# CoS: Enhancing Personalization with Context Steering

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### Abstract

When querying a large language model (LLM), the *context*, i.e. personal, demographic, and cultural information specific to an end-user, can significantly shape the response of the LLM. For example, asking the model to explain Newton's second law with the context *"I am a toddler."* yields a different answer compared to the context *"I am a physics professor."* Proper usage of the context enables the LLM to generate personalized responses, whereas inappropriate contextual influence can lead to stereotypical and potentially harmful generations (e.g. associating *"female"* with *"housekeeper"*). In practice, striking the right balance when leveraging context is a nuanced and challenging problem that is often situation-dependent. One common approach to address this challenge is to fine-tune LLMs on contextually appropriate responses. However, this approach is expensive, time-consuming, and not controllable for end-users in different situations. In this work, we propose Context Steering  $(CoS)$  — a simple training-free method that can be easily applied to autoregressive LLMs at inference time. By measuring the contextual influence in terms of token prediction likelihood and modulating it, our method enables practitioners to determine the appropriate level of contextual influence based on their specific use case and end-user base. We showcase how CoS can be used to amplify contextual influence to achieve better personalization in a controllable manner.

# 1 Introduction

Societal assumptions inherently influence the responses generated by Large Language Models (LLMs) [\(Brown et al., 2020;](#page-5-0) [Touvron et al., 2023;](#page-6-0) [Jiang et al., 2023;](#page-5-1) [Groeneveld et al., 2024\)](#page-5-2). Specifically, the inclusion of personal, demographic, and cultural information pertaining to a user may modulate the LLM's response. While leveraging these contextual cues can enhance the relevance and appropriateness of responses in some situations, this can also lead to inaccurate and potentially damaging outcomes in others. Consider an example in which an LLM is asked to explain Newton's second law under the context of "I am a toddler". In this case, it may be reasonable to expect the LLM to tailor its response differently compared to the scenario in which the context is "I am a professor." The underlying demographic assumption — that toddlers have a limited understanding of physics compared to a professor — is useful in guiding the response of the LLM. Contrast this with the context of "I am a female professor". In this case, an LLM mistakenly focusing on gender information can produce stereotypical responses that are potentially harmful.

As LLMs are being widely deployed, it is necessary to enable practitioners to tailor the level of contextual influence based on the use case. For example, recommender systems rely heavily on context to produce high quality, customized recommendations, which can enhance user satisfaction and increase engagement [\(Milli et al., 2023\)](#page-5-3). In other cases, inappropriate reliance on context can contribute to the social divide and reinforce historical inequities [\(Kotek et al., 2023\)](#page-5-4). The ideal degree of contextual influence is situation-dependent, emphasizing the need for practitioner control.

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<span id="page-1-1"></span>

Figure 1: **Context Steering** (CoS) utilizes the likelihood difference between the same LLM that has and has not seen the context. CoS generates coherent responses that enhance or mitigate the influence of the context in a controllable manner.

Common approaches for improving the LLM's ability to leverage contextual information include supervised fine-tuning and Reinforcement Learning with Human Feedback [\(Rafailov et al., 2023;](#page-6-1) [Ouyang et al., 2022\)](#page-6-2). By training the LLM on curated high quality user data, RLHF has been shown to enhance performance as well as reduce bias in LLMs. However, data collection and training are costly and time-consuming and training correctly requires significant domain knowledge. Further, adjusting the extent of contextual influence for different scenarios is not possible post-training.

Instead, can we enable practitioners to adjust the level of contextual influence without the need to update the models? We introduce **Context Steering**  $(CoS)$ , an inference-time technique that can be easily applied to autoregressive LLMs without requiring access to a model's internal weights <sup>[2](#page-1-0)</sup>. Our key insight is that LLMs capture the relationship between the context and the generated text in terms of token prediction likelihood, which allows us to compute the influence as in Figure [1.](#page-1-1) This enables us to tune the level of influence in downstream generations by a factor of  $\lambda$ , exerting fine-grained control on LLM output to fit practitioners' needs.

