Canary in a Coalmine: Better Membership Inference with Ensembled Adversarial Queries

Yuxin Wen University of Maryland ywen@umd.edu Arpit Bansal University of Maryland Hamid Kazemi University of Maryland

Eitan Borgnia University of Chicago Micah Goldblum New York University Jonas Geiping University of Maryland

Tom Goldstein University of Maryland

Abstract

As industrial applications are increasingly automated by machine learning models, enforcing personal data ownership and intellectual property rights requires tracing training data back to their rightful owners. *Membership inference* algorithms approach this problem by using statistical techniques to discern whether a target sample was included in a model's training set. However, existing methods *only* utilize the unaltered target sample or simple augmentations of the target to compute statistics. Such a sparse sampling of the model's behavior carries little information, leading to poor inference capabilities. In this work, we use adversarial tools to directly optimize for queries that are discriminative and diverse. Our improvements achieve significantly more accurate membership inference than existing methods, especially in offline scenarios and in the low false-positive regime which is critical in legal settings.

1 Introduction

In an increasingly data-driven world, legislators have begun developing a slew of regulations with the intention of protecting data ownership. The right-to-be-forgotten written into the strict GDPR law passed by the European Union has important implications for the operation of ML-as-a-service (MLaaS) providers [Wilka et al., 2017, Truong et al., 2021]. As one example, Veale et al. [2018] discuss that machine learning models could legally (in terms of the GDPR) fall into the category of "personal data", which equips all parties represented in the data with rights to restrict processing and to object to their inclusion. However, such rights are vacuous if enforcement agencies are unable to detect when they are violated. Membership inference algorithms are designed to determine whether a given data point was present in the training data of a model. Though membership inference is often presented as a breach of privacy in situations where belonging to a dataset is itself sensitive information (*e.g.* a model trained on a group of people with a rare disease), such methods can also be used as a legal tool against a non-compliant or malicious MLaaS provider.

Because membership inference is a difficult task, the typical setting for existing work is generous to the attacker and assumes full white-box access to model weights. In the aforementioned legal scenario this is not a realistic assumption. Organizations have an understandable interest in keeping their proprietary model weights secret and, short of a legal search warrant, often only provide black-box querying to their clients [OpenAI, 2020]. Moreover, even if a regulatory agency forcibly obtained

white-box access via an audit, for example, a malicious provider could adversarially spoof the reported weights to cover up any violations.

In this paper, we achieve state-of-the-art performance for membership inference in the black-box setting by using a new adversarial approach. We observe that previous work [Shokri et al., 2017, Yeom et al., 2018, Salem et al., 2018, Carlini et al., 2022a] improves membership inference attacks through a variety of creative strategies, but these methods query the targeted model using only the original target data point or its augmentations. We instead learn *canary* query vectors that are maximally discriminative: They separate all models trained with the target data point from all models trained without it. We show that this strategy reliably results in more precise predictions than the baseline method for three different datasets, four different model architectures, and even models trained with differential privacy.

2 Background and Related Work

Homer et al. [2008] originated the idea of membership inference attacks (MIAs) by using aggregated information about SNPs to isolate a specific genome present in the underlying dataset with high probability. Such attacks on genomics data are facilitated by small sample sizes and the richness of information present in each DNA sequence, which for humans can be up to three billion base pairs. Similarly, the overparametrized regime of deep learning makes it vulnerable to MIAs. Yeom et al. [2018] designed the first attacks on deep neural networks by leveraging overfitting to the training data – *members* exhibit statistically lower loss values than *non-members*.

Since their inception, improved MIAs have been developed, across different problem settings and threat models with varying levels of adversarial knowledge. Broadly speaking, MIAs can be categorized into *metric-based* approaches and *binary classifier* approaches [Hu et al., 2021]. The latter utilizes a variety of calculated statistics to ascertain membership while the former involves training shadow models and using a neural network to learn the correlation [Shokri et al., 2017, Truong et al., 2021, Salem et al., 2018].

