# ARE SPECTRAL AUGMENTATIONS NECESSARY IN CONTRAST-BASED GRAPH SELF-SUPERVISED LEARN-ING?

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### ABSTRACT

The recent surge in contrast-based graph self-supervised learning has prominently featured an intensified exploration of spectral cues. Spectral augmentation, which involves modifying a graph's spectral properties such as eigenvalues or eigenvectors, is widely believed to enhance model performance. However, an intriguing paradox emerges, as methods grounded in seemingly conflicting assumptions or heuristic approaches regarding the spectral domain demonstrate notable enhancements in learning performance. This paradox raises the critical question of whether spectral augmentations are really necessary for contrast-based graph self-supervised learning. This study undertakes an extensive investigation into this inquiry, conducting a thorough study of the relationship between spectral characteristics and the learning outcomes of contemporary methodologies. Based on this analysis, we claim that the effectiveness and significance of spectral augmentations need to be questioned. Instead, we revisit simple edge perturbation: random edge dropping designed for node-level self-supervised learning and random edge adding intended for graph-level self-supervised learning. Compelling evidence is presented that these simple yet effective strategies consistently yield superior performance while demanding significantly fewer computational resources compared to existing spectral augmentation methods. The proposed insights represent a significant leap forward in the field, potentially reshaping the understanding and implementation of graph self-supervised learning.

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### 1 INTRODUCTION

In recent years, graph learning has emerged as a powerhouse for handling complex data relation-035 ships in multiple fields, offering vast potential and value, particularly in domains such as data mining (Hamilton et al., 2017), computer vision (Xu et al., 2017), network analysis (Chen et al., 037 2020b), and bioinformatics (Jin et al., 2018). However, limited labels make graph learning challenging to apply in real-world scenarios. Inspired by the great success of Self-Supervised Learning (SSL) in other domains (Devlin et al., 2018; Chen et al., 2020a), Graph Self-Supervised Learning (Graph SSL) 040 has made rapid progress and has shown promise by achieving state-of-the-art performance on many 041 tasks (Xie et al., 2022), where Contrast-based Graph SSL (CG-SSL) are most dominant (Liu et al., 042 2023). This type of method is grounded in the concept of mutual information (MI) maximization. 043 The primary goal is to maximize the estimated MI between augmented instances of the same object, 044 such as nodes, subgraphs, or entire graphs. Among the new developments in CG-SSL, approaches inspired by graph spectral methods have garnered significant attention. A prevalent conviction is that spectral information, including the eigenvalues and eigenvectors of the graph's Laplacian, plays a 046 crucial role in enhancing the efficacy of CG-SSL (Liu et al., 2022a; Ko et al., 2023; Lin et al., 2023; 047 Yang et al., 2023; Chen et al., 2024). 048

In general, methods in CG-SSL can be categorized into two types based on whether augmentation is
performed on the input graph to generate different views (Chen et al., 2024). i.e. augmentation-based
and augmentation-free methods. Of the two, the augmentation-based methods are more predominant
and widely studied (Hassani & Khasahmadi, 2020; Liu et al., 2023; You et al., 2020; Liu et al., 2022a;
Lin et al., 2023; Yang et al., 2023). Specifically, spectral augmentation has received significant
attention, as it modifies a graph's spectral properties. This approach is believed to enhance model

054 performance, aligning with the proposed importance of spectral information in CG-SSL. However, 055 there seems no consensus on the true effectiveness of spectral information in the previous works 056 proposing and studying spectral augmentation. SpCo (Liu et al., 2022a) introduces the general graph 057 augmentation (GAME) rule, which suggests that the difference in high-frequency parts between 058 augmented graphs should be larger than that of low-frequency parts. SPAN (Lin et al., 2023) contends that effective topology augmentation should prioritize perturbing sensitive edges that have a substantial impact on the graph spectrum. Therefore, a principled augmentation method is designed 060 by directly maximizing spectral change with a certain perturbation budget, without mentioning any 061 specific domain of spectrum. GASSER (Yang et al., 2023) selectively perturbs graph structures 062 based on spectral cues to better maintain the required invariance for contrastive learning frameworks. 063 Specifically, it aims to augment the graphs to preserve task-relevant frequency components and 064 perturb the task-irrelevant ones with care. While all three related methods are augmentation-based 065 and share in the set of CG-SSL frameworks like GRACE (Zhu et al., 2020) and MVGRL (Hassani & 066 Khasahmadi, 2020), a contradiction emerges among these related works on spectral augmentation: 067 while SPAN advocates for **maximizing the distance** between the spectrum of augmented graphs 068 regardless of spectral domains, SpCo and GASSER argue for the preservation of specific spectral 069 components and domains during augmentation. The consistent performance gain derived from opposing methodical designs naturally raises our concern:

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### • Are spectral augmentations necessary in contrast-based graph SSL?

Given the question, this study aims to critically evaluate the effectiveness and significance of spectral augmentation in contrast-based graph SSL frameworks (CG-SSL). With evidence-supported claims and findings in the following sections, we can give a negative answer to the question above: No, they are not very effective and we don't really need them. To be specific, we find that spectral augmentation does not significantly contribute to the learning efficacy while more straightforward edge perturbations are already good enough for CG-SSL. We manage to elaborate on our conclusion through a series of studies carried out in the following efforts:

- 1. In Sec. 4, we explore the dependency of spectral augmentation effectiveness on the depth of the network, positing that shallower networks with fewer convolutional layers perform better but demonstrate diminished benefits from spectral changes.
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  2. In Sec 5 We claim that simple edge perturbation techniques, like adding edges to or dropping edges from the graph, not only compete well but often outperform spectral augmentations, without any significant help from spectral cues. To support this,
- (a) In Sec. 6, overall model performance on test accuracy with four state-of-the-art frameworks on both node- and graph-level classification tasks support the superiority of simple edge perturbation. (b) Studies in Sec. 7.1 reveal the indistinguishability between the average spectrum of augmented graphs from edge perturbation with optimal parameters on different 090 datasets, no matter how different that of original graphs is, indicating GNN encoders can hardly learn spectral information from augmented graphs. That is to say, edge perturbations 092 can not benefit from spectral information. (c) In Sec. 7.2, we analyze the effectiveness of 093 state-of-the-art spectral augmentation baseline (i.e., SPAN) by perturbing edges to alter the 094 spectral characteristics of augmented graphs from simple edge perturbation augmentation 095 and examining the impact on model performance. As it turns out, the results show no 096 performance degradation, indicating the spectral information contained in the augmentation is not significant to the model performance. (d) In Appendix E.4, statistical analysis is carried out to argue that the major reason edge perturbation works well is not because of the 098 spectral information as they are statistically not the key factor on model performance. 099
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### 2 RELATED WORK

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103 Contrast-based Graph Self-Supervised (CG-SSL). CG-SSL learning alleviates the limitations of 104 supervised learning, which heavily depends on labeled data and often suffers from limited generaliza-105 tion (Liu et al., 2022b). This makes it a promising approach for real-world applications where labeled 106 data is scarce. CG-SSL applies a variety of augmentations to the training graph to obtain augmented 107 views. These augmented views, which are derived from the same original graph, are treated as positive 108 sample pairs or sets. The key objective of CG-SSL is to maximize the mutual information between 108 these views to learn robust and invariant representations. However, directly computing the mutual 109 information of graph representations is challenging. Hence, in practice, CG-SSL frameworks aim to 110 maximize the lower bound of mutual information using different estimators such as InfoNCE (Gut-111 mann & Hyvärinen, 2010), Jensen-Shannon (Nowozin et al., 2016), and Donsker-Varadhan (Belghazi 112 et al., 2018). For instance, frameworks like GRACE (Zhu et al., 2020), GCC (Qiu et al., 2020), and GCA (Zhu et al., 2021b) utilize the InfoNCE estimator as their objective function. On the other hand, 113 MVGRL (Hassani & Khasahmadi, 2020) and InfoGraph (Sun et al., 2019) adopt the Jensen-Shannon 114 estimator. Some CG-SSL methods explore alternative principles. G-BT (Bielak et al., 2022) extends 115 the redundancy-reduction principle, minimizing dissimilarity between metrics from two augmented 116 graph views. BGRL (Thakoor et al., 2021) adopts a momentum-driven Siamese architecture, using 117 node feature masking and edge modification as augmentations to maximize mutual information 118 between online and target network representations. 119

Graph Augmentations in CG-SSL. Beyond the choice of objective functions, another crucial aspect 120 of augmentation-based methods in CG-SSL is the selection of augmentation techniques. Early work 121 by (Zhu et al., 2020) and (You et al., 2020) introduced several domain-agnostic heuristic graph 122 augmentation for CG-SSL, such as edge perturbation, attribute masking, and subgraph sampling. 123 These straightforward and effective methods have been widely adopted in subsequent CG-SSL 124 frameworks due to their demonstrated success (Thakoor et al., 2021; Yu et al., 2024). However, these 125 domain-agnostic graph augmentations often lack interpretability, making it difficult to understand the 126 exact impact of these augmentations on the graph structure and learning outcomes. To address this 127 issue, MVGRL (Hassani & Khasahmadi, 2020) introduces graph diffusion as an augmentation strategy, 128 where the original graph provides local structural information and the diffused graph offers global 129 context. MVGRL demonstrates experimentally that by optimizing for consistency between node representations from these two perspectives, it's possible to obtain representations that encode both 130 local and global structural information. Moreover, three spectral augmentation methods-SpCo (Liu 131 et al., 2022a), GASSER (Yang et al., 2023), and SPAN (Lin et al., 2023)-stand out by offering design 132 principles based on spectral graph theory, focusing on how to enhance CG-SSL performance through 133 spectral manipulations. However, our explorations show that these methods are unable to consistently 134 outperform heuristic graph augmentations such as edge perturbation (DROPEDGE or ADDEDGE) in 135 terms of performance under fair comparisons, and thus the design principles of graph augmentation 136 still require further validation.

