THE ABILITY OF LARGE LANGUAGE MODELS TO EVALUATE CONSTRAINT-SATISFACTION IN AGENT RE-SPONSES TO OPEN-ENDED REQUESTS

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ABSTRACT

Generative AI agents are often expected to respond to complex user requests that have No One Right Answer (NORA), e.g., design a vegetarian meal plan below 1800 calories. Such requests may entail a set of constraints that the agent should adhere to. To successfully develop agents for NORA scenarios, an accurate automatic evaluation framework is essential, and specifically - one capable of validating the satisfaction of constraints in the agent's response. Recently, large language models (LLMs) have been adopted as versatile evaluators for many NORA tasks, but their ability to evaluate constraint-satisfaction in generated text remains unclear. To study this, we develop and release a novel Arithmetic Constraint-Satisfaction (ACS) benchmarking dataset. The dataset consists of complex user requests with corresponding constraints, agent responses and human labels indicating each constraint's satisfaction level in the response. A unique property of this dataset is that validating many of its constraints requires reviewing the response as a whole (in contrast to many other benchmarks that require the validation of a single independent item). Moreover, it assesses LLMs in performing reasoning, in-context data extraction, arithmetic calculations, and counting. We then benchmark both open and proprietary LLMs on evaluating constraint-satisfaction, and show that most models still have a significant headroom for improvement, and that errors primarily stem from reasoning issues. In addition, most models exhibit a skewed constraintsatisfaction prediction pattern, with higher accuracy where the ground-truth label is *satisfied*. Lastly, few-shot prompting for our task proved to be rather challenging, since many of the studied models showed a degradation in performance when it was introduced.

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1 INTRODUCTION

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Generative AI agents are becoming increasingly popular, especially with the development of large language models (LLMs). As these models become more advanced and autonomous, the scope of AI agents increases, and they are now designed to include advanced capabilities and skills, such as 040 numerical reasoning, planning, and using external tools (Pan et al., 2023; Di Palo et al., 2023; Wang 041 et al., 2024; Qin et al., 2023). Powered by these capabilities, they are expected to handle complex 042 user requests that may require the agent to perform multiple steps, and adhere to constraints that may 043 be imposed by the request. Examples for such requests include planning a trip with a given budget, 044 creating a meal-plan with specific daily caloric-intake, or generating a fictional story with a specific number of acts and characters. To facilitate the development of AI agents capable of addressing such complex requests, evaluating the quality of the agent's response is essential. To illustrate this concept, 046 the top part of Figure 1 shows an example of a complex user request to an AI agent that uses external 047 tools, reasoning, and multi-step planning to provide an adequate response, which is finally evaluated 048 to understand how well the response addressed the user request.

Evaluating agent responses to complex user requests is a challenging task, especially for requests that have No One Right Answer (NORA). To alleviate this, we suggest to focus on a subset of NORA requests that correspond to a Well-defined, Objective, and Verifiable (WOV) evaluation criteria, e.g., "design a *3-day* meal-plan with *no meat* products". Evaluating whether the agent's response provides 3 days of a meal plan and does not include meat is a feasible, well-defined and objective task. This is

in contrast to other NORA requests that correspond to a *subjective* evaluation criterion, e.g., "write a *funny* song about cats" (evaluation of *funny* is highly subjective and may depend on time, location, and culture), or requests include *fuzzy* or *relative* evaluation criteria, e.g., "plan a *short* trip to Paris
with a *small* budget". It should be noted that some requests may only partially correspond to WOV
evaluation criteria, i.e., not all parts of the request can be objectively evaluated. Nonetheless, the ability to evaluate an agent's response with respect to only some parts of the request can be useful as well. Moreover, such requests are diverse in the sense that they span many domains and use-cases. Therefore, an evaluation framework for these cases can be very useful for developing AI agents.

062 To evaluate agent responses in the scenarios described above, we propose a *constraint-satisfaction* 063 framework/protocol. In this protocol, a set of constraints is extracted from the user request, followed 064 by an assessment of the constraint-satisfaction level in the agent's response for each constraint in the set. Thus, the evaluation criterion is the alignment between the agent's response and the constraints 065 that are imposed by the user request. This is illustrated in Figure 1 where a user asks for a trip 066 plan with many constraints entailed in the request. The constraints are enumerated and a set of 067 constraints is produced. Then, the agent's response is evaluated against each constraint iteratively and 068 independently. A final score can then be given. Note that the constraints are formulated in natural 069 language, and thus, the scope of evaluation is not limited by this protocol. In addition, such a protocol was previously studied and was found useful for detecting factual errors in LLM' responses using 071 attention patterns (Yuksekgonul et al., 2023) and for information-retrieval (Abdin et al., 2023). 072

