# DAGGER CATEGORIES AND THE COMPLEX NUMBERS: AXIOMS FOR THE CATEGORY OF FINITE-DIMENSIONAL HILBERT SPACES AND LINEAR CONTRACTIONS

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ABSTRACT. We characterise the category of finite-dimensional Hilbert spaces and linear contractions using simple category-theoretic axioms that do not refer to norms, continuity, dimension, or real numbers. Our proof directly relates limits in category theory to limits in analysis, using a new variant of the classical characterisation of the real numbers instead of Solèr's theorem.

# 1. INTRODUCTION

The category **Hilb** of Hilbert spaces and bounded linear maps and the category **Con** of Hilbert spaces and linear contractions were both recently characterised in terms of simple category-theoretic structures and properties [6, 7]. For example, the structure of a *dagger* encodes adjoints of linear maps. Remarkably, none of these properties refer to analytic notions such as norms, continuity, dimension, real numbers, convexity or probability. For mathematicians, these characterisations give a surprisingly new perspective on Hilbert spaces—a well-studied structure in functional analysis. For theoretical physicists, they provide further justification for the category-theoretic approach to quantum mechanics [8].

In quantum computing and quantum information theory, the Hilbert spaces of interest are typically finite dimensional. Counterintuitively, finding axioms for categories with only *finite-dimensional* Hilbert spaces is more challenging than doing so for categories with *all* Hilbert spaces. The issue is that the natural categorytheoretic way to encode analytic completeness of the scalar field is in terms of directed colimits, but the existence of too many of these colimits also implies the existence of objects corresponding to infinite-dimensional spaces. Until now, the only known way to prove that the scalars are the real or complex numbers was to construct such an infinite-dimensional object and then apply Solèr's theorem [16]. Without such infinite-dimensional objects, a different approach is necessary.

An obvious way to bypass Solèr's theorem is to directly appeal to the classical characterisation of the reals as the unique Dedekind-complete Archimedean ordered field, but it is unclear how to prove that the scalars have these specific properties. DeMarr showed that the reals are also the unique partially ordered field with suprema of bounded increasing sequences [1]. Defining and ordering *positive* scalars based on

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Date: January 17, 2024.

the observations that each positive real is the squared norm of some vector and that contractions decrease norms, the supremum of a bounded increasing sequence of positive scalars can be recovered from the colimit of a directed diagram associated to the sequence. This explicit construction of limits in real analysis from limits in category theory, together with an extension of DeMarr's theorem for partially ordered semifields that embed nicely in a field, is our first contribution.

Our second contribution is a resolution of the tension between too few and too many directed diagrams having colimits. We identify the *bounded* ones—the ones that admit a cocone of monomorphisms—as striking this balance. Colimits of these diagrams suffice to construct suprema as explained above. Yet the class of finite-dimensional Hilbert spaces is closed under taking these colimits, essentially because the domain of a monomorphism has dimension at most that of its codomain.

The final ingredient is a category-theoretic property enforcing *finite dimensionality*. For this, we adopt a notion from operator algebra [12], which we call *dagger finiteness*, that is closely related to Dedekind finiteness from set theory [2], and Hopfianness from group theory [19]. It is defined purely in terms of the dagger and composition.

Combining these ideas, we give an axiomatic characterisation of the category **FCon** of finite-dimensional Hilbert spaces and linear contractions. The axioms are listed in Section 2. Most are identical to ones [7] for **Con**, so we keep our discussion of them brief. The high-level structure of our proof is also the same: show that the scalar localisation of a category satisfying our axioms is equivalent to the category of finite-dimensional Hilbert spaces and *all* linear maps, then identify the original category with the full subcategory of linear contractions. Much of the proof [7] of the characterisation of **Con** depends only on axioms that we retain. In Section 3 we recall the results that we reuse. Our proof then proceeds as follows:

- in Section 4, we construct the partially ordered semifield of positive scalars and show that it has suprema of bounded increasing sequences;
- in Section 5, we characterise the real and complex numbers among involutive fields with a partially ordered subsemifield of *positive* elements, and use this characterisation to deduce that the scalars are the real or complex numbers;
- in Section 6, we show that the inner-product space associated to each object is finite dimensional;
- in Section 7, we complete the characterisation of **FCon**, and outline how to eliminate the use of Solèr's theorem from the characterisation [7] of **Con**.

In Appendix A, assuming a different completeness axiom, we prove that the scalars are again the real or complex numbers, this time using a new characterisation of the positive reals among partially ordered semifields. Establishing finite dimensionality from this alternative axiom remains an open problem.

Future work will characterise the category **FHilb** of finite-dimensional Hilbert spaces and *all* linear maps using similar ideas, also accounting for quaternionic Hilbert spaces by removing the need for a tensor product altogether.

Acknowledgement. We are grateful to Andre Kornell for many discussions about this project, and particularly for the idea behind the proof of Proposition 43.

# 2. Axioms

A dagger is a contravariant endofunctor  $\_^{\dagger}$  such that  $X^{\dagger} = X$  for all objects Xand  $f^{\dagger\dagger} = f$  for all morphisms f. A dagger category is a category with a dagger. A morphism f is called a dagger monomorphism if  $f^{\dagger}f = 1$ , a dagger epimorphism if  $ff^{\dagger} = 1$ , and a dagger isomorphism if it is both a dagger monomorphism and a dagger epimorphism. Similar to how monomorphisms and epimorphisms  $X \to Y$ are often drawn as  $X \to Y$  and  $X \twoheadrightarrow Y$ , respectively, dagger monomorphisms and dagger epimorphisms  $X \to Y$  will be drawn as  $X \to Y$  and  $X \twoheadrightarrow Y$ , respectively. A functor F between dagger categories is a dagger functor if  $F(f^{\dagger}) = F(f)^{\dagger}$  for all morphisms f. An equivalence of dagger categories is a dagger functor that is full, faithful, and dagger essentially surjective, that is, every object in its codomain is dagger isomorphic to an object in its image.

A *dagger symmetric monoidal category* is a symmetric monoidal category with a dagger whose monoidal product is a dagger functor and whose symmetry, associator and unitors are dagger isomorphisms. A strong monoidal functor between dagger symmetric monoidal categories is *dagger strong monoidal* if it is a dagger functor and its coherence natural transformations are dagger isomorphisms. An *equivalence* of dagger symmetric monoidal categories is a dagger strong monoidal functor that is also an equivalence of dagger categories.

A dagger rig category is a dagger category equipped with dagger symmetric monoidal structures  $(\otimes, I)$  and  $(\oplus, O)$ , and natural dagger isomorphisms

$A\otimes (X\oplus Y) \longrightarrow (A\otimes X)\oplus (A\otimes Y),$	$X \otimes O \longrightarrow O,$
$(X \oplus Y) \otimes A \longrightarrow (X \otimes A) \oplus (Y \otimes A),$	$O\otimes X\longrightarrow O,$

such that Laplaza's coherence conditions [9] hold. An *equivalence* of dagger rig categories is an equivalence of dagger categories that is equipped with dagger strong monoidal structures for both  $\otimes$  and  $\oplus$ .

The goal of this article is to prove the following theorem.

**Theorem 1.** A locally small dagger rig category is equivalent to the dagger rig category **FCon** of finite-dimensional Hilbert spaces and linear contractions if and only if it satisfies Axioms 1 to 10 below.

2.1. Familiar axioms. Axioms 1 to 8 are all also axioms [7] for Con, so we keep our discussion of them here brief. The proof [7] that Con satisfies these axioms works *mutatis mutandis* for FCon.

**Axiom 1.** The monoidal structure  $(\oplus, O)$  is semicartesian (or affine).

This means that the object O is terminal. The dagger than makes it a zero object. Denote zero morphisms by 0, and the injections

 $X\cong X\oplus O \xrightarrow{1\oplus 0} X\oplus Y \qquad \text{and} \qquad Y\cong O\oplus Y \xrightarrow{0\oplus 1} X\oplus Y$ 

by  $i_1$  and  $i_2$ , respectively.

**Axiom 2.** The injections  $i_1: X \to X \oplus Y$  and  $i_2: Y \to X \oplus Y$  are *jointly epic*.

This means if  $fi_1 = gi_1$  and  $fi_2 = gi_2$ , then f = g.

**Axiom 3.** There is a morphism  $d: I \to I \oplus I$  such that  $i_1^{\dagger} d \neq 0 \neq i_2^{\dagger} d$ .

**Axiom 4.** The object *I* is *dagger simple*.

This means there are exactly two subobjects of I that have a dagger monic representative. These are necessarily  $0: O \rightarrow I$  and  $1: I \rightarrow I$ .

Axiom 5. The object I is a monoidal separator.

This means if  $f(x \otimes y) = g(x \otimes y)$  for all  $x: I \to X$  and  $y: I \to Y$ , then f = g.

Axiom 6. Every parallel pair of morphisms has a dagger equaliser.

A *dagger equaliser* is an equaliser that is a dagger monomorphism.

Axiom 7. Every dagger monomorphism is a kernel.

**Axiom 8.** For all epimorphisms  $x: A \to X$  and  $y: A \to Y$ , we have  $x^{\dagger}x = y^{\dagger}y$  if and only if there is an isomorphism  $f: X \to Y$  such that y = fx.

2.2. Completeness axiom. All characterisations of the real numbers involve an infinitary assumption, such as Dedekind or Cauchy completeness. To ensure that the field of scalars is  $\mathbb{R}$  or  $\mathbb{C}$ , we thus also need an infinitary axiom. The one used in the characterisation [7] of **Con**—that every directed diagram has a colimit—does not hold in **FCon**. For example, the directed diagram

$$\mathbb{C} \xrightarrow{i_1} \mathbb{C}^2 \xrightarrow{i_{1,2}} \mathbb{C}^3 \xrightarrow{i_{1,2,3}} \dots,$$

whose colimit in **Con** is infinite dimensional, does not have a colimit in **FCon**. We will instead use a weakening of this assumption, which may also be viewed as a categorification of the condition [1] on a partially ordered field that every bounded increasing sequence has a supremum.

A *sequential diagram* is a directed diagram generated by a sequence of objects and morphisms of the form

$$X_1 \xrightarrow{f_1} X_2 \xrightarrow{f_2} X_3 \xrightarrow{f_3} \cdots$$

which we sometimes abbreviate to  $(X_n, f_n)$ . Dually, a *cosequential diagram* is a codirected diagram generated by a sequence of objects and morphisms of the form

$$\cdots \xrightarrow{f_3} X_3 \xrightarrow{f_2} X_2 \xrightarrow{f_1} X_1,$$

which we sometimes also abbreviate to  $(X_n, f_n)$ .

Call a diagram *bounded* when it has a cocone of monomorphisms and *cobounded* when it has a cone of epimorphisms. The morphisms in a bounded diagram are necessarily monic whilst those in a cobounded diagram are necessarily epic.

Axiom 9. Every bounded sequential diagram has a colimit.

With the dagger, this is equivalent to every cobounded cosequential diagram having a limit. We will swap between considering colimits of bounded sequential diagrams and limits of cobounded cosequential diagrams as is convenient. Lemma 2. The category FCon satisfies Axiom 9.

Proof. Let  $(X_n, f_n)$  be a bounded sequential diagram in **FCon**, and let  $a_n \colon X_n \to A$  be a cocone of monomorphisms. Let C be the union in A of the images of the  $a_n$  and define  $c_n \colon X_n \to C$  by  $c_n x = a_n x$ . As C is a vector subspace of A, which is a finite-dimensional Hilbert space, the restriction of the inner product of A to C makes C into another finite-dimensional Hilbert space. The maps  $c_n$  then form a cocone on the diagram  $(X_n, f_n)$  in **FCon**. To see that it is an initial cocone, let  $b_n \colon X_n \to B$  be another cocone. Define  $m \colon C \to B$  by  $ma_n x = b_n x$ . This map is well defined because  $b_n$  is a cocone. It is also total because every element of C is of the form  $a_n x$  for some n and some  $x \in X_n$ . It is actually a linear contraction, and, in particular, the unique one satisfying  $mc_n = b_n$ .