More specifically, existing metric-based approaches include: correctness [Yeom et al., 2018, Choquette-Choo et al., 2021, Bentley et al., 2020, Irolla and Châtel, 2019, Sablayrolles et al., 2019], loss [Yeom et al., 2018, Sablayrolles et al., 2019], confidence [Salem et al., 2018], and entropy [Song and Mittal, 2021, Salem et al., 2018]. The ability to query such metrics at various points during training has been shown to further improve membership inference. Liu et al. [2022] devise a model distillation approach to simulate the loss trajectories during training, and Jagielski et al. [2022] leverage continual updates to model parameters to get multiple trajectory points.

3 Letting the Canary Fly

In this section, we expound upon the threat model for the type of membership inference we perform. We then provide additional background on metric-based MIA through likelihood ratio tests, before describing how to optimize the *canary* query data point.

3.1 Threat Models

Membership inference is a useful tool in many real-world scenarios. For example, suppose a MLaaS company trains an image classifier by scraping large amounts of online images and using data from users/clients to maximize model performance. A client requests that their data be unlearned from the company's model – via their right-to-be-forgotten – and wants to test compliance by determining membership inference of a private image during training. We assume the client also has the ability to scrape online data points, which may or may not be in the training data of the target classifier. However, the target model can only be accessed through an API that returns predictions and confidence scores, hiding weights and intermediate activations.

We formulate two threat models, where the *trainer* is the company and the *attacker* is the client as described above:

Online Threat Model. We assume there exists a public training algorithm \mathcal{T} (including the model architecture) and a universal dataset D. The trainer trains a target model θ_t on a random subset

 $D_t \subseteq D$ through \mathcal{T} . Given a sensitive point $(x^*, y^*) \in D$, the attacker aims to determine whether $(x^*, y^*) \in D_t$ or $(x^*, y^*) \notin D_t$. The target model parameters are protected, and the attacker has limited query access to the target model and its confidence $f_{\theta_t}(x)_y$ for any (x, y).

We use the term *online* to indicate that the attacker can modify their membership inference strategy as a function of (x^*, y^*) . A more conservative threat model is the *offline* variant, where the attacker must *a priori* decide on a fixed strategy to utilize across all sensitive data points. This is more realistic when the strategy involves training many shadow models, which is computationally expensive.

Offline Threat Model. As above, the trainer trains a target model on $D_t \subseteq D$ with \mathcal{T} . However, now we assume the attacker only has access to an auxiliary dataset $D_{aux} \subseteq D$ to prepare their attack. The set of sensitive data points $D_{test} \subseteq D$ is defined to have the properties $D_{aux} \cap D_{test} = \emptyset$ but $D_t \cap D_{test} \neq \emptyset$. Again, the attacker has limited query access to the target model and its confidence $f_{\theta_t}(x)_y$ for any (x, y).

3.2 Optimizing for Canary Success

The framework for our attack comes from the Likelihood Ratio Attack (LiRA) introduced by Carlini et al. [2022a] – the full details can be found in Appendix A.1. Below, we describe the methodology for constructing optimized canary queries that significantly enhance the effectiveness of LiRA.

For a target data point (x^*, y^*) , its IN shadow models $S_{in} = \{\theta_1^{in}, ..., \theta_n^{in}\}$, and its OUT shadow models $S_{out} = \{\theta_1^{out}, ..., \theta_m^{out}\}$, the attacker's goal is to find a data point x_{mal} such that IN models and OUT models have different behaviors (logits/confidence scores/losses). In the simplest case, the attacker can optimize x_{mal} so that IN shadow models have high losses on x_{mal} and OUT models to have low losses on x_{mal} . This can be simply achieved by minimizing the following objective:

$$\underset{x_{\text{mal}}\in I}{\operatorname{argmin}} \frac{1}{n} \sum_{i=1}^{n} \mathcal{L}(x_{\text{mal}}, y^*, \theta_i^{\text{in}}) + \frac{1}{m} \sum_{i=1}^{m} \mathcal{L}_{\text{out}}(x_{\text{mal}}, y^*, \theta_i^{\text{out}}), \tag{1}$$

where I is the feasible data point domain, \mathcal{L} is the main task loss, and \mathcal{L}_{out} is $-\log(1 - f_{\theta}(x)_y)$.