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### **3** PRELIMINARY STUDY

140 Contrast-based graph self-supervised learning framework. CG-SSL captures invariant features 141 of a graph by generating multiple views (typically two) through augmentations and then maximizing 142 the mutual information between these views (Xie et al., 2022). This approach is ultimately used to 143 improve performance on various downstream tasks. Following previous work (Wu et al., 2021; Liu 144 et al., 2022b; Xie et al., 2022), we first denote the generic form of the augmentation  $\mathcal{T}$  and objective 145 functions  $\mathcal{L}_{cl}$  of graph contrastive learning. Given a graph  $\mathcal{G} = (\mathbf{A}, \mathbf{X})$  with adjacency matrix  $\mathbf{A}$  and 146 feature matrix X, the augmentation is defined as the transformation function  $\mathcal{T}$ . In this paper, we are 147 mainly concerned with topological augmentation, in which feature matrix X remains intact:

$$\widetilde{\mathbf{A}}, \widetilde{\mathbf{X}} = \mathcal{T}(\mathbf{A}, \mathbf{X}) = \mathcal{T}(\mathbf{A}), \mathbf{X}$$
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In practice, two augmented views of the graph are generated, denoted as  $\mathcal{G}^{(1)} = \mathcal{G}(\mathcal{T}_1(\mathbf{A}, \mathbf{X}))$  and  $\mathcal{G}^{(2)} = \mathcal{G}(\mathcal{T}_2(\mathbf{A}, \mathbf{X}))$ . The objective of GCL is to learn representations by minimizing the contrastive loss  $\mathcal{L}_{cl}$  between the augmented views:

$$\theta^*, \phi^* = \underset{\theta, \phi}{\operatorname{arg\,min}} \mathcal{L}_{cl} \left( p_\phi \left( f_\theta \left( \mathcal{G}^{(1)} \right), f_\theta \left( \mathcal{G}^{(2)} \right) \right) \right), \tag{2}$$

where  $f_{\theta}$  represents the graph encoder parameterized by  $\theta$ , and  $p_{\phi}$  is a projection head parameterized by  $\phi$ . The goal is to find the optimal parameters  $\theta^*$  and  $\phi^*$  that minimize the contrastive loss.

In this paper, we utilize four prominent CG-SSL frameworks to study the effect of spectral: MVGRL,
 GRACE, BGRL, and G-BT. MVGRL introduces graph diffusion as augmentation, while the other
 three frameworks use edge perturbation as augmentation. Each framework employs different strategies
 for its contrastive loss functions. MVGRL and GRACE use the Jensen-Shannon and InfoNCE

estimators as object functions, respectively. In contrast, BGRL and G-BT adopt the BYOL loss (Grill
et al., 2020) and Barlow Twins loss (Zbontar et al., 2021), which are designed to maximize the
agreement between the augmented views without relying on negative samples. A more detailed
description of the loss function can be found in the Appendix C.

166 Graph spectrum & Definition and application of spectral augmentation. We follow the standard 167 definition of graph spectrum in this study, details of which can be found in Appendix B. Among 168 various augmentation strategies proposed to enhance the robustness and generalization of graph 169 neural networks, spectral augmentation has been considered a promising avenue (Lin et al., 2023; Liu 170 et al., 2022a; Bo et al., 2023; Yang et al., 2023). Spectral augmentation typically involves implicit 171 modifications to the eigenvalues of the graph Laplacian, aiming at enhancing model performance by 172 encouraging invariance to certain spectral properties. Among them, SPAN achieved state-of-the-art performance in both node classification and graph classification. In short, SPAN elaborates two 173 augmentation functions,  $\mathcal{T}_1$  and  $\mathcal{T}_2$ , where  $\mathcal{T}_1$  maximizes the spectral norm in one view, and  $\mathcal{T}_2$ 174 minimizes it in the other view. Subsequently, these two augmentations are implemented in the four 175 **CG-SSL** frameworks mentioned above (Strict definition in Appendix B). The paradigm used by 176 SPAN aims to allow the GNN encoder to focus on robust spectral components and ignore the sensitive 177 edges that can change the spectral drastically when perturbed. 178

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## 4 LIMITATIONS OF SPECTRAL AUGMENTATIONS

Limitations of shallow GNN encoders in capturing spectral information. Multiple previous 182 studies indicate that shallow, rather than deep, GNN encoders can be effective in graph self-supervised 183 learning. This might be the result of overfitting commonly witnessed in standard GNN tasks. We 184 have also carried out many empirical studies with a range of CG-SSL frameworks and augmentations 185 to support this idea in contrast-based graph SSL. As the most commonly applied GNN encoder in CG-SSL (You et al., 2020; Yu et al., 2024; Guo et al., 2024; Lin et al., 2024), an empirical study 187 on the relationship between the depth of GCN encoder and learning performance is conducted and 188 results are presented in Fig. 1. From that, we can conclude that shallow GCN encoders with 1 or 2 189 layers usually have the best performance. Note that this tendency is not very clear on graph-level 190 tasks because the embedding of the graph from all layers will be concatenated together to perform 191 prediction. It indicates that a deep encoder has theoretically better expressive power than shallower encoders. Therefore, still better performance of GCN encoders with 1 or 2 layers implies that any 192 more layers are unnecessary and might hurt the quality of the learned representation of the graph. 193



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(a) G-BT on node CLS
 (b) MVGRL on node CLS
 (c) G-BT on graph CLS
 (d) MVGRL on graph CLS
 Figure 1: Accuracy of CG-SSL v.s. number of GCN layers on node and graph classification on representative datasets.
 (a) G-BT on node classification.
 (b) MVGRL on graph classification.
 (c) G-BT on node classification.
 (c) G-BT on node classification.
 (c) G-BT on node classification.
 (c) G-BT on graph classification.
 (c) G-SSL, details of datasets and other experimental settings are mentioned in Section 6.1.

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By design, most GNN encoders primarily aggregate local neighborhood information through their
layered structure, where each layer extends the receptive field by one hop. The depth of a GNN
critically determines its ability to integrate information from various parts of the graph. With only
a limited number of layers, a GNN's receptive field is restricted to immediate neighborhoods (e.g.,
1-hop or 2-hop distances). This limitation severely constrains the network's ability to assimilate
and leverage broader graph topologies or global features that are essential for encoding the spectral
properties of the graph, given the definition of the graph spectrum.

**Limited implications for spectral augmentation in CG-SSL.** Given the limitations of shallow GNNs in capturing spectral information, the utility of spectral augmentation techniques in graph

self-supervised learning settings warrants scrutiny. Spectral augmentation involves modifying the
 spectral components (e.g., eigenvalues and eigenvectors) of a graph to enrich the training process
 or to create diverse samples for enhancing learning robustness. However, if the primary encoder's
 architecture—specifically, a shallow GNN—is intrinsically limited in its ability to perceive and
 process spectral properties, then the benefits of such augmentations are likely to be minimal.

**Gap between spectral theory and graph learning.** Furthermore, beyond the limitations of shallow GNNs in capturing spectral information, there is a significant gap between the theoretical foundations of spectral methods and their practical application in graph learning. These methods often rely on simplifying assumptions that may not hold in real-world scenarios (Liu et al., 2022a; Yang et al., 2023). A detailed discussion of these challenges is provided in Appendix D.

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## 5 EDGE PERTURBATION IS ALL YOU NEED

229 So far, our findings indicate that spectral augmentation is not particularly effective in contrast-based 230 graph self-supervised learning. It may suggest that spectral augmentation essentially amounts to 231 random topology perturbation, based on inconsistencies in previous studies (Lin et al., 2023; Liu et al., 232 2022a; Yang et al., 2023) and the theoretical insight that a shallow encoder can hardly capture spectral 233 properties. In fact, most of the spectral augmentations are basically performing edge perturbations on 234 the graph with some targeted directions. Since we preliminarily conclude that it is quite difficult for 235 those augmentations to benefit from the spectral properties of graphs, it is very intuitive to hypothesize 236 that edge perturbation itself matters in the learning process.

237 Consequently, we are turning back to Edge Perturbation (EP), a more straightforward and proven 238 method for augmenting graph data. The two primary methods of edge perturbation are DROPEDGE 239 and ADDEDGE. We want to claim that edge perturbation has a better performance than spectral 240 augmentations and prove empirically that none of them actually or even can benefit much from any 241 spectral information and properties. Also, we demonstrate edge perturbation is much more efficient 242 in practical applications for both time and space sake, where spectral operations are almost infeasible. 243 Overall, we will support the idea with evidence in the following sections that simple edge perturbation is not only good enough but even very optimal in CG-SSL compared to spectral augmentations. 244

Edge perturbation involves modifying the topology of the graph by either removing or adding edges
at random. We detail the two main types of edge perturbation techniques used in our frameworks:
edge dropping and edge adding.