2.3. Finiteness axiom. Both Con and FCon satisfy all of the axioms listed so far. Distinguishing between these two categories requires an axiom that encodes finite dimensionality. The notion of *dagger finiteness*, defined below, comes from operator algebra [12]. It is similar to the notion of *Dedekind finiteness* from set theory [2], which has also been adapted to other types of categories [10, 17].

**Definition 3.** An object X is called *dagger finite* when, for each  $f: X \to X$ , if  $f^{\dagger}f = 1$  then  $ff^{\dagger} = 1$ .

In other words, an object X is dagger finite if every dagger monic endomorphism on X is a dagger isomorphism.

**Axiom 10.** Every object is dagger finite.

We now show that this axiom holds in **FCon** and not in **Con**.

**Proposition 4.** A Hilbert space is dagger finite in **Con** if and only if it is finite dimensional. In particular, every object of **FCon** is dagger finite.

*Proof.* Let X be a Hilbert space. If X is finite dimensional, then X is dagger finite by the rank-nullity theorem. Conversely, suppose that X is infinite dimensional. Then it contains as a closed subspace a copy of the Hilbert space  $\ell_2(\mathbb{N})$  of square summable sequences. The right shift map, which sends the *n*th standard basis vector to the (n + 1)th standard basis vector, is a dagger monic endomorphism on  $\ell_2(\mathbb{N})$  that is not a dagger isomorphism. Pairing it with the identity map on the orthogonal complement of  $\ell_2(\mathbb{N})$  in X, we obtain a dagger monic endomorphism on X that is not a dagger isomorphism. Hence X is not dagger finite.

Similarly, an object of **Hilb** is dagger finite if and only if it is finite dimensional, and every object of **FHilb** is dagger finite. In Section 6, we will use an abstract version of this argument to prove that every dagger finite object X in a dagger rig category satisfying Axioms 1 to 9 is dagger isomorphic to  $I \oplus I \oplus \cdots \oplus I$ , from which it follows that the inner-product space corresponding to X is finite dimensional.

#### 3. The scalar localisation

Let **D** be a locally small dagger rig category that satisfies Axioms 1 to 10. Our goal for the remainder of the article is to prove that **D** is equivalent to **FCon**. We begin by recalling some constructions and results [7] that follow from Axioms 1 to 8.

The set

$$\mathcal{D} = \{a \colon I \to I \text{ in } \mathbf{D}\}$$

of scalars of **D** is a commutative absorption monoid under composition, with unit the identity morphism  $1: I \to I$  and absorbing element the zero morphism  $0: I \to I$ . If **D** is **Con** or **FCon**, then  $\mathcal{D}$  is the unit disk in  $\mathbb{R}$  or  $\mathbb{C}$ .

The monoidal structure  $\otimes$  on **D** induces an action of the absorption monoid  $\mathcal{D}$  on the category **D** called *scalar multiplication*. This action is defined by the equation

$$a \cdot f = \left( X \xrightarrow{\lambda^{-1}} I \otimes X \xrightarrow{a \otimes f} I \otimes Y \xrightarrow{\lambda} Y \right)$$

for each scalar  $a \in \mathcal{D}$  and each morphism  $f: X \to Y$  of **D**.

The monoidal localisation of a category at a class of morphisms, if it exists, is the initial lax monoidal functor out of the category that maps each morphism in the class to an isomorphism. The monoidal localisation  $\mathbf{C}$  of the category  $\mathbf{D}$  at the set

$$\mathcal{D}_{\times} = \{ a \in \mathcal{D} \, | \, a \neq 0 \}$$

exists, and has the following explicit description. Its objects are the objects of **D**. A morphism  $X \to Y$  in **C** is an equivalence class of the equivalence relation  $\simeq$  on

$$\{(f,a) \mid f \colon X \to Y \text{ in } \mathbf{D}, a \in \mathcal{D}_{\times} \}$$

where  $(f, a) \simeq (g, b)$  if and only if  $b \cdot f = a \cdot g$ . We write the equivalence class of (f, a) as the fraction f/a. The identity-on-objects functor  $U: \mathbf{D} \to \mathbf{C}$  given by  $f \mapsto f/1$  on morphisms exhibits  $\mathbf{D}$  as a full subcategory of  $\mathbf{C}$ .

The category **C** inherits the dagger rig structure of **D**. The action of  $\otimes$  and  $\oplus$  on the objects of **C** is the same as in **D**, and their action on the morphisms of **C** is

$$\frac{f}{a} \otimes \frac{g}{b} = \frac{f \otimes g}{ab}$$
 and  $\frac{f}{a} \oplus \frac{g}{b} = \frac{b \cdot f \oplus a \cdot g}{ab}$ .

Axioms 1 to 8 guarantee that the semiring

$$\mathcal{C} = \{a \colon I \to I \text{ in } \mathbf{C}\}$$

of scalars in  $\mathbf{C}$  is a field of characteristic zero. Let  $\mathcal{R}$  be the subfield of self-adjoint scalars. If  $\mathbf{D}$  is **FCon**, then  $\mathbf{C}$  is **FHilb**, and  $\mathcal{R}$  is  $\mathbb{R}$ , and  $\mathcal{C}$  is  $\mathbb{R}$  or  $\mathbb{C}$ .

Axioms 1 to 8 also ensure that  $X \oplus Y$  is a biproduct of X and Y in **C**. We use the usual matrix notation for morphisms between chosen biproducts. For example, given morphisms  $f_{jk}: X_k \to Y_j$  for all  $j, k \in \{1, 2\}$ , the matrix  $\begin{bmatrix} f_{11} & f_{12} \\ f_{21} & f_{22} \end{bmatrix}$  is the unique morphism  $f: X_1 \oplus X_2 \to Y_1 \oplus Y_2$  such that  $p_j f_{ik} = f_{jk}$  for all  $j, k \in \{1, 2\}$ . We now recall several known results about **C**. For proofs see earlier work [7].

**Lemma 5.** Every isomorphism in **D** is a dagger isomorphism.

**Lemma 6.** Every dagger monomorphism in C comes from D.

**Lemma 7.** The functor  $U: \mathbf{D} \to \mathbf{C}$  preserves dagger equalisers.

#### 4. The partially ordered semifield of positive scalars

In this section, we distinguish a set  $\mathcal{R}_+$  of *positive*<sup>1</sup> scalars of **C** and equip it with the structure of a *partially ordered strict semifield*. We recall the precise definition in due course. For now, it suffices to know that a strict semifield is like a field but without additive inverses, and a partially ordered strict semifield is a strict semifield equipped with a partial order that appropriately respects the semifield operations.

The positive scalars should correspond to the squared norms of vectors, so that one positive scalar is larger than another exactly when there is a contraction that maps a vector representing the first to a vector representing the second. Abstractly, these vectors and contractions are the objects and morphisms of the comma category  $I \downarrow U$ . Concretely, its objects are pairs (X, x) where  $x: I \to X$  is a morphism in  $\mathbf{C}$ , and its morphisms  $f: (X, x) \to (Y, y)$  are the morphisms  $f: X \to Y$  in  $\mathbf{D}$  such that y = fx. Let  $\mathcal{R}_+$  be the image of the map  $\mathcal{N}: I \downarrow U \to \mathcal{C}$  defined by  $(X, x) \mapsto x^{\dagger}x$ . Its elements will be called *positive* scalars.

Our initial goal is to define a partial order on  $\mathcal{R}_+$  so that  $\mathcal{N}: I \downarrow U \to \mathcal{R}_+$  is functorial. Every category **A** has a universal collapse to a partially ordered class, namely its *partially ordered reflection* Par **A**, which is described concretely below. By Proposition 8 below, the map  $\mathcal{N}: I \downarrow U \to \mathcal{R}_+$  factors through the canonical functor  $I \downarrow U \to \text{Par}(I \downarrow U)$ , and the resulting map  $\text{Par}(I \downarrow U) \to \mathcal{R}_+$  is bijective. This bijection induces the desired partial order on  $\mathcal{R}_+$ .

Concretely, the elements of Par A are the equivalence classes of the equivalence relation  $\simeq$  on the class of objects of A defined by  $A \simeq B$  if there exist morphisms  $A \to B$  and  $B \to A$ . Write [A] for the equivalence class of an object A of A. The partial order  $\geq$  of Par A is then defined by  $[A] \geq [B]$  if there is a morphism  $A \to B$ . The canonical functor  $\mathbf{A} \to \operatorname{Par} \mathbf{A}$  maps each object A to its equivalence class [A], and is uniquely determined on morphisms.

**Proposition 8.** Let (X, x) and (Y, y) be objects of  $I \downarrow U$ . Then  $(X, x) \simeq (Y, y)$  if and only if  $x^{\dagger}x = y^{\dagger}y$ .

Proposition 8 is really the analogue of Axiom 8 for C. To prove it, we need the following two lemmas. The first allows us to focus on those objects (X, x) of  $I \downarrow U$  where x is epic in C. The second relates the epimorphisms in C and those in D.

**Lemma 9.** For each object (X, x) of  $I \downarrow U$ , there is an object (E, e) of  $I \downarrow U$  with e epic in **C** such that  $(E, e) \simeq (X, x)$  and  $e^{\dagger}e = x^{\dagger}x$ .

*Proof.* As **C** has (epic, dagger monic) factorisations, there is an epimorphism  $e: I \to E$  and a dagger monomorphism  $m: E \to X$  such that x = me. As m is dagger monic, it actually comes from **D**. Hence  $[(E, e)] \ge [(X, x)]$ . Also  $[(X, x)] \ge [(E, e)]$  because  $m^{\dagger}x = m^{\dagger}me = e$ . Finally, we have  $x^{\dagger}x = e^{\dagger}m^{\dagger}me = e^{\dagger}e$ .

**Lemma 10.** The embedding  $U: \mathbf{D} \to \mathbf{C}$  preserves and reflects epimorphisms.

<sup>&</sup>lt;sup>1</sup>In this article, the terms *positive* and *negative* include zero, and the terms *increasing* and *decreasing* include equality.

*Proof.* Reflection follows from the faithfulness of U. For preservation, let  $e: A \to X$  be an epimorphism in **D**. Let  $f, g: X \to Y$  in **C**, and suppose that fe = ge. Now f = s/a and g = t/b for some  $s, t: X \to Y$  in **D** and some  $a, b \in \mathcal{D}_{\times}$ . As

$$\frac{se}{a} = \frac{s}{a}e = fe = ge = \frac{t}{b}e = \frac{te}{b}$$

we have  $(b \cdot s)e = b \cdot se = a \cdot te = (a \cdot t)e$ . As e is epic in **D**, actually  $b \cdot s = a \cdot t$ , and so f = s/a = t/b = g.

Proof of Proposition 8. By Lemma 9, we may assume, without loss of generality, that x and y are epic in  $\mathbb{C}$ . Now x = u/a and y = v/b for some morphisms  $u: I \to X$  and  $v: I \to Y$  in  $\mathbb{D}$  and some scalars  $a, b \in \mathcal{D}_{\times}$ , and u and v are epic in  $\mathbb{D}$  by Lemma 10. Cross-multiplying, we see that  $x^{\dagger}x = y^{\dagger}y$  if and only if  $(ub)^{\dagger}ub = (va)^{\dagger}va$ . By Axiom 8, the latter equation holds exactly when there is an isomorphism  $f: X \to Y$  in  $\mathbb{D}$  such that va = fub in  $\mathbb{D}$ , or equivalently, such that y = fx in  $\mathbb{C}$ . Certainly, if such an isomorphism exists, then  $(X, x) \simeq (Y, y)$ . Conversely, suppose that  $(X, x) \simeq (Y, y)$ . Then there are morphisms  $f: X \to Y$  and  $g: Y \to X$  in  $\mathbb{D}$  such that y = fx and x = gy. As fgy = fx = y and y is epic, actually fg = 1. Similarly gf = 1, so f is actually an isomorphism.

