# **Towards Skill and Population Curriculum for MARL**

Anonymous Author(s) Affiliation Address email

# Abstract

1	Recent advances in multi-agent reinforcement learning (MARL) allow agents to
2	coordinate their behaviors in complex environments. However, common MARL
3	algorithms still suffer from scalability and sparse reward issues. One promis-
4	ing approach to resolve them is automated curriculum learning (ACL), where a
5	student (curriculum learner) train on tasks of increasing difficulty controlled by
6	a teacher (curriculum generator). Unfortunately, in spite of its success, ACL's
7	applicability is restricted due to: (1) lack of a general student framework to deal
8	with the varying number of agents across tasks and the sparse reward problem,
9	and (2) the non-stationarity in the teacher's task due to the ever-changing student
10	strategies. As a remedy for ACL, we introduce a novel automatic curriculum
11	learning framework, Curriculum Oriented Skills and Tactics (COST), adapting
12	curriculum learning to multi-agent coordination. To be specific, we endow the
13	student with population-invariant communication and a hierarchical skill set. Thus,
14	the student can learn cooperation and behavior skills from distinct tasks with
15	a varying number of agents. In addition, we model the teacher as a contex-
16	tual bandit conditioned by student policies. As a result, a team of agents can
17	change its size while retaining previously acquired skills. We also analyze the
18	inherent non-stationarity of this multi-agent automatic curriculum teaching prob-
19	lem, and provide a corresponding regret bound. Empirical results show that
20	our method improves scalability, sample efficiency, and generalization in MPE
21	and Google Research Football. The source code and the video can be found at
22	https://sites.google.com/view/neurips2022-cost/.

# 23 1 Introduction

Multi-agent Reinforcement Learning (MARL) has long been a go-to tool in complex robotic and 24 strategic domains [1, 2]. However, learning effective policies with sparse reward from scratch 25 for large-scale multi-agent systems remains challenging. One of the challenges is that the joint 26 observation-action space grows exponentially with varying numbers of agents. Meanwhile, the sparse 27 reward signal requires a large number of training trajectories. Hence, applying existing MARL 28 algorithms directly to complex environments with a large number of agents is not effective. In fact, 29 they may produce agents that do not collaborate with each other even when it is of significant benefit 30 [3, 4]. 31

There are several lines of work related to the large-scale MARL problem with sparse reward, including: reward shaping [5], curriculum learning [6], and learning from demonstrations [7]. Among these approaches, the curriculum learning paradigm, in which the difficulty of experienced tasks and the population of training agents progressively grow, shows particular promise. In *automatic* curriculum learning (ACL), a teacher (curriculum generator) learns to adjust the complexity and sequencing of tasks faced by a student (curriculum learner). Several works have even proposed *multi-agent* ACL algorithms, based on approximate or heuristic approaches to teaching, such as DyMA-CL [8], EPC

[9], and VACL [6]. However, DvMA-CL and EPC rely on a framework of an off-policy student with 39 replay buffer, and ignore the forgetting problem that arises when the agent population size grows. 40 In turn, VACL relies on the strong assumption that the value of the learned policy does not change 41 when agents switch to a different task. Moreover, the teacher in these approaches is still facing an 42 unmitigated non-stationarity problem due to the ever-changing student strategies. In addition, if we 43 somewhat expand the ACL paradigm and presume that the teacher may have another purpose for the 44 sequence of tasks performed by the student, another class of larger-scale MARL solutions should be 45 mentioned. Namely, hierarchical MARL, which learns temporal abstraction with more dense rewards, 46 including: skill discovery [10], option as response [11], role-based MARL [12], and two levels of 47 abstraction [13]. Alas, hierarchical MARL mostly focuses on one specific task with a fixed number 48 of agents and does not consider the transfer ability of learned complementary skills. Interestingly, as 49 we show in this paper, a smart merger of ACL and hierarchical MARL principles can overcome their 50 combined weaknesses and more. 51

Specifically, in this paper, we introduce a novel automatic curriculum learning algorithm, Curriculum 52 Oriented Skills and Tactics (COST), which learns cooperative behaviors from scratch. The core 53 idea of COST is to encourage the student to learn skills from tasks with different parameters and 54 different numbers of agents. Motivation from the real world is team sports, where players often train 55 their skills by gradually increasing the difficulty of tasks and the number of coordinating players. 56 In particular, we implement COST with three key components. First, to handle the varying number 57 of agents across tasks, motivated by the transformer [14], which can process sentences of varying 58 lengths, we implement population-invariant communication by treating each agent's message as a 59 60 word. Thus, a self-attention communication channel is used to support an arbitrary number of agents sharing their messages. Second, to learn transferable skills in the sparse reward setting, we utilize the 61 skill framework in the student. Agents communicate on the high level about a set of shared low-level 62 policies. Third, to address the non-stationarity arising from ever-changing student strategies, we 63 model the teacher as a contextual bandit, where we utilize an RNN-based [15] imitation model to 64 represent student policies and use this to generate the bandit's context. Empirical results show that 65 our method achieves state-of-the-art performance in several tasks in the multi-particle environment 66 (MPE) [16] and the challenging 5vs5 competition in Google Research Football [17]. 67

### 68 2 Further Related Work

(Automatic) Curriculum Learning in (MA)RL. Curriculum learning is a training strategy inspired 69 by the human learning process, mimicking how humans learn new concepts in an orderly manner, 70 usually based on the difficulty level of the problems [18]. The selection of tasks is formulated as a 71 Curriculum Markov Decision Process (CMDP) [19]. Automatic Curriculum Learning mechanisms 72 aim to learn a task selection function based on information about past interactions, such as ADR 73 74 [20, 21], ALP-GMM [22], SPCL [23] and GoalGAN [24]. Inspired by the mechanism of biodiversity in nature, a series of MARL curriculum learning frameworks have recently been proposed with 75 remarkable empirical success. These include open-ended evolution [25–27], population-based 76 77 training [28, 29], and training with emergent curriculum [18, 30, 31]. In general, these frameworks 78 can be unified under the idea of an automatic curriculum that automatically generates an endless procession of better performing agents by exerting selection pressure among many self-optimizing 79 80 agents.

