# Symmetric Pruning for Large Language Mod ELS

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#### ABSTRACT

Popular post-training pruning methods such as Wanda (Sun et al., 2023) and RIA (Zhang et al., 2024b) are known for their simple, yet effective, designs that have shown exceptional empirical performance. Wanda optimizes performance through calibrated activations during pruning, while RIA emphasizes the relative, rather than absolute, importance of weight elements. Despite their practical success, a thorough theoretical foundation explaining these outcomes has been lacking. This paper introduces new theoretical insights that redefine the standard minimization objective for pruning, offering a deeper understanding of the factors contributing to their success. Our study extends beyond these insights by proposing complementary strategies that consider both input activations and weight significance. We validate these approaches through rigorous experiments, demonstrating substantial enhancements over existing methods. Furthermore, we introduce a novel training-free fine-tuning approach  $R^2$ -DSnoT that incorporates relative weight importance and a regularized decision boundary within a dynamic pruningand-growing framework, significantly outperforming strong baselines and establishing a new state-of-the-art.

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#### 1 INTRODUCTION

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Large Language Models (LLMs) (Zhang et al., 2022a; Touvron et al., 2023a;b; Javaheripi et al., 2023) have demonstrated remarkable capabilities across a variety of tasks. However, their extensive size often hinders practical deployment. Interest in LLM compression has surged in recent years, driven by the need to reduce model sizes while maintaining performance (Xiao et al., 2023; Frantar & Alistarh, 2023; Sun et al., 2023; Zhang et al., 2024b; Malinovskii et al., 2024). This paper focuses on LLM post-training pruning (PTP), a prevalent method for reducing the footprint of pre-trained weights.

A common approach to pruning is magnitude-based pruning, where elements of each layer's weights with smaller absolute values are set to zero. In contrast, Wanda (Sun et al., 2023) introduced an innovative method that scales the weights by the activations of each layer, demonstrating promising performance on standard benchmarks. Building upon this, RIA (Zhang et al., 2024b) further improved the approach by evaluating the relative importance of each weight across its corresponding row and column before pruning. While their empirical results are encouraging, the underlying mechanisms remain poorly understood. This leads us to our first question:

Can we provide theoretical support for post-training pruning methods and derive more efficient algorithms with minimal adaptations to the existing framework?

To deepen our understanding of these popular PTP methods, we introduce a novel formulation—referred to as **Sym**metric Weight **And** Activation (SymWanda)—that aims to efficiently leverage *both* the input activation of a layer and the output for that layer. This symmetric and generalized approach provides theoretical insights into the mechanisms of established empirical methods such as Wanda and RIA.

Intrinsic PTP methods have demonstrated remarkable performance, as reflected by perplexity scores and zero-shot accuracy. However, their performance can degrade significantly when the sparsity ratio is high. This is due to the intrinsic reconstruction error between the pruned weights and the original pre-trained weights. Minimizing this reconstruction error is particularly important for effi-

cient post-training pruning. Beyond LLM pruning, we explore further fine-tuning to enhance model efficiency and performance. This brings us to our second problem:

057 *Can we fine-tune pruned LLMs without further training and outperforms state-of-the-art methods with minimal effort?* 

Dynamic sparse training (DST) has gained attention for selectively updating and maintaining a subset of network parameters throughout the training process while dynamically adapting the sparse topology through weight operations. Its proven efficiency in enabling effective training suggests DST could be a promising approach for fine-tuning LLMs in an efficient manner. However, DST inherently requires backpropagation to train subnetworks, and its effectiveness heavily depends on a sufficient number of weight updates (Liu et al., 2021).

Interestingly, the pruning-and-growing step within DST offers a training-free methodology, where
 sparse mask adaptation is based solely on weight properties such as magnitude (Mocanu et al.,
 2018). This opens up a potential alternative for addressing the challenge: Instead of relying on
 computationally intensive backpropagation for fine-tuning sparse LLMs, we can explore the iterative
 updating of sparse masks in a training-free manner. Motivated by this insight, we focus on training-free fine-tuning approaches.

071 DSnoT (Zhang et al., 2023) introduced a straightforward yet effective method for pruning and grow-072 ing weights using their values and statistical metrics (e.g., expectation and variance) for each ongoing pruning row. Inspired by Wanda, DSnoT achieves simplicity but falls short of fully leveraging 073 relative weight information, particularly in scenarios where weight distributions are highly non-074 uniform and contain many outliers (Zhang et al., 2024b). To address these limitations, we propose 075 incorporating relative weight importance into the growing criterion design. Furthermore, we ob-076 serve that directly optimizing for reconstruction error is suboptimal. To improve performance, we 077 introduce a regularization term that relaxes the decision boundary. Our new designs demonstrate significant efficiency and consistently achieve promising performance, paving the way for more 079 effective and computationally feasible fine-tuning methods for sparse LLMs.

Our contributions are summarized as follows: i): We propose a novel formulation, SymWanda, 081 which minimizes the impact of pruning on both input activations and output influences of weights. This approach provides theoretical insights into the empirical successes of methods such as Wanda 083 and RIA. ii): Building on this formulation, we introduce a series of innovative pruning strategies. 084 Extensive experiments validate the effectiveness of our methods. Notably, we incorporate an ef-085 ficient stochastic approach for manipulating relative importance, which achieves superior performance with highly reduced sampling cost. iii): We present a novel training-free fine-tuning method 087  $R^2$ -DSnoT that leverages relative weight importance and a regularized decision boundary within a 880 pruning-and-growing framework. This approach significantly outperforms strong baselines, achieving remarkable results. 089

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#### 2 SYMMETRIC WANDA

# 093 2.1 PREREQUISITES

Post-training pruning is defined as follows: consider a target sparsity ratio  $\varepsilon \in [0, 1)$ , a set of calibration inputs  $\mathbf{X} \in \mathbb{R}^{a \times b}$ , and pre-trained weights  $\mathbf{W} \in \mathbb{R}^{b \times c}$ . For clarity in the mathematical framework, we abstract the dimensions of inputs and weights. Specifically, in the context of large language models, let  $a := C_{in}, b := N \times L$ , and  $c \equiv C_{out}$ , where N and L denote the batch size and sequence length, respectively. The objective is to identify an optimal pruned weight matrix  $\widetilde{\mathbf{W}} \in \mathbb{R}^{b \times c}$  that minimizes:

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$$f(\mathbf{W}) \coloneqq \|\mathbf{X}(\mathbf{W} - \mathbf{W})\|_F^2,$$
 (InpRecon)

where the optimization challenge is:

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minimize 
$$f(\mathbf{W})$$
 s.t.  $\operatorname{Mem}(\mathbf{W}) \leq (1 - \varepsilon)\operatorname{Mem}(\mathbf{W})$ ,

where  $Mem(\cdot)$  denotes the memory consumption associated with a weight matrix, and (InpRecon) quantifies the input reconstruction error.

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Ta	ble	1:	Comparison	of LLM	post-training	pruning	algorithms.
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Algorithm	W?	Act.?	x	Y	$\mathbf{S}_{jk}^{(a)}$	Comment
General Sym.	1	1	х	Y	$ \mathbf{W}_{jk}  (\ \mathbf{X}_{:j}\ _2 + \ \mathbf{Y}_{k:}\ _2)$	Lemma 2.1
Marginal	1	×	I	0	$ \mathbf{W}_{jk} $	
Wanda	1	1	x	0	$\left\ \mathbf{W}_{jk}\right\ \left\ \mathbf{X}_{:j}\right\ _{2}$	Corollary B.1
OWanda	~	1	0	Y	$\left \mathbf{W}_{jk}\right \left\ \mathbf{Y}_{k:}\right\ _{2}$	Corollary B.2
Symmetric	1	1	$\mathbf{W}^{T}$	$\mathbf{W}^{T}$	$ \mathbf{W}_{jk}  \sqrt{\ \mathbf{W}_{j:}\ _{2}^{2} + \ \mathbf{W}_{:k}\ ^{2}}_{2}$	Corollary B.3
RI (v1)	1	×	$t_j(1;,\cdots;,1), t_j = (\sqrt{b} \ \mathbf{W}_{j:}\ _1)^{-1}$	$s_k(1, \cdots, 1), s_k = \left(\sqrt{c} \ \mathbf{W}_{:k}\ _1\right)^{-1}$	$\ \mathbf{W}_{j:}\ _{1}^{-1} + \ \mathbf{W}_{:k}\ _{1}^{-1}$	Theorem B.4
RI (v2)	1	×	$Diag(\ \mathbf{W}_{1:}\ _{1}^{-1}, \dots, \ \mathbf{W}_{b:}\ _{1}^{-1})$	$\mathrm{Diag}(\ \mathbf{W}_{:1}\ _1^{-1},\ldots,\ \mathbf{W}_{:c}\ _1^{-1})$	$\ \mathbf{W}_{j:}\ _{1}^{-1} + \ \mathbf{W}_{:k}\ _{1}^{-1}$	Theorem B.4
RIA	1	1	$\delta_{u=j}\delta_{v=p} \ \mathbf{C}_{:j}\ _2^{\alpha} \ \mathbf{W}_{j:}\ _1^{-1} \leq 1$	$\delta_{u=s}\delta_{v=k} \ \mathbf{C}_{:j}\ _{2}^{\alpha} \ \mathbf{W}_{:k}\ _{1}^{-1}$	$\left( \  \mathbf{W}_{j:} \ _{1}^{-1} + \  \mathbf{W}_{:k} \ _{1}^{-1} \right) \  \mathbf{X}_{:j} \ _{2}^{lpha}$	Lemma B.5
General (diag.)	1	1	$\mathbf{AD_X}^{(d)}$	$D_YB$	$\ \mathbf{A}_{:j}\ _{2}\ \mathbf{W}_{j:}\ _{1}^{-1}+\ \mathbf{B}_{k:}\ _{2}\ \mathbf{W}_{:k}\ _{1}^{-1}$	Lemma B.6
$\ell_p$ -norm (v1)	~	<b>X</b> <sup>(e)</sup>	$\ \mathbf{W}_{j:}\ _p^{-1}\cdot\ \mathbf{W}_{j:}\ _2^{-1}\cdot\mathbf{W}_{j:}^\top$	$\ \mathbf{W}_{:k}\ _p^{-1}\cdot\ \mathbf{W}_{:k}\ _2^{-1}\cdot\mathbf{W}_{:k}^\top$	$ \mathbf{W}_{jk} (\ \mathbf{W}_{j:}\ _{p}^{-1} + \ \mathbf{W}_{:k}\ _{p}^{-1})$	Lemma B.7
$\ell_p$ -norm (v2)	1	×	$\ \mathbf{W}_{j:}\ _p^{-1}\cdot\mathbf{u}$	$\ \mathbf{W}_{:k}\ _p^{-1}\cdot\mathbf{v}$	$ \mathbf{W}_{jk} (\ \mathbf{W}_{j:}\ _{p}^{-1} + \ \mathbf{W}_{:k}\ _{p}^{-1})$	Lemma B.8
StochRIA	1	×	$1_{\{i \in S_j\}} \left( \ \mathbf{W}_{j:S_j}\ _1 \sqrt{\tau} \right)^{-1}$	$1_{\{i \in S_k\}} \left( \  \mathbf{W}_{S_k:k} \ _1 \sqrt{\tau} \right)^{-1}$	$ \mathbf{W}_{jk} (\ \mathbf{W}_{j:S_j}\ _1^{-1} + \ \mathbf{W}_{S_k:k}\ _1^{-1})$	Lemma B.9

(a) Without loss of generality, we consider the elimination of a single weight,  $\mathbf{W}_{jk}$ . The detailed explanation can be found in Lemma 2.1 and Section 2.2.