**DROPEDGE.** Edge dropping is the process of randomly removing a subset of edges from the original graph to create an augmented view. Adopting the definition from (Rong et al., 2020), let  $\mathcal{G} = (\mathbf{A}, \mathbf{X})$  be the original graph with adjacency matrix  $\mathbf{A}$ . We introduce a mask matrix  $\mathbf{M}$  of the same dimensions as  $\mathbf{A}$ , where each entry  $M_{ij}$  follows a Bernoulli distribution with parameter 1 - p (denoted as the drop rate). The edge-dropped graph G' is then obtained by element-wise multiplication of  $\mathbf{A}$  with  $\mathbf{M}$  (where  $\odot$  denotes the Hadamard product):

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$$\mathbf{A}' = \mathbf{A} \odot \mathbf{M} \tag{3}$$

ADDEDGE. Edge adding involves randomly adding a subset of new edges to the original graph to create an augmented view. Let N be an adding matrix of the same dimensions as A, where each entry  $N_{ij}$  follows a Bernoulli distribution with parameter q (denoted as the add rate), and  $N_{ij} = 0$ for all existing edges in A. The edge-added graph  $\mathcal{G}''$  is obtained by adding N to A:

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$$\mathbf{A}'' = \mathbf{A} + \mathbf{N} \tag{4}$$

These two operations ensure that the augmented views  $\mathcal{G}^{(1)}$  and  $\mathcal{G}^{(2)}$  have modified adjacency matrices  $\mathbf{A}'$  and  $\mathbf{A}''$  respectively, which are used to generate contrastive views while preserving the feature matrix  $\mathbf{X}$ .

### 266 5.1 Advantage of edge perturbation over spectral augmentations

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Edge perturbation offers several key advantages over spectral augmentation, making it a more effective and practical choice for **CG-SSL**. Compared to augmentations related to the graph spectrum, it has three major advantages. 270 **Theoretically intuitive.** Edge perturbation is inherently simpler and more intuitive. It directly 271 modifies the graph's structure by adding or removing edges, which aligns well with the shallow GNN 272 encoders' strength in capturing local neighborhood information. Given that shallow GNNs have a 273 limited receptive field, they are better suited to leveraging the local structural changes introduced by 274 edge perturbation rather than the global changes implied by spectral augmentation.

275 Significantly better efficiency. Edge perturbation methods such as edge dropping (DROPEDGE) 276 and edge adding (ADDEDGE) are computationally efficient. Unlike spectral augmentation, which 277 requires costly eigenvalue and eigenvector computations, edge perturbation can be implemented with 278 basic graph operations. This efficiency translates to faster training and inference times, making it 279 more suitable for large-scale graph datasets and real-time applications. As shown in Table 1, the time 280 and space complexity of spectrum-related calculations are several orders of magnitude higher than those of simple edge perturbation operations. This makes spectrum-related calculations impractical 281 for the large datasets typically encountered in real-world applications. 282

Table 1: Time and space complexity of different methods (Empirical Time is on PUBMED dataset)

Method	Time Complexity	Space Complexity	Empirical Time (s/epoch)
Spectrum calculation DROPEDGE ADDEDGE	$O(n^3) \\ O(m) \\ O(m)$	$O(n^2) \\ O(m) \\ O(m)$	26.435 0.140 0.159

**Optimal learning performance.** Most importantly and directly, our comprehensive empirical studies indicate that edge perturbation methods lead to significant improvements in model performance, 292 as presented and analyzed in Sec. 6. From the results there, the conclusion can be drawn that the 293 performance of the proposed augmentations is not only better than those of spectral augmentations 294 but also matches or even surpasses the performance of other strong benchmarks. 295

These advantages position edge perturbation as a robust and efficient method for graph augmentation 296 in self-supervised learning. In the following section, we will present our experimental analysis, 297 demonstrating the accuracy gains achieved through edge perturbation methods. 298

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### **EXPERIMENTS ON SSL PERFORMANCE** 6

### 6.1 EXPERIMENTAL SETTINGS

304 Task and Datasets. We conducted extensive experiments for node-level classification on seven datasets: CORA, CITESEER, PUBMED (Kipf & Welling, 2016), PHOTO, COMPUTERS (Shchur et al., 305 2018), COAUTHOR-CS, and COAUTHOR-PHY. These datasets include various types of graphs, such 306 as citation networks, co-purchase networks, and co-authored networks. Note that we do not include 307 huge-scale datasets like OGBN (Hu et al., 2021) for the high complexity of spectral augmentations. 308 While both DROPEDGE and ADDEDGE have linear complexity that can easily run on those huge 309 datasets, no spectral augmentation can scale to them. Additionally, we carried out graph-level 310 classification on five datasets from the TUDataset collection (Morris et al., 2020), which include 311 biochemical molecules and social networks. More details of these datasets be found in Appendix A.

312 Baselines. We conducted experiments under four CG-SSL frameworks: MVGRL, GRACE, G-BT, 313 and BGRL (mentioned in Sec 3), using DROPEDGE, ADDEDGE, and SPAN (Lin et al., 2023) as 314 augmentation strategies. Note that there are only three very relevant studies on spectral augmentation 315 strategies of CG-SSL to the authors' best knowledge, i.e., SPAN, SpCo (Liu et al., 2022a) and 316 GASSER (Yang et al., 2023). Among them, GASSER does not have open-sourced code so we are 317 not able to reproduce any related results, but we try our best to directly adopt the best performance 318 reported in that study to ensure comparison is possible. Also, SpCo is only applicable to node-level 319 tasks and its implementation is not robust enough to generalize to all the node-level datasets and 320 **CG-SSL** frameworks. Therefore, we manage to include the results of all the settings that it is feasible 321 to do, which is its original setting and the combination of GRACE and it. Given the infeasibility and inaccessibility of the two, we selected SPAN as a major baseline because it is robust and general 322 enough to all the datasets and experimental settings while allowing the modular plug-and-play 323 integration of edge perturbation methods, enabling a very direct angle to evaluate the effectiveness

Table 2: Node classification. Results of baselines with '†' are adopted directly from previous works. MVGRL+PPR is the original setting of MVGRL. The best results in each cell are highlighted by grey. The best results overall are highlighted with **bold and underline**. Metric is accuracy (%).

Model	CORA	CITESEER	PubMed	Рното	COMPUTERS	COAUTHOR-CS	COAUTHOR-PHY
GCA <sup>†</sup>	$83.67 \pm 0.44$	$71.48 \pm 0.26$	$78.87 \pm 0.49$	$92.53 \pm 0.16$	$88.94 \pm 0.15$	$93.10 \pm 0.01$	_
$GMI^{\dagger}$	$83.02 \pm 0.33$	$72.45 \pm 0.12$	$79.94 \pm 0.25$	$90.68 \pm 0.17$	$82.21 \pm 0.31$	$91.08 \pm 0.56$	_
$\mathrm{DGI}^\dagger$	$82.34\pm0.64$	$71.85\pm0.74$	$76.82\pm0.61$	$91.61\pm0.22$	$83.95\pm0.47$	$92.15\pm0.63$	_
SpCo	$83.78\pm0.70$	$71.82 \pm 1.26$	$80.86\pm0.43$	_	_	_	_
GASSER <sup>†</sup>	$85.27\pm0.10$	$75.41\pm0.84$	$83.00\pm0.61$	$93.17\pm0.31$	$88.67\pm0.15$	—	—
MVGRL + PPR	$83.53 \pm 1.19$	$71.56 \pm 1.89$	$84.13\pm0.26$	$88.47 \pm 1.02$	$89.84 \pm 0.12$	$90.57\pm0.61$	OOM
MVGRL + DROPEDGE	$84.31 \pm 1.95$	$74.85\pm0.73$	$85.62\pm0.45$	$89.28 \pm 0.95$	$\underline{90.43 \pm 0.33}$	$93.20\pm0.81$	$95.70\pm0.28$
MVGRL + ADDEDGE	$83.21 \pm 1.65$	$73.65 \pm 1.60$	$84.86 \pm 1.19$	$87.15\pm1.36$	$87.59 \pm 0.53$	$92.91\pm0.65$	$95.33 \pm 0.23$
MVGRL +SPAN	$84.57\pm0.22$	$73.65 \pm 1.29$	$85.21\pm0.81$	$92.33\pm0.99$	$88.75\pm0.20$	$92.25\pm0.76$	OOM
$MVGRL + GASSER^{\dagger}$	$80.36\pm0.05$	$74.48\pm0.73$	$80.80\pm0.19$	_	_	—	—
G-BT + DROPEDGE	$\textbf{86.51} \pm \textbf{2.04}$	$72.95\pm2.46$	$87.10 \pm 1.21$	$93.55\pm0.60$	$88.66 \pm 0.46$	$\textbf{93.31} \pm \textbf{0.05}$	$\textbf{96.06} \pm \textbf{0.24}$
G-BT + ADDEDGE	$82.10 \pm 1.48$	$66.36 \pm 4.25$	$85.98 \pm 0.81$	$93.68\pm0.79$	$87.81 \pm 0.79$	$91.98 \pm 0.66$	$\overline{95.51 \pm 0.02}$
G-BT + SPAN	$84.06\pm2.85$	$67.46\pm3.18$	$85.97 \pm 0.41$	$91.85\pm0.22$	$88.73 \pm 0.62$	$92.63\pm0.07$	OOM
GRACE + DROPEDGE	$84.19 \pm 2.07$	$\textbf{75.44} \pm \textbf{0.32}$	$\textbf{87.84} \pm \textbf{0.37}$	$92.62\pm0.73$	$86.67\pm0.61$	$93.15\pm0.23$	OOM
GRACE + ADDEDGE	$85.78\pm0.62$	$71.65 \pm 1.63$	$85.25 \pm 0.47$	$89.93 \pm 0.74$	$76.74 \pm 0.57$	$92.46 \pm 0.25$	OOM
GRACE + SPAN	$82.84 \pm 0.91$	$67.76\pm0.21$	$85.11\pm0.71$	$\textbf{93.72} \pm \textbf{0.21}$	$88.71 \pm 0.06$	$91.72 \pm 1.75$	OOM
$GRACE + GASSER^{\dagger}$	$84.10 \pm 0.26$	$74.47\pm0.64$	$83.97 \pm 0.52$		_	_	_
GRACE + SpCo	$81.61\pm0.75$	$70.83 \pm 1.47$	$84.97 \pm 1.13$	—	—	—	—
BGRL + DROPEDGE	$83.21\pm3.29$	$71.46\pm0.56$	$86.28\pm0.13$	$92.90\pm0.69$	$88.68 \pm 0.65$	$91.58\pm0.18$	$95.29\pm0.19$
BGRL + ADDEDGE	$81.49 \pm 1.21$	$69.66 \pm 1.34$	$84.54 \pm 0.22$	$91.85\pm0.75$	$86.75 \pm 1.15$	$91.78\pm0.77$	$95.29 \pm 0.09$
BGRL + SPAN	$83.33\pm0.45$	$66.26 \pm 0.92$	$85.97\pm0.41$	$91.72 \pm 1.75$	$88.61 \pm 0.59$	$92.29\pm0.59$	OOM