# 2 Related Work

Personalization of LLMs. Personalization requires LLMs to consider context in a way that improves outcomes for individual end-users and has been explored in applications including dialogue agents, movie reviews, and recipe generation [\(Chang et al., 2016;](#page-5-5) [Zhang et al., 2020;](#page-6-3) [Li & Tuzhilin, 2020;](#page-5-6) [Majumder et al., 2019\)](#page-5-7). Recent work has explored generating more realistic conversational data [\(Vincent et al., 2023\)](#page-6-4) using annotated movie dialogues with character personas[.Wuebker et al.](#page-6-5) [\(2018\)](#page-6-5) investigated parameter-efficient models for personalized translation while [Ao et al.](#page-5-8) [\(2021\)](#page-5-8) presented a dataset for personalized headline generation derived from user interactions on Microsoft News.

Controllable Generation and Structured Prediction. Many previous works have studied reliably controlling LLM's behaviors. [Turner et al.](#page-6-6) [\(2023\)](#page-6-6), [Li & Tuzhilin](#page-5-6) [\(2020\)](#page-5-6), and [Subramani et al.](#page-6-7) [\(2022\)](#page-6-7) modify the activation function via "steering vectors" learned from model outputs to inform future text generation. In contrast, we directly modify the log-likelihood of next token predictions for more interpretable controllable generations. Our approach is similar to [Li et al.](#page-5-9) [\(2023\)](#page-5-9), which contrasted the outputs of amateur and and expert language models to remove the "amateur tendencies" of LLM outputs. [Hartvigsen et al.](#page-5-10) [\(2022\)](#page-5-10) reweighted generation likelihoods to detoxify machine-generated content; in comparison, we compute log-likelihood differences from the prompts and focus on contextual information. We exploit the Bayesian structure in language similar to previous works [\(Tenenbaum et al., 2011;](#page-6-8) [Goodman & Frank, 2016\)](#page-5-11), leveraging powerful LLMs as the forward model of underlying language contexts to enable structured predictions.

# 3 Methodology

We explain the details of Context Steering (CoS). Our key insight is that we can capture the level of influence,  $P_{\text{influence}}(X|\mathcal{C}, \mathcal{P})$ , that contextual information, C, has on generating a text continuation X for a given prompt, P. Quantifying this relationship enables controllable text generation as described

<span id="page-1-0"></span> $2$ Including API-gated models that support returning log probabilities.

<span id="page-2-2"></span>

$\lambda$	$C:$ "I am a toddler."	$C:$ "I got a D- in elementary school science."
$-3$	Newton's Second Law of Motion, formally known as the Law of Acceleration, relates the force applied on an object to its resulting acceleration	Newton's second law of motion, also known as the law of torque, states that the rotational motion of an object is directly proportional
$-1$	Sure, I'd be happy to explain Newton's second law of motion! Mathematically, this is expressed as F $=$ ma For example, let's say you have two cars	Sure, I'd be happy to explain Newton's second law of motion!  also known as the law of acceleration, states that the acceleration of an object is directly
$\theta$	Oh, wow! *adjusts glasses* You wanna learn about Newton's second law?! Well, let me tell ya, little buddy  is like a super cool secret code! $\rightarrow$ When you push a toy car	Sure, I'd be happy to help! Newton's second law of motion is a fundamental principle  if you apply a force of 10 Newtons to an object with a mass of 1 kilogram
1	WOWZA! * giggles * Oh boy, you wanna learn about science?! *bounces you up and down* Newton's second law  See, if you push really hard with your feet, you go faster	Don't worry about the D- in elementary school sci- ence!  is actually a pretty cool concept, and I'd be happy to explain it to you. $\bullet$ Let me break it down for you:
3	WOWZA! * giggles * Oh my, you little TODDLER you! *bounces on knee* Newton's SECOND law is like when you run around and play! *chases after toy* $\mathbf{L}$ .	Oh no, a D- in elementary school science? $\leq$ But don't worry <b>Primarily</b> Sir Isaac Newton formulated this law in the 17th century $\mathcal{L}$ So, what is New- ton's second law?