Evaluating the constraint-satisfaction level in agent responses can be performed in multiple ways. One 073 option is to utilize human raters, but this approach is often not reproducible, and more importantly, it 074 is not scalable. Thus, an automatic evaluation framework is highly desired. Recently, many works 075 have utilized LLMs for various evaluation tasks, especially when the evaluation criterion is becoming 076 more complex and intricate, such as in NORA scenarios (Chang et al., 2024; Li et al., 2024; Zheng 077 et al., 2024; Liu et al., 2023a). For example, (Xu et al., 2023; Kasahara & Kawahara, 2023; Chan et al., 2023; Qin et al., 2023; Wang et al., 2023) studied LLMs as side-by-side evaluators, (Fu et al., 079 2023; Chen et al., 2023; Lin & Chen, 2023; Liu et al., 2023b; Zhong et al., 2022; Chiang & Lee, 2023) studied LLMs for evaluating a pre-defined set of attributes (e.g., accuracy, coherence, and 081 informativeness), and (Jiang et al., 2023; Min et al., 2023; Lu et al., 2023) studied more advanced evaluation protocols based on error analysis. However, they did not explicitly study the ability of LLMs in evaluating constraint-satisfaction in NORA scenarios. In order to enable this, a specific 083 benchmarking dataset is required. 084

There are many datasets that enable benchmarking LLMs on separate capabilities that are required
for evaluating constraint-satisfaction. For instance, (Cobbe et al., 2021; Patel et al., 2021; Roy &
Roth, 2016) test arithmetic reasoning, (Rajpurkar, 2016; Joshi et al., 2017) test question-answering,
(Hendrycks et al., 2020) tests multi-level knowledge in a diverse set of fields, and (Thakur et al.,
2021) test information-retrieval. While providing useful insights into diverse LLM capabilities, they
do not test LLMs in NORA scenarios. Thus, understanding the performance of state-of-the-art LLMs
in evaluating constraint-satisfaction is still somewhat limited.

092 To fill this gap, in this work we develop a dataset for benchmarking LLMs on the task of evaluating constraint-satisfaction in NORA scenarios. The dataset is semi-structured: each datapoint comprises a user request, a constraint, an agent response, and a binary label (annotated by human raters) for 094 whether the constraint is satisfied, all formulated in natural language. We chose to focus on numerical 095 constraints in order for the task to be well-defined, and since their evaluation require complex multi-096 step reasoning over the entire agent's response. With this configuration, the benchmark assesses the LLM's ability to perform multiple steps in-context to arrive at the final answer, where each step 098 may require a different capability: reasoning, data extraction, arithmetic calculations, and counting. We thus name the benchmark ACS for Arithmetic Constraint-Satisfaction. We use the dataset to 100 benchmark both proprietary and open popular LLMs. The contributions of our work are as follows.

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- 1. Formulation of a *constraint-satisfaction framework* that facilitates automatic evaluation of agent responses to complex user-requests in NORA scenarios.
- 2. Development and release of the ACS dataset for benchmarking auto-scorers of *constraint-satisfaction*. The dataset if available at *blinded for submission*.
- Benchmarking current state-of-the-art (SOTA) LLMs on the ACS dataset, including both proprietary models (Gemini 1.5, GPT-40), and open models (Llama-3, Mixtral, Mistral),

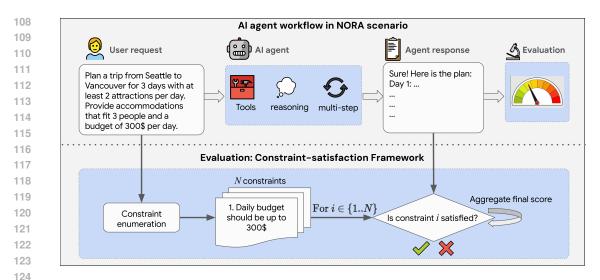


Figure 1: An illustration of a complex user request to an AI agent for planning a trip with constraints. 125 The agent should typically use reasoning, external tools and take multiple steps to provide an adequate response. Then, an evaluation process should be performed to score the quality of the response. At the bottom part, the constraint-satisfaction protocol is illustrated, where, first a set of constraints that should be satisfied in the agent's response is enumerated from the user request. Then, the evaluation process assesses the constraint-satisfaction level in the response iteratively, for each constraint in the set.

- revealing weaknesses in some models' capability to serve as auto-raters, as well as the challenges in effectively implementing few-shot prompting.
- 4. Follow-up error analysis showing that *reasoning* is the main cause of error, and not arithmetic calculations.

2 ARITHMETIC CONSTRAINT-SATISFACTION DATASET

141 This section describes the ACS benchmarking dataset, including its development, properties, scope 142 and limitations. The aim of the ACS dataset is to benchmark LLMs on the task of *evaluating* 143 constraint-satisfaction in NORA scenarios. More specifically, the dataset is focused on WOV constraints that require the LLM to perform reasoning, data extraction, arithmetic calculations, and 144 counting. The scenarios in the dataset are taken from three domains of high interest: meal-planning, 145 daily-schedule-planning, and workout-planning. At a high-level, each datapoint in the dataset is 146 structured as follows, where each item is formulated in natural language: 147

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- User request: a NORA request to an AI agent that contains at least one WOV constraint.
- **Constraint**: a single constraint that corresponds to the user request that should be verified in the agent's response.
- Agent response: a generated response that addresses the user request.
- Label: a human-annotated binary label for whether the constraint is satisfied or not.

