## ChunkNorris: A High-Performance and Low-Energy Approach to PDF **Parsing and Chunking**

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#### Abstract

In Retrieval-Augmented Generation applications, the Information Retrieval part is central as it provides the contextual information that enables a Large Language Model to generate an appropriate and truthful response. High quality parsing and chunking are critical as efficient data segmentation directly impacts downstream tasks, i.e. Information Retrieval and answer generation. In this paper, we introduce ChunkNorris, a novel heuristic-based technique designed to optimise the parsing and chunking of PDF documents. Our approach does not rely on machine learning and employs a suite of simple yet effective heuristics to achieve high performance with minimal computational overhead. We demonstrate the efficiency of ChunkNorris through a comprehensive benchmark against existing parsing and chunking methods, evaluating criteria such as execution time, energy consumption, and retrieval accuracy. We propose an open-access dataset to produce our results. ChunkNorris outperforms baseline and more advanced techniques, offering a practi-025 cal and efficient alternative for Information Retrieval tasks. Therefore, this research highlights the potential of heuristic-based methods for real-world, resource-constrained RAG use cases.

#### 1 Introduction

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Retrieval-Augmented Generation (RAG) is an advanced paradigm in Natural Language Processing (NLP) that combines the strengths of Information Retrieval (IR) and generative models to address tasks requiring extensive knowledge and contextual understanding (Lewis et al., 2020). Unlike standalone generative models, RAG dynamically integrates external knowledge sources by retrieving relevant documents or data during inference<sup>1</sup>. This retrieval step ensures the generated responses

<sup>1</sup>https://arxiv.org/pdf/2312.10997, accessed on February 14, 2025.

are coherent as well as grounded in up-to-date and accurate information, mitigating issues like hallucination (Bouvard et al., 2024). Therefore, RAG is particularly valuable for applications such as question-answering, conversational agents, document summarisation, and decision support (Fan et al., 2024).

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The retrieval step in an RAG system queries a knowledge base, typically a large corpus of unstructured or semi-structured documents, to identify and extract the most relevant content for a given input (Bouvard et al., 2024). This process often involves techniques from the field of IR, such as lexical matching (e.g., TF-IDF (Sparck Jones, 1988), BM25 (Robertson and Jones, 1976)) or dense vector retrieval using embeddings generated by pre-trained language models (e.g., Sentence-BERT (Reimers and Gurevych, 2019)). The retrieved results are ranked based on their relevance to the query and passed to the generative model as context (Tao et al., 2023). Advanced systems may incorporate hybrid retrieval strategies, combining heuristic-based approaches with Machine Learning (ML) for improved performance.

To feed the retriever of a RAG system, a knowledge base is constructed from documents that vary greatly in terms of format and complexity (Zhang et al., 2024). To ensure good retrieval performance, documents must be parsed and chunked. Regarding PDF documents, parsing involves extracting structured and unstructured data from a format designed for human readability rather than machine processing, often requiring the handling of intricate layouts, multi-column text, tables, figures, and metadata<sup>2</sup>. The process typically begins with text extraction using libraries or tools such as  $PyPDF2^3$ ,

<sup>&</sup>lt;sup>2</sup> https://arxiv.org/abs/2410.09871v1, accessed on February 14, 2025.

<sup>&</sup>lt;sup>3</sup> https://pypdf2.readthedocs.io/en/3.x/, accessed on February 14, 2025.

*PDFPlumber*<sup>4</sup>, or *PyMuPDF*<sup>5</sup>, followed by additional preprocessing to clean and structure the extracted content. Key challenges include preserving semantic coherence, managing irregular formatting, and accurately reconstructing the document's logical flow<sup>2</sup>.

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Once the document structure and raw text are extracted from a document through parsing techniques, that content undergoes chunking which aims to segment the content into smaller, semantically coherent units, or chunks, to facilitate efficient storage, indexing, and retrieval (Kshirsagar, 2024). This segmentation ensures that the retrieval system can identify and provide precise, contextually relevant information in response to a query rather than retrieving entire documents or unmanageable text blocks<sup>6</sup>. Effective chunking strategies balance granularity, ensuring chunks are neither too large to dilute relevance nor too small to lose context<sup>6</sup>. Techniques for chunking often leverage heuristic rules, such as splitting by paragraph, sentence, or headings, while more advanced methods may incorporate semantic analysis to group related content meaningfully (Kshirsagar, 2024).

Therefore, we aim to propose an efficient and low-energy solution for parsing and chunking PDF documents to improve IR performance: ChunkNorris. The code is available as open-souce: https: //anonymous.4open.science/r/chunknorri s-9859/, with the related documentation: *placeholder for anonymity*. We benchmark ChunkNorris with existing parsing and chunking techniques on various criteria with an open-access dataset we build and propose to the community. The code for the benchmark is available in our GitHub repository: https://anonymous.4open.science/r/ bench-chunknorris-acl2025-20E8/

#### 2 Related Work

The PDF was invented to address the need to encapsulate documents to ensure readability across various platforms. Since its inception, continuous research has been conducted on how to effectively parse PDF files, given their complex structure. With the rise of Large Language Models (LLMs) and RAG applications, the need for efficient and accurate PDF parsing has become more critical. Significant advancements have been made in parsing techniques for PDF documents, driven by the increasing need to extract structured data from inherently unstructured or semi-structured content. State-of-the-art methods often combine traditional approaches with ML techniques to handle the complexities of the PDF format. Traditional methods rely on libraries like *PDFMiner*<sup>7</sup> or PyPDF2<sup>3</sup>, which provide programmatic access to text, images, and metadata. However, these tools only provide simple text extraction and do not provide information about document layout, hierarchical information, or structured information like tables. Heuristics-based methods were initially developed to enhance basic text extraction. However, they tend to be replaced in favour of computer vision approaches that leverage ML to improve performance.

