

One by One, Continual Coordinating with Humans via Hyper-Teammate Identification

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Abstract

One of the primary objectives in modern artificial intelligence researches is to empower agents to effectively coordinate with diverse teammates, particularly human teammates. Previous studies focused on training agents either with a fixed population of pre-generated teammates or through the co-evolution of distinct populations of agents and teammates. However, it is challenging to enumerate all possible teammates in advance, and it is costly, or even impractical to maintain such a sufficiently diverse population and repeatedly interact with previously encountered teammates. Additional design considerations, such as prioritized sampling, are also required to ensure efficient training. To address these challenges and obtain an efficient human-AI coordination paradigm, we propose a novel approach called **Concord**. Considering that human participants tend to occur in a sequential manner, we model the training process with different teammates as a continual learning framework, akin to how humans learn and adapt in the real world. We propose a mechanism based on hyper-teammate identification to prevent catastrophic forgetting while promoting forward knowledge transfer. Concretely, we introduce a teammate recognition module that captures the identification of corresponding teammates. Leveraging the identification, a well-coordinated AI policy can be generated via the hyper-network. The entire framework is trained in a decomposed policy gradient manner, allowing for effective credit assignment among agents. This approach enables us to train agents with each generated teammate or humans one by one, ensuring that agents can coordinate effectively with concurrent teammates without forgetting previous knowledge. Our approach outperforms multiple baselines in various multi-agent benchmarks, either with generated human proxies or real human participants.

1 Introduction

Cooperative Multi-Agent Reinforcement Learning (MARL) has made significant progress in recent years, enabling multiple agents to work together towards a shared goal in diverse domains such as active voltage control (Wang et al., 2021a) and dynamic algorithm configuration (Xue et al., 2022b). A variety of MARL solutions have been proposed, including value-based approaches such as VDN (Sunehag et al., 2018), QMIX (Rashid et al., 2018), and QPLEX (Wang et al., 2021), policy gradient methods such as MADDPG (Lowe et al., 2017) and MAPPO (Yu et al., 2022), and newer variants like Transformer (Wen et al., 2022). However, building AI agents that can effectively coordinate with unseen teammates, especially human teammates, remains a significant challenge (Klien et al., 2004; Mutlu et al., 2013; Strouse et al., 2021; Koster et al., 2022). This ability is essential for numerous applications, including human-machine communication (Guzman & Lewis, 2020), cooperative autonomous vehicles (Toghi et al., 2021), and assistive robot control (Losey et al., 2022). Previous approaches focused on building effective behavior models from human data, which is inefficient for complex problems (Kidd & Breazeal, 2008) and may raise privacy concerns (Pan et al., 2019). Recent approaches, such as ad-hoc teamwork (Mirsky et al., 2022), zero-shot coordination (Treutlein et al., 2021), and few-shot teamwork (Fosong et al., 2022), have shown remarkable coordination abilities in a wide range of tasks, such as Overcooked (Carroll et al., 2019). However, these methods may suffer from over-fitting to their training teammates and struggle to coordinate effectively with

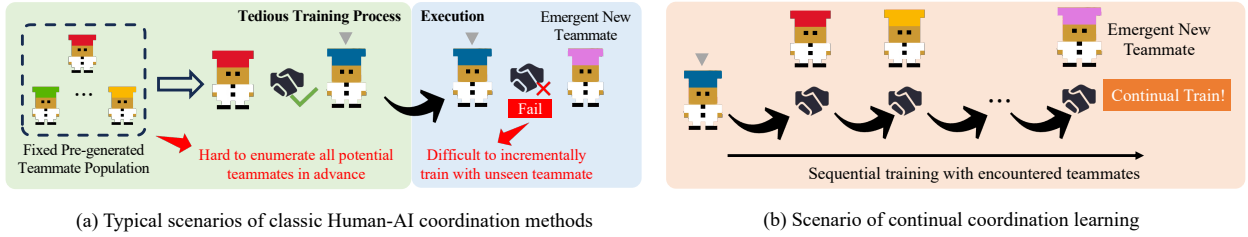


Figure 1: This figure provides a visual comparison between our proposed setting of continual coordination learning and typical scenarios of classic Human-AI coordination methods.

unseen agents (Mahajan et al., 2022). Thus, the challenge of building AI agents capable of generalizing to unseen teammates in the open-world (Zhou, 2022) remains.

Training with different teammates is a promising approach to tackling the mentioned issue, involving the generation of diverse teammates and an efficient training paradigm. To achieve the former, one approach is to use hand-crafted policies (Xie et al., 2021; Papoudakis et al., 2021), special object regularizer (Derek & Isola, 2021; Lupu et al., 2021), or Population-Based Training (PBT) (Strouse et al., 2021; Xue et al., 2022a; Zhao et al., 2023). Regarding the training paradigm, a naive way is self-play (Tesauro, 1994; Silver et al., 2018), where the agent iteratively improves via playing against itself. Fictitious Co-Play (FCP) (Heinrich et al., 2015; Strouse et al., 2021) trains agents to be the best response to both the fully-trained agents and their checkpoints. These approaches have demonstrated significant progress in benchmarks such as Overcooked (Carroll et al., 2019) and Hanabi (Lupu et al., 2021), offering promising prospects for human-AI coordination and cooperation. However, the aforementioned methods pre-generate various teammates and necessitate access to all of them during training, which might be unfeasible in the real world. On one hand, the task of enumerating all potential teammates in advance poses significant challenges. Maintaining a sufficiently diverse population has already placed a substantial demand on computing and storage resources, incurring considerable costs. Furthermore, if agents are required to cooperate with an unseen teammate, learning from scratch with all previous seen teammates (especially human participants) would be even more wasteful, considering the limitations of global time differences and economic costs. On the other hand, learning a generalized agent via interactions with a population of teammates requires meticulous design to ensure efficient training, such as prioritized sampling (Zhao et al., 2023).

To address the aforementioned challenges, we draw inspiration from the manner in which humans learn to coordinate with diverse teammates. Human participants, with whom agents are trained to cooperate, typically emerge sequentially. Instead of learning to cooperate with all agents simultaneously, humans continually adapt to new teammates while retaining knowledge from previous interactions (Hadsell et al., 2020). In accordance with this idea, we propose a new coordination learning setting and formulate it as a Multi-Agent Continual Markov Decision Process (MACMDP), where the controlled agents are trained to coordinate effectively with different teammates that appear sequentially. Fig. 1 offers a visual comparison between this novel problem setting and the conventional scenarios found in previous works. We observe that naively applying single-agent continual learning methods to MARL is inefficient, and typical MARL approaches are inadequate for continual learning scenarios (RQ1 in Sec. 5.2). Therefore, we propose a general framework called **Concord** (abbreviation for **Continual coordination**) to enable efficient continual training of AI agents and solve the MACMDP. Concretely, we introduce a teammate recognizer that captures the identification information of each corresponding teammate and represents it as a latent embedding. We then utilize the learned teammate embeddings based on hypernetworks (Ha et al., 2017; Oswald et al., 2020) to generate the policy parameters of each controlled agent. Finally, we use value decomposition to facilitate credit assignment among agents, enabling our framework to handle multiple controlled agents rather than only one. To evaluate the effectiveness of Concord, we conduct extensive experiments on various cooperative multi-agent benchmarks including Overcooked (Carroll et al., 2019) and StarCraft Multi-Agent Challenge benchmark (SMAC) (Samvelyan et al., 2019). We compare Concord against multiple methods in different

scenarios, and the results demonstrate that Concord significantly outperforms these methods, achieving superiority across a range of evaluation metrics. Our main contributions are:

- To the best of our knowledge, this is the first time that human-AI coordination challenge is explored in a continual manner via our formulated MACMDP.
- We propose a continual MARL paradigm based on hyper-teammate identification, which is capable of effectively coordinating with diverse teammates and striking a balance between forward transferring and avoiding forgetting.
- Empirical studies on various benchmarks demonstrate the effectiveness of Concord in continuously coordinating with diverse teammates, including real human teammates.

2 Related work

Many real-world problems consist of multiple interactive agents, which can often be represented as a Multi-Agent Reinforcement Learning (MARL) problem Busoniu et al. (2008); Zhang et al. (2021). Additionally, when these agents share a common objective, it falls under the category of cooperative MARL Oroojlooy & Hajinezhad (2022), which has exhibited significant advancements in diverse domains such as path finding Sartoretti et al. (2019), active voltage control Wang et al. (2021a), and dynamic algorithm configuration Xue et al. (2022b). Numerous approaches have been proposed to facilitate agent coordination, including policy-based methods such as MADDPG Lowe et al. (2017) and MAPPO Yu et al. (2022), value-based techniques like VDN Sunehag et al. (2018) and QMIX Rashid et al. (2018), and other approaches like the transformer architecture Wen et al. (2022). These methods have demonstrated remarkable coordination abilities across a wide range of tasks, including SMAC, Hanabi, and GRF Yu et al. (2022). In addition to the mentioned approaches and their variants, numerous other methods have been proposed to investigate cooperative MARL, such as efficient communication strategies Zhu et al. (2022), offline policy deployment Zhang et al. (2023), model learning in MARL Wang et al. (2022a), policy robustness in the presence of perturbations Guo et al. (2022), and training paradigms like CTDE (centralized training with decentralized execution) Lyu et al. (2021), and more.

Building AIs that can cooperate and coordinate with different teammates or human remains a fundamental requirement and challenge for AI Dafoe et al. (2021). A typical approach utilizes techniques like modelling Albrecht & Stone (2018) to capture others’ intentions or behavior or (repeatedly) build an effective behavior model over human data and plan with the human model Sheridan (2016). These methods require an expensive and time-consuming data-collection process. Benefiting from the success of cooperative MARL Wong et al. (2022), many related approaches like ad-hoc teamwork (AHT) Mirsky et al. (2022), zero-shot coordination (ZSC) Treutlein et al. (2021), few-shot teamwork (FST) Fosong et al. (2022) have been developed recently. AHT is the research problem of designing agents that can coordinate with new teammates without prior coordination Stone et al. (2010), including teammates type inference Barrett & Stone (2015); Chen et al. (2020), changing point detection Ravula et al. (2019), partial observation solving Gu et al. (2021), adversarial training Fujimoto et al. (2022), robustness training Rahman et al. (2022), etc. The goal of ZSC is to train the agent(s) that can coordinate effectively with a wide range of unseen teammates Hu et al. (2020); Treutlein et al. (2021). Many works emerging to improve the ability of multi-agent system from different aspects, including diversity measurement Lupu et al. (2021); Zhao et al. (2023), training paradigm design Hu et al. (2020); Strouse et al. (2021); Xue et al. (2022a), human bias based approaches Yu et al. (2023), diverse teammates generation Charakorn et al. (2023), etc. The agents in AHT aim to coordinate with teammates from a target population, while agents in ZSC need aim to coordinate with arbitrary unseen teammates without any prior coordination Mirsky et al. (2022); Lauffer et al. (2023). Few-shot adaptation plays a crucial role in single agent reinforcement learning Kumar et al. (2020); Osa et al. (2022); Gaya et al. (2022b), which samples K episodes by any policy to obtain a latent variable z for downstream tasks. In FST setting Fosong et al. (2022), skilled agents trained in a team to complete one task are combined with skilled agents from different tasks and together must learn to adapt to an unseen but related task.

