Efficient and Direct Duplex Modeling for Speech-to-Speech Language Model

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Abstract

Spoken dialogue is the most intuitive form of human-computer 2 interaction, yet current speech language models often remain 3 constrained to turn-based exchanges, lacking real-time adapt-4 ability such as user barge-in. We propose a novel duplex speech 5 to speech (S2S) architecture featuring continuous user inputs 6 and codec agent outputs with channel fusion that directly models simultaneous user and agent streams. Using a pretrained 8 streaming encoder for user input enables the first duplex S2S 9 model without requiring speech pretrain. Separate architectures 10 11 for agent and user modeling facilitate codec fine-tuning for better agent voices and halve the bitrate (0.6 kbps) compared to 12 previous works. Experimental results show that the proposed 13 model outperforms previous duplex models in reasoning, turn-14 taking, and barge-in abilities. The model requires significantly 15 less speech data, as speech pretrain is skipped, which markedly 16 simplifies the process of building a duplex S2S model from any 17 LLMs. Finally, it is the first openly available duplex S2S model 18 with training and inference code to foster reproducibility. 19 Index Terms: duplex, speech-to-speech, conversation, barge-in 20

1. Introduction

Large language models (LLMs) [1–4] have made significant strides in natural language processing, sparking interest in multimodal models that extend beyond text. Speech, as a natural interface for human-computer interaction, is a key part of this trend. Recent studies suggest adapting LLMs to process speech prompts for various speech-to-text (STT) tasks [2, 4–9].

While traditional systems often respond with text, speech 28 outputs are more intuitive for human-computer interaction. 29 30 Cascaded spoken dialogue systems, like AudioGPT [10], use text as an intermediate representation, involving sequential 31 modules such as ASR, LLM, and TTS. However, these systems 32 face drawbacks like high latency, lack of interactive behaviors, 33 and loss of paralinguistics. To address these issues, research has 34 shifted towards end-to-end speech-to-speech (S2S) modeling. 35

Previous S2S models focus on half-duplex, turn-based in-36 teractions. For instance, SpeechGPT [11], initialized from 37 LLaMA, undergoes sequential fine-tuning on speech-only data 38 and multimodal instruction sets to handle spoken question-39 answer (QA) tasks. Similarly, USDM [12] extends Mistral's 40 pretraining with interleaved speech-text data for enhanced mul-41 timodal understanding. GLM-4-voice [13] efficiently tokenizes 42 speech using one codebook and large-scale speech-text pretrain-43 ing for downstream tasks like ASR, TTS, and SQA. 44

45 Several pioneering or concurrent full-duplex S2S models
46 have been recently proposed [14–17]. However, these sys47 tems face increased complexity in model, data, and compu48 tation, which hinders their widespread research and adoption.

The introduction of additional submodules for turn-taking be-49 tween user and agent increases system complexity and reduces 50 the end-to-end nature of the models. Moreover, the extensive 51 speech-text pretraining required on top of the LLM backbone is 52 resource-intensive and limits scalability to any LLMs. Finally, 53 using codecs to model user and agent interactions simultane-54 ously necessitates a delicate balance between speech perception 55 and generation, presenting another significant challenge. 56

To tackle the above problems, we propose a novel duplex 57 S2S system with the following contributions: 1) A novel duplex 58 S2S architecture featuring continuous user inputs and codec 59 agent outputs with channel fusion that directly models simulta-60 neous text and speech of both the user and agent. 2) We demon-61 strate several key advantages over existing duplex models: The 62 use of a pretrained encoder as input enables the first duplex S2S 63 model without speech pretraining requirement; As the agent 64 and user are modeled by the codec and the pretrained encoder 65 separately, this facilitates codec fine-tuning toward better agent 66 voices. 3) We propose a set of systematic metrics to evaluate 67 conversational behaviors such as turn-taking and barge-in. Fi-68 nally, it is the first open duplex S2S model with both training 69 and inference code pubicly available to foster reproducibility. 70

2. Related Work

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Interest in full-duplex S2S models has grown in the past year. 72 Key challenges here include handling simultaneous user and 73 agent streams and enabling turn-taking. Systems like [16,18,19] 74 model single-channel interactions but use external signals, such 75 as stopping commands [19] or submodules [16], to decide when 76 to respond. Models like SyncLLM [20] and OmniFlatten [17] 77 achieve full-duplex conversation by employing time chunking 78 methods, embedding time information into LLMs for synchro-79 nization. This interleaving processing allows the model to han-80 dle user inputs like barge-in with low latency. 81

Our duplex S2S model is trained without speech-text pretraining, unlike [14]. In multi-turn conversation, we align text and speech at the turn level, which simplifies data preparation compared to word-level alignment. Compared to [15, 18, 19], our model predicts text and speech simultaneously without requiring an explicit TTS component. Our speech codec model uses parallel codebooks (see details in Sec. 3.2) and enables speech generation with minimal latency. Our design further enables codec fine-tuning for improved agent voices while halving the required bitrate of previous works (0.6 kbps).