**Hierarchical MARL and Communication.** Hierarchical reinforcement learning (HRL) has been 81 82 extensively studied to address the sparse reward problem and to facilitate transfer learning. Singleagent HRL focuses on learning the temporal decomposition of tasks, either by learning subgoals 83 [32–37] or by discovering reusable skills [38–41]. Recent works about hierarchical MARL have 84 been discussed in the Introduction. In multi-agent settings, communication has demonstrated success 85 in multi-agent cooperation [42–48]. However, existing approaches that extend HRL to multi-agent 86 systems or utilize communication are limited to a fixed number of agents and are hard to transfer 87 with different number of agents. 88

89 **Multi-armed Bandit.** Multi-armed bandits (MABs) are a simple but very powerful framework that 90 repeatedly makes decisions under uncertainty. In an MAB, a learner performs a sequence of actions. 91 After every action, the learner immediately observes the reward corresponding to its action. Given a 92 set of K actions and a time horizon T, the objective is to maximize its total reward over T rounds. 93 The regret is used to measure the gap between the cumulative reward of an MAB algorithm and the

best-arm benchmark. A related work is the Exp3 algorithm [49], which is proposed to increase the 94 probability of pulling good arms and achieves a regret of  $O(\sqrt{KT \log(K)})$  under a time-varying 95 96 reward distribution. Another related work is the contextual bandit problem [50], where the learner makes decisions based on prior information. In this work, the teacher is modeled as a contexual 97 bandit. We learn the dynamic context, leverage the Lipschitz assumption with respect to the context, 98 and provide a regret bound of the proposed method. 99 Google Research Football [17]. There are some challenges in the GRF (see Fig. 2). (1) Large-scale 100 problem: In the GRF, for cooperative players, the joint action space is large; therefore, it is difficult to 101 102 build a single agent to control all players. Moreover, the opponents are not fixed due to a stochastic environment and a difficulty configuration, and the agents should be adapted to various opponents. (2) 103 Sparse rewards: The goal of the football game is to maximize the scores, which can only be obtained 104 after a long time by iteration. Therefore, it is almost impossible to receive a positive reward when

starting with random agents. Recent works attempt to tackle multi-agent scenarios in GRF by using 106 a containerized learning framework [51], learning from demonstration [7], individuality [52], and 107 diversity [53]. However, they mainly focus on single-agent control, or train relatively easy academy 108 tasks in GRF, or use offline expert data to train agents. 109

#### 3 **Problem Formulation: MARL with Curriculum** 110

105

124

**Dec-POMDP.** An MARL problem is formulated as a *decentralised partially observable Markov* 111 decision process (Dec-POMDP) [54], which is described as a tuple  $\langle n, S, A, P, R, O, \Omega, \gamma \rangle$ , where n 112 represents the number of agents. S represents the space of global states.  $A = \{A_i\}_{i=1,\dots,n}$  denotes 113 the space of actions of all agents.  $O = \{O_i\}_{i=1,\dots,n}$  denotes the space of observations of all agents. 114  $P: S \times A \rightarrow S$  denotes the state transition probability function. All agents share the same reward 115 as a function of the states and actions of the agents  $R: S \times A \rightarrow \mathbb{R}$ . Each agent *i* receives a private 116 observation  $o_i \in O_i$  according to the observation function  $\Omega(s,i) : S \to O_i$ .  $\gamma \in [0,1]$  denotes the 117 discount factor. 118

**Curriculum-enhanced Dec-POMDP.** A Dec-POMDP is defined by a tuple  $\langle \Phi, \mathcal{M} \rangle$  where  $\Phi$  is the 119 task space. Given a task  $\phi$ , a Dec-POMDP  $\mathcal{M}(\phi)$  is presented as  $\left\{n^{\phi}, S^{\phi}, A^{\phi}, P^{\phi}, r^{\phi}, O^{\phi}, \Omega^{\phi}, \gamma^{\phi}\right\}$ . 120 The superscript  $\phi$  denotes that the Dec-POMDP elements are determined by the task  $\phi$ . Note that 121 task  $\phi$  can be a few parameters of the environment or task IDs in a finite task space. In a curriculum-122 enhanced Dec-POMDP, the objective is to improve the student's performance on the target tasks by 123 the teacher's giving the sequence of training tasks.

Let  $\tau$  denote a trajectory whose unconditional distribution  $Pr^{\pi,\phi}_{\mu}(\tau)$  under a policy  $\pi$  and a task  $\phi$ 125 with initial state distribution  $\mu(s_0)$  is  $\Pr_{\mu}^{\pi,\phi}(\tau) = \mu(s_0) \sum_{t=0}^{\infty} \pi(a_t \mid s_t) P^{\phi}(s_{t+1} \mid s_t, a_t)$ . We use 126  $p(\phi)$  to represent the distribution of target tasks and  $q(\phi)$  to represent the distribution of training tasks 127 at each task sampling step. Considering the joint agents' policies  $\pi_{\theta}(a|s)$  and  $q_{\psi}(\phi)$  parameterized 128 by  $\theta$  and  $\psi$ , respectively. The overall objective to maximize in a curriculum-enhanced Dec-POMDP 129 is: 130

$$J(\theta,\psi) = \mathbb{E}_{\phi \sim p(\phi),\tau \sim \Pr_{\mu}^{\pi}} \left[ R^{\phi}(\tau) \right] = \mathbb{E}_{\phi \sim q_{\psi}(\phi)} \left[ \frac{p(\phi)}{q_{\psi}(\phi)} V\left(\phi,\pi_{\theta}\right) \right]$$
(1)

where  $R^{\phi}(\tau) = \sum_{t} \gamma^{t} r^{\phi}(s_{t}, a_{t}; s_{0})$  and  $V(\phi, \pi_{\theta})$  represent the value function of  $\pi_{\theta}$  in Dec-POMDP  $\mathcal{M}(\phi)$ . However, when optimizing  $q_{\psi}(\phi)$ , we cannot get the partial derivative  $\nabla_{\psi} J(\theta, \psi) = 0$ 131 132  $\nabla_{\psi} \sum_{\tau} \frac{1}{q_{\psi}(\phi)} R^{\phi}(\tau) \operatorname{Pr}_{\mu}^{\pi,\phi}(\tau)^{1}$  since the reward function and the transition probability function 133 w.r.t number of agents are non-parametric, non-differentiable, and discontinuous in most MARL 134 135 scenarios.

Thus, we use the non-differentiable method, i.e., multi-armed bandit algorithms to optimize  $q_{ib}(\phi)$ , 136 and optimize the overall objective by learning the distribution of training tasks (the teacher) and an 137 RL algorithm (the student) in alternating periods. However, there are three key challenges in solving 138 this problem: (1) There is a lack of a general student framework to deal with the varying number 139 of agents across tasks and the sparse reward problem. (2) The teacher is facing a non-stationarity 140

problem due to the ever-changing student's strategies. (3) The forgetting and relearning problem. 141

 $<sup>{}^{1}</sup>p(\phi)$  is not in the partial derivative since it is a fixed distribution.



Figure 1: The overall framework of COST. COST is composed of three parts: configurable environments, a teacher, and a student. Left. The teacher is modeled as a contextual multi-armed bandit. At each teacher timestep, the teacher chooses a training task from the distribution of bandit actions. Mid. The student is endowed with population-invariant communication and a skill framework, and trained with MARL algorithms on the training task. The student returns to the teacher not only the hidden state of RNN imitation model as contexts but also the average discounted cumulative rewards on the testing task. Right. The student learns hierarchical policies. The population-invariant communication is on the high level, and implemented with a self-attention communication channel to handle the messages from varying number of agents. The agents in the student share the same low-level policy.

Some tasks can be the prerequisites of other tasks and some tasks can be inter-independent and parallel. For tackling these challenges, in the following section, we propose a novel multi-agent automatic curriculum learning framework, Curriculum Oriented Skills and Tactics (COST).

# 145 4 Curriculum Oriented Skills and Tactics

In this section, we present our automatic curriculum learning algorithm named Curriculum Oriented Skills and Tactics (COST) as shown in Fig. 1. First, we present the student with a skill and populationinvariant communication framework to tackle the varying number of agents and the sparse reward problem. Then, to deal with the non-stationarity as well as unknown prior knowledge, we propose a contextual multi-armed bandit algorithm as the teacher.