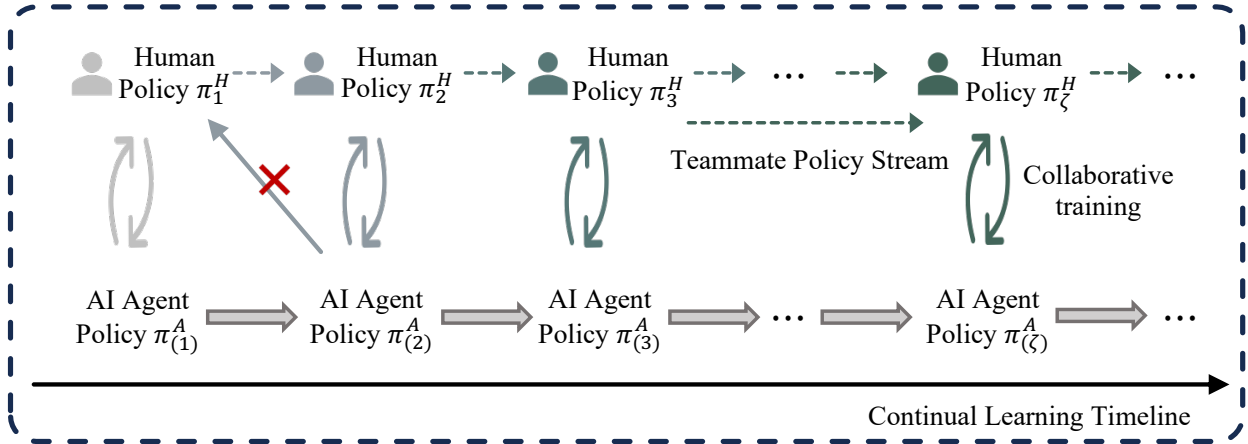


Figure 2: Workflow diagram of Multi-Agent Continual Markov Decision Process.

Another topic that is related to our work is Continual Learning, which involves sequential representations of tasks or samples Parisi et al. (2019); Masana et al. (2020); Kudithipudi et al. (2022). Continual learning, or to say incremental learning or lifelong learning, is considered in many different fields, while in the field of continual reinforcement learning Khetarpal et al. (2022), we expect the agents to sequentially learn decision-making across different tasks. Among the previous works, EWC Kirkpatrick et al. (2017) conducts ℓ_2 distance-based weight regularization with the weights learned in the previous tasks. This algorithm requires additional supervision information to select a specific Q-function head and task-specific exploration schedule for different tasks. Different from EWC, CLEAR Rolnick et al. (2018) is a task-agnostic method without need for task information during the continual learning process. It stores a large experience replay buffer and addresses the forgetting problem by sampling data of previous tasks from the buffer. Several other approaches, such as HyperCRL Huang et al. (2021) and Kessler et al. (2022a), utilize a learned world model to enhance the efficiency of continual learning. To address scalability issues in scenarios with a large number of tasks, CN-DPM Lee et al. (2020) and LLIRL Wang et al. (2022b) decompose the task space into subsets of data (tasks) and employ techniques like Dirichlet Process Mixture or Chinese Restaurant Process to expand the neural network for efficient continual supervised learning and reinforcement learning tasks, respectively. OWL Kessler et al. (2022b) is a recently proposed approach that utilizes a multi-head architecture to achieve high learning efficiency. CSP Gaya et al. (2022a) incrementally builds a subspace of policies for training a reinforcement learning agent on a sequence of tasks. Despite the various approaches that have been proposed to solve the continual learning problem, they mainly focus on the field of single-agent reinforcement learning. There is still limited research considering continual learning in multi-agent problem setting, let alone the problem of multi-agent continual coordination learning. MACPro Yuan et al. (2023b) firstly formulates the multi-agent coordination problem, and develops an efficient algorithm for the setting. Macop Yuan et al. (2023a) further endow the controllable agents in a multi-agent system with continual learning ability for a high generalization coordination policy.

Even the mentioned methods could endow the trained agents with the ability to coordinate more efficiently with different teammates. They need to train with all teammates at all times, which requires extensive computing and storage resources and extra design, such as prioritized sampling. In this work, we show these approaches are of low learning efficiency and take a further step for this problem by modeling it as a continual learning problem.

3 Problem Formalization

The purpose of human-AI coordination is for AI agents to cooperate well with diverse human teammates. Previous works relied on a fixed policy population, involving extensive training of AI agents, but this approach is computationally expensive and does not align with real-world scenarios where humans are encoun-

tered sequentially. Currently, there is limited research on training AI agents to work well with humans in the continual setting. To address this gap, we introduce a problem formulation for continual multi-agent coordination learning.

Specifically, we formalize the continual multi-agent coordination learning problem as a Multi-Agent Continual Markov Decision Process (MACMDP) consisting of a tuple $\mathcal{M} := \{I, S, A, P, R, \Theta, \mu, \gamma, T\}$, where I is the set of AI agents, S and A are the state and action space, respectively. P is the transition function, R is the reward function, $\gamma \in [0, 1)$ is the discount factor and T is the continual learning length. Besides, Θ is the teammate type space, and μ is an indicator function which means that $\mu(\zeta) \in \Theta (1 \leq \zeta \leq T)$ denotes the teammate policy encountered at the ζ -th stage. For the sake of brevity, we denote the policy determined by μ in the ζ -th stage as π_ζ^H . These teammates are encountered sequentially, as depicted in Fig. 2. In human-AI coordination tasks, cooperating with different humans can be defined as different tasks, and each human teammate policy π_ζ^H can be represented by a task indicator ζ . In MACMDP, AI agents can not interact with the previous human teammates, which corresponds to the assumption that the agent can not interact with previous environments in the common continual reinforcement learning setting (Khetarpal et al., 2022), but are expected to remember how to cooperate with all previous human teammates. When handling the task ζ , the AI policy π^A and human policy π_ζ^H select actions a^A, a^H according to the current state s , respectively. The next environment state s' is obtained according to the environment transition function $P(s'|s, a^A, a^H)$ and a global reward is returned by the global reward function $R(s, a^A, a^H)$. We use the discounted return $J_\zeta(\pi^A, \pi_\zeta^H) = \mathbb{E}_{s, a^A, a^H} [\sum_t \gamma^t R(s, a^A, a^H)]$ as the objective on task ζ .

A workflow diagram illustrating MACMDP is provided in Fig. 2 for better understanding. As depicted in this diagram, the AI agents are expected to be collaboratively train with the teammate policies one by one, and interacting with the previous teammates is prohibited. Under this problem formalization, our goal is to find the AI agent policy π^A that can maximize the expected return with different human teammates after the continual learning process, without forgetting the previous cooperating humans, which means to maximize $\sum_{\zeta=1}^T J_\zeta(\pi^A, \pi_\zeta^H)$.

4 Method

4.1 Teammate-adaptive AI Framework

AI agents are anticipated to identify different teammates and adapt accordingly, enabling them to acquire the ability to coordinate continually. Additionally, the agents should be able to remember previous teammates while learning to coordinate with new ones. We here propose a comprehensive pipeline (depicted in Fig. 3 and App. A) that involves designing a human-aware actor-critic structure for policies training, building teammate representations for teammates capturing, and implementing an anti-forgetting mechanism to address the forgetting problem during continual learning.

Human-Aware Actor-Critic In a human-AI coordination scenario, the decision-making process of the overall multi-agent system is jointly determined by both the AI agents and the humans. To enable AI agents to identify different teammates, we introduce a teammate-adaptive structure, an extension and variant of hyper-network (Ha et al., 2017; Oswald et al., 2020). This structure is specifically designed for continual human-AI coordination tasks and can generate actor networks that can effectively coordinate with specific teammates by leveraging consistent teammate representations. Concretely, the parameters of the AI actor networks are obtained through $\theta_i^{A\zeta} = f_\psi^{\text{hyper}}(z_\zeta)$, where f_ψ^{hyper} represents the hyper-network parameterized by ψ . In essence, we incorporate the ability to adapt to different teammates into the hyper-network, so that it can generate appropriate actor networks for cooperating with the human teammates based on the input of different teammate representations z_ζ . More details are reported in App. A.1.

To better assess the contribution of AI to the overall performance, we here design a linearly decomposed centralized critic like DOP (Wang et al., 2021b). Therefore, we can create individual critics for the uncontrollable human agents, and model the global Q function by combining the individual Q values of both human and AI agents. This approach enables the global Q function to more accurately reflect the joint decision-making process of human-AI coordination, and facilitate credit assignment between AI and hu-

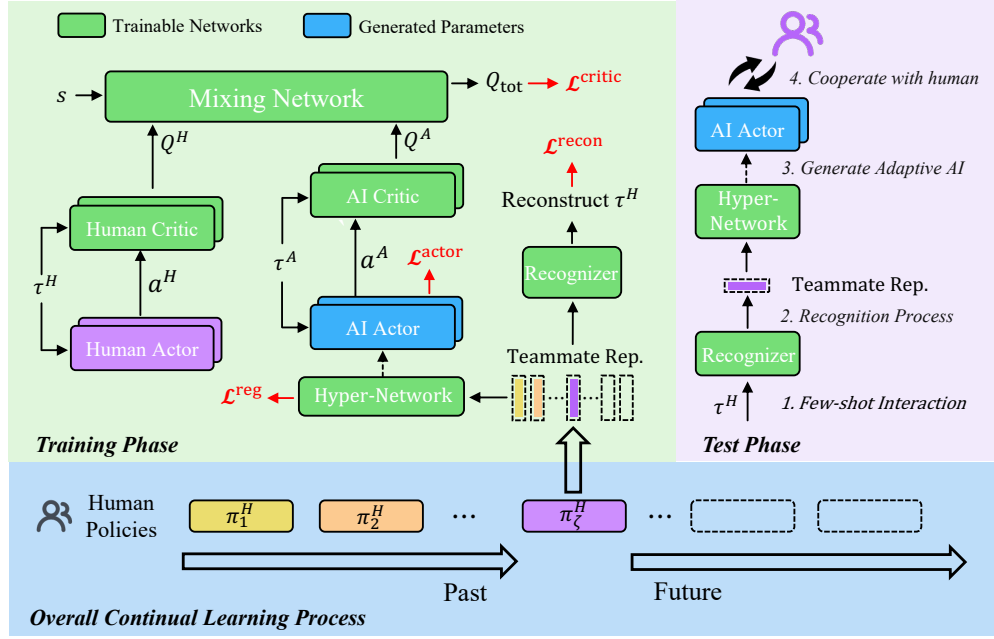


Figure 3: The overall framework of **Concord**. During the training phase, a human-aware actor-critic is trained for AI to coordinate with humans effectively, where the AI actors are generated via a hyper-network. Teammate representations are trained via backpropagation, while generated by the recognizer in the test phase. When testing, the AI first interacts with human to collect few trajectories, then obtains the corresponding teammates representation for decision-making.

mans, allowing us to isolate the contribution of the AI agents and provide more precise learning signals for updating π^A . In specific, the critic and actor networks are updated through minimizing the critic loss $\mathcal{L}^{\text{critic}} = \mathbb{E}_{\tau, a^A, a^H} \left[\left(Q_{\text{tot}}^{\phi}(\tau, a^A, a^H) - y^{\tau} \right)^2 \right]$ and actor loss $\mathcal{L}^{\text{actor}} = \mathbb{E}_{\pi^A} \left[\sum_i k_i(\tau) \log \pi_i^A \left(a_i^A | \tau_i^A; \theta_i^{A\zeta} \right) Q_i^{\phi_i^A}(\tau, a_i^A) \right]$, respectively, where $k_i(\tau)$ represents the coefficients of value decomposition generated by an additional hyper-network when inputting the historical information τ , the global value $Q_{\text{tot}}^{\phi}(\tau, a^A, a^H)$ is obtained through $Q_{\text{tot}}^{\phi}(\tau, a^A, a^H) = \sum_i k_i(\tau) Q_i^{\phi_i^A}(\tau, a_i^A) + \sum_j k_j(\tau) Q_j^{\phi_j^H}(\tau, a_j^H) + b(\tau)$, where $k_i(\tau)$ and $b(\tau)$ are generated by learnable networks whose inputs are global state, and $k_i(\tau)$ is restricted to be non-negative to ensure monotonicity, and y^{τ} denotes the target value $y^{\tau} = r + \gamma \mathbb{E}_{a_i^A, a_j^H} \left[Q_{\text{tot}}^{\phi'}(\tau', a_i^A, a_j^H) \right]$. Note that we refer to the parameters of the critic network as ϕ , and those of the target network as ϕ' .

