## Beam Enumeration: Probabilistic Explainability For Sample Efficient Self-conditioned Molecular Design

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## Abstract

Generative molecular design has moved from proof-of-concept to real-world appli-1 2 cability, as marked by the surge in very recent papers reporting experimental validation. Key challenges in explainability and sample efficiency present opportunities 3 to enhance generative design to directly optimize expensive high-fidelity oracles 4 and provide actionable insights to domain experts. Here, we propose Beam Enumer-5 ation to exhaustively enumerate the most probable sub-sequences from language-6 based molecular generative models and show that molecular substructures can be ex-7 tracted. When coupled with reinforcement learning, extracted substructures become 8 9 meaningful, providing a source of explainability and improving sample efficiency through self-conditioned generation. Beam Enumeration is generally applicable 10 to any language-based molecular generative model and notably further improves 11 the performance of the recently reported Augmented Memory algorithm, which 12 achieved the new state-of-the-art on the Practical Molecular Optimization bench-13 mark for sample efficiency. The combined algorithm generates more high reward 14 15 molecules and faster, given a fixed oracle budget. Beam Enumeration is the first method to jointly address explainability and sample efficiency for molecular design. 16 The code is available at https://figshare.com/s/d0cd53fc14027accd7b0. 17

## 18 1 Introduction

Molecular discovery requires identifying candidate molecules possessing desired properties amidst 19 an enormous chemical space<sup>1</sup>. Generative molecular design has become a popular paradigm in 20 drug discovery, offering the potential to navigate chemical space more efficiently with promise for 21 accelerated discovery. Very recently, efforts have come to fruition and a large number of works have 22 reported experimental validation of generated inhibitors, notably for both distribution learning 2-14 23 and goal-directed generation<sup>15-20</sup> approaches. Perhaps now more than ever, existing challenges in 24 explainability and sample efficiency offer an avenue to propel generative molecular design towards 25 outcomes that are not yet possible. Specifically, if one can elucidate why certain substructures or 26 molecules satisfy a target objective, the model's *knowledge* can be made actionable, for example, in 27 an interplay with domain experts. Moreover, sample efficiency concerns with how many experiments, 28 29 i.e., oracle calls, are required for a model to optimize the target objective. This is a pressing problem as the most informative high-fidelity oracles are computationally expensive, e.g., molecular dynamics 30 (MD) for binding energy prediction<sup>21,22</sup>. If a generative model can *directly* optimize these expensive 31 oracles, the capabilities of generative design can be vastly advanced. 32

In this work, we propose Beam Enumeration to exhaustively enumerate the most probable token sub-33 sequences in language-based molecular generative models and show that valid molecular substructures 34 35 can be extracted from these partial trajectories. We demonstrate that the extracted substructures are informative when coupled with reinforcement learning (RL) and show that this information can be 36 made actionable to self-condition the model's generation by only evaluating sampled molecules 37 containing these substructures with the oracle. The results show significantly enhanced sample 38 efficiency with an expected small trade-off in diversity. Beam Enumeration is the first method to 39 jointly address explainability and sample efficiency. Our contribution is as follows: 40

- We propose Beam Enumeration as a task-agnostic method to exhaustively enumerate sub sequences and show that molecular substructures can be extracted. When coupled with
   RL
- During the course of RL, extracted substructures provide structural insights and are on track
   to yield high rewards, which, in turn, enables self-conditioned molecular generation.
- We perform exhaustive hyperparameter investigations (2,224 experiments and 144 with
   molecular docking) and provide insights on the predictable behavior of Beam Enumeration
   and recommend default hyperparameters for out-of-the-box applications.
- 4. We combine Beam Enumeration with the recently reported Augmented Memory<sup>23</sup> optimization algorithm and show that the sample efficiency becomes sufficient (up to a 29-fold increase on the most challenging task) to find high reward molecules that satisfy a docking objective with only 2,000 oracle calls in three drug discovery case studies.

## 53 2 Related Work

Sample Efficiency in Molecular Design. Tailored molecular generation is vital for practical 54 applications as every use case requires optimizing for a bespoke property profile. Over the past 55 several years, so-called goal-directed generation has been achieved using a variety of architectures, 56 including Simplified molecular-input line-entry system (SMILES)<sup>24</sup>-based recurrent neural networks 57 (RNNs)<sup>25-28</sup>, generative adversarial networks (GANs)<sup>29-31</sup>, variational autoencoders (VAEs)<sup>17,32,33</sup>, 58 graph-based models<sup>34–37</sup>, GFlowNets<sup>38</sup>, and genetic algorithms<sup>39</sup>. However, while all methods 59 can be successful in optimizing for various properties, the oracle budget, i.e., how many oracle 60 calls (computational calculations) were required to do so, is rarely reported. To address this, Gao 61 et al.<sup>40</sup> proposed the Practical Molecular Optimization (PMO)<sup>40</sup> benchmark, which assesses 25 62 models across 23 tasks and enforces a budget of 10,000 oracle calls. Recently, Guo et al. proposed 63 Augmented Memory $^{23}$ , which uses a language-based molecular generative model and achieves the 64 new state-of-the-art on the PMO benchmark. 65

**Explainability for Molecules.** Explainable AI (XAI)<sup>41</sup> to interpret and explain model predictions is a 66 vital component for decision-making. Existing methods include Gradient-weighted Class Activation 67 Mapping (Grad-CAM)<sup>42</sup>, which uses gradient-based heat maps for convolutional layers and Local 68 Interpretable Model-agnostic Explanations (LIME)<sup>43</sup>, which uses a locally interpretable model. 69 Other methods include permutation importance<sup>44</sup> and SHAP values<sup>45</sup>, which are model-agnostic. For 70 molecules, the Molecular Model Agnostic Counterfactual Explanations (MMACE)<sup>46</sup> method was 71 proposed to search for the most similar counterfactual (model predicts the opposite label) molecule. 72 Recently, the pBRICS<sup>47</sup> algorithm was proposed to combine functional group decomposition with 73 Grad-CAM to explain matched molecular pairs. While existing XAI methods can work well provided 74 a dataset, making the explanations actionable *during* a generative design experiment that relies on an 75 interplay between chemical space exploration and oracle feedback is difficult. 76

To address this limitation, we introduce *Beam Enumeration*, which extracts molecular substructures
 directly from the model's token sampling probabilities and derives explainability from a generative
 probabilistic perspective that is modulated by reward feedback. Moreover, when coupled with
 Augmented Memory<sup>23</sup>, sample efficiency drastically improves.



Figure 1: Beam Enumeration overview. **a.** The proposed method proceeds via 4 steps: **1.** generate batch of molecules. **2.** filter molecules based on pool to enforce substructure presence, discarding the rest. **3.** compute reward **4.** update the model. After updating the model, if the reward has improved for consecutive epochs, execute Beam Enumeration. **b.** Beam Enumeration sequentially enumerates the top k tokens by probability for N beam steps, resulting in an exhaustive set of token sub-sequences. **c.** All valid substructures (either by the *Structure* or *Scaffold* criterion) are extracted from the sub-sequences. The most frequent substructures are used for self-conditioned generation.

## **3 Proposed Method: Beam Enumeration**

In this section, each component of Beam Enumeration (Fig. 1) is described: the base molecular generative model, the Beam Enumeration algorithm, and how Beam Enumeration harnesses the model's
built-in explainability which can be used to improve sample efficiency through self-conditioned
generation (further details on Beam Enumeration are presented in Appendix A).

Autoregressive Language-based Molecular Generative Model. The starting point of Beam Enu-86 meration is any autoregressive language-based molecular generative model. The specific model used 87 in this work is Augmented Memory<sup>23</sup> which recently achieved the new state-of-the-art performance 88 on the PMO<sup>40</sup> benchmark for sample efficiency, outperforming modern graph neural network-based 89 approaches<sup>48,49</sup> and GFlowNets<sup>50</sup>. Augmented Memory builds on REINVENT<sup>25,51</sup> which is a 90 SMILES-based<sup>24</sup> RNN using long-short-term memory (LSTM) cells<sup>52</sup>. The optimization process is 91 cast as an on-policy RL problem. We define the state space,  $S_t$ , as all intermediate token sequences 92 and the action space,  $A_t(s_t)$ , as the token sampling probabilities (conditioned on a given a state). 93  $A_t(s_t)$  is given by the policy,  $\pi_{\theta}$ , which is parameterized by the RNN. The objective is to iteratively 94 update the policy such that token sampling,  $A_t(s_t)$ , yields trajectories (SMILES) with increasing 95 reward. Formally, sampling a SMILES, x, is given by the product of conditional state probabilities 96 (Equation 1), and the token sampling is Markovian: 97

$$P(x) = \prod_{t=1}^{T} P(s_t \mid s_{t-1}, s_{t-2}, \dots, s_1)$$
(1)

<sup>98</sup> Goal-directed generation proceeds by defining the Augmented Likelihood (Equation 2), where the

99 Prior is the pre-trained model and S is the objective function returning a reward, given a SMILES, x.

$$\log \pi_{\theta_{\text{Augmented}}} = \log \pi_{\theta_{\text{Prior}}} + \sigma S(x) \tag{2}$$

The policy is directly optimized by minimizing the squared difference between the Augmented Likelihood and the Agent Likelihood given a sampled batch, B, of SMILES constructed following the actions,  $a \in A^*$  (Equation 3):

$$L(\theta) = \frac{1}{|B|} \left[ \sum_{a \in A^*} (\log \pi_{\theta_{\text{Augmented}}} - \log \pi_{\theta_{\text{Agent}}}) \right]^2$$
(3)

Minimizing  $L(\theta)$  is equivalent to maximizing the expected reward as shown previously<sup>23,53</sup>.

**Beam Enumeration.** Beam Enumeration is proposed based on the fact that on a successful optimization trajectory, it must become increasingly likely to generate high reward molecules. It is therefore reasonable to assume that the highest probability trajectories are more likely to yield high reward. Correspondingly, Beam Enumeration (Fig. 1) exhaustively enumerates the top k tokens (by probability) sequentially for N beam steps. Molecular substructures can be extracted from the set of *sub-sequences*, and we show how this information can be made *actionable*.

**Probabilistic Explainability.** Here, we describe how probabilistic explainability can be extracted 110 from the exhaustive set of token sub-sequences. We hypothesized that molecular substructures can be 111 extracted from a given sub-sequence by iteratively considering every (sub)-sub-sequence (Fig. 1). 112 For example, given the sub-sequence "ABC", the set of (sub)-sub-sequences are: "A", "AB", and 113 "ABC". It is expected that not every sub-sequence possesses (sub)-sub-sequences mapping to valid 114 molecular substructures. Still, we show that a sufficient signal can be extracted (Appendix C). We 115 implement two types of substructures: *Scaffold*, which extracts the Bemis-Murcko<sup>54</sup> scaffold and 116 Structure, which extracts any valid substructure. The closest work to ours is the application of Beam 117 Search<sup>55,56</sup> for molecular design<sup>3</sup>. Our work differs as the objective is to *exhaustively* enumerate the 118 highest probability sub-sequences to extract molecular substructures for self-conditioned generation. 119

Self-conditioned Generation. The sub-sequences were enumerated by taking the most probable to k tokens, and generating high reward molecules should be increasingly likely. Correspondingly, it is reasonable to posit that the most frequent molecular substructures are on track to becoming high reward full molecules and that the substructures themselves possess properties aligned with the target objective. The generative process can be self-conditioned to filter sampled batches for the presence of these molecular substructures and discard those that do not (Fig. 1).