#### 151 4.1 Student with Population-invariant Communication and Skills

In the student, we treat many agents as a whole and apply the MARL algorithms to train the student. To address the varying number of agents, we propose a population-invariant communication framework where agents can communicate via a self-attention channel. Moreover, to deal with the sparse reward problem, we introduce a skill framework in which agents can learn the skills (high-level actions) that can be transferred among different tasks.

**Population-invariant Communication.** Instead of learning independent policies for agents in the student, we introduce communication to enable the population-invariant property and learn tactics among agents. Motivated by the fact that the transformer [14] in natural language processing can handle varying lengths of sentences, we use the self-attention mechanism in our communication. As shown in Fig. 1 Right, each agent j receives an observation  $o_j$ . In each round of communication, each agent j sends a message vector  $m_j = f(o_j)$  to a self-attention channel, where f is an observation encoder function.

The channel aggregates all messages and sends the new message vector  $\tilde{m}_j$  through the self-attention mechanism. Concretely, given the input of the channel  $\mathbf{M} = [m_1, m_2, \cdots, m_n] \in \mathbb{R}^{n \times d_m}$  and the trainable weight of the channel  $\mathbf{W}_Q, \mathbf{W}_K, \mathbf{W}_V \in \mathbb{R}^{d_m \times d_m}$ , we can obtain three different representations  $\mathbf{Q} = \mathbf{M}\mathbf{W}_Q, \mathbf{K} = \mathbf{M}\mathbf{W}_K, \mathbf{V} = \mathbf{M}\mathbf{W}_V$ . Then, the output messages are

$$\tilde{\mathbf{M}} = \text{Attention}(\mathbf{Q}, \mathbf{K}, \mathbf{V}) = \text{softmax}\left(\frac{\mathbf{Q}\mathbf{K}^T}{\sqrt{d_m}}\right)\mathbf{V} = [\tilde{m}_1, \tilde{m}_2, \cdots, \tilde{m}_n]$$
(2)

where  $d_m$  is the dimension of the messages. Since the dimensions of the trainable weight are irrelevant

to the number of agents, the student can take advantage of the population-invariant property to learn tactics.

Skill Framework in Student. As shown in the dotted box in Fig. 1 Right, after receiving the new 171 messages  $\tilde{m}_j$  from the channel, each agent takes the high-level action (skill)  $a_{h,j} = \pi_{h,j}(o_j, \tilde{m}_j)$  to 172 execute the low-level policy  $a_j = \pi_{low}(o_j, a_{h,j})$ . In this work, we generalize the high-level action 173 (skill)  $a_{h,j}$  to a continuous embedding space, so that the skill can be either a latent continuous vector 174 as in DIAYN [55], or a categorical distribution for sampling discrete options [56]. 175

We implement the high- and low-level policies in the student with PPO [57]. The high-level policy 176 for each agent is learned independently, whereas the low-level policies share parameters, since the 177 most basic action pattern should be the same within different agents. The low-level agent is rewarded 178 by the environment. The high-level policy takes actions given a fixed interval during training. Within 179 this interval, a cumulative low-level reward is used as a high-level reward. When the categorical 180 distribution is used to enable an option-style skill, we would sample an "option" from the categorical 181 distribution and feed the corresponding one-hot embedding to the low-level policy. 182

#### Teacher: Contextual Bandit in a Non-stationary Environment 4.2 183

The teacher is expected to guide the student to learn the skills and tactics by offering and ordering 184 different tasks. However, since the student learns across different tasks, the teacher is facing a 185 non-stationarity problem due to the ever-changing student's strategies. That is, in different stages of 186 187 student learning, the teacher will observe different student's performances when giving the same task to the student, thus leading to a time-varying reward distribution of the teacher. 188

In addition, there exists the forgetting and relearning problem of the student, where the student forgets 189 the learned policy. To avoid this problem, the teacher should offer some trained tasks to the student. 190 It can be seen as the exploitation and exploration problem of the teacher. The teacher is encouraged 191 192 to give the training tasks that benefit the student's performance on the target tasks; however, there is 193 still a need for sufficient exploration on various training tasks.

Fortunately, we notice that the non-stationarity stems from the student, which can be mitigated with 194 a contextual bandit which embeds the student policy into the context. As shown in Fig. 1 Left, the 195 teacher takes the student's policy representation as the context and chooses a task from the distribution 196 of training tasks. Specifically, we extend the Exp3 algorithm [49] with context by utilizing an online 197 cluster algorithm BIRCH [58] in Alg.1. The context x is the student's policy representation, the 198 teacher's action is a certain task  $\phi$ , and the teacher's reward is the return of the student in the target 199 tasks. In steps 1-4, the teacher samples a task for the student's training, and in steps 6-7, the teacher 200 would update the parameters based on the evaluation reward of the student. 201

#### Algorithm 1 Teacher Sampling and Training

Input: Context x, the number of Clusters  $N_c$ ,  $N_c$  instances of Exp3 with task distribution  $w(\phi_k, c)$  for  $k = 1, \ldots, K$  and for  $c = 1, \ldots, N_c$ , learning rate  $\alpha$ , a buffer maintaining the historical contexts

**Output:**  $\mathcal{M}(\phi) = \left\{ n^{\phi}, S^{\phi}, A^{\phi}, P^{\phi}, r^{\phi}, O^{\phi}, \Omega^{\phi}, \gamma^{\phi} \right\}$ , the teacher bandit parameters

#### Sampling

- 1. Get the the context x, and save it to the buffer
- 2. Run the online cluster algorithm and get the index of the cluster center c(x)
- 3. Let the active Exp3 instance be the instance with index c(x)

4. Set the probability  $p(\phi_k, c(x)) = \frac{(1-\alpha)w(\phi_k, c(x))}{\sum_{j=1}^K w(\phi_k, c(x))} + \frac{\alpha}{K}$  for each task  $\phi_k$ 

5. Sample a new task according to the distribution of  $p_{\phi_k,c}$ 

#### Training

- 6. Get the return (discounted cumulative rewards) from student testing r
- 7. Update the active Exp3 instance by setting  $w(\phi_k, c(x)) = w(\phi_k, c(x))e^{\alpha r/K}$

#### **Context Representation** 4.2.1 202

We learn the representation of the student policy as a context. A straightforward representation is to 203 directly use the student parameters  $\theta$  as the context. However, the number of parameters is large and 204 ever-changing if we change the student's architecture. Thus, we turn to an alternative method. 205

A principle to learn a good representation of a policy is *predictive representation*, that is, the 206 representation should be accurate to predict policy actions given states. According to the principle, we 207 utilize an imitation function through supervised learning. Supervised learning does not require direct 208 access to reward signals, making it an attractive task for reward-agnostic representation learning. 209 Intuitively, the imitation function attempts to mimic low-level policy based on historical behaviors. 210 In practice, we use an RNN-based imitation function  $f_{im}: \mathcal{S} \times \mathcal{A} \to [0, 1]$ . Since recurrent neural 211 networks are theoretically Turing complete [59], its internal states can be used as the representation 212 of the student's policy. Regarding the training of this imitation function, we use the negative cross 213 entropy objective  $\mathbb{E}[\log f_{im}(s, a)].$ 214

#### 215 4.2.2 Regret Analysis

In this subsection, we show the regret bound of the proposed teacher algorithm  $\mathbb{E}[R(T)] = O\left(T^{2/3}(LK\log T)^{1/3}\right)$ , where T is the number of total rounds, L is the Lipschitz constant, and K is the number of arms (the number of the teacher's actions). Since the teacher's reward is the return of the student in the target tasks, the regret bound shows the optimality of the proposed method.