Teammate Representation Learning To enable our method to adapt to different teammates, as mentioned above, we use one hyper-network that can generate adaptive actor networks by inputting different teammate representations. Here, the teammate representations serve the crucial role of recognizing the behavior patterns of different human teammates. To adopt this teammate representation scheme, we have two necessary prerequisites: 1) the teammate representations can help the hyper-network generate AI actor that can cooperates well with human; 2) the teammate representations should be obtainable with a minimal amount of interaction with humans during the test phase.

To fulfill the first prerequisite, we design an end-to-end update scheme for teammate representations. In particular, we maintain a separate teammate representation for each group of human teammates encountered during the continual learning process. This teammate representation z_{ζ} is then fed into the hyper-network to obtain the parameters of the AI actor network $\theta^{A\zeta}$ which is expected to coordinate effectively with π_{ζ}^H . Thus, we directly update the hyper-network and teammate representations with the gradients back-propagated from optimizing the actor loss $\mathcal{L}^{\text{actor}}$.

In terms of the second prerequisite, we additionally design a recognizer network that can reconstruct learned teammate representations from limited interaction data. This recognizer network is typically implemented as a trajectory-encoder that takes the trajectories of human teammates as input and outputs the prediction of the corresponding teammate representations. In specific, the trajectory encoder’s inputs differ in different processes. In the training process, we encode trajectories of varying lengths and minimize one reconstruction loss denoted as $L_{\zeta}^{\text{recon}} = \sum_{t=0}^{T_{\tau}-1} \lambda^{T_{\tau}-1-t} \|\hat{z}_{\zeta}^t - z_{\zeta}\|_2^2$. This loss function encourages the encoder to effectively reconstruct teammate representations using trajectories of different lengths. During the test phase, we roll out some trajectories (typically 2 in our experiments) with the teammate. Each entire trajectory undergoes encoding by the encoder, yielding a reconstructed representation for each trajectory. Then, they are averaged to yield the final recognition outcome, thereby achieving few-shot recognition of human teammates.

Anti-forgetting Mechanism One of the key challenges in continual multi-agent cooperation learning is to avoid catastrophic forgetting of previous human teammates as the AI agent learns and adapts to new ones. Specifically, within our framework, we aim to combat the forgetting problem in both the recognizer network f_{η}^{enc} and hyper-network f_{ψ}^{hyper} simultaneously, which correspond to the ability to recognize and coordinate with the previous human teammates, respectively. To tackle this challenge, we employ an anti-forgetting mechanism to enforce regularization on both f_{η}^{enc} and f_{ψ}^{hyper} networks during the continual learning process. For the recognizer network, we utilize a small replay buffer to store the teammate representations and a few of interaction trajectories of the previous human teammates. During cooperation learning with the ζ -th human teammates, the actual loss of the recognizer network is $\mathcal{L}^{\text{recon}} = \sum_{i=1}^{\zeta} \mathcal{L}_i^{\text{recon}}$. Similarly, when training the hyper-network at the ζ -th cooperation task, we additionally include one regularization loss $\mathcal{L}^{\text{reg}} = \frac{1}{\zeta-1} \sum_{i=1}^{\zeta-1} \|f_{\psi}^{\text{hyper}}(z_i) - f_{\psi}^{\text{hyper}}(z_i)\|_2^2$ in addition to the actor loss to avoid f_{ψ}^{hyper} ’s forgetting the previous cooperation knowledge, where f_{ψ}^{hyper} denotes the hyper-network obtained after the $(\zeta-1)$ -th task. In summary, we propose an anti-forgetting mechanism that effectively safeguards against the forgetting of past knowledge in both the hyper-network and the recognizer network through the preservation of the updated hyper-network from the previous round and a small memory pool containing few-shot interactions and teammate representations of previous human teammates.

4.2 Overall Algorithm

In this section, we provide the overall description of the procedure of our approach, which is under a continual coordination learning setting. In specific, the main parts of our approach Concord can be decomposed into the training phase and the test phase. The pseudo-codes for these two phases are respectively shown in Alg. 1 and Alg. 2. A brief diagram illustrating the main idea of our approach can also be found in App. A.2.

During the training phase, we conduct cooperation learning with human teammates one by one from π_1^H to π_T^H , where we learn the hyper-network and the recognizer network together. In particular, when we cooperatively train with the ζ -th human teammates, we firstly optimize the human-aware actor-critic architecture by minimizing the $\mathcal{L}^{\text{critic}}$ and $\mathcal{L}^{\text{actor}}$ losses, where the teammate representation z_{ζ} is updated in an end-to-end manner with the back-propagated gradient $\nabla_{z_{\zeta}} \mathcal{L}^{\text{actor}}$. While for the hyper-network f_{ψ}^{hyper} , we update it with the actor loss $\mathcal{L}^{\text{actor}}$ plus one regularization loss \mathcal{L}^{reg} , which means that the actual loss function for the hyper-network is

$$\mathcal{L}^{\text{hyper}} = \mathcal{L}^{\text{actor}} + \beta^{\text{reg}} \mathcal{L}^{\text{reg}} = \mathcal{L}^{\text{actor}} + \frac{\beta^{\text{reg}}}{\zeta-1} \sum_{i=1}^{\zeta-1} \|f_{\psi}^{\text{hyper}}(z_i) - f_{\psi}^{\text{hyper}}(z_i)\|_2^2, \quad (1)$$

where β^{reg} is the coefficient balancing the two loss terms. After the training process of the hyper-network and teammate representation, we further optimize the recognizer network via reconstructing the representations of all the human teammates that have already been seen before, which means that we update the f_{η}^{enc} via minimizing the reconstruction loss $\sum_{i=1}^{\zeta} \mathcal{L}_i^{\text{recon}}$, where $\mathcal{L}_i^{\text{recon}}$ indicates the reconstruction loss for the teammate representation of the i -th group of human teammates. Afterwards, we move to the collaborative training with the next human teammates. In brief, the collaborative training with each group of human teammates is decomposed of two separate stages, where we train the hyper-network and teammate representations at the first stage and update the recognizer network at the second stage.

Algorithm 1 Training phase**Require:** Parameters $\eta, \psi, \phi, \{z_\zeta\}_{\zeta=1}^T$, Small buffer \mathcal{B} **Explanation:** f_η^{enc} : Recognizer network parameterized by η $\{\pi_\zeta^H\}_{\zeta=1}^T$: Teammate policy stream τ_ζ^H : Few interaction data obtained by interacting with π_ζ^H z_ζ : Learned teammate representation for teammate policy π_ζ^H f_ψ^{hyper} : Hyper-network parameterized by ψ \mathcal{B} : small buffer that stores a few of human trajectories and teammate representations for all previous seen teammates.**Ensure:** Update the parameters $\eta, \psi, \phi, \{z_\zeta\}_{\zeta=1}^T$

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1: Set  $\tilde{\psi}$  as None
2: for  $\pi_\zeta^H$  in the teammate policy stream do
3:   // Training critic network, hyper-network and teammate representation
4:   for episode in RL training period do
5:     Compute the critic loss  $\mathcal{L}^{\text{critic}}$ , actor loss  $\mathcal{L}^{\text{actor}}$ 
6:     if  $\tilde{\psi}$  is None then
7:       Let  $\mathcal{L}^{\text{hyper}} := \mathcal{L}^{\text{actor}}$ 
8:     else
9:       Let  $\mathcal{L}^{\text{hyper}} := \mathcal{L}^{\text{actor}} + \beta^{\text{reg}} \mathcal{L}^{\text{reg}}$ 
10:    end if
11:    Update  $\phi$  via gradient descend method with gradient  $\nabla_\phi \mathcal{L}^{\text{critic}}$ 
12:    Update  $\psi$  via gradient descend method with gradient  $\nabla_\psi \mathcal{L}^{\text{hyper}}$ 
13:    Update  $z_\zeta$  via gradient descend method with gradient  $\nabla_{z_\zeta} \mathcal{L}^{\text{actor}}$ 
14:  end for
15:  Let  $\tilde{\psi} := \psi$  // Store the hyper-network parameters at the last round
16:  // Training recognizer network
17:  Select multiple human trajectories  $\tau_\zeta^H$  from the RL training process, and store each  $(\tau_\zeta^H, z_\zeta)$  into the buffer  $\mathcal{B}$ .
18:  for iteration in Recognizer training period do
19:    Compute  $\mathcal{L}^{\text{recon}}$  via sampling data from  $\mathcal{B}$ 
20:    Update  $\eta$  via gradient descend method with gradient  $\nabla_\eta \mathcal{L}^{\text{recon}}$ 
21:  end for
22: end for

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When it comes to the test phase, we first allow a few interaction with the humans for the AI agents. The sampled human trajectories are fed into the recognizer f_η^{enc} to help attain the predicted teammate representation, which is then inputted into the hyper-network f_ψ^{hyper} to obtain the actor network for the AI agents. The final actor network here is employed to coordinate with the humans.

5 Experiments

In this section, we first conduct experiments on the widely-used Overcooked (Carroll et al., 2019) to verify whether Concord can effectively coordinate with human teammates under the continual setting. Then, we evaluate Concord’s ability to coordinate with multiple teammates through experiments on SMAC (Samvelyan et al., 2019). The human teammates involved in these experiments consist of both human-like models and real human participants.

To comprehensively analyze the performance of various algorithms in the context of continual human-AI coordination, we introduce the Single Coordination Performance $P_i(t)$, where $t \in \{1, 2, \dots, T\}$ and $P_i(t)$ represents the episode reward when coordinating with the i -th teammate after completing training with

Algorithm 2 Test phase**Require:** Parameters η, ψ **Explanation:** f_η^{enc} : Recognizer network parameterized by η π_ζ^H : Collaborative policy of the teammates τ_ζ^H : Few interaction data obtained by interacting with the teammates \hat{z}_ζ : Predicted teammate representation obtained by inputting τ_ζ^H to f_η^{enc} f_ψ^{hyper} : Hyper-network parameterized by ψ AI_Actor: AI actor network generated by inputting \hat{z}_ζ to f_ψ^{hyper} **Ensure:** Deployed AI policy in coordination with teammates1: Interact with teammates using π_ζ^H to obtain τ_ζ^H 2: $\hat{z}_\zeta \leftarrow f_\eta^{\text{enc}}(\tau_\zeta^H)$ 3: AI_Actor $\leftarrow f_\psi^{\text{hyper}}(\hat{z}_\zeta)$ 4: **return** Deploy AI_Actor as AI policy in coordination with the teammates

the t -th teammate. We use the following metrics (Lopez-Paz & Ranzato, 2017; Wolczyk et al., 2021) for evaluation:

- **Average coordination performance.** $P = \frac{1}{N} \sum_{i=1}^N P_i(T)$, which is the average episode reward when coordinate with all the teammates after the training process.
- **Forgetting.** $\frac{1}{N-1} \sum_{i=1}^{N-1} P_i(T) - P_i(i)$, which is used to measure the average performance loss with seen teammates (i.e., forget) after training with the new teammate.
- **Forward transfer.** $\frac{1}{N-1} \sum_{i=2}^N P_i(i-1)$, which is used to measure the coordination ability with unseen human teammates.

