SSP: Self-Supervised Prompting for Cross-Lingual Transfer to Low-Resource Languages using Large Language Models

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Abstract

 Recently, very large language models (LLMs) have shown exceptional performance on sev- eral English language NLP tasks with just in-context learning (ICL), but their utility in other languages is still underexplored. We investigate their effectiveness for NLP tasks in low-resource languages (LRLs), especially in the setting of zero-shot cross-lingual trans- fer (0-CLT), where task-specific training data for one or more related medium-resource lan- guages (MRLs) is available. We introduce Self- Supervised Prompting (SSP), a novel ICL ap-proach for the 0-CLT setting.

014 SSP is based on the key observation that LLMs output more accurate labels if in-context exem- plars are from the target language (even if their labels are slightly noisy). To operationalize this, since target language training data is not available in 0-CLT, SSP operates in two stages. 020 In Stage I, using source MRL training data, tar- get language's test data is noisily labeled. In Stage II, these noisy test data points are used as exemplars in ICL for further improved label- ing. Additionally, our implementation of SSP uses a novel Integer Linear Programming (ILP)- based exemplar selection that balances similar- ity, prediction confidence (when available) and label coverage. Experiments on three tasks and twelve LRLs (from three regions) demonstrate that SSP strongly outperforms fine-tuned and other prompting-based baselines.

032 1 Introduction

 Very large language models (LLMs) such as GPT- [3](#page-8-0).5-Turbo & GPT-4 [\(Ouyang et al.,](#page-9-0) [2022;](#page-9-0) [Achiam](#page-8-0) [et al.,](#page-8-0) [2023\)](#page-8-0) show exceptional performance on a variety of NLP and reasoning tasks via *In-Context [L](#page-8-2)earning* (ICL) [\(Brown et al.,](#page-8-1) [2020;](#page-8-1) [Chowdhery](#page-8-2) [et al.,](#page-8-2) [2022\)](#page-8-2). ICL feeds a task-specific instruction along with a few exemplars, appended with the test input, to the LLM. As LLMs can be highly sensitive to exemplars [\(Zhao et al.,](#page-9-1) [2021\)](#page-9-1), exemplar retrieval is crucial for ICL.

Figure 1: Llama2 70B, prompted with target LRL exemplars, along with artificially injected label noise (x-axis). Dashed lines represent performances when prompted with source MRL exemplars.

While LLMs have shown excellent performance **043** on English tasks, their utility on other languages **044** is relatively underexplored. In this work, we study **045** *zero-shot cross-lingual transfer* (0-CLT) to low- **046** resource languages (LRLs) – a setting where la- **047** beled task data from one or more related medium- **048** resource languages (MRLs) is available, but no **049** labeled training data exists for the target LRL. **050**

Cross-lingual transfer has been addressed **051** through standard fine-tuning [\(Muller et al.,](#page-9-2) [2021;](#page-9-2) **052** [Alabi et al.,](#page-8-3) [2022\)](#page-8-3), and language adapters [\(Pfeif-](#page-9-3) **053** [fer et al.,](#page-9-3) [2020;](#page-9-3) [Üstün et al.,](#page-9-4) [2020;](#page-9-4) [Rathore et al.,](#page-9-5) **054** [2023\)](#page-9-5), but there is limited work on cross-lingual **055** ICL. There are two exceptions [\(Ahuja et al.,](#page-8-4) [2023;](#page-8-4) **056** [Asai et al.,](#page-8-5) [2023\)](#page-8-5), where ICL is employed with **057** exemplars from a source language, but they use **058** uniformly random sampling for exemplar selection, **059** resulting in performance inferior to cross-lingually **060** fine-tuned models, such as mBERT and XLM-R **061** [\(Devlin et al.,](#page-8-6) [2019;](#page-8-6) [Conneau et al.,](#page-8-7) [2020\)](#page-8-7). **062**

In our preliminary experiments, we prompt the **063** Llama2-70B model with exemplars from source **064** MRLs, and compare it's performance with the same **065** LLM prompted with exemplars from the target **066**

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 LRL. We vary the label noise on the target exem- plars. Unsurprisingly, LLMs show better perfor- mance with less label noise. More interestingly, we find that a reasonably-sized noise region exists (see Figure [1\)](#page-0-0), such that if the exemplar noise is within that range, then the overall performance is higher than prompting with source language data.

 Armed with this observation, we present Self- Supervised Prompting (SSP) – a novel ICL frame- work for 0-CLT to LRLs. Since the target LRL training data is not available in 0-CLT, SSP oper- ates in two stages. In Stage I, SSP labels all test instances of LRL using training data from MRL. This may be done by LLM prompting (as in the experiment above), or using any other existing approaches for 0-CLT, such as by fine-tuning or adapters. Once (noisy) labels on target LRL are ob- tained, in Stage II, SSP uses ICL using these noisy test data points (except itself) as exemplars for fur- ther performance improvement. Additionally, to select the best exemplars, we develop a novel In- teger Linear Programming (ILP) based selection approach, which balances the various objectives 090 of (1) similarity of exemplar with test sentence, (2) high confidence in label predictions, and (3) coverage of the various labels for better task un- derstanding. Figure [2](#page-3-0) gives an overview of our proposed pipeline.

 We perform experiments on sequence labeling tasks (POS and NER), and natural language infer- ence (NLI) – a text classification task. Our datasets encompass twelve low-resource languages from typologically diverse language families and three regions: African, Germanic and American. Our experiments show consistent and substantial im- provements over existing fine-tuning as well as simpler ICL-based approaches. We will make both our codebase and prompts publicly accessible.

105 Our contributions are summarized as follows:

- **106** 1. We investigate ICL strategies for the task **107** of zero-shot cross-lingual transfer to low-**108** resource languages, utilizing the labeled data **109** from related languages.
- **110** 2. We propose SSP, a two-stage self-adaptive **111** prompting paradigm for this task, where the **112** first stage may be done by an LLM or other **113** cross-lingual transfer models.
- **114** 3. We introduce an exemplar selection approach **115** that utilizes an ILP. The ILP incorporates sim-**116** ilarity to test input along with confidence of **117** prediction (when available), and enforces la-**118** bel coverage constraints for better selection.