Though in principle an attacker can construct a canary query as described above, in practice the optimization problem is intractable. Accumulating the loss on all shadow models requires a significant amount of computational resources, especially for a large number of shadow models or models with many parameters. Another way to conceptualize the problem at hand, is to think of x_{mal} as the model parameters and the shadow models as training data points in traditional machine learning. When framed this way, the number of parameters in our model x_{mal} is much greater than the number of data points $|S_{in}| + |S_{out}|$. For CIFAR-10 the number of parameters in x_{mal} is $3 \times 32 \times 32 = 3072$, but the largest number of shadow models used in the original LiRA paper is merely 256. Therefore, if we follow the loss Equation (1), x_{mal} will overfit to shadow models and not be able to generalize to the target model.

To alleviate the computational burden and the overfitting problem, we make some modifications to the canary generation process. During optimization, we stochastically sample b IN shadow models from S_{in} and b OUT shadow models from S_{out} for each iteration, where $b < \min(n, m)$. This is equivalent to stochastic mini-batch training for batch size b, which might be able to help the query generalize better [Geiping et al., 2021]. We find that such a mini-batching strategy *does* reduce the required computation, but it *does not* completely solve the overfitting problem. An attacker can easily find a x_{mal} with a very low loss on Equation (1), and perfect separation of confidence scores from IN models and OUT models. However, querying with such a canary x_{mal} results in random confidence for the holdout shadow models, which indicates that the canary is also not generalizable to the unseen target model.

To solve this, instead of searching for x_{mal} on the whole feasible data domain, we initialize the adversarial query with the target image or the target image with a small noise. Meanwhile, we add an ϵ bound to the perturbation between x_{mal} and x^* . Intuitively, the hope is that x_{mal} and x^* now share the same loss basin, which prevents x_{mal} from falling into a random, suboptimal local minimum of Equation (1). In the offline case, we only use OUT models during the optimization.

Once a suitable canary has been generated, we follow the same metric-based evaluation strategy described in Carlini et al. [2022b] but replace (x^*, y^*) with (x_{mal}, y^*) .

Online							
	CIFAR-10		C	CIFAR-100	MNIST		
	AUC	TPR@1%FPR	AUC	TPR@1%FPR	AUC	TPR@1%FPR	
LiRA	74.36	17.84	94.70	53.92	56.28	3.95	
Canary	76.25	21.98	94.89	56.83	58.12	5.23	
Δ	+1.89	+4.14	+0.19	+2.91	+1.84	+1.28	
Offline							
	AUC	TPR@1%FPR	AUC	TPR@1%FPR	AUC	TPR@1%FPR	
LiRA	55.40	9.85	79.59	42.02	50.82	2.66	
Canary	61.54	12.60	82.59	44.78	54.61	3.06	
Δ	+6.14	+2.75	+3.00	+2.76	+3.79	+0.40	

Table 1: Main Results on Different Datasets. For three datasets, Canary attacks are effective in both online and offline scenarios.

4 Experiments

In this section, we first show that the Canary attack can reliably improve LiRA results under different datasets and different models for both online and offline settings. Further, we investigate the algorithm thoroughly through a series of ablation studies, which are provided in Appendix A.4 and Appendix A.5.

4.1 Experimental Setting

We follow the setting of Carlini et al. [2022a] for our main experiment on CIFAR-10 and CIFAR-100 for full comparability. We first train 65 wide ResNets (WRN28-10) [Zagoruyko and Komodakis, 2016] with random even splits of 50000 images to reach 92% and 71% test accuracy for CIFAR-10 and CIFAR-100 respectively. For MNIST, we train 65 8-layer ResNets [He et al., 2016] with random even splits to reach 97% test accuracy. During the experiments, we report the average metrics over 5 runs with different random seeds. For each run, we randomly select a model as the target model and remaining 64 models as shadow models, and test on 5000 random samples with 10 queries.

4.2 Canary Attacks Help Membership Inference

We show our main results in Table 1 for three datasets. Canary attacks are effective in both online and offline scenarios. The improvement of TPR@1%FPR is significant for all datasets. The difference is especially notable for online CIFAR-10, where we achieve a 4.14% boost over the baseline LiRA (a relative improvement in TPR of 23%). In the case of online CIFAR-100, where the baseline already achieves a very high AUC, Canary attacks only provide an extra 0.19% over the baseline. On average, Canary attacks are most powerful in the more realistic offline scenario. We gain over 3% boost on AUC scores on all datasets and over 2.75% TPR@1%FPR boost for CIFAR-10 and CIFAR-100.