<sup>(b)</sup> For simplicity, instead of displaying the entire matrices **X** and **Y**, we present the columns  $\mathbf{X}_{:j}$  and the rows  $\mathbf{Y}_{k:j}$ 

This design is employed in the algorithms RI, RIA,  $\ell_p$ -norm, and StochRIA. <sup>(c)</sup> The Kronecker delta, denoted by  $\delta_{ij}$ , is a function of two indices *i* and *j* that equals 1 if i = j and 0 otherwise

(d)  $\mathbf{D}_{\mathbf{X}}$  and  $\mathbf{D}_{\mathbf{Y}}$  are the diagonal matrices associated with  $\mathbf{W}$ , as defined in Appendix B.3.

(c) By default, for  $\ell_p$ -norm and StochRIA, we do not consider the input activation. However, the design is similar to the transition from RI to RIA, as described in Appendix B.2.

This formulation applies to various post-training compression techniques, including both pruning (Frantar & Alistarh, 2023; Sun et al., 2023; Zhang et al., 2024b) and quantization (Frantar et al., 2023; Egiazarian et al., 2024). Our focus here is specifically on post-training pruning.

#### 2.2 Symmetric Wanda: New Formulations

Building upon the methods introduced in Wanda (Sun et al., 2023), which considered both weights and activations, and later improvements by RIA (Zhang et al., 2024b), which analyzed the relative importance of weights by summing over corresponding rows and columns, we provide new insights by redefining our optimization objective. Apart from the previous defined input calibration  $\mathbf{X}$ , we particularly introduce the output calibration  $\mathbf{Y} \in \mathbb{R}^{c \times d}$ . Considering both the input and output dependencies, we express the objective as:

$$g(\widetilde{\mathbf{W}}) \coloneqq \|\mathbf{X}(\widetilde{\mathbf{W}} - \mathbf{W})\|_F + \|(\widetilde{\mathbf{W}} - \mathbf{W})\mathbf{Y}\|_F, \qquad (\text{Sym})$$

and propose to solve:

minimize  $g(\widetilde{\mathbf{W}})$ , s.t.  $\operatorname{Mem}(\widetilde{\mathbf{W}}) \leq (1 - \varepsilon)\operatorname{Mem}(\mathbf{W})$ .

We refer to the method that utilizes the general matrix in (Sym) without instantiation as SymWanda,
which is designed to minimize the reconstruction error affected by both the input X and the output Y. It is important to note that this formulation employs *non-squared* Frobenius norms to facilitate
better theoretical interpretations. A squared norm version is also provided in Appendix F for comparison. We elucidate the efficacy of both approaches and provide new theoretical insights into the performance advantages previously observed with Wanda and RIA.

**Lemma 2.1.** Assume we aim to eliminate a single weight  $\mathbf{W}_{jk}$ , setting  $\mathbf{W}_{jk} = 0$  and keeping all other weights unchanged. The simplified expression for  $g(\widetilde{\mathbf{W}})$  becomes:

 $g(\widetilde{\mathbf{W}}) = |\mathbf{W}_{jk}| \left( \|\mathbf{X}_{:j}\|_2 + \|\mathbf{Y}_{k:}\|_2 \right) \coloneqq \mathbf{S}_{jk},\tag{1}$ 

where  $\mathbf{X}_{:j}$  and  $\mathbf{Y}_{k:}$  represent the *j*-th column and *k*-th row of  $\mathbf{X}$  and  $\mathbf{Y}$ , respectively.

This formulation (1) underscores the impact of individual weights on the error metrics and guides the pruning process. While Lemma 2.1 simplifies the formulation for pruning a single weight, the general approach can be extended to multiple weights iteratively. This method facilitates a robust pruning strategy that is backed by both empirical results and theoretical foundations, bridging the gap in understanding observed in prior studies such as Wanda (Sun et al., 2023) and RIA (Zhang et al., 2024b). We compare different methods and introduce various new strategies in Table 1, with details provided in Appendix B.

### 166 2.3 TRAINING-FREE FINE-TUNING

We explore training-free fine-tuning within the context of the pruning-and-growing framework. Specifically, for the pruned weight matrix  $\widetilde{W}$ , we aim to minimize the reconstruction error as defined in (Sym). Initially, we identify the growth index, followed by the pruning index, to maintain a consistent sparsity ratio. DSnoT (Zhang et al., 2023) developed a growing criterion based on the expected change in reconstruction error when reinstating a weight. Particularly, for any given weight row  $q \in [1, b]$ , the index *i* is determined as follows:

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$$i = \underset{r}{\arg\max} \operatorname{sign}(\mathbb{E}[\epsilon_q]) \cdot \mathbf{W}_{q,r} \cdot \mathbb{E}[\mathbf{X}_q] / \operatorname{Var}(\mathbf{X}_q)$$

where  $\epsilon_q := \mathbf{W}_q : \mathbf{X} - \widetilde{\mathbf{W}}_q : \mathbf{X}$  denotes the reconstruction error of the q-th row across different input activations. It is important to note that for simplicity, output activations are not considered here, which may provide an interesting avenue for future exploration. The functions  $\operatorname{sign}(\cdot)$ ,  $\mathbb{E}[\cdot]$ , and Var( $\cdot$ ) denote the standard sign function, expectation, and variance of given inputs over  $N \times L$ tokens, respectively. Drawing inspiration from the Wanda metric, the DSnoT model defines the pruning index j as:

$$j = \underset{r:\Delta(q,r)<0}{\arg\min} \left\| \mathbf{W}_{q,r} \right\| \left\| \mathbf{X}_{q} \right\|_{2}$$

184 where  $\Delta(q, r) \coloneqq \operatorname{sign}(\mathbb{E}[\epsilon_q]) \left( \widetilde{\mathbf{W}}_{q,r} \cdot \mathbb{E}[\mathbf{X}_q] \right).$ 

Several simple yet effective modifications have been incorporated into the pruning-and-growing 186 framework: a) Relative weight importance. Both in determining the growing index i and the 187 pruning index j, we incorporate global information, emphasizing the relative importance of weights 188 in neuron selection. b) Square root activation. Our follow-up experiments on Wanda and RIA 189 demonstrate the benefits of square root activation in determining the pruning index j. c) Regularized 190 objective. The method MagR (Zhang et al., 2024a) found that adding an  $\ell_{\infty}$  norm helps reduce the 191 magnitude of weights during quantization. Here, we adopt a more general regularizer, considering 192 a general  $\ell_p$  norm and focusing on specific rows rather than entire layers to reduce communication 193 costs.

Define  $\mathbf{D}_{q,r} \coloneqq \|\widetilde{\mathbf{W}}_{q,:}\|_1^{-1} + \|\widetilde{\mathbf{W}}_{:,r}\|_1^{-1}$ . The updated rule for identifying the growing index *i* is formalized as:

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$$i = \arg\max_{r} \left\{ \operatorname{sign}(\mathbb{E}[\epsilon_{q}]) \cdot \mathbf{D}_{q,r} \cdot \frac{\mathbb{E}[\mathbf{X}_{q}]}{\operatorname{Var}(\mathbf{X}_{q})} + \gamma_{1} \|\widetilde{\mathbf{W}}_{q}\|_{p} \right\},$$
(2)

where  $\gamma_1$  is the growing regularization parameter, striking a balance between fidelity and the  $\ell_p$  regularizer. Similarly, the pruning index j is now defined as:

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$$j = \underset{r:\Delta(q,r)<0}{\operatorname{arg\,min}} \left\{ |\widetilde{\mathbf{W}}_{q,r}| \cdot \mathbf{D}_{q,r} \cdot \|\mathbf{X}_{q}\|_{2}^{\alpha} + \gamma_{2} \|\widetilde{\mathbf{W}}_{q}\|_{p} \right\},\tag{3}$$

where  $\Delta(q, r) \coloneqq \operatorname{sign}(\mathbb{E}[\epsilon_q]) \left( \widetilde{\mathbf{W}}q, r \cdot \mathbf{D}q, r \cdot \mathbb{E}[\mathbf{X}_q] \right)$ , and  $\gamma_2$  denotes the pruning regularization parameter.

We name this approach *Relative and Regularized Dynamic Sparse No Training* ( $R^2$ -DSnoT). It enables efficient network fine-tuning without additional training, conserving computational resources while enhancing performance.

Comment. We present extensive experiments in Appendix C and a detailed discussion of future
 work in Appendix D, demonstrating both the efficiency and comprehensive analysis of our method.
 In conclusion, this paper provides a systematic theoretical analysis of post-training pruning meth ods and introduces a symmetric pruning framework that enhances large language model efficiency without requiring additional training.

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378 379	C	ONTI	ENTS	
380 381	1	Intr	oduction	1
382 383	2	Sym	ımetric Wanda	2
384		2.1	Prerequisites	2
385 386		2.2	Symmetric Wanda: New Formulations	3
387		2.3	Training-Free Fine-Tuning	4
389 390	A	Rela	ated Work	9
391 392	B	Inst	antiation of Various Post-Training Pruning Methods	9
393		<b>B</b> .1	Recovering Wanda and Relative Importance (RI)	9
394 395		<b>B</b> .2	From RI to RI Activation	10
396		<b>B</b> .3	General Solution	10
397		<b>B.</b> 4	Enhanced Relative Importance Strategies	10
398 399			B.4.1 Generalized $\ell_p$ -Norm	11
400 401			B.4.2 Stochastic Relative Importance	11
402	С	Exp	eriments	11
403		<b>C</b> .1	Efficiency of Stochastic Methods	12
405		C.2	Insights on Sensitivity, Activation, and Sparsity	12
406 407		C.3	Training-Free Fine-Tuning Comparisons	14
408 409	D	Disc	cussion and Future Work	15
410	E	Miss	sing Proofs	15
412 413		E.1	Proof of Lemma 2.1	15
414		E.2	Proof of Theorem B.4	16
415		E.3	Proof of Lemma B.6	17
417		E.4	Proof of Lemma B.7	17
418		E.5	Proof of Lemma B.8	18
419 420		E.6	Proof of Lemma B.9	18
421 422 422	F	Sym	metric Wanda Variant with Squared Frobenius Norms	19
423	G	Add	litional Experiments	21
425		G.1	Implementation Details	21
420		G.2	Optimal $\ell_p$ Norm	21
428		G.3	$\ell_p$ Norm Re-weighting	22
429 430		G.4	Influence of Sampling Ratios	22
431		G.5	Analysis of R <sup>2</sup> -DSnoT Hyperparameters	23

## 432 A RELATED WORK

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**Traditional model pruning.** Pruning has emerged as a powerful strategy to compress and ac-435 celerate deep neural networks by removing redundant connections while preserving overall perfor-436 mance (Han et al., 2015; Frankle & Carbin, 2018; Hoefler et al., 2021). Early works introduced 437 iterative pruning-and-retraining approaches, which iteratively identify unimportant weights, discard 438 them, and retrain the resulting sparse network to recover accuracy (LeCun et al., 1989; Han et al., 439 2015). More recent dynamic sparse training techniques (Mocanu et al., 2018; Bellec et al., 2018; Lee 440 et al., 2018; Mostafa & Wang, 2019) start from a sparse initialization and continuously prune and grow connections throughout training. These methods integrate sparsification into the training loop, 441 yielding promising trade-offs between model size and performance. A prominent line of work has 442 leveraged learnable thresholds to realize non-uniform sparsity (Kusupati et al., 2020) or combined 443 magnitude-based pruning with periodic connectivity updates to regrow valuable weights (Evci et al., 444 2020; Lasby et al., 2023). However, most of these methods still rely on standard back-propagation 445 over the full parameter set, which can be prohibitively expensive when scaling up to LLMs.