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Models trained with annotated data integrate textual and layout information, enabling improved tables, forms, and complex structures extraction. This is the case for Python librairies such as Unstructured<sup>8</sup> and Docling<sup>9</sup>. Furthermore, hybrid techniques combine multiple approaches, such as *Open-Parse*<sup>10</sup>, which uses mainly heuristics, and ML as an option; *Marker*<sup>11</sup>, which uses ML, Optical Character Recognition (OCR), and has LLM support; and LLM Sherpa<sup>12</sup>, which uses heuristics and LLM techniques. While such techniques provide high-quality results, their use in production is limited due to high processing time, computational resources and annotation requirements that often do not match the constraints of production environments.

Regarding chunking, the literature suggests two possible scenarios. The first is where the parsing and chunking methods are separate. For example, *PyPDF2*, *Unstructured*, *Marker*, *LLM Sherpa* and *NV-Ingest*<sup>13</sup> are parsing-only tools. Their output can be processed using independent chunking tech-

<sup>&</sup>lt;sup>4</sup>https://github.com/jsvine/pdfplumber, accessed on February 14, 2025.

<sup>&</sup>lt;sup>5</sup>https://pymupdf.readthedocs.io/en/latest/, accessed on February 14, 2025.

<sup>&</sup>lt;sup>6</sup> https://arxiv.org/html/2402.05131v3

<sup>&</sup>lt;sup>7</sup>https://pdfminersix.readthedocs.io/en/latest /, accessed on February 14, 2025.

<sup>&</sup>lt;sup>8</sup>https://docs.unstructured.io/welcome, accessed on February 14, 2025.

<sup>&</sup>lt;sup>9</sup>https://ds4sd.github.io/docling/, accessed on February 14, 2025.

<sup>&</sup>lt;sup>10</sup>https://github.com/Filimoa/open-parse, accessed on February 14, 2025.

<sup>&</sup>lt;sup>11</sup>https://github.com/VikParuchuri/marker, accessed on February 14, 2025.

<sup>&</sup>lt;sup>12</sup>https://github.com/nlmatics/llmsherpa, accessed on February 14, 2025.

<sup>&</sup>lt;sup>13</sup>https://github.com/NVIDIA/nv-ingest, accessed on February 14, 2025.

niques, such as the LangChain's commonly used 163 recursive character text splitter<sup>14</sup>. In the second 164 case, the parsing and chunking methods are built 165 together as a pipeline to combine these two steps 166 effectively. This is the case, for example, for Open-Parse and Docling. Among the most common 168 chunking methods is length-based splitting, which 169 segments text based on specified size limits, such 170 as tokens or characters. Token-based splitting is particularly useful when interfacing with language 172 models, as it aligns with their input constraints, 173 while character-based splitting ensures consistency 174 across diverse text types (Kshirsagar, 2024). These 175 methods are straightforward to implement, adapt-176 able, and produce uniform chunk sizes. A more 177 advanced approach is hierarchical splitting, which 178 leverages the natural structure of text, such as paragraphs, sentences, and words, to create coherent splits (Kshirsagar, 2024). Tools like LangChain's 181 recursive character text splitter<sup>15</sup> exemplify this technique by prioritising larger units (e.g., paragraphs) and recursively splitting smaller units when necessary, preserving the semantic flow of the text. For documents with inherent structures, such as 186 187 HTML, Markdown, or JSON, structure-based splitting leverages these formats' structural information, such as headers, tags, or object boundaries, to cre-189 ate contextually rich chunks (Kshirsagar, 2024). This approach maintains the document's logical 191 organisation and is particularly effective for pre-192 serving semantic relationships. Finally, semantic-193 based splitting goes a step further by analysing the 194 content's meaning to identify significant shifts in 195 context (Kshirsagar, 2024). This last method often uses sliding window techniques and embeddings to 197 detect breakpoints in the text, ensuring chunks re-198 main semantically coherent. While semantic-based 199 splitting stands out for its ability to directly analyse and maintain contextual integrity, it requires much 201 more execution time and computational resources that are not always available in practice.

With ChunkNorris, we propose an efficient and unsupervised ML-free parsing and chunking method. We aim to ensure fast document ingestion with limited computational resources while getting the most out of the document structure. Our algorithm is robust and enables coherent chunking of various documents.

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### 3 ChunkNorris

This work introduces ChunkNorris, a novel parsing and chunking algorithm designed to efficiently process documents without requiring GPU acceleration. While chunking based on document titles has demonstrated high effectiveness, it has not been widely applied to PDFs, primarily because detecting headers and their hierarchy from document layout is challenging. We developed ChunkNorris, which leverages title-based segmentation to produce high-quality chunks while remaining lightweight and efficient. 211

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#### 3.1 Parser

A parser is a computational tool or algorithm that analyses and processes structured or unstructured data, converting it into a machine-readable format. Parsers are essential components of text processing systems that clean and format input documents. ChunkNorris parser's primary role is to take a file or a string as input and produce a clean, markdownformatted output suitable for further processing by a chunker. Currently, ChunkNorris supports three parsers: MarkdownParser, HTMLParser, and PdfParser. Regardless of the input type, all parsers generate a unified MarkdownDoc object, which serves as input for a chunker. This work focuses on the PdfParser, which is designed to extract and structure content from PDF files.

ChunkNorris implementation relies on the *PyMuPDF* library. This tool provides fast implementations of a great variety of utility functions for document processing. The parsing process begins by opening the PDF using *PyMuPDF*. Text is retrieved in the form of spans, which are defined as sets of consecutive characters sharing the same formatting properties. Based on their attributes and location, spans undergo a series of processing steps to ensure accurate structuring of the document.

**Headers and footers:** If a span's bounding box appears in the same position on more than 33% of the document's pages, it is flagged as a header or footer and subsequently removed.

**Links:** In PDF documents, hyperlinks exist as invisible clickable boxes layered over spans. The PdfParser retrieves links and binds them to their corresponding spans to avoid loosing that information. To our knowledge, none of the other existing PDF parsing tools extract hyperlinks.

**Tables:** Tables in PDFs vary widely in layout, making them challenging to parse. They fall into three

<sup>&</sup>lt;sup>14</sup>https://python.langchain.com/docs/how\_to/rec ursive\_text\_splitter/, accessed on February 14, 2025. <sup>15</sup>https://python.langchain.com/docs/how\_to/rec

ursive\_text\_splitter/, accessed on February 14, 2025.