Remark 11. A morphism  $x: I \to X$  in **C** comes from **D** exactly when  $1 \ge x^{\dagger}x$ .

A semifield is a set S equipped with two binary operations + and  $\cdot$ , called *addition* and *multiplication*, and two distinct distinguished elements 0 and 1, called *zero* and *one*, such that (S, +, 0) and  $(S, \cdot, 1)$  are commutative monoids, multiplication distributes over addition, every non-zero element has a multiplicative inverse, and every element is annihilated by zero. Every field is a semifield. A semifield is called *strict* if it is not a field, or, equivalently, if 1 does not have an additive inverse.

## **Proposition 12.** The set $\mathcal{R}_+$ is a subsemifield of $\mathcal{C}$ .

*Proof.* We have  $0 = 0^{\dagger}0$  and  $1 = 1^{\dagger}1$ , so  $\mathcal{R}_+$  contains 0 and 1. For all morphisms  $x: I \to X$  and  $y: I \to Y$  in  $\mathbf{C}$ , we have

$$egin{aligned} &x^{\dagger}x+y^{\dagger}y=\Delta^{\dagger}(x\oplus y)^{\dagger}(x\oplus y)\Delta,\ &x^{\dagger}x\cdot y^{\dagger}y=\lambda(x\otimes y)^{\dagger}(x\otimes y)\lambda^{\dagger}, \end{aligned}$$

and, whenever  $x^{\dagger}x \neq 0$ , also

$$(x^{\dagger}x)^{-1} = (x^{\dagger}x)^{-\dagger}x^{\dagger}x(x^{\dagger}x)^{-1}$$

so  $\mathcal{R}_+$  is also closed under addition, multiplication, and inversion.

#### **Proposition 13.** The semifield $\mathcal{R}_+$ is strict.

*Proof.* Suppose that  $-1 \in \mathcal{R}_+$ . Then  $-1 = x^{\dagger}x$  for some  $x \colon I \to X$  in **C**. Then  $\begin{bmatrix} 1 \\ x \end{bmatrix} = 0$  because  $\begin{bmatrix} 1 \\ x \end{bmatrix}^{\dagger} \begin{bmatrix} 1 \\ x \end{bmatrix} = 1 + x^{\dagger}x = 0$  and **C** has dagger equalisers [20, Lemma II.5]. Hence  $1 = \pi_1 \begin{bmatrix} 1 \\ x \end{bmatrix} = \pi_1 0 = 0$ , which is a contradiction.

We use the following partially ordered variant of Fritz's preordered semifield [3], incorporating the condition  $1 \ge 0$  instead of restating it every time we need it.

**Definition 14.** A partially ordered strict semifield is a strict semifield equipped with a partial order  $\geq$  satisfying the following axioms:

- Addition is monotonic: if  $a \ge b$  then  $a + c \ge b + c$ .
- Multiplication is monotonic: if  $a \ge b$  then  $ac \ge bc$ .
- One is positive:  $1 \ge 0$ .

An ordered strict semifield is a partially ordered strict semifield whose order is total.

Remark 15. Following Fritz [3], the axioms above are tailored for semifields that are strict. In particular, they imply that  $a = 1a \ge 0a = 0$  for each element a; this is never true in a partially ordered field.

Examples of ordered strict semifields include the rational semifield  $\mathbb{Q}_+$ , the real semifield  $\mathbb{R}_+$ , and the tropical semifield  $\mathbb{TR}_+$ . The rational and real semifields are, respectively, the sets of positive rational and real numbers, with their usual addition, multiplication and ordering. The tropical semifield is also the set of positive real numbers with its usual multiplication and ordering, but with 'addition' given by maximum rather than sum.

**Proposition 16.** The semifield  $\mathcal{R}_+$  is a partially ordered strict semifield with the partial order induced by the bijection  $\operatorname{Par}(I \downarrow U) \to \mathcal{R}_+$  defined by  $[(X, x)] \mapsto x^{\dagger}x$ .

*Proof.* Let  $x: I \to X$ ,  $y: I \to Y$  and  $z: I \to Z$  in **C**, and suppose that  $x^{\dagger}x \ge y^{\dagger}y$ , that is, that there is a morphism  $f: X \to Y$  in **D** such that y = fx. As

$$(y \oplus z)\Delta = (fx \oplus z)\Delta = (f \oplus 1)(x \oplus z)\Delta$$

and  $f \oplus 1$  is in **D**, we have  $x^{\dagger}x + z^{\dagger}z \ge y^{\dagger}y + z^{\dagger}z$ , so addition is monotonic. As

$$(y \otimes z)\lambda^{\dagger} = (fx \otimes z)\lambda^{\dagger} = (f \otimes 1)(x \otimes z)\lambda^{\dagger}$$

and  $f \otimes 1$  is in **D**, we have  $x^{\dagger}x \cdot z^{\dagger}z \ge y^{\dagger}y \cdot z^{\dagger}z$ , so multiplication is also monotonic. Finally  $1 \ge 0$  because  $0 = 0 \circ 1$  and 0 is in **D**.

Remark 17. The functor  $\mathcal{N}: I \downarrow U \to \mathcal{R}_+$  exhibits the partially ordered semifield  $\mathcal{R}_+$  of positive scalars as a decategorification of the rig category  $I \downarrow U$ . Direct sums become addition, tensor products become multiplication, and morphisms become the partial order. This decategorification mirrors the analogy between the operators on a Hilbert space and the complex numbers.

Our goal for the remainder of this section is to prove the following proposition.

**Proposition 18.** The partially ordered strict semifield  $\mathcal{R}_+$  has suprema of bounded increasing sequences and these are preserved by every endomap of the form  $a + \_$ .

The analogous statement about **D** is that it has limits of cobounded cosequential diagrams and these are preserved by every endofunctor of the form  $X \oplus \_$ . The existence of these limits is the dual of Axiom 9. Their preservation is the dual of the following proposition.

**Proposition 19.** Every endofunctor on **D** of the form  $X \oplus \_$  preserves colimits of bounded sequential diagrams.

To prove this proposition, we will use the following three lemmas.

**Lemma 20.** In a semiadditive category in which every split monomorphism is a normal monomorphism, the diagram

$$A_1 \xrightarrow[]{s_1} A \xrightarrow[]{r_2} A_2$$

is a biproduct if and only if

$$r_1 s_1 = 1,$$
  $r_2 s_2 = 1,$   $r_2 = \operatorname{coker}(s_1),$   $r_1 s_2 = 0.$ 

*Proof.* The morphism  $[s_1 \ s_2]: A_1 \oplus A_2 \to A$  is a section of  $[r_1]: A \to A_1 \oplus A_2$ because  $\begin{bmatrix} r_1 \\ r_2 \end{bmatrix} \begin{bmatrix} s_1 & s_2 \end{bmatrix} = \begin{bmatrix} r_1 s_1 & r_1 s_2 \\ r_2 s_1 & r_2 s_2 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = 1$ . As it is then a normal monomorphism, it is an isomorphism if it has cokernel zero.

Suppose that  $f[s_1 s_2] = 0$ . Then  $fs_1 = 0$  and so  $f = f_2 r_2$  for some morphism  $f_2$ . But then  $0 = fs_2 = f_2r_2s_2 = f_2$ , and so  $f = 0r_2 = 0$ . Hence coker $[s_1 \ s_2] = 0$ . 

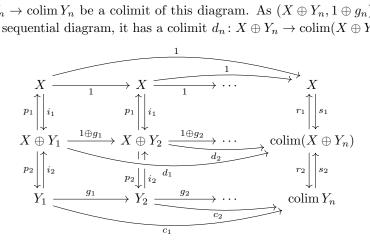
**Lemma 21.** In a dagger category with finite dagger biproducts and dagger equalisers. if  $m: A \to X$  and  $e: X \to A$  satisfy em = 1, then  $e = m^{\dagger}$  if and only if m is dagger monic and e is dagger epic.

Proof. The only if direction is trivial. The if direction follows from the equation  $m^{\dagger}m + ee^{\dagger} = 1 + 1 = m^{\dagger}e^{\dagger} + em$  because the dagger category has finite dagger biproducts and dagger equalisers [20, Lemma 2.9]. 

**Lemma 22.** Every normal monomorphism in **D** is dagger monic. Dually, every normal epimorphism in  $\mathbf{D}$  is dagger epic.

*Proof.* Let  $m: A \to X$  be a normal monomorphism in **D**. By Axiom 7, there is a morphism  $f: X \to Y$  in **D** such that m is a kernel of f. Let  $k: K \to X$  be a dagger kernel of f. Then there is an isomorphism  $u: A \to K$  in **D** such m = ku. But u is a dagger isomorphism by Lemma 5. Hence m, being the composite of two dagger monomorphisms, is itself dagger monic.  $\square$ 

*Proof of Proposition 19.* Let  $(Y_n, g_n)$  be a bounded sequential diagram in **D**, and let  $c_n: Y_n \to \operatorname{colim} Y_n$  be a colimit of this diagram. As  $(X \oplus Y_n, 1 \oplus g_n)$  is also a bounded sequential diagram, it has a colimit  $d_n \colon X \oplus Y_n \to \operatorname{colim}(X \oplus Y_n)$ .



By universality of d, there is a unique morphism  $r_2$ : colim $(X \oplus Y_n) \to \operatorname{colim} Y_n$ such that  $r_2d_n = c_np_2$  for each n. Also, letting  $s_1 = d_1i_1$ , we have  $s_1 = d_ni_1$ for each n. As colimits commute with colimits, the morphism  $r_2$  is actually a cokernel of  $s_1$  in **D**, and thus, by Lemma 7, also in **C**. Similarly, there are unique morphisms  $s_2$ : colim  $Y_n \to$ colim $(X \oplus Y_n)$  and  $r_1$ : colim $(X \oplus Y_n) \to X$  such that  $p_1 = r_1 d_n$  and  $d_n i_2 = s_2 c_n$  for each n, and  $r_1$  is a cokernel of  $s_2$  in **C**. Now  $r_2 s_2 = 1$ because  $r_{2}s_{2}c_{n} = r_{2}d_{n}i_{2} = c_{n}p_{2}i_{2} = c_{n}$  and the morphisms  $c_{n}$  are jointly epic. Also  $r_1s_1 = r_1d_1i_1 = p_1i_1 = 1$ . By Lemma 20, the tuple  $(\operatorname{colim}(X \oplus Y_n), s_1, s_2, r_1, r_2)$ is a biproduct of X and colim  $Y_n$  in C. It follows that  $s_1$  and  $s_2$  are, respectively, kernels in C of  $r_1$  and  $r_2$ . By Lemma 22, the morphisms  $s_1$  and  $s_2$  are dagger monic, and the morphisms  $r_1$  and  $r_2$  are dagger epic. It follows, by Lemma 21, that  $r_1 = s_1^{\dagger}$ and  $r_2 = s_2^{\dagger}$ . Hence  $\begin{bmatrix} r_1 \\ r_2 \end{bmatrix}$ : colim $(X \oplus Y_n) \to X \oplus$  colim  $Y_n$  is a dagger isomorphism, and so, by Lemma 6, comes from **D**. Thus  $\begin{bmatrix} r_1 \\ r_2 \end{bmatrix} d_n \colon X \oplus Y_n \to X \oplus \operatorname{colim} Y_n$  is another colimit cocone on the diagram  $(X \oplus Y_n, 1 \oplus g_n)$ . Finally  $\begin{bmatrix} r_1 \\ r_2 \end{bmatrix} d_n = 1 \oplus c_n$ because  $p_1 \begin{bmatrix} r_1 \\ r_2 \end{bmatrix} d_n = r_1 d_n = p_1$  and  $p_2 \begin{bmatrix} r_1 \\ r_2 \end{bmatrix} d_n = r_2 d_n = c_n p_2$ .  $\square$ 

To prove Proposition 18 from these properties of **D**, we consider first the forgetful functor  $\Pi: I \downarrow U \to \mathbf{D}$  and then the functor  $\mathcal{N}: I \downarrow U \to \mathcal{R}_+$  defined in Section 4.