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**LLM post-training pruning.** The substantial computational demands of LLMs have raised the 448 development of pruning methods tailored to reduce parameters counts without compromising per-449 formance (Li et al., 2023; Zhu et al., 2024). Among these methods, post-training pruning elim-450 inates redundant parameters in a pre-training network without requiring resource-intensive fine-451 tuning. For instance, SparseGPT (Frantar & Alistarh, 2023) leverages second-order information to 452 solve layer-wise reconstruction problems, supporting both unstructured and N:M structured sparsity 453 (Zhou et al., 2021). Wanda (Sun et al., 2023) introduces a pruning metric that incorporates both weight magnitudes and corresponding input activations, achieving perplexity performance compa-454 rable to SparseGPT while surpassing simple magnitude-based pruning. The RIA method (Zhang 455 et al., 2024b) builds on Wanda by considering relative weight importance, offering performance 456 improvements at minimal additional cost. Moreover, DSnoT (Zhang et al., 2023) proposes pruning 457 and regrowing weights based on statistical properties (e.g., mean and variance) in each pruning row, 458 obviating the need for retraining. 459

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### **B** INSTANTIATION OF VARIOUS POST-TRAINING PRUNING METHODS

#### B.1 RECOVERING WANDA AND RELATIVE IMPORTANCE (RI)

465 **Corollary B.1.** Setting  $\mathbf{Y} = \mathbf{0} \in \mathbb{R}^{c \times d}$  transitions our method to input Wanda, described by 466  $\mathbf{S}_{jk} \coloneqq |\mathbf{W}_{jk}| \|\mathbf{X}_{:j}\|_2$ .

This directly aligns with the objective in Sun et al. (2023), demonstrating that Wanda is a specific case under our broader framework.

**Corollary B.2.** Conversely, choosing  $\mathbf{X} = \mathbf{0} \in \mathbb{R}^{a \times b}$  simplifies our pruning method to what we term output Wanda (denoted as OWanda), where the score matrix becomes  $\mathbf{S}_{jk} \coloneqq |\mathbf{W}_{jk}| \|\mathbf{Y}_{k:}\|_2$ .

472 473 474 **Corollary B.3.** By setting  $\mathbf{X} = \mathbf{W}^{\top} \in \mathbb{R}^{c \times b}(a = c)$  and  $\mathbf{Y} = \mathbf{W}^{\top} \in \mathbb{R}^{c \times b}(d = b)$ , the score matrix  $\mathbf{S}_{jk}$  is redefined as  $|\mathbf{W}_{jk}|(||\mathbf{W}_{j:}||_2 + ||\mathbf{W}_{:k}||_2)$ .

This configuration suggests an alternative masking approach and segues into a further analysis on how our method encompasses both Wanda and RIA as special cases. The following theorem provides a provable construction to recover the relative importance design in Zhang et al. (2024b).

**Theorem B.4.** Assuming a = b and c = d, consider one of the following strategies:

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- $\mathbf{X}_{:j} := t_j(1; \ldots; 1) \in \mathbb{R}^{b \times 1}$  and  $\mathbf{Y}_{k:} := s_k(1, \ldots, 1) \in \mathbb{R}^{1 \times c}$ , where  $t_j = (\sqrt{b} \| \mathbf{W}_{j:} \|_1)^{-1}$ and  $s_k = (\sqrt{c} \| \mathbf{W}_{:k} \|_1)^{-1}$ .
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•  $\mathbf{X} = \text{Diag}(\|\mathbf{W}_{1:}\|_{1}^{-1}, \dots, \|\mathbf{W}_{b:}\|_{1}^{-1})$  and  $\mathbf{Y} = \text{Diag}(\|\mathbf{W}_{:1}\|_{1}^{-1}, \dots, \|\mathbf{W}_{:c}\|_{1}^{-1})$ .

485 For these configurations, the condition  $\|\mathbf{X}_{:j}\|_2 + \|\mathbf{Y}_{k:}\|_2 = \alpha_{jk} := \|\mathbf{W}_{j:}\|_1^{-1} + \|\mathbf{W}_{:k}\|_1^{-1}$  holds for all j, k.

486 This theorem elucidates that our methodology can invariably reconstruct the framework of relative 487 importance RI in (Zhang et al., 2024b), validating the adaptability and breadth of our proposed 488 pruning strategy. 489

#### B.2 FROM RI TO RI ACTIVATION

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In Theorem B.4, we revisit the concept of Relative Importance (RI). Specifically, we represent RI by the following equation:

 $\mathbf{S}_{jk} = |\mathbf{W}_{jk}| \|\mathbf{W}_{j:}\|_{1}^{-1} + |\mathbf{W}_{jk}| \|\mathbf{W}_{k}\|_{1}^{-1} \coloneqq \mathsf{RI}_{jk}.$ 

Zhang et al. (2024b) also introduces an enhanced version of RI, termed RI with Activation (RIA), which incorporates the  $\ell_2$ -norm of activations:

$$\mathsf{RIA}_{jk} = \mathsf{RI}_{jk} \cdot \|\mathbf{X}_{:j}\|_2^{\alpha},\tag{4}$$

502 where  $\alpha$  is controlling the strength of activations.

This section aims to explore the derivation of RIA with theoretical grounding in RI. To clarify our 504 notation and avoid confusion, we are aiming at finding the suitable  $\mathbf{A} \in \mathbb{R}^{a \times b}$  and  $\mathbf{B} \in \mathbb{R}^{c \times d}$  such 505 as: 506

$$\|\mathbf{A}_{j:}\|_{2} + \|\mathbf{B}_{k}\|_{2} = \left(\|\mathbf{W}_{j:}\|_{1}^{-1} + \|\mathbf{W}_{k}\|_{1}^{-1}\right) \cdot \|\mathbf{C}_{j}\|_{2}^{\alpha}$$

where  $C_{ij}$  will be instantiated as  $X_{ij}$  to satisfy Equation (4). 509

**Lemma B.5.** Let p be a valid column index for A. Define  $A_{uv} = 0$  for all  $(u, v) \neq (j, p)$ , and 510  $\mathbf{A}_{j,p} = \|\mathbf{C}_{:j}\|_{2}^{\alpha} \|\mathbf{W}_{j:}\|_{1}^{-1}.$  Similarly, let s be a valid row index for **B**. Define  $\mathbf{B}_{uv} = 0$  for all  $(u, v) \neq (s, k)$ , and  $\mathbf{B}_{s,k} = \|\mathbf{C}_{:j}\|_{2}^{\alpha} \|\mathbf{W}_{:k}\|_{1}^{-1}.$  Then we recover Equation (4). 511 512

The nonzero element in A ensures that the  $\ell_2$ -norm of the *j*-th row of A is:  $\|\mathbf{A}_{j:}\|_2 = \|\mathbf{W}_{j:}\|_1^{-1}$ . 514  $\|\mathbf{C}_{ij}\|_{2}^{\alpha}$ . Similarly, the nonzero element in **B** ensures that the  $\ell_{2}$ -norm of the k-th column of **B** is: 515  $\|\mathbf{B}_{k}\|_{2} = \|\mathbf{W}_{k}\|_{1}^{-1} \cdot \|\mathbf{C}_{j}\|_{2}^{\alpha}$ . Combining these norms fulfills the intended equation. 516 517

518 **B.3** GENERAL SOLUTION

520 In Theorem B.4, we presented two distinct strategies for recovering the relative importance as described in Zhang et al. (2024b). Following this, in Lemma B.5, we constructed a method that 521 accounts for both the weights and the input activations. Inspired by the diagonal design in Theo-522 rem B.4, we now propose a general variant that considers both the weights and the activations. 523

Given that  $\mathbf{D}_{\mathbf{X}} \in \mathbb{R}^{b \times b}$  and  $\mathbf{D}_{\mathbf{Y}} \in \mathbb{R}^{c \times c}$  are diagonal matrices with entries defined as  $(\mathbf{D}_{\mathbf{X}})_{ii} =$ 524  $x_i = \|\mathbf{W}_{i:}\|_1^{-1}$  and  $(\mathbf{D}_{\mathbf{Y}})_{ii} = y_i = \|\mathbf{W}_{:i}\|_1^{-1}$  respectively, and  $\mathbf{A} \in \mathbb{R}^{a \times b}$  and  $\mathbf{B} \in \mathbb{R}^{c \times d}$  are arbitrary matrices, our objective is to compute the sum of norms:  $\| (\mathbf{AD}_{\mathbf{X}})_{:j} \|_{2} + \| (\mathbf{D}_{\mathbf{Y}} \mathbf{B})_{k:} \|_{2}$ .

**Lemma B.6.** *Given the above definition, we show* 

$$\left\| \left( \mathbf{A} \mathbf{D}_{\mathbf{X}} \right)_{:j} \right\|_{2} + \left\| \left( \mathbf{D}_{\mathbf{Y}} \mathbf{B} \right)_{k:} \right\|_{2} = \frac{\left\| \mathbf{A}_{:j} \right\|_{2}}{\left\| \mathbf{W}_{j:} \right\|_{1}} + \frac{\left\| \mathbf{B}_{k:} \right\|_{2}}{\left\| \mathbf{W}_{:k} \right\|_{1}}.$$

The utilization of the diagonal matrices  $D_{\mathbf{X}}$  and  $D_{\mathbf{Y}}$  simplifies the sum of the norms to the expressions derived above, offering insights into the influence of the weight matrix W on the norms of 534 matrix transformations. 535

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**ENHANCED RELATIVE IMPORTANCE STRATEGIES B.4** 

Beyond RIA, we propose several alternative strategies for relative importance that aim to minimize  $\mathbf{S}_{ik}$  in Equation (1).

# 540 B.4.1 GENERALIZED $\ell_p$ -Norm

Expanding beyond the conventional  $\ell_1$ -norm, we explore the utility of the  $\ell_p$ -norm in designing score matrices. In our approach, mirroring the strategy outlined in Theorem B.4 for reconstructing RIA outcomes, we define the score as:

$$\mathbf{S}_{jk} = |\mathbf{W}_{jk}| (\|\mathbf{W}_{j:}\|_{p}^{-1} + \|\mathbf{W}_{:k}\|_{p}^{-1}).$$
(5)

Next, we are interested in finding the explicit formulation of X and Y instead of the norm representation when constructing the general  $\ell_p$ -norm.

550 **Lemma B.7** (Generalized  $\ell_p$ -norm). Let  $\mathbf{X}_{:j} = \|\mathbf{W}_{j:}\|_p^{-1} \cdot \|\mathbf{W}_{j:}\|_2^{-1} \cdot \mathbf{W}_{j:}^{\top}$  and  $\mathbf{Y}_{k:} = \|\mathbf{W}_{:k}\|_p^{-1} \cdot \|\mathbf{W}_{:k}\|_2^{-1} \cdot \mathbf{W}_{:k}^{\top}$ , we recover Equation (5).

Since the equation only requires  $\|\mathbf{X}_{j}\|_{2} = \|\mathbf{W}_{j}\|_{p}^{-1}$ , any vector with this  $\ell_{2}$ -norm will satisfy the condition. Inspired by this fact, we can consider the random unit vector scaling in the below lemma.

Lemma B.8 (Random unit vector scaling). Choose any unit vector  $\mathbf{u}, \mathbf{v}$  (*i.e.*,  $\|\mathbf{u}\|_2 = 1, \|\mathbf{v}\|_2 = 1$ ) and set  $\mathbf{X}_{:j} = \|\mathbf{W}_{j:}\|_p^{-1} \cdot \mathbf{u}$  and  $\mathbf{Y}_{k:} = \|\mathbf{W}_{:k}\|_p^{-1} \cdot \mathbf{v}$  ensuring Equation (5).

## 559 B.4.2 STOCHASTIC RELATIVE IMPORTANCE

Considering the computational and noise challenges associated with summing all elements across the full rows and columns of large matrices, we introduce a stochastic approach that involves sampling a subset of each row and column. This method assesses the effects of varying subset sizes, denoted by  $\tau$ , where  $\tau < \min(b, c)$ , on the overall performance. Specifically, we aim to:

a) Evaluate the sensitivity of the final performance to the size of  $\tau$  when  $\tau$  is reasonably large.

b) Determine if random sampling can enhance the results compared to a deterministic approach.