261categories: those with visible cell boundaries, those262inferred from content alignment, and those embed263as images. In the first case, the table structure is rep-264resented by line vectors, which can be recombined265for parsing. ChunkNorris employs a vectorised line266recombination method for efficient table structure267extraction. It also handles the parsing of tables with268merged cells.

Lines/Blocks: Following text extraction, spans are grouped to form coherent text units. Consecutive spans on the same vertical position are merged into lines. Lines are further grouped into blocks, which may represent a paragraph or a section title. Building of blocks is based on the line spacing of the document's body content, which refers to the vertical distance between bounding boxes of consecutive lines.

Main title: The parser also attempts to infer the 278 document's main title by analysing blocks on the 279 first page. Blocks with font sizes larger than the body text are considered potential title candidates. Section headers: The PdfParser detects section headers and their hierarchy. It first checks the document metadata for a Table of Contents (ToC). If 284 found, it is used directly. Otherwise, the parser searches for a structured ToC within the document using regular expressions. Header levels are inferred from indentation (deeper levels are further right) or numbering patterns (e.g., 1., 1.1, 1.1.a). If no ToC is available, font sizes determine hierarchy, with smaller fonts indicating deeper levels. 291

**Other:** Various document attributes are also extracted to characterise the content, such as document orientation, font size of the body, etc.

Finally, after completing the extraction and structuring process, the PdfParser generates a markdownformatted document, ensuring a clean and structured representation of the original PDF content ready to be processed by a chunker or other textprocessing modules.

### 3.2 Chunker

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Chunkers process parser outputs by segmenting them into coherent units. In ChunkNorris, all parsers produce Markdown-formatted Markdown-Doc objects, ensuring compatibility with the MarkdownChunker. Markdown is the chosen standard for its readability by both humans and LLMs while offering sufficient structure for chunking. As a result, the MarkdownChunker is currently the only implemented chunker, though others may be developed if new parser output formats emerge.

The chunking strategy employed by the MarkdownChunker is based on several guiding principles. First, each chunk must contain homogeneous information. Therefore, the chunking process relies on document section headers to define chunk boundaries. Second, each chunk must retain contextual information, as sections of a document can lose meaning when read in isolation. To preserve context, the headers of all parent sections are prepended to each chunk. Third, chunk sizes should be as uniform as possible. Embedding models used in IR are sensitive to chunk length, resulting in higher embedding similarity for chunks of similar length to the query. If a chunk is significantly longer than the query, its similarity score may decrease despite relevance. Chunkers aim to maintain a consistent chunk size whenever possible to mitigate this issue.

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The chunking process begins by constructing a ToC tree from document headers. Chunks are then recursively generated based on the ToC structure, with each chunk containing the titles of upper sections and the content of the current section. A chunk is subdivided using available subsections if it exceeds the soft word limit. Otherwise, it remains intact. After chunking, refinements are applied: sections below a minimum word count are discarded to ensure only meaningful chunks are retained. Chunks exceeding a hard limit are split into subchunks at newline characters, which ensure tables and code blocks remain within a single chunk. Titles from the original chunks are preserved at the beginning of each subchunk to maintain context.

The final output of the MarkdownChunker is a list of Chunk objects, each containing its processed text, the associated parent headers, the starting line of the chunk within the original markdownformatted document and, when relevant, the start and end page numbers of the paginated source file. This structured output ensures very fast and efficient document segmentation while preserving readability and contextual integrity. ChunkNorris parsers and chunkers can be wrapped up into pre-built pipelines. They allow processing of documents with minimum code while ensuring constant output quality.

# 4 Benchmark of parsing and chunking techniques

To evaluate ChunkNorris, we propose comparing360its performance to other popular tools. This section361

404 all chunks, retrieving the top 10 highest-scoring 405 406

than 500M parameters. We compute the cosine similarity between the annotated question and chunks. The annotators validate the question if no additional relevant chunks are found among these top results. Otherwise, they refine or rewrite the question to better isolate a single relevant passage.

presents the methodology we apply to compare

ChunkNorris and the dataset constructed for the

evaluation. The dataset is available as open-source

We evaluate parsing and chunking in the context

of RAG. Therefore, we construct the PDF dataset

for Information Retrieval Evaluation (PIRE)

designed explicitly for the IR use case to assess

the parsing and chunking methods. This dataset

comprises 100 PDFs, combining 50 documents

from the existing DocLayNet dataset (Pfitzmann

et al., 2022) and 50 newly collected PDFs whose

diversity reflects real-world use cases. The newly

collected set includes 5 arXiv papers, 2 financial

reports, 4 infographics, 4 legal documents, 3 IT

documentations, 4 news articles, 3 PowerPoint-like

documents, 13 PubMed papers, 3 organisation

reports, 5 user manuals, and 5 Wikipedia articles.

The documents were selected with consideration

of their licenses. A list of all the PDFs and

their references can be found in the Appendix A.

This diverse selection ensures a broad range of

document structures and content types, making it

well-suited for evaluating the robustness of our

We first annotate three questions per PDF, resulting

in 300 question-document pairs. Three annotators

followed a structured methodology to identify the

minimal passage within each document that con-

tained the answer. A retrieval step was carried out

to ensure only one passage contained the answer to

each question. In this step, documents were parsed

using the ChunkNorris parser and segmented into

fixed-size chunks of 250 words. We then embed all

the chunks using Alibaba-NLP/gte-large-en-v1.5<sup>16</sup>,

a high-performing embedding model from the

MTEB leaderboard (Muennighoff et al., 2023)

that remains computationally efficient with fewer

on Hugging Face: placeholder for anonymity.

4.1 Dataset

approach.

Single-chunk dataset

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<sup>16</sup>https://huggingface.co/Alibaba-NLP/gte-large -en-v1.5, accessed on February 14, 2025.

We refer to this subset as *single-chunk dataset*.