First, we introduce the Lipschitz assumption about the generalization ability of the task space.

Assumption 4.1 (Lipschitz continuity w.r.t the context). Without loss of generality, the contexts are mapped into the [0, 1] interval, so that the expected rewards for the teacher are Lipschitz with respect to the context.

$$|r(\phi \mid x) - r(\phi \mid x')| \le L \cdot |x - x'|$$
(3)

for any arm  $\phi \in \Phi$  and any pair of contexts  $x, x' \in \mathcal{X}$ 

where L is the Lipschitz constant, and  $\mathcal{X}$  is the context space.

This assumption suggests that, for any policy that is trained on a set of tasks, the rate of performance change is not faster than the rate of policy change. It is a realistic assumption since we cannot expect the student to achieve a dramatic improvement on a given task when the student is represented by a new context via a few training steps.

Then, we borrow a contextual bandit algorithm for a small number of contexts [49] (see Appendix Alg. 2) and the lemma 4.2, as a stepping stone for the proof of Theorem 4.3.

**Lemma 4.2.** Alg. 2 has regret  $E[R(T)] = \mathcal{O}(\sqrt{TK|\mathcal{X}|\log K})$ .

Lemma 4.2 introduces a square root dependence on  $|\mathcal{X}|$  if running a separate copy of Exp3 for each context [49]. It motivates us to handle large context space by discretization.

**Theorem 4.3.** Consider the Lipschitz contextual bandit problem with contexts in [0, 1]. The Alg. 1 yields regret  $\mathbb{E}[R(T)] = O(T^{2/3}(LK \ln T)^{1/3}).$ 

<sup>236</sup> *Proof.* See Appendix **B** for the proof.

In practice, the contextual space is high-dimensional instead of in [0, 1], and in the proof a uniform mesh is used to discretize the context space. Since we cannot have such a uniform mesh, without loss of generality, we use the BIRCH streaming data cluster algorithm [58] to generate and discretize the context space. At the end of the training, the cluster can be seen as an approximation of the uniform mesh.

### 242 5 Experiments

We consider several tasks in two environments, Simple-Spread and Push-Ball in the Multi-agent Particle-world Environment (MPE) [16], and the challenging 5vs5 task of GRF [17], to further demonstrate the performance of our approach.

246 We aim to answer the following three research questions. Q1: Is curriculum learning needed in

the complex large-scale MARL problem? (See Sec. 5.2) Q2: Can our COST outperform previous

curriculum-based MARL methods? If so, which components in COST contributes the most to performance gains? (See Sec. 5.3) Q3: Can COST learn a good curriculum for the student? (See

<sup>250</sup> Sec. 5.4)



Figure 2: The environments. (a): Multi-particle Environment. (b): Google Reaserach Football

#### 251 5.1 Environments, Baselines and Metric

**Environments.** In the GRF 5vs5 252 253 scenario, we need to control 4 agents (except the goalkeeper) to 254 compete with the opponent built-255 in AI. Each agent would observe 256 a compact encoding, which con-257 sists of a 115-dimensional vector 258 summarizing many aspects of the 259 game, such as player coordinates, 260 ball possession and direction, ac-261 tive player, and game mode. The 262 action set available to an individ-263 ual agent consists of 19 discrete 264

Table 1: Baseline algorithms.

Categories	Methods	
MARL (Q1)	QMIX [60] IPPO [61]	
Curriculum-based (Q2)	IPPO with uniform task sampling VACL [6]	
Ablation Study (Q3)	COST with uniform task sampling COST without HRL	

actions such as idle, movement, passing, shooting, dribbling, or sliding. The GRF provides two types

of reward: scoring and checkpoints, to encourage the agent to move the ball forward and have a successful shot.

In MPE, we investigate Simple-Spread and Push-Ball (see Fig. 2a). In Simple-Spread, there are n agents that need to cover all n landmarks. Agents are penalized for collisions and only receive a positive reward when all the landmarks are covered. In Push-Ball, there are n agents, n balls, and n landmarks. The agents need to push the balls to cover every landmark. A success reward is given after all the landmarks have been covered.

**Baselines.** We evaluate the following approaches as baseline in Table 1:

We compare MARL algorithms to justify curriculum learning in the complex large-scale MARL problem. Also, we modify VACL by removing the centralized critic for a fair comparison of the MPE.

<sup>276</sup> Due to the difficulty of the GRF, we include a shooting reward to encourage the student to shoot.

Metric. Even if we use the reward to optimize various algorithms, the mean episode reward in such environments cannot show the performance of the agents. Therefore, for GRF scenarios, we plot the win rate and the average goal difference, which is the number of goals scored by the MARL agents minus the number of goals scored by the other team.

The experiments are carried out on 30 nodes, one of which has a 128-core CPU and 4 A100 GPUs. Each experiment trial is repeated over 5 seeds and runs for 1-2 days.

#### 283 5.2 The Necessity of Curriculum Learning

First, we describe experiments using MPE. In contrast to the fully observable setting and the centralized critic in VACL, we consider individual PPO in partially observable environments with default rewards. We randomly pick a starting state, and the episode ends after a fixed number of maximum steps. To be specific, the task space consists of n agents, where  $n \in \{2, 4, 8, 16\}$ . We set the maximum allowed steps to 25. All evaluations are performed on the target task, where n = 16. IPPO is trained and evaluated directly on the target task. In Fig. 3, we can see that IPPO performs nearly VACL. COST achieves a higher coverage rate than the baseline methods, but the improvement



Figure 3: The evaluation performance of various methods on MPE.



Figure 4: The changes in the number of agents on MPE.



Figure 5: The evaluation performance of various methods on 5vs5 football competition.

is not significant. Furthermore, we experimentally investigate the probability variation of different population sizes in Fig. 4. We observe that the curriculum afforded by COST is approaching the target task. The results illustrate that in a simple environment where the student can directly learn to complete the task, there is no need to apply curriculum learning.

Then we show the performance comparison with the baselines in GRF. We also run CDS [53] and 295 CMARL [51], however, we did not include their performances, since the goal difference reported 296 in CMARL [51] is relatively low compared to our method. In Fig. 6, we can see that without the 297 curriculum learning scheme, QMix and IPPO cannot perform well in the 5vs5 scenario. However, 298 IPPO is slightly better than QMix in the scope of MARL algorithms in this scenario. In Fig. 5b, 299 we omit the lines of QMix since the mean score is low, affecting the presentation of the figure. The 300 reason could be that QMix is an off-policy MARL algorithm, which would rely heavily on the replay 301 buffer. However, in such sparse reward scenarios, the replay buffer has much less efficient samples for 302 QMix to learn. For example, the replay buffer would contain tons of zero-score samples, leading to a 303 non-promising performance. Meanwhile, IPPO with a shared actor and critic, an on-policy algorithm, 304 would utilize the samples more efficiently. Therefore, curriculum learning is a promising solution to 305 the complex large-scale MARL problem. 306

During our experiments, we found that IPPO or shared parameter PPO can easily achieve good performance in most academic scenarios in GRF. However, 5vs5 is an obstacle for agents to handle more complex scenarios. Due to the limitation of computational resources, we tested COST in the 11vs11 scenario. The result can be seen in the Appendix C.