4. Experiments on 3 tasks and 11 languages **119** show that SSP outperforms existing fine- **120** tuning, adapter and LLM-based SoTA models. **121**

2 Related Work **¹²²**

An ICL prompt consists of (1) task description: **123** to facilitate the understanding of task, (2) labeled **124** input-output pairs: Written sequentially in order of **125** their relevance to input query, and (3) input itself. **126** Cross-lingual ICL: In general, cross-lingual ICL **127** has not been systematically explored in literature. **128** In existing works, prompting is primarily done in a **129** high-resource language, typically English. This is **130** called *cross-lingual (CL) prompting*. This differs **131** from *in-language (IL) prompting*, where examples **132** are retrieved from the candidate pool of the target **133** language itself. This assumes the availability of **134** labeled data for target LRL, which is not true in our **135** zero-shot setting. In response, we develop novel **136** techniques making use of both CL prompting and **137** IL prompting, while not utilizing the gold labels **138** during IL prompting stage. **139**

Most existing cross-lingual ICL methods use **140** uniformly random input-output pairs for exem- **141** plar selection [\(Zhang et al.,](#page-9-6) [2021;](#page-9-6) [Winata et al.,](#page-9-7) **142** [2021;](#page-9-7) [Ahuja et al.,](#page-8-4) [2023;](#page-8-4) [Asai et al.,](#page-8-5) [2023\)](#page-8-5). Re- **143** [c](#page-9-8)ent approaches [\(Agrawal et al.,](#page-8-8) [2022;](#page-8-8) [Tanwar](#page-9-8) **144** [et al.,](#page-9-8) [2023\)](#page-9-8) address this gap by utilizing *semantic* **145** *similarity* for cross-lingual retrieval from a high- **146** resource language's labeled data, given the target **147** LRL's instance as query. This is facilitated by **148** embedding-based multilingual retrievers such as **149** [m](#page-9-9)ultilingual sentence-transformers [\(Reimers and](#page-9-9) **150** [Gurevych,](#page-9-9) [2020\)](#page-9-9). More recently, OpenAI-based 151 embeddings such as Ada-002^{[1](#page-1-0)} have been used ef- 152 fectively for cross-lingual retrieval [\(Nambi et al.,](#page-9-10) **153** [2023\)](#page-9-10). We extend this line of work by also in- **154** corporating label confidence and label coverage in **155** exemplar selection. **156**

Self-Adaptive Prompting: [Wan et al.](#page-9-11) [\(2023\)](#page-9-11) pro- **157** posed *Universal Self-Adaptive* (USP) framework, **158** which has been explored only for monolingual (En-
159 glish) setting. USP uses an external *unlabeled* **160** dataset of instances and labels them using LLM in 161 Stage I. It then samples multiple Chain-of-thought **162** (CoT) paths to estimate the logits using the same **163** LLM, and then utilizes the entropy of logits for **164** exemplar selection for Stage 2. Our work has simi- **165** larities to USP in that both methods are two-stage **166**

¹ [https://platform.openai.com/docs/guides/embeddings/emb](https://platform.openai.com/docs/guides/embeddings/embedding-models)edding[models](https://platform.openai.com/docs/guides/embeddings/embedding-models)

 prompting approaches. USP is different from SSP in that the former is much more expensive, since it requires multiple LLM runs to estimate logits. USP also does not use any exemplars (and only uses task description), which are quite important for better performance. Finally, USP has only been applied for English tasks, and has not been explored for cross-lingual tasks.

 Fine-tuning approaches for Cross-lingual Trans- fer: Most approaches rely on fine-tuning a Pre- trained LM (PLM) such as BERT or XLM-R on **one or more source languages ([\(Muller et al.,](#page-9-2) [2021;](#page-9-2)** [Alabi et al.,](#page-8-3) [2022\)](#page-8-3)) and deploying on an unseen tar- get language. Recently, Language-Adapter based [a](#page-9-4)pproaches have been found more effective [\(Üstün](#page-9-4) [et al.,](#page-9-4) [2020\)](#page-9-4) for cross-lingual transfer settings. For sequence labeling tasks (NER and POS tag- ging), ZGUL [\(Rathore et al.,](#page-9-5) [2023\)](#page-9-5) is a recent SOTA method that leverages ensembling Language Adapters from multiple MRLs to label each word in a target language. We leverage this in our proposed SSP pipeline.

¹⁸⁹ 3 Self-Supervised Prompting

 We define the setting of zero-shot cross-lingual transfer (0-CLT) as follows. We are given **source training data for a specific task:** $D =$ $\{(x_i, lg_i, y_i)\}\$, where x_i is the input text in lan-**guage** lg_i **, and the output is** y_i **. We are additionally** 195 given a set of unlabeled test data points $T = \{q_i\}$ **from a target language** lg_t **. Our goal is to train a** model/create a protocol, using D, T and a large pre-trained LLM, that outputs good predictions on **199 199** language, due to which its training data is not avail-**able, and that languages** lg_i are related to lg_t .

 Our solution approach, Self-Supervised Prompt- ing (SSP), comprises two key stages as follows. In Stage I, it proposes a noisy labeling for all data points in T using source data D. This may be done in different ways, as described next. In Stage II, it uses the LLM and noisy labeling on T from Stage I as exemplars to improve the labelings. Further- more, SSP uses a novel integer-linear programming based exemplar selection. We now describe each component of our system.

212 3.1 Stage I: initial labeling using source data

213 To create a first labeling for all test points, SSP **214** can use any existing approaches for 0-CLT, such as **215** fine-tuning a multilingual language model for the task, or use of language adapters or using our LLM **216** with in-context exemplars from source language. 217 In our experiments, we experiment with adapters **218** and ICL, which we briefly describe next. **219**

Cross-Lingual ICL: In the method, we use ICL **220** over LLM for obtaining Stage I labelings. First, **221** we retrieve a set of top-K exemplars from D using **222** each test instance q_i as query. This selection is 223 based on cosine similarity between their *Ada-002* **224** embeddings. The selected exemplars are arranged **225** in descending order of similarity scores, and in- **226** cluded in the prompt between the task description **227** (TD) and the input test instance. This approach has **228** two drawbacks. First, since the LLM will typically **229** be a large expensive model – this will require an **230** LLM call per test data point in Stage I. Second, **231** generally, these LLMs do not expose their logits, **232** hence, we will not have access to prediction confi- **233** dences from Stage I labelings. **234**