Note that the constraints that should be verified in the response are given explicitly in the dataset, thus 156 the scoring LLM is not required to extract these from the user request. The reason for this is to provide 157 a common evaluation criterion to different LLMs (i.e., how well do different LLMs evaluate the 158 constraint-satisfaction level of a *specific* constraint). However, future work could study and compare LLMs' performance in evaluating the satisfaction of implicit constraints. In addition, note that each 159 datapoint corresponds to a single constraint, even though there may be multiple constraints to each 160 request. This structure facilitates the performance analysis of different LLMs on the constraint-level, 161 rather then request-level.

162 2.1 DATASET GENERATION PROCESS

The dataset was generated using an interleaved process of LLM prompting for generating text (user
request, constraints, and agent responses), and manual modifications and filtering of the generated text
(performed by humans). The latter was performed to refine the LLM output and fix inconsistencies.
We used Gemini-1.0-ultra (Gemini Team Google, 2023) to generate the text in all of the stages
describes next:

- 169 1. [Manual] Crafting guidelines for how to generate user requests that entail complex arithmetic 170 constraints. These can be thought of as seed prompts for generating the entire dataset. We 171 used four sets of guidelines, one for each domain: meal-planning, and daily-schedule-172 planning, and we further separated the workout-planning domain into cardio and strength, 173 and provided a different set of guidelines for each sub-domain. The guideline in each domain 174 included specific constraints that should be explicitly stated in the user request. For example, 175 in the meal-planning domain, the guideline included a caloric restriction value that should be requested, with a value taken from a reasonable pre-defined appropriate range. 176
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 2. [Gemini-1.0-ultra] Generating user-requests in the three domains according to the manually crafted guidelines in the previous step.
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 3. [Manual] Appending a final instruction to each user request that was generated in the previous step. The final instruction requested to explicitly include a breakdown of relevant numerical information, e.g., number of calories in meal-plan, working time in a daily-schedule, and exercise duration in cardio-workout-plan. This step was taken to verify that the generated responses to the user queries would explicitly address the constraints and would include numerical values that could be later evaluated by an auto-scoring system.
- 4. [Gemini-1.0-ultra] Generating constraints for each user request that were created in step 3.
 In this step, Gemini was given few-shot examples in order to generate only arithmetic and counting related constraints and to control the format of the constraints.
- 1885. [Manual] Correcting the format or phrasing of the generated constraints in the previous step or adding missing constraints.
- 6. [Gemini-1.0-ultra] Generating "agent responses" by querying Gemini with the user requests that were created in step 3. While using Gemini-1.0-ultra, i.e., an LLM, rather than a more advanced AI agent with planning capabilities may seem inappropriate at first, recall that the aim of the ACS dataset is to benchmark LLMs on evaluating constraint-satisfaction. Thus, the agent responses in the dataset are not required to be generated by a domain-dedicated AI agent.
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 7. [Manual] Filtering and modifications to the agent responses that were generated in the previous step, e.g., removing information from the response that may cause the constraint-satisfaction evaluation to be ambiguous, or revising the response to control whether the constraint is satisfied or not in order to diversify the data.
 - 8. [Manual] Labeling each pair of *constraint* and *agent response* as either *satisfied* or *unsatis-fied*.

202 With the process above, 25 unique user requests were generated for each domain: meal-planning, 203 daily-schedule-planning, workout-planning-cardio, and workout-planning-strength. Each request 204 corresponds to multiple constraints and to a single agent response. Next, we have separated the 205 constraints such that each datapoint in the dataset would correspond to a unique triplet of {user 206 request, constraint, agent response}. Following all the steps above (which included manual removal 207 and augmentation of the data) resulted in a dataset with 405 datapoints, and with a satisfied to 208 unsatisfied datapoints ratio of 241/164 ($\approx 59\%$ of samples are labeled as *satisfied*). An example for a 209 datapoint is presented in Figure 2. 210

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2.2 DATASET EVALUATION SCOPE

213 The agent responses in the ACS dataset may include inaccuracies and inconsistencies that are not 214 expected to be validated by the scoring LLM, and their verification is out of scope of this work. For 215 instance, a meal-plan may include a food item with a corresponding caloric value, but with no exact 216 measurements. On the other hand, it may include exact measurements, but the given caloric value

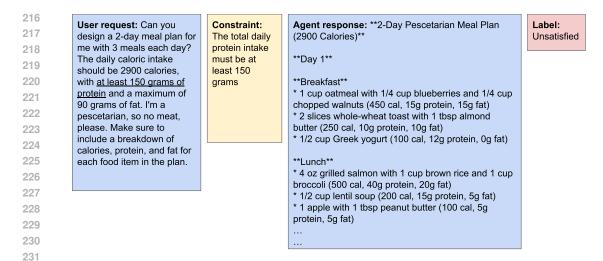


Figure 2: A datapoint example from the ACS dataset. The full agent response was trimmed for brevity. In this case, the constraint is unsatisfied since Day 1 corresponds to a total protein intake that is less then 150 grams.

