it.

In the same table, we also combine our method with PAMR [1], the post-processing approach employed by TCL. We find that it improves results for our method, though improvements are less drastic since our method already yields better segmentation and boundaries.

Qualitative results are shown in Fig. 3. This figure high-
lights a key benefit of our approach: the ability to exploit454Lights a key benefit of our approach: the ability to exploit
contextual priors through the use of background prototypes,
which in turn allows for the direct assignment of pixels to
a background class. This improves segmentation quality
because it makes it easier to differentiate objects from the454



Figure 4. Analysis of the segmentation output by linking regions to samples in the support set. Left: our results for different classes. Middle: select color-coded regions "activated" by different prototypes for the class. Right: regions in the support set images corresponding to these (part-level) prototypes.

Table 3. Ablation of different components. Each component is removed in isolation, measuring the drop (Δ) in mIoU on VOC and Context datasets. Using SD features.

| Configuration | VOC | Δ | Context | Δ |
|------------------------|------|----------|---------|----------|
| Full | 64.4 | | 29.4 | |
| w/o bg prototypes | 53.2 | -11.2 | 28.9 | -0.5 |
| w/o category filter | 54.4 | -10.0 | 25.2 | -4.2 |
| w/o "stuff" filter | n/a | | 26.9 | -2.5 |
| w/o CutLER | 60.4 | -4.0 | 27.6 | -1.8 |
| w/o sliding window | 62.2 | -2.2 | 28.6 | -0.8 |
| only average \bar{P} | 62.5 | -1.9 | 28.4 | -1.0 |

460 background and to delineate their boundaries. In comparison,461 TCL predictions are very coarse and contain more noise.

Computation cost. We focus on a construction of a method 462 463 to show that existing foundational diffusion models can be 464 used for segmentation with great efficacy without further 465 training. OVDiff requires computing prototypes instead. 466 With our unoptimized implementation, we measure around 110 ± 10 s to calculate prototypes using SD for a single 467 468 class, or around 1.14 TFLOP/s-hours of compute. While the focus of this study is not computational efficiency, we can 469 470 compare prototype sampling to the cost of additional training of other methods: TCL requires 2688, GroupViT 10752, and 471 OVSegmentor 624 TFLOP/s-hours.¹ While training has an 472 upfront compute cost and requires special infrastructure (e.g. 473 OVSegmentor uses $16 \times A100s$), OVDiff's prototype set can 474 be grown progressively as needed, while showing better 475 476 performance.



Figure 5. PascalVOC results with increasing support size N.

4.3. Ablations

Next, we ablate the components of OVDiff on VOC and Con-478 text datasets. For these experiments, only SD is employed 479 as a feature extractor. We remove individual components 480 and measure the change in segmentation performance, sum-481 marising the results in Tab. 3. Our first observation is that 482 background prototypes have a major impact on performance. 483 When removing them from consideration, we instead thresh-484 old the similarity scores of the images with the foreground 485 prototypes (set to 0.72, determined via grid search); in this 486 case, the performance drops significantly, which again high-487 lights the importance of leveraging contextual priors. On 488 Context, the impact is less significant, likely due to the 489 fact that the dataset contains "stuff" categories. Remov-490 ing the instance- and part-level prototypes also negatively 491 affects performance. Additionally, removing the category 492 pre-filtering has a major impact. We hypothesize that this 493 introduces spurious correlations between prototypes of dif-494 ferent classes. On Context, "stuff" filtering is also important. 495 We again consider the importance of using an unsupervised 496 segmenter, CutLER, for prototype mask extractions, using 497 thresholding instead. We find this slightly reduces perfor-498 mance in this setting as well. Overall, background prototypes 499 and pre-filtering contribute the most. 500

Finally, we measure the effect of varying the size of the 501

 $^{^1\}textsc{Estimated}$ as training time \times num. GPUs \times theoretical peak TFLOP/s for GPU type.



Figure 6. Qualitative comparison on challenging in-the-wild images with TCL, which struggles with object boundaries, missing parts of objects, or including surroundings. Our method has more appropriate boundaries and makes fever errors overall, but does produce a small halo effect around objects due to the upscaling of feature extractors.

502 support set N in Fig. 5. We find that OVDiff already shows 503 strong performance even at a low number of samples for 504 each query. With increasing the number of samples, the 505 performance improves, saturating at around N = 32. which 506 we use in our main experiments.