**Sample Efficiency Metrics.** We define two metrics to assess sample efficiency: Generative Yield (referred to as Yield from now on) and Oracle Burden. Yield (Equation 4) is defined as the number of *unique* generated molecules above a reward threshold, where  $g \in G$  are the molecules in the generated set, I is the indicator function which returns 1 if the reward, R(g), is above a threshold, T. Yield is a useful metric for drug discovery as the generated set is usually triaged to prioritize molecules, e.g., based on synthetic feasibility, for experimental validation or more expensive computational oracles.

Generative Yield = 
$$\sum_{g=1}^{G} \mathbb{I}[R(g) > T]$$
(4)

Oracle Burden (Equation 5) is defined as the number of oracle calls (*c*) required to generate *N* unique molecules above a reward threshold. This is a direct measure of sample efficiency as high reward molecules satisfy the target objective, and the metric becomes increasingly important with expensive high-fidelity oracles.

$$Oracle \ Burden = c \mid \sum_{g=1}^{G} \mathbb{I}[R(g) > T] = N$$
(5)

## **136 4 Results and Discussion**

We first design an illustrative experiment to demonstrate the feasibility of Beam Enumeration to
 extract meaningful substructures and, in turn, enable self-conditioned generation. Next, three drug
 discovery case studies to design prospective inhibitors were performed to demonstrate real-world



Figure 2: Illustrative experiment with the following multi-parameter optimization objective: maximize tPSA, molecular weight < 350 Da, number of rings  $\geq 2$ . **a**. Augmented Memory<sup>23</sup> reward trajectory with annotated top-4 (excluding benzene) most frequent molecular substructure scaffolds at varying epochs using Beam Enumeration. **b.** Examples of molecules with high reward.

Table 1: Illustrative experiment: Beam Enumeration improves the sample efficiency of Augmented Memory. All experiments were run for 100 replicates with an oracle budget of 5,000 calls, and reported values are the mean and standard deviation. *Scaffold* and *Structure* indicate the type of substructure, and the number after is the *Structure Minimum Size*. Parentheses after Oracle Burden denote the cut-off number of molecules. Parentheses after values represent the number of unsuccessful replicates (for achieving the metric).

Metric	Augmented Memory					
	Beam Scaffold 15	Beam Structure 15	Beam Scaffold	Beam Structure	Baseline	
Generative Yield>0.7 ( <sup>+</sup> )	$1757\pm305$	$1669\pm389$	$1117\pm278$	$864\pm202$	$496 \pm 108$	
Generative Yield <sub>&gt;0.8</sub> ( <sup>+</sup> )	$819\pm291$	$700\pm389$	$425\pm256$	$199 \pm 122$	$85\pm56$	
Oracle Burden <sub>&gt;0.7</sub> (1) ( $\downarrow$ ) Oracle Burden <sub>&gt;0.7</sub> (10) ( $\downarrow$ ) Oracle Burden <sub>&gt;0.7</sub> (100) ( $\downarrow$ )	$577 \pm 310$ 947 ± 350 1530 ± 468	$616 \pm 230$ <b>926</b> \pm <b>332</b> 1547 $\pm$ 513	$\begin{array}{c} 1037 \pm 414 \\ 1881 \pm 259 \\ 2736 \pm 335 \end{array}$	$897 \pm 347 \\ 1745 \pm 292 \\ 2713 \pm 402$	$\begin{array}{c} 1085 \pm 483 \\ 2392 \pm 216 \\ 3672 \pm 197 \end{array}$	
Oracle Burden <sub>&gt;0.8</sub> (1) ( $\downarrow$ ) Oracle Burden <sub>&gt;0.8</sub> (10) ( $\downarrow$ ) Oracle Burden <sub>&gt;0.8</sub> (100) ( $\downarrow$ )	$\begin{array}{c} 1311 \pm 628 \\ 1794 \pm 617 \ (1) \\ 2704 \pm 689 \ (1) \end{array}$	$\begin{array}{c} 1401 \pm 695 \\ 2009 \pm 804  (1) \\ 2943 \pm 811  (6) \end{array}$	$2423 \pm 487$ $3124 \pm 497$ $3973 \pm 592$ (6)	$\begin{array}{c} 2295 \pm 482 \\ 3241 \pm 492 \\ 4415 \pm 437 \ (20) \end{array}$	$3164 \pm 492$ $4146 \pm 326$ $4827 \pm 170$ (69)	

application. The key result we convey is that Beam Enumeration can be added directly to existing
 algorithms, and it both provides structural insights and improves sample efficiency to not only
 generate more high reward molecules, but also faster, given a fixed oracle budget.

#### 143 4.1 Illustrative Experiment

Extracted Substructures are Meaningful. The illustrative experiment aims to optimize the following 144 multi-parameter optimization (MPO) objective: maximize topological polar surface area (tPSA), 145 molecular weight (MW) < 350 Da, and number of rings  $\geq 2$ . This specific MPO was chosen because 146 satisfying the objective *requires* generating rings saturated with heteroatoms. Augmented Memory<sup>23</sup> 147 was used to optimize the MPO objective. The reward trajectory tends towards 1, indicating the 148 model gradually learns to satisfy the target objective, as desired (Fig. 2) Next, we investigate the 149 top k and N beam steps parameters for Beam Enumeration and show that while the majority of 150 sub-sequences do not possess valid substructures, a meaningful signal can still be extracted (Appendix 151 C). We hypothesize that the optimal parameters are using a low top k as we are interested in the most 152 probable sub-sequences and large N beam steps, which would enable extracting larger (and potentially 153 more meaningful) substructures. Fig. 2 shows the top-4 substructures from Beam Enumeration at 154 varying epochs. The substructures are informative when considering the MPO objective: the most 155 frequent substructures gradually become rings saturated with heteroatoms, which possess a high 156 tPSA. 157

Self-conditioned Generation Improves Sample Efficiency. Thus far, the results only show that 158 Beam Enumeration can extract meaningful molecular substructures. To enable self-conditioned 159 generation, we consider *when* extracted substructures would be meaningful and propose to execute 160 Beam Enumeration when the reward improves for Patience number of successive epochs (to mitigate 161 sampling stochasticity). We combine Beam Enumeration with Augmented Memory<sup>23</sup> and perform 162 an exhaustive hyperparameter grid search (with replicates) using Yield and Oracle Burden as the 163 performance metrics (Appendix A). The results elucidate the behavior of Beam Enumeration with 164 three key observations: firstly, *Structure* extraction is permissive compared to *Scaffold* and often leads 165 to small functional groups being the most frequent substructures which diminish the sample efficiency 166 benefits (Appendix C). Secondly, enforcing larger substructures to be extracted (Structure Minimum 167 Size) improves performance across all hyperparameter combinations. This reinforces that extracted 168 substructures are meaningful as larger substructures heavily bias molecular generation during self-169 conditioning. If they were not meaningful, sample efficiency would not improve (and would likely be 170 171 detrimental). Thirdly, Structure extraction while enforcing a higher Structure Minimum Size prevents small functional group extraction which significantly enhances performance. Subsequently, we 172 perform five experiments (N=100 replicates each) based on the optimal hyperparameters identified: 173 Augmented Memory<sup>23</sup> (baseline) and Augmented Memory with Beam Enumeration (Scaffold and 174 Structure with and without Structure Minimum Size = 15). Table 1 shows that Beam Enumeration 175 drastically improves the Yield and Oracle Burden compared to the baseline at both the > 0.7 and >176 177 0.8 reward thresholds, especially when *Structure Minimum Size* = 15 is enforced. We highlight that the improved sample efficiency is significant as baseline Augmented Memory could not find 100 178 molecules > 0.8 reward in 69/100 replicates. 179

#### 180 4.2 Drug Discovery Case Studies

Next, we apply Beam Enumeration to drug discovery case studies to design inhibitors against 181 DRD2 which is implicated in neurodegenerative diseases<sup>57</sup>, MK2 kinase which is involved in pro-182 inflammatory responses<sup>58</sup>, and AChE which is a target of interest against Alzheimer's disease<sup>59</sup>. 183 Following Guo et al.<sup>23,60</sup>, we formulate the following MPO objective: minimize the AutoDock 184 Vina<sup>61</sup> docking score, maximize the QED<sup>62</sup> score, and MW < 500 Da. The QED and MW objectives 185 prevent the generative model from exploiting the weaknesses of docking algorithms to give inflated 186 docking scores to large, lipophilic molecules, which can be promiscuous binders<sup>63</sup>. Moreover, an 187 oracle budget of 5,000 Vina calls was enforced which is almost half the budget of the original 188 Augmented Memory<sup>23</sup> work (9,600). Since the observations made from the previous hyperparameter 189 grid search may not be generalizable to docking tasks, we perform an additional hyperparameter grid 190 search (with replicates). The results (Appendix D) show that the optimal hyperparameters across 191 all drug discovery case studies are the same as the illustrative experiment. We designate these the 192 default hyperparameters and demonstrate the applicability of Beam Enumeration to both Augmented 193 Memory<sup>23</sup> and REINVENT<sup>25,51</sup> which is the second most (behind Augmented Memory) sample 194 efficient model in the PMO<sup>40</sup> benchmark. 195

Qualitative Inspection: Explainability. We first show that Augmented Memory with Beam Enu-196 meration generates molecules that satisfy the MPO objective (Fig. 3). We emphasize that results 197 were not cherry-picked and the three generated examples shown are the top 1 (by reward) across 198 triplicate experiments. All molecules possess better Vina scores and higher QED than the reference 199 molecules, as desired. Fig. 3 shows the highlighted substructures extracted using Structure extraction 200 with Structure Minimum Size = 15 with three key observations: firstly, "uncommon" molecular 201 substructures may be extracted such as the bridged cycle against DRD2. The exact substructure 202 extracted was an amide bond with a long carbon chain which implicitly enforces the bridged cycle, 203 and the Vina pose shows that it fits in the binding cavity with no clashes, despite being a bulky 204 group. Secondly, bicylic or double-ring systems are often extracted, forming central scaffolds of 205 the full molecule. Thirdly, scaffolds with branch points, i.e., a central ring with single carbon bond 206 extensions, are often extracted. These substructures are particularly interesting as they heavily bias 207 what can be generated in the remaining portion of the full molecule. An exemplary example of this 208 is in the first generated molecule against MK2, where the branch points are effectively a part of 209



Figure 3: Three drug discovery case studies showing the top generated molecule (triplicate experiments) using Augmented Memory<sup>23</sup> with Beam Enumeration *Structure* Minimum Structure Size = 15 and the reference ligand. Extracted substructures from Beam Enumeration are highlighted. The multi-parameter optimization objective is: Minimize Vina score, maximize QED, and molecular weight < 500 Da. **a.** Dopamine type 2 receptor<sup>57</sup>. **b.** MK2 kinase<sup>58</sup>. **c.** Acetylcholinesterase<sup>59</sup>.

two other ring systems (Fig. 3). Beam Enumeration can provide insights into the tolerability and suitability of certain substructures in the context of the full molecules (see Appendix D for more examples of substructures). Overall, the extracted substructures are meaningful and act both as a source of generative explainability and can self-direct the generative model into specific regions of chemical space with high reward.