568 For this, we define the score matrix for a randomly sampled subset as:

$$\mathbf{S}_{jk} = |\mathbf{W}_{jk}| (\|\mathbf{W}_{j:S_j}\|_1^{-1} + \|\mathbf{W}_{S_k:k}\|_1^{-1}),$$
(6)

where  $S_j$  and  $S_k$  represent the sampled indices from the *j*-th row and *k*-th column, respectively, each with a cardinality of  $\tau$ . This approach builds on the RIA-inspired framework, adapting it for practical scenarios involving large-scale data.

For RIA in each weight layer, the reweighting sampling complexity is O(b + c). In LLMs, b and c are always very large. Let's say the selection ratio is  $\beta$ , then for the stochastic relative importance design, the sampling complexity can be reduced to  $O(\beta \min(b, c))$ , which has been highly reduced.

**Lemma B.9.** Let  $S_j$  and  $S_k$  be index sets, and let  $\tau > 0$ . Define the vectors  $\mathbf{X}_{:j}$  and  $\mathbf{Y}_{k:}$  by

$$\mathbf{X}_{:j}(i) = \frac{\mathbf{1}_{\{i \in S_j\}}}{\|\mathbf{W}_{j:S_j}\|_1 \sqrt{\tau}}, \quad \mathbf{Y}_{k:}(i) = \frac{\mathbf{1}_{\{i \in S_k\}}}{\|\mathbf{W}_{S_k:k}\|_1 \sqrt{\tau}}$$

582 Then these vectors satisfy Equation (6).

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#### C EXPERIMENTS

586 **Setup and configurations.** We assess the proposed methods across a broad spectrum of popu-587 lar LLMs, including LlaMA2 (7b-13b) (Touvron et al., 2023b), LlaMA3-8b (Dubey et al., 2024), 588 OPT-1.3b (Zhang et al., 2022a). We utilize publicly available model checkpoints from the Hug-589 gingFace Transformers library (Wolf et al., 2020) for our evaluations. Each experiment, focused 590 on post-training pruning, is conducted on an NVIDIA A100-80G GPU. The effectiveness of each 591 pruned model is primarily measured using the perplexity score on the Wikitext-2 dataset (Merity et al., 2016). For calibration, we use 128 samples from the C4 dataset (Raffel et al., 2020), with 592 each sample comprising 2048 tokens. This approach ensures consistency with the settings used in baseline methods, enabling a fair comparison.

Table 2: Comparison of StochRIA ( $\beta = 0.1$ ) and RIA on the Wikitext-2 dataset, using perplexity scores with  $\alpha = 1$ . For StochRIA, the mean perplexity over 5 trials is shown in dark, with standard deviation in green. Improvements and declines relative to RIA are indicated in blue and red, respectively.

Sparsity	Method	Sampling	LlaMA2-7b	LlaMA2-13b	LlaMA3-8b	OPT-1.3b
-	Dense	-	5.47	4.88	6.14	14.62
50%	Magnitude Wanda	- -	16.03 7.79	6.83 6.28	205.44 10.81	1712.39 22.19
2070	RIA stochRIA	<b>Full</b> 10%	$\begin{array}{c} 6.88 \\ 6.91 \substack{\pm 0.0032 \\ -0.03 \end{array}$	$5.95 \\ 5.95^{\pm 0.0033}_{\pm 0}$	9.44 $9.46^{\pm 0.025}_{-0.02}$	$18.94 \\ 18.78^{\pm 0.050}_{+0.16}$
2:4	RIA stochRIA	<b>Full</b> 10%	$11.31 \\ 11.41_{-0.10}^{\pm 0.046}$	$\begin{array}{c} 8.40 \\ 8.44 \substack{\pm 0.016 \\ \textbf{-0.04} \end{array}$	$22.89 \\ 23.74_{\pm 0.15}^{\pm 0.230}$	$27.43 \\ 26.78^{\pm 0.127}_{+0.65}$
4:8	RIA stochRIA	<b>Full</b> 10%	$8.39 \\ 8.44_{-0.05}^{\pm 0.014}$	$6.74 \\ 6.74^{\pm 0.013}_{+0}$	$13.77 \\ 13.93_{-0.16}^{\pm 0.095}$	$21.59 \\ 21.49^{\pm 0.089}_{+0.10}$

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#### C.1 EFFICIENCY OF STOCHASTIC METHODS

We begin by examining two key designs discussed in Appendix B.4: the generalized  $\ell_p$  norm and stochastic relative importance. The results for the  $\ell_p$  norm are presented in Appendix G.2, where we confirm that p = 1 is indeed optimal. We also compare various  $\ell_p$  norm reweighting strategies, with the results presented in Appendix G.3. Our primary focus, however, is on the findings related to stochastic relative importance, which, to the best of our knowledge, represents the first approach to incorporating stochasticity into LLM post-training pruning.

In addition to unstructured pruning with a sparsity ratio of 0.5, we also explore structured pruning using the N:M pattern (Zhou et al., 2021; Zhang et al., 2022b). The results are presented in Table 2. Noticed that here for intuitive comparison between RIA and stochRIA, we use the plain N:M structural pruning without channel permutation. These results consistently demonstrate the benefits and efficiency of our proposed method, stochRIA.

Furthermore, when aggregating results across all examined models and baselines, stochRIA achieves an accumulated perplexity that is 0.66 lower than RIA, demonstrating the effectiveness of a stochastic design. This stochastic sampling preserves the diversity needed to handle subpopulations that rely on lower-average-importance weights while also helping preserve generalization by avoiding the dilution of salient features.

We also evaluate the performance across different sampling ratios, as shown in Appendix G.4. Our main takeaway is that stochRIA exhibits stable and competitive performance relative to RIA, particularly when the sampling ratio  $\tau \ge 0.05$ . At or above this threshold, the performance remains robust and occasionally surpasses less noisy sampling configurations. However, at an extremely low sampling ratio of  $\tau = 0.01$ , a significant performance drop is observed. Consequently, we adopt  $\tau = 0.1$  as the default setting for our experiments.

C.2 INSIGHTS ON SENSITIVITY, ACTIVATION, AND SPARSITY

Column and row sensitivity. Compared with the Wanda design, RIA accounts for the relative
 importance of both rows and columns. However, it remains unclear whether columns and rows con tribute equally to RIA's performance improvements. To investigate this, we conducted an extensive
 analysis of the significance of column-wise and row-wise relative importance, with the results shown
 in Table 3. A key finding is that the sum of the columns has more impact on performance, indicating
 greater importance.

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Model		LlaM/	A2-7b			LlaM/	A2-13b			LlaM	43-8b			OPT-	1.3b	
α	0	0.5	1	2	0	0.5	1	2	0	0.5	1	2	0	0.5	1	2
Dense		5.4	47			4.	88			6.	14			14.6	52	
Wanda Col-Sum	16.03 11.59	7.60 6.83	7.79 6.91	8.66 7.46	6.83 6.39	6.17 <b>5.87</b>	6.28 5.96	7.15	205.44 59.41	10.66 9.53	10.81 9.69	12.98 12.01	1712.39 1062.66	22.14 18.28	22.19 18.41	24.74 22.25
RIA	7.39	6.81	6.88	7.37	5.95	5.93	6.24 5.95	6.56	17.80	9.34	9.44	10.67	64.70	18.09	18.94	23.39
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Table 3: Perplexity scores on Wikitext-2, accounting for various norm  $\alpha$  values and column & row sensitivity, with a sparsity ratio 50%.

Figure 1: Visualization of the dense weight matrix in LLaMA2-7b.

678 To provide further insights, we visualized the heatmap of a randomly selected dense weight matrix 679 from LLaMA2-7b, as illustrated in Figure 1. The heatmap displays stripe-like patterns, indicat-680 ing column-specific structures where certain columns show significantly higher activations, forming 681 distinct stripes. This observation suggests that normalizing by rows effectively balances these dis-682 parities. In cases where the rows within a specific column already exhibit relatively uniform distri-683 butions, normalization over rows may not be necessary. Thus, column normalization alone might suffice to balance the contributions of output neurons, especially when some columns dominate due 684 to large absolute values. 685

Benefits of square root input activation. In the design of Wanda (Sun et al., 2023), the power 687 factor  $\alpha$  applied to input activations is set to 1, whereas in RIA (Zhang et al., 2024b),  $\alpha$  is adjusted 688 to 0.5. In this study, we systematically explore the impact of varying the power factor on input 689 activations, with detailed results presented in Table 3. An  $\alpha$  value of 0 implies that no activation is 690 considered in generating the pruning matrix. Our findings consistently show that incorporating input 691 activation improves performance in terms of perplexity. Notably,  $\alpha = 0.5$  proved optimal across 692 various methods, underscoring the advantages of reducing the magnitude of input activations. We 693 attribute this improvement to the mitigation of outliers in the input activations, where smoothing 694 these values provides more meaningful guidance for pruning. 695

Various unstructured sparsity ratios. We established a default unstructured sparsity ratio of
 50%. In this section, we investigate the impact of varying sparsity ratios, as detailed in Table 4.
 For stochRIA, we report the mean average perplexity after three trials. Given that stochRIA has been
 shown to be stable, with variance examined in Table 1, we omit the variance to focus on performance.
 Our findings reveal that Wanda is particularly sensitive to higher sparsity ratios, whereas both RIA
 and our proposed stochRIA demonstrate robustness to increased sparsity, maintaining stable performance across a broader range of conditions. Interestingly, we observed that on LLaMA3-8b and

Table 4: Perplexity on Wikitext-2 with different sparsity.  $\alpha = 1.0$ .

Sparsity	Method	Sampling	L2-7b	L2-13b	L3-8b	OPT-1.3b
Dense	-	-	5.47	4.88	6.14	14.62
	Wanda	-	7.79	6.28	10.81	22.19
50%	RIA	Full	6.88	5.95	9.44	18.94
	stochRIA	10%	6.91	5.95	9.46	18.78
	Wanda	-	15.30	9.63	27.55	38.81
60%	RIA	Full	10.39	7.84	19.52	26.22
	stochRIA	10%	10.62	7.97	19.04	25.93
	Wanda	-	214.93	104.97	412.90	231.15
70%	RIA	Full	<b>68.75</b>	51.96	169.51	98.52
	stochRIA	10%	72.85	62.15	155.34	93.29

Table 5: Perplexity scores on Wikitext-2 after training-free fine-tuning. The sparsity ratio is set to 60% and  $\alpha = 0.5$ .

Base	FT	LlaMA2-7b	LlaMA2-13b	LlaMA3-8b
Dense	-	5.47	4.88	6.14
Magnitude	-	6.9e3	10.10	4.05e5
Magnitude	DSnoT	4.1e3	10.19	4.18e4
Magnitude	$R^2$ -DSnoT	2.4e2	10.09	1.44e4
Wanda	-	9.72	7.75	21.36
Wanda	DSnoT	10.23	7.69	20.70
Wanda	$R^2$ -DSnoT	10.08	7.69	20.50
RIA	-	10.29	7.85	21.09
RIA	DSnoT	9.97	7.82	19.51
RIA	$R^2$ -DSnoT	9.96	7.78	18.99

OPT1.3b, stochRIA consistently outperforms RIA, whereas on LLaMA2-7b and LLaMA2-13b, the reverse is true. This intriguing phenomenon may be attributed to the heavy noise present in the sampling process for LLaMA3-8b and OPT1.3b. In such cases, selecting a subset of weights through stochRIA may yield more reliable relative weight information, resulting in improved performance.