Table 1: **Prompt: Explain Newton's second law**. For both contexts  $C$ , a higher  $\lambda$  leads to changes in tone (teal) and more patience, encouragement, and the presence of emojis. A lower  $\lambda$  leads to inverse effects (orange) and more scholarly explanations, including a reference to the "law of torque", a more general form of Newton's second law. See Appendix [C](#page-9-0) for more details.

in Sec. [3.2.](#page-2-0) We also perform Bayesian Inference to compute how much influence potential contexts have on the final output, as discussed in Sec. [3.3.](#page-3-0)

#### 3.1 Preliminaries

We consider an autoregressive LLM that interacts with end users. The user provides context  $\mathcal{C}$  (e.g. "I am a toddler") and prompt  $P$  (e.g. "Explain Newton's second law"). For tokens  $x_1...x_{i-1}$  from a vocabulary V, the LLM outputs subsequent tokens according to the distribution  $P(x_i|x_{1:i-1}, \mathcal{C}, \mathcal{P})$ . The model generates the complete response  $X = x_{1:n}$  by predicting one token at a time, following  $P(X|C, P) = \prod_{i=1}^{m} P(x_i|x_{1:i-1}, C, P)$ , where m is some fixed maximum generation length.

Here, we define  $LLM(\cdot)$  as the raw output by a forward pass of the language model over the vocabulary  $V$  from which we extract the most probable token  $x_i$  as the next token in the response. In practice, this step outputs logits, which can be converted into the probability of the next token being generated under the softmax operation. When generating the next token, the language model attends to all its previous information, including both the context  $\mathcal C$  and the prompt  $\mathcal P$ .

$$
P(x_i|x_{1:i-1}, \mathcal{C}, \mathcal{P}) = \frac{\exp\left[\text{LLM}(x_i|\mathcal{C}, \mathcal{P})\right]}{Z_i}, Z_i = \sum_{x_v \in V} \exp\left[\text{LLM}(x_v|\mathcal{C}, \mathcal{P})\right]
$$
(1)

#### <span id="page-2-0"></span>3.2 Forward Model: Controllable Generation with CoS

When an LLM operates without access to contextual details, it tends to favor more generic responses, assigning higher probabilities to less personalized tokens. Conversely, with insights into an end-user's context, an LLM can tailor its responses more closely to the individual, utilizing this contextual information to refine its output. Inspired by this observation, CoS aims to quantify the effect of the context,  $C$ , on the next token and leverage this information to tune the impact of  $C$  on the LLM response. We propose a **contextual influence function**  ${}^{3}$  ${}^{3}$  ${}^{3}$   $F$  that operationalizes this idea:

$$
\mathcal{F}_{\mathcal{C},\mathcal{P}}(x_i) = \text{LLM}(x_i|\mathcal{C},\mathcal{P}) - \text{LLM}(x_i|\emptyset,\mathcal{P})
$$
\n(2)

<span id="page-2-1"></span> $3$ We note that our method is distinct from the definition of influence function in statistical machine learning [\(Koh & Liang, 2020\)](#page-5-12) in which the aim is to quantify the influence of training data on model output. Our method adopts a broader interpretation of "influence." Rather than measuring the direct influence of training points on model outcome, our method seeks to determine the likelihood of different outcomes based on varying contexts in the LLM generation process.

The contextual influence function captures how much more likely it is for some token  $x_i$  to be generated under the context C compared to when no contextual information is provided (i.e., Ø). This gives us a flexible knob with which to tune the effect of the context on the output: we can amplify the influence to produce more contextually relevant texts or tune down the influence to generate more generic and unbiased answers. To this end, we can modify the next token probability at inference as:

$$
CoS_{\lambda}(x_i|\mathcal{C}, \mathcal{P}) = LLM(x_i|\mathcal{C}, \mathcal{P}) + \lambda \cdot \mathcal{F}_{\mathcal{C}, \mathcal{P}}(x_i)
$$
  
= (1 + \lambda) LLM(x\_i|\mathcal{C}, \mathcal{P}) - \lambda \cdot LLM(x\_i|\emptyset, \mathcal{P}) (3)