**Ouantitative Analysis: Sample Efficiency.** Next, we reinforce results from previous work showing 215 that Augmented Memory<sup>23</sup> is significantly more sample efficient than REINVENT<sup>25,64</sup> (Table 2). 216 Notably, the Yield of Augmented Memory is much greater than REINVENT at both the > 0.7217 and > 0.8 reward thresholds, indicating that more high reward molecules are generated. Moreover, 218 Augmented Memory has a lower Oracle Burden than REINVENT in all cases, except for Oracle 219 Burden<sub>>0.8</sub> (1) for DRD2 and AChE where there is essentially no difference. The reason for this is 220 because molecules with > 0.8 reward were already generated at epoch 1, indicating the pre-trained 221 model (trained on ChEMBL<sup>65</sup>) is a good Prior for these case studies. By contrast, the MK2 case 222 study is considerably more challenging as extremely few > 0.8 reward molecules are generated under 223 a 5,000 oracle calls budget. Augmented Memory significantly outperforms REINVENT as the latter 224 could not find 10 molecules with reward > 0.8 (Table 2). 225

Subsequently, we demonstrate that Beam Enumeration can be applied out-of-the-box on top of Augmented Memory and REINVENT. Firstly, the addition of Beam Enumeration improves the sample efficiency of both base algorithms, as evidenced by the Yield and Oracle Burden metrics in Table 2 with a small trade-off in diversity (Appendix D). The benefits are more pronounced in Augmented Memory as observed by the Yield<sub>>0.8</sub> improving by > 4x in all cases (MK2 improves by 29x) and the Oracle Burden <sub>>0.8</sub> (10 and 100) over halved in most cases. Notably, for MK2

Oracle Burden >0.8 (100), baseline Augmented Memory could not accomplish the task while Beam 232 Enumeration is successful in almost under 2,000 oracle calls (Table 2). We further verify that a 233 large number of unique scaffolds are generated despite the Beam Enumeration bias (Appendix D), 234 demonstrating that the combined algorithm with Augmented Memory achieves both exploration and 235 exploitation. Overall, the results show that Beam Enumeration is task-agnostic and can be applied on 236 top of existing algorithms to improve sample efficiency. The combined algorithm generates more 237 high reward molecules and faster, even in challenging (MK2) scenarios under a limited oracle budget. 238 Furthermore, in reference to all the Oracle Burden metrics (Table 2), Augmented Memory with Beam 239 Enumeration can identify a small set of *excellent* (high reward) candidate molecules in under 2,000 240 oracle calls and in some cases, even under 1,000 oracle calls. 241

Table 2: Drug discovery case studies: Beam Enumeration improves sample efficiency. All experiments were run in triplicate with an oracle budget of 5,000 calls and reported values are the mean and standard deviation. *Scaffold* and *Structure* indicate the type of substructure (*Structure Minimum Size* = 15) extracted. The Generative Yield and Oracle Burden are reported at varying reward thresholds. Parentheses after Oracle Burden denote the cut-off number of molecules. Best performance is bolded with the exception of Oracle Burden (1) (DRD2/AChE) which have essentially identical performance due to the pre-trained model. \* and \*\* denote one and two replicates were unsuccessful, respectively.

Metric	Target	A	ugmented Memor	у		REINVENT	
		Beam	Beam	Baseline	Beam	Beam	Baseline
		Structure 15	Scaffold 15		Structure 15	Scaffold 15	
	DRD2	$3474 \pm 158$	$3412 \pm 95$	$2513 \pm 442$	$2392 \pm 699$	$2686 \pm 235$	$1879 \pm 16$
Generative Yield <sub>&gt;0.7</sub> (↑)	MK2	$3127 \pm 138$	$2584 \pm 443$	$1446 \pm 173$	$1822 \pm 444$	$1553 \pm 391$	879 ± 10
	AChE	$3824 \pm 162$	$3902 \pm 189$	$3288 \pm 85$	$2511 \pm 369$	$2684 \pm 242$	$2437 \pm 53$
	DRD2	$1780 \pm 439$	$1607 \pm 379$	$363 \pm 195$	$417 \pm 275$	$687 \pm 366$	$102 \pm 6$
Generative Yield <sub>&gt;0.8</sub> (↑)	MK2	$987 \pm 211$	$523 \pm 438$	$34 \pm 13$	$179 \pm 241$	$19 \pm 7$	$2 \pm 0$
	AChE	$2059 \pm 327$	$2124\pm326$	$556 \pm 47$	$323 \pm 58$	$310 \pm 207$	$147 \pm 11$
	DRD2	$126 \pm 90$	$83 \pm 29$	$187 \pm 51$	$63 \pm 0$	$127 \pm 52$	$168 \pm 149$
Oracle Burden <sub>&gt;0.8</sub> (1) ( $\downarrow$ )	MK2	$736\pm166$	$1221 \pm 564$	$1360 \pm 543$	$1110 \pm 268$	$808 \pm 524$	$1724 \pm 802$
	AChE	$105 \pm 29$	$63 \pm 0$	$62 \pm 0$	$62 \pm 0$	$84 \pm 29$	$83 \pm 29$
	DRD2	$582 \pm 83$	$571 \pm 104$	$711 \pm 120$	$1099 \pm 930$	$604 \pm 71$	$883 \pm 105$
Oracle Burden <sub>&gt;0.8</sub> (10) $(\downarrow)$	MK2	$1122\pm154$	$2426 \pm 1525$	$3833 \pm 394$	$1778 \pm 0^{**}$	$3891 \pm 631$	Failed
	AChE	$462 \pm 25$	$418 \pm 27$	380 ± 0	$441 \pm 132$	$421 \pm 120$	$481 \pm 108$
	DRD2	$1120 \pm 194$	$1056 \pm 146$	$2558 \pm 30^{*}$	$1928 \pm 117$	$2109 \pm 1090$	$4595 \pm 0^{**}$
Oracle Burden <sub>&gt;0.8</sub> $(100) (\downarrow)$	MK2	$2189 \pm 181$	$2676 \pm 403$	Failed	$3208 \pm 0^{**}$	Failed	Failed
	AChE	$1110 \pm 265$	$884 \pm 162$	$2021 \pm 89$	$3073 \pm 427$	$3596 \pm 678$	$3931 \pm 286$

#### 242 5 Conclusion

In this work, we propose Beam Enumeration to exhaustively enumerate sub-sequences from a 243 language-based molecular generative model based on the top k most probable tokens and for N 244 beam steps. We show that molecular substructures can be extracted from the sub-sequences, which 245 246 enables self-conditioned generation by only evaluating (by the oracle) molecules possessing these substructures and discarding the rest. We show that Beam Enumeration can be coupled with existing 247 RL-based algorithms including Augmented Memory<sup>23</sup> and REINVENT<sup>25,64</sup>. In three drug discovery 248 case studies involving docking, the addition of Beam Enumeration improves sample efficiency 249 as assessed by the Yield and Oracle Burden metrics with a small trade-off in diversity (which 250 is expected). The extracted substructures themselves provide valuable structural insights, often 251 enforcing the generation of specific cyclic systems and scaffolds with branch points which impose 252 an overall molecular geometry, thus serving as a source of explainability. Beam Enumeration 253 is the first proposed method to jointly address explainability and sample efficiency in molecular 254 generative models. The improvements in the latter will enable more expensive high-fidelity oracles 255 to be explicitly optimized. We note, however, that sparse reward environments<sup>15</sup> remain a difficult 256 optimization task. Finally, Beam Enumeration is a task-agnostic method and can be combined 257 with recent work integrating active learning with molecular generation to further improve sample 258 efficiency<sup>66,67</sup>. If the benefits can be synergistic, we may approach sufficient sample efficiency to 259 directly optimize expensive state-of-the-art (in predictive accuracy) physics-based oracles such as 260 MD simulations<sup>21,22</sup>. Excitingly, this would in turn enhance explainability as high-fidelity oracles are 261 inherently more informative. 262

The Appendix contains further experiments, ablation studies, experiment hyperparameters, and algorithmic details.

## **265 A Beam Enumeration**

This section contains full details on Beam Enumeration including hyperparameters, design decisions, and pseudo-code.

#### 268 A.1 Algorithm Overview



Figure A4: Beam Enumeration overview. **a.** The proposed method proceeds via 4 steps: **1.** generate batch of molecules. **2.** filter molecules based on pool to enforce substructure presence, discarding the rest. **3.** compute reward **4.** update the model. After updating the model, if the reward has improved for consecutive epochs, execute Beam Enumeration. **b.** Beam Enumeration sequentially enumerates the top k tokens by probability for N beam steps, resulting in an exhaustive set of token sub-sequences. **c.** All valid substructures (either by the *Structure* or *Scaffold* criterion) are extracted from the sub-sequences. The most frequent substructures are used for self-conditioned generation. This overview figure is the same as in the main text.

Beam Enumeration (Fig. A4) is an algorithm that extracts molecular substructures from a generative model's weights for self-conditioned generation. The problem set-up is any molecular design task to optimize for a target property profile, e.g., high predicted solubility and binding affinity. When molecular generative models are coupled with an optimization algorithm, it should be increasingly likely to generate desirable molecules, i.e., molecules that possess the target property profile.

274 Beam Enumeration is proposed based on two facts:

On a successful optimization trajectory, the model's weights must change such that desirable
 molecules are more likely to be generated, on average.

2772. The act of generating molecules in an autoregressive manner involves sequentially sampling278 from conditional probability distributions.

In this work, Beam Enumeration is applied to a language-based autoregressive generative model operating on the simplified molecular-input line-entry system (SMILES)<sup>24</sup> representation. The

optimization algorithm is Augmented Memory<sup>23</sup> which builds on REINVENT<sup>25,51</sup> and casts the optimization process as an on-policy reinforcement learning (RL) problem. Following RL terminology, sampling from the generative model involves sampling *trajectories*, which in this case, are SMILES, and the desirability of the corresponding molecule is given by the *reward*.

The underlying hypothesis of Beam Enumeration is that during the RL optimization process, partial 285 trajectories provide a source of signal that can be exploited. Usually, full trajectories are sampled 286 which map to a complete SMILES sequence that can be translated to a molecule. Our assumption 287 is that partial trajectories (partial SMILES sequence) can be mapped to molecular substructures (a 288 part of the full molecule). This statement is not guaranteed as SMILES and molecules are discrete 289 and small perturbations often leads to invalid SMILES. We prove this assumption in Section C by 290 showing that although the vast number of partial trajectories do not map to valid SMILES, the raw 291 292 number is sufficient to extract a meaningful signal. Correspondingly, Beam Enumeration leverages partial trajectories on the assumption that molecular substructures are on track to becoming full 293 294 molecules that would receive high reward.