### **Proposition 23.** The functor $\Pi$ creates limits of diagrams that have a cone.

Proof. Let  $f_r: (X_j, x_j) \to (X_k, x_k)$  where  $r: j \to k$  be a diagram in  $I \downarrow U$  that has a cone  $t_j: (Y, y) \to (X_j, x_j)$ . Suppose that the diagram  $f_r: X_j \to X_k$  in **D** has a limit cone  $s_j: X \to X_j$ . There is a unique morphism  $t: Y \to X$  in **D** such that  $t_j = s_j t$  for all j. Let  $x: I \to X$  be a morphism in **C** such that  $s_j: (X, x) \to (X_j, x_j)$  in  $I \downarrow U$  for each j. Then  $s_j x = x_j = t_j y = s_j t y$  in **C** for each j. As the  $s_j$  are jointly monic in **D** and, similarly to Lemma 10, the functor U reflects jointly monic wide spans, actually x = ty. Conversely, letting x = ty, we have  $s_j: (X, x) \to (X_j, x_j)$  in  $I \downarrow U$ , so there is a unique cone in  $I \downarrow U$  above  $s_j$ . Also  $t: (Y, y) \to (X, x)$ , and if  $t': (Y, y) \to (X, x)$  satisfies  $s_j t' = t_j$  for all j, then this also holds in **D**, so t' = t.  $\Box$ 

Remark 24. As  $\Pi$  creates pushouts [15, Theorem 3] (see also [14, Proposition 3.3.8]), it also creates epimorphisms. This means that a morphism  $e: (X, x) \to (Y, y)$  in  $I \downarrow U$  is epic if and only if the morphism  $e: X \to Y$  in **D** is epic.

For each object (A, a) of  $I \downarrow U$ , let  $(A, a) \oplus \_: I \downarrow U \to I \downarrow U$  be the functor that maps  $f: (X, x) \to (Y, y)$  to  $1 \oplus f: (A \oplus X, \begin{bmatrix} a \\ x \end{bmatrix}) \to (A \oplus Y, \begin{bmatrix} a \\ y \end{bmatrix})$ .

**Corollary 25.** The category  $I \downarrow U$  has limits of cobounded cosequential diagrams and these are preserved by every endofunctor of the form  $(X, x) \oplus \_$ .

*Proof.* Existence follows from Axiom 9, Proposition 23, and Remark 24. As

$$\begin{array}{ccc} I \downarrow U & \stackrel{\Pi}{\longrightarrow} \mathbf{D} \\ (X,x) \oplus \_ \downarrow & & \downarrow X \oplus \_ \\ I \downarrow U & \stackrel{\Pi}{\longrightarrow} \mathbf{D} \end{array}$$

commutes, preservation follows from Propositions 19 and 23 and Remark 24.  $\Box$ 

Let  $I \downarrow U$  be the full subcategory of  $I \downarrow U$  spanned by the objects (X, x) where x is epic in **C**, and let J denote the canonical embedding  $I \downarrow U \hookrightarrow I \downarrow U$ . For each morphism  $f: (X, x) \to (Y, y)$  in  $I \downarrow U$ , the morphism  $f: X \to Y$  is epic in **C** by epimorphism cancellation, and thus epic in **D** by Lemma 10.

Remark 26. The functor  $\mathcal{N} \circ J$  is surjective on objects and full by Lemma 9, and its domain category is thin. Hence all diagrams in  $\mathcal{R}_+$  factor through it, as do all cones and cocones on such diagrams.

**Lemma 27.** Every bounded increasing sequence in  $\mathcal{R}_+$  factors through  $\mathcal{N}$  via a cobounded cosequential diagram in  $I \downarrow U$ .

*Proof.* Regarding  $\mathcal{R}_+$  as a category, increasing sequences and their upper bounds are precisely cosequential diagrams and their cones. These factor through  $\mathcal{N} \circ J$  as described in Remark 26. Composing with J then gives a factorisation of them through  $\mathcal{N}$ . The components of the resulting cones are epic by Remark 24.

**Lemma 28.** The functor  $\mathcal{N}$  preserves limits.

*Proof.* Given a diagram D in  $I \downarrow U$ , every cone on  $\mathcal{N} \circ D$  is the image by  $\mathcal{N}$  of a cone on D. To construct the lifted cone, Lemma 9 gives its apex, fullness of  $\mathcal{N}$  gives its components, and epicness of its apex gives its naturality.

We are now ready to prove Proposition 18.

Proof of Proposition 18. For existence, combine Corollary 25 and Lemmas 27 and 28. As  $a = \mathcal{N}(X, x)$  for some object (X, x) of  $I \downarrow U$  by Lemma 9, and the diagram

commutes, preservation follows from Corollary 25 and Lemma 28.

# 5. Recovering the real or complex numbers

In operator algebra, a partial order is called *monotone sequentially complete* if every bounded increasing sequence has a supremum. DeMarr [1] showed that every partially ordered field that is monotone sequentially complete is isomorphic to  $\mathbb{R}$ . Whilst it is easy to define a partial order on the field  $\mathcal{R}$  that is compatible with the field operations (see Lemma 39 below), attempting to construct suprema of bounded increasing sequences in  $\mathcal{R}$  directly from nice category-theoretic assumptions like Axiom 9 seems futile. On the other hand, we already know that the partial order  $\leq$ on  $\mathcal{R}_+$  is monotone sequentially complete; perhaps there is a DeMarr-like theorem about partially ordered strict semifields that we might use instead? To answer this question, we need a better understanding of the properties of suprema and infima in partially ordered strict semifields.

First of all, due to the existence of multiplicative inverses, suprema and infima are always compatible with multiplication.

**Proposition 29** (Compatibility with multiplication). Let S be a partially ordered strict semifield. For all decreasing sequences  $a_n$  and  $b_n$  in S,

(1) if  $\inf a_n$  and  $\inf b_n$  exist, then

$$\inf a_n b_n = \inf a_n \inf b_n;$$

(2) if  $\inf a_n b_n$  and  $\inf b_n$  exist and  $\inf b_n \neq 0$ , then

$$\inf a_n = \frac{\inf a_n b_n}{\inf b_n};$$

(3) if  $\inf b_n$  exists and  $\inf b_n \neq 0$ , then

$$\sup \frac{1}{b_n} = \frac{1}{\inf b_n}$$

Dually, for all increasing sequences  $a_n$  and  $b_n$  in S,

(4) if  $\sup a_n$  and  $\sup b_n$  exist then

$$\sup a_n b_n = \sup a_n \sup b_n;$$

(5) if  $\sup a_n b_n$  and  $\sup b_n$  exist, and  $b_1 \neq 0$ , then

$$\sup a_n = \frac{\sup a_n b_n}{\sup b_n};$$

(6) if  $\sup b_n$  exists and  $b_1 \neq 0$ , then

$$\inf \frac{1}{b_n} = \frac{1}{\sup b_n}$$

**Lemma 30** (Inversion is anti-monotonic). In a partially ordered strict semifield, if  $a \leq b$  and  $a \neq 0$  then  $b \neq 0$  and  $\frac{1}{b} \leq \frac{1}{a}$ .

*Proof.* If 
$$b = 0$$
 then  $0 \le a \le 0$  so  $a = 0$ . Hence  $b \ne 0$  and  $\frac{1}{b} = \frac{a}{ab} \le \frac{b}{ab} = \frac{1}{a}$ .  $\Box$ 

*Proof of Proposition 29.* Let  $a_n$  and  $b_n$  be decreasing sequences in S. If  $a_n$  is eventually zero then (1) and (2) hold trivially, so assume that all  $a_k$  are non-zero.

For (1), suppose that  $\inf a_n$  and  $\inf b_n$  exist. For all k, we have  $\inf a_n \inf b_n \leq a_k b_k$ . Suppose that  $c \leq a_k b_k$  for each k. Then, for each k, as  $c/a_k \leq c/a_j \leq b_j$  for each  $j \geq k$ , we have  $c/a_k \leq \inf b_n$ , and thus  $c \leq a_k \inf b_n$ . If  $\inf b_n = 0$ , then  $c = 0 = \inf a_n \inf b_n$ . If  $\inf b_n \neq 0$ , then  $c/\inf b_n \leq a_k$  for each k, so  $c/\inf b_n \leq \inf a_n$ , and thus  $c \leq \inf a_n \inf b_n$ .

For (2), suppose that  $\inf a_n b_n$  and  $\inf b_n$  exist, and that  $\inf b_n \neq 0$ . For all k, we have  $\inf a_n b_n \leq a_j b_j \leq a_k b_j$  for each  $j \geq k$ , so  $\inf a_n b_n \leq a_k \inf b_n$ , and thus  $\inf a_n b_n / \inf b_n \leq a_k$ . If  $c \leq a_k$  for each k, then  $c \inf b_n \leq cb_k \leq a_k b_k$  for each k, so  $c \inf b_n \leq \inf a_n b_n$  and thus  $c \leq \inf a_n b_n / \inf b_n$ .

For (3), suppose that  $\inf b_n \neq 0$ . For all k, as  $\inf b_n \leq b_k$ , also  $1/\inf b_n \geq 1/b_k$ . If  $c \geq 1/b_k$  for all k, then  $1/c \leq b_k$  for all k, so  $1/c \leq \inf b_n$ , and thus  $c \geq 1/\inf b_n$ .

The dual statements about suprema may be proved similarly.

**Proposition 31.** A partially ordered strict semifield is monotone sequentially complete if and only if it has infima of (bounded) decreasing sequences.

*Proof.* Suppose that it has infima of decreasing sequences. Let  $a_n$  be an increasing sequence. If it is identically zero, then  $\sup a_n = 0$ . Otherwise there is a j such that  $a_k \neq 0$  for all  $k \ge j$ , so  $\sup a_n = \sup_n a_{n+j}$  exists by Proposition 29 (3).

Suppose now that it has suprema of bounded increasing sequences. Let  $a_n$  be a decreasing sequence. If its only lower bound is 0, then  $\inf a_n = 0$ . Otherwise it has a non-zero lower bound c. Then all  $a_k$  are also non-zero. The sequence  $1/a_n$  has upper bound 1/c, so  $\inf a_n$  exists by Proposition 29 (6).

Due to the lack of additive inverses, compatibility of suprema and infima with addition is not guaranteed. Nevertheless, such compatibility is still quite a natural property of partially ordered strict semifields, holding, for example, in  $\mathbb{R}_+$  and  $\mathbb{TR}_+$ .