Overall, the improvement on MNIST is relatively small. We believe this can be attributed to the lack of diversity for MNIST, which is known to make membership inference more challenging. In this setting, the difference between the decision boundaries of IN models and OUT models is less pronounced, so it is more difficult to make diverse and reliable queries. Despite these challenges, we still see improvement over LiRA in the offline case – the AUC score is close to random (50.82%) for LiRA here and Canary attacks can improve this to 54.61%.

5 Conclusion

We explore a novel way to enhance membership inference techniques by creating ensembles of adversarial queries. These adversarial queries are optimized to provide maximally different outcomes for the model trained with/without the target data sample. We also investigate and discuss strategies to make the queries trained on the shadow models transferable to the target model. Through a series

of experiments, we show that Canary attacks reliably enhance both online and offline membership inference algorithms under three different datasets, four different models, and differential privacy.

Acknowledgements

This work was supported by the Office of Naval Research (#N000142112557), the AFOSR MURI program, DARPA GARD (HR00112020007), and the National Science Foundation (IIS-2212182 and DMS-1912866).

References

- Martin Abadi, Andy Chu, Ian Goodfellow, H Brendan McMahan, Ilya Mironov, Kunal Talwar, and Li Zhang. Deep learning with differential privacy. In *Proceedings of the 2016 ACM SIGSAC conference on computer and communications security*, pages 308–318, 2016.
- Jason W Bentley, Daniel Gibney, Gary Hoppenworth, and Sumit Kumar Jha. Quantifying membership inference vulnerability via generalization gap and other model metrics. *arXiv preprint arXiv:2009.05669*, 2020.
- Nicholas Carlini and David Wagner. Towards evaluating the robustness of neural networks. In 2017 *ieee symposium on security and privacy (sp)*, pages 39–57. Ieee, 2017.
- Nicholas Carlini, Steve Chien, Milad Nasr, Shuang Song, Andreas Terzis, and Florian Tramer. Membership inference attacks from first principles. In 2022 IEEE Symposium on Security and Privacy (SP), pages 1897–1914. IEEE, 2022a.
- Nicholas Carlini, Steve Chien, Milad Nasr, Shuang Song, Andreas Terzis, and Florian Tramer. Membership Inference Attacks From First Principles. *arxiv:2112.03570[cs]*, April 2022b. doi: 10.48550/arXiv.2112.03570. URL http://arxiv.org/abs/2112.03570.
- Christopher A Choquette-Choo, Florian Tramer, Nicholas Carlini, and Nicolas Papernot. Label-only membership inference attacks. In *International conference on machine learning*, pages 1964–1974. PMLR, 2021.
- Jonas Geiping, Micah Goldblum, Phillip E Pope, Michael Moeller, and Tom Goldstein. Stochastic training is not necessary for generalization. *arXiv preprint arXiv:2109.14119*, 2021.
- Kaiming He, Xiangyu Zhang, Shaoqing Ren, and Jian Sun. Deep residual learning for image recognition. In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition* (*CVPR*), June 2016.
- Nils Homer, Szabolcs Szelinger, Margot Redman, David Duggan, Waibhav Tembe, Jill Muehling, John V Pearson, Dietrich A Stephan, Stanley F Nelson, and David W Craig. Resolving individuals contributing trace amounts of dna to highly complex mixtures using high-density snp genotyping microarrays. *PLoS genetics*, 4(8):e1000167, 2008.
- Hongsheng Hu, Zoran Salcic, Lichao Sun, Gillian Dobbie, Philip S Yu, and Xuyun Zhang. Membership inference attacks on machine learning: A survey. *ACM Computing Surveys (CSUR)*, 2021.
- Paul Irolla and Grégory Châtel. Demystifying the membership inference attack. In 2019 12th CMI Conference on Cybersecurity and Privacy (CMI), pages 1–7. IEEE, 2019.
- Matthew Jagielski, Stanley Wu, Alina Oprea, Jonathan Ullman, and Roxana Geambasu. How to combine membership-inference attacks on multiple updated models. *arXiv preprint arXiv:2205.06369*, 2022.
- Diederik P Kingma and Jimmy Ba. Adam: A method for stochastic optimization. *arXiv preprint arXiv:1412.6980*, 2014.
- Yiyong Liu, Zhengyu Zhao, Michael Backes, and Yang Zhang. Membership inference attacks by exploiting loss trajectory. *arXiv preprint arXiv:2208.14933*, 2022.