#### 295 A.2 Enumerating Partial Trajectories

In order to extract molecular substructures, a set of partial trajectories must be sampled from the 296 generative model. Recalling the fact that on a successful optimization trajectory, it must become 297 increasingly likely to generate desirable molecules, partial trajectories are sampled by enumerating 298 the top k tokens, based on the conditional probability. Therefore, the process of enumerating partial 299 trajectories involves sequentially extending each token sequence by their next top k probable tokens, 300 resulting in the total number of partial trajectories as  $2^N$  where N is the number of beam steps, i.e., 301 how many tokens in the partial trajectory. We note that taking the top k most probable tokens does 302 not guarantee that the partial trajectories are indeed the most probable, as paying a probability penalty 303 early can lead to higher probabilities later. However, our assumption is that on average, this leads to a 304 set of partial trajectories that are at the very least, amongst the most probable. Moreover, there is a 305 practical limit to how many partial trajectories are sampled due to exponential growth which makes 306 scaling quickly computationally prohibitive. In the later section, we discuss this thoroughly. Finally, 307 from here, partial trajectories will be referred to as token sub-sequences. 308

#### 309 A.3 Extracting Molecular Substructures

Given a set of token sub-sequences, the goal is to extract out the most frequent molecular substructures. This is done by taking each sequence, considering every (sub)-sub-sequence, and counting the number of valid substructures (Fig. A). For example, given the sub-sequence "ABCD", the set of (sub)-subsequences are: "A", "AB", "ABC", and "ABCD". In practice, we only consider (sub)-sub-sequences with at least three characters ("ABC" and "ABCD") since each character loosely maps to one atom and three is approximately the minimum for meaningful functional groups, e.g., "C=O", a carbonyl. The set of most frequent substructures is assumed to be on track to receive a high reward.

#### 317 A.4 Defining Molecular Substructures: Scaffold vs. Structure

As shown in Fig. A, molecular substructures can be defined on the Scaffold or Structure level. 318 The former extracts the Bemis-Murcko<sup>54</sup> scaffold while the latter extracts any valid structure. The 319 any valid structure is an important distinction as our experiments find that extracting by Structure 320 leads to the most frequent molecular substructures being small functional groups that do not have 321 corresponding scaffolds. By contrast, extracting the scaffold always leads to ring structures. Moreover, 322 extracting specifically the Bemis-Murcko scaffold is important as heavy atoms, e.g., nitrogen, are 323 important for biological activity. Consequently, extracted substructures are also enforced to contain at 324 least one heavy atom as we find that benzene, perhaps unsurprisingly, is commonly the most frequent 325 substructure. See Section B for more details on the differing behavior of 'Scaffold' vs. 'Structure'. 326

#### 327 A.5 Self-conditioned Generation

Self-conditioned generation is achieved by filtering sampled batches of molecules from the generative model to only keep the ones that possess at least one of the most frequent substructures. The effect is that the generative process is self-biased to focus on a narrower chemical space which we show can drastically improve sample efficiency at the expense of some diversity, which is acceptable when expensive high-fidelity oracles are used: we want to identify a small set of *excellent* candidate molecules under minimal oracle calls.

#### 334 A.6 Probabilistic Explainability

The set of most frequent molecular substructures should be meaningful as otherwise, the model's 335 weights would not have been updated such that these substructures have become increasingly likely to 336 be generated. We verify this statement in the illustrative experiment in the main text and in Section C. 337 338 In the drug discovery case studies (Appendix D), the extracted substructures are more subtle in why they satisfy the target objective but certainly must possess meaning, however subtle, as otherwise, 339 they would not receive a high reward. In the main text, we show that extracted substructures form 340 core scaffolds and structural motifs in the generated molecules that complement the protein binding 341 cavity. Finally, we emphasize that the *correctness* and *usefulness* of this explainability deeply depends 342 on the oracle(s) being optimized for. The extracted substructures do not explain why the generated 343 molecules satisfy the target objective. Rather, they explain why the generated molecules satisfy the 344 oracle. The assumption in a generative design task is that optimizing the oracle is a good proxy 345 for the target objective, e.g., generating molecules that dock well increases the likelihood of the 346 molecules being true binders. This observation directly provides additional commentary on why 347 sample efficiency is so important: the ability to directly optimize expensive high-fidelity oracles 348 would inherently enhance the correctness of the extracted substructures. 349

## **B** Beam Enumeration: Findings from Hyperparameter Screening

In this section, we introduce all seven hyperparameters of Beam Enumeration and then present results on an exhaustive hyperparameter search which elucidates the behavior and interactions of all the hyperparameters. In the end, we present our analyses and provide hyperparameter recommendations for Beam Enumeration which can serve as default values to promote out-of-the-box application.

#### 355 B.1 Beam Enumeration Hyperparameters

**Beam k.** This hyperparameter denotes how many tokens to enumerate at each step. Given that our hypothesis is that the most probable sub-sequences yield meaningful substructures, we fix Beam kto 2. A larger value would also decrease the number of Beam Steps possible as the total number of sub-sequences is  $k^N$  and the exponential growth quickly leads to computational infeasibility.

**Beam Steps N.** This hyperparameter denotes how many token enumeration steps to execute and is the final token length of the enumerate sub-sequences. This parameter leads to exponential growth in the number of sequences which can quickly become computationally prohibitive. An important implication of this hyperparameter is that larger Beam Steps means that larger substructures *can* be extracted. In our experiments, we find that enforcing size in the extracted substructures can drastically improve sample efficiency with decreased diversity as the trade-off. We thoroughly discuss this in a later sub-section. Finally, in our experiments, the upper-limit investigated is 18 Beam Steps.

Substructure Type. This hyperparameter has two possible values: *Scaffold* or *Structure*. *Scaffold* extracts Bemis-Murcko<sup>54</sup> scaffolds while *Structure* extracts *any* valid substructure.

Structure Structure Minimum Size. This hyperparameter enforces the partial SMILES to contain at least a certain number of characters. In effect, this enforces extracted molecular substructures to be larger than a Structure Minimum Size. From the illustrative experiment in the main text and Section C, *Structure* extraction often leads to small functional groups being the most frequent in the sub-sequences. By enforcing a minimum structure size, *Structure* extraction leads to partial structures which may carry more meaning. We find that this hyperparameter greatly impacts sample efficiency and we present all our findings in a later sub-section.

**Pool Size.** This hyperparameter controls how many molecular substructures to keep track of. These *pooled* substructures are what is used to perform self-conditioning. The hypothesis is that the most frequent ones carry the most meaning and thus, a very large pool size may not be desired.

**Patience.** This hyperparameter controls how many successive reward improvements are required before Beam Enumeration executes and molecular substructures are extracted. Recalling the first fact in which Beam Enumeration was proposed on: On a successful optimization trajectory, the model's weights must change such that desirable molecules are more likely to be generated, on average. Patience is effectively an answer to "when would extracted substructures be meaningful?" Too low a patience and stochasticity can lead to negative effects while too high a patience diminishes the benefits of Beam Enumeration on sample efficiency.

Token Sampling Method. This hyperparameter has two possible values: "topk" or "sample" and 386 denotes how tokens sub-sequences are enumerated. "topk" takes the top k most probable tokens 387 at each Beam Step while "sample" samples from the distribution just like during batch generation. 388 Our results show interesting observations surrounding this hyperparameter as "sample" can work 389 just as well and *sometimes* even better than taking the "topk". These results were unexpected as the 390 underlying hypothesis is that the most probable sub-sequences lead to the most useful substructures 391 being extracted. However, our findings are not in contradiction as sampling the conditional probability 392 distributions would still lead to sampling the top k tokens, on average. Moreover, after extensive 393 experiments, we find that "sample" leads to more variance in performance across replicates which 394 is in agreement with the assumption that sampling the distributions can lead to more improbable 395 structures. We thoroughly discuss our findings in a later sub-section where we provide hyperparameter 396 recommendations and analyses to the effects of tuning each hyperparameter. 397

#### 398 B.2 Hyperparameters: Grid Search

We performed two exhaustive hyperparameter grid searches on the illustrative experiment which has the following multi-parameter optimization (MPO) objective: maximize topological polar surface area (tPSA), molecular weight < 350 Da, number of rings  $\geq$  2 with an oracle budget of 5,000. The first grid search investigated the following hyperparameter combinations:

• Beam K = 2

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- Beam Steps = [15, 16, 17, 18]
- Substructure Type = [*Scaffold*, *Structure*]
- Pool Size = [3, 4, 5]
- Patience = [3, 4, 5]
  - Token Sampling Method = ['topk', 'sample']

## All hyperparameter combinations (144) were tried and run for 10 replicates each for statistical

reproducibility, total of 1,440 experiments. Next, an additional grid search was performed with the following hyperparameter combinations:

- Beam K = 2
- Beam Steps = [17, 18]
- Substructure Type = [*Scaffold*, *Structure*]
- Structure Structure Minimum Size = [10, 15]
- Pool Size = [4, 5]
- Patience = [4, 5]

#### • Token Sampling Method = ['topk', 'sample']

419 We take the general trends from the first grid search and narrow down the most optimal hyperparame-

420 ters to further investigate Substructure Type and structure Structure Minimum Size. As from before,

all hyperparameter combinations (64) were tried and run for 10 replicates each for statistical

#### reproducibility, total of 640 experiments.

The following heatmaps performance by the Generative Yield and Oracle Burden (10) metrics at the > 0.8 reward threshold and under a 5,000 oracle budget. The Generative Yield measures how many unique molecules above 0.8 reward were generated. The Oracle Burden (10) measures how few oracle calls were required to generate 10 molecules above 0.8 reward. We note that all Oracle Burden metrics are computed by not allowing more than 10 molecules to possess the same Bemis-Murcko<sup>54</sup> scaffold, thus also explicitly considering diversity in the generated set.

#### 429 B.3 Analysis of Grid Search Results

In this section, we summarize our analysis on the grid search experiments. Unless stated, each bullet
 point means the observation was observed for both Generative Yield and Oracle Burden (10). For
 example the point: *Scaffold* > *Structure* means *Scaffold* is generally more performant than *Structure* across all hyperparameters on both the Generative Yield and Oracle Burden (10).

- For *Scaffold*, higher Pool, higher Patience, and higher Beam Steps improves performance
- For *Structure*, lower pool and lower patience improves performance
- Scaffold > Structure
- 437 Scaffold and Structure become more performant with increasing Structure Minimum Size
- Scaffold and Structure with Structure Minimum Size: "sample" sampling *can* be better than
   "topk" sampling but with more variance

Based on the above analysis, we propose the optimal hyperparameters for the illustrative experiment as:

- 442 Scaffold
- "topk" sampling ("sample" sampling can be more performant but exhibits higher variance)
- Patience = 5
- Pool Size = 4
- Beam Steps = 18

Finally, we provide more commentary on interesting observations from the grid search results. 447 Structure without Structure Minimum Size enforcing often leads to small functional groups being 448 the most frequent molecular substructures extracted with Beam Enumeration. Enforcing Structure 449 Minimum Size puts it almost on par with *Scaffold*, suggesting (perhaps not surprisingly) that larger 450 substructures can carry more meaningful information. Moreover, when using "sample" sampling, 451 the generative model undergoes more "filter rounds". Specifically, at each epoch, the sampled 452 batch is filtered to contain the extracted substructures. When using "sample" sampling, the model 453 is more prone to some epochs containing no molecules with the substructures. In practice, this 454 is inconsequential as sampling is computationally inexpensive and a next batch of molecules can 455 easily be sampled. However, specifically in the Structure with "sample" sampling and Structure 456 Minimum Size = 15 experiment, "filter round" can be quite extensive, taking up to 100,000 epochs 457 (maximum observed) for an oracle budget of 5,000 (adding about an hour to the wall time which is 458 minor when the oracle is expensive). This means that many epochs contained molecules without the 459 extracted substructures. There are two observations here: firstly, "sample" sampling can lead to more 460 improbable substructures which are hence less likely to be sampled and secondly, Structure with 461 Structure Minimum Size enforcement leads to extreme biasing (which improves sample efficiency). 462



Figure B5: illustrative experiment Generative Yield > 0.8. The IntDiv1<sup>68</sup> is annotated.