Training smaller model(s) using D: Another **235** possibility is to fine-tune a smaller multilingual **236** LM, such as mBERT or mDeBerta-v3 [\(He et al.,](#page-8-9) **237** [2021\)](#page-8-9) on D for NLI task. For sequence labeling, **238** we can use ZGUL [\(Rathore et al.,](#page-9-5) [2023\)](#page-9-5), which **239** trains source language adapters using D, and uses **240** inference-time fusion of source adapters for label- **241** ing test data points. These approaches can provide **242** Stage I labelings for T along with prediction confi- **243** dences, without making any expensive LLM calls. **244**

3.2 Stage II: in-language ICL using **245** ILP-based exemplar selection **246**

After Stage I predictions for target instances T are **247** obtained, SSP prompts the LLM to label each test **248** data point $q \in T$, but uses in-context exemplars **249** in target language using Stage I labelings. For ex- **250** emplar selection, SSP implements a novel integer **251** linear program (ILP) that balances *semantic simi-* **252** *larity, prediction confidence* (when available) and **253** *label coverage*. **254**

Our primary objective is to maximize the aggre- **255** gated semantic similarity of the selected exemplars, **256** which is obtained using cosine similarity score be- 257 tween their OpenAI Ada-v2 embeddings. In addi- **258** tion, we impose two constraints: **259**

- Label Coverage: The ILP tries to ensure the **260** coverage of all labels for the given task in **261** the selected exemplars – this has been found **262** effective for ICL [\(Min et al.,](#page-9-12) [2022\)](#page-9-12). **263**
- Confidence: In case Stage I predictions are **264**

Figure 2: SSP Paradigm for Cross-Lingual Transfer to target low-resource language

 $i\epsilon$

 made by a model whose logits are accessible (unlike the OpenAI LLMs), the ILP prefers selection of more confident exemplars. Our hypothesis is that confident predictions are also accurate (assuming the model is well- calibrated), and previous work has shown that performance of LLMs can be sensitive to cor-rectness of exemplars [\(Wei et al.,](#page-9-13) [2023\)](#page-9-13)

 SSP formulates these three factors into an ILP as follows. For a dataset D with n examples indexed 275 from $\mathcal{I} = \{1 \dots n\}$, given a test data point q_i , let z_i be a binary variable denoting whether i^{th} test instance q_i is selected as an exemplar. We use a semantic similarity function $\sin(q_i, q_j)$ to get the similarity between two examples. K is the number 280 of exemplars to be selected. Since q_i cannot be an exemplar for itself, we select exemplars from $\mathcal{I} \setminus \{j\}$ only.

283 Let the set of all labels in the task be \mathcal{L} , and the multiset of all labels predicted (using argmax) for **example** q_i **be** L_i **. The Stage I prediction confi-dence for label l in** q_i is denoted as \hat{y}_i^i . This confi- dence is computed as average of probability scores **across all predictions of label** l **in** i^{th} **sentence (de-**289 tails in Appendix [A\)](#page-10-0). The ILP uses a threshold τ_l for prediction confidence for a label l. Intuitively, the ILP maximizes the semantic similarity of K chosen exemplars, subject to each label l being present at least once in the exemplars, and average prediction confidence of each data point for each label being greater than τ_l ^{[2](#page-3-1)}

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Formally, the ILP is formulated as **296**

$$
\max \sum_{i \in \mathcal{I} \setminus \{j\}} z_i \cdot \text{sim}(q_i, q_j) \tag{1}
$$

such that
$$
\sum_{i \in \mathcal{I} \setminus \{j\}} z_i = K \tag{2}
$$

$$
z_i \cdot (\hat{y}_l^i - \tau_l) \ge 0 \ \forall \ i \in \mathcal{I} \setminus \{j\}, \forall \ l \in L_i \quad (3)
$$

$$
\sum_{z \in \mathcal{I} \setminus \{j\}} z_i \cdot \text{count}(L_i, l) \ge 1 \ \forall \ l \in \mathcal{L} \tag{4}
$$

Here $count(L_i, l)$ denotes the number of oc- 301 curences of l in L_i . In our experiments, we set 302 $K = 8$, and $\tau_l = 80^{th}$ percentile threshold of the 303 set $\{\hat{y}_l^i\}_{i=1}^n$ for a particular label *l*. The idea is to **304** have label-specific threshold since the fine-tuned 305 model may not have same calibration for all labels. **306**

Since logits are not accessible for OpenAI LLMs 307 GPT-3.5 and GPT-4x, in case Stage I labeling is **308** done by either of these models using ICL, we skip **309** the confidence thresholding constraint of ILP. This **310** means that for this variant of SSP, the selection is **311** made based on only similarity and label coverage. **312**

4 Experiments **³¹³**

Our main experiments assess SSP performance **314** compared to existing state-of-the-art models for **315** 0-CLT. We also wish to compare various SSP vari- **316** ants, and estimate the value of the ILP-based exem- **317** plar selection. 318

4.1 Tasks and Datasets **319**

We experiment on three tasks – POS tagging, NER 320 and Natural Language Inference (NLI). We use **321** the Universal Dependency dataset [\(Nivre et al.,](#page-9-14) **322** [2020\)](#page-9-14) for POS tagging over Germanic languages, **323**

²Although we express constraints (3) and (4) as a hard constraint, they are implemented as soft constraints (added in the primary objective) following standard practices of approximate solvers such as Gurobi