We compare the proposed Concord with the multiple baselines. All experimental results averaged over five random seeds and are accompanied by standard deviation information. More details including network architecture and hyper-parameters are provided in App. A.1 and B.1.

5.1 Baselines and Environments

To completely evaluate the performance of Concord, we introduce the following baselines for comparison. First of all, we adapt EWC (Kirkpatrick et al., 2017) and CLEAR (Rolnick et al., 2018), which are two classic continual learning algorithms, to our experiment setting. Then, we additionally design two other baselines: Vanilla and Oracle. In specific, Vanilla does not do any extra design for the continual learning process, while Oracle is always allowed for collaborative training with all human teammates. Here, to address the potential unfamiliarity of some readers with the field of continual learning, we have provided additional descriptions for the EWC and CLEAR algorithms to enhance the readers' understanding of the specific processes of EWC and CLEAR, as well as how we adapt them in our context.

EWC Elastic Weight Consolidation (EWC) is a regularization-based technique that selectively reduces the plasticity of weights to alleviate catastrophic forgetting. The technique Fisher information matrix evaluates the importance of all weights and uses that for gradient updates. There are two variants of EWC: Offline EWC keeps a model with a Fisher matrix for every previous task, which causes costly storage. Online EWC uses only one Fisher matrix that is computed based on all the previous tasks. Here, we choose Online EWC as our benchmark.

CLEAR When training, CLEAR samples a mini-batch from the current task and another replay mini-batch. The samples in the replay mini-batch are selected with the same probability as all previous tasks. CLEAR leverages behavioral cloning from replay mini-batch to enhance stability. Specifically, two additional

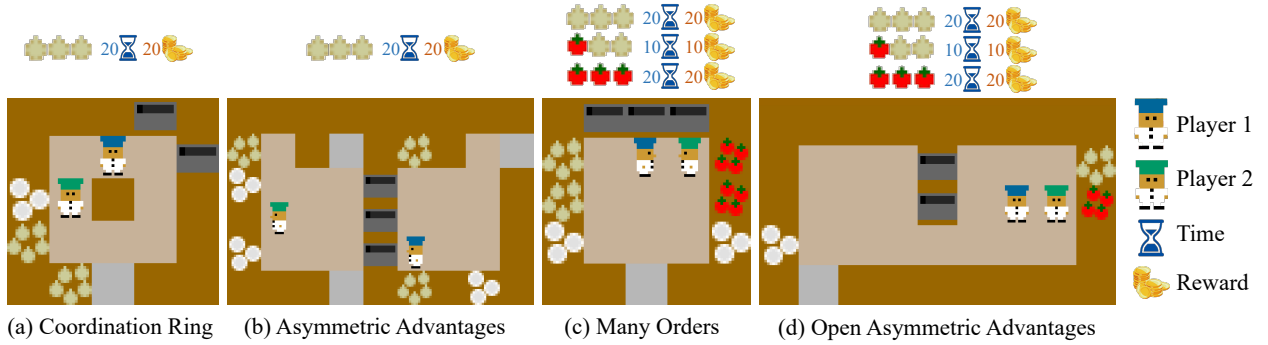


Figure 4: Four layouts of the Overcooked environment, with each one emphasizing different coordination strategies.

loss terms are added to induce behavioral cloning between the network and its past self. (1) the KL divergence between the historical policy distribution and the present policy distribution, (2) the ℓ_2 norm of the difference between the historical and present value functions. Unlike the original paper, we use Cross Entropy loss instead of KL loss to guide the agent to choose the same action as its past self under the same state because we find it more effective. Additionally, we don't add any constraints to criticism for easier learning.

Oracle Here, we would like to explain why the multi-task learning approach can be seen as Oracle. 1) Multi-task learning (Oracle) can access all the previous models in the training process. However, Concord (and another continual learning method) can only be trained with the previous teammates once in the process. 2) Multi-task learning (Oracle) knows which teammate it is coordinating in the test phase. However, Concord (and another continual learning method) has to identify the teammate by some specific mechanisms.

In the preceding discussion, we introduced the baseline algorithms compared in our study. In the following part, we will proceed to discuss the environments utilized in our experiments. Specifically, our experiments are conducted in two widely recognized multi-agent cooperation environments: Overcooked (Carroll et al., 2019) and SMAC (Samvelyan et al., 2019).

Overcooked Overcooked is an environment proposed for coordination challenges. In this environment, two players control one kitchen chef to cook and serve soup. Specifically, they are required to put specific ingredients in one pot, make soup and deliver it, for example, in Coord. Ring layout, the players need to put three onions in a pot, collect an onion soup from the pot after 20-time steps, and deliver the dish to a counter. The agents will receive 20 points for each dish served, and the goal is to serve as many dishes as possible within the allotted time. Moreover, we select 4 different layouts in our experiments, which are shown in Fig. 4.

StarCraft Multi-Agent Challenge (SMAC) SMAC is a popular benchmark for cooperative multi-agent reinforcement learning, which contains multiple combat scenarios. In this environment, the AI agents and human teammates control specific ally units and are expected to coordinate to defeat the enemy units controlled by the built-in AI. Specifically, at the beginning of each episode, the ally units are spawned on the fixed regions on the map. At each timestep, the ally agents can select actions from the action set $\{\text{no-op}, \text{move}[\text{right}, \text{left}, \text{up}, \text{down}], \text{stop}, \text{attack}[\text{enemy_id}]\}$, and then a global reward will be given according to the damage caused to enemy units. Extra rewards of 10 and 200 will be given if one enemy unit is killed or the ally units win the combat. We conduct experiments on three SMAC maps in this paper as shown in Fig. 5, which are 3m, 2z1s, and 4m, respectively. On all these three maps, there exist the same number and type of units on both ally and enemy sides, where 3m and 4m signify the presence of 3 marines and 4 marines, respectively, and 2z1s indicates 2 zealots and 1 stalkers.



Figure 5: Three SMAC maps that are selected in our experiments.



Figure 6: The overall performance of distinct algorithms, where Concord achieves comparable coordination ability with Oracle and outperforms other baselines.

5.2 Continual Coordination with Human-like teammates

First, we evaluate continual coordination ability with human-like teammates on Overcooked (Carroll et al., 2019), where different layouts present a unique challenge that can be overcome through effective coordination. The players are required to put three onions in a pot, collect an onion soup from the pot after 20 time steps and deliver the dish to a counter. The agents will receive 20 points for each dish served, and the goal is to serve as many dishes as possible within the allotted time. We run each algorithm for 5 random seeds and each seed was tested 32 times. The final results were obtained after averaging these results. We investigate the following research questions (RQs).

RQ1: How does Concord perform compared to other methods? As can be seen from Fig. 6, Vanilla achieves the most inferior coordination ability in almost all scenarios, indicating a specific consideration for the tasks where teammates appear sequentially. Other successful approaches for single agent continual learning, like EWC, and CLEAR, also suffer from performance degradation in the involved benchmarks, demonstrating the necessity of specific design for MARL. The Oracle method, where we train all the tasks simultaneously, can be seen as an upper bound of performance on the related benchmarks, acquiring superiority over all baselines. Our approach Concord, obtains comparable performance to Oracle, indicating the efficiency of all the designed modules.

Furthermore, we also show the comparisons of different methods on forgetting and forward transfer in Tab. 1. Concord achieves the minimum forgetting on all the four layouts, validating the effectiveness of the proposed anti-forgetting mechanism. Besides, the forward transfer values of Concord on all the layouts are best, indicating that Concord can coordinate well with unseen teammates. Vanilla is worst, demonstrating the necessity of mechanism for continual learning. Specifically, we compare the single coordination performance

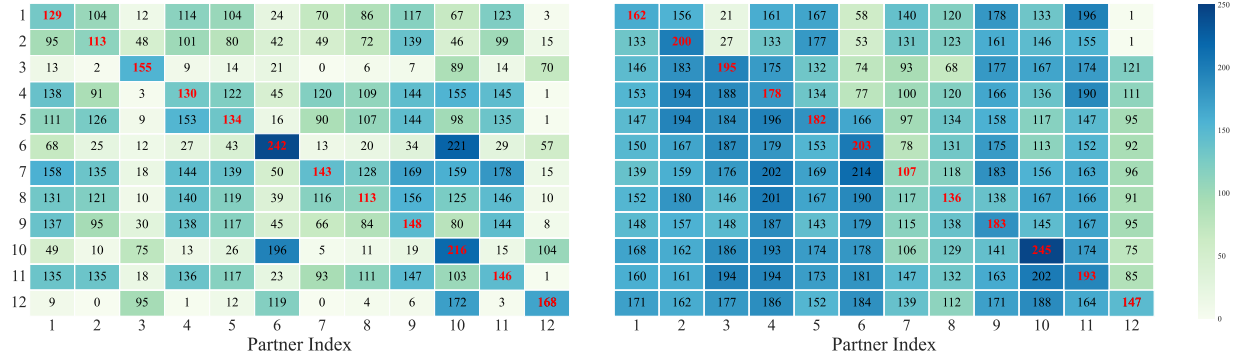


Figure 7: Single coordination performance of Vanilla (left) and Concord (right) on the Asym. Adv. layout. The value at i -th row and j -th in the matrix is $P_i(j)$.

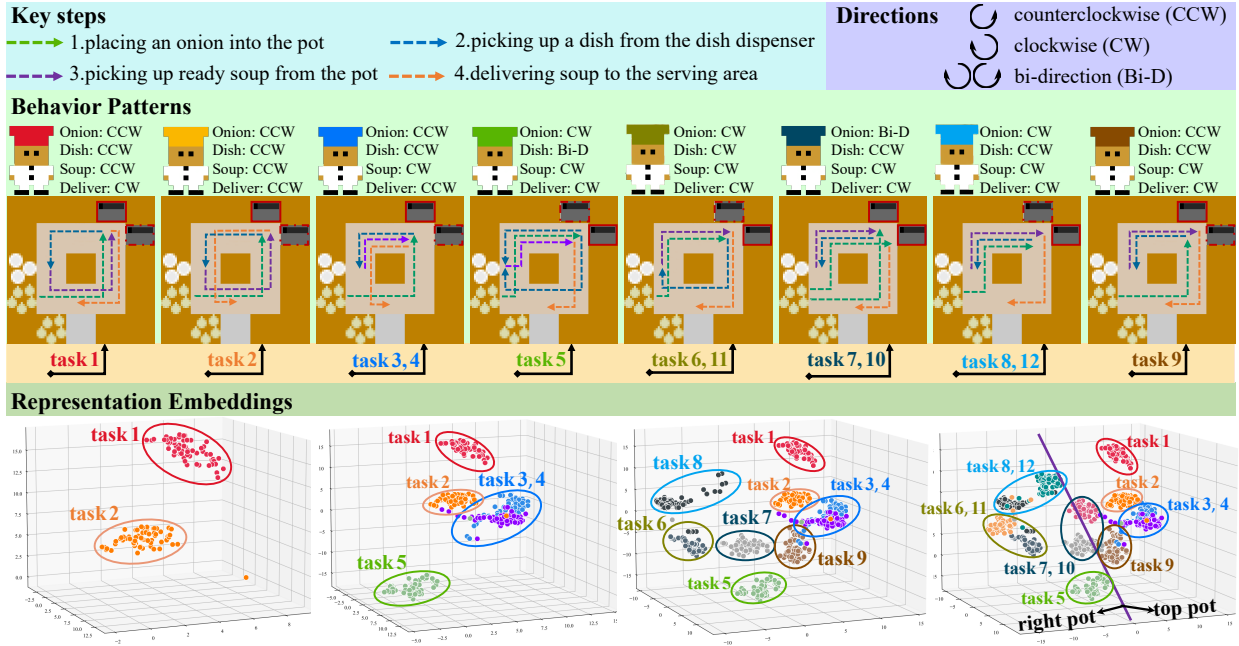


Figure 8: The t-SNE (Van der Maaten & Hinton, 2008) projection of different behavior patterns. Completing a delivery task requires several *key steps*, including placing an onion into the pot, taking a dish, taking a ready soup, and delivering the soup, and each step has three *directions* for human-like models: always clockwise (CW), always counterclockwise (CCW), and bi-direction (Bi-D). Then, the behavior pattern of a teammate can be defined as the directions used in the key steps.

of Concord and Vanilla on the Asym. Adv. layout of Overcooked environment in Fig. 7. As expected, Vanilla exhibits a considerable amount of forgetting, as indicated by the lower triangle of the matrix, and has poor forward transfer ability, as reflected by the upper triangle of the matrix. While Concord performs significantly better than Vanilla. More results on other layouts can be found in Fig. 14-16 of App. C.1.