507 4.4. Explaining segmentations

We inspect how our method segments certain regions by 508 considering which prototype from $\mathcal{P}_{c}^{\mathrm{fg}}$ was used to assign 509 a class c to a pixel. Prototypes map to regions in the sup-510 511 port set from where they were aggregated, e.g., instances prototypes are associated with foreground masks M_n^{fg} and 512 513 part prototypes with centroids/clusters. By following these 514 mappings, a set of support image regions can be retrieved 515 for each segmentation decision, providing a degree of ex-516 plainability. Fig. 4 illustrates this for examples of dog, cat, 517 and bird classes. For visualisation purposes, selected pro-518 totypes and corresponding regions are shown. On the left, 519 we show the full segmentation result of each image. In the 520 middle, we select regions that correlate best with certain 521 class prototypes. On the right, we retrieve images from the 522 support set and highlight where each prototype emerged. We find that meaningful part segmentation merges due to 523 clustering the support image features, and similar regions 524 525 are segmented by corresponding prototypes. However, sometimes region covered in the input image will not fully align 526 with the whole prototype (e.g. cat's face around the eyes or 527 528 lower belly/tail of bird). Each segmentation is explained by precise regions in a small support set. 529

530 4.5. In-the-wild

In Fig. 6, we investigate OVDiff on chal lenging in-the-wild
images with simple and complex backgrounds. We compare
with TCL+PAMR. In the first three images, both methods

correctly detect the objects identified by the queries. OVDiff 534 has small false positive "corgi" patches. TCL however misses 535 large parts of the objects, such as most of the person, and 536 parts of animal bodies. The distinction between the house 537 and the bridge in the second image is also better with OVD-538 iff. We also note that our segmentations sometimes have 539 halos around objects. This is caused by upscaling the low-540 resolution feature extractor (SD in this case). The last two 541 images contain challenging scenarios where both approaches 542 struggle. The fourth image only contains similar objects 543 of the same type. Both methods incorrectly identify plain 544 donuts as either of the specified queries. OVDiff however 545 correctly identifies chocolate donuts with varied sprinkles 546 and separates all donuts from the background. In the final 547 picture, the query "red car" is added, although no such object 548 is present. The extra query causes TCL to incorrectly iden-549 tify parts of the red bus as a car. Both methods incorrectly 550 segment the gray car in the distance. However, overall, our 551 method is more robust and delineates objects better despite 552 the lack of specialized training or post-processing. 553

5. Conclusion

We introduce OVDiff, an open-vocabulary segmentation 555 method that operates in two stages. First, given queries, 556 support images are sampled and their features are extracted 557 to create class prototypes. These prototypes are then com-558 pared to features from an inference image. This approach 559 offers multiple advantages: diverse prototypes accommodat-560 ing various visual appearances and negative prototypes for 561 background localisation. OVDiff outperforms prior work 562 on benchmarks, exhibiting fewer errors, effectively separat-563 ing objects from background, and providing explainability 564 through segmentation mapping to support set regions. 565

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912 Supplementary Material

In this supplementary material, we provide additional experimental results, including further ablations and qualitative
comparisons (Appendix A), consider the limitations and
broader impacts of our work (Appendix B), and conclude
with additional details concerning the implementation (Appendix C).

919 A. Additional experiments

920 This section provides additional experimental results of921 OVDiff.

922 A.1. Additional Comparisons

923 **Category filter.** To ensure that the category pre-filtering 924 does not give our approach an unfair advantage, we augment two methods (TCL [9] and OVSegmentor [71], which are 925 the closest baselines with code and checkpoints available) 926 927 with our category pre-filtering. We evaluate on the Pascal VOC dataset (where the category filter shows a significant 928 impact; see Table 3) and report the results in Tab. A.1. We 929 930 observe that TCL improves by 0.6, while the performance 931 of OVSegmentor drops by 0.1. On the contrary, our method 932 benefits substantially from this component, but it still shows 933 stronger performance without the filter than baselines with. **Influence of** Γ **segmentation method.** We also further in-934 935 vestigate the use of CutLER [64] to obtain segmentation 936 masks. We also provide example results of segmentation in 937 Fig. C.4. In Tab. A.2, we devise a baseline where CutLER-938 predicted masks are used to average the CLIP image en-939 coder's final spatial tokens after projection. Averaged tokens 940 are compared with CLIP text embeddings to assign a class. While relying on pre-trained components (like ours), this 941 avoids support set generation. In the same table, we also con-942 943 sider whether the objectness prior provided by CutLER could 944 be beneficial to other methods as well. We consider a version 945 of TCL [9] and OVSegmentor [71] which we augment with 946 CutLER. That is, after methods assign class probabilities to each pixel/patch, a majority voting for a class is performed in 947 948 every region predicted by CutLER. This combines CutLER's 949 understanding of objects and their boundaries, aspects where 950 prior methods struggle, with open-vocabulary segmentation. 951 However, we observe that this negatively impacts the perfor-952 mance of these methods, which we attribute to only a limited 953 performance of CutLER in complex scenes present in the datasets. Finally, we also include a version of OVDiff that 954 955 does not rely on CutLER for mask extractions, instead using 956 thresholded masks. We observe that such a version of our method also has strong performance. 957

We additionally experiment with stronger segmenters to
understand the influence of FG/BG mask quality. We replace
our FG/BG segmentation approach with strong supervised
models: with SAM, we achieve 67.1 on VOC, and with