3. Model Architecture

To achieve duplex behavior, our S2S model takes two input streams simultaneously: user speech stream, and agent speech and text stream. As shown in Fig. 1, the user speech is first

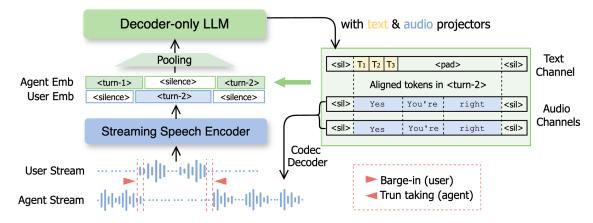


Figure 1: The proposed duplex S2S model without requiring speech-text pretraining. Our model includes a streaming speech encoder, a personalized codec, and an LLM. The model is trained to predict both text and audio channels in parallel with turn-level alignments.

encoded to generate continuous embeddings by the speech en-96 coder using an 80-ms frame rate. We use a 100M stream-97 ing speech encoder from a CTC model [21]. We initialize the 98 backbone LLM using the TinyLlama-1.1B-chat model [22]. A 99 modality adapter is used between the speech encoder and the 100 text LLM. To obtain the agent embeddings in training, we use 101 a codec model [23] to generate 12.5 Hz speech codes for the 102 103 agent speech. LLM vocabulary is extended to include extra tokens from speech codec with zero initialization. The two in-104 puts are time-aligned and summed as the input to the text LLM 105 (similar to [24]). Both our speech encoder and text LLM are 106 107 causal and thus streaming. In training, we fine-tune both the speech encoder and the backbone LLM. Text and speech loss 108 are weighted differently in training (see Sec. 5.1). Our model is 109 trained by multi-channel next token prediction similar to [1]. 110

111 3.1. Simultaneous Agent Text and Speech Prediction

As shown in Fig. 1, we encode speech using 4 codebooks at 112 a rate of 12.5 frames per second [23], and text targets are to-113 kenized into a separate channel. We align the text and speech 114 tokens at the turn level based on their start time. We prepend 115 separate <BOS> tokens for text and speech at the beginning of 116 the turn and append <EOS> at the end of the turn. The gap be-117 tween text and speech tokens are padded by text pad ID. We also 118 tried word-level alignment between text and speech as in [14] 119 and did not find improvement. Empirically, we find that the 120 model tends to learn agent text first. Therefore, we introduce a 121 small delay (i.e., one token) to the speech channels for improved 122 speech quality without introducing significant latency. 123

124 **3.2.** Personalization-friendly Speech Tokenization

We employ a partially causal neural audio codec to transform 125 raw speech signals into streaming tokenized representations. 126 Given an audio signal a, the codec generates a two-dimensional 127 acoustic matrix, $\mathbf{C}_{T \times N} = CodecModel(\mathbf{a})$, where T denotes 128 the downsampled sequence length, and N represents the num-129 ber of codebooks per timestep. Each element in $C_{T \times N}$ is an 130 *m*-bit discrete code. We adopt the state-of-the-art NanoCodec 131 [23], which achieves reasonable-quality audio compression at 132 0.6 kbps with a frame rate of 12.5 frames per second, employ-133 ing N = 4 independent codebooks. The codec leverages Finite 134 Scalar Quantization (FSQ) [25], ensuring independence among 135 codebooks. This independence removes the need for additional 136 models or delay mechanisms, allowing all N codebooks to be 137

Table 1: Synthetic training data with multi-turn and barge-in.

Task	Dataset	#Hours	Speech	Multi-turn	Barge-in
	ASR-QA	20k	Mix	Augment	×
Spoken	MS MARCO	0.2k	TTS	Augment	×
QA	Alpaca	0.2k	TTS	Augment	×
	Internal SFT	3k	TTS	Real	\checkmark
Conv-	UltraChat	3k	TTS	Augment	\checkmark
ersation	Topic	0.3k	TTS Augment		\checkmark
"Acting as Tony" "Hey I am going"					
"Tony Stark Iron Man" Agent				"As Ton	: y Stark"

Figure 2: Duplex training data format. Our duplex data consists of separate user and agent streams including turn taking and barge-in behavior. Here, the user barges in at the second turn.

predicted in parallel at each timestep, thereby enabling fully parallel modeling with low latency.