#### C.3 TRAINING-FREE FINE-TUNING COMPARISONS

The intrinsic gap between pruned weights and the original, unpruned pretrained weights underscores the importance of minimizing reconstruction loss to achieve promising results. We introduced  $R^2$ -DSnoT, which incorporates relative weight reweighting and a regularized decision boundary during the dynamic sparse refinement step, all without additional training. Perplexity scores, as shown in Table 5, reveal that our  $R^2$ -DSnoT approach consistently surpasses baseline methods and the previ-ous state-of-the-art DSnoT without fine-tuning. For instance, Magnitude exhibited subpar perplexity scores on LlaMA2-7b and LlaMA3-8b; however, our  $R^2$ -DSnoT achieved perplexity reductions of 96.5% and 96.4%, respectively. These results not only validate  $R^2$ -DSnoT's efficacy but also offer guidance for scenarios involving high sparsity or underperforming pruned models, with minimal effort and no additional training.

Zero-shot performance. To provide a comprehensive evaluation, we also conducted zero-shot classification tests using seven well-regarded datasets. These tests assess the pruned models' ability to accurately categorize objects or data points into previously unseen categories. We employed the methodology described by Sun et al. (2023) and utilized tasks from the EleutherAI LM Harness (Gao et al., 2021), including BoolQ (Clark et al., 2019), RTE (Wang et al., 2018), HellaSwag (Zellers et al., 2019), WinoGrande (Sakaguchi et al., 2021), ARC (Easy and Challenge) (Clark et al., 2018), and OpenbookQA (Mihaylov et al., 2018). The results, presented in Table 6, show that R<sup>2</sup>-DSnoT consistently outperforms DSnoT in zero-shot tasks, confirming its effectiveness. To the best of our

758	Params	Method	BoolQ	RTE	HellaSwag	WinoGrande	ARC-e	ARC-c	OBQA	Mean
759		Dense	77.7	62.8	57.2	69.2	76.4	43.4	31.4	57.9
760		Magnitude	41.2	51.3	37.0	55.7	50.0	27.0	16.2	39.3
761	LlaMA2_7b	w. DSnoT	43.2	54.2	38.4	56.4	53.3	27.7	20.6	41.1
762	LiawiA2-70	w. $R^2$ -DSnoT	50.9	52.0	39.8	56.8	56.6	28.3	23.4	43.4
763		RIA w. DSnoT	65.1	53.1 53.4	43.5	63.2 64.6	64.6	30.2	26.0 26.4	49.5 50.2
764		w. $R^2$ -DSnoT	65.2	53.8	44.7	65.1	65.0	31.6	27.0	<b>50.2 50.3</b>
765		Dense	81.3	69.7	60.1	73.0	80.1	50.4	34.8	64.2
766		Magnitude	37.8	52.7	30.7	51.0	39.7	23.4	14.4	35.7
767	LlaMA3-8b	w. DSnoT	37.8	52.7	33.4	49.9	43.5	23.0	14.8	36.4
768		w. <i>R</i> <sup>-</sup> -DSno1	37.8	52.7	33.1	52.1	45.9	23.0	14.8	37.1
700		RIA	70.2	53.4	39.7	61.7	61.1	28.6	20.4	47.9
109		w. DSnoT	70.7	53.4	40.3	61.3	61.7	28.0	20.0	47.9
770		w. <i>R</i> <sup>2</sup> -DSno I	/0.4	55.4	40.3	61.9	61.2	28.3	21.0	48.1

Table 6: Accuracies (%) for LLaMA2 models on 7 zero-shot tasks at 60% unstructured sparsity.

knowledge,  $R^2$ -DSnoT establishes a new state-of-the-art for training-free pruning and fine-tuning methods in zero-shot performance.

#### D DISCUSSION AND FUTURE WORK

779 Beyond pruning. Our exploration of Wanda and RIA introduced the symmetric objective in (Sym),
780 initially aimed at post-training pruning for LLMs. However, our approach is extendable to post781 training quantization and training-aware compression (Frantar et al., 2023; Egiazarian et al., 2024;
782 Malinovskii et al., 2024), making these areas promising for future research.

**Better sampling.** In Appendix C.1, we demonstrated that selective sampling of matrix rows and columns enhances both performance and efficiency by maintaining diversity in lower-importance weights. Future research could explore asymmetric or non-uniform sampling within the (Sym) framework to further optimize performance.

**Exploring symmetric designs.** As shown in Table 1, general and diagonal-specific symmetric designs for LLM compression highlight the potential of symmetric weight and activation patterns. Extending these approaches to distributed and federated settings (Yi et al., 2024; Ye et al., 2024) could also be valuable.

#### E MISSING PROOFS

E.1 PROOF OF LEMMA 2.1

By using the definition of  $g(\mathbf{W})$  in Equation (InpRecon), we have

$$g(\widetilde{\mathbf{W}}) = \sqrt{\sum_{k=1}^{c} \left\| \mathbf{X} \left( \widetilde{\mathbf{W}}_{:k} - \mathbf{W}_{:k} \right) \right\|_{2}^{2}} + \sqrt{\sum_{j=1}^{b} \left\| \left( \widetilde{\mathbf{W}}_{j:} - \mathbf{W}_{j:} \right) \mathbf{Y} \right\|_{2}^{2}}$$
$$= \sqrt{\sum_{k=1}^{c} \sum_{i=1}^{a} \left( \mathbf{X}_{i:} \left( \widetilde{\mathbf{W}}_{:k} - \mathbf{W}_{:k} \right) \right)^{2}} + \sqrt{\sum_{j=1}^{b} \sum_{l=1}^{d} \left( \left( \widetilde{\mathbf{W}}_{j:} - \mathbf{W}_{j:} \right) \mathbf{Y}_{:l} \right)^{2}}$$
$$= \sqrt{\sum_{k=1}^{c} \sum_{i=1}^{a} \left( \sum_{j=1}^{b} \mathbf{X}_{ij} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \right)^{2}} + \sqrt{\sum_{j=1}^{b} \sum_{l=1}^{d} \left( \sum_{k=1}^{c} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \mathbf{Y}_{kl} \right)^{2}}$$

Now say we want to prune away just a single weight  $\mathbf{W}_{jk}$ . That is, we want to set  $\widetilde{\mathbf{W}}_{jk} = 0$  and  $\widetilde{\mathbf{W}}_{j'k'} = \mathbf{W}_{j'k'}$  for all  $(j', k') \neq (j, k)$ . For such a weight matrix  $\widetilde{\mathbf{W}}_{jk}$  the expression for  $f(\widetilde{\mathbf{W}})$ simplifies to

 $= \sqrt{\sum_{i=1}^{a} \left( \mathbf{X}_{ij} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) + \sum_{i' \neq i} \mathbf{X}_{ij'} \left( \widetilde{\mathbf{W}}_{j'k} - \mathbf{W}_{j'k} \right) \right)^2}$ 

 $= \sqrt{\sum_{i=1}^{a} (\mathbf{X}_{ij} (0 - \mathbf{W}_{jk}) + \sum_{i' \neq j} \mathbf{X}_{ij'} (\mathbf{W}_{j'k} - \mathbf{W}_{j'k}))^2}$ 

 $= \sqrt{\sum_{i=1}^{a} \left(-\mathbf{X}_{ij}\mathbf{W}_{jk}\right)^2} + \sqrt{\sum_{l=1}^{d} \left(-\mathbf{W}_{jk}\mathbf{Y}_{kl}\right)^2}$ 

 $= \sqrt{\sum_{i=1}^{a} \mathbf{X}_{ij}^2 \mathbf{W}_{jk}^2} + \sqrt{\sum_{i=1}^{d} \mathbf{W}_{jk}^2 \mathbf{Y}_{kl}^2}$ 

 $= |\mathbf{W}_{jk}| \left( \|\mathbf{X}_{:j}\|_2 + \|\mathbf{Y}_{k:}\|_2 \right) \coloneqq \mathbf{S}_{jk}$ 

+  $\sqrt{\sum_{l=1}^{a} ((0 - \mathbf{W}_{jk}) \mathbf{Y}_{kl} + \sum_{k' \neq k} \underbrace{\left(\widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk}\right)}_{=0} \mathbf{Y}_{kl})^2}$ 

 $+ \sqrt{\sum_{l=1}^{d} \left( \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \mathbf{Y}_{kl} + \sum_{l \neq l, l} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \mathbf{Y}_{kl} \right)^2}$ 

 $g(\widetilde{\mathbf{W}}) = \sum_{i=1}^{a} \left( \sum_{j'=1}^{b} \mathbf{X}_{ij'} \left( \widetilde{\mathbf{W}}_{j'k} - \mathbf{W}_{j'k} \right) \right)^{2} + \sum_{l=1}^{d} \left( \sum_{l'=1}^{c} \left( \widetilde{\mathbf{W}}_{jk'} - \mathbf{W}_{jk'} \right) \mathbf{Y}_{k'l} \right)^{2}$ 

E.2 PROOF OF THEOREM B.4

• Assume it is possible to choose matrices  $\mathbf{X} \in \mathbb{R}^{a \times b}$  and  $\mathbf{Y} \in \mathbb{R}^{c \times d}$  such that the identity

$$\|\mathbf{X}_{k}\|_{2} + \|\mathbf{Y}_{j}\|_{2} = \alpha_{jk} \coloneqq \frac{1}{\|\mathbf{W}_{j}\|_{1}} + \frac{1}{\|\mathbf{W}_{k}\|_{1}}$$
(7)

holds for all *j*, *k*. This is always possible!

Indeed, if we choose a = b, and let the *j*-th row of  $\mathbf{X}$  be of the form  $\mathbf{X}_{:j} \coloneqq t_j(1; \cdots; 1) \in \mathbb{R}^{b \times 1}$ , where  $t_j = \frac{1}{\sqrt{b} \|\mathbf{W}_{j:}\|_1}$ , then  $\|\mathbf{X}_{j:}\|_2 = t_j \sqrt{b} = \frac{1}{\|\mathbf{W}_{j:}\|_1}$ .

Similarly, if we choose d = c, and let the k-th column of **Y** be of the form  $\mathbf{Y}_{:k} \coloneqq s_k(1, \cdots, 1) \in \mathbb{R}^{1 \times c}$ , where  $s_k = \frac{1}{\sqrt{c} \|\mathbf{W}_{:k}\|_1}$ , then  $\|\mathbf{Y}_{:k}\|_2 = s_k \sqrt{c} = \frac{1}{\|\mathbf{W}_{:k}\|_1}$ .

So, Equation (7) holds. In this case, our score matrix Equation (1) reduces to the plug-and-play method RIA (Zhang et al., 2024b).

• Another (even simpler) possiblity for constructing matrices  $\mathbf{X}, \mathbf{Y}$  such that Equation (7) holds is as follows. Let a = b, and let  $\mathbf{X} = \text{Diag}(\|\mathbf{W}_{1:}\|_{1}^{-1}, \cdots, \|\mathbf{W}_{b:}\|_{1}^{-1})$ . Clearly, for all  $j = 1, \cdots, b$  we have  $\|\mathbf{X}_{j:}\|_{2} = \frac{1}{\|\mathbf{W}_{j:}\|_{1}}$ .

Similarly, let d = c, and let  $\mathbf{Y} = \text{Diag}(\|\mathbf{W}_{:1}\|_{1}^{-1}, \cdots, \|\mathbf{W}_{:c}\|_{1}^{-1})$ . Clearly, for all  $k = 1, \cdots, c$ , we have  $\|\mathbf{Y}_{:k}\|_{2} = \frac{1}{\|\mathbf{W}_{:k}\|_{1}}$ .

Therefore,  $\|\mathbf{X}_{:j}\|_2 + \|\mathbf{Y}_{k:}\|_2 = \frac{1}{\|\mathbf{W}_{j:}\|_1} + \frac{1}{\|\mathbf{W}_{:k}\|_1}$  for all j, k. So again, our score matrix (1) reduces to the plug-and-play method in Zhang et al. (2024b).