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### Multi-chunk dataset

Additionally, we extend the dataset with another 32 questions, which require several pieces of information spread over multiple pages to be answered. This time, each question is matched with the pertinent passages of the corpus along with their source document and page. This part of the dataset is deliberately more complex than the first. We refer to it as multi-chunk dataset.

This dataset provides a high-quality benchmark for evaluating parsing and chunking strategies in an IR context. Incorporating diverse document types, structured annotations, and a robust validation process allows for a comprehensive assessment of our approach's in real-world retrieval scenarios.

#### 4.2 Evaluation methodology

To evaluate ChunkNorris, we compare its performance with other tools in the literature. We choose methods for parsing and/or chunking PDF documents to carry out our benchmark. After a widerange screening of existing methods, we select those that show remarkable performance and have aroused great interest in the community. For the parsing step, we compare Marker, Open-Parse and *Docling*. *PyPDF* is used as a baseline, as it can only perform text extraction without properly parsing the document. All parsers are run with their default configuration. OCR is deactivated to avoid influencing the results, as it is not needed for the studied documents. We associate all these tools with two different chunking strategies: by page and with the recursive text splitter set to a size limit of 4000 characters per chunk and an overlap of 200 characters between chunks. Custom chunking strategies are available for Open-Parse and Docling, so we add them to the comparison. Additionally, Open-Parse proposes two parsing backends: one using PyMuPDF's built-in functions and another leveraging Unitable (Peng et al., 2024), an ML framework for table extraction. The first one runs on CPU only while the latter demands a GPU. In the rest of this paper, they will be referred to as *Open-Parse-*P and Open-Parse-U, respectively. Appendix B summarises the features of the compared parsers.

We evaluate the pipelines based on various criteria, including execution time and environmental impact measured through energy consumption. For

Provider	Model name	#Params
Snowflake	arctic-embed-xs	23M
	arctic-embed-m-v1.5	109M
	arctic-embed-m-v2.0	305M
BAAI	bge-small-en-v1.5	33M
	bge-base-en-v1.5	109M
	bge-large-en-v1.5	335M

Table 1: Embedding models used to assess retrieval performance. All models are available on HuggingFace.

the latter, we use  $CodeCarbon^{17}$  to measure directly electricity used by the GPU and *psutil*<sup>18</sup> to get the load percentage of CPU. CPU energy consumption is then calculated as :

$$E = CPU \ load \times time \times P \tag{1}$$

where E is the energy consumed (Wh), computed by multiplying the CPU load percentage with the execution time (h) for parsing the dataset, and P (W) the CPU power provided by the manufacturer.

We use a retrieval task to evaluate the different parsing and chunking pipelines. We compare various embedding models listed in Table 1 to avoid bias toward a specific chunking strategy. After parsing and chunking, we embed the chunks with all models. We are interested in the trade-off between the complexity of the parsing techniques and the size of the embedding models required to maximise IR task performance while minimising ecological impact. We use annotated questions of the dataset described in Section 4.1 to perform chunk retrieval using the cosine similarity between the question embedding and the chunk embeddings. We compute the recall and the Normalized Discounted Cumulative Gain (NDCG) for the 10 high-ranking chunks (@10).

#### 5 Results

## Which parser is best suited to a production environment?

We first evaluate the average parsing time of a PDF page for each parsers in Table 2. Additionally, we compare CPU and GPU energy needed to run each parser on the entire dataset (100 PDFs and 5286 pages) in Table 3. We do not measure the execution time and energy consumed in the chunking

Parsers	Parsing time
ChunkNorris	$105 \text{ ms} \pm 296$
Docling	$533~\mathrm{ms}\pm1284$
Marker	$717~\mathrm{ms}\pm594$
Open-Parse-P	$459~\text{ms}\pm485$
Open-Parse-U	$2538~\text{ms}\pm2659$
PyPDF	$91~\text{ms}\pm169$

Table 2: Average of the page parsing time for each parser. Hardware: CPU *Intel(R) Xeon(R) Gold*; GPU *Tesla V100S*; RAM 40 GiB.

Parsers	CPU	GPU
1 41 501 5	energy	energy
ChunkNorris	<u>0.47 Wh</u>	0.0 Wh
Docling	5.11 Wh	<u>23.24 Wh</u>
Marker	1.92 Wh	58.09 Wh
Open-Parse-P	1.87 Wh	0.0 Wh
Open-Parse-U	34.32 Wh	777.93 Wh
PyPDF	0.28 Wh	0.0 Wh

Table 3: Energy consumption required to parse the 100 PDFs (5286 pages). Hardware: CPU *Intel(R) Xeon(R) Gold*; GPU *Tesla V100S*; RAM 40 GiB.

stage, as it is negligible compared with the parsing stage. The experiment has been reproduced on another hardware, and results are displayed in Appendix 8 to confirm the ranking. As expected, the baseline *PyPDF* is the fastest parser (91 ms per page) and consumes the least resources, with only 0.28 Wh to parse the entire dataset. ChunkNorris is second for both criteria. With a parsing time close to 100 ms per page, it is an interesting asset for production environments, which are often subject to high ingestion workloads. Regarding parsing time, Open-Parse-P, Docling and Marker respectively rank next, with values around 500 ms per page. However, they display significant differences in energy consumption. Open-Parse-P remains within the low range of energy consumption with 1.92 Wh. In contrast, Docling and Marker show much higher values of around 28 Wh and 60 Wh, respectively, due to their requirement for GPU acceleration. Finally, Open-Parse-U performs significantly worse, showing much higher execution time and energy consumption than the other GPUaccelerated parsers. This technique is hardly suitable for production environments, as it takes an average of more than 2.5 s to parse a PDF page.

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<sup>&</sup>lt;sup>17</sup>https://codecarbon.io/, accessed on February 14, 2025.