Model	Hau	Ibo	Kin	Lug	Luo	Avg.	Fo	Got	Gsw	Avg
Full Fine-Tuning (FFT)	49.9	54.9	55.4	56.3	40.2	51.3	77.6	17.8	62	52.5
CPG (Ustun et al., 2020)	48.6	50.4	52.6	54.3	38.6	48.9	77.3	16.9	63.9	52.7
ZGUL	52.2	56	53.7	54.5	44.4	52.2	77.2	21.1	65	54.4
ICL-Llama-2-70b	64.3	61.2	59.2	60.1	47.3	58.4	79.1	36.0	71.8	62.3
ICL-GPT-3.5-turbo	54.5	69.2	57.8	63.7	46.4	58.3	81.2	37.9	72.2	63.8
ICL-GPT-4x	64.7	80.8	64.6	71.0	53.3	66.9	81.3	66.5	82.3	76.7
SSP(ICL)-llama-2-70b	57.6	62.6	56.0	57.6	43.1	55.4	78.5	37.9	73.5	63.3
SSP(ICL)-GPT-3.5-turbo	62.8	68.4	64.0	63.8	47.6	61.3	82.4	63.2	79.4	75.0
SSP(ICL)-GPT-4x	67.2	79.6	63.3	74.1	54.4	67.7	81.8	73.7	85.4	80.3
SSP(ZGUL)-Llama-2-70b	68.4	58	56.1	54.7	42.3	55.9	79.9	39.9	72.9	64.2
SSP(ZGUL)-GPT-3.5	61.1	68.9	62.1	67.1	51.4	62.1	82.8	67.5	77	75.8
SSP(ZGUL)-GPT-4x	72.5	79.8	71.4	77.4	55.1	71.2	82.2	71.5	85.6	79.8
w/o Conf. thresholding	71.3	81.9	69.2	74.6	52.7	69.9	82.8	57	81.4	73.7
w/o Label Coverage	71.1	79.8	71.4	77.4	55.1	71	82.2	71.6	85.6	79.8
w/o both (sim-based)	70.3	81.8	68	74.8	51.9	69.4	82.4	55.8	82.3	73.5
w/o ILP (Random)	64.1	77.6	61.5	66.1	46.6	63.2	80.6	54.8	80.9	72.1
Skyline $(GPT-4x)$	75.5	85.9	70.7	73.6	67.2	74.6	93.5	80.7	89.9	88

Table 1: Micro-F1 scores for African NER (left) and Germanic POS (right) (Statistical significance of bold numbers: McNemar p-value $= 0.008$ and 0.0004, respectively)

Family	Source languages	Source size
Germanic	$\{En, Is, De\}$	30000
African	${En, Am, Sw, Wo}$	19788
American	${En, Es}$	19998

Table 2: Size (No. of sentences) of Combined Source language datasets (En - English, Is - Icelandic, De - German, Am - Amharic, Sw - Swahili, Wo - Woloff, Es - Spanish)

Family	Test languages	Labels
Germanic	$\{Fo, Got, Gsw\}$	2370
African	{Hau, Ibo, Kin, Lug, Luo}	1100
American	{Aym, Gn, Nah}	501

Table 3: Size (No. of labels) of Target language datasets, *per language*, on average. (Fo - Faroese, Got - Gothic, Gsw - Swiss German, Hau - Hausa, Ibo - Igbo, Kin - Kinyarwanda, Lug - Luganda, Luo - Luo, Aym - Aymara, Gn - Guarani, Nah - Nahuatl)

 MasakhaNER [\(Adelani et al.,](#page-8-10) [2021\)](#page-8-10) for African NER, and AmericasNLI [\(Ebrahimi et al.,](#page-8-11) [2022\)](#page-8-11) for NLI task on the indigenous languages of Amer- icas. Overall, we use twelve low-resource test languages as target (e.g., Kinyarwanda, Faroese, and Aymara), and 2-4 source languages per dataset (e.g., Icelandic, Spanish and Swahili; always in- cluding English). Further details are in Appendix [C.](#page-15-0) Tables [2](#page-4-0) and [3](#page-4-1) show the languages and num- ber of examples in the source and target datasets respectively.

335 Recent studies have shown sensitivity of the out-**336** put to the template/format of input-output pairs **337** [w](#page-9-16)ritten in the prompt [\(Sclar et al.,](#page-9-15) [2023;](#page-9-15) [Voronov](#page-9-16) [et al.,](#page-9-16) [2024\)](#page-9-16). We follow the best template given **338** in [Sclar et al.](#page-9-15) [\(2023\)](#page-9-15) for NLI, while for sequence **339** labeling, we explore various templates on our own **340** and report our results on the best one. We refer **341** to Appendix [B](#page-10-1) for details and the exact templates **342** used for each of our tasks. **343**

For obtaining test set, we randomly sample 100 344 test samples for each target language for NER and **345** POS tasks. We justify this as each sentence has **346** multiple labels, bringing the total no. of instances **347** to be labeled per language to 2370 and 1100 for **348** POS and NER respectively. For the NLI task, we **349** sample 501 test samples (167 for each class: 'en- **350** tailment', 'contradiction' and 'neutral'). We report **351** statistical significance (in table captions) to justify **352** our evaluation. **353**

We also perform a careful contamination study, **354** following [\(Ahuja et al.,](#page-8-12) [2022\)](#page-8-12), by asking LLMs **355** to fill dataset card, complete sentence (and labels), **356** given partial sentence, and generate next few in- **357** stances of the dataset. As further detailed in Ap- **358** pendix [F,](#page-15-1) we do not observe any evidence of con- **359** tamination of these languages' test splits in the Ope- **360** nAI LLMs, suggesting that OpenAI LLMs have **361** likely not seen these test datasets during their train- **362** ing. **363**

4.2 Comparison Models **364**

Baselines: We compare our SSP approach with the **365** SoTA fine tuning models, as well as LLM-based **366** ICL methods using naive random exemplar selec- **367** tion. In particular, we fine-tune ZGUL – mBERT **368** Language Adapter-based SoTA zero-shot baseline **369** for NER and POS tagging, and mDeBERTa fine- **370**

Model	Aym	Gn	Nah	Avg.
mDeBerta ¹⁰⁰ (Laurer et al., 2022)	34.9	43.9	48.9	42.6
mDeBerta CL	33.9	47	46.9	42.6
ICL-GPT-3.5-turbo	38.2	41.7	35.3	38.4
ICL-GPT-4x	32.8	55.8	42.2	43.6
SSP(ICL)-GPT-3.5-turbo	38.4	38.8	43.2	40.1
SSP(ICL)-GPT-4x	37.5	58.5	51.8	49.3
SSP(ZGUL)-GPT-3.5	43.1	46	46.8	45.3
SSP(ZGUL)-GPT-4x	36	61.3	59.2	52.2
w/o Conf. thresholding	42.9	60.1	50.3	51.1
w/o Label Coverage	37	58.2	57.4	50.9
w/o both (sim-based)	34.3	59.7	57.1	50.4
w/o ILP (Random)	33.4	53.8	53.4	46.9
Skyline $(GPT-4x)$	55.6	49.2	60	54.9

Table 4: Micro-F1 scores for Americas NLI (Statistical significance of bold number: McNemar p-value = 0.054)

 tuned for NLI. We additionally utilize the public model mDeBERTa-v3-base-xnli-multilingual-nli- 2mil7 [\(Laurer et al.,](#page-8-13) [2022\)](#page-8-13) for NLI evaluation. We **term our own fine-tuned model as mDeBERTa** FT and the public model as mDeBERTa¹⁰⁰, as it was trained on 100 languages (while not covering any of our target languages). For POS and NER, we also add full parameter fine-tuning and Conditional Parameter Generation (CPG [\(Üstün et al.,](#page-9-4) [2020\)](#page-9-4)) baselines, fine-tuned using the same underlying LM (i.e. mBERT) as ZGUL.