OpenAI. OpenAI API, June 2020. URL https://openai.com/blog/openai-api/.

- Alexandre Sablayrolles, Matthijs Douze, Cordelia Schmid, Yann Ollivier, and Hervé Jégou. Whitebox vs black-box: Bayes optimal strategies for membership inference. In *International Conference* on Machine Learning, pages 5558–5567. PMLR, 2019.
- Ahmed Salem, Yang Zhang, Mathias Humbert, Pascal Berrang, Mario Fritz, and Michael Backes. Ml-leaks: Model and data independent membership inference attacks and defenses on machine learning models. arXiv preprint arXiv:1806.01246, 2018.
- Reza Shokri, Marco Stronati, Congzheng Song, and Vitaly Shmatikov. Membership inference attacks against machine learning models. In 2017 IEEE symposium on security and privacy (SP), pages 3–18. IEEE, 2017.
- Karen Simonyan and Andrew Zisserman. Very deep convolutional networks for large-scale image recognition. *arXiv preprint arXiv:1409.1556*, 2014.
- Gowthami Somepalli, Liam Fowl, Arpit Bansal, Ping Yeh-Chiang, Yehuda Dar, Richard Baraniuk, Micah Goldblum, and Tom Goldstein. Can neural nets learn the same model twice? investigating reproducibility and double descent from the decision boundary perspective. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition (CVPR)*, pages 13699–13708, June 2022.
- Liwei Song and Prateek Mittal. Systematic evaluation of privacy risks of machine learning models. In *30th USENIX Security Symposium (USENIX Security 21)*, pages 2615–2632, 2021.
- Asher Trockman and J Zico Kolter. Patches are all you need? *arXiv preprint arXiv:2201.09792*, 2022.
- Nguyen Truong, Kai Sun, Siyao Wang, Florian Guitton, and YiKe Guo. Privacy preservation in federated learning: An insightful survey from the GDPR perspective. *Computers & Security*, 110:102402, November 2021. ISSN 0167-4048. doi: 10.1016/j.cose.2021.102402. URL https://www.sciencedirect.com/science/article/pii/S0167404821002261.
- Michael Veale, Reuben Binns, and Lilian Edwards. Algorithms that remember: Model inversion attacks and data protection law. *Philosophical Transactions of the Royal Society A: Mathematical, Physical and Engineering Sciences*, 376(2133):20180083, November 2018. doi: 10.1098/rsta.2018.0083. URL https://royalsocietypublishing.org/doi/full/10. 1098/rsta.2018.0083.
- Rachel Wilka, Rachel Landy, and Scott A. McKinney. How Machines Learn: Where Do Companies Get Data for Machine Learning and What Licenses Do They Need. *Washington Journal of Law, Technology & Arts*, 13(3):217–244, 2017. URL https://heinonline.org/HOL/P?h=hein. journals/washjolta13&i=226.
- Samuel Yeom, Irene Giacomelli, Matt Fredrikson, and Somesh Jha. Privacy risk in machine learning: Analyzing the connection to overfitting. In 2018 IEEE 31st computer security foundations symposium (CSF), pages 268–282. IEEE, 2018.
- Sergey Zagoruyko and Nikos Komodakis. Wide residual networks. *arXiv preprint arXiv:1605.07146*, 2016.