**Proposition 32** (Compatibility with addition). Let S be a partially ordered strict semifield. The following statements are equivalent:

(1) for all increasing sequences  $b_n$  in S, if  $\sup b_n$  exists, then

 $\sup(1+b_n) = 1 + \sup b_n;$ 

(2) for all increasing sequences  $a_n$  and  $b_n$  in S, if  $\sup a_n$  and  $\sup b_n$  exist, then

 $\sup(a_n + b_n) = \sup a_n + \sup b_n;$ 

(3) for all decreasing sequences  $b_n$  in S, if  $\inf b_n$  exists and  $\inf b_n \neq 0$ , then

 $\inf(1+b_n) = 1 + \inf b_n;$ 

(4) for all decreasing sequences  $a_n$  and  $b_n$  in S, if  $\inf a_n$  and  $\inf b_n$  exist, and  $\inf a_n \neq 0$  and  $\inf b_n \neq 0$ , then

$$\inf(a_n + b_n) = \inf a_n + \inf b_n$$

*Proof.* Clearly (2) implies (1). For (1) implies (2), first observe that

$$a + \sup b_n = a\left(1 + \sup \frac{b_n}{a}\right) = a \sup\left(1 + \frac{b_n}{a}\right) = \sup(a + b_n)$$

for all  $a \in S$  and all decreasing sequences  $b_n$  in S. Hence

$$\sup_{m} a_m + \sup_{n} b_n = \sup_{m} (a_m + \sup_{n} b_n) = \sup_{m} \sup_{n} (a_m + b_n).$$

For all k, we have  $\sup_m \sup_n (a_m + b_n) \ge \sup_n (a_k + b_n) \ge a_k + b_k$ . Suppose that  $c \ge a_\ell + b_\ell$  for all  $\ell$ . For all j and k, letting  $\ell = \max(j, k)$ , we have  $c \ge a_\ell + b_\ell \ge a_j + b_k$ . Hence  $c \ge \sup_n (a_j + b_n)$  for all j, and so  $c \ge \sup_m \sup_n (a_m + b_n)$ .

For (1) implies (3),

$$1 + \inf b_n = 1 + \frac{1}{\sup \frac{1}{b_n}} = \frac{\sup \frac{1}{b_n} + 1}{\sup \frac{1}{b_n}} = \frac{\sup \frac{1+b_n}{b_n}}{\sup \frac{1}{b_n}} = \frac{1}{\sup \frac{1}{1+b_n}} = \inf(1+b_n).$$
  
Dually, (3) is equivalent to (4), and (3) implies (1).

A partially ordered strict semifield will be called *suprema compatible* if it satisfies one of the equivalent conditions in Proposition 32. Conditions (3) and (4) are still equivalent when  $\inf a_n$  and  $\inf b_n$  are allowed to be zero; a partially ordered strict semifield satisfying one of these stronger versions of conditions (3) and (4) will be called *infima compatible*. By definition, every infima-compatible partially ordered strict semifield is suprema compatible. The converse is not true. **Example 33.** The set  $\{\begin{pmatrix} 0\\0 \end{pmatrix}\} \cup (0,\infty) \times (0,\infty)$  is a partially ordered strict semifield with  $0 = \begin{pmatrix} 0\\0 \end{pmatrix}$ ,  $1 = \begin{pmatrix} 1\\1 \end{pmatrix}$ , pointwise addition and multiplication, and  $\begin{pmatrix} x\\y \end{pmatrix} \leq \begin{pmatrix} u\\v \end{pmatrix}$  if and only if  $x \leq u$  and  $y \leq v$ . It is monotone sequentially complete and suprema compatible, but not infima compatible. Indeed

$$\binom{1}{1} + \inf \binom{1}{1/n} = \binom{1}{1} + \binom{0}{0} = \binom{1}{1} \neq \binom{2}{1} = \inf \binom{2}{1+1/n} = \inf \binom{1}{1} + \binom{1}{1/n}.$$

This example shows that suprema compatibility is not enough to ensure that a partially ordered strict semifield that is monotone sequentially complete is isomorphic to  $\mathbb{R}_+$ . On the other hand, infima compatibility, together with the inequality  $1+1 \neq 1$ , is actually enough (see Proposition 48). Unable to prove infima compatibility of  $\mathcal{R}_+$  directly from Axiom 9, we need to use some additional property of  $\mathcal{R}_+$  to deal with the decreasing sequences whose infima is zero.

So far we have only considered partially ordered strict semifields in isolation. However, we know that  $\mathcal{R}_+$  embeds in a field. The following proposition suggests that the existence of such an embedding may be the missing ingredient.

**Proposition 34.** Let S be a partially ordered strict semifield that is suprema compatible, monotone sequentially complete, and embeds in a field. For all  $a, u \in S$  with  $a \neq 0$  and u < 1, we have  $\inf(a + u^n) = a$ .

**Lemma 35.** In a partially ordered strict semifield, if  $a_n$  is a decreasing sequence and  $\inf a_n$  exists then  $\inf a_{2n} = \inf a_n$ .

*Proof.* Firstly,  $\inf a_n \leq a_{2k}$  for each k. Suppose that  $c \leq a_{2k}$  for each k. Then, for each j, either j = 2k, in which case  $c \leq a_{2k} = a_j$ , or j = 2k + 1, in which case  $c \leq a_{2k+2} \leq a_{2k+1} = a_j$ . Either way, it follows that  $c \leq \inf a_n$ .

Proof of Proposition 34. As addition preserves non-zero infima,

$$a + a^{2} + \inf(a + u^{n})^{2} = \inf\left(a + a^{2} + (a + u^{n})^{2}\right) = \inf\left(2a(a + u^{n}) + (a + u^{2n})\right)$$
$$= 2a\inf(a + u^{n}) + \inf(a + u^{2n}) = (2a + 1)\inf(a + u^{n}).$$

Thus  $(\inf(a+u^n)-a)(\inf(a+u^n)-(a+1))=0$  in the field. If  $\inf(a+u^n)=a+1$ , then  $a+1 \leq a+u \leq a+1$ , so a+u=a+1, and thus u=1, which is a contradiction. Hence  $\inf(a+u^n)=a$ .

The ideas above give rise to the following new characterisation of  $\mathbb{R}$  and  $\mathbb{C}$ .

**Proposition 36.** Let C be an involutive field that has a partially ordered strict subsemifield P whose elements are all self-adjoint and include  $a^{\dagger}a$  for all  $a \in C$ . If P is suprema compatible and monotone sequentially complete, then there is an isomorphism of C with  $\mathbb{R}$  or  $\mathbb{C}$  that maps P onto  $\mathbb{R}_+$ .

Having already shown in Propositions 16 and 18 that C and  $\mathcal{R}_+$  satisfy the assumptions of Proposition 36, the following corollary is immediate.

**Corollary 37.** There is an isomorphism of C with  $\mathbb{R}$  or  $\mathbb{C}$  that maps  $\mathcal{R}_+$  onto  $\mathbb{R}_+$ .

The remainder of this section is devoted to proving Proposition 36.

**Definition 38** (DeMarr [1]). A partially ordered field is a field F equipped with a partial order  $\preccurlyeq$  satisfying the following axioms:

- (1) if  $a \preccurlyeq b$  then  $a + c \preccurlyeq b + c$ ,
- (2) if  $0 \preccurlyeq a$  and  $0 \preccurlyeq b$  then  $0 \preccurlyeq ab$ ,
- (3)  $0 \preccurlyeq 1$ ,
- (4) if  $0 \preccurlyeq a$  and  $a \neq 0$  then  $0 \preccurlyeq a^{-1}$ , and
- (5) every  $a \in F$  is of the form a = b c where  $0 \preccurlyeq b$  and  $0 \preccurlyeq c$ .

**Lemma 39.** Let R be a field with a partially ordered strict subsemifield P that contains all squares of R. The binary relation  $\preccurlyeq$  on R defined by  $a \preccurlyeq b$  if  $b - a \in P$  is a partial order making R into a partially ordered field.

*Proof.* For reflexivity,  $a \preccurlyeq a$  because  $a - a = 0 \in P$ . For antisymmetry, if  $a \preccurlyeq b$  and  $b \preccurlyeq a$ , then a - b = 0 because  $0 \leqslant a - b \leqslant (a - b) + (b - a) = 0$ , so a = b. For transitivity, if  $a \preccurlyeq b$  and  $b \preccurlyeq c$ , then  $a \preccurlyeq c$  because  $a - c = (a - b) + (b - c) \in P$ .

Axioms (1) to (4) of a partially ordered field are straightforward to check. For example, if  $a \preccurlyeq b$ , then  $(b+c) - (a+c) = b - a \in P$ , so  $a + c \preccurlyeq b + c$ . For axiom (5), observe that  $a = (a + \frac{1}{2})^2 - (a^2 + \frac{1}{4})$  where  $0 \preccurlyeq (a + \frac{1}{2})^2$  and  $0 \preccurlyeq a^2 + \frac{1}{4}$  because P contains all squares of R.

**Lemma 40.** Under the assumptions of Proposition 36, for each self-adjoint  $a \in C$ , actually  $a \in P$  if and only if  $a + 2^{-k} \in P$  for each k.

*Proof.* The only if direction is trivial. For the *if* direction, suppose that  $a + 2^{-k} \in P$  for each k. Then  $\inf(a + 2^{-n})$  exists because

$$a + 2^{-k} = a + 2^{-(k+1)} + 2^{-(k+1)} \ge a + 2^{-(k+1)}$$

for each k. Either  $\inf(a+2^{-n}) \neq 0$  or  $\inf(a+2^{-n}) = 0$ . In the former case,

$$\inf(a+2^{-n}) + a^2 + \frac{1}{4} = \inf\left((a+\frac{1}{2})^2 + 2^{-n}\right) = \left(a+\frac{1}{2}\right)^2 = a^2 + a + \frac{1}{4},$$

so  $a = \inf(a + 2^{-n}) \in P$ . In the latter case,

$$0 = \inf(a + 2^{-n})^2 = \inf\left((a + 2^{-n})^2\right) = \inf\left(a^2 + 2^{1-n}(a + 2^{-(n+1)})\right).$$

As  $0 \leq a^2 \leq a^2 + 2^{1-n}(a + 2^{-(n+1)})$ , it follows that

$$0 \leqslant a^2 \leqslant \inf \left( a^2 + 2^{1-n} (a + 2^{-(n+1)}) \right) = 0,$$

so  $a^2 = 0$ , and thus a = 0. Hence  $a = \inf(a + 2^{-n}) \in P$  in this case as well.

**Lemma 41.** Under the assumptions of Proposition 36, there is an isomorphism of the field R of self-adjoint elements of C with  $\mathbb{R}$  that maps P onto  $\mathbb{R}_+$ .

*Proof.* As P is a subsemifield of R containing all squares of R, the binary relation  $\preccurlyeq$  defined in Lemma 39 makes R into a partially ordered field. By DeMarr's theorem [1], it suffices to show that  $\preccurlyeq$  is monotone sequentially complete.

Let  $a_1 \preccurlyeq a_2 \preccurlyeq \ldots \preccurlyeq b$  in R. Then  $0 \leqslant a_1 - a_1 \leqslant a_2 - a_1 \leqslant a_3 - a_1 \leqslant \cdots \leqslant b - a_1$ , so  $\sup_n(a_n - a_1)$  exists. We will show that  $a_n$  has supremum  $a = a_1 + \sup_n(a_n - a_1)$  with respect to  $\preccurlyeq$ . Firstly, for each k, we have

$$\sup_{n} (a_{n+k-1} - a_{k}) + a_{k}^{2} + \frac{1}{4} + (a_{1} - \frac{1}{2})^{2}$$

$$= \sup_{n} (a_{n+k-1} + (a_{k} - \frac{1}{2})^{2} + (a_{1} - \frac{1}{2})^{2})$$

$$= \sup_{n} (a_{n+k-1} - a_{1}) + a_{1}^{2} + \frac{1}{4} + (a_{k} - \frac{1}{2})^{2}$$

$$= \sup_{n} (a_{n} - a_{1}) + a_{1}^{2} + \frac{1}{4} + (a_{k} - \frac{1}{2})^{2}$$

$$= a - a_{1} + a_{1}^{2} + \frac{1}{4} + (a_{k} - \frac{1}{2})^{2}$$

so  $a = a_k + \sup_n (a_{n+k-1} - a_k)$ , and thus  $a_k \preccurlyeq a$ .