#### 311 5.3 Performance and Ablation Study

In the experiments on MPE, In both environments, COST performs better than VACL. Instead of training with continuous relaxation of the categorical distribution of population size in VACL, our bandit teacher achieves a higher success rate at test time, since the population size is a discrete variable in nature. Also, in Fig. 4, we observe that the curriculum provided by COST is effective in exploring the task space as agents become increasingly competent.

In the experiments on GRF, we do not include VACL in our baselines in the GRF, since the implementation in the source code of VACL is heavily based on prior knowledge of specific scenarios,



Figure 6: Visualization of Learned Curriculum.

such as the threshold to divide the learning process. We can see that COST has higher win rate and goal difference than IPPO with uniform task sampling in the 5vs5 football competition. The experiments on MPE and GRF show that when the teacher is rewarded by the student's performance, the bandit-based teacher can exploit the student learning stage and give the suitable training tasks to the student.

For ablation study, we replace our contextual multi-armed bandit teacher with uniform task sampling 324 and remove the hierarchical part in the student framework. As shown in Figs. 5a, 5b, we can clearly 325 see that COST can achieve a higher win rate and a greater score difference than COST with uniform 326 and COST w/o. HRL. Also, COST with uniform task sampling outperforms IPPO with uniform 327 task sampling. The difference between these two methods is only the introduction of HRL. It shows 328 the contribution of HRL in the 5vs5 football competition. When removing HRL and contextual 329 multi-armed bandit, the performance degradation w.r.t. COST are similar. It shows that HRL and 330 the contextual multi-armed bandit seem to contribute equally. This can again justify the need for a 331 curriculum learning scheme. However, we can see that COST w. uniform has a larger variance in 332 performance than COST w/o. HRL. It means that uniform sampling might introduce more undesired 333 tasks for student training. 334

#### 335 5.4 Visualization of Learned Curriculum

We visualize the distribution of task sampling of COST during training based on a selected trial as 336 shown in Fig. 6a. An interesting observation is that the task probability seems nearly uniform. We 337 interpret this into an anti-forgetting mechanism. We can see that at the beginning of training, the task 338 probability seems to be near-uniform, since the teacher should explore the task space and try to keep 339 track of the student's learning status. During training, the probabilities vary over time steps. For exam-340 ple, at about 80-100 million timesteps, we can see a sudden drop in academy\_empty\_goal\_close 341 and academy\_3\_vs\_1\_with\_keeper, since the student almost handles the skills learned in such 342 scenarios. However, when training is continued, we can still observe that agents are trained on these 343 tasks more frequently. 344

We also visualize the distribution of contexts in Fig. 6b using t-SNE [62]. The contexts are collected and stored in a buffer. We divide the contexts into four classes according to the index. We can clearly see a shift in student policy representation from the beginning of training to the end.

# 348 6 Conclusion

In this paper, to address the scalability and sparse reward issue in the current multi-agent system, we 349 introduce a novel ACL algorithm, Curriculum Oriented Skills and Tactics (COST), to learn complex 350 behaviors from scratch. Specifically, to handle the varying number of agents, we incorporate a 351 352 population-invariant multi-agent communication framework and exploit a hierarchical scheme for each agent to learn skills to deal with sparse rewards. Moreover, to mitigate the non-stationarity, we 353 model the teacher as a contextual bandit, where the context is represented by the student's policy 354 representation. Empirical results show that our method achieves state-of-the-art performance on 355 several tasks in the multi-particle environment and the challenging 5vs5 competition in GRF. 356

# 357 **References**

- [1] RoboCup. Robocup Federation Official Website. https://www.robocup.org/, 2019. Accessed April 10, 2019.
- [2] OpenAI. OpenAI Five. https://openai.com/blog/openai-five/, 2019. Accessed March
   4, 2019.
- [3] Kaiqing Zhang, Zhuoran Yang, and Tamer Başar. Multi-agent reinforcement learning: A
   selective overview of theories and algorithms. *Handbook of Reinforcement Learning and Control*, pages 321–384, 2021.
- [4] Yaodong Yang and Jun Wang. An overview of multi-agent reinforcement learning from game
   theoretical perspective. *arXiv preprint arXiv:2011.00583*, 2020.
- Yujing Hu, Weixun Wang, Hangtian Jia, Yixiang Wang, Yingfeng Chen, Jianye Hao, Feng Wu,
   and Changjie Fan. Learning to utilize shaping rewards: A new approach of reward shaping.
   *arXiv preprint arXiv:2011.02669*, 2020.
- [6] Jiayu Chen, Yuanxin Zhang, Yuanfan Xu, Huimin Ma, Huazhong Yang, Jiaming Song, Yu Wang,
   and Yi Wu. Variational automatic curriculum learning for sparse-reward cooperative multi-agent
   problems. Advances in Neural Information Processing Systems, 34, 2021.
- [7] Shiyu Huang, Wenze Chen, Longfei Zhang, Ziyang Li, Fengming Zhu, Deheng Ye, Ting
   Chen, and Jun Zhu. Tikick: Toward playing multi-agent football full games from single-agent
   demonstrations. *arXiv preprint arXiv:2110.04507*, 2021.
- [8] Weixun Wang, Tianpei Yang, Yong Liu, Jianye Hao, Xiaotian Hao, Yujing Hu, Yingfeng Chen,
   Changjie Fan, and Yang Gao. From few to more: Large-scale dynamic multiagent curriculum
   learning. In *Proceedings of the AAAI Conference on Artificial Intelligence*, volume 34, pages
   7293–7300, 2020.
- [9] Qian Long, Zihan Zhou, Abhibav Gupta, Fei Fang, Yi Wu, and Xiaolong Wang. Evolutionary population curriculum for scaling multi-agent reinforcement learning. *arXiv preprint arXiv:2003.10423*, 2020.
- <sup>383</sup> [10] Jiachen Yang, Igor Borovikov, and Hongyuan Zha. Hierarchical cooperative multi-agent <sup>384</sup> reinforcement learning with skill discovery. *arXiv preprint arXiv:1912.03558*, 2019.
- [11] Alexander Sasha Vezhnevets, Yuhuai Wu, Remi Leblond, and Joel Z Leibo. Options as responses:
   Grounding behavioural hierarchies in multi-agent rl. *arXiv preprint arXiv:1906.01470*, 2019.
- [12] Tonghan Wang, Tarun Gupta, Anuj Mahajan, Bei Peng, Shimon Whiteson, and Chongjie Zhang.
   Rode: Learning roles to decompose multi-agent tasks. *arXiv preprint arXiv:2010.01523*, 2020.
- [13] Zhen-Jia Pang, Ruo-Ze Liu, Zhou-Yu Meng, Yi Zhang, Yang Yu, and Tong Lu. On reinforcement
   learning for full-length game of starcraft. In *Proceedings of the AAAI Conference on Artificial Intelligence*, 2019.
- [14] Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez,
   Łukasz Kaiser, and Illia Polosukhin. Attention is all you need. *Advances in Neural Information Processing Systems*, 30, 2017.
- [15] Sepp Hochreiter and Jürgen Schmidhuber. Long short-term memory. *Neural computation*, 9(8):
   1735–1780, 1997.
- [16] Ryan Lowe, Yi Wu, Aviv Tamar, Jean Harb, Pieter Abbeel, and Igor Mordatch. Multi-agent
   actor-critic for mixed cooperative-competitive environments. *Advances in Neural Information Processing Systems*, 2017.
- [17] Karol Kurach, Anton Raichuk, Piotr Stańczyk, Michał Zając, Olivier Bachem, Lasse Espeholt,
   Carlos Riquelme, Damien Vincent, Marcin Michalski, Olivier Bousquet, et al. Google research
   football: A novel reinforcement learning environment. *arXiv preprint arXiv:1907.11180*, 2019.