 SSP Variants: We implement SSP with a se- ries of top-of-the-line LLMs – GPT-3.5-turbo [\(Ouyang et al.,](#page-9-0) [2022\)](#page-9-0), GPT-4x (GPT-4/GPT-4- Turbo) [\(Achiam et al.,](#page-8-0) [2023\)](#page-8-0), and LLaMa-2-70b [\(Touvron et al.,](#page-9-17) [2023\)](#page-9-17). If Stage I uses ICL, then the same LLM is used for both stages I and II. Alter- natively, ZGUL and mDeberta based methods are also used in Stage I of SSP.

 To understand the value of the ILP, we perform three ablations on exemplar selection strategy – (a) without confidence thresholding (for fine-tuned LM), (b) without label coverage and (c) without both, i.e. pure similarity-based. The ablations are conducted with the best performing underly-ing LLM i.e. GPT-4x.

 Skyline: To understand the current performance gap due to lack of target language training data, we also implement a skyline utilizing the avail- able data for target languages and perform *few-shot in-language similarity-based* exemplar selection (using Ada-v2 embeddings) for *in-language* ICL to **403** the LLM.

5 Results and Analysis **⁴⁰⁴**

We present the results for all tasks in Tables [1,](#page-4-2) 405 and [4.](#page-5-0) ICL-X represents ICL over an LLM X 406 with source language exemplars. SSP(*model*)-X 407 represents the use of model for Stage I followed by **408** LLM X for Stage II. In case ICL is used in Stage I, 409 then same LLM \overline{X} is used in both stages. 410

Analyzing the results, we first observe that all **411** ICL-X baselines perform much better than previ- **412** ous fine-tuning approaches for the 0-CLT task. This **413** reaffirms the importance of studying and improv- **414** ing in-context learning over very large language **415** models for our setting. 416

Comparing among SSP variants, it is not surpris- **417** ing that GPT-4 performance supercedes GPT-3.5, **418** which is much better than Llama2 70B. We next 419 compare ICL baselines and SSP variants, when us- **420** ing the same LLM. We find that SSP's two stage **421** workflow consistently outperforms ICL by signifi- **422** cant margins. In fact, in-language exemplars with **423** very noisy labels from stage 1 (E.g. for Got lan- **424** guage with GPT-3.5-Turbo) perform quite well. **425** These observations underscore the value of target **426** language exemplars in ICL, even at the cost of label **427** noise. **428**

Finally, we compare SSP with Stage I via ICL 429 over an LLM vs. via a fine-tuning baseline (ZGUL **430** or mDeBerta). Fine-tuning baseline for Stage I **431** has two benefits – it is cheaper (due to no LLM **432** calls in Stage I), and has prediction confidence that **433** can allow ILP to select highly confident Stage II **434** exemplars. Due to the latter, in two of the three **435** language groups, the use of a fine-tuning baseline **436** performs much better, and in the third group, it **437**

 is marginally behind due to weaker performance in one language (Gothic). This happens because ZGUL has a particularly poor performance on this language, leading to much noisier labels in Stage II exemplars.

 Overall, our best SSP solution uses a fine-tuning baseline (ZGUL or mDeBerta) for Stage I and GPT- 4 for Stage II, using its novel ILP-based exem- plar selection. It outperforms closest baselines by around 3 F1 pts, on average, establishing a new state of the art for zero-shot cross lingual transfer to low resource languages. The best SSP reported results are statistically significant compared to the best baseline using McNemar's test (p-values in Ta- bles 1 and 2 captions). We believe that our work is a significant advancement to the existing paradigm [\(Tanwar et al.,](#page-9-8) [2023;](#page-9-8) [Nambi et al.,](#page-9-10) [2023\)](#page-9-10), which is restricted to optimizing only one round of in- context learning. More detailed analysis on this follows in Appendix [E.](#page-15-2)

458 5.1 Ablation Study

 We now discuss the results of removing ILP compo- nents in Stage II exemplar selection. Tables [1,](#page-4-2) and [4](#page-5-0) (last four rows) report the impact of removing confidence thresholding constraint, label coverage constraint, both of these constraints (i.e., just using similarity) from the ILP. The final row removes ILP completely and presents results of random ex- emplars in Stage II. All these ablations are done on SSP with ZGUL/mDeBerta for Stage I, as only those output prediction probabilities.

 Impact of label coverage: We observe an aver- age gain of 1.3 F1 points over AmericasNLI task compared to the ablation model that does not en- sure label coverage as a constraint. To investigate further, we compute the average number of exem- plars for each label that are covered in the selected set for both methods, along with their label-wise F1 scores (see Figure [3\)](#page-7-0). We observe that the 'neu- tral' label is not sampled in most cases for *w/o label coverage* variant, while exactly one 'neutral' label is sampled in the SSP(mDeBerta), with la- bel constraint. We find that this happens as the smaller fine-tuned model mDeBerta-CL has very poor recall (0.24) for 'neutral' class and hence any selection strategy has a natural tendency to not sam- ple this label, unless enforced via a constraint. The 485 class-wise recall scores for SSP(DeBerta^{CL})-GPT4 with and without label coverage are presented in Table [7.](#page-15-3) We observe a difference of 22 recall points for 'neutral' class (57.6 vs 35.6) between the two

Model				Neu. Ent. Con. Macro-F1
DeBerta ^{CL}	34.7	-53	40.3	42.6
$SSP-V2$	51.7	53.4	51.4	52.2
$(w/o$ Label)	42.6 52.3 57.9			50.9

Table 5: Labelwise F1 scores for fine-tuned model (DeBerta-CL) and SSP-V2 variants w. and w/o Label coverage (GPT-4-Turbo)