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236 may be incorrect. Another example in the workout-planning domain is a cardio routine with a given 237 value for estimated burned calories, which may be very inaccurate. Evaluating this level of accuracy 238 in the agent response is not the aim of the ACS benchmark. Rather, the numerical values that are 239 given in the response, which can not be further broken down into smaller components (based on the 240 information that is given in the response), are assumed to be correct. However, any global numerical 241 values, such as a response that states "Here is a meal plan with at least 150 grams of protein each 242 day.." are not assumed to be automatically correct, and should be verified by the scoring LLM. Thus, 243 most of the datapoints in the ACS dataset can be evaluated using the following general process:

- 1. Extract information from the agent's response that is relevant to the current constraint, either numerical values (such as caloric values for each food item in a given day) or other textual entities (such as a list of exercises that comprise a single routine).
 - 2. Perform arithmetic operations (such as summation, multiplication, or subtraction), or counting.
 - 3. Evaluate the result with respect to the constraint.
- 4. Potentially repeat the steps above with a different section in the agent's response (for instance, evaluating the caloric intake of the next day in the meal plan).

254 2.3 REQUIRED NUMERICAL CAPABILITIES

The specific numerical capabilities that are required to evaluate each datapoint in the dataset are: 256 counting, summation, multiplication, and date-time arithmetic. The distribution of the required 257 capabilities in each datapoint in the ACS dataset is presented in Figure 3. Date-time arithmetic mainly 258 refers to the ability to understand how much time is assigned to different sections in a given schedule. 259 Concretely, this means calculating the duration between two specific times within a given schedule, 260 where the times are mostly expressed in "HH:MM" format. In some datapoints, the total time should 261 be accumulated based on multiple sections in the schedule. All the datapoints that require performing 262 *multiplication* also require accumulating the results over multiple sections in the response. Thus, this 263 capability is explicitly stated as "Multiplication and summation" in Figure 3. 264

265 2.4 DATASET PROPERTIES

To make this benchmark realistic and challenging, it was designed to have several key properties.
First, the relevant information that the LLM should use in its evaluation is not presented sequentially,
but is rather scattered in the context window. The maximal number of tokens from the *agent response* field in the ACS dataset is 1963, when calculated with Gemini 1.5 Pro tokenizer via the Gemini API

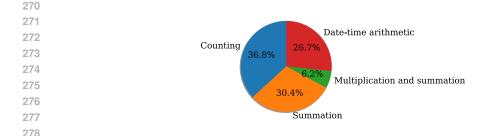


Figure 3: The distribution of the required numerical capabilities in each datapoint in the ACS dataset.
 Datapoints that require multiplication always require summation as well, and thus "Multiplication and summation" is stated explicitly.

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284 (Google, 2024). This is far smaller than the maximal context size of current state-of-the-art LLMs, many of which support 8k to 2M input tokens (including those that will be studied in Section 3). 285 Another property of the benchmark is that it may contain "distractors" for specific constraints, i.e., 286 similar pieces of information that should be ignored since they are not relevant. Moreover, "positive 287 distractors" may be present, i.e., keywords that represent the constraint as being satisfied (e.g., 288 "Here is a 2000 calorie meal plan" when the constraint is "the meal-plan should be up to 2000 289 calories a day" and the label is "unsatisfied"). Another challenging property of our benchmark 290 is that in order to fully verify some constraints, the LLM should perform an iterative evaluation 291 process. This refers to performing multiple independent instances of the same evaluation process 292 using different pieces of information from the context. An example for this is when evaluating 293 the daily caloric intake of a multi-day meal plan, the calories for each day should be calculated independently. Thus, unlike benchmarks that rely on simple keyword matching or isolated text 295 snippets (such as in Question-Answering, NLI, and sentiment analysis), the ACS dataset demands a comprehensive/holistic evaluation of the agent response. Lastly, the benchmark does not require any 296 domain-specific or specialized knowledge. The complexity of evaluating each datapoint in the dataset 297 can be considered to be at an elementary-school level. This is important in order to fairly evaluate 298 fundamental LLM capabilities that are desirable across domains, without giving an advantage to 299 domain-specific LLMs. We believe that these properties make the ACS benchmark useful in assessing 300 the capabilities that are crucial to successfully incorporate LLMs into a wide range of applications. 301

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3 EXPERIMENTS

The ACS dataset was used to benchmark several LLMs on the task of *evaluating arithmetic constraintsatisfaction* in NORA scenarios. Recall that the ACS dataset contains a ground-truth binary label for whether the constraint is satisfied in the agent's response. Thus, in this study, the LLMs were instructed to evaluate the agent's response with respect to the constraint, and were instructed to provide a final *yes/no* decision for whether the constraint is satisfied.