RQ2: How does the teammate representation mechanism work? As an important aspect of Concord, the learning of teammate representations helps AI agents prevent catastrophic forgetting and effectively

Table 1: Comparisons of distinct algorithms in all 4 layouts on forgetting and forward transfer metrics. The best and second best values on each layout are colored in **red** and **blue**, respectively.

| Algorithm | Coord. Ring | | Asy. Advantages | | Many orders | | Open Asy. Advantages | |
|-----------|-------------------------------------|--------------------------------------|--------------------------------------|-------------------------------------|------------------------------------|-------------------------------------|------------------------------------|-------------------------------------|
| | Forg. | F. Transfer | Forg. | F. Transfer | Forg. | F. Transfer | Forg. | F. Transfer |
| Concord | -1.31 \pm 4.23 | 103.09 \pm 13.02 | -16.11 \pm 6.85 | 119.59 \pm 9.41 | -1.27 \pm 6.69 | 100.85 \pm 3.14 | -6.83 \pm 4.59 | 162.29 \pm 6.94 |
| EWC | -16.47 \pm 4.18 | 92.03 \pm 8.61 | -54.14 \pm 13.95 | 54.98 \pm 8.01 | -20.52 \pm 1.41 | 82.85 \pm 18.41 | -7.69 \pm 3.38 | 153.85 \pm 3.68 |
| CLEAR | -10.79 \pm 2.62 | 89.51 \pm 2.46 | -120.61 \pm 2.52 | 72.65 \pm 1.73 | -2.33 \pm 3.85 | 99.58 \pm 5.91 | -63.14 \pm 55.75 | 117.14 \pm 14.92 |
| Vanilla | -46.92 \pm 11.57 | 80.94 \pm 9.19 | -109.83 \pm 20.48 | 60.82 \pm 7.33 | -68.36 \pm 10.14 | 67.61 \pm 4.16 | -123.89 \pm 17.91 | 109.94 \pm 32.31 |

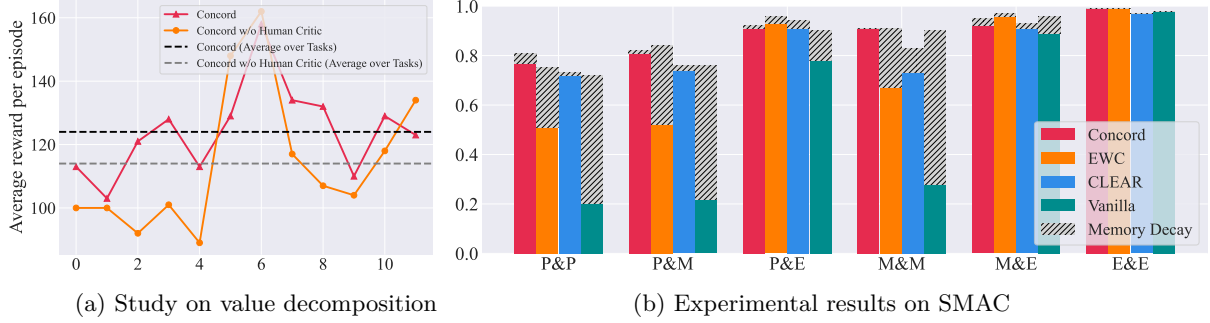


Figure 9: (a) Performance comparison between Concord with and without value decomposition. (b) Experimental results on the map 4m. The gray shaded bar is used to denote the degree of forgetting, which equals to the highest test win rate during training minus the final test win rate. The x-axis represents the combination of two teammates, e.g., P&P means both teammates are poor (P) models.

adapt to coordinate with different human teammates. The human recognizer encodes a representation embedding for each teammate based on their behavioral patterns. Using this embedding, an appropriate actor network is generated and utilized to effectively cooperate with the teammate. We demonstrate the differences in behavioral patterns among distinct human-like models and the corresponding relationship between embedding and behavior pattern in Fig. 8. Two main observations can be made: 1) The behavioral patterns of human-like models are diverse; 2) Similar behavior patterns have similar embedding representations, such as 3&4, 6&11, 8&12, and 5, 6, 8, 11&12 (i.e., using the same pot to cook), demonstrating the effectiveness of our method in identifying human teammate behavior patterns, which can help AI agents cooperate more effectively.

RQ3: Is the value decomposition useful? One component of our approach design is the human-aware actor-critic architecture, which decomposes the global Q_{tot} into the individual Q values of teammates and AI agents. We here design an ablation that drops the value decomposition practice in Coord. Ring layout of Overcooked environment, which means treating the teammate as part of the environment and only learning the Q value of the AI agent. This reduces to applying single agent reinforcement learning algorithm like previous works (Heinrich et al., 2015; Strouse et al., 2021).

As shown in Fig. 9(a), where we report the testing performance on all 12 tasks after the whole continual training, the red curve consistently surpasses the orange one. This indicates that the complete Concord algorithm generally shows better anti-forgetting performance over the baseline w/o value decomposition, demonstrating the effectiveness of the human-aware actor-critic architecture to facilitate collaborating learning. Due to space limitations, further analysis, such as the number of episodes for recognition, comparison between few-shot and zero-shot recognition, comparison with given teammate ID, and sensitivity analysis of the replay buffer size, as well as experiments conducted on the SMAC environment, can be found in Appendix C.2 and Appendix C.3, respectively.

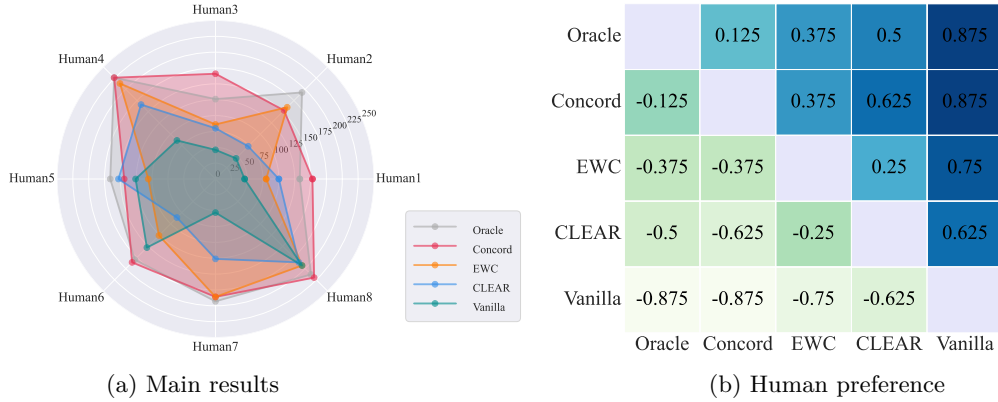


Figure 10: (a) Single coordination performance results with humans. (b) Human preference results for row method over column method. The value at i -th row and j -th column is the difference in the percentage of humans who prefer i -th method compared to those who prefer j -th method more.

5.3 Continual Coordination between Multiple Human-like teammates and Multiple AI Agents

Multi-player human-AI coordination is an understudied yet important setting in practical applications. To test the generalization ability of Concord, we use three maps in the SMAC environment (Samvelyan et al., 2019) to assess the coordination ability between multiple human-like teammates and multiple AI agents. We use DOP (Wang et al., 2021b) to train the human-like teammates. Three checkpoints with low, medium, high win rates during the training process were selected to simulate poor (P), medium (M) and expert (E) human players, respectively. Then, we train the agent via different algorithms to continually coordinate with single (maps 3m and 2s1z) or multiple (map 4m) of human-like teammates. Fig. 9(b) presents the results on map 4m, from which we can see that the gray shaded area of Concord is smallest, indicating that Concord generally has better anti-forgetting performance over other baselines. Results on maps 3m and 2s1z are reported in App. C.3.

5.4 Continual Coordination with Humans

Finally, we conduct experiments on the Open Asy. Advantages layout to investigate the ability of coordinating with real humans. We first collect around 160 human-human trajectories (for a total of 64k environment timesteps) and partition them into two subsets, splitting each trajectory into two single-agent trajectories. Based on the collected human data, we construct 8 sets of human proxy models through behavior cloning. Then we train different methods by using these human proxy models as the teammates that appear sequentially. Note that the AI agents obtained by different methods will play with the real human participants in the test phase, not the human proxy models. More details about the construction of human proxy models and ethical statement concerning this experiment are provided in App. D.1 and D.2, respectively.

Main results. We show the single coordination performance after training in Fig. 10(a). Among these algorithms, Concord is the closest to oracle in terms of performance, and outperforms CLEAR and EWC baselines with most teammates, which is consistent with the results in Section 5.2. The Vanilla method performs the worst and shows catastrophic forgetting, which again illustrates the importance of the anti-forgetting in continual human-AI coordination.

Human preference. To check the preference of AI agents obtained by different algorithms of human players, we additionally introduce a popular subjective metric, i.e., human preference (Strouse et al., 2021; Yu et al., 2023), to evaluate different methods, with detailed explanation in App. D.3. As shown in Fig. 10(b), Concord is better than the three baselines and comparable with Oracle. For instance, the percentage of volunteers who prefer Concord method is 62.5% higher than those who prefer CLEAR. This shows that Concord is relatively more preferred by humans, demonstrating the value of Concord for practical human-AI coordination.

6 Conclusion and Future Work

Recognizing the importance and practicality of human-AI coordination, this study takes a significant stride towards addressing this challenge in a continual manner. We begin by formulating the problem as a MACMDP, where teammates are encountered sequentially. Subsequently, a mechanism based on hyper-teammate identification is proposed to avoid catastrophic forgetting while promote forward knowledge transfer for multi-agent coordination. To the best of our knowledge, our proposed method, Concord, is the first to address the human-AI coordination problem via continual training. Experiments on various multi-agent benchmarks validate the effectiveness of Concord, demonstrating its strong ability to continually coordinate with generated human-like models or real human participants across a range of evaluation metrics. Although Concord alleviates the issue of storage overhead compared to previous methods, it still necessitates a certain amount of storage to prevent forgetting. Besides, addressing large-scale problems poses a challenge for current human-AI coordination methods. Further research is required to delve deeper into these two topics.