ILP variants. An example illustrating this behavior **489** in terms of the exemplars selected by both methods **490** is shown in Figure [6](#page-16-0) (appendix). **491**

Impact of confidence thresholding: For se- **492** quence labeling tasks, confidence thresholding **493** plays a key role. This is validated from abla- **494** tion results in Table [1,](#page-4-2) wherein removing confi- **495** dence thresholding constraint from SSP leads to **496** 5.7 points drop for POS tagging (Germanic) and **497** 1.3 points for NER. The drop is particularly sig- **498** nificant (around 13.5 F1 points) for Gothic (Got), **499** which shows that not utilizing the confidence scores 500 can lead to drastic drop. This may be because per- **501** formance of ZGUL is already poor on Gothic (21 **502** F1 points), but confidence thresholding may have **503** likely compensated by picking higher quality exem- **504** plars. Removing thresholding would increase noise **505** in exemplars considerably, leading to the drop. **506**

We further study its impact by computing 507 the quality of Stage II exemplars selected by **508** SSP(mDeBerta), as well as all it's ablation variants. **509** We compute the label-wise precision over all $K \times N$ 510 (K=8, N=no. of test instances) samples for each tar- **511** get language, and then report their macro-average. **512** We observe for (Figure [3\)](#page-7-0) that the macro-precision 513 of selected exemplars by the complete ILP is con- **514** sistently higher than it's other ablation variants, $\frac{515}{2}$ the least value being of w/o both (similarity-based) **516** variant. This implies that the ILP is able to effec- **517** tively sample high-precision exemplars which, in **518** turn, gets translated into it's superior downstream **519** performance on the task. **520**

For completeness, we also show the exemplar **521** precision statistics for NER and POS (averaged **522** over their label-wise precision scores) in Figure [4.](#page-7-1) **523** The trends hold similar in the sense-that 'w/o con- **524** fidence' and 'similarity-based' variants have signif- **525** icantly lower precision than SSP. This is expected **526** because both these eschew confidence threshold- **527** ing, leading to sampling of lower-confidence pre- **528** dictions. This translates to worse downstream per- **529** formance (see Table [1\)](#page-4-2). On the other hand, the 'w/o **530** label coverage' variant is competitive in terms of **531**

Figure 3: Label-wise statistics for AmericasNLI: Left to right - Label-wise count per prompt, Precision of ICL exemplars, and F1 results (averaged over target languages) using different selection strategies (GPT-4-Turbo)

Figure 4: Precision of selected exemplars for African NER and Germanic POS

 both exemplars' precision as well as downstream performance for sequence labeling tasks. This so happens, because in sequence labeling, the issue of label coverage hardly matters, since as many labels as words in the ICL set are covered in the prompt, unlike classification tasks in which only K (in our case, 8) labels can be selected.

 We also note that w/o ILP (completely random selection) ablation performs much worse than SSP, showcasing the importance of carefully selecting the exemplar set.

543 5.2 Error Analysis

 We investigate scenarios where SSP approach sys- tematically fails compared to other methods. For NER, we find that ZGUL (fine-tuned LM) under- predicts the 'DATE' label. As a result, SSP al- most never samples this label in stage 2 exemplars, hence hurting the performance for this label. For NLI task, we observe that in order to ensure label coverage, SSP samples the underpredicted label 'neutral' but while doing so, also ends up hurting the performance for 'contradiction' label (as seen in last plot of Figure [3\)](#page-7-0).

6 Conclusions and Future Work **⁵⁵⁵**

We study the zero-shot cross-lingual transfer set- 556 ting for low-resource languages, when task-specific **557** training data is available for related medium re- **558** source languages. We present Self-Supervised **559** Prompting (SSP) – a novel two-stage framework **560** for the use of in-context learning over very large **561** language models. At a high-level, SSP first nois- **562** ily labels the target test set using source training **563** data (either by training a model/adapter) or by in- **564** context learning over an LLM. SSP then uses these **565** noisily labeled target data points as exemplars in **566** in-context learning over the LLM. A key techni- **567** cal contribution is the use of integer-linear pro- **568** gram that balances exemplar similarity, labeling **569** confidence and label coverage to select the exem- **570** plars for a given test point. Thorough experiments **571** on three NLP tasks, and twelve low-resource lan- **572** guages from three language groups show strongly **573** improved performance over published baselines, **574** obtaining a new state of the art in the setting. Abla- **575** tions show the value each ILP component in down- **576** stream performance. **577**

In the future, we seek to extend our technique to **578** more non-trivial applications such as cross-lingual **579** generation and semantic parsing. We also posit that **580** smaller fine-tuned models, when calibrated prop- **581** erly, can result in more efficient selection of exem- **582** plars to an LLM, as compared to poorly calibrated **583** counterparts, in terms of downstream performance. **584** We leave a careful and systematic investigation **585** into this hypothesis for future work. Moreover, **586** we currently cover the languages having Roman 587 scripts only, but, we seek to extend our work for **588** non-Roman script languages as well. **589**

7 Limitations **⁵⁹⁰**

We show all our results and ablations on the recent 591 state-of-the-art LLMs including GPT4. The infer- **592** ence for these LLMs is expensive, and makes the model deployment infeasible. Other potential limi- tations are extending our method to tasks such as fact checking, in which the LLMs suffer from *hal- lucinations* and overprediction issues. The reason why we don't use LLM logits in ILP framework is because they are not openly released by OpenAI and hence, there becomes a need to rely on smaller fine-tuned models - which can potentially lead to sub-optimal downstream performance, in case the fine-tuned models are poorly calibrated. Another serious implication of using LLMs for non-roman script languages is unreasonably high *fertility* (to- kens per word split) of the LLM tokenizers, which increases the cost as well as strips the input prompt, which is not desirable.

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⁸⁰² A Implementation and Hyperparameter **⁸⁰³** Details

We use Azure OpenAI service ^{[3](#page-10-2)} for all experi- ments involving GPT-3x and GPT-4x models. For 806 LLama-2-70b, we use the together API^{[4](#page-10-3)}. We set temperature as 0.0 consistently for all our exper- iments, making our results directly reproducible. The max_tokens (max. no. of generated tokens) parameter is set to 1024 for POS and NER tasks, while 15 for the NLI. For all experiments, the no. of exemplars (M) is fixed to 8 for uniform comparison. For ILP solver, we use Python's gurobipy [5](#page-10-4) **814** package.