3.1 Setup

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The studied LLMs include Gemini 1.5 Pro (version 0514), Gemini 1.5 Flash (version 0514), Gemini 313 1.0 pro (stable version 002) (Gemini Team Google, 2023), GPT-40 (version 2024-05-13) (OpenAI, 314 2024), Llama-3-70b-chat, Llama-3-8b-chat (AI@Meta, 2024), Mixtral-8x7b-instruct-v0.1 (Jiang 315 et al., 2024), and Mistral-7b-instruct-v0.2 (Mistral AI, 2024). Gemini models were accessed through 316 the Gemini API, OpenAI's GPT-40 was accessed through the OpenAI API, and the open models 317 were deployed to a Vertex AI endpoint. Default text-generation parameters were used for each 318 LLM. Each LLM was then used to evaluate the entire ACS dataset. The LLMs were instructed 319 to use a chain-of-thought (CoT) reasoning process (Wei et al., 2022) and perform any necessary 320 calculations explicitly, rather than relying on final values stated in the given plan. Furthermore, two 321 prompting configurations were studied: zero-shot and few-shot with two evaluation examples taken from a trip-plan scenario (which is out-of-domain). The first example contains a 3-day itinerary 322 including prices for each element and a daily-budget constraint. The second contains a driving 323 plan of multiple segments with driving time and average speed for each segment and a constraint of maximal driving distance per segment. The evaluation process in the example shows the model how to extract the relevant information (item prices, or driving time and average speed), perform calculations (summation and multiplication), and compare the result against the constraint to decide whether it is satisfied. The evaluation prompt is presented in the appendix in section A.1, and the few-shot examples are presented in section A.2. Then, each LLM's final decision, i.e., prediction, regarding the constraint-satisfaction in the agent's response was extracted from the full LLM evaluation response using regex, and was used to analyze the performance of the LLM.

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3.2 RESULTS - ACCURACY METRICS

Following the predictions that were made by each LLM, the following accuracy metrics were 334 calculated: overall-accuracy, which is the constraint-level prediction accuracy, and F_1 score of 335 predicting each of the labels: "satisfied" and "unsatisfied". By this separation, we can study whether 336 an LLM has a bias towards predicting one label over the other. The accuracy metrics are presented 337 in Table 1 for all studied LLMs. As can be seen, GPT-40 achieves the best accuracy scores in both 338 zero-shot and 2-shot configurations. The high accuracy score of 97.04% shows that GPT-40 can 339 serve as a rather reliable auto-scorer for the kind of tasks presented in the ACS benchmark. The next 340 best performing model is Llama-3-70b-chat at a zero-shot configuration, but it performs significantly 341 worse, at an accuracy rate of 90.62%. The performance of the remaining models is even worse, 342 indicating their lack of competence in performing as reliable auto-scorers in the constraint-satisfaction 343 task studied here.

344 Another insight derived from Table 1 is the difference in F_1 scores between constraints with *positive* 345 (satisfied) and negative (unsatisfied) labels. All models but GPT-40 seem to predict positive datapoints 346 much more accurately than negative datapoints. This phenomenon may be attributed to the fact 347 that the ACS dataset contains "positive" distractors (see subsection 2.4), i.e., keywords in the agent 348 response that imply that the constraint is satisfied (e.g., "Here is a 2000 calorie meal plan" when the 349 constraint is "the meal-plan should be up to 2000 calories a day" and the label is "unsatisfied"). If these "positive" distractors are indeed the causes for the imbalance between the labeling classes, it 350 may show a weak-point of the models in performing similar tasks objectively. However, this is just 351 a hypothesis at this stage, and further analysis is required to validate it, and is suggested for future 352 work. 353

354 Finally, when comparing the performance of the models in the zero-shot versus 2-shot configuration as 355 seen in Table 1, an interesting behavior is observed. Some models present an improved performance with respect to the F_1 score in the 2-shot configuration, such as Gemini 1.5 Flash (increase of 4.44) 356 percentage points), Gemini 1.0 Pro (increase of 3.46 percentage points), and perhaps Gemini 1.5 357 Pro although the difference is not major (increase of 0.98 percentage points). This shows that these 358 models can benefit from few-shot prompting strategies since they can guide the models to evaluate 359 constraint-satisfaction more accurately. In contrast, the accuracy of the open models decrease in the 360 2-shot configuration, compared to zero-shot. This is most noticeable in Mixtral-8x7b-instruct-v0.1 361 (decrease of 7.16 percentage points) and Llama-3-8b-chat (decrease of 4.44 percentage points), while 362 the remaining models correspond to a decrease of less than 2 percentage points. The cause for this decrease in performance is not clear from this analysis alone, but a potential cause may be the 364 out-of-domain examples. Lastly, GPT-40 achieves a high level of accuracy in both zero-shot and 365 2-shot configurations, which is a desirable behavior that suggests increased generalization capabilities, 366 compared to the remaining models.