#### Generative Yield > 0.8 Reward

#### Scaffold Min. Size 10















Figure B6: illustrative experiment Generative Yield > 0.8 with Structure Minimum Size. The IntDiv1<sup>68</sup> is annotated.

#### Generative Yield > 0.8 Reward

#### Scaffold "Sample" Min. Size 10



Scaffold "Sample" Min. Size 15











Figure B7: illustrative experiment Generative Yield > 0.8 with Structure Minimum Size and "Sample" token sampling. The IntDiv1<sup>68</sup> is annotated.



#### Oracle Burden (10) > 0.8 Reward

Figure B8: illustrative experiment Oracle Burden (10) > 0.8

## Oracle Burden (10) > 0.8 Reward















Figure B9: illustrative experiment Oracle Burden (10) > 0.8 with Structure Minimum Size

## Oracle Burden (10) > 0.8 Reward

#### Scaffold "Sample" Min. Size 10







Structure "Sample" Min. Size 10







Figure B10: illustrative experiment Oracle Burden (10) > 0.8 with Structure Minimum Size and "Sample" token sampling

We believe the remarkable tolerability of the generative model sampling to such bias is an interesting 463 observation. By contrast, Scaffold with Structure Minimum Size enforcement is not as prone to 464 "filter rounds" because *Scaffold* "truncates" the substructure to its central shape (scaffold). For 465 example, toluene (benzene with a methyl group) has a Bemis-Murcko<sup>54</sup> scaffold of just benzene. 466 The consequence is that *Structure* leads to more extreme biasing (it is more likely for a molecule to 467 contain benzene than specifically toluene) which is in agreement with the general observation that the 468 diversity of the generated set decreases when using Structure. Overall, both Scaffold and Structure 469 with Structure Minimum Size enforcing exhibits the best performance and "sample" sampling *can* be 470 more performant than "topk" sampling but exhibits notably higher variance. 471

The set of optimal hyperparameters found here were used in drug discovery case studies. In order to be rigorous with our investigation, we only fix the following hyperparameters:

- Patience = 5 (lower variance)
- Pool Size = 4 (lower variance, higher Yield, lower Oracle Burden)
- Beam Steps = 18 (lower variance, higher Yield, lower Oracle Burden)

with these hyperparameters, we do a small grid search (on the drug discovery case studies) by
changing the Structure Type, Token Sampling Method, and Structure Minimum Size hyperparameters
as the optimal hyperparameters in the illustrative experiment are not necessarily the optimal ones
in the drug discovery experiments. The purpose of this is not to necessarily report the best
performance on the drug discovery case studies but to gain insights into the optimal general
parameters such that Beam Enumeration can be used out-of-the-box. In real-world expensive
oracle settings, tuning hyperparameters is infeasible.

484 All results from the drug discovery case studies are shown in Section D.

#### 485 **B.4 Beam Enumeration: Recommended default Hyperparameters**

Taking into consideration all grid search experiments for the illustrative experiment and Drug
Discovery case studies, the following optimal hyperparameters are recommended: Patience = 5, Pool
Size = 4, Beam Steps = 18, *Structure*, Structure Minimum Size = 15, "topk" sampling.

Notable differences between the final recommended hyperparameters compared to those found 489 from the illustrative experiment is that *Structure* and "topk" sampling are more performant than 490 Scaffold and "sample" sampling. In the illustrative experiment, "sample" sampling was sometimes 491 more performant than "topk" sampling. We rationalize these observations as follows: in MPO 492 objectives that include physics-based oracles, structure specificity becomes increasingly important, 493 e.g., specific chemical motifs dock well because they form interactions with the protein. Therefore, 494 "topk" sampling is more robust as there is less variance in the extract substructures compared to 495 "sample" sampling. We empirically observe the increased variance when using "sample" sampling 496 measured by the standard deviation between replicate experiments (Appendix D). In the illustrative 497 experiment where the oracle was more permissive, i.e., any rings saturated with heteroatoms would 498 satisfy the MPO objective, small deviations in the extracted structure do not have as prominent 499 an effect as physics-based oracles which require specificity. Another observation is that Structure 500 sampling often extracts scaffolds with "branch points" which enforces extreme bias that can lead 501 to more focused chemical space exploration. We discuss this in detail in Section D and believe the 502 insights are generally interesting in the context of molecular optimization landscape. 503

Finally, we end this section by stating that we cannot try every single hyperparameter combination and the recommended values are from our grid search results which we make an effort to be robust, given that we perform 10 replicates of each experiment. We find that the optimal hyperparameters in the drug discovery case studies are generally the same as in the illustrative experiment.

#### 509 B.5 Pseudo-code

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The pseudo-code for Beam Enumeration is presented here. The  $\oplus$  operator denotes every element on the left is being extended by every element on the right.

Algorithm 1: Beam Enumeration **Input:** Generative Agent  $\pi_{\theta_{Agent}}$ , Top k, N Beam Steps **Output:** Enumerated Token Sub-sequences S**Initialization:** Hidden State = None; Sub-sequences = [Top k < START > Tokens]; Input Vector = top k number of start tokens; for i = 1 to N do Logits, New Hidden State  $\leftarrow \pi_{\theta_{Agent}}$  (Input Vector, Hidden State); Tokens<sub>K</sub>  $\leftarrow$  top k tokens from Softmax(Logits); if i = 1 then Sub-sequences  $\leftarrow$  Tokens<sub>K</sub>: Input Vector  $\leftarrow$  Tokens  $_{K}$ : Hidden State = New Hidden State; else Create empty list *temp*; for each seq in Sub-sequences do  $seq \leftarrow seq \oplus \operatorname{Tokens}_K;$ Append seq to temp; Sub-sequences  $\leftarrow temp$ ; Clear *temp*; Input Vector  $\leftarrow$  Flatten Tokens<sub>K</sub>; Hidden State  $\leftarrow$  (New Hidden State[*i*].repeat\_interleave(top k, dim = 1))<sub>*i*=0,1</sub>; return Sub-sequences

## 513 C Illustrative Experiment

This section contains additional results from initial investigations into the feasibility of Beam Enumeration. The illustrative experiment was performed with the following multi-parameter optimization (MPO) objective: maximize topological polar surface area (tPSA), molecular weight (MW) < 350 Da, number of rings  $\geq 2$ .

#### 518 C.1 Substructure Extraction

The first experiments investigated whether a sufficient substructures signal could be extracted from 519 enumerated sub-sequences. The two parameters of Beam Enumeration (without self-conditioning) 520 are top k denoting the top k number of highest probability tokens to enumerate and N number of 521 beam steps denoting how many steps to perform token expansion for (which is also the length of the 522 final sub-sequence). Our hypothesis is that a lower top k is desirable as we are interested in the most 523 probably substructures. Thus, the initial experiments were a grid-search with a top k of 2 and N 524 beam steps of [15, 16, 17, 18]. The illustrative experiment was run for 100 epochs (6,400 oracle calls 525 which is different from the 5,000 used in the main text experiments as this set of results is only to 526 demonstrate that meaningful substructures can be extracted) and Beam Enumeration was applied at 527 epochs 1, 20, 40, 60, 80, and 100. 528

Table 3 shows the absolute counts and percentage of sub-sequences containing valid substructures. While the percentage may appear low, we note the absolute counts is more than enough to extract some notion of most probable substructures. We use N beam steps of 18 for all experiments as we hypothesize that larger substructures can carry more information. The reason the max beam steps investigated was 18 is because of the memory overhead required for sequence expansion.

N Beam Steps	Epoch 1	Epoch 20	Epoch 40	Epoch 60	Epoch 80	Epoch 100
15	2294/32768	3123/32768	5843/32768	5538/32768	5674/32768	8004/32768
	(7.00%)	(9.53%)	(17.83%)	(16.90%)	(17.32%)	(24.43%)
16	4789/65536	5890/65536	5771/65536	11159/65536	7657/65536	9771/65536
	(7.31%)	(8.99%)	(8.81%)	(17.03%)	(11.68%)	(14.91%)
17	9998/131072	15266/131072	26163/131072	24352/131072	21442/131072	31160/131072
	(7.63%)	(11.65%)	(19.96%)	(18.58%)	(16.36%)	(23.77%)
18	20747/262144	33969/262144	72126/262144	48417/262144	45349/262144	46994/262144
	(7.91%)	(12.96%)	(27.51%)	(18.47%)	(17.30%)	(17.93%)

Table 3: Feasibility of Beam Enumeration to extract valid substructures. Top-k = 2.



Figure C11: Substructures extracted in the illustrative example at varying epochs based on *Structure* and *Scaffold*.

#### 534 C.2 Extracted Substructures

To illustrate the capability of Beam Enumeration to extract meaningful substructures, Fig. C11 shows the top 5 most probable substructures at epochs 1, 20, 40, 60, 80, and 100 based on *Structure* (extract any valid structure) and *Scaffold* (extract valid Bemis-Murcko<sup>54</sup> scaffold) using a top k of 2 and 18 beam steps. We make two crucial observations here. Firstly, *Structure* often extracts small functional groups which makes the self-conditioned filtering much more permissive as it is more likely for a molecule to possess a specific functional group than a specific scaffold. Secondly, benzene appears often and perhaps unsurprisingly as it is ubiquitous in nature. Based on these observations, we design Beam Enumeration to only extract substructures containing at least one heteroatom on the assumption
that heteroatoms are much more informative in forming polar interactions in drug molecules, e.g., a
hydrogen-bond cannot form from benzene. Finally, the general observation is that the most probable
substructures gradually contain more heteroatoms, as desired.