Table A.1. Use of category filter component. OVDiff without category filter outperforms prior work with cat. filter.

| Madal | Category filter | | |
|-------------|-----------------|--------------|--|
| Model | × | \checkmark | |
| OVSegmentor | 53.8 | 53.7 | |
| TCL | 51.2 | 51.8 | |
| TCL (+PAMR) | 55.0 | 56.0 | |
| OVDiff | 56.2 | 66.4 | |

| Table A.2. Application of CutLER. Prior work does not benefit |
|---|
| from using CutLER during inference, while OVDiff shows strong |
| results without it. |

| Model | CutLEF | R VOC | Context | Object |
|------------|--------------|----------------------------------|----------------------------------|----------------------------------|
| CLIP | \checkmark | 33.0 | 11.6 | 11.1 |
| OVSegmento | r | 53.8 | 20.4 | 25.1 |
| OVSegmento | r √ | 38.7 | 14.4 | 16.8 |
| TCL | | 51.2 | 24.3 | 30.4 |
| TCL | \checkmark | 43.1 | 20.5 | 22.7 |
| OVDiff | | 62.8 | 28.6 | 34.9 |
| OVDiff | \checkmark | $\textbf{66.3} \pm \textbf{0.2}$ | $\textbf{29.7} \pm \textbf{0.3}$ | $\textbf{34.6} \pm \textbf{0.3}$ |

Grounded SAM, 68.5. This slightly improves results from 66.3 of our configuration with CutLER, but the performance gain is not large and thus not critical.

Class prompts. We additionally consider whether correc-965 tions introduced to class prompts might have similarly pro-966 vided additional benefits to our approach (see Appendix C.3 967 for details). To that end, we also evaluate TCL and OVSeg-968 menter (methods that do not rely on additional prompt cu-969 ration) with our corrected prompts and consider a version 970 of our method without such corrections in Tab. A.3. We 971 observe only marginal to no impact on the performance. 972

Prompt template Finally, we consider the prompt tem-973 plate employed when sampling support image set: "A good 974 picture of a $\langle c_i \rangle$ " for class prompt c_i . This template 975 is generic and broadly applicable to virtually any natural 976 language specification of a target class. While prior work 977 adopts prompt expansion by considering a list of synonyms 978 and subcategories, it is not entirely clear how such a strat-979 egy could be systematically performed for any in-the-wild 980 prompts, such as a "chocolate glazed donut". We experiment 981 with a list of synonyms and subclasses, as employed by [48], 982 on VOC datasets measuring 66.4 mIoU, which is similar to 983 our single prompt performance 66.3 ± 0.2 . Curating such 984 lists automatically is an interesting future scaling direction. 985

A.2. Additional ablations

Prototype combinations. In Tab. A.6, we consider the three987different types of prototypes described in Section 3 and test988their performance individually and in various combinations.989We find that the "part" prototypes obtained by K-means990

1038

Table A.3. Using corrected prompts. We consider if corrected class names benefit prior work. We observe negligible to no effect.

| Model | Correction | VOC | Context | Object |
|----------|--------------|----------------------------------|----------------------------------|----------------------------------|
| OVSegmen | tor | 53.8 | 20.4 | 25.1 |
| OVSegmen | tor √ | 53.9 | 20.4 | 25.1 |
| TCL | | 51.2 | 24.3 | 30.4 |
| TCL | \checkmark | 50.6 | 24.3 | 30.4 |
| OVDiff | | 66.1 | 29.5 | 34.9 |
| OVDiff | \checkmark | $\textbf{66.3} \pm \textbf{0.2}$ | $\textbf{29.7} \pm \textbf{0.3}$ | $\textbf{34.6} \pm \textbf{0.3}$ |

| | Table A.4. | Choice of K | for number | of centroids. |
|--|------------|-------------|------------|---------------|
|--|------------|-------------|------------|---------------|

| К | VOC | Context |
|----|------|---------|
| 8 | 63.8 | 29.2 |
| 16 | 64.0 | 29.3 |
| 32 | 64.4 | 29.4 |
| 64 | 64.3 | 28.0 |

Table A.5. Ablation of different SD feature configurations. Removing first and last cross attention *layers*, mid, 1^{st} and 2^{nd} upsampling *blocks* (all layers in the block) has a negative effect.

| 1st layer | Mid block | Up-1 block | Up-2 block | Last layer | Context |
|--------------|--------------|---------------|---------------|---------------|---------|
| \checkmark | \checkmark | \checkmark | \checkmark | \checkmark | 29.4 |
| | \checkmark | \checkmark | \checkmark | \checkmark | 29.4 |
| \checkmark | | \checkmark | \checkmark | \checkmark | 29.2 |
| \checkmark | \checkmark | | \checkmark | \checkmark | 27.3 |
| \checkmark | \checkmark | \checkmark | | \checkmark | 28.9 |
| \checkmark | \checkmark | \checkmark | \checkmark | | 29.3 |