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3.3 RESULTS - ERROR ANALYSIS

To further analyze some of the LLMs' performance and gain insights into their capabilities, an error analysis of selected models was performed in the 2-shot configuration. The inspected models are Gemini 1.5 pro, Gemini 1.5 flash, GPT-40, and Llama-3-70b-chat. Since the evaluation prompt template invokes CoT reasoning (see Appendix A.1), it enables examining the full evaluation process of each LLM and identifying the cause of error in cases of incorrect final prediction. The errors were manually analyzed and categorized into the following buckets:

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- 1. **Reasoning**: which was further divided into these subcategories
 - (a) Extraction: failing to extract all relevant items, or extracting additional irrelevant items

379	Table 1: Overall-accuracy, satisfied F_1 , and unsatisfied F_1 scores achieved by each LLM in evaluating
380	the constraint-satisfaction level of the ACS dataset in zero-shot and 2-shot configurations. The
381	best-performing results are highlighted in bold.

382	Model	zero-shot			2-shot		
	Model	A	Satisfied	Unsatisfied	A	Satisfied	Unsatisfied
383		Accuracy	F_1	F_1	Accuracy	F_1	F_1
384	Gemini 1.5 Pro	88.40%	90.43%	85.27%	89.38%	91.35%	86.26%
385	Gemini 1.5 Flash	84.20%	86.44%	81.07%	88.64%	90.53%	85.8%
386	Gemini 1.0 Pro	75.80%	79.58%	70.3%	79.26%	82.5%	74.55%
387	GPT-40	97.04%	97.54%	96.27%	97.04%	97.55%	96.25%
388	Llama-3-70b-chat	90.62%	91.95%	88.76%	88.64%	90.61%	85.62%
	Llama-3-8b-chat	80.49%	82.41%	78.12%	76.05%	80.00%	70.15%
389	Mixtral-8x7b-instruct-v0.1	72.84%	77.18%	66.46%	65.68%	71.22%	57.49%
390	Mistral-7b-instruct-v0.2	68.15%	71.14%	64.46%	67.90%	73.68%	58.86%

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(b) Counting: extracting a correct list of items to count but the final value is wrong

(c) Schedule understanding: incorrect deductions from a typical schedule structure

(d) Other: intermediate or final reasoning steps with logical errors

2. **Calculation**: errors in summation, multiplication, or date-time related errors (mainly time calculation "subtraction" errors)

Table 2 shows the number of times each error category occurred for incorrectly predicted data points. 399 The percentage of each error category relative to the total number of errors is shown in brackets. 400 Note that for all LLMs, most errors are caused by erroneous reasoning steps. This highlights the fact 401 that incorporating tool-use for arithmetic calculations is not expected to be the most important step 402 for improving the performance of these models in similar tasks. In addition, GPT-40 did not make 403 calculation errors, but both Gemini models and Llama-3-70b-chat made such errors with relatively 404 similar proportions. Moreover, it seems that correctly extracting in-context relevant information is 405 more challenging for Gemini 1.5 flash, compared to the other LLMs. For Llama-3-70b-chat, counting 406 seems to be more challenging compared to the other models. Finally, recall that a model might make 407 any error and still predict the final label correctly. Thus, this analysis has limitations and should not 408 be interpreted as showing that any LLM was immune to making specific errors.

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Table 2: Error analysis counts of some of the studied LLMs. Absolute counts are shown and their portion from the total number of errors is in brackets. Most errors are caused by incorrect reasoning steps.

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413	- Madal	Total	Reasoning				Calculation
414	Model	errors	E-tur etter	Constinue	Schedule	Other	Calculation
415			Extraction	Counting	understanding	Other	
416	Gemini 1.5 Pro	40	7 (17.5%)	1 (2.5%)	2 (5.0%)	20 (50.0%)	10 (25%)
417	Gemini 1.5 Flash	48	16 (33.3%)	0	3 (6.3%)	18 (37.5%)	11 (22.9%)
418	GPT-40	14	5 (35.7%)	1 (7.1%)	1 (7.1%)	7 (50.0%)	0
419	Llama-3-70b-chat	45	8 (17.8%)	7 (15.6%)	0	19 (42.2%)	11 (24.5%)

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4 LIMITATIONS

423 The work presented here offers useful insights in to the ability of LLM to serve as auto-scorers 424 for the task of constraint-satisfaction in NORA scenarios, but it has some limitations. First, the 425 ACS dataset has a limited scope and size. It spans three main planning domains, which are useful, 426 but represent only a small fraction of real-life use-cases. The set of capabilities that is required to 427 correctly evaluate the dataset is also limited, as described in section 2, and thus it does not reflect 428 the full set of capabilities that are required from auto-scorers to evaluate constraint-satisfaction. In 429 addition, the size of the data is limited to 405 datapoints, where each corresponds to less than 2000 tokens. With the increased interest in very large context sizes (Lin et al., 2024; Song et al., 2024; 430 Ding et al., 2024; Gemini Team Google, 2023), it may be very useful to study the ability of LLMs 431 to evaluate constraint-satisfaction with very large context sizes. Currently, the ACS dataset does

not include datapoints that are composed of very large tokens (> 10k), but this is suggested for
future work. Finally, while GPT-40 achieves very high accuracy scores when benchmarked against
the ACS dataset, the remaining LLMs, especially the open models, have a significant headroom for
improvement. Thus, the ACS dataset can be useful for the development of more advanced LLM-based
auto-scorers.