# 864 E.3 PROOF OF LEMMA B.6

Recall that in Appendix B.3  $\mathbf{D}_{\mathbf{X}} \in \mathbb{R}^{b \times b}$  and  $\mathbf{D}_{\mathbf{Y}} \in \mathbb{R}^{c \times c}$  are diagonal matrices with entries defined as  $(\mathbf{D}_{\mathbf{X}})_{ii} = x_i = \|\mathbf{W}_{i:}\|_1^{-1}$  and  $(\mathbf{D}_{\mathbf{Y}})_{ii} = y_i = \|\mathbf{W}_{:i}\|_1^{-1}$  respectively, and  $\mathbf{A} \in \mathbb{R}^{a \times b}$ and  $\mathbf{B} \in \mathbb{R}^{c \times d}$  are arbitrary matrices. We first compute  $\mathbf{A}\mathbf{D}_{\mathbf{X}}$ . This product scales each column of **A** by the corresponding  $x_i$ . Specifically, for the *j*-th column, this operation is expressed as:

$$(\mathbf{AD}_{\mathbf{X}})_{:j} = x_j \mathbf{A}_{:j}$$

872 The  $\ell_2$ -norm of this column is then given by:

$$\left\| \left( \mathbf{A} \mathbf{D}_{\mathbf{X}} \right)_{:j} \right\|_{2} = x_{j} \left\| \mathbf{A}_{:j} \right\|_{2} = \frac{\left\| \mathbf{A}_{:j} \right\|_{2}}{\left\| \mathbf{W}_{j:} \right\|_{1}}$$

Next, we compute  $\mathbf{D}_{\mathbf{Y}}\mathbf{B}$ . In this computation, each row of  $\mathbf{B}$  is scaled by the corresponding  $y_i$ . For the k-th row, the scaling is represented as:

$$(\mathbf{D}_{\mathbf{Y}}\mathbf{B})_{k:} = y_k \mathbf{B}_{k:}$$

880 The  $\ell_2$ -norm of this row is:

$$\|(\mathbf{D}_{\mathbf{Y}}\mathbf{B})_{k:}\|_{2} = y_{k} \|\mathbf{B}_{k:}\|_{2} = \frac{\|\mathbf{B}_{k:}\|_{2}}{\|\mathbf{W}_{:k}\|_{1}}.$$

Finally, we consider the sum of these norms:

$$\left\| \left( \mathbf{A} \mathbf{D}_{\mathbf{X}} \right)_{:j} \right\|_{2} + \left\| \left( \mathbf{D}_{\mathbf{Y}} \mathbf{B} \right)_{k:} \right\|_{2} = \frac{\left\| \mathbf{A}_{:j} \right\|_{2}}{\left\| \mathbf{W}_{j:} \right\|_{1}} + \frac{\left\| \mathbf{B}_{k:} \right\|_{2}}{\left\| \mathbf{W}_{:k} \right\|_{1}}.$$

The first term involves scaling the *j*-th column of  $\mathbf{A}$  by  $x_j$ , with the resulting norm being the original column norm divided by the  $\ell_1$ -norm of the corresponding weights in  $\mathbf{W}$ . Similarly, the second term scales the *k*-th row of  $\mathbf{B}$  by  $y_k$ , with the resulting norm also being the original row norm divided by the  $\ell_1$ -norm of the corresponding weights in  $\mathbf{W}$ .

#### E.4 PROOF OF LEMMA B.7

We aim to construct  $\mathbf{X}_{:j}$  to be proportional to  $\mathbf{W}_{j:}^{\top}$ . A natural choice is to set

$$\mathbf{X}_{:j} = c \cdot \mathbf{W}_{j:}^{\top},$$

where c is a scalar to be determined. A similar condition applies when considering  $Y_{k:}$ . The central task is to compute the corresponding scaling factor c for both X and Y.

 $_{901}$  To determine c, we choose it such that

$$\|\mathbf{X}_{:j}\|_{2} = \|c \cdot \mathbf{W}_{j:}^{\top}\|_{2} = \|\mathbf{W}_{j:}\|_{p}^{-1}.$$

We now compute the  $\ell_2$ -norm of  $\mathbf{X}_{:i}$ :

$$|c \cdot \mathbf{W}_{j:}^{\top}||_{2} = |c| \cdot ||\mathbf{W}_{j:}^{\top}||_{2} = |c| \cdot ||\mathbf{W}_{j:}||_{2}.$$

908 Setting this equal to  $\|\mathbf{W}_{j:}\|_{p}^{-1}$ , we have:

$$|c| \cdot \|\mathbf{W}_{j:}\|_{2} = \|\mathbf{W}_{j:}\|_{p}^{-1}$$

Solving for *c*, we obtain:

$$c = \frac{1}{\|\mathbf{W}_{j:}\|_{p}} \cdot \frac{1}{\|\mathbf{W}_{j:}\|_{2}}.$$

915 Using this value of c, we define  $\mathbf{X}_{:j}$  as: 

917 
$$\mathbf{X}_{:j} = \frac{1}{\|\mathbf{W}_{j:}\|_{p}} \cdot \frac{1}{\|\mathbf{W}_{j:}\|_{2}} \cdot \mathbf{W}_{j:}^{\top}$$

918 This construction ensures that 919

$$\|\mathbf{X}_{:j}\|_{2} = \|\mathbf{W}_{j:}\|_{p}^{-1}.$$

921 Similarly, for **Y**, we have:

$$\mathbf{Y}_{k:} = rac{1}{\left\|\mathbf{W}_{:k}
ight\|_{p}} \cdot rac{1}{\left\|\mathbf{W}_{:k}
ight\|_{2}} \cdot \mathbf{W}_{:k}^{ op},$$

924 which satisfies Equation (5).

By combining these results, we conclude the proof of Lemma B.7.

#### E.5 PROOF OF LEMMA B.8

Let **u** be any unit vector in  $\ell_2$ -norm, i.e.,  $\|\mathbf{u}\|_2 = 1$ . Construct  $\mathbf{X}_{:j} = \|\mathbf{W}_{j:}\|_p^{-1} \mathbf{u}$ . Then by using the definition of the  $\ell_2$ -norm, we have

$$\|\mathbf{X}_{:j}\|_{2} = \|\|\mathbf{W}_{j:}\|_{p}^{-1}\mathbf{u}\|_{2} = \left\|\|\mathbf{W}_{j:}\|_{p}^{-1}\right\|\|\mathbf{u}\|_{2} = \|\mathbf{W}_{j:}\|_{p}^{-1} \cdot 1 = \|\mathbf{W}_{j:}\|_{p}^{-1}$$

Hence, we obtain  $\|\mathbf{X}_{j}\|_{2} = \|\mathbf{W}_{j}\|_{p}^{-1}$ , which is exactly as desired.

Similarly, let v be any unit vector in  $\ell_2$ -norm, we have  $|\mathbf{W}_{jk}| \cdot ||\mathbf{W}_{k}||_p^{-1}$ .

(

Put them together, we prove Lemma B.8.

#### 940 E.6 PROOF OF LEMMA B.9

Given that  $\mathbf{X}_{:j}$  and  $\mathbf{Y}_{k:}$  are vectors to be constructed,  $\mathbf{W}$  is a matrix, and  $S_j$  and  $S_k$  are randomly sampled index sets from the *j*-th row and *k*-th column of  $\mathbf{W}$ , respectively, each with cardinality  $\tau$ , our task is to construct  $\mathbf{X}_{:j}$  and  $\mathbf{Y}_{k:}$  with specific norms. Specifically, the goal is to construct  $\mathbf{X}_{:j}$  and  $\mathbf{Y}_{k:}$  such that:

$$\|\mathbf{X}_{:j}\|_{2} + \|\mathbf{Y}_{k:}\|_{2} = \frac{1}{\|\mathbf{W}_{j:S_{j}}\|_{1}} + \frac{1}{\|\mathbf{W}_{S_{k}:k}\|_{1}},$$

where  $\mathbf{W}_{j:S_j}$  denotes the entries of the *j*-th row of  $\mathbf{W}$  at indices in  $S_j$ , and  $\mathbf{W}_{S_k:k}$  denotes the entries of the *k*-th column of  $\mathbf{W}$  at indices in  $S_k$ .

We first define the support vector  $\mathbf{e}_{S_i}$  of appropriate size (equal to the number of rows in **X**) as:

$$\mathbf{e}_{S_j})_i = \begin{cases} \frac{1}{\sqrt{\tau}}, & \text{if } i \in S_j, \\ 0, & \text{otherwise.} \end{cases}$$

The vector  $\mathbf{e}_{S_j}$  has non-zero entries only at indices in  $S_j$ , each equal to  $\frac{1}{\sqrt{\tau}}$ , ensuring that the  $\ell_2$ -norm of  $\mathbf{e}_{S_j}$  is 1:

$$\left\|\mathbf{e}_{S_j}\right\|_2 = \sqrt{\sum_{i \in S_j} \left(\frac{1}{\sqrt{\tau}}\right)^2} = \sqrt{\tau \cdot \left(\frac{1}{\sqrt{\tau}}\right)^2} = 1.$$

To construct  $\mathbf{X}_{:j}$ , we set:

$$\mathbf{X}_{:j} = \frac{1}{\left\|\mathbf{W}_{j:S_j}\right\|_1} \cdot \mathbf{e}_{S_j}.$$

A basic verification shows that the  $\ell_2$ -norm of  $\mathbf{X}_{:j}$  is:

$$\left\|\mathbf{X}_{:j}\right\|_{2} = \frac{1}{\left\|\mathbf{W}_{j:S_{j}}\right\|_{1}} \cdot \left\|\mathbf{e}_{S_{j}}\right\|_{2} = \frac{1}{\left\|\mathbf{W}_{j:S_{j}}\right\|_{1}} \cdot 1 = \frac{1}{\left\|\mathbf{W}_{j:S_{j}}\right\|_{1}}$$

Similarly, we define the support vector  $\mathbf{e}_{S_k}$  of appropriate size (equal to the number of columns in **Y**) as:

$$(\mathbf{e}_{S_k})_i = \begin{cases} \frac{1}{\sqrt{\tau}}, & \text{if } i \in S_k, \\ 0, & \text{otherwise.} \end{cases}$$

To construct  $\mathbf{Y}_{k:}$ , we set: 

$$\mathbf{Y}_{k:} = \frac{1}{\left\|\mathbf{W}_{S_k:k}\right\|_1} \cdot \mathbf{e}_{S_k}^{\top}$$

Adding the norms:

$$\|\mathbf{X}_{:j}\|_{2} + \|\mathbf{Y}_{k:}\|_{2} = \frac{1}{\|\mathbf{W}_{j:S_{j}}\|_{1}} + \frac{1}{\|\mathbf{W}_{S_{k}:k}\|_{1}},$$

which matches the desired expression. 

Alternative construction using  $\ell_1$  and  $\ell_2$  norms.

By definition:

$$\|\mathbf{W}_{j:S_j}\|_1 = \sum_{i \in S_j} |w_{ji}|, \|\mathbf{W}_{j:S_j}\|_2 = \sqrt{\sum_{i \in S_j} w_{ji}^2}.$$

We can construct  $\mathbf{X}_{:j}$  as:

$$\mathbf{X}_{:j} = \frac{1}{\left\|\mathbf{W}_{j:S_j}\right\|_1} \cdot \frac{1}{\left\|\mathbf{W}_{j:S_j}\right\|_2} \cdot \mathbf{W}_{j:S_j}^{\top},$$

where  $\mathbf{W}_{j:S_i}^{\top}$  is a vector with entries:

$$(\mathbf{W}_{j:S_j}^{\top})_i = \begin{cases} w_{ji}, & \text{if } i \in S_j, \\ 0, & \text{otherwise.} \end{cases}$$

Similarly, we can construct  $\mathbf{Y}_{k:}$  as:

$$\mathbf{Y}_{k:} = \frac{1}{\left\|\mathbf{W}_{S_k:k}\right\|_1} \cdot \frac{1}{\left\|\mathbf{W}_{S_k:k}\right\|_2} \cdot \mathbf{W}_{S_k:k}^{\top},$$

where  $\mathbf{W}_{S_k:k}^{\top}$  is a vector with entries:

$$(\mathbf{W}_{S_k:k}^{\top})_i = \begin{cases} w_{ik}, & \text{if } i \in S_k, \\ 0, & \text{otherwise}. \end{cases}$$

Putting everything together, we prove Lemma B.9. 