Our duplex design allows us to personalize the pretrained codec for agent voices to further enhance audio quality. This is enabled by modeling the agent and user separately with the speech codec and a pretrained causal speech encoder. In the experimental section, we will evaluate the benefits of speech and reasoning quality resulting from codec personalization.

4. Duplex Data for Training

Table 1 summarizes our training data which can be categorized147into spoken QA and multi-turn conversations.148

4.1. Single-turn synthetic and real spoken QA

Our most basic training data structure consists of a single-turn 150 spoken QA between the user and agent. We use a multi-speaker 151 TTS model [26] to synthesize the context, questions and an-152 swers from MS MARCO [27] and Alpaca [28]. To mitigate 153 overfitting to synthetic data, we follow [29] to create additional 154 synthetic QA pairs using the Mixtral-8x22B LLM from English 155 ASR-labeled data (8k public ¹ and 12k in-house). This data 156 is then synthesized using the same TTS, denoted as ASR-QA. 157

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¹A subset from the NeMo ASR set in [21].

The resultant user speech contains both TTS and real data. An
 evaluation set used in Sec. 5.2 is created from the public data

portion. We use a fixed speaker to generate agent speech andrandomly select speakers for user speech.

We create duplex training data from the aforementioned 162 user-agent QA pairs. First, we split a pair of utterances into 163 164 two streams, corresponding to the user and agent portions separately, and then insert silence into the agent stream when the 165 user speaks, and vice versa. This gives us two streams of speech 166 (shown as the first turn in Fig.2). This duplex structure enables 167 the model to listen and speak simultaneously at any time. To 168 prevent the agent from barging in unexpectedly, we insert a 169 0.64s silence between user and agent before the agent speaks. 170

171 4.2. Augment with Multi-turn and Barge-in

In order for the model to learn the ability for multi-turn con-172 versation, we also create duplex data that includes two or more 173 turns of conversation between the user and agent (e.g., Fig. 2). 174 First, we synthesize 3k hours of duplex data from a text-based 175 176 multi-turn Internal SFT dataset to form multi-turn spoken QA. To ensure a more conversational flow, we limit each turn of the 177 text SFT data, which is typically very long, to under 25 seconds. 178 Second, we augment the single-turn data from Sec. 4.1 by ran-179 domly concatenating two QA pairs from the same dataset. The 180 multi-turn data topics focus on role-playing, daily topics, scien-181 tific topics, etc. Moreover, when creating multi-turn data, we 182 allow the user to barge in by cutting off the agent speech. After 183 the cutoff, we keep a small duration (0.64 s) of agent speech to 184 185 account for barge-in latency, and pad the rest of the agent turn with silence. As we show in later results, this straightforward 186 approach enables the model to learn barge-in behavior. 187

188 4.3. Conversational data

To enhance the model's conversation ability on daily topics, 189 we create Topic and UltraChat datasets (totaling 3.3k hours as 190 shown in Table 1). For both datasets, we first generate 4-turn 191 text-based conversations and then synthesize them using a TTS 192 model [26]. For Topic, we randomly choose a topic between 193 user and agent and prompt the Meta-Llama-3.1-70B-Instruct 194 model [4] to generate a conversation. The topics are randomly 195 chosen from the everyday-conversation dataset [30], which cov-196 ers 63 everyday and science topics. To generate concise replies 197 for efficient training, we restrict the words of each turn to be 198 30 words in the prompt. The generated conversations are then 199 synthesized into speech and prepared to the duplex data format. 200 For UltraChat, we randomly sample a chat conversation from 201 the UltraChat dataset [31] to use as contextual information in 202 the prompt to generate a 4-turn conversation similar to Topic. 203

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5. Experiment Details

205 5.1. Training Details

We implement the model with PyTorch using the NeMo Toolkit 206 [32], and the model is trained on 32 A100 (80G) GPUs with a 207 batch duration of 1000 sec per GPU. The speech encoder is ini-208 tialized from a 100M streaming pretrained encoder with 80ms 209 right context [21], and the LLM is initialized from the 1.1B 210 TinyLlama [22]. We use a 32k SentencePiece tokenizer for text, 211 and a personalized 0.6 kbps NanoCodec [23] for speech by de-212 fault. Ablations for personalization are presented in Sec. 6.3. 213 The speech codes have 4 channels, with a vocabulary size of 214 4,037 for each channel. Text and speech channel training loss 215

are weighted by 3 and 1 respectively. We use FusedAdam, and an inverse Square Root Annealing learning rate (LR) schedule for optimization. The LR schedule starts with an initial learning rate of 3e-4 with a warm-up of 2500 steps. Gradient clipping is applied at the threshold of 1.0 to stabilize training.