#### 546 C.3 Supplementary Main Text Results

In this section, we present the same table as the main text illustrative experiment. The only difference 547 is that the IntDiv1<sup>69</sup> is also annotated in the table here to show that the sample efficiency improvements 548 of Beam Enumeration come only at a small trade-off in diversity (Table 4). In agreement with our 549 observations in the hyperparameters grid search (Appendix A), Structure extraction with 'Structure 550 Minimum Size' enforcement leads to highly specific substructures which decrease diversity relative 551 to *Scaffold* extraction but with potential gains in sample efficiency as evidenced in the drug discovery 552 case studies (Appendix D). We further perform statistical testing using Welch's t-test to compare all 553 metrics for *Scaffold* with 'Structure Minimum Size' = 15 and Baseline Augmented Memory<sup>23</sup>. For 554 the experiments that had unsuccessful replicates, we use the total number of successful experiments, 555 e.g., Oracle Burden<sub>>10</sub> (100), the Baseline was unsuccessful in 69/100 replicates so a 31 sample size 556 was used. Overall, all p-values are significant at the 95% confidence level. 557

Table 4: Illustrative experiment: Beam Enumeration improves the sample efficiency of Augmented Memory. All experiments were run for 100 replicates with an oracle budget of 5,000 calls and reported values are the mean and standard deviation. *Scaffold* and *Structure* indicate the type of substructure and the number after is the 'Structure Minimum Size'. Parentheses after Oracle Burden denote the cut-off number of molecules. Parentheses after values represent the number of unsuccessful replicates (for achieving the metric). The IntDiv1<sup>68</sup> is annotated under each Generative Yield. Welch's t-test is used to compare the difference between *Scaffold* with 'Structure Minimum Size' = 15 and Baseline Augmented Memory<sup>23</sup>. All p-values are significant.

Metric		Au	igmented Memory			Welch's t-test (95%)
	Beam Scaffold 15	Beam Structure 15	Beam Scaffold	Beam Structure	Baseline	p-value (N=100)
Generative Yield <sub>&gt;0.7</sub> (↑) - Diversity	$\begin{array}{c} {\bf 1757 \pm 305} \\ {\rm 0.77 \pm 0.03} \end{array}$	$1669 \pm 389 \\ 0.73 \pm 0.04$	$1117 \pm 278 \\ 0.79 \pm 0.03$	$864 \pm 202 \\ 0.83 \pm 0.03$	$496 \pm 108 \\ 0.85 \pm 0.02$	$2.60 \times 10^{-75}$
Generative Yield <sub>&gt;0.8</sub> (↑) - Diversity	$819 \pm 291$ $0.73 \pm 0.04$	$700 \pm 389 \\ 0.69 \pm 0.05$	$425 \pm 256 \\ 0.75 \pm 0.04$	$199 \pm 122 \\ 0.77 \pm 0.04$	$85 \pm 56 \\ 0.78 \pm 0.03$	$3.70 \times 10^{-48}$
Oracle Burden <sub>&gt;0.7</sub> (1) ( $\downarrow$ ) Oracle Burden <sub>&gt;0.7</sub> (10) ( $\downarrow$ ) Oracle Burden <sub>&gt;0.7</sub> (100) ( $\downarrow$ )	$577 \pm 310$ 947 ± 350 1530 ± 468	$616 \pm 230$ <b>926</b> \pm <b>332</b> $1547 \pm 513$	$\begin{array}{c} 1037 \pm 414 \\ 1881 \pm 259 \\ 2736 \pm 335 \end{array}$	$897 \pm 347$ $1745 \pm 292$ $2713 \pm 402$	$\begin{array}{c} 1085 \pm 483 \\ 2392 \pm 216 \\ 3672 \pm 197 \end{array}$	$3.06 \times 10^{-19}  4.99 \times 10^{-87}  2.34 \times 10^{-86}$
Oracle Burden <sub>&gt;0.8</sub> (1) ( $\downarrow$ ) Oracle Burden <sub>&gt;0.8</sub> (10) ( $\downarrow$ ) Oracle Burden <sub>&gt;0.8</sub> (100) ( $\downarrow$ )	$\begin{array}{c} 1311 \pm 628 \\ 1794 \pm 617 \ (1) \\ 2704 \pm 689 \ (1) \end{array}$	$1401 \pm 695$ 2009 ± 804 (1) 2943 ± 811 (6)	$2423 \pm 487$ $3124 \pm 497$ $3973 \pm 592$ (6)	$\begin{array}{c} 2295 \pm 482 \\ 3241 \pm 492 \\ 4415 \pm 437 \ (20) \end{array}$	$3164 \pm 492$ $4146 \pm 326$ $4827 \pm 170 (69)$	

## 558 C.4 Beam Enumeration works in Exploitation Scenarios

In the main text illustrative experiment, Augmented Memory<sup>23</sup> was used with Selective Memory 559 Purge activated which is the mechanism to promote chemical space exploration, as described in the 560 original work. For completeness, we show that Beam Enumeration also works in pure exploitation 561 scenarios where the goal is only to generate high reward molecules even if the same molecule is 562 repeatedly sampled (Table 5). We perform statistical testing using Welch's t-test to compare all 563 metrics for *Scaffold* with 'Structure Minimum Size' = 15 and Baseline Augmented Memory<sup>23</sup>. For 564 the experiments that had unsuccessful replicates, we use the total number of successful experiments, 565 e.g., Oracle Burden<sub>>10</sub> (100), the Baseline was unsuccessful in 69/100 replicates so a 31 sample size 566 was used. Overall, all p-values are significant at the 95% confidence level. 567

#### 568 C.5 Self-conditioned Filtering: Structure vs Scaffold

There is a clear discrepancy in the substructures extracted by *Structure* and *Scaffold*. In particular, *Structure* substructures contain small functional groups which is much more permissive when used as

a filter criterion compared to full scaffolds. Therefore, one would expect that many molecules in the

Table 5: Beam Enumeration works in exploitation scenarios. all experiments were run for 100 replicates with an oracle budget of 5,000 calls and reported values are the mean and standard deviation. Parentheses after Oracle Burden denote the cut-off number of molecules. The IntDiv1<sup>68</sup> is annotated under each Generative Yield. Welch's t-test is used to compare the difference between *Scaffold* with 'Structure Minimum Size' = 15 and Baseline Augmented Memory<sup>23</sup>. All p-values are significant.

Metric	Augmented Beam Scaffold 15	l Memory Baseline	Welch's t-test (95%) p-value (N=100)
Generative Yield <sub>&gt;0.7</sub> (†) - Diversity	$\begin{array}{c} {\bf 1325 \pm 468} \\ {\rm 0.76 \pm 0.04} \end{array}$	$496 \pm 108 \\ 0.85 \pm 0.02$	$1.54 \times 10^{-29}$
Generative Yield <sub>&gt;0.8</sub> (↑) - Diversity	$\begin{array}{c} {\bf 601} \pm {\bf 298} \\ {0.70} \pm {0.09} \end{array}$	$85 \pm 56 \\ 0.78 \pm 0.03$	$1.35 \times 10^{-28}$
Oracle Burden <sub>&gt;0.7</sub> (1) ( $\downarrow$ ) Oracle Burden <sub>&gt;0.7</sub> (10) ( $\downarrow$ ) Oracle Burden <sub>&gt;0.7</sub> (100) ( $\downarrow$ )	$626 \pm 260 \\ 997 \pm 326 \\ 1487 \pm 352$	$\begin{array}{c} 1085 \pm 483 \\ 2392 \pm 216 \\ 3672 \pm 197 \end{array}$	$\begin{array}{c} 4.52 \times 10^{-15} \\ 2.26 \times 10^{-80} \\ 4.01 \times 10^{-100} \end{array}$
Oracle Burden <sub>&gt;0.8</sub> (1) ( $\downarrow$ ) Oracle Burden <sub>&gt;0.8</sub> (10) ( $\downarrow$ ) Oracle Burden <sub>&gt;0.8</sub> (100) ( $\downarrow$ )	$\begin{array}{c} 1415\pm 645\\ 1794\pm 553\ (2)\\ 2490\pm 576\ (2) \end{array}$	$\begin{array}{c} 3164 \pm 492 \\ 4146 \pm 326 \\ 4827 \pm 170  (69) \end{array}$	$2.21 \times 10^{-53} \\ 1.14 \times 10^{-76} \\ 1.68 \times 10^{-25}$



Figure C12: Behaviour of Beam Enumeration using Structure and Scaffold on self-conditioning.

sampled batches would be kept when using Structure Beam Enumeration. We plot the average number 572 of molecules kept out of 64 (batch size) across the generative run when using Beam Enumeration. 573 Note that the experiments ran for variable epochs due to the stochasticity of Beam Enumeration 574 self-filtering. The number of epochs shown in C12 is the minimum number of epochs out of 100 575 replicates. Therefore, the average values shown are averaged over 100 replicates. It is evident 576 that Structure is more lenient as many generated molecules make it through the filter compared to 577 Scaffold which maintains a relatively strict filter. One interesting observation is that self-conditioning 578 does not lead to obvious mode collapse. Self-conditioning is inherently biased and one would be 579 concerned that the model gets stuck at generating the same molecules repeatedly. The fact that 580 self-conditioning with *Scaffold* continues to filter throughout the entire generative run shows that the 581 model is continually moving to new chemical space, supporting findings from the original Augmented 582 Memory<sup>23</sup> work that Selective Memory Purge (built-in diversity mechanism) is capable of preventing 583 mode collapse. 584

## 585 D Drug Discovery Case Studies

This section contains information on the Autodock Vina<sup>61</sup> docking protocol from receptor grid preparation to docking execution. The Beam Enumeration hyperparameters grid search results are presented for all three drug discovery case studies followed by analysis. Examples of extracted substructures are also shown and commentary provided to their significance and explainability. Finally, the wall times of all experiments are presented.

#### 591 D.1 AutoDock Vina Receptor Preparation and Docking

All docking grids were prepared using DockStream<sup>70</sup> which uses PDBFixer<sup>71</sup> to refine receptor structures. The search box for all grids was 15Å x 15Å x 15Å. Docking was also performed through DockStream and followed a two step process: conformer generation using the RDKit Universal Force Field (UFF)<sup>72</sup> with the maximum convergence set to 600 iterations and then Vina docking was parallelized over 36 CPU cores (Intel(R) Xeon(R) Platinum 8360Y processors).

<sup>597</sup> **DRD2 - Dopamine Type 2 Receptor.** The PDB ID is  $6CM4^{57}$  and the docking grid was centered at <sup>598</sup> (x, y, z) = (9.93, 5.85, -9.58).

<sup>599</sup> **MK2 - MK2 Kinase.** The PDB ID is  $3KC3^{58}$  and one monomer was extracted. The docking grid for <sup>600</sup> the extracted monomer was centered at (x, y, z) = (-61.62, 30.31, -21.9).

AChE - Acetylcholinesterase. The PDB ID is  $1EVE^{59}$  and the docking grid was centered at (x, y, z) = (2.78, 64.38, 67.97).