A Appendix

A.1 LiRA Attack

We describe in detail the metric-based Likelihood Ratio Attack (LiRA) introduced by Carlini et al. [2022a]. In the **online** threat model, a LiRA attacker first trains N shadow models $S = \{\theta_1, ..., \theta_N\}$ on randomized even splits of the dataset D. For any data point $(x, y) \in D$, it follows that there are on average N/2 OUT shadow models trained without (x, y) and N/2 IN shadow models trained with (x, y). This allows the attacker to run membership inference using a joint pool of shadow models, without having to retrain models for every new trial data point. Given a target point x^* and its

label y^* , an attacker calculates confidence scores of IN models $S_{in} = \{\theta_1^{in}, ..., \theta_n^{in}\}$ and OUT models $S_{out} = \{\theta_1^{out}, ..., \theta_m^{out}\}$. Confidence scores are scaled via

$$\phi(f_{\theta}(x^*)_{y^*}) = \log(\frac{f_{\theta}(x^*)_{y^*}}{1 - f_{\theta}(x^*)_{y^*}}),\tag{2}$$

where $f_{\theta}(x)_y$ denotes the confidence score from the model θ on the point (x, y). This scaling approximately standardizes the confidence distribution, as the distribution of the unnormalized confidence scores is often non-Gaussian. After retrieving the scaled scores for IN and OUT models, the attacker fits them to two separate Gaussian distributions denoted $\mathcal{N}(\mu_{in}, \sigma_{in}^2)$ and $\mathcal{N}(\mu_{out}, \sigma_{out}^2)$ respectively. Then, the attacker queries the target model with (x^*, y^*) and computes the scaled confidence score of the target model $conf_t = \phi(f_{\theta_t}(x^*)_{y^*})$. Finally, the probability of (x^*, y^*) being in the training data of θ_t is calculated as:

$$\frac{p(\operatorname{conf}_{t} \mid \mathcal{N}(\mu_{\operatorname{in}}, \sigma_{\operatorname{in}}^{2}))}{p(\operatorname{conf}_{t} \mid \mathcal{N}(\mu_{\operatorname{out}}, \sigma_{\operatorname{out}}^{2}))},\tag{3}$$

where $p(\operatorname{conf} | \mathcal{N}(\mu, \sigma^2))$ calculates the probability of conf under $\mathcal{N}(\mu, \sigma^2)$.

For the **offline** threat model, the attacker exclusively produces OUT shadow models by training on a set of randomized datasets fully disjoint from the possible sensitive data. For the sensitive data point (x^*, y^*) , the final score is now calculated as a one-sided hypothesis which yields:

$$1 - p(\operatorname{conf}_{\mathsf{t}} \mid \mathcal{N}(\mu_{\operatorname{out}}, \sigma_{\operatorname{out}}^2))$$

Though assessing membership this way is more challenging, the offline model allows the attacker to avoid having to train any new models at inference time in response to a new (x^*, y^*) pair – a more realistic setting if the attacker is a regulatory agency responding to malpractice claims by many users, for example.

In practice, modern machine learning models are trained with data augmentations. Both the online and offline methods above can be improved if the attacker generates k augmented target data points $\{x_1, ..., x_k\}$, performs the above probability test on each of the k augmented samples, and averages the resulting scores.

A.2 Experimental Setting

We follow the setting of Carlini et al. [2022a] described above for our main experiment on CIFAR-10 and CIFAR-100 for full comparability. We first train 65 wide ResNets (WRN28-10) [Zagoruyko and Komodakis, 2016] with random even splits of 50000 images to reach 92% and 71% test accuracy for CIFAR-10 and CIFAR-100 respectively. For MNIST, we train 65 8-layer ResNets [He et al., 2016] with random even splits to reach 97% test accuracy. During the experiments, we report the average metrics over 5 runs with different random seeds. For each run, we randomly select a model as the target model and remaining 64 models as shadow models, and test on 5000 random samples with 10 queries.

For the hyperparameters in the Canary attack, we empirically choose $\epsilon = 2$ for CIFAR-10 & CIFAR-100 and $\epsilon = 6$ for MNIST, which we will ablate in Appendix A.5. We sample b = 2 shadow models for each iteration and optimize each query for 40 optimization steps using Adam [Kingma and Ba, 2014] with a learning rate of 0.05. For \mathcal{L} and \mathcal{L}_{out} , we choose to directly minimize/maximize the logits before a softmax on the target label. All experiments in this paper are conducted by one NVIDIA RTX A4000 with 16GB of GPU memory, which allows us to load all shadow models and optimize 10 adversarial queries at the same time, but the experiments could be done with a smaller GPU by optimizing one query at a time or reloading the subsample of models for each iteration.