Here  $\lambda \in \mathbb{R}$  controls the influence of C: higher  $\lambda$  means that C has more influence on  $x_i$ .  $\lambda = -1$ is equivalent to no contextual influence (LLM( $x_i | \emptyset, \mathcal{P}$ )) and  $\lambda = 0$  equates to concatenating the original prompt and context  $(LLM(x_i|\mathcal{C}, \mathcal{P}))$  without modulation. We note that CoS differentiates from simply concatenating context to prompt in that practitioners can *tune* the level of influence that the context has, as demonstrated by our experiments below.

Example: Personalization. In Table [1,](#page-2-2) we prompt the Llama2-7b-Chat model [\(Touvron et al., 2023\)](#page-6-0) to "Explain Newton's second law" under two different contexts. The LLM can generate highly coherent texts under varying  $\lambda$ values in a controllable manner - higher  $\lambda$  values correspond to amplifying the effect of the context and lower  $\lambda$ reduces the effect.

#### <span id="page-3-0"></span>3.3 Inverse Model: Bayesian Inference with CoS

Our second insight is that we can leverage Bayesian Inference to infer the level of influence,  $\lambda$ , of a given context,  $C$ , on the output of the model. This process can help us understand the significance of contextual information on the model's output, providing insight into the reasons behind the model's generated responses.

<span id="page-3-4"></span><span id="page-3-2"></span>

<span id="page-3-3"></span><span id="page-3-1"></span>Figure 2: We plot normalized posterior probabilities of  $\lambda$  computed by Eq. [\(5\)](#page-3-1). We ask the LLM to explain STEM concepts (rows) given true contexts (education level). When inferring the  $\lambda$  of these generations, we find that stronger STEM familiarity corresponds with higher education level, under the probe contexts of middle school or college.

Eq. [\(3\)](#page-3-2) defines a forward direction from  $\mathcal{C}, \mathcal{P}$  and  $\lambda$  to the probability of the next token:  $P_{\cos,\lambda}(x_i|\mathcal{C}, \mathcal{P}) = \text{softmax}[\cos_{\lambda}(x_i|\mathcal{C}, \mathcal{P})]$ . Using Bayesian Inference, we can invert this formula, and infer the context given the prompt  $\mathcal{P}, \lambda$ , and generation X:

$$
P(C = c | \lambda, X, \mathcal{P}) = \frac{P_{\cos,\lambda}(X | C = c, \mathcal{P})}{Z_{\mathcal{C}}}, Z_{\mathcal{C}} = \int_{c} P_{\cos,\lambda}(X | C = c, \mathcal{P}) \, \mathrm{d}c \tag{4}
$$

This enables us to probe the "undertone" of the language model. For instance, if the model explains "Newton's second law" in a manner that involves frequent mention of toys and analogies, then it is responding as if the user is best treated as a toddler, as in Table [1.](#page-2-2) Similarly, we can infer the  $\lambda$  given the context  $\mathcal{C}$ , prompt  $\mathcal{P}$ , and generation  $X$ :

$$
P(\Lambda = \lambda | X, \mathcal{C}, \mathcal{P}) = \frac{P_{\text{CoS},\lambda}(X | \mathcal{C}, \mathcal{P})}{Z_{\Lambda}}, Z_{\Lambda} = \int_{\lambda} P_{\text{CoS},\lambda}(X | \mathcal{C}, \mathcal{P}) d\lambda
$$
 (5)

By inference of  $\lambda$ , we can quantify the likelihood of a given statement X being generated based on C. In Table [1,](#page-2-2) a high frequency of emojis suggests a more animated tone, which implies high  $\lambda$  for the context of the user being a toddler. Note that Eq. [\(4\)](#page-3-3) and Eq. [\(5\)](#page-3-1) involve the intracable computation of the normalizing constant  $Z$ . In practice, we can instead compute the maximum likelihood of candidate set  $\Lambda$  or  $\mathcal{C}$ . A feasible range of lambda values are included in Appendix [B.](#page-7-0) We note that selecting the candidate set of contexts is often use-case dependent (e.g. personalization, bias mitigation) and based on the subject matter of the intended generation. We provide examples of context selection in our experiments below.