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Appendix

A More Details about the Proposed Method

A.1 Network Architecture

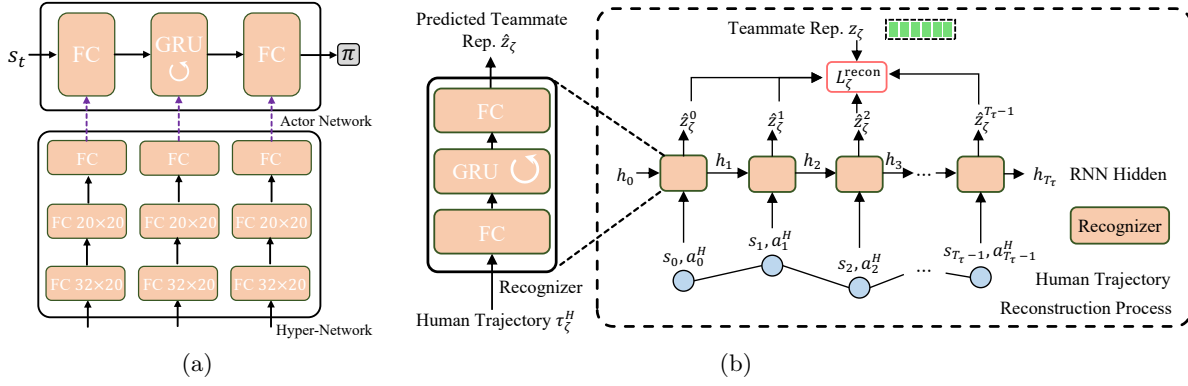


Figure 11: (a) Network architecture of the hyper-network and actor network. (b) Network architecture of the recognizer network. T_τ in the figure is short for $T_{\tau_\zeta^H}$, which indicates the horizon of the human trajectory τ_ζ^H .

Hyper-Network The hyper-network in our method is used to generate the parameters of the AI actor by inputting the teammate representation to it. Thus, the network architecture determines the size of parameters generated by the hyper-network. In specific, we model the actor network with three layers as shown in Fig. 11(a), including a fully-connected layer, followed by a 64 bit GRU, and further followed by another fully-connected layer that outputs a probability distribution over local actions. In our design, the parameters of this actor network is completely generated by the hyper-network. In specific, we model the hyper-network as three multi-layer perceptrons (MLPs), each generating the parameters of one layer of the actor network, which is also shown in Fig. 11(a). We set each MLP with two hidden layers of 20 neurons and ELU non-linearity function, and the input dimension of each MLP network equals to the dimension of teammate representation, which is set 32 throughout all our experiments.

Recognizer Network The recognizer network (see Fig. 11(b)) is utilized to reconstruct the teammate representation z_ζ from a few of human interaction trajectories. Specifically, the recognizer network is built as a RNN-based trajectory encoder, which takes the human trajectory as input. For each human trajectory τ_ζ^H , the recognizer will output a predicted teammate representation for each timestep t , which we denote as \hat{z}_ζ^t , with the trajectory information before t . Then we update the recognizer network via minimizing the following reconstruction loss:

$$\mathcal{L}_\zeta^{\text{recon}} = \sum_{t=0}^{T_\tau-1} \lambda^{T_\tau-1-t} \|\hat{z}_\zeta^t - z_\zeta\|_2^2, \quad (2)$$

which is a weighted sum of the ℓ_2 distances between every \hat{z}_ζ^t and z_ζ , where we utilize one hyper-parameter λ to control the weights. On one hand, by minimizing the ℓ_2 distance between each \hat{z}_ζ^t and z_ζ , we ensure the recognizer’s ability to reconstruct the representation z_ζ from variable-length trajectory information. On the other hand, we assign larger weights to the \hat{z}_ζ^t loss terms with larger t . The reason for this practice is that during the final test phase, only the predicted teammate representation at the last timestep $\hat{z}_\zeta^{T_\tau-1}$ is utilized as the input of the subsequent networks, which means that we hope that \hat{z}_ζ^t with larger t should be more accurate. From a different perspective, the reconstruction losses for \hat{z}_ζ^t that t is smaller than $T_\tau - 1$ are utilized as auxiliary losses to facilitate the recognizer network training. In practice, the hyper-parameter λ is

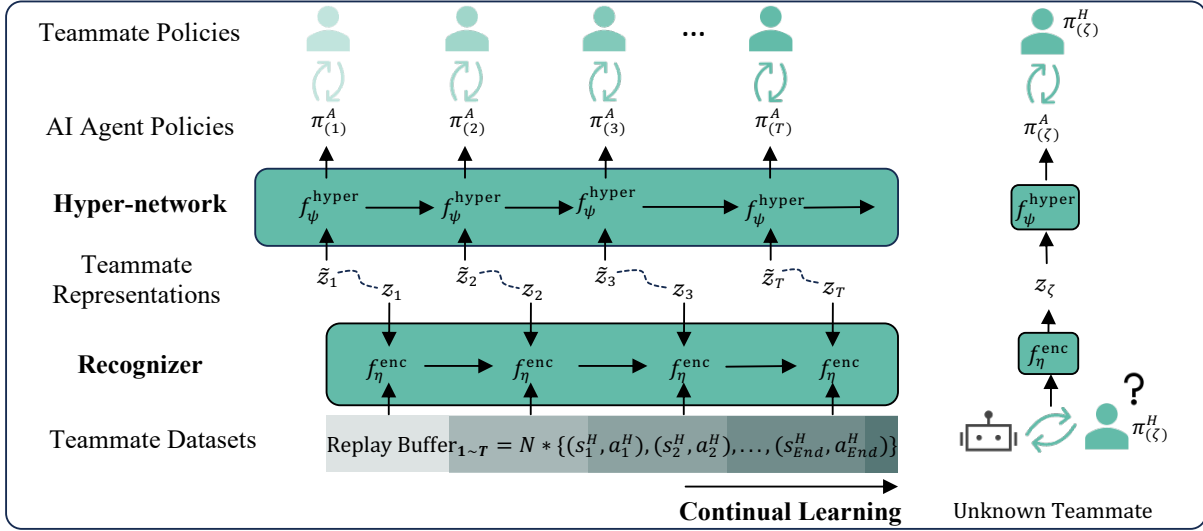


Figure 12: A high-level workflow showing the main idea of our approach. We use \tilde{z}_ζ to denote the initialized representation and z_ζ for the learned teammate representation. During the recognizer training, each task stores a small amount of data in the Replay Buffer, where N is the number of trajectories per teammate, and supervised learning is performed to align the behavioral trajectories and teammate representations.

set as 0.994 and 0.92 in Overcooked and SMAC, respectively. Above, we have explained how the recognizer network generates the reconstructed teammate representation with one human trajectory. However, we aim to utilize multiple trajectories to recognize teammates in our algorithm. In practical implementation, we firstly generate representation with each human trajectory and then compute the mean vector of these representations.

A.2 Overall Workflow of Concord

Following the workflow of the multi-agent continual coordination learning in Fig. 2, the main idea of our approach is depicted in Fig. 12. In more details, Concord can be decomposed into two phases, the training phase and the test phase. During the training phase, we conduct the continual coordination learning, and during the test phase, we recognize the involved human teammates and let the AI agents to cooperate with them. The overall flow of these two phases are respectively shown in Alg. 1 and Alg. 2 in the maintext.

A.3 Storage requirement during continual learning

To address the potential forgetting problem of the hyper-network and recognizer network, Concord needs to store some variables when learning continually. Specifically, our algorithm requires storing the following two types of variables:

- The parameters of the hyper-network at the last round, denoted as $\tilde{\psi}$, are used to compute \mathcal{L}^{reg} . This variable always occupies static storage space, which is not relevant to the continual learning length T . Therefore, the storage cost for $\tilde{\psi}$ is considered acceptable.
- A small buffer \mathcal{B} is utilized to store a few of human trajectories and teammate representations for previous teammates. In specific, for each encountered teammate policy π_ζ^H , we store 36 human trajectories and one representation vector with dimension of 32. The final storage cost for these variables grows linearly with the continual learning length T . In practice, we find that the storage cost for these variables are relatively acceptable because we only store a few of human trajectories and the storage cost for teammate representations is small.

B Experiment Setup

B.1 Hyper-parameters Selection

In Tab. 2, we list the selection of hyper-parameters that are critical to our algorithm. We adopted this set of hyper-parameters throughout all the experiments in this paper. However, we utilized different training periods per task on different environment scenarios, of which the details are listed in Tab. 3.

Table 2: Hyper-parameters selected for our proposed approach.

| Hyper-parameter | Value |
|--|-----------------|
| Discount factor γ | 0.99 |
| RMSprop learning rate for actor / critic | 0.0005 / 0.0001 |
| Adam learning rate for hyper and recognizer network | 0.001 |
| Batch size for actor learning | 32 |
| Buffer size for critic learning | 5000 |
| Max/Min ϵ value for ϵ -greedy exploration | 0.5 / 0.05 |
| Step number of exploration decay period | 500k |
| Embedding dimension for teammate representation (D^{emb}) | 32 |
| Regularization loss weight (β^{reg}) | 2.0 |
| Episode number for teammate recognition | 2 |
| Episode number for training / validating recognizer network | 32 / 4 |

Table 3: Training period per task for different environment scenarios.

| Environment | Scenario | Step number |
|-------------|----------------------|-------------|
| Overcooked | Coord. Ring | 5M |
| | Asy. Advantages | 10M |
| | Many orders | 7M |
| | Open Asy. Advantages | 7M |
| SMAC | 3m | 3M |
| | 2z1s | 1.5M |
| | 4m | 2M |

B.2 Construction of Human-like teammates

To evaluate the performance of coordination with human-like teammates, we should first construct a set of teammates. Building AIs that can cooperate with humans remains a fundamental challenge (Dafoe et al., 2021), as it requires human-like teammates under the property of high behavior diversity. We here use Maximum Entropy Population-based training (MEP) (Zhao et al., 2023) and Hidden-utility Self-Play (HSP) (Yu et al., 2023) algorithms to model a diversity of human-like behavioral policies, for their proven diverse agent ability in the Overcook environment. Specifically, we use the code released by the HSP algorithm¹ to train MEP and HSP policies, and the number of our human-like models is defined as 12 (among them, 8 MEP policies and 4 HSP policies), to simulate real human behavior diversity and preference. As shown in Fig. 13, the diverse teammates allow the agent to learn more universal coordination behaviors, and therefore have stronger continual human-AI coordination performance.

¹The code we used for HSP is at <https://github.com/samjia2000/HSP>

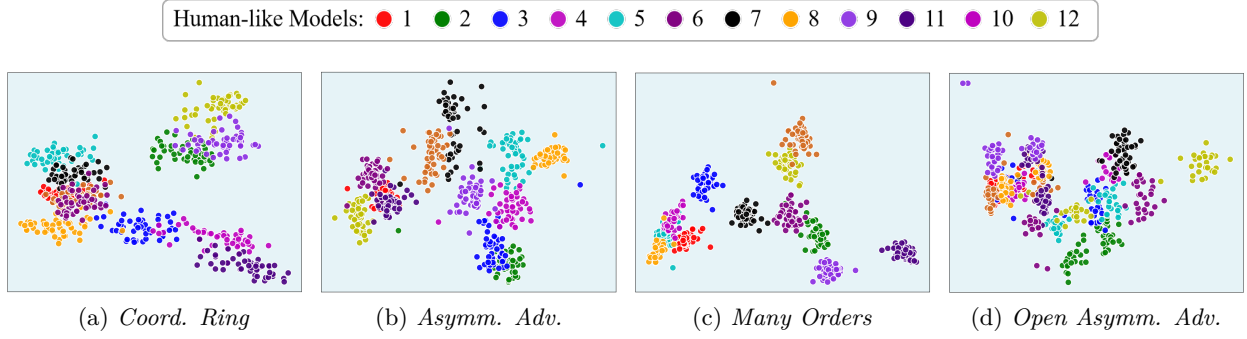


Figure 13: Illustration of diversity of teammates. We plot the distribution of teammates’ actions $\pi_p \{\cdot \mid s\}$ by t-SNE (Van der Maaten & Hinton, 2008) projection, where each point represents the t-SNE results of teammates’ action distribution in each episode, and different teammates have different action distributions, thus the obtained teammates can be seen as diverse.