#### F SYMMETRIC WANDA VARIANT WITH SQUARED FROBENIUS NORMS

Choose  $\varepsilon \in (0, 1]$ . Given  $\mathbf{X} \in \mathbb{R}^{a \times b}$ ,  $\mathbf{W} \in \mathbb{R}^{b \times c}$  and  $\mathbf{Y} \in \mathbb{R}^{c \times d}$ , define 

$$g'(\widetilde{\mathbf{W}}) := \|\mathbf{X}(\widetilde{\mathbf{W}} - \mathbf{W})\|_F^2 + \|(\widetilde{\mathbf{W}} - \mathbf{W})\mathbf{Y}\|_F^2$$

and consider solving the problem

minimize 
$$g'(\widetilde{\mathbf{W}})$$
 s.t.  $\operatorname{Mem}(\widetilde{\mathbf{W}}) \leq \varepsilon \operatorname{Mem}(\mathbf{W}), \widetilde{\mathbf{W}} \in \mathbb{R}^{b \times c}$ 

Note that

$$g'(\widetilde{\mathbf{W}}) = \sum_{k=1}^{c} \left\| \mathbf{X} \left( \widetilde{\mathbf{W}}_{:k} - \mathbf{W}_{:k} \right) \right\|_{2}^{2} + \sum_{j=1}^{b} \left\| \left( \widetilde{\mathbf{W}}_{j:} - \mathbf{W}_{j:} \right) \mathbf{Y} \right\|_{2}^{2}$$
$$= \sum_{k=1}^{c} \sum_{i=1}^{a} \left( \mathbf{X}_{i:} \left( \widetilde{\mathbf{W}}_{:k} - \mathbf{W}_{:k} \right) \right)^{2} + \sum_{j=1}^{b} \sum_{l=1}^{d} \left( \left( \widetilde{\mathbf{W}}_{j:} - \mathbf{W}_{j:} \right) Y_{:l} \right)^{2}$$

$$\sum_{k=1}^{k}$$

1023  
1024  
1025 
$$= \sum_{k=1}^{c} \sum_{i=1}^{a} \left( \sum_{j=1}^{b} \mathbf{X}_{ij} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \right)^{2} + \sum_{j=1}^{b} \sum_{l=1}^{d} \left( \sum_{k=1}^{c} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \mathbf{Y}_{kl} \right)^{2} + \sum_{j=1}^{b} \sum_{l=1}^{d} \left( \sum_{k=1}^{c} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \mathbf{Y}_{kl} \right)^{2} + \sum_{j=1}^{b} \sum_{l=1}^{d} \left( \sum_{k=1}^{c} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \mathbf{Y}_{kl} \right)^{2} + \sum_{j=1}^{b} \sum_{l=1}^{d} \left( \sum_{k=1}^{c} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \mathbf{Y}_{kl} \right)^{2} + \sum_{j=1}^{b} \sum_{l=1}^{d} \left( \sum_{k=1}^{c} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \mathbf{Y}_{kl} \right)^{2} + \sum_{j=1}^{b} \sum_{l=1}^{d} \left( \sum_{k=1}^{c} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \mathbf{Y}_{kl} \right)^{2} + \sum_{j=1}^{b} \sum_{l=1}^{d} \left( \sum_{k=1}^{c} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \mathbf{Y}_{kl} \right)^{2} + \sum_{j=1}^{b} \sum_{l=1}^{d} \left( \sum_{k=1}^{c} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \mathbf{Y}_{kl} \right)^{2} + \sum_{j=1}^{c} \sum_{l=1}^{d} \left( \sum_{k=1}^{c} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \mathbf{Y}_{kl} \right)^{2} + \sum_{j=1}^{c} \sum_{l=1}^{d} \left( \sum_{k=1}^{c} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \mathbf{Y}_{kl} \right)^{2} + \sum_{j=1}^{c} \sum_{l=1}^{c} \left( \sum_{k=1}^{c} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \mathbf{Y}_{kl} \right)^{2} + \sum_{j=1}^{c} \sum_{l=1}^{c} \left( \sum_{k=1}^{c} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \mathbf{Y}_{kl} \right)^{2} + \sum_{j=1}^{c} \sum_{l=1}^{c} \left( \sum_{k=1}^{c} \left( \sum_{k=1}^{c} \left( \sum_{k=1}^{c} \left( \sum_{k=1}^{c} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \right)^{2} \right)^{2} + \sum_{j=1}^{c} \sum_{k=1}^{c} \left( \sum_{k$$

 $\mathbf{2}$ 

Now say we want to prune away just a single weight  $\mathbf{W}_{jk}$ . That is, we want to set  $\widetilde{\mathbf{W}}_{jk} = 0$  and  $\widetilde{\mathbf{W}}_{j'k'} = \mathbf{W}_{j'k'}$  for all  $(j', k') \neq (j, k)$ . For such a weight matrix  $\widetilde{\mathbf{W}}_{jk}$  the expression for  $g'(\widetilde{\mathbf{W}})$ simplifies to

 $+\sum_{l=1}^{d} \left( \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \mathbf{Y}_{kl} + \sum_{k' \neq k} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) \mathbf{Y}_{kl} \right)^2$ 

$$\begin{aligned} & 1032 \\ 1033 \\ 1034 \\ 1035 \\ 1036 \\ 1036 \\ 1037 \end{aligned} = \sum_{i=1}^{a} \left( \sum_{j'=1}^{b} \mathbf{X}_{ij'} \left( \widetilde{\mathbf{W}}_{j'k} - \mathbf{W}_{j'k} \right) \right)^{2} + \sum_{l=1}^{d} \left( \sum_{k'=1}^{c} \left( \widetilde{\mathbf{W}}_{jk'} - \mathbf{W}_{jk'} \right) \mathbf{Y}_{k'l} \right)^{2} \\ & = \sum_{i=1}^{a} \left( \mathbf{X}_{ij} \left( \widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk} \right) + \sum_{i' \neq i'} \mathbf{X}_{ij'} \left( \widetilde{\mathbf{W}}_{j'k} - \mathbf{W}_{j'k} \right) \right)^{2} \end{aligned}$$

 $=\sum_{i=1}^{a} (\mathbf{X}_{ij} (0 - \mathbf{W}_{jk}) + \sum_{j' \neq j} \mathbf{X}_{ij'} \underbrace{(\mathbf{W}_{j'k} - \mathbf{W}_{j'k})}_{=0})^{2} + \sum_{l=1}^{d} ((0 - \mathbf{W}_{jk}) \mathbf{Y}_{kl} + \sum_{k' \neq k} \underbrace{\left(\widetilde{\mathbf{W}}_{jk} - \mathbf{W}_{jk}\right)}_{=0} \mathbf{Y}_{kl})^{2}$ 

$$= \sum_{i=1}^{a} \mathbf{X}_{ij}^{2} \mathbf{W}_{jk}^{2} + \sum_{l=1}^{d} \mathbf{W}_{jk}^{2} \mathbf{Y}_{kl}^{2}$$
$$= \mathbf{W}_{jk}^{2} \left( \|\mathbf{X}_{:j}\|_{2}^{2} + \|Y_{k:}\|_{2}^{2} \right) \coloneqq \mathbf{S}_{jk}^{2}.$$

 $=\sum_{j=1}^{a}\left(-\mathbf{X}_{ij}\mathbf{W}_{jk}\right)^{2}+\sum_{l=1}^{d}\left(-\mathbf{W}_{jk}\mathbf{Y}_{kl}\right)^{2}$ 

Our proposal is to choose entry (j, k) which the smallest score  $S_{jk}$ . Special cases:

1057 1. If we choose  $\mathbf{X} = \mathbf{0} \in \mathbb{R}^{a \times b}$ , then our pruning method reduces to "output" Wanda: 

 $\mathbf{S}_{jk} := |\mathbf{W}_{jk}| \|\mathbf{Y}_{k:}\|_2$ 

1064 2. If we choose  $\mathbf{Y} = \mathbf{0} \in \mathbb{R}^{c \times d}$ , then our pruning method reduces to "input" Wanda:

 $\mathbf{S}_{jk} := \left\| \mathbf{W}_{jk} \right\| \left\| \mathbf{X}_{:j} \right\|_2.$ 

1071 3. If we choose  $\mathbf{X} = \mathbf{W}^{\top} \in \mathbb{R}^{c \times b} (a = c)$  and  $\mathbf{Y} = \mathbf{W}^{\top} \in \mathbb{R}^{c \times b} (d = b)$ , then our score matrix becomes

Letting  $\mathbf{G}_{jk}^2 := \frac{1}{b+c} \left( \|\mathbf{W}_{j:}\|_2^2 + \|\mathbf{W}_{:k}\|_2^2 \right)$ , note that

 $\mathbf{S}_{jk} \stackrel{(27)}{=} |\mathbf{W}_{jk}| \sqrt{\|\mathbf{X}_{:j}\|_{2}^{2} + \|\mathbf{Y}_{k:}\|_{2}^{2}} = |\mathbf{W}_{jk}| \sqrt{\|\mathbf{W}_{j:}\|_{2}^{2} + \|\mathbf{W}_{:k}\|_{2}^{2}}$ 

1080		
1081		b $c$
1082		$\ \mathbf{G}\ _F^2 = \sum \sum \mathbf{G}_{ik}^2$
1083		j=1 $k=1$
1084		1  b  c
1085		$= \frac{1}{1-1} \sum \sum \left( \ \mathbf{W}_{j:}\ _2^2 + \ \mathbf{W}_{:k}\ _2^2 \right)$
1086		$b+c \sum_{j=1}^{d} \sum_{k=1}^{d} \langle c_k \rangle = 0$
1087		(b c c b)
1088		$= \frac{1}{1-1} \left( \sum \sum \ \mathbf{W}_{i}\ _{2}^{2} + \sum \sum \ \mathbf{W}_{ik}\ _{2}^{2} \right)$
1089		$b+c \left( \sum_{i=1}^{2} \sum_{k=1}^{2} \frac{1}{k-1} \sum_{k=1}^{2} \sum_{i=1}^{2} \frac{1}{k-1} \sum_{k=1}^{2} \frac{1}{k-1} \right)$
1090		
1091		$1 \left( \sum_{i=1}^{b}   \mathbf{x}\mathbf{x}_{i}  ^{2} + i \sum_{i=1}^{c}   \mathbf{x}\mathbf{x}_{i}  ^{2} \right)$
1092		$= \frac{1}{b+c} \left[ c \sum_{i=1}^{n} \ \mathbf{W}_{j:}\ _{2} + b \sum_{i=1}^{n} \ \mathbf{W}_{i:k}\ _{2} \right]$
1093		j=1 $k=1$
1094		$=\frac{1}{(c\ \mathbf{W}\ _{P}^{2}+b\ \mathbf{W}\ _{P}^{2})}$
1095		$b+c$ ( $a_{\parallel} \cdots a_{\parallel} a_{\parallel} \cdots a_{\parallel} a_{\parallel} \cdots a_{\parallel} a_{$
1096		$= \ \mathbf{W}\ _F^2$
1097		
1000	Clearly	

Clearly,

1099 1100

1101 1102 1103

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1107

$$\frac{\mathbf{S}_{jk}^2}{(b+c)\|\mathbf{W}\|_F^2} = \frac{\mathbf{W}_{jk}^2\mathbf{G}_{jk}^2}{\|\mathbf{W}\|_F^2}$$

4. Assume it is possible to choose matrices  $\mathbf{X} \in \mathbb{R}^{a \times b}$  and  $\mathbf{Y} \in \mathbb{R}^{c \times d}$  such that the identity

$$\sqrt{\left\|\mathbf{X}_{j:}\right\|_{2}^{2} + \left\|\mathbf{Y}_{:k}\right\|_{2}^{2}} = \alpha_{jk} := \frac{1}{\left\|\mathbf{W}_{j:}\right\|_{1}} + \frac{1}{\left\|\mathbf{W}_{:k}\right\|_{1}}$$

1108 holds for all j, k (note that this is not always possible!). In this case, our score matrix reduces to the 1109 plug-and-play method of Zhang et al. (2024b).