Example: Identity implies STEM proficiency. We examine how closely an LLM aligns personal information (e.g. education level) with true STEM proficiency. We prompt the LLM to explain various STEM questions with the true context of the user's educational background (e.g. middle schooler, college student). We then infer the likelihood of these output generations under different probe contexts (e.g. perceived STEM proficiency level). In Figure [2,](#page-3-4) generations for a user more proficient in STEM are more likely to align with the user being a college student; this is demonstrated by the context of being a college student having overall higher  $\lambda$  values on the left. Similarly, the true

context of the user being a middle schooler corresponds to "beginner"<sup>[4](#page-4-0)</sup>. These findings, along with further qualitative results in Appendix [C,](#page-9-0) demonstrate that utilizing CoS in the reverse direction can provide insight into the degree to which the model relies on context, potentially with implicit biases.

## 4 CoS for Personalization

Movie summarization has long been studied in NLP [\(Salemi et al., 2024\)](#page-6-9). We show that CoS can enable the generation of personalized movie descriptions even for unrelated movies and genres. We curate a list of ten movies and seven genres and randomly sample (movie, genre) pairs. We prompt Llama2-7b-Chat using default hyperparameters with "I like {genre}, tell me about {movie}", where genre corresponds to context  $\mathcal C$  for CoS and movie corresponds to  $P$ , intentionally selecting orthogonal pairings (e.g. "I like comedy movies, tell me about the movie Blade Runner 2049."). Impressively, CoS identifies that Blade Runner 2049 is not a comedy movie, and is still able to identify comedic aspects of it (e.g. wordplay, satire, absurdity) as shown qualitatively in Appendix [D.](#page-11-0)

To show that CoS's personalization aligns with end-users, we collect data annotations from 8 participants. Each participant was presented with a fixed set of 70 LLM responses generated from the tuple  $\{\mathcal{P}_i, \mathcal{C}_i, \lambda_i\}$  where  $\mathcal{P}_i$ contains a randomly sampled movie name,  $C_i$  contains a randomly sampled genre and  $\lambda \in \{-1, 3\}$ . The underlying  $\lambda$  is hidden from the participant by shuffling the order in which sampled texts are presented within the subgroup  $\{\mathcal{P}_i, \mathcal{C}_i\}$ . We then ask the participant to rate the extent to which the LLM response is personalized to the given context,  $C_i$ . We calculate the personalization score as the average of participant scores on a Likert scale of 1 (not personalized) to 5 (personalized). We illustrate in Figure [3](#page-4-1) that the average personalization score increases with  $\lambda$ ,

<span id="page-4-1"></span>

Figure 3: User ratings of: I like {genre}, tell me about {movie}. We find that users rank generations under higher  $\lambda$  as more personalized across individual movies.

both in aggregate and across most individual movies. Applying Spearman's test, we find that this trend is significant with a strong correlation ( $\rho = .67$ ,  $p < .001$ ), supporting our hypothesis that higher  $\lambda$  's increase personalization.

Compared to directly asking the LLM "Tell me about {movie}"  $(\lambda=1)$  with a naively prepended context "I like {genre}, tell me about {movie}" ( $\lambda$ =0), we can generate much more personalized summarizations by tuning up  $\lambda$ . Full study details, including a GPT-3.5 baseline, are in Appendix [D.](#page-11-0)

### 5 Discussion

We introduce CoS as a method of computing the influence of contextual information  $\mathcal C$  for a given prompt  $P$  and using it to modulate text generations. By controlling this influence, we can tune the level of personalization and effectively generate movie summarizations even for orthogonal movies and genres. In comparison to other personalization techniques, CoS is an inference-time technique that does not require additional data collection or fine-tuning.