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of the spectral augmentations compared to much simpler alternatives. Besides the major baselines
mentioned above, other related ones are added to clearly and comprehensively benchmark our work.
For MVGRL, we also compared its original PPR augmentation. For the node classification task, we
use GCA (Zhu et al., 2021b), GMI (Peng et al., 2020), DGI (Velickovic et al., 2019), and SpCo (Liu
et al., 2022a) as baselines. For the graph classification task, we use RGCL (Li et al., 2022) and
GraphCL (You et al., 2020) as baselines. Detailed experimental configurations are in Appendix A.

351 Evaluation Protocol. We adopt the evaluation and split scheme from previous works (Veličković 352 et al., 2019; Zhang et al., 2023; Lin et al., 2023). Each GNN encoder is trained on the entire graph with 353 self-supervised learning. After training, we freeze the encoder and extract embeddings for all nodes or graphs. Finally, we train a simple linear classifier using the labels from the training/validation 354 set and test it with the testing set. The accuracy of classification on the testing set shows how good 355 the learned representations are. For the node classification task nodes are randomly divided into 356 10%/10%/80% for training, validation, and testing, and for graph classification datasets, graphs are 357 randomly divided into 80%/10%/10% for training, validation, and testing. 358

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### 6.2 EXPERIMENTAL RESULTS

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362 We present the prediction accuracy of the node classification and graph classification tasks in Table 2 and Table 3, respectively. Our comparative analysis of graph augmentation for both node and graph classification reveals distinct performance trends. For node classification, DROPEDGE consistently 364 achieves the best performance across multiple datasets and CG-SSL frameworks, demonstrating superior robustness and consistency. While ADDEDGE also achieves competitive accuracy, DROPEDGE 366 stands out in this area. In graph classification, ADDEDGE frequently achieves the best performance 367 across multiple datasets and CG-SSL frameworks, showing superior and more consistent results. In 368 contrast, all the results from SPAN as well as GASSER and SpCo generally underperform relative to 369 both DROPEDGE and ADDEDGE while also encountering scalability issues on larger datasets and 370 suffering from a high overhead of training time.

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6.3 ABLATION STUDY

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To validate our findings, we conducted a series of ablation experiments on two exemplar datasets, CORA and MUTAG, representing node- and graph-level tasks, respectively. These ablation studies are crucial to rule out potential confounding variables, such as model architectures and hyperparameters, ensuring that our conclusions about the performance of **CG-SSL** are robust and comprehensive. Table 3: Graph classification. Results of baselines with '†' are adopted directly from previous works.
 MVGRL+PPR is the original setting of MVGRL. The best results in each cell are highlighted by
 grey. The best results overall are highlighted with **bold and underline**. Metric is accuracy (%).

Model	MUTAG	PROTEINS	NCI1	IMDB-BINARY	IMDB-MULTI
$GraphCL^{\dagger}$ RGCL <sup>†</sup>	$\begin{array}{c} 86.80 \pm 1.34 \\ 87.66 \pm 1.01 \end{array}$	$\begin{array}{c} 74.39 \pm 0.45 \\ 75.03 \pm 0.43 \end{array}$	$\begin{array}{c} 77.87 \pm 0.41 \\ 78.14 \pm 1.08 \end{array}$	$\begin{array}{c} 71.14 \pm 0.44 \\ 71.85 \pm 0.84 \end{array}$	$\begin{array}{c} 48.58 \pm 0.67 \\ 49.31 \pm 0.42 \end{array}$
MVGRL + PPR MVGRL+ SPAN MVGRL+ DROPEDGE MVGRL+ ADDEDGE	$\begin{array}{c} 90.00 \pm 5.40 \\ 93.33 \pm 2.22 \\ 93.33 \pm 2.22 \\ \textbf{94.44} \pm \textbf{3.51} \end{array}$	$\begin{array}{c} 78.92 \pm 1.83 \\ 79.81 \pm 2.45 \\ 78.92 \pm 1.33 \\ 81.25 \pm 3.43 \end{array}$	$\begin{array}{c} \textbf{78.78} \pm \textbf{1.52} \\ \overline{\textbf{77.56} \pm \textbf{1.77}} \\ \overline{\textbf{77.81} \pm \textbf{1.50}} \\ \overline{\textbf{77.27} \pm \textbf{0.71}} \end{array}$	$71.40 \pm 4.17 75.00 \pm 1.09 76.40 \pm 0.48 74.00 \pm 2.82$	$\frac{52.13 \pm 1.42}{51.20 \pm 1.62}$ $51.46 \pm 3.02$ $51.73 \pm 2.43$
G-BT + SPAN G-BT + DropEdge G-BT + AddEdge	$\begin{array}{c} 90.00 \pm 6.47 \\ 92.59 \pm 2.61 \\ 92.59 \pm 2.61 \end{array}$	$\frac{\textbf{80.89} \pm \textbf{3.22}}{77.97 \pm 0.42}\\ \textbf{80.64} \pm \textbf{1.68}$	$\begin{array}{c} 78.29 \pm 1.12 \\ 78.18 \pm 0.91 \\ 75.91 \pm 0.59 \end{array}$	$\begin{array}{c} 65.60 \pm 1.35 \\ 73.33 \pm 1.24 \\ 73.33 \pm 1.24 \end{array}$	$\begin{array}{c} 45.60 \pm 2.13 \\ 49.11 \pm 1.25 \\ 48.88 \pm 1.13 \end{array}$
GRACE + SPAN GRACE + DROPEDGE GRACE + ADDEDGE	$\begin{array}{c} 90.00 \pm 4.15 \\ 88.88 \pm 3.51 \\ 92.22 \pm 4.44 \end{array}$	$\begin{array}{c} 79.10 \pm 2.30 \\ 78.21 \pm 1.92 \\ 80.17 \pm 2.21 \end{array}$	$\begin{array}{c} 78.49 \pm 0.79 \\ 76.93 \pm 1.14 \\ 79.97 \pm 2.35 \end{array}$	$\begin{array}{c} 70.80 \pm 3.96 \\ 71.00 \pm 3.75 \\ 71.67 \pm 2.36 \end{array}$	$\begin{array}{c} 47.73 \pm 1.71 \\ 47.46 \pm 3.02 \\ 49.86 \pm 4.09 \end{array}$
BGRL + SPAN BGRL + DROPEDGE BGRL + ADDEDGE	$\begin{array}{c} 90.00 \pm 4.15 \\ 88.88 \pm 4.96 \\ 91.11 \pm 5.66 \end{array}$	$\begin{array}{c} 79.28 \pm 2.73 \\ 76.60 \pm 2.21 \\ 79.46 \pm 2.18 \end{array}$	$\begin{array}{c} 78.05 \pm 1.62 \\ 76.15 \pm 0.43 \\ 76.98 \pm 1.40 \end{array}$	$\begin{array}{c} 72.40 \pm 2.57 \\ 71.60 \pm 3.31 \\ 72.80 \pm 2.48 \end{array}$	$\begin{array}{c} 47.46 \pm 4.35 \\ 51.47 \pm 3.02 \\ 47.77 \pm 4.18 \end{array}$

Number of Layers of GCN Encoder. To assess the impact of model depth, we conducted both node-level and graph-level experiments using varying numbers of GCN encoder layers. This analysis is to rule out the possibility that model depth, rather than augmentation strategies, influences the claim. As expected, the results, detailed in Appendix E.1, show that deeper encoders generally lead to worse performance. This suggests that excessive model complexity may introduce noise or overfitting, diminishing the benefits of spectral information. Therefore, our conclusion still holds tightly.