A.3 Evaluation Metrics

In this paper, we mainly report two metrics: AUC (area under the curve) score of the ROC (receiver operating characteristic) curve and TPR@1%FPR (true positive rate when false positive rate is 1%). One can construct the full ROC by shifting the probability threshold of the attack to show the TPR under each FPR. The AUC measures the average power of the attack. As mentioned in 2 an attacker might be more interested in TPR with low FPR, so we also specifically report TPR@1%FPR.

Table 2: **Results on Different Models Architecture.** Canary attacks are able to consistently outperform LiRA over different models. The order of the model architectures is sorted in descending order of the decision boundary reproducibility according to Somepalli et al. [2022]. T@1%F stands for TPR@1%FPR.

Online								
	WRN28-10		ResNet-18		VGG		ConvMixer	
	AUC	T@1%F	AUC	T@1%F	AUC	T@1%F	AUC	T@1%F
LiRA	74.36	17.84	76.29	17.05	75.94	20.48	75.97	16.58
Canary	76.25	21.98	76.93	19.34	77.63	20.87	76.39	17.05
Δ	+1.89	+4.14	+0.64	+2.29	+1.69	+0.39	+0.42	+0.47
Offline								
	AUC	T@1%F	AUC	T@1%F	AUC	T@1%F	AUC	T@1%F
LiRA	55.40	9.85	55.15	6.97	49.96	9.77	54.42	7.96
Canary	61.54	12.60	64.09	11.58	65.55	15.16	62.22	9.93
Δ	+6.14	+2.75	+8.94	+4.61	+15.59	+5.39	+7.80	+1.97

A.4 Results on Different Models Architecture

In addition to WRN28-10, we further verify the ability of Canary attacks for three other models architectures in CIFAR-10: ResNet-18 [He et al., 2016], VGG-16 [Simonyan and Zisserman, 2014], and ConvMixer [Trockman and Kolter, 2022]. In Table 2, Canary attacks are able to consistently provide enhancement over different models. The performance of Canary attacks should be related to the reproducibility of the model architecture. If the model decision boundary is highly reproducible, the shadow models should share similar decision boundaries with the target model, and the adversarial query trained on the shadow models will be more transferable to the target model. The order of the model architectures in Table 2 is sorted in descending order of the decision boundary reproducibility according to Somepalli et al. [2022]. Indeed, we see from Table 2 that models with higher reproducibility do correlate with more improvement for the online scenario.

A.5 Ablation Experiments

In this section, we provide ablation experiments on several crucial hyperparameters of the discussed Canary attacks.

Number of shadow models. As described before, the number of shadow models is comparable to the number of data points in traditional machine learning. We test Canary attacks with 5 different numbers of shadow models: 4, 8, 16, 32, and 64. We see from Figure 1(a), that using more shadow models yields a higher true positive rate when the false positive rate is low. Interestingly, as the number of shadow models initially decreases, the overall performance drops slightly, but such an effect diminishes after the number of shadow models is greater than 2^4 .

Number of queries. Because of the stochasticity of optimization, different queries can fall into different minima of Equation (1), returning different sets of confidence scores and thus more ways to probe the target model. Therefore, it is essential to investigate how the number of queries affects the membership inference results. We plot the results in Figure 1(b). The ensemble of more adversarial queries consistently enhances both metrics, which means different queries indeed give different signals about the target model.

 ϵ **bound.** The choice of ϵ is important, which is highly related to the transferability. As shown in Figure 1(c), the performance of Canary drops very fast after $\epsilon = 2$. When $\epsilon = 1$ the TPR@1%FPT is slightly lower than when $\epsilon = 2$, which indicates that the perturbation within $\epsilon = 1$ might be too small to be effective.