Table A.6. Ablation of various configurations for prototypes. We consider average \bar{P} , instance P_n , and part P_k prototypes individually and in various combinations on VOC and Context datasets. Combination of all three types of prototypes shows strongest results.

| $\bar{\mathbf{P}}$ | $\mathbf{P_n}$ | $\mathbf{P}_{\mathbf{k}}$ | VOC | Context |
|--------------------|----------------|---------------------------|------|---------|
| \checkmark | \checkmark | \checkmark | 64.4 | 29.4 |
| \checkmark | | \checkmark | 61.7 | 29.3 |
| \checkmark | \checkmark | | 63.5 | 29.4 |
| | \checkmark | \checkmark | 62.5 | 28.4 |
| | | \checkmark | 63.7 | 28.8 |
| | \checkmark | | 60.0 | 29.0 |
| \checkmark | | | 62.5 | 28.4 |

clustering show strong performance when considered individually on VOC. Instance prototypes show strong individual
performance on Context, as well as in combination with the
average category prototype. The combination of all three
types shows the strongest results across the two datasets,
which is what we adopt in our main set of experiments.

We also consider the treatment of prototypes under the

stuff filter. We investigate the impact of not excluding back-998 ground prototypes for "stuff" classes. In this setting, we 999 measure 29.1 on Context, which is a slight reduction in per-1000 formance. We also investigate the benefit of categorisation 1001 into "things" and "stuff" used in the stuff filter component. 1002 Instead, we filter all background prototypes using all fore-1003 ground prototypes. In this configuration, we measure 27.6 1004 on Context. Both configurations show a reduction from 29.4, 1005 measuring using the stuff filter with categorisation in "stuff" 1006 and "things", as used in our main experiments. Finally, 1007 we experiment by removing part-level prototypes for "stuff" 1008 classes, which also results in a performance drop to 28.0. 1009

K - number of clusters. In Tab. A.4, we investigate the 1010 sensitivity of the method to the choice of K for the number 1011 of "part" prototypes extracted using K-means clustering. 1012 Although our setting K = 32 obtains slightly better results 1013 on Context and VOC, other values result in comparable 1014 segmentation performance suggesting that OVDiff is not 1015 sensitive to the choice of K and a range of values is viable. 1016 SD features. When using Stable Diffusion as a feature ex-1017 tractor, we consider various combinations of layers/blocks 1018 in the UNet architecture. We follow the nomenclature used 1019 in the Stable Diffusion implementation where consecutive 1020 layers of Unet are organised into blocks. There are 3 down-1021 sampling blocks with 2 cross-attention layers each, a mid-1022 block with a single cross-attention, and 3 up-sampling blocks 1023 with 3 cross-attention layers each. We report our findings in 1024 Tab. A.5. Including the first and last cross-attention layers in 1025 the feature extraction process has a small positive impact on 1026 segmentation performance, which we attribute to the high 1027 feature resolution. We also consider excluding features from 1028 the middle block of the network due to small 8×8 resolu-1029 tion but observe a small negative impact on performance on 1030 the Context dataset. We also investigate whether including 1031 the first (Up-1) and the second upsampling (Up-2) blocks 1032 are necessary. Without them, the performance drops the 1033 most out of the configurations considered. Thus, we use a 1034 concatenation of features from the middle, first and second 1035 upsampling blocks and the first and last layers in our main 1036 experiments. 1037

A.3. Evaluation without background

One of the notable advantages of our approach is the ability 1039 to represent background regions via (negative) prototypes, 1040 leading to improved segmentation performance. Neverthe-1041 less, we hereby also evaluate our method under a differ-1042 ent evaluation protocol adopted in prior work, which ex-1043 cludes the *background* class from the evaluation. We note 1044 that prior work often requires additional considerations to 1045 handle background, such as thresholding. In this setting, 1046 however, the background class is not predicted, and the 1047 set of categories, thus, must be exhaustive. As in practice, 1048 this is not the case, and datasets contain unlabelled pixels 1049

1072



Figure A.1. Qualitative comparison on in-the-wild images. OVDiff performs significantly better than prior state-of-the-art, TCL, on wildlife images containing multiple instances, studio photos with simple backgrounds, images containing multiple categories and an image containing a rare instance of a class.