<sup>&</sup>lt;sup>18</sup>https://psutil.readthedocs.io/en/latest/, accessed on February 14, 2025

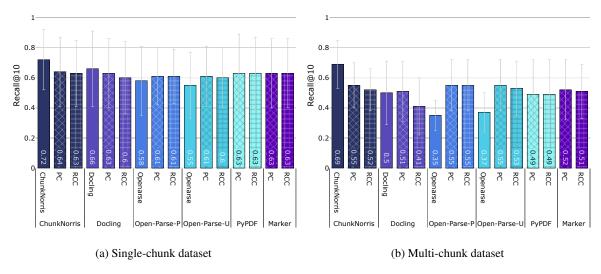


Figure 1: Average recall@10 over all embeddings models for parsing and chunking pipelines. PC stands for Page Chunker, and RCC for Recursive Character Chunker.

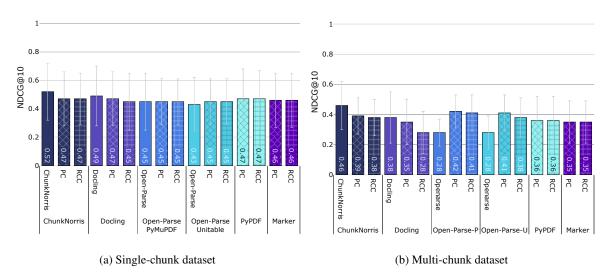


Figure 2: Average NDCG@10 over all embeddings models for parsing and chunking pipelines. PC stands for Page Chunker, and RCC for Recursive Character Chunker.

#### 518 Which pipeline performs best for the IR task?

Next, we evaluate each combination of parser 519 and chunker on the IR task. Figure 1 presents 520 the average recall@10 across all embedding mod-521 els. The ChunkNorris pipeline performs best on 522 single-chunk and multi-chunk datasets, highlighting its overall effectiveness. However, apart from ChunkNorris, the methods' ranking differs between the two datasets. Docling is the second-best per-526 former for the single-chunk dataset, followed by 528 PyPDF and Marker. In contrast, for the multichunk dataset, Open-Parse ranks second, followed by Marker and Docling. These results suggest that *PyPDF* is well-suited for handling simple retrieval but struggles with more complex ones where in-532

formation is scattered across multiple pages. In the meantime, *Open-Parse* with page chunking is more effective when dealing with multi-chunk document retrieval. Notably, ChunkNorris maintains strong and consistent performance across both scenarios, demonstrating its robustness regardless of retrieval difficulty. In Figure 2, we present the results for NDCG@10, which evaluates the ranking quality of the retrieved chunks. These results correlate with the recall and further confirm the strong performance of the ChunkNorris pipeline, which achieves the highest scores for both singlechunk and multi-chunk datasets. The differences between pipelines are relatively small for the singlechunk dataset, indicating that most methods rank retrieved chunks similarly when dealing with sim533

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549pler document structures. However, the contrast550between results is more pronounced in the multi-551chunk dataset. Open-Parse seems to stand out from552other tools with page and recursive character chun-553kers, making it more suitable for complex multi-554chunk retrieval.

555 We evaluate the performance variation across various parser and chunker combinations to analyse the impact of parsing and chunking separately 558 based on the recall in Figure 1. We observe that the performance of a single parser varies more significantly depending on the chunker used than the performance of different parsers with the same 562 chunker. It suggests that the interaction between parser and chunker plays a crucial role rather than one component being universally more important 564 than the other. A clear example is the ChunkNorris parser, which no longer stands out from other 566 pipelines when used without its dedicated chunker. 567 568 This highlights that its strong performance stems from the synergy between its parser and chunker rather than in document parsing alone. The exception to this trend is observed in the Open-Parse 571 pipeline on the multi-chunk dataset, where it performs significantly worse than other chunkers.

# Which pipeline is the most robust to different embedding models?

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We now consider the results for each embedding model detailed in Appendix C. We compare Arctic<sup>19</sup> and BGE (Xiao et al., 2024) models across three model sizes. We first focus on the singlechunk dataset, and the results are presented in Table 6. The ChunkNorris pipeline consistently achieves the best recall across all model sizes for the Snowflake models, while NDCG remains close between ChunkNorris and Open-Parse. As expected, the largest model yields the best retrieval results. An unexpected trend emerges: the middlesized model performs worse than the small model, suggesting insufficient training or adaptation for the retrieval task. For the BGE models, ChunkNorris performs best for the small and large models, while the middle-sized model favours Docling. This indicates that while ChunkNorris remains robust across different embedding model sizes, some variations in performance emerge based on specific model architectures. We then focus on the multi-chunk dataset with results presented in Table 7. ChunkNorris dominates across all BGE models. Marker performs particularly well in recall, while Open-Parse shows strong results in NDCG, indicating that the resulting chunks allow for effective ranking. The performance consistency across all BGE model sizes is remarkable, making the smallest model an attractive choice for future use due to its efficiency in resource consumption without compromising performance. ChunkNorris achieves the best recall with the smaller Snowflake model, excels in both recall and NDCG with the middle model, and leads in NDCG with the large model. When not leading, it closely competes with Open-Parse. However, the middle Snowflake model again underperforms, mirroring its results on the single-chunk dataset. This anomaly suggests that model size alone does not dictate performance, and specific training dynamics may influence retrieval effectiveness.

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#### 6 Conclusion

We propose ChunkNorris, a fast and reliable parsing and chunking tool for PDF documents. We demonstrate ChunkNorris' interest over other popular tools across production constraints such as execution time, resource consumption, and IR performance. We ensure a robust and comprehensive comparison by testing on a diverse set of documents and embedding models. ChunkNorris demonstrates outstanding performance, consistently surpassing other methods in recall and ranking quality while maintaining lower parsing time and energy consumption. Remarkably, it achieves this efficiency without significant trade-offs, staying close to the computational requirements of simple plain text extraction. These results highlight ChunkNorris efficiency, making it particularly wellsuited for ingestion pipelines handling heavy workloads. Ongoing work focuses on enhancing the parsing of specific PDF components, such as advanced table layouts or mixed-up reading orders, while maintaining speed and reliability. By releasing ChunkNorris as an open-source Python package, we aim to simplify PDF parsing and chunking while reducing its ecological impact. The benchmark proposed is a first attempt to compare parsing and chunking tools. The code is designed for easy extensibility, allowing to include additional methods such as  $Unstructured^{20}$  and NV-Ingest<sup>21</sup>.