Batch size. In Figure 1(d), we test Canary with different batch sizes. Mini-batch strategy does improve the performance of Canary attacks. Especially for TPR@1%FPT, the difference is around 2% between the batch size of 2^1 and 2^5 . Optimizing with a smaller batch size prevents the adversarial



Figure 1: Hyperparameter Ablation Experiments. We provide ablation experiments on several crucial hyperparameters: number of shadow models, number of adversarial queries, ϵ bound, and batch size.

Table 3: **Results with Different Objectives.** We evaluate Canary attacks on different objectives. Directly minimizing/maximizing the pre-softmax logits gives the biggest improvement in both the online and offline settings.

		Online	Offline		
	AUC	TPR@1%FPR	AUC	TPR@1%FPR	
LiRA	74.36	17.84	55.40	9.85	
CE/r. CE	75.55	19.85	56.83	9.22	
CE/CE	75.55	19.89	59.23	9.77	
CW/r. CW	75.37	19.97	56.57	9.26	
CW/CW	75.67	20.99	59.27	11.30	
Log. Logits	75.82	20.01	59.16	8.04	
Logits	76.25	21.98	61.54	12.60	

query from overfitting to the shadow models. Meanwhile, it massively reduces the GPU memory required for the gradient graph, which is a win-win situation for the attacker.

Choice of Objectives for L and L_{out} . The choice the target objectives L and L_{out} is also crucial to the generalization of Canary attacks. We test six different objectives to create adversarial queries: 1) CE/reverse CE. 2) CE/CE on a random label other than the true label. 3) CW [Carlini and Wagner, 2017]/reverse CW. 4) CW/CW on a random label. 5) Directly minimize the scaled log score/maximize the scaled log score. 6) Directly minimize the pre-softmax logits of the true label. We show the results in Table 3.

During the experiment, for all objectives above, we can easily get very low losses at the end of the optimization, and create Canary queries that perfectly separate the training shadow models. Surprisingly, minimizing/maximizing the pre-softmax logits gives us the biggest improvement, even though it does not explicitly suppress the logits for other labels like other objectives do. Overall, any other choices can also improve the baseline in the online scenario. However, in the offline scenario, only CW/CW and pre-softmax logits provide improvements to TPR@1%FPR.

			Online	Offline		
		AUC	TPR@1%FPR	AUC	TPR@1%FPR	
$\epsilon = \infty$	LiRA	66.25	9.41	56.12	3.27	
	Canary	67.17	9.93	59.73	4.41	
	Δ	+0.92	+0.52	+3.61	+1.14	
$\epsilon = 100$	LiRA	52.17	1.18	49.93	1.18	
	Canary	53.18	1.81	51.38	1.14	
	Δ	+1.01	+0.63	+1.45	-0.04	

Table 4: **Results under Differential Privacy.** In both cases, the norm clipping is 5. Even when the target model is trained with differential privacy, Canary attacks reliably increase the success of membership inference.

A.6 Differential Privacy

We now challenge Canary attacks with differential privacy [Abadi et al., 2016]. Differential privacy is designed to prevent the leak of information about the training data. We evaluate Canary attacks in two settings. The first setting only uses norm bounding, where the norm bounding C = 5 and $\epsilon = \infty$, and in another setting, C = 5 and $\epsilon = 100$. In order to follow the convention of practical differential privacy, we replace Batch Normalization with Group Normalization with G = 16 for ResNet-18.

We see in Table 4 that Canary attacks can provide some limited improvement. Both LiRA and Canary attacks are notably less effective when a small amount of noise $\epsilon = 100$ is added during training, which is a very loose bound in practice. However, training with such a powerful defense makes the test accuracy of the target model decrease from 88% to 44%. Differential privacy is still a very effective defense for membership inference attacks, but Canary attacks reliably increase the success chance of membership inference over LiRA.

A.7 Limitations and Future Work

Although Canary attacks perform very well in the above experiments, there are several relevant limitations. The optimization process for constructing the ensemble of canaries is markedly more computationally expensive than using data augmentations of the target data point as in Carlini et al. [2022b]. Furthermore, effective optimization routines for queries could challenging, especially when considering future applications of this approach to discrete data, like text or tabular data. In principle, we believe it should be possible to devise a strategy to make adversarial queries transferable that do not require ϵ -bounds, but so far have found the method detailed in Canary to be the most successful approach.