Table A.7. Comparison with methods when background is excluded (decided by ground truth). OVDiff shows comparable performance to prior works despite only relying on pretrained feature extractors. * result from [9].

| Method | VOC-20 | Context-59 | ADE | Stuff | City |
|-------------|-------------|------------|-------------|-------|------|
| CLIPpy | _ | _ | 13.5 | _ | _ |
| OVSegmentor | - | _ | 5.6 | - | - |
| GroupViT* | <u>79.7</u> | 23.4 | 9.2 | 15.3 | 11.1 |
| MaskCLIP* | 74.9 | 26.4 | 9.8 | 16.4 | 12.6 |
| ReCo* | 57.5 | 22.3 | 11.2 | 14.8 | 21.1 |
| TCL | 77.5 | 30.3 | 14.9 | 19.6 | 23.1 |
| OVDiff | 80.9 | 32.9 | <u>14.1</u> | 20.3 | 23.4 |

1050 (or simply a background label), such image areas are re-1051 moved from consideration. Consequently, less emphasis is placed on object boundaries in this setting. As in this 1052 setting the background prediction is invalid, we do not con-1053 sider negative prototypes. For this setting, we benchmark on 1054 5 datasets following [9]: PascalVOC without background, 1055 termed VOC-20, Pascal Context without background, termed 1056 1057 Context-59, and ADE20k [78], which contains 150 foreground classes, termed ADE-150, COCO-Stuff, termed Stuff, 1058 and Cityscapes, termed City. This setting tests the ability of 1059 various methods to discriminate between different classes, 1060 which for OVDiff is inherent to the choice of feature ex-1061 1062 tractors. Despite this, our method shows competitive perfor-1063 mance accross wide range of benchmarks Tab. A.7.

A.4. Qualitative results

We include additional qualitative results from the benchmark
datasets in Fig. A.2. Our method achieves high-quality seg-
mentation across all examples without any post-processing
or refinement steps. In Fig. A.3, we show examples of sup-
port images sampled for some things, and stuff categories. In
Fig. C.5, we show examples of support set images sampled
for rare *pikachu* class.1065
1066

B. Broader impact

Semantic segmentation is a component in a vast and diverse 1073 spectrum of applications in healthcare, image processing, 1074 computer graphics, surveillance and more. As for any foun-1075 dational technology, applications can be good or bad. OVD-1076 iff is similarly widely applicable. It also makes it easier to 1077 use semantic segmentation in new applications by leverag-1078 ing existing and new pre-trained models. This is a bonus 1079 for inclusivity, affordability, and, potentially, environmental 1080 impact (as it requires no additional training, which is usu-1081 ally computationally intensive); however, these features also 1082 mean that it is easier for bad actors to use the technology. 1083

Because OVDiff does not require further training, it is 1084 more versatile but also inherits the weaknesses of the com-1085 ponents it is built on. For example, it might contain the 1086 biases (e.g., gender bias) of its components, in particular 1087 Stable Diffusion [53], which is used for generating support 1088 images for any given category/description. Thus, it should 1089 not be exposed without further filtering and detection of, e.g., 1090 NSFW material in the sampled support set. Finally, OVDiff 1091



Figure A.2. Additional qualitative results. Images from Pascal VOC (top), Pascal Context (middle), and COCO Object (bottom).

is also bound by the licenses of its components.

B.1. Limitations

As OVDiff relies on pretrained components, it inherits someof their limitations. OVDiff works with the limited resolution

of feature extractors, due to which it might occasionally1096miss tiny objects. Furthermore, OVDiff cannot segment1097what the generator cannot generate. For example, current1098diffusion models struggle with producing legible text, which1099can make it difficult to segment specific words. Furthermore,1100





(a) boat





(c) sky





(g) mountain

(f) parking meter



(h) horse

Figure A.3. Images sampled for a support set of some categories.

applications in domains far from the generator's training data(*e.g.* medical imaging) are unlikely to work out of the box.

C. OVDiff: Further details

In this section, we provide additional details concerning the
implementation of OVDiff. We begin with a brief overview1104of the attention mechanism and diffusion models central to1105

extracting features and sampling images. We review different feature extractors used. We specify the hyperparameter
setting for all our experiments and provide an overview of
the exchange with ChatGPT used to categorise classes into
"thing" and "stuff".

1112 C.1. Preliminaries

1113 Attention. In this work, we make use of pre-trained ViT [16] networks as feature extractors, which repeatedly 1114 apply multi-headed attention layers. In an attention layer, 1115 input sequences $X \in \mathbb{R}^{l_x \times d}$ and $Y \in \mathbb{R}^{l_y \times d}$ are linearly 1116 project to forms keys, queries, and values: $K = W_k Y$, Q =1117 $W_q X$, $V = W_v X$. In self-attention, X = Y. Attention is 1118 calculated as $A = \operatorname{softmax}(\frac{1}{\sqrt{d}}QK^{\top})$, and softmax is ap-1119 1120 plied along the sequence dimension l_y . The layer outputs an update $Z = X + A \cdot V$. ViTs use multiple heads, replicating 1121 the above process in parallel with different projection matri-1122 ces W_k, W_q, W_v . In this work, we consider queries and keys 1123 1124 of attention layers as points where useful features that form 1125 meaningful inner products can be extracted. As we detail later (Appendix C.2), we use the keys from attention layers 1126 of ViT feature extractors (DINO/MAE/CLIP), concatenating 1127 multiple heads if present. 1128