C Additional Experimental Results

C.1 Additional Results on Overcooked Environment

In Sec. 5.2 of the manuscript, we compare the detailed single coordination performance of Concord and Vanilla on the Asym. Adv. layout of Overcooked environment. To further analyze the situations on several other layouts, we present additional experimental results on the other three layouts here. Fig. 14-16 demonstrate that Concord continues to suffer less forgetting and achieves better forward transfer performance.

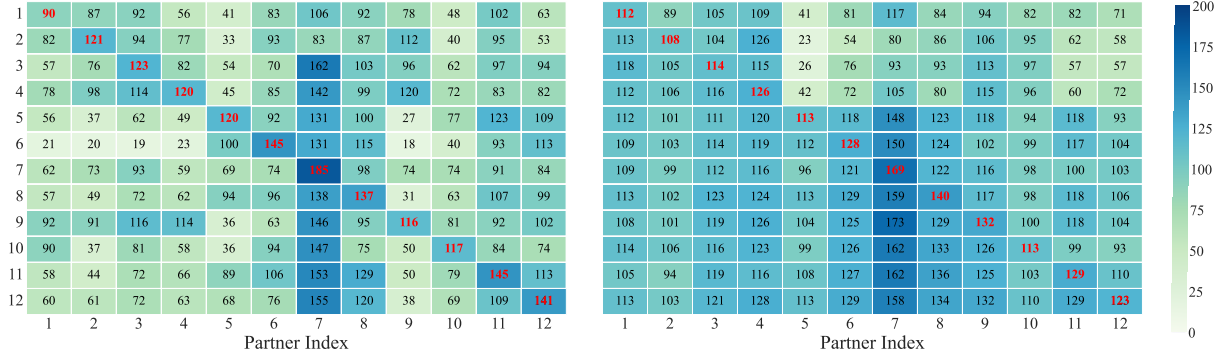


Figure 14: Single coordination performance of Vanilla (left) and Concord (right) on the Coord. Ring layout. The value at i -th row and j -th in the matrix is $P_i(j)$.

C.2 Additional Analysis

One important part of Concord is to utilize the recognizer network to recognize the teammates through a few of interaction trajectories during the test phase. To analyze whether such a few-shot mechanism is necessary and examine how the number of episodes used for teammate recognition influences the algorithm performance, we conduct ablation studies on the layout Asym. Adv. of Overcooked environment. In specific, we first provide the experimental results under different numbers of episodes for recognizing teammates, and then we further investigate the zero-shot coordination ability of different methods.

Number of episodes for recognition. The selection of the number of episodes for human recognition is a critical hyper-parameter in Concord. As depicted in Fig. 17 (a), utilizing 2 episodes yields a notable improvement compared to using only 1 episode. Although employing more episodes necessitates additional

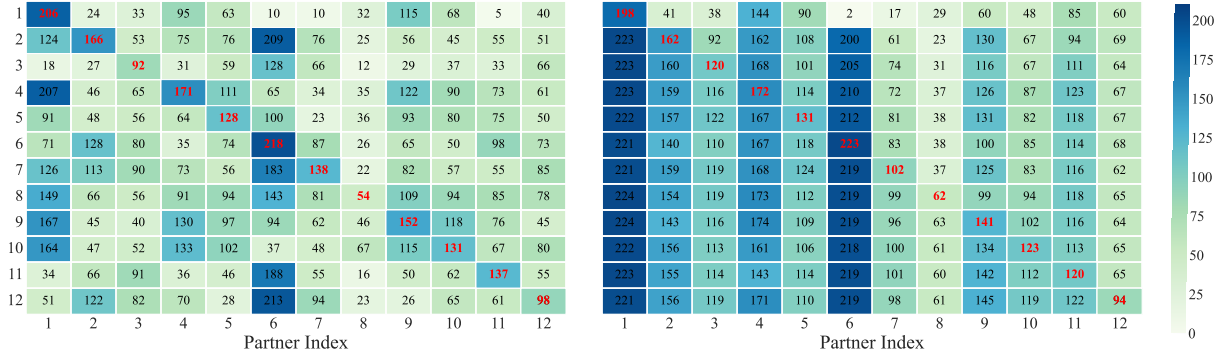


Figure 15: Single coordination performance of Vanilla (left) and Concord (right) on the Many Orders layout. The value at i -th row and j -th in the matrix is $P_i(j)$.

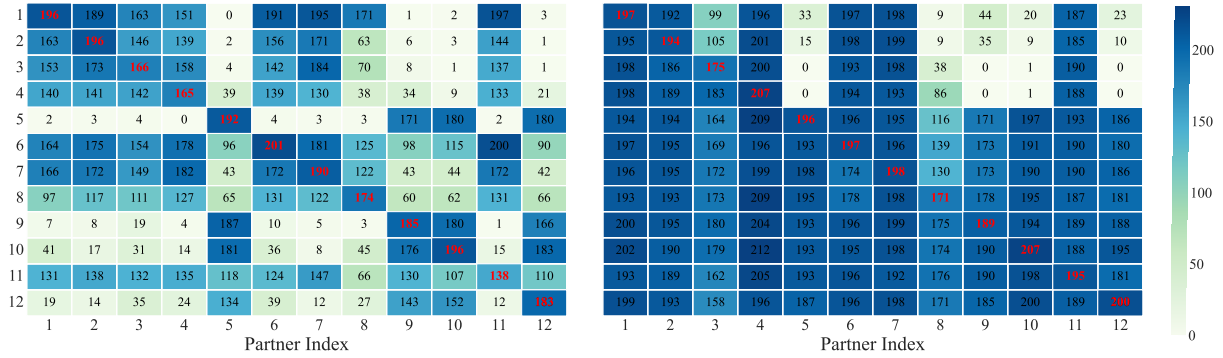


Figure 16: Single coordination performance of Vanilla (left) and Concord (right) on the Open Asy. Adv. layout. The value at i -th row and j -th in the matrix is $P_i(j)$.

data, the impact is not substantial. Consequently, the number of episodes for human recognition has been set to 2 in our experiments.

Few-shot and zero-shot recognition. We ablate the few-shot mechanism for different methods, as shown in Fig. 17 (b). For the zero-shot version of our approach, we refrain from pre-sampling certain trajectories to recognize teammates. Instead, we utilize in-episode trajectory information to estimate the teammate representation, which is subsequently inputted into the hyper-network. Additionally, to better differentiate between the methods, we evaluate their generalization ability in this ablation study by conducting tests with an additionally generated teammate policy. As we can see from the results, Concord achieves better performance than other methods under zero-shot setting, while only Concord with few-shot mechanism achieves comparable performance to the Oracle baseline. This result demonstrates the necessity of the few-shot mechanism.

Comparison with given teammates ID. To investigate the influence of known teammates, we additionally test the performance of Concord (ID), which can be seen as Concord equipped with an Oracle identification mechanism. As show in Tab. 4, we can see that Concord (ID) shows a slight advantage over Concord, but has no significant differences. This means that the identification accuracy of our identification mechanism is effective. Besides, the performance comparison between Concord (ID) and Oracle validates the effectiveness of other parts of Concord besides our identification module.

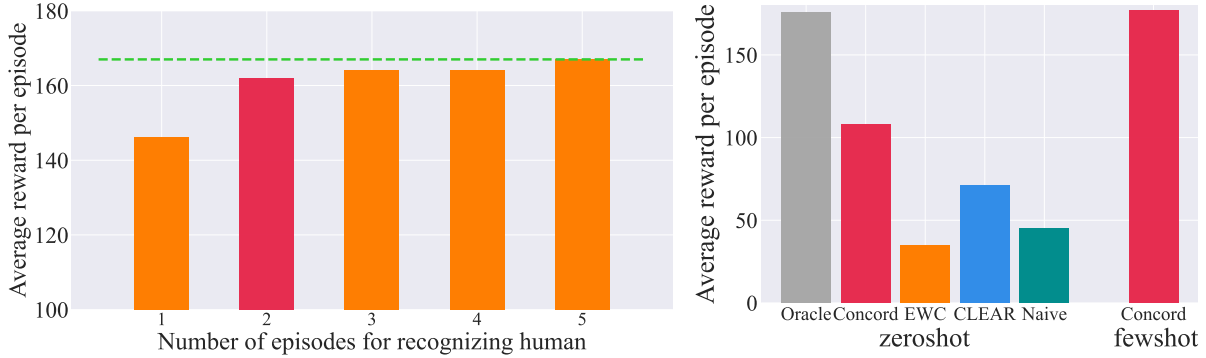


Figure 17: (a) The performance comparison with different episode numbers for the recognizer. (b) The performance of different algorithms in zero-shot testing and the performance of Concord algorithm in few-shot testing.

Table 4: Comparisons of distinct algorithms in all 4 layouts on average coordination performance with 5 random seeds. Concord (ID) is that the agents are given identities, which can be seen as Concord equipped with an Oracle identification mechanism. The best mean value on each problem except for Oracle is highlighted in bold. The symbols +, −, and \approx indicate that the result is significantly superior to, inferior to, and almost equivalent to Concord, respectively, according to the Wilcoxon rank-sum test with a significance level of 0.05.

| Environment | Oracle | EWC | CLEAR | Vanilla | Concord (ID) | Concord |
|----------------------|-------------------|-------------------|--------------------|-------------------|-------------------------------------|--------------------|
| Coord. Ring | 127.05 \pm 3.23 | 89.38 \pm 11.87 | 102.54 \pm 3.25 | 83.55 \pm 12.83 | 126.34 \pm 2.86 | 123.28 \pm 3.01 |
| Asy. Advantages | 191.91 \pm 2.42 | 51.48 \pm 6.84 | 50.42 \pm 3.28 | 47.28 \pm 9.76 | 169.27 \pm 6.39 | 160.08 \pm 13.45 |
| Many Orders | 140.81 \pm 4.22 | 98.03 \pm 22.81 | 130.45 \pm 6.01 | 79.85 \pm 9.82 | 137.22 \pm 5.43 | 134.76 \pm 4.01 |
| Open Asy. Advantages | 197.83 \pm 4.87 | 177.63 \pm 3.58 | 106.95 \pm 19.78 | 66.03 \pm 3.24 | 191.06 \pm 6.84 | 189.68 \pm 5.71 |
| +/-/ \approx | 2/0/2 | 0/4/0 | 0/3/1 | 0/4/0 | 0/0/4 | / |

Sensitivity analysis of replay buffer size. To analyze the sensitivity of the replay buffer size in terms of addressing the forgetting issue, we add a sensitivity analysis in Tab. 5. It can be observed that Concord achieves relatively good performance across the ranges of buffer size for each teammate from 8 to 64.

Moreover, to prevent any potential misunderstanding, we emphasize that Concord distinguishes itself from conventional replay-based methods. In our approach, the replay buffer is solely employed to prevent the forgetting of teammate recognition rather than to help learn solving previous tasks. An example of conventional replay-based methods in our experiments is CLEAR.

C.3 Complete Value Decomposition Study Results

In Sec. 4.1 of the manuscript, we study the influence of value decomposition in the Coord. Ring layout of Overcooked environment with line charts, of which the concrete statistics are presented in Tab. 6. To further validate the effectiveness of value decomposition when there exist multiple AI agents cooperating with multiple human-like teammates, we further conduct value decomposition study on different SMAC maps, of which the results are reported in Tab. 7-9. Similar to the results in Overcooked, Concord with value decomposition also achieves better performance on different SMAC maps, which further significantly demonstrates the effectiveness of our value decomposition.