5.2. Evaluation Data and Metrics

Our evaluation data consists of: 1) multi-turn conversations: Ul-222 traChat, Roleplay (part of Internal SFT), and Topic, and 2) spo-223 ken QA reasoning: ASR-QA and Alpaca. We select one shard 224 for each dataset in Sec. 4, which is unseen during training, for 225 this evaluation. To evaluate model performance on a more chal-226 lenging scenario where the user frequently interrupts the agent, 227 we create an evaluation set called Impatient. When creating Im-228 *patient*, we halve the silence time between the current and the 229 next user turn (from the original duration in the ASR-QA set) to 230 increase the chance of the agent being interrupted by the user. 231 By doing this, the interruption cases for our model and Moshi 232 (more details in Sec. 6.1) in the Impatient dataset are as high as 233 95.4% and 96.7%, respectively. 234

In terms of evaluation metrics, we evaluate the reason-235 ing ability of our model using GPT scores generated by 236 gpt-40-mini-2024-07-18 ranging from 0 to 10 based on the 237 hypotheses and references of all the agent turns. The reason-238 ing quality is evaluated using the aforementioned multi-turn 239 and spoken QA reasoning datasets. The hypotheses of agent 240 turns are produced by transcribing the generated speech using 241 the ASR model nvidia/parakeet-tdt_ctc-110m. 242

We evaluate turn-taking ability and speech generation qual-243 ity using the UltraChat and Impatient datasets. We use two 244 types of metrics to measure the turn-taking ability: barge-in per-245 formance and 1st response latency (see Table 2). For barge-in 246 performance, we introduce the following metrics: 1) Barge-in 247 latency: The time delay between the user's speech onset and 248 the agent stopping its response; 2) Success rate: The percent-249 age of cases where the agent successfully stops speaking within 250 1.5 seconds after the user interruption; and 3) False alarm rate: 251 The frequency at which the agent incorrectly barges in while 252 the user speaks. Additionally, if the user stops speaking within 253 0.1s, the event is not counted as a false alarm, as we found that 254 Moshi tends to proactively respond. The 1st response latency is 255 defined as the time taken by the agent to respond to the 1st user 256 turn. To evaluate the speech quality, we compute the UTMOS 257 [33] using the generated agent speech after removing silence. 258

6. Results and Comparison

6.1. Conversation and Speech Generation Quality

We first evaluate the turn-taking and speech generation quality
of our model in Table 2. Compared to Moshi, our model has
significantly higher barge-in success rate (94.5% v.s. 55.1%),
the same false alarm rates, and lower barge-in latency (0.69s
v.s. 0.81s). We observe that, in multi-turn conversations, Moshi
often initiates dialogue more proactively, leading to user barge-
in failures for both UltraChat and Impatient.261
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We cannot directly compare our 1st response latency with 268 Moshi's as Moshi almost always responds before the user fin-269 ishes talking and thus does not fit for this metric. We also note 270 that our 1st response latency is affected by our data generation, 271 as we always add a 0.64-second silence after the user turns to 272 ensure no unexpected agent barge-in. Further reducing this de-273 lay is our future work. Lastly, we report UTMOS and our model 274 generates better quality speech than Moshi by up to 0.4. 275

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Table 2: Comparison of turn-taking and speech generation quality.

Dataset	Model	$\begin{array}{c} \text{Barge-in Performance} \\ \text{Success} \uparrow \text{False Alarms} \downarrow \text{Latency} \ (s) \downarrow \end{array}$			1st Response Latency (s)↓	UT MOS↑
UltraChat	Ours Moshi	83.0% 56.0%	0.0% 0.0%	0.52 0.63	0.72 n/a	4.3 3.9
Impatient	Ours Moshi	94.5% 55.1%	$0.0\% \\ 0.0\%$	0.69 0.81	0.92 n/a	4.0 3.8

Table 3: Reasoning quality of multi-turn conversation and spoken QA. GT+LLM denotes an optimal cascaded system which feeds every ground-truth user turn to the LLM.