Text-to-image diffusion models. Diffusion models are a 1129 1130 class of generative models that form samples starting with noise and gradually denoising it. We focus on latent diffusion 1131 models [50] which operate in the latent space of an image 1132 1133 VAE [28] forming powerful conditional image generators. 1134 During training, an image is encoded into VAE latent space, forming a latent vector z_0 . A noise is injected forming 1135 a sample $z_{\tau} \sim \mathcal{N}(z_{\tau}; \sqrt{1 - \alpha_{\tau}} z_0, \alpha_{\tau} I)$ for timestep $\tau \in$ 1136 $\{1...,T\}$, where α_{τ} are variance values that define a noise 1137 1138 schedule such that the resulting z_T is approximately unit 1139 normal. A conditional UNet [51], $\epsilon_{\theta}(z_t, t, c)$, is trained to predict the injected noise, minimising the mean squared error 1140 $\mathbb{E}_t (\alpha_t \| \epsilon_{\theta}(z_t, t, c) - z_0 \|_2)$ for some caption c and additional 1141 constants a_t . The network forms new samples by reversing 1142 the noise-injecting chain. Starting from $\hat{z}_T \sim \mathcal{N}(\hat{z}_T; 0, I)$, 1143 one iterates $\hat{z}_{t-1} = \frac{1}{\sqrt{1-\alpha_t}} (\hat{z}_t + \alpha_t \epsilon_\theta(\hat{z}_t, t, c)) + \sqrt{\alpha_t} \hat{z}_t$ until 1144 \hat{z}_0 is formed and decoded into image space using the VAE 1145 1146 decoder. The conditional UNet uses cross-attention layers 1147 between image patches and language (CLIP) embeddings to 1148 condition on text c and achieve text-to-image generation.

1149 C.2. Feature extractors

OVDiff is buildable on top of any pre-trained feature extractor. In our experiments, we have considered several networks as feature extractors with various self-supervised training regimes:

DINO [8] is a self-supervised method that trains networks
by exploring alignment between multiple views using an
exponential moving average teacher network. We use

the ViT-B/8 model pre-trained on ImageNet² and extract 1157 features from the *keys* of the last attention layer. 1158

- MAE [22] is a self-supervised method that uses masked image inpainting as a learning objective, where a portion of image patches are dropped, and the network seeks to reconstruct the full input. We use the ViT-L/16 model pre-trained on ImageNet at a resolution of 448 [27].³ The *keys* of the last layer of the *encoder* network are used. No masking is performed.
 1159
- CLIP [46] is trained using image-text pairs on an internal dataset WIT-400M. We use ViT-B/16 model⁴. We consider two locations to obtain dense features: *keys* from a self-attention layer of the image encoder and *tokens* which are the outputs of transformer layers. We find that *keys* of the second-to-last layer give better performance.
- We also consider **Stable Diffusion**⁵ (v1.5) itself as a fea-1172 ture extractor. To that end, we use the queries from the 1173 cross-attention layers in the UNet denoiser, which corre-1174 spond to the image modality. Its UNet is organised into 1175 three downsampling blocks, a middle block, and three 1176 upsampling blocks. We observe that the middle layers 1177 have the most semantic content, so we consider the mid-1178 dle block, 1st and 2nd upsampling blocks and aggregate 1179 features from all three cross-attention layers in each block. 1180 As the features are quite low in resolution, we include the 1181 first downsampling cross-attention layer and the last up-1182 sampling cross-attention layer as well. The feature maps 1183 are bilinearly upsampled to resolution 64×64 and con-1184 catenated. A noise appropriate for $\tau = 200$ timesteps is 1185 added to the input. For feature extraction, we run SD in 1186 unconditional mode, supplying an empty string for text 1187 caption. 1188



Figure C.4. FG/BG segmentation of classes of *water*, *snow* and *grass*. The foreground is in red, while the background is shown in blue.



Figure C.5. Example images from the support set of a rare *pikachu* class.

²Model and code available at https://github.com/ facebookresearch/dino.

 $^{^3}Model$ and code from <code>https://github.com/facebookresearch/long_seq_mae.</code>

⁴Model and code from https://github.com/openai/CLIP.

⁵We use implementation from https://github.com/ huggingface/diffusers.