A.1 Estimating confidence \hat{y}_k^i

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 For NLI task, the model always predicts a single label: 'neutral', 'contradiction' or 'entailment'. We simply apply softmax on the class logits for the pre-**dicted label to compute the confidence** \hat{y}_j^i (for i^{th} test instance).

 In sequence labeling tasks, suppose for an in-**put sentence having words:** $\{w_1, w_2, ..., w_T\}$, 823 the model predicts labels $\{o_1, o_2, ..., o_T\}$ with 824 probabilities $\{\hat{p}_1, \hat{p}_2, ..., \hat{p}_T\}$. Let LabelSet be $\{l_1, l_2, ..., l_N\}$. We compute confidence \hat{y}_l for each label for a given test example as follows:

for $k \leftarrow 1$ to N **do** $\hat{y}_k \leftarrow 0$ \triangleright init each label's confidence **c**_k $\leftarrow 0$ \triangleright init each label's count **830** end for **for** $i \leftarrow 1$ to T do **for** $i \leftarrow 1$ to N do **if** $l_j == o_i$ **then** $\hat{y}_j \leftarrow \hat{y}_j + \hat{p}_i$ \triangleright **Update** \hat{y}_j 835 c_j ← c_j + 1 \triangleright increase counter 836 **end if 837** end for **838** end for **for** $k \leftarrow 1$ to N do $\hat{y}_k = \hat{y}_k/c_k$ \triangleright average over all occurrences **841** end for 842 This outputs the confidence scores \hat{y}_l for a given example, with those not predicted in a sequence having 0 value.

B Prompt details 845

Prompts for the Named Entity Recognition (NER) **846** and Part of Speech Tagging (POS) tasks are pre- **847** sented in the tab separated format shown in [B.0.2](#page-10-5) 848 and [B.0.3](#page-11-0) respectively. 849

Prompts for Natural Language Inference (NLI) **850** initially used the framework in [Ahuja et al.](#page-8-4) [\(2023\)](#page-8-4) **851**

. To improve our performance, we changed the **852** prompt to use [Sclar et al.](#page-9-15) [\(2023\)](#page-9-15)'s framework, **853** where the authors performed an exhaustive search 854 over tokens used for a prompt in order to find the **855** prompt with optimal performance. This increased **856** Macro F1 score by atleast 10% across all the tested **857** languages. We use the same prompt across all mod- **858** els used in our experiments. **859**

B.0.1 Natural Language Inference (NLI) 860

Task Description: You are an NLP assistant whose **861** purpose is to solve Natural Language Inference **862** (NLI) problems. NLI is the task of determining **863** the inference relation between two (short, ordered) **864** texts: entailment, contradiction, or neutral. Answer **865** as concisely as possible in the same format as the **866** examples below: 867 **Input format:** 868 Premise: {premise}, Hypothesis: {hypothesis}, 869 **Output format:** 870 Answer: {output} 871 Verbalizer: **872** match the one-word response from the model (neu- **873**

B.0.2 Named Entity Recognition (NER) **875**

Task Description: Tag the following sentence ac- **876** cording to the BIO scheme for the NER task, using **877** the tags PER (person), LOC (location), ORG (or- **878** ganization) and DATE (date). Follow the format **879** specified in the examples below: 880 **Input format:** 881 **Sentence:** $w_1 w_2 ... w_T$ 882 Output format: **883** Tags: **884** w_1 <TAB $>o_1$ 885 w_2 <TAB $>o_2$ 886 ... **887** w_T <TAB $>o_T$ 888 Verbalizer: **889** Extract the sequence of labels $o_1, o_2, \ldots o_3$ from 890 generated response. 891

tral, contradiction or entailment) **874**

³ [https://azure.microsoft.com/en-in/products/ai](https://azure.microsoft.com/en-in/products/ai-services/openai-service)[services/openai-service](https://azure.microsoft.com/en-in/products/ai-services/openai-service)

⁴ <https://www.together.ai/>

⁵ <https://pypi.org/project/gurobipy/>

892 B.0.3 Part of Speech (PoS) tagging

Task Description: Tag the following sentence ac- cording to the Part of Speech (POS) of each word. The valid tags are ADJ, ADP, ADV, AUX, CCONJ, DET, INTJ, NOUN, NUM, PART, PRON, PROPN, PUNCT, SCONJ, SYM, VERB, X. Follow the for-mat specified in the examples below:

899 Input format:

900 Sentence: $w_1 w_2 ... w_T$

901 Output format:

905 ...

902 Tags: **⁹⁰³** w1<TAB>o¹

⁹⁰⁴ w2<TAB>o²

906 w_T <TAB> o_T

907 Verbalizer:

908 Extract the sequence of labels $o_1, o_2, \ldots o_3$ from **909** generated response.

910 B.1 Verbalizer details for Tagging tasks

 The verbalizer for tagging tasks requires the LLM to output the words as well as the associated labels. The LLM's output may not be perfect, as it may fail to generate all words or associate a label with each word. As a result, we find the *Longest Com- mon Subsequence* between the words generated by the LLM and the words of the example. This is done using Dynamic Programming, as described in [\(Bergroth et al.,](#page-8-14) [2000\)](#page-8-14).

 Once we have found the longest common subse- quence, we assign the corresponding tags generated by the LLM to these words. If the tags are invalid, we assign a default tag (O for NER, and X for POS). Finally, for the words which don't have any tags associated with them, we assign the same default tag as before.

 It is to be noted that in most cases, the sentence generated by the LLM perfectly matches the origi- nal sentence. For GPT-4, less than 1% of the words fell into the category of having an invalid tag gen-erated, or not having the word generated.

932 B.2 Prompts for GSW Examples

 The base SSP-SIM prompts for the GSW examples highlighted in Figure [5](#page-12-0) are given below. Labels which are misclassified in the in-context exemplars are coloured in red, and the AUX labels which are to be flipped in the ablations are coloured in blue. It is interesting to note that examples 1 and 2 are similar, as example 1 is retrieved as an in-context exemplar for example 2.