Fix a natural number j. The sequences  $a_n - a_1$  and  $b - a_n + 2^{-j}$  in P are respectively increasing and decreasing in n. Now

$$b - a_1 + 2^{-j} = (a_k - a_1) + (b - a_k + 2^{-j})$$
  
$$\leq \sup_m (a_m - a_1) + b - a_k + 2^{-j}$$
  
$$= (a - a_1) + (b - a_k + 2^{-j})$$

for all k, so

$$b - a_1 + 2^{-j} \leq \inf_n \left( (a - a_1) + (b - a_n + 2^{-j}) \right)$$
$$= a - a_1 + \inf_n (b - a_n + 2^{-j})$$

because  $\inf_n(b-a_n+2^{-j}) \ge 2^{-j} > 0$ . Also

$$b - a_1 + 2^{-j} = (a_k - a_1) + (b - a_k + 2^{-j})$$
  
$$\ge a_k - a_1 + \inf_n (b - a_n + 2^{-j})$$

for all k, so

$$b - a_1 + 2^{-j} \ge \sup_m (a_m - a_1 + \inf_n (b - a_n + 2^{-j}))$$
  
=  $\sup_m (a_m - a_1) + \inf_n (b - a_n + 2^{-j})$   
=  $a - a_1 + \inf_n (b - a_n + 2^{-j}).$ 

Hence  $b - a_1 + 2^{-j} = a - a_1 + \inf_n (b - a_n + 2^{-j})$ , and so

$$b - a + 2^{-j} = \inf_n (b - a_n + 2^{-j}) \in P.$$

Lemma 40 now gives  $b - a \in P$ , so  $a \preccurlyeq b$ .

**Lemma 42.** Let C be an involutive field, and R its subfield of self-adjoint elements. Each isomorphism  $\varphi \colon R \to \mathbb{R}$  such that  $\varphi(a^{\dagger}a) \ge 0$  for each  $a \in C$ , uniquely extends to an isomorphism of C with  $\mathbb{R}$  or  $\mathbb{C}$ .

*Proof.* The case C = R is trivial. Suppose that  $C \neq R$ . Then there is a  $u \in C$  such that  $u \neq u^{\dagger}$ . As  $\varphi((u - u^{\dagger})^{\dagger}(u - u^{\dagger})) > 0$ , we may define  $r \in R \setminus \{0\}$  and  $i \in C$  by

$$r = \varphi^{-1} \sqrt{\varphi ((u - u^{\dagger})^{\dagger} (u - u^{\dagger}))}$$
 and  $i = \frac{u - u^{\dagger}}{r}$ 

Then  $i^{\dagger} = -i$  because r is self-adjoint, and  $i^2 = -1$  because  $r^2 = (u - u^{\dagger})^{\dagger}(u - u^{\dagger})$ . It follows also that  $i \neq 0$  and so  $i^{\dagger} \neq i$ .

We now show that  $\{1, i\}$  is a basis for C as a vector space over R. It is a spanning set because every  $a \in C$  satisfies the equation

$$a = \frac{a+a^{\dagger}}{2} + \frac{a-a^{\dagger}}{2i}i,$$

where  $(a + a^{\dagger})/2$  and  $(a - a^{\dagger})/2i$  are self-adjoint. For linear independence, let  $a, b \in R$  and suppose that a + bi = 0. If  $b \neq 0$  then i = -a/b would be self-adjoint, which is a contradiction. Thus b = 0 and a = -bi = 0.

Define  $\psi: C \to \mathbb{C}$  by  $\psi(a+bi) = \varphi(a) + \varphi(b)i$  for all  $a, b \in R$ . Using the equations  $i^2 = -1$  and  $i^{\dagger} = -i$ , and the fact that  $\varphi$  is an isomorphism of fields, it is easy to check that  $\psi$  is an isomorphism of involutive fields that extends  $\varphi$ .

Proof of Proposition 36. Combine Lemmas 41 and 42.

### 6. FINITE DIMENSIONALITY

Our goal now is to prove the following abstract version of Proposition 4, from which it easily follows that the inner-product space associated to each dagger-finite object is finite dimensional. Here, the term dagger finite is unambiguous because, by Lemma 6, an object is dagger finite in  $\mathbf{D}$  if and only if it is dagger finite in  $\mathbf{C}$ .

Proposition 43. All dagger-finite objects are dagger isomorphic to one of the form

$$I^{\oplus n} = \underbrace{I \oplus I \oplus \dots \oplus I}_{n \ times}.$$

To prove this proposition, we will use the following two lemmas.

**Lemma 44.** Let  $m: A \to X$  be a dagger monomorphism. If X is dagger finite, then A is also dagger finite.

*Proof.* Let  $m^{\perp} : A^{\perp} \to X$  be a kernel of  $m^{\dagger}$ . Then X is dagger isomorphic to  $A \oplus A^{\perp}$ . Suppose that X, and thus  $A \oplus A^{\perp}$ , is dagger finite. Let  $f : A \to A$  be a dagger monomorphism. Then  $f \oplus 1 : A \oplus A^{\perp} \to A \oplus A^{\perp}$  is also dagger monic, and so a dagger isomorphism. Hence f is also a dagger isomorphism.  $\Box$ 

**Lemma 45.** Every non-zero object X admits a dagger monomorphism from I.

Proof. Let X be a non-zero object. Then the morphisms  $0: X \to X$  and  $1: X \to X$  are distinct. As I is a separator, there is a morphism  $x: I \to X$  in C such that  $1x \neq 0x$ , that is, such that x is non-zero. As C is anisotropic, the positive scalar  $x^{\dagger}x$  is then also non-zero, and so, via the isomorphism  $\mathcal{R}_+ \cong \mathbb{R}_+$ , has a non-zero positive square root  $(x^{\dagger}x)^{1/2}$ . Let  $m = x(x^{\dagger}x)^{-1/2}$ . Then  $m: I \to X$  is dagger monic because  $m^{\dagger}m = (x^{\dagger}x)^{-1/2}x^{\dagger}x(x^{\dagger}x)^{-1/2} = 1$ .

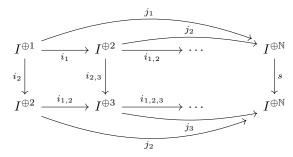
Proof of Proposition 43. Let X be a dagger-finite object. An orthonormal system of elements of X is a set of dagger monomorphisms  $I \rightarrow X$  that are pairwise orthogonal. As **D** is locally small, and the dagger monomorphisms into X are in bijection with the dagger idempotents on X, the class of orthonormal systems of elements of X is itself a set. With respect to subset inclusion, the union of a chain

of orthonormal systems of X is again an orthonormal system, so, by Zorn's lemma, there is an orthonormal system S of X that is maximal.

Assume that S is infinite. Then it has a countable subset  $\{x_k\}_{k=1}^{\infty}$ . The dagger monomorphisms  $[x_1 \ x_2 \ \dots \ x_k]: I^{\oplus k} \to X$  form a cocone on the sequential diagram

 $I^{\oplus 1} \xrightarrow{i_1} I^{\oplus 2} \xrightarrow{i_{1,2}} I^{\oplus 3} \xrightarrow{i_{1,2,3}} \cdots$ 

in **D**, so, by Axiom 9, this diagram has a colimit  $j_k \colon I^{\oplus k} \to I^{\oplus \mathbb{N}}$ . This colimit is also a colimit in the wide subcategory of **D** of dagger monomorphisms [7, Lemma 20]. There is thus a unique dagger morphism  $x \colon I^{\oplus \mathbb{N}} \to X$  such that  $x_k = xj_k$  for each natural number k. By Lemma 44, the object  $I^{\oplus \mathbb{N}}$  is also dagger finite. There is also a unique dagger monomorphism  $s \colon I^{\oplus \mathbb{N}} \to I^{\oplus \mathbb{N}}$  such that the diagram



commutes. The morphism s is actually a dagger isomorphism by Axiom 10. Now

$$j_1^{\dagger}sj_k = j_1^{\dagger}j_{k+1}i_{2,3,\dots,k+1} = i_1^{\dagger}j_{k+1}^{\dagger}j_{k+1}i_{2,3,\dots,k+1} = i_1^{\dagger}i_{2,3,\dots,k+1} = 0$$

for each natural number k. As the colimit cocone  $j_k$  is jointly epic in **D**, it follows that  $j_1^{\dagger}s = 0$ , and so  $j_1^{\dagger}j_1 = j_1^{\dagger}ss^{\dagger}j_1 = 0 \neq 1$ , which is a contradiction.

Hence S is finite, and so of the form  $\{x_k\}_{k=1}^n$ . If the orthogonal complement of  $[x_1 \ x_2 \ \cdots \ x_n]: I^{\oplus n} \to X$  were non-zero, then, using Lemma 45, we could obtain a dagger monomorphism  $I \to X$  that is orthogonal to all  $x_k$ , contradicting maximality of S. Hence  $[x_1 \ x_2 \ \cdots \ x_n]$  is a dagger isomorphism.  $\Box$ 

## **Proposition 46.** The dagger rig categories C and $FHilb_{\mathcal{C}}$ are equivalent.

*Proof.* As the monoidal structure  $(\oplus, O)$  on **C** is a choice of zero object and binary dagger biproducts, and these are preserved by equivalences of dagger categories, it suffices to construct an equivalence of dagger monoidal categories with respect to  $\otimes$ .

Let X be an object of **C**. The equation  $\langle x_1 | x_2 \rangle = x_1^{\dagger} x_2$  defines a C-valued inner product on  $\mathbf{C}(I, X)$ . The object X is a dagger biproduct in **C** of finitely many copies of I by Axiom 10 and Proposition 43. The biproduct injections form an orthonormal basis for  $\mathbf{C}(I, X)$ , so this inner-product space is actually finite dimensional.

For each morphism  $f: X \to Y$  in **C**, the function  $\mathbf{C}(I, f)$  has adjoint  $\mathbf{C}(I, f^{\dagger})$  because  $\langle y|fx \rangle = y^{\dagger}fx = y^{\dagger}f^{\dagger \dagger}x = (f^{\dagger}y)^{\dagger}x = \langle f^{\dagger}y|x \rangle$ , and so is also  $\mathcal{C}$ -linear.

We now know that the functor  $\mathbf{C}(I, \_): \mathbf{C} \to \mathbf{Set}$  corestricts along the forgetful functor  $\mathbf{FHilb}_{\mathcal{C}} \to \mathbf{Set}$ , and that the corestriction is a dagger functor. It is actually dagger strong monoidal [6, Lemma 9]. Each Hilbert space of dimension n is dagger isomorphic to the Hilbert space  $\mathbf{C}(I, I^{\oplus n})$ , so it is dagger essentially surjective. It is full and faithful by the matrix calculus [8, Corollary 2.27] for  $\mathbf{C}$  and  $\mathbf{FHilb}_{\mathcal{C}}$ .  $\Box$ 

#### 7. CHARACTERISING FCon AND Con

In this brief final section, we finish proving our characterisation of **FCon** and also sketch a new proof of the characterisation [7] of **Con** that bypasses Solèr's theorem.

The following statement of Theorem 1 is a rewording of the one in Section 2.

**Theorem 1.** The dagger rig category **D** is equivalent to the dagger rig category **FCon** of finite-dimensional Hilbert spaces and linear contractions.

*Proof.* By Proposition 46, the dagger rig category  $\mathbf{D}$  is equivalent to a wide dagger rig subcategory of **FHilb**. To show that  $\mathbf{D}$  is equivalent to **FCon**, we must show that the morphisms of each are included in the morphisms of the other.