437 Next, the experimental study in section 3 also has some limitations. Recall that the study in section 3.2 438 measures the accuracy of the LLMs in predicting the correct constraint-satisfaction label - either 439 satisfied or unsatisfied. With the reduction of the evaluation task to binary prediction, it is possible 440 for the LLM to make some errors, whether in the data-extraction, reasoning, calculation, or counting 441 step, but nonetheless predict the correct class, assuming such potential errors are insignificant. As an 442 example, consider the constraint: "the meal-plan should be at least 1700 calories" that corresponds to a meal-plan with 1800 calories. An LLM that during the evaluation calculates either 1800 (correct) 443 or 2000 (incorrect) calories, could predict the same correct label: *satisfied*. This paradigm facilitates 444 the analysis of the LLM accuracy (no additional steps are required to extract intermediate numerical 445 values that the LLM is expected to produce) but it may obscure the LLM actual performance to some 446 extent. For future work, additional experiments could be performed that test the LLMs accuracy 447 in more detail, for instance, by examining the accuracy of the numerical values that the LLMs 448 produce during their evaluation. In the example above, an additional step that extracts the meal-plan 449 calories that were explicitly calculated by the LLM and verifies this value against 1800 could be very 450 insightful. 451

Finally, the study in section 3.3 presents an error analysis with specific error categories, which are just a single way to cluster the error "buckets". Furthermore, the LLM could potentially make multiple errors corresponding to multiple categories, but we chose a single category that best describes the most significant error in the LLM output. Thus, this is a subjective and not an exhaustive analysis. We leave a more detailed analysis for future work.

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5 CONCLUSIONS

459 This work presented a novel dataset for benchmarking auto-scorers using a constraint-satisfaction 460 framework. The experiment results showed that the task of evaluating agent responses with respect to 461 constraints that require performing in-context data extraction, reasoning, and elementary-school level 462 arithmetic calculations and counting is still challenging for many state-of-the-art LLMs. GPT-40 was 463 the only model that achieved satisfactory accuracy scores, among all the tested models. In addition, 464 "positive distractors" in the agent's response, i.e., keywords that represent the constraint as being 465 satisfied even though this may not be the case, may pose a challenge to LLMs that aim to score the 466 response. Regarding the prompting strategy, not all models may benefit from few-shot prompting 467 for the task studied by the ACS dataset. Moreover, it may be detrimental to their performance, and thus, this prompting technique should be handled with care when designing an LLM-based 468 auto-scorer. Finally, the primary source of errors is identified to be due to *reasoning* rather than 469 arithmetic calculation issues, suggesting that incorporating external tools for calculation purposes 470 may not lead to significant performance improvements. 471

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594 A APPENDIX

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A.1 EVALUATION PROMPTS

The evaluation prompt that was used for all the LLMs in the experiments is shown below in Jinja syntax Pallets (2024):

600 You are required to evaluate whether an agent's response satisfies 601 a given constraint. 602 Make sure to follow these guidelines: 603 1. You should be skeptical of the agent's response. Prefer to do 604 your own calculations rather than relying on the values given 605 in the response. 2. Your final decision should be "yes" or "no". 606 3. Provide the following in your response: 607 RATIONALE: Explain why you decided whether or not the agent's 608 response satisfies the constraint. Include here any 609 calculations that are required to perform the evaluation. End 610 this with "# [END_RATIONALE]". 611 FINAL_ANSWER: Your final answer whether the constraint is fully 612 satisfied in the agent's response - "yes" or "no". 613 614 {% if examples %} 615 Here are examples that can help you to understand how to evaluate 616 different agent responses with corresponding constraints: 617 {% for ex in examples %} The agent's response is: 618 [BEGIN AGENT RESPONSE] 619 {{ ex.agent_response }} 620 [END AGENT RESPONSE] 621 622 The constraint is: {{ ex.constraint_value }} 623 624 [BEGIN EVALUATION PROCESS] 625 RATIONALE: {{ ex.rationale }} # [END_RATIONALE] 626 FINAL_ANSWER: {{ ex.final_answer }} [END EVALUATION PROCESS] 627 628 {% endfor %} 629 [END EXAMPLES] 630 {%endif%} 631 632 Begin! Think step-by-step before providing your response! 633 The agent's response is: 634 [BEGIN AGENT RESPONSE] 635 {{ agent_response }} 636 [END AGENT RESPONSE] 637 638 The constraint is: {{ constraint_value }} 639 [BEGIN EVALUATION PROCESS] 640 641 642 A.2 EXAMPLE GIVEN TO THE LLMS FOR HOW TO EVALUATE AN AGENT'S RESPONSE 643

The trip-planning examples that were given to the LLMs in the 2-shot prompting configuration for how to evaluate an agent's response are shown below:

Here are examples that can help you to understand how to evaluate different agent responses with corresponding constraints:

```
648
649
      The agent's response is:
650
      [BEGIN AGENT RESPONSE]
651
      **Day 1**
652
      * **Breakfast:** Portage Bay Cafe ($20)
653
      * ** Attraction 1:** Space Needle ($35)
654
      * ** Attraction 2:** Museum of Pop Culture (MoPOP) ($30)
655
      * **Lunch:** Pike Place Chowder ($20)
656
      * ** Attraction 3:** Pike Place Market ($0)
657
      * ** Attraction 4:** Seattle Waterfront ($0)
658
      * **Dinner:** The Pink Door ($45)
659
660
      **Day 2**
661
662
      * **Breakfast:** Biscuit Bitch ($20)
      * ** Attraction 1:** Ferry to Bainbridge Island ($15)
663
      * ** Attraction 2:** Bloedel Reserve ($20)
664
      * **Lunch:** Doc's Marina Grill ($30)
665
      * ** Attraction 3:** Seattle Art Museum ($30)
666
      * ** Attraction 4:** Olympic Sculpture Park ($0)
667
      * ** Dinner:** Lola ($45)
668
669
      **Day 3**
670
671
      * **Breakfast:** Vancouver Breakfast Co. ($25)
672
      * ** Attraction 1:** Capilano Suspension Bridge ($55)
673
      * ** Attraction 2:** Stanley Park ($0)
      * **Lunch:** Japadog ($20)
674
      * ** Attraction 3:** Vancouver Aquarium ($40)
675
      * ** Attraction 4:** Gastown ($0)
676
      * **Dinner:** L'Abattoir ($50)
677
678
      [END AGENT RESPONSE]
679
680
      The constraint is: Each day in the itinerary must correspond to a
681
          budget of 150$.
682
683
      [BEGIN EVALUATION PROCESS]
684
      RATIONALE: We need to calculate the total cost for each day in the
685
           itinerary, which has 3 days. For day 1, the relevant items
          that we need to sum are: [20, 35, 30, 20, 0, 0, 45]. Next, we
686
          will calculate their sum: 20 + 35 + 30 + 20 + 0 + 0 + 45 =
687
          150. Thus, day 1 corresponds to a 150$ budget and we can
688
          continue and check the next day. For day 2, the relevant items
689
           that we need to sum are: [20, 15, 20, 30, 30, 0, 45]. Next,
690
          we will calculate their sum: 20 + 15 + 20 + 30 + 30 + 0 + 45 =
691
           160. Thus, day 2 does not correspond to a 150$ budget, so we
692
          do not need to check the next day. We can conclude that the
693
          agent's response does not satisfy the constraint. # [
694
         END_RATIONALE]
695
      FINAL_ANSWER: no
      [END EVALUATION PROCESS]
696
697
698
      The agent's response is:
699
      [BEGIN AGENT RESPONSE]
700
      ** Driving Plan from San Francisco to Las Vegas**
701
```

702 **Segment 1: San Francisco to Fresno** 703 704 ∗ Take I-5 S 705 * Driving time: 3 hours 706 * Average speed: 62 mph 707 **Stop 1: Harris Ranch** 708 709 * Known for its restaurant and hotel 710 * Break for lunch or an overnight stay 711 712 **Segment 2: Fresno to Barstow ** 713 714 * Continue on I-5 S to CA-99 S 715 * Then take CA-58 E 716 * Driving time: 3 hours 15 minutes * Average speed: 60 mph 717 718 **Stop 2: Mojave National Preserve ** 719 720 * Explore the scenic desert landscape 721 * Consider a short hike or scenic drive 799 723 **Segment 3: Barstow to Las Vegas** 724 725 * Take I-15 N 726 * Driving time: 2 hours 30 minutes 727 * Average speed: 60 mph 728 **Stop 3: Primm Valley Resorts ** 729 730 * Located at the California-Nevada border 731 * Opportunity for a break or to enjoy entertainment options 732 733 ** Arrival in Las Vegas ** 734 735 736 [END AGENT RESPONSE] 737 738 The constraint is: The driving distance in each driving segment 739 must be no more than 200 miles. 740 [BEGIN EVALUATION PROCESS] 741 RATIONALE: We need to calculate the driving distance for each 742 segment, and there are 3 segments. For segment 1, the driving 743 time is 3 hours, and average driving speed is 62 mph. Thus, 744 the driving distance is 3 * 62 = 186 miles, which is less than 745 200 miles. Thus, we can continue checking the next segment. 746 For segment 2, the driving time is 3 hours and 15 minutes 747 (3.25 in decimal representation), and average driving speed is 748 60 mph. Thus, the driving distance is $3.25 \times 60 = 195$ miles, 749 which is less than 200 miles. Thus, we can continue checking the next segment. For segment 3, the driving time is 2 hours 750 and 30 minutes (2.5 in decimal representation), and average 751 driving speed is 60 mph. Thus, the driving distance is 2.5 * 60 = 150 miles, which is less than 200 miles. Thus, the agent' 752 753 s response does satisfy the constraint. # [END_RATIONALE] 754 FINAL_ANSWER: yes 755 [END EVALUATION PROCESS]

[END EXAMPLES]		