The main limitation of CoS lies in its composability. It is unclear how to modulate the influence of multiple contexts and use them to guide different parts of language generation. Moreover, it is unclear how well CoS can handle long input sequences. Since we prepend context to the prompt, it is likely that the effect of the context diminishes greatly on long input sequences. Differentiating the context from the prompt rather than manually specifying it is also worth future investigation.

Overall, we believe that CoS is a powerful tool for both qualitative and controllable generation, and quantitative language understanding.

<span id="page-4-0"></span><sup>&</sup>lt;sup>4</sup>We compare relative probability distributions to account for certain contexts being more generally probable.

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# A Reproducibility Statement

Our code is available publicly at <https://github.com/sashrikap/context-steering>. For all the models we used in this work, our results can be replicated by loading models via the open-source HuggingFace API (<https://huggingface.co/>).

# <span id="page-7-0"></span>B Numerical Issues of CoS

Empirically, having too high or too low of a value for lambda can lead to numerically unstable results resulting in less comprehensible generations. Examples of such generations can be found in Table [2.](#page-8-0) From our experiments, we found that lambdas in the range of -3.0 to 3.0 generally lead to stable generations.

<span id="page-8-0"></span>

Table 2: CoS produces unstable generations under extreme values of lambda. Generations under more extreme lambda values are less comprehensible than those generally in the range of -3 to 3.

# <span id="page-9-0"></span>C Personalization and Bias Examples



Figure 4: Additional lambda inference results, where we include additional probe contexts of toddler and middle school students.

Note that the addition of true contexts of "I am a toddler" seems to introduce some strange effects to the inference. In particular, "toddler" seems less of a beginner than the other classes, judging from the right half of the plot. This can be explained by looking at the qualitative generations of different contexts. Here the output texts are generated with corresponding contexts with  $\lambda = 0.5$ . Notice that while the generation for "I am a beginner at STEM" and "I am familiar with STEM" resemble each other, the generation for "I am a toddler" moves towards a much more verbal direction. Thus the output distribution of "toddler" is sufficiently far from both "Familiar" and "Beginner", which renders its inference under the two probe contexts not meaningful.

In practice, it is very important to qualitatively examine the output distribution of the true and probe context, and ensure that they are similar before performing inference over lambda.

Table [3](#page-10-0) includes additional examples for Section [3.3,](#page-3-0) demonstrating the extent to which our context influence function can unveil a model's underlying bias.

<span id="page-10-0"></span>

Table 3: Qualitative generations relating race and proficiency in science. Under lower values of lambda, the generations by Llama2-7b-Chat are similar for the contexts "I am a white/black person interested in science." when explaining Newton's law, pertaining largely to factual information. However, for greater values of lambda, such as  $\lambda = 3.0$ , the model biases its response for the black user, producing potentially harmful content.

<span id="page-11-1"></span>

Table 4: Movie personalization user study. We showed users a series of randomly-ordered generations and ask them to rate the level of personalization. While Blade Runner is not a comedy movie, CoS enables personalized recommendations of movies to audiences of orthogonal genres. Lower  $\lambda$ values were primarily factual (orange), attending to the user's request to explain the movie, while higher  $\lambda$  values tailored the response towards the user's preference for comedy movies (teal).

## <span id="page-11-0"></span>D Personalization in movie recommendations

#### D.1 User study

 $\overline{a}$ 

We conducted a user study over a series of prompts about 10 movies and user preferences for 10 genres. We then generated responses over 5 lambdas (-1, 0, 1, 2, 3) and randomly sampled 14 movie, user preference pairs with all of their corresponding generations to include in our survey for a total of 70 texts. Examples of personalized generations across varying levels of lambda can be found in Table [4.](#page-11-1)

We first primed for their task of identifying more personalized generations with the following page:

Thanks for participating in our survey! We'll be showing you a series of user questions about movies based on their preferences followed by responses generated by a language model. l Here's an example of a personalized response:

USER: "I enjoy psychology movies. Tell me about Harry Potter."