401 Type of GNN Encoder. While we initially selected GCN to align with the common protocols in 402 previous studies for a fair comparison, we also explored other GNN architectures to ensure our 403 findings are not specific to GCN alone. To further validate our results, we conducted additional experiments using GAT (Veličković et al., 2019) for both node- and graph-level tasks, as well as 404 GPS (Rampášek et al., 2024) for the graph-level task. As reported in Appendix E.2, the performance 405 trends observed with GAT and GPS are consistent with those obtained using GCN. This consistency 406 across different encoder types further supports our conclusion that simple edge perturbation strategies 407 are sufficient, and that spectral augmentation does not significantly enhance performance, regardless 408 of the type of GNN encoder applied. 409

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## 7 THE INSIGNIFICANCE OF SPECTRAL CUES

Given the superior empirical performance of edge perturbations mentioned in Sec. 6, one may still argue whether it is a result of some spectral cues or not, as all the analyses mentioned are not direct evidence of the insignificance of the spectral information in the study. To clarify this, we have three questions to answer, (1) Can GNN encoders learn spectral information from augmented graphs produced edge perturbations? (2) Are spectrum in spectral augmentation necessary? (3) Is spectral information statistically a significant factor in the performance of edge perturbation? Given the questions, we conduct a series of experimental studies to answer them respectively in Sec. 7.1, 7.2 and Appendix E.4.

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### 7.1 DEGENERATION OF THE SPECTRUM AFTER EDGE PERTURBATION (EP)

423 Here we want to conduct studies to answer the question of whether the GNN encoders applied can 424 learn spectral information from the augmented graph views produced by **EP**. Therefore, we collect 425 the spectrum of all augmented graphs ever produced along the way of the contrastive learning process 426 of the best framework with the optimal parameter we have in this study, i.e., G-BT + EP with best 427 drop rate p or add rate q, and calculate the average one for each representative dataset in this study for 428 both node- and graph-level tasks. We find that though the average spectrum of those original graphs 429 is strikingly different, that of augmented graphs is quite similar for node- and graph-level tasks, respectively. This indicates a certain degree of degeneration of the spectra as they are no longer easy 430 to separate after **EP**. Therefore, GNN encoders can hardly learn spectral information and properties 431 between different original graphs from those augmented graph views. Note that, though we have

defined some context of frameworks, this result is generally only dependent on the augmentation
 methods. Due to the limited space, we will elaborate the node-level results in this section and
 postpone the graph-level ones in Appendix E.3, as they support the claim very consistently.

435 **Node-Level Analysis.** Here, we visualize the distributions of the average spectrum of graphs at 436 the node level using histograms. The spectral distribution for each graph is represented by a sorted 437 vector of its eigenvalues. When referring to the average spectrum, we mean the average over the 438 eigenvalue vectors of each augmented graph. We plot the histograms of different spectra, normalized 439 to show the probability density. Note that eigenvalues are constrained within the range [0, 2], as we 440 adopted the commonly used symmetrical normalization. We analyze the spectral distributions of three 441 node classification datasets: CORA, CITESEER, and COMPUTERS. We compare the average spectral 442 properties of both original and augmented graphs. The augmentation method used is DROPEDGE, applied with optimal parameters identified for the G-BT method. The results of the visualization are 443 presented in Fig. 2. By comparing the spectrum distributions of original graphs for the datasets in 444 Fig. 2a, we can easily distinguish the spectra of the three datasets. This contrasts with the highly 445 overlapped average spectra of all the datasets, indicating the degeneration mentioned. To support this 446 claim, we also present the comparison of the spectra of original and augmented graphs on all three 447 datasets in Fig. 2c, 2d, and 2e, respectively, to show the obvious changes after the edge perturbations. 448



Figure 2: The spectrum distributions of graphs on different node classification datasets. CORA, CITESEER, and COMPUTERS are chosen as they are well representative of all the node classification datasets. OG means original graph and AUG means average augmented graphs. The augmentation method is DROPEDGE with the best parameter on G-BT method.

### 7.2 SPECTRAL PERTURBATION

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477 To further destruct the spectral properties from model performance, we introduce Spectral Perturbation Augmentor (SPA) for finer-grained anatomy. SPA performs random edge perturbation with 478 an empirically negligible ratio  $r_{SPA}$  to transform the input graph G into a new graph  $G_{SPA}$ , such 479 that  $\mathcal{G}$  and  $\mathcal{G}_{SPA}$  are close to each other topologically, while being divergent in the spectral space. 480 The spectral divergence  $d_{SPA}$  between  $\mathcal{G}$  and  $\mathcal{G}_{SPA}$  is measured by the  $L_2$ -distance of the respective 481 spectra. With properly chosen hyperparameters  $r_{SPA}$  and  $d_{SPA}$ , we view the augmented graph  $\mathcal{G}_{SPA}$ 482 as a doppelganger of  $\mathcal{G}$  that preserves most of the graph-proximity, with only spectral information 483 eliminated. 484

**Spectral perturbation on spectral augmentation baselines.** SPAN, being a state-of-the-art spectral augmentation algorithm, demonstrated the correlation between graph spectra and model performance

486 through designated perturbation on spectral priors. However, the effectiveness of simple edge 487 perturbation motivated us to further investigate whether such a relationship is causational. 488

Specifically, for each pair of SPAN augmented graphs  $\mathcal{G}^1, \mathcal{G}^2$ , we further augment them into 489  $\mathcal{G}_{SPA}^1, \mathcal{G}_{SPA}^2$  with our proposed SPA augmentor. The SPA-augmented training is performed un-490 der the same setup as SPAN, with graphs being SPA-augmented graphs  $\mathcal{G}_{SPA}$ . Experiment results 491 in Fig 3 show that the effectiveness of graph augmentation can be preserved and, in some cases 492 improved, even if the spectral information is destroyed. 493

SPAN, along with other spectral augmentation algorithms, can be formulated as an optimization on a 494 parameterized 2-step generative process: 495

$$s_{SPAN} \sim p_{\theta} \left( \boldsymbol{S}_{SPAN} \mid \boldsymbol{\mathcal{G}}_0 \right), \qquad \boldsymbol{\mathcal{G}}_{SPAN} \sim p_{\phi} \left( \boldsymbol{\mathcal{G}}_{SPAN} \mid \boldsymbol{S}_{SPAN} \right)$$
(5)

497 Given the property that  $\mathcal{G}_{SPA}$  is topologically close to  $\mathcal{G}_{SPAN}$  and the performance function P = 498  $f(\mathcal{G})$ ,  $\lim_{\mathcal{G} \to \mathcal{G}_{SPAN}} P(\mathcal{G}) = P(\mathcal{G}_{SPAN})$ , which indicates the continuity around  $\mathcal{G}_{SPAN}$ , we make a 499 reasonable assertion that  $\mathcal{G}_{SPA}$  comes from the same distribution as  $\mathcal{G}_{SPAN}$ . However, with their 500 spectral space being enforced to be distant,  $\mathcal{G}_{SPA}$  is almost impossible to be sampled from the same 501 spectral augmentation generative process:

$$d_{SPA} \to \infty \implies p_{\theta} \left( s_{SPA} \mid \boldsymbol{\mathcal{G}}_0 \right) \to 0 \implies p_{\theta,\phi} \left( \boldsymbol{\mathcal{G}}_{SPA} \mid \boldsymbol{\mathcal{G}}_0 \right) \to 0 \tag{6}$$

Although the constrained generative process in Eq. 5 does indicate some extent of causality between 505 spectral distribution S and the spectral-augmented graph distribution  $\mathcal{G}_{SPAN}$ , our experiment challenges a more essential and fundamental aspect of such reasoning: such causality exists upon pre-defined generative processes, which does not intrinsically exist in the graph distributions. Even worse, such constrained generative process is incapable of modeling the full distribution of  $\mathcal{G}_{SPAN}$ 508 itself. In our experiment setup, all  $\mathcal{G}_{SPA}$  serve as strong counter examples. 509



Figure 3: Comparison of SPAN performance before and after applying SPA. After severely disrupting the spectral, the performance of SPAN is still comparable to that of the original version.

### 8 CONCLUSION

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In this study, we investigate the effectiveness of spectral augmentation in contrast-based graph 529 self-supervised learning (CG-SSL) frameworks to answer the question: Are spectral augmentations 530 *necessary in CG-SSL*? Our findings indicate that spectral augmentation does not significantly enhance 531 learning efficacy. Instead, simpler edge perturbation techniques, such as random edge dropping for 532 node-level tasks and random edge adding for graph-level tasks, not only compete well but often 533 outperform spectral augmentations. To be specific, we demonstrate that the benefits of spectral 534 augmentation diminish with shallower networks, and edge perturbations yield superior performance in both node- and graph-level classification tasks. Additionally, GNN encoders struggle to learn 536 spectral information from augmented graphs, and perturbing edges to alter spectral characteristics 537 does not degrade model performance. These results challenge the current emphasis on spectral augmentation, advocating for more straightforward and effective edge perturbation techniques in 538 **CG-SSL**, potentially reshaping the understanding and implementation of graph self-supervised learning.