<sup>&</sup>lt;sup>19</sup>https://huggingface.co/collections/Snowflake /arctic-embed-661fd57d50fab5fc314e4c18, accessed on February 14, 2025.

<sup>&</sup>lt;sup>20</sup>https://github.com/Unstructured-IO/unstructur ed, accessed on February 14, 2025.

<sup>&</sup>lt;sup>21</sup>https://github.com/NVIDIA/nv-ingest, accessed on February 14, 2025.

#### Limitations

Our study has some limitations that should be considered to expend this work. First, we use default settings for all pipelines because we leave it up to 647 each tool to define the most relevant configurations. In actual use cases, users of these tools rarely try to optimise the various parameters. However, further optimisation could improve performance for some methods. Then, the dataset we propose is limited by its size, especially the subset of questions requiring multiple chunks for retrieval. A more extensive and diverse dataset would strengthen the 655 impact and generalisability of our findings. Another limitation concerns our evaluation, which focuses solely on the RAG use case. Exploring other use cases to evaluate parsing and chunking 659 techniques would be interesting. More specifically, assessing the quality of parsing, for example, with 661 structured data extraction or document layout analysis, would be interesting. Finally, ChunkNorris follows a right-to-left, top-to-bottom reading order, 664 665 which may limit its effectiveness for multilingual applications, particularly for languages with different text orientations or complex layouts. Future work should explore methods to adapt or extend ChunkNorris for broader language support.

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Arxiv paper	https://arxiv.org/pdf/2501.01818	09/01/2025
Arxiv paper	https://arxiv.org/pdf/2002.12327	09/01/2025
Arxiv paper	https://arxiv.org/pdf/2404.08471	09/01/2025
Arxiv paper	https://arxiv.org/pdf/2409.14160	09/01/2025
Arxiv paper	https://dl.acm.org/doi/pdf/10.1145/34421 88.3445922	09/01/2025
Financial report	<pre>https://upload.wikimedia.org/wikipedia/f oundation/f/f6/Wikimedia_Foundation_2024 _Audited_Financial_Statements.pdf</pre>	10/01/2025
Financial report	https://www.sec.gov/Archives/edgar/data/ 1318605/000162828024043486/tsla-20240930. htm	10/01/2025
Infographic	<pre>https://www.ccpl47.fr/wp-content/uploads /2024/02/BD-EN_calendrier-Lauzun-2024.pdf</pre>	10/01/25
Infographic	<pre>https://commons.wikimedia.org/wiki/File: ORCID_Infographic_2019.pdf</pre>	09/01/25
Infographic	<pre>https://github.com/wikit-ai/olaf/blob/ma in/docs/Poster_OLAF_2023.pdf</pre>	09/01/25
Infographic	<pre>https://upload.wikimedia.org/wikipedia/c ommons/9/9e/Understanding_Creative_Commo ns_license_%28infographic%29.pdf</pre>	10/01/25
Legal document	<pre>https://datamillnorth.org/download/vdwno /e36a9342-4b29-4638-86a9-572acb66469d/uk cp18-project-technical-overview_July.pdf</pre>	09/01/25
Legal document	<pre>https://assets.publishing.service.gov.uk /government/uploads/system/uploads/attac hment_data/file/493524/horr90-opiate-cra ck-cocaine-users.pdf</pre>	09/01/25
Legal document	<pre>https://assets.publishing.service.gov.uk /government/uploads/system/uploads/attac hment_data/file/380586/prison-populatio n-projections-2014-2020.pdf</pre>	09/01/25
Legal document	<pre>https://eur-lex.europa.eu/resource.html?u ri=cellar:a3c806a6-9ab3-11ea-9d2d-01aa75e d71a1.0001.02/DOC_1&amp;format=PDF</pre>	09/01/25
Microsoft documentation	<pre>https://learn.microsoft.com/pdf?url=http s%3A%2F%2Flearn.microsoft.com%2Fen-us%2F office%2Fpdf%2Ftoc.json</pre>	10/01/25
Microsoft documentation	<pre>https://docs.aws.amazon.com/pdfs/serverl ess/latest/devguide/serverless-core.pdf# welcome</pre>	10/01/25
Microsoft documentation	<pre>https://fr.slideshare.net/slideshow/welc ome-to-word-template/250355217</pre>	10/01/25
News	<pre>https://about.newsusa.com/new-artificia l-intelligence-summit-series-begins-wit h-energy</pre>	14/01/25