C.4 Additional Results on SMAC Environment

In Sec. 4.2 of the manuscript, we compare Concord with other methods on the SMAC map 4m. Here, we further provide the experimental results on the other two SMAC maps in Fig. 18 to demonstrate the consistency of the conclusion across different maps. From the results, we can observe that the Concord

Table 5: The sensitivity analysis of replay Buffer. The best mean results for Episode Reward are in **bold**. Here, Forg. represents the metric of Forgetting, F. Transfer represents the metric of Forward transfer, Random represents the identification mechanism with randomly generated representations, and ID represents Concord with an oracle identification mechanism.

| Size | Coord. Ring | | | Asy. Advantages | | |
|--------|-------------------------------------|-------------------|-------------------|--------------------------------------|--------------------|--------------------|
| | Episode Reward | Forg. | F. Transfer | Episode Reward | Forg. | F. Transfer |
| 4 | 109.97 \pm 0.98 | -3.67 \pm 5.62 | 99.69 \pm 1.31 | 81.97 \pm 7.32 | -64.62 \pm 6.01 | 108.57 \pm 14.17 |
| 8 | 117.72 \pm 1.97 | -7.78 \pm 1.85 | 113.63 \pm 2.72 | 128.61 \pm 13.87 | -51.54 \pm 13.05 | 131.87 \pm 8.31 |
| 16 | 122.16 \pm 1.86 | -2.01 \pm 1.13 | 114.81 \pm 1.72 | 136.19 \pm 13.61 | -28.36 \pm 15.45 | 143.57 \pm 8.46 |
| 24 | 126.51 \pm 1.94 | 0.61 \pm 4.21 | 117.09 \pm 2.22 | 165.94 \pm 3.64 | -2.73 \pm 8.24 | 136.42 \pm 9.83 |
| 32 | 124.91 \pm 1.96 | -1.93 \pm 4.92 | 113.57 \pm 2.56 | 166.41 \pm 4.47 | -12.46 \pm 9.71 | 131.01 \pm 5.04 |
| 48 | 126.27 \pm 1.45 | -0.27 \pm 0.65 | 113.94 \pm 2.03 | 167.75 \pm 13.96 | -5.79 \pm 16.45 | 148.96 \pm 4.92 |
| 64 | 111.94 \pm 0.48 | 1.84 \pm 2.14 | 104.21 \pm 2.08 | 166.86 \pm 4.76 | -13.12 \pm 3.77 | 123.81 \pm 16.62 |
| Random | 36.92 \pm 6.94 | -1.58 \pm 21.68 | 19.45 \pm 5.64 | 33.27 \pm 8.15 | -20.36 \pm 14.83 | 39.36 \pm 14.17 |
| ID | 129.69 \pm 1.45 | 1.76 \pm 2.64 | 88.45 \pm 0.25 | 173.25 \pm 2.63 | -3.36 \pm 3.12 | 109.79 \pm 2.02 |

Table 6: Performance comparison between Concord with and without value decomposition in the Coord. Ring layout of Overcooked environment.

| Algorithm | Task1 | Task2 | Task3 | Task4 | Task5 | Task6 | Task7 | Task8 | Task9 | Task10 | Task11 | Task11 | Average |
|--------------------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|--------|--------|--------|---------|
| Concord | 113 | 103 | 121 | 128 | 113 | 129 | 158 | 134 | 132 | 110 | 129 | 123 | 124 |
| Concord w/o Human Critic | 100 | 100 | 92 | 101 | 89 | 148 | 162 | 117 | 107 | 104 | 118 | 134 | 114 |

Table 7: Performance comparison between Concord with and without value decomposition on the SMAC map 3m.

| Algorithm | Task1 | Task2 | Task3 | Average |
|--------------------------|-------|-------|-------|---------|
| Concord | 0.98 | 0.98 | 0.99 | 0.9833 |
| Concord w/o Human Critic | 0.97 | 0.97 | 0.99 | 0.9766 |

Table 8: Performance comparison between Concord with and without value decomposition on the SMAC map 2z1s.

| Algorithm | Task1 | Task2 | Task3 | Average |
|--------------------------|-------|-------|-------|---------|
| Concord | 0.91 | 0.99 | 0.98 | 0.96 |
| Concord w/o Human Critic | 0.92 | 0.93 | 0.97 | 0.94 |

Table 9: Performance comparison between Concord with and without value decomposition on the SMAC map 4m.

| Algorithm | Task1 | Task2 | Task3 | Task4 | Task5 | Task6 | Average |
|--------------------------|-------|-------|-------|-------|-------|-------|---------|
| Concord | 0.81 | 0.82 | 0.91 | 0.91 | 0.92 | 0.99 | 0.8933 |
| Concord w/o Human Critic | 0.75 | 0.75 | 0.89 | 0.89 | 0.95 | 0.98 | 0.8683 |

method still exhibits the smallest gray shaded region in these two maps, indicating that generality of the anti-forgetting ability of our approach.

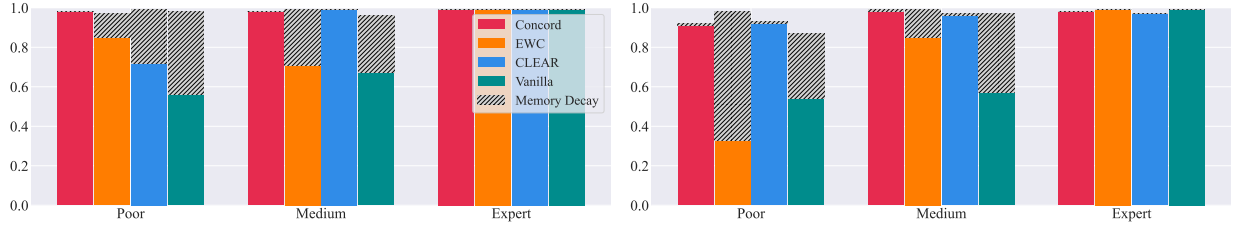


Figure 18: Experimental results on SMAC maps 3m and 2z1s. The gray shaded bar is used to denote the degree of forgetting, which equals to the highest test win rate during training minus the final test win rate.

D Detailed Information for Human Participation

D.1 Experimental Settings for Continual Coordination with Humans.

To assess the performance of Concord in coordination with humans, we recruited 8 volunteers (25% female, 75% male, aged between 18 and 35). The volunteers were divided into four groups and given a comprehensive introduction to the basic gameplay and experimental procedures. All volunteers were fully informed of their rights, and the experiments were approved by the relevant department. The Overcooked game was remotely deployed on a server accessible through the volunteers’ browsers. Using this setup, we were able to collect trajectories of human players interacting with each other, as shown in Fig. 19. We collect around 160 human-human trajectories (for a total of 64k environment timesteps) and partition these into two subsets, splitting each trajectory into two single-agent trajectories. Based on the collected human data, we construct 8 sets of human proxy models through behavior cloning. Then we train different methods by using these human proxy models as the teammates that appear sequentially. Note that the AI agents obtained by different methods will play with the real human participants, not the human proxy models. In our preliminary experiments, we observed that human models trained through behavior cloning outperformed those trained with Generative Adversarial Imitation Learning (GAIL) (Ho & Ermon, 2016). Hence, we decided to employ the former throughout our experiments.

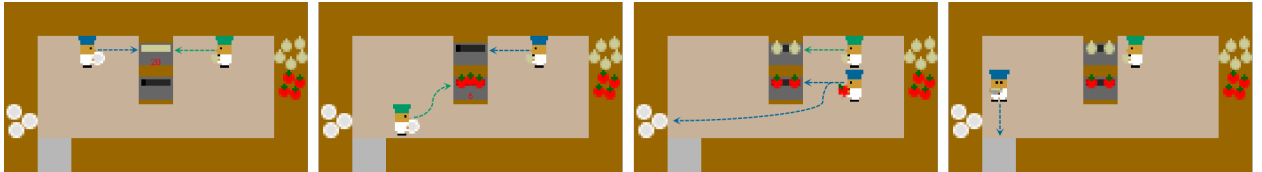


Figure 19: Open Asymmetric Advantages. The layout of the two rooms, all players are initialized in the right room, and players need to coordinate cooking, delivery or cooking and delivery at the same time, as well as ingredients (tomatoes or onions). The example trajectories represent different Human-AI coordination policies.

D.2 Ethical Statement

In terms of the experiments that have human participation, we claim that we have employed effective practice to avoid possible ethical issues.

Test introduction Before the experiments, we informed the volunteers of the specific details of the entire experiments, including that they would be arranged to play the game with AI agents, and that their playing data during test and the final test results would be utilized for the academic research of this work. All the collected data is only for experiment purposes and we only make the testing results public in this paper.

The volunteers voluntarily choose whether to participate in the experiment, and all participating volunteers were informed of and agreed to our practice.

Possible Risk for Humans and Broader Impact The main risks for the volunteers are twofold: the potential leakage of their personal information and the time cost. Regarding the former, we only invited the volunteers to participate in our experiments without the requirement for any unnecessary personal information. Additionally, we will keep the volunteers’ identity information completely confidential. As for the latter, we first adjusted the frequency of the AI decision-making in the experiment to make the coordination process between humans and AI more comfortable. Besides, we provided appropriate material compensation for the volunteer’s participation in the experiments.

Furthermore, the experimental results are encouraging in the sense that we demonstrate Concord is a promising method for dealing with Human-AI coordination in multi-agent systems via continual learning. It is not yet at the application stage and does not have a broader impact. However, this work learns one by one coordination via continual learning, making Concord more practical in real-world applications.

D.3 Definition of the Human Preference Metric

In Sec. 4.3 of the main paper, we validate our approach to conduct continual coordination with real humans. To provide a more comprehensive comparison of our approach with other baselines, we present the experimental results in two different dimensions. Among them, the main results are in the form of the single coordination performance, which is an objective metric. To further consider the humans’ subjective feelings, we additionally include one metric we refer to as “Human preference” in the main paper (Strouse et al., 2021; Yu et al., 2023). To calculate the human preference, some human participants have tasks as judges. Note the real human participants in our experiments we recruited have two tasks:

- **Players.** We first collect some trajectories of them, then construct human proxy models based on these trajectories. Then we train different methods by using these human proxy models as the partners that appear sequentially. When testing, the obtained agents by different methods will play with the real human participants, not the human proxy modes. The final single coordination performance is shown in Fig. 10(a).
- **Judges.** We assign a different participant to each player as a judge, which will judge the preferences in the game between trained agents and the corresponding human player.

In terms of the formal definition of this metric, the human preference for method A over method B can be calculated as follows. Let N be the total number of human players participating in the experiment, N_A be the number of human players who rank A over B, and N_B be the number of those who rank B over A. Then, Human preference for method A over method B is computed as $\frac{N_A}{N} - \frac{N_B}{N}$. The human players will first play with the trained agents by different algorithms. The game replays are recorded for the following judging process, where each algorithm is evaluated against with a different algorithm. There is 5 different algorithms (i.e., Oracle, Concord, EWC, CLEAR, and Vanilla), thus there is total 10 rounds of evaluations. In each evaluation, human judges are asked to watch the replays of the two algorithms and vote for the algorithm that is preferred. For example, let $N = 8$ be the number of human judges, A and B are the two methods compared in a round, $N_A = 3$ be the number of human players who rank A over B, and $N_B = 5$ be the number of those who rank B over A. Then, Human preference for method A over method B is computed as $\frac{N_A}{N} - \frac{N_B}{N} = \frac{3}{8} - \frac{5}{8} = -0.25$. Similarly, human preference for method B over method A is 0.25. The final human preference is shown in Fig. 10(b).