<b>Ethics Statement</b>	To the authors' best knowledge, no major ethics issues in this submission.
Reproducibility S	Statement We have made efforts to ensure the reproducibility of our work:
. Data sata	. All deterrets used in this study are sublicity surilable through the Dr.Track Con-
• Datasets	All datasets used in this study are publicly available infough the Py forch Geo-
Tables 4	and 5 respectively
	$\frac{1}{1} = \frac{1}{1} = \frac{1}$
• Impleme	<b>intation:</b> Our CG-SSL framework implementation is based on the work of Zhu $210^2$ . We will open source our eads in the near future to facilitate reproducibility.
et al. (202	21a) . We will open-source our code in the near future to facilitate reproducionity.
No additional data	processing steps were required beyond those inherent in the PvG library. Detailed
model architecture	es and evaluation protocols are provided in the Sec. 6.1 and Appendix A.
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703										
704			Dataset	;	#Nodes	#Edges	#Fea	atures	#Class	ses
705			Cont		2 709	5 420	1	422	7	
706			CORA		2,708	5,429	1,	433		
707			CITESEER		3,327	4,732	3,	/03	6	
700			PubMed		19,717	44,338	5	00	3	
708			COMPUTER	S	13,752	245,861	7	67	10	
709			Рното		7,650	119,081	7	45	8	
710			COAUTHOR-	CS	18,333	81,894	6,	805	15	
711			COAUTHOR-P	ЧΥ	34,493	247,962	8,	415	5	
712			Table	5: Stat	istics of	node classif	icatio	n datase	ts	
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714		•	Dataset	#Ave	. Nodes	#Avg. Ed	lges	# Grar	ohs #	Classes
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718			IMDB-BINARY	- 1	19.8	96.53	3	1.00	0	$\overline{2}$
719			IMDB-MULTI	1	13.0	65.94	L	1 50	Ô	5
720			mee meen			05.7		1,50	0	2
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Table 4: Statistics of node classification datasets

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### DATASET AND TRAINING CONFIGURATION

723 Datasets. The node classification datasets used in this paper include the CORA, CITESEER, and 724 PUBMED citation networks (Kipf & Welling, 2016), as well as the PHOTO and COMPUTERS co-725 purchase networks (Shchur et al., 2018). Additionally, we use the COAUTHOR-CS and COAUTHOR-PHY co-author relationship networks. The statistics of node-level datasets are present in Table 4. The 726 graph classification datasets include: The MUTAG dataset, which features seven types of graphs 727 derived from 188 mutagenic compounds; the NCI1 dataset, which contains compounds tested for 728 their ability to inhibit human tumor cell growth; the PROTEINS dataset, where nodes correspond to 729 secondary structure elements connected if they are adjacent in 3D space; and the IMDB-BINARY 730 and IMDB-MULTI movie collaboration datasets, where graphs depict interactions among actors 731 and actresses, with edges denoting their collaborations in films. These movie graphs are labeled 732 according to their genres. The statistics of graph-level datasets are present in Table 5. All datasets 733 can be accessed through PyG library<sup>3</sup>. All experiments are conducted using 8 NVIDIA A100 GPU. 734

**Training configuration.** For each **CG-SSL** framework, we implement it based on (Zhu et al., 2021a) 735 <sup>4</sup>. We use the following hyperparameters: the learning rate is set to  $5 \times 10^{-4}$ , and the node hidden 736 size is set to 512, the number of GCN encoder layer is set  $\in \{1, 2\}$ . For all node classification 737 datasets, training epochs are set  $\in \{50, 100, 150, 200, 400, 1000\}$ , and for all graph classification 738 datasets, training epochs are set  $\in \{20, 40, ..., 200\}$ . To achieve performance closer to the global 739 optimum, we use randomized search to determine the optimal probability of edge perturbation and 740 SPAN perturbation ratio. For CORA and CITESEER the search is conducted one hundred times, and 741 for all other datasets, it is conducted twenty times. For all graph classification datasets, the batch size 742 is set to 128.

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### В PRELIMINARIES OF GRAPH SPECTRUM AND SPAN

746 Given a graph  $\mathcal{G} = (\mathbf{A}, \mathbf{X})$  with adjacency matrix  $\mathbf{A}$  and feature matrix  $\mathbf{X}$ , we introduce some 747 fundamental concepts related to the graph spectrum. 748

**Laplacian Matrix Spectrum** The Laplacian matrix **L** of a graph is defined as:

L = D - A

where **D** is the degree matrix, a diagonal matrix where each diagonal element  $D_{ii}$  represents the degree of vertex *i*. The eigenvalues of the Laplacian matrix, known as the Laplacian spectrum, are

<sup>754</sup> <sup>3</sup>https://pytorch-geometric.readthedocs.io/en/latest/modules/datasets. html 755

<sup>&</sup>lt;sup>4</sup>https://github.com/PyGCL/PyGCL

crucial in understanding the graph's structural properties, such as its connectivity and the number of spanning trees (Chung, 1997).

**Normalized Laplacian Spectrum** The normalized Laplacian matrix  $L_{norm}$  is given by:

The eigenvalues of the normalized Laplacian matrix, referred to as the normalized Laplacian spectrum, are often used in spectral clustering (Von Luxburg, 2007) and other applications where normalization is necessary to account for varying vertex degrees.

 $\mathbf{L}_{\text{norm}} = \mathbf{D}^{-1/2} \mathbf{L} \mathbf{D}^{-1/2}$ 

765 SPAN The core assumption of SPAN is to maximize the consistency of the representations of two
 766 views with a large spectrum distance, thereby filtering out edges sensitive to the spectrum, such as
 r67 edges between clusters. By focusing on more stable structures relative to the spectrum, the objective
 r68 of SPAN can be formulated as:

$$\max_{\mathbf{1}, \mathcal{T}_2 \in \mathcal{S}} \left\| \operatorname{eig}\left(\mathbf{L}_1\right) - \operatorname{eig}\left(\mathbf{L}_2\right) \right\|_2^2 \tag{7}$$

where the transformations  $\mathcal{T}_1$  and  $\mathcal{T}_2$  convert **A** to **A**<sub>1</sub> and **A**<sub>2</sub>, respectively, producing the normalized Laplacian matrices **L**<sub>1</sub> and **L**<sub>2</sub>. Here, S represents the set of all possible transformations, and the graph spectrum can be calculated by eig (**L**).

### C OBJECT FUNCTION OF GCL FRAMEWORK

•  $p_{\phi}$ : Projection head parameterized by  $\phi$ .

Here we briefly introduce the object functions of the four CG-SSL frameworks used in this paper,
for a more detailed discussion about object functions including other graph contrastive learning and
graph self-supervised learning frameworks which can refer to the survey papers (Xie et al., 2022; Wu
et al., 2021; Liu et al., 2022b). We use the following notations:

- **785** $\mathbf{h}_i, \mathbf{h}_j$ : Representations of the graph nodes.**786** $\mathbf{h}'_n$ : Representations of negative sample nodes.**787** $\mathcal{P}$ : Distribution of positive sample pairs.**788** $\widetilde{\mathcal{P}}^N$ : Distribution of negative sample pairs.**789** $\widetilde{\mathcal{P}}^N$ : Distribution of negative sample pairs.**790** $\mathcal{B}$ : Set of nodes in a batch.
  - **H**<sup>(1)</sup>, **H**<sup>(2)</sup>: Node representation matrices of two views.

GRACE uses the InfoNCE loss to maximize the similarity between positive pairs and minimize the similarity between negative pairs. InfoNCE loss encourages representations of positive pairs (generated from the same node via data augmentation) to be similar while pushing apart the representations of negative pairs (from different nodes). The loss function  $\mathcal{L}_{NCE}$  denotes as:

$$\mathcal{L}_{\text{NCE}}\left(p_{\phi}\left(\mathbf{h}_{i},\mathbf{h}_{j}\right)\right) = -\mathbb{E}_{\mathcal{P}\times\widetilde{\mathcal{P}}^{N}}\left[\log\frac{e^{p_{\phi}(\mathbf{h}_{i},\mathbf{h}_{j})}}{e^{p_{\phi}(\mathbf{h}_{i},\mathbf{h}_{j})} + \sum_{n\in N}e^{p_{\phi}(\mathbf{h}_{i},\mathbf{h}_{n}')}}\right]$$
(8)

MVGRL employs the Jensen-Shannon Estimator (JSE) for contrastive learning, which focuses on the mutual information between positive pairs and negative pairs.JSE maximizes the mutual information between positive pairs and minimizes it for negative pairs, thus improving the representations' alignment and uniformity. The loss function  $\mathcal{L}_{JSE}$  denotes as:

$$\mathcal{L}_{\text{JSE}}\left(p_{\phi}\left(\mathbf{h}_{i},\mathbf{h}_{j}\right)\right) = \mathbb{E}_{\mathcal{P}\times\tilde{\mathcal{P}}}\left[\log\left(1-p_{\phi}\left(\mathbf{h}_{i},\mathbf{h}_{j}'\right)\right)\right] - \mathbb{E}_{\mathcal{P}}\left[\log\left(p_{\phi}\left(\mathbf{h}_{i},\mathbf{h}_{j}\right)\right)\right]$$
(9)

BGRL utilizes a loss similar to BYOL, which does not require negative samples. It uses two networks, an online network and a target network, to predict one view from the other:

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$$\mathcal{L}_{\text{BYOL}}\left(p_{\phi}\left(\mathbf{h}_{i},\mathbf{h}_{j}\right)\right) = \mathbb{E}_{\mathcal{P}\times\mathcal{P}}\left[2-2\cdot\frac{\left[p_{\phi}\left(\mathbf{h}_{i}\right)\right]^{T}\mathbf{h}_{j}}{\left\|p_{\phi}\left(\mathbf{h}_{i}\right)\right\|\left\|\mathbf{h}_{j}\right\|}\right]$$
(10)