- [18] Rémy Portelas, Cédric Colas, Lilian Weng, Katja Hofmann, and Pierre-Yves Oudeyer. Automatic curriculum learning for deep RL: A short survey. *arXiv preprint arXiv:2003.04664*, 2020.
- [19] Sanmit Narvekar and Peter Stone. Learning curriculum policies for reinforcement learning.
   *arXiv preprint arXiv:1812.00285*, 2018.
- [20] Ilge Akkaya, Marcin Andrychowicz, Maciek Chociej, Mateusz Litwin, Bob McGrew, Arthur
   Petron, Alex Paino, Matthias Plappert, Glenn Powell, Raphael Ribas, et al. Solving rubik's cube
   with a robot hand. *arXiv preprint arXiv:1910.07113*, 2019.
- [21] Bhairav Mehta, Manfred Diaz, Florian Golemo, Christopher J Pal, and Liam Paull. Active
   domain randomization. In *Conference on Robot Learning*, pages 1162–1176. PMLR, 2020.
- [22] Rémy Portelas, Cédric Colas, Katja Hofmann, and Pierre-Yves Oudeyer. Teacher algorithms
   for curriculum learning of deep RL in continuously parameterized environments. In *Conference on Robot Learning*, pages 835–853, 2020.
- [23] Lu Jiang, Deyu Meng, Qian Zhao, Shiguang Shan, and Alexander G Hauptmann. Self-paced
   curriculum learning. In *Twenty-Ninth AAAI Conference on Artificial Intelligence*, 2015.
- [24] Carlos Florensa, David Held, Xinyang Geng, and Pieter Abbeel. Automatic goal generation
   for reinforcement learning agents. In *International Conference on Machine Learning*, pages
   1515–1528. PMLR, 2018.
- [25] Wolfgang Banzhaf, Bert Baumgaertner, Guillaume Beslon, René Doursat, James A Foster,
   Barry McMullin, Vinicius Veloso De Melo, Thomas Miconi, Lee Spector, Susan Stepney, et al.
   Defining and simulating open-ended novelty: Requirements, guidelines, and challenges. *Theory in Biosciences*, 135(3):131–161, 2016.
- [26] Joel Lehman, Kenneth O Stanley, et al. Exploiting open-endedness to solve problems through
   the search for novelty. In *ALIFE*, pages 329–336. Citeseer, 2008.
- <sup>427</sup> [27] Russell K Standish. Open-ended artificial evolution. *International Journal of Computational* <sup>428</sup> *Intelligence and Applications*, 3(02):167–175, 2003.
- [28] Max Jaderberg, Wojciech M Czarnecki, Iain Dunning, Luke Marris, Guy Lever, Antonio Garcia
   Castaneda, Charles Beattie, Neil C Rabinowitz, Ari S Morcos, Avraham Ruderman, et al.
   Human-level performance in 3d multiplayer games with population-based reinforcement learn *Science*, 364(6443):859–865, 2019.
- [29] Siqi Liu, Guy Lever, Josh Merel, Saran Tunyasuvunakool, Nicolas Heess, and Thore Graepel.
   Emergent coordination through competition. *arXiv preprint arXiv:1902.07151*, 2019.
- [30] Bowen Baker, Ingmar Kanitscheider, Todor Markov, Yi Wu, Glenn Powell, Bob McGrew,
   and Igor Mordatch. Emergent tool use from multi-agent autocurricula. *arXiv preprint arXiv:1909.07528*, 2019.
- [31] Joel Z Leibo, Edward Hughes, Marc Lanctot, and Thore Graepel. Autocurricula and the
   emergence of innovation from social interaction: A manifesto for multi-agent intelligence
   research. *arXiv preprint arXiv:1903.00742*, 2019.
- [32] Ofir Nachum, Shixiang Shane Gu, Honglak Lee, and Sergey Levine. Data-efficient hierarchical
   reinforcement learning. *Advances in neural information processing systems*, 31, 2018.
- [33] Ofir Nachum, Shixiang Gu, Honglak Lee, and Sergey Levine. Near-optimal representation
   learning for hierarchical reinforcement learning. *arXiv preprint arXiv:1810.01257*, 2018.
- [34] Dibya Ghosh, Abhishek Gupta, and Sergey Levine. Learning actionable representations with
   goal-conditioned policies. *arXiv preprint arXiv:1811.07819*, 2018.
- [35] Sainbayar Sukhbaatar, Emily Denton, Arthur Szlam, and Rob Fergus. Learning goal embeddings
   via self-play for hierarchical reinforcement learning. *arXiv preprint arXiv:1811.09083*, 2018.