News	<pre>https://www.newscanada.com/en/three-way s-canadian-communities-are-reducing-flood -risks-139844</pre>	14/01/25
News	https://about.newsusa.com/3-great-resourc es-to-kick-start-your-financial-plannin g-career	14/01/25
News	https://www.newscanada.com/en/the-top-a i-powered-tech-trends-in-2025-139854	14/01/25
Powerpoint like	https://query.prod.cms.rt.microsoft.com/ cms/api/am/binary/RE4X6Ux	10/01/25
Powerpoint like	https://wiki.creativecommons.org/images/ 8/88/Publicdomain.pdf	13/01/25
Powerpoint like	https://download.microsoft.com/download/ 1/2/6/1269C192-F79E-4918-B737-D828E052234 9/Word%20QS.pdf	10/01/25
PubMed paper	https://pmc.ncbi.nlm.nih.gov/articles/PM C10681710/pdf/niad025.pdf	09/01/25
PubMed paper	https://pmc.ncbi.nlm.nih.gov/articles/PM C11562755/pdf/jop-165-2863.pdf	09/01/25
PubMed paper	https://pmc.ncbi.nlm.nih.gov/articles/PM C11693606/pdf/41586_2024_Article_8275.pdf	09/01/25
PubMed paper	https://pmc.ncbi.nlm.nih.gov/articles/PM C11537970/pdf/41593_2024_Article_1741.pdf	09/01/25
PubMed paper	https://pmc.ncbi.nlm.nih.gov/articles/PM C6102917/pdf/12913_2018_Article_3470.pdf	09/01/25
PubMed paper	https://pmc.ncbi.nlm.nih.gov/articles/PM C11638540/pdf/main.pdf	13/01/25
PubMed paper	https://www.mdpi.com/1099-4300/27/1/62	13/01/25
PubMed paper	https://jamanetwork.com/journals/jamanet workopen/fullarticle/2827327	13/01/25
PubMed paper	https://pmc.ncbi.nlm.nih.gov/articles/PM C9568596/pdf/41598_2022_Article_22228.pdf	13/01/25
PubMed paper	https://pmc.ncbi.nlm.nih.gov/articles/PM C7037716/pdf/ijerph-17-01062.pdf	13/01/25
PubMed paper	https://pmc.ncbi.nlm.nih.gov/articles/PM C5897824/pdf/rsta20160452.pdf	13/01/25
PubMed paper	https://journals.physiology.org/doi/epdf /10.1152/japplphysiol.00342.2024	21/01/25
PubMed paper	https://pmc.ncbi.nlm.nih.gov/articles/PM C10986173/pdf/fresc-05-1303094.pdf	21/01/25
Report	<pre>https://creativecommons.org/wp-content/u ploads/2024/04/2023-Creative-Commons-Ann ual-Report-2-1.pdf</pre>	10/01/25
Report	https://creativecommons.org/wp-content/u ploads/2024/04/240404Towards_a_Books_Dat a_Commons_for_AI_Training.pdf	10/01/25
Report	<pre>https://www.lem.sssup.it/WPLem/odos/odos _report_2.pdf</pre>	10/01/25
User manual	<pre>https://manuals.plus/vwar/dt3-mate-sport s-smart-watch-manual</pre>	10/01/25

User manual	<pre>https://cms5.revize.com/revize/cityofsed rowoolley/Departments/Solid%20Waste/Comp ostGuide.pdf</pre>	09/01/25
User manual	<pre>https://data.europa.eu/sites/default/fil es/edp_s1_man_portal-version_4.3-user-man ual_v1.0.pdf</pre>	09/01/25
User manual	<pre>https://unfccc.int/files/national_report s/non-annex_i_national_communications/non -annex_i_inventory_software/application/ pdf/naiis-user-manual.pdf</pre>	09/01/25
User manual	<pre>https://www.researchgate.net/publication /351037551_A_Practical_Guide_to_Building _OWL_Ontologies_Using_Protege_55_and_Plu gins</pre>	10/01/25
Wikipedia	https://en.wikipedia.org/wiki/Logic	09/01/25
Wikipedia	<pre>https://en.wikipedia.org/wiki/Hard_probl em_of_consciousness</pre>	09/01/25
Wikipedia	https://en.wikipedia.org/wiki/Artificial _intelligence	09/01/25
Wikipedia	https://en.wikipedia.org/wiki/Lyon	09/01/25
Wikipedia	https://en.wikipedia.org/wiki/Louis_XIV	09/01/25

Table 4: Description of the 50 newly collected PDFs for dataset creation.

### **B** Parsers' features

Facture	ChunkNorris	Docling	Marker	<b>Open-Parse</b>	PyPDF
Feature	1.0.5	2.15.1	1.2.7	0.7.0	5.1.0
Text extraction	Х	Х	Х	Х	Х
- keeps font styling	Х	Х	Х	Х	
- recombine paragraphs	Х	Х	Х		
Tables parsing					
- if as line vectors	Х	Х	Х	Х	
- if suggested structure		Х	Х	Х	
- if as images		Х	Х	х	
Handles links	Х				
Section headers detection	Х	Х	Х		
- with hierarchy	Х		Х		
Handles equations		Х	Х		
Removes page headers/footer	Х	Х	Х		
Built-in chunking method	Х	х		Х	

Table 5: Features of the various parsers used in this work.

## **C** Pipeline results

Parser	Chunker	Snowflake 23M		Snow	flake 109M	Snow	flake 305M
i aisei		R@10	NDCG@10	R@10	NDCG@10	R@10	NDCG@10
ChunkNorris	Page	0.45	0.28	0.26	0.18	0.83	0.62
ChunkNorris	RC	0.45	0.30	0.28	0.19	0.80	0.61
ChunkNorris	ChunkNorris	0.57	0.35	0.38	0.21	0.86	0.66
Docling	Page	0.45	0.30	0.24	0.16	0.81	0.62
Docling	RC	0.36	0.25	0.22	0.14	0.76	0.57
Docling	Docling	0.44	0.29	0.26	0.18	0.81	0.61
Marker	Page	0.43	0.28	0.25	0.17	0.79	0.59
Marker	RC	0.43	0.29	0.26	0.17	0.78	0.59
Open-Parse-P	Page	0.45	0.30	<u>0.29</u>	0.20	0.77	0.59
Open-Parse-P	RC	0.48	<u>0.31</u>	<u>0.29</u>	0.20	0.73	0.57
Open-Parse-P	Open-Parse	0.38	0.26	0.21	0.14	0.73	0.58
Open-Parse-U	Page	0.45	<u>0.31</u>	0.29	0.19	0.78	0.60
Open-Parse-U	RC	0.44	<u>0.31</u>	0.27	0.19	0.74	0.57
Open-Parse-U	Open-Parse	0.35	0.25	0.19	0.13	0.70	0.55
PyPDF	Page	0.40	0.27	0.21	0.15	<u>0.83</u>	<u>0.63</u>
PyPDF	RC	0.43	0.29	0.24	0.16	0.81	0.61