B.2.1 Example 1 941

	Ds	Gueten	isch	immerhin	gsi		dass			ungerdesse	söfu	müed	bi	gsi		dass		ändlech	ha	chönne	go	schlofe	
CLT-SIM	DE ₁	NOUN	AUX	ADV	VERB	PUNCT SCONJ		PRON		ADV	VERB	ADJ	ADP	VERB		PUNCT SCONJ PRON		ADV	AUX	AUX	VERB	VERB	PUNCT
SSP-CLT-SIM	DET	NOUN	AUX	ADV	AUX		PUNCT SCONJ	PRON		ADV	ADV	ADJ	ADP	AUX		PUNCT SCONJ PRON		ADV	AUX	AUX	PART	VERB	PUNCT
SSP-CLT-SIM (Half AUX->VERB)	DFT	NOUN	AUX	ADV	AUX		PUNCT SCONJ	PRON		ADV	ADV	ADJ	ADP	AUX		PUNCT SCONJ PRON		ADV	AUX	AUX	PART	VERB	PUNCT
SSP-CLT-SIM (All AUX->VERB)	DFT	NOUN	VERB	ADV	VERB	PUNCT SCONJ		PRON		ADV	ADV	ADJ	ADP	VERB		PUNCT SCONJ PRON		ADV	AUX	AUX	VERB	VERB	PUNCT
Gold DET		NOUN	AUX	ADV	AUX	PUNCT SCONJ		PRON		ADV	ADV	ADJ	AUX	AUX		PUNCT SCONJ PRON		ADV	AUX	AUX	PART	VERB	PUNCT
		cha	der	ihri	Telefonnummere		αä		de	nimmsch	mou		unverbindlech		Kontakt	uuf							
CLT-SIM PRON		VERB	DET	ADJ	NOUN		VERB PUNCT		PRON	VERB	ADV		ADJ		NOUN	VERB PUNCT							
SSP-CLT-SIM PRON		AUX	PRON	PRON	NOUN		VERB PUNCT		PRON	VERB	ADV		ADJ		NOUN	ADP	PUNCT						
SSP-CLT-SIM _{PRON} (Half AUX->VERB)			AUX PRON PRON		NOUN		VERB PUNCT		PRON	VERB	ADV		ADJ		NOUN	ADP	PUNCT						
SSP-CLT-SIM PRON VERB PRON PRON (All AUX->VERB)					NOUN		VFRB PUNCT		DET	VERB	ADV		ADJ		NOUN	ADP	PUNCT						

Figure 5: Label flips for CLT-SIM and SSP-SIM, for POS tagging in Swiss-German (gsw). Incorrect labels are marked in red. SSP-SIM ablations include flipping half/all of the AUX labels in the prompt to VERB labels. Gold labels are given for reference.

Model				Neu. Ent. Con. Overall
DeBerta ^{CL}	24.3 72.7		38.7	45.2
$SSP-V2$	57.8	46.5	51.5	52.
$(w/o$ Label)	35.3	43.8	68.5	49 2

Table 7: Labelwise Recall for fine-tuned model (DeBerta-based) and ILP variants w. and w/o Label coverage (GPT-4-Turbo)

1273 Sentence: I cha der ihri Telefonnummere gä , de **1274** nimmsch mou unverbindlech Kontakt uuf . **1275** Tags: 1276 "'

1277

1278 C Source and Target Languages for each **¹²⁷⁹** task

Table 6: Languages and their codes

¹²⁸⁰ D NLI Label coverage Analysis

 We present an example of correct prediction made by SSP as compared to the version that doesn't en- sure label coverage in Figure [6](#page-16-0) (English translation in Fig. [7\)](#page-16-1).

¹²⁸⁵ E Qualitative Analysis: SSP-SIM

1286 We present the analysis for the gains obtained via 1287 **SSP-SIM** for Germanic POS in Figure [8.](#page-17-0) The con-**1288** fusion matrix difference between SSP-SIM and

CLT-SIM suggests that the model misclassifies aux- **1289** iliary verbs as verbs in CLT-SIM, and this is cor- **1290** rected in SSP-SIM. These errors are a consequence **1291** of the labels on the in-context exemplars the model **1292** receives, and not the tokens of the language itself. **1293**

We highlight this via the two Swiss-German POS **1294** examples in Figure [5.](#page-12-0) The misclassified verbs are **1295** corrected by SSP-SIM, and these labels are again **1296** misclassified when more than half of the labels in **1297** the in-context exemplars are corrupted. **1298**

F Data Contamination Analysis **¹²⁹⁹**

Following Ahuja et al. 2023, we conduct contami- **1300** nation tests on test datasets for our target languages. **1301** We perform the following tests: **1302**

- Dataset Card filling: Generate dataset card **1303** (supported languages, dataset description, #in- **1304** stances in each split, etc.) **1305**
- Completion: Given a few words, complete the **1306** sentence and their labels, and **1307**
- Generation using first few instances: Given **1308** first K instances (K=5) in the dataset, generate **1309** next few instances following them. **1310**

We observe negligible contamination as depicted 1311 in table 8. The 40% accuracy for Quechua was **1312** a result of all the labels passed for the exemplars **1313** being entailment labels. As a result, the model **1314** repeated the same label for all the other examples, **1315** giving a 40% accuracy. *Following these results, to* **1316** *prevent any chance of contamination, we remove* **1317** *Quechua from our evaluation dataset.* **1318**

Figure 6: Correct case of 'Neutral' detected by ILP (left), while 'w/o label' variant misses it (right). We note that exact one 'neutral' class has been sampled by ILP, while no 'neutral' is sampled in 'w/o label' version.

Figure 7: English translations of Exemplars shown in Fig. [6](#page-16-0)

Figure 8: Difference in confusion matrices between SSP-SIM and CLT-SIM for the POS task, summed across all languages (tags with less than 100 instances have been omitted). The increase in correct tags is visible along the diagonal, and misclassifications between VERB and AUX tags / NOUN and VERB tags have also improved.

Task	Card Filling	Completion	Few-Shot Generation
	Didn't predict correct	No match found	NA
NER	languages; no split sizes		
	generated		
	predicted 33 languages,	No match found	NA
POS	but doesn't contain any of		
	our target languages		
	predicts 3 languages, of	Refuses to generate for 3	Repeats the premise of
NLI	which only one matches	out of 4 target languages,	last instance, copies the
	with our target language	except for Quechua - for	premise string to
	(Quechua); wrong test	which it predicts 100% of	hypothesis as well (No
	split size	the tokens wrong and only	match detected)
		40% labels correctly (out)	
		of 10 instances)	

Table 8: Results of Contamination Study