- [36] Suraj Nair and Chelsea Finn. Hierarchical foresight: Self-supervised learning of long-horizon
   tasks via visual subgoal generation. *arXiv preprint arXiv:1909.05829*, 2019.
- [37] Rundong Wang, Runsheng Yu, Bo An, and Zinovi Rabinovich. I2hrl: Interactive influence based hierarchical reinforcement learning. In *Proceedings of the Twenty-Ninth International Conference on International Joint Conferences on Artificial Intelligence*, pages 3131–3138,
   2021.
- [38] Christian Daniel, Gerhard Neumann, and Jan Peters. Hierarchical relative entropy policy search.
   In *Artificial Intelligence and Statistics*, pages 273–281, 2012.
- [39] Karol Gregor, Danilo Jimenez Rezende, and Daan Wierstra. Variational intrinsic control. *arXiv preprint arXiv:1611.07507*, 2016.
- [40] Tanmay Shankar and Abhinav Gupta. Learning robot skills with temporal variational inference.
   In *Proceedings of the 37th International Conference on Machine Learning*. JMLR. org, 2020.
- [41] Archit Sharma, Shixiang Gu, Sergey Levine, Vikash Kumar, and Karol Hausman. Dynamics aware unsupervised discovery of skills. In *International Conference on Learning Representa- tions*, 2020.
- [42] Jakob Foerster, Ioannis Alexandros Assael, Nando De Freitas, and Shimon Whiteson. Learning
   to communicate with deep multi-agent reinforcement learning. *Advances in neural information processing systems*, 29, 2016.
- [43] Abhishek Das, Théophile Gervet, Joshua Romoff, Dhruv Batra, Devi Parikh, Mike Rabbat, and
   Joelle Pineau. Tarmac: Targeted multi-agent communication. In *International Conference on Machine Learning*, pages 1538–1546. PMLR, 2019.
- [44] Sainbayar Sukhbaatar, Rob Fergus, et al. Learning multiagent communication with backpropa gation. Advances in neural information processing systems, 29, 2016.
- 472 [45] Amanpreet Singh, Tushar Jain, and Sainbayar Sukhbaatar. Learning when to communicate at 473 scale in multiagent cooperative and competitive tasks. *arXiv preprint arXiv:1812.09755*, 2018.
- [46] Jiechuan Jiang and Zongqing Lu. Learning attentional communication for multi-agent coopera tion. Advances in neural information processing systems, 31, 2018.
- [47] Daewoo Kim, Sangwoo Moon, David Hostallero, Wan Ju Kang, Taeyoung Lee, Kyunghwan
   Son, and Yung Yi. Learning to schedule communication in multi-agent reinforcement learning.
   *arXiv preprint arXiv:1902.01554*, 2019.
- [48] Rundong Wang, Xu He, Runsheng Yu, Wei Qiu, Bo An, and Zinovi Rabinovich. Learning
   efficient multi-agent communication: An information bottleneck approach. In *International Conference on Machine Learning*, pages 9908–9918. PMLR, 2020.
- [49] Peter Auer, Nicolo Cesa-Bianchi, Yoav Freund, and Robert E Schapire. The nonstochastic
   multiarmed bandit problem. *SIAM Journal on Computing*, 32(1):48–77, 2002.
- [50] Elad Hazan and Nimrod Megiddo. Online learning with prior knowledge. In *International Conference on Computational Learning Theory*, pages 499–513. Springer, 2007.
- 486 [51] Siyang Wu, Tonghan Wang, Chenghao Li, and Chongjie Zhang. Containerized distributed
   487 value-based multi-agent reinforcement learning. *arXiv preprint arXiv:2110.08169*, 2021.
- [52] Jiechuan Jiang and Zongqing Lu. The emergence of individuality. In *International Conference on Machine Learning*, pages 4992–5001. PMLR, 2021.
- [53] Chenghao Li, Chengjie Wu, Tonghan Wang, Jun Yang, Qianchuan Zhao, and Chongjie
   Zhang. Celebrating diversity in shared multi-agent reinforcement learning. *arXiv preprint arXiv:2106.02195*, 2021.
- [54] Daniel S Bernstein, Robert Givan, Neil Immerman, and Shlomo Zilberstein. The complexity of
   decentralized control of Markov Decision Processes. *Mathematics of Operations Research*, 27
   (4):819–840, 2002.

- In Eysenbach, Abhishek Gupta, Julian Ibarz, and Sergey Levine. Diversity is all you
   need: Learning skills without a reward function. *arXiv preprint arXiv:1802.06070*, 2018.
- <sup>498</sup> [56] Pierre-Luc Bacon, Jean Harb, and Doina Precup. The option-critic architecture. In *Proceedings* <sup>499</sup> of the AAAI Conference on Artificial Intelligence, volume 31, 2017.
- [57] John Schulman, Filip Wolski, Prafulla Dhariwal, Alec Radford, and Oleg Klimov. Proximal
   policy optimization algorithms. *arXiv preprint arXiv:1707.06347*, 2017.
- [58] Tian Zhang, Raghu Ramakrishnan, and Miron Livny. Birch: An efficient data clustering method
   for very large databases. *ACM Aigmod Record*, 25(2):103–114, 1996.
- [59] Heikki Hyötyniemi. Turing machines are recurrent neural networks. In *STeP '96/Publications* of the Finnish Artificial Intelligence Society, 1996.
- [60] Tabish Rashid, Mikayel Samvelyan, Christian Schroeder, Gregory Farquhar, Jakob Foerster,
   and Shimon Whiteson. Qmix: Monotonic value function factorisation for deep multi-agent
   reinforcement learning. In *International Conference on Machine Learning*, pages 4295–4304,
   2018.
- [61] Christian Schroeder de Witt, Tarun Gupta, Denys Makoviichuk, Viktor Makoviychuk, Philip HS
   Torr, Mingfei Sun, and Shimon Whiteson. Is independent learning all you need in the StarCraft
   multi-agent challenge? *arXiv preprint arXiv:2011.09533*, 2020.
- [62] Laurens Van der Maaten and Geoffrey Hinton. Visualizing data using t-sne. *Journal of machine learning research*, 9(11), 2008.

# 515 Checklist

516	1. For a	all authors	
517 518	(a)	Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope? [Yes]	
519 520 521 522 523 524 525	(b)	Did you describe the limitations of your work? [Yes] Limitations and Future Work. As mentioned in the Sec. 5.2, when the tasks are not complex, current multi-agent reinforcement learning algorithms, even independent versions, can easily handle tasks. In our work, our aim is to provide a general framework for handling complex MARL tasks. There remains a large space for some methods, such as feature extraction and reward shaping, which can provide significant improvement. In the future, we plan to include a curriculum based on self-play or population-based training, since current oursering and the section of the seccion of the section of the section of the seccion of the sec	
526 527 528 529 530 531 532 533	(c) (d)	Did you discuss any potential negative societal impacts of your work? [Yes] Our method is proposed for agents to do the large-scale complex multi-agent reinforcement learning problem. Currently, the method is limited to simulation and video games. The potential negative societal impacts of our work will be limited to the development of reinforcement learning applications. That is, if reinforcement learning can be used for negative social impacts, our work could also be used. Have you read the ethics review guidelines and ensured that your paper conforms to	
534	2 If yo	them? [Yes]	
535 536 537	2. If yo (a) (b)	Did you state the full set of assumptions of all theoretical results? [Yes] See 4.1 Did you include complete proofs of all theoretical results? [Yes] See Appendix B	
3. If you ran experiments			
539 540 541	(a)	Did you include the code, data, and instructions needed to reproduce the main experi- mental results (either in the supplemental material or as a URL)? [Yes] As a URL in Abstract	
542 543	(b)	Did you specify all the training details (e.g., data splits, hyperparameters, how they were chosen)? [Yes] See Experiment Section and Appendix	

544 545	(c) Did you report error bars (e.g., with respect to the random seed after running experi- ments multiple times)? [Yes]
546 547	<ul><li>(d) Did you include the total amount of compute and the type of resources used (e.g., type of GPUs, internal cluster, or cloud provider)? [Yes] See line 281</li></ul>
548	4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets
549	(a) If your work uses existing assets, did you cite the creators? [Yes]
550	(b) Did you mention the license of the assets? [Yes]
551	(c) Did you include any new assets either in the supplemental material or as a URL? [No]
552 553	(d) Did you discuss whether and how consent was obtained from people whose data you're using/curating? [N/A]
554 555	(e) Did you discuss whether the data you are using/curating contains personally identifiable information or offensive content? [N/A]
556	5. If you used crowdsourcing or conducted research with human subjects
557 558	(a) Did you include the full text of instructions given to participants and screenshots, if applicable? [N/A]
559	(b) Did you describe any potential participant risks, with links to Institutional Review
560	Board (IRB) approvals, if applicable? [N/A]
561 562	(c) Did you include the estimated hourly wage paid to participants and the total amount spent on participant compensation? [N/A]