1271

1189 C.3. Datasets

We evaluate on validation splits of PASCAL VOC (VOC), 1190 Pascal Context (Context) and COCO-Object (Object) 1191 datasets. PASCAL VOC [17, 18] has 21 classes: 20 fore-1192 ground plus a background class. For Pascal Context [42], 1193 we use the common variant with 59 foreground classes and 1194 1 background class. It contains both "things" and "stuff" 1195 classes. The COCO-Object is a variant of COCO-Stuff [7] 1196 with 80 "thing" classes and one class for the background. 1197 Textual class names are used as natural language specifica-1198 tions of names. We renamed or specified certain class names 1199 1200 to fix errors (e.g. pottedplant \rightarrow potted plant), resolve ambiguity better (e.g. mouse \rightarrow computer 1201 1202 mouse) or change to more common spelling/word (e.g. 1203 aeroplane \rightarrow airplane), resulting in 14 fixes. We 1204 experiment and measure the impact of this in Appendix A.1 for our and prior work. 1205

1206 C.4. Comparative baselines

We briefly review the prior work in used in our experi-1207 ments, mainly in Table 1. We consider baselines that do 1208 1209 not rely on mask annotations and have code and check-1210 points available or detail their evaluation protocol that matches that used in other prior works [9, 70, 71]. Most 1211 prior work [9, 35, 37, 49, 70, 71] trains image and text 1212 encoders on large image-text datasets with a contrastive 1213 1214 loss. The methods mainly differ in their architecture and 1215 use of grouping mechanisms to ground image-level text on regions. ViL-Seg [35] uses online clustering, GroupViT [70] 1216 1217 and ViewCo [49] employ group tokens. OVSegmentor [71] 1218 uses slot-attention and SegCLIP [37] a grouping mecha-1219 nism with learnable centers. CLIPPy [48], TCL [9], and MaskCLIP [79] predict classes for each image patch: [48] 1220 use max-pooling aggregation, [9] self-masking, and [79] 1221 modify CLIP for dense predictions. To assign a background 1222 label [9, 35, 37, 49, 70] use thresholding while [48] uses 1223 dataset-specific prompts. CLIP-DIY [68] leverages CLIP 1224 as a zero-shot classifier and applies it on multiple scales to 1225 form a dense segmentation. ReCO [56] is closer in spirit to 1226 1227 our approach as it uses a support set for each prompt; this set, however, is CLIP-retrieved from curated image collections, 1228 1229 which may not be applicable for any category in-the-wild.

We also note that prior work builds on top of similar 1230 pre-trained components such as CLIP in [9, 37, 56, 79], 1231 OpenCLIP in [68], DINO + T5/RoBERTa in [48, 71]. We 1232 1233 additionally make use of StableDiffusion, which is trained 1234 on a larger dataset (3B, compared to 400M of CLIP or 2B or OpenCLIP). OVDiff is, however, fundamentally different to 1235 all prior work, as (a) it generates a support set of synthetic 1236 1237 images given a class description, and (b) it does not rely on 1238 additional training data and further training for learning to 1239 segment.

C.5. Hyperparameters

OVDiff has relatively few hyperparameters and we use the 1241 same set in all experiments. Unless otherwise specified, 1242 N = 32 images are sampled using classifier-free guid-1243 ance scale [25] of 8.0 and 30 denoising steps. We employ 1244 DPM-Solver scheduler [36]. When sampling images for 1245 the support sets, we also use a negative prompt "text, low 1246 quality, blurry, cartoon, meme, low resolution, bad, poor, 1247 faded". If/when segmenter Γ fails to extract any components 1248 in a sampled image, a fallback of adaptive thresholding of 1249 A_n is used, following [34]. During inference, we set $\eta = 10$, 1250 which results in 1024 text prompts processed in parallel, a 1251 choice made mainly due to computational constraints. We 1252 set the thresholds for the "stuff" filter between background 1253 prototypes for "things" classes and the foreground of "stuff" 1254 at 0.85 for all feature extractors. When sampling, a seed 1255 is set for each category individually to aid reproducibility. 1256 With our unoptimized implementation, we measure around 1257 110 ± 10 s to calculate prototypes (sample images, extract fea-1258 tures and aggregate) for a single category or 50.2 ± 2 s without 1259 clustering using SD. Using CLIP, we measure 49.2 ± 0.2 s 1260 with clustering and 47.7 ± 0.2 s without. We note that sam-1261 pling time grows linearly: we measure 55s for 16, 110s for 1262 32, and 213s for 64 images per class. The prototype storage 1263 requirements are 0.39MB using CLIP/DINO for each class. 1264

We additionally measure the speed of inference at 0.6s1265per image, which is slightly slower but comparable to 0.2s1266for TCL and 0.08s for OVSegmentor. We performed infer-
ence measurements using SD on the same machine with a
2080Ti GPU using 21 classes and the same resolution/sliding
window settings for all methods.1265