#### 603 D.2 Beam Enumeration Hyperparameters Grid Search Results

We performed an additional hyperparameter grid search on all three drug discovey case studies based on the insights drawn from the illustrative experiment grid search results. We fix the following hyperparameters:

- Beam K = 2
- Beam Steps = 18
- Pool Size = 4
- Patience = 5

and vary the following:

- Optimization Algorithm = [Augmented Memory<sup>23</sup>, REINVENT<sup>25,64</sup>]
- Substructure Type = [*Scaffold*, *Structure*]
- Structure Minimum Size = [10, 15]
- Token Sampling Method = ["topk", "sample"]

All hyperparameter combinations (8) were tried and run for 3 replicates each for statistical 616 reproducibility, total of 144 experiments. There are two main results we want to convey: firstly, 617 the optimal hyperparameters are the same for all three drug discovery case studies and only the 618 Substructure Type differs between the optimal hyperparameters here and the illustrative experiment. 619 Secondly, Beam Enumeration is a task-agnostic general method that can be applied to existing 620 algorithms including Augmented Memory<sup>23</sup> and REINVENT<sup>25,51</sup>. At the end of this section, we 621 present these hyperparameters and designate these the default values. All grid search results are now 622 presented in following tables: 623

Based on the results from the hyperparameters grid search in the drug discovery case studies, we make two key observations: firstly, *Structure* extraction with 'Structure Minimum Size' = 15 is now the most performant, on average (for both Augmented Memory<sup>23</sup> and REINVENT<sup>25,51</sup>). This is Table 6: DRD2<sup>57</sup> case study hyperparameters grid search results for Augmented Memory<sup>23</sup>. All experiments were run in triplicate and the reported values are the mean and standard deviation. "Sample" denotes "sample" token sampling. All metrics are for the reward threshold > 0.8. The IntDiv1<sup>68</sup> is annotated under Generative Yield. \* and \*\* denote one and two replicates were unsuccessful, respectively.

Experiment Augmented Memory DRD2	Generative Yield	Unique Scaffolds	Oracle Burden (1)	Oracle Burden (10)	Oracle Burden (100)
Baseline	$363 \pm 195$	$322 \pm 166$	$187 \pm 51$	$711 \pm 120$	$2558 \pm 30^{*}$
- Diversity	$0.802 \pm 0.019$				
Scaffold	$957 \pm 75$	$749 \pm 62$	$82 \pm 29$	$668 \pm 25$	$1818 \pm 107$
- Diversity	$0.765 \pm 0.006$				
Scaffold Size 15	$1607 \pm 379$	$1023 \pm 351$	$83 \pm 29$	$571 \pm 104$	$1056 \pm 146$
- Diversity	$0.724 \pm 0.027$				
Scaffold Sample	$948 \pm 123$	$776 \pm 128$	$126 \pm 89$	$505 \pm 17$	$1746 \pm 20$
- Diversity	$0.734 \pm 0.018$				
Scaffold Sample Size 15	$1552 \pm 106$	$1274 \pm 154$	$84 \pm 29$	$598 \pm 110$	$1511 \pm 416$
- Diversity	$0.660 \pm 0.041$				
Structure	$887 \pm 112$	$711 \pm 133$	$63 \pm 0$	$595 \pm 63$	$1862 \pm 154$
- Diversity	$0.764 \pm 0.008$				
Structure Size 15	$1780 \pm 439$	$1323 \pm 368$	$126 \pm 90$	$582 \pm 83$	$1120 \pm 194$
- Diversity	$0.699 \pm 0.020$				
Structure Sample	$912 \pm 86$	$757 \pm 30$	$63 \pm 0$	$583 \pm 37$	$2132 \pm 148$
- Diversity	$0.767 \pm 0.015$				
Structure Sample Size 15	$1752 \pm 105$	$1352 \pm 180$	$188 \pm 103$	$776 \pm 129$	$1289 \pm 193$
- Diversity	$0.641 \pm 0.059$				

Table 7: DRD2<sup>57</sup> case study hyperparameters grid search results for REINVENT<sup>25,51</sup>. All experiments were run in triplicate and the reported values are the mean and standard deviation. "Sample" denotes "sample" token sampling. The IntDiv1<sup>68</sup> is annotated under Generative Yield. All metrics are for the reward threshold > 0.8. \* and \*\* denote one and two replicates were unsuccessful, respectively.

Experiment REINVENT DRD2	Generative Yield	Unique Scaffolds	Oracle Burden (1)	Oracle Burden (10)	Oracle Burden (100)
Baseline	$102 \pm 6$	$101 \pm 6$	$168 \pm 149$	$883 \pm 105$	$4595 \pm 0^{**}$
- Diversity	$0.833 \pm 0.001$				
Scaffold	$190 \pm 32$	$184 \pm 32$	$63 \pm 1$	$836 \pm 178$	$3516 \pm 575$
- Diversity	$0.814 \pm 0.007$				
Scaffold Size 15	$687 \pm 366$	$377 \pm 204$	$127 \pm 52$	$604 \pm 71$	$2109 \pm 1090$
- Diversity	$0.730 \pm 0.013$				
Scaffold Sample	$176 \pm 86$	$149 \pm 49$	$105 \pm 59$	$720 \pm 121$	$3875 \pm 883$
- Diversity	$0.801 \pm 0.030$				
Scaffold Sample Size 15	$363 \pm 249$	$225 \pm 144$	$84 \pm 30$	$754 \pm 183$	$3170 \pm 1188$
- Diversity	$0.704 \pm 0.044$				
Structure	$184 \pm 14$	$183 \pm 14$	$104 \pm 31$	$897 \pm 100$	$3426 \pm 282$
- Diversity	$0.817 \pm 0.006$				
Structure Size 15	$417 \pm 275$	$290 \pm 178$	$63 \pm 0$	$1099 \pm 930$	$1928 \pm 117^{*}$
- Diversity	$0.730 \pm 0.014$				
Structure Sample	$169 \pm 24$	$167 \pm 24$	$126 \pm 52$	$711 \pm 179$	$3568 \pm 440$
- Diversity	$0.826 \pm 0.003$				
Structure Sample Size 15	$261 \pm 225$	$182 \pm 132$	$209 \pm 128$	$840 \pm 107$	$3690 \pm 1266^*$
- Diversity	$0.734 \pm 0.057$				

in contrast to *Scaffold* extraction in the illustrative experiment which we rationalize through the 627 permissive nature of the experiment compared to the docking experiments which require structure 628 specificity. Previously, small deviations in the substructures may not have a significant impact on the 629 reward. In physics-based oracles such as Vina<sup>61</sup> docking used here, small substructure differences 630 can have an enormous impact on the outcome since the pose requires specific complementary to the 631 protein binding site. The second observation we make which is in agreement with the illustrative 632 experiment is that "sample" token sampling has more variance and does not perform better than "topk". 633 The rationale is the same in that docking requires specificity and lower probability substructures 634 exhibit more variable performance. Based on all the observations from the illustrative experiment 635 and the drug discovery case studies, we designate the following default hyperparameter values: 636

• Beam K = 2

• Beam Steps = 18

Table 8: MK2<sup>58</sup> case study hyperparameters grid search results for Augmented Memory<sup>23</sup>. All experiments were run in triplicate and the reported values are the mean and standard deviation. "Sample" denotes "sample" token sampling. All metrics are for the reward threshold > 0.8. The IntDiv1<sup>68</sup> is annotated under Generative Yield. \* and \*\* denote one and two replicates were unsuccessful, respectively.

Experiment	Generative	Unique	Oracle	Oracle	Oracle
Augmented Memory MK2	Yield	Scaffolds	Burden (1)	Burden (10)	Burden (100)
Baseline	$34 \pm 13$	$32 \pm 12$	$1360 \pm 543$	$3833 \pm 394$	Failed
- Diversity	$0.794 \pm 0.008$				
Scaffold	$179 \pm 63$	$131 \pm 16$	$1163 \pm 457$	$2550 \pm 148$	$4421 \pm 344$
- Diversity	$0.743 \pm 0.038$				
Scaffold Size 15	$523 \pm 438$	$330 \pm 269$	$1221 \pm 564$	$2426 \pm 1525$	$2676 \pm 403^{*}$
- Diversity	$0.676 \pm 0.016$				
Scaffold Sample	$106 \pm 71$	$87 \pm 58$	$1005 \pm 573$	$3296 \pm 1181$	$4592 \pm 334^{*}$
- Diversity	$0.722 \pm 0.017$				
Scaffold Sample Size 15	$379 \pm 357$	$257 \pm 227$	$983 \pm 540$	$1846 \pm 680$	$3244 \pm 1133^*$
- Diversity	$0.653 \pm 0.026$				
Structure	$66 \pm 18$	$59 \pm 20$	$1246 \pm 716$	$2708 \pm 232$	Failed
- Diversity	$0.769 \pm 0.029$				
Structure Size 15	$987 \pm 211$	$610 \pm 117$	$736 \pm 166$	$1122 \pm 154$	$2189 \pm 181$
- Diversity	$0.704 \pm 0.030$				
Structure Sample	$40 \pm 15$	$34 \pm 11$	$1119 \pm 1183$	$3516 \pm 506$	Failed
- Diversity	$0.784 \pm 0.024$				
Structure Sample Size 15	$129 \pm 52$	$117 \pm 50$	$1208 \pm 660$	$2799 \pm 476$	$4037 \pm 0^{**}$
- Diversity	$0.671 \pm 0.073$				

Table 9: MK2<sup>58</sup> case study hyperparameters grid search results for REINVENT<sup>25,51</sup>. All experiments were run in triplicate and the reported values are the mean and standard deviation. "Sample" denotes "sample" token sampling. All metrics are for the reward threshold > 0.8. The IntDiv1<sup>68</sup> is annotated under Generative Yield. \* and \*\* denote one and two replicates were unsuccessful, respectively.

Experiment REINVENT MK2	Generative Yield	Unique Scaffolds	Oracle Burden (1)	Oracle Burden (10)	Oracle Burden (100)
Baseline	$2 \pm 0$	$2\pm 0$	$1723 \pm 802$	Failed	Failed
- Diversity	$0.424 \pm 0.031$				
Scaffold	$7 \pm 2$	$7\pm 2$	$1272 \pm 884$	$4948 \pm 0^{**}$	Failed
- Diversity	$0.704 \pm 0.051$				
Scaffold Size 15	19 ± 7	$18 \pm 7$	$808 \pm 524$	$3891 \pm 631$	Failed
- Diversity	$0.674 \pm 0.065$				
Scaffold Sample	$6 \pm 2$	$6\pm 2$	$1427 \pm 343$	Failed	Failed
- Diversity	$0.677 \pm 0.075$				
Scaffold Sample Size 15	$4 \pm 2$	$3\pm 1$	$2600 \pm 1455$	Failed	Failed
- Diversity	$0.653 \pm 0.026$				
Structure	$3 \pm 1$	$3\pm 1$	$2571 \pm 1155$	Failed	Failed
- Diversity	$0.571 \pm 0.112$				
Structure Size 15	$179 \pm 241$	$70 \pm 87$	$1110 \pm 268$	$1778 \pm 0^{**}$	$3208 \pm 0^{**}$
- Diversity	$0.670 \pm 0.020$				
Structure Sample	$1 \pm 0$	$1 \pm 0$	$1737 \pm 1595$	Failed	Failed
- Diversity	$0.192 \pm 0.271$				
Structure Sample Size 15	8 ± 5	$7 \pm 4$	$1943 \pm 1153$	$4851 \pm 0^{**}$	Failed
- Diversity	$0.357 \pm 0.255$				

- Pool Size = 4
- Patience = 5
- Substructure Type = *Structure*
- Structure Minimum Size = 15
- Token Sampling Method = "topk"

# D.3 Examples of Extracted Substructures: *Structure* Extraction with 'Structure Minimum Size' = 15

In this section, the top substructures at the end of the generative experiments (using Augmented Memory<sup>23</sup>) are shown for all three drug discovery case studies (3 replicates). All experiments are for *Structure* extraction with 'Structure Minimum Size' = 15. The extracted substructures are commonly scaffolds with "branch points", i.e., a central scaffold with single carbon bond extensions outward,

Table 10: AChE<sup>59</sup> case study hyperparameters grid search results for Augmented memory<sup>23</sup>. All experiments were run in triplicate and the reported values are the mean and standard deviation. "Sample" denotes "sample" token sampling. All metrics are for the reward threshold > 0.8. The IntDiv1<sup>68</sup> is annotated under Generative Yield. \* and \*\* denote one and two replicates were unsuccessful, respectively.