G-BT applies the Barlow Twins' loss to reduce redundancy in the learned representations, thereby ensuring better generalization:

$$\mathcal{L}_{\mathrm{BT}}\left(\mathbf{H}^{(1)},\mathbf{H}^{(2)}\right) = \mathbb{E}_{\mathcal{B}\sim\mathcal{P}|\mathcal{B}|} \left[ \sum_{a} \left( 1 - \frac{\sum_{i\in\mathcal{B}}\mathbf{H}_{ia}^{(1)}\mathbf{H}_{ia}^{(2)}}{\left\|\mathbf{H}_{ia}^{(1)}\right\| \left\|\mathbf{H}_{ia}^{(2)}\right\|} \right)^{2} + \lambda \sum_{a} \sum_{b\neq a} \left( \frac{\sum_{i\in\mathcal{B}}\mathbf{H}_{ia}^{(1)}\mathbf{H}_{ib}^{(2)}}{\left\|\mathbf{H}_{ia}^{(1)}\right\| \left\|\mathbf{H}_{ib}^{(2)}\right\|} \right)^{2} \right].$$

$$(11)$$

### THEORETICAL GAPS IN SPECTRAL AUGMENTATION FOR GRAPH D LEARNING

A significant gap exists between the theoretical foundations of spectral methods (Liu et al., 2022a; Yang et al., 2023) and their practical application in graph learning. Applying spectral theory to graph learning is often non-trivial, as it typically requires several simplifying assumptions that may 833 not hold in real-world scenarios. This disconnect is evident in the underlying motivations of many spectral-based self-supervised learning (CG-SSL) methods. While spectral techniques aim to harness the eigenvalues and eigenvectors of graph Laplacians, their direct application to SSL tasks often leads to assumptions that are challenging to justify in practice (Liu et al., 2022a; Yang et al., 2023). 836

837 For instance, Theorem 1 in SpCo (Liu et al., 2022a) posits an upper bound on the InfoNCE loss in 838 terms of the  $L_2$  distance between the eigenvalues of the original and augmented graphs, moderated 839 by adaptive weights:

 $\mathcal{L}_{\text{InfoNCE}} \leq \frac{1+N}{2} \sum_{i} \theta_i \left[ 2 - \left(\lambda_i - \gamma_i\right)^2 \right].$ 

However, this relationship is relatively loose, resting on assumptions such as using a GCN encoder

without activation layers. Furthermore, while InfoNCE is a widely used contrastive learning objective,

its upper bound guarantees performance only within the specific contrastive training setup. This does

not necessarily reflect the quality of the learned representations themselves, nor does it imply that

these representations will perform well on downstream tasks like node classification. Thus, while

the theorem provides useful theoretical insights, its direct relevance to practical graph learning tasks

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### E MORE EXPERIMENTS

remains limited.

### 855 E.1 EFFECT OF NUMBERS OF GCN LAYERS

We explore the impact of GCN depth on accuracy by testing GCNs with 4, 6, and 8 layers, using our 857 edge perturbation methods alongside SPAN baselines. Experiments were conducted with the GRACE 858 and G-BT frameworks on the Cora dataset for node classification and the MUTAG dataset for graph 859 classification. Each configuration was run three times, with the mean accuracy and standard deviation 860 reported. 861

Overall, deeper GCNs (6 and 8 layers) tend to perform worse across both tasks, reinforcing the 862 observation that deeper architectures, despite their theoretical expressive power, may negatively impact the quality of learned representations. The results are summarized in Tables 6 and 7.

867	Model	4	6	8
869	GBT+DROPEDGE	$83.53 \pm 1.48$	82.06± 3.45	$80.88 \pm 1.38$
870	GBT +ADDEDGE GBT+SPAN	$81.99 \pm 0.79$ $80.39 \pm 2.17$	$79.04 \pm 1.59$ $81.25 \pm 1.67$	$79.41 \pm 1.98$ $79.41 \pm 1.87$
872	GRACE+DROPEDGE	$82.35{\pm}1.08$	82.47±1.35	81.74± 2.42
873 874	GRACE +ADDEDGE GRACE+SPAN	$\begin{array}{c} 79.17 \pm 1.35 \\ 80.15 \pm 0.30 \end{array}$	$78.80 \pm 0.96$ $80.15 \pm 0.79$	$81.00 \pm 0.17$ $75.98 \pm 1.54$

864 Table 6: Impact of GCN depth on node classification task on the CORA dataset. The best result of each column is in grey. Metric is accuracy (%).

Table 7: Impact of GCN depth on graph classification task on the MUTAG dataset. The best result of each column is in grey. Metric is accuracy (%).

MODEL	4	6	8
GBT+DROPEDGE GBT +ADDEDGE GBT+SPAN	$\begin{array}{c} 90.74 \pm 2.61 \\ 94.44 \pm 0.00 \\ 94.44 \pm 4.53 \end{array}$	$\begin{array}{c} 88.88 \pm 4.53 \\ 94.44 \pm 4.53 \\ 92.59 \pm 2.61 \end{array}$	$\begin{array}{c} 88.88 \pm 7.85 \\ 94.44 \pm 4.53 \\ 90.74 \pm 2.61 \end{array}$
GRACE+DROPEDGE GRACE +ADDEDGE GRACE+SPAN	$\begin{array}{c} 94.44 \pm 0.00 \\ 92.59 \pm 5.23 \\ 90.74 \pm 2.61 \end{array}$	$\begin{array}{c} 90.74 \pm 2.61 \\ 94.44 \pm 4.53 \\ 90.74 \pm 5.23 \end{array}$	$\begin{array}{c} 90.74 \pm 2.61 \\ 94.44 \pm 0.00 \\ 88.88 \pm 7.85 \end{array}$

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### E.2 EFFECT OF GNN ENCODER

890 To further validate the generality of our approach, we conducted additional experiments using different 891 GNN encoders. For the node classification task, we evaluated the CORA dataset with GAT as the 892 encoder, while for the graph classification task, we performed experiments on the MUTAG dataset 893 using both GAT and GPS as encoders.

894 The results, presented in Tables 8 and 9, are shown alongside the results obtained with GCN encoders. 895 These findings demonstrate that our simple edge perturbation method consistently outperforms 896 the baselines, regardless of the choice of the encoder. This confirms that our conclusions hold 897 across different encoder architectures, underscoring the robustness and effectiveness of the proposed approach.

900 E.3 GRAPH-LEVEL ANALYSIS FOR DEGENERATION OF THE SPECTRUM AFTER EP (SEC. 7.1 901 CONT.) 902

For graph-level analysis, we basically follow the settings mentioned above in node-level one. The 903 only difference from the node-level task is that we have multiple original graphs with various numbers 904 of nodes, leading to the inconsistent dimensions of the vector of the eigenvalues. Therefore, to provide 905 a more detailed comparison of spectral properties at the graph level, we employ Kernel Density 906 Estimation (KDE) (Parzen, 1962) to interpolate and smooth the distributions of eigenvalues. We 907 compare two groups of graph spectra. Each group's spectra are processed to compute their KDEs, 908 and the mean and standard deviation of these KDEs are calculated. 909

We analyze the spectral distributions of two node classification datasets: MUTAG and PROTEINS. 910 We compare the average spectral properties of both original and augmented graphs. The augmentation 911 method used is ADDEDGE as it is the better among two EP methods, applied with optimal add rate 912 identified for the G-BT method. 913

914 Like the results in node-level analysis, in Fig. 4a and 4b, we witness the obvious difference between 915 the average spectra of original graphs while the significant overlap between those of augmented graphs, especially if pay attention to the overlapping of the area created by the standard deviation 916 of KDEs. Again, this contrast is not trivial because of the striking mismatch between the average 917 spectra of original and augmented graphs in both datasets, as presented in Fig. 4c and 4d.

Model	GCN	GAT
MVGRL+SPAN MVGRL+DropEdge MVGRL +AddEdge	$\begin{array}{c} 84.57 \pm 0.22 \\ 84.31 \pm 1.95 \\ 83.21 \pm 1.65 \end{array}$	$\begin{array}{c} 82.90 \pm 0.86 \\ 83.21 \pm 1.41 \\ 83.33 \pm 0.17 \end{array}$
GBT+SPAN GBT + DropEdge GBT + AddEdge	$\begin{array}{c} 82.84 \pm 0.90 \\ 84.19 \pm 2.07 \\ 85.78 \pm 0.62 \end{array}$	$\begin{array}{c} 83.47 \pm 0.39 \\ 84.06 \pm 1.05 \\ 81.49 \pm 0.45 \end{array}$
GRACE + SPAN GRACE + DROPEDGE GRACE + ADDEDGE	$\begin{array}{c} 82.84 \pm 0.91 \\ 84.19 \pm 2.07 \\ 85.78 \pm 0.62 \end{array}$	$\begin{array}{c} 82.74 \pm 0.47 \\ 82.84 \pm 2.58 \\ 82.84 \pm 1.21 \end{array}$
BGRL + SPAN BGRL + DropEdge BGRL + AddEdge	$\begin{array}{c} 83.33 \pm 0.45 \\ 83.21 \pm 3.29 \\ 81.49 \pm 1.21 \end{array}$	$\begin{array}{c} 82.59 \pm 0.79 \\ 80.88 \pm 1.08 \\ 82.23 \pm 2.00 \end{array}$

Table 8: Accuracy of node classification with different GNN encoders on CORA dataset. The best
 result of each column is in grey. Metric is accuracy (%).

Table 9: Accuracy of graph classification with different GNN encoders on MUTAG dataset. The best result of each column is in grey. Metric is accuracy (%).