#### 1110 1111

#### G ADDITIONAL EXPERIMENTS 1112

#### 1113 G.1 IMPLEMENTATION DETAILS 1114

1115 Our selected baselines are implemented using the source code from Wanda<sup>1</sup> and RIA<sup>2</sup>. The default 1116 settings remain unchanged to ensure consistency. Notably, we explicitly set the sequence length 1117 to 2048 instead of using the maximum possible length to enable a fair comparison, following the 1118 strategy outlined in RIA.

1119 The training-free fine-tuning component is based on  $DSnoT^3$ . We configure the maximum cycle 1120 count to 50 and set the update threshold to 0.1. The default power of variance for regrowing and 1121 pruning is set to 1. Additionally, we incorporate the regularized relative design, resulting in our 1122 modified approach, DSnoT. 1123

The seed for sampling the calibration data is set to 0. For N:M structural pruning, to enable an 1124 intuitive comparison, we use the standard approach without employing channel reallocation or linear 1125 sum assignment, as used in RIA. 1126

1127 G.2 Optimal  $\ell_p$  Norm 1128

1129 In this study, we further explore the influence of the  $\ell_p$  norm, considering standard norms where 1130  $p \in [1, 2, 3, 4]$ , as well as the 0-norm and  $\infty$ -norm. The results are presented in Table 7. We observed 1131

- <sup>1</sup>https://github.com/locuslab/wanda/tree/main 1132
- <sup>2</sup>https://github.com/biomedical-cybernetics/Relative-importance-and-activation-pruning 1133 <sup>3</sup>https://github.com/zyxxmu/DSnoT

1135		Table 7:	The sparsi	ty ratio is 50	0%, and all	results	s cor-		
1136			respond to	$\alpha = 1.$					
1137									
1138		p	LlaMA2-7b	LlaMA2-13b	LlaMA3-8b	OPT-1.	36		
1139		$1 \\ 2$	<b>6.88</b> 6.90	5.95 5.96	9.44 9.48	18.95 19.02			
1140		3	6.95	6.01	9.57	19.66	5		
1141		4	7.12	6.08	9.92	20.77			
1142		0	7.78	6.28	10.81	22.17			
1143			8.00	0.80	11.20	24.92	<u> </u>		
1144		Dorr	lovity coo	ras on W	ilvitort ) f	for l	norm r	20	
1145		wei	ohting with	different st	rategies T	The spa	rsity rati	io	
1146	Ta	ible 8: $\frac{618}{15}$	1% and all	results are o	computed v	with $\alpha$	= 0.5  an	nd	
1147		p =	1.	1000100 010	, and a second		010 41	10	
1148									
1149		Strategy	LLaMA2-7	b LLaMA2-1	13b LLaMA	.3-8b (	OPT-1.3b	_	
1150		S1 (default)	6.81	5.83	9.34	1	18.08		
1151		S2 S3	6.99 9.32	5.91 6.87	9.58	3	19.01 31.66		
1152		S4	14.51	20.78	30.4	7	53.17		
1153			1						
1154									
1155	that higher p values	degrade pe	erformance,	as reflected	by the per	plexity	scores,	with $p = 1$ yiel	ding
1156	the best results. This	s may be di	ue to the fac	t that in pru	ning, signi	ficantly	<sup>v</sup> magnif	ying the differe	nces
1157	between weights is	not benefic	ial. Additio	nally, we fo	ound that be	oth the	0-norm	and $\infty$ -norm do	o not
1158	yield promising resu	ilts, as they	capture on	ly partial, a	nd often hi	ghly b	lased, in	formation abou	t the
1159	weights.								
1160									
1101	G.3 $\ell_p$ Norm Re	-WEIGHTI	NG						
1162	In this section we	volora diff	Coront l no	rm ra maial	nting strate	aios (	)ur dafai	ult ra waighting	
1167	nroach is defined in	Equation	(5) and is re-	eferred to a	s S1 Addi	tionall	v we inv	vestigate altern	g ap-
1165	strategies, denoted a	s S2, S3, a	nd S4, as sr	ecified belo	)W:	uonan	y, we my	vestigate alterna	uuve
1166									
1167		00	0 11	7 1//11337		шл			
1168		S2 ≔	$\mathbf{S}_{jk} =  \mathbf{v} $	$\mathbf{V}_{jk} /(\parallel \mathbf{W}_{jk})$	$  _{p} +   _{W}$	$_{k}  _{p}),$			
1169		S3 :=	$=\mathbf{S}_{jk}= \mathbf{V} $	$ \mathbf{W}_{jk}  \cdot (\ \mathbf{W}_{jk}\ )$	$_{j:}\parallel_{p}+\parallel\mathbf{W}$	$_{:k}\ _{p}),$			
1170		$S4 \approx$	$=\mathbf{S}_{jk}= \mathbf{W} $	$ \mathbf{W}_{jk} /(\ \mathbf{W}_{j}\ )$	$\ _{p}^{-1} + \ \mathbf{W}\ _{p}$	$V_{:k}\ _{p}^{-1}$	).		
1171					···P	··· P			
1172									
1173	The comparative res	ults for the	se strategie	s are presen	ted in Tabl	e 8 As	shown	our default stra	tegy
1174	(S1) achieves the be	st performa	ance, while	the alternat	ive designs	fail to	deliver i	improvements.	
1175	We have all a start of the	1 · · · · ·	1100			1		1	
1176	we nypothesize that	$and \parallel \mathbf{X}$	$\ -1\  \ \mathbf{x}\ $	erences aris	e due to th	e relati	ve magn	$  \mathbf{x}_{\mathbf{x}_1}   =   \mathbf{x}_{\mathbf{x}_2}  $	
1177	$\ \mathbf{v}\mathbf{v}_{j}\ _{p} + \ \mathbf{v}\mathbf{v}_{k}\ _{p}$	and $\  \mathbf{v} \mathbf{v}_{j} \ $	$  _p +    \mathbf{v}$ $  -1 +   \mathbf{x}\mathbf{x} $	$  _{p} \cdot spe$	nomolles and			$\ \mathbf{v}\mathbf{v}_{j}\ _{p} + \ \mathbf{v}\mathbf{v}_{j}\ _{p}$	:k  p
1178	former (S2) or multi	nine $\parallel \mathbf{v}\mathbf{v}_{j}$	$\ _p + \  \mathbf{W}$	$  _p$ is get (1) reduces t	he magnitu	all. CO de of fl	nsequen	ng weights Wa	will
1179	provide statistical ex	vidence to v	validate this	assumption	ne magintu	uent se	ctions	ng weights. We	vv 111
1180	Provide statistical ev		andule uns	ussumption	i in subseq		•		

## Perplexity scores on Wikitext-2 for p-norm. Table 7: The sparsity ratio is 50%, and all results cor-

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G.4 INFLUENCE OF SAMPLING RATIOS

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1183 In this section, we examine the impact of varying sampling ratios in stochRIA. It is important to note that these ratios are applied over  $\min(b, c)$ , where b and c represent the number of rows and columns 1184 in each layer, respectively. In Table 9, we can see the performance of stochRIA is generally stable 1185 and compares favorably to that of RIA when sampling across entire rows and columns, particularly 1186 for  $\beta \ge 0.05$ . At this threshold and above, the performance is robust, occasionally even surpassing 1187 less noisy sampling configurations. However, at an extremely low ratio of  $\beta = 0.01$ , there is a

ni	ficant.			
ratio ( $\beta$ )	LlaMA2-7b	LlaMA2-13b	LlaMA3-8b	OPT-1.3b
1	6.91	5.95	9.45	18.88
0.9	6.91	5.95	9.43	18.87
0.5	6.90	5.95	9.42	18.84
0.1	6.91	5.95	9.46	18.78
0.05	6.91	5.96	9.47	18.91
0.01	6.98	6.00	9.69 -0.24	19.36 -0.48

Table 9: 50%, and all results correspond to  $\alpha = 1$ . We

Perplexity scores on Wikitext-2 for stochRIA with

different sampling ratios. The sparsity ratio is

highlight those performance drops over 0.1 as sig-

 $R^2$ -DSnoT Hyperparameter Ablations on LLaMA3-8b. Each row Table 10: shows the non-default hyperparameter values compared to the best-performing method.

base	setting	p	grow relative?	$\gamma_1$	prune relative?	$\gamma_2$	perplexity
	best	2	1	0	×	0.0001	18.99
		1					19.04
Wanda	p	$\infty$					18.99
	$\gamma$					0	18.99
						0.001	18.99
			×		×		19.49
	relative		×		<ul> <li>Image: A set of the set of the</li></ul>		19.25
			<i></i>		<i></i>		19.63
RIA	best	2	×	0	1	0.001	20.50
	p	1					25.61
		$\infty$					20.51
	$\gamma$					0	20.51
						0.0001	20.52
	relative		×		×		21.33
			1		×		22.16
			$\checkmark$		<i>✓</i>		22.60

significant performance decline. Consequently, we have set  $\beta = 0.1$  as the default setting for our experiments.

#### ANALYSIS OF $R^2$ -DSnoT Hyperparameters G.5

In Section 2.3, we introduced the equations for our proposed  $R^2$ -DSnoT method, specifically Equa-tion (2) and Equation (3). This method primarily involves three key hyperparameters: the regularization penalty  $\gamma_1, \gamma_2$  and the norm type p. Additionally, we consider whether to apply relative importance reweighting during the growing or pruning phases-or during both. Given the number of hyperparameters, understanding their interactions can be computationally expensive and time-consuming. 

To address this complexity, we adopt a systematic approach by performing a random search over 20 different combinations of hyperparameter settings. These combinations include:  $p \in \{1, 2, \infty\}$ ,  $\gamma_1 \in \{0, 0.0001, 0.001\}, \gamma_2 \in \{0, 0.0001, 0.001\}$ , and binary choices for relative reweighting (True/False) during both the growing and pruning phases. For each of the 20 trials on the same model, we identify the best-performing combination and treat its hyperparameters as the "ground truth." We then evaluate the behavior under different scenarios and report the results in Table 10. 

- Our findings reveal several notable insights:

• Norm type p: The smooth  $\ell_p$ -norm with p = 2 consistently achieves the best performance. Compared to the non-differentiable  $\ell_1$ -norm, which underperforms due to its non-smooth nature, and the  $\ell_\infty$ -norm, which focuses only on the largest values and ignores smaller differences, the  $\ell_p$ -norm with p = 2 balances sensitivity and robustness effectively.

10/0	
1242	Relative importance reweighting: Applying relative reweighting during either the grow-
1243	ing or pruning phase improves performance significantly—yielding a 0.5 improvement on
1244	Wanda and 0.83 on RIA. However, applying reweighting to both phases simultaneously
1245	leads to substantial performance degradation, with a 0.64 and 2.1 drop on Wanda and RIA,
1246	respectively.
1247	Regularization penalty $\alpha$ : The impact of $\alpha$ is minimal as variations in its value result in
1248	only marginal differences in performance. This finding highlights the greater importance
1249	of the relative reweighting strategy
1250	of the relative reweighting strategy.
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