GPT Score	Multiturn Conversation			Spoken QA	
	UltraChat	Roleplay	Topic	ASR-QA	Alpaca
Ours	3.5	4.6	6.1	7.8	2.9
Moshi	3.4	1.7	2.8	1.9	1.7
GT+LLM	6.4	4.9	5.5	5.8	5.0

Table 4: Evaluation of audio reconstruction and the resultant S2S quality across different codecs.

Codec	Bitrate kbps	Audio MOS↑	Reconstr CER↓	uction SECS↑	S2S asr-bleu↑
Mimi[14]	1.1	4.16	3.00	0.65	n/a
Nano[23]	1.2	4.67	1.44	0.77	18.1
Nano[23]	0.6	4.54	3.55	0.57	16.2
+personalized	0.6	4.75	1.36	0.94	18.7

6.2. Reasoning Quality 276

In Table 3, we compare the reasoning ability of our model to 277 Moshi [14] and an optimal cascaded system formed by feeding 278 every ground-truth user turn text to LLM (i.e., GT+LLM in Ta-279 ble 3). The backbone of our model, TinyLlama, is used as the 280 LLM. We report the aforementioned GPT scores on two types of 281 test sets: multi-turn conversation and spoken QA. Compared to 282 283 Moshi, our model shows better scores on all datasets despite the fact that our model uses much less data and smaller backbone. 284 Compared to the optimal cascaded system, our model shows 285 competitive results, better on two and worse on three sets. The 286 287 slightly worse performance of end-to-end versus cascaded is not new and has been shown by other research [2, 11, 14, 29]. Fu-288 ture works include i) a more fair comparison with full pipeline 289 (VAD, streaming ASR and TTS, LLM), and ii) improving the 290 reasoning of duplex S2S models. 291

6.3. Speech Codec Personalization 292

We personalize the codec to our agent voice by fine-tuning the 293 codec on 21k ground-truth utterances from the target speaker. 294 The model is evaluated on 228 test samples that are not seen 295 during training. Perceptual quality is assessed using estimated 296 Mean Opinion Scores (MOS) with Torchaudio-Squim [34]. In-297 telligibility is measured by computing the Character Error Rate 298 (CER), comparing transcriptions from the Massively Multilin-299 gual Speech (MMS) model [35] for both ground-truth and re-300 constructed audio. Speaker similarity is evaluated using the 301 Speaker Encoder Cosine Similarity (SECS) [36], computed 302 with the state-of-the-art ECAPA2 speaker encoder [37]. 303

Table 4 presents the evaluation results for the 1.1 kbps Mimi 304 Codec [14], 1.2 kbps, and 0.6 kbps versions of NanoCodec [23], 305 and the proposed personalized version of 0.6 kbps NanoCodec. 306 Personalization significantly enhances the performance of the 307

0.6 kbps NanoCodec. Notably, despite operating at nearly half 308 the bitrate, our personalized codec outperforms both Mimi and 309 NanoCodec at 1.2 kbps across all audio reconstruction metrics 310 on the target speaker. 311

As an ablation study, we further train our duplex S2S mod-312 els with different codecs (last three rows in Table 4). For sim-313 plicity, we report ASR-BLEU, which is calculated based on the 314 reference agent texts and ASR transcripts of generated agent 315 speech. Results on ASR-QA in Table 4 indicate that personal-316 ization enhances duplex modeling as well, leading to improved 317 perceptual quality and higher BLEU scores. 318

6.4. Listening Duplex Conversation Examples

We include representative listening examples in an anonymous 320 demo page². Specifically, the following capabilities of our du-321 plex S2S model on unseen data are highlighted:

Robustness with frequent interruption. In the example 323 of Fig. 3 and the webpage, the user interrupts the agent three 324 times in 15 seconds, and leaves limited time for the agent to respond. Despite these challenges, the agent still demonstrates 326 robust conversational behavior in handling frequent barge-in.



Figure 3: Multi-turn conversation with frequent barge-in.

Unseen reasoning problem. Beyond leveraging learned 328 knowledge to generate responses, the agent also demonstrates 329 the ability to utilize contextual information, effectively summa-330 rizing the main topic of each conversation in Fig. 4 and webpage 331 that was unseen during training. 332



Figure 4: Spoken QA example on an unseen topic.

7. Conclusion

We introduced a novel duplex S2S architecture that models si-334 multaneous user and agent streams without requiring speech 335 pretraining. Our data-efficient approach maintains end-to-end 336 modeling of conversation reasoning and behaviors. Experimen-337 tal results show competitive performance in reasoning, barge-in, 338 and turn-taking. Our open-sourced training and inference code 339 will also be a valuable resource for future research. 340

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²https://anonymous598e.github.io/INTERSPEECH2025-DEMO/

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