Model	GCN	GAT	GPS
MVGRL+SPAN MVGRL+DropEdge MVGRL +AddEdge	$\begin{array}{c} 93.33 \pm 2.22 \\ 93.33 \pm 2.22 \\ 94.44 \pm 3.51 \end{array}$	$\begin{array}{c} 96.29 \pm 2.61 \\ 92.22 \pm 3.68 \\ 94.44 \pm 6.57 \end{array}$	$\begin{array}{c} 94.44 \pm 0.00 \\ 96.26 \pm 5.23 \\ 95.00 \pm 5.24 \end{array}$
GBT+SPAN GBT + DropEdge GBT + AddEdge	$\begin{array}{c} 90.00 \pm 6.47 \\ 92.59 \pm 2.61 \\ 92.59 \pm 2.61 \end{array}$	$\begin{array}{c} 94.44 \pm 4.53 \\ 94.44 \pm 4.53 \\ 92.59 \pm 2.61 \end{array}$	$\begin{array}{c} 90.74 \pm 5.23 \\ 94.44 \pm 4.53 \\ 94.44 \pm 4.53 \end{array}$
GRACE + SPAN GRACE + DROPEDGE GRACE + ADDEDGE	$\begin{array}{c} 90.00 \pm 4.15 \\ 88.88 \pm 3.51 \\ 92.22 \pm 4.22 \end{array}$	$\begin{array}{c} 96.29 \pm 2.61 \\ 94.44 \pm 0.00 \\ 96.29 \pm 2.61 \end{array}$	$\begin{array}{c} 92.59 \pm 2.61 \\ 94.44 \pm 4.53 \\ 94.44 \pm 0.00 \end{array}$
BGRL + SPAN BGRL + DropEdge BGRL + AddEdge	$\begin{array}{c} 90.00 \pm 4.15 \\ 88.88 \pm 4.96 \\ 91.11 \pm 5.66 \end{array}$	$\begin{array}{c} 94.44 \pm 4.53 \\ 90.74 \pm 4.54 \\ 96.29 \pm 2.61 \end{array}$	$\begin{array}{c} 94.44 \pm 0.00 \\ 92.59 \pm 5.23 \\ 96.29 \pm 2.61 \end{array}$

### E.4 Relationship between spectral cues and performance of EP

Based on the findings obtained from Sec 7.1, it is very likely that spectral information can not be distinguishable enough for good representation learning on the graph. But to more directly answer the question of whether spectral cues and information play an important role in the learning performance of **EP**, we continue to conduct a statistical analysis to evaluate the influence of various factors on the learning performance. The results turn out to be consistent with our claim that spectral cues are insignificant aspects of outstanding performance on accuracy observed in Sec. 6.

### E.4.1 STATISTICAL ANALYSES ON KEY FACTORS ON PERFORMANCE OF EP

From a statistical angle, we have a few dimensions of factors that can possibly influence learning performance, like the parameters of  $\mathbf{EP}$  (i.e. drop rate p in DROPEDGE or add rate q in ADDEDGE) as well as potential spectral cues lying in the argument graphs. Therefore, to rule out the possibility that spectral cues and information are significant, comparisons are conducted on the impact of the parameters of  $\mathbf{EP}$  in the augmentations versus:

1. The average  $L_2$ -distance between the spectrum of the original graph (OG) and that of each augmented graph (AUG) which is introduced by **EP** augmentations, denoted as OG-AUG.



2. The average  $L_2$ -distance between the spectra of a pair of augmented graphs appearing in the same learning epoch when having a two-way contrastive learning framework, like G-BT, denoted as AUG-AUG.

Two statistical analyses have been carried out to argue that the former is a more critical determinant and a more direct cause of the model efficacy. Each analysis was chosen for its ability to effectively dissect and compare the impact of edge perturbation parameters versus spectral changes.

Due to the high cost of calculating the spectrum of all AUGs in each epoch and the stability of the spectrum of the node-level dataset (as the original graph is fixed in the experiment), we perform this experiment on the contrastive framework and augmentation methods with the best performance in the study, i.e. G-BT with DROPEDGE on node-level classification. Also, we choose the small datasets, CORA for analysis. Note that the smaller the graph, the higher the probability that the spectrum distance has a significant influence on the graph topology.

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Analysis 1: Polynomial Regression. Polynomial regression was utilized to directly model the
 relationship between the test accuracy of the model and the average spectral distances introduced
 by EP. This method captures the linear, or non-linear influences that these spectral distances may
 exert on the learning outcomes, thereby providing insight into how different parameters affect model
 performance.

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1026 Table 10: Polynomial regression of node-level accuracy over drop rate p in DROPEDGE, average 1027 spectral distance between OG and AUG (OG-AUG), and average spectral distance between AUG 1028 pairs (AUG-AUG). The method is G-BT and the dataset is CORA. The best results are in grey.

Order of the regression	Regressor	R-squared $\uparrow$	Adj. R-squared $\uparrow$	F-statistic ↑	P-value ↓
	Drop rate p	0.628	0.621	81.12	6.94e-12
1 (i.e. linear)	OG-AUG	0.388	0.375	30.45	1.35e-06
	AUG-AUG	0.338	0.325	24.55	9.39e-06
	Drop rate p	0.844	0.837	126.9	1.14e-19
2 (i.e. quadratic)	OG-AUG	0.721	0.709	60.78	9.23e-14
	AUG-AUG	0.597	0.580	34.88	5.16e-10

1037 The polynomial regression analysis in Table 10 highlights that the drop rate p is the primary factor influencing model performance, showing strong and significant linear and non-linear relationships 1039 with test accuracy. In contrast, both the OG-AUG and AUG-AUG spectral distances have relatively 1040 minor impacts on performance, indicating that they are not significant determinants of the model's 1041 efficacy. 1042

1043 **Analysis 2: Instrumental Variable Regression.** To study the causal relationship, we perform an 1044 Instrumental Variable Regression (IVR) to rigorously evaluate the influence of spectral information 1045 and edge perturbation parameters on the performance of **CG-SSL** models. Specifically, we employ 1046 a Two-Stage Least Squares (IV2SLS) method to address potential endogeneity issues and obtain 1047 unbiased estimates of the causal effects.

1048 In IV2SLS analysis, we define the variables as follows: 1049

- Y (Dependent Variable): The outcome we aim to explain or predict, which in this case is the performance of the SSL model.
- X (Explanatory Variable): The variable that we believe directly influences Y. It is the primary factor whose effect on Y we want to measure.
- Z (Instrumental Variable): A variable that is correlated with X but not with the error term in the Y equation. It helps to isolate the variation in X that is exogenous, providing a means to obtain unbiased estimates of X's effect on Y.

1058 In this specific experiment, we conduct four separate regressions to compare the causal effects of these factors:

- 1. (X = AUG-AUG, Z = Parameter): Examines the relationship where the spectral distance between augmented graphs (AUG-AUG) is the explanatory variable (X) and edge perturbation parameters are the instrument (Z).
- 2. (X = Parameter, Z = AUG-AUG): Examines the relationship where the edge perturbation parameters are the explanatory variable (X) and the spectral distance between augmented graphs (AUG-AUG) is the instrument (Z).
- 3. (X = OG-AUG, Z = Parameter): Examines the relationship where the spectral distance between the original and augmented graphs (OG-AUG) is the explanatory variable (X) and edge perturbation parameters are the instrument (Z).
  - 4. (X = Parameter, Z = OG-AUG): Examines the relationship where the edge perturbation parameters are the explanatory variable (X) and the spectral distance between the original and augmented graphs (OG-AUG) is the instrument (Z).

1074 The IV2SLS regression results for the node-level task in Table 11 indicate that the edge perturba-1075 tion parameters are more significant determinants of model performance than spectral distances. Specifically, when the spectral distance between augmented graphs (AUG-AUG) is the explanatory variable (X) and drop rate p are the instrument (Z), the model explains 34.1% of the variance in 1077 performance (R-squared = 0.341). Conversely, when the roles are reversed (X = p, Z = AUG-AUG), 1078 the model explains 61.1% of the variance (R-squared = 0.611), indicating a stronger influence of 1079 edge perturbation parameter p. A similar conclusion can be made when comparing OG-AUG and p. 1080Table 11: IV2SLS regression results for the node-level task. The parameter p refers to the drop rate in1081DROPEDGE. The experiment comes in pairs for each pair of variables and the better result is marked1082in grey

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1084	Variable settings	R-squared $\uparrow$	F-statistic <b>↑</b>	<b>Prob</b> ( <b>F</b> -statistic) ↓
1085	$(\mathbf{X} = \text{AUG-AUG}, \mathbf{Z} = p)$	0.341	45.77	1.68e-08
1086	$(\mathbf{Z} = p, \mathbf{Z} = AUG-AUG)$	0.611	47.85	9.85e-09
1087	$(\mathbf{X} = \text{OG-AUG}, \mathbf{Z} = p)$	0.250	40.22	7.51e-08
1088	$(\mathbf{X} = p, \mathbf{Z} = OG-AUG)$	0.606	41.27	5.62e-08

Summary of Regression Analyses The analyses distinctly show that the direct edge perturbation parameters have a consistently stronger and more significant impact on model performance than the two types of spectral distances that serve as a reflection of spectral information. The results support the argument that while spectral information might have contributed to model performance, its significance is extremely limited and the parameters of the EP methods themselves are more critical determinants.

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