C.6. Interaction with ChatGPT

We interact with ChatGPT to categorise classes into "stuff" 1272 and "things" for the stuff filter component. Due to input lim-1273 its, the categories are processed in blocks. Specifically, we 1274 input "In semantic segmentation, there are "stuff" or "thing" 1275 classes. Please indicate whether the following class prompts 1276 should be considered "stuff" or "things":". We show the out-1277 put in Tab. C.8. Note there are several errors in the response, 1278 e.g. glass, blanket, and trade name are actually in-1279 stances of tableware, bedding and signage, respectively, so 1280 should more appropriately be treated as "things". Similarly, 1281 land and sand might be more appropriately handled as 1282 "stuff", same as snow and ground. Despite this, We find 1283 ChatGPT contains sufficient knowledge when prompted with 1284 "in semantic segmentation". We have estimated the accuracy 1285 of ChatGPT in thing/stuff classification using the categories 1286 of COCO-Stuff, which are defined as 80 "things" and 91 1287 "stuff" categories. ChatGPT achieves an accuracy rate of 1288 88.9% in this case. We also measure the impact the potential 1289 errors have on our performance by providing "oracle" an-1290 swers on the Context dataset. We measure 29.6 mIoU, which 1291

Table C.8. **Response from interaction with ChatGPT.** We used ChatGPT model to automatically categorise classes in "stuff" or "things".

| airplane: | thing | window: | thing | awning: | thing |
|-------------|-------|------------------|-------|----------------------|-------|
| bag: | thing | wood: | stuff | streetlight: | thing |
| bed: | thing | windowpane: | thing | booth: | thing |
| bedclothes: | stuff | earth: | thing | television receiver: | thing |
| bench: | thing | painting: | thing | dirt track: | thing |
| bicycle: | thing | shelf: | thing | apparel: | thing |
| bird: | thing | house: | thing | pole: | thing |
| boat: | thing | sea: | thing | land: | thing |
| book: | thing | mirror: | thing | bannister: | thing |
| bottle: | thing | rug: | thing | escalator: | thing |
| building: | thing | field: | thing | ottoman: | thing |
| bus: | thing | armchair: | thing | buffet: | thing |
| cabinet: | thing | seat: | thing | poster: | thing |
| car: | thing | desk: | thing | stage: | thing |
| cat: | thing | wardrobe: | thing | van: | thing |
| ceiling: | stuff | lamp: | thing | ship: | thing |
| chair: | thing | bathtub: | thing | fountain: | thing |
| cloth: | stuff | railing: | thing | conveyer belt: | thing |
| computer: | thing | cushion: | thing | canopy: | thing |
| cow: | thing | base: | thing | washer: | thing |
| cup: | thing | box: | thing | plaything: | thing |
| curtain. | stuff | column. | thing | swimming pool. | thing |
| dog: | thing | signboard. | thing | stool. | thing |
| door: | thing | chest of drawers | thing | barrel: | thing |
| fence: | stuff | counter. | thing | basket: | thing |
| floor: | stuff | sand. | thing | waterfall. | thing |
| flower: | thing | sink. | thing | tent. | thing |
| food: | thing | skyseraper | thing | minibike | thing |
| arass. | stuff | fireplace: | thing | cradle: | thing |
| ground: | stuff | refrigerator | thing | oven: | thing |
| horse: | thing | grandstand: | thing | ball: | thing |
| keyboard | thing | path. | thing | sten. | stuff |
| light: | thing | staire: | thing | step. | thing |
| motorbike: | thing | runway. | thing | trade name: | stuff |
| mountain: | etuff | cose: | thing | microwave: | thing |
| mouse: | thing | pool table: | thing | not: | thing |
| niouse. | thing | pillow: | thing | animal: | thing |
| person. | thing | pinow. | thing | allillal. | uning |
| plate: | uning | screen door. | thing | lake: | stun |
| plationii: | stun | stall way: | thing | distiwasher: | thing |
| piant: | uning | huidaa. | thing | screen: | uning |
| road. | stuff | bridge. | thing | onulative. | thing |
| TOCK: | Sturr | bookcase: | thing | sculpture: | thing |
| sneep: | thing | Diind: | thing | nood: | thing |
| snerves: | tning | corree table: | thing | sconce: | thing |
| sidewark: | Sturr | tonet: | thing | vase: | thing |
| sign: | thing | hill: | thing | traffic light: | thing |
| sky: | stuff | countertop: | thing | tray: | stuff |
| snow: | stuff | stove: | thing | ashcan: | thing |
| sofa: | thing | palm: | thing | tan: | thing |
| table: | thing | kitchen island: | thing | pier: | thing |
| track: | stuff | swivel chair: | thing | crt screen: | thing |
| train: | thing | bar: | thing | bulletin board: | thing |
| tree: | thing | arcade machine: | thing | shower: | thing |
| truck: | thing | hovel: | thing | radiator: | thing |
| monitor: | thing | towel: | thing | glass: | stuff |
| wall: | stuff | tower: | thing | clock: | thing |
| water: | stuff | chandelier: | thing | flag: | thing |
| | | | | | |

| 1292 | is similar to 29.7 ± 0.3 of using ChatGPT, showing that small |
|------|---|
| 1293 | errors do not drastically affect the method, however, enable |
| 1294 | using "stuff" filter component, which improves performance |
| 1295 | (see Table 3). |