# 563 A Algorithm

Algorithm 2 A contextual bandit algorithm for a small number of contexts

**Initialization:** For each context x, create an instance  $Exp3_x$  of algorithm Exp3 for each round do

1. Invoke algorithm  $\text{Exp3}_x$  with  $x = x_t$ 

2. Play the action chosen by  $Exp3_x$ 

3. Return reward  $r_t$  to  $\text{Exp}3_r$ 

# 564 **B Proof of Theorem 4.3**

**Theorem 4.3.** Consider the Lipschitz contextual bandit problem with contexts in [0, 1]. The Alg. 1 yields regret  $\mathbb{E}[R(T)] = O(T^{2/3}(LK \ln T)^{1/3}).$ 

*Proof.* Let  $S_m$  be the  $\epsilon$ -uniform mesh on [0, 1], that is, the set of all points in [0, 1] that are integer multiples of  $\epsilon$ . We take  $\epsilon = 1/(d-1)$  where the integer d is the number of points in  $S_m$ , which will be adjusted later in the analysis.

We apply Alg. 2 to the context space  $S_m$ . Let  $f_{S_m}(x)$  be a mapping from context x to the closest point in  $S_m$ :

$$f_{S_m}(x) = \min\left(\operatorname*{argmin}_{x' \in S_m} |x - x'|\right)$$

In each round t, we replace the context  $x_t$  with  $f_{S_m}(x_t)$  and call  $\text{Exp3}_S$ . The regret bound will have two components: the regret bound for  $\text{Exp3}_S$  and (a suitable notion of) the discretization error. Formally, let us define the "discretized best response"  $\pi^*_{S_m} : \mathcal{X} \to \Phi$ :  $\pi^*_{S_m}(x) = \pi^*(f_{S_m}(x))$  for each context  $x \in \mathcal{X}$ .

We define the total reward of an algorithm Alg is Reward(Alg) =  $\sum_{t=1}^{T} r_t$ . Then the regret of Exp3<sub>S</sub> and the discretization error are defined as:

$$R_{S}(T) = \text{Reward} (\pi_{S}^{*}) - \text{Reward} (\text{Exp3}_{S})$$
$$\text{DE}(S) = \text{Reward} (\pi^{*}) - \text{Reward} (\pi_{S}^{*}).$$

It follows that regret is the sum  $R(T) = R_S(T) + DE(S)$ . We have  $\mathbb{E}[R_S(T)] = \mathcal{O}(\sqrt{TK \log K})$ from Lemma 4.2, so it remains to upper bound the discretization error and adjust the discretization step  $\epsilon$ .

For each round t and the respective context  $x = x_t$ ,  $r(\pi_S^*(x) | f_S(x)) \ge r(\pi^*(x) | f_S(x)) \ge r(\pi^*(x) | f_S(x)) \ge r(\pi^*(x) | x) - \epsilon L$ . The first inequality is determined by the optimality of  $\pi_S^*$  and the second is determined by Lipschitzness. Summing this up over all rounds t, we obtain  $\mathbb{E}[\text{Reward}(\pi_S^*)] \ge \text{Reward}[\pi^*] - \epsilon LT$ .

585 Thus, the regret is that

$$\mathbb{E}[R(T)] \le \epsilon LT + O\left(\sqrt{\frac{1}{\epsilon}TK\log T}\right) = O\left(T^{2/3}(LK\log T)^{1/3}\right) \tag{4}$$

586 For the last inequality, we choose  $\epsilon = (\frac{K \log T}{TL^2})^{1/3}$ .

# 587 C 11vs11 Full Game on GRF

We further conduct experiments on the 11vs11 scenario of GRF. As shown in Fig. **7**, COST achieves about 50% win rate after training with 200 million timesteps.



Figure 7: The performance of COST on the 11v11 scenario.

## **590 D Implementation Details**

Here we describe the COST framework. We use the open-sourced Ray RLlib implementation of Proximal Policy Optimization (PPO), which scales out using multiple workers for experience collection. This allows us to use a large amount of rollouts from parallel workers during training to ameliorate high variance and aid exploration. We do multiple rollouts in parallel with distributed workers and use parameter sharing for each agent. The trainer broadcasts new weights to the workers after their synchronous sampling. We now turn our attention to environment-specific settings.

#### 597 D.1 Google Research Football

We set five tasks for training the 5vs5 scenario. They are academy\_empty\_goal\_close, academy\_pass\_and\_shoot\_with\_keeper, 3\_vs\_3, academy\_3\_vs\_1\_with\_keeper, 5\_vs\_5. In all scenarios, we do not control our team's goalkeeper.

In the academy\_empty\_goal\_close, one agent need to move forward and shoot with an empty goal. In academy\_pass\_and\_shoot\_with\_keeper and 3\_vs\_3, two agents are controlled to play against a goalkeeper and 3 players respectively. In academy\_3\_vs\_1\_with\_keeper, three agents are controlled to play against a center-back and a goalkeeper. In 5\_vs\_5, 4 agents are controlled to play against 5 players. Without loss of generality, we initialize all player with fixed positions and roles as center midfielders.

We use both MLP and self-attention mechanism for the high-level policy, and use MLP for the low-level policy. For high-level policy, the input is first projected to an embedding using 2 hidden layers with 256 units each and ReLU activation, which is then fed into multi-head self-attention (8 heads, 64 units each). The output is then projected to the actions and values using another fully connected layer with 256 units. For low-level policy, we use MLP with 2 hidden layers with 256 units each, i.e., the default configuration of policy network in RLlib.

Name	Value
Discount rate	0.99
GAE parameter	1.0
KL coefficient	0.2
Rollout fragment length	1000
Training batch size	100000
SGD minibatch size	10000
# of SGD iterations	60
Learning rate	1e-4
Entropy coefficient	0.0
Clip parameter	0.3
Value function clip parameter	10.0

### 613 **D.2** MPE

In this environment, agents must cooperate through physical actions to reach a set of landmarks. Agents observe the relative positions of other agents and landmarks, and are collectively rewarded based on the proximity of any agent to each landmark. In other words, the agents have to 'cover' all of the landmarks. Further, the agents occupy significant physical space and are penalized when colliding with each other. The agents need to infer the landmark to cover and move there while avoiding other agents.

The hyper-parameters of COST in MPE are shown in Table 3. In MPE, hyper-parameters such as rollout fragment length, training batch size and SGD minibatch size are adjusted according to horizon of the scenarios so that policy are updated after episodes are done. We use the same network as in GRF, but with 128 units for all MLP hidden layers. Other omitted hyper-parameters follow the

624 default configuration in RLlib PPO implementation.

<u>71 1</u>	
Name	Value
Discount rate	0.99
GAE parameter	1.0
KL coefficient	0.5
# of SGD iterations	10
Learning rate	1e-4
Entropy coefficient	0.0
Clip parameter	0.3
Value function clip parameter	10.0

Table 3: COST hyper-parameters used in MPE.