By Sz.-Nagy's dilation theorem [18, Theorem I.4.1], each morphism  $f: X \to Y$  in **FCon** has a factorisation f = em where m and  $e^{\dagger}$  are dagger monic. By Lemma 6, both m and e come from **D**. As f is their composite, it also comes from **D**.

Now let  $f: X \to Y$  be a morphism in **D**. To show that f is in **FCon**, it suffices to show that  $|\langle fx|y \rangle| \leq 1$  for all  $x: I \to X$  and  $y: I \to Y$  in **C** with norm 1. Such x and y are dagger monic and so actually come from **D**. Hence  $\langle f(x)|y \rangle = x^{\dagger}f^{\dagger}y$  is a scalar in **D**. But every scalar  $z: I \to I$  in **D** satisfies  $|z|^2 = z^{\dagger}z \leq 1$  by Remark 11.

The characterisation [7] of **Con** has the following alternative proof, which does not resort to Solèr's theorem. Suppose now that **D** satisfies the axioms for **Con**, so that **C** satisfies the axioms for **Hilb** [7]. Then every C-inner-product space  $\mathbf{C}(I, X)$ is orthomodular [6, Lemma 3]. But C is  $\mathbb{R}$  or  $\mathbb{C}$  by Corollary 37. The inner-product space  $\mathbf{C}(I, X)$  is complete [5, Theorem 3.1], and so is in fact a Hilbert space. The rest of the proof that **C** is **Hilb** and **D** is **Con** proceeds as before [6, 7].

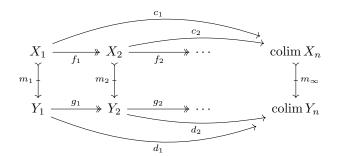
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In this appendix, replacing Axiom 9 with the following alternative completeness axiom, we show that the scalars are again the real or complex numbers. Our proof combines several ideas from across the literature [1, 3, 13]. It is unclear whether this alternative axiom is strong enough to prove Theorem 1.

Axiom 9'. Every sequential diagram of epimorphisms has a colimit, and, for each natural transformation of such diagrams whose components are dagger monic, the induced morphism between the colimits is also dagger monic.

To illustrate this, let  $m_n: (X_n, f_n) \to (Y_n, g_n)$  be such a natural transformation, let  $c_n: X_n \to \operatorname{colim} X_n$  and  $d_n: Y_n \to \operatorname{colim} Y_n$  be colimit cocones on  $(X_n, f_n)$  and  $(Y_n, g_n)$ , and let  $m_\infty: \operatorname{colim} X_n \to \operatorname{colim} Y_n$  be the unique morphism such that  $m_\infty c_n = d_n m_n$ . Axiom 9' says that  $m_\infty$  is dagger monic if each  $m_n$  is dagger monic.



**Proposition 47.** The category FCon satisfies Axiom 9'.

The construction [7] of directed colimits in **Con** also works for sequential colimits of epimorphisms in **FCon**. We describe a simpler construction possible in this case.

*Proof.* Let  $(X_n, f_n)$  be a sequential diagram of epimorphisms in **FCon**. For each  $x_1 \in X_1$ , as the sequence  $||x_1||, ||f_1x_1||, ||f_2f_1x_1||, \ldots$  is decreasing, its limit exists and is equal to its infimum. The set

$$N = \left\{ x_1 \in X_1 \, \Big| \, \lim_{n \to \infty} \| f_n \dots f_2 f_1 x_1 \| = 0 \right\}$$

is a vector subspace of  $X_1$ . Let  $\operatorname{colim}(X_n)$  be the vector space  $X_1/N$  equipped with the inner product defined by the limit

$$\langle x_1 + N | x_1' + N \rangle = \lim_{n \to \infty} \langle f_n \dots f_2 f_1 x_1 | f_n \dots f_2 f_1 x_1' \rangle,$$

which exists by the polarisation identity. It is finite dimensional by construction.

To define  $c_k: X_k \to \operatorname{colim}(X_n)$ , let  $x_k \in X_k$ . As  $f_{k-1} \dots f_2 f_1$  is an epimorphism of finite-dimensional Hilbert spaces, it is surjective, so there is an  $x_1 \in X_1$  such that  $x_k = f_{k-1} \dots f_2 f_1 x_1$ . Let  $c_k x_k = x_1 + N$ . As  $f_{k-1} \dots f_2 f_1 x_1 = f_{k-1} \dots f_2 f_1 x'_1$ implies  $x'_1 - x_1 \in N$ , the map  $c_k$  is well defined. The maps  $c_k: X_k \to \operatorname{colim}(X_n)$ form a colimit cocone on  $(X_n, f_n)$ .

Let  $(Y_n, g_n)$  be another sequential diagram of epimorphisms in **FCon**. Consider a natural transformation  $m_n: (X_n, f_n) \to (Y_n, g_n)$  with dagger monic components. Let  $m_{\infty}$  be the morphism colim  $X_n \to \operatorname{colim} Y_n$  that it induces. For each  $x_1 \in X_1$ ,

$$||m_{\infty}c_{1}x_{1}|| = ||d_{1}m_{1}x_{1}|| = \lim_{k \to \infty} ||g_{k} \dots g_{2}g_{1}m_{1}x_{1}||$$
$$= \lim_{k \to \infty} ||m_{k+1}f_{n} \dots f_{2}f_{1}x_{1}|| = \lim_{k \to \infty} ||f_{k} \dots f_{2}f_{1}x_{1}|| = ||c_{1}x_{1}||$$

because each  $m_k$  is an isometry. As each element of colim  $X_n$  is of the form  $c_1x_1$ , it follows that  $m_{\infty}$  is also an isometry.

A.1. Characterising the positive reals. We will use the following proposition to deduce that  $\mathcal{R}_+$  is isomorphic to  $\mathbb{R}_+$ . Recall that a partially ordered strict semifield is *monotone sequentially complete* if it has infime of decreasing sequences, and is *infime compatible* if every decreasing sequence  $b_n$  satisfies  $\inf(1 + b_n) = 1 + \inf b_n$ .

**Proposition 48.** A partially ordered strict semifield is isomorphic to  $\mathbb{R}_+$  if and only if it is monotone sequentially complete, infima compatible, and  $1 + 1 \neq 1$ .

This result is a new variant of [3, Theorem 4.5]. The proof, spread across several lemmas below, is inspired by DeMarr's proof of a similar result about partially ordered fields [1]. The main idea is that  $u \leq 1$  exactly when the geometric series  $1 + u + u^2 + \ldots$  converges.

**Lemma 49.** If a partially ordered strict semifield is monotone sequentially complete and infima compatible, then it is totally ordered.

*Proof.* We must show that  $a \ge b$  or  $a \le b$ . If b = 0, then  $a \ge 0 = b$ . Suppose that  $b \ne 0$ , and let  $u = ab^{-1}$ . It suffices to show that  $u \ge 1$  or  $u \le 1$ .

For each n, let  $s_n = u^n + \cdots + u + 1$ . Then  $s_n$  is increasing, so  $\frac{1}{s_n}$  is decreasing, and thus  $\inf \frac{1}{s_n}$  exists. Observe that

$$u + \frac{1}{s_n} = \frac{us_n + 1}{s_n} = \frac{s_{n+1}}{s_n} = \frac{u^{n+1} + s_n}{s_n} = \frac{u^{n+1}}{s_n} + 1.$$
 (\*)

In particular,  $\frac{1}{s_n} = \left(u + \frac{1}{s_n}\right) \frac{1}{s_{n+1}}$ , so, by Proposition 29,

$$\inf \frac{1}{s_n} = \inf \left( u + \frac{1}{s_n} \right) \inf \frac{1}{s_{n+1}} = \left( u + \inf \frac{1}{s_n} \right) \inf \frac{1}{s_n}$$

If  $\inf \frac{1}{s_n} \neq 0$ , then  $u + \inf \frac{1}{s_n} = 1$ , and so  $u \leq 1$ . Otherwise  $\inf \frac{1}{s_n} = 0$ , so, by (\*),

$$u = u + \inf \frac{1}{s_n} = \inf \left( u + \frac{1}{s_n} \right) = \inf \left( \frac{u^{n+1}}{s_n} + 1 \right) \ge 1.$$

A partially ordered strict semifield is *multiplicatively Archimedean* if every element satisfies  $a \leq 1$  whenever the set  $\{1, a, a^2, \ldots\}$  has an upper bound [3].

**Lemma 50.** If a partially ordered strict semifield is monotone sequentially complete and infima compatible, then it is multiplicatively Archimedean.

*Proof.* By Lemma 49, the order is total. Suppose, for the contrapositive, that a > 1. The sequence  $a^{-n}$  is decreasing, so  $\inf a^{-n}$  exists. Now  $\inf a^{-n} = 0$  because

$$\inf a^{-n} = aa^{-1} \inf a^{-n} = a \inf a^{-(n+1)} = a \inf a^{-n}$$

and  $a \neq 0$ . It follows that  $\{1, a, a^2, \ldots\}$  has no upper bound.

Before proving Proposition 48, observe that the isomorphisms of totally ordered semifields are those morphisms that are bijective. The monotonicity of the inverse function follows from totality of the order.

Proof of Proposition 48. Let S be a partially ordered strict semifield that is infima compatible and monotone sequentially complete. By Lemmas 49 and 50, it embeds in  $\mathbb{R}_+$  or  $\mathbb{TR}_+$  [3, Theorem 4.2]. As  $1 + 1 \neq 1$ , the latter is impossible, so there is an embedding  $\varphi: S \hookrightarrow \mathbb{R}_+$ . We will show that  $\varphi$  is surjective, and so an isomorphism.

Firstly, every embedding into  $\mathbb{R}_+$  is necessarily surjective on  $\mathbb{Q}_+$ . Let  $r \in \mathbb{R}_+$ . Then  $\sup a_n = r = \inf b_n$  for some  $a_1 \leq a_2 \leq \ldots \leq r \leq \cdots \leq b_2 \leq b_1$  in  $\mathbb{Q}_+$ . As S is monotone sequentially complete, the infimum  $\inf b_n$  also exists in S. We will show that  $r = \varphi(\inf b_n)$ . For all k, we have  $\inf b_n \leq b_k$ , so  $\varphi(\inf b_n) \leq \varphi(b_k) = b_k$ . Hence  $\varphi(\inf b_n) \leq \inf b_n = r$ . Also, for all k, as  $a_k \leq b_j$  for all j, also  $a_k \leq \inf b_n$ , and thus  $a_k = \varphi(a_k) \leq \varphi(\inf b_n)$ . Hence  $r = \sup a_n \leq \varphi(\inf b_n)$ , so actually  $r = \varphi(\inf b_n)$ .  $\Box$ 

A.2. The positive scalars are the positive reals. To show that  $\mathcal{R}_+$  is isomorphic to  $\mathbb{R}_+$ , we now check the hypotheses of Proposition 48. The first part of Axiom 9' corresponds to monotone sequential completeness while the second part corresponds to infima compatibility.

**Lemma 51.** The category  $I \downarrow U$  has colimits of sequential diagrams of epimorphisms and, for each object (X, x) of  $I \downarrow U$ , the endofunctor  $(X, x) \oplus \_$  preserves them.

*Proof.* The proofs of Proposition 19 and Corollary 25 work *mutatis mutandis*, with Proposition 23 replaced by the following reasoning. As the functor  $\Pi: I \downarrow U \to \mathbf{D}$  creates connected colimits [15, Theorem 3] (see also [14, Proposition 3.3.8]), it creates epimorphisms, and thus also colimits of sequential diagrams of epimorphisms.  $\Box$ 

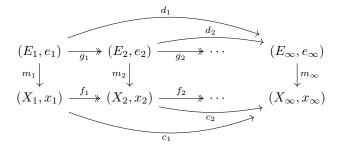
**Lemma 52.** The functor  $\mathcal{N}: I \downarrow U \to \mathcal{R}_+$  preserves colimits of sequential diagrams of epimorphisms.