Experiment Augmented Memory AChE	Generative Yield	Unique Scaffolds	Oracle Burden (1)	Oracle Burden (10)	Oracle Burden (100)
Baseline	$556 \pm 47$	$544 \pm 50$	$62 \pm 0$	$380 \pm 0$	$2021 \pm 89$
- Diversity	$0.838 \pm 0.002$				
Scaffold	$1058 \pm 102$	$1006 \pm 113$	$62 \pm 0$	$430 \pm 90$	$1469 \pm 56$
- Diversity	$0.823 \pm 0.005$				
Scaffold Size 15	$2124 \pm 326$	$1523 \pm 260$	$63 \pm 0$	$418 \pm 27$	$884 \pm 162$
- Diversity	$0.752 \pm 0.029$				
Scaffold Sample	$1187 \pm 48$	$1075 \pm 39$	$84 \pm 29$	$409 \pm 77$	$1519 \pm 141$
- Diversity	$0.806 \pm 0.003$				
Scaffold Sample Size 15	$1295 \pm 126$	$1168 \pm 143$	$188 \pm 103$	$602 \pm 108$	$1440 \pm 115$
- Diversity	$0.750 \pm 0.021$				
Structure	$992 \pm 64$	$946 \pm 52$	$105 \pm 59$	$558 \pm 94$	$1635 \pm 81$
- Diversity	$0.823 \pm 0.005$				
Structure Size 15	$2059 \pm 327$	$1552 \pm 344$	$105 \pm 29$	$462 \pm 25$	$1110 \pm 265$
- Diversity	$0.735 \pm 0.017$				
Structure Sample	$831 \pm 126$	$790 \pm 130$	$62 \pm 1$	$357 \pm 29$	$1617 \pm 220$
- Diversity	$0.841 \pm 0.003$				
Structure Sample Size 15	$1277 \pm 526$	$1031 \pm 421$	$127 \pm 52$	$800 \pm 342$	$1879 \pm 531$
- Diversity	$0.657 \pm 0.070$				

Table 11: AChE<sup>59</sup> case study hyperparameters grid search results for REINVENT<sup>25,51</sup>. All experiments were run in triplicate and the reported values are the mean and standard deviation. "Sample" denotes "sample" token sampling. The IntDiv1<sup>68</sup> is annotated under Generative Yield. All metrics are for the reward threshold > 0.8. \* and \*\* denote one and two replicates were unsuccessful, respectively.

Experiment REINVENT AChE	Generative Yield	Unique Scaffolds	Oracle Burden (1)	Oracle Burden (10)	Oracle Burden (100)
Baseline	$147 \pm 11$	$146 \pm 11$	$83 \pm 29$	$481 \pm 108$	$3931 \pm 286$
- Diversity	$0.852 \pm 0.004$				
Scaffold	$245 \pm 50$	$244 \pm 50$	$63 \pm 0$	$566 \pm 136$	$3360 \pm 164$
- Diversity	$0.844 \pm 0.003$				
Scaffold Size 15	$310 \pm 207$	$227 \pm 159$	$84 \pm 29$	$421 \pm 120$	$3596 \pm 678$
- Diversity	$0.744 \pm 0.038$				
Scaffold Sample	$257 \pm 77$	$252 \pm 76$	$63 \pm 0$	$480 \pm 60$	$2946 \pm 460$
- Diversity	$0.847 \pm 0.004$				
Scaffold Sample Size 15	$310 \pm 92$	$271 \pm 70$	$148 \pm 28$	$673 \pm 107$	$2881 \pm 475$
- Diversity	$0.759 \pm 0.039$				
Structure	$356 \pm 22$	$351 \pm 24$	$63 \pm 0$	$294 \pm 28$	$2284 \pm 238$
- Diversity	$0.841 \pm 0.002$				
Structure Size 15	$323 \pm 58$	$284 \pm 71$	$62 \pm 0$	$441 \pm 132$	$3073 \pm 427$
- Diversity	$0.795 \pm 0.009$				
Structure Sample	$213 \pm 26$	$206 \pm 22$	$84 \pm 30$	$558 \pm 222$	$3073 \pm 279$
- Diversity	$0.844 \pm 0.005$				
Structure Sample Size 15	$316 \pm 253$	$190 \pm 146$	$125 \pm 50$	$561 \pm 140$	$2683 \pm 320$
- Diversity	$0.721 \pm 0.111$				

which heavily bias generation. We posit that this may be a reason why *Structure* extraction can be more performant than *Scaffold*, as observed in the hyperparameters grid search in the previous subsection.

#### 653 D.4 Wall Times

The wall times for all drug discovery case studies with every algorithm is presented in Table 12. 654 The reported values are averaged over 3 replicates. In general, adding Beam Enumeration to the 655 base Augmented Memory<sup>23</sup> and REINVENT<sup>25,51</sup> algorithms increased wall times but only slightly 656 and it is negligible when considering expensive oracles. An interesting observation is that "sample" 657 token sampling increases wall time variance. This is because less probable substructures lead to 658 more "filter rounds', i.e., epochs where all the sampled molecules are discarded as none of them 659 contain the Beam Enumeration extracted substructures. In addition, REINVENT generally has longer 660 wall times even though the oracle budget is the same. The reason for this is because REINVENT 661

DRD2 – 'Structure' Extraction with 'Structure Minimum Size' = 15

Replicate 1



Figure D13: Augmented Memory<sup>23</sup> DRD2<sup>57</sup> substructures with *Structure* extraction and 'Structure Minimum Size' = 15 after 5,000 oracle calls.

MK2 – 'Structure' Extraction with 'Structure Minimum Size' = 15



Figure D14: Augmented Memory<sup>23</sup>  $MK2^{58}$  substructures with *Structure* extraction and 'Structure Minimum Size' = 15 after 5,000 oracle calls.

#### AChE - 'Structure' Extraction with 'Structure Minimum Size' = 15





Figure D15: Augmented Memory<sup>23</sup> AChE<sup>59</sup> substructures with *Structure* extraction and 'Structure Minimum Size' = 15 after 5,000 oracle calls.

Table 12: Wall times for all drug discovery case studies hyperparameters grid search using Augmented Memory<sup>23</sup> and REINVENT<sup>25,51</sup>. "Sample" denotes "sample" token sampling. All experiments were run in triplicate and the values are the mean and standard deviation.

Target	Experiment	Augmented Memory Wall Time	<b>REINVENT Wall Time</b>
DRD2	Baseline	$14h \text{ 0m} \pm 1h 26m$	$16h  36m \pm 0h  55m$
	Scaffold	$12h58m\pm1h11m$	$17h9m\pm1h28m$
	Scaffold Size 15	$12h56m\pm0h46m$	$16h51m\pm1h58m$
	Scaffold Sample	$12h \ 11m \pm 0h \ 24m$	$16h 32m \pm 1h 3m$
	Scaffold Sample Size 15	$13h 32m \pm 0h 50m$	$16h26m\pm 2h58m$
	Structure	$14h \ 30m \pm 0h \ 51m$	$22h 5m \pm 1h 52m$
	Structure Size 15	$14h54m\pm 2h24m$	$24h 33m \pm 5h 8m$
	Structure Sample	$13h58m\pm0h51m$	$20h 5m \pm 1h 42m$
	Structure Sample Size 15	$14h52m\pm1h32m$	$19h52m\pm3h22m$
MK2	Baseline	$10h  46m \pm 0h  3m$	$15h 19m \pm 0h 34m$
	Scaffold	$11h \text{ Om} \pm 0h 28m$	$16h 21m \pm 0h 53m$
	Scaffold Size 15	$11h 22m \pm 2h 30m$	$16h 38m \pm 1h 33m$
	Scaffold Sample	$12h 56m \pm 0h 36m$	$15h 49m \pm 0h 36m$
	Scaffold Sample Size 15	$11h~52m\pm1h~5m$	$16h 28m \pm 0h 33m$
	Structure	$12h 29m \pm 0h 19m$	$19h40m\pm1h55m$
	Structure Size 15	$11h 22m \pm 1h 17m$	$18h 39m \pm 1h 33m$
	Structure Sample	$12h 22m \pm 0h 28m$	$18h 12m \pm 0h 57m$
	Structure Sample Size 15	$12h 37m \pm 0h 47m$	$16h 6m \pm 1h 37m$
AChE	Baseline	$10h 6m \pm 0h 39m$	$14h \ 12m \pm 0h \ 59m$
	Scaffold	$11h 46m \pm 0h 51m$	$15h\ 10m\pm1h\ 4m$
	Scaffold Size 15	$11h \ 10m \pm 0h \ 44m$	$15h52m\pm1h4m$
	Scaffold Sample	$10h55m\pm 0h44m$	$15h27m\pm0h57m$
	Scaffold Sample Size 15	$10h 24m \pm 0h 17m$	$14h53m\pm0h53m$
	Structure	$13h \ 0m \pm 0h \ 47m$	$19h \ 10m \pm 0h \ 22m$
	Structure Size 15	$11h 26m \pm 0h 51m$	$18h 30m \pm 0h 20m$
	Structure Sample	$11h 23m \pm 0h 22m$	$15h 36m \pm 0h 20m$
	Structure Sample Size 15	$17h56m\pm4h27m$	$19h 16m \pm 2h 43m$

optimizes the structure components of the MPO objective: QED<sup>62</sup> and MW constraint to a lesser extent. Consequently, REINVENT generates larger molecules, on average, which take longer to dock with Vina<sup>61</sup>. This observation is in agreement with the original Augmented Memory work which compared to REINVENT.

## 666 E Augmented Memory and REINVENT Model Hyperparameters

Cell Type	LSTM
Number of Layers	3
Embedding Layer Size	256
Dropout	0
Training Batch Size	128
Sampling Batch Size	64
Learning Rate	0.001

Table 13: LSTM model hyperparameters for Augmented Memory<sup>23</sup> and REINVENT<sup>25,51</sup>

<sup>667</sup> The same pre-trained prior on ChEMBL<sup>73</sup> was used for Augmented Memory<sup>23</sup> and REINVENT<sup>25,51</sup>.

All shared hyperparameters (sampling batch size and learning rate) are the same. Default additional

hyperparameters for Augmented Memory were used based on the original work<sup>23</sup>: two augmentation 51.74

rounds and using Selective Memory Purge to prevent mode collapse. Experience replay <sup>51,74</sup> was kept
 default in REINVENT (randomly sample 10 molecules out of 100 from the replay buffer at each
 epoch).

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