Parser	Chunker	BC	GE 33M	<b>BGE 109M</b>		BG	GE 335M
1 41501	Chunner	R@10	NDCG@10	R@10	NDCG@10	R@10	NDCG@10
ChunkNorris	Page	0.75	0.57	0.73	0.56	0.79	0.60
ChunkNorris	RC	0.75	0.57	0.73	0.56	0.77	0.60
ChunkNorris	ChunkNorris	0.84	0.65	0.81	<u>0.61</u>	0.86	0.68
Docling	Page	0.76	0.57	0.75	0.57	0.77	0.59
Docling	RC	0.75	0.56	0.73	0.56	0.76	0.60
Docling	Docling	<u>0.80</u>	<u>0.61</u>	0.83	0.63	0.82	<u>0.65</u>
Marker	Page	0.77	0.58	0.76	0.56	0.77	0.59
Marker	RC	0.77	0.58	0.76	0.56	0.77	0.60
Open-Parse-P	Page	0.73	0.55	0.71	0.53	0.73	0.55
Open-Parse-P	RC	0.72	0.55	0.71	0.52	0.72	0.55
Open-Parse-P	Open-Parse	0.73	0.57	0.71	0.56	0.73	0.61
Open-Parse-U	Page	0.71	0.53	0.69	0.52	0.73	0.55
Open-Parse-U	RC	0.71	0.54	0.69	0.52	0.73	0.56
Open-Parse-U	Open-Parse	0.69	0.53	0.68	0.53	0.71	0.58
PyPDF	Page	0.78	0.59	0.77	0.59	0.79	0.61
PyPDF	RC	0.79	0.60	0.74	0.57	0.79	0.62

Table 6: Comparison of parsers' and chunkers' performance on the IR task depending on various embedding models with the single-chunk dataset. RC stands for Recursive Character chunker and R for Recall.

Parser	Chunker	Snow	flake 23M	Snowflake 109M Snowflake 3			flake 305M
1 uisei	Chunker	R@10	NDCG@10	R@10	NDCG@10	R@10	NDCG@10
ChunkNorris	Page	0.42	0.27	0.32	0.22	0.72	0.50
ChunkNorris	RC	0.39	0.25	<u>0.33</u>	0.21	0.70	0.49
ChunkNorris	ChunkNorris	0.63	0.30	0.40	0.22	<u>0.74</u>	0.56
Docling	Page	0.34	0.20	0.20	0.13	0.71	0.49
Docling	RC	0.18	0.11	0.17	0.10	0.51	0.34
Docling	Docling	0.35	0.20	0.15	0.12	0.59	0.44
Marker	Page	0.31	0.20	0.22	0.14	0.62	0.43
Marker	RC	0.32	0.21	0.25	0.15	0.61	0.44
Open-Parse-P	Page	0.39	0.36	0.31	0.23	0.72	<u>0.54</u>
Open-Parse-P	RC	0.39	0.33	0.30	0.21	0.71	0.52
Open-Parse-P	Open-Parse	0.28	0.20	0.18	0.12	0.39	0.31
Open-Parse-U	Page	0.40	0.30	0.30	0.21	0.75	0.54
Open-Parse-U	RC	0.36	0.28	0.28	0.18	0.71	0.50
Open-Parse-U	Open-Parse	0.25	0.17	0.17	0.10	0.42	0.32
PyPDF	Page	0.24	0.18	0.15	0.13	0.70	0.51
PyPDF	RC	0.24	0.18	0.17	0.13	0.72	0.51

Parser	Chunker	BC	GE 33M	<b>BGE 109M</b>		BG	GE 335M
	Chunker	R@10	NDCG@10	R@10	NDCG@10	R@10	NDCG@10
ChunkNorris	Page	0.58	0.44	0.60	0.42	0.69	0.50
ChunkNorris	RC	0.52	0.41	0.52	0.40	0.65	0.50
ChunkNorris	ChunkNorris	0.78	0.56	0.79	0.52	0.81	0.59
Docling	Page	0.56	0.41	0.54	0.40	0.68	0.48
Docling	RC	0.48	0.35	0.56	0.39	0.57	0.42
Docling	Docling	<u>0.66</u>	<u>0.48</u>	0.60	0.49	0.67	<u>0.53</u>
Marker	Page	0.60	0.42	0.64	0.41	0.72	0.48
Marker	RC	0.62	0.44	0.58	0.40	0.70	0.49
Open-Parse-P	Page	0.63	0.46	0.58	0.42	0.68	0.50
Open-Parse-P	RC	0.62	0.46	0.55	0.43	0.71	0.51
Open-Parse-P	Open-Parse	0.44	0.34	0.43	0.34	0.41	0.34
Open-Parse-U	Page	0.62	0.44	0.58	0.43	0.68	0.50
Open-Parse-U	RC	0.60	0.42	0.53	0.42	0.70	0.50
Open-Parse-U	Open-Parse	0.43	0.33	0.48	0.36	0.47	0.37
PyPDF	Page	0.61	0.44	0.61	0.43	0.65	0.45
PyPDF	RC	0.56	0.43	0.59	0.42	0.66	0.46

Table 7: Comparison of parsers' and chunkers' performance on the IR task depending on various embedding models with the multi-chunk dataset. RC stands for Recursive Character chunker and R for Recall.

# D Energy consumption on another hardware

Parsers	CPU	GPU
r al sel s	energy	energy
ChunkNorris	<u>0.24Wh</u>	0.0Wh
Docling	2.46Wh	<u>8.61Wh</u>
Marker	10.76Wh	30.10Wh
Open-Parse-P	1.94Wh	0.0Wh
Open-Parse-U	44.34Wh	391.89Wh
PyPDF	0.17Wh	0.0Wh

Table 8: Energy consumption required to parse the 100 PDFs (5286 pages). Hardware: CPU 13th Gen Intel(R) Core(TM) i7-13620H; GPU NVIDIA GeForce RTX 4060 Laptop; RAM 16 GiB.

Parsers	Parsing time
ChunkNorris	$57 \text{ms} \pm 165$
Docling	$333$ ms $\pm 519$
Marker	$784 \text{ms} \pm 637$
Open-Parse-P	$320 \text{ms} \pm 1080$
Open-Parse-U	$3508 \text{ms} \pm 5225$
PyPDF	$46\text{ms}\pm93$

Table 9: Average of the page parsing time for each parser. Hardware: CPU *13th Gen Intel(R) Core(TM) i7-13620H*; GPU *NVIDIA GeForce RTX 4060 Laptop*; RAM 16 GiB.