Proof. A coimage of a morphism f is a terminal epimorphism e through which f factors. This means that f = ge for some morphism g, and, if f = g'e' and e' is epic, then e = h'e' for some morphism h' that is uniquely determined by the fact that e' is epic. Recall that every morphism f of  $\mathbf{C}$  has a factorisation f = me where m is dagger monic and e is epic. The epic part of such a factorisation of f is a coimage of f. Indeed, if f = g'e' and e' is epic, then  $e = m^{\dagger}me = m^{\dagger}f = m^{\dagger}g'e'$  so  $h' = m^{\dagger}g'$  satisfies e = h'e'. For each object (X, x) of  $I \downarrow U$ , choose an epimorphism  $e: I \to E$  and a dagger monomorphism  $m: E \to X$  such that x = me, and let  $\operatorname{Coim}(X, x) = (E, e)$  and  $\epsilon_{(X, x)} = m: J \operatorname{Coim}(X, x) \to (X, x)$ , noting that m is actually from  $\mathbf{D}$  by Lemma 6. By the universal property of coimages, this data uniquely extends to a right adjoint Coim of J with counit  $\epsilon$  [11, §IV.1 Theorem 2].

Now  $\mathcal{N} = \mathcal{N} \circ J \circ \text{Coim}$  because the components of  $\epsilon$  are dagger monic. Also  $\mathcal{N} \circ J$  preserves all colimits by Remark 26. Hence it suffices to show that colimits of sequential diagrams of epimorphisms are preserved by Coim. As J is a full subcategory inclusion with a right adjoint, it is actually a coreflective subcategory inclusion, so it creates all colimits that  $I \downarrow U$  admits [14, Proposition 4.5.15]. Thus

to show that colimits of sequential diagrams of epimorphisms are preserved by Coim, it suffices to show that they are preserved by  $J \circ \text{Coim}$ .

Let  $((X_n, x_n), f_n)$  be a sequential diagram of epimorphisms in  $I \downarrow U$ , and let  $c_n: (X_n, x_n) \to (X_\infty, x_\infty)$  be a colimit cocone on this diagram. For each k, let  $(E_k, e_k) = J \operatorname{Coim}(X_k, x_k), g_k = J \operatorname{Coim} f_k$ , and  $m_k = \epsilon_{(X_k, x_k)}$ . As  $((E_n, e_n), g_n)$  is also a sequential diagram of epimorphisms in  $I \downarrow U$ , it has a colimit cocone  $d_n: (E_n, e_n) \to (E_\infty, e_\infty)$  by Lemma 51. Let  $m_\infty: (E_\infty, e_\infty) \to (X_\infty, x_\infty)$  be the unique morphism such that  $m_\infty d_k = c_k m_k$  for all k.



As  $\Pi: I \downarrow U \to \mathbf{D}$  creates connected limits,  $c_n: X_n \to X_\infty$  and  $d_n: E_n \to E_\infty$  are colimit cocones on the underlying sequential diagrams  $(X_n, f_n)$  and  $(E_n, g_n)$  in  $\mathbf{D}$ , respectively. Thus  $m_\infty: E_\infty \to X_\infty$  is dagger monic by Axiom 9'.

We now show that the morphism  $e_{\infty}: I \to E_{\infty}$  in **C** is epic. Let  $s, t: E_{\infty} \to A$ in **C** and suppose that  $se_{\infty} = te_{\infty}$ . Then, for all k, as  $sd_ke_k = se_{\infty} = te_{\infty} = td_ke_k$ and  $e_k$  is epic, actually  $sd_k = td_k$ . Now the cocone  $d_n: E_n \to E_{\infty}$  in **D**, being a colimit cocone, is jointly epic in **D**. Similarly to Lemma 10, the functor  $U: \mathbf{D} \to \mathbf{C}$ preserves jointly epic wide cospans. Hence  $d_n$  is also jointly epic in **C**. Thus s = t.

As  $x_{\infty} = m_{\infty}e_{\infty}$  is an (epic, dagger monic) factorisation of  $x_{\infty}$ , there is a unique isomorphism  $u: (E_{\infty}, e_{\infty}) \to \operatorname{Coim}(X_{\infty}, x_{\infty})$ . Also, for all k, the morphism in **D** underlying  $\operatorname{Coim} c_k$  is equal to  $ud_k$ , by the universal property of coimages. Hence  $J \operatorname{Coim} c_n$  is another colimit cocone on  $((E_n, e_n), g_n)$ .

**Proposition 53.** The partially ordered strict semifield  $\mathcal{R}_+$  is isomorphic to  $\mathbb{R}_+$ .

*Proof.* The proof of Proposition 18 works *mutatis mutandis*.

A.3. The scalars are the real or complex numbers. Deducing that the field C is isomorphic to  $\mathbb{R}$  or  $\mathbb{C}$  is now purely a matter of algebra.

**Proposition 54.** There is an isomorphism of  $\mathcal{R}$  with  $\mathbb{R}$  that maps  $\mathcal{R}_+$  onto  $\mathbb{R}_+$ .

*Proof.* Let  $\varphi \colon \mathcal{R}_+ \to \mathbb{R}_+$  be the isomorphism in Proposition 53. As  $\mathcal{R}_+$  contains all sums of squares of elements of  $\mathcal{R}$ , we may define a map  $\psi \colon \mathcal{R} \to \mathbb{R}$  by

$$4\psi(a) = \varphi((a+2)^2) - \varphi(a^2+4)$$

for each  $a \in \mathcal{R}$ . Then, for each  $a \in \mathcal{R}_+$ , we have  $\psi(a) = \varphi(a)$  because

$$\varphi((a+2)^2) = \varphi(a^2+4a+4) = 4\varphi(a) + \varphi(a^2+4).$$

In particular, we have  $\psi(0) = 0$  and  $\psi(1) = 1$ . Also, for all  $a, b \in \mathcal{R}$ , we have

$$4\psi(a+b) = \varphi((a+b+2)^2) - \varphi((a+b)^2+4),$$
  

$$4\psi(a) + 4\psi(b) = \varphi((a+2)^2 + (b+2)^2) - \varphi((a^2+4) + (b^2+4)),$$

and

$$(a+b+2)^{2} + (a^{2}+4) + (b^{2}+4)$$
  
= 2a^{2} + 2b^{2} + 2ab + 2a + 2b + 12  
= (a+2)^{2} + (b+2)^{2} + (a+b)^{2} + 4,

so  $\psi(a+b) = \psi(a) + \psi(b)$ ; we also have

$$16\psi(ab) = \varphi(4(ab+2)^2) - \varphi(4(a^2b^2+4)),$$
  

$$16\psi(a)\psi(b) = \varphi((a+2)^2(b+2)^2 + (a^2+4)(b^2+4))$$
  

$$-\varphi((a+2)^2(b^2+4) + (a^2+4)(b+2)^2),$$

and

$$4(ab+2)^{2} + (a+2)^{2}(b^{2}+4) + (a^{2}+4)(b+2)^{2}$$
  
=  $6a^{2}b^{2} + 4a^{2}b + 4ab^{2} + 8a^{2} + 16ab + 8b^{2} + 16a + 16b + 48$   
=  $(a+2)^{2}(b+2)^{2} + (a^{2}+4)(b^{2}+4) + 4(a^{2}b^{2}+4),$ 

so  $\psi(ab) = \psi(a)\psi(b)$ . Hence  $\psi$  is a ring homomorphism  $\mathcal{R} \to \mathbb{R}$  that extends  $\varphi$ . The map  $v \colon \mathbb{R} \to \mathcal{R}$  defined, for each  $a \in \mathbb{R}$ , by

$$4\upsilon(a) = \varphi^{-1}((a+2)^2) - \varphi^{-1}(a^2+4)$$

is similarly a ring homomorphism that extends  $\varphi^{-1}$ . For each  $a \in \mathbb{R}$ , we have

$$4\psi v(a) = \psi \left(\varphi^{-1} \left( (a+2)^2 \right) - \varphi^{-1} (a^2+4) \right) = \psi \varphi^{-1} \left( (a+2)^2 \right) - \psi \varphi^{-1} (a^2+4)$$
$$= \varphi \varphi^{-1} \left( (a+2)^2 \right) - \varphi \varphi^{-1} (a^2+4) = (a+2)^2 - (a^2+4) = 4a,$$

and so  $\psi v = 1$ . Similarly, we have  $v\psi = 1$ . Hence v and  $\psi$  are mutually inverse.  $\Box$ 

**Corollary 55.** There is an isomorphism of C with  $\mathbb{R}$  or  $\mathbb{C}$  that maps  $\mathcal{R}_+$  onto  $\mathbb{R}_+$ .

*Proof.* Combine Proposition 54 and Lemma 42.

A.4. **Completeness.** It remains unclear whether Theorem 1 still holds if Axiom 9 is replaced by Axiom 9'. The issue is establishing finite-dimensionality of the innerproduct spaces corresponding to each object. This final subsection contains work towards proving Proposition 43 under our new assumptions.

For all objects A, let  $\mathbf{D} \not\downarrow A$  be the full subcategory of  $\mathbf{D} \not\downarrow A$  spanned by the objects (X, x) where  $x \colon X \rightarrowtail A$  is dagger monic, and let  $A \not\downarrow \mathbf{D}$  be the full subcategory of  $A \not\downarrow \mathbf{D}$  spanned by the objects (X, x) where  $x \colon A \twoheadrightarrow X$  is dagger epic. It follows from cancellativity that the morphisms in  $\mathbf{D} \not\downarrow A$  and  $A \not\downarrow \mathbf{D}$  are themselves dagger monic and dagger epic, respectively.

**Proposition 56.** For each object A, the category  $\mathbf{D} \not\downarrow A$  has sequential colimits.

*Proof.* Consider the following adjunction.

$$\mathbf{D} \mathop{\downarrow} A \xrightarrow[]{\operatorname{Coker}}_{\mathop{\longleftarrow}} A \mathop{\downarrow} \mathbf{D}$$

The functor Ker maps each object (X, x) of  $A \downarrow \mathbf{D}$  to a chosen kernel of x, and its action on morphisms is uniquely determined by universality of kernels. The functor Coker is defined similarly. The unit  $\eta_{(X,x)} \colon (X,x) \to \text{Ker Coker}(X,x)$  of the adjunction is also uniquely determined by universality of kernels.

As every normal monomorphism is a kernel of its cokernel, the adjunction is in fact idempotent, so [4, Theorem 3.8.7] it factors as

$$\mathbf{D} \downarrow A \xrightarrow{\mathrm{Im}} \mathbf{D} \precneqq A \xrightarrow{\mathrm{Coker}} A \clubsuit \mathbf{D} \gneqq A \xrightarrow{\mathrm{Coker}} A \clubsuit \mathbf{D} \overleftarrow{\leftarrow} A \downarrow \mathbf{D}$$

where the left, middle and right adjunctions are, respectively, a reflective subcategory inclusion, an equivalence of categories, and a coreflective subcategory inclusion.

The canonical functor  $A \downarrow \mathbf{D} \to \mathbf{D}$  creates connected colimits [14, Proposition 3.3.8], so in particular creates epimorphisms and sequential colimits. As **D** has colimits of sequential diagrams of epimorphisms, so does  $A \downarrow \mathbf{D}$ . As  $A \ddagger \mathbf{D}$  is a reflective subcategory of  $A \downarrow \mathbf{D}$ , it has all colimits that  $A \downarrow \mathbf{D}$  admits, formed by applying the reflector to the colimit [14, Proposition 4.5.15]. In particular, it has sequential colimits. The result follows because the category  $\mathbf{D} \oiint A$  is equivalent to  $A \ddagger \mathbf{D}$ .  $\Box$