**ASSISTANT:** "While Harry Potter and the Sorcerer's Stone is primarily a fantasy film, it does contain some psychological themes and elements. Here are a few examples:\n1. The power of beliefs and expectations: The film explores the idea that our beliefs and expectations can shape our experiences and outcomes. For example, Professor Dumbledore tells Harry that ¨thoughts and beliefs can be just as important as actionsänd that ¨the ones who mind don't matter, and the ones who matter don't mind. This theme is relevant to psychological concepts such as self-fulfilling prophecy and the placebo effect.\n2. Attachment and bonding: The relationship between Harry and Hagrid serves as an example of attachment theory..."

Here's an example of a not personalized response:

USER: "I enjoy psychology movies. Tell me about Harry Potter."

ASSISTANT: "Harry Potter and the Philosopher's Stoneïs the first book in the Harry Potter series written by J. Kansas Rowling. Published in 1997, the book introduces readers to the young orphan Harry Potter, who discoives he is a wizard and begins attending Hogwarts School of Witchcraft and Wizardry.\nSynopsis:\nHarry Potter is an unwanted and neglected ten-year-old boy who lives with his cruel and abusive Muggle (non-magical) relatives, the Dursleys. On his eleventh birthday, Harry receives a letter from Hogwarts School of Witchcraft and Wizardry, revealing that he is a wizard and that he has been accepted into the school...\*

Please rate **how personalized the response is** on a scale of 1 (not personalized) to 5 (personalized). Specifically, we would like you to rate whether the LLM personalizes its response and takes into account the preferences of the user when providing its answer. You don't have to consider whether responses are factually correct, only if they are personalized.

This survey should take 15-30 minutes to complete.

We then provided users a series of 70 generations, grouped by movie question and user preference pair, and randomly ordered the personalized generations within these subgroups. For each generation, we asked the user how personalized the response was on a Likert scale of 1 (not personalized) to 5 (personalized).

#### D.2 GPT-3.5 baseline

To compare our findings against a language model baseline, we used GPT-3.5 [\(Brown et al., 2020\)](#page-5-0) to score generations. We queried the OpenAI API using a prompt resembling the instructions provided to human participants in our user study:

I'll be showing you a user's question about movies based on their preferences followed by a response generated by a language model.

Here's an example of a personalized response:

USER: "I enjoy psychology movies. Tell me about Harry Potter."

ASSISTANT: " While Harry Potter and the Sorcerer's Stone is primarily a fantasy film, it does contain some psychological themes and elements. Here are a few examples:[...]"

Here's an example of a not personalized response:

USER: "I enjoy psychology movies. Tell me about Harry Potter."

ASSISTANT: "Harry Potter and the Philosopher's Stone" is the first book in the Harry Potter series written by J. Kansas Rowling. Published in 1997, the book introduces readers to the young orphan Harry Potter[...]"

Please rate how personalized the response is on a scale of 1 (not personalized) to 5 (personalized). Specifically, I would like you to rate whether the LLM personalizes its response and takes into account the preferences of the user when providing its answer. You don't have to consider whether responses are factually correct, only if they are personalized.

Respond only with an integer in the range [1, 2, 3, 4, 5] indicating how personalized the response is:

<span id="page-13-0"></span>

(a) Human vs. GPT-3.5 personalization scores (b) Distribution of GPT-3.5 scores

Figure 5: GPT-3.5 baseline for movie recommendation user study. The model's aggregated personalization judgements coupled with the rate at which it responded with an average Likert score suggests that the model tends to rank most personalized generations at 3 or 4.

We queried GPT-3.5 five times for each prompt and computed an average. The GPT-3.5 baseline in comparison to our human participants' rankings can be found in Figure [5.](#page-13-0) While GPT-3.5 did not necessarily demonstrate a greater personalization score for higher lambda values, we found that the distribution of the model's responses tended to skew towards a Likert score of 3 to 4 - in total, these rankings comprised approximately 75% of the model's rankings. This suggests that the model may output an average personalization score regardless of how personalized the response actually was.



Table 5: Additional movie personalization user study generations. Here we include additional examples of generations that users saw, supplementing Table [4.](#page-11-1) Lower  $\lambda$  values (orange) reference factual portions of the movie while greater  $\lambda$  values (teal) reference the user's preference for horror movies.